### 3GPP TSG-T (Terminals) Meeting #14 Kyoto, Japan, 12 - 14 December 2001

**Tdoc TP-010244** 

Source: T3

Title:Change Requests SIM/USIM characteristics (TS 11.11 / 51.011 / 31.102)

**Document for:** Approval

This document contains change requests to TS 11.11, 51.011 and TS 31.102 as agreed by T3.

T3 Doc	Spec	CR	Rel	Cat	Subject
T3-010745	11.11	A129	R98	F	Addition of procedures for GPRS files
T3-010743	11.11	A130	R99	F	Collection of corrections
T3-010744	51.011	004	Rel-4	F	Collection of corrections
T3-010747	51.011	005	Rel-4	F	Alignment of SPN feature between 2G and 3G
T3-010773	51.011	006	Rel-4	D	Restructuring of TS 51.011 to be based on TS 102 221
T3-010795	51.011	007	Rel-5	F	CHV mapping and handling between USIM- and SIM-
					applications
T3-010797	51.011	008	Rel-4	F	Correction to EF(OPL)
T3-010753	31.102	100	R99	F	General corrections
T3-010754	31.102	101	Rel-4	А	General corrections
T3-010793	31.102	102	Rel-4	F	Optional commands
T3-010796	31.102	103	Rel-4	F	Correction to EF(OPL)

CR-Form-v4 CHANGE REQUEST Current version: 7.6.1 Ħ 11.11 CR ж ж ж A129 ev For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **#** symbols. (U)SIM X ME/UE X Radio Access Network Proposed change affects: # Core Network Title: **#** Addition of procedures for GPRS files Source: Ж ТЗ Work item code: # TEI Date: # 06.11.01 Category: Ж F Release: # R98 Use one of the following categories: Use one of the following releases: (GSM Phase 2) F (correction) 2 A (corresponds to a correction in an earlier release) R96 (Release 1996) **B** (addition of feature). R97 (Release 1997) **C** (functional modification of feature) (Release 1998) R98 **D** (editorial modification) (Release 1999) R99 Detailed explanations of the above categories can REL-4 (Release 4) be found in 3GPP TR 21.900. REL-5 (Release 5) Reason for change: # The procedures needed to manage the GPRS files are missing. Summary of change: # -Addition of the procedures for EF(LOCIGPRS) and EF(KcGPRS) Correction of the Image Request procedure that doesn't concern GPRS (service n°38). Correction of references to wrong clauses contained in Annex D. Reference to note 2 added for the EF(LOCIGPRS) in Annex D. Consequences if **#** Inconsistency of the specification not approved: Clauses affected: # 11, 12.2.1, 11.2.2, 11.4.x (new clause), 11.4.y (new clause), 11.6.18, Annex D Other specs ж Other core specifications ж affected: Test specifications **O&M** Specifications # Equivalent CR needed for R99 and REL-4 Other comments:

### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

#### Application protocol 11

When involved in GSM administrative management operations, the SIM interfaces with appropriate terminal equipment. These operations are outside the scope of the present document.

When involved in GSM network operations the SIM interfaces with an ME with which messages are exchanged. A message can be a command or a response.

[...]

During the GSM network operation phase, the ME plays the role of the master and the SIM plays the role of the slave.

Some procedures at the SIM/ME interface require MMI interactions. The descriptions hereafter do not intend to infer any specific implementation of the corresponding MMI. When MMI interaction is required, it is marked "MMI" in the list given below.

Some procedures are not clearly user dependent. They are directly caused by the interaction of the MS and the network. Such procedures are marked "NET" in the list given below.

ME

Some procedures are automatically initiated by the ME. They are marked "ME" in the list given below.

The list of procedures at the SIM/ME interface in GSM network operation is as follows:

General Procedures:

Reading an EF

-	Updating an EF	ME
-	Increasing an EF	ME
SIM mar	nagement procedures:	
-	SIM initialization	ME
-	GSM session termination	ME
-	Emergency call codes request	ME
-	Extended language preference request	ME
-	Language preference request	ME
-	Administrative information request	ME
-	SIM service table request	ME
-	SIM phase request	ME
CHV rel	ated procedures:	
-	CHV verification	MMI
-	CHV value substitution	MMI
-	CHV disabling	MMI
-	CHV enabling	MMI
-	CHV unblocking	MMI
GSM sec	curity related procedures:	
-	GSM algorithms computation	NET
-	IMSI request	NET
-	Access control information request	NET
-	HPLMN search period request	NET
-	Location Information	NET
-	GPRS Location Information	NET
-	Cipher key	NET
<u>-</u>	GPRS Cipher key	NET
-	BCCH information	NET
_	Forbidden PI MN information	NFT

Forbidden PLMN information

### 11.2.1 SIM initialization

After SIM activation (see subclause 4.3.2), the ME selects the Dedicated File  $DF_{GSM}$  and optionally attempts to select  $EF_{ECC}$ . If  $EF_{ECC}$  is available, the ME requests the emergency call codes.

[...]

MEs without FDN capability and without Call control by SIM facility shall not rehabilitate  $EF_{IMSI}$  and/or  $EF_{LOCI}$  and therefore have no access to these EFs. GSM operation will therefore be prohibited.

It is these mechanisms which are used for control of services n°3 and n°31 by the use of SIMs for these services which always invalidate these two EFs at least before the next command following selection of either EF.

NOTE: When FDN and BDN are both enabled, and if the ME supports FDN but does not support the Call control by SIM facility, the rehabilitation of EF<sub>IMSI</sub> and EF<sub>LOCI</sub> will not be successful because of a restriction mechanism of the REHABILITATE command linked to the BDN feature.

When EF<sub>IMSI</sub> and EF<sub>LOCI</sub> are successfully rehabilitated, if the FDN capability procedure indicates that:

- i) FDN is allocated and activated in the SIM; and FDN is set "enabled", i.e. ADN "invalidated" or not activated; and the ME supports FDN; or
- ii) FDN is allocated and activated in the SIM; and FDN is set "disabled", i.e. ADN "not invalidated"; or
- iii) FDN is not allocated or not activated;

then GSM operation shall start.

In all other cases GSM operation shall not start.

Afterwards, the ME runs the following procedures, subject to the service being supported both by the ME and the SIM:

- Administrative Information request;
- SIM Service Table request;
- IMSI request;
- Access Control request;
- HPLMN Search Period request;
- PLMN selector request;
- Location Information request;
- GPRS Location Information request;
- Cipher Key request;
- GPRS Cipher Key request;
- BCCH information request;
- Forbidden PLMN request;
- LSA information request;
- CBMID request;
- Depersonalisation Control Keys request;
- Network's indication of alerting request.

If the SIM service table indicates that the proactive SIM service is active, then from this point onwards, the ME, if it supports the proactive SIM service, shall send STATUS commands at least every 30s during idle mode as well as during calls, in order to enable the proactive SIM to respond with a command. The SIM may send proactive commands

### 11.2.2 GSM session termination

NOTE 1: This procedure is not to be confused with the deactivation procedure in subclause 4.3.2.

The GSM session is terminated by the ME as follows:

The ME runs all the procedures which are necessary to transfer the following subscriber related information to the SIM. subject to the service being supported both by the ME and the SIM:

- Location Information update;
- GPRS Location Information update;
- Cipher Key update;
- GPRS Cipher Key update;
- BCCH information update;
- Advice of Charge increase;
- Forbidden PLMN update.

As soon as the SIM indicates that these procedures are completed, the ME/SIM link may be deactivated.

Finally, the ME deletes all these subscriber related information elements from its memory.

NOTE 2: If the ME has already updated any of the subscriber related information during the GSM Session, and the value has not changed until GSM session termination, the ME may omit the respective update procedure.

### 11.4.5 Location information

Request: The ME performs the reading procedure with  $EF_{LOCI}$ .

Update: The ME performs the updating procedure with  $\text{EF}_{\text{LOCI}}.$ 

### 11.4.6 Cipher key

Request: The ME performs the reading procedure with  $EF_{Kc}$ .

Update: The ME performs the updating procedure with  $EF_{Kc}$ .

### 11.4.x GPRS Location information

Requirement: Service n°38 "allocated and activated".

Update: The ME performs the updating procedure with EF<sub>LOCIGPRS</sub>.

### 11.4.y GPRS Cipher key

Requirement: Service n°38 "allocated and activated".

Request: The ME performs the reading procedure with EF<sub>KcGPRS</sub>.

Update: The ME performs the updating procedure with EF<sub>KcGPRS</sub>.

### 11.6.18 Image Request

Requirement: Service n°3839 "allocated and activated".

The ME sends the identification of the information to be read. The ME shall analyse the data of  $EF_{IMG}$  (subclause 10.6.1.1) to identify the files containing the image's instances. If necessary, then the ME performs READ BINARY commands on these files to assemble the complete image instance data.

# Annex D (informative): Suggested contents of the EFs at pre-personalization

If EFs have an unassigned value, it may not be clear from the main text what this value should be. This annex suggests values in these cases.

File Identification	Description	Value
'2F E2'	ICC identification	operator dependant (see 10.1.1)
'2F 05'	Extended Language preference	
'6F 05'	Language preference	'FF'
'6F 07'	IMSI	operator dependant (see 10.3.2)
'6F 20'	Ciphering key Kc	'FFFF07'
'6F 30'	PI MN selector	'FFFF'
'6F 31'	HPLMN search period	'FF'
'6F 37'	ACM maximum value	'000000' (see note 1)
'6F 38'	SIM service table	operator dependant (see 10.3.7)
'6F 39'	Accumulated call meter	000000
'6F 3F'	Group identifier level 1	operator dependant
'6F 3F'	Group identifier level 2	operator dependant
'6F 41'	PUCT	'FFFFF0000'
'6F 45'	CBMI	'FFFF'
'6F 46'	Service provider name	'FFFF'
'6F 48'	CBMID	'FFFF'
'6F 49'	Service Dialling Numbers	'FFFF'
'6F 74'	BCCH	'FFFF'
'6F 78'	Access control class	operator dependant (see 10.1.123.15)
'6F 7B'	Forbidden PLMNs	'FFFF'
'6F 7E	Location information	'FFFFFFF xxxxx 0000 FF 01'
		(see note 2)
'6F AD'	Administrative data	operator dependant (see 10.3.158)
'6F AE'	Phase identification	see 10.3.16
'6F 3A'	Abbreviated dialling numbers	'FFFF'
'6F 3B'	Fixed dialling numbers	'FFFF'
'6F 3C'	Short messages	'00FFFF'
'6F 3D'	Capability configuration parameters	'FFFF'
'6F 40'	MSISDN storage	'FFFF'
'6F 42'	SMS parameters	'FFFF'
'6F 43'	SMS status	'FFFF'
'6F 44'	Last number dialled	'FFFF'
'6F 47'	Short message status reports	'00FFFF'
'6F 4A'	Extension 1	'FFFF'
'6F 4B'	Extension 2	'FFFF'
'6F 4C'	Extension 3	'FFFF'
'6F 4D'	Barred dialling numbers	'FFFF'
'6F 4E'	Extension 4	'FFFF'
'6F 51'	Network's indication of alerting	'FFFF'
'6F 52'	GPRS Ciphering key KcGPRS	'FFFF07'
'6F 53'	GPRS Location Information	'FFFFFFF FFFFFF xxxxx 0000 FF 01'
'6F 54'	SetUpMenu Elements	operator dependant (see 10.3.34)
'4F 20'	Image data	'00FFFF'
'4F 30'	SoLSA Access Indicator)	'00FFFF'
'4F 31'	SoLSA LSA List	'FFFF'

NOTE 1: The value '000000' means that ACMmax is not valid, i.e. there is no restriction on the ACM. When assigning a value to ACMmax, care should be taken not to use values too close to the maximum possible value 'FFFFFF', because the INCREASE command does not update EF<sub>ACM</sub> if the units to be added would exceed 'FFFFFF'. This could affect the call termination procedure of the Advice of Charge function.

NOTE 2: xxxxxx stands for any valid MCC and MNC, coded according to GSM 04.08 [15].

	CR-Form-v3						
CHANGE REQUEST							
ж	11.11 CR A130 <sup>#</sup> rev - <sup>#</sup> Current version: 8.5.0 <sup>#</sup>						
For <u>HELP</u> on u	sing this form, see bottom of this page or look at the pop-up text over the $\Re$ symbols.						
Proposed change a	affects: # (U)SIM X ME/UE X Radio Access Network Core Network						
Title: #	Collection of corrections to TS 11.11						
Source: #	Т3						
Work item code: %	TEI Date: 육 06.11.01						
Category: #	F Release: # R99						
	Use one of the following categories:Use one of the following releases:F (essential correction)2(GSM Phase 2)A (corresponds to a correction in an earlier release)R96(Release 1996)B (Addition of feature),R97(Release 1997)C (Functional modification of feature)R98(Release 1998)D (Editorial modification)R99(Release 1999)Detailed explanations of the above categories can be found in 3GPP TR 21.900.REL-4(Release 5)						
Reason for change	: # The specification contains some inconsistencies which have to be corrected.						
Summary of chang	<ul> <li>The following corrections are proposed:</li> <li>The file size calculation of EF<sub>OPLMNWACT</sub> is corrected. One element in the file has a size of 5 bytes instead of 4 bytes.</li> <li>The specification of EF<sub>CMI</sub> contains the term PIN1 which has to be replaced by CHV1 in order to be consistent with the rest of the document.</li> <li>Addition of the procedures for EF(LOCIGPRS) and EF(KcGPRS)</li> <li>Correction of the Image Request procedure that doesn't concern GPRS (service n°38).</li> <li>Correction of references to wrong clauses contained in Annex D.</li> <li>Reference to note 2 added for the EF(LOCIGPRS) in Annex D.</li> </ul>						
Consequences if not approved:	Inconsistencies in the specification.						
Clauses affected:							
Other specs affected:	#       Other core specifications       #         Test specifications       O&M Specifications						
Other comments:	¥						

# 10.3.36 EF<sub>OPLMNWACT</sub> (Operator controlled PLMN Selector with Access Technology)

This EF contains coding for n PLMNs, where n is at least eight. This information, determined by the operator, defines the preferred PLMNs of the operator in priority order. The EF also contains the Access Technologies for each PLMN in this list (see TS 23.122 [51]).

Identifier: '6F61'		Structure: transparent			Optional
File size: $45n (n \ge 8)$ by		es	Update activity: low		r: low
Access Conditions	5:				
READ		CHV1	l		
UPDATE		ADM			
INVALIDA	TE	ADM			
REHABILI	TATE	ADM			
Bytes	Description			M/O	Length
1 to 3	1 <sup>st</sup> PLMN (highest priority)		М	3 bytes	
4 to 5	1 <sup>st</sup> PLMN Access Technology Identifier		М	2 bytes	
<u>1</u>	<u>i</u>				
<u>3</u> 6 to <u>3</u> 8	8 <sup>th</sup> 2 <sup>nd</sup> PLMN		<u>M</u> O	3 bytes	
<u>3</u> 9 to <u>14</u> 0	8 <sup>th</sup> 2 <sup>nd</sup> PLMN	8 <sup>th</sup> 2 <sup>nd</sup> PLMN Access Technology Identifier		<u>M</u> O	2 bytes
<u>41 to 43</u>	9 <sup>th</sup> PLMN	9 <sup>th</sup> PLMN			<u>3 bytes</u>
<u>44 to 45</u>	9 <sup>th</sup> PLMN Access Technology Identifier			<u>0</u>	<u>2 bytes</u>
:		:			
(5n-4) to (5n-2)	N <sup>th</sup> PLMN (Io	N <sup>th</sup> PLMN (lowest priority)			3 bytes
(5n-1) to 5n	N <sup>th</sup> PLMN Ac	cess Techno	logy Identifier	0	2 bytes

### - PLMN

Contents:

Mobile Country Code (MCC) followed by the Mobile Network Code (MNC).

Coding:

according to TS 24.008 [47].

- Access Technologies

Contents: The Access Technologies of a PLMN that the MS will assume when searching for a listed PLMN.

Coding: See  $EF_{PLMNwAcT}$  for coding.

## 10.5.16 EF<sub>CMI</sub> (Comparison Method Information)

This EF contains a list of Comparison Method Identifiers and alpha-tagging associated with BDN entries (see  $EF_{BDN}$ ). This EF shall always be present if  $EF_{BDN}$  is present.

Identifier: '6F58'		Structure: linear fixed			Optional
Record	length: X+1 byte	es	Update activity: low		low
Access Condition READ UPDATE INVALID REHABIL	ns: ATE LITATE	<mark>pinc</mark> Adm Adm Adm	<u>HV</u> 1		
Bytes		Descripti	on	M/O	Length
1 to X	Alpha Identifie		М	X bytes	
X+1	Comparison Method Identifier			Μ	1 byte

- Alpha Identifier

Contents:

Alpha-tagging of the associated Comparison Method Identifier

Coding:

Same as the alpha identifier in  $EF_{ADN}$ .

- Comparison Method Identifier

Contents:

this byte describes the comparison method which is associated with a BDN record. Its interpretation is not specified but it shall be defined by the operators implementing the BDN feature.

Coding:

'00' - 'FE' = Comparison Method Identifier.

'FF' = Default method.

#### Application protocol 11

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When involved in GSM network operations the SIM interfaces with an ME with which messages are exchanged. A message can be a command or a response.

[...]

During the GSM network operation phase, the ME plays the role of the master and the SIM plays the role of the slave.

Some procedures at the SIM/ME interface require MMI interactions. The descriptions hereafter do not intend to infer any specific implementation of the corresponding MMI. When MMI interaction is required, it is marked "MMI" in the list given below.

Some procedures are not clearly user dependent. They are directly caused by the interaction of the MS and the network. Such procedures are marked "NET" in the list given below.

ME

NET

Some procedures are automatically initiated by the ME. They are marked "ME" in the list given below.

The list of procedures at the SIM/ME interface in GSM network operation is as follows:

General Procedures:

Reading an EF

-	Updating an EF	ME
-	Increasing an EF	ME
SIM man	agement procedures:	
-	SIM initialization	ME
-	GSM session termination	ME
-	Emergency call codes request	ME
-	Extended language preference request	ME
-	Language preference request	ME
-	Administrative information request	ME
-	SIM service table request	ME
-	SIM phase request	ME
CHV rela	ated procedures:	
-	CHV verification	MMI
-	CHV value substitution	MMI
-	CHV disabling	MMI
-	CHV enabling	MMI
-	CHV unblocking	MMI
GSM sec	urity related procedures:	
-	GSM algorithms computation	NET
-	IMSI request	NET
-	Access control information request	NET
-	HPLMN search period request	NET
-	Location Information	NET
-	GPRS Location Information	NET
-	Cipher key	NET
<u>-</u>	GPRS Cipher key	NET
-	BCCH information	NET

Forbidden PLMN information

### 11.2.1 SIM initialization

After SIM activation (see subclause 4.3.2), the ME selects the Dedicated File  $DF_{GSM}$  and optionally attempts to select  $EF_{ECC}$ . If  $EF_{ECC}$  is available, the ME requests the emergency call codes.

[...]

MEs without FDN capability and without Call control by SIM facility shall not rehabilitate  $EF_{IMSI}$  and/or  $EF_{LOCI}$  and therefore have no access to these EFs. GSM operation will therefore be prohibited.

It is these mechanisms which are used for control of services n°3 and n°31 by the use of SIMs for these services which always invalidate these two EFs at least before the next command following selection of either EF.

NOTE: When FDN and BDN are both enabled, and if the ME supports FDN but does not support the Call control by SIM facility, the rehabilitation of  $EF_{IMSI}$  and  $EF_{LOCI}$  will not be successful because of a restriction mechanism of the REHABILITATE command linked to the BDN feature.

When EF<sub>IMSI</sub> and EF<sub>LOCI</sub> are successfully rehabilitated, if the FDN capability procedure indicates that:

- i) FDN is allocated and activated in the SIM; and FDN is set "enabled", i.e. ADN "invalidated" or not activated; and the ME supports FDN; or
- ii) FDN is allocated and activated in the SIM; and FDN is set "disabled", i.e. ADN "not invalidated"; or
- iii) FDN is not allocated or not activated;

then GSM operation shall start.

In all other cases GSM operation shall not start.

Afterwards, the ME runs the following procedures, subject to the service being supported both by the ME and the SIM:

- Administrative Information request;
- SIM Service Table request;
- IMSI request;
- Access Control request;
- HPLMN Search Period request;
- PLMN selector request;
- Location Information request;
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- Cipher Key request;
- GPRS Cipher Key request;
- BCCH information request;
- Forbidden PLMN request;
- LSA information request;
- CBMID request;
- Depersonalisation Control Keys request;
- Network's indication of alerting request.

If the SIM service table indicates that the proactive SIM service is active, then from this point onwards, the ME, if it supports the proactive SIM service, shall send STATUS commands at least every 30s during idle mode as well as during calls, in order to enable the proactive SIM to respond with a command. The SIM may send proactive commands

### 11.2.2 GSM session termination

NOTE 1: This procedure is not to be confused with the deactivation procedure in subclause 4.3.2.

The GSM session is terminated by the ME as follows:

The ME runs all the procedures which are necessary to transfer the following subscriber related information to the SIM. subject to the service being supported both by the ME and the SIM:

- Location Information update;
- GPRS Location Information update;
- Cipher Key update;
- GPRS Cipher Key update;
- BCCH information update;
- Advice of Charge increase;
- Forbidden PLMN update.

As soon as the SIM indicates that these procedures are completed, the ME/SIM link may be deactivated.

Finally, the ME deletes all these subscriber related information elements from its memory.

NOTE 2: If the ME has already updated any of the subscriber related information during the GSM Session, and the value has not changed until GSM session termination, the ME may omit the respective update procedure.

### 11.4.5 Location information

Request: The ME performs the reading procedure with  $EF_{LOCI}$ .

Update: The ME performs the updating procedure with  $\text{EF}_{\text{LOCI}}.$ 

### 11.4.6 Cipher key

Request: The ME performs the reading procedure with  $EF_{Kc}$ .

Update: The ME performs the updating procedure with  $EF_{Kc}$ .

### 11.4.x GPRS Location information

Requirement: Service n°38 "allocated and activated".

Update: The ME performs the updating procedure with EF<sub>LOCIGPRS</sub>.

### 11.4.y GPRS Cipher key

Requirement: Service n°38 "allocated and activated".

Request: The ME performs the reading procedure with EF<sub>KcGPRS</sub>.

Update: The ME performs the updating procedure with EF<sub>KcGPRS</sub>.

### 11.6.18 Image Request

Requirement: Service n°3839 "allocated and activated".

The ME sends the identification of the information to be read. The ME shall analyse the data of  $EF_{IMG}$  (subclause 10.6.1.1) to identify the files containing the image's instances. If necessary, then the ME performs READ BINARY commands on these files to assemble the complete image instance data.

# Annex D (informative): Suggested contents of the EFs at pre-personalization

If EFs have an unassigned value, it may not be clear from the main text what this value should be. This annex suggests values in these cases.

File Identification	Description	Value
'2FE2'	ICC identification	operator dependant (see 10.1.1)
'2F05'	Extended Language preference	'FFFF'
'6F05'	Language preference	'FF'
'6F07'	IMSI	operator dependant (see 10.3.2)
'6F20'	Ciphering key Kc	'FFFF07'
'6F30'	PLMN selector	'FFFF'
'6F31'	HPLMN search period	'FF'
'6F37'	ACM maximum value	'000000' (see note 1)
'6F38'	SIM service table	operator dependant (see 10.3.7)
'6F39'	Accumulated call meter	'000000'
'6F3F'	Group identifier level 1	operator dependant
'6F3F'	Group identifier level 2	operator dependant
'6F41'	PUCT	'FFFFFF0000'
'6F45'	CBMI	'FFFF'
'6F46'	Service provider name	'FFFF'
'6F48'	CBMID	'FFFF'
'6F49'	Service Dialling Numbers	'FFFF'
'6F74'	BCCH information	'FF FF'
'6F78'	Access control class	operator dependent (see 10 <del>1 12</del> 3 15)
'6F7B'	Forbidden PLMNs	'FF FF'
'6F7F		'EEEEEEE xxxxxx 0000 EE 01'
017E		(see note 2)
'6FAD'	Administrative data	operator dependent (see 10.3.158)
'6FAF'	Phase identification	see 10.3.16
'6F3A'	Abbreviated dialling numbers	'FFFF'
'6F3B'	Fixed dialling numbers	'FF FF'
'6F3C'	Short messages	'00EF EF'
'6F3D'	Capability configuration parameters	'FF FF'
'6F40'	MSISDN storage	'FF FF'
'6F42'	SMS parameters	'FF FF'
'6F43'	SMS status	'FF FF'
'6F44'	Last number dialled	'FFFF'
'6F47'	Short message status reports	'00FFFF'
'6F4A'	Extension 1	'FF FF'
'6F4B'	Extension 2	'FFFF'
'6F4C'	Extension 3	'FF FF'
'6F4D'	Barred dialling numbers	'FF FF'
'6F4F'	Extension 4	'FFFF'
'6F4F'	Extended capability configuration parameters	'FFFF'
'6F51'	Network's indication of alerting	'FFFF'
'6F52'	GPRS Ciphering key KcGPRS	'FFFF07'
'6E53'	GPRS Location Information	'FEFEFEFE FEFEFE xxxxxx 0000 FE 01'
0100		(see note 2)
'6F54'	SetUpMenu Elements	operator dependant (see 10.3.34)
'6F58'	Comparison method information	'FFFF'
'6F60'	User controlled PLMN Selector with Access	'FFFFF0000FFFFF0000'
	Technology	
'6F61'	Operator controlled PLMN Selector with	'FFFFF0000FFFFF0000'
-	Access Technology	
'6F62'	HPLMN Selector with Access Technology	'FFFFFF0000FFFFFF0000'
'6F63'	CPBCCH information	'FFFF'
'6F64'	Investigation Scan	'00'
'6F65'	RPLMN last used Access Technology	'0000'
'4F20'	Image data	'00FFFF'
'4F30'	Sol SA Access Indicator)	'00FFFF'

'4F31'	SoLSA LSA List	'FFFF'
N		•

- NOTE 1: The value '000000' means that ACMmax is not valid, i.e. there is no restriction on the ACM. When assigning a value to ACMmax, care should be taken not to use values too close to the maximum possible value 'FFFFFF', because the INCREASE command does not update  $EF_{ACM}$  if the units to be added would exceed 'FFFFFF'. This could affect the call termination procedure of the Advice of Charge function.
- NOTE 2: xxxxx stands for any valid MCC and MNC, coded according to TS 04.08 [15].

	CR-Form-v3						
CHANGE REQUEST							
¥	<b>51.011</b> CR 004 <sup>#</sup> rev _ <sup>#</sup> Current version: <b>4.2.0</b> <sup>#</sup>						
For <u>HELP</u> on u	sing this form, see bottom of this page or look at the pop-up text over the $st$ symbols.						
Proposed change a	affects: ¥ (U)SIM X ME/UE X Radio Access Network Core Network						
Title: Ж	Collection of corrections to TS 51.011						
Source: ж	Т3						
Work item code: अ	TEI Date: # 06.11.01						
Category: ж	F Release: # REL-4						
	Use one of the following categories:Use one of the following releases:F (essential correction)2A (corresponds to a correction in an earlier release)R96B (Addition of feature),R97C (Functional modification of feature)R98D (Editorial modification)R99D tetailed explanations of the above categories canREL-4be found in 3GPP TR 21.900.REL-5						
Reason for change	: # The specification contains some inconsistencies which have to be corrected.						
Summary of chang	<ul> <li>The following corrections are proposed:</li> <li>The file size calculation of EF<sub>OPLMNwAcT</sub> is corrected. One element in the file has a size of 5 bytes instead of 4 bytes.</li> <li>The specification of EF<sub>CMI</sub> contains the term PIN1 which has to be replaced by CHV1 in order to be consistent with the rest of the document.</li> <li>Addition of the procedures for EF(LOCIGPRS) and EF(KcGPRS)</li> <li>Correction of the Image Request procedure that doesn't concern GPRS (service n°38).</li> <li>Correction of references to wrong clauses contained in Annex D.</li> <li>Reference to note 2 added for the EF(LOCIGPRS) in Annex D.</li> </ul>						
Consequences if not approved:	# Inconsistencies in the specification.						
Clauses affected:	# 10.3.36, 10.5.16, 11, 11.2.1, 11.2.2, 11.4.x (new clause), 11.4.y (new clause),						
	11.6.18, Annex D						
Other specs affected:	%       Other core specifications       %         Test specifications       O&M Specifications						
Other comments:	¥						

# 10.3.36 EF<sub>OPLMNWACT</sub> (Operator controlled PLMN Selector with Access Technology)

This EF contains coding for n PLMNs, where n is at least eight. This information, determined by the operator, defines the preferred PLMNs of the operator in priority order. The EF also contains the Access Technologies for each PLMN in this list (see TS 23.122 [51]).

Identifier: '6F61'		Structure: transparent			Optional
File size:	File size: $54n (n \ge 8)$ byte		Update activity: low		: low
Access Conditions	Access Conditions:				
READ		CHV1			
UPDATE		ADM			
INVALIDA	TE	ADM			
REHABILI	TATE	ADM			
				T	
Bytes	Description			M/O	Length
1 to 3	1 <sup>st</sup> PLMN (highest priority)			М	3 bytes
4 to 5	1 <sup>st</sup> PLMN Access Technology Identifier		М	2 bytes	
<u>1</u>	<u>i</u>				
<u>3</u> 6 to <u>3</u> 8	8 <sup>th</sup> 2 <sup>nd</sup> PLMN		<u>M</u> O	3 bytes	
<u>3</u> 9 to <u>14</u> 0	8 <sup>th</sup> 2 <sup>nd</sup> PLMN	8 <sup>th</sup> 2 <sup>nd</sup> PLMN Access Technology Identifier			2 bytes
<u>41 to 43</u>	9 <sup>th</sup> PLMN			<u>0</u>	<u>3 bytes</u>
<u>44 to 45</u>	9 <sup>th</sup> PLMN Access Technology Identifier			<u>0</u>	<u>2 bytes</u>
:		:			
(5n-4) to (5n-2)	N <sup>th</sup> PLMN (Io	N <sup>th</sup> PLMN (lowest priority)			3 bytes
(5n-1) to 5n	N <sup>th</sup> PLMN Ac	cess Techno	logy Identifier	0	2 bytes

### - PLMN

Contents:

Mobile Country Code (MCC) followed by the Mobile Network Code (MNC).

Coding:

according to TS 24.008 [47].

- Access Technologies

Contents: The Access Technologies of a PLMN that the MS will assume when searching for a listed PLMN.

Coding: See  $EF_{PLMNwAcT}$  for coding.

## 10.5.16 EF<sub>CMI</sub> (Comparison Method Information)

This EF contains a list of Comparison Method Identifiers and alpha-tagging associated with BDN entries (see  $EF_{BDN}$ ). This EF shall always be present if  $EF_{BDN}$  is present.

Identifier: '6F58'		Structure: linear fixed			Optional
Record	length: X+1 byte	es	Update activity: low		
Access Condition READ UPDATE INVALID REHABI	ns: ATE LITATE	<mark>Pin</mark> C ADM ADM ADM	<u>HV</u> 1		
Bytes		Descripti	on	M/O	Length
1 to X	Alpha Identifier			М	X bytes
X+1	Comparison Method Identifier			М	1 byte

- Alpha Identifier

Contents:

Alpha-tagging of the associated Comparison Method Identifier

Coding:

Same as the alpha identifier in  $EF_{ADN}$ .

- Comparison Method Identifier

Contents:

this byte describes the comparison method which is associated with a BDN record. Its interpretation is not specified but it shall be defined by the operators implementing the BDN feature.

Coding:

'00' - 'FE' = Comparison Method Identifier.

'FF' = Default method.

# 11 Application protocol

When involved in GSM administrative management operations, the SIM interfaces with appropriate terminal equipment. These operations are outside the scope of the present document.

When involved in GSM network operations the SIM interfaces with an ME with which messages are exchanged. A message can be a command or a response.

- A GSM command/response pair is a sequence consisting of a command and the associated response.
- A GSM procedure consists of one or more GSM command/response pairs which are used to perform all or part of an application-oriented task. A procedure shall be considered as a whole, that is to say that the corresponding task is achieved if and only if the procedure is completed. The ME shall ensure that, when operated according to the manufacturer's manual, any unspecified interruption of the sequence of command/response pairs which realize the procedure, leads to the abortion of the procedure itself.
- A GSM session of the SIM in the GSM application is the interval of time starting at the completion of the SIM initialization procedure and ending either with the start of the GSM session termination procedure, or at the first instant the link between the SIM and the ME is interrupted.

During the GSM network operation phase, the ME plays the role of the master and the SIM plays the role of the slave.

The SIM shall execute all GSM and SIM Application Toolkit commands or procedures in such a way as not to jeopardise, or cause suspension, of service provisioning to the user. This could occur if, for example, execution of the RUN GSM ALGORITHM is delayed in such a way which would result in the network denying or suspending service to the user.

Some procedures at the SIM/ME interface require MMI interactions. The descriptions hereafter do not intend to infer any specific implementation of the corresponding MMI. When MMI interaction is required, it is marked "MMI" in the list given below.

Some procedures are not clearly user dependent. They are directly caused by the interaction of the MS and the network. Such procedures are marked "NET" in the list given below.

Some procedures are automatically initiated by the ME. They are marked "ME" in the list given below.

The list of procedures at the SIM/ME interface in GSM network operation is as follows:

General Procedures:

-	Reading an EF	ME
-	Updating an EF	ME
-	Increasing an EF	ME
SIM n	nanagement procedures:	
-	SIM initialization	ME
-	GSM session termination	ME
-	Emergency call codes request	ME
-	Extended language preference request	ME
-	Language preference request	ME
-	Administrative information request	ME
-	SIM service table request	ME
-	SIM phase request	ME

CHV related procedures:

-	CHV verification	MMI
-	CHV value substitution	MMI
-	CHV disabling	MMI
-	CHV enabling	MMI
-	CHV unblocking	MMI
GSM	security related procedures:	
-	GSM algorithms computation	NET
-	IMSI request	NET
-	Access control information request	NET
-	HPLMN search period request	NET
-	Location Information	NET
	GPRS Location Information	NET
-	Cipher key	NET
-	GPRS Cipher key	<u>NET</u>
-	BCCH information	NET
-	Forbidden PLMN information	NET
-	LSA information	NET

### 11.2.1 SIM initialization

After SIM activation (see clause 4.3.2), the ME selects the Dedicated File  $DF_{GSM}$  and optionally attempts to select  $EF_{ECC}$  If  $EF_{ECC}$  is available, the ME requests the emergency call codes.

The ME requests the Extended Language Preference. The ME only requests the Language Preference  $(EF_{LP})$  if at least one of the following conditions holds:

- EF<sub>ELP</sub> is not available;
- EF<sub>ELP</sub> does not contain an entry corresponding to a language specified in ISO 639[30];
- the ME does not support any of the languages in  $EF_{ELP}$ .

If both EFs are not available or none of the languages in the EFs is supported then the ME selects a default language. It then runs the CHV1 verification procedure.

If the CHV1 verification procedure is performed successfully, the ME then runs the SIM Phase request procedure.

For a SIM requiring PROFILE DOWNLOAD, then the ME shall perform the PROFILE DOWNLOAD procedure in accordance with TS 11.14 [27]. When BDN is enabled on a SIM, the PROFILE DOWNLOAD procedure is used to indicate to the SIM whether the ME supports the "Call Control by SIM" facility. If so, then the SIM is able to allow the REHABILITATE command to rehabilitate  $EF_{IMSI}$  and  $EF_{LOCI}$ .

If the ME detects a SIM of Phase 1, it shall omit the following procedures relating to FDN and continue with the Administrative Information request. The ME may omit procedures not defined in Phase 1 such as HPLMN Search Period request.

For a SIM of Phase 2 or greater, GSM operation shall only start if one of the two following conditions is fulfilled:

- if EF<sub>IMSI</sub> and EF<sub>LOCI</sub> are not invalidated, the GSM operation shall start immediately;
- if  $EF_{IMSI}$  and  $EF_{LOCI}$  are invalidated, the ME rehabilitates these two EFs.

MEs without FDN capability but with Call control by SIM facility shall not rehabilitate  $EF_{IMSI}$  and/or  $EF_{LOCI}$  if FDN is enabled in the SIM and therefore have no access to these EFs. GSM operation will therefore be prohibited;

MEs without FDN capability and without Call control by SIM facility shall not rehabilitate  $EF_{IMSI}$  and/or  $EF_{LOCI}$  and therefore have no access to these EFs. GSM operation will therefore be prohibited.

It is these mechanisms which are used for control of services  $n^{\circ}3$  and  $n^{\circ}31$  by the use of SIMs for these services which always invalidate these two EFs at least before the next command following selection of either EF.

NOTE: When FDN and BDN are both enabled, and if the ME supports FDN but does not support the Call control by SIM facility, the rehabilitation of  $EF_{IMSI}$  and  $EF_{LOCI}$  will not be successful because of a restriction mechanism of the REHABILITATE command linked to the BDN feature.

When EF<sub>IMSI</sub> and EF<sub>LOCI</sub> are successfully rehabilitated, if the FDN capability procedure indicates that:

- i) FDN is allocated and activated in the SIM; and FDN is set "enabled", i.e. ADN "invalidated" or not activated; and the ME supports FDN; or
- ii) FDN is allocated and activated in the SIM; and FDN is set "disabled", i.e. ADN "not invalidated"; or
- iii) FDN is not allocated or not activated;

then GSM operation shall start.

In all other cases GSM operation shall not start.

Afterwards, the ME runs the following procedures, subject to the service being supported both by the ME and the SIM:

- Administrative Information request;
- SIM Service Table request;

- IMSI request;
- Access Control request;
- HPLMN Search Period request;
- Investigation scan request;
- PLMN selector request;
- HPLMN Selector with Access Technology request;
- User controlled PLMN Selector with Access Technology request;
- Operator controlled PLMN Selector with Access Technology request;
- RPLMN last used Access Technology request;
- Location Information request;
- GPRS Location Information request;
- Cipher Key request;
- GPRS Cipher Key request;
- BCCH information request;
- CPBCCH information request;
- Forbidden PLMN request;
- LSA information request;
- CBMID request;
- Depersonalisation Control Keys request;
- Network's indication of alerting request.

If the SIM service table indicates that the proactive SIM service is active, then from this point onwards, the ME, if it supports the proactive SIM service, shall send STATUS commands at least every 30s during idle mode as well as during calls, in order to enable the proactive SIM to respond with a command. The SIM may send proactive commands (see TS 11.14 [27]), including a command to change the interval between STATUS commands from the ME, when in idle mode. In-call requirements for STATUS for SIM Presence Detection are unchanged by this command.

After the SIM initialization has been completed successfully, the MS is ready for a GSM session.

### 11.2.2 GSM session termination

NOTE 1: This procedure is not to be confused with the deactivation procedure in clause 4.3.2.

The GSM session is terminated by the ME as follows.

The ME runs all the procedures which are necessary to transfer the following subscriber related information to the SIM. subject to the service being supported both by the ME and the SIM:

- Location Information update;
- GPRS Location Information update;
- Cipher Key update;
- GPRS Cipher Key update;
- BCCH information update;
- CPBCCH information update;
- RPLMN last used Access Technology update;
- Advice of Charge increase;
- Forbidden PLMN update.

As soon as the SIM indicates that these procedures are completed, the ME/SIM link may be deactivated.

Finally, the ME deletes all these subscriber related information elements from its memory.

NOTE 2: If the ME has already updated any of the subscriber related information during the GSM Session, and the value has not changed until GSM session termination, the ME may omit the respective update procedure.

### 11.4.5 Location information

Request:	The ME performs the reading procedure with $\mbox{EF}_{\mbox{LOCI}}$
Update:	The ME performs the updating procedure with $EF_{LOCI}$ .

### 11.4.6 Cipher key

Request: The ME performs the reading procedure with  $EF_{Kc}$ .

Update: The ME performs the updating procedure with  $EF_{Kc}$ .

### 11.4.x GPRS Location information

Requirement: Service n°38 "allocated and activated".

Request: The ME performs the reading procedure with EF<sub>LOCIGPRS</sub>.

Update: The ME performs the updating procedure with EF<sub>LOCIGPRS</sub>.

### 11.4.y GPRS Cipher key

Requirement: Service n°38 "allocated and activated".

Request: The ME performs the reading procedure with EF<sub>KcGPRS</sub>.

<u>Update:</u> The ME performs the updating procedure with EF<sub>KcGPRS</sub>.

## 11.6.18 Image Request

Requirement: Service n°3839 "allocated and activated".

The ME sends the identification of the information to be read. The ME shall analyse the data of  $EF_{IMG}$  (clause 10.6.1.1) to identify the files containing the image's instances. If necessary, then the ME performs READ BINARY commands on these files to assemble the complete image instance data.

# Annex D (informative): Suggested contents of the EFs at pre-personalization

If EFs have an unassigned value, it may not be clear from the main text what this value should be. This annex suggests values in these cases.

File Identification Description		Value		
'2FE2'	ICC identification	operator dependant (see 10.1.1)		
'2F05'	Extended Language preference	'FFFF'		
'6F05'	Language preference	'FF'		
'6F07'	IMSI	operator dependant (see 10.3.2)		
'6F20'	Ciphering key Kc	'FFFF07'		
'6F30'	PLMN selector	'FFFF'		
'6F31'	HPLMN search period	'FF'		
'6F37'	ACM maximum value	'000000' (see note 1)		
'6F38'	SIM service table	operator dependant (see 10.3.7)		
'6F39'	Accumulated call meter	'000000'		
'6F3E'	Group identifier level 1	operator dependant		
'6F3F'	Group identifier level 2	operator dependant		
'6F41'	PUCT	'FFFFF0000'		
'6F45'	CBMI	'FFFF'		
'6F46'	Service provider name	'FFFF'		
'6F48'	CBMID	'FFFF'		
'6F49'	Service Dialling Numbers	'FFFF'		
'6F74'	BCCH information	'FFFF'		
'6F78'	Access control class	operator dependant (see 10.1.123.15)		
'6F7B'	Forbidden PLMNs	'FFFF'		
'6F7E	Location information	'FFFFFFF xxxxx 0000 FF 01'		
		(see note 2)		
'6FAD'	Administrative data	operator dependant (see 10.3.158)		
'6FAE'	Phase identification	see 10.3.16		
'6F3A'	Abbreviated dialling numbers	'FFFF'		
'6F3B'	Fixed dialling numbers	'FFFF'		
'6F3C'	Short messages	'00FFFF'		
'6F3D'	Capability configuration parameters	'FFFF'		
'6F40'	MSISDN storage	'FFFF'		
'6F42'	SMS parameters	'FFFF'		
'6F43'	SMS status	'FFFF'		
'6F44'	Last number dialled	'FFFF'		
'6F47'	Short message status reports	'00FFFF'		
'6F4A'	Extension 1			
'6F4B'	Extension 2			
'6F4C'	Extension 3			
'6F4D'	Barred dialling numbers			
6F4E	Extension 4			
6F4F	Extended capability configuration parameters			
0F51	ODD. Ciphering key KeODD.			
0F52	GPRS Ciphening key KCGPRS			
0F03	GPRS Location information	(see note 2)		
'6F54'	SetUpMenu Elements	operator dependant (see 10.3.34)		
'6F58'	Comparison method information	'FFFF'		
'6F60'	User controlled PLMN Selector with Access Technology	'FFFFFF0000FFFFFF0000'		
'6F61'	Operator controlled PLMN Selector with Access Technology	'FFFFFF0000FFFFFF0000'		
'6F62'	HPLMN Selector with Access Technology	'FFFFF0000FFFFF0000'		
'6F63'	CPBCCH information	'FFFF'		
'6F64'	Investigation Scan	'00'		
'6F65'	RPLMN last used Access Technology	'0000'		
'4F20'	Image data	'00FFFF'		
'4F30'	Sol SA Access Indicator)	'00FEFE'		

File Identification	Description	Value
'4F31'	SoLSA LSA List	'FFFF'
'6FC5'	PLMN Network Name	Operator dependant
'6FC6'	Operator PLMN List	Operator dependant
'6FC7'	Mailbox Dialling Numbers	Operator dependant
'6FC8'	Extension 6	'00 FFFF'
'6FC9'	Mailbox Identifier	Operator dependant
'6FCA'	Message Waiting Indication Status	'00 00 00 00 00'
'6FCB'	Call Forwarding Indication Status	'xx 00 FFFF'
'6FCC'	Extension 7	'00 FFFF'

NOTE 1: The value '000000' means that ACMmax is not valid, i.e. there is no restriction on the ACM. When assigning a value to ACMmax, care should be taken not to use values too close to the maximum possible value 'FFFFFF', because the INCREASE command does not update  $EF_{ACM}$  if the units to be added would exceed 'FFFFFF'. This could affect the call termination procedure of the Advice of Charge function.

NOTE 2: xxxxxx stands for any valid MCC and MNC, coded according to TS 04.08 [15].

## Tdoc T3-010747

CHANGE REQUEST						
* TS S	51.011 CR 005 * rev - * Current version: 4.2.0 *					
For <u>HELP</u> on us	ing this form, see bottom of this page or look at the pop-up text over the $lpha$ symbols.					
Proposed change a	ffects: # (U)SIM X ME/UE X Radio Access Network Core Network					
Title: ೫	Alignment of SPN feature between 2G and 3G					
Source: ೫	ТЗ					
Work item code: %	TEI Date: # 6 Nov 2001					
Category: ೫	B Release: # REL-4					
	Use one of the following categories:Use one of the following releases:F (essential correction)2(GSM Phase 2)A (corresponds to a correction in an earlier release)R96(Release 1996)B (Addition of feature),R97(Release 1997)C (Functional modification of feature)R98(Release 1998)D (Editorial modification)R99(Release 1999)Detailed explanations of the above categories canREL-4(Release 4)be found in 3GPP TR 21.900.REL-5(Release 5)					
Reason for change:	When the change to 3G 31.102 for the SPN feature was made, the corresponding change to the SIM was accidently missed. This CR aligns the SPN feature between USIM and SIM specifications.					
Summary of change	<ul> <li>Add a new file, EF<sub>SPDI</sub> to store the service provider display information</li> <li>Modify EF<sub>SPN</sub> in order to store the new display conditions</li> </ul>					
Consequences if not approved:	# Inconsistencies between the SIM and USIM for the SPN feature.					
Clauses affected:	<b>%</b> 2, 10.3.7, 10.3.11, 10.3.x(new), 10.7, 11.5.x(new), Annex D, Annex I, Annex xx(new)					
Other specs Affected:	%       Other core specifications       %         Test specifications       0&M Specifications					
Other comments:	ж					

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

 
 [55]
 ISO/IEC 8825 (1990): "Information technology; Open Systems Interconnection;

 Specification of Basic Encoding Rules for Abstract Syntax Notation One (ASN.1)"

## 10.3.7 EF<sub>sst</sub> (SIM service table)

This EF indicates which services are allocated, and whether, if allocated, the service is activated. If a service is not allocated or not activated in the SIM, the ME shall not select this service.

Identifier: '6F38' Str		ucture: transparent		Mandatory	
File size: X bytes, $X \ge 2$		Update	activity	: low	
Access Condit	tions:				
READ		CHV1	1		
UPDA	TE	ADM			
INVAL	IDATE	ADM			
REHA	BILITATE	ADM			
Bytes		Descriptio	n	M/O	Length
1	Services n°1 to	n°4		М	1 byte
2	Services n°5 to	Services n°5 to n°8			1 byte
3	Services n°9 to	n°12		0	1 byte
4	Services nº13 to	on∘16		0	1 byte
5	Services nº17 to	on∘20		0	1 byte
6	Services n°21 to	onº24		0	1 byte
7	Services n°25 to	on°28		0	1 byte
8	Services n°29 to	on°32		0	1 byte
etc.	etc.				
Х	Services (4X-3)	to (4X)		0	1 byte

-Services Contents:

Service n°1 :	CHV1 disable function
Service n°2 :	Abbreviated Dialling Numbers (ADN)
Service n°3 :	Fixed Dialling Numbers (FDN)
Service n°4 :	Short Message Storage (SMS)
Service n°5 :	Advice of Charge (AoC)
Service n°6 :	Capability Configuration Parameters (CCP)
Service n°7 :	PLMN selector
Service n°8 :	RFU
Service n°9 :	MSISDN
Service n°10:	Extension1
Service n°11:	Extension2
Service n°12:	SMS Parameters
Service n°13:	Last Number Dialled (LND)
Service n°14:	Cell Broadcast Message Identifier
Service n°15:	Group Identifier Level 1
Service n°16:	Group Identifier Level 2
Service n°17:	Service Provider Name
Service n°18:	Service Dialling Numbers (SDN)
Service n°19:	Extension3
Service n°20:	RFU
Service n°21:	VGCS Group Identifier List (EF <sub>VGCS</sub> and EF <sub>VGCSS</sub> )
Service n°22:	VBS Group Identifier List (EFVBS and EFVBSS)
Service n°23:	enhanced Multi-Level Precedence and Pre-emption Service
Service n°24:	Automatic Answer for eMLPP
Service n°25:	Data download via SMS-CB
Service n°26:	Data download via SMS-PP
Service n°27:	Menu selection
Service n°28:	Call control
Service n°29:	Proactive SIM
Service n°30:	Cell Broadcast Message Identifier Ranges
Service n°31:	Barred Dialling Numbers (BDN)
Service n°32:	Extension4
Service n°33:	De-personalization Control Keys
Service n°34:	Co-operative Network List
Service n°35:	Short Message Status Reports
Service n°36:	Network's indication of alerting in the MS
Service n°37:	Mobile Originated Short Message control by SIM
Service n°38:	GPRS
Service n°39:	Image (IMG)
Service n°40:	SoLSA (Support of Local Service Area)
Service n°41:	USSD string data object supported in Call Control
Service n°42:	RUN AT COMMAND command

Service n°43:	User controlled PLMN Selector with Access Technology
Service n 44:	Operator controlled PLMN Selector with Access Technology
Service n 45:	HPLMN Selector with Access Technology
Service n 46:	CPBCCH Information
Service n 47:	Investigation Scan
Service n°48:	Extended Capability Configuration Parameters
Service n°49:	MExE
Service n°50:	RPLMN last used Access Technology
Service n°51:	PLMN Network Name
Service n°52:	Operator PLMN List
Service n°53:	Mailbox Dialling Numbers
Service n°54:	Message Waiting Indication Status
Service n°55:	Call Forwarding Indication Status
Service n°xx:	Service Provider Display Information

## 10.3.11 EF<sub>SPN</sub> (Service Provider Name)

This EF contains the	service provider	name and appropriate	requirements for	the display by the ME.
----------------------	------------------	----------------------	------------------	------------------------

Identifier: '6F46'		Structure: transparent			Optional	
Fi	le Size: 17 bytes		Update	activity	: low	
Access Conditions: READ A UPDATE A INVALIDATE A REHABILITATE			AYS			
Bytes	es Description M/O Length					
1	Display Condition			М	1 byte	
2 - 17	Service Provider Name			М	16 bytes	

### - Display Condition

Contents: display condition for the service provider name in respect to the registered PLMN (see TS  $\frac{02.0722.101}{5}$ ]).

Coding: see below

Byte 1:



- Service Provider Name

Contents: service provider string to be displayed

Coding: the string shall use either

- the SMS default 7-bit coded alphabet as defined in TS 23.038 [12] with bit 8 set to 0. The string shall be left justified. Unused bytes shall be set to 'FF'; or
- one of the UCS2 code options defined in annex B.

## 10.3.X EF<sub>SPDI</sub> (Service Provider Display Information)

This EF contains information regarding the service provider display i.e. the service provider PLMN list.

Identifier: '6FCDXX'		<u>Str</u>	Structure: transparent Op		<u>Optional</u>
F	ile size: x bytes		<u>Update</u>	activity	: low
Access Conditions: READ UPDATE DEACTIVATE ACTIVATE		CHV <sup>/</sup> ADM ADM ADM	1 <del>PIN</del>		
<u>Bytes</u>		Descriptio	<u>n</u>	<u>M/O</u>	Length
<u>1 to x</u>	TLV object(s) containing Service Provider Information		vice Provider	M	<u>x bytes</u>

Tag Value	Tag Description	
<u>'A3<del>x</del>'</u>	Service Provider Display Information Tag	
<u>'80'</u>	Service Provider PLMN List Tag	

### The Service Provider Display Information object is a constructed TLV.

- Service Provider PLMN List
  - Contents:

This TLV contains a list of n PLMNs in which the Service Provider Name shall be displayed, as defined in subclause 10.3.11 (EF<sub>SPN</sub>).

Coding:

Description	<u>M/O</u>	Length	
Service Provider PLMN List tag	M	<u>1 byte</u>	
Length (see note)	M	<u>x bytes</u>	
1 <sup>st</sup> PLMN entry	M	<u>3 bytes</u>	
2 <sup>nd</sup> PLMN entry	<u>0</u>	<u>3 bytes</u>	
3 <sup>rd</sup> PLMN entry	<u>O</u>	<u>3 bytes</u>	
<u></u>			
n <sup>th</sup> PLMN entry	<u>O</u>	<u>3 bytes</u>	
Note: the length is 3*n bytes, where n denotes the number of PLMN entries. The length can			
be coded on one or more bytes.			

Each PLMN is coded as follows:

Mobile Country Code (MCC) followed by the Mobile Network Code (MNC) according to <u>3G TS 24.008 [47].</u>

In case a PLMN entry is not used, it shall be set to 'FF FF FF'.
# 10.7 Files of GSM

This clause contains a figure depicting the file structure of the SIM.  $DF_{GSM}$  shall be selected using the identifier '7F20'. If selection by this means fails, then DCS 1800 MEs shall, and optionally GSM MEs may then select  $DF_{GSM}$  with '7F21'.

