Technical Specification Group Services and System Aspects Meeting #14, Kyoto, Japan, 17-20 December 2001

Source: SA WG3

Title:1 CR to 33.200: Use of 'Original component identifier' during
MAPsec processing (Rel-4)

Document for: Approval

Agenda Item: 7.3.3

Spec	CR	Rev	Phase	Cat	Subject	Version-	Version	Doc-2nd-
						Current	-New	Level
33.200	013		Rel-4	F	Use of 'Original component identifier' during MAPsec processing	4.1.0	4.2.0	S3-010471

S3-010471

3GPP TSG SA WG3 Security — S3#20

16-19 October 2001, Sidney, Australia

CHANGE REQUEST										
¥	33.200 CR 013 # ev _ # Current version: 4.1	.0 [#]								
For HELP on using this form, see bottom of this page or look at the pop-up text over the \Re symbols.										
Proposed change affects: # (U)SIM ME/UE Radio Access Network Core Network										
Title: ೫	Use of 'Original component identifier' during MAPsec processing									
Source: #	SA WG3									
Work item code: %	SEC1-MAP Date: # 08-10-20	001								
	FRelease: %REL-4Use one of the following categories:Use one of the followingF (correction)2A (corresponds to a correction in an earlier release)R96B (addition of feature),R97C (functional modification of feature)R98D (editorial modification)R99Detailed explanations of the above categories canREL-4C (Release 4D (editorial modification)R99Release 5	se 2) 996) 997) 998) 999))								
Reason for change	 1) Annex B on 'MAPsec message flows' does not specify how the 'Original Identifier' of the received MAPsec message is used to select the Protection I was applied to the message. A MAPsec NE receiving an inbound message must evaluate the 'Original C Identifier' field to be able to determine the protection level applied to the M. message. Without knowing the protection level, it is not possible to "apply" MAPsec message, as it is not clear whether integrity only, integrity and encryprotection has to be applied. 2) Editorial change in 1.c 	Profile that omponent APsec an SA to a								
Summary of chang	Clarification on how the 'Original Component Identifier' has to be used for message processing in MAPsec.	MAPsec								
Consequences if not approved:	# Incomplete MAPsec inbound message processing.									
Clauses affected:	육 Annex B									
Other specs affected:	% Other core specifications % Test specifications 0&M Specifications									
Other comments:	ж									

Annex B (Normative): MAPsec message flows

Imagine a network scenario with two MAP-NEs at different PLMNs (NEa and NEb) willing to communicate using MAPsec. Figure 1 presents the message flow.

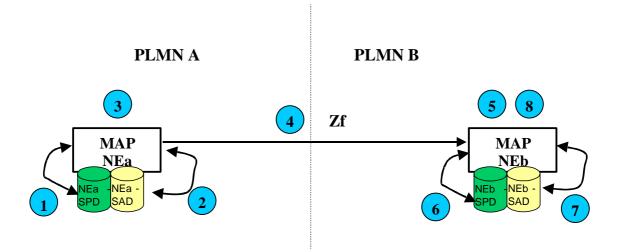


Figure 1. MAPsec Message Flow

According to Figure 1, when MAP-NEa (NEa) from PLMN A wishes to communicate with a MAP-NEb (NEb) of PLMN B using MAP protocol, the process is the following:

As the Sending Entity, NEa performs the following actions during the outbound processing of every MAP message:

1. NEa checks its Security Policy Database (SPD) to check if MAP security mechanisms shall be applied towards PLMN B:

- a) If the SPD does not mandate the use of MAPsec towards PLMN B, then normal MAP communication procedures will be used and the process continues in step 4.b.
- b) If the SPD mandates the use of MAPsec towards PLMN B, then the process continues at step 2.
- c) If no valid entry in the SPD is found for PLMN B, then the communication is aborted and an error is returned to the MAP user.
- 2. NEa checks its Security Association Database (SAD) for a valid Security Association (SA) to be used towards PLMN B. In the case where more than one valid SA is available at the SAD, NEa shall choose the one expiring the sooner.

