Source: SA WG3

Title: 9 SA WG3 LI Group CRs to TS 33.108 (Rel-5 and Rel-6) which

were agreed by SA WG3 by e-mail (30/11/2004)

**Document for:** Approval

Agenda Item: 7.3.3

The following CRs were agreed by SA WG3 by e-mail on 30 November 2004 and are presented to TSG SA for approval.

SA WG3 Doc	Spec	CR	Rev	Phase	Subject	Cat	Version-Current		Work item
number								number	
S3-040913	33.108	060	-	Rel-5	Correction to ULIC header	F	5.8.0	S3LI04_157r1	SEC1-LI
S3-040913	33.108	061	-	Rel-6	Correction to ULIC header	Α	6.7.0	S3LI04_158r1	SEC1-LI
S3-040913	33.108	062	-	Rel-6	Correction on parameter	F	6.7.0	S3LI04_156r2	SEC1-LI
					GprsOperationErrorCode				
S3-040913	33.108	063	-	Rel-6	Correction to the IMPORTS statements	F	6.7.0	S3LI04_161r2	SEC1-LI
S3-040913	33.108	064	-	Rel-6	Syntax Error in Annex B.3	F	6.7.0	S3LI04_172r1	SEC1-LI
S3-040913	33.108	065	-	Rel-6	Deleting CC from SIP message	В	6.7.0	S3LI04_173r3	SEC1-LI
S3-040913	33.108	066	-	Rel-6	Adding domain ID to HI3 CS domain module	В	6.7.0	S3LI04_174r3	SEC1-LI
S3-040913	33.108	067	-	Rel-6	Syntax Error in Annex B.3a	F	6.7.0	S3LI04_176r1	SEC1-LI
S3-040913	33.108	068	-	Rel-6	HI2 SIP Content clarification	C	6.7.0	S3LI04_179r1	SEC1-LI

## 3GPP TSG-SA3-LI Meeting #15 San Antonio, USA, 11-13 October 2004

# Tdoc | S3LI04\_157r1

	CHANGE REQUEST	CR-Form-v7.1
[ <b>X</b> ]	33.108 CR 060 # rev - # Cu	urrent version: 5.8.0
For <u>HELP</u> on us	sing this form, see bottom of this page or look at the po	op-up text over the 🕱 symbols.
Proposed change a	ME Radio Acce	ss Network Core Network X
Title: 器	Correction to ULIC header	
Source:	SA3-LI	
Work item code: 器	SEC1-LI	Date:     #   12/10/2004
		Release:   Rel-5 Use one of the following releases: Ph2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) Rel-7 (Release 7)
Reason for change:	intercepted in both SGSN and GGSN are sent to LEMF over HI3 interface. In the information sent indication allowing LEMF to distinguish whether intercepted in the GGSN or in the SGSN.	hrough the same DF3/MF to t over HI3 interface there is no the received packet was
Summary of change	e: 無 The ULIC header is modified to carry informatio or SGSN) in which the packet was intercepted.	n about the type of node (GGSN
Consequences if not approved:	Wrong information sent to LEMF; in the mention duplicated/mixed copies of packets and it would distinguish which packet was intercepted in the intercepted in the SGSN.	be not possible for LEMF to
Clauses affected:	第 B.4, C.1.2, C.1.3	
Other specs affected:	Y N Other core specifications	
Other comments:	<b>x</b>	

### \*\*\* FIRST MODIFICATION \*\*\*

### B.4 HI3 CC definition

```
GPRSCorrelationNumber
   FROM UmtsHI2Operations
   {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulintercept(2) threeGPP(4)
   hi2(1) version-1(1)} -- from 3GPP UmtsHI2Operations

LawfulInterceptionIdentifier,

TimeStamp
   FROM HI2Operations
   {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulIntercept(2) hi2(1)
   version3(3)}; -- from ETSI HI2Operations TS 101 671 Edition 3
```

```
-- Object Identifier Definitions

-- Security DomainId lawfulInterceptDomainId OBJECT IDENTIFIER ::= {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulIntercept(2)}

-- Security Subdomains threeGPPSUBDomainId OBJECT IDENTIFIER ::= {lawfulInterceptDomainId threeGPP(4)} hi3DomainId OBJECT IDENTIFIER ::= {threeGPPSUBDomainId hi3-(2) r5(5) version-12(12)}
```

```
CC-PDU ::= SEQUENCE
    uLIC-header
                    [1] ULIC-header
   payload
                   [2] OCTET STRING
ULIC-header ::= SEQUENCE
   hi3DomainId
                            [0] OBJECT IDENTIFIER, -- 3GPP HI3 Domain
    version
                            [1] Version,
    1 T T D
                            [2] LawfulInterceptionIdentifier OPTIONAL,
    correlation-Number
                            [3] GPRSCorrelationNumber,
                            [4] TimeStamp OPTIONAL,
    timeStamp
                            [5] INTEGER (0..65535),
    sequence-number
    t-PDU-direction
                            [6] TPDU-direction,
    ice-type
                            [8] ICE-type OPTIONAL
        -- The ICE-type indicates the applicable Intercepting Control Element (see ref [19])
        -- in which the TPDU is intercepted.
```

```
Version ::= ENUMERATED
{
    version1(1),
    ...,
    version2(2)
}

TPDU-direction ::= ENUMERATED
{
    from-target (1),
    to-target (2),
    unknown (3)
}
```

```
ICE-type ::= ENUMERATED

sgsn (1),
```

END-- OF Umts-HI3-PS

## \*\*\* NEXT MODIFICATION \*\*\*

# C.1.2 Definition of ULIC header version 0

ULIC header contains the following attributes:

- Correlation Number.
- Message Type (a value of 255 is used for HI3-PDU's).
- Direction.
- Sequence Number.
- Length.
- Intercepting Control Element (ICE) type.

T-PDU contains the intercepted information.

		E	<del>Sits</del>				
8 7	6	<del>5</del>	4	3	2	4	
Version (	<del>0-0-0')</del>	<u>'4'</u>	Spare	<del>'11'</del>	DIR	<del>'Q'</del>	
	Mess	sage Ty	<del>pe (valud</del>	<del>255)</del>			
	Ę	Sequenc	e Numb	<del>er</del>			
	- 1	<del>not use</del> c	<del>l (value (</del>	<del>))</del>			
	n	ot used	<del>(value 2l</del>	5 <del>5)</del>			
				<del>55)</del>			
	n	ot used	<del>(value 2l</del>	<del>55)</del>			
	n	ot used	<del>(value 2l</del>	<del>55)</del>			
	€	<del>correlation</del>	<del>on numb</del>	<del>er</del>			
8 7	6	<u>E</u> 5	Bits 4	3	2	1	
Version (	0 0 0')	<u>'1'</u>	Spare '1'	ICE type	DIR	<u>'0'</u>	
	Mess	sage Ty	pe (value				
		<u>Le</u>	<u>ngth</u>				
	Sequence Number						
	not used (value 0)						
not used (value 255)							
	not used (value 255)						
			•				
		orrolotic		O.F.			
	Version (*	Version ('0 0 0')   Mess	8         7         6         5           Version ('0 0 0')         '4'           Sequence not used not used not used not used correlation           8         7         6         5           Version ('0 0 0')         '4'           Message Ty           Sequence not used not use	Version ('0 0 0')    '1'   Spare	8         7         6         5         4         3           Version ('0 0 0')         '1'         Spare '1 1'         Spare '1 1'         Length           Sequence Number           not used (value 0)           not used (value 255)           not used (value 255)           Bits           serrelation number           Version ('0 0 0')         '1'         Spare '1'         ICE '1'         type           Message Type (value 255)           Length           Sequence Number           not used (value 0)           not used (value 255)	8         7         6         5         4         3         2           Version ('0 0 0')         '1'         Spare '1 1'         DIR           Message Type (value 255)           not used (value 255)           Bits           not used (value 255)           serrelation number           Message Type (value 255)           Length           Sequence Number           not used (value 255)           not used (value 255)	

Figure C.1: Outline of ULIC header

For interception tunneling the ULIC header shall be used as follows:

- Version shall be set to 0 to indicate the first version of ULIC header.
- DIR indicates the direction of the T-PDU:
  - "1" indicating uplink (from observed mobile user); and
  - "0" indicating downlink (to observed mobile user).
- Message Type shall be set to 255 (the unique value that is used for T-PDU within GTP [12]).
- Length shall be the length, in octets, of the signalling message excluding the ULIC header. Bit 8 of octet 3 is the most significant bit and bit 1 of octet 4 is the least significant bit of the length field.
- Sequence Number is an increasing sequence number for tunneled T-PDUs. Bit 8 of octet 5 is the most significant bit and bit 1 of octet 6 is the least significant bit of the sequence number field.
- Correlation Number consists of two parts: GGSN-ID identifies the GGSN which creates the Charging-ID.

