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Source: SA5 (Telecom Management)

Title: GSM 12.71 (LCS O&M) Release 98 v2.0.1 (S5-000285)

**Document for:** Decision

Agenda Item: 6.5.3

With the delivery of the GSM 12.71, T1P1 has transferred the lead responsibility of further GSM LCS work to 3GPP including for GSM Release 98.

T1P1.3 has completed specifying LCS operations and maintenance messages between the SMLC and the LMU in GSM 12.71 Release 98 version 2.0.0.

SA5 endorsed during its Meeting #12 in Rome the GSM 12.71 version 2.0.1.

SA5 requests SA#8 to approve GSM 12.71 and place it under Change Control.

SA5 informs SA#8 (see SP-000255) that:

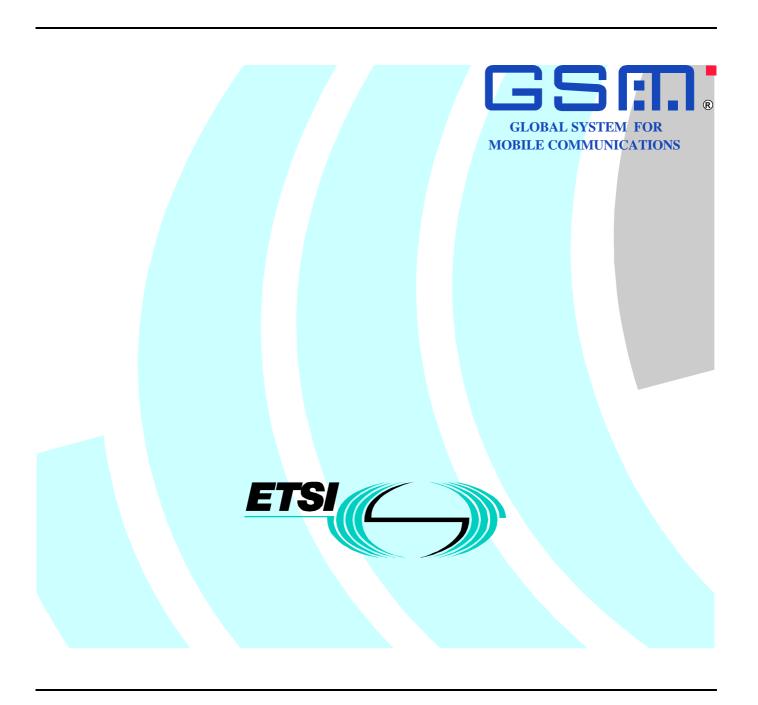
- 1. The attached 12.71 v2.0.1 does not contain "Charging definition";
- 2. A working draft of GSM LCS Charging specification section is submitted for information in SP-000255;
- 3. SA5 intends to submit to SA#9 a CR against either 12.05 or 12.71 for inclusion of the "Charging definition".

Attachment: GSM 12.71 version 2.0.1 (Filename: 1271-201.doc)

# GSM 12.71 V2.0.1 (2000-05)

Technical Specification

Digital cellular telecommunications system (Phase 2+); Location Services (LCS); Location Services Management (GSM 12.71 version 2.0.1 Release 1998)



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### **Foreword**

This Technical Specification (TS) has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The present document describes the network management for LCS O&M within the digital cellular telecommunications system.

NOTE: TC-SMG has produced documents which give technical specifications for the implementation of the digital cellular telecommunications system. Historically, these documents have been identified as GSM Technical Specifications (GSM-TSs). These specifications may subsequently become ETSI Technical Specifications (TSs) or Technical Reports (TRs). These ETSI-GSM specifications are, for editorial reasons, still referred to in the present document.

# 1 Scope

The present document addresses the network management architecture, functions, and protocol for LCS operations and maintenance. The information model included here defines the managed entities and how they are addressed for purposes of operations and maintenance activities.

There is a requirement for the network management interface to be open to allow interoperation between LMUs and GMLCs of different manufacturers working to the same SMLC. The present document addresses this requirement from an operations and maintenance point of view, which allows this interworking to take place. It shows the split of network management functions between GMLC, SMLC and LMU. The procedures and coding of the messages are specified in detail. In practice, in addition to the present document, it is necessary that the content of manufacturer-dependent information fields be specified to fulfil the functionality.

It is essential for operation that a SMLC can handle the functions used by all its LMUs. Therefore, all items in the present document are considered mandatory unless otherwise indicated in the present document.

NOTE: This version contains only the SMLC-LMU interface. Other work will be undertaken in the future.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies.
- A non-specific reference to an ETS shall also be taken to refer to later versions published as an EN with the same number.
- [1] GSM 01.04 (ETR 100): "Digital cellular telecommunication system (Phase 2); Abbreviations and acronyms".
- [2] GSM 12.00 (ETS 300 612-1): "Digital cellular telecommunication system (Phase 2); Objectives and structure of Network Management (NM)".
- [3] GSM 12.01 (ETS 300 612-2): "Digital cellular telecommunication system (Phase 2); Common aspects of GSM Network Management (NM)".
- [4] GSM 12.20 (ETS 300 622): "Digital cellular telecommunication system (Phase 2); Base Station System (BSS) Management Information".
- [5] GSM 12.21 (ETS 300 623): "Digital cellular telecommunication system (Phase 2); Network Management (NM) procedures and messages on the A-bis interface".
- [6] GSM 12.22 (ETS 300 624): "Digital cellular telecommunication system (Phase 2); Interworking of GSM Network Management (NM) procedures and messages at the Base Station Controller (BSC)".
- [7] GSM 02.71: Digital cellular telecommunications system (Phase 2+), Location Services (LCS), Service Description, Stage 1.
- [8] GSM 03.71: Digital cellular telecommunications system (Phase 2+), Location Services (LCS), Functional Description, Stage 2.
- [9] GSM 04.71: Digital cellular telecommunications system (Phase 2+), Mobile radio interface layer 3 location services specification, formats and coding.
- [10] ITU Recommendation M.3400: TMN management functions.
- [11] ITU Recommendation X.680: ASN.1 Specification of Basic Notation Data Network
- [12] ITU Recommendation X.691: ASSN. Encoding Rules Specification of Packed Encoding Rules Data Network.
- [13] ITU Recommendation X.711: Common Management Information Protocol: Specification.
- [14] ITU Recommendation X.731: Systems Management: State Management Function.

# 3 Symbols and Abbreviations

# 3.1 Symbols

For the purposes of the present document, the following symbols apply:

Lb Interface between Serving MLC and BSC (BSC interface)
Le Interface between External User and Gateway MLC (external interface)
Lh Interface between Gateway MLC and HLR (HLR interface)
Lg Interface between Gateway MLC and VMSC (Gateway MLC interface)
Lp Interface between SMLC and peer SMLC (peer interface)
Ls Interface between Serving MLC and VMSC (Serving MLC interface)
Um Air Interface to an LMU (measurement interface)

### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

ASN.1 (CCITT) Abstract Syntax Notation One A-GPS Assisted Global Positioning System BSC **Base Station Controller** BSS **Base Station Subsystem BTS Base Transceiver Station CCCH** Common Control Channel Common Management Information Protocol **CMIP DTAP Direct Transfer Application Part** E-OTD **Enhanced Observed Time Difference** Gateway MLC **GMLC** 

GPS Global Positioning System

GSM Global System for Mobile communications

HLR Home Location Register

HW Hardware ID Identifier

IMSI International Mobile Subscriber Identity

LCS LoCation Service
LLP LMU LCS Protocol

LMU Location Measurement Unit MLC Mobile Location Centre MMI Man-Machine Interface

MS Mobile Station

MSC Mobile-services Switching Centre

NE Network Element NM Network Management

NSS Network and Switching Subsystem
O&M Operations and Maintenance
OSS Operations Subsystem
PLMN Public Land Mobile Network
RIT Radio Interface Timing

SDCCH Stand-alone Dedicated Control CHannel SMLC Serving Mobile Location Centre

SW Software

TMN Telecommunications Management Network

TOA Time of Arrival TCH Traffic CHannel

VLR Visitor Location Register

VMSC Visited MSC

Further GSM related abbreviations may be found in GSM 01.04 (ETR 100) [1].

# 4 LCS O&M Architecture

The LCS O&M reference model proposed for location services in GSM networks is shown in Figure 1.

The interface between the OSS and the SMLC and the interface between the OSS and the GMLC are Q interfaces. This is due to compatibility with OSS interfaces applied to other GSM network elements which are defined using the Q interface (see e.g. GSM12.00 [2], GSM12.01 [3], GSM12.20 [4], GSM12.22 [6], etc.).

The succeeding discussion will be based on Figure 1. (No inference should be drawn from Figure 1 about the physical configuration of an interface.)

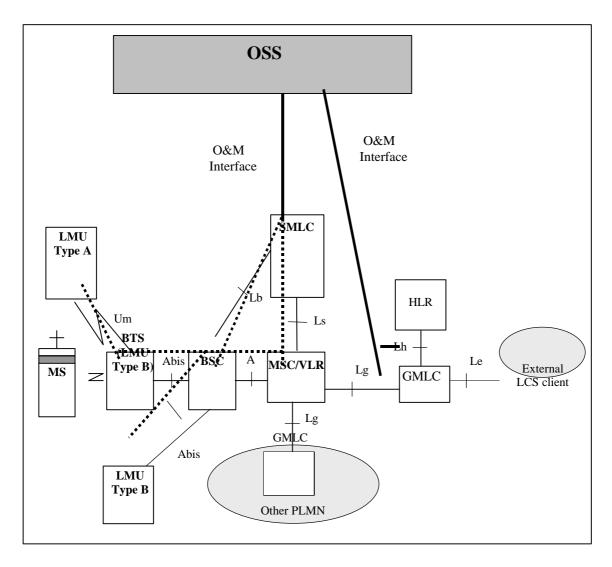


Figure 1: Location Services O&M Reference Model

# 5 LCS managed entities

The following GSM network entities are the subjects to be managed in LCS.

# 5.1 Location Measurement Unit (LMU)

An LMU makes radio measurements to support one or more positioning methods. These measurements fall into one of two categories:

- a) Location measurements specific to one MS used to compute the location of this MS.
- b) Assistance measurements specific to all MSs in a certain geographic area.

All location and assistance measurements obtained by an LMU are supplied to a particular SMLC associated with the LMU. Instructions concerning the timing, the nature and any periodicity of these measurements are either provided by the SMLC or are pre-administered in the LMU.

Two types of LMU are defined:

Type A LMU: accessed over the GSM radio interface (Um interface).

**Type B LMU:** accessed over the Abis interface.

The LMU Type A is accessed exclusively over the GSM radio interface (Um interface). There is no wired connection to any other network element. The LMU Type A has a serving BTS and BSC that provide signaling access to a controlling SMLC. With an NSS based SMLC, a Type A LMU also has a serving MSC and VLR and a subscription profile in an HLR. The Type A LMU always has a unique IMSI and supports all radio resource and mobility management functions of the GSM air interface that are necessary to support signaling using an SDCCH to the SMLC. The Type A LMU supports those connection management functions necessary to support LCS signaling transactions with the SMLC and may support certain call control functions to support signaling to an SMLC using a circuit switched data connection [8].

# 5.2 Serving Mobile Location Centre (SMLC)

The Serving Mobile Location Centre (SMLC) contains functionality required to support LCS. In one PLMN, there may be more than one SMLC.

The SMLC manages the overall coordination and scheduling of resources required for performing positioning of an MS. It may calculate the final location estimate and accuracy.

Two types of SMLC are possible:

NSS based SMLC: supports the Ls interface. BSS based SMLC: supports the Lb interface.

An NSS based SMLC supports positioning of a target MS via signaling on the Ls interface to the visited MSC. A BSS based SMLC supports positioning via signaling on the Lb interface to the BSC serving the target MS. Both types of SMLC may support the Lp interface to enable access to information and resources owned by another SMLC.

The SMLC controls a number of LMUs for the purpose of obtaining radio interface measurements to locate or help locate MS subscribers in the area that it serves. The SMLC is administered with the capabilities and types of measurement produced by each of its LMUs. Signaling between an NSS based SMLC and LMU is transferred via the MSC serving the LMU using the Ls interface and either the Um interface for a Type A LMU or the Abis interface for a Type B LMU. Signaling between a BSS based SMLC and LMU is transferred via the BSC that serves or controls the LMU using the Lb interface and either the Um interface for the Type A LMU or the Abis interface for the Type B LMU.

# 5.3 Gateway Mobile Location Centre (GMLC)

The Gateway Mobile Location Centre (GMLC) contains functionality required to support LCS. In one PLMN, there may be more than one GMLC.

The GMLC is the first node an external LCS client accesses in a GSM PLMN (i.e. the Le reference point is supported by the GMLC). The GMLC may request routing information from the HLR via the Lh interface. After performing registration authorisation, it sends positioning requests to and receives final location estimates from the VMSC via the Lg interface.

The SMLC and GMLC functionality may be combined in the same physical node, combined in existing physical nodes, or reside in different nodes.

### 6 LCS O&M Functions

The LCS management functions are grouped into the following five management areas: Performance Management, Fault Management, Configuration Management, Accounting Management and Security Management.

# 6.1 LMU Management

The description here is based on both Type A and Type B LMUs. O&M related messages will be transferred between the LMU and the SMLC in the same way as the TOA and RIT measurement messages, i.e. from LMU to BTS, BSC, (possibly) MSC and SMLC. It is viewed as a transparent path. The LCS O&M information is stored in the database of the SMLC.

Note: This section covers LMU functions only. The SMLC will need to support these functions and may perform additional functions as well.

This section contains requirements that are common to all LMUs. When certain characteristics of an LMU only apply to a specific type, these characteristics are indicated in later sections as conditional on the type. (An example of a specific type of LMU is one that uses GPS to obtain absolute time and thus is able to derive its own position.) When the characteristics of an LMU are specific to the implementation, these characteristics are handled using manufacturer-specific messages or using the software download capability. (Examples of these kinds of data are initialisation data, GPS setup commands and software files.)

NOTE: Only the necessary functions in M.3400 [10] are included here. All other functions in M.3400 are not needed for this application. See M.3400 for specific definitions of each function.

