## Technical Specification Group Services and System Aspects

# TSGS#19(03)0113

Meeting #19, Birmingham, U.K., 17~20 March 2003

Source:	TSG SA WG2
Title:	<b>CRs on 23.060</b>
Agenda Item:	7.2.3

The following Change Requests (CRs) have been approved by TSG SA WG2 and are requested to be approved by TSG SA plenary #19.

Note: the source of all these CRs is now S2, even if the name of the originating company(ies) is still reflected on the cover page of all the attached CRs.

Tdoc #	Title	Spec	CR #	cat	Versio	REL	WI	S2
					n in			meeting
<u>S2-030545</u>	Handling of IMS signalling information	23.060	430r1	F	5.4.0	5	IMS-CCR	S2-30
	in QoS and PCO IEs at GGSN							
<u>S2-030415</u> r2	Addition of interaction between SMS	23.060	423r2	В	5.4.0	6	TEI6	S2-29
	over GRPS and supplementary service							
<u>S2-030314</u>	Clarification of QoS negotiation during	23.060	426	F	5.4.0.	6	TEI6	S2-29
	context activation for A/Gb mode							

		CHANG	E REQ	UEST			CR-Form-v7
æ	23.060	CR <mark>426</mark>	ж <b>геv</b>	<b>-</b> #	Current vers	<sup>ion:</sup> <b>5.4.0</b>	ж
For <mark>HELP</mark> on us	sing this for	m, see bottom of t	his page or l	look at the	e pop-up text	over the X syn	nbols.
Proposed change a	affects: L	JICC appsℋ	ME	] Radio Ad	ccess Networ	k X Core Ne	twork X
Title: Ж	Clarificatio	on of QoS negotiat	t <mark>ion during c</mark>	ontext act	tivation for A/	Gb mode	
Source: ೫	Huawei						
Work item code: ℜ	TEI6				Date: ೫	22/01/2003	
Category: ⊮	F Use <u>one</u> of t F (corr A (corr B (ada C (fund D (edit Detailed exp be found in 3	the following categor ection) responds to a correc lition of feature), ctional modification of orial modification) planations of the abo 3GPP <u>TR 21.900</u> .	ies: tion in an ear of feature) ve categories	<i>lier release</i> s can	Release: # Use <u>one</u> of 1 2 9) R96 R97 R98 R99 Rel-4 Rel-5 Rel-6	Rel-6 the following rele (GSM Phase 2) (Release 1996) (Release 1997) (Release 1998) (Release 1999) (Release 4) (Release 5) (Release 6)	ases:
Reason for change	: ೫ Proce	edure "PDP Conte	xt Activation	(Second	ary) Procedu	re for lu mode"	has
	been Proce	changed in CR 25 edure (Secondary)	52 of 23060. for A/Gb m	But the p ode" has	not been cha	P Context Acti nged.	vation
	Durin point	ig the PDP Contex s where the QoS a	t Activation	(Seconda n be nego	ry) procedure tiated.	e there are seve	eral
	In ca situat inform	se the BSS has do tion exists between ned after the BSS	owngraded t n MS, SGSN has downgr	he QoS at I, GGSN a aded the	ttributes, ther and BSS, bec QoS attribute	an inconsister cause the GGS es.	nt N is not
Summary of chang	e: ೫ In the mode Conte	e procedure "PDP e", the SGSN shall ext Request mess	Context Act renegotiate age after a E	ivation(Se QoS with 3SS Creat	condary) Pro the GGSN v te PFC Ack w	cedure for A/G ia the Update F vith a different (	b PDP QoS.
Consequences if not approved:	# Incor	sistent QoS attrib	utes in MS,	SGSN, G	GSN and BS	S.	
Clauses affected:	೫ <mark>9.2.2</mark>						
Other specs affected:	¥ N 米 X 人 人 人 人 人 人 人 人 人 人 人 人 人 人 人 人 人 人 人	Other core specif Test specification O&M Specificatio	ications s ns	ж			
Other comments:	¥						

How to create CRs using this form: Comprehensive information and tips about how to create CRs can be found at <u>http://www.3gpp.org/specs/CR.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### Start of modified section

# 9.2.2 Activation Procedures

### 9.2.2.1 PDP Context Activation Procedure

The PDP Context Activation procedure is illustrated in Figure 63 and Figure 64.



Figure 63: PDP Context Activation Procedure for A/Gb mode



Figure 64: PDP Context Activation Procedure for Iu mode

- 1) The MS sends an Activate PDP Context Request (NSAPI, TI, PDP Type, PDP Address, Access Point Name, QoS Requested, PDP Configuration Options) message to the SGSN. The MS shall use PDP Address to indicate whether it requires the use of a static PDP address or whether it requires the use of a dynamic PDP address. The MS shall leave PDP Address empty to request a dynamic PDP address. The MS may use Access Point Name to select a reference point to a certain packet data network and/or to select a service. Access Point Name is a logical name referring to the packet data network and/or to a service that the subscriber wishes to connect to. QoS Requested indicates the desired QoS profile. PDP Configuration Options may be used to transfer optional PDP parameters and/or request to the GGSN (see GSM 29.060 [26] and 24.229 [75]). PDP Configuration Options is sent transparently through the SGSN.
- 2) In A/Gb mode, security functions may be executed. These procedures are defined in clause "Security Function".
- 3) In A/Gb mode and if BSS trace is activated, the SGSN shall send an Invoke Trace (Trace Reference, Trace Type, Trigger Id, OMC Identity) message to the BSS. Trace Reference, and Trace Type are copied from the trace information received from the HLR or OMC.
- 4) The SGSN validates the Activate PDP Context Request using PDP Type (optional), PDP Address (optional), and Access Point Name (optional) provided by the MS and the PDP context subscription records. The validation criteria, the APN selection criteria, and the mapping from APN to a GGSN are described in annex A.

