### **3GPP TSG RAN WG1**

Seoul, Korea, Apr 10 – 13, 2000

Agenda item:	
Source:	Philips
Title:	Corrections to Power Control for CPCH
Document for:	Decision

## Introduction

This CR proposes the following revisions to the power control for CPCH for release '99, with the aim of aligning power control for PCPCH with recent changes to power control for the PRACH and uplink DCHs:

- 1. The description of power control for CPCH is revised to be consistent with recent changes to power control for uplink DCHs and with corrections in CR 25.214-087.
- 2. The relative offset between the transmit power of the data and control parts of the CPCH message part is determined by gain factors  $\beta_c$  and  $\beta_d$  as indicated in 25.213 section 4.2.3.2 PCPCH message part. This CR clarifies that these are signalled from higher layers.
- 3. The transmission power of the CPCH CD preamble is not currently defined. This CR clarifies that the transmission power of the CD preamble should be the same as the transmission power of the last AP.
- 4. The power offset between the CD preamble and the start of the CPCH message part is not defined. This CR introduces this offset in the same way as for the PRACH.
- 5. This CR makes relevant cross-references between the power control sections for PCPCH and the CPCH access procedure.

#### Changes in revision 1

- 1. Removal of designation "UE-specific" for higher layer parameters "PowerControlAlgorithm" and "TPC-StepSize" in section 5.1.3.2.
- 2. Use of  $L_{pc-preamble}$  notation for length of power control preamble in section 5.1.3.3.
- 3. Parameter  $\Delta P_{p-m}$  is a CPCH parameter, not a RACH/CPCH parameter.

### Notes

The missing parameter  $\Delta P_{p-m}$  inserted in this CR is not currently supported in higher layer specifications. The status of support for gain factors, PowerControlAlgorithm and TPC-StepSize also needs to be queried, even though they were all previously assumed within layer 1.

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# 5.1.3 PCPCH

## 5.1.3.1 <u>General</u>

<u>The power control during the This section describes the power control procedures for the PCPCH. The CPCH access</u> procedure is described in <u>clausesection</u> 6.2. <u>The inner loop power control for the PCPCH is described in the following</u> <u>sub-clauses.</u>

### 5.1.3.15.1.3.2 Power control in the message part

The uplink transmit power control procedure simultaneously controls the power of a PCPCH control part and its corresponding PCPCH data part. The relative transmit power offset between the PCPCH control part and the PCPCH data part is determined by the network and is computed according to sub-clause 5.1.2.5 using the gain factors signalled to the UE using higher-layer signalling, with the difference that:

- **b**<sub>c</sub> is the gain factor for the PCPCH control part (similar to DPCCH);
- $\underline{b}_d$  is the gain factor for the PCPCH data part (similar to DPDCH).

The gain factors are applied as shown in sub clause 4.2.3.2 of 25.213.

The operation of the inner power control loop adjusts the power of the PCPCH control part and PCPCH data part by the same amount, provided there are no changes in gain factors.

Any change in the uplink PCPCH control part transmit power shall take place immediately before the start of the pilot field on the control part of the message part. The change in PCPCH control part power with respect to its value in the previous slot is derived by the UE and is denoted by  $\Delta_{PCPCH-CP}$  (in dB).

During the operation of the uplink power control procedure the UE transmit power shall not exceed a maximum allowed value which is the lower out of the maximum output power of the terminal power class and a value which may be set by higher layer signalling.

Uplink power control shall be performed while the UE transmit power is below the maximum allowed output power. If the UE transmit power is below the required minimum output power [as defined in TS 25.101] and the derived value of  $\Delta_{\text{PCPCH-CP}}$  is less than zero, the UE may reduce the magnitude of  $\Delta_{\text{PCPCH-CP}}$ .

The uplink inner-loop power control adjusts the UE transmit power in order to keep the received uplink signal-to-interference ratio (SIR) at a given SIR target, SIR<sub>target</sub>, which is set by the higher layer outer loop.

