**3GPP TSG-SA3 Meeting #83-LI-e-b *s3i210821r1***

**Online, , 1st Nov 2021 - 5th Nov 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **33.128** | **CR** | **0274** | **rev** | **1** | **Current version:** | **17.2.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network |  | Core Network | **X** |

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|  | | | | | | | | | | |
| ***Title:*** | Provisioning | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | SA3-LI(OTD, Ministère Economie et Finances) | | | | | | | | | |
| ***Source to TSG:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | LI17 | | | | |  | ***Date:*** | | | 2021-11-03 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | RCS services (capability discovery, standalone messaging, chat, file transfer) cannot be intercepted. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Adds Stage 3 provisioning details for RCS Services. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | LI for RCS Services would continue to be missing. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 2, 3.3, 7.X, 7.X.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | | CRs 0275 and 0276 use some of the clause structure and references from this document | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | S3i210821 | | | | | | | | |

First change

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 23.501: "System Architecture for the 5G System".

[3] 3GPP TS 33.126: "Lawful Interception Requirements".

[4] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".

[5] 3GPP TS 33.127: "Lawful Interception (LI) Architecture and Functions".

[6] ETSI TS 103 120: "Lawful Interception (LI); Interface for warrant information".

[7] ETSI TS 103 221-1: "Lawful Interception (LI); Internal Network Interfaces; Part 1: X1".

[8] ETSI TS 103 221-2: "Lawful Interception (LI); Internal Network Interfaces; Part 2: X2/X3".

[9] ETSI TS 102 232-1: "Lawful Interception (LI); Handover Interface and Service-Specific Details (SSD) for IP delivery; Part 1: Handover specification for IP delivery".

[10] ETSI TS 102 232-7: "Lawful Interception (LI); Handover Interface and Service-Specific Details (SSD) for IP delivery; Part 7: Service-specific details for Mobile Services".

[11] 3GPP TS 33.501: "Security Architecture and Procedures for the 5G System".

[12] 3GPP TS 33.108: "3G security; Handover interface for Lawful Interception (LI)".

[13] 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS)".

[14] 3GPP TS 24.007: "Mobile radio interface signalling layer 3; General Aspects".

[15] 3GPP TS 29.244: "Interface between the Control Plane and the User Plane nodes".

[16] 3GPP TS 29.502: "5G System; Session Management Services; Stage 3".

[17] 3GPP TS 29.571: "5G System; Common Data Types for Service Based Interfaces; Stage 3".

[18] 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".

[19] 3GPP TS 23.003: "Numbering, addressing and identification ".

[20] OMA-TS-MLP-V3\_5-20181211-C: "Open Mobile Alliance; Mobile Location Protocol, Candidate Version 3.5", <https://www.openmobilealliance.org/release/MLS/V1_4-20181211-C/OMA-TS-MLP-V3_5-20181211-C.pdf>.

[21] 3GPP TS 29.540: "5G System; SMS Services; Stage 3".

[22] 3GPP TS 29.518: "5G System; Access and Mobility Management Services; Stage 3".

[23] 3GPP TS 38.413: "NG Application Protocol (NGAP)".

[24] 3GPP TS 29.572: "Location Management Services; Stage 3".

[25] 3GPP TS 29.503: "5G System; Unified Data Management Services".

[26] IETF RFC 815: "IP datagram reassembly algorithms".

[27] IETF RFC 2460: "Internet Protocol, Version 6 (IPv6) Specification".

[28] IETF RFC 793: "Transmission Control Protocol".

[29] IETF RFC 768: "User Datagram Protocol".

[30] IETF RFC 4340: "Datagram Congestion Control Protocol (DCCP)".

[31] IETF RFC 4960: "Stream Control Transmission Protocol".

[32] IANA (www.iana.org): Assigned Internet Protocol Numbers, "Protocol Numbers".

[33] IETF RFC 6437: "IPv6 Flow Label Specification".

[34] IETF RFC 791: "Internet Protocol".

[35] Open Geospatial Consortium OGC 05-010: "URNs of definitions in ogc namespace".

[36] 3GPP TS 33.107: "3G security; Lawful interception architecture and functions".

[37] 3GPP TS 37.340: "Evolved Universal Radio Access (E-UTRA) and NR-Multi-connectivity; Stage 2".

[38] 3GPP TS 36.413: "S1 Application Protocol (S1AP)".

[39] OMA-TS-MMS\_ENC-V1\_3-20110913-A: "Multimedia Messaging Service Encapsulation Protocol".

[40] 3GPP TS 23.140: "Multimedia Messaging Protocol. Functional Description. Stage 2".

