Technical Specification Group Services and System Aspects **TSGS#12(01)0515**

Meeting #13, Beijing, China, 24-27 September 2001

Source:	TSG SA WG2
Title:	CRs on 23.228
Agenda Item:	7.2.3

The following Change Requests (CRs) have been approved by TSG SA WG2 and are requested to be approved by TSG SA plenary #13.

Note: the source of all these CRs is now S2, even if the name of the originating company(ies) is still reflected on the cover page of all the attached CRs.

CR	Rev	Rel	Title	cat	Ver	Ver	S2 Tdoc #	WI
#					in	out		
010		R5	CR on "23.228 Correction for the usage of CAMEL services on top of IMS"	F	5.1.0	5.2.0	S2-011673	IMS- CCR
011	2	R5	QoS-Assured Preconditions	F	5.1.0	5.2.0	<u>\$2-012332</u>	IMS- CCR
022		R5	CR on "Incorrect text on interworking with ISUP"	F	5.1.0	5.2.0	S2-011264	IMS- CCR
025		R5	Corrections to 23.228 V5.0.0	F	5.1.0	5.2.0	S2-011267	IMS- CCR
032		R5	CR on "Correct information related to IPv4 handling"	F	5.1.0	5.2.0	S2-011322	IMS- CCR
045		R5	CR on "MRF functionality and architecture"	C	5.1.0	5.2.0	S2-011699	IMS- CCR
049	2	R5	Awareness of local SIP services in the IM Subsystem	C	5.1.0	5.2.0	<u>S2-012440</u> rev 2	IMS- CCR
050		R5	Token generation at the PCF	F	5.1.0	5.2.0	<u>S2-012105</u>	QoS
051	2	R5	SIP protocol on the SIP+ (ISC) interface	В	5.1.0	5.2.0	S2-011684	IMS- CCR
052	2	R5	CR on "Emergency sessions"	В	5.1.0	5.2.0	S2- 011704rev1	EMC1- PS
055	2	R5	CR on "Network Initiated De-registration procedure"	C	5.1.0	5.2.0	S2- 011693rev3	IMS- CCR
058	1	R5	Terminology Change from SIP+ to ISC for Service Control interface	F	5.1.0	5.2.0	S2-011703	IMS- CCR
061	3	R5	Clarification of P-CSCF discovery	C	5.1.0	5.2.0	<u>S2-012329</u> rev 2	
081		R5	P-CSCF and PCF Clarifications	F	5.1.0	5.2.0	<u>\$2-012259</u>	IMS- CCR
083		R5	Service control during registration and de-registration	С	5.1.0	5.2.0	<u>S2-012327</u>	IMS

	CR-Form-v3
ж	23.228 CR 010 # rev - # Current version: 5.0.0 #
For <u>HELP</u> on us	sing this form, see bottom of this page or look at the pop-up text over the $#$ symbols.
Proposed change a	affects: # (U)SIM ME/UE Radio Access Network Core Network
Title: #	23228 Correction for the usage of CAMEL services on top of IMS
Source: #	Alcatel
Work item code: #	IMS-CCR Date: # 25 th June
Category: ೫	F Release: # Rel-5
	Use one of the following categories:Use one of the following releases:F (essential correction)2A (corresponds to a correction in an earlier release)R96B (Addition of feature),R97C (Functional modification of feature)R98D (Editorial modification)R99D tetailed explanations of the above categories canREL-4be found in 3GPP TR 21.900.REL-5
Reason for change	E: # Current text of section 4.2.1.1 does not reflect the agreement made in Gothenburg SA2 #17 meeting that there is no CAP interface on the S-CSCF
Summary of chang	ie: ೫
Consequences if not approved:	# Inconsistent 23.228 text
Clauses affected:	육 4.2.1.1 Support of CAMEL
Other specs affected:	% Other core specifications % Test specifications 0&M Specifications
Other comments:	¥

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G_Specs/CRs.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

4.2.1 Virtual Home Environment (VHE)

4.2.1.1 Support of CAMEL

It shall be possible for an operator to offer access to services based on the CSE for its IM CN subsystem subscribers. This shall be supported by a CAP interface to the Serving CSCF. It should be noted that there is no requirement for any operator to support CAMEL services for their IM CN subsystem subscribers or for inbound roamers.

For more information refer to section 4.2.4.

It shall be possible for a home network to provide support for CAMEL based services to a subscriber roaming in a network that does not support CAMEL on the IM CN subsystem or does not support the required CAMEL Version. To achieve this, the home operator may support the CAP capable Serving CSCF in the home network.

3GPP TSG- S2 #1819 *Tdoc S2-011231012078012332* Puerto Rico, USASophia Antipolis, May 14th – 18thAug. 27-31, 2001

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Title:	ж	QoS-Assi	ured P	reconditio	ns							
Source:	ж	France Te	elecon	n, Telia, Vo	odafon	e, Nol	kia, Eri	icssc	n			
Work item c	ode: #	IMS-CCR							Date: ೫	Mav	9 Aua. 2	7.2001

Category: ЖF Release: # REL-5 Use one of the following categories: Use one of the following releases: **F** (essential correction) 2 (GSM Phase 2) A (corresponds to a correction in an earlier release) R96 (Release 1996) B (Addition of feature), R97 (Release 1997) **C** (Functional modification of feature) R98 (Release 1998) D (Editorial modification) R99 (Release 1999) (Release 4) Detailed explanations of the above categories can REL-4 be found in 3GPP TR 21.900. REL-5 (Release 5)

Reason for change: **X** When the informative annexes of 23.228 (in version 1.8.2) was removed before 23.228 was set to version 2.0.0 and approved in 5.0.0, the content of annex D.2 was deleted, without first being moved to the normative main body of 23.228. Annex D.2 has been agreed as a working assumption by S2 and is therefore proposed to be added to a new sub-clause of clause 5.4 (5.4.8), defining the concepts of QoS-Assured Preconditions and the criteria for resource reservation successful. Summary of change: # Add a new subclause after 5.4.7 defining the concepts of QoS-Assured Preconditions and the criteria for resource reservation successful. Consequences if ж The concepts of QoS-Assured Preconditions and the criteria for resource not approved: reservation successful will be ambiguous in 3GPP. Clauses affected: **# 5.4** Other core specifications Other specs ж ж affected: Test specifications **O&M** Specifications Other comments: The revision information shows changes compared to the original Annex D.2 of ж

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23.228 ver 1.8.0.

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5.4.7 Interaction between QoS and session signalling

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5.4.8 QoS-Assured Preconditions

This section contains concepts for the relation between the resource reservation procedure and the procedure for end-toend calls.

The <manyfolks> draft introduces the notion concept of "QoS-Assured" and "QoS Enabled" sessions are introduced. A "QoS-Assured" session will not complete until required resources have been allocated to the session. The <manyfolks> draft terms this. This is termed as a "precondition" for the session to complete.

In a QoS-Assured session, the UE must succeed in establishing the QoS bearer for the media stream according to the QoS preconditions defined at the session level before it may indicate a successful response with the Resource Reservation Successful message. The principles for when a UE shall regard QoS preconditions to be met are:

- A minimum requirement to meet the QoS preconditions defined for a media stream in a certain direction, is that a satisfactory PDP context is established at the local access for that direction.
- It is local decision within the UE whether additional actions are also required such as the successful establishment of an RSVP session.
- The action to take in case a UE fails to fulfil the pre-conditions it has selected to use (e.g. failure in establishment of an RSVP session) depends on the reason for failure. If the reason is lack of resources in the network (e.g. an admission control function in the network rejects the request for resources), the UE shall fail to complete the session. For other reasons (e.g. lack of RSVP host or proxy along the path) the action to take is local decision within the UE. It may for example 1) choose to fail to complete the session, 2) attempt to complete the session by no longer requiring some of the additional actions (e.g. fall back to satisfactory establishment of PDP context only).

5.4.98 Event and information distribution within IMS during a Session

The S-CSCF shall be able to send service information messages to endpoints. This shall be done based on a SIP Request/Response information exchange containing the service information and/or a list of URI(s) pointing to the location of information represented in other media formats. The stimulus for initiating the service event related information message may come from e.g. an application server or some other network entity.

Skip to next chapter

5.4.109 Overview of session flow procedures

This section contains the overview description and list of individual procedures for the end-to-end session flows.

For an IP Multi-Media Subsystem session, the session flow procedures are shown in the following diagram.

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3GPP TSG-SA WG2 Meeting #18 Rio Grande, Puerto Rico, 14-18 May, 20001

S2-011264

	CHANGE REQUEST						
ж	23.228 CR 022 [#] rev - [#] Current version: 5.0.0 [#]						
For <u>HELP</u> on u	sing this form, see bottom of this page or look at the pop-up text over the \Re symbols.						
Proposed change a	affects: # (U)SIM ME/UE Radio Access Network Core Network ×						
Title: #	Incorrect text on interworking with ISUP						
Source: #	Lucent Technologies						
Work item code: #	IMS-CCR Date: # 14.2.2001						
Category: #	F Release: # REL-5						
Reason for change	Use one of the following categories: Use one of the following releases: F (essential correction) 2 (GSM Phase 2) A (corresponds to a correction in an earlier release) R96 (Release 1996) B (Addition of feature), R97 (Release 1997) C (Functional modification of feature) R98 (Release 1998) D (Editorial modification) R99 (Release 1999) Detailed explanations of the above categories can be found in 3GPP TR 21.900. REL-4 (Release 4) Reason for change: % The text corresponding to figures 5.16, 5.19, B.4.1, and B.5.1 corresponding to						
	interworking with ISUP incorrectly indicates that the ACM message is only sent if a Ringing message is received. The ACM message should be sent in any case.						
	e: # The text describing the sending of the ACM message is corrected.						
Consequences if not approved:	Correct interworking with ISUP will not be supported.						
Clauses affected:	% 5.6.3, 5.7.3, B.4.1, B.5.1						
Other specs affected:	% Other core specifications % Test specifications Ø&M Specifications						
Other comments:	¥						

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5.6.3 (PSTN-O) PSTN origination

The MGCF in the IM CN subsystem is a SIP endpoint that initiates requests on behalf of the PSTN and Media Gateway. The subsequent nodes consider the signalling as if it came from an S-CSCF. The MGCF incorporates the network security functionality of the S-CSCF. This MGCF does not invoke Service Control, as this may be carried out in the GSTN or at the terminating S-CSCF. This origination procedure can be used for any of the S-S procedures.

Due to routing of sessions within the PSTN, this origination procedure will only occur in the home network of the destination subscriber. However due to cases of session forwarding and electronic surveillance, the destination of the session through the IM CN subsystem may actually be another PSTN termination.

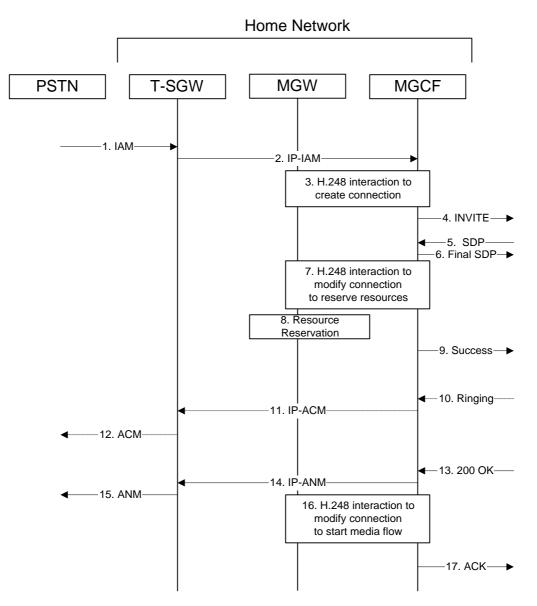


Figure 5.16: PSTN origination procedure

The PSTN Origination procedure is as follows:

- 1. The PSTN establishes a bearer path to the MGW, and signals to the T-SGW with a SS7 IAM message, giving the trunk identity and destination information
- 2. The T-SGW forwards the SS7 message, encapsulated in IP, to the MGCF.
- 3. The MGCF initiates a H.248 command, to seize the trunk and an IP port.
- 4. The MGCF initiates a SIP INVITE request, containing an initial SDP, as per the proper S-S procedure.

- 5. The media stream capabilities of the destination are returned along the signalling path, per the S-S procedures.
- 6. MGCF decides the final set of media streams for this session, and sends the final SDP per the S-S procedures.
- 7. MGCF initiates a H.248 command to modify the connection parameters and instruct the MGW to reserve the resources needed for the session.
- 8. MGW reserves the resources needed for the session
- 9. When the resource reservation is completed, MGCF sends the "Resource Reservation Successful" message to the terminating endpoint, per the S-S procedures.
- 10. The destination endpoint may optionally perform alerting. If so, it signals this to the originating party by a provisional response indicating Ringing. This message is sent to MGCF per the S-S procedure.
- 11. The MGCF sends an IP-ACM to T-SGW to indicate that the destination has been contacted
- 12. The T-SGW forwards a SS7 ACM message
- 13. When the destination party answers, the terminating and S-S procedures result in a SIP 200-OK final response being sent to MGCF
- 14. MGCF forwards an IP-ANM message to T-SGW
- 15. T-SGW forwards an ANM message to the PSTN
- 16. MGCF initiates a H.248 command to alter the connection at MGW to make it bi-directional
- 17. MGCF acknowledges the SIP final response with a SIP ACK message

5.7.3 (PSTN-T) PSTN termination

The MGCF in the IM CN subsystem is a SIP endpoint that initiates and receives requests on behalf of the PSTN and Media Gateway (MGW). Other nodes consider the signalling as if it came from an S-CSCF. The MGCF incorporates the network security functionality of the S-CSCF.