If no GGSN address can be derived or if the SGSN has determined that the Activate PDP Context Request is not valid according to the rules described in annex A, the SGSN rejects the PDP context activation request.

If a GGSN address can be derived, the SGSN creates a TEID for the requested PDP context. If the MS requests a dynamic address, the SGSN lets a GGSN allocate the dynamic address. The SGSN may restrict the requested QoS attributes given its capabilities and the current load, and it shall restrict the requested QoS attributes according to the subscribed QoS profile.

The SGSN sends a Create PDP Context Request (PDP Type, PDP Address, Access Point Name, QoS Negotiated, TEID, NSAPI, MSISDN, Selection Mode, Charging Characteristics, Trace Reference, Trace Type, Trigger Id, OMC Identity, PDP Configuration Options) message to the affected GGSN. Access Point Name shall be the APN Network Identifier of the APN selected according to the procedure described in Annex A. PDP Address shall be empty if a dynamic address is requested. The GGSN may use Access Point Name to find a packet data network and optionally to activate a service for this APN. Selection Mode indicates whether a subscribed APN was selected, or whether a non-subscribed APN sent by an MS or a non-subscribed APN chosen by the SGSN was selected. Selection Mode is set according to Annex A. The GGSN may use Selection Mode when deciding whether to accept or reject the PDP context activation. For example, if an APN requires subscription, the GGSN is configured to accept only the PDP context activation that requests a subscribed APN as indicated by the SGSN with Selection Mode. Charging Characteristics indicates which kind of charging the

PDP context is liable for. The charging characteristics on the GPRS subscription and individually subscribed APNs as well as the way the SGSN handles Charging Characteristics and chooses to send them or not to the GGSN is defined in 3GPP TS 32.215 [70]. The SGSN shall include Trace Reference, Trace Type, Trigger Id, and OMC Identity if GGSN trace is activated. The SGSN shall copy Trace Reference, Trace Type, and OMC Identity from the trace information received from the HLR or OMC.

The GGSN creates a new entry in its PDP context table and generates a Charging Id. The new entry allows the GGSN to route PDP PDUs between the SGSN and the packet data network, and to start charging. The way the GGSN handles Charging Characteristics that it may have received from the SGSN is defined in 3GPP TS 32.215 [70]. The GGSN may restrict QoS Negotiated given its capabilities and the current load. The GGSN then returns a Create PDP Context Response (TEID, PDP Address, PDP Configuration Options, QoS Negotiated, Charging Id, Cause) message to the SGSN. PDP Address is included if the GGSN allocated a PDP address. If the GGSN has been configured by the operator to use External PDN Address Allocation for the requested APN, PDP Address shall be set to 0.0.0.0, indicating that the PDP address shall be negotiated by the MS with the external PDN after completion of the PDP Context Activation procedure. The GGSN shall relay, modify and monitor these negotiations as long as the PDP context is in ACTIVE state, and use the GGSN-Initiated PDP Configuration Options contain optional PDP parameters that the GGSN may transfer to the MS. These optional PDP parameters may be requested by the MS in the Activate PDP Context Request message, or may be sent unsolicited by the GGSN. PDP Configuration Options is sent transparently through the SGSN. The Create PDP Context messages are sent over the backbone network.

If QoS Negotiated received from the SGSN is incompatible with the PDP context being activated, the GGSN rejects the Create PDP Context Request message. The GGSN operator configures the compatible QoS profiles.

- 5) In Iu mode, RAB setup is done by the RAB Assignment procedure, see subclause "RAB Assignment Procedure".
- 6) In Iu mode and if BSS trace is activated, the SGSN shall send an Invoke Trace (Trace Reference, Trace Type, Trigger Id, OMC Identity) message to the RAN. Trace Reference, and Trace Type are copied from the trace information received from the HLR or OMC.
- 7) In A/Gb mode, BSS packet flow context procedures may be executed. These procedures are defined in clause "BSS Context".
- 8) In <u>Iu mode and in-</u>case the QoS attributes have been downgraded <u>in step 7 for A/Gb mode or in step 5 for Iu mode</u>, the SGSN may inform the GGSN about the downgraded QoS attributes by sending an Update PDP Context Request to the affected GGSN. The GGSN confirms the new QoS attributes by sending an Update PDP Context Response to the SGSN.
- 9) The SGSN inserts the NSAPI along with the GGSN address in its PDP context. If the MS has requested a dynamic address, the PDP address received from the GGSN is inserted in the PDP context. The SGSN selects Radio Priority and Packet Flow Id based on QoS Negotiated, and returns an Activate PDP Context Accept (PDP Type, PDP Address, TI, QoS Negotiated, Radio Priority, Packet Flow Id, PDP Configuration Options) message to the MS. PDP Configuration Options may be used to transfer optional PDP parameters to the UE (see GSM 29.060 [26] and 24.229 [75]). PDP Configuration Options is sent transparently through the SGSN.The SGSN is now able to route PDP PDUs between the GGSN and the MS, and to start charging.

For each PDP Address a different quality of service (QoS) profile may be requested. For example, some PDP addresses may be associated with E-mail that can tolerate lengthy response times. Other applications cannot tolerate delay and demand a very high level of throughput, interactive applications being one example. These different requirements are reflected in the QoS profile. The QoS profile is defined in clause "Quality of Service Profile". If a QoS requirement is beyond the capabilities of a PLMN, the PLMN negotiates the QoS profile as close as possible to the requested QoS profile. The MS either accepts the negotiated QoS profile, or deactivates the PDP context.

After an SGSN has successfully updated the GGSN, the PDP contexts associated with an MS is distributed as shown in clause "Information Storage".

