**3GPP TSG-SA WG2 Meeting #140e S2-2005076**

**August 19 – September 02, 2020, Online (revision of *S2-200xxyxy*)**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **23.501** | **CR** | **2407** | **rev** | **-** | **Current version:** | **16.5.1** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | WUS system support for 5GC | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Qualcomm Incorporated | | | | | | | | | |
| ***Source to TSG:*** | SA WG2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NB\_IOTenh-Core, TEI16 | | | | |  | ***Date:*** | | | 2020-08-06 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | RAN Working Groups have introduced a Wake Up Signal (WUS) for NB-IoT and eMTC UEs in R15 with the ambition of reducing idle mode UE power consumption.  Without appropriate system level support the feature may actually increase idle mode UE power consumption for WUS capable UEs. This can happen if the WUS is sent in too many cells, which results in all WUS-capable UEs in those cells to unnecessarily listen for paging although they are not going to be paged. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Use of WUS is restricted to the last used cell (the cell in which the UE’s RRC connection was last released) by eNB and UE.  To support this:  a) WUS-capable NG-eNBs should provide the Recommended Cells for Paging IE in the Information On Recommended Cells And RAN nodes For Paging IE (see TS 38.413) to the AMF in the NGAP UE Context Release Complete or UE Context Suspend Request messages;  b) if received during the last NAP UE Context Release Complete or UE Context Suspend Request message, the AMF provides (without modification) the Recommended Cells for Paging as Assistance Data for Recommended Cells IE in the NGAP Paging message to the RAN (see also TS 38.413); and  c) the AMF shall delete (or mark as invalid) the Information On Recommended Cells And RAN nodes For Paging when a new NGAP association is established for the UE.  In the NGAP Paging message, the last used cell ID is sent in the Assistance Data for Recommended Cells IE in the Assistance Data for Paging IE (see TS 38.413). When receiving an NGAP Paging message for a WUS-capable UE that also includes the Assistance Data for Recommended Cells IE then a WUS-capable NG-eNB shall only broadcast the WUS on the cell that matches the last used cell ID.  Note that this CR does not have any NGAP message encoding impact as the above mentioned information elements are already supported as per TS 38.413. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | WUS is likely to increase rather than decrease UE power consumption | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 2, 5.4.9.1.1 (new), 5.4.9.2 (new) | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

<<< Start of changes >>>

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 22.261: "Service requirements for next generation new services and markets; Stage 1".

[3] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".

[4] 3GPP TS 23.203: "Policies and Charging control architecture; Stage 2".

[5] 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS); Stage 2".

[6] 3GPP TS 24.011: "Point-to-Point (PP) Short Message Service (SMS) support on mobile radio interface: Stage 3".

[7] IETF RFC 7157: "IPv6 Multihoming without Network Address Translation".

[8] IETF RFC 4191: "Default Router Preferences and More-Specific Routes".

[9] IETF RFC 2131: "Dynamic Host Configuration Protocol".

[10] IETF RFC 4862: "IPv6 Stateless Address Autoconfiguration".

[11] ITU‑T Recommendation I.130: "Method for the characterization of telecommunication services supported by an ISDN and network capabilities of an ISDN".

[12] ITU‑T Recommendation Q.65: "The unified functional methodology for the characterization of services and network capabilities".

[13] 3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS): Stage 3".

[14] IETF RFC 3736: "Stateless DHCP Service for IPv6".

[15] 3GPP TS 23.228: "IP Multimedia Subsystem (IMS); Stage 2".

[16] 3GPP TS 22.173: "IMS Multimedia Telephony Service and supplementary services; Stage 1".

[17] 3GPP TS 23.122: "Non-Access-Stratum (NAS) functions related to Mobile Station in idle mode".

[18] 3GPP TS 23.167: "3rd Generation Partnership Project; Technical Specification Group Services and Systems Aspects; IP Multimedia Subsystem (IMS) emergency sessions".

[19] 3GPP TS 23.003: "Numbering, Addressing and Identification".

[20] IETF RFC 7542: "The Network Access Identifier".

[21] 3GPP TS 23.002: "Network Architecture".

[22] 3GPP TS 23.335: "User Data Convergence (UDC); Technical realization and information flows; Stage 2".

[23] 3GPP TS 23.221: "Architectural requirements".

[24] 3GPP TS 22.153: "Multimedia priority service".

[25] 3GPP TS 22.011: "Service Accessibility".