- NOTE 1: The selection of the GSM application using the identifier '7F21', if selection by means of the identifier '7F20' fails, is to ensure backwards compatibility with those Phase 1 SIMs which only support the DCS 1800 application using the Phase 1 directory DF<sub>DCS1800</sub> coded '7F21'.
- NOTE 2: To ensure backwards compatibility with those Phase 1 DCS 1800 MEs which have no means to select  $DF_{GSM}$  two options have been specified. These options are given in GSM 09.91 [17].



EF <sub>MBI</sub>	EF <sub>MWIS</sub>	EF <sub>CFIS</sub>	EF <sub>EXT7</sub>	<u>EF<sub>SPDI</sub></u>
'6FC9'	'6FCA'	'6FCB'	'6FCC'	'6FCD <mark>**</mark> '

Figure 8: File identifiers and directory structures of GSM

# 11.5.X Service Provider Display Information

Requirement: Service n° 17 and xx are "available allocated and activated".

Request: The ME performs the reading procedure with EF<sub>SPDI</sub>.

Update: The ME performs the updating procedure with EF<sub>SPDI</sub>.

# Annex D (informative): Suggested contents of the EFs at pre-personalization

If EFs have an unassigned value, it may not be clear from the main text what this value should be. This annex suggests values in these cases.

File Identification	Description	Value
'6FCC'	Extension 7	'00 FFFF'
<u>'6FCD<del>xx</del>'</u>	Service Provider display Information	<u>'FFFF'</u>

- NOTE 1: The value '000000' means that ACMmax is not valid, i.e. there is no restriction on the ACM. When assigning a value to ACMmax, care should be taken not to use values too close to the maximum possible value 'FFFFFF', because the INCREASE command does not update  $\text{EF}_{ACM}$  if the units to be added would exceed 'FFFFFF'. This could affect the call termination procedure of the Advice of Charge function.
- NOTE 2: xxxxxx stands for any valid MCC and MNC, coded according to TS 04.08 [15].

# Annex I (informative): EF changes via Data Download or SIM Toolkit applications

This annex defines if changing the content of an EF by the network (e.g. by sending an SMS), or by SIM Toolkit Application (e.g. by using the SIM API), is advisable. Updating of certain EFs, "over the air" such as  $EF_{ACC}$  could result in unpredictable behaviour of the MS; these are marked "Caution" in the table below. Certain EFs are marked "No"; under no circumstances should "over the air" changes of these EFs be considered.

File identification	Description	Change advised
'6FCC'	Extension 7	Yes
<u>'6FCD<del>xx</del>'</u>	Service Provider Display Information	Yes
NOTE: If EF <sub>IMSI</sub> is changed, the SIM should issue REFRESH as defined in TS 11.14 [2 and update EF <sub>LOCI</sub> accordingly.		

# Annex xx (informative): Tags defined in 51.011

Tag	Name of Data Element	<u>Usage</u>
<u>'A<del>x</del>3'</u>	Service provider display information <u>The following tags are encapsulated within 'A3*':</u> '80' Service provider PLMN list	Service Provider Display Information (EF <sub>SPDI</sub> )

NOTE: the value 'FF' is an invalid tag value. For ASN.1 tag assignment rules see ISO/IEC 8825 [55]

Superseeds T3-010704

CHANGE REQUEST									
ж	51	<mark>.011</mark>	CR 006	ж	ev	<b>-</b> *	Current vers	sion: 4.2.0	¥
For <u>HELP</u> on	using	this for	rm, see bottor	n of this pa	ge or l	ook at tl	ne pop-up text	tover the X sy	mbols.
Proposed change	affec	ts: #	(U)SIM X	ME/UE	X	Radio A	ccess Networ	k Core N	etwork
Title: ៖	€ <mark>Re</mark>	structu	ring of TS 51.	. <mark>011 to be b</mark>	ased	on TS 1	02 221		
Source: ३	€ <mark>T3</mark>								
Work item code:	£						Date: ೫	7.11.2001	
Category: 3	f D Use Deta be fo	one of <b>F</b> (corr <b>A</b> (corr <b>B</b> (add <b>C</b> (fund <b>D</b> (edit build exp bund in	the following carection) responds to a c lition of feature ctional modificat torial modifications of th 3GPP <u>TR 21.9</u>	ategories: correction in ( ), ation of featu ion) e above cate 00.	an earl re) egories	<i>lier relea</i> can	Release: # Use <u>one</u> of 2 se) R96 R97 R98 R99 REL-4 REL-5	REL-4 the following re (GSM Phase 2) (Release 1996) (Release 1997) (Release 1999) (Release 1999) (Release 4) (Release 5)	leases: ) ) ) )
Reason for chang	je: %	Risk 221.	of divergence In response t	e between b o the TSG- overed by T	asic fu T#11 c S 102	unctiona decision	lity specified i	n TS 51.011 ar	nd TS 102
	gc	repla	iced with refer	rences to T	S 102	221 whe	ere appropriat	e	.orrand
Consequences if not approved:	ж	Main spec	tenance of tw ifications is cl	o specificat nanged.	ions is	s require	ed if functional	ity covered in t	ooth
Clauses affected:	#	Clau 2, 4, 5, In 9.2, GSM ( 9.2.2, 9.2.15, 10.3.1, Clause 4.1, 4, 5.8.3, ( 8.12,8) Annex	se Modified , 5.1, 5.2, 5.2.1 1 a technical cl 11.12 and GSM nce to GSM 11 9.2.3, 9.2.4, 9. , 9.2.16, 9.2.17 , 10.3.17, 11.1, es deleted 1.1, 4.1.2, 4.2, 5.9, 5.10, 6.2, 6 .13, 8.14, 8.15, B	, 5.3, 5.4, 6, nange has be 1 11.18. Inco .12 and 11.13 2.5, 9.2.6, 9. , 9.2.18, 9.2. 11.2.1, 11.2 4.3, 4.3.1, 4. 5.3, 6.6, 8, 8. 8.16, 8.17, 8	6.1, 6. en imp rporati 8 is not 2.7, 9. 19, 9.2 .9, 11. 3.2, 4. 1, 8.2, 8.18, 8	4, 6.4.1, lemented ng the vo t required 2.20, 9.2. 5.23, 11. 3.3, 4.3.4 8.3, 8.4, .19, 8.20	6.4.2, 6.4.2.1, 6 1 in order to avoid 1 in order to avoid 1 in order to avoid 1 in order to avoid 1 in order to avoid 1, 9, 9.2.10, 9.2.11 21, 9.2.22, 9.3, 5.24, 11.5.25, 11 4, 4.4, 4.5, 5.5, 8.5, 8.6, 8.7, 8 9, 8.21, 11.1.1, 11 1	5.5, 9, 9.11, 9.2, oid the need to n n table from 11. 1, 9.2.12, 9.2.13 10.1, 10.1.1, 10 11.5.26, 11.6.17 5.6, 5.7, 5.8, 5.8 .8, 8.9, 8.10, 8.1 11.1.2, 11.1.3, A	9.2.1, maintain 18 any , 9.2.14, ).1.2, , 3.1, 5.8.2, 11, xnnex A,
Other specs affected:	ж	Of Te	ther core specest specifications where the specification where the specifications where the specification of the s	cifications ons ions	ж	Refere to be c	ences in test s checked	pecifications m	ay have

Other comments: #

#### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 1 Scope

The present document defines the interface between the Subscriber Identity Module (SIM) and the Mobile Equipment (ME) for use during the network operation phase of GSM as well as those aspects of the internal organization of the SIM which are related to the network operation phase. This is to ensure interoperability between a SIM and an ME independently of the respective manufacturers and operators. The concept of a split of the Mobile Station (MS) into these elements as well as the distinction between the GSM network operation phase, which is also called GSM operations, and the administrative management phase are described in the TS 02.17 [6].

The present document defines:

- the requirements for the physical characteristics of the SIM, the electrical signals and the transmission protocols;
- the model which shall be used as a basis for the design of the logical structure of the SIM;
- the security features;
- the interface functions;
- the commands;
- the contents of the files required for the GSM application;
- the application protocol.

Unless otherwise stated, references to GSM also apply to DCS 1800 and PCS 1900.

The present document does not specify any aspects related to the administrative management phase. Any internal technical reallocation of either the SIM or the ME are only specified where these reflect over the interface. It does not specify any of the security algorithms which may be used.

The present document defines the SIM/ME interface for GSM Phase 2. While all attempts have been made to maintain phase compatibility, any issues that specifically relate to Phase 1 should be referenced from within the relevant Phase 1 specification.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] not used
- [2] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [3] 3GPP TS 02.07: "Mobile Stations (MS) features".
- [4] 3GPP TS 02.09: " Security aspects".
- [5] 3GPP TS 22.011: "Service accessibility".
- [6] 3GPP TS 02.17: "Subscriber Identity Modules (SIM) Functional characteristics".
- [7] 3GPP TS 22.024: " Description of Charge Advice Information (CAI)".

[8] 3GPP TS 22.030: "Man-Machine Interface (MMI) of the Mobile Stati	on (MS)".
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- [9] 3GPP TS 22.086: "Advice of charge (AoC) Supplementary Services Stage 1".
- [10] 3GPP TS 23.003: "Numbering, addressing and identification".
- [11] 3GPP TS 03.20: "Security related network functions".
- [12] 3GPP TS 23.038: "Alphabets and language-specific information".
- [13] 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS) Point-to-Point (PP)".
- [14] 3GPP TS 23.041: "Technical realization of Short Message Service Cell Broadcast (SMSCB)".
- [15] 3GPP TS 04.08: "Mobile radio interface layer 3 specification".
- [16] 3GPP TS 24.011: "Point-to-Point (PP) Short Message Service (SMS) support on mobile radio interface".
- [17] GSM 09.91: "Digital cellular telecommunications system (Phase 2); Interworking aspects of the Subscriber Identity Module Mobile Equipment (SIM ME) interface between Phase 1 and Phase 2".
- [18] CCITT Recommendation E.118: "The international telecommunication charge card".
- [19] CCITT Recommendation E.164: "Numbering plan for the ISDN era".
- [20] CCITT Recommendation T.50: "International Alphabet No. 5". (ISO 646: 1983, "Information processing ISO 7-bits coded characters set for information interchange".)
- [21] ISO/IEC 7810 (1995): "Identification cards Physical characteristics".
- [22] ISO/IEC 7811-1 (1995): "Identification cards Recording technique Part 1: Embossing".
- [23] ISO/IEC 7811-3 (1995): "Identification cards Recording technique Part 3: Location of embossed characters on ID-1 cards".
- [24] ISO/IEC 7816-1 (1998): "Identification cards Integrated circuit(s) cards with contacts, Part 1: Physical characteristics".
- [25] ISO/IEC 7816-2 (1988): "Identification cards Integrated circuit(s) cards with contacts, Part 2: Dimensions and locations of the contacts".
- [26] ISO/IEC 7816-3 (1997): "Identification cards Integrated circuit(s) cards with contacts, Part 3: Electronic signals and transmission protocols".
- [27] 3GPP TS 11.14: "Specification of the SIM Application Toolkit for the Subscriber Identity Module - Mobile Equipment (SIM - ME) interface".
- [28] 3GPP TS 11.12: "Digital cellular telecommunications system (Phase 2); Specification of the 3 Volt Subscriber Identity Module Mobile Equipment (SIM ME) interface".
- [29] 3GPP TS 22.022: "Personalization of Mobile Equipment (ME) Mobile functionality specification".
- [30] ISO 639 (1988): "Code for the representation of names of languages".
- [31] ISO/IEC 10646-1 (1993): "Information technology Universal Multiple-Octet Coded Character Set (UCS) - Part 1: Architecture and Basic Multilingual Plane".
- [32] 3GPP TS 23.060: "General Packet Radio Service (GPRS); Service description; Stage 2".
- [33] 3GPP TS 23.073: "Support of Localised Service Area (SoLSA); Service description; Stage 2".
- [34] 3GPP TS 11.19: "Specification of the Cordless Telephony System Subscriber Identity Module for both Fixed Part and Mobile Station".

Release 4	CR page 5	3GPP TS 51.011 v4.2.0
[35]	ISO/IEC 7816-4 (1995): "Identification cards - Integrated circu Interindustry commands for interchange".	uit(s) cards with contacts, Part 4:
[36]	TIA/EIA-136-005: "Introduction, Identification, and Semi-Per	manent Memory, November 1998".
[37]	TIA/EIA-136-123-A: "Digital Control Channel Layer 3, Nover	mber 1998".
[38]	TIA/EIA-136-140-A: "Analogue Control Channel, November	1998".
[39]	TIA/EIA-136-510-A: "Authentication, Encryption of Signaling Privacy, November 1998".	g Information/User Data and
[40]	ANSI TIA/EIA-41: "Cellular Radio Telecommunications Inter	system Operations".
[41]	EIA/TIA-553: "Mobile Station-Land Station Compatibility Spe	ecification".
[42]	3GPP TS 22.067: "Enhanced Multi Level Pre-emption and Price	ority (eMLPP) Services - Stage 1".
[43]	TR45 AHAG "Common Cryptographic Algorithms, Revision C	C," October 27, 1998.
[44]	ETS 300.812: "Terrestrial Trunk Radio; Specification of the St Equipment (SIM - ME) interface".	ubscriber Identity Module - Mobile
[45]	3GPP TS 03.22: "Functions related to Mobile Station (MS) in	idle mode and group receive mode".
[46]	3GPP TS 05.05: "Radio transmission and reception".	
[47]	3GPP TS 24.008: "Mobile Radio Interface Layer 3 specification	on, Core Network Protocols".
[48]	3GPP TS 04.18: "Mobile radio interface layer 3 specification,"	Radio Resource Control Protocol".
[49]	3GPP TS 04.60: "General Packet Radio Service (GPRS); Mob System (BSS) interface; Radio Link Control/ Medium Access (	ile Station (MS) - Base Station Control (RLC/MAC) protocol".
[50]	3GPP TS 23.057: "Mobile Station Application Execution Envi description; Stage 2".	ronment (MExE);Functional
[51]	3GPP TS 23.122: "Technical Specification Group Core Netwo Mobile Station (MS) in idle mode".	rk; NAS Functions related to
[52]	3GPP TS 31.102: "Characteristics of the USIM application".	
[53]	3GPP TS 22.101: "Technical Specification Group Services and Aspects".	l System Aspects – Service
[54]	3GPP TS 23.097: "Multiple Subscriber Profile (MSP)"	
[55]	ETSI TS 102 221 "UICC-Terminal interface; Physical and log	ical characteristics"

# 3 Definitions, abbreviations and symbols

# 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

access conditions: set of security attributes associated with a file.

**application:** application consists of a set of security mechanisms, files, data and protocols (excluding transmission protocols).

application protocol: set of procedures required by the application.

**card session:** link between the card and the external world starting with the ATR and ending with a subsequent reset or a deactivation of the card.

current directory: latest MF or DF selected.

current EF: latest EF selected.

data field: obsolete term for Elementary File.

**Dedicated File (DF):** file containing access conditions and, optionally, Elementary Files (EFs) or other Dedicated Files (DFs).

directory: general term for MF and DF.

Elementary File (EF): file containing access conditions and data and no other files.

file: directory or an organized set of bytes or records in the SIM.

file identifier: 2 bytes which address a file in the SIM.

**GSM, DCS 1800 or PCS 1900 application:** set of security mechanisms, files, data and protocols required by GSM, DCS 1800 or PCS 1900.

GSM session: that part of the card session dedicated to the GSM operation.

IC card SIM: obsolete term for ID-1 SIM.

**ID-1 SIM:** SIM having the format of an ID-1 card (see ISO 7816-1 [24]).

Master File (MF): unique mandatory file containing access conditions and optionally DFs and/or EFs.

normal GSM operation: relating to general, CHV related, GSM security related and subscription related procedures.

**padding:** one or more bits appended to a message in order to cause the message to contain the required number of bits or bytes.

plug-in SIM: Second format of SIM (specified in clause 4).

proactive SIM: SIM which is capable of issuing commands to the ME. Part of SIM Application Toolkit (see clause 11).

record: string of bytes within an EF handled as a single entity (see clause 6).

record number: number which identifies a record within an EF.

record pointer: pointer which addresses one record in an EF.

root directory: obsolete term for Master File.

SIM application toolkit procedures: defined in TS 11.14 [27].

### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply, in addition to those listed in TR 21.905 [2]:

A3	Algorithm 3, authentication algorithm; used for authenticating the subscriber
A38	A single algorithm performing the functions of A3 and A8
A5	Algorithm 5, cipher algorithm; used for enciphering/deciphering data
A8	Algorithm 8, cipher key generator; used to generate $K_c$
ACM	Accumulated Call Meter
ADM	Access condition to an EF which is under the control of the authority which creates this file
ADN	Abbreviated Dialling Number
AHAG	Ad-Hoc Authentication Group
A-Key	Authentication Key
ALW	ALWays

AMPS	Analogue Mohile Phone System
ANSI	American National Standards Institute
	Advice of Charge
	Annication Protocol Data Unit
	Application Flotocol Data Onit
ATK PCCU	Allswei 10 Kesei
PCD	Dioducast Colluloi Criainiei Dinomy Coded Decimal
	Dinaly Coucu Decinial
BDN	Barred Dialing Number
BIS	Base Transmitter Station
CB	
CBMI	Cell Broadcast Message Identifier
CCITT	The International Telegraph and Telephone Consultative Committee (now ITU
~ ~ ~ ~	Telecommunications Standardization sector)
ССР	Capability/Configuration Parameter
CHV	Card Holder Verification information; access condition used by the SIM for the verification of
	the identity of the user
CLA	CLAss
CNL	Co-operative Network List
CPBCCH	COMPACT Packet BCCH
CTS	Cordless Telephony System
DCK	De-personalization Control Keys
DCS	Digital Cellular System
DF	Dedicated File (abbreviation formerly used for Data Field)
DTMF	Dual Tone Multiple Frequency
ECC	Emergency Call Code
EF	Elementary File
EIA	Electronics Industries Alliance (North America)
eMLPP	enhanced Multi-Level Precedence and Pre-emption Service
ETSI	European Telecommunications Standards Institute
etu	elementary time unit
FDN	Fixed Dialling Number
GSM	Global System for Mobile communications
HPLMN	Home PLMN
IC	Integrated Circuit
ICC	Integrated Circuit(s) Card
ID	[Dentifier
IEC	International Electrotechnical Commission
IEI	Information Element Identifier
IMSI	International Mobile Subscriber Identity
ISO	International Organization for Standardization
Kc	Cryptographic key: used by the cipher A5
Ki	Subscriber authentication key: the cryptographic key used by the authentication algorithm A3
	and cipher key generator A8
LAI	Location Area Information: information indicating a cell or a set of cells
loth	The (specific) length of a data unit
LND	Last Number Dialled
ISA	Localised Service Area
	Localised Service Area Identity
LSR ID	Least Significant Bit
MCC	Mobile Country Code
MEC	Mobile Equipment
ME	Moone Equipment
MMI	Master The
MNC	Mobile Network Code
MS	Mobile Station
MSD	Most Significant Bit
MELEDN	Nobile Station international ISDN number
INIZIZDIN	Numerie Assignment Module
INAW	NUMERIC ASSIGNMENT WOULDE
INE I NEV	
NEV	INE VET

NPI	Numbering Plan Identifier
OFM	Operational Feature Monitor
OPLMN	Operator Controlled PLMN (Selector List)
OTA	Over The Air
PDC	Personal Digital Communications
PIN/PIN2	Personal Identification Number / Personal Identification Number 2 (obsolete terms for CHV1
	and CHV2, respectively)
PLMN	Public Land Mobile Network
PPS	Protocol and Parameter Select (response to the ATR)
PUK/PUK2	PIN Unblocking Key / PIN2 Unblocking Key (obsolete terms for UNBLOCK CHV1 and
	UNBLOCK CHV2, respectively)
RAND	A RANDom challenge issued by the network
RFU	Reserved for Future Use
SDN	Service Dialling Number
SID	System IDentity
SIM	Subscriber Identity Module
SMS	Short Message Service
SoLSA	Support of Localised Service Area
SRES	Signed RESponse calculated by a SIM
SSC	Supplementary Service Control string
SW1/SW2	Status Word 1 / Status Word 2
TETRA	TErrestrial Trunk RAdio
TIA	Telecommunications Industries Association (North America)
TMSI	Temporary Mobile Subscriber Identity
TON	Type Of Number
TP	Transfer layer Protocol
TPDU	Transfer Protocol Data Unit
TS	Technical Specification
UNBLOCK CHV1	/2 value to unblock CHV1/CHV2
VBS	Voice Broadcast Service
VGCS	Voice Group Call Service
VPLMN	Visited PLMN

# 3.3 Symbols

For the purposes of the present document, the following symbols apply:

Vcc Supply voltage Vpp Programming voltage '0' to '9' and 'A' to 'F' the sixteen hexadecimal digits

# 4 Physical characteristics

Two physical types of SIM are specified. These are the "ID-1 SIM" and the "Plug-in SIM".

The physical characteristics of both types of SIM shall be in accordance with <u>those specified for the UICC in TS 102</u> 221 [55] ISO 7816 1,2 [24, 25] unless otherwise specified. The following additional requirements shall be applied to ensure proper operation in the GSM environment.

# 4.1 Format and layout

The information on the exterior of either SIM should include at least the individual account identifier and the check digit of the IC Card Identification (see clause 10,  $EF_{ICCID}$ ).

### 4.1.1 ID-1 SIM

Format and layout of the ID 1 SIM shall be in accordance with ISO 7816 1,2 [24, 25].

The card shall have a polarization mark (see TS 02.07 [3]) which indicates how the user should insert the card into the ME.

The ME shall accept embossed ID 1 cards. The embossing shall be in accordance with ISO/IEC 7811 [22, 23]. The contacts of the ID 1 SIM shall be located on the front (embossed face, see ISO/IEC 7810 [21]) of the card.

NOTE: Card warpage and tolerances are now specified for embossed cards in ISO/IEC 7810 [21].

### 4.1.2 Plug-in SIM

The Plug in SIM has a width of 25 mm, a height of 15 mm, a thickness the same as an ID 1 SIM and a feature for orientation. See figure A.1 in normative annex A for details of the dimensions of the card and the dimensions and location of the contacts.

Annexes A.1 and A.2 of ISO 7816 1 [24] do not apply to the Plug in SIM.

Annex A of ISO 7816 2 [25] applies with the location of the reference points adapted to the smaller size. The three reference points P1, P2 and P3 measure 7,5 mm, 3,3 mm and 20,8 mm, respectively, from 0. The values in table A.1 of ISO 7816 2 [25] are replaced by the corresponding values of figure A.1.

# 4.2 Temperature range for card operation

The temperature range for full operational use shall be between 25°C and +70°C with occasional peaks of up to +85°C. "Occasional" means not more than 4 hours each time and not over 100 times during the life time of the card.

# 4.3 Contacts

### 4.3.1 Provision of contacts

- ME: Contacting elements in the ME in positions C4 and C8 are optional, and are not used in the GSM application. They shall present a high impedance to the SIM card in the GSM application. If it is determined that the SIM is a multi-application ICC, then these contacts may be used. Contact C6 need not be provided for Plug in SIMs.
- SIM: Contacts C4 and C8 need not be provided by the SIM, but if they are provided, then they shall not be connected internally in the SIM if the SIM only contains the GSM application. Contact C6 shall not be bonded in the SIM for any function other than supplying Vpp.

### 4.3.2 Activation and deactivation

The ME shall connect, activate and deactivate the SIM in accordance with the Operating Procedures specified in ISO/IEC 7816 3 [26].

For any voltage level, monitored during the activation sequence, or during the deactivation sequence following soft power down, the order of the contact activation/deactivation shall be respected.

NOTE 1: Soft Power switching is defined in TS 02.07 [3].

NOTE 2: It is recommended that whenever possible the deactivation sequence defined in ISO/IEC 7816 3 [26] should be followed by the ME on all occasions when the ME is powered down.

If the SIM clock is already stopped and is not restarted, the ME is allowed to deactivate all the contacts in any order, provided that all signals reach low level before Vcc leaves high level. If the SIM clock is already stopped and is restarted before the deactivation sequence, then the deactivation sequence specified in ISO/IEC 7816-3 [26] subclause 5.4 shall be followed.

When Vpp is connected to Vcc, as allowed by GSM (see clause 5), then Vpp will be activated and deactivated with Vcc, at the time of the Vcc activation/deactivation, as given in the sequences of ISO/IEC 7816-3 [26] subclauses 5.2 and 5.4.

Vcc is powered when it has a value between 4,5 V and 5,5 V.

### 4.3.3 Inactive contacts

The voltages on contacts C1, C2, C3, C6 and C7 of the ME shall be between 0 and  $\pm$  0,4 volts referenced to ground (C5) when the ME is switched off with the power source connected to the ME. The measurement equipment shall have a resistance of 50 kohms when measuring the voltage on C2, C3, C6 and C7. The resistance shall be 10 kohms when measuring the voltage on C1.

### 4.3.4 Contact pressure

The contact pressure shall be large enough to ensure reliable and continuous contact (e.g. to overcome oxidisation and to prevent interruption caused by vibration). The radius of any curvature of the contacting elements shall be greater than or equal to 0.8 mm over the contact area.

Under no circumstances may a contact force be greater than 0,5 N per contact.

Care shall be taken to avoid undue point pressure to the area of the SIM opposite to the contact area. Otherwise this may damage the components within the SIM.

# 4.4 Precedence

See TS 02.17 [6] for precedence.

# 4.5 Static Protection

Considering that the SIM is a CMOS device, the ME manufacturer shall take adequate precautions (in addition to the protection diodes inherent in the SIM) to safeguard the ME, SIM and SIM/ME interface from static discharges at all times, and particularly during SIM insertion into the ME.

# 5 Electronic signals and transmission protocols

The present document contains references to the UICC/Terminal interface specification, TS 102 221 [55]. For the requirements of TS 102 221 [55] which are referenced by the present specification, the usage of the term "UICC" shall be equivalent to the term "SIM".

Electronic signals and transmission protocols shall be in accordance with ISO/IEC 7816 3 [26] unless specified otherwise. The following additional requirements shall be applied to ensure proper operation in the GSM environment.

The choice of the transmission protocol(s), to be used to communicate between the SIM and the ME, shall at least include that specified and denoted by T=0 in ISO/IEC 7816-3 [26].

The values given in the tables hereafter are derived from ISO/IEC 7816 3 [26], subclause 4.3 with the following considerations:

- V<sub>OH</sub> and V<sub>OL</sub> always refer to the device (ME or SIM) which is driving the interface. V<sub>III</sub> and V<sub>IL</sub> always refer to the device (ME or SIM) which is operating as a receiver on the interface.

this convention is different to the one used in ISO/IEC 7816 3 [26], which specifically defines an ICC for which its current conventions apply. The following clauses define the specific core requirements for the SIM, which provide also the basis for Type Approval. For each state (V<sub>OH</sub>, V<sub>II</sub>, V<sub>II</sub>, and V<sub>OL</sub>) a positive current is defined as flowing out of the entity (ME or SIM) in that state.

# 5.1 <u>Electrical specifications</u>Supply voltage Vcc (contact C1)

Electrical specifications of the SIM – ME interface shall be in accordance with TS 102 221 [55] with the following limitations.:

4MHz shall be the maximum clock speed specified for SIMs for 3V and below.

Power consumption during a SIM session and initial communication establishment i.e during the ATR shall not exceed the values defined for the ATR in TS 102 221 [55].

The SIM shall be operated within the following limits.

#### **Table 1: Electrical characteristics of Vcc under normal operating conditions**

Symbol	Minimum	Maximum	Unit
Vcc	4 <del>,5</del>	<del>5,5</del>	¥
lcc		<del>10</del>	mA

The current consumption of the SIM shall not exceed the value given in table 1 during any state (including activation and deactivation as defined in subclause 4.3.2).

When the SIM is in idle state (see below) the current consumption of the card shall not exceed 200  $\mu$ A at 1 MHz and 25°C. If clock stop mode is allowed, then the current consumption shall also not exceed 200  $\mu$ A while the clock is stopped.

The ME shall source the maximum current requirements defined above. It shall also be able to counteract spikes in the current consumption of the card up to a maximum charge of 40 nAs with no more than 400 ns duration and an amplitude of at most 200 mA, ensuring that the supply voltage stays in the specified range.

# NOTE: A possible solution would be to place a capacitor (e.g. 100 nF, ceramic) as close as possible to the contacting elements.

# 5.2 <u>Initial communication establishment procedures</u>Reset (RST) (contact C2)

Initial communication establishment procedures shall be in accordance with TS 102 221 [55] with the following limitations.

Since 4MHz is the maximum clock speed specified for SIMs for 3V and below, the respective limitations on power consumption given in TS 102 221 [55] apply.

ATR content: The ME shall invoke the error handling as defined in TS 102 221 [55] if a SIM indicates other values than 0 or 255 in TC1. T=15 global interface parameters are optional. The coding of the historical bytes may not follow TS 102 221 [55] and need not to be interpreted by the ME.

<u>PPS</u> proceedures: Speed enhancement is optional for the SIM. However if speed enhancement is implemented at least F=512 and D=8 shall be supported.

Reset procedures: The SIM shall behave as a "Type 1 UICC".

Clock stop mode: The clock shall only be switched off subject to the conditions specified in the file characteristics (see clause xxx). It is mandatory for a SIM operating at Class B or C operating conditions as defined in TS 102 221 [55] to support clock stop mode.

#### The ME shall operate the SIM within the following limits.

#### **Table 2: Electrical characteristics of RST under normal operating conditions**

Symbol	<b>Conditions</b>	Minimum	Maximum		
¥ <sub>0H</sub>	I <sub>OHmax</sub> <del>= +20 μΛ</del>	<del>Vcc-0,7</del>	<del>Vcc (note)</del>		
₩ <sub>OL</sub>	I <sub>OLmax</sub> = -200 μΑ	<del>0V (note)</del>	<del>0,6 V</del>		
t <sub>R</sub> -t <sub>E</sub> C <sub>out</sub> = C <sub>in</sub> = 30 pF 400 μs					
NOTE: To allow for overshoot the voltage on RST shall remain between					
-0.3 V and Vcc+0.3 V during dynamic operation					

### 5.2.1 Error handling for speed enhancement

If the SIM does not answer the PPS request within the initial waiting time the ME shall reset the SIM. After two failed PPS attempts using F=512 and D=8 or values indicated in TA1, (no PPS response from the SIM) the ME shall initiate PPS procedure using default values. If this also fails (no PPS response from the SIM) the ME may proceed using default values without requesting PPS.

If the SIM does not support the values requested by the ME, the SIM shall respond to the PPS request indicating the use of default values.

# 5.3 <u>Transmission protocols</u>Programming voltage Vpp (contact C6)

Physical and Data link layer of the Transmission Protocols shall be in accordance with TS 102 221[55] with the following limitations.

The support of the Transmission Protocol T=0 is mandatory for ME and the SIM. All other protocols are optional. Use of other protocols than T=0 is not defined in the present document.

Procedure bytes '61' and '6C' shall not be used with GSM commands. Status byte '9F' is returned instead by the SIM to control exchanges between the Transport Layer of the terminal and the SIM.

SIMs shall not require any programming voltage on Vpp. The ME need not provide contact C6. If the ME provides contact C6, then, in the case of the ID 1 SIM the same voltage shall be supplied on Vpp as on Vcc, while in the case of Plug in SIMs the ME need not provide any voltage on C6. Contact C6 may be connected to Vcc in any ME but shall not be connected to ground.

# 5.4 Clock CLK (contact C3)

The SIM shall support 1 MHz to 5 MHz. The clock shall be supplied by the ME. No "internal clock" SIMs shall be used.

If a frequency of 13/4 MHz is needed by the SIM to run the authentication procedure in the allotted time (see TS 03.20 [11]), or to process an ENVELOPE command used for SIM Data Download, bit 2 of byte 1 in the file characteristics shall be set to 1. Otherwise a minimum frequency of 13/8 MHz may be used.

The duty cycle shall be between 40 % and 60 % of the period during stable operation.

#### Release 4

#### The ME shall operate the SIM within the following limits:

#### **Table 3: Electrical characteristics of CLK under normal operating conditions**

Symbol	<b>Conditions</b>	Minimum	Maximum	
¥ <sub>0H</sub>	ł <sub>OHmax</sub> <del>= +20 μΛ</del>	<del>0,7xVcc</del>	<del>Vcc (note)</del>	
₩ <sub>OL</sub>	I <sub>OLmax</sub> <del>= 200 μΑ</del>	<del>0 V (note)</del>	<del>0,5 V</del>	
ŧ <sub>R</sub> -ŧ <sub>E</sub>	C <sub>out</sub> = C <sub>in</sub> = 30 pF		9% of period with a maximum of 0,5 µs	
NOTE: To allow for overshoot the voltage on CLK shall remain between -0,3 V and Vcc+0,3 V				
during dynamic operation.				

# 5.5 I/O (contact C7)

Table 4 defines the electrical characteristics of the I/O (contact C7). The values given in the table have the effect of defining the values of the pull up resistor in the ME and the impedances of the drivers and receivers in the ME and SIM.

#### Table 4: Electrical characteristics of I/O under normal operating conditions

Symbol	Conditions	Minimum	Maximum	
¥₩	l <sub>IHmax</sub> = ± 20 μA (note 2)	<del>0,7xVcc</del>	<del>Vcc+0,3 V</del>	
₩	I <sub>ILmax</sub> <del>= +1 mA</del>	<del>-0,3 V</del>	<del>0,8 V</del>	
V <sub>OH</sub> (note 1)	I <sub>OHmax</sub> = + 20μΑ	<del>3,8 V</del>	<del>Vcc (note 3)</del>	
¥ <sub>oL</sub>	I <sub>OLmax</sub> −= −1 mA	<del>0 V (note 3)</del>	<del>0,4 V</del>	
ŧ <sub>R</sub> -ŧ⊧	<del>C<sub>out</sub> = C<sub>in</sub> = 30 pF</del>		<del>1 μs</del>	
NOTE 1: It is assumed that a pull-up resistor is used in the interface device (recommended				
value: 20 kohms).				
NOTE 2: During st	NOTE 2: During static conditions (idle state) only the positive value can apply. Under dynamic			
operating conditions (transmission) short term voltage spikes on the I/O line may				
cause a current reversal.				
NOTE 3: To allow t	NOTE 3: To allow for overshoot the voltage on I/O shall remain between -0.3 V and Vcc+0.3 V			
during dynamic operation.				

### 5.6 States

There are two states for the SIM while the power supply is on:

- the SIM is in operating state when it executes a command. This state also includes transmission from and to the ME;
- the SIM is in idle state at any other time. It shall retain all pertinent data during this state.

The SIM may support a clock stop mode. The clock shall only be switched off subject to the conditions specified in the file characteristics (see clause 9).

Clock stop mode. An ME of Phase 2 or later shall wait at least 1 860 clock cycles after having received the last character, including the guard time (2 etu), of the response before it switches off the clock (if it is allowed to do so). It shall wait at least 744 clock cycles before it sends the first command after having started the clock.

To achieve phase compatibility, the following procedure shall be adhered to:

- a SIM of Phase 2 or later shall always send the status information "normal ending of the command" after the successful interpretation of the command SLEEP received from a Phase 1 ME. An ME of Phase 2 or later shall not send a SLEEP command;
- a Phase 1 ME shall wait at least 744 clock cycles after having received the compulsory acknowledgement SW1
   SW2 of the SLEEP command before it switches off the clock (if it is allowed to do so). It shall wait at least
   744 clock cycles before it sends the first command after having started the clock.

# 5.7 Baudrate

The initial baudrate (during ATR) shall be: (clock frequency)/372. Subsequent baudrate shall be: (clock frequency)/372 unless the PPS procedure has been successfully performed. In that case the negotiated baudrate shall be applied according to subclause 5.8.2.

# 5.8 Answer To Reset (ATR)

The ATR is information presented by the SIM to the ME at the beginning of the card session and gives operational requirements.

### 5.8.1 Structure and contents

The following table gives an explanation of the characters specified in ISO/IEC 7816 3 [26] and the requirements for their use in GSM. The answer to reset consists of at most 33 characters. The ME shall be able to receive interface characters for transmission protocols other than T=0, historical characters and a check byte, even if only T=0 is used by the ME.

#### Table 5: ATR

<b>Character</b>	Contents	sent by	a) evaluation by the ME
		the card	b) reaction by the ME
1. Initial character	coding convention for all	<del>always</del>	<del>a) always</del>
27	Subsequent characters		b) using appropriate convention
	convention)		b) using appropriate convention
2. Format	subsequent interface	<del>always</del>	<del>a) always</del>
	characters, number of		
T0	nistorical characters		D) Identifying the subsequent
3. Interface	parameters to calculate the	optional	a) always if present
	<del>work etu</del>		
— <del>(global)</del>			b) if TA1 is not '11' or '01', PPS procedure
<u></u>			snall be used (see subclause 5.8.2)
4. Interface	parameters to calculate the	optional	a) always if present
	programming voltage and		
— <del>(global)</del>	current		b) if PI1 is not 0, then reject the SIM (in
<u>—</u>			accordance with subciause 5.10)
5. Interface	parameters to calculate the	optional	a) always if present
	extra guardtime requested		
<del>(global)</del>	by the card; no extra		b) if TC1 is neither 0 nor 255, then reject the
<u>—</u>	characters from the card to		the note after the table
	the ME		
6. Interface	protocol type; indicator for	<del>always, if</del>	a) always if present
	the presence of interface	1=15 indicated in	b) identifying the subsequent characters
—TD1	to be used for transmissions	TDi (i>1)	accordingly
	with the given protocol type	( )	
7. Interface	not used for protocol T=0	optional	<del>a) optional</del>
			b)
- (opecinic)			<del>v)</del>
<del>TA2</del>			
8. Interface	parameter to calculate the	never	the allowed value of TB1 above defines that an
<u> </u>	programming voitage		external programming voltage is not applicable
(giobai)			
— <del>TB2</del>			
9. Interface	parameters to calculate the	optional	a) always if present
<u> </u>	work waiting time		b) using the work waiting time accordingly
(000000)			
<u>— TC2</u>			
<del>10. Intertace</del>	protocol type; indicator for	optional	a) always if present b) identifying the subsequent characters
<del>- unarauler</del>	characters, specifying rules		accordinaly
— TDi	to be used for transmissions		
<del>— (i&gt;1)</del>	with the given protocol type		
		(continued)	

#### Table 5 (concluded): ATR

<b>Character</b>	Contents	sent by	a) evaluation by the ME		
		the card	b) reaction by the ME		
11. Interface	characters which contain	Always if	<del>a) always</del>		
	interface characters for	TD(i 1)			
	other transmission	indicates	b) If T=15 is indicated in TD(i 1), TAi		
— <del>TAi, TBi, TCi</del>	protocols. If TD(i-1)	<del>T=15.</del>	indicates:		
<del>(i&gt;2)</del>	indicates T=15, TAi is	Optional	————————————————————————————————————		
	interpreted as global	otherwise.	Ul class indicator (b6 to b1)		
	interface character				
12. Historical	contents not specified in	optional	<del>a) optional</del>		
	ISO/IEC				
			<del>b)</del>		
<u> </u>					
13. Check	check byte (exclusive	not sent if	<del>a) optional</del>		
	- <del>ORing)</del>	only T=0 is			
		indicated in	<del>b)</del>		
		the ATR. If			
		T=0 and			
		T=15 are			
		present and			
		in all other			
		<del>cases, TCK</del>			
		shall be sent			
NOTE: According	NOTE: According to ISO/IEC 7816-3:1997 [26], N=255 indicates that the minimum delay is 12 etu for the				
asynchronous half duplex character transmission protocol.					
If '01' is indicated in TA1, PPS should be supported by the SIM to allow backward compatibility with					
existing MEs. For the interpretation of '01', see ISO/IEC-7816-3 [26].					

### 5.8.2 PPS procedure

Specifically related to this Technical Specification the PPS procedure according to ISO/IEC 7816-3 [26], clause 7, is applied, only if TA1 is not equal to '11' or '01', as follows:

#### a) for MEs only supporting default speed (F=372, D=1)

ME	]					<u> </u>	SIM
	<		ATR				TA1 not = '11' or '01'
	PPSS = PPS0 = PCK =	'FF' ' <del>00'</del> 'FF'		– PPS Requ	est ——	<u> </u>	
	جــــــ		PPS Response		<del>PPSS =</del> <del>PPS0 =</del> <del>PCK =</del>	' <del>FF'</del> ' <del>90'</del> ' <del>FF'</del>	

#### Figure 1: PPS procedure

PPS Request and PPS Response consist of the three (3) characters PPSS, PPSO and PCK of which PPSS is sent first.

After this procedure the protocol T=0 and the parameters F=372, D=1 and N=0 shall be used.

#### b) for MEs only supporting enhanced speed (F=512, D=8)

ME	Reset	>	SIM
<	ATR		– <del>TA1 = '94'</del>
PPSS =         'FF'           PPS0 =         '10'           PPS1 =         '94'           PCK =         '7B'	PPS Requ	<del>iest &gt;</del>	
4	PPS Response	PPSS =         'FF'           PPS0 =         '10'           PPS1 =         '94'           PCK =         '7B'	

#### Figure 2: PPS procedure requesting enhanced speed values (F=512, D=8, see clause 5.8.3)

PPS Request and PPS Response consist of the four (4) characters PPSS, PPSO, PPS1 and PCK, of which PPSS is sent first.

After this procedure, the protocol T=0 and the parameters F=512, D=8 and N=0 shall be used.

### 5.8.3 Speed enhancement

If speed enhancement is implemented, the ME and the SIM shall at least support F=512 and D=8 in addition to F=372 and D=1. However, other values may also be supported. If the ME requests PPS using values other than those above then the PPS procedure shall be initiated accordingly.

The SIM shall support the default value (F=372 and D=1). If the speed enhancement is supported by the SIM it is mandatory that F=512 and D=8 is supported. However, the value in TA1 may even indicate a faster speed (F=512 and D=16). The SIM may also support other values between the default value (F=372 and D=1) and the values indicated in TA1. The SIM shall offer the negotiable mode, to ensure backwards compatibility with existing MEs. In the negotiable mode the SIM will use default values even if other parameters are offered in the ATR if the PPS procedure is not initiated.

The ME shall support the default value (F=372 and D=1). If the speed enhancement is supported in the ME it is mandatory to support F=512 and D=8. The ME may additionally support other values.

If the SIM does not answer the PPS request within the initial waiting time the ME shall reset the SIM. After two failed PPS attempts using F=512 and D=8 or values indicated in TA1, (no PPS response from the SIM) the ME shall initiate PPS procedure using default values. If this also fails (no PPS response from the SIM) the ME may proceed using default values without requesting PPS.

If the SIM does not support the values requested by the ME, the SIM shall respond to the PPS request indicating the use of default values.

### 5.9 Bit/character duration and sampling time

The bit/character duration and sampling time specified in ISO/IEC 7816 3 [26], subclause 6.3.2 are valid for all communications.

# 5.10 Error handling

Following receipt of an ATR, which is not in accordance with this specification, e.g. because of forbidden ATR characters or too few bytes being transmitted, the ME shall perform a Reset. The ME shall not reject the SIM until at least three consecutive wrong ATRs are received.

During the transmission of the ATR and the protocol type selection, the error detection and character repetition procedure specified in ISO/IEC 7816 3 [26], subclause 6.3.3, is optional for the ME. For the subsequent transmission on the basis of T=0 this procedure is mandatory for the ME.

For the SIM the error detection and character repetition procedure is mandatory for all communications.

# 6 Logical Model Application and File structure

This clause describes the logical structure for a SIM if different from that specified in TS 102 221 [55], the code associated with it, and the structure of files used.

# 6.1 General description SIM Application structure

Figure 3 shows the general structural relationships which may exist between files. The files are organized in a hierarchical structure and are of one of three types as defined <u>belowin TS 102 221 [55]</u>. These files may be either administrative or application specific. The operating system handles the access to the data stored in different files.



Figure 3: Organization of memory

Files are composed of a header, which is internally managed by the SIM, and optionally a body part. The information of the header is related to the structure and attributes of the file and may be obtained by using the commands GET RESPONSE or STATUS. This information is fixed during the administrative phase. The body part contains the data of the file.

# 6.2 File identifier

A file ID is used to address or identify each specific file. The file ID consists of two bytes and shall be coded in hexadecimal notation. They are specified in clause 10.

The first byte identifies the type of file, and for GSM is:

File IDs shall be subject to the following conditions:

- the file ID shall be assigned at the time of creation of the file concerned;
- a child and any parent, either immediate or remote in the hierarchy, e.g. grandparent, shall never have the same file ID.

In this way each file is uniquely identified.

### 6.3 Dedicated files

A Dedicated File (DF) is a functional grouping of files consisting of itself and all those files which contain this DF in their parental hierarchy (that is to say it consists of the DF and its complete "subtree"). A DF "consists" only of a header part.

Four 1<sup>st</sup> level DFs are defined in this specification:

- DF<sub>GSM</sub> which contains the applications for both GSM and/or DCS 1800;

— DF<sub>TELECOM</sub> which contains telecom service features;

- DF<sub>FP-CTS</sub> which contains the applications for the CTS fixed part (see TS 11.19 [34]).

All four files are immediate children of the Master File (MF) and may coexist on a multi-application card.

2<sup>nd</sup> level DFs are defined in this specification under DF<sub>GSM</sub>.

All 2<sup>nd</sup> level DFs are immediate children of the DF<sub>GSM</sub> and may coexist on a multi application card.

# 6.4 Elementary files

An Elementary File (EF) is composed of a header and a body part. The following three structures of an EF are used by GSM.

#### 6.4.1 Transparent EF

An EF with a transparent structure consists of a sequence of bytes. When reading or updating, the sequence of bytes to be acted upon is referenced by a relative address (offset), which indicates the start position (in bytes), and the number of bytes to be read or updated. The first byte of a transparent EF has the relative address '00 00'. The total data length of the body of the EF is indicated in the header of the EF.

Header	
Body	Sequence of bytes

NOTE: This structure was previously referred to as "binary" in GSM.

#### Figure 4: Structure of a transparent EF

### 6.4.2 Linear fixed EF

An EF with linear fixed structure consists of a sequence of records all having the same (fixed) length. The first record is record number 1. The length of a record as well as this value multiplied by the number of records are indicated in the header of the EF.

He Bo

ader	
<del>dy</del>	Record 1
	Record 2
	<del>;</del>
	Record n

#### Figure 5: Structure of a linear fixed file

There are several methods to access records within an EF of this type:

- absolutely using the record number;
- when the record pointer is not set it shall be possible to perform an action on the first or the last record by using the NEXT or PREVIOUS mode;
- when the record pointer is set it shall be possible to perform an action on this record, the next record (unless the record pointer is set to the last record) or the previous record (unless the record pointer is set to the first record);

- by identifying a record using pattern seek starting:

-forwards from the beginning of the file;

forwards from the record following the one at which the record pointer is set (unless the record pointer is set to the last record);

 backwards from the record preceding the one at which the record pointer is set (unless the record pointer is set to the first record).

If an action following selection of a record is aborted, then the record pointer shall remain set at the record at which it was set prior to the action. According to ISO/IEC 7816-4 [35] it is not possible to have more than 254 records in a file of this type, and each record can not be more than 255 bytes using the short command APDU format.

NOTE: This structure was previously referred to as "formatted" in GSM.

### 6.4 File types

The SIM shall support the file types as defined in TS 102 221 [55] with the following limitations.

#### 6.4.1 Dedicated files

The SIM does not support the operations that can be performed on an ADF as defined in TS 102 221 [55], although the SIM application DF is considered to be an ADF according to the definitions in TS 102 221 [55].

#### 6.4.2 Elementary files

The SIM supports the elementary files as defined in TS 102 221 [55] with the following limitations

#### 6.4.2.13 Cyclic EF

Cyclic files are used for storing records in chronological order. When all records have been used for storage, then the next storage of data shall overwrite the oldest information.

An EF with a cyclic structure consists of a fixed number of records with the same (fixed) length. In this file structure there is a link between the last record (n) and the first record. When the record pointer is set to the last record n, then the next record is record 1. Similarly, when the record pointer is set to record 1, then the previous record is record n. The last updated record containing the newest data is record number 1, and the oldest data is held in record number n.

Header		
Body	Record 1	
	Record 2	
	÷	
	÷	
	Record n	

Figure 6: Structure of a cyclic file

For update operations only PREVIOUS record shall be used. For reading operations, the methods of addressing are Next, Previous, Current and Record Number.

After selection of a cyclic file (for either operation), the record pointer shall address the record updated or increased last. If an action following selection of a record is aborted, then the record pointer shall remain set at the record at which it was set prior to the action.

NOTE: It is not possible, at present, to have more than 255 records in a file of this type, and each record cannot be greater than 255 bytes.

#### Methods for selecting a file 6.5

After the Answer To Reset (ATR), the Master File (MF) is implicitly selected and becomes the Current Directory. Each file may then be selected by using the SELECT function as specified in TS 102 221 [53] with the following exception:

- Only support selection by file ID referencing and the command parameters as specified in the present document.in accordance with the following rules.

Selecting a DF or the MF sets the Current Directory. After such a selection there is no current EF. Selecting an EF sets the current EF and the Current Directory remains the DF or MF which is the parent of this EF. The current EF is always a child of the Current Directory.

Any application specific command shall only be operable if it is specific to the Current Directory.

The following files may be selected from the last selected file:

any file which is an immediate child of the Current Directory;

any DF which is an immediate child of the parent of the current DF;

the parent of the Current Directory;

- the current DF;
- the MF.

This means in particular that a DF shall be selected prior to the selection of any of its EFs. All selections are made using the file ID.

The following figure gives the logical structure for the GSM application. GSM defines only two levels of DFs under the MF.



#### Figure 7: Logical structure

The following table gives the valid selections for GSM for the logical structure in figure 7. Reselection of the last selected file is also allowed but not shown.

Last selected file	Valid Selections
MF	DF1, DF2, EF1
DF1	MF, DF2, DF3, EF2
DF2	<del>MF, DF1, EF3, EF4</del>
DE3	MF, DF1, EF5
EF1	MF, DF1, DF2
EF2	MF, DF1, DF2, DF3
EF3	MF, DF1, DF2, EF4
EF5	MF, DF1, DF3

**Table 6: File selection** 

# 6.6 Reservation of file IDs

In addition to the identifiers used for the files specified in the present document, the following file IDs are reserved for use by GSM.

```
Dedicated Files:
        administrative use:
        --'7F 10' (DF<sub>TELECOM</sub>), '7F 20' (DF<sub>GSM</sub>), '7F 21' (DF<sub>DCS1800</sub>), '7F 22' (DF<sub>IS-41</sub>), '7F 23' (DF<sub>FP-CTS</sub>) (see
            TS 11.19 [34]), '7F 24' (DF<sub>TIA/EIA-136</sub>), '7F 25' (DF<sub>TIA/EIA-95</sub>), and '7F 2X', where X ra
                                                                                                                  from '6' to 'F'
NOTE: '7F 80' (DF<sub>PDC</sub>) is used in the Japanese PDC specification.
            '7F 90' (DF<sub>TETRA</sub>) is used in the ETSI TETRA specification [44]
            '7F 31' (DF<sub>iDEN</sub>) is used in the iDEN specification.
     - reserved under '7F10':
        reserved under '7F20':
           -'5F30' (DF<sub>IRIDIUM</sub>), '5F31' (DF<sub>Globalstar</sub>), '5F32' (DF<sub>ICO</sub>), '5F33' (DF<sub>ACeS</sub>), '5F3C' (DF<sub>MExE</sub>), '5F3X', where X
            ranges from '4' to 'B' and 'D' to 'F';
           -'5F40'(DF<sub>EIA/TIA-553</sub>), '5F4Y' where Y ranges from '1' to 'F';
```

Elementary files:
<u>'6F 1X' in the DFs '7F 10', '7F 20', '7F 21';</u>
'4F YX', where Y ranges from '2' to 'F' in all 2 <sup>nd</sup> level DFs.
—— '2F 1X' in the MF '3F 00'.
In all the above, X ranges, unless otherwise stated, from '0' to 'F'.

# 7 Security features

The security aspects of GSM are described in the normative references TS 02.09 [4] and TS 03.20 [11]. This clause gives information related to security features supported by the SIM to enable the following:

- authentication of the subscriber identity to the network;
- data confidentiality over the radio interface;
- file access conditions.

# 7.1 Authentication and cipher key generation procedure

This subclause describes the authentication mechanism and cipher key generation which are invoked by the network. For the specification of the corresponding procedures across the SIM/ME interface see clause 11.

The network sends a Random Number (RAND) to the MS. The ME passes the RAND to the SIM in the command RUN GSM ALGORITHM. The SIM returns the values SRES and Kc to the ME which are derived using the algorithms and processes given below. The ME sends SRES to the network. The network compares this value with the value of SRES which it calculates for itself. The comparison of these SRES values provides the authentication. The value Kc is used by the ME in any future enciphered communications with the network until the next invocation of this mechanism.

A subscriber authentication key Ki is used in this procedure. This key Ki has a length of 128 bits and is stored within the SIM for use in the algorithms described below.

# 7.2 Algorithms and processes

The names and parameters of the algorithms supported by the SIM are defined in TS 03.20 [11]. These are:

- Algorithm A3 to authenticate the MS to the network;
- Algorithm A8 to generate the encryption key.

These algorithms may exist either discretely or combined (into A38) within the SIM. In either case the output on the SIM/ME interface is 12 bytes. The inputs to both A3 and A8, or A38, are Ki (128 bits) internally derived in the SIM, and RAND (128 bits) across the SIM/ME interface. The output is SRES (32 bits)/Kc (64 bits) the coding of which is defined in the command RUN GSM ALGORITHM in clause 9.

# 7.3 File access conditions

Every file has its own specific access condition for each command. The relevant access condition of the last selected file shall be fulfilled before the requested action can take place.

For each file:

- the access conditions for the commands READ and SEEK are identical;
- the access conditions for the commands SELECT and STATUS are ALWays.

No file access conditions are currently assigned by GSM to the MF and the DFs.