The network should estimate the signal-to-interference ratio SIR<sub>est</sub> of the received PCPCH . The network <u>should</u> then generates TPC commands and transmits the commands once per slot according to the following rule: if SIR<sub>est</sub> > SIR<sub>target</sub> then the TPC command to transmit is "0", while if SIR<sub>est</sub> < SIR<sub>target</sub> then the TPC command to transmit is "1".

The UE derives a TPC command, TPC\_cmd, for each slot. Two algorithms shall be supported by the UE for deriving a TPC\_cmd., as described in subclauses 5.1.2.2.2.1 and 5.1.2.2.3.1. Which of these two algorithms is used is a higherlayer parameter under the control of the UTRAN. Which of these two algorithms is used is determined by a higherlayer parameter, "PowerControlAlgorithm", and is under the control of the UTRAN. If "PowerControlAlgorithm" indicates "algorithm1", then the layer 1 parameter PCA shall take the value 1 and if "PowerControlAlgorithm" indicates "algorithm2" then PCA shall take the value 2.

If PCA has the value 1, Algorithm 1, described in subclause 5.1.2.2.2, shall be used for processing TPC commands.

If PCA has the value 2, Algorithm 2, described in subclause 5.1.2.2.3, shall be used for processing TPC commands.

The step size  $\Delta_{\text{TPC}}$  is a layer 1 parameter which is derived from the higher-layer parameter <u>"TPC-StepSize"</u> which is under the control of the UTRAN. If "TPC-StepSize" has the value "dB1", then the layer 1 parameter  $\Delta_{\text{TPC}}$  shall take, that can have the values 1 dB and if "TPC-StepSize" has the value "dB2", then  $\Delta_{\text{TPC}}$  shall take the value or 2 dB. After deriving the TPC command TPC\_cmd using one of the two supported algorithms, the UE shall adjust the transmit power of the uplink PCPCH control part with a step of  $\Delta_{\text{TPCPCPCH-CP}}$  (in dB) which is given by:

### $\underline{\Delta}_{\text{PCPCH-CP}} = \underline{\Delta}_{\text{TPC}} \times \text{TPC} \quad \text{cmd}$

according to the TPC command. If TPC\_cmd equals 1 then the transmit power of the uplink PCPCH shall be increased by  $\Delta_{TPC}$  dB. If TPC\_cmd equals 1 then the transmit power of the uplink PCPCH shall be decreased by  $\Delta_{TPC}$  dB. If TPC\_cmd equals 0 then the transmit power of the uplink PCPCH shall be unchanged.

Any power increase or decrease shall take place immediately before the start of the pilot field on the PCPCH control channel.

## 5.1.3.25.1.3.3 Power control in the power control preamble

The UE commences the power control preamble using the same power level as was used for the CD preamble.

The initial power control step size used in the power control preamble differs from that used in the message part: if inner loop power control algorithm 1 is to be used in the message part, then the initial step size in the power control preamble is  $\Delta_{\text{TPC-init}}$ , where  $\Delta_{\text{TPC-init}}$  is equal to the minimum value out of 3 dB and  $2\Delta_{\text{TPC}}$ , where  $\Delta_{\text{TPC-init}}$  is the power control step size used for the message part. If inner loop power control algorithm 2 is to be used in the message part, then inner loop power control algorithm 1 is used initially in the power control preamble, with a step size of 2dB. In either case, the power control algorithm and step size revert to those used for the message part as soon as the sign of the TPC commands reverses for the first time.

A power control preamble may be used for initialisation of a PCPCH. Both the UL PCPCH control part and associated DL DPCCH shall be transmitted during the uplink power control preamble. The uplink PCPCH data part shall not commence before the end of the power control preamble.

The length of the power control preamble is a higher layer parameter,  $L_{pc-preamble}$  (see section 6.2), and can take the value 0 slots or 8 slots.

<u>If  $L_{pc-preamble} > 0$ , the details of power control used during the power control preamble differ from the ordinary power control which is used afterwards. After the first slot of the power control preamble the change in uplink PCPCH control part transmit power shall initially be given by:</u>

 $\underline{\Delta}_{\underline{PCPCH-CP}} = \underline{\Delta}_{\underline{TPC-init}} \times \underline{TPC\_cmd}$ 

If the value of PCA is 1 then  $\Delta_{\text{TPC-init}}$  is equal to the minimum value out of 3 dB and  $2\Delta_{\text{TPC}}$ .