Charging-ID is defined in [12] and assigned uniquely to each PDP context activation on that GGSN (4 octets).

The correlation number consist of 8 octets. The requirements for this correlation number are similar to that defined for charging in [12], chapter 5.4. Therefore it is proposed to use the Charging-ID, defined in [12], chapter 5.4 as part of correlation number. The Charging-ID is signaled to the new SGSN in case of SGSN-change so the tunnel identifier could be used "seamlessly" for the HI3 interface.

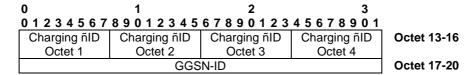


Figure C.2: Outline of correlation number

- Intercepting Control Element (ICE, see ref [19]) type. Indicates whether the T-PDU was intercepted in the GGSN or in the SGSN:

î 0î indicating GGSN; and

ì 1î indicating SGSN.

This parameter is needed only in case the GGSN and the SGSN use the same Delivery Function/Mediation Function for the delivery of Content of Communication.

The ULIC header is followed by a subsequent payload information element. Only one payload information element is allowed in a single ULIC message.

				В	its			
Octets	8	7	6	5	4	3	2	1
1 ñ 20				ULIC-	Header			
21 ñn			Payloa	d Inforn	nation E	lement	t	

Figure C.3: ULIC header followed by the subsequent payload Information Element

The payload information element contains the header and the payload of the communication between the intercepted subscriber and the other party.

### C.1.3 Definition of ULIC header version 1

ULIC-header version 1 is defined in ASN.1 (ref [5]) (see annex B.4) and is encoded according to BER (ref [6]). It contains the following attributes:

- Object Identifier (hi3DomainId)
- ULIC header <u>ASN.1</u> version (version) set to version1.
- lawful interception identifier (IIID, optional) sending of lawful interception identifier is application dependant; it is done according to national requirements.
- correlation number (correlation-Number). As defined in clause 6.1.3
- time stamp (timeStamp, optional), sending of time stamp is application dependant; it is done according to national requirements.
- sequence number (sequence-number). Sequence Number is an increasing sequence number for tunneled T-PDUs. Handling of sequence number is application dependent; it is done according to national requirements (e.g. unique sequence number per PDP-context).
- TPDU direction (t-PDU-direction) indicates the direction of the T-PDU (from the target or to the target).
- ICE type (ice-type, optional) indicates the applicable Intercepting Control Element (see ref. [19]) in which the T-PDU was intercepted. This parameter is needed only in case the GGSN and the SGSN use the same Delivery Function/Mediation Function for the delivery of Content of Communication.

The ULIC header is followed by a subsequent payload information element. Only one payload information element is allowed in a single ULIC message (see annex B.4).

The payload information element contains the header and the payload of the communication between the intercepted subscriber and the other party.

\*\*\* END OF MODIFICATION \*\*\*

### 3GPP TSG-SA3-LI Meeting #15 San Antonio, USA, 11-13 October 2004

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										Rel-6 Rel-7		ease 6) ease 7)	
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Reason for change	a. Ж	In ca	se a ce	ntralized	DF3/N	/IF is se	rvino	both	h GGSN	V and	SGSI	N, packe	ts
riousem for enamy	00	inter	cepted	n both S	GSN a	and GG	SN a	re se	ent thro	ugh th	e san	ne DF3/N	/IF to
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Summary of chang	re· æ	The	ULIC he	eader is r	modifie	ed to ca	rry in	form	ation al	hout th	ne tyn	e of node	e (GGSN
	,00	or S0	GSN) in	which th	ie pacł	ket was	inter	cepte	ed.				
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		interd	cepted	n the SG	SSN.								
Clauses affected:	H	B.4,	C.1.2, 0	C.1.3									
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Other comments:	H												

### \*\*\* FIRST MODIFICATION \*\*\*

## B.4 Contents of communication (HI3 PS)

```
Umts-HI3-PS {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulintercept(2)
threeGPP(4) hi3(2) r6(6) version-23(23)}
DEFINITIONS IMPLICIT TAGS ::=
BEGIN
```

```
GPRSCorrelationNumber
   FROM UmtsHI2Operations
   {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulintercept(2) threeGPP(4)
   hi2(1) r6(6) version-3(3)} -- from 3GPP UmtsHI2Operations

LawfulInterceptionIdentifier,

TimeStamp
   FROM HI2Operations
   {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulIntercept(2) hi2(1)
   version5(5)}; -- from ETSI HI2Operations TS 101 671v2.9.1
```

```
-- Object Identifier Definitions

-- Security DomainId
lawfulInterceptDomainId OBJECT IDENTIFIER ::= {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulIntercept(2)}

-- Security Subdomains
threeGPPSUBDomainId OBJECT IDENTIFIER ::= {lawfulInterceptDomainId threeGPP(4)}
hi3DomainId OBJECT IDENTIFIER ::= {threeGPPSUBDomainId hi3(2) r6(6) version-23(23)}
```

```
CC-PDU ::= SEQUENCE
    uLIC-header
                    [1] ULIC-header
    payload
                    [2] OCTET STRING
ULIC-header ::= SEQUENCE
   hi3DomainId
                             [0] OBJECT IDENTIFIER, -- 3GPP HI3 Domain
    version
                             [1] Version,
    1 T T D
                             [2] LawfulInterceptionIdentifier OPTIONAL,
    correlation-Number
                             [3] GPRSCorrelationNumber,
                             [4] TimeStamp OPTIONAL, [5] INTEGER (0..65535),
    timeStamp
    sequence-number
    t-PDU-direction
                             [6] TPDU-direction,
    national-HI3-ASN1parameters
                                     [7] National-HI3-ASN1parameters OPTIONAL,
    -- encoded per national requirements
    ice-type
                             [8] ICE-type OPTIONAL
           The ICE-type indicates the applicable Intercepting Control Element(see ref [19]) in which
        -- the T-PDU is intercepted.
```

```
Version ::= ENUMERATED
{
    version1(1),
    .../
    version3(3)
}

TPDU-direction ::= ENUMERATED
{
    from-target (1),
    to-target (2),
    unknown (3)
}
```

```
National-HI3-ASN1parameters ::= SEQUENCE {
```

END-- OF Umts-HI3-PS

# \*\*\* NEXT MODIFICATION \*\*\*

## C.1.2 Definition of ULIC header version 0

ULIC header contains the following attributes:

- Correlation Number.
- Message Type (a value of 255 is used for HI3-PDU's).
- Direction.
- Sequence Number.
- Length.
- Intercepting Control Element (ICE) type.

T-PDU contains the intercepted information.

				₽	<del>3its</del>			
Octets	8	7	6	<del>5</del>	4	3	2	4
4	Vers	<del>ion ('0</del>	<del>-0-0')</del>	<u>'4'</u>	Spai	<del>'0 '1 1'</del>	DIR	<u>'O'</u>
<del>2</del>			Mes	sage Ty	<del>pe (val</del>	u <del>o 255)</del>		
<del>3-4</del>				<del>Le</del>	<del>ngth</del>			
<del>5-6</del>			Ę	Sequenc	e Num	<del>ber</del>		
<del>7-8</del>			- 1	not used	<del>l (value</del>	<del>) (0)</del>		
9			n	<del>ot used</del>	<del>(value :</del>	<del>255)</del>		
<del>10</del>			n	ot used	<del>(value :</del>	<del>255)</del>		
<del>11</del>	not used (value 255)							
<del>12</del>	not used (value 255)							
<del>13-20</del>			€	<del>correlatio</del>	<del>on num</del>	<del>ber</del>		

				<u> </u>	<u> Bits</u>			
Octets	<u>8</u>	<u>7</u>	<u>6</u>	<u>5</u>	<u>4</u>	<u>3</u>	<u>2</u>	<u>1</u>
<u>1</u>	Versi	on ('0	0 0')	<u>'1'</u>	<u>Spare</u>	<u>ICE</u>	DIR	<u>'0'</u>
					<u>'1'</u>	type		
<u>2</u>			Mess	sage Ty	pe (valu	e 255)		
<u>3-4</u>		Length						
3-4 5-6		Sequence Number						
<u>7-8</u> <u>9</u>		not used (value 0)						
<u>9</u>		not used (value 255)						
<u>10</u>		not used (value 255)						
<u>11</u>	not used (value 255)							
<u>11</u> <u>12</u>	not used (value 255)							
<u>13-20</u>			<u>c</u>	orrelati	on numb	<u>er</u>		

Figure C.1: Outline of ULIC header

For interception tunneling the ULIC header shall be used as follows:

- Version shall be set to 0 to indicate the first version of ULIC header.
- DIR indicates the direction of the T-PDU:
  - "1" indicating uplink (from observed mobile user); and
  - "0" indicating downlink (to observed mobile user).
- Message Type shall be set to 255 (the unique value that is used for T-PDU within GTP [17]).
- Length shall be the length, in octets, of the signalling message excluding the ULIC header. Bit 8 of octet 3 is the most significant bit and bit 1 of octet 4 is the least significant bit of the length field.
- Sequence Number is an increasing sequence number for tunneled T-PDUs. Bit 8 of octet 5 is the most significant bit and bit 1 of octet 6 is the least significant bit of the sequence number field.