The access condition levels are defined in the following table:

4 to 14

Level	Access Condition
0	ALWays
1	CHV1
2	CHV2
3	Reserved for GSM Future Use

Table 7:	Access	condition	level	coding
----------	--------	-----------	-------	--------

The meaning of the file access conditions is as follows:

ALWAYS: The action can be performed without any restriction;

**CHV1** (card holder verification 1): The action shall only be possible if one of the following three conditions is fulfilled:

- a correct CHV1 value has already been presented to the SIM during the current session;
- the CHV1 enabled/disabled indicator is set to "disabled";

NOTE: Some Phase 1 and Phase 2 SIMs do not necessarily grant access when CHV1 is "disabled" and "blocked".

- UNBLOCK CHV1 has been successfully performed during the current session;

ADM NEVer

CHV2: The action shall only be possible if one of the following two conditions is fulfilled:

- a correct CHV2 value has already been presented to the SIM during the current session;
- UNBLOCK CHV2 has been successfully performed during the current session;

**ADM:** Allocation of these levels and the respective requirements for their fulfilment are the responsibility of the appropriate administrative authority

The definition of access condition ADM does not preclude the administrative authority from using ALW, CHV1, CHV2 and NEV if required.

**NEVER:** The action cannot be performed over the SIM/ME interface. The SIM may perform the action internally.

Condition levels are not hierarchical. For instance, correct presentation of CHV2 does not allow actions to be performed which require presentation of CHV1. A condition level which has been satisfied remains valid until the end of the GSM session as long as the corresponding secret code remains unblocked, i.e. after three consecutive wrong attempts, not

necessarily in the same card session, the access rights previously granted by this secret code are lost immediately. A satisfied CHV condition level applies to both  $DF_{GSM}$  and  $DF_{TELECOM}$ .

The ME shall determine whether CHV2 is available by using the response to the STATUS command. If CHV2 is "not initialized" then CHV2 commands, e.g. VERIFY CHV2, shall not be executable.

# 8 Description of the functions

This clause gives a functional description of the commands and their respective responses. Associated status conditions, error codes and their corresponding coding are specified in clause 9.

It shall be mandatory for all cards complying with this Standard to support all functions described in this Standard. The command GET RESPONSE which is needed for the protocol T=0 is specified in clause 9.

The following table lists the file types and structures together with the functions which may act on them during a GSM session. These are indicated by an asterisk (\*).

	File					
Function	MF	ĐF	EF transparent	EF linear fixed	EF cyclic	
SELECT	*	<u>*</u>	<u>*</u>	*	*	
STATUS	*	<u>*</u>	<u>*</u>	*	*	
READ BINARY			<u>*</u>			
UPDATE BINARY			<u>*</u>			
READ RECORD				<u>*</u>	<u>*</u>	
UPDATE RECORD				*	*	
SEEK				*		
INCREASE					*	
INVALIDATE			*	*	*	
REHABILITATE			*	*	*	

#### **Table 8: Functions on files in GSM session**

### 8.1 SELECT

This function selects a file according to the methods described in clause 6. After a successful selection the record pointer in a linear fixed file is undefined. The record pointer in a cyclic file shall address the last record which has been updated or increased.

Input:

-file ID.

Output:

- if the selected file is the MF or a DF:

- file ID, total memory space available, CHV enabled/disabled indicator, CHV status and other GSM specific data;

if the selected file is an EF:

- file ID, file size, access conditions, invalidated/not invalidated indicator, structure of EF and length of the records in case of linear fixed structure or cyclic structure.

# 8.2 STATUS

This function returns information concerning the current directory. A current EF is not affected by the STATUS function. It is also used to give an opportunity for a pro-active SIM to indicate that the SIM wants to issue a SIM Application Toolkit command to the ME.

#### Input:

- none.

Output:

 file ID, total memory space available, CHV enabled/disabled indicator, CHV status and other GSM specific data (identical to SELECT above).

### 8.3 READ BINARY

This function reads a string of bytes from the current transparent EF. This function shall only be performed if the READ access condition for this EF is satisfied.

Input:

Output:

-string of bytes.

# 8.4 UPDATE BINARY

This function updates the current transparent EF with a string of bytes. This function shall only be performed if the UPDATE access condition for this EF is satisfied. An update can be considered as a replacement of the string already present in the EF by the string given in the update command.

Input:

Output:

-none.

# 8.5 READ RECORD

This function reads one complete record in the current linear fixed or cyclic EF. The record to be read is described by the modes below. This function shall only be performed if the READ access condition for this EF is satisfied. The record pointer shall not be changed by an unsuccessful READ RECORD function.

Four modes are defined:

- ABSOLUTE: The record given by the record number is read. The record pointer is not affected.
- NEXT: The record pointer is incremented before the READ RECORD function is performed and the pointed record is read. If the record pointer has not been previously set within the selected EF, then READ RECORD (next) shall read the first record and set the record pointer to this record.
- If the record pointer addresses the last record in a linear fixed EF, READ RECORD (next) shall not cause the record pointer to be changed, and no data shall be read.
- If the record pointer addresses the last record in a cyclic EF, READ RECORD (next) shall set the record pointer to the first record in this EF and this record shall be read.

- PREVIOUS: The record pointer is decremented before the READ RECORD function is performed and the pointed record is read. If the record pointer has not been previously set within the selected EF, then READ RECORD (previous) shall read the last record and set the record pointer to this record.
- If the record pointer addresses the first record in a linear fixed EF, READ RECORD (previous) shall not cause the record pointer to be changed, and no data shall be read.
- If the record pointer addresses the first record in a cyclic EF, READ RECORD (previous) shall set the record pointer to the last record in this EF and this record shall be read.

Input:

- mode, record number (absolute mode only) and the length of the record.

**Output:** 

-the record.

### 8.6 UPDATE RECORD

This function updates one complete record in the current linear fixed or cyclic EF. This function shall only be performed if the UPDATE access condition for this EF is satisfied. The UPDATE can be considered as a replacement of the relevant record data of the EF by the record data given in the command. The record pointer shall not be changed by an unsuccessful UPDATE RECORD function.

The record to be updated is described by the modes below. Four modes are defined of which only PREVIOUS is allowed for cyclic files:

- CURRENT: The current record is updated. The record pointer is not affected.

ABSOLUTE: The record given by the record number is updated. The record pointer is not affected.

- NEXT: The record pointer is incremented before the UPDATE RECORD function is performed and the pointed record is updated. If the record pointer has not been previously set within the selected EF, then UPDATE RECORD (next) shall set the record pointer to the first record in this EF and this record shall be updated. If the record pointer addresses the last record in a linear fixed EF, UPDATE RECORD (next) shall not cause the record pointer to be changed, and no record shall be updated.
- **PREVIOUS:** For a linear fixed EF the record pointer is decremented before the UPDATE RECORD function is performed and the pointed record is updated. If the record pointer has not been previously set within the selected EF, then UPDATE RECORD (previous) shall set the record pointer to the last record in this EF and this record shall be updated. If the record pointer addresses the first record in a linear fixed EF, UPDATE RECORD (previous) shall not cause the record pointer to be changed, and no record shall be updated.
- For a cyclic EF the record containing the oldest data is updated, the record pointer is set to this record and this record becomes record number 1.

Input:

- the data used for updating the record.

Output:

-none.

# 8.7 SEEK

This function searches through the current linear fixed EF to find a record starting with the given pattern. This function shall only be performed if the READ access condition for this EF is satisfied. Two types of SEEK are defined:

**Type 1** The record pointer is set to the record containing the pattern, no output is available.

**Type 2** The record pointer is set to the record containing the pattern, the output is the record number.

NOTE: A Phase 1 SIM only executes type 1 of the SEEK function.

The SIM shall be able to accept any pattern length from 1 to 16 bytes inclusive. The length of the pattern shall not exceed the record length.

Four modes are defined:

-from the end backwards;

from the previous location backwards.

If the record pointer has not been previously set (its status is undefined) within the selected linear fixed EF, then the search begins:

-----with the first record in the case of SEEK from the next location forwards; or

with the last record in the case of SEEK from the previous location backwards.

After a successful SEEK, the record pointer is set to the record in which the pattern was found. The record pointer shall not be changed by an unsuccessful SEEK function.

Input:

-pattern;

Output:

-type 1: none;

- type 2: status/record number

# 8.8 INCREASE

This function adds the value given by the ME to the value of the last increased/updated record of the current cyclic EF, and stores the result into the oldest record. The record pointer is set to this record and this record becomes record number 1. This function shall be used only if this EF has an INCREASE access condition assigned and this condition is fulfilled (see bytes 8 and 10 in the response parameters/data of the current EF, clause 9). The SIM shall not perform the increase if the result would exceed the maximum value of the record (represented by all bytes set to 'FF').

Input:

the value to be added.

Output:

-value of the increased record;

- value which has been added.

# 8.9 VERIFY CHV

This function verifies the CHV presented by the ME by comparing it with the relevant one stored in the SIM. The verification process is subject to the following conditions being fulfilled:

If the access condition for a function to be performed on the last selected file is CHV1 or CHV2, then a successful verification of the relevant CHV is required prior to the use of the function on this file unless the CHV is disabled.

If the CHV presented is correct, the number of remaining CHV attempts for that CHV shall be reset to its initial value 3.

If the CHV presented is false, the number of remaining CHV attempts for that CHV shall be decremented. After 3 consecutive false CHV presentations, not necessarily in the same card session, the respective CHV shall be blocked and the access condition can never be fulfilled until the UNBLOCK CHV function has been successfully performed on the respective CHV.

Input:

Output:

-none.

### 8.10 CHANGE CHV

This function assigns a new value to the relevant CHV subject to the following conditions being fulfilled:

The old and new CHV shall be presented.

If the old CHV presented is correct, the number of remaining CHV attempts for that CHV shall be reset to its initial value 3 and the new value for the CHV becomes valid.

If the old CHV presented is false, the number of remaining CHV attempts for that CHV shall be decremented and the value of the CHV is unchanged. After 3 consecutive false CHV presentations, not necessarily in the same card session, the respective CHV shall be blocked and the access condition can never be fulfilled until the UNBLOCK CHV function has been performed successfully on the respective CHV.

```
Input:

— indication CHV1/CHV2, old CHV, new CHV.

Output:

— none.
```

### 8.11 DISABLE CHV

This function may only be applied to CHV1. The successful execution of this function has the effect that files protected by CHV1 are now accessible as if they were marked "ALWAYS". The function DISABLE CHV shall not be executed by the SIM when CHV1 is already disabled or blocked.

If the CHV1 presented is correct, the number of remaining CHV1 attempts shall be reset to its initial value 3 and CHV1 shall be disabled.

If the CHV1 presented is false, the number of remaining CHV1 attempts shall be decremented and CHV1 remains enabled. After 3 consecutive false CHV1 presentations, not necessarily in the same card session, CHV1 shall be blocked
and the access condition can never be fulfilled until the UNBLOCK CHV function has been successfully performed on CHV1.

Input:

<u>— CHV1.</u>

Output:

none.

### 8.12 ENABLE CHV

This function may only be applied to CHV1. It is the reverse function of DISABLE CHV. The function ENABLE CHV shall not be executed by the SIM when CHV1 is already enabled or blocked.

If the CHV1 presented is correct, the number of remaining CHV1 attempts shall be reset to its initial value 3 and CHV1 shall be enabled.

If the CHV1 presented is false, the number of remaining CHV1 attempts shall be decremented and CHV1 remains disabled. After 3 consecutive false CHV1 presentations, not necessarily in the same card session, CHV1 shall be blocked and may optionally be set to "enabled". Once blocked, the CHV1 can only be unblocked using the UNBLOCK CHV function. If the CHV1 is blocked and "disabled", the access condition shall remain granted. If the CHV1 is blocked and "enabled", the access condition the UNBLOCK CHV function has been successfully performed on CHV1.

## 8.13 UNBLOCK CHV

This function unblocks a CHV which has been blocked by 3 consecutive wrong CHV presentations. This function may be performed whether or not the relevant CHV is blocked.

If the UNBLOCK CHV presented is correct, the value of the CHV, presented together with the UNBLOCK CHV, is assigned to that CHV, the number of remaining UNBLOCK CHV attempts for that UNBLOCK CHV is reset to its initial value 10 and the number of remaining CHV attempts for that CHV is reset to its initial value 3. After a successful unblocking attempt the CHV is enabled and the relevant access condition level is satisfied.

If the presented UNBLOCK CHV is false, the number of remaining UNBLOCK CHV attempts for that UNBLOCK CHV shall be decremented. After 10 consecutive false UNBLOCK CHV presentations, not necessarily in the same card session, the respective UNBLOCK CHV shall be blocked. A false UNBLOCK CHV shall have no effect on the status of the respective CHV itself.

Input:

- indication CHV1/CHV2, the UNBLOCK CHV and the new CHV.

Output:

-none.

### 8.14 INVALIDATE

This function invalidates the current EF. After an INVALIDATE function the respective flag in the file status shall be changed accordingly. This function shall only be performed if the INVALIDATE access condition for the current EF is satisfied.

An invalidated file shall no longer be available within the application for any function except for the SELECT and the REHABILITATE functions unless the file status of the EF indicates that READ and UPDATE may also be performed.

Input:

- none.

Output:

## 8.15 REHABILITATE

This function rehabilitates the invalidated current EF. After a REHABILITATE function the respective flag in the file status shall be changed accordingly. This function shall only be performed if the REHABILITATE access condition for the current EF is satisfied.

If BDN is enabled (see subclause 11.5.1) then the REHABILITATE function shall not rehabilitate the invalidated EF<sub>IMSF</sub> and EF<sub>LOCF</sub> until the PROFILE DOWNLOAD procedure is performed indicating that the ME supports the "Call control by SIM" facility (see TS 11.14 [27]).

Input:

-none.

Output:

-none.

## 8.16 RUN GSM ALGORITHM

This function is used during the procedure for authenticating the SIM to a GSM network and to calculate a cipher key. The card runs the specified algorithms A3 and A8 using a 16 byte random number and the subscriber authentication key Ki, which is stored in the SIM. The function returns the calculated response SRES and the cipher key Kc.

The function shall not be executable unless  $DF_{GSM}$  or any sub-directory under  $DF_{GSM}$  has been selected as the Current Directory and a successful CHV1 verification procedure has been performed (see subclause 11.3.1).

Input:

-RAND.

Output:

-SRES. Kc.

The contents of Kc shall be presented to algorithm A5 by the ME in its full 64 bit format as delivered by the SIM.

## 8.17 SLEEP

This is an obsolete GSM function which was issued by Phase 1 MEs. The function shall not be used by an ME of Phase 2 or later.

## 8.18 TERMINAL PROFILE

This function is used by the ME to transmit to the SIM its capabilities concerning the SIM Application Toolkit functionality.

Input:

Output:

none.

## 8.19 ENVELOPE

This function is used to transfer data to the SIM Application Toolkit applications in the SIM.

Input:

-data string.

Output:

— The structure of the data is defined in TS 11.14 [27].

## 8.20 FETCH

This function is used to transfer an Application Toolkit command from the SIM to the ME.

Input:

-none.

Output:

- data string containing an SIM Application Toolkit command for the ME.

# 8.21 TERMINAL RESPONSE

This function is used to transfer from the ME to the SIM the response to a previously fetched SIM Application Toolkit command.

Input:

data string containing the response.

Output:

none.

# 9 Description of the commands

The command description and structure is defined in TS 102 221 [55]. The coding of the CLA, INS and parameter bytes are according to TS 102 221 [55] with the limitations stated in the command description in the present document. This clause states the general principles for mapping the functions described in clause 8 commands and responses onto Application Protocol Data Units which are used by the transmission protocol.

# 9.1 Mapping principles

The mapping of protocol T=0 with respect to the TPDU level is according to TS 102 221 with the following exceptions:

An APDU can be a command APDU or a response APDU.

A command APDU has the following general format:

	CLA	INS	<del>P1</del>	<del>P2</del>	<del>P3</del>	Data
--	-----	-----	---------------	---------------	---------------	------

#### The response APDU has the following general format:

Data SW1 SW2

An APDU is transported by the T=0 transmission protocol without any change. Other protocols might embed an
<ul> <li>APDU into their own transport structure (ISO/IEC 7816-3 [26]).</li> <li>The use of procedure byte '6C' for Case 2 commands as defined in TS 102 221 shall be replaced by the usage of '9F' as described in case 2b below. According to the present document the status byte '9F' triggers a GET RESPONSE command whereas the procedure byte '6C' in TS 102 221 triggers re-issuing of the same command.</li> </ul>
<ul> <li>The use of procedure byte '61' for Case 4 commands as defined in TS 102 221 shall be replaced by the usage of '9F' as described in case 4 below. According to the present document the status byte '9F' triggers one GET RESPONSE command, which is optional for the ME, whereas the procedure byte '61' in TS 102 221 triggers one or more GET RESPONSE commands depending upon the procedure bytes following the GET RESPONSE command.</li> </ul>
The bytes have the following meaning: — CLA is the class of instruction (ISO/IEC 7816 3 [26]), 'A0' is used in the GSM application;
— P1, P2, P3 are parameters for the instruction. They are specified in table 9. 'FF' is a valid value for P1, P2 and P3 P3 gives the length of the data element. P3='00' introduces a 256 byte data transfer from the SIM in an outgoing data transfer command (response direction). In an ingoing data transfer command (command direction), P3='00' introduces no transfer of data;
For some of the functions described in clause 8 commands described in the present document it is necessary for T=0 to use a supplementary transport service command (GET RESPONSE) to obtain the output data. For example, the

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SELECT function needs the following two commands:

- the first command (SELECT) has both parameters and data serving as input for the function;
- the second command (GET RESPONSE) has a parameter indicating the length of the data to be returned.

If the length of the response data is not known beforehand, then its correct length may be obtained by applying the first command and interpreting the status words. SW1 shall be '9F' and SW2 shall give the total length of the data. Other status words may be present in case of an error. The various cases are:

#### Case 1: No input / No output

Release 4



#### Case 2a: No input / Output of known length



DATA with length lgth	SW1	SW2
	'90'	'00'

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NOTE: lgth='00' causes a data transfer of 256 bytes.

### Case <u>32b</u>: No Input / Output of unknown length

	CLA	INS	P1	P2	P3		SW1	SW2
_					lgth (	= ' 00 ' )	'9F'	lgth <sub>1</sub>



For cases 3 and 5, when SW1/SW2 indicates there is response data (i.e. SW1/SW2 = '9FXX'), then, if the ME requires to get this response data, it shall send a GET RESPONSE command as described in the relevant case above.

For case <u>45</u>, in case of an ENVELOPE for SIM data download, SW1/SW2 may also indicate that there is response data with the value '9EXX', and the ME shall then send a GET RESPONSE command to get this response data.

If the GSM application is one of several applications in a multi application card, other commands with CLA not equal to 'A0' may be sent by the terminal. This shall not influence the state of the GSM application.

The following diagrams show how the five cases of transmission protocol identified in the above diagrams can all be used to send pro-active SIM commands. For further information on the diagrams below see TS 11.14 [27].

#### Case 1: No input / "OK" response with no output, plus additional command from SIM

[Possible "normal GSM operation" command/response pairs]

NOTE:  $lgth_1 = '00'$  causes a data transfer of 256 bytes.

#### Case 2a: No input / "OK" response with data of known length, plus additional command from SIM



[Possible "normal GSM operation" command/response pairs]



NOTE: lgth='00' causes a data transfer of 256 bytes. The same applies to lgth<sub>1</sub>.

#### Case 2b3: No Input / "OK" response with data of unknown length, plus additional command from SIM



[Possible "normal GSM operation" command/response pairs]

FETCH								
CLA	INS	P1	P2	P3		DATA with length lgth <sub>3</sub>	SW1	SW2
				lgth,	-		'90'	'00'

Case <u>34</u>: Input / "OK" response with no output data, plus additional command from SIM



[Possible "normal GSM operation" command/response pairs]

	FETCH							
I	CLA	INS	Pl	P2	P3	DATA with length lgth	1 SW1	SW2
-					lgth1		'90'	'00'

Case 45: Input / "OK" response with data of known or unknown length, plus additional command from SIM



[Possible "normal GSM operation" command/response pairs]



# 9.2 Coding of the commands

The commands are coded as specified in TS 102 221 [42] with the class byte set to 'A0'. Table 9 below gives the coding of the commands. The direction of the data is indicated by (S) and (R), where (S) stands for data sent by the ME while (R) stands for data received by the ME. Offset is coded on 2 bytes where P1 gives the high order byte and P2 the low order byte. '00 00' means no offset and reading/updating starts with the first byte while an offset of '00 01' means that reading/updating starts with the second byte.

In addition to the instruction codes specified in table 9TS 102 221 [55] the following codes are reserved:

GSM operational phase:

'1X' with X even, from X=6 to X=E.

Administrative management phase:

'2A', 'D0', 'D2', 'DE', 'C4', 'C6', 'C8', 'CA', 'CC', 'B4', 'B6', 'B8', 'BA' and 'BC'.

Note: This reservation may not be respected by other applications residing on a UICC or further evoluation of TS 102 221 [55].

COMMAND	INS	<del>P1</del>	P2	<del>P3</del>	<del>S/R</del>
SELECT	' <del>\\4'</del>	<del>'00'</del>	<del>'00'</del>	<u>'02'</u>	<del>S/R</del>
STATUS	<del>'F2'</del>	<u>'00'</u>	<u>'00'</u>	lgth	R
		effe et bieb	affer at laws	Leith	
	- <del>B0.</del>	offset nign	OTISET IOW	lgth	Ř
UPDATE BINARY	<del>'D6'</del>	offset high	offset low	lgth	ş
READ RECORD	' <del>B2'</del>	rec No.	mode	- Igth	R
UPDATE RECORD	' <del>DC'</del>	rec No.	mode	lgth	<del>S</del>
SEEK	<u>'A2'</u>	<u>'00'</u>	type/mode	lgth	<del>S/R</del>
INCREASE	<u>'32'</u>	<u>'00'</u>	<u>'00'</u>	<u>'03'</u>	<del>S/R</del>
	<u>'20'</u>	<u>'00'</u>	CHV No	<u>'08'</u>	2
	<u>'24'</u>	<u>'00'</u>	CHV No.	<u>'10'</u>	ž
	'26'	'00'	'01'	10	ŝ
	'28'	'00'	'01'	1081	e e
	120	'00'		110	¢
	-20-	-00-	See note	-10-	Ð
INVALIDATE	<del>'04'</del>	<u>'00'</u>	<u>'00'</u>	<u>'00'</u>	_
REHABILITATE	<u>'44'</u>	<u>'00'</u>	<u>'00'</u>	<u>'00'</u>	-
	<u>'99'</u>	<u>יססי</u>	<u>'00'</u>	<u>'10'</u>	<u>S/P</u>
	-00-			-10-	On
SLEEP	' <del>FA'</del>	<u>'00'</u>	<u>'00'</u>	<u>'00'</u>	-
GET RESPONSE	<u>'CO'</u>	<u>'00'</u>	<u>'00'</u>	lath	R
	<u>'10'</u>	<u>'00'</u>	<u>'00'</u>	lath	2
	'C2'	<u>יחחי</u>	<u>'00'</u>	lath	S/P
FETCH	'12'	'00'	'00'	lath	P
	'14'	'00'	'00'	lath	e e
TERMINAL REOFUNCE	-+4-	<del>- 00</del> -	<del>-00</del> -	<del>iytii</del>	Φ

### **Table 9: Coding of the commands**

NOTE: If the UNBLOCK CHV command applies to CHV1 then P2 is coded '00'; if it applies to CHV2 then P2 is coded '02'.

Definitions and codings used in the response parameters/data of the commands are given in subclause 9.3.

### 9.2.1 SELECT

The SELECT command is coded as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'

<u>- P1, P2 = '00'</u>

- P3 = '02'

The response to the SELECT command with the parameters as specified is as follows:

COMMAND	CLASS	INS	P1	<del>P2</del>	P3
SELECT	<u>'A0'</u>	<u>'A4'</u>	<u>'00'</u>	<u>'00'</u>	<u>'02'</u>

Command parameters/data:

Byte(s)	Description	Length
<del>1-2</del>	File ID	2

Response parameters/data in case of an MF or DF:

Byte(s)	Description	Length
1 - 2	RFU	2
3 - 4	Total amount of memory of the selected directory which is not allocated to any of the DFs or EFs under the selected directory	2
5 - 6	File ID	2
7	Type of file (see subclause 9.3)	1
8 - 12	RFU	5
13	Length of the following data (byte 14 to the end)	1
14 - 34	GSM specific data	21

GSM specific data:

Byte(s)	Description	Length
14	File characteristics (see detail 1)	1
15	Number of DFs which are a direct child of the current directory	1
16	Number of EFs which are a direct child of the current directory	1
17	Number of CHVs, UNBLOCK CHVs and administrative codes	1
18	RFU	1
19	CHV1 status (see detail 2)	1
20	UNBLOCK CHV1 status (see detail 2)	1
21	CHV2 status (see detail 2)	1
22	UNBLOCK CHV2 status (see detail 2)	1
23	RFU	1
24 - 34	Reserved for the administrative management	$0 \le lgth \le 11$

Bytes 1 - 22 are mandatory and shall be returned by the SIM. Bytes 23 and following are optional and may not be returned by the SIM.

NOTE 1: Byte 35 and following are RFU.

NOTE 2: The STATUS information of the MF,  $DF_{GSM}$  and  $DF_{TELECOM}$  provide some identical application specific data, e.g. CHV status. On a multi-application card the MF should not contain any application specific data. Such data is obtained by terminals from the specific application directories. ME manufacturers should take this into account and therefore not use application specific data which may exist in the MF of a mono-application SIM.

Similarly, the VERIFY CHV command should not be executed in the MF but in the relevant application directory (e.g.  $DF_{GSM}$ ).

Detail 1: File characteristics



The coding of the conditions for stopping the clock is as follows:

Bit b1	Bit b3	Bit b4	
1	0	0	clock stop allowed, no preferred level
1	1	0	clock stop allowed, high level preferred
1	0	1	clock stop allowed, low level preferred
0	0	0	clock stop not allowed
0	1	0	clock stop not allowed, unless at high level
0	0	1	clock stop not allowed, unless at low level

If bit b1 (column 1) is coded 1, stopping the clock is allowed at high or low level. In this case columns 2 (bit b3) and 3 (bit b4) give information about the preferred level (high or low, respectively) at which the clock may be stopped.

If bit b1 is coded 0, the clock may be stopped only if the mandatory condition in column 2 (b3=1, i.e. stop at high level) or column 3 (b4=1, i.e. stop at low level) is fulfilled. If all 3 bits are coded 0, then the clock shall not be stopped.

The coding of the conditions for the supply voltage indication is as follows:

SIM Supply Voltage	<u>Bit 7</u>	Bit 6	<u>Bit 5</u>				
5V only SIM	$0 (RFU)^{-1}$	$0 (RFU)^{-1}$	0 (RFU) <sup>1</sup>				
<u>3V Technology SIM</u>	<u>0 (RFU) <sup>1</sup></u>	<u>0 (RFU) <sup>1</sup></u>	<u>1</u>				
1.8V Technology SIM	$0 (RFU)^{-1}$	<u>1</u>	<u>1</u>				
Future Class	<u>1</u>	1	<u>1</u>				
NOTE 1 The bits mark relies on the f	NOTE 1 The bits marked (RFU) are set to '0' and reserved for future use in the SIMs. The coding schemes relies on the fact that RFU bits are set to '0'.						

Editors note: The information in the table should be aligned with the presentation style of this section.

Detail 2: Status byte of a secret code



Response parameters/data in case of an EF:

Byte(s)	Description	Length
1 - 2	RFU	2
3 - 4	File size (for transparent EF: the length of the body part of the EF) (for linear fixed or cyclic EF: record length multiplied by the number of records of the EF)	2
5 - 6	File ID	2
7	Type of file (see 9.3)	1
8	see detail 3	1
9 - 11	Access conditions (see 9.3)	3
12	File status (see 9.3)	1
13	Length of the following data (byte 14 to the end)	1
14	Structure of EF (see 9.3)	1
15	Length of a record (see detail 4)	1
16 and following	RFU	-

Bytes 1-14 are mandatory and shall be returned by the SIM.

Byte 15 is mandatory in case of linear fixed or cyclic EFs and shall be returned by the SIM.

Byte 15 is optional in case of transparent EFs and may not be returned by the SIM.

Byte 16 and following (when defined) are optional and may not be returned by the SIM.

Detail 3: Byte 8

For transparent and linear fixed EFs this byte is RFU. For a cyclic EF all bits except bit 7 are RFU; b7=1 indicates that the INCREASE command is allowed on the selected cyclic file.

Detail 4: Byte 15

For cyclic and linear fixed EFs this byte denotes the length of a record. For a transparent EF, this byte shall be coded '00', if this byte is sent by the SIM.

## 9.2.2 STATUS

The STATUS command is coded as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'

```
- P1, P2 = '00'
```

COMMAND	CLASS	INS	<b>P1</b>	<u>P2</u>	<del>P3</del>
STATUS	' <del>'A0'</del>	<del>'F2'</del>	<u>'00'</u>	<u>'00'</u>	<del>lgth</del>

The response parameters/data are identical to the response parameters/data of the SELECT command in case of an MF or DF.

### 9.2.3 READ BINARY

The READ BINARY command is coded as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'

- B8 in P1 shall be set to '0'

The response is according to the command parameters as defined in TS 102 221 [55].

COMMAND	CLASS	INS	<b>P1</b>	<u>P2</u>	<del>P3</del>
READ BINARY	' <del>'A0'</del>	' <del>B0'</del>	offset high	offset low	lgth

Response parameters/data:

Byte(s)	<b>Description</b>	Length
<del>1 - Igth</del>	Data to be read	<del>lgth</del>

## 9.2.4 UPDATE BINARY

The UPDATE BINARY command is coded as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'

- B8 in P1 shall be set to '0'

The response is according to the command parameters as defined in TS 102 221 [55].

COMMAND	<b>CLASS</b>	INS	<del>P1</del>	<del>P2</del>	<del>P3</del>
UPDATE BINARY	' <del>'A0'</del>	<u>'Ð6'</u>	offset high	offset low	<del>lgth</del>

Command parameters/data:

Byte(s)	<b>Description</b>	Length
<del>1 - lgth</del>	Data	<del>lgth</del>

## 9.2.5 READ RECORD

The READ RECORD command is coded as specified in TS 102 221 [55] with the following limitations:

 $\underline{\text{Class}} = 'A0'$ 

<u>P2 = '02', '03', '04'</u>

COMMAND	CLASS	INS	<b>P1</b>	<u>P2</u>	<del>P3</del>
READ RECORD	<u>'A0'</u>	<del>'B2'</del>	Rec.No.	Mode	<del>lgth</del>

Parameter P2 specifies the mode:

- '02' = next record;

<u>---'03' = previous record;</u>

For the modes "next" and "previous" P1 has no significance and shall be set to '00' by the ME. To ensure phase compatibility between Phase 2 SIMs and Phase 1 MEs, the SIM shall not interpret the value given by the ME.

Response parameters/data:

Byte(s)	<b>Description</b>	Length
<del>1 lgth</del>	The data of the record	<del>lgth</del>

## 9.2.6 UPDATE RECORD

The UPDATE RECORD command is coded as specified in TS 102 221 [55] with the following limitations:

 $\underline{\text{Class}} = 'A0'$ 

P2 = '02', '03', '04'

COMMAND	CLASS	INS	<b>P1</b>	<u>P2</u>	P3
UPDATE RECORD	' <del>'A0'</del>	<del>'DC'</del>	Rec.No.	Mode	lgth

Parameter P2 specifies the mode:

<u>'02' = next record;</u>

<u>'03' = previous record;</u>

For the modes "next" and "previous" P1 has no significance and shall be set to '00' by the ME. To ensure phase compatibility between Phase 2 SIMs and Phase 1 MEs, the SIM shall not interpret the value given by the ME.

The response is according to the command parameters, as defined in TS 102 221 [55] Command parameters/data:

<del>Byte(s)</del>	<b>Description</b>	Length
<del>1 lgth</del>	Data	lgth

## 9.2.7 SEEK

The instruction code 'A2' identifies the SEARCH RECORD command as defined in TS 102 221 [55]. In the present document the instruction code 'A2' is defined for the SEEK command for class 'A0'.

This function searches through the current linear fixed EF to find a record starting with the given pattern. This function shall only be performed if the READ access condition for this EF is satisfied. Two types of SEEK are defined:

**Type 1** The record pointer is set to the record containing the pattern, no output is available.

Type 2 The record pointer is set to the record containing the pattern, the output is the record number.

NOTE: A Phase 1 SIM only executes type 1 of the SEEK function.

The SIM shall be able to accept any pattern length from 1 to 16 bytes inclusive. The length of the pattern shall not exceed the record length.

Four modes are defined:

- from the beginning forwards;
- from the end backwards;
- from the next location forwards;
- from the previous location backwards.

If the record pointer has not been previously set (its status is undefined) within the selected linear fixed EF, then the search begins:

- with the first record in the case of SEEK from the next location forwards; or
- with the last record in the case of SEEK from the previous location backwards.

After a successful SEEK, the record pointer is set to the record in which the pattern was found. The record pointer shall not be changed by an unsuccessful SEEK function.

COMMAND	CLASS	INS	P1	P2	P3
SEEK	'A0'	'A2'	'00'	Type/Mode	lgth

Parameter P2 specifies type and mode:

- 'x0' = from the beginning forward;
- 'x1' = from the end backward;
- 'x2' = from the next location forward;
- 'x3' = from the previous location backward;

with x=0' specifies type 1 and x=1' specifies type 2 of the SEEK command.

Command parameters/data:

Byte(s)	Description	Length
1 - Igth	Pattern	lgth

There are no response parameters/data for a type 1 SEEK. A type 2 SEEK returns the following response parameters/data:

Byte(s)	Description	Length
1	Record number	1

### 9.2.8 INCREASE

The INCREASE command is coded as specified in TS 102 221 [55] with the following limitations:

### - Class = 'A0'

- P1,P2 = '00'

<u>- P3 = '03'</u>

COMMAND	CLASS	INS	<b>P1</b>	<del>P2</del>	<del>P3</del>
INCREASE	' <del>'A0'</del>	<del>'32'</del>	<u>'00'</u>	<u>'00'</u>	<del>'03'</del>

Command parameters/data:

Byte(s)	<b>Description</b>	Length
1-3	Value to be added	3

The response is according to the command parameters, as defined in TS 102 221 [55] Response parameters/data:

Byte(s)	Description	Length
<del>1 X</del>	Value of the increased record	×
<del>X+1 - X+3</del>	Value which has been added	3

NOTE: X denotes the length of the record.

## 9.2.9 VERIFY CHV

The VERIFY CHV is identical to the VERIFY PIN command as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'

<u>- P1 = '00'</u>

<u>- P3 = '08'</u>

NOTE: The functionality of the VERIFY CHV command is limited to CHV verification and can not be used to retrieve the retry counter value as specified in TS 102 221 [55].

COMMAND	<b>CLASS</b>	INS	P1	<del>P2</del>	<del>P3</del>
VERIFY CHV	' <del>'A0'</del>	<u>'20'</u>	<u>'00'</u>	CHV No.	<u>'08'</u>

Parameter P2 specifies the CHV:

- '01' = CHV1;

- '02' = CHV2.

The response is according to the command parameters, as defined in TS 102 221 [55]. Command parameters/data:

Byte(s)	Description	Length
<del>1 - 8</del>	CHV value	8

## 9.2.10 CHANGE CHV

The CHANGE CHV is identical to the CHANGE PIN command as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'

• P1 = '00'

COMMAND	<b>CLASS</b>	INS	<del>P1</del>	<del>P2</del>	<del>P3</del>
CHANGE CHV	' <del>'A0'</del>	<del>'24'</del>	<u>'00'</u>	CHV No.	<del>'10'</del>

Parameter P2 specifies the CHV:

- '01' = CHV1;
- '02' = CHV2.

The response is according to the command parameters, as defined in TS 102 221 [55]. Command parameters/data:

Byte(s)	<b>Description</b>	Length
<del>1 - 8</del>	Old CHV value	ବ
<del>9 - 16</del>	New CHV value	8

## 9.2.11 DISABLE CHV

The DISABLE CHV is identical to the DISABLE PIN command as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'

- P1 = '00'

- P2 = '01'

NOTE: The functionality of the DISABLE CHV command is limited to CHV disabling and can not be used to indicate the use of an alternative CHV (global key reference) as specified in TS 102 221 [55].

COMMAND	<b>CLASS</b>	INS	<del>P1</del>	<del>P2</del>	<del>P3</del>
DISABLE CHV	' <del>'A0'</del>	<del>'26'</del>	<u>'00'</u>	<del>'01'</del>	<u>'08'</u>

The response is according to the command parameters, as defined in TS 102 221 [55]. Command parameters/data:

Byte(s)	Description	Length
<del>1 - 8</del>	CHV1 value	8

## 9.2.12 ENABLE CHV

The ENABLE CHV is identical to the ENABLE PIN command as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'

<u>- P1 = '00'</u>

- P2 = '01'

COMMAND	CLASS	INS	<b>P1</b>	<del>P2</del>	<del>P3</del>
ENABLE CHV	' <del>'A0'</del>	<u>'28'</u>	<u>'00'</u>	<del>'01'</del>	<u>'08'</u>

The response is according to the command parameters, as defined in TS 102 221 [55]. Command parameters/data:

Byte(s)	Description	Length
<del>1 8</del>	CHV1 value	8

## 9.2.13 UNBLOCK CHV

The UNBLOCK CHV is identical to the UNBLOCK PIN command as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'
- P1 = '00'

	-				
COMMAND	CLASS	INS	<del>P1</del>	<del>P2</del>	<del>P3</del>
UNBLOCK CHV	' <del>'A0'</del>	' <del>2C'</del>	<del>'00'</del>	CHV No.	<del>'10'</del>

Parameter P2 specifies the CHV:

- 00 = CHV1;
- 02 = CHV2.

NOTE: The coding '00' for CHV1 differs from the coding of CHV1 used for other commands.

The response is according to the command parameters, as defined in TS 102 221 [55]. Command parameters/data:

Byte(s)	<b>Description</b>	Length
<del>1 8</del>	UNBLOCK CHV value	8
<del>9 16</del>	New CHV value	\$

## 9.2.14 INVALIDATE

The INVALIDATE command is identical to the DEACTIVATE command as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'

- P1,P2 = '00'

The response is according to the command parameters, as defined in TS 102 221 [55].

COMMAND	CLASS	INS	<del>P1</del>	<u>P2</u>	<del>P3</del>
INVALIDATE	' <del>'A0'</del>	<u>'04'</u>	<u>'00'</u>	<u>'00'</u>	<u>'00'</u>

## 9.2.15 REHABILITATE

The REHABILITATE command is identical to the ACTIVATE command as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'

- P1,P2 = '00'

The response is according to the command parameters, as defined in TS 102 221 [55].

COMMAND	<b>CLASS</b>	INS	<del>P1</del>	<del>P2</del>	<del>P3</del>
REHABILITATE	<u>'A0'</u>	<u>'44'</u>	<u>'00'</u>	<u>'00'</u>	<u>'00'</u>

## 9.2.16 RUN GSM ALGORITHM

The RUN GSM ALGORITHM is identical to the AUTHENTICATE command as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'

- P1,P2 = '00'

• P3 = '10'

COMMAND	<b>CLASS</b>	INS	P1	P2	<del>P3</del>
RUN GSM	' <del>'A0'</del>	<u>'88'</u>	<u>'00'</u>	<u>'00'</u>	<del>'10'</del>
ALGORITHM					

The structure of the Command parameters/data is as follows only for the specified parameters::

Byte(s)	Description	Length
1 - 16	RAND	16

The structure of the Response parameters/data is as follows only for the specified parameters:

Byte(s)	Description	Length
1 - 4	SRES	4
5 - 12	Cipher Key Kc	8

The most significant bit of SRES is coded on bit 8 of byte 1. The most significant bit of Kc is coded on bit 8 of byte 5.

### 9.2.17 SLEEP

This is an obsolete function used by Phase 1 MEs.

In order to achieve phase compatibility, a SIM of Phase 2 or later shall always send the status information "normal ending of the command" after the successful interpretation of the command SLEEP received from a Phase 1 ME. An ME of Phase 2 or later shall not send a SLEEP command;

COMMAND	CLASS	INS	P1	P2	P3
SLEEP	'A0'	'FA'	'00'	'00'	'00'

NOTE: This command is used by Phase 1 MEs only.

## 9.2.18 GET RESPONSE

The GET RESPONSE command is coded as specified in TS 102 221 [55] with the following limitations:

 $\underline{\text{Class}} = 'A0'$ 

COMMAND	CLASS	INS	<b>P1</b>	<del>P2</del>	<del>P3</del>
GET RESPONSE	' <del>'A0'</del>	<del>'C0'</del>	<u>'00'</u>	<del>'00'</del>	lgth

The response data depends on the preceding command. Response data is available after the commands RUN GSM ALGORITHM, SEEK (type 2), SELECT, INCREASE, and ENVELOPE. If the command GET RESPONSE is executed, it is required that it is executed immediately after the command it is related to (no other command shall come between the command/response pair and the command GET RESPONSE). If the sequence is not respected, the SIM shall send the status information "technical problem with no diagnostic given" as a reaction to the GET RESPONSE.

Since the MF is implicitly selected after activation of the SIM, GET RESPONSE is also allowed as the first command after activation.

The response data itself is defined in the subclause for the corresponding command.

## 9.2.19 TERMINAL PROFILE

The TERMINAL PROFILE command is coded as specified in TS 102 221 [55] with the following limitations:

- Class = 'A0'

COMMAND	CLASS	INS	<b>P1</b>	<del>P2</del>	P3
TERMINAL PROFILE	<del>'A0'</del>	<del>'10'</del>	<u>'00'</u>	<u>'00'</u>	lgth

Command parameters/data:

length lgth. The structure of the command parameters is defined in TS 11.14 [27].

Response parameters/data:

none available

## 9.2.20 ENVELOPE

The ENVELOPE command is coded as specified in TS 102 221 [55] with the following limitations:

 $\underline{Class} = 'A0'$ 

COMMAND	<b>CLASS</b>	<del>INS</del>	<del>P1</del>	<del>P2</del>	<del>P3</del>			
ENVELOPE	<del>'A0'</del>	<u>'C2'</u>	<u>'00'</u>	<u>'00'</u>	<del>lgth</del>			

Command parameters/data:

length lgth. The structure of the command parameters is defined in TS 11.14 [27].

Response parameters/data:

The structure of the data is defined in TS 11.14 [27].

## 9.2.21 FETCH

The FETCH command is coded as specified in TS 102 221 [55] with the following limitations:

 $\underline{Class} = 'A0'$ 

COMMAND	CLASS	INS	P1	<del>P2</del>	P3
FETCH	<u>'A0'</u>	<u>'12'</u>	<u>'00'</u>	<u>'00'</u>	<del>lgth</del>

Command parameters/data:

none.

Response parameters/data:

length lgth. The structure of the data is defined in TS 11.14 [27].

## 9.2.22 TERMINAL RESPONSE

The TERMINAL RESPONSE command is coded as specified in TS 102 221 [55] with the following limitations:

 $\underline{Class} = 'A0'$ 

COMMAND	CLASS	INS	<del>P1</del>	P2	P3
TERMINAL	<del>'A0'</del>	<del>'14'</del>	<u>'00'</u>	<u>'00'</u>	lgth
RESPONSE					_

Command parameters/data:

length lgth. The structure of the command parameters is defined in TS 11.14 [27].

Response parameters/data:

none available.

# 9.3 Definitions and coding

The coding conventions defined in TS 102 221 [55] applies with the following exceptions

The following definitions and coding are used in the response parameters/data of the commands.

#### Coding

Each byte is represented by bits b8 to b1, where b8 is the most significant bit (MSB) and b1 is the least significant bit (LSB). In each representation the leftmost bit is the MSB.

#### **RFU**

In a GSM specific card all bytes which are RFU shall be set to '00' and RFU bits to 0. Where the GSM application exists on a multiapplication card or is built on a generic telecommunications card (e.g. TE9) then other values may apply. The values will be defined in the appropriate specifications for such cards. These bytes and bits shall not be interpreted by an ME in a GSM session.

#### File status



Bit b3 may be set to 1 in special circumstances when it is required that the EF can be read and updated even if the EF is invalidated, e.g. reading and updating the  $EF_{ADN}$  when the FDN feature is enabled, or reading and updating the  $EF_{BDN}$  when the BDN feature is disabled.

#### Structure of file

- '00' transparent;
- '01' linear fixed;
- '03' cyclic.

#### **Type of File**

- '00' RFU;
- '01' MF;
- '02' DF;
- '04' EF.

#### Coding of CHVs and UNBLOCK CHVs

A CHV is coded on 8 bytes. Only (decimal) digits (0-9) shall be used, coded in CCITT T.50 [20] with bit 8 set to zero. The minimum number of digits is 4. If the number of digits presented by the user is less than 8 then the ME shall pad the presented CHV with 'FF' before sending it to the SIM.

The coding of the UNBLOCK CHVs is identical to the coding of the CHVs. However, the number of (decimal) digits is always 8.

#### **Coding of Access Conditions**

The access conditions for the commands are coded on bytes 9, 10 and 11 of the response data of the SELECT command <u>if class byte 'A0' is used</u>. Each condition is coded on 4 bits as shown in table 10.

### Table 10: Access conditions

ALW	'0' *
CHV1	'1' *
CHV2	'2' *
RFU	'3'
ADM	'4'
ADM	'E'
NEW	'F' *

Entries marked "\*" in the table above, are also available for use as administrative codes in addition to the ADM access levels '4' to 'E' (refer to subclause 7.3) if required by the appropriate administrative authority. If any of these access conditions are used, the code returned in the Access Condition bytes in the response data shall be the code applicable to that particular level.

Byte 9:



Byte 10:



Byte 11:



# 9.4 Status conditions returned by the card

This subclause specifies the coding of the status words SW1 and SW2.

## 9.4.1 Responses to commands which are correctly executed

SW1	SW2	Description
'90'	'00'	- normal ending of the command
'91'	'XX'	<ul> <li>normal ending of the command, with extra information from the proactive SIM containing a command for the ME. Length 'XX' of the response data</li> </ul>
'9E'	'XX'	<ul> <li>length 'XX' of the response data given in case of a SIM data download error</li> </ul>
'9F'	'XX'	- length 'XX' of the response data

### 9.4.2 Responses to commands which are postponed

SW1	SW2	Error description
'93'	'00'	- SIM Application Toolkit is busy. Command cannot be executed at
		present, iunner normal commands are allowed.

### 9.4.3 Memory management

SW1	SW2	Error description
'92'	'0X'	<ul> <li>command successful but after using an internal update retry routine 'X' times</li> </ul>
'92'	'40'	- memory problem

# 9.4.4 Referencing management

SW1	SW2	Error description
'94'	'00'	- no EF selected
'94'	'02'	<ul> <li>out of range (invalid address)</li> </ul>
'94'	'04'	- file ID not found
		- pattern not found
'94'	'08'	- file is inconsistent with the command

### 9.4.5 Security management

SW1	SW2	Error description
'98'	'02'	- no CHV initialized
'98'	'04'	- access condition not fulfilled
		- unsuccessful UNBLOCK CHV verification, at least one attempt left - authentication failed (see note)
'98'	'08'	- in contradiction with CHV status
'98'	'10'	- in contradiction with invalidation status
'98'	'40'	<ul> <li>unsuccessful CHV verification, no attempt left</li> <li>unsuccessful UNBLOCK CHV verification, no attempt left</li> <li>CHV blocked</li> <li>UNBLOCK CHV blocked</li> </ul>
'98'	'50'	- increase cannot be performed, Max value reached

NOTE: A Phase 1 SIM may send this error code after the third consecutive unsuccessful CHV verification attempt or the tenth consecutive unsuccessful unblocking attempt.

## 9.4.6 Application independent errors

SW1	SW2	Error description						
'67'	'XX'	<ul> <li>incorrect parameter P3 (see note)</li> </ul>						
'6B'	'XX' <sup>#</sup>	<ul> <li>incorrect parameter P1 or P2 (see <sup>##</sup>)</li> </ul>						
'6D'	'XX' <sup>#</sup>	<ul> <li>unknown instruction code given in the command</li> </ul>						
'6E'	'XX' <sup>#</sup>	<ul> <li>wrong instruction class given in the command</li> </ul>						
'6F'	'XX' <sup>#</sup>	<ul> <li>technical problem with no diagnostic given</li> </ul>						
NOTE 1	1: # These	e values of 'XX' are specified by ISO/IEC; at present the default value						
	'XX'='00	D' is the only one defined.						
NOTE 2	NOTE 2: ## When the error in P1 or P2 is caused by the addressed record being out of							
	range, then the return code '94 02' shall be used.							

NOTE: 'XX' gives the correct length or states that no additional information is given ('XX' = '00').

### 9.4.7 Commands versus possible status responses

The following table shows for each command the possible status conditions returned (marked by an asterisk \*).

	Oł	(			B u s y	Me Sta	a a	Re Sta	fer. atus	5	Security Status				Application Independent Errors							
	9 0	9 1	9 E	9 F	9 3	9 2	9 2	9 4	9 4	9 4	9 4	9 8	9 8	9 8	9 8	9 8	9 8	6 7	6 B	6 D	6 E	6 F
Commands	0 0	X X	X X	X X	0 0	0 X	4 0	0 0	0 2	0 4	0 8	0 2	0 4	0 8	1 0	4 0	5 0	X X	X X	X X	X X	X X
Select Status	*	*		*			*			*								*	*		*	*
Update Binary Update Record	*	*				*	*	*	*		*		*		*			*	* *		*	*
Read Binary Read Record	*	*					*	*	*		*		*		*			*	*		*	*
Seek Increase	*			*		*	*	*		*	*		*		*		*	*	*		*	*
Verify CHV Change CHV	*	*				*	*					*	*	*		*		*	*		*	*
Disable CHV Enable CHV	*	*				*	*					*	*	*		*		*	*		*	*
Unblock CHV	*	*				*	*	*				*	*	*	*	*		*	*		*	*
Rehabilitate	*	*				*	*	*					*		*			*	*		*	*
Run GSM Algorithm				*			*				*		*					*	*		*	*
Sleep	*																	*	*		*	*
Get Response	*	*				*	* *											*	*		*	*
i erminal Profile Envelope	*	*	*	*	*	*	*											*	*		*	*
Fetch	*						*											*	*		*	*
Terminal Response	*	*				*	*											*	*		*	*

### Table 11: Commands and status words

The responses '91 XX', and '93 00' and '9E XX' can only be given by a SIM supporting SIM Application Toolkit, to an ME also supporting SIM Application Toolkit.

For the SEEK command the response '91 XX' can be given directly after a Type 1 SEEK command. Following the Type 2 SEEK command the SIM can give the response '91 XX' only after the GET RESPONSE command.

# 10 Contents of the Elementary Files (EF)

This clause specifies the EFs for the GSM session defining access conditions, data items and coding. A data item is a part of an EF which represents a complete logical entity, e.g. the alpha tag in a  $EF_{ADN}$  record.

EFs or data items having an unassigned value, or, which during the GSM session, are cleared by the ME, shall have their bytes set to 'FF'. After the administrative phase all data items shall have a defined value or have their bytes set to 'FF'. If a data item is 'deleted' during a GSM session by the allocation of a value specified in another GSM TS, then this value shall be used, and the data item is not unassigned; e.g. for a deleted LAI in  $EF_{LOCI}$  the last byte takes the value 'FE' (TS 04.08 [15] refers).

EFs are mandatory (M) or optional (O). The file size of an optional EF may be zero. All implemented EFs with a file size greater than zero shall contain all mandatory data items. Optional data items may either be filled with 'F', or, if located at the end of an EF, need not exist.

When the coding is according to CCITT Recommendation T.50 [20], bit 8 of every byte shall be set to 0.

For an overview containing all files see figure 8.

# 10.1 Contents of the EFs at the MF level

<u>The present document specifies</u> There are only two Efs\_at the MF level. The presence of  $EF_{DIR}$  on a SIM is optional. The present document does not specify the mechanism to select a SIM application using  $EF_{DIR}$ .

## 10.1.1 EF<sub>ICCID</sub> (ICC Identification)

This EF provides a unique identification number for the SIM. The structure of this EF is as defined in TS 102 221 [55]. Network operators issuing a SIM according to this document may use an identification number length of 20 bytes. SIM issued with identification number coded on 20 bytes may also have the digits in a byte not swapped.

Identifi	er: '2FE2'	Str	ucture: transparent		Mandatory	
Fi	<del>le size: 10 bytes</del>		Update	activity	<del>: low</del>	
Access Condit	ions:					
		ALW.	AYS			
INVAL		ADM				
		ADIVI				
Bytes		<b>Descriptio</b>	<del>n</del>	<del>M/O</del>	Length	
<del>1 10</del>	Identification nui	mber		М	<del>10 bytes</del>	

- Identification number

Contents:

- according to CCITT Recommendation E.118 [18]. However, network operators who are already issuing Phase 1 SIM cards with an identification number length of 20 digits may retain this length.

#### Purpose:

- card identification number.

#### Coding:

BCD, left justified and padded with 'F'; after padding the digits within a byte are swapped (see below).
 However, network operators who are already issuing Phase 1 SIM cards where the digits within a byte are not swapped may retain this configuration.

#### Byte 1:





## 10.1.2 EF<sub>ELPL</sub> (Extended language preference Preferred language)

The structure of this data field is as defined in TS 102 221 [55]. The presence of this file is optional for a SIM.

This EF contains the codes for up to n languages. This information, determined by the user/operator, defines the preferred languages of the user in order of priority. This information may be used by the ME for MMI purposes.

This information may also be used for the screening of Cell Broadcast messages in a preferred language, as follows.