### 6.1.1 Performance Management

The SMLC collects performance-related data. The SMLC indirectly observes any performance-related data external to the LMU (e.g. number of position failures versus requests). The LMU monitors internal performance-related data and sends alarms to alert the SMLC of any anomalies.

# 6.1.2 Fault Management

- Alarm Surveillance/Alarm Reporting/Report Alarm.
- Alarm Surveillance/Alarm Reporting/Allow and Inhibit Alarm Reporting.
- Alarm Surveillance/Alarm Reporting/Request Alarm History.
- Alarm Surveillance /Failure Event Detection and Reporting.
- Fault Localisation/NE Fault Localisation/Request diagnostic data.
- Fault Localisation/NE Fault Localisation/Stop diagnosis in progress.

- Fault Localisation/NE Fault Localisation/Diagnostic report.
- Fault Localisation/Running of Diagnostic.
- Fault Correction/NE Fault Correction/Automatic Restoration Report.

### 6.1.3 Configuration Management

- Installation/Loading software into NEs.
- Provisioning/NE Configuration/Request Configuration.
- Provisioning/NE Configuration/Configuration Report.
- Provisioning/NE Configuration/Set Service State.
- Provisioning/NE Configuration/Request Assignments.

This function is supported by the Status Query operation specified in 04.71 [9]. An O&M job is defined as an unsatisfied request from the SMLC. Once the LMU has sent all required responses, the job is completed. Unanswered requests from the LMU to the SMLC are not considered jobs.

• Provisioning/NE Configuration/Assignment Reports.

This function is supported by the Status Query and Status Update operations specified in 04.71 [9]. An O&M job is defined as an unsatisfied request from the SMLC. Once the LMU has sent all required responses, the job is completed. Unanswered requests from the LMU to the SMLC are not considered jobs.

- Provisioning/NE Configuration/Set Parameters.
- Provisioning/NE Configuration/Set Service Thresholds.
- Provisioning/NE Configuration/Start Transmission Test.
- Provisioning/NE Configuration/Restart Request.
- Provisioning/NE Configuration/Restart Report.
- Status and Control/NE Status and Control/Request Status.
- Status and Control/NE Status and Control/Status Report.
- Status and Control/NE Status and Control/Control event report.
- Status and Control/Access to state information in NEs.
- Status and Control/Notification of state changes by NEs.

### 6.1.4 Accounting Management

Accounting Management is not applicable to the present document.

# 6.1.5 Security Management

Security Management is not applicable to the present document.

# 6.2 SMLC Management

For future study.

# 6.3 GMLC Management

For future study.

# 7 LCS O&M Protocol

There are two operation codes set aside for LLP O&M messages (OMManagerReq and OMAgentReq). OMManagerReq is invoked by the network, as explained in GSM 04.71 [9], to request a specific O&M activity from the LMU and receive reports from the LMU. OMAgentReq is invoked by the LMU, as explained in GSM 04.71 [9], to report an O&M event to the network or for the LMU to request service from the network.

# 7.1 LMU LCS O&M Messages and Procedures

### 7.1.1 Management Information Model

### 7.1.1.1 Managed Entities

This model describes how entities are managed across the LMU-SMLC interface, but it does not specify how information is transferred inside the site. That is, the manner of communication between a managed entity and managed entities under it is not specified in the present document.

Managed Entities are shown in Figure 2 and listed below. Functional descriptions of each managed entity are found in Table 1.

LMU provides the overall management of the timing measurement capability.

**Uplink Timing Estimator** is needed for TOA only. It conditions the received uplink signal in preparation for the estimation algorithm and then estimates the time of arrival of the received uplink signal.

**Downlink Timing Estimator** conditions the received downlink signal in preparation for the estimation algorithm and then estimates the time of arrival of the received downlink signal. The downlink timing estimation algorithm and the uplink timing estimation algorithm are different due to the difference in frame structure. The downlink timing estimation algorithm may only be required to perform coarse timing estimates in the case of TOA.

**Network Interfacer** supports the network interface required for commands and reports between the GSM network and the LMU. The physical interface may be a GSM Radio Interface, a wire or a bus (in the case of an LMU integrated into a BTS). Peer communications are between the SMLC and the LMU.

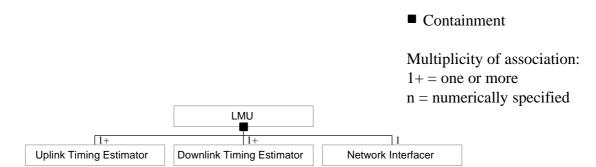


Figure 2: Managed Entity Model Seen Across the LMU-SMLC Interface

Table 1: Managed Entities, Attributes and Procedures Seen Across the LMU-SMLC Interface

| Managed Entities | Attributes                                 | Procedures                       |
|------------------|--|----------------------------------|
| LAALI            | Administrative Ctate                       | CW Daysland Management (7.4.2.2) |
| LMU              | Administrative State                       | SW Download Management (7.1.2.2) |
|                  | Operational State                          | Diagnostic Test (7.1.2.3)        |
|                  | Availability Status Manufacturer ID        | State Management (7.1.2.4)       |
|                  |  | Event Report (7.1.2.5)           |
|                  | HW Configuration                           | Equipment Management (7.1.2.6)   |
|                  | SW Configuration                           | General Management (A.1.1.7)     |
|                  | LMU Position (optional)                    | Report Management (0)            |
|                  | Type <sup>1</sup>                          |                                  |
|                  | Self-Position Capability <sup>1</sup>      |                                  |
|                  | Set Own Position Upon Startup <sup>2</sup> |                                  |
|                  | Method <sup>1</sup>                        |                                  |
|                  | Software Download Capability <sup>1</sup>  |                                  |
|                  | Time                                       |                                  |
|                  | Autonomous Swap <sup>3</sup>               |                                  |
|                  | Calibration Required <sup>1</sup>          |                                  |
|                  | TimingType <sup>1</sup>                    |                                  |
| Uplink Timing    | Administrative State                       | SW Download Management (7.1.2.2) |
| Estimator (TOA   | Operational State                          | Diagnostic Test (7.1.2.3)        |
| only)            | Availability Status                        | State Management (7.1.2.4)       |
|                  | Diversity (optional)                       | Event Report (7.1.2.5)           |
|                  | Attenuator Enable (optional)               | Equipment Management (7.1.2.6)   |
|                  |  | General Management (A.1.1.7)     |
| Downlink Timing  | Administrative State                       | SW Download Management (7.1.2.2) |
| Estimator        | Operational State                          | Diagnostic Test (7.1.2.3)        |
|                  | Availability Status                        | State Management (7.1.2.4)       |
|                  | Diversity (optional)                       | Event Report (7.1.2.5)           |
|                  | Attenuator Enable (optional)               | Equipment Management (7.1.2.6)   |
|                  |  | General Management (A.1.1.7)     |
| Network          | Administrative State                       | SW Download Management (7.1.2.2) |
| Interfacer       | Operational State                          | Diagnostic Test (7.1.2.3)        |
|                  | Availability Status                        | State Management (7.1.2.4)       |
|                  | Attenuator Enable(optional)                | Event Report (7.1.2.5)           |
|                  |  | Equipment Management (7.1.2.6)   |
|                  |  | General Management (A.1.1.7)     |

Note 1: Mandatory on the manager, optional on the LMU.

Note 2: Conditional on the manager when Method = A-GPS, optional on the LMU.

Note 3: Conditional on the manager when Software Download Capability = *Software Download Capability*, optional on the LMU.

Note: Attribute support is mandatory unless noted otherwise.

#### 7.1.1.2 Addressing of Managed Entities

It is a GSM requirement that the SMLC is capable of operating with LMUs from different manufacturers. So, it is necessary that the differences between LMUs, as seen by the SMLC, are minimised as much as possible. This is achieved by addressing NM messages by the Managed Entity Class and Managed Entity Instance. Correct addressing of the LMU must be provided in Layer 2. All O&M messages are contained in DTAP messages and addressing the DTAP messages properly is out of the scope of this specification.

Managed entity instances also have a Layer 3 address. The instance number is used to address the managed entity instance. Regardless of whether the Layer 2 address uniquely identifies the managed entity instance or not, the Layer 3 address must also be provided so that it can be used by the management agent to determine which managed entity instance is being addressed.

At the time of initialisation, the SMLC and the LMU need to be able to communicate with each other. Interpretation of the IMSI provides the initial linking information.

Specific equipment configuration information is manufacturer-dependent. However, for interoperability, instance numbering must be known by both manager and agent. This, as well as supported functions, is considered as Shared Management Knowledge.

#### 7.1.1.3 State Management of Managed Entities

State management in the present document is generally in line with CCITT X.731 [14]. How state values are applied is explained below.

CCITT X.731 states that "the management state of a managed entity represents the instantaneous condition of availability and operability of the associated resources from the point of view of management."

In the present document there are two different factors (CCITT X.731 defines usage state in addition to these two) that are considered to affect the management state of a managed entity. They are:

- Administration: permission to use or prohibition against using the resource, imposed through the management services;
- Operability: whether or not the resource is physically installed and working.

The present document defines the following three state management attributes to represent the management state of a managed entity:

- Administrative state;
- Operational state;
- Availability status (this provides additional information for understanding the operational state values).

#### 7.1.1.3.1 Administrative State

Administrative states of the managed entity can be controlled only by the SMLC.

The **locked** state of a managed entity means that the SMLC has terminated all requests to the resource that is represented by the managed entity. No new requests are initiated to this resource.

The **shutting down** state means that no new requests are initiated to this resource. The on-going requests are completed.

The unlocked state means that new requests are allowed to the resource represented by the managed entity.

#### 7.1.1.3.2 Operational State

CCITT X.731 gives the following definitions for the values of the operational state attribute:

- **disabled**: the resource is totally inoperable and unable to provide service to the user(s);
- **enabled**: the resource is partially or fully operable and available for use.

In the present document the value disabled represents the following conditions that the resources could have:

- hardware or software is not installed;
- power is turned off;
- failure has occurred;
- radio parameters have not yet been set by elementary procedures (7.1.2), therefore, the resource is off-line.

#### 7.1.1.3.3 Availability Status

The availability status elaborates the operational state attribute. State change is only reported when the operational state changes, not when availability status changes. Availability status is only sent as information along with the state change indication. In the present document the following values are used (availability status is a set value):

**In test**: The resource is undergoing a test procedure. The operational state may be *disabled* or *enabled*, depending on the level of intrusion of the test.

**Failed**: The resource has an internal fault that prevents it from operating. The operational state is *disabled*.

**Power off:** The resource requires power to be applied and is not powered on. The operational state is *disabled*.

**Off line**: The resource requires some manual and/or automatic operation(s) to be performed to make it available for use. The operational state is *disabled*.

**Dependency**: The resource cannot operate because some other resource on which it depends is unavailable. The operational state is *disabled*.

**Degraded**: The service available from the resource is degraded in some respect, such as in speed or operating capacity. The operational state is *enabled*.

**Not installed**: The hardware or the software associated with the managed entity has not been installed at the site. Operational state is *disabled*.

Figure 3 illustrates the operational state and availability status behaviour of managed entities. The initial value of the administrative state is *locked*.

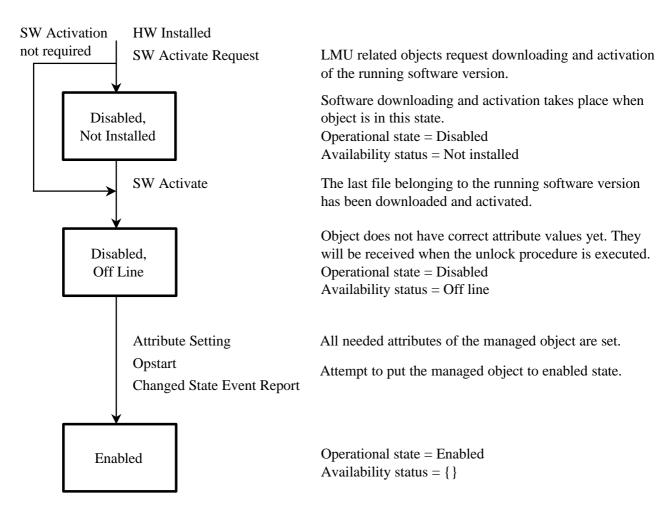


Figure 3: Managed Entities' Operational State and Availability Status
Behaviour During Initialisation

### 7.1.2 Elementary Procedures

The operational procedures applicable to the LMU consist of bringing LMU equipment and software into (or taking them out of) service, initiation of tests at the LMU, collection of test results made at the LMU, reporting and clearing of any LMU faults, and reporting of any LMU external alarms. Bringing into service of equipment at the LMU will consist of manual operations, including powering on, and performing local testing where relevant at the LMU, followed by an indication to the SMLC of the LMU equipment availability. It is then an SMLC function to ensure that relevant data on the existence of the equipment is resident at the LMU, and to activate (bring into service) the new equipment.

#### 7.1.2.1 Definition of the Procedures

All the procedures covered in the present document are based on formatted O&M messages. Most formatted O&M messages initiated by the SMLC (or by an LMU) will receive a response or acknowledgement at Layer 3. A pair of such messages, or single message if a response is not required, is referred to as an elementary procedure. All messages shall be sent using LLP messages.

For all elementary procedures described in Subclauses 7.1.2.2 through 7.1.2.8, the protocol scenarios are illustrated with no further explicit reference made from their corresponding subclauses because of their self-explanatory nature.

Descriptions of the messages and the direction of transmission are given in the following subclauses.

No elementary procedure shall be initiated to a managed entity instance which has not yet replied to a previously initiated elementary procedure with a response (a defined response, an ACK or a NACK) within a Layer 3 time-out. The Layer 3 timeout for ACK, NACK and responses shall have a default value of 10 seconds.

An ACK message is returned to inform the application which initialised the message that the command is performed or will be performed.

The whole message must be rejected if there is something not understood/supported in the original message.

A NACK may not be relevant for some elementary procedures.

The most relevant NACK causes, not covered by the general causes (which are used for understanding of header fields), are given for each elementary procedure with reference to the coding of the NACK causes in Subclause A.1.2.4.25.

