3GPP TSG SA WG3 Security — S3#16

S3-000641

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Sophia Antipolis, France

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Bart Vinck (standing, left) receiving a big "thankyou" for all his hard work and support for SA WG3



The White House, Washington D.C.

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1 Opening of the meeting

The Chairman, Prof. Michael Walker, welcomed delegates to the 15th SA WG3 meeting, in Washington D.C., USA, hosted by Lucent, Qualcomm and TIA.

2 Meeting objectives

The Chairman outlined the objectives, the primary being to complete the R99 CRs for presentation and approval by SA#09. Secondary objective was to progress the R00 work in order to lead to early stabilisation of the R00 Security work.

3 Approval of the agenda

The draft agenda, provided in TD S3-000501 was approved without changes.

4 Registration and assignment of input documents

The available documents were allocated to their respective agenda items.

5 Approval of report from S3#14

The report was considered and modified slightly, following comments made and the updated version (version 1.0.0) was approved.

The actions from the meeting were considered:

ACTION #14/1: Chairman: Reports of SMG#31bis and SA#08 to be checked for GEA2 decisions and

clarification to SA#08 Report to be proposed if necessary.

This action was completed. A clarification was not considered necessary because the reference to SP-000322 contains the cut-off date for the introduction of GEA2 (end of 2002)

2002).

ACTION #14/2: M. Pope to check on 3GPP FTP site access restrictions and to verify what will happen to

the SMG10 FTP area when the transfer is completed. Also to check for e-mail list

subscription restriction for SA WG3 and the new LI SWG group.

This action was ongoing. It was also discussed whether the SMG10 documents should be transferred to the 3GPP site. It was decided to leave them on the ETSI restricted site in an

archive.

ACTION #14/3: C. Blanchard to check TD S3-000411 against TS 33.103.

This action was completed by the input documents to this meeting.

ACTION #14/4: P. Howard to present more information or the LS in TD S3-000437 at SA WG3

Meeting#15.

This was to be completed in this meeting. (completed)

ACTION #14/5: All delegates to consider whether the external evaluation can be dropped from the work

plan.

This was revisited under agenda item 6.4 (SAGE). (completed)

ACTION #14/6: All Operators to check whether an example produced by SAGE is acceptable to use a 64

bit RES.

This was to be considered under agenda item 6.45 (SAGE). (completed)

ACTION #14/7: All delegates to consider how to ensure that high quality pseudo-random generators are

used for generation of RAND.

This was reviewed under agenda item 6.4 (SAGE). (completed)

C. Brookson agreed to ask the GSMA whether they would contribute their document on RAND generation to SA WG3.

ACTION #15/1: C. Brookson to ask the GSMA whether they would contribute their document on RAND generation to SA WG3.

ACTION #14/8: All: To consider all the WIs marked in Italics in TD S3-000470 for providing support at SA

WG3 meeting #15.

This was considered under agenda item 8.4. (completed)

ACTION #14/9: M Pope to update the Work Plan and distribute to SA WG3 for comment.

Not completed. The work plan to be considered again for update at this meeting under

agenda item 8.4. (ongoing)

ACTION #14/10: All: The Pros and Cons of mechanisms (e.g. AKA) to use should be discussed (via e-mail)

and developed, in order to agree a solution at SA WG3 Meeting #15. V. Niemi to lead the e-mail discussion and produce a document outlining the issues and agreements reached

for Meeting #15. Completed (TD S3-000588)

ACTION #14/11: C. Blanchard to provide the definitive SIP documentation and the changes being made to

the e-mail list. Completed (TD S3-000576)

ACTION #14/12: All: The Pros and Cons of the architecture proposed in TD S3-000434 should be discussed (via e-mail) and developed, in order to agree a solution at SA WG3 Meeting #15. G Koien

to lead the e-mail discussion and produce a document outlining the issues and agreements

reached for Meeting #15.

Discussion groups were held over e-mail. (completed)

ACTION #14/13: All: The Pros and Cons of the core network security protocols proposed in TD S3-000444

should be discussed (via e-mail) and developed, in order to agree a solution at SA WG3 Meeting #15. G Koien to lead the e-mail discussion and produce a document outlining the

issues and agreements reached for Meeting #15.

Discussion groups were held over e-mail. (completed)

ACTION #14/14: France Telecom to update TD S3-000468 to clarify the mechanism for more scenarios.

TD S3-000497 created, which was superseded by TD S3-000522 which was discussed at

the meeting (completed).

ACTION #14/15: P. Howard to collect together the arguments for/against, from the 3GPP perspective, the

TR-45 Home Control features, for discussion at joint SA WG3#15/TR-45 AHAG meeting. All: Send arguments to P. Howard. This was to be discussed at the joint AHAG meeting.

(completed)

ACTION #14/16: M Marcovici to collect together the arguments for, from the 3GPP2 perspective, the TR-45

Home Control features, for discussion at joint SA WG3#15/TR-45 AHAG meeting.

This was to be discussed at the joint AHAG meeting. (completed)

ACTION #14/17: B Vinck to produce a CR to 33.103 to make it consistent with HFN values in TS 33.102, for

presentation at SA WG3 Meeting #15. Open.

ACTION #14/18: M Pope: If S3-000381 was not sent: to send modified version in TD478.

(LS in TD S3-000478 was sent). (completed)

6 Reports / Liaisons

6.1 3GPP plenary

The Chairman reported the ETSI News Release on the Confidentiality and Integrity algorithm, stating that it has now been published on the 3GPP web site. This news was welcomed by SA WG3.

6.2 3GPP WGs

TD S3-000502: Response to LS (R2-001541) on Parameters to be stored in the USIM (Original LS: T3-99304). Initial feelings were that the potential problem existed in GSM, but was not a significant problem. The document was noted, and no problems were raised and it was agreed that the old keys/parameters do not need to be stored in the USIM.

TD S3-000503: Liaison statement on the modified lengths of parameters AUTN and AUTS. This confirms that the required changes had been included in 29.002 and was noted.

TD S3-000506: Response to LS (T3-000433) on Parameters to be stored in the USIM. This was copied to SA WG3 and responds that there was no problem with the proposals. This document was noted.

TD S3-000507: LS from T3: Limitation of Lifetime of Keys CK and IK. This liaison states that a new USIM command would be needed for this and requests consideration of the implementation in the UE instead. This proposal was accepted after some consideration. A CR was already available in TD S3-000589, which was revised in TD S3-000603 (see agenda item 9.1). It was agreed to produce a reply liaison to T WG3 on this, which was provided in TD S3-000594 which was agreed.

TD \$3-000508: LS from T3: Encrypted USIM-ME interface. This was noted.

TD S3-000509: LS from T3: Support of Bookmarks / VHE User Profiles. This was noted. A liaison to inform T3 that SA WG3 will look at this in both the MExE and VHE contexts was agreed to be produced, provided in TD S3-000595, which was revised in TD S3-000628 and approved. The WI sheet was provided in TD S3-000596 and was attached to this LS - see agenda item 8.3.

TD S3-000510: LS from T3: Clarification of UMTS-AKA for GSM R'99 Mobiles. (TD S3-000584 is in agreement with this proposal, and T WG2 agree that GSM mobiles will have GSM authentication as per GSM 11.11 in TD S3-000555). It was agreed that there were no security implications on this, and that SA WG1 should respond on this. Valtteri Niemi agreed to produce a response LS to T WG3 and SA WG1 that SA WG3 would bring their work in line with the decisions of SA WG1. This was provided in TD S3-000597, which was modified slightly in TD S3-000629 which was approved. A CR to 33.102 was prepared to cover this in TD S3-000598 which was discussed. It was considered premature to agree this CR at this time, and more work is required (the CR was rejected).

TD S3-000517: Liaison statement on the introduction of GEA2 (N1-001023). The information on the work of CN WG1 was noted, in particular, that GEA2 can only be switched on if all SGSN nodes in the network are upgraded to R99.

TD S3-000518: Response to LS on Support of additional GPRS ciphering algorithms (N1-000971). The CRs related to the work reported in TD S3-000517 were reported for information in this document, which was noted.

TD S3-000519: UE-Triggered Re-Authentication (N1-001044). This acknowledges that the work in CN WG1 for UE triggered authentication will be done. This confirms that the required changes had been included in 24.008, and was noted.

TD S3-000520: LS from CN WG1 to CN WG4: Answer to the liaison statement on the modified lengths of parameters AUTN and AUTS. This was noted.

TD S3-000521: Liaison statement to S3 on evaluation of the impact on positive authentication reporting on network performance. This was superseded by TD S3-000527 which was dealt with in the joint meeting (agenda item 7).

TD S3-000523: Liaison Statement on preventing unciphered writing/overwriting of pre-configuration fields by the HPLMN. This proposes that configuration information is kept on the USIM and only accepts updates over an encrypted link to alleviate Denial of Service attacks. It was considered that this threat could also be present for GSM-GSM handover. Ciphering would not eliminate the threat, but would make the attack more difficult; there may be many other attacks which can have similar results in the GSM domain. P. Howard agreed to draft a response on this, contained in TD S3-000593 which was modified slightly in TD S3-000630 and approved.

TD S3-000526: LS from T WG2: RE: Applications on external devices. It had been decided at meeting #14 that there was likely to be security concerns, but that SA WG3 would consider the security issues once the requirements are stable in other groups. This document was then noted.

TD S3-000527: Liaison statement on Positive Authentication Reporting. It was agreed that this subject would be discussed in order to provide AHAG with the impact and acceptability of positive authentication reporting in 3GPP systems. SA WG3 understanding of the issues are:

- 1. N4 does not have a clear view on whether this functionality is required for R99 or for R00. It was confirmed that this would be a Release 2000 requirement.
- 2. What protocol would be used between 3GPP VLR/SGSNs and 3GPP2 HLRs? No protocol is currently defined, but is outside of the scope of SA WG3.
- 3. How does the VLR/SGSN know that the subscriber is a 3GPP2 subscriber? The subscriber type is not known, but this will not be a mandatory feature in 3GPP HLRs, so should not be requested by 3GPP HLRs.
- 4. How can the HLR request authentication report from the VLR/SGSN? SA WG3 would consider that

the authentication vector is the appropriate place, but the details would be elaborated later.

It was agreed to produce a response to these questions, which was provided in TD S3-000605 which was agreed.

The content of this document (TD S3-000527) was taken into account in the liaison provided in TD S3-000594 (see above)

TD S3-000555: LS from T WG2 - Re: Clarification on UMTS AKA for SIM (GSM R99 Mobiles?). It was agreed that SA WG3 would follow the SA WG1 requirements. See also the discussion on TD S3-000510.

TD S3-000583: LS from WAP/TSG-T3 WAP SAT interoperation Ad Hoc: Security model in GSM 03.48 / TS 23.048 (replacement of TD S3-000575). This document was introduced by Mr. N. Barnes, which informs that a "many-to-one" solution for secure communication to and from the SIM Application Toolkit may be required, and invite SA WG3 to consider and comment on the security implications of this with respect to GSM 03.48. The SAT WI rapporteur, P. Howard was asked to consider the impact of this proposal and identify any work needed under the SAT WI (provided in TD S3-000599 see agenda item 8.3).

TD S3-000581: LS from ITU-T on IMT2000 Security Management (= S3-000437). It was agreed that a liaison statement would be produced by a drafting group for consideration by SA WG3, provided in TD S3-000600 which was presented by C. Brookson and agreed.

TD S3-000582: LS from SA WG4 (cc SA WG3): Response LS to TSG-SA on Call Control Applications in External Devices. This liaison was considered in the same way as TD S3-000526 and noted.

TD S3-000584: Support of UMTS AKA for GSM only R99 mobiles. It was agreed that SA WG3 would follow the SA WG1 requirements. See also the discussion on TD S3-000510.

6.3 Lawful interception sub-group

TD S3-000543: 3G TS 33.106 V3.1.0 - Release 2000 draft rev. This is an initial draft for Release 2000 Lawful Interception requirements. The modifications were presented to the meeting by Mr. B McKibben, Chairman of the SA WG3 LI group, and some comments were made. The document will be further elaborated by the LI group and a Release 2000 CR presented to SA WG3 at a future meeting for approval. The document was noted.

TD S3-000542: Draft Report of the 3GPP TSG SA WG3-LI (S3-LI) meeting #4/00 on lawful interception. This was summarised by the Chairman of the LI group. A version of 33.107 (Release 2000) was expected in November and it is hoped to provide a version for approval in March 2001, although this time schedule is considered aggressive by the LI group. The future meetings of the group were provided for information: Nov 27-30 Israel; Jan 23-25 Koln, Feb 20-22, (host needed). The report was noted.

TD S3-000541: Report of the 3GPP TSG SA WG3-LI (formerly SMG10-WPD) meeting #3/00 on lawful interception. This was provided for information and was noted.

The LI group were thanked for their work and for the report to SA WG3.

6.4 SAGE

Peter Howard provided a verbal report, based upon a short e-mail report he had received from Per Christoffersson.

- An operator variant key (OP) should be individual to each card to give good protection against DPA. One way to accomplish this, which is being discussed, is to use OPc, where OPc is the encryption of the secret operator key OP under the subscriber key K. OPc is then stored rather than OP. An alternative would be to store OP and derive OPc when required, although some advantages are lost with this approach.
- The working assumption is that the kernel function will work in a counter mode so the calculations of f1-f5 can be done independently and in an arbitrary order. Does this impact USIM-ME interface?
- SAGE are assuming that the calculation of the anonymity key for re-synchronisation takes RAND as an input rather than MACS (CRs have been prepared at this meeting by Siemens).
- SAGE prefer to limit RES to 64 bits (see discussions below).
- The working agreement is that Rijndael (an AES candidate) will be used as the kernel function.

Example 64-bit RES: SAGE had asked SA WG3 to consider whether an example for the Kasumi algorithm could use 64 bit RES (See action point 14/6 from previous meeting). The use of the 64-bit RES was considered acceptable for the example.

Creation of f0 (RAND) by SAGE: It was agreed that this would not be required as the requirements for RAND are not well specified.

External evaluation of the AKA algorithm: It was agreed that the need for external evaluation would not be needed, if the algorithm was publicly available. It was agreed that the algorithm should be published as soon as possible, in order to allow for some evaluation. Volunteers would be asked to evaluate the algorithm when published. The Chairman agreed that this would be raised at TSG SA to encourage evaluation when available.

V. Niemi agreed to produce a liaison answering the questions as outlined above, provided in TD S3-000602, this was modified slightly in TD S3-000631 and agreed.

6.5 Others (ETSI MSG, GSMA, GSM2000, T1P1, TIA, TR-45, AHAG)

ETSI MSG: It was reported that Francois Coureau had been elected Chairman of MSG.