PSTN termination may be done in the same operator's network as the S-CSCF of the session originator. Therefore, the location of the MGCF/MGW/T-SGW are given only as "Terminating Network" rather than "Home Network" or "Visited Network."

Further, agreements between network operators may allow PSTN termination in a network other than the originator's visited network or home network. This may be done, for example, to avoid long distance or international tariffs.

This termination procedure can be used for any of the inter-serving procedures, in place of the S-CSCF.

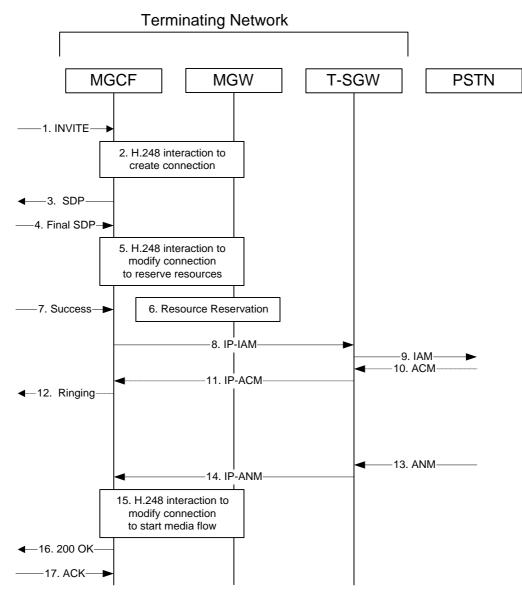
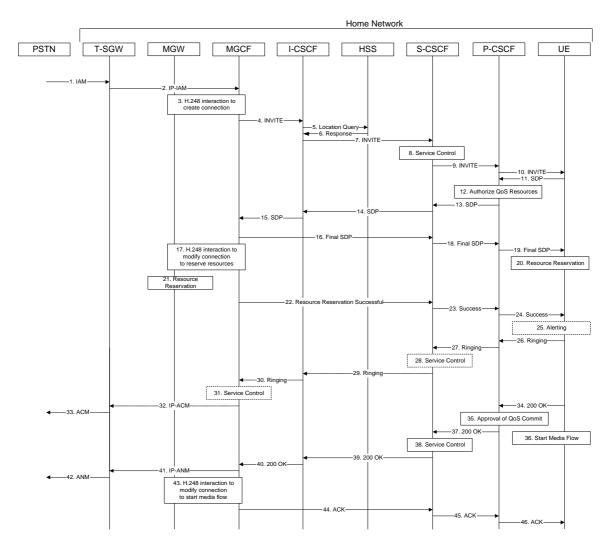


Figure 5.19: PSTN termination procedure

The PSTN termination procedure is as follows:

- 1. MGCF receives an INVITE request, through one of the origination procedures and via one of the inter-serving procedures.
- 2. MGCF initiates a H.248 interaction to pick an outgoing channel and determine media capabilities of the MGW.
- 3. MGCF determines the subset of the media flows proposed by the originating endpoint that it supports, and responds with an SDP message back to the originator. This response is sent via the S-S procedure.
- 4. The originating endpoint sends the final SDP to be used in this session, via the S-S procedure, to MGCF.
- 5. MGCF initiates a H.248 interaction to modify the connection established in step #2 and instruct MGW to reserve the resources necessary for the media streams.
- 6. MGW reserved the resources necessary for the media streams.
- 7. When the originating endpoint has completed its resource reservation, it sends the "Resource Reservation Successful" message to MGCF, via the S-S procedures.
- 8. MGCF sends an IP-IAM message to the T-SGW
- 9. T-SGW receives the IP-IAM and sends the SS7 IAM message into the PSTN.

- 10. The PSTN sends an SS7 ACM message to indicate that the path to the destination has been established. It may optionally alert the destination user before completing the session.
- 11. The T-SGW sends an IP-ACM message to MGCF
- 12. If the PSTN is alerting the destination user, MGCF indicates this to the originating party by a provisional response indicating Ringing. This message is sent via the S-S procedures.
- 13. When the destination party answers, the PSTN sends an SS7 ANM message to T-SGW
- 14. T-SGW sends an IP-ANM message to MGCF
- 15. MGCF initiates a H.248 interaction to make the connection in the MGW bi-directional.
- 16. MGCF sends a SIP 200-OK final response along the signalling path back to the session originator
- 17. The Originating party acknowledges the final response with a SIP ACK message



B.4.1 Session flow diagram

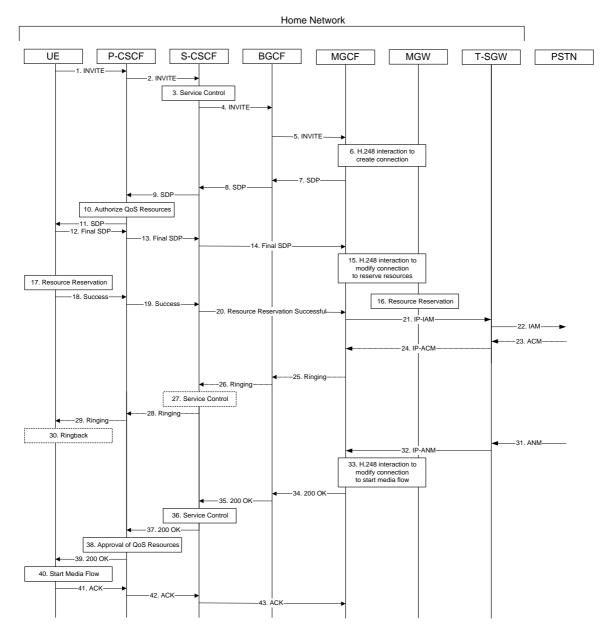
Step-by-step processing of this end-to-end session flow is as follows:

- 1. The PSTN establishes a bearer path to the MGW, and signals to the T-SGW with a SS7 IAM message, giving the trunk identity and destination information
- 2. The T-SGW forwards the SS7 message, encapsulated in IP, to the MGCF.

- 3. The MGCF initiates a H.248 command to seize the trunk and an IP port.
- 4. The MGCF translates the destination address and determines the session will be completed within the home network. MGCF initiates a SIP INVITE request, containing an initial SDP, to I-CSCF.
- 5. I-CSCF sends 'Cx-location-query' to the HSS to obtain the location information for the destination
- 6. The HSS responds with 'Cx-location-query-response' and indicates the destination is in the home service area.
- 7. I-CSCF forwards the INVITE to S-CSCF, identified by the HSS as serving this subscriber.
- 8. S-CSCF validates the service profile, and performs whatever service control logic is appropriate for this session setup attempt.
- 9. S-CSCF remembers (from the registration procedure) the address of P-CSCF, and forwards the INVITE to P-CSCF.
- 10. P-CSCF remembers (from the registration procedure) the address of UE, and forwards the INVITE to UE.
- 11. UE#2 returns the media stream capabilities of the destination to the session originator, along the signalling path established by the INVITE message
- 12. P-CSCF authorises the QoS resources required for this session
- 13. P-CSCF forwards the SDP to S-CSCF.
- 14. S-CSCF forwards the SDP to I-CSCF
- 15. I-CSCF forwards the SDP to MGCF
- 16. MGCF decides the final set of media streams for this session, and sends the Final SDP to S-CSCF. This message may be routed through I-CSCF, depending on operator configuration of I-CSCF.
- 17. MGCF initiates a H.248 command to modify the connection parameters and instruct the MGW to reserve the resources needed for the session
- 18. S-CSCF forwards the final SDP to P-CSCF.
- 19. P-CSCF forwards the final SDP to UE.
- 20. UE initiates the resource reservation procedures for the resources necessary for this session.
- 21. MGW reserves the resources needed for the session
- 22. When MGW has successfully reserved the needed resources, MGCF sends the "reservation successful" message to UE along the signalling path established by the INVITE message. The message is sent to S-CSCF, and may be routed through I-CSCF, depending on operator configuration of I-CSCF.
- 23. S-CSCF forwards the message to P-CSCF.
- 24. P-CSCF forwards the message to UE.
- 25. UE may optionally delay the session establishment in order to alert the subscriber to the incoming session.
- 26. If UE performs alerting, it sends a ringing indication to the originator via the signalling path. The message is sent first to P-CSCF.
- 27. P-CSCF forwards the ringing message to S-CSCF.
- 28. S-CSCF performs whatever service control is appropriate for this ringing session
- 29. S-CSCF forwards the message to I-CSCF
- 30. I-CSCF forwards the message to MGCF
- 31. MGCF performs whatever service control is appropriate for this ringing session.

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- 32. The MGCF sends an IP-ACM to T-SGW to indicate that the destination has been contacted
- 33. The T-SGW forwards a SS7 ACM message
- 34. When the destination party answers, the UE sends a SIP 200-OK final response to P-CSCF
- 35. P-CSCF approves the commitment of the QoS resources for this session.
- 36. After sending the 200-OK, UE initiates the media flow.
- 37. P-CSCF sends the SIP 200-OK final response to S-CSCF
- 38. S-CSCF performs whatever service control logic is appropriate for this session setup completion
- 39. S-CSCF sends the SIP 200-OK final response to I-CSCF
- 40. I-CSCF sends the SIP 200-OK final response to MGCF
- 41. MGCF forwards an IP-ANM message to T-SGW
- 42. T-SGW forwards an ANM message to the PSTN
- 43. MGCF alters the connection at MGW, via a H.248 command, to make it bi-directional
- 44. MGCF acknowledges the SIP final response with a SIP ACK message, which is passed to UE#2 via the signalling path. This message is sent to S-CSCF, and may be routed through I-CSCF, depending on operator configuration of I-CSCF.
- 45. S-CSCF forwards the ACK to P-CSCF
- 46. P-CSCF forwards the ACK to UE



B.5.1 Session flow diagram

Step-by-step processing of this end-to-end session flow is as follows:

- 1. The UE sends a SIP INVITE request, containing an initial SDP, to the P-CSCF, which was obtained from the CSCF discovery procedures.
- 2. The P-CSCF forwards the INVITE to the next hop name/address, as determined from the registration procedures. In this case the next hop is the S-CSCF within the same operator's network.
- 3. The S-CSCF validates the service profile, and performs whatever service control logic is appropriate for this session setup attempt.
- 4. The S-CSCF translates the destination address and determines the session will break out to the PSTN. It therefore forwards the INVITE to a BGCF.
- 5. The BGCF decides to use an MGW in the home network, allocates a MGCF, and sends the INVITE request to the MGCF. Procedures for choice of the optimal Media Gateway are not standardised in this release.
- 6. MGCF initiates a H.248 interaction to pick an outgoing channel and determine media capabilities of the MGW.

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- 7. MGCF determines the subset of the media flows proposed by the originating endpoint that it supports, and responds with an SDP message back to the originator via the signalling path. This response is sent to the BGCF.
- 8. BGCF forwards the SDP to S-CSCF
- 9. S-CSCF forwards the SDP message to P-CSCF
- 10. P-CSCF authorises the resources necessary for this session
- 11. P-CSCF forwards the SDP message to the originating endpoint, UE.
- 12. The originator decides the final set of media streams for this session, and sends the Final SDP to P-CSCF.
- 13. P-CSCF forwards the final SDP to S-CSCF
- 14. S-CSCF forwards the final SDP to MGCF. This message may be routed directly to the MGCF.
- 15. MGCF initiates a H.248 interaction to modify the connection established in step #8 and instruct MGW to reserve the resources necessary for the media stream.
- 16. MGW reserves the resources necessary for the media stream.
- 17. After determining the final set of media streams for this session, step #14 above, UE initiates the reservation procedures for the resources needed for this session.
- 18. When UE has successfully reserved the needed resources, it sends the "reservation successful" message to MGCF along the signalling path established by the INVITE message. This message is send first to P-CSCF.
- 19. P-CSCF forwards the message to S-CSCF.
- 20. S-CSCF forwards the message to MGCF. This message may be routed through I-CSCF, depending on operator configuration of I-CSCF.
- 21. MGCF sends an IP-IAM message to the T-SGW
- 22. T-SGW receives the IP-IAM and sends the SS7 IAM message into the PSTN.
- 23. The PSTN sends an SS7 ACM message to indicate that the path to the destination has been established. It may optionally alert the destination user before completing the session.
- 24. T-SGW sends an IP-ACM message to MGCF

25. If the PSTN is alerting the destination user, MGCF indicates this to the originating party by a provisional response indicating Ringing. This message is sent to the BGCF.

- 26. The BGCF forwards the message to S-CSCF
- 27. S-CSCF performs whatever service control is appropriate for this ringing session.
- 28. S-CSCF forwards the message to P-CSCF
- Editor's Note: Additional QoS interactions to handle one-way media at this point (e.g. for PSTN ringback and announcements) is for further study.
- 29. P-CSCF forwards the message to UE

30. UE indicates to the originator that the session is being delayed due to alerting. Typically this involves playing a ringback sequence.

- 31. When the destination party answers, the PSTN sends an SS7 ANM message to T-SGW
- 32. T-SGW sends an IP-ANM message to MGCF
- 33. MGCF initiates a H.248 interaction to make the connection in the MGW bi-directional
- 34. MGCF sends a SIP 200-OK final response along the signalling path back to the session originator

- 35. BGCF sends a SIP 200-OK final response along the signalling path back to the session originator
- 36. S-CSCF performs whatever service control logic is appropriate for this session setup completion
- 37. S-CSCF sends a SIP 200-OK final response to P-CSCF
- 38. P-CSCF approves the commitment of the QoS resources for this session
- 39. P-CSCF sends a SIP 200-OK final response along the signalling path back to the session originator
- 40. UE starts the media flow for this session
- 41. UE responds to the final response with a SIP ACK message, which is passed to the MGCF via the signalling path. The message is sent first to P-CSCF
- 42. P-CSCF forwards the ACK to S-CSCF.
- 43. S-CSCF forwards the ACK to MGCF.