The general NACK causes are relevant for any NACK message and are also found in A.1.2.4.25.

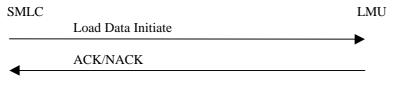
#### 7.1.2.2 SW Download Management Procedures

(See M.3400 [10] Configuration Management/Installation/Loading SW into NEs).

Software Download Management Procedures facilitate file transfer for downloading software to the LMU. The procedures for software download in the SMLC are as follows. In order to reduce the burden of the management functions on the SMLC, it is recommended that the software storage and the initiation and management control of the procedures reside in the OSS. However, this does not preclude an SMLC from performing these functions.

#### 7.1.2.2.1 Load Data Initiate

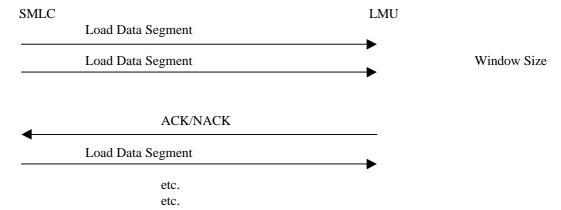
This message shall be sent from the SMLC to the LMU to initiate the loading of a file. It indicates the number of segments for which a Layer 3 acknowledgement is required (window size). When receiving data the LMU shall send an ACK after this number of segments, except for the last batch.



Meaning of ACK message: Ready to receive the specified file. Message specific NACK causes (see Subclause A.1.2.4.24): 35, 36, 43.

#### 7.1.2.2.2 Load Data Segment

These multi-segment messages shall be used to carry the files for the transfer initiated by the Load Data Initiate message. No other file transfer shall be allowed until the current transfer is finished.



An ACK shall be sent from the LMU to the SMLC every time when *Window Size* number of segments specified in the Load Data Initiate message are downloaded. Each segment will be numbered sequentially. A reception of an ACK must not reset the value of the *sequence number* of the subsequent message segments. When all the expected blocks have been received, an ACK must be sent regardless of the window size. If the timer for a time-out for the Layer 3 acknowledgement expires, the SMLC shall send a Load Data Abort message and the file transfer shall be aborted.

A NACK provides the capability for resending messages that are in error since the last ACK. All messages from the previous ACK shall be resent. Without the NACK, transmission would be delayed while waiting for ACK timeout, or the entire file would need to be resent after receiving a NACK to *Load Data End*.

Meaning of ACK message: A window of *Load Data Segment* messages or a complete file has been received successfully. Message specific NACK causes (see Subclause A.1.2.4.24): 42, 44, 45.

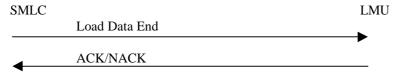
#### 7.1.2.2.3 Load Data Abort

This message shall be used by either end if the file transfer can no longer be supported. This message shall also be used by the LMU if the received amount of data exceeds the expected amount.



#### 7.1.2.2.4 Load Data End

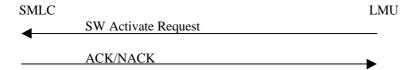
This message shall be sent by the SMLC to the LMU. The LMU sends an ACK when the file has been received in the LMU.



Meaning of ACK message: File download is successfully terminated. Message specific NACK causes (see Subclause A.1.2.4.24): 37.

#### 7.1.2.2.5 SW Activate Request

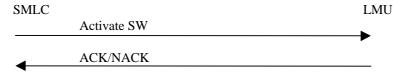
This message shall be sent by the LMU when the resource represented by the managed entity instance has started up. The initialisation of mentioned managed entity instance shall be started with software activation, which may include software download continuing with attribute setting.



Meaning of ACK message: The request is granted and software activation will be commenced. Message specific NACK causes (see Subclause A.1.2.4.24): 40.

#### 7.1.2.2.6 Activate SW

This message from the SMLC to the LMU shall be used to activate or re-initialise the loaded software, indicating which file (or files) is to be activated. The acknowledgement of the *Activate SW* indicates that the software can be activated. If the software cannot be activated, a NACK must be sent. The activation may include LMU internal software distribution.



Meaning of ACK message: File will be activated.

Message specific NACK causes (see Subclause A.1.2.4.24): 35, 38, 39.

#### 7.1.2.2.7 SW Activated Report

This message from the LMU to the SMLC shall be sent from the addressed managed entity on the LMU at a successful completion of the software distribution to and activation on all indicated destinations in the LMU.



#### 7.1.2.3 Diagnostic Test Procedures

Diagnostic Test Procedures allow the OSS to run tests that are available in the LMU. These are not intended to provide an exhaustive in-field test capability.

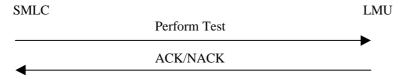
#### 7.1.2.3.1 Perform Test

(See M.3400 [10] Fault Management/Fault Localisation/Running of Diagnostic and Configuration Management/Provisioning/NE Configuration/Start Transmission Test).

This message shall be used to tell the LMU to perform a test, if necessary to set a physical configuration for the SMLC to carry out a test on the LMU, or to perform a test using a particular configuration. Any measurements may be performed as specific tests. Duration for the test can be given, after which the test report may be autonomously sent if so requested. It is not assumed that the LMU will provide scheduling for recurring tests. Therefore, the recurring mechanism must be built into the test definition.

One test is defined:

A functional managed entity self-test shall be used to activate an internal self test procedure of a functional managed entity on the LMU made to test equipment that provides the services of the functional managed entity. By its nature this test and its results are proprietary.



Meaning of ACK message: Test configuration has been set (if necessary) and the specified test has been started.

Message specific NACK causes (see Subclause A.1.2.4.24): 28, 29, 30.

#### 7.1.2.3.2 Test Report

(See M.3400 [10] Fault Management/Fault Localisation/NE Fault Localisation/Diagnostic Report).

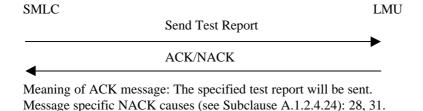
This message shall be sent by the LMU giving the result of a test ordered by the SMLC and is sent autonomously as soon as the result is available. A *Test Report* shall also be sent after a specific request from the SMLC by a *Send Test Report* message. The *Test Report* indicates what was tested, the test type, and the result. No ACK or NACK is returned to the LMU by the SMLC.



#### 7.1.2.3.3 Send Test Report

(See M.3400 [10] Fault Management/Fault Localisation/NE Fault Localisation/Request Diagnostic Data).

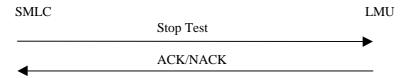
This message shall be sent from the SMLC to ask for the result/report of a test which was not to be sent autonomously, but is now to start being reported. If the test result was already made to be autonomously reported, this message can also be used to have the present result of the test be reported immediately. The message must include identification of the test.



#### 7.1.2.3.4 Stop Test

(See M.3400 [10] Fault Management/Fault Localisation/NE Fault Localisation/Stop Diagnosis in Progress).

This message shall be used by the SMLC to stop a continuously recurring test at the LMU, to reset a physical test configuration to the normal configuration, or to stop the test and to restore to the normal physical configuration. The message must include identification of the test being performed.



Meaning of ACK message: The specified test has been stopped and test configuration reset to normal (if necessary).

Message specific NACK causes (see Subclause A.1.2.4.24): 32, 33, 34.

#### 7.1.2.4 State Management Procedures

The State Management Procedures provide the capability to control state values.

#### 7.1.2.4.1 State Changed Event Report

(See M.3400 [10] Configuration Management/Status and Control/Notification of state changes by NEs).

An unsolicited report shall be sent from the LMU to the SMLC whenever a change of the operational state of a managed entity defined in the present document occurs.

A failure causing change of operational state shall generate two event reports: *State Changed Event Report* and *Failure Event Report*.

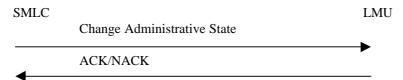
No ACK or NACK is returned to the LMU.



#### 7.1.2.4.2 Change Administrative State

(See M.3400 [10] Configuration Management/Provisioning/NE Configuration/Set Service State).

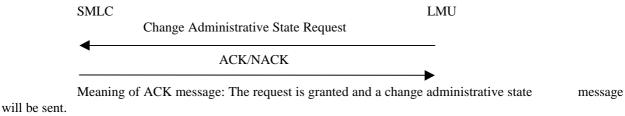
The Change Administrative State message shall be used by the SMLC to change the administrative state (as specified by specification GSM 12.20) of a managed entity.



Meaning of ACK message: The specified change of administrative state has been performed. Message specific NACK causes (see Subclause A.1.2.4.24): None.

#### 7.1.2.4.3 Change Administrative State Request

This request message shall be sent by the LMU when there is a need to change the administrative state of a managed entity at the LMU site. This message can only be initiated as a result of a local MMI command. This message is needed since there may be a need to change the administrative state from the LMU, yet only the agent can change the administrative state. To resolve this, the LMU requests the agent to change the administrative state.



Message specific NACK causes (see Subclause A.1.2.4.24): 40, 41.

#### 7.1.2.5 Event Report Procedures

Event Report Procedures provide event reporting and control.

#### 7.1.2.5.1 Failure Event Report

(See M.3400 [10] Fault Management/Alarm Surveillance/Alarm Reporting/Report Alarm, Fault Management/Alarm Surveillance/Failure Event Detection and Reporting, Configuration Management/Status and Control/NE Status and Control/Control Event Report, and Fault Management/Fault Correction/NE Fault Correction/Automatic Restoration Report).

An unsolicited report shall be sent from the LMU to the SMLC whenever failure events occur in the LMU.

Such failure events are:

• fault in a resource resulting from passing a threshold but not constituting a failure;

• failure of a resource.

Pertaining to a failure, there shall be a report for its start and another for its end.

A failure causing change of operational state shall generate two event reports: *State Changed Event Report* and *Failure Event Report*.

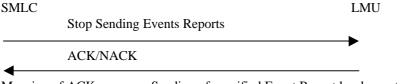
No ACK or NACK is returned to the LMU.



#### 7.1.2.5.2 Stop Sending Event Reports

(See M.3400 [10] Fault Management/Alarm Surveillance/Alarm Reporting/Inhibit Alarm Reporting).

This inhibition of sending of event reports shall be used by the SMLC to prevent a flood of event reports which are of no benefit to the SMLC. One example of this occurs at an LMU restart following a power failure. The operational capability of the LMU hardware is unlikely to be different from what it was before the failure, and a flood of reports, each stating that a piece of hardware is operating, will delay the software download. Another example concerns the case of a frequently occurring transient fault.

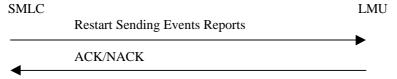


Meaning of ACK message: Sending of specified Event Report has been stopped. Message specific NACK causes (see Subclause A.1.2.4.24): None.

#### 7.1.2.5.3 Restart Sending Event Reports

(See M.3400 [10] Fault Management/Alarm Surveillance/Alarm Reporting/Allow Alarm Reporting).

When the LMU is back in normal operation or if it is of interest to check whether the LMU still generates a flood of Event Reports, a Restart Sending Event Reports shall be sent.



Meaning of ACK message: Sending of specified Event Report has been restarted. Message specific NACK causes (see Subclause A.1.2.4.24): None.

#### 7.1.2.5.4 Report Outstanding Alarms

(See M.3400 [10] Fault Management/Alarm Surveillance/Alarm Reporting/Request Alarm History).

This message shall be used by the SMLC to ask the LMU to report all outstanding alarms related to the managed entity instance indicated in the message. The LMU shall report alarms by sending a series of *Failure Event Report* messages for all outstanding alarms. Only those alarms previously reported and still outstanding shall be re-reported through this procedure. Any new alarms not yet reported but about to be reported shall be excluded and they shall be reported through a separate *Failure Event Report* procedure spontaneously initiated by the LMU itself. If there is no outstanding alarm, the LMU shall reply with a NACK with that cause indicated.



Meaning of ACK message: Sending of *Failure Event Report* will start. Message specific NACK causes (see Subclause A.1.2.4.24): 40, 41, 42.

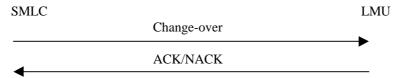
### 7.1.2.6 Equipment Management Procedures

Equipment Management Procedures provide the capability to control equipment during maintenance.

#### 7.1.2.6.1 Change-over

(See M.3400 [10] Configuration Management/Status and Control/Status and Control of NEs).

This message shall be sent to change over between active and standby units of equipment. The action may be performed on any addressable functional managed entity and manufacturer-dependent HW units. Which type of HW unit to address and how to identify certain units of this type of HW are manufacturer-dependent.

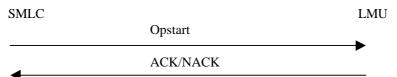


Meaning of ACK message: The specified change-over operation has been performed. Message specific NACK causes (see Subclause A.1.2.4.24): 25, 26, 30, 35.

#### 7.1.2.6.2 Opstart

(See M.3400 [10] Configuration Management/Status and Control/NE Status and Control).

This message shall be sent by the SMLC to tell the LMU to attempt to operate the identified managed entity putting it to an initial normal operational state (i.e., *enabled*, see Subclause 7.1.1.3.2). This message does not affect the managed entity's administrative state if there exists a value explicitly assigned by the SMLC. If there is yet no administrative state value explicitly set by the SMLC (e.g., at an initialisation time), the managed entity shall be presumed to be administratively locked by default. No LMU function is required to be responsible for testing the operability of the identified resource as a consequence of this message. Prior to this message being issued, all necessary physical and logical preparations (such as repair of equipment, software downloading, parameter setting, etc., as needed) are expected to have been completed. If the managed entity is in fact not ready to be in an enabled state, the managed entity will be in a fault condition as a consequence of this message, and the condition shall be handled by the managed entity's normal fault handling function as the condition is detected.



Meaning of ACK message: The LMU has reset the operational state of the specified managed entity to "enabled" state.

