Technical Specification Group Services and System Aspects Meeting #10, Bangkok, Thailand, 11-14 December 2000

Source:	SA WG5
Title:	CRs to Telecommunications Management; Fault Management; Part 2: Alarm Integration Reference Point: Information Service (32.111-2)
Document for:	Approval
Agenda Item:	7.5.3

Doc-1st-	Doc-2nd-	Spec	CR	Rev	Phase	Cat	Subject	Version-	Version-	Work
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SP-000520	S5-000553	32.111-2	004		R99	F	Add acknowledgement information to	3.2.0	3.3.0	OAM-FM
							getAlarmList result			
SP-000520	S5-000473	32.111-2	005		R99	F	Identification of valid Event Types and	3.2.0	3.3.0	OAM-FM
							Extended Event Types within Notifications			
SP-000520	S5-000556	32.111-2	006		R99	F	A cleared Alarm shall be given perceived	3.2.0	3.3.0	OAM-FM
							severity "Cleared" and nothing else			
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### 5.3.3 Notification notifyChangedAlarm (O)

IRPAgent notifies subscribed IRPManager regarding changes in e.g. perceived severity level (except the change to the perceived severity "cleared" which is handled by the notifyClearedAlarm notification) in Alarm Information in Alarm List. The Alarm Information carried in the notification shall satisfy the current filter constraint of the subscription.

The information carried in this notification contains all attributes that are filterable and are present in the original notifyNewAlarm.

Name	Qualifier	Purpose
notificationHeader	Input, M	See Table 6: Notification Header
alarmInformationBody	Input, M	It contains information of the changed Alarm
		mormation. See subclause 3.4.0 Alarm mormation.

•••

## 5.4.1 Alarm List

IRPAgent maintains an Alarm List. It contains all currently active alarms (i.e. Alarm Information whose perceivedSeverity is not Cleared) and alarms that are Cleared but not yet acknowledged. When an alarm is Cleared and is acknowledged, its corresponding Alarm Information in this Alarm List is removed. The removed Alarm Information shall no longer be accessible via this IRP.

IRPAgent shall create a new Alarm Information in Alarm List whenever an alarm is emitted (internally within IRPAgent) that does not match with any alarm in the Alarm List. In this case, after the creation of the new Alarm Information, IRPAgent invokes notifyNewAlarm operation.

IRPAgent shall not create a new Alarm Information in Alarm List when an alarm is emitted (internally within IRPAgent) that matches with an alarm in the Alarm List. In this case, IRPAgent shall invoke either (1) notifyChangedAlarm or (2) notifyClearedAlarm followed by notifyNewAlarm operation.

See Annex D for specification of alarm matching criterion.

In the case of a matched Alarm Information and the change is the perceived Severity value, the following additional rule shall apply: :

IRPAgent shall remove all information in Acknowledgement Information of the subject Alarm Information. The Acknowledgement State shall be "unacknowledged". IRPAgent updates the eventTime and perceivedSeverity of the matched Alarm Information. IRPAgent invokes notifyChangedAlarm notification to all subscribed IRPManagers.

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### 5.4.6 Alarm Information

This subclause specifies the information contained in Alarm Information.

Alarm Information(s) are stored in Alarm List. They are carried in notifyNewAlarm, notifyChangedAlarm, notifyAckStateChanged, notifyClearedAlarm. They are also carried in the response to getAlarmList operation.

When it is carried in notifyChangedAlarm notification, it indicates that one or more parameter-attribute values of the Alarm Information have changed since the most recent notifyNewAlarm or notifyChangedAlarm notification on the subject alarm. The following table identifies, using the symbol [Y] under "Qualifier" column, those parameters attributes whose value changes would trigger IRPAgent to invoke notifyChangedAlarm or notifyAckStateChanged notification.

When the alarm is carried in notifyChangedAlarm or notifyAckStateChanged notification, the following rule shall apply:

□At least the value of one parameter-attribute marked with [Y] shall be different than that carried in the most recent notifyNewAlarm or notifyChangedAlarm of the subject alarm.

Alarm Information, carried in notifications, always contain the AIR. In notifyNewAlarm, the AIR is used to identify the active Alarm Information carried in the notification. In notifyChangedAlarm and notifyClearedAlarm, the AIR is used to identify the active Alarm Information whose state has changed. In notifyAckStateChangedAlarm, the AIR is used to identify the Alarm Information (active or inactive) in the Alarm List whose acknowledgement state has changed.