NOTE: When a handoff occurs between SGSNs, the DF3 serving the LEA may change. If the DF3 serving an LEA changes as a result of an handoff between SGSNs, contiguous sequencing may not occur as new sequencing may be initiated at the new DF3. Accordingly, the LEA should not assume that sequencing shall be contiguous when handoff occurs between SGSNs and the DF3 serving the LEA changes.

- Correlation Number consists of two parts: GGSN-ID identifies the GGSN which creates the Charging-ID.

Charging-ID is defined in [17] and assigned uniquely to each PDP context activation on that GGSN (4 octets).

The correlation number consist of 8 octets. The requirements for this correlation number are similar to that defined for charging in [17]. Therefore it is proposed to use the Charging-ID, defined in [17] as part of correlation number. The Charging-ID is signalled to the new SGSN in case of SGSN-change so the tunnel identifier could be used "seamlessly" for the HI3 interface.

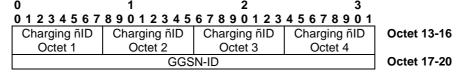


Figure C.2: Outline of correlation number

- Intercepting Control Element (ICE, see ref [19]) type. Indicates whether the T-PDU was intercepted in the GGSN or in the SGSN:

î 0î indicating GGSN; and

ì 1î indicating SGSN.

This parameter is needed only in case the GGSN and the SGSN use the same Delivery Function/Mediation Function for the delivery of Content of Communication.

The ULIC header is followed by a subsequent payload information element. Only one payload information element is allowed in a single ULIC message.

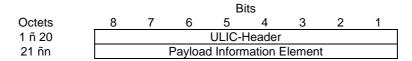


Figure C.3: ULIC header followed by the subsequent payload Information Element

The payload information element contains the header and the payload of the communication between the intercepted subscriber and the other party.

### \*\*\* NEXT MODIFICATION \*\*\*

## C.1.3 Definition of ULIC header version 1

ULIC-header version 1 is defined in ASN.1 [5] (see annex B.4) and is encoded according to BER [6]. It contains the following attributes:

- Object Identifier (hi3DomainId)
- ULIC header <u>ASN.1</u> version (version) set to version1.
- lawful interception identifier (IIID, optional) sending of lawful interception identifier is application dependant; it is done according to national requirements.
- correlation number (correlation-Number). As defined in clause 6.1.3
- time stamp (timeStamp, optional), sending of time stamp is application dependant; it is done according to national requirements.
- sequence number (sequence-number). Sequence Number is an increasing sequence number for tunneled T-PDUs. Handling of sequence number is application dependent; it is done according to national requirements (e.g. unique sequence number per PDP-context).

NOTE: When a handoff occurs between SGSNs, the DF3 serving the LEA may change. If the DF3 serving an LEA changes as a result of an handoff between SGSNs, contiguous sequencing may not occur as new sequencing may be initiated at the new DF3. Accordingly, the LEA should not assume that sequencing shall be contiguous when handoff occurs between SGSNs and the DF3 serving the LEA changes.

- TPDU direction (t-PDU-direction) indicates the direction of the T-PDU (from the target or to the target).
- National parameters (nationalParameters, optional)
   this parameter is encoded according to national requirements
- ICE type (ice-type, optional)
   indicates whether the T-PDU was intercepted in the GGSN or in the SGSN. This parameter is needed only in
   case the GGSN and the SGSN use the same Delivery Function/Mediation Function for the delivery of Content of
   Communication.

The ULIC header is followed by a subsequent payload information element. Only one payload information element is allowed in a single ULIC message (see annex B.4).

The payload information element contains the header and the payload of the communication between the intercepted subscriber and the other party.

\*\*\* END OF MODIFICATION \*\*\*

	CHANGE REQUEST	CR-Form-v7.1
<b>X</b>	33.108 CR 062	Current version: 6.7.0
For <u>HELP</u> on u	sing this form, see bottom of this page or look at the p	pop-up text over the 器 symbols.
Proposed change a	affects: │ UICC apps <mark>器 </mark> ME Radio Acc	ess Network Core Network X
Title:	Correction on parameter GprsOperationErrorCode	
Source:	SA3-LI	
Work item code:	SEC1-LI	<i>Date:</i>   3
Category: 第	F Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900.	Release: Rel-6  Use one of the following releases: Ph2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) Rel-7 (Release 7)
Reason for change	string of fixed size (2 octets), including the IEI at the general definition in TS 24.008. This does formatting (only one octet) of the parameter whe 24.008 for SM cause and GMM cause.	and the cause value, according to not take in account possible ìVî nich is foreseen by 3GPP TS
Summary of chang	The ASN.1 definition of the parameter GprsOp have variable length in order to allow sending cause.	3
Consequences if not approved:	In case the IEI for SM/GMM cause is not available to send the parameter GprsOperation by ASN.1. Wrong information would be sent to	ErrorCode in the format foreseen
Clauses affected:	<b>第 B.3</b>	
Other specs affected:	Y N	
Other comments:	<b> </b>	

# B.3 Intercept related information (HI2 PS and IMS)

Declaration of ROSE operation umts-sending-of-IRI is ROSE delivery mechanism specific. When using FTP delivery mechanism, data UmtsIRIsContent must be considered.

#### ASN1 description of IRI (HI2 interface)

```
UmtsHI2Operations {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulintercept(2)
threeGPP(4) hi2(1) r6(6) version-56(56)}

DEFINITIONS IMPLICIT TAGS ::=
BEGIN
```

```
IMPORTS
        OPERATION.
        ERROR
            FROM Remote-Operations-Information-Objects
            {joint-iso-itu-t(2) remote-operations(4) informationObjects(5) version1(0)}
        LawfulInterceptionIdentifier,
        TimeStamp,
        Network-Identifier,
        National-Parameters,
        National-HI2-ASN1parameters,
        DataNodeAddress,
        IPAddress,
        IP-value,
        X25Address
            FROM HI2Operations
            {itu-t(0) identified-organization(4) etsi(0) securityDomain(2)
                  lawfulIntercept(2) hi2(1) version5(5)); -- Imported from TS 101 671
```

```
-- Object Identifier Definitions

-- Security DomainId
lawfulInterceptDomainId OBJECT IDENTIFIER ::= {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulIntercept(2)}

-- Security Subdomains
threeGPPSUBDomainId OBJECT IDENTIFIER ::= {lawfulInterceptDomainId threeGPP(4)}
hi2DomainId OBJECT IDENTIFIER ::= {threeGPPSUBDomainId hi2(1) r6(6) version-56(56)}
```

```
UmtsIRIsContent
                   ::= CHOICE
    umtsiRIContent
                            UmtsIRIContent.
    umtsIRISequence
                            UmtsIRISequence
                    ::= SEQUENCE OF UmtsIRIContent
UmtsIRISequence
 -- Aggregation of UmtsIRIContent is an optional feature.
-- It may be applied in cases when at a given point in time
-- several IRI records are available for delivery to the same LEA destination.
-- As a general rule, records created at any event shall be sent
-- immediately and not withheld in the DF or MF in order to
-- apply aggragation.
-- When aggregation is not to be applied,
-- UmtsIRIContent needs to be chosen.
```

```
-- Parameters having the same tag numbers must be identical in Rel-5 and Rel-6 modules.
                   ::= SEQUENCE
IRI-Parameters
                            [0] OBJECT IDENTIFIER, -- 3GPP HI2 domain
    hi2DomainId
    iRIversion
                            [23] ENUMERATED
        version2 (2),
        version3 (3),
        version4 (4),
           -- note that version5 (5) cannot be used as it was missed in the version 5 of this
             - ASN.1 module.
       version6 (6)
    } OPTIONAL,
        -- if not present, it means version 1 is handled
    lawfulInterceptionIdentifier [1] LawfulInterceptionIdentifier,
       -- This identifier is associated to the target.
    timeStamp
                           [3] TimeStamp,
        -- date and time of the event triggering the report.)
    initiator
                            [4] ENUMERATED
        not-Available
                            (0).
        originating-Target (1),
            -- in case of GPRS, this indicates that the PDP context activation, modification
            -- or deactivation is MS requested
        terminating-Target (2),
            -- in case of GPRS, this indicates that the PDP context activation, modification or
            -- deactivation is network initiated
    } OPTIONAL,
    locationOfTheTarget
                           [8] Location OPTIONAL,
         - location of the target subscriber
                          [9] SET SIZE (1..10) OF PartyInformation OPTIONAL,
    partyInformation
        -- This parameter provides the concerned party, the identiy(ies) of the party
        --) and all the information provided by the party.
                            [13] PartyInformation OPTIONAL,
    serviceCenterAddress
        -- e.g. in case of SMS message this parameter provides the address of the relevant
        -- server within the calling (if server is originating) or called (if server is
        -- terminating) party address parameters
                           [14] SMS-report OPTIONAL,
        -- this parameter provides the SMS content and associated information
   national-Parameters
                            [16] National-Parameters OPTIONAL,
    gPRSCorrelationNumber [18] GPRSCorrelationNumber OPTIONAL,
    gPRSevent
                            [20] GPRSEvent OPTIONAL.
        -- This information is used to provide particular action of the target
        -- such as attach/detach
    sgsnAddress
                            [21] DataNodeAddress OPTIONAL,
    gPRSOperationErrorCode [22] GPRSOperationErrorCode OPTIONAL,
    ggsnAddress
                            [24] DataNodeAddress OPTIONAL,
    qOS
                            [25] UmtsQos OPTIONAL,
    networkIdentifier
                            [26] Network-Identifier OPTIONAL,
    sMSOriginatingAddress
                            [27] DataNodeAddress OPTIONAL,
```