When the CB Message Identifier capability is both allocated and activated, the ME selects only those CB messages the language of which corresponds to an entry in this EF or in  $EF_{LP}$ , whichever of these EFs is used (see subclause 11.2.1). The CB message language is defined by the Data Coding Scheme (DCS: see TS 23.038 [12]) received with the CB message. The ME shall be responsible for translating the language coding indicated in the Data Coding Scheme for the Cell Broadcast Service (as defined in TS 23.038 [12]) to the language coding as defined in ISO 639 [30] if it is necessary to check the language coding in  $EF_{PLELP}$ .

NOTE: This file is called EF<sub>ELP</sub> (Extended Langueage preference) in previous releases of the present document

Identific	er: '2F 05' Structure: transp				<b>Optional</b>
Fi	<del>le size: 2n bytes</del>		Update	e activity	<del>/: low</del>
Access Condit — READ — UPDAT — INVALI — REHAE	ions: FE DATE SILITATE	ALW CHV ADM ADM	Ļ		
Bytes		<b>Descriptio</b>	<del>n</del>	<del>M/O</del>	Length
<del>1_2</del>	1 <sup>st</sup> language coo	<del>le (highest p</del>	<del>rior.)</del>	θ	<del>2 bytes</del>
3-4	2 <sup>nd</sup> language co	<del>de</del>		θ	<del>2 bytes</del>
<del>2n-1 - 2n</del>	nth language co	<del>de (lowest p</del> i	<del>ior.)</del>	θ	<del>2 bytes</del>

Coding:

each language code is a pair of alpha numeric characters, as defined in ISO 639 [30]. Each alpha numeric character shall be coded on one byte using the SMS default 7 bit coded alphabet as defined in TS 23.038 [12] with bit 8 set to 0.

Unused language entries shall be set to 'FF FF'.

# 10.2 DFs at the GSM application level

For compatibility with other systems based on the GSM switching platform and for special GSM services, DFs may be present as child directories of  $DF_{GSM}$ . The following have been defined:

#### Release 4

DF <sub>IRIDIUM</sub>	'5F30'
DF <sub>GLOBALSTAR</sub>	'5F31'
DF <sub>ICO</sub>	'5F32'
DF <sub>ACeS</sub>	'5F33'
DF <sub>MExE</sub>	'5F3C'
DF <sub>EIA/TIA-553</sub>	'5F40'
DF <sub>CTS</sub>	'5F60'
DF <sub>SoLSA</sub>	'5F70'

Only the contents of  $DF_{SoLSA}$  and  $DF_{MExE}$  are specified in the present document. For details of the EFs contained in the  $DF_{CTS}$ , see TS 11.19 [34].

# 10.3 Contents of files at the GSM application level

The EFs in the Dedicated File  $\text{DF}_{\text{GSM}}$  contain network related information.

## 10.3.1 EF<sub>LP</sub> (Language preference)

This EF contains the codes for one or more languages. This information, determined by the user/operator, defines the preferred languages of the user in order of priority. This information may be used by the ME for MMI purposes.

This information may also be used for the screening of Cell Broadcast messages in a preferred language, as follows. When the CB Message Identifier capability is both allocated and activated, the ME selects only those CB messages the language of which corresponds to an entry in this EF or in  $EF_{ELP}$ , whichever of these EFs is used (see subclause 11.2.1). The CB message language is defined by the Data Coding Scheme (DCS: see TS 23.038 [12]) received with the CB message. The ME shall be responsible for translating the language coding indicated in the Data Coding Scheme for the Cell Broadcast Service (as defined in TS 23.038 [12]) to the language coding as defined in ISO 639 [30] if it is necessary to check the language coding in  $EF_{ELP}EF_{PL}$ .

Identifi	er: '6F05'	Structure: transparent			Mandatory	
Fi	le size: 1-n bytes		Update	e activity	y: low	
Access Condit READ UPDA <sup>-</sup> INVAL REHAI	ions: FE IDATE BILITATE	ALW CHV <sup>-</sup> ADM ADM	1			
Bytes		Descriptio	n	M/O	Length	
1	1 <sup>st</sup> language coo	le (highest p	rior.)	М	1 byte	
2	2 <sup>nd</sup> language code			0	1 byte	
n	nth language co	de (lowest p	rior.)	0	1 byte	

Coding: according to language codings contained in the Data Coding Scheme (see TS 23.038 [12]).

Using the command GET RESPONSE, the ME can determine the size of the EF.

## 10.3.2 EF<sub>IMSI</sub> (IMSI)

This EF contains the International Mobile Subscriber Identity (IMSI).

Identifie	er: '6F07'	Structure: transparent			Mandatory
F	ile size: 9 bytes		Update	activity	: low
Access Condit READ UPDAT INVALI REHAE	ions: E DATE BILITATE	CHV ADM ADM CHV	1		
Bytes		Descriptio	n	M/O	Length
1	length of IMSI			М	1 byte
2 - 9	IMSI			М	8 bytes

- length of IMSI

#### Contents:

The length indicator refers to the number of significant bytes, not including this length byte, required for the IMSI.

Coding: according to TS 04.08 [15].

#### - IMSI

Contents:

International Mobile Subscriber Identity.

### Coding:

This information element is of variable length. If a network operator chooses an IMSI of less than 15 digits, unused nibbles shall be set to 'F'.

### Byte 2:



For the parity bit, see TS 04.08 [15].

Byte 3:



## 10.3.3 $EF_{\kappa c}$ (Ciphering key Kc)

 Identifier: '6F20'
 Structure: transparent
 Mandatory

 File size: 9 bytes
 Update activity: high

 Access Conditions:
 CHV1

 READ
 CHV1

 UPDATE
 OUV1

This EF contains the ciphering key Kc and the ciphering key sequence number n.

READ	CHV1		
UPDA1	TE CHV1		
INVALI	DATE ADM		
REHAE	BILITATE ADM		
Bytes	Description	M/O	Length
1 - 8	Ciphering key Kc		8 bytes
9	Ciphering key sequence number n	М	1 byte

- Ciphering key Kc

Coding:

The least significant bit of Kc is the least significant bit of the eighth byte. The most significant bit of Kc is the most significant bit of the first byte.

- Ciphering key sequence number n

Coding:



NOTE: TS 04.08 [15] defines the value of n=111 as "key not available". Therefore the value '07' and not 'FF' should be present following the administrative phase.

## 10.3.4 EF<sub>PLMNsel</sub> (PLMN selector)

This EF contains the coding for n PLMNs, where n is at least eight. This information determined by the user/operator defines the preferred PLMNs of the user in priority order.

Identifi	er: '6F30'	Str	ucture: transparent		Optional
File s	ize: 3n (n ≥ 8) byt	es	Update	activity	/: low
Access Condit READ UPDAT INVALI REHAF	ions: FE IDATE BILITATE	CHV <sup>7</sup> CHV <sup>7</sup> ADM ADM	1 1		
		, em			
Bytes		Descriptio	n	M/O	Length
1 - 3	1 <sup>st</sup> PLMN (highe	st priority)		М	3 bytes
22 - 24	8 <sup>th</sup> PLMN			М	3 bytes
25 - 27	9 <sup>th</sup> PLMN			0	3 bytes
(3n-2)-3n	nth PLMN (lowes	st priority)		0	3 bytes

#### - PLMN

Contents:

Mobile Country Code (MCC) followed by the Mobile Network Code (MNC).

Coding:

according to TS 04.08 [15].

If storage for fewer than the maximum possible number n is required, the excess bytes shall be set to 'FF'.

For instance, using 246 for the MCC and 81 for the MNC and if this is the first and only PLMN, the contents reads as follows:

Bytes 1-3: '42' 'F6' '18'

Bytes 4-6: 'FF' 'FF' 'FF'

etc.

### 10.3.5 EF<sub>HPLMN</sub> (HPLMN search period)

This EF contains the interval of time between searches for the HPLMN (see TS 22.011 [5]).

Identifi	er: '6F31'	Structure: transparent			Mandatory
F	File size: 1 byte		Update activity: lo		
Access Condit READ UPDAT INVALI REHAB	ions: FE DATE BILITATE	CHV <sup>.</sup> ADM ADM ADM	1		
Bytes		Descriptio	n	M/O	Length
1	Time interval			М	1 byte

- Time interval

Contents:

The time interval between two searches.

#### Coding:

The time interval is coded in integer multiples of n minutes. The range is from n minutes to a maximum value. The value '00' indicates that no attempts shall be made to search for the HPLMN. The encoding is:

- '00': No HPLMN search attempts
- '01': n minutes
- '02': 2n minutes

:

- :
- 'YZ': (16Y+Z)n minutes (maximum value)

All other values shall be interpreted by the ME as a default period.

For specification of the integer timer interval n, the maximum value and the default period refer to TS 22.011 [5].

### 10.3.6 EF<sub>ACMmax</sub> (ACM maximum value)

This EF contains the maximum value of the accumulated call meter. This EF shall always be allocated if  $EF_{ACM}$  is allocated.

Identifi	er: '6F37'	Structure: transparent			Optional
F	ile size: 3 bytes		Update activity: low		
Access Condit READ UPDA <sup>-</sup> INVAL REHAI	ions: FE IDATE BILITATE	CHV CHV (fixeo ADM ADM	1 1/CHV2 I during administrative	e manaç	gement)
Bytes		Descriptio	n	M/O	Length
1 - 3	Maximum value			М	3 bytes

- Maximum value

Contents:

maximum value of the Accumulated Call Meter (ACM)

Coding:

First byte:

b8	b7	b6	b5	b4	b3	b2	b1
2 <sup>23</sup>	2 <sup>22</sup>	2 <sup>21</sup>	2 <sup>20</sup>	2 <sup>19</sup>	2 <sup>18</sup>	2 <sup>17</sup>	2 <sup>16</sup>

Second byte:

b8	b7	b6	b5	b4	b3	b2	b1
2 <sup>15</sup>	2 <sup>14</sup>	2 <sup>13</sup>	2 <sup>12</sup>	2 <sup>11</sup>	2 <sup>10</sup>	2 <sup>9</sup>	2 <sup>8</sup>

Third byte:

b8	b7	b6	b5	b4	b3	b2	b1
2 <sup>7</sup>	2 <sup>6</sup>	2 <sup>5</sup>	2 <sup>4</sup>	2 <sup>3</sup>	2 <sup>2</sup>	2 <sup>1</sup>	2 <sup>0</sup>

For instance, '00' '00' '30' represents  $2^5+2^4$ .

All ACM data is stored in the SIM and transmitted over the SIM/ME interface as binary.

ACMmax is not valid, as defined in TS 22.024 [7], if it is coded '000000'.

## 10.3.7 EF<sub>sst</sub> (SIM service table)

This EF indicates which services are allocated, and whether, if allocated, the service is activated. If a service is not allocated or not activated in the SIM, the ME shall not select this service.

Identifi	er: '6F38'	Str	ucture: transparent		Mandatory
File	size: X bytes, $X \ge$	2	Update	activity	: low
Access Condit	ions:				
READ		CHV <sup>,</sup>	1		
UPDA	ΓE	ADM			
INVAL	DATE	ADM			
REHA	BILITATE	ADM			
Bytes		Descriptio	n	M/O	Length
1	Services n°1 to	n°4		М	1 byte
2	Services n°5 to	n°8		М	1 byte
3	Services n°9 to	n∘12		0	1 byte
4	Services nº13 to	onº16		0	1 byte
5	Services nº17 to	on°20		0	1 byte
6	Services n°21 to	o nº24		0	1 byte
7	Services n°25 to	on°28		0	1 byte
8	Services n°29 to	on°32		0	1 byte
etc.					
X	Services (4X-3)	to (4X)		0	1 byte

-Services Contents:

Convice nº1 :	CLIV/1 disable function
	CHV I UISADIE IURICION Alekansista d Dielling Neuroleans (ADNI)
Service n <sup>2</sup> 2:	Abbreviated Dialling Numbers (ADN)
Service n°3 :	Fixed Dialling Numbers (FDN)
Service n°4 :	Short Message Storage (SMS)
Service n°5 :	Advice of Charge (AoC)
Service n°6 :	Capability Configuration Parameters (CCP)
Service n°7 :	PLMN selector
Service n°8 :	RFU
Service n°9 :	MSISDN
Service n°10:	Extension1
Service n°11:	Extension2
Service n°12:	SMS Parameters
Service n°13	Last Number Dialled (LND)
Service n°14	Cell Broadcast Message Identifier
Service nº15	Group Identifier Level 1
Service nº16:	Group Identifier Level 2
Service nº17	Service Provider Name
Service nº18:	Service Dialling Numbers (SDN)
Service n°10:	Extension3
Service n°20:	DELL
Service n°21:	
Service II 21.	VGCS Group Identifier List (EF <sub>VGCS</sub> and EF <sub>VGCSS</sub> )
Service n°22:	VBS Group Identifier List (EF <sub>VBS</sub> and EF <sub>VBSS</sub> )
Service n°23:	enhanced Multi-Level Precedence and Pre-emption Service
Service n°24:	Automatic Answer for eMLPP
Service n°25:	Data download via SMS-CB
Service n°26:	Data download via SMS-PP
Service n°27:	Menu selection
Service n°28:	Call control
Service n°29:	Proactive SIM
Service n°30:	Cell Broadcast Message Identifier Ranges
Service n°31:	Barred Dialling Numbers (BDN)
Service n°32:	Extension4
Service n°33:	De-personalization Control Keys
Service n°34:	Co-operative Network List
Service n°35:	Short Message Status Reports
Service n°36:	Network's indication of alerting in the MS
Service n°37:	Mobile Originated Short Message control by SIM
Service n°38:	GPRS
Service n°39:	Image (IMG)
Service n°40:	SoLSA (Support of Local Service Area)
Service n°41:	USSD string data object supported in Call Control
Service n°42:	RUN AT COMMAND command
Service nº43	User controlled PLMN Selector with Access Technology
Service n 44	Operator controlled PLMN Selector with Access Technology
	operator controlled I LIVIN delector with Access Technology

Service n 45:	HPLMN Selector with Access Technology
Service n 46:	CPBCCH Information
Service n 47:	Investigation Scan
Service n°48:	<b>Extended Capability Configuration Parameters</b>
Service n°49:	MExE
Service n°50:	RPLMN last used Access Technology
Service n°51:	PLMN Network Name
Service n°52:	Operator PLMN List
Service n°53:	Mailbox Dialling Numbers
Service n°54:	Message Waiting Indication Status
Service n°55:	Call Forwarding Indication Status

For a phase 2 SIM, the EF shall contain at least two bytes which correspond to the Phase 1 services. Further bytes may be included, but if the EF includes an optional byte, then it is mandatory for the EF to also contain all bytes before that byte. Other services are possible in the future and will be coded on further bytes in the EF. The coding falls under the responsibility of ETSI.

- NOTE 1: Service N°8 was used in Phase 1 for Called Party Subaddress. To prevent any risk of incompatibility Service N°8 should not be reallocated.
- NOTE 2: As the BDN service relies on the Call Control feature, service n°31 (BDN) should only be allocated and activated if service n°28 (Call control) is allocated and activated.

Coding:

2 bits are used to code each service:

first bit = 1: service allocated

first bit = 0: service not allocated

where the first bit is b1, b3, b5 or b7;

second bit = 1: service activated

second bit = 0: service not activated

where the second bit is b2, b4, b6 or b8.

Service allocated means that the SIM has the capability to support the service. Service activated means that the service is available for the card holder (only valid if the service is allocated).

The following codings are possible:

- first bit = 0: service not allocated, second bit has no meaning;
- first bit = 1 and second bit = 0: service allocated but not activated;
- first bit = 1 and second bit = 1: service allocated and activated.

The bits for services not yet defined shall be set to RFU. For coding of RFU see subclause 9.3. First byte:



Second byte:



The following example of coding for the first byte means that service n°1 "CHV1-Disabling" is allocated but not activated:

b8	b7	b6	b5	b4	b3	b2	b1
x	x	x	X	x	x	0	1

If the SIM supports the FDN feature (FDN allocated and activated) a special mechanism shall exist in the SIM which invalidates both  $EF_{IMSI}$  and  $EF_{LOCI}$  once during each GSM session. This mechanism shall be invoked by the SIM automatically if FDN is enabled. This invalidation shall occur at least before the next command following selection of either EF. FDN is enabled when the ADN is invalidated or not activated.

If the SIM supports the BDN feature (BDN allocated and activated) a special mechanism shall exist in the SIM which invalidates both  $EF_{IMSI}$  and  $EF_{LOCI}$  once during each GSM session and which forbids the REHABILITATE command to rehabilitate both  $EF_{IMSI}$  and  $EF_{LOCI}$  until the PROFILE DOWNLOAD procedure is performed indicating that the ME supports the "Call control by SIM" facility. This mechanism shall be invoked by the SIM automatically if BDN is enabled. The invalidation of  $EF_{IMSI}$  and  $EF_{LOCI}$  shall occur at least before the next command following selection of either EF. BDN is enabled when the  $EF_{BDN}$  is not invalidated.

## 10.3.8 EF<sub>ACM</sub> (Accumulated call meter)

This EF contains the total number of units for both the current call and the preceding calls.

NOTE: The information may be used to provide an indication to the user for advice or as a basis for the calculation of the monetary cost of calls (see TS 22.086 [9]).

Identifi	Identifier: '6F39'				Optional		
Record length: 3 bytes			Update activity: high				
Access Condit READ UPDAT	ions: FE	CHV CHV (fixed	1 1/CHV2 I during administrative	e manac	gement)		
INCREASE CHV INVALIDATE ADM REHABILITATE ADM			1		,,		
Bytes		Descriptio	n	M/O	Length		
1 - 3	3 Accumulated count of units			М	3 bytes		

- Accumulated count of units

Contents: value of the ACM

Coding: see the coding of  $EF_{ACMmax}$ 

## 10.3.9 EF<sub>GID1</sub> (Group Identifier Level 1)

This EF contains identifiers for particular SIM-ME associations. It can be used to identify a group of SIMs for a particular application.

Identifie	er: '6F3E'	Str	ucture: transparent		Optional
File size: 1-n bytes			Update	activity	: low
Access Condit READ UPDAT INVALI REHAE	ions: TE DATE BILITATE	CHV <sup>/</sup> ADM ADM ADM	1		
Bytes		Descriptio	n	M/O	Length
1 - n	SIM group identifier(s)				n bytes

# 10.3.10 EF<sub>GID2</sub> (Group Identifier Level 2)

This EF contains identifiers for particular SIM-ME associations. It can be used to identify a group of SIMs for a particular application.

Identifie	er: '6F3F'	Str	ucture: transparent		Optional		
File size: 1-n bytes			Update activity: low				
Access Condit READ UPDAT INVALI REHAE	ions: "E DATE BILITATE	CHV <sup>7</sup> ADM ADM ADM	1				
Bytes		n	M/O	Length			
1 - n	SIM group identi		0	n bytes			

NOTE: The structure of  $EF_{GID1}$  and  $EF_{GID2}$  are identical. They are provided to allow the network operator to enforce different levels of security dependant on application.

## 10.3.11 EF<sub>SPN</sub> (Service Provider Name)

This EF contains the service provider name and appropriate requirements for the display by the ME.

Identifi	er: '6F46'	Str	ucture: transparent		Optional		
Fi	le Size: 17 bytes		Update activity: low				
Access Condit READ UPDAT INVALI REHAE	ions: TE DATE BILITATE	ALW. ADM ADM ADM	AYS				
Bytes		Descriptio	n	M/O	Length		
1	Display Conditio	n		M	1 byte		
2 - 17	Service Provider	Name		М	16 bytes		

- Display Condition

Contents: display condition for the service provider name in respect to the registered PLMN (see TS 02.07 [3]).

Coding: see below

Byte 1:



- Service Provider Name

Contents: service provider string to be displayed

Coding: the string shall use either

- the SMS default 7-bit coded alphabet as defined in TS 23.038 [12] with bit 8 set to 0. The string shall be left justified. Unused bytes shall be set to 'FF'; or
- one of the UCS2 code options defined in annex B.

## 10.3.12 EF<sub>PUCT</sub> (Price per unit and currency table)

This EF contains the Price per Unit and Currency Table (PUCT). The PUCT is Advice of Charge related information which may be used by the ME in conjunction with  $EF_{ACM}$  to compute the cost of calls in the currency chosen by the subscriber, as specified in TS 22.024 [7]. This EF shall always be allocated if  $EF_{ACM}$  is allocated.

Identifi	er: '6F41'	Str	ucture: transparent		Optional		
F	ile size: 5 bytes		Update activity: low				
Access Condit READ UPDAT INVALI REHAB	ions: FE IDATE BILITATE	CHV CHV (fixeo ADM ADM	1 1/CHV2 I during administrative	e manaç	gement)		
Bytes		Descriptio	n	M/O	Length		
1 - 3	1 - 3 Currency code			М	3 bytes		
4 - 5 Price per unit				М	2 bytes		

#### - Currency code

#### Contents:

the alpha-identifier of the currency code.

#### Coding:

bytes 1, 2 and 3 are the respective first, second and third character of the alpha identifier. This alpha-tagging shall use the SMS default 7-bit coded alphabet as defined in TS 23.038 [12] with bit 8 set to 0.

- Price per unit

#### Contents:

price per unit expressed in the currency coded by bytes 1-3.

#### Coding:

Byte 4 and bits b1 to b4 of byte 5 represent the Elementary Price per Unit (EPPU) in the currency coded by bytes 1-3. Bits b5 to b8 of byte 5 are the decimal logarithm of the multiplicative factor represented by the absolute value of its decimal logarithm (EX) and the sign of EX, which is coded 0 for a positive sign and 1 for a negative sign.

Byte 4:

Byte 5:



The computation of the price per unit value is made by the ME in compliance with TS 22.024 [7] by the following formula:

price per unit = EPPU  $* 10^{EX}$ .

The price has to be understood as expressed in the coded currency.

### 10.3.13 EF<sub>CBMI</sub> (Cell broadcast message identifier selection)

This EF contains the Message Identifier Parameters which specify the type of content of the cell broadcast messages that the subscriber wishes the MS to accept.

Any number of CB Message Identifier Parameters may be stored in the SIM. No order of priority is applicable.

Identifi	er: '6F45'	Str	Structure: transparent Op					
Fi	ile size: 2n bytes		Update	e activity	/: low			
Access Condit READ UPDA <sup>-</sup> INVAL REHAI	ions: IE IDATE BILITATE	CHV <sup>,</sup> CHV <sup>,</sup> ADM ADM	1 1					
Bytes		Descriptio	n	M/O	Length			
1 - 2	CB Message Ide	entifier 1		0	2 bytes			
3 - 4	CB Message Ide	entifier 2		0	2 bytes			
2n-1 - 2n	CB Message Ide	entifier n		0	2 bytes			

- Cell Broadcast Message Identifier

Coding:

as in TS 23.041, "Message Format on BTS-MS Interface - Message Identifier".

Values listed show the types of message which shall be accepted by the MS.

Unused entries shall be set to 'FF FF'.

### 10.3.14 EF<sub>BCCH</sub> (Broadcast control channels)

This EF contains information concerning the BCCH according to TS 04.08 [15].

BCCH storage may reduce the extent of a Mobile Station's search of BCCH carriers when selecting a cell. The BCCH carrier lists in an MS shall be in accordance with the procedures specified in TS 04.08 [15]. The MS shall only store BCCH information from the System Information 2 message and not the 2bis extension message.

Identifi	er: '6F74'	Str	ucture: transparent		Mandatory		
File size: 16 bytes			Update activity: high				
Access Condit READ UPDAT INVALI REHAB	ions: IE DATE BILITATE	CHV <sup>/</sup> CHV <sup>/</sup> ADM ADM	1				
Bytes Descript			n	M/O	Length		
1 - 16	BCCH information			М	16 bytes		

- BCCH information

Coding:

The information is coded as octets 2-17 of the "neighbour cells description information element" in TS 04.08 [15].

## 10.3.15 EF<sub>ACC</sub> (Access control class)

This EF contains the assigned access control class(es). TS 22.011 [5] refers. The access control class is a parameter to control the RACH utilization. 15 classes are split into 10 classes randomly allocated to normal subscribers and 5 classes allocated to specific high priority users. For more information see TS 22.011 [5].

Identifi	er: '6F78'	Str	ucture: transparent		Mandatory		
File size: 2 bytes			Update	activity	r: low		
Access Condit READ UPDAT INVALI REHAE	ions: FE DATE BILITATE	CHV <sup>,</sup> ADM ADM ADM	1				
Bytes		Descriptio	n	M/O	Length		
1 - 2	Access control classes			М	2 bytes		

Access control classes

Coding:

Each ACC is coded on one bit. An ACC is "allocated" if the corresponding bit is set to 1 and "not allocated" if this bit is set to 0. Bit b3 of byte 1 is set to 0.

Byte 1:

b8	b7	b6	b5	b4	b3	b2	b1								
15	14	13	12	11	10	0.9	0.8	Number	of	the	ACC	(except	for	hit	h3)

Byte 2:

b8	b7	b6	b5	b4	b3	b2	b1				
07	06	05	04	03	02	01	00	Number	of	the	ACC

## 10.3.16 EF<sub>FPLMN</sub> (Forbidden PLMNs)

This EF contains the coding for four Forbidden PLMNs (FPLMN). It is read by the ME as part of the SIM initialization procedure and indicates PLMNs which the MS shall not automatically attempt to access.

A PLMN is written to the EF if a network rejects a Location Update with the cause "PLMN not allowed". The ME shall manage the list as follows.

When four FPLMNs are held in the EF, and rejection of a further PLMN is received by the ME from the network, the ME shall modify the EF using the UPDATE command. This new PLMN shall be stored in the fourth position, and the existing list "shifted" causing the previous contents of the first position to be lost.

When less than four FPLMNs exist in the EF, storage of an additional FPLMN shall not cause any existing FPLMN to be lost.

Dependent upon procedures used to manage storage and deletion of FPLMNs in the EF, it is possible, when less than four FPLMNs exist in the EF, for 'FFFFFF' to occur in any position. The ME shall analyse all the EF for FPLMNs in any position, and not regard 'FFFFFF' as a termination of valid data.

Identifie	er: '6F7B'	Structure: transparent			Mandatory	
Fi	le size: 12 bytes		Update activity: low			
Access Condit	ions:		1			
	F	CHV1 CHV1				
INVALI	DATE	ADM				
REHAE	BILITATE	ADM				
Bytes		Descriptio	n	M/O	Length	
1 - 3	PLMN 1			М	3 bytes	
4 - 6	PLMN 2			М	3 bytes	
7 - 9	PLMN 3			М	3 bytes	
10 - 12	PLMN 4			М	3 bytes	

- PLMN

Contents:

Mobile Country Code (MCC) followed by the Mobile Network Code (MNC).

Coding:

according to TS 04.08 [15].

For instance, using 246 for the MCC and 81 for the MNC and if this is stored in PLMN 3 the contents is as follows:

Bytes 7-9: '42' 'F6' '18'

If storage for fewer than 4 PLMNs is required, the unused bytes shall be set to 'FF'.

### 10.3.17 EF<sub>LOCI</sub> (Location information)

This EF contains the following Location Information:

- Temporary Mobile Subscriber Identity (TMSI);
- Location Area Information (LAI);
- TMSI TIME;
- Location update status.

In the case when updating  $EF_{LOCL}$  with data containing the TMSI value and the card reports the error '92 40' (Memory Problem), the ME shall terminate GSM operation.

See clause 11.1.2 for special requirements when updating EF<sub>LOCI</sub>.

Identifi	er: '6F7E'	Structure: transparent			Mandatory	
Fi	le size: 11 bytes		Update activity: high			
Access Condit READ UPDAT INVALI REHAB	ions: FE DATE BILITATE	CHV <sup>.</sup> CHV <sup>.</sup> ADM CHV <sup>.</sup>	1 1 1			
Bytes		Description		M/O	Length	
1 - 4	TMSI			М	4 bytes	
5 - 9	LAI			М	5 bytes	
10 TMSI TIME				М	1 byte	
11	Location update	status		М	1 byte	
#### - TMSI

Contents: Temporary Mobile Subscriber Identity

Coding: according to TS 04.08 [15].

#### Byte 1: first byte of TMSI

b8	b7	b6	b5	b4	b3	b2	b1
MSB							

- LAI

Contents: Location Area Information

Coding: according to TS 04.08 [15].

Byte 5: first byte of LAI

1	b8	b7	b6	b5	b4	b3	b2	b1
	MSB							

#### - TMSI TIME

Contents: Current value of Periodic Location Updating Timer (T3212).

This byte is used by Phase 1 MEs, but it shall not be used by Phase 2 MEs.

- Location update status

Contents: status of location update according to TS 04.08 [15].

#### Coding:

Byte 11:				
Bits:	b3	b2	b1	
0	0	0	:	updated
0	0	1	:	not updated
0	1	0	:	PLMN not allowed
0	1	1	:	Location Area not allowed
1	1	1	:	reserved
D' + 1.4 + 1.0	DELL	1	1	0.0

Bits b4 to b8 are RFU (see subclause 9.3).

### 10.3.18 EF<sub>AD</sub> (Administrative data)

This EF contains information concerning the mode of operation according to the type of SIM, such as normal (to be used by PLMN subscribers for GSM operations), type approval (to allow specific use of the ME during type approval procedures of e.g. the radio equipment), cell testing (to allow testing of a cell before commercial use of this cell), manufacturer specific (to allow the ME manufacturer to perform specific proprietary auto-test in its ME during e.g. maintenance phases).

It also provides an indication of whether some ME features should be activated during normal operation as well as information about the length of the MNC, which is part of the International Mobile Subscriber Identity (IMSI).

Identifier: '6FAD' Stru		ucture: transparent		Mandatory	
File	e size: 3+X bytes		Update	activity	: low
Access Condit READ UPDAT INVALI REHAB	ions: FE DATE BILITATE	ALW ADM ADM ADM			
Bytes		Descriptio	n	M/O	l enath
1	MS operation mo	ode		M	1 byte
2 to 3	Additional inform	nation		М	2 bytes
4	length of MNC i	n the IMSI		0	1 byte
5 to 4+X	RFU			0	X bytes

#### - MS operation mode

Contents: mode of operation for the MS

Coding:

Initial value

- normal operation '00'
- type approval operations '80'
- normal operation + specific facilities '01'
- type approval operations + specific facilities '81'
- maintenance (off line) '02'
- cell test operation '04'
- Additional information

#### Coding:

- specific facilities (if b1=1 in byte 1);

Byte 2 (first byte of additional information):



Byte 3:



The OFM bit is used to control the Ciphering Indicator as specified in TS 02.07 [3]

- ME manufacturer specific information (if b2=1 in byte 1).

- Length of MNC in the IMSI :

Contents:

The length indicator refers to the number of digits, used for extracting the MNC from the IMSI Coding:

Byte 4:



This value codes the number of digits of the MNC in the IMSI. Only the values '0010' and '0011' are currently specified, all other values are reserved for future use. RFU (see subclause 9.3).

# 10.3.19 EF<sub>Phase</sub> (Phase identification)

This EF contains information concerning the phase of the SIM.

Identifie	er: '6FAE'	Structure: transparent			Mandatory
File size: 1 byte		Update activity: low			
Access Condit READ UPDAT INVALI REHAB	ions: ГЕ DATE BILITATE	ALW ADM ADM ADM			
Bytes		Descriptio	n	M/O	Length
1	SIM Phase			М	1 byte

- SIM Phase

Coding:

'00': phase 1

'02': phase 2

'03': phase 2 and PROFILE DOWNLOAD required (see TS 11.14 [27]).

All other codings are reserved for specification by ETSI TC SMG. Codings '04' to '0F' indicate that the SIM supports, as a minimum, the mandatory requirements defined in this specification.

This phase identification does not preclude a SIM to support some features of a phase later than the one indicated in  $EF_{Phase}$ . For example : if  $EF_{Phase}$  is coded '00', it may be assumed by the ME that some Phase 2 or Phase 2+ features are supported by this SIM; if  $EF_{Phase}$  is coded '02' or '03', it may be assumed by the ME that some Phase 2+ features are supported by this SIM.

However, the services  $n^{\circ}3$  (FDN) and/or  $n^{\circ}5$  (AoC) shall only be allocated and activated in SIMs of phase 2 or later with  $EF_{Phase}$  being coded '02' or greater. Similarly, service  $n^{\circ}31$  (BDN) shall only be allocated and activated in SIMs with  $EF_{Phase}$  being coded '03' or greater.

If  $EF_{Phase}$  is coded '03' or greater, an ME supporting SIM Application Toolkit shall perform the PROFILE DOWNLOAD procedure, as defined in TS 11.14 [27].

# 10.3.20 EF<sub>vgcs</sub> (Voice Group Call Service)

This EF contains a list of those VGCS group identifiers the user has subscribed to. The elementary file is used by the ME for group call establishment and group call reception.

Identifier	Identifier: '6FB1' Stru		ucture: transparent		Optional	
File size: 4n bytes (n <= 50)		Update	activity	: low		
Access Conditions: READ CHV1 UPDATE ADM INVALIDATE ADM						
REHABII	LITATE	ADM				
Bytes		Descripti	on	M/O	Length	
1 - 4	Group ID 1			М	4 bytes	
5 - 8	Group ID 2			0	4 bytes	
:	:			:	:	
(4n-3)-4n	Group ID n			0	4 bytes	

#### - Group ID

Contents: VGCS Group ID, according to TS 23.003 [10]

Coding:

The VGCS Group ID is of a variable length with a maximum length of 8 digits. Each VGCS Group ID is coded on four bytes, with each digit within the code being coded on four bits corresponding to BCD code. If a VGCS Group ID of less than 8 digits is chosen, then the unused nibbles shall be set to 'F'. VGCS Group ID Digit 1 is the most significant digit of the Group ID.

Byte 1:



Byte 2:



Byte 3:



Byte 4:



Byte (4n-3)-4n:



If storage for fewer than the maximum possible number n of VGCS Group IDs, is required, the excess bytes shall be set to 'FF'.

### 10.3.21 EF<sub>vgcss</sub> (Voice Group Call Service Status)

This EF contains the status of activation for the VGCS group identifiers. The elementary file is directly related to the  $EF_{VGCS}$ . This EF shall always be allocated if  $EF_{VGCS}$  is allocated.

Identifier	: '6FB2'	Structure: transparent			Optional
File size: 7 bytes		Update activity: low			
Access Conditio READ UPDATE INVALID REHABI	ns: : ATE LITATE	CHV <sup>,</sup> ADM ADM ADM	1		
Bytes		Descripti	on	M/O	Length
1 - 7	Activation/Deactivation Flags		gs	М	7 bytes

- Activation/Deactivation Flags

Contents: Activation/Deactivation Flags of the appropriate Group IDs

Coding:

bit = 0 means - Group ID deactivated

bit = 1 means - Group ID activated

Byte 1:



```
etc : : : : : : : :
```

Byte 7:



# 10.3.22 EF<sub>VBS</sub> (Voice Broadcast Service)

This EF contains a list of those VBS group identifiers the user has subscribed to. The elementary file is used by the ME for broadcast call establishment and broadcast call reception.

Identifier	entifier: '6FB3' Stru		ucture: transparent	: transparent Optional	
File size	: 4n bytes (n <=	50)	Update	e activity	r: low
Access Conditio READ UPDATE INVALID REHABI	ns: E ATE LITATE	CHV ADM ADM ADM	1		
Bytes		Descripti	on	M/O	Length
1 - 4	Group ID 1			М	4 bytes
5 - 2	Group ID 2			0	4 bytes
:	:				:
(4n-3)-4n	Group ID n			0	4 bytes

#### - Group ID

Contents: VBS Group ID, according to TS 23.003 [10]

Coding:

The VBS Group ID is of a variable length with a maximum length of 8 digits. Each VBS Group ID is coded on four bytes, with each digit within the code being coded on four bits corresponding to BCD code. If a VBS Group ID of less than 8 digits is chosen, then the unused nibbles shall be set to 'F'. VBS Group ID Digit 1 is the most significant digit of the Group ID.

Byte 1:



Byte 2:





If storage for fewer than the maximum possible number n of VBS Group IDs, is required, the excess bytes shall be set to 'FF'.

# 10.3.23 EF<sub>vBss</sub> (Voice Broadcast Service Status)

This EF contains the status of activation for the VBS group identifiers. The elementary file is directly related to the  $EF_{VBS}$ . This EF shall always be allocated if  $EF_{VBS}$  is allocated.

Identifier: '6FB4'		Str	ucture: transparent		Optional
File	e size: 7 bytes		Update	activity	: low
Access Conditio READ UPDATE INVALID REHABII	ns: E ATE LITATE	CHV <sup>7</sup> ADM ADM ADM	1		
Bytes		Description	on	M/O	Length
1 - 7	Activation/Deactivation Flags		gs	Μ	7 bytes

- Activation/Deactivation Flags

Contents: Activation/Deactivation Flags of the appropriate Group IDs

Coding:

see coding of  $\text{EF}_{\text{VGCS}}$ 

# 10.3.24 EF<sub>emlpp</sub> (enhanced Multi Level Pre-emption and Priority)

This EF contains information about priority levels and fast call set-up conditions for the enhanced Multi Level Preemption and Priority service that which can be used by the subscriber.

Identifi	entifier: '6FB5' Structu		ucture: transparent	transparent Optional	
F	ile size: 2 bytes		Update activity: low		
Access Condit READ UPDAT INVALI REHAB	ions: TE DATE BILITATE	CHV <sup>.</sup> ADM ADM ADM	1		
Bytes		Descriptio	n	M/O	Length
1	Priority levels			М	1 byte
2	Fast call set-up	conditions		М	1 byte

- Priority levels

Contents: The eMLPP priority levels subscribed to.

Coding: Each eMLPP priority level is coded on one bit. Priority levels subscribed to have their corresponding bits set to 1. Priority levels not subscribed to have their corresponding bits set to 0. Bit b8 is reserved and set to 0.

Byte 1:



NOTE: Priority levels A and B can not be subscribed to (see TS 22.067 [42] for details).

EXAMPLE 1: If priority levels 0, 1 and 2 are subscribed to,  $EF_{eMLPP}$  shall be coded '1C'.

- Fast call set-up conditions

Contents: For each eMLPP priority level, the capability to use a fast call set-up procedure.

Coding: Each eMLPP priority level is coded on one bit. Priority levels for which fast call set-up is allowed have their corresponding bits set to 1. Priority levels for which fast call set-up is not allowed have their corresponding bits set to 0. Bit b8 is reserved and set to 0.

Byte 2: fast call set-up condition for:





### 10.3.25 EF<sub>AAEM</sub> (Automatic Answer for eMLPP Service)

This EF contains those priority levels (of the Multi Level Pre-emption and Priority service) for which the mobile station shall answer automatically to incoming calls.

Identifie	er: '6FB6'	Structure: transparent			Optional
File size: 1 byte		Update	activity	: low	
Access Condit READ UPDAT INVALI REHAE	ions: FE DATE BILITATE	CHV CHV ADM ADM	1 1		
Bytes		Descriptio	n	M/O	Length
1	Automatic answe	nswer priority levels		М	1 byte

- Automatic answer priority levels

Contents:

For each eMLPP priority level, the capability for the mobile station to answer automatically to incoming calls (with the corresponding eMLPP priority level).

Coding:

Each eMLPP priority level is coded on one bit. Priority levels allowing an automatic answer from the mobile station have their corresponding bits set to 1. Priority levels not allowing an automatic answer from the mobile station have their corresponding bits set to 0. Bit b8 is reserved and set to 0.





EXAMPLE: If automatic answer is allowed for incoming calls with priority levels A, 0 and 1, then EF<sub>AAeMLPP</sub> is coded '0D'.

### 10.3.26 EF<sub>CBMID</sub> (Cell Broadcast Message Identifier for Data Download)

This EF contains the message identifier parameters which specify the type of content of the cell broadcast messages which are to be passed to the SIM.

Any number of CB message identifier parameters may be stored in the SIM. No order of priority is applicable.

Identifi	er: '6F48' Structure: transparent			Optional	
File size: 2n bytes		Update	activity	r: low	
Access Condit READ UPDAT INVALI REHAB	ions: TE IDATE BILITATE	CHV <sup>,</sup> ADM ADM ADM	1		
Bytes		Descriptio	n	M/O	Length
1-2	CB Message Ide	entifier 1		0	2 bytes
3-4	CB Message Ide	entifier 2		0	2 bytes
2n-1-2n	CB Message Ide	entifier n		0	2 bytes

- Cell Broadcast Message Identifier

Coding:

as in TS 23.041 [14]. Values listed show the identifiers of messages which shall be accepted by the MS to be passed to the SIM.

Unused entries shall be set to 'FF FF'.

# 10.3.27 EF<sub>ECC</sub> (Emergency Call Codes)

This EF contains up to 5 emergency call codes.

Identifi	er: '6FB7' Stru		ucture: transparent	ture: transparent	
File size: 3n (n $\leq$ 5) bytes			Update	activity	: low
Access Condit READ UPDA INVALI REHAB	ions: TE IDATE BILITATE	ALW ADM ADM ADM			
Bytes		Descriptio	n	M/O	Length
1 - 3	Emergency Call	Code 1		0	3 bytes
4 - 6	Emergency Call	Code 2		0	3 bytes
(3n-2) - 3n	Emergency Call	Code n		0	3 bytes

- Emergency Call Code

Contents:

Emergency Call Code

Coding:

The emergency call code is of a variable length with a maximum length of 6 digits. Each emergency call code is coded on three bytes, with each digit within the code being coded on four bits as shown below. If a code of less that 6 digits is chosen, then the unused nibbles shall be set to 'F'.







# 10.3.28 EF<sub>CBMIR</sub> (Cell broadcast message identifier range selection)

This EF contains ranges of cell broadcast message identifiers that the subscriber wishes the MS to accept.

Any number of CB Message Identifier Parameter ranges may be stored in the SIM. No order of priority is applicable.

Identifier: '6F50'		Str	ucture: transparent		Optional
File size: 4n bytes			Update activity: low		r: low
Access Conditions READ UPDATE INVALIDA REHABILI	s: TE TATE	CHV <sup>,</sup> CHV <sup>,</sup> ADM ADM	1 1		
Bytes		Descript	ion	M/O	Length
1 - 4	CB Message	Identifier Ra	ange 1	0	4 bytes
5 - 8	CB Message	Identifier Ra	ange 2	0	4 bytes
(4n-3) - 4n	CB Message	Identifier Ra	ange n	0	4 bytes

- Cell Broadcast Message Identifier Ranges

Contents:

CB Message Identifier ranges:

Coding:

bytes one and two of each range identifier equal the lower value of a cell broadcast range, bytes three and four equal the upper value of a cell broadcast range, both values are coded as in TS 23.041 [14] "Message Format on BTS-MS Interface - Message Identifier". Values listed show the ranges of messages which shall be accepted by the MS.

Unused entries shall be set to 'FF FF FF FF'.

# 10.3.29 EF<sub>DCK</sub> De-personalization Control Keys

This EF provides storage for the de-personalization control keys associated with the OTA de-personalization cycle of TS 22.022.

Identifi	er: '6F2C'	Str	ucture: transparent		Optional
Fi	ile size: 16 bytes		Update	e activity	/: low
Access Condit	ions:				
READ		CHV	1		
UPDA	ΓE	CHV	1		
INVAL	IDATE	ADM			
REHA	BILITATE	ADM			
Bytes		Description			Length
1 to 4	8 digits of netwo	rk de-persor	alization control	М	4 bytes
	key				
5 to 8	8 digits of netwo	rk subset de	-personalization	М	4 bytes
	control key	control key			
9 to 12	8 digits of service provider de-personalization			Μ	4 bytes
	control key				
13 to 16	8 digits of corpo	8 digits of corporate de-personalization control			4 bytes
	key				

Empty control key records shall be coded 'FFFFFFFF'.

# 10.3.30 EF<sub>CNL</sub> (Co-operative Network List)

This EF contains the Co-operative Network List for the multiple network personalization services defined in TS 22.022.

Identifi	er: '6F32' Strue		ructure: transparent Optional		Optional
File size: 6n bytes		Update	e activity	: low	
Access Condit	ions:				
READ		CHV	1		
UPDA	ΓE	ADM			
INVAL	DATE	ADM			
REHA	BILITATE	ADM			
Bytes		Descriptio	n	M/O	Length
1 to 6	Element 1 of co-	operative ne	et list	0	6 bytes
6n-5 to 6n	Element n of co-	operative ne	t list	0	6 bytes

- Co-operative Network List

Contents:

PLMN network subset, service provider ID and corporate ID of co-operative networks.

Coding:

For each 6 byte list element

Byte 1 to 3 : PLMN (MCC + MNC) : according to TS 04.08 [15].



Empty fields shall be coded with 'FF'.

The end of the list is delimited by the first MCC field coded 'FFF'.

# 10.3.31 EF<sub>NIA</sub> (Network's Indication of Alerting)

This EF contains categories and associated text related to the Network's indication of alerting in the MS service defined in TS 02.07 [3].

Identifie	er: '6F51'	Structure: linear fixed			Optional
Recor	d length : X+1 byt	es	Update	activity	/: low
Access Condit	ions:				
READ		CHV <sup>2</sup>	1		
UPDAT	Έ	ADM			
INVALI	ALIDATE ADM				
REHAE	BILITATE	ADM			
Bytes		Descriptio	n	M/O	Length
1	Alerting category	/		М	1 byte
2 to X+1	Informative text			М	X bytes

- Alerting category

Contents:

category of alerting for terminating traffic.

Coding:

according to TS 04.08 [15]. Value 'FF' means that no information on alerting category is available.

Informative text

Contents:

text describing the type of terminating traffic associated with the category.

Coding:

see the coding of the Alpha Identifier item of the  $EF_{ADN}$  (subclause 10.5.1). The maximum number of characters for this informative text is indicated in TS 02.07 [3].

# 10.3.32 EF<sub>KCGPRS</sub> (GPRS Ciphering key KcGPRS)

This EF contains the ciphering key KcGPRS and the ciphering key sequence number n for GPRS (see TS 23.060 [32]).

Identifier: '6F52'		Str	ucture: transparent		Optional	
File size: 9 bytes			Update activity: high			
Access Condit READ UPDAT INVALI REHAE	ions: FE DATE BILITATE	CHV <sup>,</sup> CHV <sup>,</sup> ADM ADM	1			
Bytes	Description		M/O	Length		
1 - 8	- 8 Ciphering key KcGPRS			М	8 bytes	
9	Ciphering key se	equence num	ber n for GPRS	М	1 byte	

- Ciphering key KcGPRS

Coding:

The least significant bit of KcGPRS is the least significant bit of the eighth byte. The most significant bit of KcGPRS is the most significant bit of the first byte.

- Ciphering key sequence number n for GPRS

Coding:



NOTE: TS 04.08 [15] defines the value of n=111 as "key not available". Therefore the value '07' and not 'FF' should be present following the administrative phase.

### 10.3.33 EF<sub>LOCIGPRS</sub> (GPRS location information)

This EF contains the following Location Information:

- Packet Temporary Mobile Subscriber Identity (P-TMSI);
- Packet Temporary Mobile Subscriber Identity signature value (P-TMSI signature value);
- Routing Area Information (RAI);
- Routing Area update status.

Identifie	er: '6F53' Structure: transparent			Optional	
File size: 14 bytes			Update	activity	: high
Access Condit READ UPDAT INVALI REHAE	ions: E DATE BILITATE	CHV <sup>,</sup> CHV <sup>,</sup> ADM ADM	1		
Bytes		Descriptio	n	M/O	Length
1 - 4	P-TMSI			М	4 bytes
5 – 7	P-TMSI signatur	e value		М	3 bytes
8 - 13	RAI			М	6 bytes
14	Routing Area up	date status		М	1 byte

- P-TMSI

Contents: Packet Temporary Mobile Subscriber Identity

Coding: according to TS 04.08 [15].

Byte 1: first byte of P-TMSI

b8	b7	b6	b5	b4	b3	b2	b1
MSB	I	I					

- P-TMSI signature value

Contents: Packet Temporary Mobile Subscriber Identity signature value

Coding: according to TS 04.08 [15].

Byte 5: first byte of P-TMSI signature value

b8	b7	b6	b5	b4	b3	b2	b1
MSB	I	I	1	1	1	1	1

- RAI

Contents: Routing Area Information

Coding: according to TS 04.08 [15].

Byte 8: first byte of RAI

b8	b7	b6	b5	b4	b3	b2	b1
MSB							

- Routing area update status

Bits:

Contents: status of routing area update according to TS 04.08 [15].

Coding:

Byte 14:

b.	3 b2	b1	
0	0	0	: updated
0	0	1	: not updated
0	1	0	: PLMN not allowed
0	1	1	: Routing Area not allowed
1	1	1	: reserved

Bits b4 to b8 are RFU (see subclause 9.3).

# 10.3.34 EF<sub>SUME</sub> (SetUpMenu Elements)

This EF contains Simple TLVs related to the menu title to be used by a SIM card supporting the SIM API when issuing a SET UP MENU proactive command.

Identifi	ier: '6F54' Str		ucture: transparent		Optional
File	e size: X+Y bytes		Update	activity	r: low
Access Condit READ UPDAT INVALI REHAB	ions: FE DATE BILITATE	ADM ADM ADM ADM			
Bytes		Descriptio	n	M/O	Length
1 - X	Title Alpha Ident	ifier		М	X bytes
1+X - X+Y	Title Icon Identif	er		0	Y bytes

- Title Alpha Identifier

Contents:

this field contains the Alpha Identifier Simple TLV defining the menu title text.

Coding:

according to TS 11.14 [27].

- Title Icon Identifier

Contents:

this field contains the Icon Identifier Simple TLV defining the menu title icon.

Coding:

according to GSM 11.14 [27]. If not present the field shall be set to 'FF'.

Unused bytes of this file shall be set to 'FF'.

### 10.3.35 EF<sub>PLMNwact</sub> (User controlled PLMN Selector with Access Technology)

This EF contains coding for n PLMNs, where n is at least eight. This information, determined by the user, defines the preferred PLMNs of the user in priority order. The EF also contains the Access Technologies for each PLMN in this list. (see TS 23.122 [51]).

Identifier:'6F60'		Structure: transparent		Optional	
File size: 5n (n $\ge$ 8) bytes Update			Update	e activity: low	
Access Conditions READ UPDATE INVALIDAT REHABILIT	E TATE	CHV <sup>,</sup> CHV <sup>,</sup> ADM ADM	1		
Bytes		Descript	tion	M/O	Length
1 to 3	1 <sup>st</sup> PLMN (hi	ighest priorit	y)	М	3 bytes
4 to 5	1 <sup>st</sup> PLMN Ac	1 <sup>st</sup> PLMN Access Technology Identifier			2 bytes
6 to 8	2 <sup>nd</sup> PLMN			М	3 bytes
9 to 10	2 <sup>nd</sup> PLMN A	ccess Techn	ology Identifier	М	2 bytes
:		:			
36 to 38	8 <sup>th</sup> PLMN			М	3 bytes
39 to 40	8 <sup>th</sup> PLMN A	ccess Techn	ology Identifier	М	2 bytes
41 to 43	9 <sup>th</sup> PLMN			0	3 bytes
44 to 45	9 <sup>th</sup> PLMN A	ccess Techn	ology Identifier	0	2 bytes
		:			
(5n-4) to (5n-2)	N <sup>th</sup> PLMN (Io	owest priority	()	0	3 bytes
(5n-1) to 5n	N <sup>th</sup> PLMN A	ccess Techn	ology Identifier	0	2 bytes

#### - PLMN

Contents:

Mobile Country Code (MCC) followed by the Mobile Network Code (MNC).

Coding:

according to TS 24.008 [47].

- Access Technologies

Contents: The Access Technologies of a PLMN that the MS will assume when searching for a listed PLMN.

Coding:

- 2 bytes are used to select the access technology where the meaning of each bit is as follows:
  - bit = 1: access technology selected;
  - bit = 0: access technology not selected.

Byte 5n-1:



Byte 5n:



The RFU bits are coded with '0' in the bit positions.

# 10.3.36 EF<sub>OPLMNWACT</sub> (Operator controlled PLMN Selector with Access Technology)

This EF contains coding for n PLMNs, where n is at least eight. This information, determined by the operator, defines the preferred PLMNs of the operator in priority order. The EF also contains the Access Technologies for each PLMN in this list (see TS 23.122 [51]).

Identifier: '6F61'		Str	Structure: transparent		Optional
File size:	$4n (n \ge 8)$ byte	es	Update	e activity	r: low
Access Conditions	:				
READ	-	CHV <sup>,</sup>	1		
UPDATE		ADM			
INVALIDAT	ΓE	ADM			
REHABILI	ΓΑΤΕ	ADM			
Bytes		Description			Length
1 to 3	1 <sup>st</sup> PLMN (h	ighest priorit	y)	М	3 bytes
4 to 5	1 <sup>st</sup> PLMN Ac	cess Techno	ology Identifier	М	2 bytes
6 to 8	2 <sup>nd</sup> PLMN			0	3 bytes
9 to 10	2 <sup>nd</sup> PLMN A	ccess Techn	ology Identifier	0	2 bytes
:		:			
(5n-4) to (5n-2)	N <sup>th</sup> PLMN (Id	owest priority	()	0	3 bytes
(5n-1) to 5n	N <sup>th</sup> PLMN A	ccess Techn	ology Identifier	0	2 bytes

#### - PLMN

Contents:

Mobile Country Code (MCC) followed by the Mobile Network Code (MNC).

Coding:

according to TS 24.008 [47].

- Access Technologies

Contents: The Access Technologies of a PLMN that the MS will assume when searching for a listed PLMN.

Coding: See EF<sub>PLMNwAcT</sub> for coding.

### 10.3.37 EF<sub>HPLMNwAct</sub> (HPLMN Selector with Access Technology)

The HPLMN Selector with access technology data field shall contain the HPLMN code, or codes together with the respective access technology in priority order (see TS 23.122 [51]).