3GPP TSG-SA WG2 Meeting #18 Rio Grande, Puerto Rico, 14-18 May, 20001

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- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://www.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5.5.4 (S-S#4) Session origination with PSTN termination in a different network from the S-CSCF.

The Serving-CSCF handling session origination performs an analysis of the destination address, and determines, with support of applications or other databases, that the session is destined to the PSTN. The request is therefore forwarded to a local BGCF. The BGCF determines that the PSTN interworking should occur in another network, and forwards this to a BGCF in the interworking network. The BGCF then selects a MGCF in that network. The request is then forwarded to the MGCF.

Origination sequences that share this common S-S procedure are:

- MO#1 Mobile origination, roaming. The "Originating Network" of S-S#4 is therefore a visited network.
- MO#2 Mobile origination, located in home service area. The "Originating Network" of S-S#4 is therefore the home network.
- PSTN-OPSTN origination. The "Originating Network" of S-S#4 is the home network. The element labelled S-CSCF#1 is the MGCF of the PSTN-O procedure.

Termination sequences that share this common S-S procedure are:

PSTN-T PSTN termination. This occurs when the MGCF is selected to be in the same network as the S-CSCF.

5.8.2 SLF on register

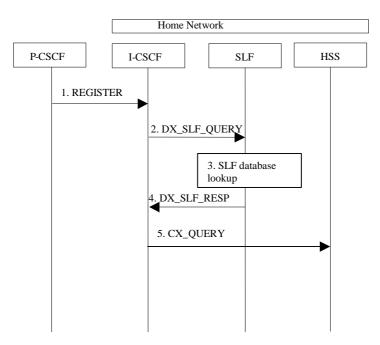


Figure 5.20: SLF on register

- 1. I-CSCF receives a REGISTER request and now has to query for the location of the subscriber's data.
- 2. The I-CSCF sends a DX_SLF_QUERY to the SLF and includes as parameter the subscriber identity which is stated in the REGISTER request.
- 3. The SLF looks up its database for the queried subscriber identity.

3

- 4. The SLF answers with the HSS name in which the subscriber's data can be found.
- 5. The I-CSCF can proceed by querying the appropriate HSS.

5.11.5.4 Session Redirection initiated by P-CSCF

One of the functional elements in a basic session flow that may initiate a redirection is the P-CSCF of the destination subscriber. In handling of an incoming session setup attempt, the P-CSCF normally sends the INVITE request to the destination UE, and retransmits it as necessary until obtaining an acknowledgement indicating reception by the UE.

In cases when the destination subscriber is not currently reachable in the IM CN subsystem (due to such factors as roaming outside the service area or loss of battery, but the registration has not yet expired), the P-CSCF may initiate a redirection of the session. The P-CSCF informs the S-CSCF of this redirection, without specifying the new location; S-CSCF determines the new destination and performs according to sections 1, 2, or 3 above, based on the type of destination.

This is shown in the following information flow:

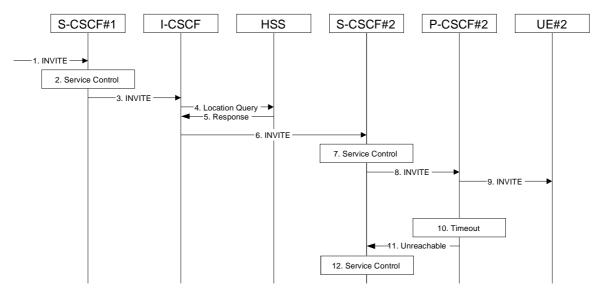


Figure 5.39: Session redirection initiated by P-CSCF

Step-by-step processing is as follows:

- 1. The SIP INVITE request is sent from the UE to S-CSCF#1 by the procedures of the originating flow.
- 2. S-CSCF#1 performs whatever service control logic is appropriate for this session setup attempt.
- 3. S-CSCF#1 performs an analysis of the destination address, and determines the network operator to whom the subscriber belongs. The INVITE message is sent to an I-CSCF for that operator, and may optionally go through an I-CSCF(firewall) if S-CSCF#1 is in a different operator's network than I-CSCF.
- 4. I-CSCF queries the HSS for current location information of the destination subscriber.
- 5. HSS responds with the address of the current Serving CSCF (S-CSCF#2) for the terminating subscriber.
- 6. I-CSCF forwards the INVITE request to S-CSCF#2, which will handle the session termination.
- 7. S-CSCF#2 performs whatever service control logic is appropriate for this session setup attempt.
- 8. S-CSCF#2 forwards the INVITE request to P-CSCF#2
- 9. P-CSCF#2 forwards the INVITE request to UE#2

- 10. Timeout expires in P-CSCF waiting for a response from UE#2. P-CSCF therefore assumes UE#2 is unreachable.
- 11. P-CSCF#2 generates a Unavailable response, without including a new destination, and sends the message to S-CSCF#2.
- 12. S-CSCF#2 performs whatever service control is appropriate for this session redirection. If the user does not subscribe to session redirection service, or did not supply a forwarding destination, S-CSCF#2 may terminate the session setup attempt with a failure response. Otherwise, S-CSCF#2 supplies a new destination URL, which may be a phone number, an email address, a web page, or anything else that can be expressed as a URL. Processing continues according to subsections 1, 2, or 3 above, based on the type of destination URL.

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First Change

5.6 Origination procedures

This section presents the detailed application level flows to define the Procedures for session originations.

The session origination procedures specify the signalling path between the UE initiating a session setup attempt and the Serving-CSCF that is assigned to perform the session origination service. This signalling path is determined at the time of UE registration, and remains fixed for the life of the registration.

A UE always has a proxy (P-CSCF) associated with it. This P-CSCF is located in the same network as the UE, performs resource authorisation, and may have additional functions in handling of emergency sessions. The P-CSCF is determined by the CSCF discovery process, described in Section 5.1.1 (Local CSCF Discovery).

As a result of the registration procedure, the P-CSCF determines the next hop toward the Serving-CSCF. This next hop may be directly to the S-CSCF in the same network (MO#2 for the roaming case, MO#3 for the home case), or is -to the S-CSCF in the home network (possibly through an I-CSCF to hide the network configuration) (MO#1). These next-hop addresses could be IPv4/IPv6 addresses, or could be names that are translated via DNS to an IPv4/IPv6 address.

Sessions originated in the PSTN to a mobile destination are a special case of the Origination procedures. The MGCF uses H.248 [19] to control a Media Gateway, and communicates with the SS7 network via the TSGW. The MGCF initiates the SIP request, and subsequent nodes consider the signalling as if it came from a S-CSCF.

3GPP TSG-SA2 Drafting Meeting #18 Dallas, USA, 25-29 June 2001

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Reason for change	e: 希 Clarification of the architecture of the MRF	
Summary of chang	re: 希 Adds information on the basic architecture concerning MRF	
Consequences if not approved:	¥	
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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

4.7 Multimedia Resource Function

The architecture concerning the Multimedia Resource Function is presented in Figure 5.x below.

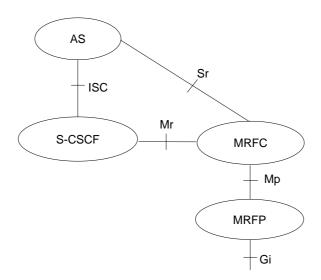


Figure 5.x: Architecture of MRF

The MRF is split into Multimedia Resource Function Controller (MRFC) and Multimedia Resource Function Processor (MRFP).

Tasks of the MRFC are the following:

- Control the media stream resources in the MRFP.
- Interpret information coming from an AS and S-CSCF (e.g session identifier) and control MRFP accordingly.
- Generate of CDRs

Tasks of the MRFP are the following:

- Bearer control on the Gi interface.
- Provide resources to be controlled by the MRFC.
- Mixing of incoming media streams (e.g for multiple parties).
- Media stream source (for multimedia announcements).
- Media stream processing (e.g. audio transcoding, media analysis).

Tasks of an Application Server with regards to MRF are e.g. the following:

- Conference booking and provide booking information (e.g. start time, duration, list of participants) to the MRFC.
- Provide a floor control mechanism, by which end users (e.g. participants, chairman) can influence floor and provide information to the MRFC on how incoming media streams should be mixed and distributed accordingly.

The protocol used for the Mr reference point is SIP (as defined by RFC 2543, other relevant RFC's, and additional enhancements introduced to support 3GPP's needs).

The Mp reference point allows an MRFC to control media stream resources provided by an MRF.

The Mp reference point has the following properties:

- Full compliance with the H.248 standard.
- Open architecture where extensions (packages) definition work on the interface may be carried out.

The details and functionality, if any, of the Sr interface are for further study.

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Reason for change: ೫	In order to maximise the revenue generation opportunities for an IM Subsystem operator, it is critical that standardised mechanisms are in place to inform the end user of the services that are available from the home and visited networks. This contribution proposes to capture the requirements for advertising available services direct to the user's handset, in a flexible and customised way. It is
	therefore proposed that the web portal capability is re-used in the context of IMS.
Summary of change: ¥	Addition of requirements to make UE aware of available local services.
Consequences if # not approved:	It will not be possible to inform the user of local services in release 5, reducing the possible opportunities for revenue generation.
Clauses affected: #	4.2.2
Other specs # affected:	Other core specifications # Test specifications # O&M Specifications *
Other comments: #	

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4.2.2 Support of Local Services in the IMS

The definition of local services can be found in [22]. Visited network provided services offer an opportunity for revenue generation by allowing access to services of a local nature to visiting users (inbound roamers). There shall be a standardised means for providing inbound roamers with access to local services. The mechanism to access local services shall be exactly the same for home users and inbound roamers.

Access to local Services shall be provided in the following manner

- 1. It shall be possible for the network to determine whether the subscriber is requesting a local service in the visited IMS or the home IMS network based upon information received from the UE. This information may be included in e.g. the Request URI.
- 2. In the case where the service is to be provided by the visited IMS network, the P-CSCF, if required, will modify the "routing information" to a globally routable address. This will result in the S-CSCF in the home network routing the request back to the visited network.
- 3. The P-CSCF shall route the session towards the S-CSCF as per the session origination procedures, where the execution of the originating subscriber's home services are to be executed.

There shall be a standardised mechanism for the to inform a UE–UE that is registered in the IM Subsystem, to receive and/or retrieve information about of the available local services. It shall be possible to advertise local services to a registered UE independent of whether the UE has an active SIP session. Local services maycan be presented e.g. by directing the user to a web page.

3GPP TSG-SA2 Meeting #19 Sophia Antipolis, France, August 27 - 31, 2001

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Source: ೫	Nortel Networks								
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C	Jse one of the following categories: Use one of the following releases: F (correction) 2 (GSM Phase 2) A (corresponds to a correction in an earlier release) R96 (Release 1996) B (addition of feature), R97 (Release 1997) C (functional modification of feature) R98 (Release 1998) D (editorial modification) R99 (Release 1999) Detailed explanations of the above categories can REL-4 (Release 4) he found in 3GPP TR 21.900. REL-5 (Release 5)								
Summary of change									
Consequences if not approved:	It will be more difficult to make the future work for P-CSCF to PCF interface possible.								
Clauses affected:	₩ <mark>5.4.7.1</mark>								
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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5.4.7.1 Authorise UMTS and IP QoS Resources

The GGSN serves as the Policy Enforcement Point that implements the policy decisions for performing admission control and authorising the UMTS and IP BS QoS Resource request, and policing IP flows entering the external IP network.

Authorisation of UMTS and IP QoS Resources shall be required for access to the IP Multimedia Subsystem. The GGSN shall determine the need for authorisation, possibly based on provisioning and/or based on the APN of the PDP context.

The authorisation shall be made prior to the allocation request from the UE. This authorisation may be given to the GGSN from the P-CSCF(PCF) via a 'Push'-type of interface, or may be 'Pulled' from the P-CSCF(PCF) by the GGSN when the allocation request is received from the UE. The authorisation shall include binding information, which shall also be provided by the UE to the GGSN in the allocation request, which enables accurate matching of requests and authorisations. This binding information may be an authorisation token assigned by the <u>P-CSCF(PCF)</u>, possibly in consultation with the GGSN, and may contain information that identifies its generator. the <u>P-CSCF(PCF)</u> that generated the token. The authorisation shall include limits on IP packet flows, and may include restrictions on IP destination address and port. These restrictions may take the form of a flowspec and filterspec, as defined in RFC2205. The P-CSCF(PCF) shall use the SDP contained in the SIP signaling to calculate the proper authorisation, as defined in TS 23.207. The authorisation shall be expressed in terms of the IP resources to be authorised.

Authorisation may contain information used by the network operator to correlate usage records generated by the GPRS system with those generated by the IP Multi-Media Subsystem. Such a correlation identifier, if included in the authorisation, shall be provided to the GPRS elements that generate such usage records.

Authorisation information, combined with the QoS allocation request from the UE, shall be sufficient for the GGSN to initiate an RSVP exchange with the remote endpoint of the IP packet flow.

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Reason for change: 3	There is no protocol agreed for the SIP+ (ISC) service interface							
Summary of change:	This CR adds a statement on using the SIP protocol on the SIP+ interface to carry SIP information.the protocol to be used on the SIP+ (ISC) interface							
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version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

4.2.4 Serving CSCF to service platform Interface

An Application Server (AS) offering value added IM services resides either in the user's home network or in a third party location. The third party could be a network or simply a stand-alone AS.