Alarm Information contains the notificationHeader and alarmInformationBody. Table 6 defines parameter-attributes of notificationHeader. Table 13 defines the parameter-attributes of alarmInformationBody.

Letter M and O stands for Mandatory and Optional respectively. Letter Y identifies the parameter-attribute whose value changes would trigger IRPAgent to invoke notifyChangedAlarm or notifyAckStateChanged.

Name	Qualifier	Comment
probable	Μ	It qualifies alarm and provides further information than eventType. See Annex B
Cause		for a complete listing. This list is extensive. It is recommended that IRPAgent
		should use the list as is and not to extend it. It is noted that IRPAgent can privately
		(outside the scope of this IRP) define values for specificProblem that provides
		semantics not conveyed by probableCause. A special probable cause value (SS
		specific, e.g1) indicates that this alternative is valid. This parameter-attribute
		value shall be single-value and of simple type such as integer or string. See
		definition in ITU-T Recommendation X.733 [2] subclause 8.1.2.1.
perceived	M <del>, Y</del>	It indicates the relative level of urgency for operator attention Legal values are
Severity		Critical, Major, Minor, Warning, Indeterminate and
		Cleared, according to ITU-T Recommendation X.733 [2]. This IRP does not
		recommend the use of indeterminate.
specific	0	It provides further qualification on the alarm than probableCause. This
Problem		parameter-attribute value shall be single-value and of simple type such as integer or
		string. See definition in ITU-T Recommendation X.733 [2] subclause 8.1.2.2.
correlated	0	It identifies a set of notifications to which this notification is considered to be
Notifications		correlated. See definition in ITU-T Recommendation X.733 [2] subclause 8.1.2.9.
backedUpStatu	0 <del>, Y</del>	It indicates if an object has a back up. See definition in ITU-T Recommendation
S		X.733 [2] subclause 8.1.2.4.
backUpObject	0 <del>, Y</del>	It carries the DN of the back up object. It shall be absent if backUpStatus is absent
		or its value indicates false. See definition in ITU-T Recommendation X.733 [2]
		subclause 8.1.2.5.
trend	0 <del>, Y</del>	It indicates if some observed condition is getting better, worse, or not changing.
Indication		Legal values are "less severe", "no change" and "more severe". See definition in
		ITU-T Recommendation X.733 [2] subclause 8.1.2.6.
threshold	0 <del>, Y</del>	It indicates if the threshold crossed was in the up or down direction. See definition
Info		in ITU-T Recommendation X.733 [2] subclause 8.1.2.7.
stateChange	0 <del>, Y</del>	It indicates MO attribute value changes. See definition in ITU-T Recommendation
Definition		X.733 [2] subclause 8.1.2.10.
monitored	0 <del>, Y</del>	It indicates MO attributes whose value changes are being monitored. See definition
Attributes		in ITU-T Recommendation X.733 [2] subclause 8.1.2.11.
proposed	0 <del>, Y</del>	It indicates proposed repair actions. See definition in ITU-T Recommendation
Repair		X.733 [2] subclause 8.1.2.12.
Actions		

#### Table 13: Parameter-Attributes of alarmInformationBody

	Name	Qualifier	Comment
	additional	O <del>,</del>	It provides the identity of the NE (e.g. RNC, Node-B) from which the alarm has
	Text		been originated. It corresponds to the "user label" attribute of the MOC representing
			the NE in the Basic CM IRP Information Model.
			It can contain further information on the alarm.
	additional	(see next	It carries additional information related to the subject Alarm Information. It may
	Information	column)	contain the following parameter-attributes.
			AlarmId-[Y]: It identifies at most one Alarm Information in the Alarm List. See
			subclause 5.4.3.1. Use of this parameter-attribute is SS dependent.
			ackTime-{Y}: It identifies the time of last operation acknowledgeAlarms or
·			unacknowledgeAlarms. It is mandatory for notifyAckStateChanged, it
			is optional for other notifications.
			ackUserId-[¥]: It identifies the last user who has change the
·			Acknowledgement State via operation acknowledgeAlarms or
			unacknowledgeAlarms. It is mandatory for notifyAckStateChanged, it
			is optional for other notifications.
			ackSystemId-[Y]: It identifies the system in which IRPManager, that invokes the
•			acknowledgeAlarms or unacknowledgeAlarms operation, runs. It is
			optional for all notifications.
			ackState-[Y]: It identifies the Acknowledgement State of the alarm. Its
·			valid values are "acknowledged" and "unacknowledged". It is mandatory for
			notifyAckStateChanged, it is optional for other notifications.