If the PDP Context Activation Procedure fails or if the SGSN returns an Activate PDP Context Reject (Cause, PDP Configuration Options) message, the MS may attempt another activation to the same APN up to a maximum number of attempts.

The CAMEL procedure calls shall be performed, see referenced procedures in 3GPP TS 23.078:

C1) CAMEL\_GPRS\_PDP\_Context\_Establishment.

In Figure 63 and Figure 64, procedures return as result "Continue".

C2) CAMEL\_GPRS\_PDP\_Context\_Establishment\_Acknowledgement.

In Figure 63 and Figure 64, procedures return as result "Continue".

#### 9.2.2.1.1 Secondary PDP Context Activation Procedure

The Secondary PDP Context Activation procedure may be used to activate a PDP context while reusing the PDP address and other PDP context information from an already active PDP context, but with a different QoS profile. Procedures for APN selection and PDP address negotiation are not executed. A unique TI and a unique NSAPI shall identify each PDP context sharing the same PDP address and APN.

The Secondary PDP Context Activation procedure may be executed without providing a Traffic Flow Template (TFT) to the newly activated PDP context if all other active PDP contexts for this PDP address and APN already have an associated TFT. Otherwise a TFT shall be provided. The TFT contains attributes that specify an IP header filter that is used to direct data packets received from the interconnected packet data network to the newly activated PDP context.

The Secondary PDP Context Activation procedure may only be initiated after a PDP context is already activated for the same PDP address and APN. The procedure is illustrated in Figure 65 and Figure 66.



Figure 65: Secondary PDP Context Activation Procedure for A/Gb mode



Figure 66: Secondary PDP Context Activation Procedure for Iu mode

- The MS sends an Activate Secondary PDP Context Request (Linked TI, NSAPI, TI, QoS Requested, TFT, PDP Configuration Options) message to the SGSN. Linked TI indicates the TI value assigned to any one of the already activated PDP contexts for this PDP address and APN. QoS Requested indicates the desired QoS profile. TFT is sent transparently through the SGSN to the GGSN to enable packet classification for downlink data transfer. TI and NSAPI contain values not used by any other activated PDP context. PDP Configuration Options may be used to transfer optional PDP parameters and/or requests to the GGSN (see GSM 29.060 [26] and 24.229 [75]). PDP Configuration Options is sent transparently through the SGSN.
- 2) In A/Gb mode, security functions may be executed. These procedures are defined in clause "Security Function".
- The SGSN validates the Activate Secondary PDP Context Request using the TI indicated by Linked TI. The same GGSN address is used by the SGSN as for the already-activated PDP context(s) for that TI and PDP address.

The SGSN may restrict the requested QoS attributes given its capabilities and the current load, and it shall restrict the requested QoS attributes according to the subscribed QoS profile, which represents the maximum QoS per PDP context to the associated APN. The GGSN may restrict and negotiate the requested QoS as specified in clause "PDP Context Activation Procedure". The SGSN sends a Create PDP Context Request (QoS Negotiated, TEID, NSAPI, Primary NSAPI, TFT, PDP Configuration Options) message to the affected GGSN. Primary NSAPI indicates the NSAPI value assigned to any one of the already activated PDP contexts for this PDP address and APN. TFT is included only if received in the Activate Secondary PDP Context Request message. PDP Context Request message.

- The GGSN uses the same packet data network as used by the already-activated PDP context(s) for that PDP address, generates a new entry in its PDP context table, and stores the TFT. The new entry allows the GGSN to route PDP PDUs via different GTP tunnels between the SGSN and the packet data network. The GGSN returns a Create PDP Context Response (TEID, QoS Negotiated, Cause, PDP Configuration Options) message to the SGSN. PDP Configuration Options may be used to transfer optional PDP parameters to the UE (see GSM 29.060 [26] and 24.229 [75]).
- 4) In Iu mode, RAB setup is done by the RAB Assignment procedure.
- 5) In A/Gb mode, BSS packet flow context procedures may be executed. These procedures are defined in clause "BSS Context".
- 6) In <u>Iu mode and in case the QoS attributes have been downgraded in step 5 for A/Gb mode or in step 4 for Iu mode</u>, the SGSN may inform the GGSN about the downgraded QoS attributes by sending an Update PDP Context Request to the affected GGSN. The GGSN confirms the new QoS attributes by sending an Update PDP Context Response to the SGSN.

7) The SGSN selects Radio Priority and Packet Flow Id based on QoS Negotiated, and returns an Activate Secondary PDP Context Accept (TI, QoS Negotiated, Radio Priority, Packet Flow Id, PDP Configuration Options) message to the MS. PDP Configuration Options is sent transparently through the SGSN if received in the Create PDP Context Response message. The SGSN is now able to route PDP PDUs between the GGSN and the MS via different GTP tunnels and possibly different LLC links.

For each additionally activated PDP context a QoS profile and TFT may be requested.

If the secondary PDP context activation procedure fails or if the SGSN returns an Activate Secondary PDP Context Reject (Cause, PDP Configuration Options) message, the MS may attempt another activation with a different TFT, depending on the cause.

The CAMEL procedure calls shall be performed, see referenced procedures in 3GPP TS 23.078:

C1) CAMEL\_GPRS\_PDP\_Context\_Establishment.

In Figure 65 and in Figure 66, procedures return as result "Continue".

C2) CAMEL\_GPRS\_PDP\_Context\_Establishment\_Acknowledgement.

In Figure 65 and in Figure 66, procedures return as result "Continue".

