

**Source:** TSG SA1

**Title:** CRs to 22.041 on Operator Determined Barring of Packet Oriented Services (R99)

**Document for:** Approval

**Agenda Item:** 7.1.3

Spec	CR	Rev	Phase	Cat	Subject	Vers	New Vers	SA1 Doc. No.
22.041	003		R99	F	Operator Determined Barring of Packet Oriented Services	3.1.0	3.2.0	S1-000852
22.041	004		R4	B	Operator Determined Barring of Packet Oriented Services	3.2.0	4.0.0	S1-000853

---

CR-Form-v3	
<b>CHANGE REQUEST</b>	
✎ <b>22.041</b> <b>CR</b> <b>003</b> ✎ rev <b>-</b> ✎ Current version: <b>3.1.0</b> ✎	

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ✎ symbols.

**Proposed change affects:** ✎ (U)SIM  ME/UE  Radio Access Network  Core Network

<b>Title:</b>	✎ Removal of requirement for ODB of packet based services		
<b>Source:</b>	✎ SA1		
<b>Work item code:</b>	✎ TEI4	<b>Date:</b>	✎ 17/11/00
<b>Category:</b>	✎ <b>F</b>	<b>Release:</b>	✎ R99
Use <u>one</u> of the following categories: <b>F</b> (essential correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (Addition of feature), <b>C</b> (Functional modification of feature) <b>D</b> (Editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900.		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5)	

<b>Reason for change:</b>	✎ Mis-alignment between stage 1 and stage 2 & 3 descriptions as highlighted by CN4 (tdoc S1-000835). Stage 1 service requirement for ODB of packet service exists but no corresponding stage 2 or 3 specifications.
<b>Summary of change:</b>	✎ Deletion of section 5.2 to remove requirement for ODB of packet services
<b>Consequences if not approved:</b>	✎ Misalignment between ODB stage 1 specifications and stages 2 and 3.

<b>Clauses affected:</b>	✎ 5.2		
<b>Other specs affected:</b>	<input type="checkbox"/> Other core specifications <input type="checkbox"/> Test specifications <input type="checkbox"/> O&M Specifications	✎	
<b>Other comments:</b>	✎		

\*\*\*\* Modification\*\*\*\*

## 5.2 Packet Oriented Services

~~Packet Oriented Services, particularly data services, are different in nature to Circuit Oriented Services, and therefore have different requirements for Operator Determined Barring.~~

~~As described in the following categories, the Service Provider may at any time activate this feature and this shall terminate any relevant services in progress, and bar future requests for service covered by the barring category:~~

~~? It shall be possible to bar subscribers completely from the Packet Oriented Services.~~

~~? It shall be possible to bar a subscriber from requesting Packet Oriented Services from access points that are outside the HPLMN whilst the subscriber is in the HPLMN.~~

~~? It shall be possible to bar a subscriber from requesting Packet Oriented Services from access points that are within the HPLMN whilst the subscriber is roaming in a VPLMN.~~

~~? It shall be possible to bar a subscriber from requesting Packet Oriented Services from access points that are within the roamed to VPLMN.~~

~~? Whilst roaming in a VPLMN, it shall be possible to bar a subscriber from requesting Packet Oriented Services from access points that are neither in the HPLMN nor the roamed to VPLMN.~~

### 6 Exceptional procedures

If a VPLMN does not support an operator determined barring category which an HPLMN requests it to apply, the VPLMN shall notify the HPLMN. The HPLMN may then request the VPLMN to apply a substitute operator determined barring category, or take any other action required by the HPLMN operator.

### 7 Alternate procedures

None identified.

### 8 Interworking considerations

None identified.

\*\*\*\* End of Document\*\*\*\*

## CHANGE REQUEST

✂ **22.041 CR 004** ✂ rev **-** ✂ Current version: **3.2.0** ✂

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ✂ symbols.