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# 5.4.6 Alarm Information

#### Table 13: Parameter-Attributes of alarmInformationBody

Name	Qualifier	Comment
probable	М	It qualifies alarm and provides further information than event Type. See Annex B
Cause	-	for a complete listing. This list is extensive. It is recommended that IRPAgent
		should use the list as is and not to extend it. It is noted that IRPAgent can privately
		(outside the scope of this IRP) define values for specific Problem that provides
		semantics not conveyed by probable Cause A special probable cause value (SS
		specific $e_{\alpha} = 1$ indicates that this alternative is valid. This parameter-attribute
		value shall be single-value and of simple type such as integer or string. See
		definition in ITU-T Recommendation X.733 [2] subclause 8.1.2.1.
perceived	M, Y	It indicates the relative level of urgency for operator attention. Legal values are
Severity	,	Critical, Major, Minor, Warning, Indeterminate and
		Cleared, according to ITU-T Recommendation X 733 [2]. This IRP does not
		recommend the use of indeterminate.
specific	0	It provides further qualification on the alarm than probable Cause. This
Problem	Ŭ	narameter-attribute value shall be single-value and of simple type such as integer or
		string See definition in ITU-T Recommendation X 733 [2] subclause 8 1 2 2
correlated	0	It identifies a set of notifications to which this notification is considered to be
Notifications	Ŭ	correlated. See definition in ITU-T Recommendation X 733 [2] subclause 8 1 2 9
backedUpStatu	0 Y	It indicates if an object has a back up. See definition in ITL-T Recommendation
s	0, 1	X 733 [2] subclause 8 1 2 4
backIIpObject	0 Y	It carries the DN of the back up object. It shall be absent if backUpStatus is absent
Dackopobjecc	0, 1	or its value indicates false. See definition in ITL-T Recommendation X 733 [2]
		subclause 8.1.2.5
trend	0 Y	It indicates if some observed condition is getting better, worse, or not changing
Indication	0, 1	Legal values are "less severe" "no change" and "more severe". See definition in
inaroación		ITU-T Recommendation X 733 [2] subclause 8 1 2 6
threshold	0 Y	It indicates if the threshold crossed was in the up or down direction. See definition
Info	0, 1	in ITL-T Recommendation X 733 [2] subclause 8 1 2 7
stateChange	0 Y	It indicates MO attribute value changes. See definition in ITU-T Recommendation
Definition	0, 1	X.733 [2] subclause 8.1.2.10.
monitored	0, Y	It indicates MO attributes whose value changes are being monitored. See definition
Attributes	- 7	in ITU-T Recommendation X.733 [2] subclause 8.1.2.11.
proposed	0, Y	It indicates proposed repair actions. See definition in ITU-T Recommendation
Repair	,	X.733 [2] subclause 8.1.2.12.
Actions		
additional	О,	It provides the identity of the NE (e.g. RNC, Node-B) from which the alarm has
Text		been originated. It corresponds to the "user label" attribute of the MOC representing
		the NE in the Basic CM IRP Information Model.
		It can contain further information on the alarm.
additional	(see next	It carries additional information related to the subject Alarm Information. It may
Information	column)	contain the following parameter-attributes.
		AlarmId [Y]: It identifies at most one Alarm Information in the Alarm List. See
		subclause 5.4.3.1. Use of this parameter-attribute is SS dependent.
		ackTime [Y]: It identifies the time of last operation acknowledgeAlarms or
		unacknowledgeAlarms. It is mandatory for notifyAckStateChanged
		notification and getAlarmList operation, it is optional for other notifications.
		ackUserId [Y]: It identifies the last user who has change the
		Acknowledgement State via operation acknowledgeAlarms or
		unacknowledgeAlarms. It is mandatory for notifvAckStateChanged
		notification and getAlarmList operation it is optional for other potifications
		ackSystemId [Y]: It identifies the system in which IRPManager that invokes the
		acknowledgellarms or unacknowledgellarms operation runs. It is
		mandatory for get AlarmLigt operation optional for all polifications
		adestate [V]: It identifies the Astrony ladgement. State of the alarm. Its
		ackolate [1]. It identifies the Acknowledgement State of the alarm. Its
	1	value values are acknowledged and unacknowledged. It is mandatory for

Name	Qualifier	Comment
		notifyAckStateChanged notification and getAlarmList operation, it
		is optional for other notifications.