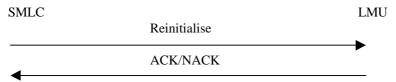
Message specific NACK causes (see Subclause A.1.2.4.24): 25, 26, 35.

NOTE: The manager cannot change the operational state, so this procedure allows a change of the operational state.

#### 7.1.2.6.3 Reinitialise

(See M.3400 [10] Configuration Management/Provisioning/NE Configuration/Restart Request and Report).

This message shall be sent by the SMLC to tell the LMU to have specified hardware resource of the indicated managed entity start a re-initialisation procedure as sketched in Figure 3. The specifics of a re-initialisation procedure, which typically takes place at the time of a cold start of the resource, is manufacturer-dependent. For a software reinitialisation, *Activate SW* message shall be used.



Meaning of ACK message: The LMU has received the message and is about to start a reinitialisation of the specified resource.

Message specific NACK causes (see Subclause A.1.2.4.24): 25, 26, 35.

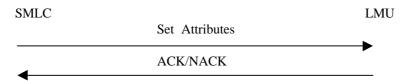
### 7.1.2.7 General Management Procedures

General Management Procedures are protocol-unique procedures that provide the capability to control attribute values.

#### 7.1.2.7.1 Set Attributes

(See M.3400 [10] Configuration Management/Provisioning/NE Configuration/Set Service State and Set Parameters).

This message shall be sent to provide a managed entity instance in LMU with all the necessary attributes relating to that LMU managed entity. This message also includes common information for all logical channels of one type, e.g., CCCH parameters.

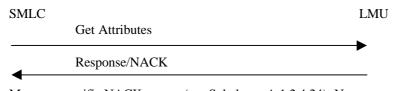


Meaning of ACK message: All specified LMU attributes have been set. Message specific NACK causes (see Subclause A.1.2.4.24): 35.

#### 7.1.2.7.2 Get Attributes

(See M.3400 [10] Configuration Management/Provisioning/NE Configuration/Request Configuration and Configuration Report, Configuration Management/Status and Control/NE Status and Control/Request Status and Status Report, and Configuration Management/Status and Control/Access to State Information in NEs).

This message shall be used by the SMLC to tell the LMU to send attributes which have previously been set by the LMU. It may be used as a check on accuracy and be incorporated into normal procedures, or may be used by the SMLC to recover information which it has lost.

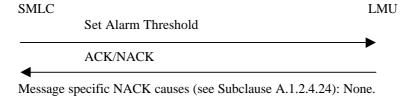


Message specific NACK causes (see Subclause A.1.2.4.24): None.

#### 7.1.2.7.3 Set Alarm Threshold

(See M.3400 [10] Configuration Management/Provisioning/NE Configuration/Set Service Thresholds).

 $This \ message \ shall \ be \ used \ by \ the \ SMLC \ to \ tell \ the \ LMU \ some \ threshold \ parameters \ related \ to \ fault \ thresholds.$ 



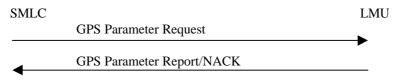
### 7.1.2.8 Report Management Procedures

(See M.3400 [10] Configuration Management/Status and Control/Status and Control of NEs).

Report Management Procedures provide access to GPS information.

#### 7.1.2.8.1 GPS Parameter Request

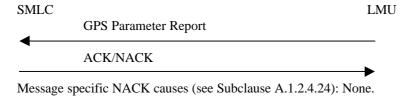
This message shall be used by the SMLC to request current GPS position from the LMU.



Message specific NACK causes (see Subclause A.1.2.4.24): None.

### 7.1.2.8.2 GPS Parameter Report

This message shall be used by the LMU as a solicited or unsolicited report to convey GPS position measurements which may be corrected by the SMLC.



### 7.1.3 Message Coding

This clause defines the OMMngrReq and OMAgentReq messages specified in 04.71 [9]. These messages contain the SMLC-LMU messages defined by ASN.1 and coded by PER (X.691 [12]). In this ASN.1 module, ASN.1/94 defined in ITU-T X.680 [11] recommendations (ASN.1 1994) is used.

```
LLP-OM-Protocol
--{ LLP-OM-Protocol Object Identifier }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
   ExtensionContainer
FROM MAP-ExtensionDataTypes {
   ccitt identified-organization (4) etsi (0) mobileDomain (0)
   gsm-Network (1) modules (3) map-ExtensionDataTypes (21) version4 (4)}
-- OMMngrReq are message requests from the SMLC to the LMU
OMMngrReq ::= CHOICE
   -- SW Download Message
   loadDataInitiate
                         LoadDataInitiate,
   load Data Segment \\
                            LoadDataSegment,
                      LoadDataEnd,
   loadDataEnd
   loadDataAbort
                         LoadDataAbort,
                                            -- Does not have ACK/NACK
   activateSW
                      ActivateSW,
   -- Diagnostic Test Procedures
   performTest
                      PerformTest,
   sendTestRep
                      SendTestRep,
   stopTest
                      StopTest,
   -- State Management Procedures
   chngAdminState
                         ChngAdminState,
   -- Event Report Procedures
   stopSendingEvntRep
                            StopSendingEvntRep,
   restartSendingEvntRep
                            RestartSendingEvntRep,
   repOuststandingAlarms
                            RepOuststandingAlarms,
   -- Equipment Management Procedures
   chngOver
                   ChngOver,
   opstart
                   Opstart,
   reinitialize
                      Reinitialize,
   -- General Management Procedures
   setAttributes
                      SetAttributes,
   getAttributes
                      GetAttributes,
   setAlarmThreshold
                         SetAlarmThreshold,
   -- Report Management Procedures
   gpsParameterReq
                            GPSParameterReq,
```