Identifier: '6F62'		Structure: transparent		Optional	
File s	ize: 5n bytes		Update	e activity	: low
Access Conditions	6:				
READ		CHV <sup>2</sup>	1		
UPDATE		ADM			
INVALIDA	TE	ADM			
REHABILI	TATE	ADM			
Bytes		Description			Length
1 to 3	1 <sup>st</sup> PLMN (hig	ghest priority	()	М	3 bytes
4 to 5	1 <sup>st</sup> PLMN Ac	cess Techno	logy Identifier	М	2 bytes
6 to 8	2 <sup>nd</sup> PLMN			0	3 bytes
9 to 10	2 <sup>nd</sup> PLMN Ac	2 <sup>nd</sup> PLMN Access Technology Identifier			2 bytes
:		:			
(5n-4) to (5n-2)	N <sup>th</sup> PLMN (Io	west priority	)	0	3 bytes
(5n-1) to 5n	N <sup>th</sup> PLMN Ac	cess Techno	ology Identifier	0	2 bytes

### - PLMN

Contents:

Mobile Country Code (MCC) followed by the Mobile Network Code (MNC).

Coding:

according to TS 24.008 [47].

- Access Technology

Contents: The Access Technology of the HPLMN that the MS will assume when searching for the HPLMN, in priority order. The first Access Technology in the list has the highest priority.

Coding: See  $EF_{PLMNwAcT}$  for coding.

# 10.3.38 EF<sub>CPBCCH</sub> (CPBCCH Information)

This EF contains information concerning the CPBCCH according to TS 04.18 [48] and TS 03.22 [45].

CPBCCH storage may reduce the extent of a Mobile Station's search of CPBCCH carriers when selecting a cell. The CPBCCH carrier lists shall be in accordance with the procedures specified in TS 04.18 [48], TS 04.60 [49] and TS 03.22 [45]. The MS stores CPBCCH information from the System Information 19 message, Packet System Information 3, and Packet System Information 3 bis on the SIM. The same CPBCCH carrier shall never occur twice in the list.

Identifier: '6F63'		Str	Structure: transparent		Optional
Fi	le size: 2n bytes		Upo	date activity:	high
Access Condit READ UPDAT INVALI REHAB	ions: FE IDATE BILITATE	CHV <sup>,</sup> CHV <sup>,</sup> ADM ADM	1		
Bytes		Descriptio	n	M/O	Length
1 to 2	Element 1 of CPBCCH carrier list		er list	М	2 bytes
2n-1 to 2n	Element n of CP	BCCH carrie	er list	М	2 bytes

- Element in CPBCCH carrier list

Coding:

Byte 1: first byte of CPBCCH carrier list element



Byte 2: second byte of CPBCCH carrier list element



- ARFCN (10 bits) as defined in TS 05.05 [46].
- High/Low band indicator: If the ARFCN indicates possibly a channel in the DCS 1800 or a channel in the PCS 1900 band, if the bit is set to '1' the channel is in the higher band (GSM 1900). If the bit is set to '0', the lower band (GSM 1800) is indicated. If ARFCN indicates a unique channel, this indicator shall be set to '0'.
- Empty indicator: If this bit is set to '1', no CPBCCH carrier is stored in this position. If the Empty Indicator is set to '1', the content of the CPBCCH carrier field shall be ignored. The empty indicator shall also be used, and set to '1', if storage of fewer than maximum number n, of CPBCCH carrier fields is required.

### 10.3.39 EF<sub>InvScan</sub> (Investigation Scan)

This EF contains two flags used to control the investigation scan for higher prioritized PLMNs not offering voice services.

Identifi	er: '6F64'	Structure: transparent			Optional	
F	File size: 1 byte		Update	Update activity: low		
Access Condit READ UPDAT INVALI REHAB	ions: FE DATE BILITATE	CHV <sup>.</sup> ADM ADM ADM	1			
Bytes	Description			M/O	Length	
1	Investigation sca	Investigation scan flags			1 bytes	

- Investigation scan flags

Coding:



A '1' in a bit position indicates that the investigation scan shall be performed for the condition corresponding to that bit position and a '0' that it shall not be performed.

If this elementary file is not present, no investigation scan shall be performed.

# 10.3.40 EF<sub>RPLMNAcT</sub> (RPLMN Last used Access Technology)

This EF contains the last used access technology for the Registered PLMN, RPLMN. (see TS 23.122 [50]). This EF shall contain only one access technology.

NOTE: One access technology means that only one bit is set in the entire field.

If this EF does not exist on the SIM, then the MS shall assume that RPLMN access technology is GSM.

Identifie	Identifier: '6F5F'		ucture: transparent		Optional
File	e size: 2+X bytes		Update	High	
Access Condit READ UPDAT INVALI REHAE	ions: FE DATE BILITATE	CHV <sup>.</sup> CHV <sup>.</sup> ADM ADM	1		
Bytes	Description			M/O	Length
1 to 2	Access Technology of RPLMN		М	2 bytes	
3 to 2+X	RFU			0	X bytes

- Access Technology

Coding:

- See  $EF_{PLMNwAcT}$  for coding.

# 10.3.41 EF<sub>PNN</sub> (PLMN Network Name)

This EF contains the full and short form versions of the network name for the registered PLMN. The ME shall use these versions in place of its own versions of the network name for the PLMN (stored in the ME's memory list), and also in place of the versions of the network name received when registered to the PLMN, as defined by 3G TS 24.008 [47].

The first record in this EF is used for the default network name when registered to the HPLMN. Subsequent records are to be used for other network names.

Identifier	: '6FC5'	Structure: linear fixed			Optional
Record length: X bytes		Update activity: low			
Access Conditio READ UPDATE ACTIVAT DEACTIV	ns: FE VATE	ALW ADM ADM ADM	AYS		
Bytes	Description			M/O	Length
1 to X	Network name TLV objects			М	X bytes

- Network Name TLV objects.

The content and coding (Full name for network and Short name for network) is defined below, where the fields within the objects are defined in 3G TS 24.008 [47]:

Length	Description	Status
1 byte	Full name for network IEI	М
	(This shall be the same as that used in the	
	MM information message).	
1 byte	Length of Full name for network Name	М
	contents	
Y bytes	Full name for network contents (Octets 3 to n	М
	of network name information element)	
1 byte	Short name for network IEI	0
	(This shall be the same as that used in the MM	
	information message).	
1 byte	Length of Short name for network	C1
Z bytes	Short name for network contents (Octets 3 to	C1
,	n of network name information element)	
C1: this field s	hall be present if the short name for network IEI is	present

#### Coding of the Network Name TLV objects

Unused bytes shall be set to 'FF'.

# 10.3.42 EF<sub>OPL</sub> (Operator PLMN List)

This EF contains a prioritised list of Location Area Information (LAI) identities that are used to associate a specific operator name contained in  $EF_{PNN}$  with the LAI. The ME shall use this EF in association with the  $EF_{PNN}$  in place of any network name stored within the ME's internal list and any network name received when registered to the PLMN, as defined by 3G TS 24.008 [47].

If the  $EF_{PNN}$  is not present then this file shall not be present.

Identifier	Identifier: '6FC6' Str		tructure: linear fixed		Optional
Record ler	ngth: X bytes, X	>= 6	Update	e activity:	low
Access Conditio READ UPDATE DEACTIV ACTIVAT	ns: : /ATE /E	ALW ADN ADN ADN	VAYS A A A		
Bytes		Description		M/O	Length
1 to 5	Location Area Identity		М	5 bytes	
6	PLMN Network	Name Red	cord Identifier	М	1 byte

- Location Area Identity

Contents:

Location Area Information, this comprises of the MCC, MNC and LAC

Coding: according to 3G TS 24.008 [47]

A BCD value of 'D' in any of the MCC and/or MNC digits shall be used to indicate a "wild" value for that corresponding MCC/MNC digit

A value of '0000' in the LAC shall be used to indicate a "wild" value for the LAC

- PLMN Network Name Record Identifier

Contents:

Identifier of operator name to be displayed

Coding:

A value of '00' indicates that the name is to be taken from other sources, see 3G TS 22.101 [53]

A value in the range '01' to 'FE' indicates the record number in  $EF_{PNN}$  that shall be displayed as the registered PLMN name

NOTE: The intent of this file is to provide exceptions to the other sources of a network name. Care should be taken not to introduce too many PLMN entries. An excessive number of entries could result in a longer initialisation period.

# 10.3.43 EF<sub>MBDN</sub> (Mailbox Dialling Numbers)

This EF contains dialling numbers to access mailboxes associated with Voicemail, Fax, Electronic Mail and other messages. It may also contain associated alpha-tags for each supported mailbox. Each dialling number shall be associated with a message waiting indication group type using  $EF_{MBI}$  (see 3G TS 23.038 [12] for message waiting indication group types).

Identifier: '6FC7'		Sti	Structure: linear fixed		Optional	
Record	length: X+14 by	tes	Update	Update activity: low		
Access Conditio READ UPDATE DEACTIV ACTIVA	ns: : VATE IE	PIN PIN// (fixeo ADM ADM	ADM I during administrative	manag	ement)	
Bytes		Descripti	on	M/O	Length	
1 to X	Alpha Identifie	r		0	X bytes	
X+1	Length of BCD	number/SS	C contents	М	1 byte	
X+2	TON and NPI	TON and NPI		М	1 byte	
X+3 to X+12	Dialling Number/SSC contents		М	10 bytes		
X+13	Extended Capa	Extended Capability Configuration Parameters		М	1 byte	
X+14	Extension 6 Re	ecord Identifi	er	М	1 byte	

This EF is mandatory if EF<sub>SST</sub> indicates that the Mailbox Dialling Numbers service is available.

For contents and coding of all data items see the respective data items of the  $EF_{ADN}$  (subclause 10.5.1), with the exception that extension records are stored in the  $EF_{EXT6}$  and with the exception that Capability/Configuration parameters are stored in the  $EF_{ECCP}$ 

NOTE: The value of X (the number of bytes in the alpha-identifier) may be different to the length denoted X in  $EF_{ADN}$ .

### 10.3.44 EF<sub>MBI</sub> (Mailbox Identifier)

This EF contains information to associate mailbox dialling numbers in  $EF_{MBDN}$  with a message waiting indication group type and subscriber profile (as defined in 3G TS 23.097 [54]). A message waiting indication group type may either be Voicemail, Fax, Electronic Mail or Other (as defined in 3G TS 23.038 [12] for Data Coding Scheme).

This EF contains as many records as there are subscriber profiles (shall be record to subscriber profile). Each record contains references to mailbox dialling numbers in  $EF_{MBDN}$  (one reference for each message waiting indication group type).

This EF is mandatory if EF<sub>SST</sub> indicates that the Mailbox Dialling Numbers service is available.

Identifier: '6FC9'		Sti	Structure: linear fixed		Optional	
Record le	ength: X bytes, X	>=4	Update activity: low			
Access Conditio READ UPDATE DEACTIV ACTIVAT	ns: : /ATE /E	PIN PIN/A (fixed ADM ADM	ADM I during administrative	manag	ement)	
Bytes		Descripti	on	M/O	Length	
1	Mailbox Diallin	g Number Id	entifier – Voicemail	Μ	1 byte	
2	Mailbox Dialling Number Identifier – Fax			Μ	1 byte	
3	Mailbox Dialling Number Identifier – Electronic Mail			М	1 byte	
4	Mailbox Diallin	g Number Id	entifier – Other	М	1byte	

- Mailbox Dialling Number Identifier (message waiting group type = Voicemail, Fax, Electronic Mail or Other). Contents:

Identifies the mailbox dialling number to be associated with message waiting type.

Coding:

'00' - no mailbox dialling number associated with message waiting indication group type

'xx' - record number in EF<sub>MBDN</sub> associated with message waiting indication group type

# 10.3.45 EF<sub>MWIS</sub> (Message Waiting Indication Status)

This EF contains the status of indicators that define whether or not a Voicemail, Fax, Electronic Mail or Other message is waiting (as defined in 3G TS 23.038 [12] for message waiting indication group types). The ME uses the status after re-activation to determine whether or not to display the respective message-waiting indication on its display.

This EF contains as many records as there are subscriber profiles (shall be record to subscriber profile) as defined in 3G TS 23.097 [54] for MSP.

Identifier: '6FCA'		Structure: Linear fixed		Optional	
Record leng	gth: X bytes, X	>= 5	Update	activity:	high
Access Conditions READ UPDATE DEACTIVA ACTIVATE	s: ATE	Pin Pin Adm Adm			
Bytes		Descrip	tion	M/O	Length
1	Message Wa	aiting Indicate	or Status	М	1 byte
2	Number of V	oicemail Me	ssages Waiting	М	1 byte
3	Number of Fax Messages Waiting			Μ	1 byte
4	Number of E	lectronic Mai	il Messages Waiting	М	1 byte
5	Number of C	ther Messag	es Waiting	М	1 byte

Message Waiting Indication Status

Contents:

Indicates the status of the message-waiting indication.

Coding:

The indicator status for each indicator type is 1 bit long and set as follows:

bit = 1: Set Indication Active

bit = 0: Set Indication Inactive

	b8	b7	bб	b5	b4	b3	b2	b1	
									Message Waiting Indication Status - Voicemail Message Waiting Indication Status - Fax Message Waiting Indication Status - Electronic Mail Message Waiting Indication Status - Other RFU
Number of Conten Coding	Voice ts: Conta : Binar	email ins tł y.	l Mes ne nui	sages	s Wai of vo	iting bicen	nail m	nessaį	ges waiting (see 3G TS 23.040 [13]).
Number of Conten Coding	Fax M ts: Conta : Binar	Messa ins tł y.	ages ' ne nui	Waiti mber	ng of fa	x me	ssage	es wai	iting (see 3G TS 23.040 [13]).
Number of Conten Coding	Elect ts: Conta : Binar	ronic ins tł y.	e Mai ne nui	l Mes mber	ssage of el	s Wa ectro	iting onic n	nail m	nessages waiting (see 3G TS 23.040 [13])
Number of Conten Con Coding	Othe ts: ntains : Binar	r Mes the n y.	ssage umbe	s Wa r of c	iting other	mess	sages	waiti	ing (see 3G TS 23.040 [13]).

# 10.3.46 EF<sub>CFIS</sub> (Call Forwarding Indication Status)

This EF contains the status of indicators that are used to record whether call forward is active. The ME uses the status after re-activation to determine whether or not to display the respective Call Forwarding indicator on its display.

This EF contains as many records as there are subscriber profiles (shall be record to subscriber profile) as defined in 3G TS 23.097 [54] for MSP.

Identifier:	'6FCB'	Stru	Structure: Linear Fixed Optional		
Record	length: 16 byte	es	Update	activity:	low
Access Condition READ UPDATE DEACTIV ACTIVATI	s: ATE E	Pin Pin Adm Adm			
Bytes		Descript	tion	M/O	Length
1	MSP number			М	1 byte
2	CFU indicator	r status		М	1 byte
3	Length of BC	D number		М	1 byte
4	TON and NPI			М	1 byte
5 to 14	Dialling Numb	ber		М	10 bytes
15	Extended Ca	pability Confi	guration Parameters	М	1 byte
16	Extension 7 F	Record Identi	fier	М	1 byte

NOTE: For contents and coding of data items not detailed below, see the respective data items of EF<sub>ADN</sub> (subclause 10.5.1), with the exception that Capability/Configuration parameters are stored in the EF<sub>ECCP</sub> and Extension 7 Record Identifier is used.

MSP number:

#### Contents:

The MSP number contains the Profile Identity of the subscriber profile. The Profile Identity shall be between 1 and 4 as defined in 3G TS 23.097 [54] for MSP.

Coding: Binary.

CFU indicator status:

#### Contents:

Indicates the status of the call forward unconditional indicator. Service code = 21 (CFU) or 002 (for CFU part of all CF), as defined in 3G TS 22.030 [8]

#### Coding:

The indicator status for each indicator type is 1 bit long and is set as follows:

bit = 1: Set indication active

bit = 0: Set indication inactive



# 10.3.47 EF<sub>EXT5</sub> (Extension5)

This EF is not used

# 10.3.48 EF<sub>EXT6</sub> (Extension6)

This EF contains extension data of an MBDN (see MBDN in 10.3.43).

Identifie	er: '6FC8'	Sti	Structure: linear fixed O		
Reco	ord length: 13 byte	S	Update	e activity	r: low
Access Conditions: READ UPDATE DEACTIVATE ACTIVATE		PIN PIN/A (fixeo ADM ADM	ADM I during administrative	e manaç	gement)
Bytes	/tes Desc		n	M/O	Length
1	Record type			М	1 byte
2 to 12	Extension data			М	11 bytes
13	Identifier			М	1 byte

For contents and coding, see subclause 10.5.10 (EF<sub>EXT1</sub>).

# 10.3.49 EF<sub>EXT7</sub> (Extension7)

This EF contains extension data of a CFIS (Call Forwarding Indication Status - see 10.3.46).

Identifier: '6FCC'		Structure: linear fixed			Optional
Reco	ord length: 13 byte	S	Update	activity	r: low
Access Condit READ UPDAT DEACT ACTIV/	ions: TE TIVATE ATE	PIN PIN ADM ADM			
Bytes		Descriptio	n	M/O	Length
1	1 Record type			М	1 byte
2 to 12	Extension data			М	11 bytes
13	Identifier			М	1 byte

For contents and coding see subclause 10.5.10 ( $EF_{EXT1}$ ).

# 10.4 Contents of DFs at the GSM application level

# 10.4.1 Contents of files at the GSM SoLSA level

This subclause specifies the EFs in the dedicated file  $DF_{SoLSA}$ . It only applies if the SoLSA feature is supported (see TS 23.073 [33]).

The EFs contain information about the users subscribed local service areas.

### 10.4.1.1 EF<sub>SAI</sub> (SoLSA Access Indicator)

This EF contains the 'LSA only access indicator'. This EF shall always be allocated if DF<sub>SoLSA</sub> is present.

If the indicator is set, the network will prevent terminated and/or originated calls when the MS is camped in cells that are not included in the list of allowed LSAs in  $EF_{SLL}$ . Emergency calls are, however, always allowed.

The EF also contains a text string which may be displayed when the MS is out of the served area(s).

Identifier: '4F30'		Structure: transparent			Optional
File	e size: X + 1 bytes	Update activi		e activity	r: low
Access Condit READ UPDAT INVALI REHAB	ions: FE IDATE BILITATE	CHV <sup>.</sup> ADM ADM ADM	1		
Bytes		Descriptio	n	M/O	Length
1	LSA only access	indicator		М	1 byte
2 to X+1	LSA only access	indication te	ext	М	X bytes

- LSA only access indicator

Contents: indicates whether the MS is restricted to use LSA cells only or not.

Coding:



- LSA only access indication text

Contents: text to be displayed by the ME when it's out of LSA area.

Coding: the string shall use either

- the SMS default 7-bit coded alphabet as defined in TS 23.038 [12] with bit 8 set to 0. The alpha identifier shall be left justified. Unused bytes shall be set to 'FF'; or
- one of the UCS2 coded options as defined in annex B.

### 10.4.1.2 EF<sub>sLL</sub> (SoLSA LSA List)

This EF contains information describing the LSAs that the user is subscribed to. This EF shall always be allocated if  $DF_{SoLSA}$  is present.

Each LSA is described by one record that is linked to a LSA Descriptor file. Each record contains information of the PLMN, priority of the LSA, information about the subscription and may also contain a text string and/or an icon that identifies the LSA to the user. The text string can be edited by the user.

Identifi	er: '4F31'	Sti	Structure: linear fixed		Optional	
Record	d length: X + 10 by	/tes	Update	activity	: low	
Access Condit READ UPDAT INVALI REHAB	ions: ГЕ DATE BILITATE	CHV <sup>.</sup> CHV <sup>.</sup> ADM ADM	1 1			
Bytes	Description			M/O	Length	
1 to X	LSA name			0	X bytes	
X+1	Configuration pa		М	1 byte		
X+2	RFU			М	1 byte	
X+3	Icon Identifier			М	1 byte	
X+4	Priority	Priority			1 byte	
X+5 to X+7	PLMN code			М	3 bytes	
X+8 to X+9	LSA Descriptor I	File Identifier	-	М	2 byte	
X+10	LSA Descriptor I	Record Ident	ifier	М	1 byte	

- LSA name

Contents: LSA name string to be displayed when the ME is camped in the corresponding area, dependant on the contents of the LSA indication for idle mode field.

Coding: the string shall use either

- the SMS default 7-bit coded alphabet as defined in TS 23.038 [12] with bit 8 set to 0. The alpha identifier shall be left justified. Unused bytes shall be set to 'FF'; or
- one of the UCS2 coded options as defined in annex B.
- Configuration parameters

Contents: Icon qualifier, control of idle mode support and control of LSA indication for idle mode.

Coding:



Icon qualifier:

Contents: The icon qualifier indicates to the ME how the icon is to be used.

- b2, b1: 00: icon is not to be used and may not be present
  - 01: icon is self-explanatory, i.e. if displayed, it replaces the LSA name
  - 10: icon is not self-explanatory, i.e. if displayed, it shall be displayed together with the LSA name

11: RFU

Idle mode support:

Contents: The idle mode support is used to indicate whether the ME shall favour camping on the LSA cells in idle mode.

b3 = 0: Idle mode support disabled

b3 = 1: Idle mode support enabled

LSA indication for idle mode:

Contents: The LSA indication for idle mode is used to indicate whether or not the ME shall display the LSA name when the ME is camped on a cell within the LSA.

- b4 = 0: LSA indication for idle mode disabled
- b4 = 1: LSA indication for idle mode enabled

Bits b5 to b8 are RFU (see subclause 9.3).

- Icon Identifier

Contents: The icon identifier addresses a record in  $\text{EF}_{\text{IMG}}$ .

Coding: binary.

- Priority

Contents: Priority of the LSA which gives the ME the preference of this LSA relative to the other LSAs.

Coding:



'0' is lowest priority, 'F' is highest.

- PLMN code

Contents: MCC + MNC for the LSA.

Coding: according to GSM 04.08 [15] and EF<sub>LOCI</sub>.

- LSA Descriptor File Identifier:

Contents: these bytes identify the EF which contains the LSA Descriptors forming the LSA.

Coding: byte X+8: high byte of the LSA Descriptor file; byte X+9: low byte of the LSA Descriptor file.

- LSA Descriptor Record Identifier:

Contents: this byte identifies the number of the first record in the LSA Descriptor file forming the LSA.

Coding: binary.

### 10.4.1.3 LSA Descriptor files

Residing under  $DF_{SoLSA}$ , there may be several LSA Descriptor files. These EFs contains one or more records again containing LSA Descriptors forming the LSAs. LSAs can be described in four different ways. As a list of LSA IDs, as a list of LAC + CIs, as a list of CIs or as a list of LACs. As the basic elements (LSA ID, LAC + CI, CI and LAC) of the four types of lists are of different length, they can not be mixed within one record. Different records may contain different kinds of lists within the EFs. Examples of codings of LSA Descriptor files can be found in annex F.

Identifie	er: '4FXX'	Str	Structure: linear fixed		
Record	d length: n*X+2 by	rtes	Upda	te activity	r: low
Access Condit	ions:				
READ		CHV <sup>2</sup>	1		
UPDA	ΓE	ADM			
INVAL	IDATE	ADM			
REHA	BILITATE	ADM			
Bytes		Descriptio	n	M/O	Length
1	LSA descriptor t	ype and num	ıber	М	1 byte
2 to X+1	1 <sup>st</sup> LSA Descript	or		М	X bytes
X+2 to 2X+1	2 <sup>nd</sup> LSA Descrip	tor		М	X bytes
(n-1)*X+2 to	n <sup>th</sup> LSA Descript	or		М	X bytes
n*X+1					
n*X+2	Record Identifier			М	1 byte

- LSA descriptor type and number:

Contents: The LSA descriptor type gives the format of the LSA descriptor and the number of valid LSA Descriptors within the record.

Coding:



LSA descriptor type:

Contents: Gives the format of the LSA Descriptors.

b2, b1:	00: LSA ID.
	01: LAC + CI
	10: CI
	11: LAC

Number of LSA Descriptors:

Contents: Gives the number of valid LSA Descriptors in the record.

Coding: binary, with b8 as MSB and b3 as LSB leaving room for 64 LSA Descriptors per record.

- LSA Descriptor

Contents: Dependant of the coding indicated in the LSA descriptor type:

- in case of LSA ID the field length 'X' is 3 bytes;
- in case of LAC + CI the field length 'X' is 4 bytes;
- in case of CI the field length 'X' is 2 bytes;
- in case of LAC the field length 'X' is 2 bytes.

Coding: according to TS 04.08 [15].

- Record Identifier:

Contents: This byte identifies the number of the next record containing the LSA Descriptors forming the LSA.

Coding: record number of next record. 'FF' identifies the end of the chain.

This file utilises the concept of chaining as for EF<sub>EXT1</sub>.

The identifier '4FXX' shall be different from one LSA Descriptor file to the other and different from the identifiers of  $EF_{SAI}$  and  $EF_{SLL}$ . For the range of 'XX', see subclause 6.6.

### 10.4.2 Contents of files at the MExE level

This subclause specifies the EFs in the dedicated file DFMExE. It only applies if support of MExE by the SIM is supported (see TS 23.057 [50]).

The EFs in the Dedicated File DFMExE contain execution environment related information.

### 10.4.2.1 EF<sub>MExE-ST</sub> (MExE Service table)

This EF indicates which MExE services are allocated, and whether, if allocated, the service is activated. If a service is not allocated or not activated in the SIM, the ME shall not select this service.

Identifi	er: '4F40'	Structure: transparent			Optional
File	size: X bytes, $X \ge$	1	Update	e activity	: low
Access Condit READ UPDAT INVAL	ions: ГЕ IDATE	CHV <sup>.</sup> ADM ADM	1		
REHA	BILITATE	ADM			
Bytes		Descriptio	n	M/O	Length
1	Services n°1 to	n°4		М	1 byte
2	Services n°5 to	n°8		0	1 byte
etc.					
Х	Services (4X-3)	to (4X)		0	1 byte

-Services Conten

ontents:	Service n°1 :	Operator root public key
	Service n°2 :	Administrator root public key
	Service n°3 :	Third party root public key
	Service n°4 :	RFU

Coding:

2 bits are used to code each service:

first bit = 1: service allocated

first bit = 0: service not allocated

where the first bit is b1, b3, b5 or b7;

second bit = 1: service activated

second bit = 0: service not activated

where the second bit is b2, b4, b6 or b8.

Service allocated means that the SIM has the capability to support the service. Service activated means that the service is available for the card holder (only valid if the service is allocated).

The following codings are possible:

- first bit = 0: service not allocated, second bit has no meaning;
- first bit = 1 and second bit = 0: service allocated but not activated;
- first bit = 1 and second bit = 1: service allocated and activated.

The bits for services not yet defined shall be set to RFU. For coding of RFU see subclause 9.3.

First byte:



etc.

For an example of coding see sub-clause 10.3.7

### 10.4.2.2 EF<sub>ORPK</sub> (Operator Root Public Key)

This EF contains the descriptor(s) of certificates containing the Operator Root Public Key. This EF shall only be allocated if the operator wishes to verify applications and certificates in the MExE operator domain using a root public key held on the SIM. Each record of this EF contains one certificate descriptor.

For example, Operator may provide a second key for recover disaster procedure in order to limit OTA data to load.

Identifi	er: '4F41'	St	Structure: linear fixed Optiona		
Record	l length : X + 10 by	ytes	Update	e activity	y: low
Access Condit READ UPDA <sup>-</sup> INVAL REHAI	ions: FE IDATE BILITATE	CHV ADM ADM ADM	1		
Bytes	Description			M/O	Length
1	Parameters indi	cator		М	1 byte
2	Flags			М	1 byte
3	Type of certificat	te		М	1 byte
4 to 5	Key/certificate fi	le identifier		М	2 bytes
6 to 7	Offset into key/certificate file M				2 bytes
8 to 9	Length of key/certificate data M 2 bytes				
10	Key identifier length (k) M 1 by				
11 to 10+k	Key identifier			М	k bytes

- Parameter indicator

Contents:

The parameter indicator indicates if record is full and which optional parameters are present Coding: bit string

	b8	b7	b6	b5	b4	b3	b2	b1	
E									Certificate descriptor is valid (bit1=0 key descriptor is valid) Reserved bit set to 1 (bitx=0 optional parameter present)

Flags

Contents:

The authority flag indicates whether the certificate identify an authority (i.e. CA or AA) or not. Coding: bit string

	b8	b7	b6	b5	b4	b3	b2	b1	
L									Authority certificate (bit=1 certificate of a authority) RFU RFU

- Type of certificate

Contents:

This field indicates the type of certificate containing the key.

Coding: binary :

0 : WTLS

1 : X509

2 : X9.68

Other values are reserved for further use

- Key/certificate File Identifier

Contents:

these bytes identify an EF which is the key/certificate data file (see subclause 10.7.5), holding the actual key/certificate data for this record.

Coding:

byte 4: high byte of Key/certificate File Identifier; byte 5: low byte of Key/certificate File Identifier.

- Offset into Key/certificate File

Contents:

these bytes specify an offset into the transparent key/certificate data File identified in bytes 4 and 5. Coding:

byte 6: high byte of offset into Key/certificate Data File;

byte 7: low byte of offset into Key/certificate Data File

Length of Key/certificate Data

Contents:

these bytes yield the length of the key/certificate data, starting at the offset identified in "Offset into Key/certificate File" field.

Coding:

byte 8: high byte of Key/certificate Data length; byte 9: low byte of Key/certificate Data length.

- Key identifier length

Contents:

This field gives length of key identifier Coding: binary

Key identifier

Contents:

This field provides a means of identifying certificates that contain a particular public key (chain building) and linking the public key to its corresponding private key. For more information about value and using see TS 23.057 [50].

Coding:

octet string

Note: transparent key/certificate data longer than 256 bytes may be read using successive READ BINARY commands.

### 10.4.2.3 EF<sub>ARPK</sub> (Administrator Root Public Key)

This EF contains the descriptor(s) of certificates containing the Administrator Root Public Key. This EF shall only be allocated if the SIM issuer wishes to control the Third Party certificates on the terminal using an Administrator Root Public Key held on the SIM. Each record of this EF contains one certificate descriptor.

This file shall contain only one record.

Identifi	er: '4F42'	Structure: linear fixed			Optional
Record	d length: X + 10 by	/tes	Update activity: low		
Access Condit READ UPDA <sup>-</sup> INVAL REHAI	tions: TE IDATE BILITATE	CHV <sup>.</sup> ADM ADM ADM	1		
Bytes		Descriptio	n	M/O	Length
1	Parameters indi	cator		М	1 byte
2	Flags			Μ	1 byte
3	Type of certificat	te		Μ	1 byte
4 to 5	Key/certificate fi	e identifier		Μ	2 bytes
6 to 7	Offset into key/c	ertificate file		Μ	2 bytes
8 to 9	Length of key/ce	ertificate data	1	М	2 bytes
10	Key identifier length (k)			М	1 byte
11 to 10+k	Key identifier			М	k bytes

For contents and coding of all data items see the respective data items of the EF<sub>ORPK</sub> (sub-clause 10.4.2.1).

### 10.4.2.4 EF<sub>TPRPK</sub> (Third Party Root Public key)

This EF contains descriptor(s) of certificates containing the Third Party Root Public key (s). This EF shall only be allocated if the SIM issuer wishes to verify applications and certificates in the MExE Third Party domain using root public key(s) held on the SIM. This EF can contain one or more root public keys. Each record of this EF contains one certificate descriptor.

For example, an operator may provide several Third Party root public keys.

Identifi	er: '4F43'	Structure: linear fixed		Optional	
Record	length : X + 10 by	/tes	Update activity: low		
Access Condit READ UPDAT INVALI REHAE	ions: TE DATE BILITATE	CHV <sup>/</sup> ADM ADM ADM	1		
Bytes	Description		M/O	Length	
1	Parameters indicator			М	1 byte
2	Flags			М	1 byte
3	Type of certificate				1 byte
4 to 5	Key/certificate file identifier				2 bytes
6 to 7	Offset into key/certificate file				2 bytes
8 to 9	Length of key/certificate data			М	2 bytes
10	Key identifier length (k)			М	1 byte
11 to 10+k	Key identifier			М	k bytes
11+k to11+k	Certificate identifier length (m)			М	1 byte
12+k to 11+k+m	Certificate identi	fier		М	m bytes

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Certificate identifier length

Contents:

This field gives length of certificate identifier

- Coding:
  - binary
- Certificate identifier

Contents:

This field identify the issuer and provide a easy way to find a certificate. For more information about value and usage, see TS 23.057 [50].

Coding:

Octet string

For contents and coding of all other data items see the respective data items of the EF<sub>ORPK</sub> (sub-clause 10.7.1).

### 10.4.2.5 Trusted Key/Certificates Data Files

Residing under  $DF_{MExE}$ , there may be several key/certificates data files. These EFs containing key/certificates data shall have the following attributes:

Identifier	: '4FXX'	Structure: transparent			Optional
Recor	d length: Y byte	S	Update activity: low		
Access Conditio READ UPDATE INVALID REHABII	ns: : ATE LITATE	CHV <sup>,</sup> ADM ADM ADM	1		
Bytes		Descripti	on	M/O	Length
1 to Y	Key/Certicates	Data		М	Y bytes

Contents and coding:

Key/certificate data are accessed using the key/certificates descriptors provided by  $EF_{TPRPK}$  (see sub-clause 10.4.2.4).

The identifier '4FXX' shall be different from one key/certificate data file to the other. For the range of 'XX', see subclause 6.6. The length Y may be different from one key/certificate data file to the other.

# 10.5 Contents of files at the telecom level

The EFs in the Dedicated File  $\ensuremath{\mathsf{DF}_{\text{TELECOM}}}$  contain service related information.

# 10.5.1 EF<sub>ADN</sub> (Abbreviated dialling numbers)

This EF contains Abbreviated Dialling Numbers (ADN) and/or Supplementary Service Control strings (SSC). In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain an associated alpha-tagging.

Identifier	: '6F3A'	Structure: linear fixed			Optional
Record	length: X+14 by	tes	Update activity: low		
Access Conditio READ UPDATE INVALID REHABII	ns: ATE LITATE	CHV <sup>7</sup> CHV <sup>7</sup> CHV2 CHV2	1 1 2 2		
Bytes		Descripti	on	M/O	Length
1 to X	Alpha Identifie		0	X bytes	
X+1	Length of BCD	number/SS	C contents	М	1 byte
X+2	TON and NPI			М	1 byte
X+3 to X+12	X+3 to X+12 Dialling Number/SSC String				10 bytes
X+13	Capability/Con	figuration Ide	iguration Identifier		1 byte
X+14	Extension1 Re	cord Identifie	er	М	1 byte

- Alpha Identifier

#### Contents:

Alpha-tagging of the associated dialling number.

#### Coding:

this alpha-tagging shall use either

- the SMS default 7-bit coded alphabet as defined in TS 23.038 [12] with bit 8 set to 0. The alpha identifier shall be left justified. Unused bytes shall be set to 'FF'; or
- one of the UCS2 coded options as defined in annex B.
- NOTE 1: The value of X may be from zero to 241. Using the command GET RESPONSE the ME can determine the value of X.
- Length of BCD number/SSC contents

#### Contents:

this byte gives the number of bytes of the following two data items containing actual BCD number/SSC information. This means that the maximum value is 11, even when the actual ADN/SSC information length is greater than 11. When an ADN/SSC has extension, it is indicated by the extension1 identifier being unequal to 'FF'. The remainder is stored in the  $EF_{EXT1}$  with the remaining length of the additional data being coded in the appropriate additional record itself (see subclause 10.5.10).

#### Coding:

```
according to TS 04.08 [15].
```

- TON and NPI

#### Contents:

Type of number (TON) and numbering plan identification (NPI).

#### Coding:

according to TS 04.08 [15]. If the Dialling Number/SSC String does not contain a dialling number, e.g. a control string deactivating a service, the TON/NPI byte shall be set to 'FF' by the ME (see note 2).

NOTE 2: If a dialling number is absent, no TON/NPI byte is transmitted over the radio interface (see TS 04.08 [15]). Accordingly, the ME should not interpret the value 'FF' and not send it over the radio interface.


Dialling Number/SSC String

#### Contents:

up to 20 digits of the telephone number and/or SSC information.

#### Coding:

according to TS 04.08 [15], TS 22.030 [8] and the extended BCD-coding (see table 12). If the telephone number or SSC is longer than 20 digits, the first 20 digits are stored in this data item and the remainder is stored in an associated record in the  $EF_{EXT1}$ . The record is identified by the Extension1 Record Identifier. If ADN/SSC require less than 20 digits, excess nibbles at the end of the data item shall be set to 'F'. Where individual dialled numbers, in one or more records, of less than 20 digits share a common appended digit string the first digits are stored in this data item and the common digits stored in an associated record in the  $EF_{EXT1}$ . The record Identifier. Excess nibbles at the end of the data item shall be set to 'F'.

#### Byte X+3



Byte X+4:



etc.

- Capability/Configuration Identifier

Contents:

capability/configuration identification byte. This byte identifies the number of a record in the  $EF_{CCP}$  containing associated capability/configuration parameters required for the call. The use of this byte is optional. If it is not used it shall be set to 'FF'.

Coding:

binary.

- Extension1 Record Identifier

Contents:

extension 1 record identification byte. This byte identifies the number of a record in the  $EF_{EXT1}$  containing an associated called party subaddress or additional data. The use of this byte is optional. If it is not used it shall be set to 'FF'.

If the ADN/SSC requires both additional data and called party subaddress, this byte identifies the additional record. A chaining mechanism inside  $EF_{EXT1}$  identifies the record of the appropriate called party subaddress (see subclause 10.5.10).

Coding:

binary.

- NOTE 3: As EF<sub>ADN</sub> is part of the DF<sub>TELECOM</sub> it may be used by GSM and also other applications in a multi-application card. If the non-GSM application does not recognize the use of Type of Number (TON) and Number Plan Identification (NPI), then the information relating to the national dialling plan must be held within the data item dialling number/SSC and the TON and NPI fields set to UNKNOWN. This format would be acceptable for GSM operation and also for the non-GSM application where the TON and NPI fields shall be ignored.
- EXAMPLE: SIM storage of an International Number using E.164 [19] numbering plan.

	TON	NPI	Digit field
GSM application	001	0001	abc
Other application compatible with GSM	000	0000	xxxabc

where "abc..." denotes the subscriber number digits (including its country code), and "xxx..." denotes escape digits or a national prefix replacing TON and NPI.

NOTE 4: When the ME acts upon the EF<sub>ADN</sub> with a SEEK command in order to identify a character string in the alpha-identifier, it is the responsibility of the ME to ensure that the number of characters used as SEEK parameters are less than or equal to the value of X if the MMI allows the user to offer a greater number.

BCD Value	Character/Meaning
'0'	"0"
'9'	"9"
'A'	"*"
'B'	"#"
'C'	DTMF Control digit separator (TS 02.07 [3])
'D'	"Wild" value
	This will cause the MMI to prompt the user for a single digit (see
	TS 02.07 [3]).
'E'	Expansion digit ("Shift Key").
	It has the effect of adding '10' to the following digit. The following BCD digit
	will hence be interpreted in the range of '10'-'1E'. The purpose of digits in
	this range is for further study.
'F'	Endmark
	e.g. in case of an odd number of digits

#### Table 12: Extended BCD coding

BCD values 'C', 'D' and 'E' are never sent across the radio interface.

NOTE 5: The interpretation of values 'D', 'E' and 'F' as DTMF digits is for further study.

NOTE 6: A second or subsequent 'C' BCD value will be interpreted as a 3 second PAUSE (see TS 02.07 [3]).

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## 10.5.2 EF<sub>FDN</sub> (Fixed dialling numbers)

This EF contains Fixed Dialling Numbers (FDN) and/or Supplementary Service Control strings (SSC). In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain an associated alpha-tagging.

Identifier	: '6F3B'	Sti	ucture: linear fixed	Optional	
Record	length: X+14 by	tes	Update	e activity: low	
Access Conditio	ns:	СНИ	1		
	:	CHV:	) 2		
INVALID REHABI	ATE LITATE	ADM ADM	-		
					1
Bytes		Descripti	on	M/O	Length
1 to X	Alpha Identifie	r		0	X bytes
X+1	Length of BCD	number/SS	C contents	М	1 byte
X+2	TON and NPI			М	1 byte
X+3 to X+12	Dialling Numbe	er/SSC Strin	g	М	10 bytes
X+13	Capability/Con	figuration Id	entifier	М	1 byte
X+14	Extension2 Re	cord Identifie	er	М	1 byte

For contents and coding of all data items see the respective data items of the  $EF_{ADN}$  (subclause 10.5.1), with the exception that extension records are stored in the  $EF_{EXT2}$ .

NOTE: The value of X (the number of bytes in the alpha-identifier) may be different to the length denoted X in  $EF_{ADN}$ .

### 10.5.3 EF<sub>sms</sub> (Short messages)

This EF contains information in accordance with TS 23.040 [13] comprising short messages (and associated parameters) which have either been received by the MS from the network, or are to be used as an MS originated message.

Identifie	er: '6F3C'	Sti	ucture: linear fixed		Optional
Reco	rd length: 176 byte	es Update activity: low			r: low
Access Condit READ UPDAT INVALI REHAE	ions: FE DATE BILITATE	CHV <sup>.</sup> CHV <sup>.</sup> ADM ADM	1		
Bytes		Descriptio	n	M/O	Length
1	Status			М	1 byte
2 to 176	Remainder			М	175 bytes

#### - Status

Contents:

Status byte of the record which can be used as a pattern in the SEEK command. For MS originating messages sent to the network, the status shall be updated when the MS receives a status report, or sends a successful SMS Command relating to the status report.

Coding:



## Remainder

#### Contents:

This data item commences with the TS-Service-Centre-Address as specified in TS 24.011 [16]. The bytes immediately following the TS-Service-Centre-Address contain an appropriate short message TPDU as specified in TS 23.040 [13], with identical coding and ordering of parameters.

#### Coding:

according to TS 23.040 [13] and TS 24.011 [16]. Any TP-message reference contained in an MS originated message stored in the SIM, shall have a value as follows:

	Value of the TP-message-reference:
message to be sent:	'FF'
message sent to the network:	the value of TP-Message-Reference used in the
	message sent to the network.

Any bytes in the record following the TPDU shall be filled with 'FF'.

It is possible for a TS-Service-Centre-Address of maximum permitted length, e.g. containing more than 18 address digits, to be associated with a maximum length TPDU such that their combined length is 176 bytes. In this case the ME shall store in the SIM the TS-Service-Centre-Address and the TPDU in bytes 2-176 without modification, except for the last byte of the TPDU, which shall not be stored.

#### 10.5.4 Capability configuration parameters

#### 10.5.4.1 EF<sub>CCP</sub> (Capability configuration parameters)

This EF contains parameters of required network and bearer capabilities and ME configurations associated with a call established using an abbreviated dialling number, a fixed dialling number, an MSISDN, a last number dialled, a service dialling number or a barred dialling number.

For compatibility reasons, this file may be present for release 98 or earlier MEs in order to support Capability Configuration Parameters service.

Identifie	er: '6F3D'	Str	ructure: linear fixed		Optional
Reco	ord length: 14 byte	S	Updat	te activity	/: low
Access Condit READ UPDAT INVALI REHAE	ions: FE DATE BILITATE	CHV <sup>,</sup> CHV ADM ADM	1		
Bytes	Description			M/O	Length
1 to 10	Bearer capability information element		М	10 bytes	
11 to 14	Bytes reserved -	Bytes reserved - see below			4 bytes

- Bearer capability information element

Contents and Coding:

- see TS 04.08 [15]. The Information Element Identity (IEI) shall be excluded. i.e. the first byte of the EF<sub>CCP</sub> record shall be Length of the bearer capability contents.
- Bytes 11-14 shall be set to 'FF' and shall not be interpreted by the ME.

#### 10.5.4.2 EF<sub>ECCP</sub> (Extended Capability Configuration Parameters)

This EF contains parameters of required network and bearer capabilities and ME configurations associated with a call established using an abbreviated dialling number, a fixed dialling number, an MSISDN, a last number dialled, a service dialling number, a barred dialling number, a mailbox dialling number or a call forwarding indication status number.

The number of records of the  $EF_{ECCP}$  shall be equal to the number of records of the  $EF_{CCP}$ . Each record of the  $EF_{CCP}$  shall have a corresponding record in the  $EF_{ECCP}$  with the same record number.

If an ME has to update a record, then the ME shall update each record of both files,  $EF_{CCP}$  with 10 bytes and  $EF_{ECCP}$  with X bytes (X $\geq$ 15).

If an ME has to read a record, then the ME shall check the consistency between the record of the  $EF_{ECCP}$  and the corresponding record of the  $EF_{CCP}$  and update the record of the  $EF_{ECCP}$  with the value of the corresponding record of the  $EF_{CCP}$ .

Identifie	er: '6F4F'	Structure: linear fixed			Optional
Reco	ord length: X (X≥1	5) Update a		e activity	r: low
Access Condit READ UPDAT INVALI REHAE	ions: E DATE BILITATE	CHV <sup>,</sup> CHV <sup>,</sup> ADM ADM	1 1		
Bytes		Descriptio	n	M/O	Length
1 to X	Bearer capability	information	element	М	X bytes

- Bearer capability information element

Contents and Coding:

see TS 24.008 [47]. The Information Element Identity (IEI) shall be excluded, i.e. the first byte of the  $EF_{ECCP}$  record shall be Length of the bearer capability contents.

Unused bytes are filled with 'FF'.

#### 10.5.5 $EF_{MSISDN}$ (MSISDN)

This EF contains MSISDN(s) related to the subscriber. In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain an associated alpha-tagging.

Identifie	r: '6F40'	Str	ucture: linear fixed	Optional	
Record	length: X+14 byte	es	Update	e activity	/: low
Access Conditio READ UPDATE INVALID	ns: E ATE	CHV1 CHV1 ADM			
REHABI	LITATE	ADM			
Bytes		Descriptio	n	M/O	Length
1 to X	Alpha Identifier			0	X bytes
X+1	Length of BCD I	number/SSC	contents	М	1 byte
X+2	TON and NPI			М	1 byte
X+3 to X+12	Dialling Number	/SSC String		М	10 bytes
X+13	Capability/Confi	guration Ide	ntifier	М	1 byte
X+14	Extension1 Rec	ord Identifier	-	М	1 byte

For contents and coding of all data items see the respective data items of EF<sub>ADN</sub>.

- NOTE 1: If the SIM stores more than one MSISDN number and the ME displays the MSISDN number(s) within the initialization procedure then the one stored in the first record shall be displayed with priority.
- NOTE 2: The value of X (the number of bytes in the alpha-identifier) may be different to the length denoted X in  $EF_{ADN}$ .

### 10.5.6 $EF_{SMSP}$ (Short message service parameters)

This EF contains values for Short Message Service header Parameters (SMSP), which can be used by the ME for user assistance in preparation of mobile originated short messages. For example, a service centre address will often be common to many short messages sent by the subscriber.

The EF consists of one or more records, with each record able to hold a set of SMS parameters. The first (or only) record in the EF shall be used as a default set of parameters, if no other record is selected.

To distinguish between records, an alpha-identifier may be included within each record, coded on Y bytes.

The SMS parameters stored within a record may be present or absent independently. When a short message is to be sent from the MS, the parameter in the SIM record, if present, shall be used when a value is not supplied by the user.

Identifier: '	6F42'	Sti	ucture: linear fixed	Optional	
Record le	ngth: 28+Y by	tes	Update	e activity: low	
Access Conditions	s.				
READ		CHV	1		
UPDATE		CHV	1		
INVALIDA	TE	ADM			
REHABILI	TATE	ADM			
Bytes		Descrip	tion	M/O	Length
1 to Y	Alpha-Identif	ier		0	Y bytes
Y+1	Parameter In	ndicators		М	1 byte
Y+2 to Y+13	TP-Destination	on Address		М	12 bytes
Y+14 to Y+25	TS-Service C	Centre Addre	SS	М	12 bytes
Y+26	TP-Protocol	Identifier		М	1 byte
Y+27	TP-Data Cod	ling Scheme		М	1 byte
Y+28	TP-Validity P	eriod		Μ	1 byte

Storage is allocated for all of the possible SMS parameters, regardless of whether they are present or absent. Any bytes unused, due to parameters not requiring all of the bytes, or due to absent parameters, shall be set to 'FF'.

- Alpha-Identifier

#### Contents:

Alpha Tag of the associated SMS-parameter.

Coding:

see subclause 10.5.1 ( $EF_{ADN}$ ).

- NOTE: The value of Y may be zero, i.e. the alpha-identifier facility is not used. By using the command GET RESPONSE the ME can determine the value of Y.
- Parameter Indicators

Contents:

Each of the default SMS parameters which can be stored in the remainder of the record are marked absent or present by individual bits within this byte.

Coding:

Allocation of bits:

Bit number	Parameter indicated
1	<b>TP-Destination Address</b>
2	TS-Service Centre Address
3	<b>TP-Protocol Identifier</b>
4	TP-Data Coding Scheme
5	TP-Validity Period
6	reserved, set to 1
7	reserved, set to 1
8	reserved, set to 1
Bit value	Meaning
0	Parameter present
1	Parameter absent

- TP-Destination Address

Contents and Coding: As defined for SM-TL address fields in TS 23.040 [13].

- TP-Service Centre Address

Contents and Coding: As defined for RP-Destination address Centre Address in TS 24.011 [16].

- TP-Protocol Identifier

Contents and Coding: As defined in TS 23.040 [13].

- TP-Data Coding Scheme

Contents and Coding: As defined in TS 23.038 [12].

- TP-Validity Period

Contents and Coding: As defined in TS 23.040 [13] for the relative time format.

#### 10.5.7 EF<sub>smss</sub> (SMS status)

This EF contains status information relating to the short message service.

The provision of this EF is associated with EF<sub>SMS</sub>. Both files shall be present together, or both absent from the SIM.

Identifi	er: '6F43'	Str	ucture: transparent		Optional
File	e size: 2+X bytes		Update	e activity	: low
Access Condit READ UPDAT INVALI REHAB	ions: TE DATE BILITATE	CHV <sup>/</sup> CHV <sup>/</sup> ADM ADM	1		
Bytes		Descriptio	n	M/O	Length
1	Last Used TP-M	R		М	1 byte
2	SMS "Memory C	ap. Exceede	ed" Not. Flag	М	1 byte
3 to 2+X	RFU			0	X bytes

- Last Used TP-MR.

Contents:

the value of the TP-Message-Reference parameter in the last mobile originated short message, as defined in TS 23.040 [13].

Coding:

as defined in TS 23.040 [13].

- SMS "Memory Capacity Exceeded" Notification Flag.

Contents:

This flag is required to allow a process of flow control, so that as memory capacity in the MS becomes available, the Network can be informed. The process for this is described in TS 23.040 [13].

Coding:

b1=1 means flag unset; memory capacity available

b1=0 means flag set

b2 to b8 are reserved and set to 1.

#### 10.5.8 EF<sub>LND</sub> (Last number dialled)

This EF contains the last numbers dialled (LND) and/or the respective supplementary service control strings (SSC). In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain associated alpha-tagging.

Identifier	: '6F44'		Structure: cyclic		Optional
Record	length: X+14 by	tes	Update	activity	: low
Access Conditio READ UPDATE INCREA INVALID REHABI	ns: E SE ATE LITATE	CHV CHV NEVI ADM ADM	1 1 ER		
Bytes		Descripti	on	M/O	Length
1 to X	Alpha Identifie	r		0	X bytes
X+1	Length of BCD	number/SS	C contents	М	1 byte
X+2	TON and NPI			М	1 byte
X+3 to X+12	Dialling Number	er/SSC Strin	g	М	10 bytes
X+13	Capability/Con	figuration Id	entifier	М	1 byte
X+14	Extension1 Re	cord Identifie	er	М	1 byte

For contents and coding, see subclause 10.5.1  $EF_{ADN}$ .

The value of X in  $EF_{LND}$  may be different to both the value of X in  $EF_{ADN}$  and of X in  $EF_{FDN}$ .

If the value of X in  $EF_{LND}$  is longer than the length of the  $\alpha$ -tag of the number to be stored, then the ME shall pad the  $\alpha$ -tag with 'FF'. If the value of X in  $EF_{LND}$  is shorter than the length of the  $\alpha$ -tag of the number to be stored, then the ME shall cut off excessive bytes.

## 10.5.9 EF<sub>SDN</sub> (Service Dialling Numbers)

This EF contains special service numbers (SDN) and/or the respective supplementary service control strings (SSC). In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain associated alpha-tagging.

Identifier: '6F49' Stru		ucture: linear fixed		Optional	
Recor	d length: X+14 by	tes	Update	e activity	r: low
Access Conditions:					
READ		CHV <sup>2</sup>	1		
UPDAT	ΓE	ADM			
INVALI	DATE	ADM			
REHAE	BILITATE	ADM			
Bytes		Descriptio	n	M/O	Length
1-X	Alpha identifier			0	X bytes
X+1	Length of BCD n	umber/SSC	contents	М	1 bytes
X+2	TON and NPI	TON and NPI			1 byte
X+3-X+12	Dialling Number/SSC String			М	10 bytes
X+13	Capability/Configuration Identifier			М	1 byte
X+14	Extension3 Reco	ord Identifier		М	1 byte

For contents and coding of all data items see the respective data items of the  $EF_{ADN}$  (subclause 10.5.1), with the exception that extension records are stored in the  $EF_{EXT3}$ .

NOTE: The value of X (the number of bytes in the alpha-identifier) may be different to the length denoted X in  $EF_{ADN}$ .

## 10.5.10 $EF_{EXT1}$ (Extension1)

This EF contains extension data of an ADN/SSC, an MSISDN, or an LND. Extension data is caused by:

- an ADN/SSC (MSISDN, LND) which is greater than the 20 digit capacity of the ADN/SSC (MSISDN, LND) Elementary File or where common digits are required to follow an ADN/SSC string of less than 20 digits. The remainder is stored in this EF as a record, which is identified by a specified identification byte inside the ADN/SSC (MSISDN, LND) Elementary File. The EXT1 record in this case is specified as additional data;
- an associated called party subaddress. The EXT1 record in this case is specified as subaddress data.