If the value of PCA is 2 then  $\Delta_{\text{TPC-init}}$  is equal to 2dB.

TPC\_cmd is derived according to algorithm 1 as described in sub clause 5.1.2.2.2, regardless of the value of PCA.

Power control as defined for the message part (see sub-clause 5.1.3.2), with the power control algorithm determined by the value of PCA and step size  $\Delta_{\text{TPC}}$ , shall be used as soon as the sign of TPC cmd reverses for the first time, or at the end of the power control preamble if the power control preamble ends first.

# 6.2 CPCH Access Procedures

For each CPCH physical channel in a CPCH set allocated to a cell the following physical layer parameters are included in the System Information message: L1 shall receive the following information from the higher layers (RRC).

- UL Access Preamble (AP) scrambling code.
- UL Access Preamble signature set
- The Access preamble slot sub-channels group
- AP- AICH preamble channelization code.
- UL Collision Detection(CD) preamble scrambling code.
- CD Preamble signature set
- CD preamble slot sub-channels group
- CD-AICH preamble channelization code.
- CPCH UL scrambling code.
- DPCCH DL channelization code.([512] chip)
- NOTE: There may be some overlap between the AP signature set and CD signature set if they correspond to the same scrambling code.

The following physical layer parameters are received from the RRC layer:

- 1) N\_AP\_retrans\_max = Maximum Number of allowed consecutive access attempts (retransmitted preambles) if there is no AICH response. This is a CPCH parameter and is equivalent to Preamble\_Retrans\_Max in RACH.
- 2)  $P_{RACH} = P_{CPCH} =$  Initial open loop power level for the first CPCH access preamble sent by the UE.

[RACH/CPCH parameter]

3)  $\Delta P_0$  = Power step size for each successive CPCH access preamble.

[RACH/CPCH parameter]

4)  $\Delta P_1$  = Power step size for each successive RACH/CPCH access preamble in case of negative AICH. A timer is set upon receipt of a negative AICH. This timer is used to determine the period after receipt of a negative AICH when  $\Delta P_1$  is used in place of  $\Delta P_0$ .

[RACH/CPCH parameter]

5)  $\Delta P_{p-m} = P_{message-control} - P_{cd}$ , measured in dB. This is the power offset between the transmit power of the CD preamble and the initial transmit power of the CPCH power control preamble (or the control part of the CPCH message part if the power control preamble length is 0 slots).

[CPCH parameter]

56)T<sub>cpch</sub> = CPCH transmission timing parameter: This parameter is identical to PRACH/AICH transmission timing parameter.

[RACH/CPCH parameter]

67) L<sub>pc-preamble</sub> = Length of power control preamble (0 or 8 slots)

[CPCH parameter]

78)N<sub>Start\_Message</sub> = Number of frames for the transmission of Start of Message Indicator in DL-DPCCH for CPCH

89) The set of Transport Format parameters. This includes a Transport Format to PCPCH mapping table.

L1 shall receive the following information from MAC prior to packet transmission:

- 1) Transport Format of the message part.
- 2) The data to be transmitted is delivered to L1 once every TTI until the data buffer is empty.

The overall CPCH -access procedure consists of two parts:

1) Upon receipt of a Status-REQ message from the MAC layer, the UE shall start monitoring the CSICH to determine the availability of the transport formats in the transport format subset included in the Status-REQ message. UTRAN transmits availability of each PCPCH or maximum available data rate with availability of each PCPCH over the CSICH in case CA is active. Upper layers will supply the UE with information to map the transport formats to the PCPCHs. The UE shall send a Status-CNF message to the MAC layer containing the transport format subset listing the transport formats of the requested subset which are currently indicated as 'available'.