- The Serving-CSCF to AS interface is used to provide services residing in an AS. Two cases were identified: - Serving-CSCF to an AS in Home Network.
 - Serving-CSCF to an AS in External Network (e.g., Third Party or Visited)

Regarding the general provision of services in the IMS, the following statements shall guide the further development.

- 1. Besides the Cx interface the S-CSCF supports only one standardised protocol for service control, which delegates service execution to an "Application Server".,
- 2. SIP+ is based on the SIP protocol information with necessary enhancements to allow for remote service execution; controversial enhancements should be avoided.
- 3. The depicted functional architecture does not propose a specific physical implementation.
- 4. Scope of the SIP Application Server: the SIP Application Server may host and execute services. It is intended to allow the SIP Application Server to influence and impact the SIP session on behalf of the services and it uses SIP+ to communicate with the S-CSCF.
- 5. The S-CSCF shall decide whether an Application Server is required to receive information related to an incoming SIP session request to ensure appropriate service handling.. The decision at the S-CSCF is based on (filter) information received from the HSS (or other sources, e.g. application servers). This filter information is stored and conveyed on a per application server basis for each subscriber. The name(s)/address(es) information of the application server(s) are received from the HSS.

Editors Note: The details of the "filter" information has to be further identified.

- 6. The purpose of the IM SSF is to host the CAMEL network features (i.e. trigger detection points, CAMEL Service Switching Finite State Machine, etc) and to interface to CAP.
- 7. The IM SSF and the CAP interface support legacy services only.
- 8. Once the IM SSF, OSA SCS or SIP Application Server has been informed of a SIP session request by the S-CSCF, the IM SSF, OSA SCS or SIP Application Server shall ensure that the S-CSCF is made aware of any resulting activity by sending messages to the S-CSCF.
- 9. From the perspective of the S-CSCF, The "SIP Application server", "OSA service capability server" and "IM-SSF" shall exhibit the same interface behaviour.
- 10. The application server may contain "service capability interaction manager" (SCIM) functionality and other application servers. The SCIM functionality is an application which performs the role of interaction management. The internal components are represented by the "dotted boxes" inside the SIP application server. The internal structure of the application server is outside the standards. The Sh interface shall have sufficient functionality to enable this scenario.
- 11. When the name/address of more than one "application server" is transferred from the HSS, the S-CSCF shall contact the "application servers" in the order supplied by the HSS. The response from the first "application server" shall be used as the input to the second "application server".

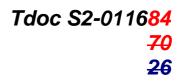
- 12. The S-CSCF does not handle service interaction issues..
- 13. The S-CSCF does not provide authentication and security functionality for secure direct third party access to the IM subsystem. The OSA framework provides a standardized way for third party secure access to the IM subsystem.

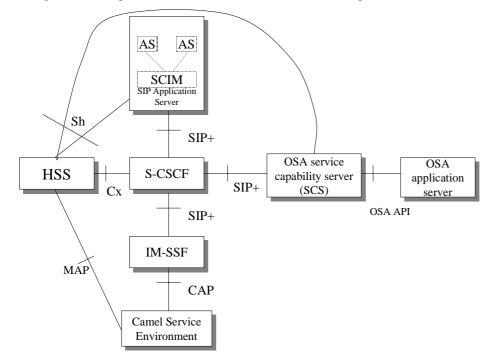
More specifically the following requirements apply to the Serving-CSCF control interface:

- 1. SIP+ shall be capable to bring the full range of information (e.g. message headers, message bodies) available at the S-CSCF to the Application Server's attention.
- 2. SIP+ shall preserve the extensibility of the SIP network signalling protocol on the interface to the application server. Introducing extensions (e.g. new SIP method, SIP header) in the network SIP signalling protocol shall make these extensions implicitly available to the Application Server without requiring separate extensions to SIP+.
- 3. The S-CSCF is application logic agnostic, i.e. it has no specific knowledge about a particular application logic invoked via the SIP+ interface.
- 4. The S-CSCF contacts the Application Server for the execution of applications. This shall be possible during the registration and during the session from setup to the release.
- 5. An Application Server can initiate a new session/transactions at the S-CSCF without having been contacted by the S-CSCF beforehand.
- 6. SIP+ is currently envisioned to connect the S-CSCF to entities (Application Servers, OSA SCS, IM SSF) within the operators network.
- SIP+ shall be a protocol between a Controlling Entity (SIP Application Server, IM-SSF, OSA-SCS) and a Controlled Entity (S-CSCF). The Controlling Entity takes/makes decisions on the SIP session. The Controlled Entity acts according to the requests from the Controlling Entity, and notifies the Controlling Entity of events of interest (e.g a timer event or a SIP event).
- 8. The SIP+ protocol shall enable a multi-vendor open interface between SIP application server/IMS-SSF/OSA-SCS and the S-CSCF.
- SIP+ shall support service control for both originating SIP sessions and terminating SIP sessions. The Aparty's services are accessed from the A-party's S-CSCF. The B-party's services are accessed from the Bparty's S-CSCF
- 10. SIP+ shall allow the simultaneous handling of more than one service within a session.
- 11. SIP+ shall allow the Controlling Entity to request the transmission of a specific SIP message(s). This may, or may not, be in reaction to a message from the Controlled Entity
- 12. SIP+ shall allow the Controlling Entity to request the transmission of a SIP message with added/deleted/modified content.(headers & sip message body (e.g. SDP))
- 13. SIP+ shall allow filter setting from the Controlling Entity.
- 14. SIP+ shall allow Service Control triggering from the Controlled Entity on basic or complex triggers.
- 15. SIP+ shall allow the Controlling Entity to request the initiation of a SIP session. This may, or may not, be in reaction to a message from the Controlled Entity
- 16. SIP+ shall be able to convey charging information.
- 17. SIP+ shall support Load Control functions.
- 18. Means for detecting the failure/availability of a SIP AS/IM-SSF/OSA-SCS and S-CSCF shall be provided.
- 19. SIP+ shall support the transport of the following information from the S-CSCF to the Controlling Entity:
 - Subscriber ID (Private subscriber identifier and, optionally, public subscriber identifier)
 - Information on the event which occurred
 - terminating/originating information
 - SIP information
- 20. SIP+ shall support the transport of the following information from the Controlling Entity to the S-CSCF:
 - Subscriber ID
 - Session handling request
- 21. The "SIP+" protocol shall support the control of timers
- 22. The SIP+ protocol shall allow the S-CSCF to differentiate between session control and SIP+.

Editors Note: Further requirements are for further study.

3GPP TSG-SA WG2 drafting meeting 25-29 June, 2001 Dallas, USA





The figure below depicts an overall view of how services can be provided.

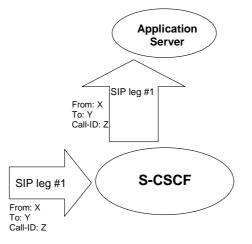
Figure 4.3: Functional architecture for the provision of service in the IMS

The protocol to be used on the ISC interface shall be SIP (as defined by RFC 2543, other relevant RFC's, and additional enhancements introduced to support 3GPP's needs on the Mw, Mm, Mg interfaces). On the ISC interface, extensions to SIP shall be avoided but are not expressly prohibited.

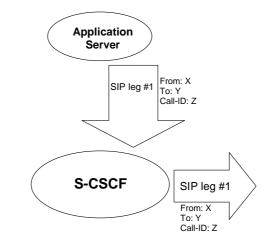
The same SIP leg (as defined by the "Call-id", "To" and "From" information fields, with the associated "tag" information fields) that is received by the S-CSCF on the Mw, Mm and Mg interfaces is sent on the ISC interface. The same SIP leg (as defined by the "Call-id", "To" and "From" information fields, with the associated "tag" information fields) that is received by the S-CSCF on the ISC interface is sent on the Mw, Mm and Mg interfaces.

Concerning the relationship between the SIP legs of the ISC interface and the SIP legs of the Mw, Mm, and Mg interfaces the S-CSCF acts as a SIP proxy, as shown in Figures 4.a-4e below.

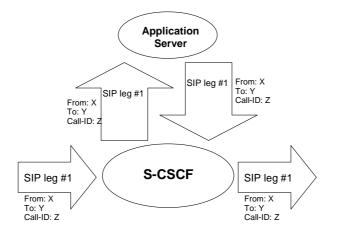
Figures 4.a-4e below depict the possible high level interactions envisioned between the S-CSCF and the Application Server.













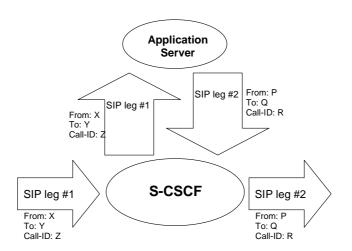


Figure 4.d: Application Server performing 3rd party call control



Figure 4.e: A SIP leg is passed through the S-CSCF without Application Server involvement

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How to create CRs using this form: Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G_Specs/CRs.htm</u>. Below is a brief summary:

1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.

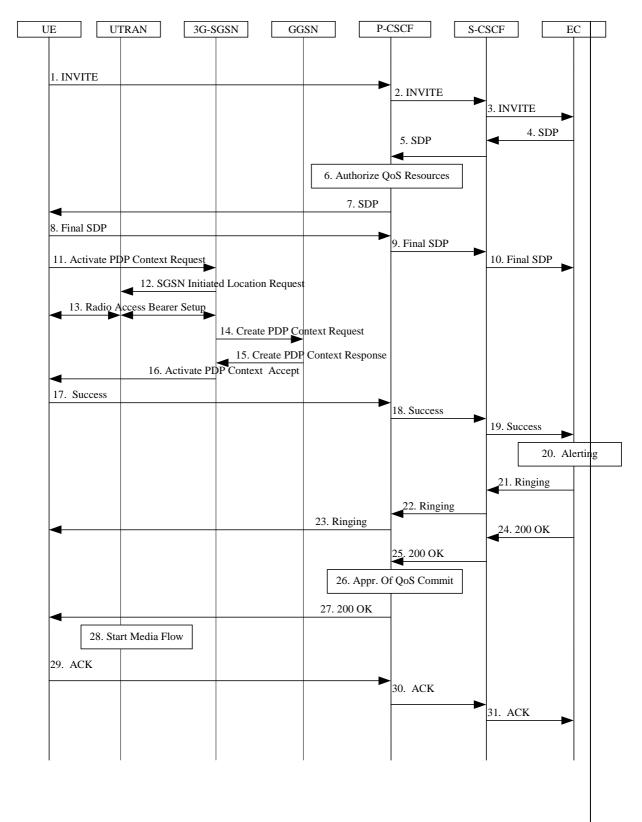
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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5.X.X Emergency Session for UE-with USIM, and itthat has successfully performed GPRS attachmentapplication level registration.

This subsection presents emergency session establishment for the case where the UE is attached to both CS domain and IM CN subsystem and operator has decided to use IMS requests the for emergemncy session via the , or the UE is attach only to IM CN subsystem.

It is assumed that the UE has activated a signalling PDP context for emergency sessions to the GGSN in the visited PLMN.



1. UE sends the SIP INVITE request, containing an initial SDP, to a P-CSCF determined via the CSCF discovery mechanism. Location Information about

emergency callsession is sent in the INVITE message. UE includes the Cell Global ID information in the INVITE message.

- P-CSCF detects that the call is an emergency call using the information in the INVITE message e.g. by analysing the number or name requested. P-CSCF selects a S-CSCF in the visited network and forwards the SIP INVITE request including the information about emergency callsession to S-CSCF.
- 3. S-CSCF uses the location information to select an appropriate EC. S-CSCF forwards the request to EC.
- 4. The media stream capabilities of the destination are returned along the signaling path.
- 5. S-CSCF forwards the message containing the destination SDP capabilities to P-CSCF.
- 6. P-CSCF authorizes the resources necessary for this session.
- 7. P-CSCF forwards the SDP message to the originating endpoint.
- 8. UE decides the final set of media streams for this session, and sends the Final SDP to P-CSCF.
- 9. P-CSCF forwards the final SDP to S-CSCF.
- 10. S-CSCF forwards this message to EC.
- 11. Activate PDP Context Request. The UE activates a PDP context for the emergency session by sending the Activate Secondary PDP Context Request message to the SGSN. The UE indicates that the PDP context is used for an emergency session. For the PDP context, the Allocation / Retention Priority shall be at once set to the high value, potentially by using a reserved value.
- 12. The SGSN, when recognising that the PDP context is for an emergency session, may itself request location information from SRNC, in order to receive updated or more accurate location information regarding the UE. SGSN thereafter sends a location report to Gateway Mobile Location services Centre (GMLC) as specified in TS 23.271, and the EC may obtain this location information from GMLC.
- 13. Radio Access Bearer Setup
- 14. Create PDP Context Request
- 15. Create PDP Context Response
- 16. Activate PDP Context Accept
- 17. UE sends the "Resource Reservation Successful" message to the terminating endpoint, via the signaling path established by the INVITE message.
- 18. P-CSCF forwards this message to S-CSCF.
- 19. S-CSCF forwards this message to EC.
- 20. Resources are reserved successfully.