[26] 3GPP TS 23.401: "General Packet Radio Service (GPRS) enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) access".

[27] 3GPP TS 38.300: "NR; NR and NG-RAN Overall Description".

[28] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol Specification".

[29] 3GPP TS 33.501: "Security architecture and procedures for 5G system".

[30] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2".

[31] 3GPP TS 37.340: "Evolved Universal Terrestrial Radio Access (E-UTRA) and NR; Multi-connectivity; Stage 2".

[32] 3GPP TS 23.214: "Architecture enhancements for control and user plane separation of EPC nodes; Stage 2".

[33] 3GPP TS 22.101: "3rd Generation Partnership Project; Technical Specification Group Services and Systems Aspects; Service aspects; Service principles".

[34] 3GPP TS 38.413: "NG-RAN; NG Application Protocol (NGAP)".

[35] 3GPP TS 33.126: "Lawful Interception Requirements".

[36] 3GPP TS 23.682: "Architecture enhancements to facilitate communications with packet data networks and applications".

[37] 3GPP TS 22.280: "Mission Critical Services Common Requirements (MCCoRe); Stage 1".

[38] 3GPP TS 23.379: "Functional architecture and information flows to support Mission Critical Push To Talk (MCPTT); Stage 2".

[39] 3GPP TS 23.281: "Functional architecture and information flows to support Mission Critical Video (MCVideo); Stage 2".

[40] 3GPP TS 23.282: "Functional architecture and information flows to support Mission Critical Data (MCData); Stage 2".

[41] 3GPP TS 32.240: "Charging management; Charging architecture and principles".

[42] 3GPP TS 38.401: "NG-RAN Architecture description".

[43] 3GPP TS 23.402: "Architecture enhancements for non-3GPP accesses".

[44] IETF RFC 4960: "Stream Control Transmission Protocol".

[45] 3GPP TS 23.503: "Policy and Charging Control Framework for the 5G System".

[46] 3GPP TS 23.041: "Public Warning System".

[47] 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".

[48] 3GPP TS 24.502: "Access to the 5G System (5GS) via non-3GPP access networks; Stage 3".

[49] 3GPP TS 29.500: "5G System; Technical Realization of Service Based Architecture; Stage 3".

[50] 3GPP TS 38.304: "NR; User Equipment (UE) procedures in idle mode".

[51] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC); Protocol specification".

[52] 3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) procedures in idle mode".

[53] Void.

[54] IETF RFC 4861: "Neighbor Discovery for IP version 6 (IPv6)".

[55] 3GPP TS 23.271: "Functional stage 2 description of Location Services (LCS)".

[56] 3GPP TS 23.060: "General Packet Radio Service (GPRS); Service description; Stage 2".

[57] IETF RFC 4555: "IKEv2 Mobility and Multihoming Protocol (MOBIKE)".

[58] 3GPP TS 29.510: "5G System: Network function repository services; Stage 3".

[59] 3GPP TS 29.502: "5G System: Session Management Services: Stage 3".

[60] IETF RFC 7296: "Internet Key Exchange Protocol Version 2 (IKEv2) ".

[61] 3GPP TS 23.380: "IMS Restoration Procedures".

[62] 3GPP TS 24.229: "IP multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3".

[63] 3GPP TS 23.292: "IP Multimedia Subsystem (IMS) centralized services; Stage 2".

[64] 3GPP TS 23.222: "Functional architecture and information flows to support Common API Framework for 3GPP Northbound APIs".

[65] 3GPP TS 29.244: "Interface between the Control Plane and the User Plane Nodes; Stage 3".

[66] 3GPP TS 32.421: "Telecommunication management; Subscriber and equipment trace; Trace concepts and requirements".

[67] 3GPP TS 32.290: "5G system; Services, operations and procedures of charging using Service Based Interface (SBI)".

[68] 3GPP TS 32.255: "5G Data connectivity domain charging; Stage 2".

[69] 3GPP TS 38.306: "NR; User Equipment -UE) radio access capabilities".

[70] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access -E-UTRA); User Equipment -UE) radio access capabilities".

[71] 3GPP TS 29.518: "5G System; Access and Mobility Management Services; Stage 3".

[72] 3GPP TS 23.285: "Architecture enhancements for V2X services".

[73] IETF RFC 2865: "Remote Authentication Dial In User Service (RADIUS)".