[41] 3GPP TS 38.415: "NG-RAN; PDU Session User Plane Protocol".

[42] 3GPP TS 23.273: "5G System (5GS) Location Services (LCS); Stage 2".

[43] IETF RFC 4566: "SDP: Session Description Protocol".

[44] 3GPP TS 24.193: "Stage 3: Access Traffic Steering, Switching and Splitting (ATSSS)".

[45] 3GPP TS 29.509: "5G System; Authentication Server Services; Stage 3".

[46] 3GPP TS 24.011: "Point-to-Point (PP) Short Message Service (SMS) support on mobile radio interface".

[47] 3GPP TS 29.002: "Mobile Application Part (MAP) specification".

[48] 3GPP TS 29.504: "5G System; Unified Data Repository Services; Stage 3".

[49] 3GPP TS 29.505: "5G System; Usage of the Unified Data Repository services for Subscription Data; Stage 3".

[50] 3GPP TS 23.401 "General Packet Radio Service (GPRS) enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) access".

[51] 3GPP TS 24.301 "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS), Stage 3".

[52] 3GPP TS 23.271 "Functional stage 2 description of Location Services (LCS)".

[53] 3GPP TS 29.172 "Evolved Packet Core (EPC) LCS Protocol (ELP) between the Gateway Mobile Location Centre (GMLC) and the Mobile Management Entity (MME); SLg interface".

[54] 3GPP TS 29.171 "LCS Application Protocol (LCS-AP) between the Mobile Management Entity (MME) and Evolved Serving Mobile Location Centre (E-SMLC); SLs interface".

[55] 3GPP TS 24.379: "Mission Critical Push to Talk (MCPTT) call control; protocol specification".

[56] OMA-TS-PoC-System\_Description-V2\_1-20110802-A: "OMA PoC System Description".

[57] 3GPP TS 29.541: "5G System; Network Exposure (NE) function services for Non-IP Data Delivery (NIDD); Stage 3".

[58] 3GPP TS 29.522: "5G System; Network Exposure Function Northbound APIs; Stage 3".

[59] 3GPP TS 29.338: "Diameter based protocols to support Short Message Service (SMS) capable Mobile Management Entities (MMEs); Stage 3".

[60] 3GPP TS 29.337: "Diameter-based T4 interface for communications with packet data networks and applications".

[61] 3GPP TS 24.250: "Protocol for Reliable Data Service; Stage 3".

[62] 3GPP TS 29.128: "Mobility Management Entity (MME) and Serving GPRS Support Node (SGSN) interfaces for interworking with packet data networks and applications".

[63] 3GPP TS 29.122: "T8 reference point for Northbound APIs".

[64] 3GPP TS 29.598: "5G System; Unstructured Data Storage Services; Stage3".

[Re1] GSMA RCC.07: "Rich Communication Suite – Advanced Communications Services and Client Specification".

Second change

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

ADMF LI Administration Function

CC Content of Communication

CPIM Common Presence and Instant Messaging

CSP Communication Service Provider

CUPS Control and User Plane Separation

ICF Identifier Caching Function

IEF Identifier Event Function

IQF Identifier Query Function

IRI Intercept Related Information

LALS Lawful Access Location Services

LEA Law Enforcement Agency

LEMF Law Enforcement Monitoring Facility

LI Lawful Interception

LICF Lawful Interception Control Function

LI\_HI1 LI\_Handover Interface 1

LI\_HI2 LI\_Handover Interface 2

LI\_HI3 LI\_Handover Interface 3

LI\_HI4 LI\_Handover Interface 4

LI\_HIQR Lawful Interception Handover Interface Query Response

LIPF Lawful Interception Provisioning Function

LIR Location Immediate Request

LI\_SI Lawful Interception System Information Interface

LISSF Lawful Interception State Storage Function

LI\_ST Lawful Interception State Transfer Interface

LI\_X1 Lawful Interception Internal Interface 1

LI\_X2 Lawful Interception Internal Interface 2

LI\_X3 Lawful Interception Internal Interface 3

LI\_XEM1 Lawful Interception Internal Interface Event Management Interface 1

LI\_XER Lawful Interception Internal Interface Event Record

LI\_XQR Lawful Interception Internal Interface Query Response

LTF Location Triggering Function

MDF Mediation and Delivery Function

MDF2 Mediation and Delivery Function 2

MDF3 Mediation and Delivery Function 3

MM Multimedia Message

MMS Multimedia Message Service

MSRP Message Session Relay Protocol

NPLI Network Provided Location Information

O&M Operations and Management

POI Point Of Interception

RCS Rich Communication Suite

SDP Session Description Protocol

SIP Session Initiation Protocol

SIRF System Information Retrieval Function

SOI Start Of Interception

TF Triggering Function

TNGF Trusted Non-3GPP Gateway Function

TWIF Trusted WLAN Interworking Function

xCC LI\_X3 Communications Content.

xIRI LI\_X2 Intercept Related Information

Third change

## 7.X RCS

### 7.X.1 Provisioning over LI\_X1

#### 7.X.1.1 General

If the warrant is for IRI only, the IRI-POI and IRI-TF in the RCS Servers and the IRI-POI in the HTTP Content Server and the S-CSCF shall be provisioned in accordance with clause 7.X.1.2.