GSMA and GSM 2000: TD S3-000619: Report of GSM 2000 Security Meeting No. 9. This was presented briefly by C Brookson, and delegates are invited to read this report. The report was noted.

T1.P1: No reports were provided.

TIA: It was reported that this would be covered by TR-45 / AHAG reports.

TR-45/AHAG: TD S3-000514, TD S3-000515, and TD S3-000516 were provided for information. Delegates were invited to read these reports off line. These documents were noted.

7 Joint meeting with AHAG

The draft agenda for this session was provided in TD \$3-000558.

The results of this meeting were discussed in the SA WG3 meeting.

TD S3-000562: "Rogue MS shell" threats were considered. The document was noted.

TD S3-000566: Home control requirements were considered:

- Revocation of AVs. The service affecting revocation was already supported using IST. On non-service affecting revocation, SA WG3 agreed that this should be discussed at the next SA WG3 meeting.
- Positive authentication reporting. Although this is not a requirement for 3GPP systems, the support of positive acknowledgement for 3GPP2 systems will be considered. If some way of determining the 3GPP/3GPP2 networks cannot be found, it may be necessary to include possibility for this to be requested by 3GPP networks (although this does not mean it will be used by 3GPP operators).

A Liaison statement reporting these agreements were provided in TD S3-000604 which was modified slightly in TD S3-000633 and approved.

TD S3-000565: The joint session with AHAG/SA WG3 updated the proposed agreement for the co-operation on AKA maintenance in TD S3-000565. This was updated, incorporated with TD S3-000590 and made available in TD S3-000591 which was approved for submission to SA for information.

8 Work programme

8.1 Review status of S3 specifications/reports

From Annex C of TD S3-000501: The rapporteurs for GSM specifications were in need of updating. The specifications under SA WG3 control were considered as follows:

01.31 Tim Wright

01.33 Bernie McKibben

01.61 Michael Walker

02.09 Per Christoffersson

02.31 Tim Wright

02.32 Tim Wright

02.33 Bernie McKibben

03.20 Sebastien

03.31 Tim Wright

03.33 Bernie McKibben

10.20 Bernie McKibben.

M Pope agreed to provide these to the database manager for correction.

8.2 Review R4/R5 work programme

TD S3-000554: It was agreed that the Rapporteurs should meet to consider an update of this document and report changes back to SA WG3. This was not completed in time for the closing plenary, and it was agreed that an updated project plan would be attached to the report of the meeting.

AP 15/2: M Pope to attach updated project plan to the meeting report.

8.3 Status of security work items

TD S3-000511: Proposed update of WI Network Domain Security. This updates the time plan to allow requirements capture over A, Iu and Iur interfaces (end November 2000).

It was proposed to exclude the A interface from this work. This was agreed.

GTP has missed its timescale, as no GTP CRs had been produced at this time. It was agreed to update the time plan to reflect reality on GTP work progress.

The updated WI sheet to be provided to TSG SA for information. The CAP requirements capture was removed from this timetable due to lack of resources to complete this. TD S3-000606 was provided with the updated WI description, which was approved.

It was agreed that a liaison should be created to inform of the intended use of IPSec which was provided in TD S3-000607: LS to CN WG4: Protection of GTP Messages using IPSec. This contains a proposal for a CR to GSM 09.60 / TS 29.060. This was modified slightly in TD S3-000632 and approved.

TD S3-000512: Proposed updated WI for CN Signalling Security. This was covered in the discussion of TD S3-000511.

TD S3-000522: Rejection of non ciphered connections. This proposes a parameter to control the rejection of non-ciphered connections:

default value 0 = reject non-ciphered connections

Temporary value 1= Accept non-ciphered connections.

The operator would choose the default setting (0 or 1) for terminals.

The user could temporarily change the parameter manually when prompted by the network.

Some discussion resulted, and the parameter was considered better provided on the SIM rather than the terminal, as the operators have control over the SIM and not the terminals on their network and could set the default to reflect their home network preferences. France Telecom / Telia were asked to re-draft the proposal to take this into account.

TD S3-000579: WI description for supporting USIM toolkit security enhancements in T3. This was updated in TD S3-000599 which was agreed with supporting companies Vodafone, Motorola, BT, Orange.

TD S3-000580: WI description for P-TMSI signature stage 2 specification. This WI was a result of an action from the previous meeting, separating out the P-TMSI work. Timescales were for completion of the stage 2 specifications by meeting #16 (CRs to GSM 03.20). The changes to 33.102 mentioned was an error and it was agreed to remove this from the final version. The need for this WI was questioned. This should be investigated before completion of the work. TD S3-000608 was provided for information and was noted.

TD S3-000585: WI description for LCS security. The supporting companies should be added as for the LCS work item. With this, the updated WI, provided in TD S3-000609, was agreed.

TD S3-000596: WI description for VHE Security. This WI description sheet was produced as a result of discussions of TD S3-000509. Supporting companies (BT, Motorola, Ericsson, France Telecom, Nortel Networks) were added and the WI, updated in TD S3-000610 was approved.

TD S3-000626: Work Item Description: Access security for IP-based services. The updated WI was agreed. AT&T were added to the supporting companies list.

8.4 New security work items

TD S3-000570: WI proposal on UMTS network vulnerabilities to DoS attacks. This proposes a study phase to determine the Denial of Service attack threats from the future Internet connection to UMTS, and possible countermeasures. It was thought that the reference document should be the threats and requirements document (21.133), rather than to 33.900. The WI sheet was updated with this and supporting companies (Motorola, Lucent, BT, NTT DoCoMo) and provided in TD S3-000611 which was agreed.

9 S3 specifications/reports

9.1 3G TS 33.102 Security architecture (R99)

TD S3-000535: Proposed CR to 33.102: Clarifications on the START parameter handling. This CR was presented by Ericsson. The deletion in section 6.4.3 (last paragraph) of "by the ME" was discussed. It was finally agreed that deletion of this left the implementation open for T WG3 to specify how the START value is set. This will be clarified in TSG SA Plenary that this is an open issue. The CR was updated slightly to include some text of withdrawn CR in TD S3-000544, provided in TD S3-000615 which was agreed.

TD S3-000544: Proposed CR to 33.102: START value handling for ME. This CR was withdrawn by Qualcomm due to a need to clarify the procedures, which caused a mis-understanding when creating the CR. (one change proposed by this CR was included in TD S3-000615).

TD S3-000536: Proposed CR to 33.102: Start of ciphering. This CR was introduced by Ericsson. This was agreed (with a minor change from "DL" to "Downlink" and "UL" to "Uplink" in the version to be presented to TSG SA).

TD S3-000537: Proposed CR to 33.102: Removal of ME triggered authentication during RRC connection. This CR was introduced by Ericsson and was agreed.

TD S3-000538: Proposed CR to 33.102: New FRESH at SRNC relocation. This CR was introduced by Ericsson. A minor change was made and provided in TD S3-000616, which was agreed.

TD S3-000539: Proposed CR to 33.102: START value handling for MS with a GSM SIM inserted. This CR was introduced by Ericsson. After some discussion, it was decided that although a solution to this problem is needed, that this solution may not be ideal. The CR was rejected, and other solutions (e.g. based on the GPRS solution), should be sought.

TD S3-000540:Proposed CR to 33.102: Removal of EUIC. This editorial CR was agreed, as it removes the functionality from Release 1999 as requested by TSG SA.

TD S3-000545: Proposed CR to 33.102: Removal of duplicate text on USIM toolkit secure messaging and addition of a reference to 02.48 and 03.48 instead. This editorial CR was introduced by Vodafone and was agreed.

TD S3-000546: Proposed CR to 33.102: Addition of authentication parameter lengths. This editorial CR was introduced by Vodafone and enhances the readability of the spec by showing the lengths of all parameters. The lengths were changed to show bits instead of octets, provided in TD S3-000617 which was agreed.

TD S3-000547: Proposed CR to 33.102: Removal of secure authentication mechanism negotiation. This CR was introduced by Vodafone. It was agreed as an editorial CR (Class D).

TD S3-000548: Proposed CR to 33.102: Removal of HE control of some aspects of security configuration. This CR was introduced by Vodafone. It was agreed as an editorial CR (Class D).

TD S3-000549: Proposed CR to 33.102: Removal of MS triggered re-authentication during connections. This CR was withdrawn as it was covered by another CR.

TD S3-000550: Proposed CR to 33.102: Specification of authentication vector handling in serving network nodes. This CR was introduced by Vodafone. This CR should be provided to AHAG for information. It was agreed as an editorial CR (Class D).

AP 15/3: M Marcovici to send this to AHAG for information.

TD S3-000551: Proposed CR to 33.102: Refinement of requirements on sequence number checking on the USIM. This CR was withdrawn as it was covered by another CR.

TD S3-000552: Proposed editorial CR to 33.102: References. This CR was introduced by Siemens Atea and was agreed.

TD S3-000568: Proposed CR to 33.102: Profiles for sequence number management. This CR was based upon the principles outlines in TD S3-000618, which was the result of e-mail discussions the previous week. This document was introduced, and suggests that sequence number profiles are specified such that they can allow interoperability of a profile in different AuCs (suggested to be beneficial to both operators and vendors). The CR in TD S3-000568 was noted to be a Class C to Release 1999. It was argued that this is to an informative Annex and does not affect any implementation, but would be beneficial to be included in Release 1999 for future interoperability. Some concern was expressed that providing different mechanisms without guidance on choosing a mechanism. After much discussion it was decided to discuss between interested parties off line to attempt to make progress on the issue. This was revisited at the closing plenary and reported that this was acceptable to all parties. It was agreed that this would be presented as a Release 1999 functional modification, and it would be explained in SA Plenary that this is an informative Annex which will in any case be used in Release 1999. The CR was then agreed.

TD S3-000569: Proposed CR to 33.102: Change of parameter value x regarding the capability of the USIM to store information on past successful authentication events. This CR was agreed.

TD S3-000572: Proposed CR to 33.102: Clarifications on the COUNT parameters. This CR was introduced by Ericsson and was modified slightly in TD S3-000620 and was agreed.

TD S3-000573: Proposed CR to 33.102: Clarifications on integrity and ciphering of radio bearers. This CR was introduced by Ericsson and was agreed.

TD S3-000574: This was dealt with under agenda item 6.4 (SAGE) and agreed in TD S3-000601. It was agreed to attach this to the liaison to SAGE in TD S3-000631.

TD S3-000578: Proposed CR to 33.102: Re-transmission of authentication request using the same quintet (revision of S3-000406). This revision of CR104 was introduced by Siemens Atea. An objection concerning the need for T WG3 to modify their specifications was made. It was not certain that this would have implications, but T WG3 should be consulted. The CR was agreed, it was also agreed that this should be sent to T WG3 MCC expert (Michael Sanders) to allow the T WG3 chairman to consider the implications. A response was received from an active T WG3 member, provided in TD S3-000624 (Gemplus). This was considered and noted, but it was felt that the Chairman of T WG3 would need to be contacted before presenting the CR to TSG SA Plenary for approval in order to have confidence that it will not be objected to by T WG3.

TD S3-000603 (replacement of TD S3-000589): Proposed CR to 33.102: Clarification on condition on rejecting keys CK and IK. This CR was agreed.

TD S3-000614: Problem with no USIM-ME interface in GSM-only ME. Considering discussions previously in the meeting, this topic was considered too premature for consideration at the moment and was postponed.

TD S3-000621: Editorial CR to 33.102. This CR was agreed.

TD S3-000601: Proposed CR to 33.102: Change of input parameter for the computation of the anonymity key in the re-synchronisation procedure (Revision of TD S3-000574). This CR was agreed. (The integration guidelines document should also be checked to reflect these changes).

9.2 3G TS 33.103 Integration guidelines (R99)

TD S3-000586: Proposed CR to 33.103: Correction to BEARER definition. This CR was introduced by Nokia and was agreed as an editorial CR (Category D).

TD S3-000612: Proposed CR to 33.103: Computation of the anonymity key for re-synchronisation. This CR was agreed.

9.3 3G TS 33.105 Algorithm requirements (R99)

TD S3-000587: Proposed CR to 33.105: L2 related corrections. This CR was introduced by Nokia. It was decided that this should be sent to SAGE for comment (as part of the liaison to SAGE in TD S3-000631) before agreeing the changes in SA WG3.

TD S3-000613: Proposed CR to 33.105: Anonymity key computation during re-synchronisation. This was agreed as a classification F (Correction).

9.4 3G TR 33.900 Guide to 3G security (R99)

TD S3-000571: Proposed R00 CR to 33.900: DoS attacks to 3G networks and users. This was introduced by Motorola. No formal Change Request was needed because the document was not under Change Control. It was agreed to provide this proposal to the rapporteur for 33.900 (C. Brookson) for inclusion.

9.5 3G TR 33.909 Evaluation of confidentiality / integrity algorithm (R99)

TD S3-000567: Report on the Evaluation of 3GPP Standard Confidentiality and Integrity Algorithms. 33.909 had never been created, although it had been approved as version 3.0.0 at TSG SA#6. Due to this, it was difficult to see the changes from the original version. It was agreed that this needs re-submission as a CR to 33.909, and M. Pope agreed to create a version 3.0.0 in order to facilitate this.

TD S3-000622: Proposed CR to 33.909: Addition of information on an improved theoretical result on the resilience of the f9 function. It was decided that this CR would be postponed until the version 3.0.0 of the document is published.

9.6 3G TS 33.102 Security architecture (R4)

TD S3-000504: R00 CR to 33.102: Re-introduction of MAP application layer security. It was noted that the report on "Principles for network domain security" was the right place to insert this text. The proposed CR was therefore rejected, although the content of the CR will be used for the TR.

TD S3-000556: R00 MAP Application Layer Security. Again, as agreed for TD S3-000504, the report on "Principles for network domain security" was the right place to insert this text. The proposed CR was therefore rejected, although the content of the CR will be used for the TR.

AP 15/4: M. Pope to obtain a number for the TS "Network Domain Security" (Release

2000) and a number for the 33.8xx-series TR "Principles for Network Domain

Security".

AP 15/5: G Koien to use the content of TD S3-000504 and TD S3-000556 for the TR

"Principles for Network Domain Security".

It was requested that the use of IKE should be carefully considered before accepting it for use in 3GPP, due to some potential problems found. It was agreed that SA WG3 were not intending to specify the use of IKE without specifying exactly how to do this in a limited domain.

9.7 3G TS 33.103 Integration guidelines (R4)

TD S3-000505: R00 CR to 33.103: Re-introduction of MAP application level security. This document was superseded by discussions on MAP Security. The CR was therefore not agreed (rejected), and it was agreed that this should go into the general Network Domain Security document.