#### -- PARAMETERS FORMATS

```
PartyInformation
                            ::= SEOUENCE
    party-Qualifier [0] ENUMERATED
        gPRS-Target(3),
    partyIdentity [1] SEQUENCE
                               [1] OCTET STRING (SIZE (8)) OPTIONAL,
        imei
            -- See MAP format [4]
                                [3] OCTET STRING (SIZE (3..8)) OPTIONAL,
        imsi
            -- See MAP format [4] International Mobile
            -- Station Identity E.212 number beginning with Mobile Country Code
       msISDN
                               [6] OCTET STRING (SIZE (1..9)) OPTIONAL,
            -- MSISDN of the target, encoded in the same format as the AddressString
            -- parameters defined in MAP format document [4], § 14.7.8
        e164-Format
                                [7] OCTET STRING
                                                    (SIZE (1 .. 25)) OPTIONAL,
            \operatorname{--} E164 address of the node in international format. Coded in the same format as
            -- the calling party number parameter of the ISUP (parameter part:[5])
                               [8] OCTET STRING
           -- See [26]
                               [9] OCTET STRING
        tel-url
           -- See [36]
    },
    services-Data-Information [4] Services-Data-Information OPTIONAL,
        -- This parameter is used to transmit all the information concerning the
        -- complementary information associated to the basic data call
```

```
Location
         ::= SEQUENCE
                   [2] GlobalCellID OPTIONAL,
    qlobalCellID
        --see MAP format (see [4])
                         [4] Rai
                                      OPTIONAL,
        -- the Routeing Area Identifier is coded in accordance with the § 10.5.5.15 of
        -- document [9] without the Routing Area Identification IEI (only the
        -- last 6 octets are used)
                    [5] GSMLocation OPTIONAL,
[6] UMTSLocation OPTIONAL,
[7] Sai OPTIONAL,
    gsmLocation
    umtsLocation
        -- format: PLMN-ID 3 octets (no. 1 - 3)
              LAC 2 octets (no. 4 - 5)
SAC 2 octets (no. 6 - 7)
                    (according to 3GPP TS 25.413)
```

```
GlobalCellID ::= OCTET STRING (SIZE (5..7))
Rai ::= OCTET STRING (SIZE (6))
Sai ::= OCTET STRING (SIZE (7))
```

```
GSMLocation ::= CHOICE
{
    geoCoordinates [1] SEQUENCE
    {
```

```
latitude
                         [1] PrintableString (SIZE(7..10)),
                              -- format :
                                              XDDMMSS.SS
        longitude
                         [2] PrintableString (SIZE(8..11)),
                              -- format : XDDDMMSS.SS
        mapDatum
                         [3] MapDatum DEFAULT wGS84,
        azimuth
                         [4] INTEGER (0..359) OPTIONAL
            -- The azimuth is the bearing, relative to true north.
        -- format :
                         XDDDMMSS.SS
                                      : N(orth), S(outh), E(ast), W(est)
        --
                         DD or DDD
                                     : degrees (numeric characters)
                                    : minutes (numeric characters)
: seconds, the second part (.SS) is optionnal
                         MM
                         SS.SS
        -- Example :
                     latitude short form
                                              N502312
                    latitude short form N502312
longitude long form E1122312.18
    utmCoordinates [2] SEQUENCE
        utm-East
                         [1] PrintableString (SIZE(10)),
        utm-East [1] PrintableString (SIZE(10))
utm-North [2] PrintableString (SIZE(7)),
            -- example utm-East
                                      32U0439955
                                     5540736
                         utm-North
        mapDatum
                         [3] MapDatum DEFAULT wGS84,
                        [4] INTEGER (0..359) OPTIONAL
        azimuth
            -- The azimuth is the bearing, relative to true north.
    utmRefCoordinates [3] SEQUENCE
        utmref-string
                             PrintableString (SIZE(13)),
                             MapDatum DEFAULT wGS84,
        mapDatum
        . . .
        -- example 32UPU91294045
                       [4] OCTET STRING
    wGS84Coordinates
        -- format is as defined in [37]; polygon type of shape is not allowed.
}
MapDatum ::= ENUMERATED
{
    wGS84.
    wGS72,
    eD50,
           -- European Datum 50
```

```
maxNrOfPoints INTEGER ::= 15
```

```
GA-Polygon ::= SEQUENCE (SIZE (1..maxNrOfPoints)) OF
```

```
SEQUENCE {
    geographicalCoordinates GeographicalCoordinates,
    ...
}
```

```
::= SEQUENCE
SMS-report
   sMS-Contents [3] SEQUENCE
                         [1] ENUMERATED -- party which sent the SMS
       sms-initiator
        {
           target
                          (0),
           server
                           (1),
           undefined-party (2),
       transfer-status
                         [2] ENUMERATED
           succeed-transfer (0),
                                          -- the transfer of the SMS message succeeds
           not-succeed-transfer(1),
           undefined
       } OPTIONAL,
       other-message [3] ENUMERATED -- in case of terminating call, indicates if
                                          -- the server will send other SMS
                      (0),
           ves
           no
                       (1),
           undefined (2),
       } OPTIONAL,
                          [4] OCTET STRING (SIZE (1 .. 270)) OPTIONAL,
       content
                                 -- Encoded in the format defined for the SMS mobile
   }
```

```
GPRSCorrelationNumber ::= OCTET STRING (SIZE(8..20))
```

```
GPRSEvent ::= ENUMERATED
    pDPContextActivation
                                               (1),
    startOfInterceptionWithPDPContextActive (2),
    pDPContextDeactivation
                                               (4),
    gPRSAttach
                                               (5),
    gPRSDetach
                                                (6),
    locationInfoUpdate
                                               (10),
                                               (11),
    sMS
    {\tt pDPC}ontext{\tt Modification}
                                               (13),
    servingSystem
                                                (14),
    . . .
}
-- see [19]
```

```
IMSevent ::= ENUMERATED
{
    sIPmessage (1),
    ...
}
```

```
Services-Data-Information ::= SEQUENCE
{
    gPRS-parameters [1] GPRS-parameters OPTIONAL,
    ...
}
```

```
GPRSOperationErrorCode ::= OCTET STRING—(SIZE(2))

refer to standard [9] for values(GMM cause or SM cause parameter).
```

 $\frac{--}{--}$  The parameter shall carry the GMM cause value or the SM cause value, as defined in the standard  $\frac{--}{--}$  [9], without the IEI.

```
UmtsQos ::= CHOICE
{
    qosMobileRadio [1] OCTET STRING,
    -- The qosMobileRadio parameter shall be coded in accordance with the § 10.5.6.5 of
    -- document [9] without the Quality of service IEI and Length of
    -- quality of service IE (. That is, first
    -- two octets carrying 'Quality of service IEI' and 'Length of quality of service
    -- IE' shall be excluded).
    qosGn [2] OCTET STRING
    -- qosGn parameter shall be coded in accordance with § 7.7.34 of document [17]
}
```