If the warrant is for IRI and CC, then the IRI-POI, IRI-TF, CC-POI and CC-TF in the RCS Servers defined in TS 33.127 [5] clause 7.13.2.1 (see RCS definition in GSMA RCC.07 [RE1]) and the IRI-POI and the CC-POI in the HTTP Content Server and S-CSCF shall be provisioned in accordance with clause 7.X.1.2.

In both cases, the MDF2 shall be provisioned in accordance with clause 7.X.1.3, and the MDF3 shall be provisioned in accordance with clause 7.X.1.4.

The POIs in the HTTP content server may be provisioned directly by the LIPF as described in clause 7.X.1.2. or may be triggered by the TFs in the RCS Server as described in clause 7.X.2.

#### 7.X.1.2 Provisioning of the POIs and TFs in the RCS Server and the POIs in the HTTP Content Server and S-CSCF by the LIPF

The IRI-POI. CC-POI. IRI-TF and CC-TF present in the RCS Server and the IRI-POI and CC-POI in the HTTP Content Server and S-CSCF are provisioned over LI\_X1 by the LIPF using the X1 protocol as described in clause 5.2.2.

The POIs and TFs in the RCS Server and the IRI-POIs in the S-CSCF shall support the following target identifier formats in the ETSI TS 103 221-1 [7] messages (or equivalent if ETSI TS 103 221-1 [7] is not used).

- IMPU.

- IMPI.

- IMEI.

- PEIIMEI.

The POIs in the HTTP Content Server shall support the following additional target identifier formats in the ETSI TS 103 221-1 [7] messages (or equivalent if ETSI TS 103 221-1 [7] is not used).

- SIPURI.

- TELURI.

- GPSIMSISDN.

- GPSINAI.

- IMSI.

- SUPIIMSI.

- SUPINAI.

- Email Address.

Table 7.X.1-Ta1 shows the minimum details of the LI\_X1 ActivateTask message used for provisioning the IRI-POI, CC-POI, IRI-TF and CC-TF in the RCS Servers and the IRI-POI and CC-POI in the HTTP Content Server and S-CSCF.

Table 7.X.1-Ta1: ActivateTask message for the IRI-POI, CC-POI, IRI-TF and CC-TF in the RCS Servers and the IRI-POI and CC-POI in the HTTP Content Server and S-CSCF

|  |  |  |
| --- | --- | --- |
| ETSI TS 103 221-1 [7] field name | Description | M/C/O |
| XID | XID assigned by LIPF. If the CC-TF or IRI-TF is also being tasked for the same interception, the same XID shall be used. The same XID shall be used at the RCS Servers, the S-CSCF and the HTTP Content Server for the same interception. | M |
| TargetIdentifiers | One or more of the target identifiers listed in the paragraphs above. | M |
| DeliveryType | Set to “X2Only”, “X3Only” or “X2andX3” as needed to meet the requirements of the warrant. (NOTE: "X2Only" for IRI-POI, IRI-TF and "X3Only" for CC-TF and CC-POI can also be also be used). | M |
| ListOfDIDs | Delivery endpoints of LI\_X2 or LI\_X3. These delivery endpoints shall be configured using the *CreateDestination* message as described in ETSI TS 103 221-1 [7] clause 6.3.1 prior to first use. | M |

#### 7.X.1.3 Provisioning of the MDF2

The MDF2 listed as the delivery endpoint for xIRI generated by the IRI-POI in the RCS Servers, the IRI-POI in the HTTP Content Server, or the IRI-POI in the S-CSCF shall be provisioned over LI\_X1 by the LIPF using the X1 protocol as described in clause 5.2.2. Table 7.X.1-Ta2 shows the minimum details of the LI\_X1 ActivateTask message used for provisioning the MDF2.

The MDF2 shall support the following target identifier formats in the ETSI TS 103 221-1 [7] messages (or equivalent if ETSI TS 103 221-1 [7] is not used):

- IMPU.

- IMPI.

- IMEI.

- GPSIMSISDN.