9.8 3G TR 33.8de Network domain security (R4/R5)

TD S3-000557: 3G TR 33.8de V0.0.0: Network domain security (R00). This draft was provided for information. It was agreed that a table of contents would be produced for the associated TS, created at the meeting, and circulate it to the SA WG3 group for further consideration. This TR was then noted.

TD S3-000559: Core network security protocol architecture. This contribution was based on S3-000444, taking other considerations into account. It was noted that the CAP part of this contribution was not valid, as CAP will not be considered for the Network Domain Security work. IPSec does not currently support multiple IP addresses at a host (it was reported that the IETF were working on this matter). Care needs to be taken for setting up GTP Security. After a presentation and discussion of the document, the Principles proposed were agreed, and they will be included in the Proinciples for Network Domain Security TR.

TD S3-000560: Key management for core network security. This contribution from Siemens, was based on S3-000445, taking Siemens contribution S3-000445 into account. After a presentation and discussion of the document, the Principles proposed were agreed, and they will be included in the Principles for Network Domain Security TR.

TD S3-000563: The security architecture. This contribution was introduced by Ericsson. Clarification over the use of mandatory in section 2.2 was that "no mandatory use of the mechanisms" should be specified. Some other minor clarifications and comments were made and the principles will be included in the Principles for Network Domain Security TR.

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TD S3-000564: Optional Element to Element IPSec. This was introduced by Motorola and proposes an optional NE to NE security using IPSec. This was covered by TD S3-000563 and was therefore noted.

TD S3-000623: 3G TR 33.8de: Network Domain Security TR. This contribution was provided for information, and includes text from Vodafone as a result of e-mail discussions on Key Management. The document was noted.

TD S3-000627: Update on MAPSec IKE. This contribution was introduced by Ericsson and after some discussion it was noted.

9.9 3G TR 33.xxx Access security for IP based services (R4/R5)

ΔP 15/6

M. Pope to obtain a number for the TS "Access Security for IP based services (R4/R5)" and for the TR "Principles for Access Security for IP based services (R4/R5)"

TD S3-000553: TS 33.xxx version 0.1.0: Access security for IP-based services. (replacement of TD S3-000513 version 0.0.0) This was introduced by the rapporteur for information and was noted.

TD S3-000561: Proposed changes and discussion of open issues for draft 3G TR "Access security for IP-based services". This contribution suggests some modifications to, and provides some discussion of open issues in the draft TR. The contribution was presented by Siemens and the rapporteur agreed to incorporate the proposed modifications into the draft TR. The open issues were discussed, and it was decided that these should be kept in the TR in an open issues section, and contributions were requested on them.

TD S3-000625: Protection between the UE and the serving CSCF. This was introduced by Ericsson, and proposes the use of hop-by-hop encryption for the address and routeing fields (To and Via) and end-to-end for the message body and some sensitive header data, however, the To and Via fields would need to be in clear from the end-to-end encryption point of view. This was discussed and it was agreed that this could be incorporated in the open issues section of the Network Domain Security TR.

TD S3-000576: SIP Work in Progress: Some Security Related Aspects. This presentation was presented by BT and noted.

TD S3-000577: IETF draft on distributed call state. This was provided by BT for information and was noted.

TD S3-000588: Authentication and key agreement in IM CN subsystem. This was provided by Nokia as a result of an action at the previous meeting and was based upon the discussion document TD S3-000447 from Siemens. It proposes the use of UMTS AKA also in IM CN subsystem is kept as a working assumption and further specification work is based on this assumption. It also proposes that the approaches based on use of either SSL/TLS or IPSEC/IKE are seen as fall-back solutions if it turns out that the open questions with UMTS AKA cannot be solved (although these fall-back solutions would require a substantial amount of effort in evaluation, etc.). This proposal was agreed as a working hypothosis for SA WG3 to further develop. This will be integrated into the Network Domain Security TR and contributions were requested.

10 Future meeting dates and venues

Meeting	Date	Location	Host
Ad-hoc Network Domain	8-9 November 2000	Munich	Siemens
Security and Access			
Network Security			
S3#16	28-30 November 2000	Israel	Motorola
S3#17	27 February - 1 March 2001	Sophia Antipolis, France	ETSI Secretariat
S3#18	21 or 22 – 24 May 2001	-	Host required

11 Any other business

TD S3-000524: Delayed LS to SMG2 (GERAN) - S3-000379. This was an agreed LS from the previous SA WG3 meeting, which had not been sent. After consideration, it was felt that the LS was no longer needed and it was withdrawn.

TD S3-000525: License Policy. This document was noted.

12 Close of meeting

The Chairman thanked the hosts for the meeting arrangements and the delegates for their hard work and cooperation. Bart Vinck, who had attended his last SA WG3 meeting, was thanked by everyone for his outstanding work and willingness in the meetings. All the best was wished him in his new career. The Chairman then closed the meeting.

Annex A: List of documents at the meeting

Number	Title	Source	Agenda item	Document for	Replaced by Tdoc	Comments Status
S3-000500	Draft Agenda for meeting #15	Chairman	2, 3	Approval		Approved
S3-000501	Draft Report of meeting #14 version 0.0.7	Secretary	5, 8.1	Approval		Approved (version 1.0.0 inludes comments)
S3-000502	Response to LS (R2-001541) on Parameters to be stored in the USIM (Original LS: T3-99304)	T WG3	6.2	Information		Noted
S3-000503	Liaison statement on the modified lengths of parameters AUTN and AUTS	CN WG4	6.2	Information		Noted
S3-000504	R00 CR to 33.102: Re-introduction of MAP application layer security	T-Mobil	9.6	Approval		Rejected
S3-000505	R00 CR to 33.103: Re-introduction of MAP application level security	T-Mobil	9.7	Approval		Superseded by discussions on MAP Security
S3-000506	Response to LS (T3-000433) on Parameters to be stored in the USIM	RAN WG2	6.2	Information		Noted
S3-000507	LS from T3: Limitation of Lifetime of Keys CK and IK	T WG3	6.2	Discussion		Proposal accepted. Response in TD594
S3-000508	LS from T3: Encrypted USIM-ME interface	T WG3	6.2	Information		Noted
S3-000509	LS from T3: Support of Bookmarks / VHE User Profiles	T WG3	6.2	Information		Noted. Response in TD628, WI in TD596
S3-000510	LS from T3: Clarification of UMTS-AKA for GSM R'99 Mobiles	T WG3	6.2	Discussion		S1 to decide. Response in TD629
S3-000511	Proposed update of WI Network Domain Security	Telenor	8.3	Information		agreed. Updated WI in TD606
S3-000512	Proposed updated WI for CN Signalling Security	Telenor	8.3	Approval		Covered by TD511
S3-000513	TS 33.xxx version 0.0.0: Access security for IP-based services	K Boman (Ericsson)	8,17, 9.9	Information		Noted
S3-000514	AHAG Meeting summary May 2000	AHAG	6.5	Information		Noted
S3-000515	AHAG Meeting summary June 2000	AHAG	6.5	Information		Noted
S3-000516	AHAG Meeting summary July 2000	AHAG	6.5	Information		Noted
S3-000517	Liaison statement on the introduction of GEA2 (N1-001023)	CN WG1	6.2	Information		Noted
S3-000518	Response to LS on Support of additional GPRS ciphering algorithms (N1-000971)	CN WG1	6.2	Information		Noted
S3-000519	UE-Triggered Re-Authentication (N1- 001044)	CN WG1	6.2	Discussion		Noted
S3-000520	LS from CN WG1 to CN WG4: Answer to the liaison statement on the modified lengths of parameters AUTN and AUTS	Secretary	6.2	Information		Noted
S3-000521	Liaison statement to S3 on evaluation of the impact on positive authentication reporting on network performance	CN WG1	6.2	Discussion		Superseded by TD527
S3-000522	Rejection of non ciphered connections	France Telecom, Telia	8.3	Approval		To be redrafted taking comments into account
S3-000523	Liaison Statement on preventing unciphered writing/overwritting of preconfiguration fields by the HPLMN	GERAN	6.2	Discussion		Response in TD630
S3-000524	Delayed LS to SMG2 (GERAN) - S3- 000379	Secretary	6.4	Decision		LS withdrawn
S3-000525	License Policy	Secretary (originally Mitsubishi)	11	Information		Input to make available on the ftp server. Noted
S3-000526	LS from T WG2: RE: Applications on external devices	T WG2	6.2	Information		Noted
S3-000527	Liaison statement on Positive Authentication Reporting	CN WG4	6.2	Discussion		Response in TD605
S3-000528	Withdrawn	Vodafone	9.1	Approval		Withdrawn
S3-000529	Withdrawn	Vodafone	9.1	Approval		Withdrawn

Number	Title	Source	Agenda item	Document for	Replaced by Tdoc	Comments Status
S3-000530	Withdrawn	Vodafone	9.1	Approval		Withdrawn
S3-000531	Withdrawn	Vodafone	9.1	Approval		Withdrawn
S3-000532	Withdrawn	Vodafone	9.1	Approval		Withdrawn
S3-000533	Withdrawn	Vodafone	9.1	Approval		Withdrawn
S3-000534	Withdrawn	Vodafone	9.1	Approval		Withdrawn
S3-000535	Proposed CR to 33.102: Clarifications on the START parameter handling	Ericsson	9.1	Approval	S3-000615	Updated in TD615
S3-000536	Proposed CR to 33.102: Start of ciphering	Ericsson	9.1	Approval		Approved
S3-000537	Proposed CR to 33.102: Removal of ME triggered authentication during RRC connection	Ericsson	9.1	Approval		Approved
S3-000538	Proposed CR to 33.102: New FRESH at SRNC relocation	Ericsson	9.1	Approval	S3-000616	Updated in TD616
S3-000539	Proposed CR to 33.102: START value handling for MS with a GSM SIM inserted	Ericsson	9.1	Approval		Rejected
S3-000540	Proposed CR to 33.102: Removal of EUIC	Ericsson	9.1	Approval		Approved
S3-000541	Report of the 3GPP TSG SA WG3-LI (formerly SMG10-WPD) meeting #3/00 on lawful interception	SA WG3-LI Chairman	6.3			Noted
S3-000542	DRAFT Report of the 3GPP TSG SA WG3-LI (S3-LI) meeting #4/00 on lawful interception	SA WG3-LI Chairman	6.3	Information		Noted
S3-000543	3G TS 33.106 V3.1.0 - Release2000 draft rev	SA WG3-LI Chairman	6.3, 9.5			Noted
S3-000544	Proposed CR to 33.102: START value handling for ME	Qualcomm	9.1	Approval		Withdrawn
S3-000545	Proposed CR to 33.102: Removal of duplicate text on USIM toolkit secure messaging and addition of a reference to 02.48 and 03.48 instead	Vodafone	9.1	Approval		Approved
S3-000546	Proposed CR to 33.102: Addition of authentication parameter lengths	Vodafone	9.1	Approval		Approved
S3-000547	Proposed CR to 33.102: Removal of secure authentication mechanism negotiation	Vodafone	9.1	Approval		Approved as Cat D
S3-000548	Proposed CR to 33.102: Removal of HE control of some aspects of security configuration	Vodafone	9.1	Approval		Approved as Cat D
S3-000549	Proposed CR to 33.102: Removal of MS triggered re-authentication during connections	Vodafone	9.1	Approval		Withdrawn
S3-000550	Proposed CR to 33.102: Specification of authentication vector handling in serving network nodes	Vodafone	9.1	Approval		Approved as Cat D
S3-000551	Proposed CR to 33.102: Refinement of requirements on sequence number checking on the USIM	Vodafone	9.1	Approval		Withdrawn
S3-000552	Proposed editorial CR to 33.102: References	Siemens Atea	9.1	Approval		Approved
S3-000553	Draft 33.xxx: Access security for IP- based services version 0.1.0	Ericsson	9.9	Information		Noted
S3-000554	3GPP Project plan for Wis with SA WG3 impact	Secretary	8.2	Update		Update to be finalised and attached to meeting report
S3-000555	LS from T WG2 - Re: Clarification on UMTS AKA for SIM (GSM R99 Mobiles?)	T WG2	6.2	Discussion		(T2 to ask SA to confim assumptions) SA WG3 to follow SA WG1 requirements
S3-000556	R00 MAP Application Layer Security	Ericsson	9.6	Discussion / Decision		Includes potential CR. CR rejected
S3-000557	3G TR 33.8de V0.0.0: Network domain security (R00)	Telenor	9.8	Information		Noted
S3-000558	Proposed TR-45 AHAG/S3 Joint Meeting Agenda	AHAG Chairman	7	Approval		Approved at joint meeting

Number	Title	Source	Agenda item	Document for	Replaced by Tdoc	Comments Status
S3-000559	Core network security protocol architecture	Siemens AG	9.8	Discussion / Decision		Agreed. To be included in NW Dom Sec TR
S3-000560	Key management for core network security	Siemens AG	9.8	Discussion / Decision		Agreed. To be included in NW Dom Sec TR
S3-000561	Proposed changes and discussion of open issues for draft 3G TR "Access security for IP-based services"	Siemens AG	9.9	Discussion / Decision		Open issues to be included in NW Dom Sec TR
S3-000562	AKA and the Rogue Mobile Problem	Motorola	7	Discussion		
S3-000563	The security architecture	Ericsson	9.8	Discussion		Agreed. To be included in NW Dom Sec TR
S3-000564	Optional Element to Element IPsec	Motorola	9.8	Decision		Noted (covered by TD563)
S3-000565	Home Control of AKA Issues	AHAG	7	Discussion		
S3-000566	TR-45 AHAG/TR-45.2 Recommendations for Joint AKA Control	AHAG	7	Discussion		
S3-000567	Report on the Evaluation of 3GPP Standard Confidentiality and Integrity Algorithms	ETSI SAGE Task Force	6.4	Information		Noted. Change marked CR needed. M Pope to produce version 3.0.0 of TR33.909
S3-000568	Proposed CR to 33.102: Profiles for sequence number management	Siemens	9.1	Approval		Approved as Category C. Need to ensure the Category is explained to TSG SA
S3-000569	Proposed CR to 3.102: Change of parameter value x regarding the capability of the USIM to store information on past successful authentication events	Siemens	9.1	Approval		Approved
S3-000570	WI proposal on UMTS network vulnerabilities to DoS attacks	Motorola	8.4	Discussion	S3-000611	Updated in TD611
S3-000571	Proposed R00 CR to 33.900: DoS attacks to 3G networks and users	Motorola	9.4	Approval		To be included in 33.900 (not yet under change control)
S3-000572	Proposed CR to 33.102: Clarifications on the COUNT parameters	Ericsson	9.1	Approval	S3-000620	Updated in TD620
S3-000573	Proposed CR to 33.102: Clarifications on integrity and ciphering of radio bearers	Ericsson	9.1	Approval		Approved
S3-000574	Proposed CR to 33.102: Change of input parameter for the computation of the anonymity key in the re-synchronisation procedure	Siemens	9.1	Approval	S3-000601	Updated in TD601
S3-000575	LS from WAP/TSG-T3 WAP SAT interoperation Ad Hoc: GSM 03.48	WAP/TSG-T3 WAP SAT interoperation Ad Hoc	6.2	Discussion	S3-000583	Note: Approved version in S3- 000583
S3-000576	SIP Work in Progress: Some Security Related Aspects	BT	9.9	Presentati on		Noted
S3-000577	IETF draft on distributed call state	BT	9.9	Information		Noted
S3-000578	Proposed CR to 33.102: Retransmission of authentication request using the same quintet (revision of S3-000406)	Siemens Atea	9.1	Approval		Approved. T WG3 Chairman needs to be contacted before presentation to TSG SA
S3-000579	WI description for supporting USIM toolkit security enhancements in T3	Vodafone	8.3	Approval	S3-000599	Updated in TD599
S3-000580	WI description for P-TMSI signature stage 2 specification	Vodafone	8.3	Approval		Need for the WI needs investigation
S3-000581	LS from ITU-T on IMT2000 SECURITY MANAGEMENT (=S3-000437)	ITU-T	6.2	Discussion		Response in TD600