### End of modified section

3GPP TSG-SA2 #3 Milan, Italy, 24 <sup>th</sup> ~ 28	0 B <sup>th</sup> February, 2003	<i>Tdoc S2-030545</i> rev of S2-030544
	CHANGE REQUEST	CR-Form-v7
¥	23.060 CR 430 <b># rev</b> 1 <sup># Current version:</sup>	<b>5.4.0</b> *
For <u>HELP</u> on us	ing this form, see bottom of this page or look at the pop-up text over	r the X symbols.
Proposed change at	ffects: UICC apps# ME Radio Access Network	Core Network X
Title: ដ	Handling of IMS signalling information in QoS and PCO IEs at GGS	SN
Source: #	Vodafone Ltd	
		12/02
work item code: ж	שמפ: א עמוי באין אין אין אין אין אין אין אין אין אין	/2/03
Category: ೫	F       Release: %       Release: %	el-5 ollowing releases: M Phase 2) ease 1996) ease 1997) ease 1998) ease 1999) ease 4) ease 5) ease 6)
Reason for change:	<ul> <li>Care is needed to ensure that mobiles do not set the signalling QoS IE "incorrectly" and thus obtain a superior QoS from the Fence it is necessary for the GGSN to check that the signalling the QoS IE when PCO also indicates signalling. This is described on 23.207.</li> <li>This CR is to permit the GGSN to alter the QoS based on "operation".</li> </ul>	g indication in the RAN. g flag is only set in bed in a companion erator policies"
Summary of change	e: 郑 This CR is to permit the GGSN to alter the QoS based on "ope	erator policies".
Consequences if not approved:	# Mobile could systematically set the Signalling flag in the QoS interactive service, and, simultaneously degrade IMS signallin	IE to obtain better g for other users.
Clauses affected:	¥ 9.2.2.1, 9.2.3.3	
Other specs affected:	Y       N         X       Other core specifications         X       Test specifications         X       O&M Specifications	a CR to 23.107. CR on 23.207.
Other comments:	# 9.2.2.1.1 is not modified because it refers directly to 9.2.2.1.	

#### How to create CRs using this form:

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 9.2.2 Activation Procedures

### 9.2.2.1 PDP Context Activation Procedure



The PDP Context Activation procedure is illustrated in Figure 63 and Figure 64.

Figure 63: PDP Context Activation Procedure for A/Gb mode



Figure 64: PDP Context Activation Procedure for Iu mode

- 1) The MS sends an Activate PDP Context Request (NSAPI, TI, PDP Type, PDP Address, Access Point Name, QoS Requested, PDP Configuration Options) message to the SGSN. The MS shall use PDP Address to indicate whether it requires the use of a static PDP address or whether it requires the use of a dynamic PDP address. The MS shall leave PDP Address empty to request a dynamic PDP address. The MS may use Access Point Name to select a reference point to a certain packet data network and/or to select a service. Access Point Name is a logical name referring to the packet data network and/or to a service that the subscriber wishes to connect to. QoS Requested indicates the desired QoS profile. PDP Configuration Options may be used to transfer optional PDP parameters and/or request to the GGSN (see GSM 29.060 [26] and 24.229 [75]). PDP Configuration Options is sent transparently through the SGSN.
- 2) In A/Gb mode, security functions may be executed. These procedures are defined in clause "Security Function".
- 3) In A/Gb mode and if BSS trace is activated, the SGSN shall send an Invoke Trace (Trace Reference, Trace Type, Trigger Id, OMC Identity) message to the BSS. Trace Reference, and Trace Type are copied from the trace information received from the HLR or OMC.

4) The SGSN validates the Activate PDP Context Request using PDP Type (optional), PDP Address (optional), and Access Point Name (optional) provided by the MS and the PDP context subscription records. The validation criteria, the APN selection criteria, and the mapping from APN to a GGSN are described in annex A.

If no GGSN address can be derived or if the SGSN has determined that the Activate PDP Context Request is not valid according to the rules described in annex A, the SGSN rejects the PDP context activation request.

If a GGSN address can be derived, the SGSN creates a TEID for the requested PDP context. If the MS requests a dynamic address, the SGSN lets a GGSN allocate the dynamic address. The SGSN may restrict the requested QoS attributes given its capabilities and the current load, and it shall restrict the requested QoS attributes according to the subscribed QoS profile.

The SGSN sends a Create PDP Context Request (PDP Type, PDP Address, Access Point Name, QoS Negotiated, TEID, NSAPI, MSISDN, Selection Mode, Charging Characteristics, Trace Reference, Trace Type, Trigger Id, OMC Identity, PDP Configuration Options) message to the affected GGSN. Access Point Name shall be the APN Network Identifier of the APN selected according to the procedure described in Annex A. PDP Address shall be empty if a dynamic address is requested. The GGSN may use Access Point Name to find a packet data network and optionally to activate a service for this APN. Selection Mode indicates whether a subscribed APN was selected. Selection Mode is set according to Annex A. The GGSN may use Selection Mode when deciding whether to accept or reject the PDP context activation. For example, if an APN requires subscription, the GGSN with Selection Mode. Charging Characteristics indicates which kind of charging the PDP context is liable for. The charging characteristics on the GPRS subscription and individually subscribed APNs as well as the way the SGSN handles Charging Characteristics and chooses to send them or not to the GGSN is defined in 3GPP TS 32.215 [70]. The SGSN shall include Trace Reference, Trace Type, Trigger Id, and OMC Identity if GGSN trace is activated. The SGSN shall copy Trace Reference, Trace Type, and OMC Identity from the trace information received from the HLR or OMC.