- a) In case protection of MAP messages towards PLMN B is not possible (e.g. no SA available, invalid SA...), then the communication is aborted and an error is returned to MAP user.
- b) If a valid SA exists but the MAP dialogue being handled does not require protection (Protection Mode 0 applies to all the components of the dialogue), then either the original MAP message in cleartext is sent in step 4.b, or a MAPsec message with Protection Mode 0 is created in step 3.
- c) If a valid SA exists and the MAP dialogue being handled requires protection, then the process continues at step 3.
- 3. NEa constructs the MAPsec message towards NEb using the parameters (keys, algorithms and protection profiles) found in the SA.
- 4. NEa generates either:
 - a) MAPsec message towards NEb.
 - b) An unprotected MAP message in the event that the SPD towards NEb or protection profiles for that specific MAP dialogue so allows it (1.a. or 2.b.).

At the Receiving Entity, NEb performs the following actions during the inbound processing of every MAP message it received:

5. If an unprotected MAP message is received, the process continues with step 6.

Otherwise, NEb decomposes the received MAPsec message and retrieves basic information to apply security measures ('SPI', 'sending PLMN-ID', 'TVP', 'IV' and 'Original Component Identifier').

Freshness of the protected message is checked at this time. If the Time Variant Parameter (TVP) received in the protected message is out of the acceptable window then the message shall be discarded and an error is returned to MAP user. No error message is returned to NEa.

6. NEb checks the SPD:

An unprotected MAP message is received:

- a) If an unprotected MAP message is received and fallback to unprotected mode is allowed, then the unprotected MAP message is simply processed (Process goes to END)
- b) If an unprotected MAP message is received and the 'MAPsec operation components table' of the SPD does not mandate the use of MAPsec for the included 'Original Component Identifier', then the unprotected MAP message is simply processed (Process goes to END)
- c) If an unprotected MAP message is received, the 'MAPsec operation components table' of the SPD mandates the use of MAPsec for the included 'Original Component Identifier' and fallback to unprotected mode is NOT allowed, then the message is discarded.

If the MAP dialogue is still open and it is waiting for an answer, NEb also reports an error back to NEa.

A MAPsec message is received:

d) If no valid entry in the SPD is found for PLMN A, then the message is discarded and an error is reported to MAP user.

If the MAP dialogue is still open and it is waiting for an answer, NEb also reports an error back to NEa.

e) If a MAPsec message is received, but the SPD indicates that MAPsec is NOT to be used, then the message is discarded and an error is reported to MAP user.

If the MAP dialogue is still open and it is waiting for an answer, NEb also reports an error back to NEa.

- f) If a MAPsec message is received and the SPD indicates that MAPsec is required, then the process continues at step 7.
- 7. NEb checks its SAD to retrieve the relevant SA-information for processing of the MAPsec message:
 - a) If the received SPI points to a valid SA, then <u>NEb uses the 'Original Component Identifier' in the</u> <u>MAPsec header to identify the protection level that has to be applied to the component indicated,</u> according to the protection profile indicated in the SA. The process continues at step 8.
 - b) If the received SPI does not point to a valid SA, the message is discarded and an error is reported to MAP user. If the MAP dialogue is still open and it is waiting for an answer, NEb also reports an error back to NEa.
- 8. Integrity and encryption mechanisms are applied <u>on to</u> the message <u>according to the identified</u> <u>protection level, by</u> using the information in the SA (Keys, algorithms, protection profiles).
 - a) If the result after applying such mechanisms is NOT successful then the message is discarded and an error is reported to MAP user. If the MAP dialogue is still open and it is waiting for an answer, NEb also reports an error back to NEa.
 - b) If the result after applying such procedures is successful, then NEb has the cleartext MAP message NEa originally wanted to send NEb. The cleartext MAP message can now be processed (Process goes to END)

END: A cleartext MAP message is available at NEb.

In the event the received message at NEb requires an answer to NEa (Return Result/Error), NEb will perform the process in steps 1 to 4 acting as the Sender and NEa will perform the process in steps 5 to 8 acting as the Receiver.

In the event a MAPsec enabled NE initiated a secured MAP communication towards a non-MAPsec enabled NE and the MAPsec enabled NE received an error indication of such circumstance (i.e. "ApplicationContextNotSupported"). The MAPsec enabled NE shall check whether "Fallback to Unprotected Mode" is allowed:

- If NOT allowed, then the communication is aborted.
- If allowed, then the MAPsec enabled NE shall send an unprotected MAP message instead.

The same procedures shall apply to secure MAP communications between MAP-NEs in the same PLMN.

NOTE: Because various error cases may be caused by active attacks, it is highly recommended that the cases are reported to the management system.