- 21. EC sends information about alerting to S-CSCF.
- 22. S-CSCF forwards the Ringing message to P-CSCF.
- 23. P-CSCF forwards this message to UE.
- 24. EC answers the callsession and sends final 200 OK message to S-CSCF.
- 25. S-CSCF forwards final 200 OK message to P-CSCF.
- 26. P-CSCF indicates the resources reserved for this session should now be committed.
- 27. P-CSCF forwards final 200 OK message to UE.
- 28. UE starts the media flow for this session.
- 29. The calling party responds to the 200-OK final response with a SIP ACK message which is sent to P-CSCF.
- 30. P-CSCF forwards the SIP ACK message to S-CSCF
- 31. S-CSCF forwards the ACK message to EC.

3GPP TSG-S2 Drafting Meeting (IMS key issues)Tdoc S2-011693rev324Dallas, USA, 25-28th June 2001

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5.3.2.2 Network Initiated Application (SIP) De-registration, Administrative

For different reasons (e.g., subscription termination, lost terminal, etc.) a home network administrative function may determine a need to clear a user's SIP registration. This function initiates the de-registration procedure and may reside in various elements depending on the exact reason for initiating the de-registration.

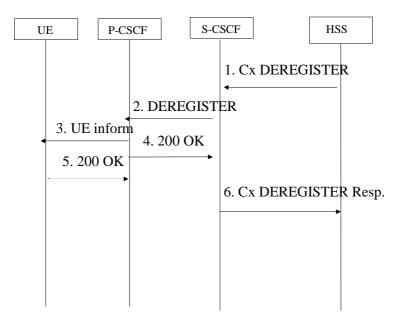
A third trusted/secured party may also initiate the de-registration.

One such home network element is the HSS, which already knows the S-CSCF serving the user and that for this purpose makes use of the Cx-Deregister. Another home network element that could initiate the de-registration is the S-CSCF, in which case it makes use of the Cx-Put to inform the HSS. Other trusted/secured parties may also initiate de-registration to the S-CSCF.

The following flow shows a network initiated IM CN subsystem terminal application (SIP) de-registration based on an administrative action for example. The IP transport infrastructure (e.g., GGSN, SGSN) is not notified. If complete packet access is to be denied, a transport layer administrative mechanism would be used. This scenario does not address the administrative mechanisms used for updating any subscriber records, EIR records, access authorisation, etc. This scenario only addresses the specific action of clearing the SIP application registration that is currently in effect.

Editor's note: Release of ongoing multimedia sessions during this procedure is FFS.

5.3.2.2.1 Network Initiated De-registration by HSS, administrative





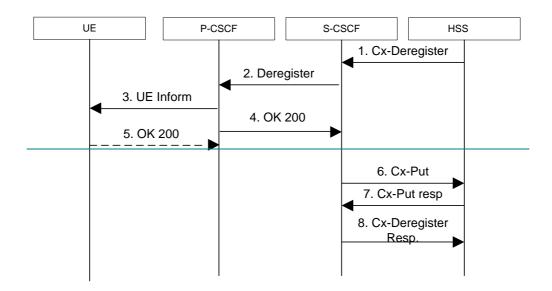


Figure 5.5: Network initiated application de-registration, administrative

1. HSS initiates the de-registration, sending a Cx-Deregister (subscriber identity)

Note: A third trusted/secured party may also initiate the de registration, most probably issuing a third party SIP registration with timer set to 0.

- 2. The S-CSCF issues a de-registration towards the P-CSCF for this UE and updates its internal database to remove the UE from being registered.
- 3. The P-CSCF informs the UE of the de-registration

Editors note: Due to loss of contact with the mobile, it might be possible that the UE does not receive the information of the deregistration. Implications of this is for FFS.

- 4. The P-CSCF sends a response to the S-CSCF and updates its internal database to remove the UE from being registered.
- 5. When possible, the UE sends a response to the P-CSCF to acknowledge the de-registration. A misbehaving UE or a UE that is out of P-CSCF coverage could not answer properly to the de-registration request. The P-CSCF should perform the de-registration in any case, e.g., after the timer for this request expires.

Note: Steps 4 and 5 may be done in parallel: the P-CSCF does not wait for an answer from the UE before answering to the S-CSCF

6. The S CSCF sends an update to the HSS to remove itself as the registered S CSCF for this UE.

7. The HSS confirms the update.

68. The S-CSCF returns a response to the entity that initiated the process.

Note that a thirdanother trusted/secured party may also request for de-registration via HSS through administrative mechanisms provided by the operator.

5.3.2.2.2 Network Initiated De-registration by S-CSCF

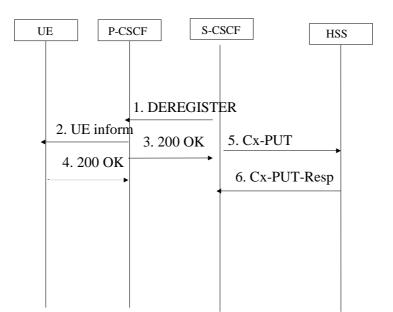


Figure 5.6: Network initiated application de-registration by S-CSCF, administrative

1. 1. The S-CSCF issues a de-registration towards the P-CSCF for this UE and updates its internal database to remove the UE from being registered.

Note: A third trusted/secured party may also initiate the de registration, most probably issuing a third party SIP registration with timer set to 0.

2. The P-CSCF informs the UE of the de-registration

Editors note: Due to loss of contact with the mobile, it might be possible that the UE does not receive the information of the deregistration. Implications of this is for FFS.

- 3. The P-CSCF sends a response to the S-CSCF and updates its internal database to remove the UE from being registered.
- 4. When possible, the UE sends a response to the P-CSCF to acknowledge the de-registration. A misbehaving UE or a UE that is out of P-CSCF coverage could not answer properly to the de-registration request. The P-CSCF should perform the de-registration in any case, e.g., after the timer for this request expires.

Note: Steps 4 and 5 may be done in parallel: the P-CSCF does not wait for an answer from the UE before answering to the S-CSCF

- 5. The S-CSCF sends an update to the HSS to remove itself as the registered S-CSCF for this UE.
- 6. The HSS confirms the update.

Note that a third another trusted/secured party may also initiate the de-registration, for example, by issuing a third party SIP registration with timer set to 0 via S-CSCF.

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4.2.4 Serving CSCF to service platformIP multimedia Subsystem Service Control Interface (ISC)

The ISC interface is between the Serving CSCF and the service platform(s).

An Application Server (AS) offering value added IM services resides either in the user's home network or in a third party location. The third party could be a network or simply a stand-alone AS.

The Serving-CSCF to AS interface is used to provide services residing in an AS. Two cases were identified: - Serving-CSCF to an AS in Home Network.

- Serving-CSCF to an AS in External Network (e.g., Third Party or Visited)

Regarding the general provision of services in the IMS, the following statements shall guide the further development.

- 1. Besides the Cx interface the S-CSCF supports only one standardised protocol for service control, which delegates service execution to an "Application Server".,
- 2.SIP+ is based on the SIP protocol information with necessary enhancements to allow for remote service execution; controversial enhancements should be avoided.
- 3.2. The depicted functional architecture does not propose a specific physical implementation.
- 4.3. Scope of the SIP Application Server: the SIP Application Server may host and execute services. It is intended to allow the SIP Application Server to influence and impact the SIP session on behalf of the services and it uses <u>SIP+</u>the ISC interface to communicate with the S-CSCF.
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Editors Note: The details of the "filter" information has to be further identified.

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- 7.6. The IM SSF and the CAP interface support legacy services only.
- 8.7. Once the IM SSF, OSA SCS or SIP Application Server has been informed of a SIP session request by the S-CSCF, the IM SSF, OSA SCS or SIP Application Server shall ensure that the S-CSCF is made aware of any resulting activity by sending messages to the S-CSCF.
- 9.8. From the perspective of the S-CSCF, The "SIP Application server", "OSA service capability server" and "IM-SSF" shall exhibit the same interface behaviour.
- 10.9. The application server may contain "service capability interaction manager" (SCIM) functionality and other application servers. The SCIM functionality is an application which performs the role of interaction management. The internal components are represented by the "dotted boxes" inside the SIP application server. The internal structure of the application server is outside the standards.

The Sh interface shall have sufficient functionality to enable this scenario.

When the name/address of more than one "application server" is transferred from the HSS, the S-CSCF shall contact the "application servers" in the order supplied by the HSS. The response from the first "application server" shall be used as the input to the second "application server".

- 12.11. The S-CSCF does not handle service interaction issues..
- 13.12. The S-CSCF does not provide authentication and security functionality for secure direct third party access to the IM subsystem. The OSA framework provides a standardized way for third party secure access to the IM subsystem.

More specifically the following requirements apply to the Serving CSCFIMS Service control interface:

- 1. <u>SIP+The protocol on the ISC interface shall be capable to bring the full range of information (e.g. message headers, message bodies) available at the S-CSCF to the Application Server's attention.</u>
- 2. SIP+The protocol on the ISC interface -shall preserve the extensibility of the SIP network signalling protocol on the interface to the application server. Introducing extensions (e.g. new SIP method, SIP header) in the network SIP signalling protocol shall make these extensions implicitly available to the Application Server without requiring separate extensions to the protocol on the ISC interfaceSIP+.
- 3. The S-CSCF is application logic agnostic, i.e. it has no specific knowledge about a particular application logic invoked via the ISCSIP+ interface.
- 4. The S-CSCF contacts the Application Server for the execution of applications. This shall be possible during the registration and during the session from setup to the release.
- 5. An Application Server can initiate a new session/transactions at the S-CSCF without having been contacted by the S-CSCF beforehand.
- 6. SIP+The ISC interface is currently envisioned to connect the S-CSCF to entities (SIP Application Servers, OSA SCS, IM SSF) within the operators network.
- SIP+The protocol on the ISC interface shall be a protocol between a Controlling Entity (SIP Application Server, IM-SSF, OSA-SCS) and a Controlled Entity (S-CSCF). The Controlling Entity takes/makes decisions on the SIP session. The Controlled Entity acts according to the requests from the Controlling Entity, and notifies the Controlling Entity of events of interest (e.g a timer event or a SIP event).
- 8. The SIP+ protocol on the ISC interface shall enable a multi-vendor open interface between SIP application server/IMS-SSF/OSA-SCS and the S-CSCF.
- SIP+The ISC interface shall support service control for both originating SIP sessions and terminating SIP sessions. The A-party's services are accessed from the A-party's S-CSCF. The B-party's services are accessed from the B-party's S-CSCF
- 10. <u>SIP</u>+The protocol on the ISC interface shall allow the simultaneous handling of more than one service within a session.
- 11. SIP+The protocol on the ISC interface shall allow the Controlling Entity to request the transmission of a specific SIP message(s). This may, or may not, be in reaction to a message from the Controlled Entity
- 12. <u>SIP+</u>The protocol on the ISC interface shall allow the Controlling Entity to request the transmission of a SIP message with added/deleted/modified content.(headers & sip message body (e.g. SDP))
- 13. The ISC interface SIP+ shall allow filter setting from the Controlling Entity.
- 14. The protocol on the ISC interface SIP+ shall allow Service Control triggering from the Controlled Entity on basic or complex triggers.
- 15. The protocol on the ISC interface SIP+ shall allow the Controlling Entity to request the initiation of a SIP session. This may, or may not, be in reaction to a message from the Controlled Entity
- 16. The ISC interface SIP+ shall be able to convey charging information.
- 17. The ISC interface SIP+-shall support Load Control functions.
- 18. Means for detecting the failure/availability of a SIP AS/IM-SSF/OSA-SCS and S-CSCF shall be provided.
- 19. The protocol on the ISC interface SIP+ shall support the transport of the following information from the S-CSCF to the Controlling Entity:
 - Subscriber ID (Private subscriber identifier and, optionally, public subscriber identifier)
 - Information on the event which occurred
 - terminating/originating information
 - SIP information
- 20. The protocol on the ISC interface SIP+ shall support the transport of the following information from the Controlling Entity to the S-CSCF:
 - Subscriber ID
 - Session handling request
- 21. The "SIP+" protocol on the ISC interface shall support the control of timers
- 22. The SIP+ protocol on the ISC interface shall allow the S-CSCF to differentiate between session control on Mw, Mm and Mg interfaces and- the ISC interface.SIP+.

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Editors Note: Further requirements are for further study.

The figure below depicts an overall view of how services can be provided.