Number	Title	Source	Agenda item	Document for	Replaced by Tdoc	Comments Status
S3-000582	LS from SA WG4 (cc SA WG3): Response LS to TSG-SA on Call Control Applications in External Devices	SA WG4	6.2	Information		Noted
S3-000583	LS from WAP/TSG-T3 WAP SAT interoperation Ad Hoc: Security model in GSM 03.48 / TS 23.048	LS from WAP/TSG-T3 WAP SAT interoperation Ad Hoc:	6.2	Discussion		Response in TD599
S3-000584	Support of UMTS AKA for GSM only R99 mobiles	Ericsson	6.2	Discussion		Dealt with in AHAG joint session. SA WG3 follow requirements of SA WG1
S3-000585	WI description for LCS security	Nokia	8.3	Approval	S3-000609	Updated in TD609
S3-000586	Proposed CR to 33.103: Correction to BEARER definition	Nokia	9.2	Approval		Approved as Cat D
S3-000587	Proposed CR to 33.105: L2 related corrections	Nokia	9.3	Approval		Sent to SAGE for comment (attached to TD631)
S3-000588	Authentication and key agreement in IM CN subsystem	Nokia	9.9	Discussion / Decision		Agreed as a working hypothosis. To be included in NW Dom Sec TR
S3-000589	CR to 33.102: Clarification on condition on rejecting keys CK and IK (resubmitted TD424)	T-Mobil	9.1		S3-000603	Updated in TD603
S3-000590	3GPP AKA Specifications Subject to Joint TR-45/3GPP Control	AHAG	7	Discussion		
S3-000591	TR-45 AHAG/TR-45.2 Recommendations for Joint AKA Control	AHAG	7			
S3-000592	Draft text of "report of AKA success" contribution		7	Discussion		
S3-000593	LS to GERAN: Modification of pre- configuration information	SA WG3	6.2	Approval	S3-000630	Updated in TD630
S3-000594	Re: Limitation of Lifetime of Keys CK and IK (reply to TD 507)		6.2	Approval		Approved
S3-000595	LS to T3: VHE User Profiles - Security issues (response to TD509)		8.4	Approval	S3-000628	Updated in TD628
S3-000596	WI description for VHE Security (produced from TD509 discussion)			Approval	S3-000610	Updated in TD610
S3-000597	Draft LS about USIM support in GSM only terminals	SA WG3	6.2	Approval	S3-000629	Updated in TD629
S3-000598	CR to 33.102: Optional support for USIM-ME interface for GSM-only R99 ME		9.1	Approval		Rejected
S3-000599	WI description for supporting USIM toolkit security enhancements in T3	SA WG3	8.3	Approval		Approved
S3-000600	Reply LS to ITU: Use of the Fraud Information Gathering System (FIGs) (response to TD581)	SA WG3	6.2	Approval		Approved
S3-000601	Proposed CR to 33.102: Change of input parameter for the computation of the anonymity key in the re-synchronisation procedure (rev of TD 574)	Siemens	9.1	Approval		Approved (Attached to TD631 to SAGE)
S3-000602	Draft LS to SAGE about 3G algorithms	SA WG3	6.2	Approval	S3-000631	Updated in TD631
S3-000603	Proposed CR to 33.102: Clarification on condition on rejecting keys CK and IK (revision of TD 589)	T-Mobil	9.1	Approval		Approved
S3-000604	LS on SA WG3 agreements after Joint AHAG meeting		7	Approval	S3-000633	Updated in TD633
S3-000605	Response LS to CN4 on Pos authentication reporting (P Howard)		6.2	Approval		Approved
S3-000606	WI sheet: Network Domain Security		8.3			Approved
S3-000607	LS to CN WG4: Protection of GTP Messages using IPSec	SA WG3	8.3	Approval	S3-000632	Updated in TD632
S3-000608	Report on need of P-TMSI from Joint SA WG3/CN meeting			Information		Noted

Number	Title	Source	Agenda item	Document for	Replaced by Tdoc	Comments Status
S3-000609	Updated WI description for LCS security (revision of TD 585)	Nokia	8.4	Approval	,	Approved
S3-000610	WI description for VHE Security (revision of TD596)			Approval		Approved
S3-000611	WI proposal on UMTS network vulnerabilities to DoS attacks (revison of TD570)	Motorola	8.4	Approval		Approved
S3-000612	Proposed CR to 33.103: Computation of the anonymity key for re-synchronisation	Siemens Atea	9.2	Approval		Approved
S3-000613	Proposed CR to 33.105: Anonymity key computation during re-synchronisation	Siemens Atea	9.3	Approval		Approved as Cat F (Attached to TD631 to SAGE)
S3-000614	Problem with no USIM-ME interface in GSM-only ME	Siemens Atea	9.1	Discussion / Decision		Postponed
S3-000615	Revised CR to 33.102: Clarifications on the START parameter handling (Rev of 535)	SA WG3	9.1	Approval		Approved
S3-000616	Proposed CR to 33.102: New FRESH at SRNC relocation (Revised TD538)	SA WG3	9.1	Approval		Approved
S3-000617	Proposed CR to 33.102: Addition of authentication parameter lengths (revised TD546)	SA WG3	9.1	Approval		Approved
S3-000618	Principles for the revision of Annex C	Siemens AG	9.1	Discussion / Decision		Noted
S3-000619	Report of GSM 2000 Security Meeting No. 9	C Brookson (GSM 2000)	6.5	Information		Noted
S3-000620	Proposed CR to 33.102: Clarifications on the COUNT parameters (revised TD572)	Ericsson	9.1	Approval		Approved
S3-000621	Editorial CR to 33.102: Minor editorial changes	Vodafone	9.1	Approval		Approved
\$3-000622	Proposed CR to 33.909: Addition of information on an improved theoretical result on the resilience of the f9 function	Vodafone	9.5	Approval		Postponed until version 3.0.0 has been published.
S3-000623	3G TR 33.8de: Network Domain Security TR	Vodafone	9.8	Information		Noted
S3-000624	Comments on CR to 33.102: "Retransmission of authentication request using the same quintet" in S3-000578	Gemplus	9.1	Discussion		Related to S3- 000578. Noted.
S3-000625	Protection between the UE and the serving CSCF	Ericsson	9.9	Discussion		To be added to open issues of NW Dom Sec TR
S3-000626	Work Item Description: Access security for IP-based services	Ericsson	9.9	Approval		Approved
S3-000627	Update on MAPSec IKE	Ericsson	9.8	Discussion		Noted
S3-000628	LS to T3: VHE User Profiles - Security issues (response to TD509)		8.4	Approval		Approved
S3-000629	Draft LS to T WG3 about USIM support in GSM only terminals	SA WG3	6.4			Approved
S3-000630	LS to GERAN: Modification of pre- configuration information	SA WG3	6.4	Approved		Approved
S3-000631	LS to SAGE about 3G algorithms	SA WG3	6.4	Approval		Approved TDs 587, 601 and 613 attached
S3-000632	LS to CN WG4: Protection of GTP Messages using IPSec	SA WG3	6.4	Approval		Approved
S3-000633	Liaison statement to Tr-45/AHAG: the status of the current S3/TR45AHAG action items (Rev of TD604)	SA WG3	7	Approval		Approved

Annex B: List of attendees

To be completed. **DATA NEEDED!**

Name			Company	e-mail	3GF Mem	P ber

Annex C: Status of specifications under SA WG3 responsibility

Note: This table provides the status following the TSG SA#09 meeting, including updated versions where CRs were approved. This table also contains the GSM specifications transferred to 3GPP and the anticipated Release 4 specifications in the range 4x.yyy and 5x.yyy which do not yet exist.

	Cnasificati		Title	Editor	Dal	Commont
TS	Specificati 01.31	7.0.1	Fraud Information Gathering System	Editor WRIGHT, Tim	Rel R1998	#23: 5.0.0 #25: 7.0.0
15	01.31	7.0.1	(FIGS); Service requirements - Stage 0	,	K1998	#23: 5.0.0 #25: 7.0.0 (5.x.y withdrawn) #26: 7.0.1
TS	01.31	8.0.0	Fraud Information Gathering System (FIGS); Service requirements - Stage 0	WRIGHT, Tim	R1999	
TS	01.33	7.0.0	Lawful Interception requirements for GSM	MILES, David F.	R1998	#25: 7.0.0 (renumbered from 10.20)
TS	01.33	8.0.0	Lawful Interception requirements for GSM	MILES, David F.	R1999	,
TS	01.61	8.0.0	General Packet Radio Service (GPRS); GPRS ciphering algorithm requirements	VANNESTE, Geneviève	R1999	
TS	02.09	3.1.0	Security Aspects	GILBERT, Henri	Ph1	#6b: 3.1.0
TS	02.09	4.5.0	Security Aspects	GILBERT, Henri	Ph2	#7: 4.2.1 #12: 4.3.0 #22: 4.4.0 edito:4.4.1 #31:4.5.0
TS	02.09	5.2.0	Security Aspects	GILBERT, Henri	R1996	#20: 5.0.0 #22: 5.1.0 edito 5.1.1 #31:5.2.0
TS	02.09	6.1.0	Security Aspects	GILBERT, Henri	R1997	#27: 6.0.0 edito:6.0.1 #31:6.1.0
TS	02.09	7.1.0	Security Aspects	GILBERT, Henri	R1998	#29: 7.0.0 #31:7.1.0
TS	02.09	8.0.0	Security Aspects	GILBERT, Henri	R1999	
TS	02.31	7.1.1	Fraud Information Gathering System (FIGS) Service description - Stage 1	WRIGHT, Tim	R1998	#23: 5.0.0 #25: 7.0.0 #26: 7.1.0 (5.0.0 withdrawn)
TS	02.31	8.0.0	Fraud Information Gathering System (FIGS) Service description - Stage 1	WRIGHT, Tim	R1999	WOE 7 0 0 WOO 7 4 0
TS	02.32	7.1.1	Immediate Service Termination (IST); Service description - Stage 1	WRIGHT, Tim	R1998	#25: 7.0.0 #26: 7.1.0
TS	02.32	8.0.0	Immediate Service Termination (IST); Service description - Stage 1	WRIGHT, Tim	R1999	W00 5 0 0 W05 7 0 0
TS	02.33	7.3.0	Lawful Interception - Stage 1	MCKIBBEN, Bernie	R1998	#20: 5.0.0 #25: 7.0.0 (5.0.0 withdrawn) #27: 7.1.0 #28: 7.2.0 #29: 7.3.0
TS	02.33	8.0.0	Lawful Interception - Stage 1	MCKIBBEN, Bernie	R1999	
TS	03.20	3.0.0	Security-related Network Functions	GILBERT, Henri	Ph1- EXT	#7: 3.0.0
TS	03.20	3.3.2	Security-related Network Functions	GILBERT, Henri	Ph1	
TS	03.20	4.4.1	Security-related Network Functions	GILBERT, Henri	Ph2	#7: 4.2.1 #10: 4.3.0 #17: .3.2 #21: 4.4.0
TS	03.20	5.2.1	Security-related Network Functions	GILBERT, Henri	R1996	#20: 5.0.0 #21: 5.1.0 #23: 5.2.0 SMG#29: CRs but postponed, then forgotten!
TS	03.20	6.1.0	Security-related Network Functions	GILBERT, Henri	R1997	#25: 6.0.0 SMG#29: 6.1.0 #32:6.2.0
TS	03.20	7.3.0	Security-related Network Functions	GILBERT, Henri	R1998	#28: 7.0.0 SMG#29: 7.1.0 #30: 7.2.0 #32:7.3.0
TS	03.20	8.1.0	Security-related Network Functions	GILBERT, Henri	R1999	#32:8.1.0
TS	03.31	7.0.0	Fraud Information Gathering System (FIGS); Service description; Stage 2	WRIGHT, Tim	R1998	#26: 7.0.0
TS	03.31	8.0.0	Fraud Information Gathering System (FIGS); Service description; Stage 2	WRIGHT, Tim	R1999	
TS	03.33	7.1.0	Lawful Interception - stage 2	MILES, David F.	R1998	#27: for info #28: 7.0.0 #29: 7.1.0
TS	03.33	8.0.0	Lawful Interception - stage 2	MILES, David F.	R1999	
TS	03.35	7.0.0	Immediate Service Termination (IST); Stage 2	WRIGHT, Tim	R1998	#27: 7.0.0
TS	03.35	8.0.0	Immediate Service Termination (IST); Stage 2	WRIGHT, Tim	R1999	
TS	10.20	0.0.0	Lawful Interception requirements for GSM	MCKIBBEN, Bernie	R1999	
TS	21.133	3.1.0	Security Threats and Requirements	CHRISTOFFERSSO N, Per	R1999	
TS	22.022	3.2.0	Personalisation of GSM ME Mobile functionality specification - Stage 1	NGUYEN NGOC, Sebastien	R1999	Transfer>TSG#4,CR at TSG#5
TS	33.102	3.6.0	Security Architecture	VINCK, Bart	R1999	TSG#7: 3.4.0 TSG#8:3.5.0 TSG#9:3.6.0
TS	33.103	3.4.0	Security Integration Guidelines	BLANCHARD, Colin	R1999	TSG#7: 3.2.0 TSG#8:3.3.0 TSG#9:3.4.0