-- Manufacture Dependent Procedures

```
MngrManufDepReq,
   mngrManufDepReq
}
-- OMAgntReq are message requests from the LMU to the SMLC
OMAgntReq ::= CHOICE
   -- SW Download Message
  loadDataAbort
                        LoadDataAbort,
                                           -- Does not have ACK/NACK
   swActivateReq
                        SWActivateReq,
   swActivatedRep\\
                        SWActivatedRep,
                                           -- Does not have ACK/NACK
   -- Diagnostic Test Procedures
   testRep
                     TestRep,
                                  -- Does not have ACK/NACK
   -- State Management Procedures
   stateChngEvntRep
                        StateChngEvntRep, -- Does not have ACK/NACK
   chngAdminStateReq
                        ChngAdminStateReq,
   -- Event Report Procedures
   failureEvntRep
                        FailureEvntRep,
                                            -- Does not have ACK/NACK
   -- Report Management Procedures
                            GPSParameterRep,
   gpsParameterRep
   -- Manufacture Dependent Procedures
   agntManufDepReq
                        AgntManufDepReq,
   . . .
}
-- OMMngrRsp are responses from the LMU to the SMLC message requests
OMMngrRsp ::= CHOICE
{
   activateSWRsp
                         ActivateSWRsp,
   load Data Initiate Rsp\\
                        LoadDataInitiateRsp,
   loadDataSegmentRsp
                            LoadDataSegmentRsp,
   loadDataEndRsp
                        LoadDataEndRsp,
   performTestRsp
                         PerformTestRsp,
   sendTestRepRsp\\
                        SendTestRepRsp,
                     StopTestRsp,
   stopTestRsp
   chngAdminStateRsp
                         ChngAdminStateRsp,
   stopSendingEvntRepRsp
                               StopSendingEvntRepRsp,
   restartSendingEvntRepRsp, RestartSendingEvntRepRsp,
   repOuststandingAlarmsRsp, RepOuststandingAlarmsRsp,
                     ChngOverRsp,
   chngOverRsp
                     OpstartRsp,
   opstartRsp
   reinitializeRsp
                         ReinitializeRsp,
   setAttributesRsp
                         SetAttributesRsp,
   getAttributesRsp
                        GetAttributesRsp,
                            SetAlarmThresholdRsp,
   setAlarmThresholdRsp
   mngrManufDepRsp
                        MngrManufDepRsp,
                            GPSParameterReqRsp,
   gpsParameterReqRsp
}
```

```
-- OMAgntRsp are responses from the SMLC to the LMU message requests
OMAgntRsp ::= CHOICE
  swActivateReqRsp
                       SWActivateReqRsp,
  chngAdminStateReqRsp
                             ChngAdminStateReqRsp,
  gpsParameterRepRsp
                          GPSParameterRepRsp,
  agntManufDepRsp
                       AgntManufDepRsp,
}
--OMMngrReq Messages
ActivateSW
                 ::= SEQUENCE
  header
              Header,
  swDescription
                    SWDescription OPTIONAL
}
ChngAdminState
                       ::= SEQUENCE
  header
              Header,
  administrativeState AdministrativeState
}
ChngOver
                 ::= SEQUENCE
  header
              Header,
              Source.
  source
   destination
                 Destination
GetAttributes
                 ::= SEQUENCE
  header
              Header,
  list
           SEQUENCE OF AttributeIdentifier
GPSParameterReq
                    ::= SEQUENCE
              Header
  header
LoadDataAbort
                    ::= SEQUENCE
  header
              Header
}
LoadDataEnd
                 ::= SEQUENCE
  header
              Header
LoadDataInitiate
                    ::= SEQUENCE
```

```
Header,
  header
  swDescription
                    SWDescription,
  windowSize
                 WindowSize,
  numberOfSegments NumberOfSegments
}
LoadDataSegment
                    ::= SEQUENCE
  header
              Header,
  sequenceNumber INTEGER (0..255),
  fileData
                 FileData
}
MngrManufDepReq
                    ::= SEQUENCE
  header
              Header,
}
                 ::= SEQUENCE
Opstart
  header
              Header
PerformTest
                 ::= SEQUENCE
  header
              Header,
  testNumber
                 TestNumber,
  autonomouslyRep, AutonomouslyRep,
                 TestDuration
                                OPTIONAL,
  testDuration
  physicalConfiguration PhysicalConfiguration OPTIONAL
}
                 ::= SEQUENCE
Reinitialize
  header
              Header,
                    SEQUENCE OF HWDescription
                                                     OPTIONAL
  hwDescription
RepOuststandingAlarms
                       ::= SEQUENCE
  header
              Header
RestartSendingEvntRep ::= SEQUENCE
              Header,
  header
  eventType \\
              EventType,
  perceivedSeverity PerceivedSeverity
                                      OPTIONAL,
  probableCause
                    ProbableCause
                                      OPTIONAL,
                                      OPTIONAL
  specificProblems
                    SpecificProblems
SendTestRep
                 ::= SEQUENCE
  header
              Header,
  testNumber
                 TestNumber
```

```
SetAlarmThreshold
                     ::= SEQUENCE
  header
               Header,
  probableCause
                     ProbableCause,
   manufacturer Dependent Thresholds\\
                                        ManufacturerDependentThresholds OPTIONAL
}
SetAttributes
                  ::= SEQUENCE
   header
               Header,
            SEQUENCE OF SEQUENCE
  list
         id AttributeIdentifier,
         value AttributeData
}
StopSendingEvntRep
                        ::= SEQUENCE
  header
               Header,
   eventType
               EventType,
   perceivedSeverity
                     PerceivedSeverity
                                        OPTIONAL,
   probableCause
                     ProbableCause
                                        OPTIONAL,
   specificProblems
                     SpecificProblems
                                        OPTIONAL
}
StopTest ::= SEQUENCE
   header
               Header,
  test Number \\
                  TestNumber
--OMAgntReq Messages
                     ::= SEQUENCE
AgntManufDepReq
               Header,
   header
ChngAdminStateReq
                        ::= SEQUENCE
   header
               Header,
   administrative State \>\>\> Administrative State
FailureEvntRep
                     ::= SEQUENCE
               Header,
   header
       eventType
                     EventType,
       perceivedSeverity PerceivedSeverity,
       probableCause
                        ProbableCause,
       eventTime
                     EventTime
                                     OPTIONAL,
                           SpecificProblems
                                              OPTIONAL,
       specificProblems
       hwDescription
                        HWDescription
                                           OPTIONAL,
       swDescription
                        SWDescription
                                           OPTIONAL,
       additionalText
                        AdditionalText
                                           OPTIONAL,
       additionalInfo
                        AdditionalInfo
                                           OPTIONAL,
```

```
outstandingAlarmSequence OutstandingAlarmSequence OPTIONAL
}
                 ::= SEQUENCE
GPSParameterRep
  header
            Header,
              PseudoRange,
  pseudoRange
  timeOfFix
            TimeOfFix,
  satelliteInfo
              SatelliteInfo
}
StateChngEvntRep
                 ::= SEQUENCE
  header
            Header,
  operationalState
                 OperationalState
                                OPTIONAL,
                 AvailabilityStatus OPTIONAL,
  availabilityStatus
  manufacturerDependentState ManufacturerDependentState OPTIONAL
}
SWActivatedRep
                 ::= SEQUENCE
  header
            Header,
            Result
  result
SWActivateReq
                 ::= SEQUENCE
  header
            Header,
  hwConfiguration
                 HWConfiguration,
                 SWConfiguration
  swConfiguration
TestRep
              ::= SEQUENCE
  header
            Header,
  testNumber
              TestNumber,
  testRepInfo
              TestRepInfo
}
-- OMMngrRsp Messages
ActivateSWRsp
                 ::= SEQUENCE
      }
ChngOverRsp
                 ::= SEQUENCE
      }
ChngAdminStateRsp
                   ::= SEQUENCE
```

```
GetAttributesRsp
             ::= SEQUENCE OF SEQUENCE
 attributeIdentifier AttributeIdentifier,
 information AttributeData
}
GPSParameterReqRsp
               ::= CHOICE
     gpsParameterRep
                  GPSParameterRep, -- or a NACK is sent
     empty
            Empty
}
LoadDataEndRsp
               ::= SEQUENCE
     extensionContainer ExtensionContainer OPTIONAL,
}
LoadDataInitiateRsp ::= SEQUENCE
     }
LoadDataSegmentRsp
               ::= SEQUENCE
     }
MngrManufDepRsp
            ::= SEQUENCE
{
     extensionContainer ExtensionContainer OPTIONAL,
}
       ::= SEQUENCE
OpstartRsp
     }
PerformTestRsp ::= SEQUENCE
     }
ReinitializeRsp
             ::= SEQUENCE
     extensionContainer ExtensionContainer OPTIONAL,
}
RepOuststandingAlarmsRsp ::= SEQUENCE
```

```
...
}
RestartSendingEvntRepRsp::=SEQUENCE
   }
         ::= SEQUENCE
SendTestRepRsp
   }
SetAlarmThresholdRsp
          ::= SEQUENCE
   }
SetAttributesRsp ::= SEQUENCE
   }
StopSendingEvntRepRsp
            ::= SEQUENCE
   }
     ::= SEQUENCE
StopTestRsp
   extensionContainer ExtensionContainer OPTIONAL,
}
-- OMAgntRsp Messages
AgntManufDepRsp
         ::= SEQUENCE
   }
ChngAdminStateReqRsp
            ::= SEQUENCE
   }
GPSParameterRepRsp
          ::= SEQUENCE
   }
```

```
SWActivateReqRsp
                       ::= SEQUENCE
        extensionContainer ExtensionContainer OPTIONAL,
}
-- Other Type Definition
                       ::= OCTET STRING (SIZE (1..244))
AdditionalInfo
AdditionalText
                       ::= OCTET STRING (SIZE (1..244))
AdministrativeState
                                 ::= INTEGER
        locked (1),
        unlocked (2),
        shuttingDown (3),
        null (64)
} (1..64)
AttributeIdentifier
                       ::= INTEGER
   administrativeState (1),
        attenuatorEnable (2),
   autonomousSwap (3),
   availabilityStatus (4),
   calibrationRequired (5),
   diversity (6),
   hwConfiguration (7),
   manufacturerID (8),
   method (9),
   lmuPosition (10),
   operationalState (11),
   selfPositionCapability (12),
   setOwnPositionUponStartup (13),
   softwareDownloadCapability (14),
   swConfiguration (15),
   time (16),
   timingType (17),
   type (18)
} (1..255)
AttributeData
                   ::= CHOICE
   administrativeState AdministrativeState,
   attenuatorEnable
                       AttenuatorEnable,
   autonomouslySwap, AutonomouslySwap,
   availabilityStatus
                       AvailabilityStatus,
   calibrationRequired CalibrationRequired,
   diversity
                   Diversity,
   hwConfiguration
                       HWConfiguration,
   lmuPosition
                   LMUPosition,
   manufacturerID
                       ManufacturerID,
   method
                   Method,
                       OperationalState,
   operationalState
   selfPositionCapabilty
                         SelfPositionCapabilty,
   setOwnPositionUponStartup
                                SetOwnPositionUponStartup,
   softwareDownloadCapability
                                SoftwareDownloadCapability,
```

```
swConfiguration
                     SWConfiguration,
   time
               Time,
   timingType
                  TimingType,
               Type,
  type
}
AttenuatorEnable
                        ::= BOOLEAN
   -- Enable (TRUE)
   -- Disable (FALSE)
AutonomouslyRep
                     ::= BOOLEAN
   -- Autonomously Report (TRUE)
  -- No Autonomous Report (FALSE)
                     ::= BOOLEAN
AutonomouslySwap
   -- Autonomous Swap (TRUE)
   -- No Autonomous Swap (FALSE)
Availability
                 ::= INTEGER
  inTest (1),
  failed (2),
   powerOff (3),
   offLine (4),
  unused (5),
   dependancy (6),
   degraded (7),
   notInstalled (8)
} (1..128)
AvailabilityStatus
                     ::= SEQUENCE SIZE (1..28) OF Availability
CalibrationRequired
                     ::= BOOLEAN
   -- Calibration Required (TRUE)
  -- Calibration Not Required (FALSE)
Destination
                  ::= OCTET STRING (SIZE (1..244))
               ::= BOOLEAN
Diversity
   -- Diversity (TRUE)
  -- No Diversity (FALSE)
Empty ::= SEQUENCE
{
       emptyField
                        NULL,
       }
EventTime
                  ::= INTEGER (0..604799)
EventType
                  ::= INTEGER
   communicationFailure (1),
  qualityOfServiceFailure (2),
   processingFailure (3),
  equipmentFailure (4),
  environmentFailure (5)
   -- reserved (6..15)
```

```
-- manufacturerDependent (16..255)
} (1..255)
FileData
                  ::= OCTET STRING (SIZE (1..244))
FileID
               ::= OCTET STRING (SIZE (1..244))
FileVersion
                  ::= OCTET STRING (SIZE (1..244))
Header
                  ::= SEQUENCE
   managedEntityType ManagedEntityType,
   managedEntityInstance ManageEntityInstance
                     ::= SEQUENCE SIZE (1..64) OF HWDescription
HWConfiguration
HWDescription
                     ::= SEQUENCE
  equipmentID
                  OCTET STRING (SIZE (1..244)),
  equipmentType
                     OCTET STRING (SIZE (1..244)),
  equipmentVersion OCTET STRING (SIZE (1..244)),
  location
                  OCTET STRING (SIZE (1..244)),
                        OCTET STRING (SIZE (1..244)),
   manufacturerInfo
}
Latitude
                               ::= SEQUENCE
   -- ddMM.mmmm
                  INTEGER (0..90),
   degrees
   minutes
                  INTEGER (0..59),
  fractional Minuntes INTEGER (0..9999),
                  BOOLEAN
   direction
      -- North (FALSE)
      -- South (TRUE)
}
LMUPosition
                  ::= SEQUENCE
   latitude
                  Latitude,
  longitude
               Longitude,
   altitude
                  INTEGER (0..16383)
}
Longitude
                               ::= SEQUENCE
   -- ddMM.mmmm
  degrees
                 INTEGER (0..180),
   minutes
                  INTEGER (0..59),
  fractional Minuntes INTEGER (0..9999),
  direction
                  BOOLEAN
      -- East (FALSE)
      -- West (TRUE)
}
ManagedEntityType
                     ::= INTEGER
  lmu (1),
```

```
uplinkTimingEstimator (2),
   downlinkTimingEstimator (3),
   networkTransceiver (4),
   null (255)
} (1..255)
                         ::= SEQUENCE
ManageEntityInstance
                   INTEGER (0..65535),
   lmuNumber
   firstTierNumber
                      INTEGER (0..255),
ManufacturerDependentState ::= INTEGER (1..64)
ManufacturerDependentThresholds ::= SEQUENCE SIZE (0..255) OF SEQUENCE
                   INTEGER (0..255),
        id
        threshold
                      Number
}
ManufacturerID
                      ::= OCTET STRING (SIZE (1..244))
Method
                   ::= BIT STRING
{
   toa (0),
   eotd (1),
   agps (2)
} (SIZE(1..32))
NACKCauses
                      ::= INTEGER
   -- General Nack
   incorrectMsgStruct (1),
   invalidMsgTypeValue (2),
   -- reserved (3-4)
   invalidManagedEntityClassValue (5),
   managedEntityClassNotSupported (6),
   lmuNumUnknown (7),
   basebandTransceiverNumUnknown (8),
   managedEntityInstanceUnknown (9),
   -- reserved (10-11)
   invalidAttributeIdentifierValue (12),
   attributeIdentifierNotSupported (13),
   parameterValueOutsidePermittedRange (14),
   inconsistencyInAttributeList (15),
   specifiedImplementationNotSupported (16),
   messageCannotBePerformed (17),
   -- reserved (18..24)
   -- Specific NACK
   resourceNotImplemented (25),
   resourceNotAvailable (26),
   frequencyNotAvailable (27),
   testNotSupported (28),
   capacityRestrictions (29),
```

```
physicalConfigurationCannotBePerformed (30),
   testNotInitiated (31),
   physicalConfigurationCannotBeRestored (32),
   noSuchTest (33),
   testCannotBeStopped (34),
   messageInconsisitentWithPhysicalConfig (35),
   unableToReceiveFile (36),
   completeFileNotReceived (37),
   fileNotAvailableAtDestination (38),
   fileCannotBeActivated (39),
   requestNotGranted (40),
   wait (41),
   notAllSegmentMessageReceivedSuccessfully (42),
   windowSizeTooLarge (43),
   duplicateSequenceNumber (44),
   missingSequenceNumber (45),
   -- reserved (46..126)
   -- manufacturerDependent (127..254)
   null (255)
} (1..255)
Number
             CHOICE
{
                   INTEGER (0..255),
        intNum
        realNum
                      REAL
}
NumberOfSegments
                      ::= INTEGER (0..65535)
OperationalState
                      ::= INTEGER
   disabled (1),
   enabled (2),
   null (255)
} (1..255)
OutstandingAlarmSequence
                           ::= INTEGER (0..255)
PerceivedSeverity
                      ::= INTEGER
   failureCeased (1),
   criticalFailure (2),
   majorFailure (3),
   minorFailure (4),
   warningLevelFailure (5),
   indeterminateFailure (6)
   -- reserved (7..63)
   -- manufacturerDependent (63..255)
} (1..255)
PhysicalConfiguration
                         ::= INTEGER (0..255)
ProbableCause
                      ::= SEQUENCE
   probableCauseType ProbableCauseType,
   probableCauseValue INTEGER (1..1024)
Probable Cause Type \\
                      ::= INTEGER
```

```
x721 (1),
   gsmSpecific (2),
   manufacturerSpecific (3)
} (1..32)
PseudoRange
                  ::= SEQUENCE
   -- KKKKK.kkkkk
   kiloMeters
                  INTEGER (0..99999),
   factionalKM
                  INTEGER (0.99999)
}
               ::= BOOLEAN
Result
   -- Success (TRUE)
   -- Failure (FLASE)
                  ::= SEQUENCE SIZE (1..16) OF SEQUENCE
SatelliteInfo
   id
            INTEGER (1..32)
}
SelfPositionCapabilty
                         ::= BOOLEAN
   -- Capable Of Self Position (TRUE)
   -- Incapable Of Self Position (FLASE)
SetOwnPositionUponStartup ::= BOOLEAN
   -- Set Own Position Upon Startup (TRUE)
   -- Do Not Set Own Position Upon Startup (FLASE)
SoftwareDownloadCapability ::= BOOLEAN
   -- Software Download Capability (TRUE)
   -- No Software Download Capability (FLASE)
Source
               ::= OCTET STRING (SIZE (1..244))
SpecificProblems
                      ::= INTEGER (0..255)
   -- reserved (0..15)
   -- manufacturerDependent (16..255)
                         ::= SEQUENCE SIZE (1..64) OF SWDescription
SWConfiguration
SWDescription
                      ::= SEQUENCE
   fileId
               FileID,
                  FileVersion
   fileVersion
TestDuration
                  ::= INTEGER (0..16777215)
TestNumber
                  ::= INTEGER
   lmuFunctionalObjectSelfTest (1),
   allTestsAssociatedWithTheObject (255)
   -- reserved (2..63)
   -- manufacturerDependent (64..254)
} (1..255)
```

```
TestRepInfo
                  ::= Number
Time
               ::= INTEGER (0..604800)
TimeOfFix
                  ::= INTEGER (0..604800)
  -- In GPS seconds
                     ::= BIT STRING
TimingSource
  gps (0),
  gsm (1),
  glonass (2),
  internalClock (3),
  network (4)
} (SIZE(0..63))
TimingType
                  ::= SEQUENCE
  timingSource
                  TimingSource,
  calibrationRequired CalibrationRequired
}
Type
               ::= INTEGER
  typeALMU (1),
  typeBLMU (2)
} (1..32)
WindowSize
                  ::= INTEGER (1..65535)
```

# 7.2 SMLC LCS O&M Messages and Procedures

For future study.

**END** 

# 7.3 GMLC LCS O&M Messages and Procedures

For future study.

# Annex A (informative): Messages and Procedures Descriptions

# A.1 LMU Messages

Messages in this section have been provided for information only. When there is a discrepancy between this section and the ASN.1 code, the ASN.1 code takes precedence.

# A.1.1 Message Details

The formats of all messages in Subclauses 7.1.2.2 through 7.1.2.8 are each described by a table format illustration. No formal text description has been provided, because of the self-explanatory nature of the illustrations. ASN.1 coding does not require bit-level definitions. The following sections show bit-level coding for informational purposes only.

# A.1.1.1 Message Categories

This subclause defines the transport format and coding of the two Network Management message categories sent over the LMU-SMLC interface. The various message categories may be sent in either direction. In each message, the message discriminator identifies the category and is transmitted first.

In the following subclauses, M and O denote whether information elements are mandatory or optional. V indicates that the length is different for each message.

#### A.1.1.1.1 Formatted O&M Messages

LCS O&M is transported using the container messages specified in 04.71 [9]. ASN.1 coding does not require bit-level definition of the DTAP messages. However, sections of 12.21 [5] have been included here to show how bit-level coding is performed on the Abis interface.

The message format and coding of these messages are as below:

| INFORMATION ELEMENT   | M/O | LENGTH | CODING     |
|-----------------------|-----|--------|------------|
|                       |     |        | 8 1        |
| Message Discriminator | М   | 1      | 1000000    |
| Placement Indicator   | М   | 1      | 1)         |
| Sequence Number       | M   | 1      | 2)         |
| Length Indicator      | M   | 1      | Binary, 3) |
| O&M Data Field        | М   | V      | 4)         |

NOTE 1: The meanings and codings of the Placement Indicator are:

Only: This message is contained within one segment  $1\ 0\ 0\ 0\ 0\ 0\ 0$  First: The first segment of a multi-segment message  $0\ 1\ 0\ 0\ 0\ 0\ 0$  Middle: A middle segment of a multi-segment message  $0\ 0\ 1\ 0\ 0\ 0\ 0$  Last: The last segment of a multi-segment message  $0\ 0\ 1\ 0\ 0\ 0\ 0$ 

NOTE 2: This is the sequence number of the segment in the message, modulo 256, starting with 00000000. Thus a single segment message is coded here as 00000000. The number can be incremented without limit by being wrapped around the modulo to transport very long multi-segment messages.

NOTE 3: The Length Indicator gives the length of the O&M data field in the message segment being transported which is less than or equal to 255 octets. This length indicator should not be confused with the actual length of the message at the logical level that may go over multiple segments. This length indicator should not be confused with attribute value length indicator described in Subclause A.1.1.1.3.