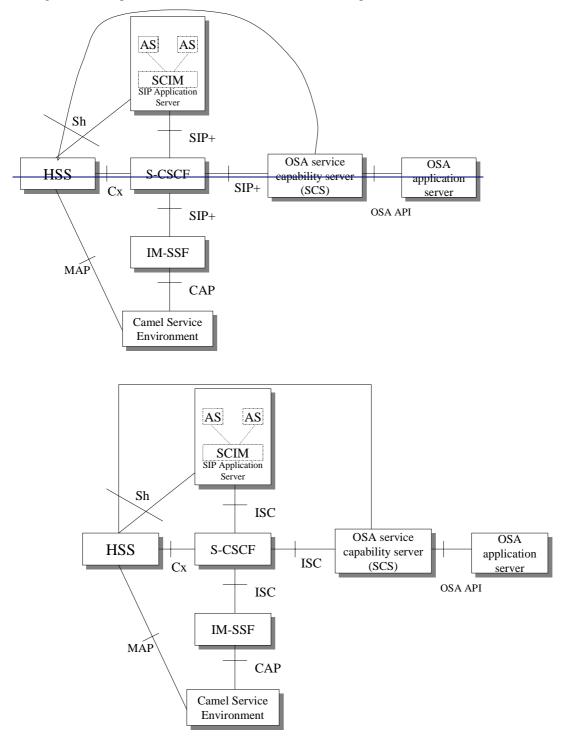


Figure 4.3: Functional architecture for the provision of service in the IMS

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	CHANGE REQUEST								
ж	23.228 CR 061 * rev 32 * Current version: 5.1.0 *								
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Proposed change affects: # (U)SIM ME/UE X Radio Access Network Core Network X									
Title:	Clarification of P-CSCF discovery								
Source:	f Ericsson, Nokia								
Work item code: 8	IMS-CCR Date: # 11 th 7 th -September 30 th -21 st -August 2001								
Category: 3	Release: % Rel-5 Use one of the following categories: Use one of the following releases: F (correction) 2 (GSM Phase 2) A (corresponds to a correction in an earlier release) R96 (Release 1996) B (addition of feature), R97 (Release 1997) C (functional modification of feature) R98 (Release 1998) D (editorial modification) R99 (Release 1999) Detailed explanations of the above categories can be found in 3GPP TR 21.900. REL-5 (Release 5)								
Reason for chang	 To clarify the procedures of local P-CSCF discovery as 23.228 does not clearly state: How the information is propagated The role of GGSN 								
Summary of chan	<i>ge:</i> # Included flows for the two procedures related to P-CSCF discovery and clarification of the role of GGSN. This CR also includes agreed changes as proposed in CR 076 (S2-012241).								
Consequences if not approved:	Stage 2 work will not be clear enough.								
Clauses affected:	% 5.1.1 Procedures related to local CSCF discovery								
Other specs affected:	% Other core specifications % Test specifications 0&M Specifications								
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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5.1 CSCF related procedures

5.1.1 Procedures related to local CSCF discovery

The Proxy-CSCF discovery shall be performed after GPRS attach using one of the following mechanisms:

- 1. Use of DHCP to provide the UE with the domain name of a Proxy-CSCF and the address of a Domain Name Server (DNS) that is capable of resolving the Proxy-CSCF name, as described below in sub-clause 5.1.1.1.
- 2. Transfer a Proxy-CSCF address within the PDP Context Activation signalling to the UE, as described below in sub-clause 5.1.1.2. The UE shall request the P-CSCF address(es) from the GGSN when activating the PDP context. The GGSN shall send the P-CSCF address(es) to the UE when accepting the PDP context activation. Both the P-CSCF address(es) request and the P-CSCF address(es) shall be sent transparently throughto the SGSN.

The second alternative shall be used for terminals not supporting DHCP.

5.1.1.1 DHCP/DNS procedure for P-CSCF discovery

The GGSN acts as a DHCP Relay Agent, relaying DHCP messages between UE and the DHCP server.

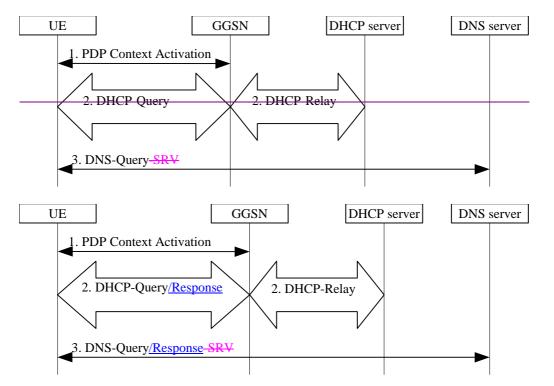


Figure X: P-CSCF discovery using DHCP and DNS

- 1. Establishment of an appropriateCreate PDP context bearer by using the procedure as specified in TS 23.060.
- 2. The UE solicits requests a DHCP server and additionally requests the domain name of the P-CSCF and IP addresses of DNS servers. It may require a multiple DHCP Query/Response message exchange to retrieve the requested information.

3. The UE performs a DNS SRV-query to retrieve a list of P-CSCF(s) IP addresses from which one is selected. If the response does not contain the IP addresses, an additional DNS query is needed to resolve a Fully Qualified Domain Name (FQDN) to an IP address.

After reception of domain name and IP address of a P-CSCF the UE may initiate communication towards the IM subsystem.

5.1.1.2 GPRS procedure for P-CSCF discovery

This alternative shall be used for UE(s) not supporting DHCP. This may also be used for UE(s) supporting DHCP.

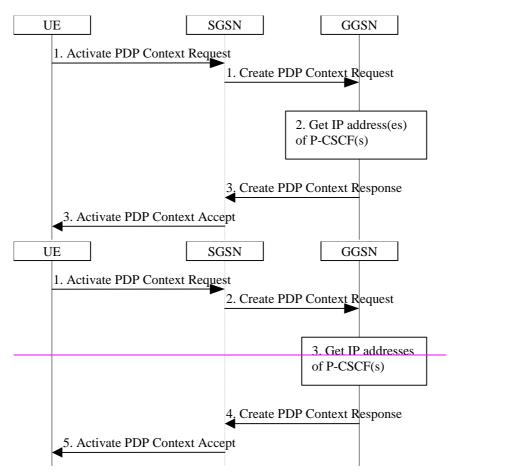


Figure Y: P-CSCF discovery using PDP Context Activation signalling

- The UE requests Eestablishment of an appropriate PDP context according to section 4.2.6 (QoS requirements for IM CN subsystem signalling).bearer by using the procedure as specified in TS 23.060 or by using specific PDP Context for IM subsystem signalling. The UE indicates that it requests a P-CSCF IP address(es). The indication is forwarded transparently by the SGSN to the GGSN.
- 2. Any P CSCF discovery information is transparent for the SGSN.
- **32**. The GGSN gets the IP address(es) of the P-CSCF(s). The mechanism to do this is a matter of internal configuration and is an implementation decision choice.
- 43. If requested by the UE, the GGSN includes the IP address(es) of the P-CSCF(s) in the Create PDP Context Response. The P-CSCF address(es) is forwarded transparently by the SGSN to the UE.
- 5. The P CSCF address(es) is transparent for the SGSN.

After reception of the IP address of a P-CSCF the UE may initiate communication towards the IM subsystem.

Note. This request of a P-CSCF IP address(es) and response shall be transparent also for pre-R5 SGSN.

5.1.2 Procedures related to Serving-CSCF assignment

3GPP TSG-SA2 Meeting #19 Sophia Antipolis, France, August 27 - 31, 2001

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5.4.7 Interaction between QoS and session signalling

At PDP context setup the user shall have access to either GPRS without service-based local policy, or GPRS with service-based local policy. It is operator choice whether to offer both or only one of these alternatives for accessing the IM Subsystem.

2

For the GPRS without service-based local policy case, the bearer is established according to the user's subscription, local operator's IP bearer resource based policy, local operator's admission control function and GPRS roaming agreements. The establishment of the PDP context bearer shall use the PDP context activation procedure specified in TS 23.060.

For the GPRS with service-based local policy case, Service-Based Local Policy decisions (e.g., authorisation and gating control of the bearer by a proxy CSCF) are also applied to the bearer.

The description in this subsection is applicable for the case when service-based local policy is employed.

The GGSN contains a Policy Enforcement Function (PEF) that has the capability of policing packet flow into the IP network, and restricting the set of IP destinations that may be reached from/through a PDP context according to a packet classifier. This service-based policy 'gate' function has an external control interface that allows it to be selectively 'opened' or 'closed' on the basis of IP destination address and port. When open, the gate allows packets to pass through (to the destination specified in the classifier) and when closed, no packets are allowed to pass through. The control is performed by a PCF, which is a logical entity of the P-CSCF. (Note: If the PCF is implemented in a separate physical node, the interface between the PCF and the P-CSCF is not standardised).

There are five interactions between the PCF and the Policy Enforcement Function (PEF), located within the GGSN:

- 1. Authorise UMTS and IP resources. This establishes the 'gate' described above.
- 2. Enable Approval of QoS commit for media stream resources authorised in (1), e.g. 'open' the 'gate'.
- 3. Disable media streamRemoval of QoS commit for resources authorised in (1), e.g. 'close' the 'gate'.
- 4. Revoke authorisation for UMTS and IP resources
- 5. Indicate from the GGSN to the P-CSCF (PCF) of PDP Context release.

There are two interactions between the UE and the GGSN:

- 1. Allocate the UMTS resources and IP resources (i.e. beyond the GGSN), within the previous authorisation -from the P-CSCF (PCF)
- 2. Release UMTS and IP resources

These requirements and functional description of these interactions are explained further in the following sections. The complete specification of the interface between the Policy Control Function and the Policy Enforcement Function, and between the UE and the GGSN, are contained in TS 23.207.

5.4.7.1 Authorise UMTS and IP QoS Resources

The GGSN serves as the Policy Enforcement Point that implements the policy decisions for performing admission control and authorising the UMTS and IP BS QoS Resource request, and policing IP flows entering the external IP network.

Authorisation of UMTS and IP QoS Resources shall be required for access to the IP Multimedia Subsystem. The GGSN shall determine the need for authorisation, possibly based on provisioning and/or based on the APN of the PDP context.

The authorisation shall be made prior to the allocation request from the UE. This authorisation may be given to the GGSN from the <u>P CSCF(PCF)</u> via a 'Push'-type of interface, or may be 'Pulled' from the <u>P CSCF(PCF)</u> by the GGSN when the allocation request is received from the UE. The authorisation shall include binding information, which shall also be provided by the UE to the GGSN in the allocation request, which enables accurate matching of requests and authorisations. This binding information may be an authorisation token assigned by the P-CSCF(PCF), possibly in consultation with the GGSN, and may contain information that identifies the P-CSCF(PCF) that generated the token. The authorisation shall include limits on IP packet flows, and may include restrictions on IP destination address and port. These restrictions may take the form of a flowspec and filterspec, as defined in RFC2205. The P-CSCF(PCF)

shall use the SDP contained in the SIP signaling to calculate the proper authorisation, as defined in TS 23.207. The authorisation shall be expressed in terms of the IP resources to be authorised.

Authorisation may contain information used by the network operator to correlate usage records generated by the GPRS system with those generated by the IP Multi-Media Subsystem. Such a correlation identifier, if included in the authorisation, shall be provided to the GPRS elements that generate such usage records.

3

Authorisation information, combined with the QoS allocation request from the UE, shall be sufficient for the GGSN to initiate an RSVP exchange with the remote endpoint of the IP packet flow.

5.4.7.2 Enable Media Stream Approval of QoS commit

The <u>P-CSCF (PCF)</u> makes policy decisions and provides a final decision an indication to the GGSNabout enabling committing the allocated QoS resources for per-session authorisations.

The GGSN enforces the policy decisions. The GGSN may restrict any use of the UMTS resources prior to this decision indication from the PCF. The GGSN shall restrict any use of the IP resources prior to this decision-indication from the PCF. Based on local policy, UMTS and/or IP resources may be committed at the time they are authorised by the PCF.

5.4.7.3 Disable Media Stream Removal of QoS commit

The <u>P-CSCF (PCF)</u> makes policy decisions and provides <u>a final decision</u> indication to the GGSN about <u>enabling</u> revoking commitment for the allocated QoS resources for per-session authorisations.

The GGSN enforces the policy decisions. The GGSN may restrict any use of the UMTS resources after this decision indication from the PCF. The GGSN shall restrict any use of the IP resources after this decision-indication from the PCF.

5.4.7.4 Revoke authorisation for UMTS and IP Resources

At IP multimedia session release, the UE should deactivate the PDP context(s) used for the IP multimedia session. In various cases, such as loss of signal from the mobile, the UE will be unable to perform this release itself. The P-CSCF, serving as the Policy Control Function, provides indication to the GGSN when the resources previous authorised, and possibly allocated by the UE, are to be released. The GGSN may differentiate charging before and after the IP multimedia session release, or may deactivate the PDP context used for the IP multimedia session. The latter ensures that the PDP context can not be used for other traffic than the IP multimedia session. The functions in the PS domain due to the IP multimedia session release are operator specific and are configured in the GGSN.

5.4.7.5 Indication of PDP Context release

Any release of a PDP Context that was established based on authorisation from the PCF shall be reported to the PCF by the GGSN.

In the particular case of PDP Context termination due to signal fade or loss of power at the UE, this indication is may be used by the P-CSCF(PCF) to initiate a session release towards the remote endpoint.

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Proposed change at	ifects: # (U)SIM ME/UE Radio Access Network Core Network X
Title: ೫	Service control during registration and de-registration (revised Td 1605)
Source: ೫	ВТ
Work item code: #	IMS-Registration/de-registration Date: # 19 th June 2001
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Summary of change	# Message flows now have service control box added to S-CSCF columns Based on Filter Criteria. New section for network initiated application de-registration, service platform.
Consequences if not approved:	* There will be no service platform monitoring or control of registration/de- registration in R5.
Clauses affected:	 5.2 Application level registration procedures 5.3 Application level de-registration procedures
Other specs affected:	% Other core specifications % Test specifications 0&M Specifications
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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5.2 Application level registration procedures

The following sub-sections address requirements and information flows related to registration in the IP multimedia subsystem. Assumptions that apply to the various information flows are listed as appropriate.

5.2.1 Requirements considered for registration

The following points are considered as requirements for the purpose of the registration procedures.

- 1. The architecture shall allow for the Serving-CSCFs to have different capabilities or access to different capabilities. E.g. a VPN CSCF or CSCFs in different stages of network upgrade.
- 2. The network operator shall not be required to reveal the internal network structure to another network. Association of the node names of the same type of entity and their capabilities and the number of nodes will be kept within an operator's network. However disclosure of the internal architecture shall not be prevented on a per agreement basis.
- 3. A network shall not be required to expose the explicit IP addresses of the nodes within the network (excluding firewalls and border gateways).
- 4. It is desirable that the UE will use the same registration procedure(s) within its home and visited networks.
- 5. It is desirable that the procedures within the network(s) are transparent to the UE, when it register with the IM CN subsystem.
- 6. The Serving-CSCF understands a service profile and the address of the functionality of the Proxy-CSCF.