END -- OF UmtsHI2Operations

# తిGPP TSG-SA LI Meeting #15 San Antonio, Texas, USA, 11-13 October, 2004

	CR-Form-v7.1  CHANGE REQUEST
<b> </b>	33.108 CR 063
For <u><b>HELP</b></u> on u	sing this form, see bottom of this page or look at the pop-up text over the 🕱 symbols.
Proposed change	affects: UICC apps知 ME Radio Access Network Core Network X
Title:	Correction to the IMPORTS statements
Source:	SA3 LI
Work item code: ₩	SEC1-LI Date: # 12/10/2004
Reason for change	Use one of the following categories:  F (correction)  A (corresponds to a correction in an earlier release)  B (addition of feature),  C (functional modification of feature)  P(editorial modification)  D (editorial modification)  Detailed explanations of the above categories can be found in 3GPP TR 21.900.  Use one of the following releases:  Ph2 (GSM Phase 2)  R96 (Release 1996)  R97 (Release 1997)  R98 (Release 1998)  R99 (Release 1999)  Rel-4 (Release 4)  Rel-5 (Release 5)  Rel-6 (Release 6)  Rel-7 (Release 7)
	33.108, so that parameters imported form ETSI module would be most up to date and error free.  Sub clause B.5 does not need update. Another CR ìAdding domain ID to HI3 CS domain moduleî addresses possible update to sub clause B.6.  Besides, release 6 branches were added to the modules under B.3a.
Summary of chang	ge:   Imports statements were updated, so that imported parameters are error free.
Consequences if not approved:	Possible wrong interpretation of ASN.1 parameters at LEMF.
Clauses affected:	★ Annexes B.3, B.3a, B.4.
Other specs affected:	Y N   X   Other core specifications   米   Test specifications   O&M Specifications
Other comments:	<b></b>

# B.3 Intercept related information (HI2 PS and IMS)

Declaration of ROSE operation umts-sending-of-IRI is ROSE delivery mechanism specific. When using FTP delivery mechanism, data UmtsIRIsContent must be considered.

#### ASN1 description of IRI (HI2 interface)

```
UmtsHI2Operations {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulintercept(2)
threeGPP(4) hi2(1) r6(6) version-65(65)}

DEFINITIONS IMPLICIT TAGS ::=
BEGIN
```

```
IMPORTS
        OPERATION,
        ERROR
            FROM Remote-Operations-Information-Objects
            {joint-iso-itu-t(2) remote-operations(4) informationObjects(5) version1(0)}
        LawfulInterceptionIdentifier,
        TimeStamp,
        Network-Identifier,
        National-Parameters,
        National-HI2-ASN1parameters,
        DataNodeAddress,
        IPAddress,
        IP-value,
        X25Address
            FROM HI2Operations
            {itu-t(0) identified-organization(4) etsi(0) securityDomain(2)
                  lawfulIntercept(2) hi2(1) version75(75)}; -- Imported from TS 101 671v2.11.1
```

```
-- Object Identifier Definitions

-- Security DomainId

lawfulInterceptDomainId OBJECT IDENTIFIER ::= {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulIntercept(2)}

-- Security Subdomains

threeGPPSUBDomainId OBJECT IDENTIFIER ::= {lawfulInterceptDomainId threeGPP(4)}

hi2DomainId OBJECT IDENTIFIER ::= {threeGPPSUBDomainId hi2(1) r6(6) version-65(65)}
```

## \*\*\* Next modification \*\*\*

### \*\*\* Next modification \*\*\*

## B.3a Interception related information (HI2 CS)

For North America the use of J-STD-25 A[23] is recommended.

Declaration of ROSE operation sending-of-IRI is ROSE delivery mechanism specific. When using FTP delivery mechanism, data IRI-Content must be considered.

#### ASN1 description of IRI (HI2 CS interface)

```
UmtsCS-HI2Operations { itu-t (0) identified-organization (4) etsi (0) securityDomain (2) lawfulIntercept (2) threeGPP(4) hi2CS (3) r6(6) version-32 (32)}

DEFINITIONS IMPLICIT TAGS ::=

BEGIN
```

```
IMPORTS OPERATION,
   ERROR
       FROM Remote-Operations-Information-Objects
       {joint-iso-itu-t (2) remote-operations(4) informationObjects(5) version1(0)}
   LawfulInterceptionIdentifier,
   TimeStamp,
   Intercepted-Call-State,
   PartyInformation,
   CallContentLinkCharacteristics,
   CommunicationIdentifier.
   CC-Link-Identifier,
   National-Parameters,
   National-HI2-ASN1parameters,
   FROM HI2Operations
       {itu-t(0) identified-organization(4) etsi(0) securityDomain(2)
          Location,
   SMS-report
   FROM UmtsHI2Operations
       {itu-t(0) identified-organization(4) etsi(0) securityDomain(2)
          lawfulintercept(2) \ threeGPP(4) \ hi2(1) \ r6(6) \ version-\underline{64}(\underline{64})\};
           -- Imported from TS 33.108v6.8.0
-- Object Identifier Definitions
 - Security DomainId
lawfulInterceptDomainId OBJECT IDENTIFIER ::= {itu-t(0) identified-organization(4) etsi(0)
securityDomain(2) lawfulIntercept(2)}
-- Security Subdomains
threeGPPSUBDomainId OBJECT IDENTIFIER ::= {lawfulInterceptDomainId threeGPP(4)}
```

## \*\*\* Next modification \*\*\*

## \*\*\* Next modification \*\*\*

## B.4 Contents of communication (HI3 PS)

```
Umts-HI3-PS {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulintercept(2) threeGPP(4) hi3(2) r6(6) version-3\frac{2}{3}(3\frac{2}{3})}
```

```
DEFINITIONS IMPLICIT TAGS ::= BEGIN
```

```
IMPORTS

GPRSCorrelationNumber
    FROM UmtsHI2Operations
    {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulintercept(2) threeGPP(4)
    hi2(1) r6(6) version-63(63)}    -- Imported from TS 33.108v6.8.0 from 3GPP UmtsHI2Operations

LawfulInterceptionIdentifier,

TimeStamp
    FROM HI2Operations
    {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulIntercept(2) hi2(1)
    version75(75)};    -- from ETSI HI2Operations TS 101 671v2.119.1
```

# 3GPP TSG-SA LI Meeting #15 San Antonio, Texas, USA, 11-13 October, 2004

	CHANGE	REQUES	Γ	CR-Form-v7.1
<b>(#</b> )	33.108 CR 064	grev - g	Current versi	ion: 6.7.0 <sup> % </sup>
For <u>HELP</u> on usi	ing this form, see bottom of this	s page or look at t	he pop-up text	over the 🕱 symbols.
Proposed change at	ffects: │ UICC apps <mark></mark> 緩	ME Radio	Access Networ	k Core Network X
Title: 第	Syntax Error in Annex B.3			
Source:	SA WG3-LI			
Work item code: 器	SEC1-LI		Date: ♯	06/10/2004
	F Use one of the following categories F (correction) A (corresponds to a correction B (addition of feature), C (functional modification of the D (editorial modification) Detailed explanations of the above the found in 3GPP TR 21.900.	n in an earlier relea feature)	Ph2 se) R96 R97 R98 R99 Rel-4 Rel-5 Rel-6	Rel-6 the following releases: (GSM Phase 2) (Release 1996) (Release 1997) (Release 1998) (Release 1999) (Release 4) (Release 5) (Release 6) (Release 7)
Reason for change:	In Annex B.3 there is a m 'tel_url' is incorrect. Howe not tolerate this error, it n	ever, as the ASN.1	tools (Syntax	
Summary of change	e:黑 Correction of a syntax err	or in the HI2 mod	ule	
Consequences if not approved:	★ Compilation cannot comp	plete successfully		
Clauses affected:	★ Annex B.3			
Other specs affected:	Y N  X Other core specifications X O&M Specifications			
Other comments:	<b>x</b>			

#### -- PARAMETERS FORMATS

```
PartyInformation
                           ::= SEQUENCE
   party-Qualifier [0] ENUMERATED
       gPRS-Target(3),
   partyIdentity [1] SEQUENCE
                               [1] OCTET STRING (SIZE (8)) OPTIONAL,
           -- See MAP format [4]
                               [3] OCTET STRING (SIZE (3..8)) OPTIONAL,
       imsi
           -- See MAP format [4] International Mobile
           -- Station Identity E.212 number beginning with Mobile Country Code
                               [6] OCTET STRING (SIZE (1..9)) OPTIONAL,
       msISDN
           -- MSISDN of the target, encoded in the same format as the AddressString
           -- parameters defined in MAP format document [4], § 14.7.8
                               [7] OCTET STRING
       e164-Format
                                                   (SIZE (1 .. 25)) OPTIONAL,
           -- {\tt E164} address of the node in international format. Coded in the same format as
           -- the calling party number parameter of the ISUP (parameter part:[5])
                              [8] OCTET STRING OPTIONAL,
       sip-uri
           -- See [26]
                        [9] OCTET STRING OPTIONAL,
           -- See [36]
    },
    services-Data-Information [4] Services-Data-Information OPTIONAL,
        -- This parameter is used to transmit all the information concerning the
        \mbox{--} complementary information associated to the basic data call
```