NOTE 4: Coding for O&M Data field is found in Subclause A.1.1.1.3 and the following subclauses.

#### A.1.1.1.2 Manufacturer-Defined O&M messages

LCS O&M is transported using the container messages specified in 04.71 [9]. ASN.1 coding does not require bit-level definition of the DTAP messages. However, sections of 12.21 [5] have been included here to show how bit-level coding is performed on the Abis interface.

The message format and coding of these messages is as below:

| INFORMATION ELEMENT      | M/O | LENGTH | CODING                        |
|--------------------------|-----|--------|-------------------------------|
|                          |     |        | 8 1                           |
| Message Discriminator    | М   | 1      | 00010000                      |
| Placement Indicator      | М   | 1      | Note 1 of Subclause A.1.1.1.1 |
| Sequence Number          | М   | 1      | Note 2 of Subclause A.1.1.1.1 |
| Length Indicator         | М   | 1      | Binary, 1)                    |
| Man. ID Length Indicator | М   | 1      | Binary, 2)                    |
| Man. ID                  | М   | V      | 3)                            |
| ManDef. O&M Data Field   | М   | V      | Proprietary                   |

NOTE 1: The Length Indicator gives the length of the Manufacturer-defined O&M data field in the message segment being transported which is less than or equal to 255 octets. See also Note 3 of Subclause A.1.1.1.1.

NOTE 2: The Length Indicator gives the length of the Manufacturer Identifier field which must be less than or equal to 255 octets.

NOTE 3: The Manufacturer Identifier is an octet string of maximally 255 octets. This value, to be appropriately determined by an arrangement between the operator and the manufacturer, may or may not be related to the value of the attribute *Manufacturer Id* (Attribute Id: 1E) listed in Subclause A.1.2.4.

Remarks: Since the Data Field of messages of this category is not subject to a GSM standardisation, it should be noted that a compliance to messages of this category does not guarantee an interoperability between different manufacturers.

#### A.1.1.1.3 Structure of Formatted O&M Messages

This subclause provides details of all the formatted O&M messages.

In every case when particular header octets provide no usable information at the receiver, they shall be coded all 1's.

All fields in the messages are marked with M for Mandatory or O for Optional. This indicates whether the field is mandatory or optional to be contained in a message, and **not** whether it is mandatory or optional to be used or set for every LMU. This allows changing a single attribute without having to repeat all the attributes not to be changed.

The header fields of formatted O&M messages (see below) are always mandatory. The attributes defined for a certain message supported by the LMU implementation are mandatory to be used if not stated otherwise in an explanatory note.

The first octet of the formatted O&M messages shall identify the message types. Some messages are replied by an ACK or a NACK response. The replies shall be distinguished by different codings of the message type (the first octet of formatted O&M messages). See Subclause A.1.2.1.

ACK messages shall return all the attributes in the original message. NACK messages shall add a *NACK cause* field (two octets) at the end of the message.

None of the messages concerned require all of the capacity available in a Layer 2 segment, so the NACK message will not need a second Layer 2 frame.

An ACK to a number of *Load Data Segments* shall consist of only the header with the *Load Data Segment ACK* message type.

All attributes shall overwrite those defined in an earlier message since startup or the last restart. Optional attributes provide new information if they have not been defined in an earlier message.

The message type and managed entity identification are given in the message header as is illustrated below:

| INFORMATION ELEMENT     | REFERENCE | PRESENCE | FORMAT | LENGTH |
|-------------------------|-----------|----------|--------|--------|
| Message Type            | A.1.2.1   | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2   | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3   | M        | V      | 3      |

The Managed Entity Class information element shall be filled in with the correct information in accordance with the present document.

The Managed Entity Instance information element shall contain three fields:

- 1) The LMU Number identifies one LMU in a multi cell site.
- 2) The First Tier number identifies which Uplink Timing Estimator, Downlink Timing Estimator, or Network Transceiver is concerned in the message.
- 3) The third element is provided for future use.

For further information see Subclause A.1.2.3.

The FORMAT field describes the structure of each information element using T(Tag), L(Length) and V(Value) coding. *T* is the attribute identifier. *V* is the actual information presented. *L* must be indicated if the information element is of variable length and its prediction is not possible in the context. *L* shall binary-represent in a two octet space the number of octets in the remaining part of the information element. Note that this Length code differs from the "Length Indicator" described in Subclause A.1.1.1.

# A.1.1.2 SW Download Management Messages

#### A.1.1.2.1 Load Data Initiate (No CMIP [13] equivalent)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | М        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | М        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | M        | V      | 3      |
| SW Description          | A.1.2.4.41 | M        | TV     | >=2    |
| Window Size             | A.1.2.4.49 | M        | TV     | 2      |
| Number of Segments      | A.1.2.4.26 | М        | TV     | 1      |

# A.1.1.2.2 Load Data Segment (No CMIP equivalent)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | М        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | М        | V      | 3      |
| Sequence Number         |            | M 1)     | V      | 1      |
| File Data               | A.1.2.4.13 | M 2)     | TLV    | >=2    |

NOTE 1: The Sequence Number is incremented for each data segment and rolls over from 255 to 0.

NOTE 2: File Data is individual segments of the actual file to be transferred.

### A.1.1.2.3 Load Data Abort (No CMIP equivalent)

| INFORMATION ELEMENT     | REFERENCE | PRESENCE | FORMAT | LENGTH |
|-------------------------|-----------|----------|--------|--------|
| Message Type            | A.1.2.1   | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2   | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3   | M        | V      | 3      |

NOTE: The LLP invoke ID will be used to associate segmented messages. One logical message will have one invoke ID regardless of how many segments it is spread over.

#### A.1.1.2.4 Load Data End (No CMIP equivalent)

NOTE: The LMU must maintain a counter and send an ACK when all the data has been received.

| INFORMATION ELEMENT     | REFERENCE | PRESENCE | FORMAT | LENGTH |
|-------------------------|-----------|----------|--------|--------|
| Message Type            | A.1.2.1   | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2   | М        | V      | 1      |
| Managed Entity Instance | A.1.2.3   | М        | V      | 3      |

#### A.1.1.2.5 SW Activate Request (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | М        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | M        | V      | 3      |
| HW Configuration        | A.1.2.4.16 | M        | TLV    | >=2    |
| SW Configuration        | A.1.2.4.40 | М        | TLV    | >=2    |

#### A.1.1.2.6 Activate SW (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | М        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | M        | V      | 3      |
| SW Description          | A.1.2.4.41 | O 1)     | TV     | >=2    |

NOTE 1: *SW Descriptions* may be repeated for multiple software activation. No SW Description entry implies all software for the managed entity instance.

### A.1.1.2.7 SW Activated Report (Compare M-Event-Report)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | M        | V      | 3      |
| Result                  | A.1.2.4.33 | M        | V      | 1      |

# A.1.1.3 Test Management Messages

# A.1.1.3.1 Perform Test (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | М        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | М        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | М        | V      | 3      |
| Test Number             | A.1.2.4.43 | М        | TV     | 2      |
| Autonomously Report     | A.1.2.4.6  | М        | TV     | 1      |
| Test Duration           | A.1.2.4.42 | 0        | TV     | 3      |
| Physical Configuration  | A.1.2.4.30 | O 1)     | TLV    | >=2    |

NOTE 1: Use of *Physical Configuration* depends on the need for extra information in setting up specific test configurations.

### A.1.1.3.2 Test Report (Compare M-Event-Report)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | M        | V      | 3      |
| Test Number             | A.1.2.4.43 | М        | TV     | 2      |
| Test Report Info        | A.1.2.4.44 | M 1)     | TLV    | >=2    |

NOTE 1: The test report information may give a numerical result or an indication of the range (e.g. pass/fail) into which the test report falls.

### A.1.1.3.3 Send Test Report (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | M        | V      | 3      |
| Test Number             | A.1.2.4.43 | M        | TV     | 2      |

### A.1.1.3.4 Stop Test (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | M        | V      | 3      |
| Test Number             | A.1.2.4.43 | M        | TV     | 2      |

# A.1.1.4 State Management Messages

#### A.1.1.4.1 State Changed Event Report (Compare M-Event-Report)

| INFORMATION ELEMENT          | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|------------------------------|------------|----------|--------|--------|
| Message Type                 | A.1.2.1    | М        | V      | 1      |
| Managed Entity Class         | A.1.2.2    | M        | V      | 1      |
| Managed Entity Instance      | A.1.2.3    | М        | V      | 3      |
| Operational State            | A.1.2.4.27 | 0        | TV     | 2      |
| Availability Status          | A.1.2.4.7  | 0        | TLV    | >=2    |
| Manufacturer Dependent State | A.1.2.4.21 | O 1)     | TLV    | >=3    |

NOTE 1: Use of Manufacturer Dependent State depends on the need for extra information on the state change.

#### A.1.1.4.2 Change Administrative State (Compare M-Set)

| INFORMATION ELEMENT     | REFERENCE | PRESENCE | FORMAT | LENGTH |
|-------------------------|-----------|----------|--------|--------|
| Message Type            | A.1.2.1   | М        | V      | 1      |
| Managed Entity Class    | A.1.2.2   | М        | V      | 1      |
| Managed Entity Instance | A.1.2.3   | M        | V      | 3      |
| Administrative State    | A.1.2.4.3 | M 1)     | TV     | 2      |

NOTE 1: Required new administrative state for the specified managed entity.

#### A.1.1.4.3 Change Administrative State Request (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE | PRESENCE | FORMAT | LENGTH |
|-------------------------|-----------|----------|--------|--------|
| Message Type            | A.1.2.1   | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2   | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3   | М        | V      | 3      |
| Administrative State    | A.1.2.4.3 | M 1)     | TV     | 2      |

NOTE 1: The requested administrative state for the specified managed entity.

# A.1.1.5 Event Report Messages

#### A.1.1.5.1 Failure Event Report (Compare M-Event-Report)

| INFORMATION ELEMENT        | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|----------------------------|------------|----------|--------|--------|
| Message Type               | A.1.2.1    | M        | V      | 1      |
| Managed Entity Class       | A.1.2.2    | M        | V      | 1      |
| Managed Entity Instance    | A.1.2.3    | M        | V      | 3      |
| Event Type                 | A.1.2.4.12 | M        | TV     | 2      |
| Perceived Severity         | A.1.2.4.29 | M        | TV     | 2      |
| Probable Cause             | A.1.2.4.31 | M        | TV     | 4      |
| Event Time                 | A.1.2.4.11 | 0        | TV     | 2      |
| Specific Problems          | A.1.2.4.39 | O 1)     | TV     | 2      |
| HW Description             | A.1.2.4.17 | O 1) 2)  | TV     | >=2    |
| SW Description             | A.1.2.4.41 | O 1) 2)  | TV     | >=2    |
| Additional Text            | A.1.2.4.2  | O 1)     | TLV    | >=2    |
| Additional Info            | A.1.2.4.1  | O 1)     | TLV    | >=2    |
| Outstanding Alarm Sequence | A.1.2.4.28 | O 3)     | TV     | 1      |

NOTE 1: Depending on the nature of the specific failure and the LMU implementation, only the needed and supported attributes shall be sent.

- NOTE 2: This field shall be included to identify the specific associated equipment or software in case the addressed functional managed entity alone is not sufficient to localise the failure.
- NOTE 3: This field shall be included if and only if this report is a response to a *Report Outstanding Alarms* message.

#### A.1.1.5.2 Stop Sending Event Reports (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | M        | V      | 3      |
| EventType               | A.1.2.4.12 | O 1)     | TV     | 2      |
| Perceived Severity      | A.1.2.4.29 | O 1)     | TV     | 2      |
| Probable Cause          | A.1.2.4.31 | O 1)     | TV     | 4      |
| Specific Problems       | A.1.2.4.39 | O 1)     | TV     | 2      |

NOTE 1: Stop sending event reports concerning events with any of the parameter values in this attribute list. Depending on the type of event report that shall be stopped, one or some of the attributes shall be sent. The effect of multiple optional attributes in one message is that only those events that satisfy all the attributes simultaneously shall stop. The effect of repeated uses of this message with each different optional attribute is accumulative, thus, is different from the effect of putting all the optional attributes listed together at once in one message. If there occurs any inconsistency or confusion between the conditions for stopping and starting (see Subclause A.1.1.5.3), the event shall be reported instead of being stopped.

NOTE: This message with no optional attributes means that all event reports of this event type shall be stopped from now.

#### A.1.1.5.3 Restart Sending Event Reports (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | M        | V      | 3      |
| EventType               | A.1.2.4.12 | O 1)     | TV     | 2      |
| Availability Status     | A.1.2.4.7  | O 1)     | TLV    | >=2    |
| Perceived Severity      | A.1.2.4.29 | O 1)     | TV     | 2      |
| Probable Cause          | A.1.2.4.31 | O 1)     | TV     | 4      |
| Specific Problems       | A.1.2.4.39 | O 1)     | TV     | 2      |

NOTE 1: Restart sending event reports concerning events with any of the parameter values in this attribute list. Depending on the type of event report that needs to be restarted, one or some of the attributes shall be sent. The effect of multiple optional attributes is the same as multiple messages repeated with each attribute one by one and events that satisfy any one of the attribute set shall be reported. Note the difference from the condition stated in Note 1 of Subclause A.1.1.5.2.

NOTE: This message with no optional attributes means that all event reports of this event type shall be started from now.

### A.1.1.5.4 Report Outstanding Alarms (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE | PRESENCE | FORMAT | LENGTH |
|-------------------------|-----------|----------|--------|--------|
| Message Type            | A.1.2.1   | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2   | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3   | M        | V      | 3      |

# A.1.1.6 Equipment Management Messages

### A.1.1.6.1 Change-over (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | М        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | М        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | M        | V      | 3      |
| Source                  | A.1.2.4.38 | M 1)     | TLV    | >=2    |
| Destination             | A.1.2.4.9  | M 2)     | TLV    | >=2    |

NOTE 1: *Source* is the manufacturer-dependent identity of piece of equipment that shall be taken out of active servicing (changed-over from) and replaced by the *Destination*.