Identifi	tifier: '6F4A' Structure: linear fixed			Optional	
Reco	ord length: 13 byte	S	Update	e activity	r: low
Access Condit READ UPDAT INVALI REHAE	ions: ГЕ DATE BILITATE	1 1			
Bytes		Descriptio	n	M/O	Length
1	1 Record type			М	1 byte
2 to 12	Extension data			М	11 bytes
13	Identifier			М	1 byte

- Record type

Contents: type of the record

Coding:



b3-b8 are reserved and set to 0;a bit set to 1 identifies the type of record;only one type can be set;'00' indicates the type "unknown".

The following example of coding means that the type of extension data is "additional data":

b8	b7	b6	b5	b4	b3	b2	b1
0	0	0	0	0	0	1	0

Extension data

Contents: Additional data or Called Party Subaddress depending on record type.

Coding:

Case 1, Extension1 record is additional data:

The first byte of the extension data gives the number of bytes of the remainder of ADN/SSC (respectively MSISDN, LND). The coding of remaining bytes is BCD, according to the coding of ADN/SSC (MSISDN, LND). Unused nibbles at the end have to be set to 'F'. It is possible if the number of additional digits exceeds the capacity of the additional record to chain another record inside the EXT1 Elementary File by the identifier in byte 13.

Case 2, Extension1 record is Called Party Subaddress:

The subaddress data contains information as defined for this purpose in TS 04.08 [15]. All information defined in TS 04.08, except the information element identifier, shall be stored in the SIM. The length of this subaddress data can be up to 22 bytes. In those cases where two extension records are needed, these records are chained by the identifier field. The extension record containing the first part of the called party subaddress points to the record which contains the second part of the subaddress.

- Identifier

Contents: identifier of the next extension record to enable storage of information longer than 11 bytes.

Coding: record number of next record. 'FF' identifies the end of the chain.

EXAMPLE: Of a chain of extension records being associated to an ADN/SSC. The extension1 record identifier (Byte 14+X) of ADN/SSC is set to 3.

No of Record	Туре	Extension Data	Next	Record
:	:	:	:	
:	:	:	:	
Record 3	'02'	xxxx	'06'	
Record 4	<b>'xx'</b>	xxxx	ʻxx'	
Record 5	'01'	xxxx	'FF'	◀────
Record 6	'01'	xxxx	'05'	◀
:	:	:	:	
:	:	:	:	

In this example ADN/SSC is associated to additional data (record 3) and a called party subaddress whose length is more than 11 bytes (records 6 and 5).

## 10.5.11 EF<sub>EXT2</sub> (Extension2)

This EF contains extension data of an FDN/SSC (see EXT2 in subclause 10.5.2).

Identifie	er: '6F4B'	Structure: linear fixed			Optional
Reco	ord length: 13 byte	S	Update	activity	: low
Access Condit READ UPDAT INVALI REHAE	ions: FE DATE BILITATE	CHV CHV2 ADM ADM	1 2		
Bytes		Descriptio	n	M/O	Length
1	Record type			М	1 byte
2 to 12	Extension data			М	11 bytes
13	Identifier			М	1 byte

For contents and coding see subclause  $10.5.10 \text{ EF}_{\text{EXT1}}$ .

### 10.5.12 EF<sub>EXT3</sub> (Extension3)

This EF contains extension data of an SDN (see EXT3 in subclause 10.5.9).

Identifie	Identifier: '6F4C' Structure: linear fix		ructure: linear fixed		Optional
Reco	ord length: 13 byte	S	Update	e activity	r: low
Access Conditions: READ CHV1 UPDATE ADM INVALIDATE ADM REHABILITATE ADM					
Bytes		Descriptio	n	M/O	Length
1	Record type			М	1 byte
2 to 12	Extension data			М	11 bytes
13	Identifier			М	1 byte

For contents and coding see subclause  $10.5.10 \text{ EF}_{\text{EXT1}}$ .

## 10.5.13 EF<sub>BDN</sub> (Barred Dialling Numbers)

This EF contains Barred Dialling Numbers (BDN) and/or Supplementary Service Control strings (SSC). In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain an associated alpha-tagging.

Identifier	Identifier: '6F4D' Structure: linear fixed			Optional	
Record length: X+15 bytes Update a			activity:	low	
Access Conditions: READ CHV1 UPDATE CHV2 INVALIDATE CHV2 / ADM (set at person REHABILITATE CHV2 / ADM (set at person				alisation	n) n)
Bytes		Descripti	on	M/O	Length
1 to X	Alpha Identifie	r		0	X bytes
X+1	Length of BCD	number/SS	C contents	М	1 byte
X+2	TON and NPI			М	1 byte
X+3 to X+12	Dialling Numbe	Dialling Number/SSC String			10 bytes
X+13	Capability/Configuration Identifier		М	1 byte	
X+14	Extension4 Record Identifier		М	1 byte	
X+15	Comparison M	ethod Pointe	er	М	1 byte

For contents and coding of all data items, except for the Comparison Method Pointer, see the respective data items of the  $EF_{ADN}$  (subclause 10.5.1), with the exception that extension records are stored in the  $EF_{EXT4}$ . The Comparison Method Pointer refers to a record number in  $EF_{CMI}$ .

NOTE: The value of X (the number of bytes in the alpha-identifier) may be different to the length denoted X in  $EF_{ADN}$ .

## 10.5.14 EF<sub>EXT4</sub> (Extension4)

This EF contains extension data of an BDN/SSC (see EXT4 in subclause 10.5.13).

Identifier: '6F4E' Struc		ructure: linear fixed		Optional	
Reco	ord length: 13 byte	S	Update	e activity	r: low
Access Conditions: READ CHV1 UPDATE CHV2 INVALIDATE ADM REHABILITATE ADM					
Bytes		Descriptio	n	M/O	Length
1	1 Record type		М	1 byte	
2 to 12	Extension data			М	11 bytes
13	Identifier			М	1 byte

For contents and coding see subclause 10.5.10  $\text{EF}_{\text{EXT1}}$ .

### 10.5.15 EF<sub>SMSR</sub> (Short message status reports)

This EF contains information in accordance with TS 23.040 [13] comprising short message status reports which have been received by the MS from the network.

Each record is used to store the status report of a short message in a record of  $EF_{SMS}$ . The first byte of each record is the link between the status report and the corresponding short message in  $EF_{SMS}$ .

Identifi	er: '6F47'	Structure: linear fixed			Optional
Record length: 30 bytes		Update	activity	: low	
Access Condit READ UPDAT INVALI REHAB	ions: FE DATE BILITATE	CHV <sup>,</sup> CHV <sup>,</sup> ADM ADM	1		
Bytes	Descriptio		n	M/O	Length
1	SMS record identifier			М	1
2 - 30	SMS status report			М	29 bytes

- SMS record identifier

#### Contents:

This data item identifies the corresponding SMS record in  $EF_{SMS}$ , e.g. if this byte is coded '05' then this status report corresponds to the short message in record #5 of  $EF_{SMS}$ .

#### Coding:

'00' - empty record

'01' - 'FF'  $\,$  - record number of the corresponding SMS in  $EF_{SMS}.$ 

- SMS status report

#### Contents:

This data item contains the SMS-STATUS-REPORT TPDU as specified in TS 23.040 [13], with identical coding and ordering of parameters.

Coding:

according to TS 23.040 [13]. Any bytes in the record following the TPDU shall be filled with 'FF'.

#### 10.5.16 EF<sub>cm</sub> (Comparison Method Information)

This EF contains a list of Comparison Method Identifiers and alpha-tagging associated with BDN entries (see  $EF_{BDN}$ ). This EF shall always be present if  $EF_{BDN}$  is present.

Identifier	Identifier: '6F58' Str		ructure: linear fixed		Optional
Record	length: X+1 byte	es	Update	activity:	low
Access Conditions: READ PIN1 UPDATE ADM INVALIDATE ADM REHABILITATE ADM					
Bytes		Descripti	on	M/O	Length
1 to X	Alpha Identifier			М	X bytes
X+1	Comparison M	ethod Identif	fier	М	1 byte

- Alpha Identifier

Contents:

Alpha-tagging of the associated Comparison Method Identifier

Coding:

Same as the alpha identifier in EF<sub>ADN</sub>.

- Comparison Method Identifier

Contents:

this byte describes the comparison method which is associated with a BDN record. Its interpretation is not specified but it shall be defined by the operators implementing the BDN feature.

Coding:

'00' - 'FE' = Comparison Method Identifier.

'FF' = Default method.

### 10.6 DFs at the telecom level

DFs may be present as child directories of  $DF_{TELECOM}$ . The following has been defined.

DF<sub>GRAPHICS</sub> '5F50'

#### 10.6.1 Contents of files at the telecom graphics level

The EFs in the Dedicated File DF<sub>GRAPHICS</sub> contain graphical information.

#### 10.6.1.1 EF<sub>імд</sub> (Image)

Each record of this EF identifies instances of one particular graphical image, which graphical image is identified by this EF's record number.

Image instances may differ as to their size, having different resolutions, and the way they are coded, using one of several image coding schemes.

As an example, image k may represent a company logo, of which there are i instances on SIM, of various resolutions and perhaps encoded in several image coding schemes. Then, the i instances of the company's logo are described in record k of this EF.

Identifier	: <b>'</b> 4F20'	Sti	ructure: linear fixed	ar fixed Optional	
Record	length: 9n+2 by	es	Update	activity	: low
Access Conditions: READ CHV1 UPDATE ADM INVALIDATE ADM REHABILITATE ADM					
Bytes		Descripti	on	M/O	Length
1	Number of Act	ual Image In	stances	М	1 byte
2 to 10	Descriptor of I	mage Instan	ce 1	М	9 bytes
11 to 19	Descriptor of I	mage Instan	ce 2	0	9 bytes
:	:				
9 (n-1) + 2 to 9n + 1	Descriptor of Image Instance n			0	9 bytes
9n + 2	RFU			0	1 byte

- Number of Actual Image Instances

Contents: this byte gives the number of actual image instances described in the following data items (i.e. unused descriptors are not counted).

Coding: binary

#### - Image Instance Descriptor

Contents: a description of an image instance

Coding: see below

Byte 1: Image Instance Width

Contents:

this byte specifies the image instance width, expressed in raster image points.

Coding:

binary.

Byte 2: Image Instance Height

Contents:

this byte specifies the image instance height, expressed in raster image points.

Coding:

binary.

Byte 3: Image Coding Scheme

Contents:

this byte identifies the image coding scheme that has been used in encoding the image instance.

Coding:

'11' - basic image coding scheme as defined in annex G;

'21' - colour image coding scheme as defined in annex G;

other values are reserved for future use.

Bytes 4 and 5: Image Instance File Identifier

#### Contents:

these bytes identify an EF which is the image instance data file (see subclause 10.6.1.2), holding the actual image data for this particular instance.

Coding:

byte 4: high byte of Image Instance File Identifier;

byte 5: low byte of Image Instance File Identifier.

Bytes 6 and 7: Offset into Image Instance File

Contents:

these bytes specify an offset into the transparent Image Instance File identified in bytes 4 and 5.

Coding:

byte 6: high byte of offset into Image Instance File;

byte 7: low byte of offset into Image Instance File

Bytes 8 and 9: Length of Image Instance Data

Contents:

these bytes yield the length of the image instance data, starting at the offset identified in bytes 6 and 7.

Coding:

byte 8: high byte of Image Instance Data length;

byte 9: low byte of Image Instance Data length.

NOTE: Transparent image instance data longer than 256 bytes may be read using successive READ BINARY commands.

#### 10.6.1.2 Image Instance Data Files

Residing under  $DF_{GRAPHICS}$ , there may be several image instance data files. These EFs containing image instance data shall have the following attributes.

Identifier	'4FXX'	Structure: transparent			Optional
Record length: Y bytes		Update	activity	: low	
Access Conditio READ UPDATE INVALID REHABII	ns: ATE LITATE	CHV <sup>.</sup> ADM ADM ADM	1		
Bytes		Descripti	on	M/O	Length
1 to Y	Image Instance	e Data		М	Y bytes

Contents and coding:

Image instance data are accessed using the image instance descriptors provided by  $EF_{IMG}$  (see subclause 10.6.1.1).

The identifier '4FXX' shall be different from one image instance data file to the other. For the range of 'XX', see subclause 6.6. The length Y may be different from one image instance data file to the other.

## 10.7 Files of GSM

This subclause contains a figure depicting the file structure of the SIM.  $DF_{GSM}$  shall be selected using the identifier '7F20'. If selection by this means fails, then DCS 1800 MEs shall, and optionally GSM MEs may then select  $DF_{GSM}$  with '7F21'.

- NOTE 1: The selection of the GSM application using the identifier '7F21', if selection by means of the identifier '7F20' fails, is to ensure backwards compatibility with those Phase 1 SIMs which only support the DCS 1800 application using the Phase 1 directory DF<sub>DCS1800</sub> coded '7F21'.
- NOTE 2: To ensure backwards compatibility with those Phase 1 DCS 1800 MEs which have no means to select  $DF_{GSM}$  two options have been specified. These options are given in GSM 09.91 [17].



#### Figure 8: File identifiers and directory structures of GSM

## 11 Application protocol

When involved in GSM administrative management operations, the SIM interfaces with appropriate terminal equipment. These operations are outside the scope of this standard.

When involved in GSM network operations the SIM interfaces with an ME with which messages are exchanged. A message can be a command or a response.

- A GSM command/response pair is a sequence consisting of a command and the associated response.
- A GSM procedure consists of one or more GSM command/response pairs which are used to perform all or part of an application-oriented task. A procedure shall be considered as a whole, that is to say that the corresponding task is achieved if and only if the procedure is completed. The ME shall ensure that, when operated according to the manufacturer's manual, any unspecified interruption of the sequence of command/response pairs which realize the procedure, leads to the abortion of the procedure itself.
- A GSM session of the SIM in the GSM application is the interval of time starting at the completion of the SIM initialization procedure and ending either with the start of the GSM session termination procedure, or at the first instant the link between the SIM and the ME is interrupted.

During the GSM network operation phase, the ME plays the role of the master and the SIM plays the role of the slave.

The SIM shall execute all GSM and SIM Application Toolkit commands or procedures in such a way as not to jeopardise, or cause suspension, of service provisioning to the user. This could occur if, for example, execution of the RUN GSM ALGORITHM is delayed in such a way which would result in the network denying or suspending service to the user.

Some procedures at the SIM/ME interface require MMI interactions. The descriptions hereafter do not intend to infer any specific implementation of the corresponding MMI. When MMI interaction is required, it is marked "MMI" in the list given below.

Some procedures are not clearly user dependent. They are directly caused by the interaction of the MS and the network. Such procedures are marked "NET" in the list given below.

Some procedures are automatically initiated by the ME. They are marked "ME" in the list given below.

The list of procedures at the SIM/ME interface in GSM network operation is as follows:

General Procedures:

-	Reading an EF	ME
-	Updating an EF	ME
- Increasing an EF		ME
SIM r	nanagement procedures:	
-	SIM initialization	ME
-	GSM session termination	ME
-	Emergency call codes request	ME
-	Extended language preference request	ME
-	Language preference request	ME
-	Administrative information request	ME

-	SIM service table request	ME
-	SIM phase request	ME
CHV	related procedures:	
-	CHV verification	MMI
-	CHV value substitution	MMI
-	CHV disabling	MMI
-	CHV enabling	MMI
-	CHV unblocking	MMI
GSM	security related procedures:	
-	GSM algorithms computation	NET
-	IMSI request	NET
-	Access control information request	NET
-	HPLMN search period request	NET
-	Location Information	NET
-	Cipher key	NET
-	BCCH information	NET
-	Forbidden PLMN information	NET
-	LSA information	NET
Subs	cription related procedures:	
-	Dialling Numbers (ADN, FDN, MSISDN, LND, SDN, BDN)	MMI/ME
-	Short messages (SMS)	MMI
-	Advice of Charge (AoC)	MMI
-	Capability Configuration Parameters (CCP)	MMI
-	PLMN Selector	MMI
-	HPLMN Selector with Access Technology	MMI

MMI

MMI

MMI

NET

NET

MMI

ME

MMI/ME

MMI/ME

Group Identifier Level 2 (GID2)

Service Provider Name (SPN)

RPLMN last used Access Technology

Investigation Scan request

User controlled PLMN Selector with Access Technology

Operator controlled PLMN Selector with Access Technology

#### **Release 4**

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- Voice Group Call Service (VGCS)	MMI/ME
- Voice Broadcast Service (VBS)	MMI/ME
- Enhanced Multi Level Pre-emption and Priority (eMLPP)	MMI/ME
- Depersonalisation Control Keys	ME
- Short message status reports (SMSR)	MMI
- Network's indication of alerting	ME
SIM Application Toolkit related procedures:	
- Data Download via SMS-CB (CBMID)	NET
- Data Download via SMS-PP	NET
- Menu selection	MMI
- Call Control	MMI/ME/NET
- Proactive SIM	MMI/ME/NET
- Mobile Originated Short Message control by SIM	MMI/ME/NET
- Image Request	MMI/ME
MExE related procedures:	
- Reading of MExE_ST	ME

- Reading of root public keys on the SIM (ORPK, ARPK, TPRPK) ME/NET

The procedures listed in subclause 11.2 are basically required for execution of the procedures in subclauses 11.3, 11.4 and 11.5. The procedures listed in subclauses 11.3 and 11.4 are mandatory (see TS 02.17 [6]). The procedures listed in subclause 11.5 are only executable if the associated services, which are optional, are provided in the SIM. However, if the procedures are implemented, it shall be in accordance with subclause 11.5.

If a procedure is related to a specific service indicated in the SIM Service Table, it shall only be executed if the corresponding bits denote this service as "allocated and activated" (see subclause 10.3.7). In all other cases this procedure shall not start.

## 11.1 General procedures

Procedures on different types of files shall be in accordance with TS 102 221 [55] with the limitation that the use of short file IDs is not supported by the SIM.

## 11.1.1 Reading an EF

**Release 4** 

The ME selects the EF and sends a READ command. This contains the location of the data to be read. If the access condition for READ is fulfilled, the SIM sends the requested data contained in the EF to the ME. If the access condition is not fulfilled, no data will be sent and an error code will be returned.

## 11.1.2 Updating an EF

The ME selects the EF and sends an UPDATE command. This contains the location of the data to be updated and the new data to be stored. If the access condition for UPDATE is fulfilled, the SIM updates the selected EF by replacing the existing data in the EF with that contained in the command. If the access condition is not fulfilled, the data existing in the EF will be unchanged, the new data will not be stored, and an error code will be returned.

In the case when updating EF<sub>LOCI</sub> with data containing the TMSI value and the card reports the error '92 40' (Memory Problem), the ME shall terminate GSM operation.

#### 11.1.3 Increasing an EF

The ME selects the EF and sends an INCREASE command. This contains the value which has to be added to the contents of the last updated/increased record. If the access condition for INCREASE is fulfilled, the SIM increases the existing value of the EF by the data contained in the command, and stores the result. If the access condition is not fulfilled, the data existing in the EF will be unchanged and an error code will be returned.

## 11.2 SIM management procedures

Phase 2 MEs shall support all SIMs which comply with the mandatory requirements of Phase 1, even if these SIMs do not comply with all the mandatory requirements of Phase 2. Furthermore, Phase 2 MEs shall take care of potential incompatibilities with Phase 1 SIMs which could arise through use of inappropriate commands or misinterpretation of response data. Particular note should be taken of making a false interpretation of RFU bytes in a Phase 1 SIM having contradictory meaning in Phase 2; e.g. indication of EF invalidation state.

#### 11.2.1 SIM initialization

After SIM activation (see subclause 4.3.2), the ME selects the Dedicated File  $DF_{GSM}$  and optionally attempts to select  $EF_{ECC}$  If  $EF_{ECC}$  is available, the ME requests the emergency call codes.

The ME requests the Extended Language Preference. The ME only requests the Language Preference  $(EF_{LP})$  if at least one of the following conditions holds:

- $EF_{ELP}$ - $EF_{PL}$  is not available;
- EF<sub>ELP</sub>EF<sub>PL</sub> does not contain an entry corresponding to a language specified in ISO 639[30];
- the ME does not support any of the languages in  $\frac{EF_{ELP}EF_{PL}}{EF_{PL}}$ .

If both EFs are not available or none of the languages in the EFs is supported then the ME selects a default language. It then runs the CHV1 verification procedure.

If the CHV1 verification procedure is performed successfully, the ME then runs the SIM Phase request procedure.

For a SIM requiring PROFILE DOWNLOAD, then the ME shall perform the PROFILE DOWNLOAD procedure in accordance with TS 11.14 [27]. When BDN is enabled on a SIM, the PROFILE DOWNLOAD procedure is used to indicate to the SIM whether the ME supports the "Call Control by SIM" facility. If so, then the SIM is able to allow the REHABILITATE command to rehabilitate EF<sub>IMSI</sub> and EF<sub>LOCI</sub>.

If the ME detects a SIM of Phase 1, it shall omit the following procedures relating to FDN and continue with the Administrative Information request. The ME may omit procedures not defined in Phase 1 such as HPLMN Search Period request.

For a SIM of Phase 2 or greater, GSM operation shall only start if one of the two following conditions is fulfilled:

- if EF<sub>IMSI</sub> and EF<sub>LOCI</sub> are not invalidated, the GSM operation shall start immediately;
- if  $EF_{IMSI}$  and  $EF_{LOCI}$  are invalidated, the ME rehabilitates these two EFs.

MEs without FDN capability but with Call control by SIM facility shall not rehabilitate  $EF_{IMSI}$  and/or  $EF_{LOCI}$  if FDN is enabled in the SIM and therefore have no access to these EFs. GSM operation will therefore be prohibited;

MEs without FDN capability and without Call control by SIM facility shall not rehabilitate  $EF_{IMSI}$  and/or  $EF_{LOCI}$  and therefore have no access to these EFs. GSM operation will therefore be prohibited.

It is these mechanisms which are used for control of services n°3 and n°31 by the use of SIMs for these services which always invalidate these two EFs at least before the next command following selection of either EF.

NOTE: The identification of the data within an EF to be acted upon by the above procedures is specified within the command. For the procedures in subclauses 11.1.1 and 11.1.2 this data may have been previously identified using a SEEK command, e.g. searching for an alphanumeric pattern.

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NOTE: When FDN and BDN are both enabled, and if the ME supports FDN but does not support the Call control by SIM facility, the rehabilitation of  $EF_{IMSI}$  and  $EF_{LOCI}$  will not be successful because of a restriction mechanism of the REHABILITATE command linked to the BDN feature.

When EF<sub>IMSI</sub> and EF<sub>LOCI</sub> are successfully rehabilitated, if the FDN capability procedure indicates that:

- i) FDN is allocated and activated in the SIM; and FDN is set "enabled", i.e. ADN "invalidated" or not activated; and the ME supports FDN; or
- ii) FDN is allocated and activated in the SIM; and FDN is set "disabled", i.e. ADN "not invalidated"; or
- iii) FDN is not allocated or not activated;
- then GSM operation shall start.

In all other cases GSM operation shall not start.

Afterwards, the ME runs the following procedures:

- Administrative Information request;
- SIM Service Table request;
- IMSI request;
- Access Control request;
- HPLMN Search Period request;
- Investigation scan request;
- PLMN selector request;
- HPLMN Selector with Access Technology request;
- User controlled PLMN Selector with Access Technology request;
- Operator controlled PLMN Selector with Access Technology request;
- RPLMN last used Access Technology request;
- Location Information request;
- Cipher Key request;
- BCCH information request;
- CPBCCH information request;
- Forbidden PLMN request;
- LSA information request;
- CBMID request;
- Depersonalisation Control Keys request;
- Network's indication of alerting request.

If the SIM service table indicates that the proactive SIM service is active, then from this point onwards, the ME, if it supports the proactive SIM service, shall send STATUS commands at least every 30s during idle mode as well as during calls, in order to enable the proactive SIM to respond with a command. The SIM may send proactive commands (see TS 11.14 [27]), including a command to change the interval between STATUS commands from the ME, when in idle mode. In-call requirements for STATUS for SIM Presence Detection are unchanged by this command.

After the SIM initialization has been completed successfully, the MS is ready for a GSM session.

### 11.2.2 GSM session termination

NOTE 1: This procedure is not to be confused with the deactivation procedure in subclause 4.3.2.

The GSM session is terminated by the ME as follows.

The ME runs all the procedures which are necessary to transfer the following subscriber related information to the SIM:

- Location Information update;
- Cipher Key update;
- BCCH information update;
- CPBCCH information update;
- RPLMN last used Access Technology update;
- Advice of Charge increase;
- Forbidden PLMN update.

As soon as the SIM indicates that these procedures are completed, the ME/SIM link may be deactivated.

Finally, the ME deletes all these subscriber related information elements from its memory.

NOTE 2: If the ME has already updated any of the subscriber related information during the GSM Session, and the value has not changed until GSM session termination, the ME may omit the respective update procedure.

#### 11.2.3 Emergency Call Codes

Request: The ME performs the reading procedure with  $EF_{ECC}$ .

Update: The ME performs the updating procedure with  $EF_{ECC}$ .

NOTE: The update procedure is only applicable when access conditions of ADM for update is set to ALW, CHV1 or CHV2.

#### 11.2.4 Language preference

Request: The ME performs the reading procedure with EF<sub>LP</sub>.

Update: The ME performs the updating procedure with  $EF_{LP}$ .

#### 11.2.5 Administrative information request;

The ME performs the reading procedure with  $EF_{AD}$ .

#### 11.2.6 SIM service table request

The ME performs the reading procedure with  $\text{EF}_{\text{SST}}.$ 

#### 11.2.7 SIM phase request

The ME performs the reading procedure with  $EF_{Phase}$ .

## 11.2.8 SIM Presence Detection and Proactive Polling

As an additional mechanism, to ensure that the SIM has not been removed during a card session, the ME sends, at frequent intervals, a STATUS command during each call. A STATUS command shall be issued within all 30 second periods of inactivity on the SIM-ME interface during a call. Inactivity in this case is defined as starting at the end of the

last communication or the last issued STATUS command. If no response data is received to this STATUS command, then the call shall be terminated as soon as possible but at least within 5 seconds after the STATUS command has been sent. If the DF indicated in response to a STATUS command is not the same as that which was indicated in the previous response, or accessed by the previous command, then the call shall be terminated as soon as possible but at least within 5 seconds after the response data has been received. This procedure shall be used in addition to a mechanical or other device used to detect the removal of a SIM.

If the ME supports the proactive SIM service, and the SIM has this service activated in its Service Table, then during idle mode the ME shall send STATUS commands to the SIM at intervals no longer than the interval negotiated with the SIM (see TS 11.14 [27]).

#### 11.2.9 Extended Language preference Preferred Language

Request: The ME performs the reading procedure with  $\frac{EF_{ELP}EF_{PL}}{EF_{PL}}$ .

Update: The ME performs the updating procedure with  $\frac{EF_{ELP}EF_{PL}}{EF_{PL}}$ .

## 11.3 CHV related procedures

A successful completion of one of the following procedures grants the access right of the corresponding CHV for the GSM session. This right is valid for all files within the GSM application protected by this CHV.

After a third consecutive presentation of a wrong CHV to the SIM, not necessarily in the same GSM session, the CHV status becomes "blocked" and if the CHV is "enabled", the access right previously granted by this CHV is lost immediately.

An access right is not granted if any of the following procedures are unsuccessfully completed or aborted.

#### 11.3.1 CHV verification

The ME checks the CHV status.

In the case of CHV1 the following procedure applies:

- if the CHV1 status is "blocked" and CHV1 is "enabled", the procedure ends and is finished unsuccessfully;
- if the CHV1 status is "blocked" but CHV1 is "disabled", the procedure ends and is finished successfully. The ME shall, however, accept SIMs which do not grant access rights when CHV1 is "blocked" and "disabled". In that case ME shall consider those SIMs as "blocked";
- if the CHV1 status is not "blocked" and CHV1 is "disabled", the procedure is finished successfully;
- if the CHV1 status is not "blocked" and CHV1 is "enabled", the ME uses the VERIFY CHV function. If the CHV1 presented by the ME is equal to the corresponding CHV1 stored in the SIM, the procedure is finished successfully. If the CHV1 presented by the ME is not equal to the corresponding CHV1 stored in the SIM, the procedure ends and is finished unsuccessfully.

In the case of CHV2 the following procedure applies:

- if the CHV2 status is "blocked", the procedure ends and is finished unsuccessfully;
- if the CHV2 status is not "blocked", the ME uses the VERIFY CHV function. If the CHV2 presented by the ME is equal to the corresponding CHV2 stored in the SIM, the procedure is finished successfully. If the CHV2 presented by the ME is not equal to the corresponding CHV2 stored in the SIM, the procedure ends and is finished unsuccessfully.

#### 11.3.2 CHV value substitution

The ME checks the CHV status. If the CHV status is "blocked" or "disabled", the procedure ends and is finished unsuccessfully.

If the CHV status is not "blocked" and the enabled/disabled indicator is set "enabled", the ME uses the CHANGE CHV function. If the old CHV presented by the ME is equal to the corresponding CHV stored in the SIM, the new CHV presented by the ME is stored in the SIM and the procedure is finished successfully.

If the old CHV and the CHV in memory are not identical, the procedure ends and is finished unsuccessfully.

### 11.3.3 CHV disabling

Requirement: Service n°1 "allocated and activated".

The ME checks the CHV1 status. If the CHV1 status is "blocked", the procedure ends and is finished unsuccessfully.

If the CHV1 status is not "blocked", the ME reads the CHV1 enabled/disabled indicator. If this is set "disabled", the procedure ends and is finished unsuccessfully.

If the CHV1 status is not "blocked" and the enabled/disabled indicator is set "enabled", the ME uses the DISABLE CHV function. If the CHV1 presented by the ME is equal to the CHV1 stored in the SIM, the status of CHV1 is set "disabled" and the procedure is finished successfully. If the CHV1 presented by the ME is not equal to the CHV1 stored in the SIM, the procedure ends and is finished unsuccessfully.

### 11.3.4 CHV enabling

The ME checks the CHV1 status. If the CHV1 status is "blocked", the procedure ends and is finished unsuccessfully.

If the CHV1 status is not "blocked", the ME reads the CHV1 enabled/disabled indicator. If this is set "enabled", the procedure ends and is finished unsuccessfully.

If the CHV1 status is not "blocked" and the enabled/disabled indicator is set "disabled", the ME uses the ENABLE CHV function. If the CHV1 presented by the ME is equal to the CHV1 stored in the SIM, the status of CHV1 is set "enabled" and the procedure is finished successfully. If the CHV presented by the ME is not equal to the CHV1 stored in the SIM, the procedure ends and is finished unsuccessfully.

### 11.3.5 CHV unblocking

The execution of the CHV unblocking procedure is independent of the corresponding CHV status, i.e. being blocked or not.

The ME checks the UNBLOCK CHV status. If the UNBLOCK CHV status is "blocked", the procedure ends and is finished unsuccessfully.

If the UNBLOCK CHV status is not "blocked", the ME uses the UNBLOCK CHV function. If the UNBLOCK CHV presented by the ME is equal to the corresponding UNBLOCK CHV stored in the SIM, the relevant CHV status becomes "unblocked" and the procedure is finished successfully. If the UNBLOCK CHV presented by the ME is not equal to the corresponding UNBLOCK CHV stored in the SIM, the procedure ends and is finished unsuccessfully.

## 11.4 GSM security related procedures

### 11.4.1 GSM algorithms computation

The ME selects  $DF_{GSM}$  and uses the RUN GSM ALGORITHM function (see subclause 8.16). The response SRES-Kc is sent to the ME when requested by a subsequent GET RESPONSE command.

#### 11.4.2 IMSI request

The ME performs the reading procedure with  $\text{EF}_{\text{IMSI}}$ .

#### 11.4.3 Access control request

The ME performs the reading procedure with  $EF_{ACC}$ .

#### 11.4.4 HPLMN search period request

The ME performs the reading procedure with  $\mathrm{EF}_{\mathrm{HPLMN}}.$ 

#### 11.4.5 Location information

Request:	The ME performs the reading procedure with $\mbox{EF}_{\mbox{LOCI}}$
Update:	The ME performs the updating procedure with EF <sub>LOCI</sub> .

#### 11.4.6 Cipher key

Request: The ME performs the reading procedure with  $EF_{Kc}$ .

Update: The ME performs the updating procedure with  $EF_{Kc}$ .

#### 11.4.7 BCCH information

Request: The ME performs the reading procedure with  $EF_{BCCH}$ .

Update: The ME performs the updating procedure with  $EF_{BCCH}$ .

#### 11.4.8 Forbidden PLMN

Request: The ME performs the reading procedure with  $EF_{PLMN}$ .

Update: The ME performs the updating procedure with  $EF_{PLMN}$ .

#### 11.4.9 LSA information

Request: The ME performs the reading procedure with EF<sub>SAI</sub>, EF<sub>SLL</sub> and its associated LSA Descriptor files.

Update: The ME performs the updating procedure with EF<sub>SLL</sub>.

## 11.5 Subscription related procedures

#### 11.5.1 Dialling numbers

The following procedures may not only be applied to  $EF_{ADN}$  and its associated extension files  $EF_{CCP}$  and  $EF_{EXT1}$  as described in the procedures below, but also to  $EF_{FDN}$ ,  $EF_{MSISDN}$ ,  $EF_{LND}$ ,  $EF_{BDN}$ ,  $EF_{SDN}$ ,  $EF_{MBDN}$  and their associated extension files. If these files are not allocated and activated, as denoted in the SIM service table, the current procedure shall be aborted and the appropriate EFs shall remain unchanged.

As an example, the following procedures are described as applied to ADN.

Requirement: Service n°2 "allocated and activated" (Service n°3 for FDN, Service n°9 for MSISDN, Service n°13 for LND, Service n°18 for SDN, Service n°31 for BDN, Service n°53 for MBDN) Update: The ME analyses and assembles the information to be stored as follows (the byte identifiers used below correspond to those in the description of the EFs in subclauses 10.5.1, 10.5.4 and 10.5.10):

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- i) The ME identifies the Alpha-tagging, Capability/Configuration Identifier and Extension1 Record Identifier.
- ii) The dialling number/SSC string shall be analysed and allocated to the bytes of the EF as follows:
  - if a "+" is found, the TON identifier is set to "International";
  - if 20 or less "digits" remain, they shall form the dialling number/SSC string;
  - if more than 20 "digits" remain, the procedure shall be as follows:

#### **Requirement:**

Service n°10 "allocated and activated";

(Service n°10 applies also for MSISDN and LND;

Service n°11 for FDN;

Service n°19 for SDN;

Service n°32 for BDN;

Service n°53 for MBDN).

The ME seeks for a free record in EF<sub>EXT1</sub>. If an Extension1 record is not marked as "free", the ME runs the Purge procedure. If an Extension1 record is still unavailable, the procedure is aborted.

The first 20 "digits" are stored in the dialling number/SSC string. The value of the length of BCD number/SSC contents is set to the maximum value, which is 11. The Extension1 record identifier is coded with the associated record number in the EF<sub>EXT1</sub>. The remaining digits are stored in the selected Extension1 record where the type of the record is set to "additional data". The first byte of the Extension1 record is set with the number of bytes of the remaining additional data. The number of bytes containing digit information is the sum of the length of BCD number/SSC contents of EFADN and byte 2 of all associated chained Extension1 records containing additional data (see subclauses 10.5.1 and 10.5.10).

iii) If a called party subaddress is associated to the ADN/SSC the procedure shall proceed as follows:

Requirement:

Service n°10 "allocated and activated" (Service n°10 applies also for MSISDN and LND; Service n°11 for FDN; Service n°19 for SDN; Service n°32 for BDN; Service n°53 for MBDN).

If the length of the called party subaddress is less than or equal to 11 bytes (see TS 04.08 [15] for coding):

- the ME seeks for a free record in  $EF_{EXT1}$ . If an Extension1 record is not marked as "free", the ME runs the Purge procedure. If an Extension1 record is still unavailable, the procedure is aborted;
- the ME stores the called party subaddress in the Extension1 record, and sets the Extension1 record type to "called party subaddress".

If the length of the called party subaddress is greater than 11 bytes (see TS 04.08 [15] for coding):

- the ME seeks for two free records in  $EF_{EXT1}$ . If no such two records are found, the ME runs the Purge procedure. If two Extension1 records are still unavailable, the procedure is aborted;
- the ME stores the called party subaddress in the two Extension1 records. The identifier field in the Extension1 record containing the first part of the subaddress data is coded with the associated EF<sub>EXT1</sub> record number containing the second part of the subaddress data. Both Extension1 record types are set to "called party subaddress".

Once i), ii), and iii) have been considered the ME performs the updating procedure with EF<sub>ADN</sub>. If the SIM has no available empty space to store the received ADN/SSC, or if the procedure has been aborted, the ME advises the user.

- NOTE 1: For reasons of memory efficiency the ME is allowed to analyse all Extension1 records to recognize if the additional or subaddress data to be stored is already existing in  $EF_{EXT1}$ . In this case the ME may use the existing chain or the last part of the existing chain from more than one ADN (LND, MSISDN). The ME is only allowed to store extension data in unused records. If existing records are used for multiple access, the ME shall not change any data in those records to prevent corruption of existing chains.
- Erasure: The ME sends the identification of the information to be erased. The content of the identified record in  $EF_{ADN}$  is marked as "free".
- Request: The ME sends the identification of the information to be read. The ME shall analyse the data of  $EF_{ADN}$  (subclause 10.5.1) to ascertain, whether additional data is associated in  $EF_{EXT1}$  or  $EF_{CCP}$ . If necessary, then the ME performs the reading procedure on these EFs to assemble the complete ADN/SSC.
- Purge:The ME shall access each EF which references  $EF_{EXT1}$  ( $EF_{EXT2}$ ,  $EF_{EXT6}$ ) for storage and shall<br/>identify records in these files using extension data (additional data or called party subaddress).<br/>Note that existing chains have to be followed to the end. All referred Extension1 (Extension2,<br/>Extension6) records are noted by the ME. All Extension1 (Extension2, Extension6) records not<br/>noted are then marked by the ME as "free" by setting the whole record to 'FF'.
- NOTE 2: Dependent upon the implementation of the ME, and in particular the possibility of erasure of ADN/SSC records by Phase 1 MEs, which have no knowledge of the  $EF_{EXT1}$ , it is possible for Extension1 records to be marked as "used space" (not equal to 'FF'), although in fact they are no longer associated with an ADN/SSC record.

The following three procedures are only applicable to service n°3 (FDN).

FDN capability request. The ME has to check the state of service  $n^{\circ}3$ , i.e. if FDN is "enabled" or "disabled". In case of enabled FDN, the ME has to switch to a restrictive terminal mode (see TS 02.07). To ascertain the state of FDN, the ME checks in  $EF_{SST}$  whether or not ADN is activated. If ADN is not activated, service  $n^{\circ}3$  is enabled. If ADN is activated, the ME checks the response data of  $EF_{ADN}$ . If  $EF_{ADN}$  is invalidated, service  $n^{\circ}3$  is enabled. In all other cases service  $n^{\circ}3$  is disabled.

FDN disabling. The FDN disabling procedure requires that CHV2 verification procedure has been performed successfully and that ADN is activated. If not, FDN disabling procedure will not be executed successfully. To disable FDN capability, the ME rehabilitates  $EF_{ADN}$ . The invalidate/rehabilitate flag of  $EF_{ADN}$ , which is implicitly set by the REHABILITATE command, is at the same time the indicator for the state of the service n°3. If ADN is not activated, disabling of FDN is not possible and thus service n°3 is always enabled (see FDN capability request).

NOTE 3: If FDN is disabled (by rehabilitating  $EF_{ADN}$ ) using an administrative terminal then the FDN disabling procedure of this administrative terminal need also to rehabilitate  $EF_{IMSI}$  and  $EF_{LOCI}$  to ensure normal operation of the SIM in a phase 1 ME or a phase 2 ME which does not support FDN.

FDN enabling. The FDN enabling procedure requires that CHV2 verification procedure has been performed successfully. If not, FDN enabling procedure will not be executed successfully. To enable FDN capability, the ME invalidates  $EF_{ADN}$ . The invalidate/rehabilitate flag of  $EF_{ADN}$ , which is implicitly cleared by the INVALIDATE command, is at the same time the indicator for the state of the service n°3 (see FDN capability request). If ADN is not activated, service n°3 is always enabled.

Invalidated ADNs may optionally still be readable and updatable depending on the file status (see subclause 9.3)

The following three procedures are only applicable to service n°31 (BDN).

BDN capability request. The ME has to check the state of service  $n^{\circ}31$ , i.e. if BDN is "enabled" or "disabled". BDN service is "enabled" only if service  $n^{\circ}31$  is allocated and activated, and  $EF_{BDN}$  is not invalidated. In all other cases, the BDN service is "disabled".

BDN disabling. The BDN disabling procedure requires that CHV2 verification procedure has been performed successfully. If not, BDN disabling procedure will not be executed successfully. To disable BDN capability, the ME invalidates  $EF_{BDN}$ . The invalidate/rehabilitate flag of  $EF_{BDN}$ , which is implicitly cleared by the INVALIDATE command, is at the same time the indicator for the state of the service n°31 (see BDN capability request).

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BDN enabling. The BDN enabling procedure requires that CHV2 verification procedure has been performed successfully. If not, BDN enabling procedure will not be executed successfully. To enable BDN capability, the ME rehabilitates  $EF_{BDN}$ . The invalidate/rehabilitate flag of  $EF_{BDN}$ , which is implicitly set by the REHABILITATE command, is at the same time the indicator for the state of the service n°31 (see BDN capability request).

Invalidated BDNs (when BDN capability is disabled) may optionally still be readable and updatable depending on the file status (see subclause 9.3).

#### 11.5.2 Short messages

Requirement: Service n°4 "allocated and activated". Request: The SIM seeks for the identified short message. If this message is found, the ME performs the reading procedure with EF<sub>SMS</sub>. If service n°35 is "allocated and activated" and the status of the SMS is '1D' (status report requested, received and stored in EF<sub>SMSR</sub>), the ME performs the reading procedure with the corresponding record in EF<sub>SMSR</sub>. If the ME does not find a corresponding record in EF<sub>SMSR</sub>, then the ME shall update the status of the SMS with '19' (status report requested, received but not stored in EF<sub>SMSR</sub>). If the short message is not found within the SIM memory, the SIM indicates that to the ME. Update: The ME looks for the next available area to store the short message. If such an area is available, it performs the updating procedure with  $EF_{SMS}$ . If there is no available empty space in the SIM to store the received short message, a specific MMI will have to take place in order not to loose the message. Erasure: The ME will select in the SIM the message area to be erased. Depending on the MMI, the message may be read before the area is marked as "free". After performing the updating procedure with EF<sub>SMS</sub>, the memory allocated to this short message in the SIM is made available for a new incoming message. The memory of the SIM may still contain the old message until a new message is stored in this area. If service n°35 is "allocated and activated" and the status of the SMS is '1D' (status report requested, received and stored in  $EF_{SMSR}$ ), the ME performs the erasure procedure for  $EF_{SMSR}$  with the corresponding record in EF<sub>SMSR</sub>.

#### 11.5.3 Advice of Charge (AoC)

Requirement: Service n°5 "allocated and activated".

Accumulated Call Meter.

Request: The ME performs the reading procedure with  $EF_{ACM}$ . The SIM returns the last updated value of the ACM.

Initialization: The ME performs the updating procedure with EF<sub>ACM</sub> using the new initial value.

Increasing: The ME performs the increasing procedure with EF<sub>ACM</sub> sending the value which has to be added.

Accumulated Call Meter Maximum Value.

Request: The ME performs the reading procedure with  $EF_{ACMmax}$ .

Initialization: The ME performs the updating procedure with EF<sub>ACMmax</sub> using the new initial maximum value.

Price per Unit and Currency Table (PUCT).

Request: The ME performs the reading procedure with  $EF_{PUCT}$ .

Update: The ME performs the updating procedure with  $EF_{PUCT}$ .

#### 11.5.4 Capability configuration parameters

Requirement:	Service n°6 "allocated and activated".
Request:	The ME performs the reading procedure with $EF_{CCP}$ .
Update:	The ME performs the updating procedure with EF <sub>CCP</sub> .
Erasure:	The ME sends the identification of the requested information to be erased. The content of the identified record in $EF_{CCP}$ is marked as "free".

#### 11.5.5 PLMN selector

Requirement:	Service n°7 "allocated and activated".
Request:	The ME performs the reading procedure with $\text{EF}_{\text{PLMNse1}}.$
Update:	The ME performs the updating procedure with EFPLMNsel

#### 11.5.6 Cell broadcast message identifier

Requirement:	Service n°14 "allocated and activated".
Request:	The ME performs the reading procedure with $\ensuremath{EF_{CBMI}}$
Update:	The ME performs the updating procedure with $\text{EF}_{\text{CBMI}}$

### 11.5.7 Group identifier level 1

Requirement: Service n°15 "allocated and activated".

Request: The ME performs the reading procedure with  $EF_{GID1}$ .

#### 11.5.8 Group identifier level 2

Requirement: Service n°16 "allocated and activated".

Request: The ME performs the reading procedure with  $EF_{GID2}$ .

#### 11.5.9 Service Provider Name

Requirement: Service n°17 "allocated and activated".

Request: The ME performs the reading procedure with  $EF_{SPN}$ .

#### 11.5.10 Voice Group Call Services

Requirement: Service n°18 "allocated and activated".

Voice Group Call Service

Request: The ME performs the reading procedure with  $EF_{VGCS}$ .

Voice Group Call Service Status

Request:	The ME performs	the reading proceed	lure with EF <sub>VGCSS</sub> .
-	*	÷ 1	

Update: The ME performs the updating procedure with  $EF_{VGCSS}$ .

#### 11.5.11 Voice Broadcast Services

Requirement: Service n°19 "allocated and activated".

Voice Broadcast Service

Request: The ME performs the reading procedure with EF<sub>VBS</sub>.

Voice Broadcast Service Status

Request:	The ME performs the reading procedure with $\text{EF}_{\text{VBSS}}.$
Update:	The ME performs the updating procedure with $\text{EF}_{\text{VBSS}}.$

#### 11.5.12 Enhanced Multi Level Pre-emption and Priority Service

Requirement: Service n°18 "allocated and activated".

Enhanced Multi Level Pre-emption and Priority

Request: The ME performs the reading procedure with  $EF_{eMLPP}$ .

Automatic Answer on eMLPP service

Request: The ME performs the reading procedure with	h EF <sub>AAeM</sub> .
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Update: The ME performs the updating procedure with  $EF_{AAeM}$ .

#### 11.5.13 Cell Broadcast Message range identifier

Requirement:	Service n°30 "allocated and activated".
Request:	The ME performs the reading procedure with $\text{EF}_{\text{CBMIR}}$ .
Update:	The ME performs the updating procedure with EF <sub>CBMIR</sub> .

#### 11.5.14 Depersonalisation Control Keys

Requirement: Service n°33 "allocated and activated".

Request: The ME performs the reading procedure with  $EF_{DCK}$ .

#### 11.5.15 Short message status report

Requirement: Service n°35 "allocated and activated".

- Request: If the status of a stored short message indicates that there is a corresponding status report, the ME performs the seek function with  $EF_{SMSR}$  to identify the record containing the appropriate status report. The ME performs the reading procedure with  $EF_{SMSR}$ .
- Update: If a status report is received, the ME first seeks within the SMS record identifiers of  $EF_{SMSR}$  for the same record number it used for the short message in  $EF_{SMS}$ . If such a record identifier is found in  $EF_{SMSR}$ , it is used for storage. If such a record identifier is not found, then the ME seeks for a free entry in  $EF_{SMSR}$  for storage. If no free entry is found the ME runs the Purge procedure with  $EF_{SMSR}$ . If there is still no free entry, the status report is not stored.

If the ME found an appropriate record in  $EF_{SMSR}$  for storage, it updates the record with the status report setting the record identifier in  $EF_{SMSR}$  to the appropriate record number of the short message in  $EF_{SMS}$ .

The status in  $EF_{SMS}$  is updated accordingly (see subclause 10.5.3) by performing the update procedure with  $EF_{SMS}$ .

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Erasure:	The ME runs the update procedure with $EF_{SMSR}$ by at least storing '00' in the first byte of the record. The ME may optionally update the following bytes with 'FF'.	
Purge:	The ME shall read the SMS record identifier (byte 1) of each record the ME checks the corresponding short messages in $EF_{SMS}$ . If the corresponding SMS is not equal '1D' (status report requested, record ME shall perform the erasure procedure with the appropriate record	ord of $EF_{SMSR}$ . With each record status (byte 1) of the eived and stored in $EF_{SMSR}$ ), the ord in $EF_{SMSR}$ .

#### 11.5.16 Network's indication of alerting

Requirement: Service n°36 "allocated and activated".

Request: The ME performs the reading procedure with  $EF_{NIA}$ .

## 11.5.17 User controlled PLMN Selector with Access Technology

Requirement:	Service n°43" allocated and activated".
Request:	The ME performs the reading procedure with $\mathrm{EF}_{\mathrm{PLMNwAcT}}$
Update:	The ME performs the updating procedure with $\mathrm{EF}_{\mathrm{PLMNwAcT}}.$

### 11.5.18 Operator controlled PLMN Selector with Access Technology

Requirement:	Service n°44 "allocated and activated".
Request:	The ME performs the reading procedure with $\mathrm{EF}_{\mathrm{OPLMNwAcT}}$

## 11.5.19 HPLMN Selector with Access Technology

Requirement:	Service n°45 "allocated and activated".
Request:	The ME performs the reading procedure with $\mathrm{EF}_{\mathrm{HPLMNAcT}}$ .

### 11.5.20 CPBCCH information

Requirement:Service n°46 "allocated and activated".Request:The ME performs the reading procedure with EF<sub>CPBCCH</sub>.Update:The ME performs the updating procedure with EF<sub>CPBCCH</sub>.

### 11.5.21 Investigation Scan

 $Requirement: \qquad Service \ n^{\circ}47 \ "allocated \ and \ activated".$ 

Request: The ME performs the reading procedure with  $\text{EF}_{\text{InvScan}}$ .

### 11.5.22 RPLMN last used Access Technology

Requirement:Service n°50 "allocated and activated".Request:The ME performs the reading procedure with EF<sub>RPLMNAcT</sub>.Update:The ME performs the updating procedure with EF<sub>RPLMNAcT</sub>.

## 11.5.234 PLMN Network Name

Requirement: Service n°51 "<u>allocated and activated available</u>".

Request: The ME performs the reading procedure with  $EF_{PNN}$ .

### 11.5.242 Operator PLMN List

Requirement: Service n°52 "<u>allocated and activated available</u>".

Request: The ME performs the reading procedure with EF<sub>OPL</sub>

### 11.5.253 Message Waiting Indication

- Requirement: Service n°54 "<u>allocated and activated</u> available".
- Request: The ME performs the reading procedure with  $EF_{MWIS}$ .
- Update: The ME performs the updating procedure with  $EF_{MWIS}$ .

## 11.5.26 Call Forwarding Indication Status

- Requirement: Service n°55 "<u>allocated and activated</u> available".
- Request: The ME performs the reading procedure with  $EF_{CFIS}$ .
- Update: The ME performs the updating procedure with EF<sub>CFIS</sub>.

## 11.6 SIM Application Toolkit related procedures

SIM Application Toolkit is an optional feature. The higher level procedures, and contents and coding of the commands, are given in TS 11.14 [27]. Procedures relating to the transmission of commands and responses across the SIM/ME interface are given in this section. A SIM or ME supporting SIM Application Toolkit shall conform to the requirements given in this section.

#### 11.6.1 Initialization procedure

A SIM supporting SIM Application Toolkit shall indicate this through relevant data in  $EF_{Phase}$  and  $EF_{SST}$ , as defined in the relevant sections above.

An ME supporting SIM Application Toolkit shall perform initialization as defined in the SIM Initialization section above.

#### 11.6.2 Proactive polling

An ME supporting proactive SIM (part of SIM Application Toolkit) shall support the polling procedure as defined above.

#### 11.6.3 Support of commands

A SIM or ME supporting SIM Application Toolkit shall support the commands TERMINAL PROFILE, ENVELOPE, FETCH and TERMINAL RESPONSE.

These commands shall never be used if either the SIM or ME does not support SIM Application Toolkit. Therefore standard SIMs and MEs do not need to support these commands.

#### 11.6.4 Support of response codes

A SIM or ME supporting SIM Application Toolkit shall support the response status words (SW1 SW2) '91 XX', and '93 00' and '9E XX'. The SIM shall send '9E XX' only to an ME indicating in TERMINAL PROFILE that it supports the handling of these status words.

These responses shall never be used if either the SIM or ME does not support SIM Application Toolkit. Therefore standard SIMs and MEs do not need to support them.

### 11.6.5 Command-response pairs

Using the terminology where the ME issues a command and the SIM a response, ending in status words SW1 SW2, a command-response pair is considered as a single transaction. Each transaction is initiated by the ME and terminated by the SIM. One transaction must be completed before the next one can be initiated. This protocol applies to SIM Application Toolkit in the same way as it does to normal operation.

### 11.6.6 Independence of normal GSM and SIM Application Toolkit tasks

Normal GSM operation (relating to general, CHV related, GSM security related, and subscription related procedures) and SIM Application Toolkit operation shall be logically independent, both in the SIM and in the ME.

Specifically, this means:

- the currently selected EF and current record pointer in the normal GSM task shall remain unchanged, if still valid, as seen by the ME, irrespective of any SIM Application Toolkit activity;
- between successive SIM Application Toolkit related command-response pairs, other normal GSM related command-response pairs can occur. The SIM Application Toolkit task status shall remain unchanged by these command-response pairs.

### 11.6.7 Use of BUSY status response

If for any reason the SIM Application Toolkit task of the SIM cannot process an ENVELOPE command issued by the ME at present (e.g. other SIM Application Toolkit processes are already running, and this additional one would cause an overload), the SIM can respond with a status response of '93 00'. The ME may re-issue the command at a later stage.