# 3GPP TSG-SA LI Meeting #15 San Antonio, Texas, USA, 11-13 October, 2004

	CHANGE REQUEST
<b></b>	33.108 CR 065
For <u><b>HELP</b></u> on u	sing this form, see bottom of this page or look at the pop-up text over the 異 symbols.
Proposed change	ME Radio Access Network Core Network X
Title: 第	Deleting CC from SIP message
Source:	SA3 LI
   Work item code: ⊯	SEC1-LI Date:   Date:   12/10/2004
Reason for change	Use one of the following categories:  F (correction)  A (corresponds to a correction in an earlier release)  B (addition of feature),  C (functional modification of feature)  D (editorial modification)  Detailed explanations of the above categories can be found in 3GPP TR 21.900.  Use one of the following releases:  Ph2 (GSM Phase 2)  R96 (Release 1996)  R97 (Release 1997)  R98 (Release 1998)  R99 (Release 1999)  Rel-4 (Release 4)  Rel-5 (Release 5)  Rel-6 (Release 6)  Rel-7 (Release 7)
	enumerated value to <b>IMSevent</b> type. Besides, an editorial change is proposed: in <b>IMSevent</b> type definition both the type and the parametr should be in boldface font.
Summary of chang	New value is defined under IMSevent type.
Consequences if not approved:	Possible wrong interpretation of the <b>SIPMessage</b> í parameter at LEMF.
Clauses affected:	策 7.2, Annex B.3.
Other specs affected:	Y N  X Other core specifications X Test specifications O&M Specifications
Other comments:	<b>₩</b>

### 7.2 IRI for IMS

In addition, information on non-transmission related actions of a target constitute IRI and is sent via HI2, e.g. information on subscriber controlled input.

The IRI may be subdivided into the following categories:

- 1. Control information for HI2 (e.g. correlation information).
- 2. Basic data context information, for standard data transmission between two parties (e.g. SIP-message).

For each event, a Record is sent to the LEMF, if this is required. The following table gives the mapping between event type received at DF2 level and record type sent to the LEMF.

Table 7.1: Mapping between IMS Events and HI2 Records Type

Event	IRI Record Type
SIP-Message	REPORT

A set of information is used to generate the record. The records used transmit the information from mediation function to LEMF. This set of information can be extended in the CSCF or DF2 MF, if <a href="new IEs are available and if">new IEs are available and if</a> this is necessary in a specific country. The following table gives the mapping between information received per event and information sent in records.

Table 7.2: Mapping between IMS Events Information and IRI Information

Parameter	Description	HI2 ASN.1 parameter
Observed SIP URI	Observed SIP URI	partyInformation (sip-uri)
Observed TEL URL	Observed TEL URL	partyInformation (tel-url)
Event type	IMS Event	IMSevent
Event date	Date of the event generation in the CSCF	timestamp
Event time	Time of the event generation in the CSCF	
Network identifier	Unique number of the intercepting CSCF	NetworkIdentifier
Correlation number	Unique number for each PDP context delivered to the LEMF, to help the LEA, to have a correlation between each PDP Context and the IRI.	gPRSCorrelationNumber
Lawful interception identifier	Unique number for each lawful authorization.	lawfulInterceptionIdentifier
SIP message	Either wWhole SIP message, or SIP message header. SIP message header is used if warrant requires only IRI. In such case, specific content in the SIPMessage (e.g. &Messageí, etc.) must be deleted.	SIPMessage

NOTE: LIID parameter must be present in each record sent to the LEMF.

#### 7.2.1 Events and information

This clause describes the information sent from the Delivery Function (DF) to the Law Enforcement Monitoring Facility (LEMF) to support Lawfully Authorized Electronic Surveillance (LAES). The information is described as records and information carried by a record. This focus is on describing the information being transferred to the LEMF.

The IRI events and data are encoded into records as defined in the Table 7-1 Mapping between IMS Events and HI2 Records Type and Annex B.3 Intercept related information (HI2). IRI is described in terms of a 'causing event' and information associated with that event. Within each IRI Record there is a set of events and associated information elements to support the particular service.

The communication events described in Table 7-1: Mapping between the IMS Event and HI2 Record Type and Table 7-2: Mapping between IMS Events Information and IRI Information convey the basic information for reporting the disposition of a communication. This clause describes those events and supporting information.

Each record described in this clause consists of a set of parameters. Each parameter is either:

```
mandatory (M) - required for the record,
conditional (C) - required in situations where a condition is met (the condition is given in the Description), or
optional (O) - provided at the discretion of the implementation.
```

The information to be carried by each parameter is identified. Both optional and conditional parameters are considered to be OPTIONAL syntactically in ASN.1 Stage 3 descriptions. The Stage 2 inclusion takes precedence over Stage 3 syntax.

Parameter	MOC	Description/Conditions
observed SIP-URI	С	SIP URI of the interception target (if available)
observed TEL-URL	С	TEL URL of the interception target (if available)
event type	М	Provide IMS event type.
event date	М	Provide the date and time the event is detected.
event time		
network identifier	М	Shall be provided.
lawful intercept identifier	М	Shall be provided.
correlation number	С	If available and not included in the SIP-message
SIP message	М	The relevant SIP message or SIP message header.

Table 7.3: SIP-Message REPORT Record

## \*\*\* Next Modification \*\*\*

# B.3 Intercept related information (HI2 PS and IMS)

Declaration of ROSE operation umts-sending-of-IRI is ROSE delivery mechanism specific. When using FTP delivery mechanism, data UmtsIRIsContent must be considered.

#### ASN1 description of IRI (HI2 interface)

```
UmtsHI2Operations {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulintercept(2) threeGPP(4) hi2(1) r6(6) version-\underline{65}(\underline{65})}

DEFINITIONS IMPLICIT TAGS ::=

BEGIN
```

```
IMPORTS
        OPERATION.
        ERROR
            FROM Remote-Operations-Information-Objects
            {joint-iso-itu-t(2) remote-operations(4) informationObjects(5) version1(0)}
        LawfulInterceptionIdentifier,
        TimeStamp,
        Network-Identifier,
        National-Parameters,
       National-HI2-ASN1parameters,
        DataNodeAddress,
        IPAddress,
        IP-value,
        X25Address
            FROM HI2Operations
            {itu-t(0) identified-organization(4) etsi(0) securityDomain(2)
                  lawfulIntercept(2) hi2(1) version5(5)); -- Imported from TS 101 671
```

```
-- Object Identifier Definitions

-- Security DomainId
lawfulInterceptDomainId OBJECT IDENTIFIER ::= {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulIntercept(2)}
```

```
-- Security Subdomains
threeGPPSUBDomainId OBJECT IDENTIFIER ::= {lawfulInterceptDomainId threeGPP(4)}
hi2DomainId OBJECT IDENTIFIER ::= {threeGPPSUBDomainId hi2(1) r6(6) version-65(65)}
```

# \*\*\* Next Modification \*\*\*

# \*\*\* Next Modification \*\*\*

```
IMSevent ::= ENUMERATED
{
    unfilteredsSIPmessage —(1),
        -- This value indicates to LEMF that the whole SIP message is sent.
    ..._
    sIPheaderOnly (2)
    -- If warrant requires only IRI then specific content in a 'sIPMessage'
    -- (e.g. 'Message', etc.) has been deleted before sending it to LEMF.
}
```

## 3GPP TSG-SA LI Meeting #15 San Antonio, Texas, USA, 11-13 October, 2004

CR-Form-v7.1			
<b>#</b>	33.108 CR 066		
For <u>HELP</u> on us	sing this form, see bottom of this page or look at the pop-up text over the symbols.		
Proposed change a	ME Radio Access Network Core Network X		
Title: 第	Adding domain ID to HI3 CS domain module		
Source:	SA3 LI		
Work item code: ₩	SEC1-LI Date:  # 12/10/2004		
	include domain ID definitions. This CR offers to add domain ID to HI3 CS domain module as well.  The CR offers to add optional hi3CSDomainId parameter with tag 0, and optional version parameter with tag 23 to UMTS-Content-Report type definition. This would be a backward incompatible change.		
	Besides, the CR offers to replace under the operations hi3CircuitLISubDomainId by hi3CSDomainId. This change replaces ETSI branch by 3GPP one.		
Summary of chang	e: Hi3CSDomainId and version parameters were added to the annex B.6, and ETSI branch of operations was replaced by 3GPP branch.		
Consequences if not approved:	Possible wrong interpretation of ASN.1 parameters at LEMF.		
Clauses affected:	₩ Annex B.6		
Other specs affected:	Y   N     X   Other core specifications		
Other comments:	$\mathbf{x}$		

# B.6 User data packet transfer (HI3 CS)

Declaration of ROSE operations circuit-Call-related-Services and no-circuit-Call-related-Services are ROSE delivery mechanism specific. When using FTP delivery mechanism, data Content-Report must be considered.