NOTE 2: *Destination* is the manufacturer-dependent identity of piece of equipment that shall be put into active servicing (changed-over to) in place of the *Source*.

#### A.1.1.6.2 Opstart (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE | PRESENCE | FORMAT | LENGTH |
|-------------------------|-----------|----------|--------|--------|
| Message Type            | A.1.2.1   | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2   | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3   | М        | V      | 3      |

# A.1.1.6.3 Reinitialise (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | M        | V      | 3      |
| HW Description          | A.1.2.4.17 | O 1)     | TV     | >=2    |

NOTE 1: *HW Descriptions* may be repeated for multiple resources. If no *HW Description* is provided, all resources for the managed entity is implied. For a software reinitialisation, *Activate SW* message shall be used.

# A.1.1.7 General Management Messages

### A.1.1.7.1 Set Attributes (Compare M-Set)

| INFORMATION ELEMENT     | REFERENCE | PRESENCE | FORMAT | LENGTH |
|-------------------------|-----------|----------|--------|--------|
| Message Type            | A.1.2.1   | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2   | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3   | M        | V      | 3      |
| Length                  |           | M        | V      | 1      |
| Attributes ID           | A.1.2.4   | M        | TLV    | 1      |
| Value                   | A.1.2.4   | M        | TLV    | >=2    |
| Attributes ID           | A.1.2.4   | M        | TLV    | 1      |
| Value                   | A.1.2.4   | M        | TLV    | >=2    |
| (cont.)                 |           |          |        |        |
| (cont.)                 |           |          |        |        |

#### A.1.1.7.2 Get Attributes (Compare M-Get)

| INFORMATION ELEMENT     | REFERENCE | PRESENCE | FORMAT | LENGTH |
|-------------------------|-----------|----------|--------|--------|
| Message Type            | A.1.2.1   | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2   | M        | V      | 1      |
| Managed Entity Instance | A.1.2.3   | M        | V      | 3      |
| List Attributes         | A.1.2.4   | M        | TLV    | >=2    |

#### A.1.1.7.3 Set Alarm Threshold (No CMIP equivalent)

| INFORMATION ELEMENT               | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-----------------------------------|------------|----------|--------|--------|
| Message Type                      | A.1.2.1    | M        | V      | 1      |
| Managed Entity Class              | A.1.2.2    | М        | V      | 1      |
| Managed Entity Instance           | A.1.2.3    | М        | V      | 3      |
| Probable Cause                    | A.1.2.4.31 | М        | TV     | 4      |
| Manufacturer-Dependent Thresholds | A.1.2.4.22 | 0        | TLV    | >=2    |

# A.1.1.8 Report Messages

### A.1.1.8.1 GPS Parameter Report (Compare M-Event-Report)

| INFORMATION ELEMENT     | REFERENCE  | PRESENCE | FORMAT | LENGTH |
|-------------------------|------------|----------|--------|--------|
| Message Type            | A.1.2.1    | М        | V      | 1      |
| Managed Entity Class    | A.1.2.2    | М        | V      | 1      |
| Managed Entity Instance | A.1.2.3    | М        | V      | 3      |
| Pseudo-range            | A.1.2.4.32 | М        | TLV    | >=2    |
| Time of Fix             | A.1.2.4.46 | М        | TV     | 4      |
| Satellite Info          | A.1.2.4.34 | М        | TLV    | >=2    |

#### A.1.1.8.2 GPS Parameter Request (Compare M-Action)

| INFORMATION ELEMENT     | REFERENCE | PRESENCE | FORMAT | LENGTH |
|-------------------------|-----------|----------|--------|--------|
| Message Type            | A.1.2.1   | M        | V      | 1      |
| Managed Entity Class    | A.1.2.2   | М        | V      | 1      |
| Managed Entity Instance | A.1.2.3   | M        | V      | 3      |

# A.1.2 Coding

This clause defines the bit-level coding of each field in the messages defined in earlier clauses.

The following conventions are required:

- The least significant bit shall be transmitted first, followed by bits 2, 3, 4, etc.
- In an element where octets are identified by an octet number, Octet 1 shall be transmitted first, then octet 2, etc.
- When a field extends over more than one octet, the order of bit values shall progressively decrease as the octet number increases. The least significant bit of the field shall be represented by the lowest numbered bit of the highest numbered octet of the field.
- For unpredictable variable length elements, a length indication coding method defined in Subclause A.1.1.1.3 shall be used. The length information shall always indicate the number of element units (which is octets) following the length indicator excluding the space for the length indicator itself.
- All defined values are indicated in the present document. Other values are reserved.

# A.1.2.1 Message Type

The Message Type is coded with 1 octet as illustrated below:

Message Type

The following message types are used (all other values reserved).

NOTE: Codes do not match the ASN.1 code

Message Type hexadecimal code

**SW Download Management Messages:** 

Load Data Initiate 01

Load Data Initiate ACK 02

Load Data Initiate NACK 03

Load Data Segment 04

Load Data Segment ACK 05

Load Data Abort 06

Load Data End 07

Load Data End ACK 08

Load Data End NACK 09

SW Activate Request 0A

SW Activate Request ACK 0B

SW Activate Request NACK 0C

Activate SW 0D

Activate SW ACK 0E

Activate SW NACK 0F

SW Activated Report 10

**Test Management Messages:** 

Perform Test 51

Perform Test ACK 52

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# A.1.2.2 Managed Entity Class

An Managed Entity Class shall be coded with 1 octet. The values of the managed entity class code are as defined below:

| Managed Entity Class |
|----------------------|
|----------------------|

#### **Managed Entity Class Hexadecimal Code**

LMU 01

Uplink Timing Estimator 02

Downlink Timing Estimator 03

Network Transceiver 04

<reserved for future use> <05-FE>

NULL FF

# A.1.2.3 Managed Entity Instance

The Managed Entity Instance shall be coded with 3 octets, addressing the specific managed entity of the given managed entity class as illustrated below:

| LMU number         | 1-2 |
|--------------------|-----|
| Second Tier number | 3   |

All 3 octets are mandatory in the header of every message.

The LMU number distinguishes multiple LMUs at the same cell site.

The Second Tier number distinguishes functional managed entities at the second level under the LMU.

A Third Tier number may be used in the future to distinguish functional managed entities at the third level.

When the managed entity class is *LMU*, octets 1-2 shall be a binary presentation of the identifier of the addressed LMU. Octets 3 shall be coded NULL. If the LMU number is NULL, it shall be understood as referring to all LMUs at the site.

When the managed entity class is a function on the second tier, octet 3 shall be a binary presentation of the identifier of the addressed second-tier managed entity, and octet 1 is the identifier of the LMU above it. If the Second Tier number is NULL, it shall be understood as referring to all instances of the class under the LMU.

To avoid unnecessary complexity of LMU implementation, it shall not be allowed to assign a NULL value to any managed entity above the addressed managed entity. For example, if the addressed managed entity is Uplink Timing Estimator, it is not allowed to assign a NULL value to both LMU and Uplink Timing Estimator instances. (Without this constraint, this could be understood as referring to all the Uplink Timing Estimators of all the LMUs).

The value for NULL shall be <FF> in all the cases mentioned above in this Subclause.

# A.1.2.4 Attributes and Parameters

The Attribute Identifier is coded with 1 octet. The number of parameters within an attribute is at least one. The length of the parameters within an attribute will vary. The attributes used and the coding of their Attribute Identifier fields are listed below. The values are in hexadecimal.

| Attribute Name    | Attribute ID   |    |
|-------------------|----------------|----|
| Administrative S  | State          | 01 |
| Attenuator Enable |                | 02 |
| Autonomous Sw     | ap             | 03 |
| Availability Stat | us             | 04 |
| Calibration Requ  | iired          | 05 |
| Diversity         |                | 06 |
| HW Configuration  | on             | 07 |
| Manufacturer ID   | )              | 08 |
| Method            |                | 09 |
| LMU Position      |                | 0A |
| Operational Stat  | e              | 0B |
| Self Position Cap | pability       | 0C |
| Set Own Position  | n Upon Startup | 0D |
| Software Downle   | oad Capability | 0E |
| Software Config   | uration        | 0F |
| Time              |                | 10 |
| Timing Type       |                | 11 |
| Type              |                | 12 |

All other values are reserved for future use.

The data structures of the attributes and parameters are described in the remaining part of this subclause in tabular forms with no formal text description of the individual subclauses provided because of their self-explanatory nature.

Henceforth "Attribute Identifier" in this subclause means the identifier for an attribute or a parameter.

#### A.1.2.4.1 Additional Info

| Attribute Identifier                  | 1   |
|---------------------------------------|-----|
| Length                                | 2-3 |
| Additional Info <man.dep.></man.dep.> | 4   |
| (cont.)                               |     |
| (cont.)                               | Ν   |

Additional Info is a manufacturer-dependent field.

#### A.1.2.4.2 Additional Text

| Attribute Identifier                  | 1   |
|---------------------------------------|-----|
| Length                                | 2-3 |
| Additional Text <man.dep.></man.dep.> | 4   |
| (cont.)                               |     |
| (cont.)                               | Ν   |

Additional Text is a manufacturer-dependent field and shall be used to include fault localisation information.

#### A.1.2.4.3 Administrative State

| Attribute Identifier | 1 |
|----------------------|---|
| Administrative State | 2 |

Administrative State shall be coded as follows:

Locked 01

Unlocked 02

Shutting Down 03

NULL (Adm. state not supported) FF

### A.1.2.4.4 Attenuator Enable

| Attribute Identifier | 1 |
|----------------------|---|
| Attenuator Enable    | 2 |

The toggle switch for Attenuator Enable shall be coded as follows:

Attenuator Disable 00

Attenuator Enable 01

#### A.1.2.4.5 Autonomous Swap

| Attribute Identifier | 1 |
|----------------------|---|
| Autonomous Swap      | 2 |

The toggle switch for Autonomous Swap shall be coded as follows:

Swap on command 00

Autonomously swap 01

This attribute enables three options for download software swapping:

- Swap on command:
  - The OSS directly controls swap by disabling autonomous swap and commanding a swap.
- Autonomously swap:
  - The LMU performs download status check and then swaps when there are no measurements pending.
  - The OSS indirectly controls swap by using restart, discontinuing further measurement assignments, or continuing measurements.

### A.1.2.4.6 Autonomously Report

| Attribute Identifier | 1 |
|----------------------|---|
| Autonomously Report  | 2 |

The toggle switch for Autonomous Report shall be coded as follows:

Autonomously Report 01

Not Autonomously Report 00

NOTE: Autonomous reports may occur multiple times during the period specified by duration to support recurring tests reporting at the completion of each test cycle.

### A.1.2.4.7 Availability Status

| Attribute Identifier | 1   |
|----------------------|-----|
| Length               | 2-3 |
| Availability Status  | 4   |
| (cont.)              |     |
| (cont.)              | Ν   |

Availability Status may contain one or more octets. Each octet shall have a single status value, which shall be coded as follows:

In test 01

Failed 02

Power off 03

Off line 04

<not used> 05

Dependency 06

Degraded 07

Not installed 08

# A.1.2.4.8 Calibration Required

| Attribute Identifier | 1 |
|----------------------|---|
| Calibration Required | 2 |

Calibration Required shall be coded as follows:

Calibration not required 00

Calibration required 01

#### A.1.2.4.9 Destination

| Attribute Identifier | 1   |
|----------------------|-----|
| Length               | 2-3 |
| Destination          | 4   |
| (cont.)              |     |
| (cont.)              | Ν   |

*Destination* identifies a unit of equipment that shall be the destination to be "changed to" on a Change-over operation. How to identify a type of equipment and how to identify a specific unit of this type is manufacturer-dependent.

#### A.1.2.4.10 Diversity

| Attribute Identifier | 1 |
|----------------------|---|
| Diversity            | 2 |

Diversity shall be coded as follows:

No Diversity 00

Diversity 01

#### A.1.2.4.11 Event Time

| Attribute Identifier | 1   |
|----------------------|-----|
| Event Time           | 2-3 |

Event Time is taken from the Time attribute.

# A.1.2.4.12 Event Type

| Attribute Identifier | 1 |
|----------------------|---|
| Event Type           | 2 |

Event Type shall be coded as follows:

communication failure 01

quality of service failure 02

processing failure 03

equipment failure 04

environment failure 05

<reserved for future use> <06-0F>

<man.dep.> <10-FF>

#### A.1.2.4.13 File Data

| Attribute Identifier            | 1 |
|---------------------------------|---|
| Length                          | 2 |
| File Data <man.dep.></man.dep.> | 3 |
| (cont.)                         |   |
| (cont.)                         | N |

File Data is manufacturer-dependent, but must be consistent with the associated GSM 12.20 attribute.

#### A.1.2.4.14 File Id

| Attribute Identifier          | 1 |
|-------------------------------|---|
| Length                        | 2 |
| File Id <man.dep.></man.dep.> | 3 |
| (cont.)                       |   |
| (cont.)                       | N |

File Id is manufacturer-dependent, but must be consistent with the associated GSM 12.20 attribute.

#### A.1.2.4.15 File Version

| Attribute Identifier               | 1 |
|------------------------------------|---|
| Length                             | 2 |
| File Version <man.dep.></man.dep.> | 3 |
| (cont.)                            |   |
| (cont.)                            | Ν |

File Version is manufacturer-dependent, but must be consistent with the associated GSM 12.20 attribute.

#### A.1.2.4.16 HW Configuration

| Attribute Identifier | 1 |
|----------------------|---|
| Length               | 2 |
| HW Description 1     | 3 |
|                      | 1 |
| HW Description n     | Ν |

HW Configuration shall consist of a list of HW Descriptions related to a managed entity.

#### A.1.2.4.17 HW Description

| Attribute Identifier     | 1 |
|--------------------------|---|
| Equipment Id Length      | 2 |
| Equipment Id             |   |
| (cont.)                  |   |
| Equipment Type Length    |   |
| Equipment Type           |   |
| (cont.)                  |   |
| Equipment Version Length |   |
| Equipment Version        |   |
| (cont.)                  |   |
| Location Length          |   |
| Location                 |   |
| (cont.)                  |   |
| Man. Dep. Info Length    |   |
| Man. Dep. Info           |   |
| (cont.)                  | Ν |

All fields are manufacturer-dependent variable length character strings. They must be consistent with associated GSM 12.20 attributes.