[74] IETF RFC 3162: "RADIUS and IPv6".

[75] 3GPP TS 29.281: "General Packet Radio System (GPRS) Tunnelling Protocol User Plane (GTPv1-U)".

[76] 3GPP TS 26.238: "Uplink streaming".

[77] 3GPP TR 26.939: "Guidelines on the Framework for Live Uplink Streaming (FLUS)".

[78] International Telecommunication Union (ITU), Standardization Bureau (TSB): "Operational Bulletin No. 1156"; http://handle.itu.int/11.1002/pub/810cad63-en (retrieved October 5, 2018).

[79] 3GPP TS 28.533: "Management and orchestration; Architecture framework".

[80] 3GPP TS 24.250: "Protocol for Reliable Data Service; Stage 3".

[81] IETF RFC 8684: "TCP Extensions for Multipath Operation with Multiple Addresses".

[82] draft-ietf-tcpm-converters-14: "0-RTT TCP Convert Protocol".

Editor's note: The above document cannot be formally referenced until it is published as an RFC.

[83] IEEE 802.1CB-2017: "IEEE Standard for Local and metropolitan area networks-Frame Replication and Elimination for Reliability".

[84] 3GPP TS 23.316: "Wireless and wireline convergence access support for the 5G System (5GS)".

[85] WiFi Alliance Technical Committee, Hotspot 2.0 Technical Task Group: "Hotspot 2.0 (Release 2) Technical Specification".

[86] 3GPP TS 23.288: "Architecture enhancements for 5G System (5GS) to support network data analytics services".

[87] 3GPP TS 23.273: "5G System (5GS) Location Services (LCS); Stage 2".

[88] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC); Stage 2".

[89] CableLabs DOCSIS MULPI: "Data-Over-Cable Service Interface Specifications DOCSIS 3.1, MAC and Upper Layer Protocols Interface Specification".

[90] BBF TR-124 issue 5: "Functional Requirements for Broadband Residential Gateway Devices".

[91] BBF TR-101 issue 2: "Migration to Ethernet-Based Broadband Aggregation".

[92] BBF TR-178 issue 1: "Multi-service Broadband Network Architecture and Nodal Requirements".

[93] BBF WT-456: "AGF Functional Requirements".

[94] BBF WT-457: "FMIF Functional Requirements".

Editor's note: The references to BBF WT-456 and WT-457 will be revised when finalized by BBF.

[95] IEEE P802.1Qcc: "Standard for Local and metropolitan area networks - Bridges and Bridged Networks - Amendment: Stream Reservation Protocol (SRP) Enhancements and Performance Improvements".

[96] Void.

[97] IEEE Std 802.1AB-2016: "IEEE Standard for Local and metropolitan area networks -- Station and Media Access Control Connectivity Discovery".

[98] IEEE P802.1Q: "Standard for Local and metropolitan area networks--Bridges and Bridged Networks".

[99] 3GPP TS 38.423: "NG-RAN; Xn Application Protocol (XnAP)".

[100] 3GPP TS 36.413: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 Application Protocol (S1AP)".

[101] 3GPP TS 29.274: "Evolved General Packet Radio Service (GPRS) Tunnelling Protocol for Control plane (GTPv2-C); Stage 3".

[102] 3GPP TS 23.632: "User Data Interworking, Coexistence and Migration; stage 2".

[103] 3GPP TS 29.563: "5G System (5GS); HSS services for interworking with UDM; Stage 3".

[104] IEEE Std 802.1AS-Rev/D7.3, August 2018: "IEEE Standard for Local and metropolitan area networks--Timing and Synchronization for Time-Sensitive Applications".

[105] 3GPP TS 22.104: "Service requirements for cyber-physical control applications in vertical domains".

[106] IEEE Std 802.11-2012: "IEEE Standard for Information technology - Telecommunications and information exchange between systems - Local and metropolitan area networks - Specific requirements - Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications".

[107] IEEE 1588-2008: "IEEE Standard for a Precision Clock Synchronization Protocol for Networked Measurement and Control".

[108] 3GPP TS 28.552: "Management and orchestration; 5G performance measurements".

[109] 3GPP TS 24.193: "Access Traffic Steering, Switching and Splitting; Stage 3".

[110] 3GPP TS 24.526: "User Equipment (UE) policies for 5G System (5GS); Stage 3".

[111] 3GPP TS 22.186: "Enhancement of 3GPP support for V2X scenarios; Stage 1".