The actual access procedure is then:

- 2) Upon receipt of the Access-REQ message from the MAC layer, which contains an identified transport format from the available ones ,the following sequence of events occur. The use of step 2a or 2b depends on whether availability of each PCPCH or the Maximum available data rate along with the availability of each PCPCH is transmitted over CSICH. Note that in the first case, each access resource combination (AP signatures and access subchannel group) maps to each PCPCH resource and in the second case each access resource combination maps to each data rate.
- 2a) (In case CA is not Active) The UE shall test the value(s) of the most recent transmission of the CSICH Status Indicator(s) corresponding to the PCPCH channel(s) for the identified transport format included in the Access-REQ message. If this indicates that no channel is 'available' the UE shall abort the access attempt and send a failure message to the MAC layer. The UE shall also retain the availability status of the each PCPCH for further verification in a later phase.
- 2b) (In case CA is active) The CSICH Status Indicators indicate the maximum available data rate along with individual PCPCH availability. The UE shall test the value of the most recent transmission of the Status Indicator(s). If this indicates that the maximum available data rate is less than the requested data rate, the UE shall abort the access attempt and send a failure message to the MAC layer. The PHY provides the availability information to the MAC. The UE shall also retain the availability status of the each PCPCH for further channel assignment message verification in a later phase in case of success.
- The UE sets the preamble transmit power to the value P<sub>CPCH</sub> which is supplied by the MAC layer for initial power level for this CPCH access attempt.
- 4) The UE sets the AP Retransmission Counter to N\_AP\_Retrans\_Max.
- 5a) In the case CA is not active, the uplink access slot and signature to be used for the CPCH-AP transmission are selected in the following steps:
  - a) The UE selects randomly one PCPCH from the set of available PCPCH channel(s) as indicated on the CSICH and supporting the identified transport format included in the Access-REQ message. The random function shall be such that each of the allowed selections is chosen with equal probability.
  - b) The UE randomly selects a CPCH-AP signature from the set of available signatures in the access resource combination corresponding to the selected PCPCH in step a). The random function shall be such that each of the allowed selections is chosen with equal probability.
  - c) Using the AP access slot sub-channel group of the access resource combination corresponding to selected PCPCH in step a), the UE derives the available CPCH-AP access slots in the next two frames, defined by SFN and SFN+1 with the help of SFN and table 7 in section 6.1. The UE randomly selects one access slot

from the available access slots in the next frame, defined by SFN, if there is one available. If there is no access slot available in the next frame, defined by SFN then, randomly selects one access slot from the available access slots in the following frame, defined by SFN+1. The random function shall be such that each of the allowed selections is chosen with equal probability.

- 5b)In the case CA is active, the uplink access slot and signature to be used for the CPCH-AP transmission are selected in the following setps:
  - a) The UE randomly selects a CPCH-AP signature from the set of available signatures in the access resource combination corresponding to the transport format identified in the Access-REQ message. The random function shall be such that each of the allowed selections is chosen with equal probability.
  - b) Using the AP access slot sub-channel group of the access resource combination corresponding to the transport format identified in the Access-REQ message, the UE derives the available CPCH-AP access slots in the next two frames, defined by SFN and SFN+1 with the help of SFN and table 7 in section 6.1. The UE randomly selects one access slot from the available access slots in the next frame, defined by SFN, if there is one available. If there is no access slot available in the next frame, defined by SFN then, randomly selects one access slot from the available access slots in the following frame, defined by SFN+1. The random function shall be such that each of the allowed selections is chosen with equal probability.
- 6) The UE transmits the AP using the selected uplink access slot and signature, and MAC supplied initial preamble transmission power. The following sequence of events occur based on whether availability of each PCPCH or the Maximum available data rate along with the availability of each PCPCH is transmitted over CSICH.
- 6a) (In case CA is not Active) The UE shall test the value of the most recent transmission of the Status Indicator corresponding to the identified CPCH transport channel immediately before AP transmission. If this indicates that the channel is 'not available' the UE shall abort the access attempt and send a failure message to the MAC layer. Otherwise the UE transmits the AP using the UE selected uplink signature and access slot, and the initial preamble transmission power from step 3, above.
- 6b) (In case CA is active) The Status Indicator indicates the maximum available data rate as well as the availability of each PCPCH. The UE shall test the value of the Status Indicator. If this indicates that the maximum available data rate is less than the requested data rate, the UE shall abort the access attempt and send a failure message to the MAC layer. Otherwise the UE shall transmit the AP using the UE selected uplink access slot, the MAC supplied signature and initial preamble transmission power from step 3, above.
- 7) If the UE does not detect the positive or negative acquisition indicator corresponding to the selected signature in the downlink access slot corresponding to the selected uplink access slot, the UE shall test the value of the most recent transmission of the Status Indicator corresponding to the selected PCPCH immediately before AP transmission. If this indicates that the PCPCH is 'not available' the UE shall abort the access attempt and send a failure message to the MAC layer. Otherwise the following steps shall be executed:
  - a) Selects the new uplink access slot from the available access slot, i.e, next access slot in the sub-channel group used. There must be a minimum distance of three or four (per Tcpch parameter) access slots from the uplink access slot in which the last preamble was transmitted depending on the CPCH/AICH transmission timing parameter.
  - b) Increases the preamble transmission power with the specified offset  $\Delta P$ . Power offset  $\Delta P_0$  s is used unless the negative AICH timer is running, in which case  $\Delta P_1$  is used instead.
  - c) Decrease the AP Retransmission Counter by one.
  - d) If the AP Retransmission Counter < 0, the UE aborts the access attempt and sends a failure message to the MAC layer.
- 8) If the UE detects the AP-AICH\_nak (negative acquisition indicator) corresponding to the selected signature in the downlink access slot corresponding to the selected uplink access slot, the UE aborts the access attempt and sends a failure message to the MAC layer. The UE sets the negative AICH timer to indicate use of  $\Delta P_1$  use as the preamble power offset until timer expiry