The BUSY status response has no impact on normal GSM operation.

### 11.6.8 Use of NULL procedure byte

The NULL procedure byte provides a mechanism for the SIM to obtain more time before supplying the response part of a command-response pair, during which time the ME is unable to send further commands to the SIM.

If a SIM Application Toolkit activity in the SIM runs for too long, this may prevent the ME from sending "normal GSM" commands which are time-critical, e.g. RUN GSM ALGORITHM. A MORE TIME command is defined in TS 11.14 [27], which ensures that the SIM Application Toolkit task in the SIM gets more processing time, while at the same time freeing the SIM/ME interface. This should be used in preference to NULL procedure bytes ('60').

# 11.6.9 Using the TERMINAL PROFILE, ENVELOPE, and TERMINAL RESPONSE commands

These commands are part of the set used by SIM Application Toolkit. The use of the these commands, the occasions where they are required, and the command and response parameters associated with the commands, are specified in TS 11.14 [27]. The ME completes the command parameters/data of the relevant command and sends the command to the SIM. The transmitted data is processed by the SIM in a specific way depending on the tag value in the command parameters.

A SIM or ME not supporting SIM Application Toolkit does not need to support these commands.

#### 11.6.10 Using the FETCH command

This command is used by SIM Application Toolkit. The use of the this command, the occasions where it is required, and the command and response parameters associated with the command, are specified in TS 11.14 [27]. It is similar in function to GET RESPONSE, in that it requests response parameters from the SIM, following a '91 XX' status response.

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The transmitted response data from the SIM is processed by the ME in a specific way depending on the tag value in the response parameters.

A SIM or ME not supporting SIM Application Toolkit does not need to support this command.

### 11.6.11 Data Download via SMS-CB

Requirement: Service n°25 "allocated and activated".

The ME shall perform the reading procedure with  $EF_{CBMID}$ . On receiving a cell broadcast message with an identifier which matches an identifier in  $EF_{CBMID}$ , the ME shall pass the CB message to the SIM using the ENVELOPE command. If a match is not found and service no. 14 is "allocated and activated", then the message identifier is checked against those in  $EF_{CBMI}$ .

#### 11.6.12 Data Download via SMS-PP

Requirement: Service n°26 "allocated and activated".

The procedures and commands for Data Download via SMS-PP are defined in TS 11.14 [27].

#### 11.6.13 Menu selection

Requirement: Service n°27 "allocated and activated".

The procedures and commands for Menu Selection are defined in TS 11.14 [27].

#### 11.6.14 Call Control

```
Requirement: Service n°28 "allocated and activated".
```

The procedures and commands for Call Control are defined in TS 11.14 [27]. It is mandatory for the ME to perform the procedures if it has indicated that it supports Call Control in the TERMINAL PROFILE command. When BDN is enabled, the Call control facility of the ME is used by the SIM to support the BDN service.

#### 11.6.15 Proactive SIM

Requirement: Service n°29 "allocated and activated".

The procedures and commands for Proactive SIM, at the application level, are defined in TS 11.14 [27].

#### 11.6.16 Mobile Originated Short Message control by SIM

Requirement: Service n°37 "allocated and activated".

The procedures and commands for Mobile Originated Short Message control by SIM are defined in TS 11.14 [27]. It is mandatory for the ME to perform the procedures if it has indicated that it supports Mobile Originated Short Message control by SIM in the TERMINAL PROFILE command.

### 11.6.17 SIM data download error

In case of an ENVELOPE for SIM data download, the SIM can respond with the status words '9E XX' to indicate that response data is available. The ME shall use the GET RESPONSE command to get the response data. The ME shall then send transparently to the network this response data, using the error procedure of the transport mechanism.

#### 11.6.18 Image Request

Requirement: Service n°3<u>9</u>8 "allocated and activated".

The ME sends the identification of the information to be read. The ME shall analyse the data of  $EF_{IMG}$  (subclause 10.6.1.1) to identify the files containing the image's instances. If necessary, then the ME performs READ BINARY commands on these files to assemble the complete image instance data.

## 11.7 MExE related procedures

MExE is an optional feature. The higher level procedures, and contents and coding of the commands, are given in TS 23.057 [50]. Procedures relating to the transmission of commands and responses across the SIM/ME interface are given in this section. A SIM or ME supporting MExE shall conform to the requirements given in this section.

#### 11.7.1 MExE ST

Requirement:Service n°49 (MExE) "allocated and activated".Request:The ME performs the reading procedure with EFMExE ST.

#### 11.7.2 Operator root public key

Requirement: Service n°49 (MExE) "allocated and activated" and MExE ST service n°1 (EF<sub>ORPK</sub>)" allocated and activated".

Request: The ME performs the reading procedure with  $EF_{ORPK}$ . The ME shall analyse the data of  $EF_{ORPK}$  (sub-clause 10.7.2) to identify the files containing the certificate instances. If necessary, then the ME performs READ BINARY commands on these files to assemble the complete certificate instance data.

#### 11.7.3 Administrator root public key

Requirement: Service  $n^{\circ}49$  (MExE) "allocated and activated" and MExE ST service  $n^{\circ}2$  (EF<sub>ARPK</sub>) "allocated and activated".

Request: The ME performs the reading procedure with  $EF_{ARPK}$ . The ME shall analyse the data of  $EF_{ARPK}$  (sub-clause 10.7.3) to identify the file containing the certificate instance. If necessary, then the ME performs READ BINARY commands on this file to assemble the complete certificate instance data.

### 11.7.4 Third Party root public key(s)

 Requirement:
 Service n°49 (MExE) "allocated and activated" and MExE ST service n°3 (EF<sub>TPRPK</sub>) "allocated and activated".

 Request:
 The ME performs the reading procedure with EF<sub>TPRPK</sub>. The ME shall analyse the data of EF<sub>TPRPK</sub>

equest: The ME performs the reading procedure with  $EF_{TPRPK}$ . The ME shall analyse the data of  $EF_{TPRPK}$  (sub-clause 10.7.4) to identify the files containing the certificate instances. If necessary, then the ME performs READ BINARY commands on these files to assemble the complete certificate instance data.
# <u>Annex A (normative):</u> Plug-in SIM

This annex specifies the dimensions of the Plug in SIM as well as the dimensions and location of the contacts of the Plug in SIM. For further details of the Plug in SIM see clause 4.



NOTE: The Plug-in SIM may be "obtained" by cutting away excessive plastic of an ID-1 SIM. The values in parenthesis in figure A.1 show the positional relationship between the Plug in and the ID-1 SIM and are for information only.



## Annex B (normative): Coding of Alpha fields in the SIM for UCS2

If 16 bit UCS2 characters as defined in ISO/IEC 10646 [31] are being used in an alpha field, the coding can take one of three forms. If the ME supports UCS2 coding of alpha fields in the SIM, the ME shall support all three coding schemes for character sets containing 128 characters or less; for character sets containing more than 128 characters, the ME shall at least support the first coding scheme. If the alpha field record contains GSM default alphabet characters only, then none of these schemes shall be used in that record. Within a record, only one coding scheme, either GSM default alphabet, or one of the three described below, shall be used.

1) If the first octet in the alpha string is '80', then the remaining octets are 16 bit UCS2 characters, with the more significant octet (MSO) of the UCS2 character coded in the lower numbered octet of the alpha field, and the less significant octet (LSO) of the UCS2 character is coded in the higher numbered alpha field octet, i.e. octet 2 of the alpha field contains the more significant octet (MSO) of the first UCS2 character, and octet 3 of the alpha field contains the less significant octet (LSO) of the first UCS2 character (as shown below). Unused octets shall be set to 'FF', and if the alpha field is an even number of octets in length, then the last (unusable) octet shall be set to 'FF'.

#### Example 1

Octet 1	Octet 2	Octet 3	Octet 4	Octet 5	Octet 6	Octet 7	Octet 8	Octet 9
<u>'80'</u>	Ch1 <sub>MSO</sub>	Ch1 <sub>LSO</sub>	Ch2 <sub>MSO</sub>	Ch2 <sub>LSO</sub>	Ch3 <sub>MSO</sub>	Ch3 <sub>LSO</sub>	ŦĘ	'FF'

2) If the first octet of the alpha string is set to '81', then the second octet contains a value indicating the number of characters in the string, and the third octet contains an 8 bit number which defines bits 15 to 8 of a 16 bit base pointer, where bit 16 is set to zero, and bits 7 to 1 are also set to zero. These sixteen bits constitute a base pointer to a "half page" in the UCS2 code space, to be used with some or all of the remaining octets in the string. The fourth and subsequent octets in the string contain codings as follows; if bit 8 of the octet is set to zero, the remaining 7 bits of the octet contain a GSM Default Alphabet character, whereas if bit 8 of the octet is set to one, then the remaining seven bits are an offset value added to the 16 bit base pointer defined earlier, and the resultant 16 bit value is a UCS2 code point, and completely defines a UCS2 character.

#### Example 2

Octet 1	Octet 2	Octet 3	Octet 4	Octet 5	Octet 6	Octet 7	Octet 8	Octet 9
<del>'81'</del>	<del>'05'</del>	' <del>13'</del>	<del>'53'</del>	<del>'95'</del>	<del>'A6'</del>	<del>'XX'</del>	<del>'∓</del> ∓'	i <del>li</del>

— In the above example;

- Octet 2 indicates there 5 characters in the string.

Octet 3 indicates bits 15 to 8 of the base pointer, and indicates a bit pattern of 0hhh hhhh h000 0000 as the 16 bit base pointer number. Bengali characters for example start at code position 0980 (0000-1001-1000 0000), which is indicated by the coding '13' in octet 3 (shown by the italicised digits).

Octet 4 indicates GSM Default Alphabet character '53', i.e. "S".

Octet 5 indicates a UCS2 character offset to the base pointer of '15', expressed in binary as follows 001 0101, which, when added to the base pointer value results in a sixteen bit value of 0000 1001 1001 0101, i.e. '0995', which is the Bengali letter KA.

Octet 8 contains the value 'FF', but as the string length is 5, this a valid character in the string, where the bit pattern 111 1111 is added to the base pointer, yielding a sixteen bit value of 0000 1001 1111 1111 for the UCS2 character (i.e. '09FF').

3) If the first octet of the alpha string is set to '82', then the second octet contains a value indicating the number of characters in the string, and the third and fourth octets contain a 16 bit number which defines the complete 16 bit base pointer to a "half page" in the UCS2 code space, for use with some or all of the remaining octets in the string. The fifth and subsequent octets in the string contain codings as follows; if bit 8 of the octet is set to zero, the remaining 7 bits of the octet contain a GSM Default Alphabet character, whereas if bit 8 of the octet is set to one, the remaining seven bits are an offset value added to the base pointer defined in octets three and four, and the resultant 16 bit value is a UCS2 code point, and defines a UCS2 character.

#### Example 3

Octet 1	Octet 2	Octet 3	Octet 4	Octet 5	Octet 6	Octet 7	Octet 8	Octet 9
<u>'82'</u>	<del>'05'</del>	<del>'05'</del>	<del>'30'</del>	' <del>2D'</del>	<del>'82'</del>	' <del>D3'</del>	' <del>2D'</del>	' <del>31'</del>

— In the above example

- Octet 2 indicates there are 5 characters in the string.

- Octets 3 and 4 contain a sixteen bit base pointer number of '0530', pointing to the first character of the Armenian character set.
- Octet 6 contains a value '82', which indicates it is an offset of '02' added to the base pointer, resulting in a UCS2 character code of '0532', which represents Armenian character Capital BEN.
- Octet 7 contains a value 'D3', an offset of '53', which when added to the base pointer results in a UCS2 code point of '0583', representing Armenian Character small PIWR.

### Annex C (informative): FDN/BDN Procedures



- NOTE 1: In case of enabled FDN and/or enabled BDN, the EF has been invalidated by the SIM at no later than this stage.
- NOTE 2: Invalidation of only one of the two EFs is not allowed for FDN and BDN.
- NOTE 3: For SIMs with enabled BDN this procedure is used to check whether the ME supports the Call Control by the SIM facility.

#### Figure C.1: Example of an Initialization Procedure of a FDN/BDN SIM (see subclause 11.2.1)



- NOTE 4: In case of "BDN enabled", the SIM only allows rehabilitation of the EF<sub>IMSI</sub> and EF<sub>LOCI</sub>, if the ME has indicated its CC-capability to the SIM (by PROFILE\_DOWNLOAD).
- NOTE 5: Possibility for future "restricting" services to use the internal SIM mechanism of invalidation of EF<sub>IMSI</sub> and EF<sub>LOCI</sub>.
- NOTE 6: If the ME does not support all enabled services (e.g. FDN, BDN), it does not operate. In case of enabled BDN, the support of the "Call Control Feature" by the ME is sufficient for operation. For future use, there may be additional "restricting" services, which are not known to the ME. In that case the ME will perform the subsequent rehabilitation procedure but will fail to rehabilitate EF<sub>IMSI</sub> and EF<sub>LOCI</sub> (see note 4).

#### Figure C.1: Example of an Initialization Procedure of a FDN/BDN SIM (continued)



Figure C.2: SIM capability request



Figure C.3: BDN capability request (see subclause 11.5.1)









NOTE 8: If BDN is enabled in the SIM, and if the Profile download procedure has not indicated that the ME supports Call Control, the EF is not rehabilitated by the SIM.





Figure C.6: Coding for state of FDN

# Annex D (informative): Suggested contents of the EFs at pre-personalization

If EFs have an unassigned value, it may not be clear from the main text what this value should be. This annex suggests values in these cases.

File Identification	Description	Value
'2FE2'	ICC identification	operator dependant (see 10.1.1)
'2F05'	Extended Language preference	'FF FF'
'6F05'		'FF'
'6F07'	IMSI	operator dependant (see 10.3.2)
'6F20'	Ciphering key Kc	'FF_FF07'
'6F30'	PI MN selector	'FF FF'
'6F31'	HPI MN search period	
'6F37'		'00000' (see note 1)
'6F38'	SIM service table	operator dependant (see 10.3.7)
'6F30'		
01 35 '6F3E'	Group identifier level 1	operator dependant
01 3L '6F3F'	Group identifier level 2	operator dependant
01 31 '6E41'		
01 4 1 '6E45'	CBMI	
01 45 '6F46'	Service provider name	
01 40 '6E48'		
01 40 '6E40'	Service Dialling Numbers	
0F49 '6E74'		
0174 '6E78'		operator dependant (see 10.1.12)
'6F7B'	Forbidden PL MNs	
017D '6E7E		
		(see note 2)
'6FAD'	Administrative data	operator dependant (see 10 3 15)
'6FAF'	Phase identification	see 10 3 16
6F3A'	Abbreviated dialling numbers	'FF_FF'
'6F3B'	Fixed dialling numbers	'FF FF'
6F3C'	Short messages	'00FF_FF'
'6F3D'	Capability configuration parameters	'FF FF'
6F40'	MSISDN storage	
6F42'	SMS parameters	
01 42 '6F43'	SMS parameters	
6F44'	Last number dialled	'FF FF'
6F47'	Short message status reports	'00FF FF'
6F4A'	Extension 1	'FF FF'
'6F4B'	Extension 2	'FF FF'
'6F4C'	Extension 3	'FF FF'
'6F4D'	Barred dialling numbers	'FF FF'
6F4E'	Extension 4	'FF FF'
6F4F'	Extended canability configuration parameters	'FF FF'
'6E51'	Network's indication of alerting	'FF FF'
'6E52'	GPRS Ciphering key KcGPRS	'FF_FE07'
'6E53'	GPRS Location Information	'EEEEEEE EEEEE xxxxxx 0000 EE 01'
'6F54'	SetLinMenu Elements	operator dependant (see 10 3 34)
'6E58'	Comparison method information	'FF FF'
'6F60'	User controlled PLMN Selector with Access	'EEEEE0000 EEEEE0000'
	Technology	
'6F61'	Operator controlled PI MN Selector with	'FEFEE0000_EEEEE0000'
	Access Technology	
'6F62'	HPLMN Selector with Access Technology	'FFFFFF0000FFFFFF0000'
'6F63'	CPBCCH information	'FFFF'
'6F64'	Investigation Scan	'00'
'6F65'	RPLMN last used Access Technology	'0000'
'4F20'	Image data	'00FFFF'
'4F30'	SoLSA Access Indicator)	'00FFFF'
'4F31'	SoLSA LSA List	'FFFF'
'6FC5'	PLMN Network Name	Operator dependant
'6FC6'	Operator PLMN List	Operator dependant
'6FC7'	Mailbox Dialling Numbers	Operator dependant
'6FC8'	Extension 6	'00 FFFF'
'6FC9'	Mailbox Identifier	Operator dependant
'6FCA'	Message Waiting Indication Status	'00 00 00 00'
'6FCB'	Call Forwarding Indication Status	'xx 00 FFFF'
'6FCC'	Extension 7	'00 FFFF'

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NOTE 1: The value '000000' means that ACMmax is not valid, i.e. there is no restriction on the ACM. When assigning a value to ACMmax, care should be taken not to use values too close to the maximum possible value 'FFFFFF', because the INCREASE command does not update  $EF_{ACM}$  if the units to be added would exceed 'FFFFFF'. This could affect the call termination procedure of the Advice of Charge function.

NOTE 2: xxxxxx stands for any valid MCC and MNC, coded according to TS 04.08 [15].

### Annex E (informative): SIM application Toolkit protocol diagrams

The diagrams in this annex are intended to illustrate the data protocols of the SIM toolkit application in various situations. The SIM application is shown as initiated by SMS Data Download messages. Other possibilities exist (as defined in TS 11.14) such as data entry from a menu selection.

#### Case 1: Simple



This shows the simple case where an SMS for SIM updating is received from the network, passed to the SIM by the ME and processed immediately by the SIM application. This requires no ME action except to acknowledge the SMS.

#### Case 2: Simple with short delay



This shows the simple case where an SMS for SIM updating is received from the network, passed to the SIM by the ME and which requires some time to process by the SIM application. The processing time is "not long" and is obtained by the SIM application sending "null procedure bytes" to the ME. Each byte has the effect of restarting the work waiting time so that the ME does not abort the transaction before the SIM application has finished processing the command(s) sent in the SMS.

#### **Guidelines on timings:**

- 1. The SMS Ack must be sent back before the network times out and sends the SMS again.
- 2. Use of null procedure bytes must not be excessive as during this time the ME is unable to issue normal GSM commands to the SIM.

#### Case 3: Simple with short delay and SIM Acknowledgement



This shows the same case as previously where an SMS for SIM updating is received from the network, passed to the SIM by the ME and which requires some time to process by the SIM application. However in this case the SIM application has SIM acknowledgement data to include in the SMS acknowledgement being returned to the network by the ME.

#### Guideline on timings:

The SMS Ack must be sent back before the network times out and sends the SMS again.

Network	ME	GSM SIM	M SIM Application
SMS <sub>SIM</sub>			
Data_Download/Class_2	ENV	(SMS)	(SMS)
	'60' ►	)'	('60')
	'60' •	)'	('60')
			('91XX')
	·91	XX'	
SMS Ack	FEI	ГСН	
		•	(FETCH)
		-	•
			(Command)
	Comm	and	
	TERMINA	L RESPONSE	
		1	(TERMINAL RESPONSE)
	·90	)00' <del>&lt;</del>	('9000')

#### Case 4: A Toolkit command generated by the SIM application as a result of an SMS from the network

This shows the case where an SMS for SIM updating is received from the network, passed to the SIM by the ME and processed by the SIM application which then generates a command for action by the ME (e.g. PLAYTONE).

NOTE: If a positive acknowledgement to the network of completion of execution of the instructions given in the SMS message is required then the SIM application can issue a command to the ME to send a MO SMS.

Case 5: A normal GSM command requires processing before the ME can respond to the 91XX from the SIM

This shows the case where an SMS for SIM updating is received from the network, passed to the SIM by the ME and processed by the SIM application which then generates a command for action by the ME (e.g. PLAYTONE). However a normal GSM command requires processing before the ME can FETCH the command which the SIM is waiting to give it. The response to the normal GSM command is '91XX' in this case to remind the ME of the outstanding SIM application command request.

#### Case 6: MORE TIME Command



This shows the case where an SMS for SIM updating is received from the network, passed to the SIM by the ME and requires a considerable period of time to be processed by the SIM application. In this case the use of null procedure bytes only is inappropriate as the ME must be given the opportunity to process normal GSM commands. The opportunities gained by the SIM application for processing, and the opportunities for normal GSM commands are shown in the diagram above. The sequence of 91XX, FETCH and MORETIME commands can be repeated if required.

Opportunities to process normal GSM commands are shown thus:

Opportunities for SIM application processing are shown thus:

#### **Case 7: SIM Application Busy**



While the SIM application is busy processing a SMS for the SIM application arrives from the network and is sent to the SIM by the ME in the usual manner. The SIM operating system recognizes that the SIM application is busy, and it sends a busy response ('9300') to the ME. The ME then sends negative acknowledgement to the network. The responsibility for a retry rests with the network.

# Annex F (informative): Examples of coding of LSA Descriptor files for SoLSA

The length of all the records is determined by the LSA descriptor containing the largest number of bytes. Combinations containing different numbers of LSA IDs, LAC+ CI and CI or LAC can therefore be done. Various examples are show. Due to the OTA management of the records it is recommended that the record length is maximum 100 bytes in order to leave room for command descriptor and signature information in the SMS.

This first example contains two LSAs, one described by two LSA IDs and another described by three Cell IDs, giving a record length of 8 bytes.

1<sup>st</sup> reco

ord:	LSA descriptor	LSA ID (3 bytes)	LSA ID (3 bytes)	Identifier (1 byte)
	type = LSA ID			
	and number = 2			
	(1 byte)			

2<sup>nd</sup> record:

LSA descriptor	CI (2 bytes)	CI (2 bytes)	CI (2 bytes)	Identifier (1 byte)
type = CI and				
number = 3				
(1 byte)				

The second example contains two LSAs, one described by one LSA ID and one described by two Cell Ids, giving a record length of 6 bytes.

1 <sup>st</sup>	record:	
-----------------	---------	--

cord:	LSA descriptor	LSA ID (3 bytes)	'FF'	Identifier (1 byte)
	type = LSA ID			
	and number = 1			
	(1 byte)			

2<sup>nd</sup> record:

LSA descriptor	CI (2 bytes)	CI (2 bytes)	Identifier (1 byte)
type = CI and			
number = 2			
(1 byte)			

## Annex G (normative): Image Coding Schemes

The following image coding schemes are applicable to rectangular raster images. Raster image points are assumed to be of square shape. They are numbered sequentially from 1 onwards, starting at the upper left corner, proceeding line by line downwards, each line in turn proceeding from left to right, and ending at the image's lower right corner.

The following example illustrates the numbering scheme for raster image points by showing how the corner points are numbered, assuming an image length of x points and an image height of y points.

1	x
(x * (y-1) + 1)	(x * y)

# G.1 Basic Image Coding Scheme

This coding scheme applies to rectangular raster images made up of raster points that are either set or not set. This coding scheme does not support any notion of colour. Image data are coded as follows:

Byte(s)	Description	Length
1	image width = X	1
2	image height = Y	1
3 to K+2	image body	K

Coding of image body:

The status of each raster image point is coded in one bit, to indicate whether the point is set (status = 1) or not set (status = 0).

Byte 1:



Byte 2:



etc.

Unused bits shall be set to 1

### G.2 Colour Image Coding Scheme

This coding scheme applies to coloured rectangular raster images. Raster image point colours are defined as references into a colour look-up table (CLUT), which contains a subset of the red-green-blue colour space. The CLUT in turn is located in the same transparent file as the image instance data themselves, at an offset defined within the image instance data.

Image data are coded as follows:

Byte(s)	Description	Length
1	Image width = X	1
2	Image height = Y	1
3	Bits per raster image point = B	1
4	Number of CLUT entries = C	1
5 to 6	Location of CLUT (Colour Look-up Table)	2
7 to K+6	Image body	K

- Bits per raster image point:

Contents:

The number B of bits used to encode references into the CLUT, thus defining a raster image point's colour.

B shall have a value between 1 and 8.

Coding:

Binary.

- Number of entries in CLUT:

Contents:

The number C of entries in the CLUT which may be referenced from inside the image body. CLUT entries are numbered from 0 to C-1.

C shall have a value between 1 and 2\*\*B.

Coding:

Binary. The value 0 shall be interpreted as 256.

- Location of CLUT:

Contents:

This item specifies where the CLUT for this image instance may be found. The CLUT is always located in the same transparent file as the image instance data themselves, at an offset determined by these two bytes.

Coding:

Byte 1: high byte of offset into Image Instance File.

Byte 2: low byte of offset into Image Instance File.

- Image body:

Coding:

Each raster image point uses B bits to reference one of the C CLUT entries for this image instance. The CLUT entry being thus referenced yields the raster image point's colour.

The image body is arrayed as for the Basic Colour Image Coding Scheme, that is, starting with the highest bit of the first raster image point's colour information.

Byte 1:



Unused bits shall be set to 1.

The CLUT (Colour Look-up Table) for an image instance with C colours is defined as follows:

Contents:

C CLUT entries defining one colour each.

Coding:

The C CLUT entries are arranged sequentially:

Byte(s) of CLUT	CLUT Entry
1-3	entry 0
3*(C-1) +1 to 3*C	Entry C-1

Each CLUT entry in turn comprises 3 bytes defining one colour in the red-green-blue colour space:

Byte(s) of CLUT enty	Intensity of Colour
1	Red
2	Green
3	Blue

A value of 'FF' means maximum intensity, so the definition 'FF' '00' 00' stands for fully saturated red.

NOTE 1: Two or more image instances located in the same file can share a single CLUT.

NOTE 2: Most MEs capable of displaying colour images are likely to support at least a basic palette of red, green, blue and white.

# Annex H (normative): Coding of EFs for NAM and GSM-AMPS Operational Parameters

If the EIA/TIA-553 DF is provisioned on the SIM, then EFs specified in this annex and indicated as mandatory under the DF shall be provided. TIA/EIA-41 [40] based radio access systems should use this DF for storage of NAM parameters.

All quantities shown in the EF descriptions abide by the following rules unless otherwise specified:

- all unused bits of allocated parameters shall be set by default to 0;
- all unused bytes in a series of values (e.g. Partner, Favoured, or Forbidden SID List) should be set by default to 'FF'.

## H.1 Elementary File Definitions and Contents

#### H.1.1 EF<sub>MIN</sub> (Mobile Identification Number)

This EF contains the Mobile Identification Number (MIN). The MIN is a 34-bit number used to address the mobile station across the AMPS and the TIA/EIA-136 air interfaces, and to identify the mobile station's home network. See TIA/EIA-136-005 [36] for further details on MIN.

Identifier: '4F88	1	Struct	Structure: transparent		Mandatory
File size: 5 bytes Update Activity: low					
Access Conditions:					
READ			CHV1		
UPDATE			CHV2		
INVALIDATE			ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1 – 2	MIN2			М	2 bytes
3 – 5	MIN1			М	3 bytes

The MIN field is coded as follows:

- 6 most significant bits are unused;
- next 10 bits are MIN2;
- 24 least significant bits are MIN1;
- default MIN is '00 00 00 00 00' or 'FF FF FF FF FF'. In either case the ME shall interpret this as an invalid MIN and shall not transmit this value over the radio interface.

### H.1.2 EF<sub>ACCOLC</sub> (Access Overload Class)

This file contains the Access Overload Class (ACCOLC). The ACCOLC is a 4-bit indicator used to identify which overload class field controls the access attempts by the mobile station. See EIA/TIA-553 [41] for further details on ACCOLC.

Identifier: '4F89	,	Structure: transparent			Mandatory
File size: 1 byte	e	Update Activity: low			
Access Conditions:					
READ			CHV1		
UPDATE		CHV2			
INVALIDATE			ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1	ACCOLC (possible values from '00' to '0F')			М	1 byte

Byte 1:



Initial value shall be '00'.

### H.1.3 EF<sub>sid</sub> (System ID Of Home System)

This file contains the system identity of the home system. The SID is a 15-bit number that uniquely identifies an AMPS or TIA/EIA-41 system. See EIA/TIA-553 [41] for further details on Home SID.

Identifier: '4F80	,	Structure: transparent			Mandatory
File size: 2 bytes Update Activity		Update Activity: low			
Access Conditions:					
READ			CHV1		
UPDATE			CHV2		
INVALIDATE			ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1-2	System ID of Home System (SID) ( Most significant bit = 0)	)		М	2 bytes

The default value shall be '0000'.

### H.1.4 EF<sub>IPC</sub> (Initial Paging Channel)

The Initial (First) Paging Channel contains two 11-bit first paging channels (FIRSTCHP p-pri and FIRSTCHP p-sec) used to identify the channel number of the first paging channel when the mobile station is 'home'. See EIA/TIA-553 [41] for further details on First (Initial) Paging Channel.

Identifier: '4F82	1	Structure: transparent			Mandatory
File size: 2-4 bytes Update Activity: low					
Access Condition	ons:				
READ			CHV1		
UPDA	TE		CHV2		
INVAL	IDATE		ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1 - 2	FIRSTCHPpri (Initial Paging Char	nnel)		М	2 bytes
3 – 4	FIRSTCHPp-sec			0	2 bytes

- In the absence of the FIRSTCHPp-sec, the mobile station shall default to '02C4' if the primary channel = '014D' or '02E1' if the primary channel = '014E'
- A file size of 4 bytes may not be backwards compatible with the current dual-mode mobile equipment

The default of FISRTCHPpri value shall be '014D' for A systems, or '014E' for B systems.

### H.1.5 EF<sub>GPI</sub> (Group ID)

This file defines a subset of the most significant bits of the system identification (SID) that is used to identify a group of cellular systems for local control purposes. If the local control option is enabled within the mobile station and the bits of the home system identification that comprise the group identification match the corresponding bits of the SID read by the mobile station over the air, then the Local Control status shall be enabled. Otherwise, the Local Control status shall be disabled. Refer to EIA/TIA-553 [41] for additional details.

Identifier: '4F81	1	Structure: transparent			Mandatory
File size: 1 byte	9	Update Activity: low			
Access Condition	ons:				
READ		CHV1			
UPDATE CHV2		CHV2			
INVAL	IDATE		ADM		
REHABILITATE ADM		ADM			
Bytes	Description			M/O	Length
1	Group ID			М	1 byte

- Group ID default value for North America = '0A'.

### H.1.6 EF<sub>s-ESN</sub> (SIM Electronic Serial Number)

This file stores a 32-bit electronic serial number (ESN) that is unique to the GSM-ANSI-136 SIM. The S-ESN can be unrelated to the ESN of any host equipment to which the GSM-ANSI-136 SIM may be attached. The S-ESN can be used for registration in conjunction with the MIN. The S-ESN may also be used in conjunction with the A-key and CAVE algorithm for authentication. See the ANSI-136 Usage Indicator file for details on the ESN usage indicator which specifies to the mobile equipment how the S-ESN should be used. See EIA/TIA-553 [41] for details on the ESN as it applies to registration and authentication.

The contents of this EF shall not be changed by any over-the-air procedures.

Identifier: '4F8E	5'	Structure: transparent			Mandatory
File size: 4 byt	es		Update Activity: low		
Access Conditions:					
READ CHV1		CHV1			
UPDATE NEVER		NEVER			
INVAL	IDATE		ADM		
REHA	REHABILITATE ADM				
Bytes	Description			M/O	Length
1 – 4	SIM ESN			М	4 bytes



The default value shall be 'FF FF FF FF'.

## H.1.7 EF<sub>COUNT</sub> (Call Count)

This file contains the CALL COUNT parameter. The CALL COUNT is used as a simple 'clone' detector in TIA/EIA-136 and AMPS modes. During the network access signalling in AMPS and other TIA/EIA-41 based networks, the SIM reports its CALL COUNT value to the network. If the value is consistent with the network perception of the CALL COUNT for that SIM, then the network will likely grant access based on the authentication process. During an AMPS or other TIA/EIA-41 based systems call, the value of the CALL COUNT may be incremented upon a command from the network. The value of the CALL COUNT, when incremented, is incremented by 1 using the INCREASE command. See EIA/TIA-553 [41] for further details on COUNTs-p.

Identifier: '4F83	)'	Structure: Cyclic			Mandatory
File size: 3*N bytes Update Activity: high					
Access Conditions:					
READ			CHV1		
UPDA	TE		ADM		
INVALIDATE		ADM			
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
Most Recent Record	CALL COUNT			М	3 bytes
Rec N				М	3 bytes

- File shall be initialised '00 00 00'
- Minimum file size is 2 records

### H.1.8 EF<sub>PSID</sub> (Positive/Favoured SID list)

This file contains a list of Favoured SIDs for use in identifying Favoured service providers while performing network selection (intelligent roaming).

Identifier: '4F85'		Structure: transparent			Optional
File size: 2*N bytes Update Activity: low					
Access Conditio	Access Conditions:				
READ			CHV1		
UPDATE			ADM		
INVALIDATE			ADM		
REHAB	BILITATE		ADM		
Bytes	Description			M/O	Length
1 – 2	Favoured SID 1			М	2 bytes
	Favoured SID 2			0	
(2N-1) – (2N)	Favoured SID N			0	2 bytes

EOF (End of File) is indicated by 'FFFF'. An entry with all zeros is considered filler.

The most significant bit of the Favoured SID field is not used and it is set to 0.

Coding of the Favoured SID field (2-byte coding)

The default value in the first two bytes shall be 'FFFF'.

Byte 1:



#### H.1.9 EF<sub>NSID</sub> (Negative/Forbidden SID List)

This file contains a list of Forbidden SIDs, for use in identifying Forbidden service providers while performing network selection (intelligent roaming).

Identifier: '4F84'		Structure: transparent			Optional
File size: 2*N bytes Update Activity: low					
Access Condition	ns:				
READ			CHV1		
UPDATE			ADM		
INVALI	DATE		ADM		
REHAB	ILITATE		ADM		
Bytes	Description			M/O	Length
1 – 2	Forbidden SID 1			М	2 bytes
	Forbidden SID 2			0	
(2N-1) – (2N)	Forbidden SID N			0	2 bytes

EOF (End of File) is indicated by 'FFFF.' An entry with all zeros is considered filler.

The most significant bit of the Forbidden SID field is not used and it is set to 0.

Coding of the Forbidden SID field (2-byte coding)

The default value in the first two bytes shall be 'FFFF'.

Byte 1:



#### H.1.10 EF<sub>SPL</sub> (Scanning Priority List)

This file contains the Scanning Priority List. The Scanning Priority List is an array that defines the various types of systems that can be found. It also acts as a reference table, pointing to the various data structures in the SIM. This file is for backwards compatibility with GSM/AMPS mobile equipment. A Mobile Station supporting both TIA/EIA-136 and EIA/TIA-553 [41] is not expected to support this EF for network selection.

Identifier: '4F87	Identifier: '4F87' Structure: transparent			Optional	
File size: 27 by	/tes		Update Activity: low		
Access Conditi	ons:				
READ			CHV1		
UPDA	TE		ADM		
INVAL	IDATE		ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1	Value 1			М	1 byte
2 – 3	Pointer 1			М	2 bytes
25	Value 9			М	1 byte
26 – 27	Pointer 9			М	2 bytes

- The position of the pointers is fixed in this file. Highest priority level is 1, lowest priority level is 7. No two entries can have the same priority level with the exception the last two fields (Forbidden PLMNs and Negative SIDs) which both will have a value of 0. Default values are in parentheses. The values 1 or 2 shall reside in the first position (Home PLMN), and the second position (Last registered PLMN) shall contain a higher priority than position 3 (Preferred PLMNs List ) and 4 (Any Other PLMNs).

Format:

Priority Value	Pointer	Reserved For
1 – 7 (2)	SIM ('6F07')	Home PLMN
1 – 7 (1)	SIM ('6F7E')	Last Registered PLMN
1 – 7 (3)	SIM ('6F30')	Preferred PLMNs List
1-7 (6)	0	Any Other PLMNs
1-7 (4)	SIM ('4F80')	Home SID
1 – 7 (5)	SIM ('4F85')	Positive SIDs List
1 – 7 (7)	0	Any Other SIDs
0	SIM ('6F7B')	Forbidden PLMNs List
0	SIM ('4F84')	Negative SIDs List

Constraints on the Priority List:

Mandatory PLMN priority order (highest to lowest):

Home PLMN or Last Registered PLMN, Preferred PLMNs, Any Other PLMNs

Mandatory SID priority order (highest to lowest):

Home SID, Positive SIs, Any Other SIDs.

### H.1.11 EF<sub>NETSEL</sub> (Network Selection Activation Flag)

This file contains the Network Selection Activation Flag. This flag is used to enable/disable the Manual Mode and some MMI functionality within the ME.

Identifier: '4F86	1	Structure: transparent			Mandatory
File size: 1 byte	9		Update Activity: low		
Access Condition	ons:				
READ			CHV1		
UPDA	TE		CHV1		
INVAL	IDATE		ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1	Network Selection Activation Flag	I		М	1 byte

Enables / disables Manual Mode and some MMI functionality within the ME, in both AMPS and GSM modes.

Default value = 05 Hex.

Coding:

- Bit 0 =0 GSM Manual Mode disabled
  - =1 GSM Manual Mode enabled (default)
- Bit 1 =0 AMPS Manual Mode disabled (default)
  - =1 AMPS Manual Mode enabled
- Bit 2 =0 Scanning Sequence Flags disabled
  - =1 Scanning Sequence Flags enabled (default)
- Bit 3 =0 Disallow home only AMPS selection (default)
  - =1 Allow home only AMPS selection

Bits 4 through 7 are not used and set to zero.

### H.1.12 EF<sub>csib</sub> (Current/Last Registered SID)

This file contains the SIDsp value. The most significant bit is unused and set to 0.

Identifier: '4F8C	× ·	Structure: transparent			Optional
File size: 2 byte	es	Update Activity: low			
Access Condition	ons:				
READ			CHV1		
UPDA	UPDATE CHV1				
INVAL	IDATE		ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1 -2	SIDsp			М	2 bytes

The default value shall be 'FFFF'.

### H.1.13 EF<sub>REG-THRESH</sub> (Registration Threshold)

This file contains the NXTREGsp value, specified in EIA/TIA-553 [41]. The three most significant bits are unused and are set to 0.

Identifier: '4F8E	)'	Structure: transparent		Optional	
File size: 3 byt	es		Update Activity: low		
Access Condition	ons:				
READ			CHV1		
UPDA	UPDATE		CHV1		
INVAL	INVALIDATE		ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1 – 3	NXTREGsp value			М	3 bytes

- (Default value = '00 00 00')

### H.1.14 EF<sub>CCCH</sub> (Current Control Channel)

This file contains the Current Control Channel information related to the Last Paging Control Channel on which the AMPS phone camped on.

Identifier: '4F8E	-	Structure: transparent Opt		Optional	
File size: 2 byte	es	Update Activity: low			
Access Condition	ons:				
READ			CHV1		
UPDA	TE		CHV1		
INVAL	IDATE		ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1 – 2	Current Control Channel			М	2 bytes

- (Default value = '0000')

# H.1.15 EF<sub>LDCC</sub> (Latest DCC)

This file contains the DCC value associated with the saved Current Control Channel.

Identifier: '4F8F	, ,	Structure: transparent Opt		Optional	
File size: 1 byte	e		Update Activity: low		
Access Condition	ons:				
READ			CHV1		
UPDA	TE		CHV1		
INVALIDATE			ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1	DCC			М	1 byte
	(Default value = '00')				

#### H.1.16 EF<sub>GSM-RECON</sub> (GSM Reconnect Timer)

This file specifies, in seconds, the time the ME should remain scanning the GSM-1900 spectrum, after loss of service from a GSM-1900 system, before any scanning of the AMPS spectrum is allowed.

Identifier: '4F90	1	Structure: transparent			Optional
File size: 2 byt	es		Update Activity: low		
Access Condition	ons:				
READ			CHV1		
UPDA	TE		ADM		
INVAL	IDATE		ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1-2	GSM Reconnect Timer			М	2 bytes
	(Default value = '00 3C' = 60 sec	conds)			

### H.1.17 EF<sub>AMPS-2-GSM</sub> (AMPS to GSM Rescan Timing Table)

The EF specifies, in minutes, a series of (typically increasing) intervals for scanning the GSM-1900 spectrum, used while in-service on an AMPS network while in Dual-Mode operation. The time is measured from the end of the last GSM-1900 scan to the start of the next GSM-1900 scan. If the table is not completely filled (i.e. the end-of-table value 'FF' is found), the last filled value may be repeated indefinitely. If a value of 'F0' is encountered, the table is terminated, as are all rescans to GSM until the current AMPS system is lost.

Identifier: '4F91'	1' Structure: tr		re: transparent		Optional
File size: 10 byt	es		Update Activity: low		
Access Condition	ns:	<u> </u>			
READ			CHV1		
UPDA	TE		ADM		
INVAI	LIDATE		ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1	First Rescan Attempt Interval (Defau	ılt = '02')		М	1 byte
2	Second Rescan Attempt Interval (De	fault = '03'	)	М	1 byte
3	Third Rescan Attempt Interval (Defa	ult = '04')		М	1 byte
4	Fourth Rescan Attempt Interval (Def	`ault = '05')	· · · · · · · · · · · · · · · · · · ·	М	1 byte
5	Fifth Rescan Attempt Interval (Defau	ult = '06')		М	1 byte
6	Sixth Rescan Attempt Interval (Defar	ult = 'FF')		М	1 byte
7	Seventh Rescan Attempt Interval (Default = 'FF')		М	1 byte	
8	Eighth Rescan Attempt Interval (Default = 'FF')		М	1 byte	
9	Ninth Rescan Attempt Interval (Default = 'FF')		М	1 byte	
10	Tenth Rescan Attempt Interval (Defa	ult = 'FF')		М	1 byte

### H.1.18 EF<sub>\*FC1</sub> (Feature Activation Codes)

This file contains the feature code table as specified in EIA/TIA-553 [41].

Identifier: '4F8A	.'	Structure: transparent Optic		Optional	
File size: 2 byte	es		Update Activity: low		
Access Condition	ons:				
READ			CHV1		
UPDA	TE		ADM		
INVALIDATE			ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1-2	Default value 'B990'.			М	2 bytes

# H.1.19 EF<sub>AMPS-UI</sub> (AMPS USAGE INDICATORS)

This file contains usage indicators for local control and extended address method.

Identifier: 4F9	03	File Type: Transparent Option		Optional	
File size: 2 by	rtes (minimum)		Update Activity: Low	V	
Access Condi	tions:				
READ	)		CHV1		
UPDA	TE		ADM		
INVAL	LIDATE		ADM		
REHA	BILITATE		ADM		
Bytes	Description			M/O	Length
1	Number of Services (S)			М	1 byte
2	Services nº1 to nº8			М	1 byte

-Services: Contents

6	Service nº1 :	Local Control Indicator (see Note 1)
	Service n <sup>o</sup> 2 :	Extended Address Method indicator – included in any access attempts (see Note 2)
	Services n3 <sup>o</sup> -n <sup>o</sup> 8 :	RFU

- Number of Services

Contents:

This byte refers to the number of services defined in the following byte.

Coding:

This byte is coded as BCD

#### Services

Contents:

This byte describes the services

#### Coding:

- One bit is used to code each service
- If the bit = 0: service is not enabled
- If the bit = 1: service is enabled

- The bits for services not yet defined shall be set to RFU. For coding of RFU see subclause 9.3.

Byte 2:



NOTE 1: The Local Control Indicator is a means provided within the mobile station to enable or disable the local control option. Local Control is a mechanism that allows a cellular system to customise operation for home mobile stations, and for those roaming mobile stations whose home systems are members of a group, by sending local orders with the order field set to local control (which informs the mobile station to examine the local control field), and by sending one or both of two local control global action overhead messages.

A group of systems could be formed by participating systems agreeing to a common set of local control protocols and whose system identifications (SID) are recognised by mobile stations as a common group.

NOTE 2: The Extended Address Method indicator determines if the extended address word must be included in all access attempts.

### H.2 Authentication Functionality

#### H.2.1 A-KEY (ANSI-41 Authentication Key)

The A-Key is only accessible to the algorithm used for Key generation. The A-Key may be programmed into the SIM directly by the service provider, or it may be programmed into the SIM through a specific over the air procedure. The A-Key is not accessible by the mobile equipment, therefore the method of storage on the SIM is not specified in this document. The SIM command A-KEY\_VALIDATION is used to store the A-Key on the SIM.

#### H.2.2 SSD (Shared Secret Data)

The Shared Secret Data is accessible only to the Authentication and the Key Generation functions. SSD is not accessible by the mobile equipment, therefore the method of storage on the SIM is not specified in this document.

An additional Status Code is defined for SSD updating as follows:

98, 34 Error, Update SSD order sequence not respected (should be used if SSD Update commands are received out of sequence).

### H.3 Authentication commands

It is necessary to provide six interfaces to the CAVE Algorithm and Secret Data areas, as listed below:

- Generation of Authentication Signature data, and generation of ciphering keys.
- Validation and storage of entered A-Key's
- Ask Random task (generates RANDBS)

- Update Shared Secret Data (Generates SSD\_A\_NEW, SSD\_B\_NEW and AUTHBS values)
- Confirm Shared Secret Data (Updates SSD values)
- CMEA Encryption of voice channel data digits

**NOTE:** For each task, the expected normal (i.e. success) status code is listed in the status word description. A list of possible error codes that apply to all tasks can be found in the SIM Status Codes.

The interpretation of these instruction codes (INS in the table below) is valid only for class A0.

Task Name	CLA	INS	P1	P2	Lc
Internal_Authenticate	'A0'	'88'	'00'	'00'	'0F'
AKEY_validation	'A0'	'86'	'00'	'00'	'12'
Ask_Random	'A0'	'8A'	'00'	'00'	'04'
Update_SSD	'A0'	'84'	'00'	'00'	'0C'
Confirm_SSD	'A0'	'82'	'00'	'00'	'03'
CMEA_encrypt	'A0'	'8C'	'00'	'00'	'nn'

# H.3.1 Generation of Authentication Signature Data and Ciphering Keys

This task produces an Authentication response, and shall be used during mobile Registrations, Originations, Terminations, R\_Data messages, SPACH Confirmations, and for the Unique Challenge-Response Procedure. If Byte 0, Bit 1 is set, the SIM should also generate key bits after completing the Authentication function. Some of those ciphering octets may be passed back to the ME for use with supplementary crypto mechanisms which reside in the ME. This task requires the following input parameters:

Task Name	CLA	INS	P1	P2	Lc
Internal_Authenticate	'A0'	'88'	'00'	'00'	'0F'

Coding::

Byte 0 Process Control Byte

- Bit 0 0=RANDs, 1= RANDU
- Bit 1 Generate Key Bits flag (0 = No, 1 = Yes)
- Bit 2 Load Internal key flag:

(0= pass all generated key bytes to handset, 1= load first 8 bytes of generated keys internally to SIM, pass all remaining key bytes to ME)

Bits 3-7 Unused, future expansion

Bytes 1-4 RANDs (for Registrations, Originations, and Terminations)

or

Bytes 1-3	RANDU	(for Unique Challenge-Response Procedures)
Byte 4	= 0  (MIN2	2 will be filled in by SIM)

Byte 5 Digits Length (in bits, =0, 4, 8, 12, 16, 20 or 24,

= 4 x number of digits in bytes 6-8

Bytes 6-8 =0,0,0 (for Registrations, Terminations, Unique Challenge Response Procedures)

= Last Dialed Digits, unused bits filled with 0's (for Originations). If more than 6 digits are dialed, these are the last 6 digits in the origination string. If less than 6 digits are dialed, MIN1 will be filled in by the SIM for the unused bits.

Byte 9 Use ME ESN (='00')

Bytes 10-13 ESN

Byte 14 Key\_size (=0 if Byte 0, Bit 1=0, =8 (or more) if Byte 0, Bit 1=1)

The output of this task shall be:

Status Bytes: SW1 (='9F' if success)

SW2 (='nn' if success)

('nn' is 03+Key\_size if Byte 0, Bit 2 above =0, 03+Key\_size-08 if Byte 0, Bit 2 above =1)

#### H.3.2 Validation and Storage of Entered A-Key's

With manual entry of the A-key, the input A-Key must be validated prior to its storage in the SIM. If successful, the A-key is saved in the SIM and the COUNTsp and Shared Secret Data (SSD) are reset to zero. This task requires the following input parameters:

Task Name	CLA	INS	P1	P2	Lc
AKEY_validation	'A0'	'86'	'00'	'00'	'12'

Coding:

Bytes 0 - 12

Authentication digits string (first digit in Most-Significant nibble of byte 0, last digit in Least-Significant nibble of Byte 12, for a total of 26 digits)

Byte 13 Use ME ESN (='00')

Bytes 14-17 ESN

The output of this task shall be:

Status Bytes: SW1 (='90' if success)

SW2 (='00' if success)

#### H.3.3 Ask Random Task

This task is used to generate the RANDBS random value. This task must be executed prior to updating the Shared Secret Data (SSD). The value RANDSeed must be generated by the ME prior to calling this task. This task requires the following input parameters:

Task Name	CLA	INS	P1	P2	Lc
Ask_Random	'A0'	'8A'	'00'	'00'	'04'

Coding:

Bytes 0-3 RANDSeed
The output of this task shall be:

Status Bytes: SW1 (='9F' if success)

SW2 (='04' if success)

# H.3.4 Update Shared Secret Data

This task is used to generate the preliminary new Shared Secret Data (SSD\_A\_NEW, SSD\_B\_NEW) and the AUTHBS value. The Ask Random Task (see above) must be executed prior to this routine. The task requires the following input parameters:

Task Name	CLA	INS	P1	P2	Lc
Update_SSD	'A0'	'84'	'00'	'00'	'0C'

Coding:

Bytes 0-6 RANDSSD

Byte 7 Use ME ESN (='00')

Bytes 8-11 ESN

The output of this task shall be:

Status Bytes: SW1 (='90' if success, ='98' if failure)

SW2 (='00' if success, ='04' if failure)

# H.3.5 Confirm Shared Secret Data

This task is used to validate the new Shared Secret Data (SSD\_A\_NEW, SSD\_B\_NEW) by comparing the internally computed AUTHBS with the AUTHBSs received from the system. If successful, the SSD\_A and SSD\_B values will be updated to match the SSD\_A\_NEW and SSD\_B\_NEW values, respectively The task requires the following input parameters:

Task Name	CLA	INS	P1	P2	Lc
Confirm_SSD	'A0'	'82'	'00'	'00'	'03'

Coding:

Bytes 0-2 AUTHBSs

The output of this task shall be:

Status Bytes: SW1 (='90' if success)

SW2 (='00' if success)

# H.3.6 CMEA Encryption of Voice Channel Data Digits

This task is used when the MS is on a Voice Channel, to encrypt and decrypt some portions of digital messages transmitted to the BS. These will occur for the following messages:

- Called Address Message (in response to a hookflash, up to 4 bytes per word, 4 words, total of 16 bytes)

Task Name	CLA	INS	P1	P2	Lc
CMEA encrypt	'A0'	'8C'	'00'	'00'	'nn'

where 'nn' is hex value of data length n

Coding:

Bytes 0 - (n-1) The n-byte data to be encoded, max. size = 32 bytes.

The output of this task shall be:

Status Bytes: SW1 (='9F' if success)

SW2 (='nn' if success) ('nn' is hex value of data length n)

# H.3.7 SIM Status Codes

The following status codes, returned by the SIM in response to the execution of any of the tasks specified in this document, are valid. The first hex value is returned in SW1, the second hex value in SW2.

#### **Success Codes:**

00	~~	a .		1
un	()()	( tonoric	SHOCASS	CODA
20.	00	UCIICIIC	SUCCOS	COUC
,				

9F, xx Success, xx bytes of data available to be read via "Get\_Response" task.

#### **Error Codes:**

92, 40	Error, memory problem
94, 08	Error, file is inconsistent with the command
98, 04	Error, no CHV1 has been presented successfully
98, 34	Error, Update SSD order sequence not respected (should be used if SSD Update commands are received out of sequence).
67, xx	Error, incorrect parameter P3 (ISO code)
6B, xx	Error, incorrect parameter P1 or P2 (ISO code)
6D, xx	Error, unknown instruction code given in the command (ISO code)
6E, xx	Error, wrong instruction class given in the command (ISO code)
6F, xx	Error, technical problem with no diagnostic given (ISO code)
6F, 00	Error, invalid input parameters to authentication computation

# Annex I (informative): EF changes via Data Download or SIM Toolkit applications

This annex defines if changing the content of an EF by the network (e.g. by sending an SMS), or by SIM Toolkit Application (e.g. by using the SIM API), is advisable. Updating of certain EFs, "over the air" such as  $EF_{ACC}$  could result in unpredictable behaviour of the MS; these are marked "Caution" in the table below. Certain EFs are marked "No"; under no circumstances should "over the air" changes of these EFs be considered.