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<u>Other</u> comments:								

# Annex A (normative): Event Types and Extended Event Types

This annex lists and explains event types and extended event types used by Alarm IRP and then lists the event types and extended event types valid for each notification in the Alarm IRP.

Event type is carried by a parameter called eventType defined in 3GPP TS 32.106-2 [11].

Extended event types is carried by a parameter called extendedEventType defined in 3GPP TS 32.106-2 [11].

Encoding of eventType and extendedEventType is SS dependent. For example, the value of eventType can be encoded as Object Identifier in CMIP SS and as numeric string in CORBA SS.

The tables below 14and table 15 may be extended in the future.

#### Table\_A.1: Event Types

Event Types	Explanation
Communications Alarm	An alarm of this type is associated with the procedure and/or process required conveying information from
	one point to another (ITU-T Recommendation X.733 [2]).
Processing Error Alarm	An alarm of this type is associated with a software or processing fault (ITU-T Recommendation X.733 [2]).
Environmental Alarm	An alarm of this type is associated with a condition related to an enclosure in which the equipment resides
	(ITU-T Recommendation X.733 [2]).
Quality of Service Alarm	An alarm of this type is associated with degradation in the quality of a service (ITU-T Recommendation
	X.733 [2]).
Equipment Alarm	An alarm of this type is associated with an equipment fault (ITU-T Recommendation X.733 [2]).

#### **Table A.2: Extended Event Types**

Extended Event Types	Explanation
New Alarm	A notification of this type indicates that a new alarm has occurred.
Changed Alarm	A notification of this type indicates that one or more attributes, excepting those related to
	acknowledgement state, of an active alarm have changed.
Acknowledgement State Changed	A notification of this type indicates that the <u>acknowledgement</u> state of an alarm has changed.
Cleared Alarm	A notification of this type indicates that an alarm has been cleared and is no longer active.
Alarm List Rebuilt	A notification of this type indicates that the Alarm List has been successfully rebuilt.

#### Table A.3: Event types and Extended Event Types applicable to each Notification

Notification	Event Type	Extended Event type
notifyNewAlarm	Communications Alarm	New Alarm
	Processing Error Alarm	
	Environmental Alarm	
	Quality of Service Alarm	
	Equipment Alarm	
notifyChangedAlarm	same as for notifyNewAlarm	Changed Alarm
notifyAckStateChanged	same as for notifyNewAlarm	Acknowledgement State Changed
notifyClearedAlarm	same as for notifyNewAlarm	Cleared Alarm
notifyAlarmListRebuilt		Alarm List Rebuilt

# 3GPP TSG-SA5 (Telecom Management) Meeting #16, Tokyo, JAPAN, 27 Nov - 1 Dec 2000

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<b>Reason for change: #</b> In the examples given in Annex C there are Notifications notifying the IRPManager about cleared alarms. In these Notifications the perceived severity must be set to 'cleared', but it is not in the present TS version.							everity						
Summary of chang	<b>je:</b>	It is proposed to change the "perceived severity" to 'cleared'.											
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# Annex C (informative): Examples of Uusinge of notifyChangedAlarm

This appendix <u>annex</u> describes a number of valid and invalid interactions governing the case when IRPAgent is reporting a specific fault of a particular network resource whose alarm severity level changes from, <u>say e.g. "C</u>eritical" to <u>minor "Minor"</u> and then to "Cleared".

In the following examples:

- \_\_moc\_\_ismanagedObjectClass,
- \_\_\_moi \_\_is managedObjectInstance,
- \_\_\_\_et \_\_\_is eventType,
- sp\_\_\_is specificProblem,
- \_\_\_ps \_\_\_is perceivedSeverity and
- \_\_\_\_\_\_is AlarmId.

EXAMPLE 1: Valid sequence 1 to support the hypothetical case:

(1) NotifyNewAlarm

(ni=1, ai=X, moc=A, moi=B, et=C, pc=D, sp=E, ps=Critical)

(2) NotifyChangedAlarm

(ni=2, ai=X, moc=A, moi=B, et=C, pc=D, sp=E, ps=Minor)

(3) NotifyClearedAlarm

(ni=3, ai=X, moc=A, moi=B, et=C, pc=D, sp=E, ps=MinorCleared)

EXAMPLE 2: Valid sequence 2 to support the hypothetical case:

```
(1)NotifyNewAlarm
```

(ni=1, ai=X, moc=A, moi=B, et=C, pc=D, sp=E, ps=Critical)

- (2)NotifyClearedAlarm
- (ni=2, ai=X, moc=A, moi=B, et=C, pc=D, sp=E, ps=<u>ClearedCritical</u>)
  (3)NotifyNewAlarm