The GGSN creates a new entry in its PDP context table and generates a Charging Id. The new entry allows the GGSN to route PDP PDUs between the SGSN and the packet data network, and to start charging. The way the GGSN handles Charging Characteristics that it may have received from the SGSN is defined in 3GPP TS 32.215 [70]. The GGSN may restrict QoS Negotiated given its capabilities, operator policies and the current load. The GGSN then returns a Create PDP Context Response (TEID, PDP Address, PDP Configuration Options, QoS Negotiated, Charging Id, Cause) message to the SGSN. PDP Address is included if the GGSN allocated a PDP address. If the GGSN has been configured by the operator to use External PDN Address Allocation for the requested APN, PDP Address shall be set to 0.0.0.0, indicating that the PDP address shall be negotiated by the MS with the external PDN after completion of the PDP Context Activation procedure. The GGSN shall relay, modify and monitor these negotiations as long as the PDP context is in ACTIVE state, and use the GGSN-Initiated PDP Configuration Options contain optional PDP parameters that the GGSN may transfer to the MS. These optional PDP parameters may be requested by the MS in the Activate PDP Context Request message, or may be sent unsolicited by the GGSN. PDP Configuration Options is sent transparently through the SGSN. The Create PDP Context messages are sent over the backbone network.

If QoS Negotiated received from the SGSN is incompatible with the PDP context being activated, the GGSN rejects the Create PDP Context Request message. The GGSN operator configures the compatible QoS profiles.

- 5) In Iu mode, RAB setup is done by the RAB Assignment procedure, see subclause "RAB Assignment Procedure".
- 6) In Iu mode and if BSS trace is activated, the SGSN shall send an Invoke Trace (Trace Reference, Trace Type, Trigger Id, OMC Identity) message to the RAN. Trace Reference, and Trace Type are copied from the trace information received from the HLR or OMC.
- 7) In A/Gb mode, BSS packet flow context procedures may be executed. These procedures are defined in clause "BSS Context".
- 8) In Iu mode and in case the QoS attributes have been downgraded in step 5, the SGSN may inform the GGSN about the downgraded QoS attributes by sending an Update PDP Context Request to the affected GGSN. The GGSN confirms the new QoS attributes by sending an Update PDP Context Response to the SGSN.
- 9) The SGSN inserts the NSAPI along with the GGSN address in its PDP context. If the MS has requested a dynamic address, the PDP address received from the GGSN is inserted in the PDP context. The SGSN selects Radio Priority and Packet Flow Id based on QoS Negotiated, and returns an Activate PDP Context Accept (PDP Type, PDP Address, TI, QoS Negotiated, Radio Priority, Packet Flow Id, PDP Configuration Options) message to the MS. PDP Configuration Options may be used to transfer optional PDP parameters to the UE (see GSM 29.060 [26] and 24.229 [75]). PDP Configuration Options is sent transparently through the SGSN. The SGSN is now able to route PDP PDUs between the GGSN and the MS, and to start charging.

For each PDP Address a different quality of service (QoS) profile may be requested. For example, some PDP addresses may be associated with E-mail that can tolerate lengthy response times. Other applications cannot tolerate delay and demand a very high level of throughput, interactive applications being one example. These different requirements are reflected in the QoS profile. The QoS profile is defined in clause "Quality of Service Profile". If a QoS requirement is beyond the capabilities of a PLMN, the PLMN negotiates the QoS profile as close as possible to the requested QoS profile. The MS either accepts the negotiated QoS profile, or deactivates the PDP context.

After an SGSN has successfully updated the GGSN, the PDP contexts associated with an MS is distributed as shown in clause "Information Storage".

If the PDP Context Activation Procedure fails or if the SGSN returns an Activate PDP Context Reject (Cause, PDP Configuration Options) message, the MS may attempt another activation to the same APN up to a maximum number of attempts.

The CAMEL procedure calls shall be performed, see referenced procedures in 3GPP TS 23.078: C1) CAMEL\_GPRS\_PDP\_Context\_Establishment.

In Figure 63 and Figure 64, procedures return as result "Continue". C2) CAMEL\_GPRS\_PDP\_Context\_Establishment\_Acknowledgement.

In Figure 63 and Figure 64, procedures return as result "Continue".

9.2.2.1.1 Secondary PDP Context Activation Procedure

The Secondary PDP Context Activation procedure may be used to activate a PDP context while reusing the PDP address and other PDP context information from an already active PDP context, but with a different QoS profile. Procedures for APN selection and PDP address negotiation are not executed. A unique TI and a unique NSAPI shall identify each PDP context sharing the same PDP address and APN.

The Secondary PDP Context Activation procedure may be executed without providing a Traffic Flow Template (TFT) to the newly activated PDP context if all other active PDP contexts for this PDP address and APN already have an associated TFT. Otherwise a TFT shall be provided. The TFT contains attributes that specify an IP header filter that is used to direct data packets received from the interconnected packet data network to the newly activated PDP context.

The Secondary PDP Context Activation procedure may only be initiated after a PDP context is already activated for the same PDP address and APN. The procedure is illustrated in Figure 65 and Figure 66.



Figure 65: Secondary PDP Context Activation Procedure for A/Gb mode



Figure 66: Secondary PDP Context Activation Procedure for Iu mode

- 1) The MS sends an Activate Secondary PDP Context Request (Linked TI, NSAPI, TI, QoS Requested, TFT, PDP Configuration Options) message to the SGSN. Linked TI indicates the TI value assigned to any one of the already activated PDP contexts for this PDP address and APN. QoS Requested indicates the desired QoS profile. TFT is sent transparently through the SGSN to the GGSN to enable packet classification for downlink data transfer. TI and NSAPI contain values not used by any other activated PDP context. PDP Configuration Options may be used to transfer optional PDP parameters and/or requests to the GGSN (see GSM 29.060 [26] and 24.229 [75]). PDP Configuration Options is sent transparently through the SGSN.
- 2) In A/Gb mode, security functions may be executed. These procedures are defined in clause "Security Function".
- 3) The SGSN validates the Activate Secondary PDP Context Request using the TI indicated by Linked TI. The same GGSN address is used by the SGSN as for the already-activated PDP context(s) for that TI and PDP address.