**Proposed change affects:** ✂ (U)SIM  ME/UE  Radio Access Network  Core Network

<b>Title:</b>	✂ Removal of requirement for ODB of packet based services		
<b>Source:</b>	✂ SA1		
<b>Work item code:</b>	✂ TEI	<b>Date:</b>	✂ 17/11/00
<b>Category:</b>	✂ <b>B</b>	<b>Release:</b>	✂ Rel-4
<p>Use <u>one</u> of the following categories:</p> <p><b>F</b> (essential correction)</p> <p><b>A</b> (corresponds to a correction in an earlier release)</p> <p><b>B</b> (Addition of feature),</p> <p><b>C</b> (Functional modification of feature)</p> <p><b>D</b> (Editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p>		<p>Use <u>one</u> of the following releases:</p> <p>2 (GSM Phase 2)</p> <p>R96 (Release 1996)</p> <p>R97 (Release 1997)</p> <p>R98 (Release 1998)</p> <p>R99 (Release 1999)</p> <p>REL-4 (Release 4)</p> <p>REL-5 (Release 5)</p>	

<b>Reason for change:</b>	✂ Inclusion of ODB of packet based services into 22.041 R4 and to clarify terms used.
<b>Summary of change:</b>	✂ Inclusion of section 5.2 to add requirement for ODB of packet services and to clarify the definition of the term 'Service Access Point'
<b>Consequences if not approved:</b>	✂ No ability to enable ODB of packet based services.

<b>Clauses affected:</b>	✂ 5.2		
<b>Other specs affected:</b>	<input type="checkbox"/> Other core specifications <input type="checkbox"/> Test specifications <input type="checkbox"/> O&M Specifications	✂	
<b>Other comments:</b>	✂ This CR relies on CR 22041-003 being approved. This CR creates version 4.0.0 of 22.041.		

**\*\*\*\* Modification\*\*\*\*****Foreword**

This Technical Specification has been produced by the 3GPP.

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of this TS, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version 3.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 Indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the specification;

## 1 Scope

The present document describes the network feature Operator Determined Barring (ODB).

This allows the network operator or service provider to regulate, by means of an exceptional procedure, access by the subscribers to services (both Circuit and Packet Oriented), by the barring of certain categories of outgoing or incoming calls/Packet Oriented Services or of roaming. ODB shall take effect immediately and shall terminate ongoing calls and bar future calls/Packet Oriented Services.

The purpose of this network feature is to be able to limit the service provider's financial exposure to new subscribers, or to those who have not promptly paid their bills. It may only be applied to the service provider's own subscribers.

## 1.1 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- ? References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
  - ? For a specific reference, subsequent revisions do not apply.
  - ? For a non-specific reference, the latest version applies.
  - ? A non-specific reference to an ETS shall also be taken to refer to later versions published as an EN with the same number.
  - ? For this Release 1999 document, references to GSM documents are for Release 1999 versions (version 8.x.y).
- [1] GSM 01.04: "Digital cellular telecommunications system (Phase 2+); Abbreviations and acronyms".
- [2] TS 22.088: " Call Barring (CB) supplementary services - Stage 1".
- [3] ITU-T Recommendation E.164: "Numbering plan for the ISDN era".
- [4] TS 22.082: " Call Forwarding (CF) supplementary services - Stage 1".
- [5] TR 21.905: "Vocabulary for 3GPP Specifications"

## 1.2 Definitions and abbreviations

In addition to the following definitions, abbreviations used in the present document are listed in GSM 01.04 [1] and TR 21.905 [5].

**premium rate call:** A telecommunications network, possibly but not necessarily a PLMN, may make available certain services, for which the tariff is comparable to, or may exceed, International Call rates. Examples of such premium rate services might be information and entertainment services. The exact definition of what constitutes a premium rate call is the responsibility of the VPLMN operator, possibly subject to inter-operator agreements.

### 2 Description

Application of Operator Determined Barring is controlled by the Service Provider, by administrative interaction with the HLR; this interface is not standardized.

With the exception of the barring of roaming, the HLR effects Operator Determined Barring in a similar manner to Service Provider - activated use of the Call Barring Supplementary service. Consequently, the VLR and MSC also execute the relevant Barring Conditions in similar manners. It is noted that there is no password usage. Roaming is barred by the HLR when the MS is in a PLMN other than the Home PLMN or not in the Home PLMN Country as applicable.

In addition to ensuring the barring integrity for future calls, the HLR, and consequently the MSC and VLR, shall provide means to terminate the calls of a user that have been established prior to the application of the ODB service and which are still ongoing.

### 3 Applicability to Basic Services (BS)

This network feature is applied to all Teleservices and Bearer Services except as noted below. The Operator Determined Barring feature shall not be applied to Teleservice-Emergency Call. It is not possible to apply Operator Determined Barring independently to individual Basic Services.

