3GPP TSG SA Kyongju, Korea 11-13 October, 1999



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GSM Certification Forum Program Overview

Source: GSM Association

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Background

- The GSM Certification Forum was developed through partnership between the GSM Association and various terminal manufacturers
 - The GSM Association's initiative to develop a certification program began in 1996...
- The Certification Forum is based on policies which are flexible and adaptable to meet current as well as future needs

Program Scope

- GSM Certification Forum provides for the verification of devices against the program's technical requirements, with global recognition and acceptance of results, the avoidance of multiple testing and cost efficiencies
 - All GSM frequency bands/multi-mode devices
 - Complementary to regulatory regimes
 - Participation is 100% voluntary

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Agreements

- Obtaining agreement of the Certification Forum policy has been challenging...
 - Agreement reached on all principles which are reasonable and form the basis for future Forum enhancement as the GSM technology evolves
- It has been agreed by terminal manufacturers and the GSM Association that the Certification Forum program will be modified and be extended to encompass future technologies such as 3rd Generation

Benefits

- Complementary to regulatory processes including Type Approval & RTTE Directive
 - Builds upon existing processes
- Customers benefit from reduced interoperability risk and enhanced testing
 - Avoidance of multi-functionality testing based upon agreed standards and testing methods
- Global recognition with self-regulation

Cost efficiencies and reduced time to market

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Participation

- Operators and terminal manufacturers participate in Forum upon the execution of a 'Declaration of Active Participation' – Voluntary program participation
- Others involved in the design, testing, test equipment development, etc. of devices apply for Observer status
 - Participation in technical standards development without formal voting rights

Structure

- Certification Forum within GSMA structure Steering Group to decide matters of budget and administrative by meeting twice per year Operators and manufacturers participate with Chair/Vice Chair rotated annually between groups Secretariat implements Steering Group policy Volunteer work groups develop and validate the certification technical requirements Agreement Group and Terminal Working Group
 - Joint funding of operational costs 50/50 basis

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Certification Process

- Manufacturer's Declaration of Conformity
 - Successful laboratory testing of the program's technical requirements completed by the manufacturer or 3rd party test house
 - Successful field trailing of the device in a minimum of five (5) commercial networks for each frequency band supported
 - Manufacturer declares the device certified
 - Secretariat lists the device on certification website

Technical Requirements

Certification technical requirements based upon the GSM core specifications

 Standards Development Organisation published tests conducted on commercially available and validated test equipment

 Compliance folder contains evidence of technical conformity and field trial results

 Annual audit of the manufacturers Quality System by an accredited ISO-9000 body or equivalent

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Quality

Quality matters not a part of certification

- To be addressed in commercial agreements
 - New requirements may be incorporated in the certification program once they become part of the GSM core specifications and validated test equipment is commercially available

 It is desirable that an operator's technical operations wishing to participate in field trials become ISO-9000 qualified

Issue Resolution

- Formal process to address disputes...
 - Bi-lateral discussions take place initially
 - Either party may call for a 3rd party test at a mutually agreed accredited test facility
 - Either party may challenge the 3rd party test results within 5 working days (loser pays)
 - Test failure is noted on certification web site
 - Matters not resolved within 90 days results in the automatic withdrawal of certification

Manufacturer may voluntarily withdraw certification

Device Connection

- Operators may purchase both certified and non-certified terminal devices which meet their market requirements
 - These decisions are left to market forces and are not a part of the Certification Forum
- Operators who do not purchase terminal devices are not impacted by the Certification Forum program

Way Forward

- Secretariat in place by 1 December 99

 Program "Organisationally" functional
- Program "functionally operational" by GSM World Congress in February 2000
 - Begin accepting Declarations of Conformity from manufacturers if certified
- Program fully operational by 1 April 2000
 Prior to 8 April 2000 start of R&TTE Directive

Information

Available on certification website:

http://www.industry.gsm.org

 A web based interactive program is currently under development to provide additional Certification Forum information and PRD information

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Summary

- The agreed certification policy and principles will be implemented globally through the active participation of operators and terminal manufacturers
- The Certification Forum program should be recognised as the basis and starting point for 3rd Generation inter-operability and conformance assessment testing

Summary

 Thank you for the opportunity to provide this overview of the GSM Certification Forum to the 3GPP TSG SA

• Details contained in this document are subject to further modification and change

Jonas Twingler GSM Association 3G Coordinator (mobile) + 46 70 324 1012 (email) jonas.twingler@northstream.se

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