The SGSN may restrict the requested QoS attributes given its capabilities and the current load, and it shall restrict the requested QoS attributes according to the subscribed QoS profile, which represents the maximum QoS per PDP context to the associated APN. The GGSN may restrict and negotiate the requested QoS as specified in clause "PDP Context Activation Procedure". The SGSN sends a Create PDP Context Request (QoS Negotiated, TEID, NSAPI, Primary NSAPI, TFT, PDP Configuration Options) message to the affected GGSN. Primary NSAPI indicates the NSAPI value assigned to any one of the already activated PDP context Request message. PDP Configuration Options is sent transparently through the SGSN if received in the Activate secondary PDP Context Request message.

- The GGSN uses the same packet data network as used by the already-activated PDP context(s) for that PDP address, generates a new entry in its PDP context table, and stores the TFT. The new entry allows the GGSN to route PDP PDUs via different GTP tunnels between the SGSN and the packet data network. The GGSN returns a Create PDP Context Response (TEID, QoS Negotiated, Cause, PDP Configuration Options) message to the SGSN. PDP Configuration Options may be used to transfer optional PDP parameters to the UE (see GSM 29.060 [26] and 24.229 [75]).
- 4) In Iu mode, RAB setup is done by the RAB Assignment procedure.
- 5) In A/Gb mode, BSS packet flow context procedures may be executed. These procedures are defined in clause "BSS Context".
- 6) In Iu mode and in case the QoS attributes have been downgraded in step 4, the SGSN may inform the GGSN about the downgraded QoS attributes by sending an Update PDP Context Request to the affected GGSN. The GGSN confirms the new QoS attributes by sending an Update PDP Context Response to the SGSN.
- 7) The SGSN selects Radio Priority and Packet Flow Id based on QoS Negotiated, and returns an Activate Secondary PDP Context Accept (TI, QoS Negotiated, Radio Priority, Packet Flow Id, PDP Configuration Options) message to the MS. PDP Configuration Options is sent transparently through the SGSN if received in the Create PDP Context Response message. The SGSN is now able to route PDP PDUs between the GGSN and the MS via different GTP tunnels and possibly different LLC links.

For each additionally activated PDP context a QoS profile and TFT may be requested.

If the secondary PDP context activation procedure fails or if the SGSN returns an Activate Secondary PDP Context Reject (Cause, PDP Configuration Options) message, the MS may attempt another activation with a different TFT, depending on the cause.

- The CAMEL procedure calls shall be performed, see referenced procedures in 3GPP TS 23.078: C1) CAMEL\_GPRS\_PDP\_Context\_Establishment.
- In Figure 65 and in Figure 66, procedures return as result "Continue". C2) CAMEL\_GPRS\_PDP\_Context\_Establishment\_Acknowledgement.

In Figure 65 and in Figure 66, procedures return as result "Continue".

### 9.2.3.3 MS-Initiated PDP Context Modification Procedure



The MS-Initiated PDP Context Modification procedure is illustrated in Figure 72.

Figure 72: MS-Initiated PDP Context Modification Procedure

- The MS sends a Modify PDP Context Request (TI, QoS Requested, TFT, PDP Configuration Options) message to the SGSN. Either QoS Requested or TFT or both may be included. QoS Requested indicates the desired QoS profile, while TFT indicates the TFT that is to be added or modified or deleted from the PDP context. PDP Configuration Options may be used to transfer optional PDP parameters and/or requests to the GGSN.
- 2) The SGSN may restrict the desired QoS profile given its capabilities, the current load, and the subscribed QoS profile. The SGSN sends an Update PDP Context Request (TEID, NSAPI, QoS Negotiated, TFT, PDP Configuration Options) message to the GGSN. If QoS Negotiated and/or TFT received from the SGSN is incompatible with the PDP context being modified (e.g., TFT contains inconsistent packet filters), the GGSN rejects the Update PDP Context Request. The GGSN operator configures the compatible QoS profile. PDP Configuration Options is sent transparently through the SGSN if received in Modify PDP Context Request message.
- 3) The GGSN may further restrict QoS Negotiated given its capabilities, <u>operator policies</u> and the current load. The GGSN stores QoS Negotiated, stores, modifies, or deletes TFT of that PDP context as indicated in TFT, and returns an Update PDP Context Response (TEID, QoS Negotiated, PDP Configuration Options) message. PDP Configuration Options may be used to transfer optional PDP parameters to the UE.
- 4) In Iu mode, radio access bearer modification may be performed by the RAB Assignment procedure. In case the radio access bearer does not exist the RAB setup is done by the RAB Assignment procedure.
- 5) The SGSN selects Radio Priority and Packet Flow Id based on QoS Negotiated, and returns a Modify PDP Context Accept (TI, QoS Negotiated, Radio Priority, Packet Flow Id, PDP Configuration Options) message to the MS. PDP Configuration Options is sent transparently through the SGSN if received in Modify PDP Context Response message.
- NOTE1: If the SGSN does not accept QoS Requested, then steps 2 and 3 of this procedure are skipped, and the existing QoS Negotiated is returned to the MS in step 4.
- NOTE2: In this release of the standards no procedure is defined that uses the Protocol Configuration Options in the PDP context modification procedure.
- The CAMEL procedure calls shall be performed, see referenced procedure in 3GPP TS 23.078: C1) CAMEL\_GPRS\_Change\_Of\_QoS.

The procedure returns as result "Continue".