TO	00.405	0.50	On and a second in Almonithus and a second	0.000	D4000	T00#7.000 T00#0.040
TS	33.105	3.5.0	Cryptographic Algorithm requirements	CHIKAZAWA,	R1999	TSG#7: 3.3.0 TSG#8:3.4.0
TC	22.400	240	Laufuliatanaantiaa nasuinasaasa	Takeshi	D4000	TSG#9:3.5.0
TS TS	33.106 33.107	3.1.0	Lawful interception requirements Lawful interception architecture and	WILHELM, Berthold WILHELM, Berthold	R1999 R1999	Now at TSC#6 approved
			functions			New at TSG#6 approved
TS	33.120	3.0.0	Security Objectives and Principles	WRIGHT, Tim	R1999	
TR	33.900	1.2.0	Guide to 3G security	BROOKSON, Charles	R1999	New at TSG#6
TR	33.901	3.0.0	Criteria for cryptographic Algorithm design process	BLOM, Rolf	R1999	
TR	33.902	3.1.0	Formal Analysis of the 3G Authentication Protocol	HORN, Guenther	R1999	
TR	33.908	3.0.0	Security Algorithms Group of Experts (SAGE); General report on the design, specification and evaluation of 3GPP standard confidentiality and integrity algorithms	WALKER, Michael	R1999	TSG#7: S3-000105=NP- 000049 TSG#7 SP- 000039
TR	33.909	3.0.0	ETSI SAGE 3GPP Standards Algorithms Task Force: Report on the evaluation of 3GPP standard confidentiality and integrity algorithms	WALKER, Michael	R1999	TSG#7: Is a reference in 33.908
TS	35.201			WALKER, Michael	R1999	ex SAGE - not publicly available; supplied by ETSI under licence TSG#7: 3.1.0 ex SAGE 3.1.0
TS	35.202	3.1.0	Specification of the 3GPP confidentiality and integrity algorithms; Document 2: Kasumi algorithm specification	WALKER, Michael	R1999	ex SAGE - not publicly available; supplied by ETSI under licence TSG#7: 3.1.0 ex SAGE 3.1.0
TS	35.203	3.1.0	Specification of the 3GPP confidentiality and integrity algorithms; Document 3: Implementors' test data	WALKER, Michael	R1999	ex SAGE - not publicly available; supplied by ETSI under licence TSG#7: 3.1.0 ex SAGE 3.1.0
TS	35.204	3.1.0	Specification of the 3GPP confidentiality and integrity algorithms; Document 4: Design conformance test data	WALKER, Michael	R1999	ex SAGE - not publicly available; supplied by ETSI under licence TSG#7: 3.1.0 ex SAGE 3.1.0
TS	41.031	0.0.0	Fraud Information Gathering System (FIGS); Service requirements - Stage 0	WRIGHT, Tim	Rel-4	
TS	41.033	0.0.0	Lawful Interception requirements for GSM	MILES, David F.	Rel-4	
TS	41.061	0.0.0	General Packet Radio Service (GPRS); GPRS ciphering algorithm requirements	,	Rel-4	
TS	42.009	0.0.0	Security Aspects	GILBERT, Henri	Rel-4	
TS	42.031	0.0.0	Fraud Information Gathering System (FIGS) Service description - Stage 1	WRIGHT, Tim	Rel-4	
TS	42.032	0.0.0	Immediate Service Termination (IST); Service description - Stage 1	WRIGHT, Tim	Rel-4	
TS	42.033	0.0.0	Lawful Interception - Stage 1	MILES, David F.	Rel-4	
TS	43.020	0.0.0	Security-related Network Functions	GILBERT, Henri	Rel-4	#32:8.1.0
TS	43.031	0.0.0	Fraud Information Gathering System (FIGS); Service description; Stage 2	WRIGHT, Tim	Rel-4	
TS	43.033	0.0.0	Lawful Interception - stage 2	MILES, David F.	Rel-4	
TS	43.035	0.0.0	Immediate Service Termination (IST); Stage 2	WRIGHT, Tim	Rel-4	
TS	50.020	0.0.0	Lawful Interception requirements for GSM	,	Rel-4	

Annex D: List of CRs to specifications under SA WG3 responsibility

Note: The CRs agreed in this meeting as Classification "D" (Editorial changes) were presented to TSG SA #09 as Classification "F" (Corrections) as detailed in the table below.

Spec	CR	Rev	Phase	Subject	Cat	Cur Vers	WG meeting	WG TD	WG status	Remarks
33.102	104	1	R00	Re-transmission of authentication request using the same quintet	F	3.5.0	S3-15	S3-000578	agreed	Revised TD S3-000406 agreed in meeting #15
33.102	111		R99	Start of ciphering	F	3.5.0	S3-15	S3-000536	agreed	
33.102	112		R00	Removal of ME triggered authentication during RRC connection	F	3.5.0	S3-15	S3-000537	agreed	
33.102	113		R99	Removal of EUIC	F	3.5.0	S3-15	S3-000540	agreed	Removal is editorial as EUIC is not R99 (SP-06 decision)
33.102	114		R99	Removal of duplicate text on USIM toolkit secure messaging and addition of a reference to 02.48 and 03.48 instead.	F	3.5.0	S3-15	S3-000545	agreed	
33.102	115		R99	Removal of secure authentication mechanism negotiation.	F	3.5.0	S3-15	S3-000547	agreed	
33.102	116		R99	Removal of HE control of some aspects of security configuration	F	3.5.0	S3-15	S3-000548	agreed	
33.102	117		R99	Specification of authentication vector handling in serving network nodes.	F	3.5.0	S3-15	S3-000550	agreed	
33.102	118		R99	Update of References	F	3.5.0	S3-15	S3-000552	agreed	
33.102	119		R99	Profiles for sequence number management	С	3.5.0	S3-15	S3-000568	agreed	Although a Cat C in R99, the Annex is informative, and the examples given will help operators in choosing a Seq. Num Scheme
33.102	120		R99	Change of parameter value x regarding the capability of the USIM to store information on past successful authentication events	F	3.5.0	S3-15	S3-000569	agreed	
33.102	121		R99	Clarifications on integrity and ciphering of radio bearers.	F	3.5.0	S3-15	S3-000573	agreed	
33.102	122		R99	Change of computation of the anonymity key in the resynchronisation procedure	F	3.5.0	S3-15	S3-000601	agreed	
33.102	123		R99	Clarification on condition on rejecting keys CK and IK	F	3.5.0	S3-15	S3-000603	agreed	
33.102	124		R99	Clarifications on the START parameter handling	F	3.5.0	S3-15	S3-000615	agreed	
33.102	125		R99	New FRESH at SRNC relocation	F	3.5.0	S3-15	S3-000616	agreed	
33.102	126		R99	Addition of authentication parameter lengths	F	3.5.0	S3-15	S3-000617	agreed	
33.102	127		R99	Clarifications on the COUNT parameters	F	3.5.0	S3-15	S3-000620	agreed	
33.102	128		R99	Minor editorial changes	F	3.5.0	S3-15	S3-000621	agreed	

Annex E: List of Liaisons

E.1 Liaisons to the meeting

TD Number	Title	Source	Comment
1 D Hamber	Title	Joanec	Comment
S3-000502	Response to LS (R2-001541) on Parameters to be stored in the USIM (Original LS: T3-99304)	T WG3	Noted
S3-000503	Liaison statement on the modified lengths of parameters AUTN and AUTS	CN WG4	Noted
S3-000506	Response to LS (T3-000433) on Parameters to be stored in the USIM	RAN WG2	Noted
S3-000507	LS from T3: Limitation of Lifetime of Keys CK and IK	T WG3	Proposal accepted. Response in TD594
S3-000508	LS from T3: Encrypted USIM-ME interface	T WG3	Noted
S3-000509	LS from T3: Support of Bookmarks / VHE User Profiles	T WG3	Noted. Response in TD628, WI in TD596
S3-000510	LS from T3: Clarification of UMTS-AKA for GSM R'99 Mobiles	T WG3	S1 to decide. Response in TD629
S3-000517	Liaison statement on the introduction of GEA2 (N1-001023)	CN WG1	Noted
S3-000518	Response to LS on Support of additional GPRS ciphering algorithms (N1-000971)	CN WG1	Noted
S3-000519	UE-Triggered Re-Authentication (N1-001044)	CN WG1	Noted
S3-000520	LS from CN WG1 to CN WG4: Answer to the liaison statement on the modified lengths of parameters AUTN and AUTS	Secretary	Noted
S3-000521	Liaison statement to S3 on evaluation of the impact on positive authentication reporting on network performance	CN WG1	Superseded by TD527
S3-000523	Liaison Statement on preventing unciphered writing/overwritting of pre-configuration fields by the HPLMN	GERAN	Response in TD630
S3-000524	Delayed LS to SMG2 (GERAN) - S3-000379	Secretary	LS withdrawn
S3-000526	LS from T WG2: RE: Applications on external devices	T WG2	Noted
S3-000527	Liaison statement on Positive Authentication Reporting	CN WG4	Response in TD605
S3-000555	LS from T WG2 - Re: Clarification on UMTS AKA for SIM (GSM R99 Mobiles?)	T WG2	(T2 to ask SA to confirm assumptions) SA WG3 to follow SA WG1 requirements
S3-000581	LS from ITU-T on IMT2000 SECURITY MANAGEMENT (=S3-000437)	ITU-T	Response in TD600
S3-000582	LS from SA WG4 (cc SA WG3): Response LS to TSG-SA on Call Control Applications in External Devices	SA WG4	Noted
S3-000583	LS from WAP/TSG-T3 WAP SAT interoperation Ad Hoc: Security model in GSM 03.48 / TS 23.048	LS from WAP/TSG- T3 WAP SAT interoperatio n Ad Hoc:	Response in TD599

E.2 Liaisons from the meeting

TD Number	Title	Status	Comment
00.000504	D. H. W. C. (17 C. (17 C.)		
S3-000594	Re: Limitation of Lifetime of Keys CK and IK (reply to TD 507)	Approval	Approved
S3-000600	Reply LS to ITU: Use of the Fraud Information Gathering System (FIGs) (response to TD581)	Approval	Approved
S3-000605	Response LS to CN4 on Pos authentication reporting (P Howard)	Approval	Approved
S3-000628	LS to T3: VHE User Profiles - Security issues (response to TD509)	Approval	Approved
S3-000629	Draft LS to T WG3 about USIM support in GSM only terminals		Approved
S3-000630	LS to GERAN: Modification of pre-configuration information	Approved	Approved
S3-000631	LS to SAGE about 3G algorithms	Approval	Approved TDs 587, 601 and 613 attached
S3-000632	LS to CN WG4: Protection of GTP Messages using IPSec	Approval	Approved
S3-000633	Liaison statement to Tr-45/AHAG: the status of the current S3/TR45AHAG action items (Rev of TD604)	Approval	Approved

Annex F: List of Actions from the meeting

ACTION #15/1: C. Brookson to ask the GSMA whether they would contribute their document

on RAND generation to SA WG3.

AP 15/2: M Pope to attach updated project plan to the meeting report.

AP 15/3: M Marcovici to send this to AHAG for information.

AP 15/4: M. Pope to obtain a number for the TS "Network Domain Security" (Release

2000) and a number for the 33.8xx-series TR "Principles for Network Domain

Security".

AP 15/5: G Koien to use the content of TD S3-000504 and TD S3-000556 for the TR

"Principles for Network Domain Security".

AP 15/6: M. Pope to obtain a number for the TS "Access Security for IP based services

(R4/R5)" and for the TR "Principles for Access Security for IP based services

(R4/R5)".