File identification	Description	Change advised
'2F05'	Extended Language preference	Yes
'2FE2'	ICC identification	No
'4F20'	Image data	Yes
'4Fxx'	Image Instance data Files	Yes
'6F05'	Language preference	Yes
'6F07'	IMSI	Caution (note)
'6F20'	Ciphering key Kc	No
'6F2C'	De-personalization Control Keys	Caution
'6F30'	PLMN selector	Caution
'6F31'	HPLMN search period	Caution
'6F32'	Co-operative network	Caution
'6F37'	ACM maximum value	Yes
'6F38'	SIM service table	Caution
'6F39'	Accumulated call meter	Yes
'6F3A'	Abbreviated dialling numbers	Yes
'6F3B'	Fixed dialling numbers	Yes
'6F3C'	Short messages	Yes
'6F3D'	Capability configuration parameters	Yes
'6F3E'	Group identifier level 1	Yes
'6F3F'	Group identifier level 2	Yes
'6F40'	MSISDN storage	Yes
'6F41'	PUCT	Yes
'6F42'	SMS parameters	Yes
'6F43'	SMS status	Yes
'6F44'	Last number dialled	Yes
'6F45'	CBMI	Caution
'6F46'	Service provider name	Yes
'6F47'	Short message status reports	Yes
'6F48'	CBMID	Yes
'6F49'	Service Dialling Numbers	Yes
'6F4A'	Extension 1	Yes
'6F4B'	Extension 2	Yes
'6F4C'	Extension 3	Yes
'6F4D'	Barred dialling numbers	Yes
'6F4E'	Extension 4	Yes
'6F50'	CBMIR	Yes
'6F51'	Network's indication of alerting	Caution
'6F52'	GPRS Ciphering key KcGPRS	No
'6F53'	GPRS Location Information	Caution
'6F58'	Comparison method information	
'6F60'	User controlled PLMN Selector with Access Technology	see 3GPP TS 22.011
'6F61'	Operator controlled PLMN Selector with Access Technology	Caution
'6F62'	HPLMN Selector with Access Technology	Caution
'6F63'	CPBCCH information	No
'6F64'	Investigation scan	Caution
'6F65'	RPLMN last used Access Technology	No
'6F74'	BCCH information	No
'6F78'	Access control class	Caution
'6F7B'	Forbidden PLMNs	Caution
'6F7E'	Location information	No (note)
'6FAD'	Administrative data	Caution
'6FAE'	Phase identification	Caution
	Continued	

File identification	Description	Change advised				
'6FB1'	Voice Group Call Service	Yes				
'6FB2'	Voice Group Call Service Status	Yes				
'6FB3'	Voice Broadcast Service	Yes				
'6FB4'	Voice Broadcast Service Status	Yes				
'6FB5'	Enhanced Multi Level Pre-emption and Priority	Yes				
'6FB6'	Automatic Answer for eMLPP Service	Yes				
'6FB7'	Emergency Call Codes	Caution				
'6FC5'	PLMN Network Name	Yes				
'6FC6'	Operator PLMN List	Yes				
'6FC7'	Mailbox Dialling Numbers	Yes				
'6FC8'	Extension 6	Yes				
'6FC9'	Mailbox Identifier	Caution				
'6FCA'	Message Waiting Indication Status	Caution				
'6FCB'	Call Forwarding Indication Status	Caution				
'6FCC'	Extension 7	Yes				
NOTE: If EF <sub>IMSI</sub> is changed, the SIM should issue REFRESH as defined in TS 11.14 [27] and update EF <sub>LOCI</sub> accordingly.						

# Annex J (informative): Change history

This annex lists all change requests approved for this document since the first phase2+ version was approved by ETSI SMG.

Meet	Plenary	WG	VERS	CR	RV	Rel-	CAT	SUBJECT	Resulting
s16	709/95	154/95	4 15 0	4008		R06	1	SIM Speed Enhancement	500
s17	062/06	1/7/05	5.0.0	A006		D06			5.0.0
517	060/96	06/96	5.0.0	A000		R96	B	ASCI for VGCS and VBS	5.1.0
	060/96	06/96	-	A010		R96	B	ASCI for eMI PP	-
	059/96	204/95r	-	A013		R96	C	Interaction between EDNs and ADNs	-
	061/96	05/96	-	A014		R96	D	Correction of baud rate for SIM Speed enhancement	
c18	263/06	57/96	510	A011	3	P06	B	SIM Application Toolkit protocol enhancements	520
310	260/96	45/96	5.1.0	A016	5	R96	A	SIM presence detection clarification	5.2.0
	261/96	54/96	-	A018		R96	A	Reponse codes and coding of SIM service table	
	262/96	55/96	-	A020		R96	Δ	Reference to International Standards	-
e10	374/96	102/06	520	A012		P06	C C		530
315	373/96	102/90	5.2.0	A012 A023		R96	Δ	Clarification of clock ston timing	5.5.0
	409/96	103/30	-	A023	1	R96	R		
	374/96	107/06		A024	-	R96	C		
c20	580/06	206/06	530	A020		D06	D	Barred Dialling Numbers	540
520	734/96	197/96	5.5.0	A021 A026		R90	B	Addition of Cooperative Network List EF	5.4.0
	734/96	107/06		A020		P06	B	Addition of ME Depersonalisation feature and EE	
	702/06	207/06		A027		P06		RELL bit taken into use in GSM 11 12	
0.21	101/07	201130	540	A022	2	DOG		Ammondment to PDN diagrams in Annov P	550
521	101/97	97/079	5.4.0	A032	2	R90 R06	B		5.5.0
	101/07	97/066	-	A034		D06	C	Position MSS/1 CS1900/011el dse	-
	101/97	97/050	-	A034		D06			-
	101/97	97/050	-	A030		D06	D	Format of EECNIL to include fields for Corporate Personal, Code	-
	101/97	97/039	-	A037		R90	D	Administrative Data field	-
- 00	101/97	911009	550	A041		N90	Б		5 0 0
52Z	356/07	163/97	5.5.0	A042	1	R97		Extended language preference	5.0.0
	356/07	170/07	-	A044	-	D06		Security procedures for 2nd level: DEs located under DE GSM	-
	356/07	197/07	-	A043		D06		Number of bytes returned after a SELECT command	-
	356/07	003/07	-	A047		D06		Serives table and "radio interface"	-
	356/07	100/07	-	A040		R90		Undate Access condition of EEDCK (aligns 11 11 & 02 22)	-
- 22	700/97	109/9/	560	A049	2	N90	Р	Short Magagan Status Deports	570
SZ3	700/97	97/249	5.6.0	A040	2	R97	D	Addition of SDN and RDN in the description of EECCP	5.7.0
	788/07	97/243	-	A050	1	D07	$\Gamma$	SIM and ME behaviour when SIM is disabled and blocked	-
	788/07	97/262	-	A051	-	D06		Posponso data, following an ENIVELOPE command	-
	788/07	07/260	-	A053		D06			-
	788/07	97/200	-	A054		D07	L C	Changes to Dialling Number Files and extensions	-
	788/07	07/261	-	A055		D07		Notwork's indication of alerting in the MS	-
-24	07.0006	97/201	570	A050	2	D07	b		5 9 0
SZ4	97-0000	97/303	5.7.0	A052	2	R97	0	MO SMS control by SIM	5.o.U
44 64	97-0000	911303		A037		K97	C of our	mo swis control by sim	
At SIV	1G #25, It W n 7 0 0 of 4	as aeciae	a to crea vification	ite a ver that cor	sion Itain	0.0.0 od at l	OI EVE	ery specification that contained at least one release 97 work item	and a
s25	98-0157	98p052	580	A058	2	R97	B	Addition of EEs for GPRS	600
0_0	98-0157	98p108	0.010	A059	<u> </u>	R97	F	Clarification regarding EECCP records	
	98-0157	98p094		A061	1	R96	A	Clarification of removal of the SIM	
s26	08-0308	98n228	600	A062	2	R08	R	Icons - addition of EE IMG and DE GRAPHICS	700
320	98-0398	98p220	0.0.0	A064	-	R98	В	Operation of MF with multiple card readers	1.0.0
	98-0400	98n237	-	A065		R98	F	Deletion of all release 97 markers from the R98 version	1
	98-0398	98n240	-	A066		R97	F	RP-ACK RP-FRROR for SIM data download error	1
	98-0398	98n263	-	A069		R97	D	Allocation of file ID for IS-41	1
		500200	-				-		1
	I	I	I	1	I	I	I	(continued)	I

## Change History (continued)

Meet ing	Plenary tdoc	WG tdoc	VERS	CR	RV	Rel- ease	CAT	SUBJECT	Resulting Version
s27	98-0671	98p339	7.0.0	A071		R98	С	Enhanced image coding schemes (colour icons)	7.1.0
	98-0671	•		A072	1	R98	D	Addition of reference to PCS 1900	
s28	P-99-185	9-99-076	7.1.0	A073	1	R98	F	Alignment with 2 <sup>nd</sup> edition of ISO/IEC 7816-3 (1997)	7.2.0
	P-99-185	9-99-037		A074		R98	В	Addition of SoLSA data fields	
	P-99-185	9-99-066		A075	1	R98	В	Addition of CTS fields	
	P-99-185	9-99-095		A076	1	R98	В	Definition of a file containing the title of the main menu	
	P-99-185	9-99-072		A077		R98	С	USSD format indication in the SIM Service Table	
	P-99-185	0-99-093		A078		R98	В	Informative annex on EF changes	
	P-99-185	9-99-097		A080		R98	С	Additional GPRS field	
	P-99-188			A082		R98	D	Deletion of \$()\$ release markers	
s29	P-99-412	9-99-163	7.2.0	A083	1	R98	С	EF IMSI changes via data download or SIM toolkit	8.0.0
	P-99-412	9-99-180		A084		R98	F	Addition of RUN AT COMMAND to the SIM service table	
	P-99-412	9-99-208		A085		R99	С	Alignment of maximum of records in a linear fixed file in	
s30	P-99-670	9-99-260	8.0.0	A089		R99	А	Correction for coding of SoLSA "Priority" field	8.1.0
	P-99-670	9-99-277		A090		R99	D	Clarification of the Ciphering Indicator disable bit in the EFad	
	P-99-670	9-99-281		A091		R99	F	Introduction of a new DF for the TIA/EIA-136 technology	
	P-99-670	9-99-294		A092	1	R99	В	Addition of EF definitions under the PCS 1900 DF	
	P-99-670	9-99-310		A093		R99	F	Clarification about "Memory Problem" error for EFLOC	
	P-99-670	9-99-300		A094		R99	F	Execution time of SIM toolkit procedures	
	P-99-670	9-99-311		A095		R99	В	Introduction of a new DF for the TIA/EIA-95 technology	
	P-99-670	9-99-258		A097		R99	А	Clarification of Optional Status for GPRS files	
s31	P-00-137	9-00-0088	8.1.0	A098		R99	F	Clarification of interactions for CBS and the language files on	8.2.0
	P-00-137	9-00-0092		A101		R99	F	Correction to coding of ASCI EF eMLPP.	
	P-00-137	9-00-0095		A104		R99	F	Addition of coding for ASCI Efs (VGCS and VBS)	
	P-00-137	9-00-0098		A107		R99	F	Correction of the byte numbering related to EF LOCIGPRS	
	P-00-137	9-00-0133		A108		R99	F	Corrections and additions to DF-5F40	
	P-00-137	9-00-0146		A109	1	R99	F	Clarification of manual entry of the A-Key.	
	P-00-137	9-00-0151		A110		R99	D	Addition of reference to the File ID as used in the TETRA	
	P-00-137	9-00-0163		A111	1	R99	В	COMPACT Cell Selection	
	P-00-137	9-00-0155		A112		R99	В	COMPACT Cell Selection - Investigation Scan indicator for	
	P-00-139	9-00-0161		A113		R99	В	Enhancement to CCP coding (CR number incorrect in P-00-	
	P-00-139	9-00-0159		A114		R99	В	Enhancement of BDN feature (CR number incorrect in P-00-	
s32	P-00-296	9-00-0232	8.2.0	A120		R99	В	DFs for MExE	8.3.0
	P-00-296	9-00-0276		A122		R99	С	HPLM length	
	P-00-296	9-00-0275		A123		R99	А	LAI, RAI and CNL : alignment with GSM 04.08	
	P-00-296	9-00-0273		A124		R99	F	PLMN Selection Corrections regarding RFU bits	
	Following th remaining G responsibilit	e closure of SM specific y also chang	ETSI SI ations, ti ged the s	MG and he chang specifica	the a ge re tion	agreei equesi numb	ment ( ts liste er fro	of the 3GPP in July 2000 to undertake responsibility for ad below were approved by 3GPP TSG-T. This change in m "GSM 11.11" to "3GPP TS 11.11".	
TP-09	TP-000176	9-00-0253	8.3.0	A116		R99	F	PLMN Selection Corrections and additions for EDGE	8.4.0
	TP-000176	9-00-0269	_	A119		R99	С	Addition of RPLMN file	
	TP-000148	T3-000479		A126		R99	F	Standardise the current GAIT commands and reserving	
TP-11	TP-010038	T3-010047	8.4.0	A127		R99	F	Addition of file ID for indicating iDEN access technology	8.5.0
	TP-010038	T3-010045		A128		R99	F	Correction to default HPLMN RAT	
	At TSG-T #11, it was agreed that all existing release 99 specifications should be reissued as release 4 specifications so as to create a complete set of release 4 specifications. Further more, it was agreed to change the specification number of all GSM-only specifications from AA.BB to (AA+40).0BB. Thus GSM 11.11 changed to 3GPP TS 51.011. At the same time, the version numbering scheme was harmonised with 3G specifications so that all release 4 specifications have a version number 4.x.y. The contents of TS 51.011 v4.0.0 are identical to GSM 11.11 v8.5.0								4.0.0
TP-12	TP-010109	T3-010373	4.0.0	002		Rel-4	F	Introduction of selected USIM features in to the SIM	4.1.0

#### 1

# 3GPP T3 (USIM) Meeting #21 Kyoto, Japan, 5-7 November, 2001

# Tdoc T3-010795

(revised from Tdoc T3-010775)

	CR-Form-v3
ж	51.011 CR 007 * rev - * Current version: 4.2.0 *
For <u>HELP</u> on us	ing this form, see bottom of this page or look at the pop-up text over the 📽 symbols.
Proposed change at	fects: ¥ (U)SIM X ME/UE X Radio Access Network Core Network
Title: ೫ (	CHV mapping and handling between USIM- and SIM-applications
Source: ೫	-3
Work item code: #	TEI Date: # 06/11/01
Category: ж	F Release: # REL-5
	Jse one of the following categories:Use one of the following releases:F (essential correction)2(GSM Phase 2)A (corresponds to a correction in an earlier release)R96(Release 1996)B (Addition of feature),R97(Release 1997)C (Functional modification of feature)R98(Release 1998)D (Editorial modification)R99(Release 1999)Detailed explanations of the above categories canREL-4(Release 4)re found in 3GPP TR 21.900.REL-5(Release 5)
Passon for change:	97 The behaviour of PINs and CHVs is not specified when mapping an USIM application to
Reason for change.	<ul> <li>a SIM-application on a UICC platform.</li> <li>It was stated in the "<i>Reason for change</i>" field CR 006 of ETSI TS 102 222 v3.1.0 at SCP Meeting #5 in Palm Springs, that "<i>The annex A of 102 222 is application specific and should be handled by TSG-T3</i>." T3 never handled this problem.</li> </ul>
Summary of change	Aspects in connection with the PIN/CHV-mapping are clarified.
Consequences if not approved:	<b>#</b> Different implementations on the cards would cause inconsistant behaviour of MEs and confusion of the user
Clauses affected:	<b>#</b> 7.3, 11.3.6
Other specs Affected:	%     Other core specifications     %       Test specifications     0&M Specifications
Other comments:	¥

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H.2.2 H.3 Au H.3.1 H.3.2 H.3.3	SSD (Shared Secret D thentication comman Generation of Authent Validation and Storag Ask Random Task	bata) nds tication Signature Data and Ciphering Keys e of Entered A-Key's

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SIM Status Codes									
CMEA Encryption of Voice Channel Data Digits									
Confirm Shared Secret Data									
Update Shared Secret	Data								
	Update Shared Secret Confirm Shared Secre CMEA Encryption of SIM Status Codes								

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Annex J (informative):

Change history .....

#### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the presen document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document ٠ (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.
- [1] Void.

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## 7.3 File access conditions

Every file has its own specific access condition for each command. The relevant access condition of the last selected file shall be fulfilled before the requested action can take place.

For each file:

- the access conditions for the commands READ and SEEK are identical;
- the access conditions for the commands SELECT and STATUS are ALWays.

No file access conditions are currently assigned by GSM to the MF and the DFs.

The access condition levels are defined in the following table:

Table 7: Access condition level coding

11

Level	Access Condition
0	ALWays
1	CHV1
2	CHV2
3	Reserved for GSM Future Use
4 to 14	ADM
15	NEVer

The meaning of the file access conditions is as follows:

ALWAYS: The action can be performed without any restriction;

CHV1 (card holder verification 1): The action shall only be possible if one of the following three conditions if fulfilled:

- a correct CHV1 value has already been presented to the SIM during the current session;
- the CHV1 enabled/disabled indicator is set to "disabled";
- NOTE: Some Phase 1 and Phase 2 SIMs do not necessarily grant access when CHV1 is "disabled" and "blocked".
  - UNBLOCK CHV1 has been successfully performed during the current session;
  - CHV2: The action shall only be possible if one of the following two conditions is fulfilled:
  - a correct CHV2 value has already been presented to the SIM during the current session;
  - UNBLOCK CHV2 has been successfully performed during the current session;

**ADM:** Allocation of these levels and the respective requirements for their fulfilment are the responsibility of the appropriate administrative authority

The definition of access condition ADM does not preclude the administrative authority from using ALW, CHV1, CHV2 and NEV if required.

 $\ensuremath{\text{NEVER:}}$  The action cannot be performed over the SIM/ME interface. The SIM may perform the action internally.

Condition levels are not hierarchical. For instance, correct presentation of CHV2 does not allow actions to be performed which require presentation of CHV1. A condition level which has been satisfied remains valid until the end of the GSM session as long as the corresponding secret code remains unblocked, i.e. after three consecutive wrong attempts, not necessarily in the same card session, the access rights previously granted by this secret code are lost immediately. A satisfied CHV condition level applies to both  $DF_{GSM}$  and  $DF_{TELECOM}$ .

If the SIM application is based on a UICC platform (an IC card specified in TS 31.101 [55]) the CHVs may be mapped onto existing UICC key references.

The ME shall determine whether CHV2 is available by using the response to the STATUS command. If CHV2 is "not initialized" then CHV2 commands, e.g. VERIFY CHV2, shall not be executable.

[...]

## 11.3 CHV related procedures

A successful completion of one of the following procedures grants the access right of the corresponding CHV for the GSM session. This right is valid for all files within the GSM application protected by this CHV.

After a third consecutive presentation of a wrong CHV to the SIM, not necessarily in the same GSM session, the CHV status becomes "blocked" and if the CHV is "enabled", the access right previously granted by this CHV is lost immediately.

An access right is not granted if any of the following procedures are unsuccessfully completed or aborted.

#### 11.3.1 CHV verification

The ME checks the CHV status.

In the case of CHV1 the following procedure applies:

- if the CHV1 status is "blocked" and CHV1 is "enabled", the procedure ends and is finished unsuccessfully;
- if the CHV1 status is "blocked" but CHV1 is "disabled", the procedure ends and is finished successfully. The ME shall, however, accept SIMs which do not grant access rights when CHV1 is "blocked" and "disabled". In that case ME shall consider those SIMs as "blocked";
- if the CHV1 status is not "blocked" and CHV1 is "disabled", the procedure is finished successfully;
- if the CHV1 status is not "blocked" and CHV1 is "enabled", the ME uses the VERIFY CHV function. If the CHV1 presented by the ME is equal to the corresponding CHV1 stored in the SIM, the procedure is finished successfully. If the CHV1 presented by the ME is not equal to the corresponding CHV1 stored in the SIM, the procedure ends and is finished unsuccessfully.

In the case of CHV2 the following procedure applies:

- if the CHV2 status is "blocked", the procedure ends and is finished unsuccessfully;
- if the CHV2 status is not "blocked", the ME uses the VERIFY CHV function. If the CHV2 presented by the ME is equal to the corresponding CHV2 stored in the SIM, the procedure is finished successfully. If the CHV2 presented by the ME is not equal to the corresponding CHV2 stored in the SIM, the procedure ends and is finished unsuccessfully.

#### 11.3.2 CHV value substitution

The ME checks the CHV status. If the CHV status is "blocked" or "disabled", the procedure ends and is finished unsuccessfully.

If the CHV status is not "blocked" and the enabled/disabled indicator is set "enabled", the ME uses the CHANGE CHV function. If the old CHV presented by the ME is equal to the corresponding CHV stored in the SIM, the new CHV presented by the ME is stored in the SIM and the procedure is finished successfully.

If the old CHV and the CHV in memory are not identical, the procedure ends and is finished unsuccessfully.

#### 11.3.3 CHV disabling

Requirement: Service n°1 "allocated and activated".

The ME checks the CHV1 status. If the CHV1 status is "blocked", the procedure ends and is finished unsuccessfully.

If the CHV1 status is not "blocked", the ME reads the CHV1 enabled/disabled indicator. If this is set "disabled", the procedure ends and is finished unsuccessfully.

If the CHV1 status is not "blocked" and the enabled/disabled indicator is set "enabled", the ME uses the DISABLE CHV function. If the CHV1 presented by the ME is equal to the CHV1 stored in the SIM, the status of CHV1 is set "disabled" and the procedure is finished successfully. If the CHV1 presented by the ME is not equal to the CHV1 stored in the SIM, the procedure ends and is finished unsuccessfully.

#### 11.3.4 CHV enabling

The ME checks the CHV1 status. If the CHV1 status is "blocked", the procedure ends and is finished unsuccessfully.

If the CHV1 status is not "blocked", the ME reads the CHV1 enabled/disabled indicator. If this is set "enabled", the procedure ends and is finished unsuccessfully.

If the CHV1 status is not "blocked" and the enabled/disabled indicator is set "disabled", the ME uses the ENABLE CHV function. If the CHV1 presented by the ME is equal to the CHV1 stored in the SIM, the status of CHV1 is set "enabled" and the procedure is finished successfully. If the CHV presented by the ME is not equal to the CHV1 stored in the SIM, the procedure ends and is finished unsuccessfully.

#### 11.3.5 CHV unblocking

The execution of the CHV unblocking procedure is independent of the corresponding CHV status, i.e. being blocked or not.

The ME checks the UNBLOCK CHV status. If the UNBLOCK CHV status is "blocked", the procedure ends and is finished unsuccessfully.

If the UNBLOCK CHV status is not "blocked", the ME uses the UNBLOCK CHV function. If the UNBLOCK CHV presented by the ME is equal to the corresponding UNBLOCK CHV stored in the SIM, the relevant CHV status becomes "unblocked" and the procedure is finished successfully. If the UNBLOCK CHV presented by the ME is not equal to the corresponding UNBLOCK CHV stored in the SIM, the procedure ends and is finished unsuccessfully.

#### 11.3.6 CHV procedures on a UICC Platform

If the SIM application is based on a UICC platform and the mapping of the CHVs onto existing UICC key references (used by a USIM application) takes place, the following additional procedures apply. These are in addition to the CHV procedures described above:

After a third consecutive presentation of a wrong CHV or USIM PIN to which it is mapped, not necessarily in the same GSM -or USIM session, the CHV and USIM PIN to which it is mapped becomes "blocked".

Mapping of CHV1

#### on a single verification capable UICC (see TS 31.101 [55]):

 if the PIN key reference of the USIM application (see 3G TS 31.102 [52]) that the CHV1 is mapped to is "enabled", CHV1 shall also be -"enabled" for the SIM application and vice versa.

14

- if the PIN key reference of the USIM application (see 3G TS 31.102 [52]) that the CHV1 is mapped to is "disabled", CHV1shall also be -"disabled" for the SIM application and vice versa.
- if the CHV1 status becomes "blocked", the PIN key reference of the USIM application that the CHV1 is mapped to becomes "blocked" and vice versa.

#### on a multi verification capable UICC (see TS 31.101 [55]):

- if the PIN key reference of the USIM application (see 3G TS 31.102 [52]) that the CHV1 is mapped to is "enabled", CHV1 shall also be -"enabled" for the SIM application and vice versa. If the CHV1 status becomes "blocked", the PIN key reference of the USIM application that the CHV1 is mapped to also becomes "blocked".
- if the PIN key reference of the USIM application (see 3G TS 31.102 [52]) that the CHV1 is mapped to is
   "disabled" and not replaced with the Universal PIN of the UICC (the usage qualifier of Universal PIN is set
   to '00' "Do not Use Universal PIN"), CHV1shall also be -"disabled" for the SIM application.
- if the PIN key reference of the USIM application (see 3G TS 31.102 [52]) that the CHV1 is mapped to is "disabled" and replaced with the Universal PIN of the UICC (the usage qualifier of the Universal PIN is set to '08' -"Use Universal PIN"), CHV1 shall remain "enabled" for the SIM application. The CHV1 is now mapped to the Universal PIN. If the CHV1 presented by the ME is equal to the value of the Universal PIN stored in the UICC, the procedure is finished successfully. If the CHV1 presented by the ME is not equal to the value of the Universal PIN stored in the UICC, the procedure ends and is finished unsuccessfully. If the CHV1 status becomes "blocked", the Universal PIN on the UICC also becomes "blocked", and vice versa.

#### • Mapping of CHV2

CHV2 in the SIM application is mapped to the corresponding local key reference belonging to the USIM application to which the CHV1 is mapped. In the 2G operation mode, this PIN is considered to be global, in the 3G operation mode, it is seen as a being local.

							CR-Form-v3				
CHANGE REQUEST											
ж	5 <mark>1.011</mark>	CR 008	ж rev	<b>-</b> *	Current vers	<sup>ion:</sup> <b>4.2.0</b>	ж				
For <u>HELP</u> o	on using	this form, see bottom of t	this page or l	ook at the	e pop-up text	over the X syn	nbols.				
Proposed chan	ge affec	#ts: ₩ (U)SIM <mark>X</mark> N	ME/UE X	Radio Ac	cess Network	Core Ne	twork				
Title:	<b>ж</b> Corr	rection to EF-OPL									
Source:	<b>ж <u>Т</u>3</b>										
Work item code	e: # TEI				Date: ೫	16 <sup>th</sup> Novembe	er 2001				
Category:	ដ F				Release: ೫	REL-4					
Use one of the following categories:       Use one of the following releases:         F (essential correction)       2       (GSM Phase 2)         A (corresponds to a correction in an earlier release)       R96       (Release 1996)         B (Addition of feature),       R97       (Release 1997)         C (Functional modification of feature)       R98       (Release 1998)         D (Editorial modification)       R99       (Release 1999)         Detailed explanations of the above categories can       REL-4       (Release 4)         be found in 3GPP TR 21.900.       REL-5       (Release 5)											
be stored into the file (this is currently not possible as there are 65,535 LACs and records are available). Additionally, even to get a subset of LACs this would into size of the file to an unmanageable length and hence cause ME initialisation pro- (refer to "health warning" in the specification).											
Summary of ch	ange: Ж	Incorporates an additional for a given PLMN.	LAC value th	ereby allo	wing a range o	f LAC's to be id	entified				
Consequences not approved:	if ¥	It would not be possible to subset of LACs would mea ME initialisation time to b	define all the an that a large e exceedingly	LACs of a number of long.	a particular PL f records woul	MN and to defin d be required cau	e a using the				
Clauses affecte	ed: #	10.3.42									
Other specs Affected:	ж	Other core specifica Test specifications O&M Specifications	tions ¥								
Other comment	ts: ¥										

## How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://www.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

#### 10.3.42 EF<sub>OPL</sub> (Operator PLMN List)

This EF contains a prioritised list of Location Area Information (LAI) identities that are used to associate a specific operator name contained in  $EF_{PNN}$  with the LAI. The ME shall use this EF in association with the  $EF_{PNN}$  in place of any network name stored within the ME's internal list and any network name received when registered to the PLMN, as defined by 3G TS 24.008 [47]. If the  $EF_{PNN}$  is not present then this file shall not be present.

	Identifier:	'6FC6'	St	tructure: linear		Optional						
R	ecord leng	oth: X bytes, X >	·= <mark>6</mark> 8		Update a	te activity: low						
Access	Condition READ UPDATE DEACTIV	IS: /ATE	ALW ADM ADM	/AYS I I								
	ACTIVAT	L	ADIV	1								
By	/tes		Descript	ion		M/O	Length					
1 t	o <u>7</u> 5	Location Area	Identity			М	75 bytes					
(	€ <u>8</u>	PLMN Network	Name Rec	ord Identifier		М	1 byte					

- Location Area Identity

Contents:

Location Area Information, this comprises of the MCC, MNC and two LACs

Coding:

PLMN : according to 3G TS 24.008 [47]

A BCD value of 'D' in any of the MCC and/or MNC digits shall be used to indicate a "wild" value for that corresponding MCC/MNC digit

#### LAC : according to 3G TS 24.008 [47]

Two values for the LAC are stored in order to allow a range of LAC values to be specified for a given PLMN. A value of '0000' stored in bytes 4 to 5 and a value of 'FFFE' stored in bytes 6 to 7 shall be used to indicate the entire range of LACs for the given PLMN. In the case where only a single LAC value is to be specified then the value stored in bytes 4 to 5 shall be identical to the value stored in bytes 6 to 7 for the given PLMN. If a range of LAC values are to be specified, then the value stored in bytes 4 to 5 shall be the start of the LAC range and the value stored in bytes 6 to 7 shall be the end of the LAC range for the given PLMN.

A value of '0000' in the LAC shall be used to indicate a "wild" value for the LAC

PLMN Network Name Record Identifier

Contents:

Identifier of operator name to be displayed

Coding:

A value of '00' indicates that the name is to be taken from other sources, see 3G TS 22.101 [53]

A value in the range '01' to 'FE' indicates the record number in  $EF_{PNN}$  that shall be displayed as the registered PLMN name

CR page 3

NOTE: The intent of this file is to provide exceptions to the other sources of a network name. Care should be taken not to introduce too many PLMN entries. An excessive number of entries could result in a longer initialisation period.

CR page 4

											UK-F0111-V4	
ж	31.	.102	CR	100	ж	rev	-	ж	Current ver	sion:	3.7.0	ж
For <u><b>HELP</b></u> on using this form, see bottom of this page or look at the pop-up text over the $#$ symbols.												
Proposed change affects: # (U)SIM X ME/UE X Radio Access Network Core Network												
Title: ೫	Ge	neral c	orrectio	ons								
Source: #	T3											
Work item code: %	TEI								Date: ¥	8 <mark>07</mark>	.11.01	
Category:       %       F       Release:       %       R99         Use one of the following categories:       Use one of the following regorder       Use one of the following regorder       2       (GSM Phase 2         A (corresponds to a correction in an earlier release)       R96       (Release 1996)         B (addition of feature),       R97       (Release 1997)         C (functional modification of feature)       R98       (Release 1998)         D (editorial modification)       R99       (Release 1998)         Detailed explanations of the above categories can       REL-4       (Release 4)         Detailed explanations of the above categories can       REL-4       (Release 4)									9 M Phase 2, ease 1996) ease 1997) ease 1998) ease 1999) ease 4) ease 5)	leases: ) ) ) )		
Reason for change	e: Ж	The	specific	ation cont	ains so	<mark>me ir</mark>	nconsi	isten	cies which h	ave to	be corre	cted.
Summary of chang	<b>уе:</b> Ж	The - Ti - A	followin he table ddition	g correction describin of the proc	ons are g EF <sub>OP</sub> cedures	e prop PLMNWA S for E	osed: <sub>cT</sub> is c EF(PS	orre LOC	cted CI) and EF(Ke	eysPS	5)	
Consequences if not approved:	ж	Inco	nsisten	cies in the	specifi	catior	۱.					
Clauses affected:	ж	4.2.5	53, <u>5.1.</u>	1 <mark>.2, 5.1.2.</mark> 1	1, 5.2.x	(new	claus	se), {	5.2.y (new cla	ause)		
Other specs affected:	¥	01 Te	ther cor est spec &M Spe	e specifica cifications ecifications	ations S	я	B					
Other comments:	ж	Equi	valent t	<mark>o CR 101-</mark>	31.102	REL	-4					
How to create CRs using this form: Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G_Specs/CRs.htm</u> . Below is a brief summary:												

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 4.2.53 EF<sub>OPLMNwACT</sub> (Operator controlled PLMN selector with Access Technology)

This EF contains the coding for n PLMNs where n is determined by the operator. This information is determined by the operator and defines the preferred PLMNs in priority order. The first record indicates the highest priority and the n<sup>th</sup> record indicates the lowest. The EF also contains the Access Technologies for each PLMN in this list. (see TS 23.122 [31])

Identifier: '	6F61'	Str	ucture: transparent	Optional					
	SFI: '11'								
File size: 5n	(where n ≥8 b	ytes)	Updat	e activity	v: low				
Access Conditions:									
READ		PIN							
	TE								
AOIIVAIL	-								
Bytes		Descript	ion	M/O	Length				
1 to 3	1 <sup>st</sup> PLMN (hi	ghest priority	')	М	3 bytes				
4 to 5	1 <sup>st</sup> PLMN Ac	cess Techno	logy Identifier	М	2 bytes				
<u>1</u>		1							
<u>3</u> 6 to <u>3</u> 8	8 <sup>th</sup> 2 <sup>nd</sup> PLMN			<u>M</u> <del>O</del>	3 bytes				
<u>3</u> 9 to <u>14</u> 0	8 <sup>th</sup> 2 <sup>nd</sup> PLMN	I Access Tec	hnology Identifier	<u>M</u> <del>O</del>	2 bytes				
<u>41 to 43</u>	9 <sup>th</sup> PLMN			<u>0</u>	<u>3 bytes</u>				
<u>44 to 45</u>	9 <sup>th</sup> PLMN Ac	cess Techno	logy Identifier	<u>0</u>	<u>2 bytes</u>				
<u>1</u>		<u>:</u>							
(5n-4) to (5n-2)	N <sup>th</sup> PLMN (Ic	west priority	)	0	3 bytes				
(5n-1) to 5n	N <sup>th</sup> PLMN Ac	cess Techno	ology Identifier	0	2 bytes				

## - PLMN.

Contents:

- Mobile Country Code (MCC) followed by the Mobile Network Code (MNC). Coding:

- according to TS 24.008 [9].

Access Technology Identifier:

Coding:

- See  $EF_{PLMNwACT}$  for coding.

#### (3G TS 31.102 V3.7.0)

## 5.1.1.2 USIM initialisation

The ME requests the emergency call codes. For service requirements, see TS 22.101 [24].

The ME requests the Language Indication. The preferred language selection shall always use the  $EF_{LI}$  in preference to the  $EF_{PL}$  at the MF unless any of the following conditions applies:

- if the EF<sub>LI</sub> has the value 'FFFF' in its highest priority position, then the preferred language selection shall be the language preference in the EF<sub>PL</sub> at the MF level according the procedure defined in TS 31.101[11];
- if the ME does not support any of the language codes indicated in  $EF_{LI}$ , or if  $EF_{LI}$  is not present, then the language selection shall be as defined in  $EF_{PL}$  at the MF level according the procedure defined in TS 31.101[11];
- if neither the languages of  $EF_{LI}$  nor  $EF_{PL}$  are supported by the terminal, then the terminal shall use its own internal default selection.

The ME then runs the user verification procedure. If the procedure is not performed successfully, the USIM initialisation stops.

The ME performs the administrative information request.

The ME performs the USIM Service Table request.

The ME performs the Enabled Services Table Request.

In case FDN is enabled, an ME which does not support FDN shall allow emergency calls but shall not allow MO-CS calls and MO-SMS.

If BDN is enabled, an ME which does not support Call Control shall allow emergency calls but shall not allow MO-CS calls.

If ACL is enabled, an ME which does not support ACL shall not send any APN to the network.

If all these procedures have been performed successfully then 3G session shall start. In all other cases 3G session shall not start.

Afterwards, the ME runs the following procedures if the ME and the USIM supports the related features:

- IMSI request.
- Access control information request.
- HPLMN search period request.
- HPLMN selector with Access Technology request;
- User controlled PLMN selector with Access Technology request;
- Operator controlled PLMN selector with Access Technology request;
- RPLMN last used Access Technology
- GSM initialisation requests.
- Location Information request for CS-and/or PS-mode.
- Cipher key and integrity key request for CS- and/or PS-mode.
- Forbidden PLMN request.
- Initialisation value for hyperframe number request.
- Maximum value of START request.
- CBMID request.
- Depending on the further services that are supported by both the ME and the USIM the corresponding EFs have to be read.

After the USIM initialisation has been completed successfully, the ME is ready for a 3G session and shall indicate this to the USIM by sending a particular STATUS command.

## 5.1.2.1 3G session termination

NOTE 1: This procedure is not to be confused with the deactivation procedure in TS 31.101 [11].

The 3G session is terminated by the ME as follows.

The ME shall indicate to the USIM by sending a particular STATUS command that the termination procedure is starting.

The ME then runs all the procedures which are necessary to transfer the following subscriber related information to the USIM, if the ME and the USIM support the related services:

- Location Information update for CS-and/or PS-domain.
- Cipher Key and Integrity Key update for CS-and/or PS-domain.
- Advice of Charge increase.
- Forbidden PLMN update.
- GSM Termination procedures.

Finally, the ME deletes all these subscriber related information elements from its memory.

NOTE 2: If the ME has already updated any of the subscriber related information during the 3G session, and the value has not changed until 3G session termination, the ME may omit the respective update procedure.

To actually terminate the session, the ME shall then use one of the mechanisms described in TS 31.101 [11].

# 5.2.5 Location information

Request: The ME performs the reading procedure with  $EF_{LOCI}$ .

Update: The ME performs the updating procedure with  $EF_{LOCI}$ .

In the case when updating  $EF_{LOCI}$  with data containing the TMSI value and the card reports the error '6581' (Memory Problem), the ME shall terminate 3G operation.

# 5.2.6 Cipher and Integrity key

Request: The ME performs the reading procedure with  $EF_{Keys}$ .

Update: The ME performs the updating procedure with  $EF_{Keys}$ .

# 5.2.x Packet Switched Location information

Request: The ME performs the reading procedure with EF<sub>PSLOCI</sub>.

<u>Update:</u> The ME performs the updating procedure with EF<sub>PSLOCI</sub>.

# 5.2.y Cipher and Integrity key for Packet Switched domain

Request: The ME performs the reading procedure with EF<sub>KeysPS</sub>.

Update: The ME performs the updating procedure with EF<sub>KeysPS</sub>.

												R-Form-v4		
¥	31.	<mark>102</mark>	CR	<b>10</b> <sup>2</sup>	1	೫ re∖	-	Ħ	Current	t vers	sion:	4.2.	<sup>#</sup> 0	ß
For <b>HELP</b> on using this form, see bottom of this page or look at the pop-up text over the <b>#</b> symbols.														
Proposed change	affect	s: #	(U)S	SIM X	ME/	UE X	Ra	dio Ac	ccess Ne	etwor	k	Core	Netw	/ork
Title: %	Ger	neral c	orrectio	ons										
Source: %	T3													
Work item code: ೫	TEI								Dat	te: ೫	07.	11.01		
Category: ₩	F Use <u>c</u> Detai be for	<u>one</u> of t F (corr A (corr B (add C (fund D (edit led exp und in 3	he follo ection) respond lition of ctional r orial mo lanation 3GPP <u>T</u>	wing cate ls to a co feature), nodification odification ns of the <u>R 21.900</u>	egories: rrection on of fe n) above ( <u>)</u> .	: eature) categor	earlier I	releasi	Releas Use <u>o</u> 2 e) R9 R9 R9 R9 RE	<b>6</b> 7 8 9 2	RE the fo (GSN (Rele (Rele (Rele (Rele (Rele (Rele	L-4 Ilowing I A Phase ase 199 ase 199 ase 199 ase 199 ase 4) ase 5)	releas 2) 96) 97) 98) 99)	ses:
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Reason for change	2: Ж	Thes	specific	ation co	ntains	some	Incon	sisten	icles white	cn na	ave to	be cor	recte	u.
Summary of chang	<b>уе:</b> Ж	<ul> <li>The following corrections are proposed:</li> <li>The table describing EF<sub>OPLMNwAcT</sub> is corrected</li> <li>Addition of the procedures for EF(PSLOCI) and EF(KeysPS)</li> </ul>												
Consequences if not approved:	ж	Incor	isisten	cies in th	ne spe	cificatio	on.							
Oleviene officiate 1	00	405	0 5 4											
Clauses affected:	ж _	4.2.5	ა, <b>5.</b> 1.1	1.2, 5.1.	2.1, 5.2	z.x (ne	w clau	ise), (	5.2.y (ne	w cia	use)			
Other specs affected:	Ħ	Ot Te Ot	her cor st spea &M Spe	e specif cification	fication is ins	IS	ж							

#### How to create CRs using this form:

Other comments:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

# Equivalent to CR 100-31.102 R99

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 4.2.53 EF<sub>OPLMNwACT</sub> (Operator controlled PLMN selector with Access Technology)

This EF contains the coding for n PLMNs where n is determined by the operator. This information is determined by the operator and defines the preferred PLMNs in priority order. The first record indicates the highest priority and the n<sup>th</sup> record indicates the lowest. The EF also contains the Access Technologies for each PLMN in this list. (see TS 23.122 [31])

Identifier: '6F61'		Structure: transparent			Optional
	SFI: '11'				
File size: 5n (where n ≥8 bytes)		Update activity: low			
Access Conditions:					
READ		PIN			
Bytes	Description			M/O	Length
1 to 3	1 <sup>st</sup> PLMN (highest priority)			М	3 bytes
4 to 5	1 <sup>st</sup> PLMN Access Technology Identifier			М	2 bytes
<u>:</u>	<u>:</u>				
<u>3</u> 6 to <u>3</u> 8	8 <sup>th</sup> 2 <sup>nd</sup> PLMN			<u>M</u> <del>O</del>	3 bytes
<u>3</u> 9 to <u>14</u> 0	8 <sup>th</sup> 2 <sup>nd</sup> PLMN Access Technology Identifier			<u>M</u> <del>O</del>	2 bytes
<u>41 to 43</u>	9 <sup>th</sup> PLMN			<u>0</u>	<u>3 bytes</u>
<u>44 to 45</u>	9th PLMN Access Technology Identifier			<u>0</u>	<u>2 bytes</u>
<u>1</u>		<u>1</u>			
(5n-4) to (5n-2)	N <sup>th</sup> PLMN (lowest priority)			0	3 bytes
(5n-1) to 5n	N <sup>th</sup> PLMN Access Technology Identifier			0	2 bytes

## - PLMN.

Contents:

- Mobile Country Code (MCC) followed by the Mobile Network Code (MNC). Coding:

- according to TS 24.008 [9].

Access Technology Identifier:

Coding:

- See  $EF_{PLMNwACT}$  for coding.

#### (3G TS 31.102 V4.2.0)

## 5.1.1.2 USIM initialisation

The ME requests the emergency call codes. For service requirements, see TS 22.101 [24].

The ME requests the Language Indication. The preferred language selection shall always use the  $EF_{LI}$  in preference to the  $EF_{PL}$  at the MF unless any of the following conditions applies:

- if the EF<sub>LI</sub> has the value 'FFFF' in its highest priority position, then the preferred language selection shall be the language preference in the EF<sub>PL</sub> at the MF level according the procedure defined in TS 31.101[11];
- if the ME does not support any of the language codes indicated in  $EF_{LI}$ , or if  $EF_{LI}$  is not present, then the language selection shall be as defined in  $EF_{PL}$  at the MF level according the procedure defined in TS 31.101[11];
- if neither the languages of  $EF_{LI}$  nor  $EF_{PL}$  are supported by the terminal, then the terminal shall use its own internal default selection.

The ME then runs the user verification procedure. If the procedure is not performed successfully, the USIM initialisation stops.

The ME performs the administrative information request.

The ME performs the USIM Service Table request.

The ME performs the Enabled Services Table Request.

In case FDN is enabled, an ME which does not support FDN shall allow emergency calls but shall not allow MO-CS calls and MO-SMS.

If BDN is enabled, an ME which does not support Call Control shall allow emergency calls but shall not allow MO-CS calls.

If ACL is enabled, an ME which does not support ACL shall not send any APN to the network.

If all these procedures have been performed successfully then 3G session shall start. In all other cases 3G session shall not start.

Afterwards, the ME runs the following procedures if the ME and the USIM supports the related featureservices:

- IMSI request.
- Access control information request.
- HPLMN search period request.
- HPLMN selector with Access Technology request;
- User controlled PLMN selector with Access Technology request;
- Operator controlled PLMN selector with Access Technology request;
- RPLMN last used Access Technology
- GSM initialisation requests.
- Location Information request for CS-and/or PS-mode.
- Cipher key and integrity key request for CS- and/or PS-mode.
- Forbidden PLMN request.
- Initialisation value for hyperframe number request.
- Maximum value of START request.
- CBMID request.
- Depending on the further services that are supported by both the ME and the USIM the corresponding EFs have to be read.

After the USIM initialisation has been completed successfully, the ME is ready for a 3G session and shall indicate this to the USIM by sending a particular STATUS command.

## 5.1.2.1 3G session termination

NOTE 1: This procedure is not to be confused with the deactivation procedure in TS 31.101 [11].

The 3G session is terminated by the ME as follows.

The ME shall indicate to the USIM by sending a particular STATUS command that the termination procedure is starting.

The ME then runs all the procedures which are necessary to transfer the following subscriber related information to the USIM, if the ME and the USIM support the related services:

- Location Information update for CS-and/or PS-domain.
- Cipher Key and Integrity Key update for CS-and/or PS-domain.
- Advice of Charge increase.
- Forbidden PLMN update.
- GSM Termination procedures.

Finally, the ME deletes all these subscriber related information elements from its memory.

NOTE 2: If the ME has already updated any of the subscriber related information during the 3G session, and the value has not changed until 3G session termination, the ME may omit the respective update procedure.

To actually terminate the session, the ME shall then use one of the mechanisms described in TS 31.101 [11].

# 5.2.5 Location information

Request: The ME performs the reading procedure with  $EF_{LOCI}$ .

Update: The ME performs the updating procedure with  $EF_{LOCI}$ .

In the case when updating  $EF_{LOCI}$  with data containing the TMSI value and the card reports the error '6581' (Memory Problem), the ME shall terminate 3G operation.

# 5.2.6 Cipher and Integrity key

Request: The ME performs the reading procedure with  $EF_{Keys}$ .

Update: The ME performs the updating procedure with  $EF_{Keys}$ .

# 5.2.x Packet Switched Location information

Request: The ME performs the reading procedure with EF<sub>PSLOCI</sub>.

<u>Update:</u> The ME performs the updating procedure with EF<sub>PSLOCI</sub>.

# 5.2.y Cipher and Integrity key for Packet Switched domain

Request: The ME performs the reading procedure with EF<sub>KeysPS</sub>.

Update: The ME performs the updating procedure with EF<sub>KeysPS</sub>.

## Tdoc T3-010793

(updated version of T3-010748)

CHANGE REQUEST					
<sup>ж</sup> ТS	<b>31.102</b> CR <b>102 *</b> ev <b>- *</b> Current version: <b>4.2.0 *</b>				
For <b>HELP</b> on using this form, see bottom of this page or look at the pop-up text over the <b>#</b> symbols.					
Proposed change affects: # (U)SIM X ME/UE X Radio Access Network Core Network					
Title: ж	Optional items and references				
Source: ೫	Т3				
Work item code: %	TEI Date: # 9 <sup>th</sup> November 2001				
Category: ೫	F       Release: %       REL-4         Use one of the following categories:       Use one of the following releases:       2         F (correction)       2       (GSM Phase 2)         A (corresponds to a correction in an earlier release)       R96       (Release 1996)         B (addition of feature),       R97       (Release 1997)         C (functional modification of feature)       R98       (Release 1998)         D (editorial modification)       R99       (Release 1999)         Detailed explanations of the above categories can be found in 3GPP TR 21.900.       REL-4       (Release 5)				
Reason for change:	Recently an optional item (GET CHALLENGE command) has been included to the rel-4 of the ETSI SCP TS 102 221 in order to cope with the requirements of the other access technologies i.e. TETRA. As the current 3G TS 31.101 directly refers to the ETSI TS 102 221, the optional item needs to be clearly indicates optional in the 3G TS 31.101. 3G TS 31.101 indicates this in the 3G TS 31.102.				
Summary of change	e: # New clause is added.				
Consequences if not approved:	# The optional item (GET CHALLENGE command) is regards as mandatory.				
Clauses affected:	¥ 8.3				
Other specs affected:	%       Other core specifications       %         Test specifications          Ø&M Specifications				
Other comments:	ж				

#### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.
#### Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## **Introduction**

TS 31.101 is one of the core documents for this specification and is therefore referenced in many places in the present document. The detailed descriptions are no longer listed in TS 31.101, so references to TS 31.101 shall be taken to be direct references to TS 102 221.

# 8 UICC Characteristics

The UICC characteristics are defined in TS 31.101 [11]. As this document refers to TS 102 221 [xx] for the details of the characteristics, and because the scope of TS 102 221 also encompasses other mobile systems, it is necessary to list those issues which are not applicable to the USIM application, which deviate from TS 102 221 [xx] or options which require further precision.

#### 8.1 Voltage classes

A UICC holding a USIM application shall support at least two consecutive voltage classes as defined in TS 31.101 [11], e.g. AB or BC. If the UICC supports more than two classes, they shall all be consecutive, e.g. ABC.

#### 8.2 File Control Parameters (FCP)

This clause defines the contents of the data objects which are part of the FCP information where there is a difference compared to the values as specified in TS 31.101 [11]. This clause also specifies values for data objects in the FCP information where there is no exact value given in TS 31.101 [11] and there is a need for such from the USIM application point of view.

#### 8.2.1 Minimum application clock frequency

This data object is indicated by tag '82' in the proprietary constructed data object in the FCP information, identified by tag 'A5', as defined in TS 31.101 [11]. This data object specifies the minimum clock frequency to be provided by the terminal during the USIM session. The value indicated in this data object shall not exceed 3 MHz, corresponding to '1E'. The terminal shall use a clock frequency between the value specified by this data object and the maximum clock frequency for the UICC as defined in TS 31.101 [11]. If this data object is not present in the FCP response or the value is 'FF' then the terminal shall assume that the minimum clock frequency is 1 MHz.

### 8.3 Optional commands

The following command is optional for the USIM application:

GET CHALLENGE command.

CR-Form-v3											
CHANGE REQUEST											
ж	<mark>31.10</mark> 2	2 CR 103	ж rev	<b>-</b> *	Current vers	ion: <b>4.2.0</b> <sup>¥</sup>					
For <u><b>HELP</b></u> on using this form, see bottom of this page or look at the pop-up text over the $\Re$ symbols.											
Proposed change affects: # (U)SIM X ME/UE X Radio Access Network Core Network											
Title:	# Con	rection to EF-OPL									
Source:	<b>Ж</b> Т3										
Work item cod	le: # TEI	[			Date: ೫	16 <sup>th</sup> November 2001					
Category:	¥ F				Release: ೫	REL-4					
Use one of the following categories: Use one of the following releases:   F (essential correction) 2   A (corresponds to a correction in an earlier release) R96   B (Addition of feature), R97   C (Functional modification of feature) R98   D (Editorial modification) R99   D (Editorial modification) R99   D tailed explanations of the above categories can REL-4   be found in 3GPP TR 21.900. REL-5											
Reason for cha	ange. њ	so in order to define a true "wild value" of LACs, every possible LAC value would have to be stored into the file (this is currently not possible as there are 65,535 LACs and only 254 records are available). Additionally, even to get a subset of LACs this would increase the size of the file to an unmanageable length and hence cause ME initialisation problems (refer to "health warning" in the specification).									
Summary of change: # Incorporates an additional LAC value thereby allowing a range of LAC's to be identified for a given PLMN.											
Consequences not approved:	sif ¥	It would not be possible subset of LACs would ME initialisation time t	e to define all th mean that a larg o be exceeding	e LACs of the number y long.	a particular PL of records woul	MN and to define a d be required causing the					
Clauses affect	ed: ¥	4.2.59									
Other specs Affected:	ж	Other core specif Test specification O&M Specificatio	ications ቆ s ns	B							

#### How to create CRs using this form:

Other comments: #

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://www.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

#### 4.2.59 EF<sub>OPL</sub> (Operator PLMN List)

This EF contains a prioritised list of Location Area Information (LAI) identities that are used to associate a specific operator name contained in  $EF_{PNN}$  with the LAI. The ME shall use this EF in association with the  $EF_{PNN}$  in place of any network name stored within the ME's internal list and any network name received when registered to the PLMN, as defined by TS 24.008 [9]. If the  $EF_{PNN}$  is not present then this file shall not be present.

Identifier	: '6FC6'	S	tructure: linear fixed	d	Optional
	SFI: '1A'				
Record length: X bytes, X >= 86			Update activity: low		
Access Conditio	ns:				
READ		ALV	AYS		
UPDATE		ADN	Λ		
DEACTIVATE		ADN	Λ		
ACTIVA	ΓE	ADN	ADM		
Bytes Descript			tion	M/O	Lenath
1 to 75	Location Area	Identity		M	75 bytes
<u>8</u> 6	PLMN Network Name Record Identifier			М	1 byte

- Location Area Identity

Contents:

Location Area Information, this comprises of the MCC, MNC and LAC

Coding:

I

PLMN : according to TS 24.008 [9]

A BCD value of 'D' in any of the MCC and/or MNC digits shall be used to indicate a "wild" value for that corresponding MCC/MNC digit

LAC : according to 3G TS 24.008 [9]

Two values for the LAC are stored in order to allow a range of LAC values to be specified for a given PLMN. A value of '0000' stored in bytes 4 to 5 and a value of 'FFFE' stored in bytes 6 to 7 shall be used to indicate the entire range of LACs for the given PLMN. In the case where only a single LAC value is to be specified then the value stored in bytes 4 to 5 shall be identical to the value stored in bytes 6 to 7 for the given PLMN. If a range of LAC values are to be specified, then the value stored in bytes 4 to 5 shall be the start of the LAC range and the value stored in bytes 6 to 7 shall be the end of the LAC range for the given PLMN.

A value of '0000' in the LAC shall be used to indicate a "wild" value for the LAC

- PLMN Network Name Record Identifier

Contents:

Identifier of operator name to be displayed

Coding:

A value of '00' indicates that the name is to be taken from other sources, see TS 22.101 [24]

A value in the range '01' to 'FE' indicates the record number in  $\rm EF_{PNN}$  that shall be displayed as the registered PLMN name

NOTE: The intent of this file is to provide exceptions to the other sources of a network name. Care should be taken not to introduce too many PLMN entries. An excessive number of entries could result in a longer initialisation period.

CR page 3

CR page 4