#### ASN.1 description of circuit data transfer operation (HI3 interface)

```
UMTS-HI3CircuitLIOperations
{itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulintercept(2) threeGPP(4)
hi3CS(4) r6(6) version2\frac{1}{2}(2\frac{1}{2})
DEFINITIONS IMPLICIT TAGS ::=
-- The following operations are used to transmit user data, which can be exchanged via the DSS1,
-- ISUP or MAP signalling (e.g. UUS).
BEGIN
IMPORTS OPERATION,
    ERROR
        FROM Remote-Operations-Information-Objects
        {joint-iso-itu-t (2) remote-operations(4) informationObjects(5) version1(0)}
    hi3CircuitLISubDomainId
        FROM
        SecurityDomainDefinitions
        { itu-t (0) identified-organization (4) etsi (0) securityDomain (2)}
    LawfulInterceptionIdentifier,
    CommunicationIdentifier,
    TimeStamp,
    OperationErrors,
    Supplementary-Services,
        FROM HI2Operations
            {itu-t(0) identified-organization(4) etsi(0) securityDomain(2)
            -lawfulIntercept(2) hi2(1) version73(73)} -- Imported from TS 101 671v2.11.1—Edition—3
SMS-report
        FROM UmtsHI2Operations
            {itu-t(0) identified-organization(4) etsi(0) securityDomain(2) lawfulintercept(2)
            -threeGPP(4) hi2(1) version-2(2)};
 - Object Identifier Definitions
  Security DomainId
lawfulInterceptDomainId OBJECT IDENTIFIER ::= {itu-t(0) identified-organization(4) etsi(0)
securityDomain(2) lawfulIntercept(2)}
   Security Subdomains
threeGPPSUBDomainId OBJECT IDENTIFIER ::= {lawfulInterceptDomainId threeGPP(4)}
hi3CSDomainId OBJECT IDENTIFIER ::= {threeGPPSUBDomainId hi3CS(4) r6(6) version-2(2)}
```

```
UMTS-Content-Report
                        ::= SEQUENCE
    hi3CSDomainId
                                    [0] OBJECT IDENTIFIER OPTIONAL, -- 3GPP HI3 CS Domain.
       -- When FTP is used this parametr shall be sent to LEMF.
                                    [23] ENUMERATED
       version1(1),
     OPTIONAL,
    lawfulInterceptionIdentifier [6] LawfulInterceptionIdentifier OPTIONAL,
    communicationIdentifier
                                    [1] CommunicationIdentifier,
       -- Used to uniquely identify an intercepted call: the same as used for the relevant IRI.
        -- Called "callIdentifier" in edition 1 ES 201 671.
    timeStamp
                                    [2] TimeStamp,
                                    [3] ENUMERATED
    initiator
        originating-party(0),
        {\tt terminating-party}(1)\;,
        forwarded-to-party(2),
       undefined-party(3),
    } OPTIONAL,
                                    [4] Supplementary-Services OPTIONAL,
        -- UUI are encoded in the format defined for the User-to-user information parameter
        -- of the ISUP protocol (see EN 300 356 [30]). Only one UUI parameter is sent per message.
    sMS-report
                                    [5] SMS-report OPTIONAL,
```

END -- UMTS-HI3CircuitLIOperations

# 3GPP TSG-SA LI Meeting #15 San Antonio, Texas, USA, 11-13 October, 2004

CHANGE REQUEST				
<b>3</b>	3.108 CR 067	# rev - <sup>β</sup>	Current version:	6.7.0 <sup> x </sup>
For <u>HELP</u> on usin	g this form, see bottom of t	his page or look at t	he pop-up text over	the
Proposed change affe	ects: UICC apps 器  <mark> </mark>	ME Radio	Access Network	Core Network X
Title:	Syntax Error in Annex B.3a			
Source: # S	SA3 LI			
Work item code: ₩ S	SEC1-LI		<i>Date:</i>	10/2004
De	se one of the following categor  F (correction)  A (corresponds to a correction)  B (addition of feature),  C (functional modification of the decire of the	tion in an earlier relea of feature)	se) R96 (Rele R97 (Rele R98 (Rele R99 (Rele Rel-4 (Rele Rel-5 (Rele Rel-6 (Rele	
Reason for change:	In Annex B3a there are Checker, Compiler) do		ors, which ASN.1 to	ols (Syntax
Summary of change:	Correction of syntactic	errors in the HI2 CS	module.	
Consequences if not approved:	Compilation fails.			
Clauses affected:	₩ Annex B.3			
affected:	Y N  X Other core specification X O&M Specification	ıs		
Other comments:	<b></b>			

## B.3a Interception related information (HI2 CS)

#### For North America the use of J-STD-25 A[23] is recommended.

Declaration of ROSE operation sending-of-IRI is ROSE delivery mechanism specific. When using FTP delivery mechanism, data IRI-Content must be considered.