Editor's Note: The specific procedures for subscription updating in the S-CSCF are FFS.

5.2.2 Registration flows

5.2.2.1 Requirements to consider for registration

The additional requirement for the registration information flow for this section is:

1. A Serving-CSCF is assigned at registration, this does not preclude additional Serving-CSCFs or change of CSCF at a later date. Procedures for use of additional CSCFs are not standardised in this release.

5.2.2.2 Assumptions

The following are considered as assumptions for the registration procedures as described in subclause 5.3.2.3:

- 1. Radio bearers are already established for signalling and a mechanism exists for the first REGISTER message to be forwarded to the proxy.
- 2. The I-CSCF shall use a mechanism for determining the Serving-CSCF address based on the required capabilities. The I-CSCF obtains the name of the S-CSCF from its role as an S-CSCF selector (Figure 5-1) for the determination and allocation of the Serving-CSCF during registration.
- 4. The decision for selecting the S-CSCF for the subscriber in the network is made in the I-CSCF.
- 5. A role of the I-CSCF is the S-CSCF selection.

Editor's Note: In the following information flows, further work is required to identify the information elements related to credentials and possible additional processes required for authentication of the user and the messages.

In the information flows described in subclauses 5.2.2.3 and 5.2.2.4, there is a mechanism to resolve a name and address. The text in the information flows indicates when the name-address resolution mechanism is utilised.

5.2.2.3 Registration information flow – User not registered

The application level registration can be initiated after the registration to the access is performed, and after IP connectivity for the signalling has been gained from the access network. For the purpose of the registration information flows, the subscriber is considered to be always roaming. For subscribers roaming in their home network, the home network shall perform the role of the visited network elements and the home network elements.

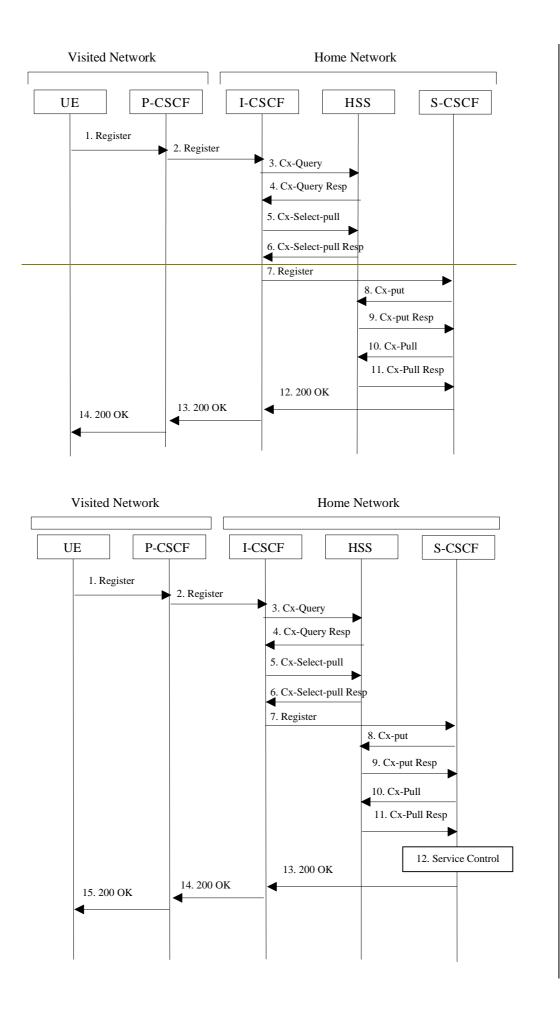


Figure 5.1: Registration – User not registered

- 1. After the UE has obtained a signalling channel through the access network, it can perform the IM registration. To do so, the UE sends the Register information flow to the proxy (subscriber identity, home networks domain name).
- 2. Upon receipt of the register information flow, it shall examine the "home domain name" to discover the entry point to the home network (i.e. the I-CSCF). The proxy shall send the Register information flow to the I-CSCF (P-CSCFs "name" in the contact header, subscriber identity, visited network contact name). A name-address resolution mechanism is utilised in order to determine the address of the home network from the home domain name. When the I-CSCF receives the registration information flow from the proxy, it shall examine the subscriber identity and the home domain name, and employ the services of a name-address resolution mechanism, to determine the HSS address to contact.
- 3. The I-CSCF shall send the Cx-Query information flow to the HSS (P-CSCF name, subscriber identity, home domain name, visited network contact name). The P-CSCF name is the contact name that the operator wishes to use for future contact to that P- CSCF.
- Editors Note: It is FFS whether the terminal name, or proxy name, or both is included within this and subsequent register messages.

The Cx-query (P-CSCF name, subscriber identity, home domain name, visited network contact name) information flow is sent to the HSS. The HSS shall check whether the user is registered already. The HSS shall indicate whether the user is allowed to register in that visited network according to the User subscription and operator limitations/restrictions if any.

- 4. Cx-Query Resp is sent from the HSS to the I-CSCF. If the checking in HSS was not successful the Cx-Query Resp shall reject the registration attempt.
- 5. At this stage, it is assumed that the authentication of the user has been completed (although it may have been determined at an earlier point in the information flows). The I-CSCF shall send Cx-Select-Pull (serving network indication, subscriber identity) to the HSS to request the information related to the required S-CSCF capabilities which shall be input into the S-CSCF selection function.
- 6. The HSS shall send Cx-Select-Pull Resp (required S-CSCF capabilities) to the I-CSCF.
- 7. The I-CSCF, using the name of the S-CSCF, shall determine the address of the S-CSCF through a name-address resolution mechanism and then shall send the register information flow (P-CSCFs "name" in the contact header, subscriber identity, visited network contact name) to the selected S-CSCF.
- 8. The S-CSCF shall send Cx-Put (subscriber identity, S-CSCF name) to the HSS. The HSS stores the S-CSCF name for that subscriber.
- 9. The HSS shall send Cx-Put Resp to the I-CSCF to acknowledge the sending of Cx-Put.
- 10. On receipt of the Cx-Put Resp information flow, the S-CSCF shall send the Cx-Pull information flow (subscriber identity) to the HSS in order to be able to download the relevant information from the subscriber profile to the S-CSCF. The S-CSCF shall store the P-CSCFs name, as supplied by the visited network. This represents the name that the home network forwards the subsequent terminating session signalling to for the UE.
- 11. 11. The HSS shall return the information flow Cx-Pull Resp (user information) to the S-CSCF. The user information passed from the HSS to the S-CSCF shall include one or more names/addresses information which can be used to access the platform(s) used for service control while the user is registered at this S-CSCF. The S-CSCF shall store the information for the indicated user. In addition to the names/addresses information, security information may also be sent for use within the S-CSCF.
- 12. Based on the filter criteria, tThe S-CSCF shall send register information to the service control platform and perform whatever service control procedures are appropriate.

132. The S-CSCF shall determine whether the home contact name is the S-CSCF name or an I-CSCF name. If an I-CSCF is chosen as the home contact name, it may be distinct from the I-CSCF that appears in this registration flow. The home contact name will be used by the P-CSCF to forward signalling to the home network. The S-CSCF shall return the 200 OK information flow (serving network contact name, S-CSCF name) to the I-CSCF.

- 143. The I-CSCF shall send information flow 200 OK (serving network contact name) to the P-CSCF. The I-CSCF shall release all registration information after sending information flow 200 OK.
- 154. The P-CSCF shall store the serving network contact name, and shall send information flow 200 OK to the UE.

5.2.2.4 Re-Registration information flow – User currently registered

Editor's Note: the definition of re-registration timers requires further study, however it is noted that the timers in the UE are shorter than the registration related timers in the network.

Periodic application level re-registration is initiated by the UE either to refresh an existing registration or in response to a change in the registration status of the UE. Re-registration follows the same process as defined in subclause 5.2.2.3 "Registration Information Flow – User not registered".

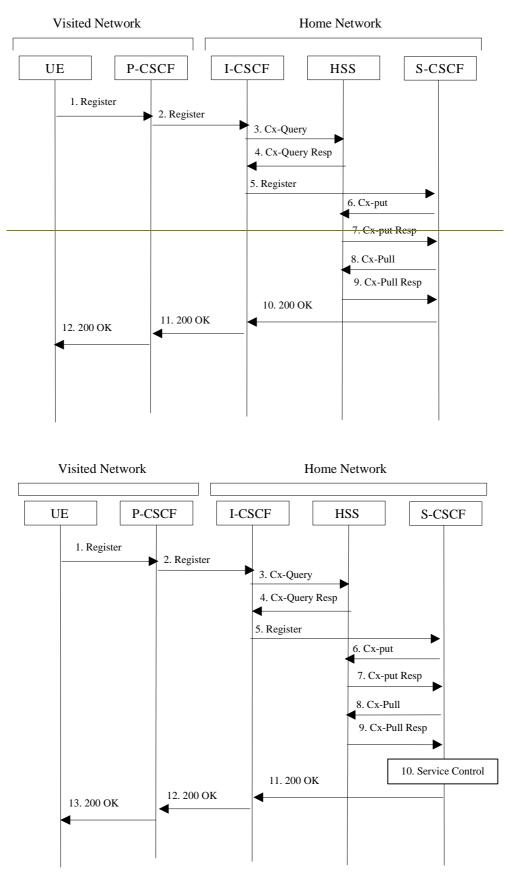


Figure 5.2: Re-registration - user currently registered

- 1. Prior to expiry of the agreed registration timer, the UE initiates a re-registration. To re-register, the UE sends a new REGISTER request. The UE sends the REGISTER information flow to the proxy (subscriber identity, home networks domain name).
- 2. Upon receipt of the register information flow, the P-CSCF shall examine the "home domain name" to discover the entry point to the home network (i.e. the I-CSCF). The proxy does not use the entry point cached from prior registrations. The proxy shall send the Register information flow to the I-CSCF (P-CSCFs "name" in the contact header, subscriber identity, visited network contact name). A name-address resolution mechanism is utilised in order to determine the address of the home network from the home domain name. When the I-CSCF receives the registration information flow from the proxy, it shall examine the subscriber identity and the home domain name, and employ the services of a name-address resolution mechanism, to determine the HSS address to contact.
- 3. The I-CSCF shall send the Cx-Query information flow to the HSS (P-CSCF name, subscriber identity, home domain name, and visited network contact name). The P-CSCF name is the contact name that the operator wishes to use for future contact to that P- CSCF.
- Editors Note: It is FFS whether the terminal name, or proxy name, or both is included within this and subsequent register messages.

The Cx-query (P-CSCF name, subscriber identity, home domain name, and visited network contact name) information flow is sent to the HSS.

- 4. The HSS shall check whether the user is registered already and return an indication indicating that an S-CSCF is assigned. The Cx-Query Resp (indication of entry contact point, e.g. S-CSCF) is sent from the HSS to the I-CSCF.
- 5. At this stage, it is assumed that the authentication of the user has been completed (although it may have been determined at an earlier point in the information flows). The I-CSCF, using the name of the S-CSCF, shall determine the address of the S-CSCF through a name-address resolution mechanism and then shall send the re-register information flow (P-CSCFs "name" in the contact header, subscriber identity, visited network contact name) to the identified S-CSCF.
- 6. The S-CSCF shall send Cx-Put (subscriber identity, S-CSCF name) to the HSS. The HSS stores the S-CSCF name for that subscriber. Note: Optionally as an optimisation, the S-CSCF can detect that this is a re-registration and omit the Cx-Put request.
- 7. The HSS shall send Cx-Put Resp to the S-CSCF to acknowledge the sending of Cx-Put.
- 8. On receipt of the Cx-Put Resp information flow, the S-CSCF shall send the Cx-Pull information flow (subscriber identity) to the HSS in order to be able to download the relevant information from the subscriber profile to the S-CSCF. The S-CSCF shall store the P-CSCFs name, as supplied by the visited network. This represents the name that the home network forwards the subsequent terminating session signalling to for the UE. Note: Optionally as an optimisation, the S-CSCF can detect that this a re-registration and omit the Cx-Pull request.
- 9. The HSS shall return the information flow Cx-Pull-Resp (user information) to the S-CSCF. The S-CSCF shall store the user information for that indicated user.
- 10. Based on the filter criteria, tThe S-CSCF shall send re-registration information to the service control platform and perform whatever service control procedures are appropriate.
- 110. The S-CSCF shall determine whether the home contact name is the S-CSCF name or the I-CSCF name. If an I-CSCF is chosen as the home contact name, it may be distinct from the I-CSCF that appears in this registration flow. The home contact name will be used by the P-CSCF to forward signalling to the home network. The S-CSCF shall return the 200 OK information flow (serving network contact name, S-CSCF name) to the I-CSCF.
- 121. The I-CSCF shall send information flow 200 OK (serving network contact name) to the P-CSCF. The I-CSCF shall release all registration information after sending information flow 200 OK.

132. The P-CSCF shall store the serving network contact name, and shall send information flow 200 OK to the UE.

5.2.2.5 Stored information.

Table 5.1 provides an indication of the information stored in the indicated nodes during and after the registration process.