Equipment Id distinguishes a piece of equipment from others of same type.

Equipment Type codes the type of piece of equipment (e.g., Baseband Transceiver Unit).

Equipment Version codes the version of the piece of equipment.

Location codes the place where the piece of equipment is found (e.g., row -rack - shelf - slot).

Man. Dep. Info codes additional manufacturer-dependent information.

#### A.1.2.4.18 Latitude

| Attribute Identifier | 1   |
|----------------------|-----|
| Degrees              | 2   |
| Minutes              | 3   |
| Fractional Minutes   | 4-5 |
| Direction            | 6   |

Latitude follows the format of DDMM.mmmm and use the following ranges:

Degrees 0 to 90

Minutes 0 to 59

Fractional Minutes 0 to 9999

Direction North – 00, South - 01

#### A.1.2.4.19 LMU Position

| Attribute Identifier | 1     |
|----------------------|-------|
| Latitude             | 2-7   |
| Longitude            | 8-13  |
| Altitude             | 14-15 |

Altitude shall be coded as 1.5 micro-meters from mean sea level.

### A.1.2.4.20 Longitude

| Attribute Identifier | 1  |
|----------------------|----|
| Degrees              | 2  |
| Minutes              | 3  |
| Fractional Minutes   | 4- |
| Direction            | 6  |

Latitude follows the format of DDMM.mmmm and use the following ranges:

Degrees 0 to 1800

Minutes 0 to 59

Fractional Minutes 0 to 9999

Direction East - 00, West - 01

#### A.1.2.4.21 Manufacturer-Dependent State

| Attribute Identifier         | 1 |
|------------------------------|---|
| Manufacturer-Dependent State | 2 |

The content of Manufacturer-Dependent State is manufacturer-dependent.

# A.1.2.4.22 Manufacturer-Dependent Thresholds

| Attribute Identifier              | 1 |
|-----------------------------------|---|
| Length                            | 2 |
| Manufacturer-Dependent ID         | 3 |
| Manufacturer-Dependent Thresholds | 4 |
| (cont.)                           |   |
| (cont.)                           | N |

The content of Manufacturer-Dependent Thresholds is manufacturer-dependent.

#### A.1.2.4.23 Manufacturer Id

| Attribute Identifier | 1 |
|----------------------|---|
| Length               | 2 |
| Manufacturer Id      | 3 |
| (cont.)              |   |
| (cont.)              | Ν |

The content of Manufacturer Id is manufacturer-dependent.

#### A.1.2.4.24 Method

| Attribute Identifier | 1 |
|----------------------|---|
| Method               | 2 |

*Method* is coded as a bit string with the bits indicated below:

TOA Bit 1

E-OTD Bit 2

A-GPS Bit 3

A zero indicates that the capability is not supported. A one indicates that the capability is supported.

#### A.1.2.4.25 NACK Causes

| Attribute Identifier | 1 |
|----------------------|---|
| NACK Cause           | 2 |

NACK Causes shall be coded as follows:

#### **General NACK Causes:**

| Incorrect message structure          | 01      |
|--------------------------------------|---------|
| Invalid message type value           | 02      |
| <reserved></reserved>                | <03-04> |
| Invalid managed entity class value   | 05      |
| Managed entity class not supported   | 06      |
| LMU number unknown                   | 07      |
| Baseband transceiver number, unknown | 08      |

| Managed entity instance unknown                | 09      |
|--|---------|
| <reserved></reserved>                          | <0A-0B> |
| Invalid attribute identifier value             | 0C      |
| Attribute identifier not supported             | 0D      |
| Parameter value outside permitted range        | 0E      |
| Inconsistency in attribute list                | 0F 1)   |
| Specified implementation not supported         | 10      |
| Message cannot be performed                    | 11 2)   |
| <reserved></reserved>                          | <12-18> |
|  |         |
| Specific NACK Causes:                          |         |
| Resource not implemented                       | 19      |
| Resource not available                         | 1A      |
| Frequency not available                        | 1B      |
| Test not supported                             | 1C      |
| Capacity restrictions                          | 1D      |
| Physical configuration cannot be performed     | 1E      |
| Test not initiated                             | 1F      |
| Physical configuration cannot be restored      | 20      |
| No such test                                   | 21      |
| Test cannot be stopped                         | 22      |
| Message inconsistent with physical config.     | 23 3)   |
| Unable to receive file                         | 24      |
| Complete file not received                     | 25      |
| File not available at destination              | 26      |
| File cannot be activated                       | 27      |
| Request not granted                            | 28      |
| Wait   | 29      |
| Not all segment messages received successfully | 2A      |
| Window Size Too Large                          | 2B      |
| Duplicate Sequence Number                      | 2C      |
| Missing Sequence Number                        | 2D      |
| <reserved></reserved>                          | <2E-7F> |
| <man.dep.></man.dep.>                          | <80-FE> |

NULL FF

NOTE 1: This NACK cause shall apply to conflicting or incomplete data in the attribute list which prevents the LMU from performing the message.

- NOTE 2: This NACK cause shall apply when the message is valid and is supported by the LMU, but cannot be performed correctly for reasons not covered by other general or special NACK causes.
- NOTE 3: This NACK cause shall apply to the case where the data in attribute list is valid, but is beyond the capabilities of the particular LMU implementation.

#### A.1.2.4.26 Number of Segments

| Attribute Identifier | 1   |
|----------------------|-----|
| Number of Segments   | 2-3 |

Number of Segments is the binary representation of the number of segments contained in the overall file to be sent. This parameter is necessary for determining when to send the final ACK.

#### A.1.2.4.27 Operational State

| Attribute Identifier | 1 |
|----------------------|---|
| Operational State    | 2 |

Operational States are in accordance with GSM 12.20 and shall be coded as follows:

Disabled 01

Enabled 02

<reserved for future use> <03-FE>

NULL(Operat. state not supported) FF

#### A.1.2.4.28 Outstanding Alarm Sequence

| Attribute Identifier | 1 |
|----------------------|---|
| Pending Reports      | 2 |

The integer coded *Pending Reports* field indicates the number of pending *Failure Event Report* messages to follow the current message as a response to the associated *Report Outstanding Alarms* message. The value being 0 signals that it is the last message for the outstanding alarms.

#### A.1.2.4.29 Perceived Severity

| Attribute Identifier | 1 |
|----------------------|---|
| Severity Value       | 2 |

Severity Value shall be coded as follows:

failure ceased 01

critical failure 02

major failure 03

minor failure 04

warning level failure05

indeterminate failure 06

<reserved> <07-3F>

<man, dep.> <40-FF>

#### A.1.2.4.30 Physical Configuration

| Attribute Identifier                       | 1 |
|--|---|
| Length                                     | 2 |
| Required Test Config <man.dep.></man.dep.> | 3 |
| (cont.)                                    |   |
| (cont.)                                    | N |

Required Test Config is manufacturer-dependent.

#### A.1.2.4.31 Probable Cause

| Attribute Identifier         | 1 |
|------------------------------|---|
| Probable Cause Type          | 2 |
| Probable Cause Value         | 3 |
| Probable Cause Value (cont.) | 4 |

Probable Cause Type shall be coded as follows:

ISO/CCITT values (X.721) 01

GSM specific values 02

Manufacturer specific values 03

<reserved for future use> <04-FF>

For *Probable Cause Value* coding, the last numeric value of the managed entity identifier value specified in ASN.1 syntax coding shall be used if *Probable Cause Type* is either 01 or 02. (This will be eliminated when ASN.1 coding is performed).

#### A.1.2.4.32 Pseudo-range

| Attribute Identifier  | 1   |
|-----------------------|-----|
| Kilometres            | 2-4 |
| Fractional Kilometres | 5-7 |

Pseudo-range is coded as KKKKK.kkkkk.

#### A.1.2.4.33 Result

| Attribute | dentifier | 1 |
|-----------|-----------|---|
| Result    |           | 2 |

Result is coded as follows:

Failure 0

Success 1

#### A.1.2.4.34 Satellite Info

| Attribute Identifier | 1 |
|----------------------|---|
| Length               | 2 |
| Satellite ID         | 3 |
| (cont.)              |   |
| (cont.)              | Ν |

Satellite ID is one octet.

#### A.1.2.4.35 Self-Position Capability

| Attribute Identifier     | 1 |
|--------------------------|---|
| Self-Position Capability | 2 |

Self-Position Capability shall be coded as follows:

Incapable of Self-Position 00

Capable of Self-Position 01

#### A.1.2.4.36 Set Own Position Upon Startup

| Attribute Identifier          | 1 |
|-------------------------------|---|
| Set Own Position Upon Startup | 2 |

Set Own Position Upon Startup shall be coded as follows:

Do Not Set Own Position 00

Set Own Position 01

Note:

If the LMU contains a GPS receiver, the LMU may provide its own position. GPS timing may be improved if a more accurate position is provided to the GPS receiver than that which it can derive by itself. This more accurate position can be provided by a survey or by applying differential corrections to the initial measured position.

Since GPS may be available in the LMU for deriving LMU position, there must be flexibility for determining how LMU position is set. There must be the capability to request derivation of a new LMU position and send this new LMU position to the SMLC. There must be the possibility during the LMU position derivation process to update or leave unchanged the LMU position stored in the LMU. (The reason for this is that the LMU may not be able to derive its position directly, but may require differential correction by the SMLC.) The SMLC must have the capability to directly set the LMU position stored in the LMU. The SMLC must also be able to read the current LMU position stored in the LMU.

These capabilities are supported by this attribute.

#### A.1.2.4.37 Software Download Capability

| Attribute Identifier         | 1 |
|------------------------------|---|
| Software Download Capability | 2 |

Software Download Capability shall be coded as follows:

No Software Download Capability 00

Software Download Capability 01

#### A.1.2.4.38 Source

| Attribute Identifier | 1 |
|----------------------|---|
| Length               | 2 |
| Source               | 3 |
| (cont.)              |   |
| (cont.)              | N |

*Source* identifies a unit of equipment that shall be "changed from" on a Change-over operation. How to identify a type of equipment and how to identify a specific unit of this type is manufacturer-dependent.

# A.1.2.4.39 Specific Problems

| Attribute Identifier | 1 |
|----------------------|---|
| Specific Problems    | 2 |

Specific Problems shall be coded as follows:

```
<reserved for future use> <00-0F> <man.dep.> <10-FF>
```

#### A.1.2.4.40 SW Configuration

| Attribute Identifier | 1 |
|----------------------|---|
| Length               | 2 |
| SW Description 1     |   |
|                      |   |
| SW Description n     | Ν |

SW Configuration shall contain a list of SW Descriptions related to the managed entity.

#### A.1.2.4.41 SW Description

| Attribute Identifier | 1 |
|----------------------|---|
| File Id              | 2 |
| File Version         | Ν |

#### A.1.2.4.42 Test Duration

| Attribute Identifier | 1   |
|----------------------|-----|
| Test Duration        | 2-4 |

*Test Duration* shall be a binary presentation of seconds in range <01-FFFF> indicating the time the test should last.

#### A.1.2.4.43 Test Number

| Attribute Identifier | 1 |
|----------------------|---|
| Test Number          | 2 |

Test Number shall be coded as follows:

LMU functional managed entity self test 00

<reserved> <01-3F>
<man.dep.> <40-FE>
<all tests associated with the managed entity> <FF>

### A.1.2.4.44 Test Report Info

| Attribute Identifier |   | 1   |
|----------------------|---|-----|
| Length               |   | 2-3 |
| Test Result Info     |   | 4   |
| (cont.)              |   |     |
| (cont.)              | 1 | N   |

If the test was LMU functional managed entity self test, octet 4 shall indicate pass or fail for the test of the functional managed entity on the LMU by value 1 or 0 where 0 is the code for fail.

In the defined test cases *Test Result Info* may also contain manufacturer-dependent information in subsequent octets. In other tests, *Test Result Info* is manufacturer-dependent.

#### A.1.2.4.45 Time

| Attribute Identifier | 1   |
|----------------------|-----|
| Time                 | 2-4 |

Time is elapsed time in seconds modulo 86400.

#### A.1.2.4.46 Time of Fix

| Attribute Identifier | 1   |
|----------------------|-----|
| Time of Fix          | 2-4 |

*Time of Fix* shall be coded as GPS time in seconds modulo 604800.

### A.1.2.4.47 Timing Source

| Attribute Identifier | 1 |
|----------------------|---|
| Timing Source        | 2 |

Timing Source shall be coded as:

GPS 00

**GSM** 01

GLONASS 02

Internal Clock 03

Network 04

# A.1.2.4.48 Type

| Attribute Identifier | 1 |
|----------------------|---|
| Туре                 | 2 |

*Type* shall be coded as follows:

Type A 01

Type B 02

### A.1.2.4.49 Window Size

| Attribute Identifier | 1 |
|----------------------|---|
| Window Size          |   |

*Window Size* shall be a binary presentation of the number of Layer 3 *Load Data Segment messages* to be sent before a Layer 3 acknowledgement needs to be issued. Value 0 is not used.

# A.2 SMLC Messages

For future study.

# A.3 GMLC Messages

For future study.

# Annex B (informative): Change Request History

| Document<br>History | Version | Description   |
|---------------------|---------|---|
| 22 September 1999   | 0.0.1   | Original contribution from Omnipoint Technologies     |
| 18 October 1999     | 0.0.2   | Combined Omnipoint Technologies and Siemens Draft     |
| 3 December 1999     | 0.0.3   | Comments incorporated from Boca Raton                 |
| 22 December 1999    | 0.0.4   | Comments incorporated from December 14 teleconference |
| 17 January 2000     | 0.0.5   | Comments incorporated from Savannah                   |
| 28 April 2000       | 0.0.6   | Added ASN.1 coding                                    |
| 9 May 2000          | 1.0.0   | Accepted by T1P1.3                                    |
|                     |         |   |

# History

| Document history |  |  |  |
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