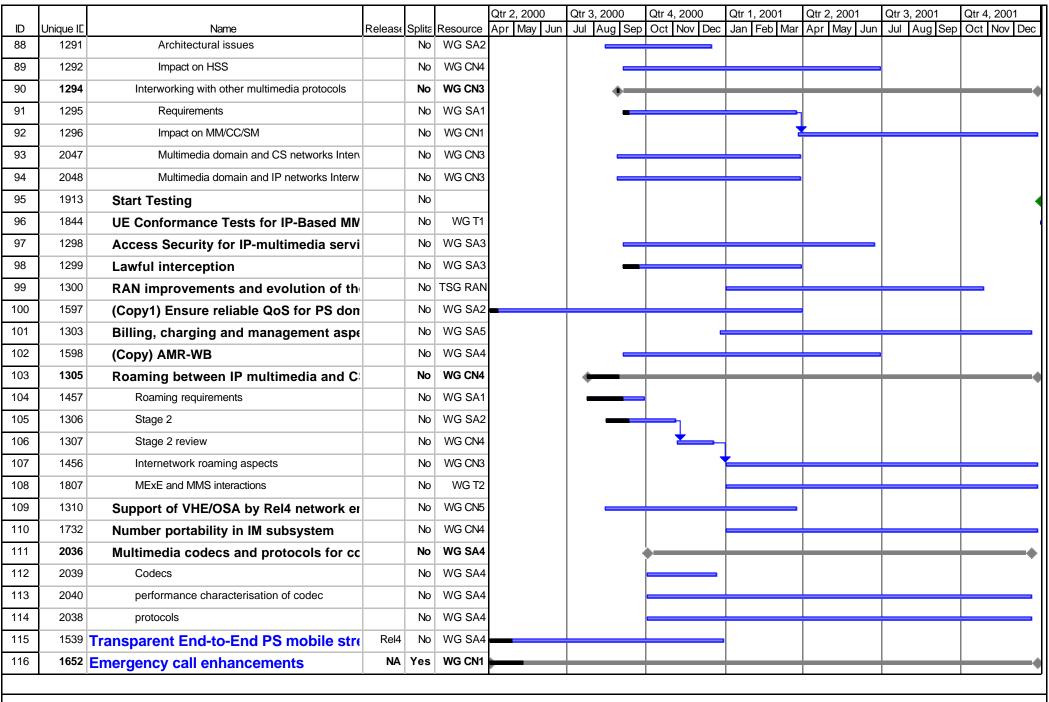
Annex G: Updated 3GPP Workplan

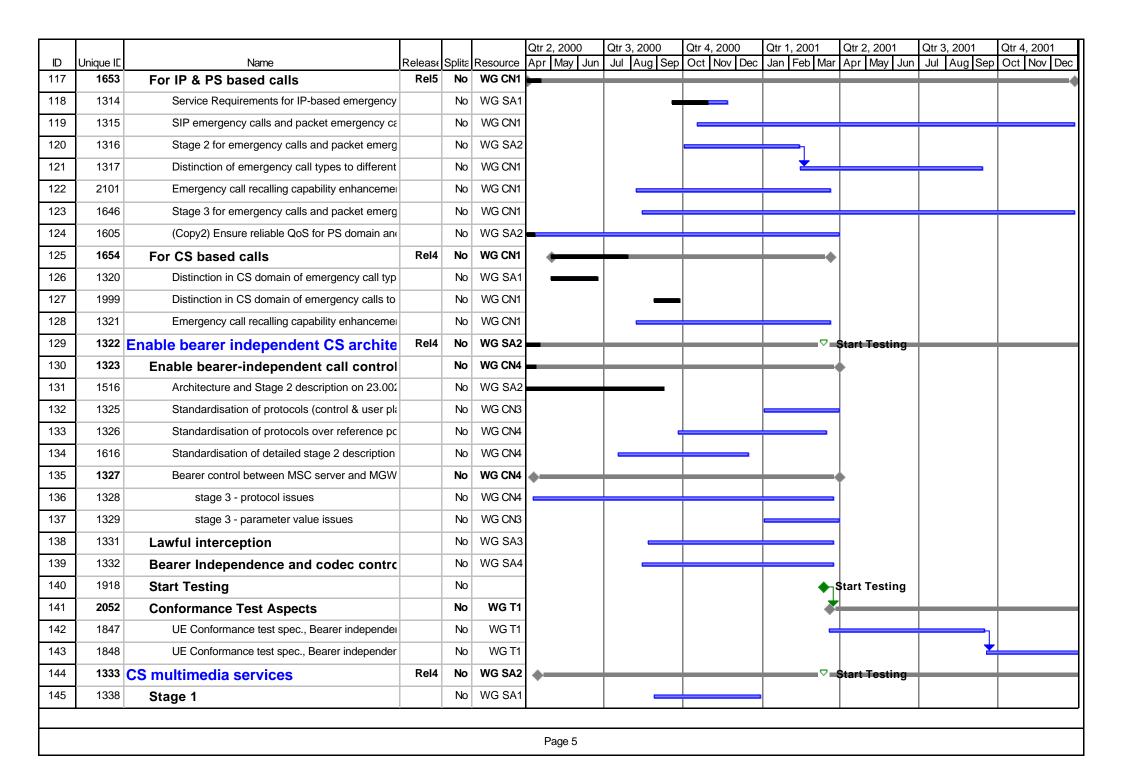
PDF version Attached

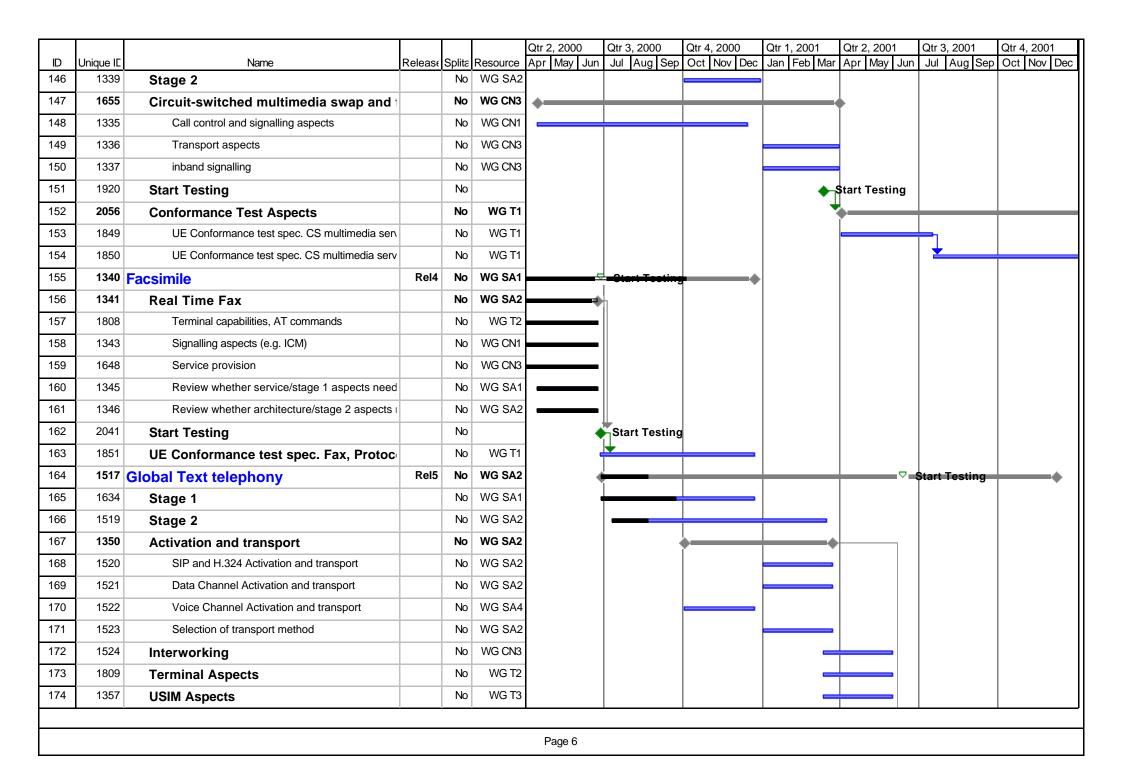
						Qtr 2,			3, 2000		, 2000		1, 2001	Qtr 2, 2			3, 2001		C
ID	Unique IE		Release		Resource	Apr I	May Jun	Jul	Aug Sep	Oct	Nov Dec	Jan	Feb Mar	Apr M	1ay Jun	Jul	Aug Se	ер	
1	2044	VERSION Nov. 6th		No															
2	1462	"CTRL + a" to display all the 3GPP fiel		No															l
3	1463	If MS Project crashes, hide the "hyperl		No															l
4	2058																		l
5	96			No															l
6	2	Evolutions of the transport in the UTR/	NA	Yes	TSG RAN			+		+-						+			ŀ
7	625	IP transport in the UTRAN	Rel4	No	WG RAN3			-											l
8	624	Radio access bearer support enhancem	Rel5	No	WG RAN2														l
9	12	QoS optimisation for AAL2 connections	Rel4	No	WG RAN3														
10	1995	Migration to modification procedures	Rel4	No	WG RAN3														
11	1834	Conformance Test Aspects		No	WG T1						4	-						_	
12	1835	UE Conformance test spec. changes, R4 evolu-		No	WG T1											-			
13	1836	UE Conformance test spec. changes, R4 evolut		No	WG T1														Ļ
14	1837	Logical Test Interface, Specification, R4 evoluti		No	WG RAN2											-			
15	4	Evolutions of the transport in the CN	NA	Yes	WG CN4		+			-						+		-4	þ
16	859	IP Transport of CN protocols (e.g., CAP,	Rel5	No	WG CN4				•	_								-4	þ
17	1678	Stage 2		No	WG SA2														
18	1679	Stage 3		No	WG CN4				•	-						+		=(þ
19	2018	CAP		No	WG CN2														
20	2019	MAP		No	WG CN4													_	
21	1513	FS on Transport and control separation		No	WG SA2		-												
22	1615	Architectural impacts		No	WG SA2														
23	1614	Protocol impacts		No	WG CN4														
24	1216	Improvements of Radio Interface	NA	Yes	TSG RAN		•									+		\dashv	
25	1468	(Copy) Node B synchronisation for TDD		No	WG RAN1														
26	1470	Improvement of inter-frequency and in	Rel5	No	WG RAN1													4	
27	1471	Base station classification	Rel4	No	WG RAN4				-				+						
28	1476	FDD Base station classification		No	WG RAN4				_										
29	1477	TDD Base station classification		No	WG RAN4														

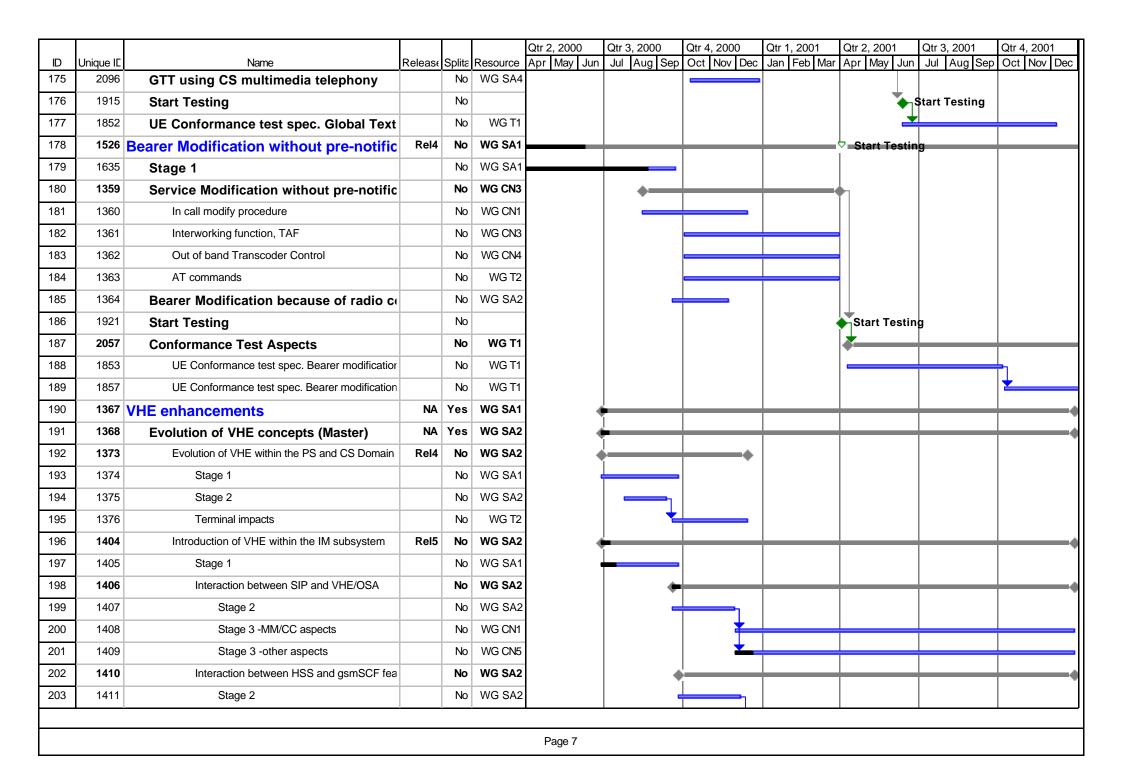
						Qtr	2, 2000	Qtr 3	3, 2000	Qtr 4, 2000		1, 2001	Qtr 2, 2001	Qtr 3, 2001	Qtr 4, 2001
ID	Unique IE	Name			Resource		r May Jun	Jul	Aug Sep	Oct Nov Dec	Jan	Feb Mar	Apr May Jun	Jul Aug Sep	Oct Nov Dec
30	1217	Hybrid ARQ II/III	Rel5	-	WG RAN2										
31	1218	Improved usage of downlink resource in	Rel4	No	WG RAN2	2									
32	1507	Terminal Power Saving features	Rel4	No	WG RAN1	l	-				l l				
33	1509	UTRA repeater specification (master)	Rel4	No	WG RAN4	1		_							
34	1994	DSCH power control improvement in sc	Rel4	No	WG RAN1				_						
35	1996	UMTS 1800	Rel4	No	WG RAN4	ļ			-						
36	1506	FS on Radio link performance enhance		No	WG RAN1						<u> </u>				
37	1219	FS on High Speed downlink packet acc		No	WG RAN2	2					<u> </u>				
38	1221	FS on USTS		No	WG RAN1										
39	1510	FS on improved common DL channel fo		No	WG RAN2	2									
40	1997	FS on UE antenna efficency test metho		No	WG RAN4	ļ			-						
41	1839	Conformance Test Spec. improvements		No	WG T1	ı									
42	1222	Low Chip Rate TDD option	Rel4	No	WG RAN1	ī		+					_ Start Testin	9	
43	1223	Physical layer		No	WG RAN1			_							
44	1224	Layer 2 and layer 3 protocol aspects		No	WG RAN2	2				<u> </u>	<u> </u>				
45	1225	RF radio transmission/reception, syster		No	WG RAN4	ı				<u> </u>	l I				
46	1227	UE radio access capability		No	WG RAN2	2				<u> </u>	l I				
47	1228	lub/lur protocol aspects		No	WG RAN3	3			_				l h		
48	1911	Start Testing		No								_	Start Testing	9	
49	2103	Testing		No	WG T1	ī						L,	.		
50	1840	Conformance tests for Low Chip Rate TDD		No	WG T1	ı									7
51	1841	Protocol Conformance tests for Low Chip Rate		No	WG T1	ı									
52	9	RAN improvements	NA	Yes	TSG RAN	1			-			——▽	Start Testing		
53	1466	Smart antenna	Rel4	No	WG RAN1				_	<u> </u>	<u> </u>				
54	656	RRM optimization for lur and lub	Rel4	No	WG RAN3	3				 					
55	655	Node B synchronisation for TDD (Master	Rel4	No	WG RAN1				_	l					
56	1472	Radio access bearer support enhancem	Rel4	No	WG RAN2	2				l					
57	1680	Header compression removal/stripping	Rel5	No	TSG RAN	٧				l			<u> </u>		
58	1686	Unequal error protection in PS domain	Rel5	No	TSG RAN	V									
					1	1				1			1	1	

							tr 2, 2000		tr 3, 2000		tr 4, 2000		1, 200			, 2001			3, 2001		Qtr 4,	
	Unique IE		Release		Resource	A	pr May Jun	Ju	ul Aug Sep	Od	ct Nov Dec	Jan	Feb		_	May	_	Jul	Aug S	Sep	Oct	Nov D
59	1912	Start Testing		No										•	Star	Testii	ng					
60	2102	Testing		No	WG T1									•						_		
61	1842	UE Conformance Test Spec. RAN improvement		No	WG T1									-						╸╽		
62	1843	UE Conformance Test Spec.TTCN RAN improv		No	WG T1															*		
63	1273	Provisioning of IP-based multimedia se	Rel5	No	WG SA1	H								— [MLS	Γ: Stag	je 3	for b	asic ca	alis		
64	1274	Call control and roaming to support IP-		No	WG SA2	H								— [MLS	Γ։ Stag	e 3	for b	asic ca	ills		
65	1633	Stage 1		No	WG SA1	-		-														
66	1514	Stage 2 (Architecture and Main flows)		No	WG SA2	2																
67	1277	FS on Impacts on HSS		No	WG CN4																	
68	1998	IP multimedia subsystem signalling flows		No	WG CN1																	
69	1673	MLST: Stage 3 for basic calls		No										•	MLS	T: Sta	ge 3	for I	oasic c	alls		
70	1278	IP multimedia subsystem stage 3		No	WG CN1																	
71	1804	SIP interactions with the Rel4 Supl Services		No	WG CN1					\ -										_		
72	1650	Check if any		No	WG SA1								_									
73	1651	Stage 3 if applicable		No	WG CN1															_		
74	1280	SIP SS and relationship to Mg, Mw and Cx		No	WG CN4															_		
75	1281	Multimedia Capabilities		No	WG CN1				+									_	•			
76	1282	Terminal capabilities		No	WG CN1																	
77	1806	Terminal capabilities and Interactions on ru		No	WG T2												_					
78	1805	Network capabilities		No	WG CN1												_					
79	1285	Network capabilities (N4 aspects)		No	WG CN4												_					
80	1286	CSCF – HSS (Cx) applications and services (SC		No	WG SA2	4														-		
81	1515	Stage 2 flows		No	WG SA2	:																
82	2021	Stage 2 flows (N4) (see note)		No	WG CN4																	
83	2023	Impacts from CAMEL		No	WG CN4		_										_					
84	1288	Impact on Camel Stage 3		No	WG CN2												_					
85	1289	Impact on MAP		No		-																
86	2024	Stage 3 protocol on Cx		No	WG CN4															_		
87	1290			No	WG SA2				•								_	•				