Node	Before Registration	During Registration	After Registration
UE - in local network	Credentials Home Domain		Credentials Home Domain Proxy Name/Address
Proxy-CSCF - in local network	Routing Function	Network Entry point UE Address	Network Entry point UE Address
Interrogating-CSCF - in Home network	HSS Address	Serving-CSCF address/name (Editors Note: Access to Potential list of Serving- CSCFs is FFS)	No State Information
HSS	User Service Profile		Serving-CSCF address/name Proxy address/name?
Serving-CSCF (Home)	No state information	HSS Address/name Subscriber profile (limited – as per network scenario) Proxy address/name	May have session state Information HSS Address/name Subscriber information Proxy address/name

Table 5.1 Information Storage before, during and after the registration process

5.3 Application level de-registration procedures

5.3.1 Mobile initiated de-registration

Application level de-registration should be initiated by the UE upon roaming to a new network and power off of the terminal (if possible). De-registration is accomplished by a registration with an expiration time of zero seconds. De-registration follows the same path as defined in subclause 5.2.2.3 "Registration Information Flow – User not registered".

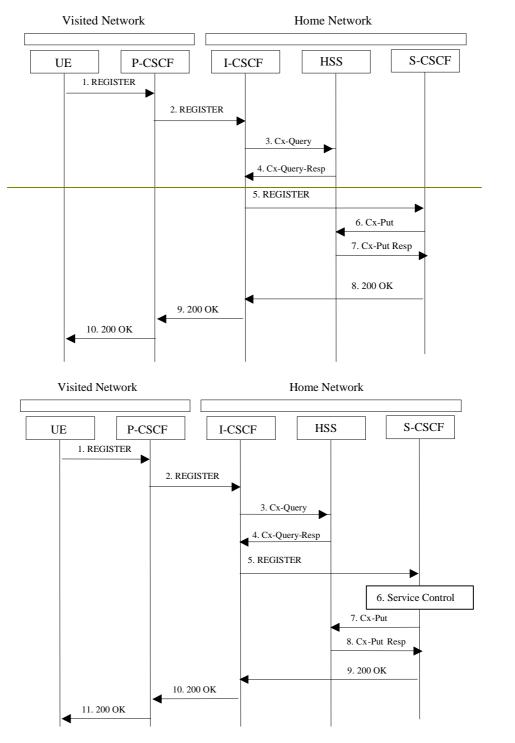


Figure 5.3: De-registration - user currently registered

- 1. The UE decides to initiate de-registration. To de-register, the UE sends a new REGISTER request with an expiration value of zero seconds. The UE sends the REGISTER information flow to the proxy (subscriber identity, home networks domain name).
- 2. Upon receipt of the register information flow, it shall examine the "home domain name" to discover the entry point to the home network (i.e. the I-CSCF). The proxy does not use the entry point cached from prior registrations. The proxy shall send the Register information flow to the I-CSCF (P-CSCFs "name" in the contact header, subscriber identity, and visited network contact name). A name-address resolution mechanism is utilised in order to determine the address of the home network from the home domain name. When the I-CSCF receives the registration information flow from the proxy, it shall examine the subscriber identity and the

home domain name, and employ the services of a name-address resolution mechanism, to determine the HSS address to contact.

3. The I-CSCF shall send the Cx-Query information flow to the HSS (P-CSCF name, subscriber identity, home domain name, visited network contact name). The P-CSCF name is the contact name that the operator wishes to use for future contact to that P- CSCF. The Cx-query (P-CSCF name, subscriber identity, home domain name, and visited network contact name) information flow is sent to the HSS.

(Editors Note: It is FFS whether the terminal name, or proxy name, or both is included within this and subsequent register messages).

- 4. The HSS shall determine that the user is currently registered. The Cx-Query Resp (indication of entry point, e.g. S-CSCF) is sent from the HSS to the I-CSCF.
- 5. The I-CSCF, using the name of the S-CSCF, shall determine the address of the S-CSCF through a name-address resolution mechanism and then shall send the de-register information flow (P-CSCFs "name" in the contact header, subscriber identity, visited network contact name) to the selected S-CSCF.
- 6. Based on the filter criteria, tThe S-CSCF shall send de-registration information to the service control platform and perform whatever service control procedures are appropriate.
- **76**. The S-CSCF shall send Cx-Put (subscriber identity, clear S-CSCF name) to the HSS. The HSS clears the S-CSCF name for that subscriber.
- 87. The HSS shall send Cx-Put Resp to the S-CSCF to acknowledge the sending of Cx-Put.
- 98. The S-CSCF shall return the 200 OK information flow to the I-CSCF.
- 109. The I-CSCF shall send information flow 200 OK to the P-CSCF. The I-CSCF shall release all registration information after sending information flow 200 OK.
- 110. The P-CSCF shall send information flow 200 OK to the UE. The P-CSCF shall release all registration information after sending information flow 200 OK.

5.3.2 Network initiated de-registration

If an ungraceful session termination occurs (e.g. flat battery or mobile leaves coverage), when a stateful proxy server (such as the S-CSCF) is involved in a session, memory leaks and eventually server failure can occur due to hanging state machines. To ensure stable S-CSCF operation and carrier grade service, a mechanism to handle the ungraceful session termination issue is required. This mechanism should be at the SIP protocol level in order to guarantee access independence for the IM CN subsystem.

The IM CN subsystem can initiate a Network Initiated De-Registration procedures for the following reasons:

- Network Maintenance.

Forced re-registrations from subscribers, e.g. in case of data inconsistency at node failure, in case of SIM lost, etc. Cancelling the current contexts of the user spread among the IM CN Subsystem network nodes at registration, and imposing a new IM registration solves this condition.

- Network/traffic determined.

The IM CN subsystem must support a mechanism to avoid duplicate registrations or inconsistent information storage. This case will occur when a subscriber roams to a different network without de-registering the previous one. This case may occur at the change of the roaming agreement parameters between two operators, imposing new service conditions to roamers.

- Application Layer determined.

The service capability offered by the IM CN Subsystem to the Application Layers may

have parameters specifying whether all IM CN subsystem registrations are to be removed, or only those from one or a group of terminals from the user, etc.

- Subscription Management

The operator must be able to restrict user access to the IM CN subsystem upon detection of contract expiration, removal of IM subscription, fraud detection, etc.

The following sections provide scenarios showing SIP application de-registration. Note that these flows have avoided the strict use of specific SIP protocol message names. This is in an attempt to focus on the architectural aspects rather than the protocol.

Two types of network-initiated de-registration procedures are required:

- To deal with registrations expirations.
- To allow the network to force de-registrations following any of the approved possible causes for this to occur.

5.3.2.1 Network Initiated Application (SIP) De-registration, Registration Timeout

The following flow shows a network initiated IM CN subsystem terminal application (SIP) deregistration based on a registration timeout. A timer value is provided at initial registration and is refreshed by subsequent re-registrations. The flow assumes that the timer has expired. The locations (home or visited network) of the P-CSCF and S-CSCF are not indicated as the scenario remains the same for all cases.

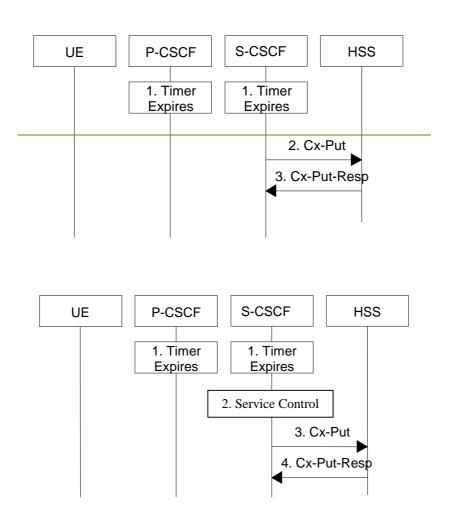


Figure 5.4: Network initiated application de-registration, registration timeout

- 1. The registration timers in the P-CSCF and in the S-CSCF expire. The timers are assumed to be close enough that no external synchronisation is required. The CSCFs update their internal databases to remove the UE from being registered. It is assumed that any GPRS PDP context cleanup will be handled by independent means.
- 2. Based on the filter criteria, tThe S-CSCF shall send de-registration information to the service control platform and perform whatever service control procedures are appropriate.
- 32. The S-CSCF sends an update to the HSS to remove itself as the registered S-CSCF for this UE.
- 43. The HSS confirms the update.

5.3.2.2 Network Initiated Application (SIP) De-registration, Administrative

For different reasons (e.g., subscription termination, lost terminal, etc.) a home network administrative function may determine a need to clear a user's SIP registration. This function initiates the deregistration procedure and may reside in various elements depending on the exact reason for initiating the de-registration.

One such home network element is the HSS, which already knows the S-CSCF serving the user and that for this purpose makes use of the Cx-Deregister.

The following flow shows a network initiated IM CN subsystem terminal application (SIP) deregistration based on an administrative action for example. The IP transport infrastructure (e.g., GGSN, SGSN)is not notified. If complete packet access is to be denied, a transport layer administrative mechanism would be used. This scenario does not address the administrative mechanisms used for updating any subscriber records, EIR records, access authorisation, etc. This scenario only addresses the specific action of clearing the SIP application registration that is currently in effect.

Editor's note: Release of ongoing multimedia sessions during this procedure is FFS.

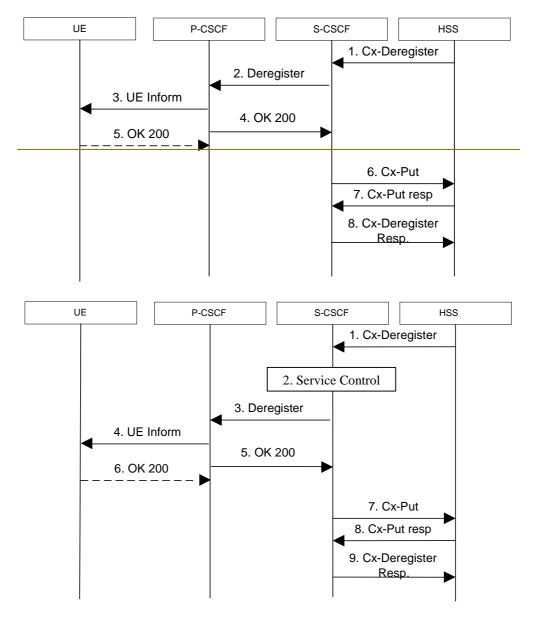


Figure 5.5: Network initiated application de-registration, administrative

1. HSS initiates the de-registration, sending a Cx-Deregister (subscriber identity)

Note: A third trusted/secured party may also initiate the de-registration, most probably issuing a third party SIP registration with timer set to 0.

- 2. Based on the filter criteria, tThe S-CSCF shall send de-registration information to the service control platform and perform whatever service control procedures are appropriate.
- 32. The S-CSCF issues a de-registration towards the P-CSCF for this UE and updates its internal database to remove the UE from being registered.
- 43. The P-CSCF informs the UE of the de-registration
- Editors note: Due to loss of contact with the mobile, it might be possible that the UE does not receive the information of the deregistration. Implications of this is for FFS.
- 54. The P-CSCF sends a response to the S-CSCF and updates its internal database to remove the UE from being registered.

65. When possible, the UE sends a response to the P-CSCF to acknowledge the de-registration. A misbehaving UE or a UE that is out of P-CSCF coverage could not answer properly to the de-registration request. The P-CSCF should perform the de-registration in any case, e.g., after the timer for this request expires.

Note: Steps 4 and 5 may be done in parallel: the P-CSCF does not wait for an answer from the UE before answering to the S-CSCF

- 76. The S-CSCF sends an update to the HSS to remove itself as the registered S-CSCF for this UE.
- 87. The HSS confirms the update.
- 98. The S-CSCF returns a response to the entity that initiated the process.

5.3.2.3 Network Initiated Application (SIP) De-registration, Service Platform

A service platform may determine a need to clear a user's SIP registration. This function initiates the de-registration procedure and resides in a service platform.

The following flow shows a service control initiated IM CN subsystem terminal application (SIP) deregistration. The IP transport infrastructure (e.g., GGSN, SGSN) is not notified. If complete packet access is to be denied, a transport layer administrative mechanism would be used. This scenario does not address the administrative mechanisms used for updating any subscriber records, EIR records, access authorisation, etc. This scenario only addresses the specific action of clearing the SIP application registration that is currently in effect.

Editor's note: Release of ongoing multimedia sessions during this procedure is FFS.

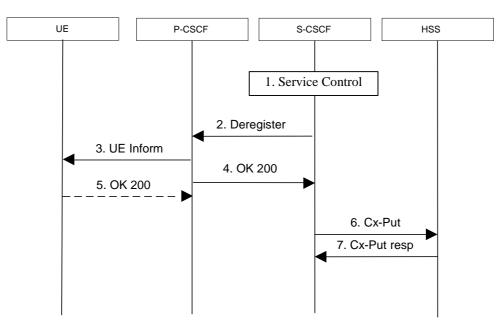


Figure 5.6: Network initiated application de-registration, service platform

- 1. The S-CSCF receives de-registration information from the service platform and performs whatever service control procedures are appropriate.
- 2. The S-CSCF issues a de-registration towards the P-CSCF for this UE and updates its internal database to remove the UE from being registered.

- 3. The P-CSCF informs the UE of the de-registration
- Editors note: Due to loss of contact with the mobile, it might be possible that the UE does not receive the information of the deregistration. Implications of this is for FFS.
- 4. The P-CSCF sends a response to the S-CSCF and updates its internal database to remove the UE from being registered.
- 5. When possible, the UE sends a response to the P-CSCF to acknowledge the de-registration. A misbehaving UE or a UE that is out of P-CSCF coverage could not answer properly to the de-registration request. The P-CSCF should perform the de-registration in any case, e.g., after the timer for this request expires.

Note: Steps 4 and 5 may be done in parallel: the P-CSCF does not wait for an answer from the UE before answering to the S-CSCF

- 6. The S-CSCF sends an update to the HSS to remove itself as the registered S-CSCF for this UE.
- 7. The HSS confirms the update.