- GPSINAI.

- IMSI.

- SUPIIMSI.

- SUPINAI.

- Email Address.

Table 7.X.1-Ta2: ActivateTask message for MDF2

|  |  |  |
| --- | --- | --- |
| ETSI TS 103 221-1 [7] field name | Description | M/C/O |
| XID | Same XID used by the LIPF for provisioning the LI functions of the RCS Servers, the S-CSCF and the HTTP Content Servers for this intercept. | M |
| TargetIdentifiers | One or more of the target identifiers listed in the paragraph above. | M |
| DeliveryType | Set to “X2Only”, “X3Only” or “X2andX3” as needed to meet the requirements of the warrant. (Ignored by the MDF2). | M |
| ListOfDIDs | Delivery endpoints of LI\_HI2. These delivery endpoints shall be configured using the *CreateDestination* message as described in ETSI TS 103 221-1 [7] clause 6.3.1 prior to first use. | M |
| ListOfMediationDetails | Sequence of Mediation Details, See Table 7.X.1-Ta3. | M |

Table 7.X.1-Ta3: Mediation Details for MDF2

|  |  |  |
| --- | --- | --- |
| ETSI TS 103 221-1 [7] field name | Description | M/C/O |
| LIID | Lawful Intercept ID associated with the task. | M |
| DeliveryType | Set to "HI2Only". | M |
| ListOfDIDs | Details of where to send the IRI for this LIID. Shall be included if deviation from the ListofDIDs in the ActivateTask message is necessary. If included, the ListOfDIDs in the Mediation Details shall be used instead of any delivery destinations authorised by the ListOfDIDs field in the ActivateTask Message. | C |
| ServiceScoping | Shall be included to Identify the service(s) and associated service-related delivery settings for this LIID. May include more than one instance of this parameter to allow for different combinations of subparameters associated with a single LIID. This parameter is defined in ETSI TS 103 221-1 [7], Annex C, Table C.2. | C |

#### 7.X.1.4 Provisioning of the MDF3

The MDF3 listed as the delivery endpoint for the xCC generated by the CC-POI in the RCS Servers, the CC-POI in the HTTP Content Servers and the CC-POI in the S-CSCF shall be provisioned over LI\_X1 by the LIPF using the X1 protocol as described in clause 5.2.2. Table 7.X.1-Ta4 shows the minimum details of the LI\_X1 ActivateTask message used for provisioning the MDF3.

The MDF3 shall support the following target identifier formats in the ETSI TS 103 221-1 [7] messages (or equivalent if ETSI TS 103 221-1 [7] is not used):

- IMPU.

- IMPI.

- IMEI.

- GPSIMSISDN.

- GPSINAI.

- IMSI.

- SUPIIMSI.

- SUPINAI.

- EmailAddress.

Table 7.X.1-Ta4: ActivateTask message for MDF3

|  |  |  |
| --- | --- | --- |
| ETSI TS 103 221-1 [7] field name | Description | M/C/O |
| XID | Same XID used by the LIPF for provisioning the POIs, TFs of the RCS Servers and the POIs of the HTTP Content Servers and the S-CSCF. | M |
| TargetIdentifiers | One or more of the target identifiers listed in the paragraph above. | M |
| DeliveryType | Set to “X2Only”, “X3Only” or “X2andX3” as needed to meet the requirements of the warrant.(Ignored by the MDF3). | M |
| ListOfDIDs | Delivery endpoints of LI\_HI3 or LI\_MDF. These delivery endpoints shall be configured using the *CreateDestination* message as described in ETSI TS 103 221-1 [7] clause 6.3.1 prior to first use. | M |
| ListOfMediationDetails | Sequence of Mediation Details, See Table 6.2.3-0E. | M |

Table 7.X.1-Ta5: Mediation Details for MDF3

|  |  |  |
| --- | --- | --- |
| ETSI TS 103 221-1 [7] field name | Description | M/C/O |
| LIID | Lawful Intercept ID associated with the task. | M |
| DeliveryType | Set to "HI3Only". | M |
| ListOfDIDs | Details of where to send the CC for this LIID. Shall be included if deviation from the ListofDIDs in the ActivateTask message is necessary. If included, the ListOfDIDs in the Mediation Details shall be used instead of any delivery destinations authorised by the ListOfDIDs field in the ActivateTask Message. | C |
| ServiceScoping | Shall be included to Identify the service(s) and associated service-related delivery settings for this LIID. May include more than one instance of this parameter to allow for different combinations of subparameters associated with a single LIID. This parameter is defined in ETSI TS 103 221-1 [7], Annex C, Table C.2. | C |

End of All Changes