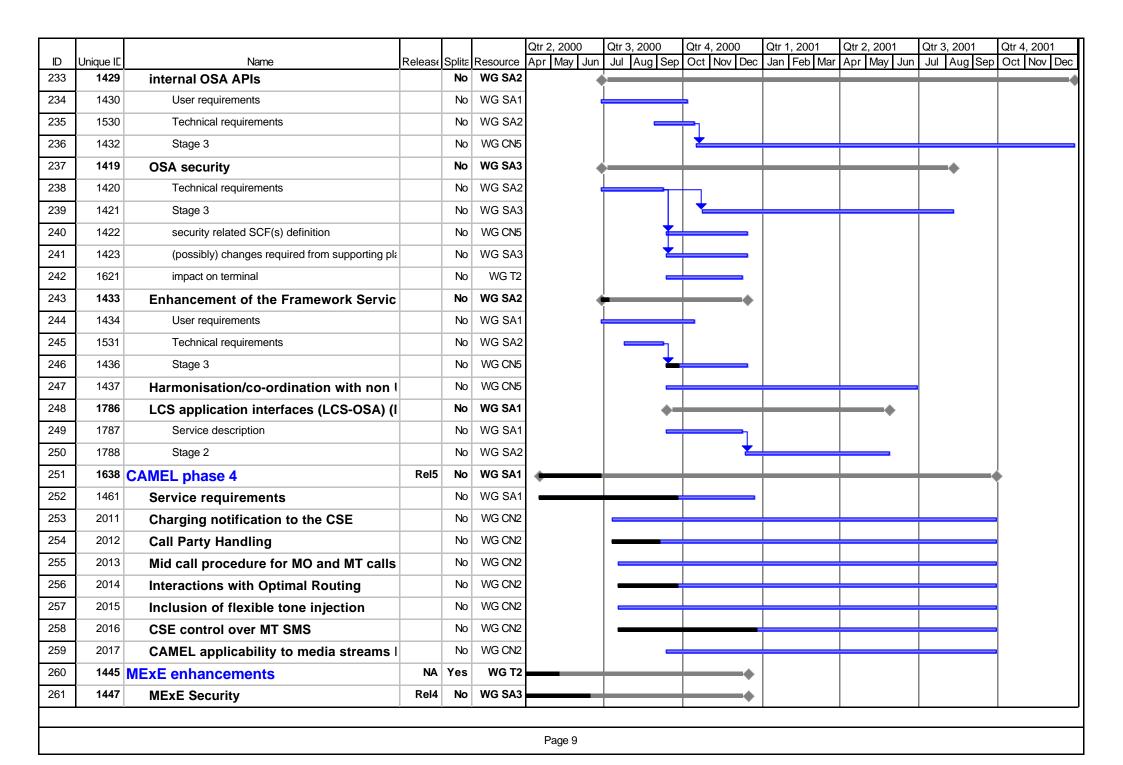


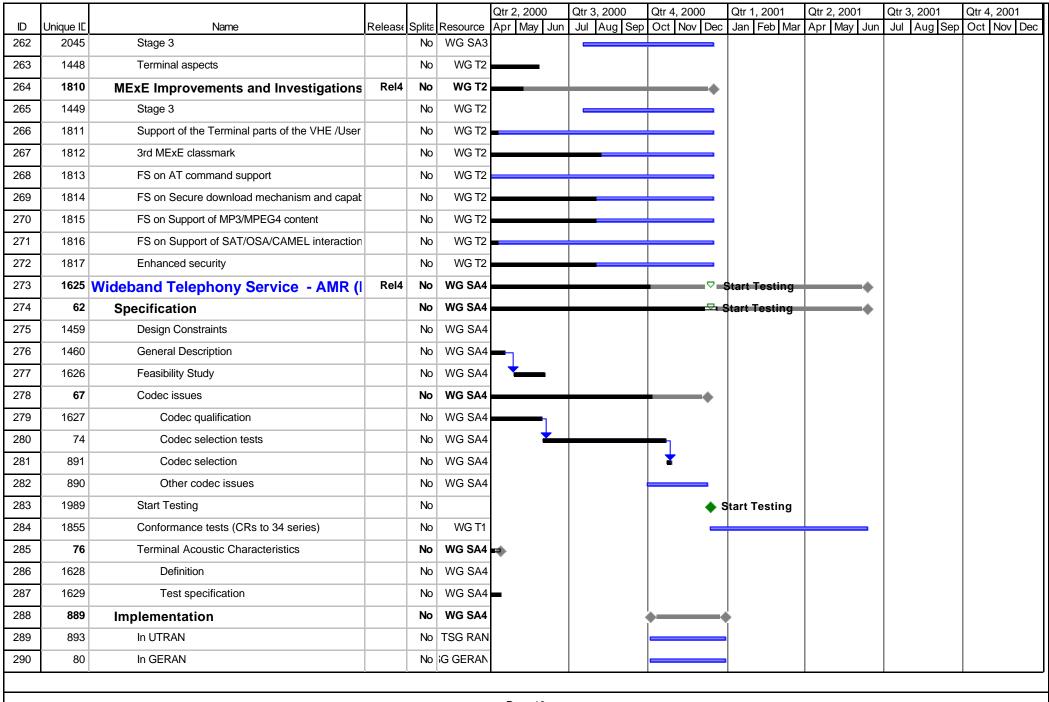


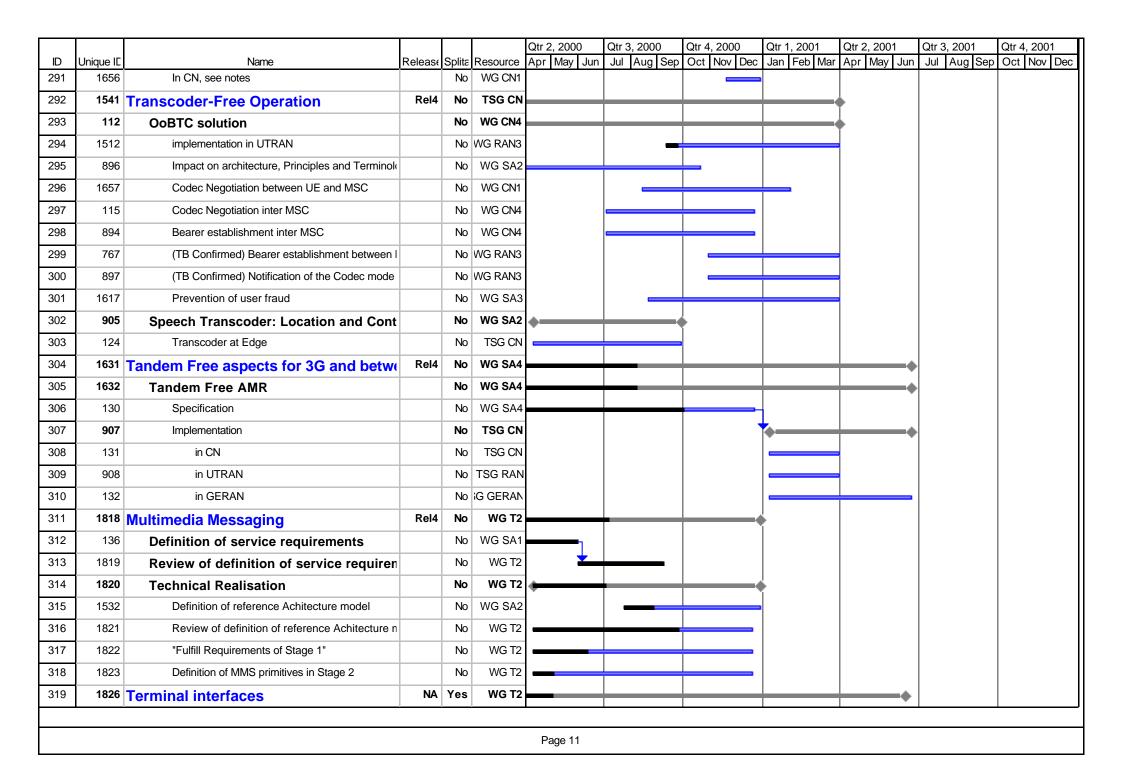


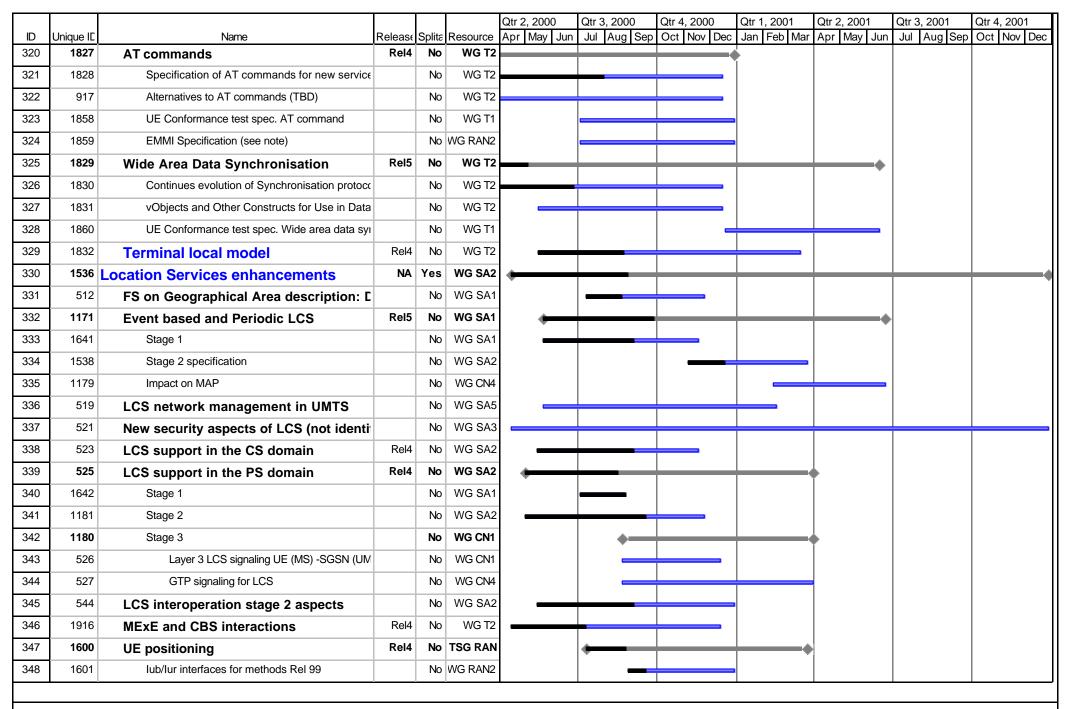
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ID	Unique IE	Name	Release	Splita	Resource			pr May Jun								
204	1412	Stage 3 -MM/CC aspects		No	WG CN1	Ī										
205	1413	Stage 3 - MAP aspects		No	WG CN4							<u> </u>			<u> </u>	
206	1414	Stage 3 -other aspects		No	WG CN5									<u> </u>	<u>*</u>	<u> </u>
207	1415	Interaction between Multi Media network n		No	WG SA2					-		l +	l +	—		
208	1416	Stage 2		No	WG SA2				1							
209	1417	Stage 3		No	WG CN5		•	1	1	1	7	<u> </u>		<u> </u>		<u> </u>
210	1923	User Profile Management, User Profile Ac		No	WG CN5				1	1		<u> </u>				
211	1377	Service Continuity	Rel4	No	WG SA2				<u> </u>	<u> </u>						
212	1378	-		No	WG SA1	J			<u> </u>							
213	1379	VHE architecture within a single domain		No	WG SA2						<u> </u>			<u> </u>		
214	1380	VHE interworking between domains		No	WG SA2						<u> </u>			<u> </u>		
215	1381	Personal Service Environment (PSE), u	Rel5	No	WG SA2									<u> </u>		
216	1382	PSE architecture (e.g. HSS) and interfaces		No	WG SA2	ı	l		.			The state of the s	, v	, v		
217	1383	User Profiles definition		No	WG CN4											
218	1384	Interaction between VHE Toolkits	Rel4	No	WG SA2				1	_						<u> </u>
219	1385	Stage 1		No	WG SA1					_	<u> </u>	<u> </u>		<u> </u>		
220	1386			No	WG SA2											
221	1391		Rel4		WG SA3											
		VHE security	Ke14			ı				•	•	Y T	Y T	The state of the s	<u> </u>	<u> </u>
222	1392	Requirements		No	WG SA1					·		 				
223	1393	Architecture definition for the different VHE toc		No	WG SA2							_	<u> </u>			
224	1394	Review of architecture		No	WG SA3										<u> </u>	
225	1395	(possibly) changes required from supporting pla		No	WG SA3									_	<u> </u>	<u> </u>
226	1637	OSA enhancements	Rel5	No	WG SA1			•	-	-	+	+	-	-	+	
227	1922	(Copy) Evolution of VHE concepts		No	WG SA2			•	l -	 	 	 	 	-	 	<u> </u>
228	1424	Network Service Capability Features (N-		No	WG SA2					←	l	l	l +	 	l + -	
229	1425	User requirements for the OSA N-SCFs		No	WG SA1					_	│	<u> </u>	 	 	 	
230	1528	Specify the selection of SCFs within the netwo		No	WG SA2								<u>*</u>	<u>*</u>	<u>*</u>	
231	1529	Technical requirements for the OSA N-SCFs		No	WG SA2						i ,	<u> </u>	i <u> </u>	i <u></u> ,	i <u> </u>	1 <u> </u>
232	1428	OSA APIs		No	WG CN5				1							