#### ASN1 description of IRI (HI2 CS interface)

```
UmtsCS-HI2Operations
{ itu-t (0) identified-organization (4) etsi (0) securityDomain (2) lawfulIntercept (2) threeGPP(4)
hi2CS (3) version-2 (2)}

DEFINITIONS IMPLICIT TAGS ::=

BEGIN
```

```
IMPORTS OPERATION,
    ERROR
        FROM Remote-Operations-Information-Objects
        {joint-iso-itu-t (2) remote-operations(4) informationObjects(5) version1(0)}
   LawfulInterceptionIdentifier,
    TimeStamp,
    Intercepted-Call-State,
    PartyInformation,
   CallContentLinkCharacteristics,
   CommunicationIdentifier,
    CC-Link-Identifier,
    National-Parameters,
   National-HI2-ASN1parameters-
   FROM HI2Operations
        {itu-t(0) identified-organization(4) etsi(0) securityDomain(2)
            lawfulIntercept(2) hi2(1) version5(5)} -- Imported from TS 101 671 ASN.1
   Location,
    SMS-report
    FROM UmtsHI2Operations
        {itu-t(0) identified-organization(4) etsi(0) securityDomain(2)
            lawfulintercept(2) threeGPP(4) hi2(1) r6(6) version-4(4)};
-- Object Identifier Definitions
 - Security DomainId
lawfulInterceptDomainId OBJECT IDENTIFIER ::= {itu-t(0) identified-organization(4) etsi(0)
securityDomain(2) lawfulIntercept(2)}
 - Security Subdomains
threeGPPSUBDomainId OBJECT IDENTIFIER ::= {lawfulInterceptDomainId threeGPP(4)}
hi2CSDomainId OBJECT IDENTIFIER ::= {threeGPPSUBDomainId hi2CS(3) version-2(2)}
```

```
::= SEQUENCE OF UmtsCS-IRIContent
UmtsCS-IRISequence
    -- Aggregation of UmtsCS-IRIContent is an optional feature.
    -- It may be applied in cases when at a given point in time several IRI records are
    -- available for delivery to the same LEA destination.
    -- As a general rule, records created at any event shall be sent immediately and shall
    \mbox{--} not held in the DF or MF in order to apply aggregation.
-- When aggregation is not to be applied, UmtsCS-IRIContent needs to be chosen.
UmtsCS-IRIContent
                       ::= CHOICE
    iRI-Begin-record
                           [1] IRI-Parameters,
   iRI-Continue-record [3] TRT-Dameter s,
        --at least one optional parameter must be included within the iRI-Begin-Record
        --at least one optional parameter must be included within the iRI-Continue-Record
    iRI-Report-record
                        [4] IRI-Parameters,
       --at least one optional parameter must be included within the iRI-Report-Record
```

```
IRI-Parameters
                    ::= SEOUENCE
   hi2CSDomainId
                                       [0] OBJECT IDENTIFIER OPTIONAL, -- 3GPP HI2 CS domain
   iRIversion
                                        [23] ENUMERATED
       version1(1),
       version2(2)
   } OPTIONAL,
        \operatorname{--} if not present, it means version 1 is handled
   lawfulInterceptionIdentifier [1] LawfulInterceptionIdentifier,
        -- This identifier is associated to the target.
   communicationIdentifier
                              [2] CommunicationIdentifier,
        -- used to uniquely identify an intercepted call.
                                        [3] TimeStamp,
        -- date and time of the event triggering the report.
   intercepted-Call-Direct
                                       [4] ENUMERATED
       not-Available(0),
       originating-Target(1),
       terminating-Target(2),
    } OPTIONAL,
   intercepted-Call-State
                                        [5] Intercepted-Call-State OPTIONAL,
        -- Not required for UMTS. May be included for backwards compatibility to GSM
                                        [6] OCTET STRING (SIZE (3)) OPTIONAL,
   ringingDuration
        -- Duration in seconds. BCD coded : HHMMSS
```

```
-- Not required for UMTS. May be included for backwards compatibility to GSM
    conversationDuration
                                        [7] OCTET STRING (SIZE (3)) OPTIONAL,
         -- Duration in seconds. BCD coded : HHMMSS
        -- Not required for UMTS. May be included for backwards compatibility to GSM
    locationOfTheTarget
                                        [8] Location OPTIONAL,
        -- location of the target subscriber
    partyInformation
                                       [9] SET SIZE (1..10) OF PartyInformation OPTIONAL,
         - This parameter provides the concerned party (Originating, Terminating or forwarded
        -- party), the identity(ies) of the party and all the information provided by the party.
                                        [10] SEQUENCE
    callContentLinkInformation
                                    [1] CallContentLinkCharacteristics OPTIONAL,
        cCLink1Characteristics
            -- information concerning the Content of Communication Link Tx channel established
            -- toward the LEMF (or the sum signal channel, in case of mono mode).
        cCLink2Characteristics
                                    [2] CallContentLinkCharacteristics OPTIONAL,
            -- information concerning the Content of Communication Link Rx channel established
            -- toward the LEMF.
    } OPTIONAL.
    release-Reason-Of-Intercepted-Call [11] OCTET STRING (SIZE (2)) OPTIONAL,
         -- Release cause coded in [31] format.
        -- This parameter indicates the reason why the
        -- intercepted call cannot be established or why the intercepted call has been
        -- released after the active phase.
   nature-Of-The-intercepted-call
                                       [12] ENUMERATED
        --Not required for UMTS. May be included for backwards compatibility to GSM
        --Nature of the intercepted "call":
        gSM-ISDN-PSTN-circuit-call(0),
            -- the possible UUS content is sent through the HI2 or HI3 "data" interface
            -- the possible call content call is established through the HI3 "circuit" interface
        qSM-SMS-Message(1),
            -- the SMS content is sent through the HI2 or HI3 "data" interface
        uUS4-Messages(2),
            -- the UUS content is sent through the HI2 or HI3 "data" interface
        tETRA-circuit-call(3),
            -- the possible call content call is established through the HI3 "circuit" interface
            -- the possible data are sent through the HI3 "data" interface
        teTRA-Packet-Data(4),
           -- the data are sent through the HI3 "data" interface
        gPRS-Packet-Data(5),
            -- the data are sent through the HI3 "data" interface
    } OPTIONAL,
    serviceCenterAddress
                                        [13] PartyInformation OPTIONAL,
        -- e.g. in case of SMS message this parameter provides the address of the relevant
        -- server within the calling (if server is originating) or called
        \operatorname{--} (if server is terminating) party address parameters
                                        [14] SMS-report OPTIONAL,
        -- this parameter provides the SMS content and associated information
    cC-Link-Identifier
                                        [15] CC-Link-Identifier OPTIONAL,
        -- Depending on a network option, this parameter may be used to identify a CC link
        -- in case of multiparty calls.
                                        [16] National-Parameters OPTIONAL,
    national-Parameters
    umts-Cs-Event
                                        [33] Umts-Cs-Event OPTIONAL,
    -- Care should be taken to ensure additional parameter numbering does not conflict with
    -- ETSI TS 101 671 or Annex B.3 of this document (PS HI2).
   national-HI2-ASN1parameters
                                        [255]
                                               National-HI2-ASN1parameters OPTIONAL
Umts-Cs-Event ::= ENUMERATED
    call-establishment
                                    (1).
                                    (2),
   answer
    supplementary-Service
                                    (3),
   handover
                                    (4),
   release
                                    (5),
    sMS
                                    (6),
    location-update
                                    (7).
    subscriber-Controlled-Input
                                    (8),
}
END -_ OF UmtsCS-HI2Operations
```

# 3GPP TSG-SA LI Meeting #15 San Antonio, Texas, USA, 11-13 October, 2004

CHANGE REQUEST			
<b>(36</b> )	<mark>33.108</mark> CR <mark>068                                   </mark>	Current version: 6.7.0	
	ing this form, see bottom of this page of the form, see bottom of th	or look at the pop-up text over the  symbols.  Radio Access Network Core Network X	
Title: 器	HI2 SIP Content clarification		
	SA 3 LI		
Work item code: 器	SEC1-LI	<i>Date:</i>	
	Use one of the following categories:  F (correction)  A (corresponds to a correction in an end (addition of feature),  C (functional modification of feature)  D (editorial modification)  Detailed explanations of the above categories found in 3GPP TR 21.900.	R97 (Release 1997) R98 (Release 1998) R99 (Release 1999)	
Reason for change:	* National requirements should be	included	
Summary of change	If the national regulation forbids to parameter on HI2 this should be	the delivery of specific content in the SIP mentioned in the standard	
Consequences if not approved:	Rroblems with the regulators in the	ese specific countries	
Clauses affected:	<b>%</b> 7.2		
Other specs affected:	Y N  X Other core specifications Test specifications O&M Specifications	[ <b>H</b> ]	
Other comments:	<b>x</b>		

\*\*\*\* Change<mark>s</mark> \*\*\*\*

### 7.2 IRI for IMS

In addition, information on non-transmission related actions of a target constitute IRI and is sent via HI2, e.g. information on subscriber controlled input.

The IRI may be subdivided into the following categories:

- 1. Control information for HI2 (e.g. correlation information).
- 2. Basic data context information, for standard data transmission between two parties (e.g. SIP-message).

For each event, a Record is sent to the LEMF, if this is required. The following table gives the mapping between event type received at DF2 level and record type sent to the LEMF.

Table 7.1: Mapping between IMS Events and HI2 Records Type

Event	IRI Record Type
SIP-Message	REPORT

A set of information is used to generate the record. The records used transmit the information from mediation function to LEMF. This set of information can be extended in the CSCF or DF2 MF, if this is necessary in a specific country. The following table gives the mapping between information received per event and information sent in records.

Table 7.2: Mapping between IMS Events Information and IRI Information

Parameter	Description	HI2 ASN.1 parameter
Observed SIP URI	Observed SIP URI	partyInformation (sip-url)
Observed TEL URL	Observed TEL URL	partyInformation (tel-url)
Event type	IMS Event	iMSevent
Event date	Date of the event generation in the CSCF timeStamp	
Event time	Time of the event generation in the CSCF	
Network identifier	Unique number of the intercepting CSCF	networkIdentifier
Correlation number	Unique number for each PDP context delivered to the LEMF, to help the LEA, to have a correlation between each PDP Context and the IRI.	gPRSCorrelationNumber
Lawful interception	Unique number for each lawful authorization.	lawfulInterceptionIdentifier
identifier		
SIP message	Whole SIP message	sIPMessage

NOTE1: LIID parameter must be present in each record sent to the LEMF.

NOTE2: Details for the parameter SIP message. If the warrant requires only signaling information, specific content in the parameter &IP message( like IMS (Immediate Messaging) has to be deleted/filtered.

#### 7.2.1 Events and information

This clause describes the information sent from the Delivery Function (DF) to the Law Enforcement Monitoring Facility (LEMF) to support Lawfully Authorized Electronic Surveillance (LAES). The information is described as records and information carried by a record. This focus is on describing the information being transferred to the LEMF.

The IRI events and data are encoded into records as defined in the Table 7-1 Mapping between IMS Events and HI2 Records Type and Annex B.3 Intercept related information (HI2) [1]. IRI is described in terms of a 'causing event' and information associated with that event. Within each IRI Record there is a set of events and associated information elements to support the particular service.

The communication events described in Table 7-1: Mapping between the IMS Event and HI2 Record Type and Table 7-2: Mapping between IMS Events Information and IRI Information convey the basic information for reporting the disposition of a communication. This clause describes those events and supporting information.

Each record described in this clause consists of a set of parameters. Each parameter is either:

mandatory (M) - required for the record,

conditional (C) - required in situations where a condition is met (the condition is given in the Description), or

optional (O) - provided at the discretion of the implementation.

The information to be carried by each parameter is identified. Both optional and conditional parameters are considered to be OPTIONAL syntactically in ASN.1 Stage 3 descriptions. The Stage 2 inclusion takes precedence over Stage 3 syntax.

Table 7.3: SIP-Message REPORT Record

Parameter	MOC	Description/Conditions
observed SIP-URI	С	SIP URI of the interception target (if available)
observed TEL-URL	С	TEL URL of the interception target (if available)
event type	М	Provide IMS event type.
event date	М	Provide the date and time the event is detected.
event time		
network identifier	М	Shall be provided.
lawful intercept identifier	М	Shall be provided.
correlation number	С	If available and not included in the SIP-message
SIP message	М	The relevant SIP message