### 4 Applicability to Supplementary Services (SS)

Operator Determined Barring is a network feature and hence applies to Supplementary Service aspects of subscriptions. Operator Determined Barring is applied independently from Supplementary Services. Nevertheless the following interactions occur:

**Call Barring:**

Calls will be denied if either Operator Determined Barring or Subscriber Controlled Barring determine that the call should be barred.

If a call is barred due to both Operator Determined Barring and Subscriber Controlled Barring, then the message or notification returned towards the caller will be the same as if the barring was due solely to Operator Determined Barring (see Section 5).

There is no other interaction.

**Call Forwarding:**

If a call forwarding programme is in contravention of an Operator Determined Barring Category, when the latter is activated, then the activation shall result in making call forwarding quiescent. If the subscriber attempts to activate a new call forwarding programme in contravention of an Operator Determined Barring Category, then the activation shall be denied, and the subscriber informed of the denial. There is no other interaction.

**Closed User Group:**

CUG restrictions must be met in addition to Operator Determined Barring restrictions for a call to proceed.

If a call is barred due to both Operator Determined Barring and CUG restrictions, then the message or notification returned towards the caller will be the same as if the barring was due solely to Operator Determined Barring (see Section 5).

There is no other interaction.

**Call Transfer:**

If the subscriber attempts to invoke a call transfer in contravention of an Operator Determined Barring category, then the invocation shall be denied, and the subscriber informed of the denial. There is no other interaction.

**Multi-Party, Advice of Charge, CLIP, CLIR, COLP, COLR, Call Transfer, Call Wait, Call Hold:**

There is no interaction.

**Call Completion to Busy Subscriber, User to User Signalling, Malicious Call Identification, Mobile Access Hunting:**

For further study.

The application of a Barring of Roaming category (see subclauses 3.1 and 3.2 below) will, if the Mobile Station is roaming appropriately, prevent the Mobile Subscriber from undertaking any form of Supplementary Service management.

## 5 Normal procedure

## 5.1 Circuit Oriented Services

As described in the following categories, the Service Provider may at any time activate this feature and this shall terminate any relevant calls in progress, including forwarded calls, and bar future calls covered by the barring category: Independently, one of:

- 1.1 Barring outgoing calls ;
- or: 1.2 Barring outgoing international calls;
- or: 1.3 Barring outgoing international calls except those directed to the home PLMN country;
- or: 1.4 Barring of outgoing calls when roaming outside the home PLMN country;
- or: 1.5 Barring of outgoing inter-zonal calls;
- or: 1.6 Barring of outgoing inter-zonal calls except those directed to the home PLMN country;
- or: 1.7 Barring of outgoing international calls except those directed to the home PLMN country AND barring of outgoing inter-zonal calls.

and/or one of:

- 2.1 Barring incoming calls ;

or: 2.2 Barring incoming calls when roaming outside the home PLMN country;

or: 2.3 Barring incoming calls when roaming outside the zone of the home PLMN country.

and/or one of:

3.1 Barring of Roaming outside the home PLMN;

or: 3.2 Barring of Roaming outside the home PLMN country.

and/or one or both of:

4.1 Barring of outgoing Premium Rate Calls (Information);

4.2 Barring of outgoing Premium Rate Calls (Entertainment).

and/or one or more of:

5.1 When registered in the HPLMN, Operator Specific Barring (Type 1);

or: 5.2 When registered in the HPLMN, Operator Specific Barring (Type 2);

or: 5.3 When registered in the HPLMN, Operator Specific Barring (Type 3);

or: 5.4 When registered in the HPLMN, Operator Specific Barring (Type 4).

and/or

6.1 Barring of Supplementary Services Management, which prevents user control of any supplementary service (registration, erasure, activation, deactivation, user invocation, interrogation, password registration and mobile initiated USSD). However, this does not prevent invocation by other action - e.g. an existing call forwarding or barring state will remain.

and/or one of:

7.1 Barring of registration of any call forwarded-to number;

or: 7.2 Barring of registration of any international call forwarded-to number;

or: 7.3 Barring or registration of any international call forwarded-to number except to a number within the HPLMN country;

or: 7.4 Barring of registration of any inter-zone call forwarded-to number.