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#### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <u>http://www.3gpp.org/specs/CR.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# <<First Modified Section>>

# References

The following documents contain provisions, which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] GSM 01.04: "Digital cellular telecommunications system (Phase 2+); Abbreviations and acronyms".
- [2] GSM 01.61: "Digital cellular telecommunications system (Phase 2+); General Packet Radio Service (GPRS); GPRS ciphering algorithm requirements".
- [3] 3GPP TS 22.060: "General Packet Radio Service (GPRS); Service description; Stage 1".
- [4] 3GPP TS 23.003: "Numbering, addressing and identification".
- [5] 3GPP TS 23.007: "Restoration procedures".
- [5b] 3GPP TS 23.016: "Subscriber data management; Stage 2".
- [6] GSM 03.20: "Digital cellular telecommunications system (Phase 2+); Security related network functions".
- [7] GSM 03.22: "Digital cellular telecommunications system (Phase 2+); Functions related to Mobile Station (MS) in idle mode and group receive mode".
- [7b] 3GPP TS 23.122: "Non-Access Stratum functions related to Mobile Station (MS) in idle mode".
- [8] 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".
- [8b] 3GPP TS 23.078: "Customised Applications for Mobile network Enhanced Logic (CAMEL) Phase 3 - Stage 2".
- [9] 3GPP TS 21.905: "Vocabulary for 3GPP Specifications", (Release 4).
- [10] Void.
- [11] GSM 03.64: "Digital cellular telecommunications system (Phase 2+); General Packet Radio Service (GPRS); Overall description of the GPRS radio interface; Stage 2".
- [12] 3GPP TS 24.007: "Mobile radio interface signalling layer 3; General aspects".
- [13] 3GPP TS 24.008: "Mobile Radio Interface Layer 3 specification; Core Network Protocols; Stage 3".
- [13b] 3GPP TS 24.011: "Point to Point (PP) Short Message Service (SMS) support on mobile radio interface".

# <<Next Modified Section>>

# 16.1 Point-to-point Short Message Service

# 16.1.1 Point-to-point Short Message Service (A/Gb mode)

It shall be possible for a GPRS-attached MS to send and receive short messages over the PS domain GPRS radio channels. An MS that is GPRS-attached and not IMSI-attached shall transfer SMs over the PS domain GPRS channels. MSs that are both GPRS-attached and IMSI-attached shall transfer SMs over GPRS channels the PS domain or over non GPRS control channels the CS domain (if non GPRS control channels are the CS domain is used, then paging for MT SMS may go through the SGSN).

The following two clauses define the operation of mobile-terminated and mobile-originated SMS routeing and transfer over <u>GPRS radio channels</u> More detailed definitions are contained in <u>GSM 03.40 3GPP TS23.040</u> [8].

### 16.1.1.1.1 Mobile-terminated SMS Transfer

Figure 96 and the description below show an example of a successful delivery of an SM to an MS over-a GPRS radio channel the PS domain.

MS	BSSRAN SC	GSN (	GGSN	MSC/VLR	HLR	SMS-G	SM-S	sc	
						<		Message Transfer	1
								(SM, MS Address)	
					<			Send Routeing Info For Short Message	2
						>		Send Routeing Info For Short Message Result	3
		<						Forward Short Message	4
								(SM)	
		C1							
<	>	>						Message Transfer	5
								(SM)	]
		C2							
						>		Forward Short Message Result	6
							>	Delivery Report	7

### Figure 96: MT SMS Transfer, Successful

- 1) The short message service centre determines it shall send an SM to an MS. SM-SC forwards the SM to an SMS gateway MSC (SMS-GMSC).
- 2) SMS-GMSC examines the destination MS Address, and sends a Send Routeing Info For Short Message message to the relevant HLR.
- 3) HLR checks the subscriber data (e.g. ODB data and Call Barring Info) for the MS and determines that the MS is allowed to receive the SMS. The HLR returns a Send Routeing Info For Short Message Result message to the SMS-GMSC. The result may contain the MS's current SGSN Number, the MSC Number, or both. More detailed procedure of HLR is defined in 3G TS 29.002. If the result does not contain an SGSN Number (i.e., the HLR knows that the MS is not reachable via an SGSN), and if the result does contain an MSC Number, non-GPRS SMS delivery procedures are followed. If the result contains an SGSN Number, the SMS transfer proceeds according to the following events.
- NOTE: SMS delivery via the SGSN is normally more radio resource efficient than SMS delivery via the MSC/VLR. The preferred delivery path is selected by SMS-GMSC operator-specific action.
- 4) SMS-GMSC forwards the SM to the SGSN.
- 5) SGSN transfers the SM to the MS on the RP<del>, and</del> CP<del>, LLC</del> layers, as defined in <u>3GPP TS 24.011.GSM 04.11</u> and GSM 04.64.
- 6) SGSN returns a Forward Short Message Result message to the SMS-GMSC indicating successful delivery of the SM.

7) SMS-GMSC returns a Delivery Report to the SM-SC indicating successful delivery of the SM.

CAMEL procedure calls shall be performed, see referenced procedures in 3GPP TS 23.078:

#### C1) CAMEL\_T\_SMS\_INIT.

The procedure returns as result "Continue".

#### C2) CAMEL\_T\_SMS\_DELIVERED.

This procedure does not return a result.

#### 16.1.1.1.1 Unsuccessful Mobile-terminated SMS Transfer

The SGSN <u>or the HLR</u> may not be able to deliver the SM to the MS. This may for example happen when the MS is not attached to GPRS, <del>or</del> when the radio channel conditions are bad, <u>or when the MT SMS is barred</u>.