(ni=3, ai=Y, moc=A, moi=B, et=C, pc=D, sp=E, ps=Minor)

(4)NotifyClearedAlarm

```
(ni=4, ai=Y, moc=A, moi=B, et=C, pc=D, sp=E, ps=ClearedMinor)
```

EXAMPLE 3: Invalid sequence 1 to support the hypothetical case:

(1) NotifyNewAlarm

(ni=1, ai=X, moc=A, moi=B, et=C, pc=D, sp=E, ps=Critical)

(2) NotifyChangedAlarm

(ni=2, ai=Y, moc=A, moi=B, et=C, pc=D, sp=E, ps=Minor)

(3) NotifyClearedAlarm

(ni=3, ai=Y, moc=A, moi=B, et=C, pc=D, sp=E, ps=ClearedMinor)

Interaction (2) is illegal since it uses a different ai for the same alarm. It should use ai=x as in interaction (1).

EXAMPLE 4: Invalid sequence 2 to support the hypothetical case:

(1)NotifyNewAlarm

(ni=1, ai=X, moc=A, moi=B, et=C, pc=D, sp=E, ps=Critical)

(2)NotifyNewAlarm

(ni=2, ai=X, moc=A, moi=B, et=C, pc=D, sp=E, ps=Minor)

Interaction (2) is illegal since it invokes notifyNewAlarm using same ai value. It should use notifyChangedAlarm with the same ai value.

## 3GPP TSG-SA5 (Telecom Management) Meeting #16, Tokyo, JAPAN, 27 Nov - 1 Dec 2000

<sup>ж</sup> 3	2.111-2 CR 007 * rev - *	Current version: <b>3.2.0</b> <sup>#</sup>					
For <b>HELP</b> on using this form, see bottom of this page or look at the pop-up text over the <b>#</b> symbols.							
Proposed change affects: # (U)SIM ME/UE Radio Access Network X Core Network X							
Title: Ж	Inconsistent behaviour for cleared not yet acknow	ledged alarms.					
Source: %	SA5#16						
Work item code: 郑	OAM-FM	Date: # 01/12/2000					
Category: Ж	F	Release: # R99					
Reason for change	<ul> <li>Use <u>one</u> of the following categories:</li> <li><i>F</i> (essential correction)</li> <li>A (corresponds to a correction in an earlier release</li> <li>B (Addition of feature),</li> <li>C (Functional modification of feature)</li> <li>D (Editorial modification)</li> <li>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</li> <li>2: # In clause 6.1 it is stated that a 'cleared but not yet state to 'cleared and acknowledged' state.</li> <li>Clause 5.4.1 states the following:</li> <li><i>IRPAgent shall not create a new Alarm Informatemitted that matches with an alarm in the Alarm invoke either (1) notifyChangedAlarm or (2) notifyNewAlarm operation.</i></li> <li>When the IRPAgent checks if a new alarm m well-defined matching criteria in annex D. Thi that a 'cleared but not yet acknowledged' alar 'unacknowledged and un-cleared'. This contract of the state of</li></ul>	Use <u>one</u> of the following releases: 2 (GSM Phase 2) e) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5) acknowledged' alarm could only change ation in Alarm List when an alarm is m List. In this case, IRPAgent shall otifyClearedAlarm followed by hatches an old alarm it shall use the is means that clause 5.4.1 states rm can change state to radicts clause 6.1.					
Summary of chang	<b>It is proposed to add an arrow stating 'MO emits </b> <b>'unack&amp;clear' state to 'unack&amp;unclear'.</b>	alarm changed' going from					
Consequences if not approved:	The IRPAgent cannot guarantee that all alarr identifiable/addressable. This means that an acknowledgement in a reliable way.	ns are uniquely IRPManager cannot perform					
Clauses affected:	策 6.1 Figure 4						
Other specs affected:	%Other core specifications%Test specificationsO&M Specifications						
Other comments:	ж						

# 6.1 Alarm states

Alarms have states. Figure 4 illustrates the alarm states.

The triggers "MO emits..." are internal within IRPAgent and are not observable via the Alarm IRP. Other triggers, e.g. "acknowledgeAlarms", are observable via the Alarm IRP.

The solid circle icon represents the Start State. The double circle icon represents the End State. In this state, the alarm is cleared and acknowledged. The alarm shall not be accessible via the IRP and is removed from the Alarm List.





Figure 4: Alarm States