[112] 3GPP TR 38.824: "Study on physical layer enhancements for NR ultra-reliable and low latency case (URLLC)".

[113] IEEE: "Guidelines for Use of Extended Unique Identifier (EUI), Organizationally Unique Identifier (OUI), and Company ID (CID)", https://standards.ieee.org/content/dam/ieee-standards/standards/web/documents/tutorials/eui.pdf.

[114] 3GPP TS 32.256: "Charging Management; 5G connection and mobility domain charging; Stage 2".

[115] 3GPP TS 33.210: "Network Domain Security (NDS); IP network layer security".

[116] 3GPP TS 38.415: "PDU Session User Plane Protocol".

[117] 3GPP TS 24.535: "Device-side Time-Sensitive Networking (TSN) Translator (DS-TT) to network-side TSN Translator (NW-TT) protocol aspects; Stage 3".

[118] 3GPP TS 32.274: "Charging Management; Short Message Service (SMS) charging".

[119] 3GPP TS 23.008: "Organization of subscriber data".

[120] 3GPP TS 38.314: "NR; Layer 2 measurements".

[121] 3GPP TS 23.287: "Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services".

[122] 3GPP TS 29.503: "5G System; Unified Data Management Services; Stage 3".

[X1] 3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) procedures in idle mode".

<<< Next changes >>>

### 5.4.9 Wake Up Signal Assistance

#### 5.4.9.1 General

The RAN and UE may use a Wake Up Signal (WUS) to reduce the UE's idle mode power consumption. The RAN sends the WUS shortly before the UE's paging occasion. The WUS feature enables UEs to determine that in the paging occasions immediately following their WUS occasion they will not be paged if their WUS is not transmitted, or that they might be paged if their WUS is transmitted (see TS 36.304 [X1]).

To avoid waking up UEs due to an AMF paging other UEs across multiple cells (e.g. due to frequent UE mobility and/or for low paging latency services such as VoLTE), the use of WUS by the ng-eNB and the UE is restricted to the last used cell, i.e. the cell in which the UE's RRC connection was last released. To support this:

a) ng-eNBs should provide the *Recommended Cells for Paging IE* in the *Information on Recommended Cells and RAN Nodes for Paging IE* (see TS 38.413 [34]) to the AMF in the NGAP UE Context Release Complete or UE Context Suspend Request messages;

b) if received during the last NGAP UE Context Release Complete or UE Context Suspend Request message, the AMF provides (without modification) the *Recommended Cells for Paging* as *Assistance Data for Recommended Cells IE* in the *Assistance Data for Paging IE* within the NGAP Paging message to the RAN (see also TS 38.413 [34]); and

c) the AMF shall delete (or mark as invalid) the *Information On Recommended Cells And RAND nodes For Paging* when a new NGAP association is established for the UE.

In the NGAP Paging message, the last used cell ID is sent in the *Assistance Data for Recommended Cells IE* in the *Assistance Data for Paging IE* (see TS 38.413 [34]). When receiving an NGAP Paging message that also includes the *Assistance Data for Recommended Cells IE* then a WUS-capable ng-eNB shall only broadcast the WUS on the cell that matches the last used cell ID.

#### 5.4.9.2 Group Wake Up Signal

To support the Wake Up Signal (WUS), the WUS Assistance Information is used by the ng-eNB to help determine the WUS group used when paging the UE (see TS 36.300 [30]).

The content of the WUS Assistance Information consists of the paging probability information. The paging probability information provides a metric on the probability of a UE receiving a paging message based on, e.g., statistical information.

The UE may in the Registration Request message provide its capability to support receiving WUS Assistance Information. If WUS Assistance Information is supported by the UE, then the UE in the Registration Request message may provide the additional UE paging probability information. The AMF may use the UE provided paging probability, local configuration and/or previous statistical information for the UE, when determining the WUS Assistance Information. If the UE supports WUS Assistance Information, the AMF may assign WUS Assistance Information to the UE, even when the UE has not provided the additional UE paging probability information.

If the AMF has determined WUS Assistance Information for the UE, the AMF provides it to the UE in every Registration Accept message. The AMF stores the WUS Assistance Information parameter in the MM context and provides it to the ng-eNB when paging the UE.

UE and AMF shall not signal WUS Assistance Information in Registration Request, Registration Accept messages when the UE has an active emergency PDU session.

<<< End of changes >>>