When the SGSN cannot deliver the SM to the MS, the SGSN sets the Mobile station Not Reachable for GPRS flag (MNRG), and returns a failure report to the SMS-GMSC. Based on the routeing information received from the HLR, the SMS-GMSC shall do one of the following:

- If an MSC/VLR is available for the MS, the SM is forwarded to the MS via the MSC/VLR. A successful delivery report shall be returned to the SM-SC.
- If an MSC/VLR is not available for the MS, the Message Waiting Indication information in the HLR shall be updated and an unsuccessful delivery report shall be returned to the SM-SC.

Figure 97 illustrates one possible traffic scenario when neither the SGSN nor the MSC is able to deliver the SM.



#### Figure 97: MT SMS Transfer, Unsuccessful

1) The short message service centre determines it shall send an SM to an MS. SM-SC forwards the SM to a SMS-GMSC.

- 3) HLR checks the subscriber data (e.g. ODB data and Call Barring Info) for the MS and to determines whether the MS is allowed to receive the SMS. If the MT SMS is barred, the HLR returns a Send Routing Info for Short Message Error message with an appropriate cause. If the MT SMS is not barred, the HLR returns a Send Routing Info For Short Message Result message to the SMS-GMSC. The Result contains an SGSN Number and an MSC Number. More detailed procedure of HLR is defined in 3G TS 29.002.
- 4) SMS-GMSC forwards the SM to the SGSN.
- 5) SGSN attempts to transfer the SM to the MS, but fails.
- 6) SGSN sets MNRG and returns a Forward Short Message Result message to SMS-GMSC indicating unsuccessful delivery of the SM.
- 7) SMS-GMSC selects an alternative route for the SMS, and forwards the SM to the MSC/VLR.
- 8) MSC/VLR attempts to transfer the SM to the MS, but fails.
- 9) The MSC/VLR requests the setting of the NGAF at the SGSN.
- 10) VLR sets MNRF and returns a Forward Short Message Result message to the SMS-GMSC indicating unsuccessful delivery of the SM.
- 11)SMS-GMSC sends a Report SM Delivery message to the HLR.
- 12) HLR updates its Message Waiting Indication fields and returns a Report SM Delivery Result message to the SMS-GMSC.
- 13) SMS-GMSC returns a Failure Report to the SM-SC indicating unsuccessful delivery of the SM.

CAMEL procedure calls shall be performed, see referenced procedures in 3GPP TS 23.078:

C1) CAMEL\_T\_SMS\_INIT.

The procedure returns as result "Continue".

C2) CAMEL\_T\_SMS\_FAILURE.

This procedure does not return a result.

C3) CAMEL\_T\_SMS\_INIT.

The procedure returns as result "Continue".

C4) CAMEL\_T\_SMS\_FAILURE.

This procedure does not return a result.

Figure 69 shows that the SGSN sends a Ready for SM (MS Reachable) message to the HLR when the MS becomes reachable and MNRG is set in the SGSN. The SGSN indicates also to the MSC/VLR when the MS becomes reachable and NGAF is set in the SGSN. If the MNRF is set at the MSC/VLR, the MSC/VLR sends a Ready for SM (MS Reachable) message to the HLR. Reception of a Ready for SM message or Update Location message when MNRG is set in the HLR shall trigger the SMS alert procedure as defined in <u>GSM 03.403GPP TS 23.040</u>.

MNRG remains set in the SGSN independently of whether the MSC/VLR was successful in delivering the SM or not. This means that the SGSN in certain cases sends a Ready for SM message to the HLR when an MS becomes reachable via the SGSN, even if no SM is waiting. This causes a small amount of duplicate signalling between the SGSN and the HLR only.

## 16.1.<u>1.</u> Mobile-originated SMS Transfer

Figure 98 and the description below explain the steps involved in sending an SM from an MS over <u>the PS domain</u><del>a</del> GPRS radio channel.

MS	<u>RAN</u> BSS	SGSN	GGSN	MSC/VLR	HLR	SMS-IW	SM-SC		
<		>						Message Transfer (SM)	1
	C1								
						>		Forward Short Message (SM)	2
							>	Message Transfer (SM)	3
						<		Delivery Report	4
		<						Forward Short Message Result	5
	C2								
<								Delivery Report	6

#### Figure 98: MO SMS Transfer, Successful

- 1) The MS has an SM to send, and transfers the SM to the SGSN via RP, and CP, and LLC.
- 2) SGSN checks the MS subscription data (e.g. ODB data and Call Barring Info), and determines that the MS is allowed to originate the SMS. SGSN forwards the SM to a SMS interworking MSC (SMS-IWMSC). If the MS is is not allowed to originate the SMS, the SGSN returns the an RP Error message with an appropriate cause. More detailed procedure of SGSN is defined in 3G-TS29.002.
- 3) SMS-IWMSC passes the SM to the addressed SM-SC.
- 4) SM-SC returns a Delivery Report to the SMS-IWMSC indicating successful delivery of the SM.
- 5) SMS-IWMSC returns a Forward Short Message Result message to the SGSN indicating successful delivery of the SM.
- 6) SGSN returns a Delivery Report to the MS indicating successful delivery of the SM.

CAMEL procedure calls shall be performed, see referenced procedures in 3GPP TS 23.078.

C1) CAMEL\_O\_SMS\_INIT.

The procedure returns as result "Continue".

C2) CAMEL\_O\_SMS\_SUBMITTED

This procedure does not return a result.

### 16.1.2 Point-to-point Short Message Service (lu mode)

SMS shall be supported via the control plane in the packet domain. The Iu mode SMS service is described in 3GPP TS 23.040.

# <<Next Modified Section>>

# 16.3 Supplementary Services

For SMS over GPRS, only the invocation of Call Barring Supplementary Service is supported, the user control by using the Supplementary Service protocol is not supported over GPRS.

NoOther supplementary services are not defined for GPRS. Supplementary services may be available in the interworked packet data networks, but this is outside the scope of this specification.