or: 7.5 Barring of registration of any inter-zone call forwarded-to number except to a number within the HPLMN country;

NOTE: The definition of an international call is based on the equivalent definition of an international call in TS 22.088 [2]. The principles for deciding whether a forwarded-to number is international or inter-zonal are the same as those given in TS 22.082 [4] to determine the interactions between call forwarding and call barring.

and/or one of:

8.1 Barring of invocation of call transfer;

or: 8.2 Barring of invocation of call transfer where at least one of the two calls is a call charged to the served subscriber; i.e. the call is either an outgoing call or an incoming call when the served subscriber roams outside the HPLMN;

or: 8.3 Barring of invocation of call transfer where at least one of the two calls is a call charged to the served subscriber at international rates, i.e. the call is either an outgoing international call or an incoming call when the served subscriber roams outside the HPLMN country;

or: 8.4 Barring of invocation of call transfer where at least one of the two calls is a call charged to the served subscriber at inter-zonal rates, i.e. the call is either an outgoing inter-zonal call or an incoming call when the served subscriber roams to a VPLMN in a different zone from the HPLMN.

and/or:



- 9 Barring of invocation of call transfer where both calls are calls charged to the served subscriber, i.e. both calls are either outgoing calls or incoming calls when the served subscriber roams outside the HPLMN;

and/or:

- 10 Barring of further invocation of call transfer if there is already one ongoing transferred call for the served subscriber in the serving MSC/VLR.

"User invocation", as it relates to ODB, consists of the following action:

- invocation of the call hold supplementary service.

"Zone" is as defined in ITU-T Recommendation E.164 [3].

NOTE: For the purposes of this TS, zone 3 and zone 4 are treated as one zone.

NOTE: If control of Supplementary Services Management is barred, then the control of call forward will be barred as a consequence, so explicit barring of call forward activation is not necessary.

If a mobile subscriber attempts to use a mobile initiated service which is barred as described above (categories 1, 4, 5, 6, 7, 8, 9, and 10), an appropriate message shall be returned to the Mobile Station or alternatively (categories 1, 4, and 5), if located in the HPLMN, the mobile subscriber can be automatically connected to a destination address determined by the HPLMN operator. It is necessary for the message to indicate that the barring is due to Operator Determined Barring. It is not necessary to indicate the categories which are barred. For ongoing, or transferred calls, that are terminated due to ODB, the network operator may give an appropriate indication to the barred party.

A notification (announcement or ITU-T#7 cause value) may be returned to callers attempting to call a mobile subscriber who is appropriately barred incoming calls. It is not necessary to indicate to the called mobile station that an incoming call has been barred.

Only the HPLMN Operator can activate, change or deactivate application of this network feature.

In order to provide this feature securely when a subscriber roams to another network, the visited network needs to support the feature to ensure that the roaming subscriber cannot use a service which has been barred to him.

## 5.2 Packet Oriented Services

Packet Oriented Services, particularly data services, are different in nature to Circuit Oriented Services, and therefore have different requirements for Operator Determined Barring.

As described in the following categories, the Service Provider may at any time activate this feature and this shall terminate any relevant services in progress, and bar future requests for service covered by the barring category:

- ? It shall be possible to bar subscribers completely from the Packet Oriented Services.
- ? It shall be possible to bar a subscriber from requesting Packet Oriented Services from access points that are outside the HPLMN whilst the subscriber is in the HPLMN.
- ? It shall be possible to bar a subscriber from requesting Packet Oriented Services from access points that are within the HPLMN whilst the subscriber is roaming in a VPLMN.
- ? It shall be possible to bar a subscriber from requesting Packet Oriented Services from access points that are within the roamed to VPLMN.
- ? Whilst roaming in a VPLMN, it shall be possible to bar a subscriber from requesting Packet Oriented Services from access points that are neither in the HPLMN nor the roamed to VPLMN.

The term 'access point' is used to indicate the GGSN or part of the GGSN that is specified by a particular APN.

### 6 Exceptional procedures

If a VPLMN does not support an operator determined barring category which an HPLMN requests it to apply, the VPLMN shall notify the HPLMN. The HPLMN may then request the VPLMN to apply a substitute operator determined barring category, or take any other action required by the HPLMN operator.

### 7 Alternate procedures

None identified.

### 8 Interworking considerations

None identified.

**\*\*\*\* End of Document\*\*\*\***