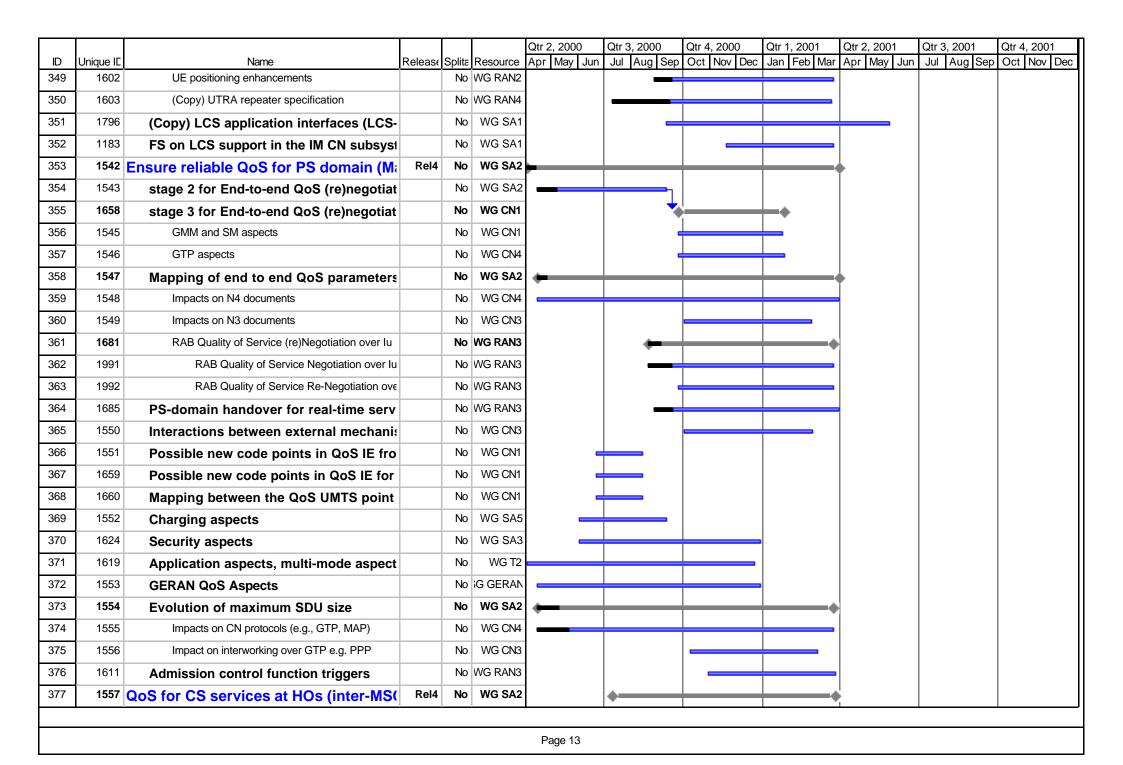
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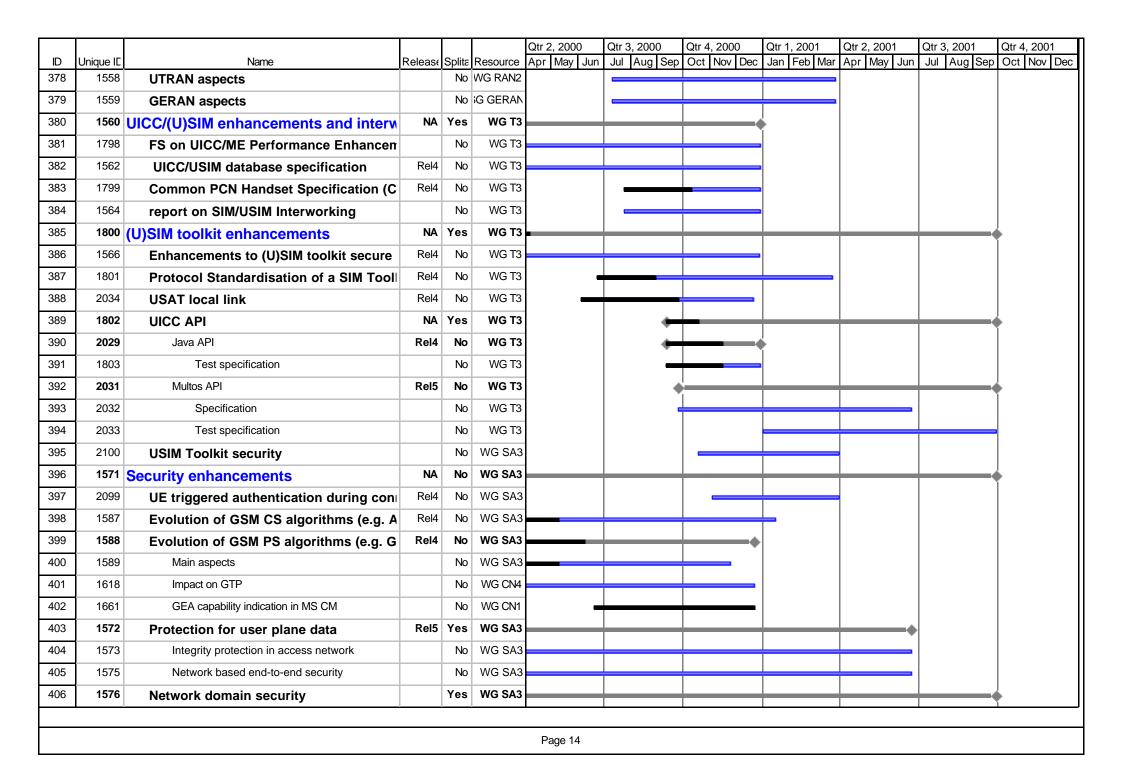


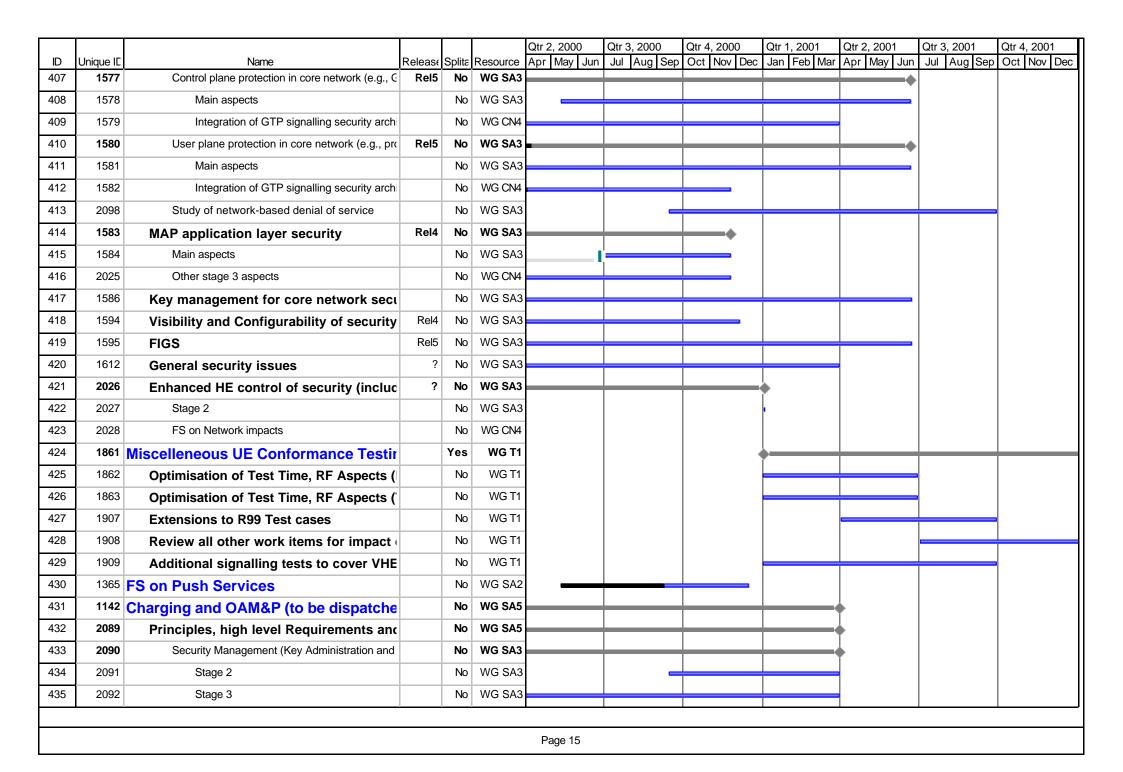












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ID 436	Unique IE 2093	Name Koy Administration & Distribution (Mostor)	Release		Resource WG SA3		ay Jun	Jul Aug Sep	Oct Nov Dec	Jan	Feb Mar	Apr May Jun	Jul Aug Sep	Oct Nov
		Key Administration & Distribution (Master)						_						
437	2094	Co-ordination O&M messaging Specification			WG RAN3									
438	2088	Performance Management (Master)		No	WG SA5									
439	2081	Fault Management (Master)		No	WG SA5			_						
440	2082	Configuration Management (Master)		No	WG SA5									
441	2083	Charging Management (Master)		No	WG SA5									
442	1924	GERAN definition (to be dispatched to	NA	Yes	G GERAN					Sta	artt Tæstiin	9		
443	1925	Evolution of transport in UTRAN and GE	?	No	G GERAN									
444	1926	Addition of transport mechanisms other than A		No	G GERAN									
445	1927	GERAN/UTRAN interface evolution	Rel4	No	G GERAN			+	<u> </u>	□Sta	rt Testin	g		
446	1929	Evolution of lu ps		No	G GERAN			+	<u> </u>		-+			
447	1930	Identification of GERAN requirements on Iu		No	G GERAN									
448	1932	Update of specifications		No	G GERAN									
449	1933	Evolution of lu cs		No	G GERAN			+	<u> </u>		-+			
450	1934	Identification of GERAN requirements on It		No	G GERAN				<u> </u>					
451	1935	Update of specifications		No	G GERAN				<u> </u>					
452	1936	Evolution of interface A		No	G GERAN			+	1		-+			
453	1937	Identification of GERAN requirements on /		No	G GERAN				<u> </u>					
454	1938	Update of specifications		No	G GERAN				<u> </u>					
455	1987	Low chip rate TDD for UTRAN		No	G GERAN				1					
456	1939	Gb over IP		No	G GERAN			_						
457	1940	Enhance cell reselections		No	G GERAN									
458	1941	GERAN radio interface evolution		No	G GERAN			+	+					
459	1942	Overall concept for GERAN		No	G GERAN			_						
460	1943	GERAN Header adaptation		No	G GERAN									
461	1945	GERAN Radio access bearer design		No	G GERAN			_						
462	1946	GERAN user / control plane		No	G GERAN			+	l .			<u> </u>	•	
463	1983	PDCP protocol design		No	G GERAN			_						
464	1986	RLC / MAC Specification		No	G GERAN									

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						Q	tr 2, 2000	Qtr :	3, 200		Qtr 4, 2000	Qtr 1	1, 2001	Qtr 2, 2001	Qtr 3, 2001	Qtr 4, 2001
ID	Unique IC		Release	Splita	Resource	Α	pr May Jun	Jul	Aug	Sep	Oct Nov Dec	Jan	Feb Mar		Jul Aug Sep	Oct Nov Dec
465	1985	Physical layer		No	G GERAN	1										
466	1947	GERAN RR		No	G GERAN										•	
467	1948	lu rg interface		No	G GERAN											
468	1949	Voice over GERAN PS and CS concept		No	G GERAN											
469	1950	GERAN Narrowband speech realization		No	G GERAN											
470	1951	GERAN security		No	WG SA3					-		St	art Testir	ng		•
471	1982	Working assumptions for ciphering		No	G GERAN											
472	1981	Requirements for integrity		No	G GERAN											
473	1980	Modification of UTRAN specs to be valid a		No	G GERAN											
474	1979	Additional stage 3 work for GERAN		No	G GERAN										•	
475	2060	Start Testing		No							•	St	art Testir	ng		
476	1952	GERAN MS Conformance test for GERAN		No	G GERAN											
477	1953	GERAN BTS Conformance test for GERAI		No	G GERAN											
478	1954	700 MHz spectrum support		No	G GERAN					-						•
479	1955	GERAN support for the 700 MHz band		No	G GERAN											
480	1956	GERAN MS Conformance test for 700 MH:		No	G GERAN									 		
481	1958	GERAN BTS Conformance test for GERAI		No	G GERAN											
482	1959	Real Time QoS for packet services including Vo		No	G GERAN					-	+					
483	1960	HOs: maintenance of real-time QoS while I		No	G GERAN											
484	1961	Wideband telephony services		No	G GERAN					-						•
485	1963	Support of WB AMR in GERAN		No	G GERAN											
486	1965	GERAN MS Conformance test for WB AMF		No	G GERAN											
487	1966	GERAN BTS Conformance test for WB AV		No	G GERAN											
488	1968	Location service (UMTS) (using GERAN)	Rel4	No	G GERAN	ı				+ —		⊨St	art Testii	ng		•
489	1969	LCS interoprability aspects to GERAN		No	G GERAN	1										
490	1970	LCS in GERAN		No	G GERAN	i				-				+		
491	1975	GERAN LCS stage 2		No	G GERAN											
492	1974	lur-g interface support for LCS GERAN		No	G GERAN											
493	1973	Gb,A interface support for LCS GERAN		No	G GERAN											
	•															

						Qtr 2, 2000	Qtr 3, 2000		Qtr 1, 2001		Qtr 3, 2001	Qtr 4, 2001
ID	Unique IC	Name	Release	Splita	Resource	Apr May Jun	Jul Aug Sep	Oct Nov Dec	Jan Feb Mar	Apr May Jun	Jul Aug Sep	Oct Nov Dec
494	1977	lu-cs(?), lu-ps interface support for LCS G		No	G GERAN		_					
495	1978	Radio Resource Management (for LCS GE		No	G GERAN		_					
496	1976	Stage 3 specifications		No	G GERAN							
497	2061	Start Testing		No				•	Start Testin	g		
498	1971	GERAN MS Conformance test for LCS		No	G GERAN							
499	1972	GERAN BTS Conformance test for LCS		No	G GERAN							
500	2062	Subscription Management	Rel4	No	WG SA5							
501	2071	UTRAN Operations and Maintenance p	Rel4	No	WG SA5							
502	1993	small Technical Enhancements and Im	Rel4	No								