- 9) Upon reception of AP-AICH\_ack with matching signature, the access segment ends and the contention resolution segment begins. In this segment, the UE randomly selects a CD signature from the CD signature set and also selects one\_CD access slot sub-channel from the CD sub-channel group supported in the cell\_and transmits a CD Preamble at the same power as the last AP, then waits for a CD/CA-ICH and the channel assignment (CA) (in case CA is active) message from the Node B. The slot selection procedure is as follows:
  - a) The next available slot when the PRACH and PCPCH scrambling code are not shared. Furthermore, the PCPCH AP preamble scrambling code and CD Preamble scrambling codes are different.
  - b) When the PRACH and PCPCH AP preamble scrambling code and CD preamble scrambling code are shared, the UE randomly selects one of the available access slots in the next 12 access slots. Number of CD sub-channels will be greater than 2.
- 10) If the UE does not receive a CD/CA-ICH in the designated slot, the UE aborts the access attempt and sends a failure message to the MAC layer.
- 11)If the UE receives a CD/CA-ICH in the designated slot with a signature that does not match the signature used in the CD Preamble, the UE aborts the access attempt and sends a failure message to the MAC layer.
- 12a) (In case CA is not Active) If the UE receives a CDI from the CD/CA-ICH with a matching signature , the UE transmits the power control preamble  $\tau_{cd-p-pc-p}$  ms later as measured from initiation of the CD Preamble. The initial transmission power of the power control preamble shall be  $\Delta P_{p-m}$  [dB] higher than the power of the CD preamble. The inner loop power control in the power control preamble is described in sub clause 5.1.3.3. The transmission of the message portion of the burst starts immediately after the power control preamble. Power control in the message part is described in sub clause 5.1.3.2.
- 12b) (In case CA is active) If the UE receives a CDI from the CD/CA-ICH with a matching signature and CA message that points out to one of the PCPCH's (mapping rule is in [5]) that were indicated to be free by the last received CSICH broadcast, the UE transmits the power control preamble  $\tau_{cd-p-pc-p}$  ms later as measured from initiation of the CD Preamble. The initial transmission power of the power control preamble shall be  $\Delta P_{p-m}$  [dB] higher than the power of the CD preamble. The inner loop power control in the power control preamble is described in sub clause 5.1.3.3. The transmission of the message portion of the burst starts immediately after the power control preamble. Power control in the message part is described in sub clause 5.1.3.2. If the CA message received points out the channel that was indicated to be busy on the last status information transmission received on the CSICH, the UE shall abort the access attempt and send a failure message to the MAC layer.
- NOTE: If the  $L_{pc-preamble}$  parameter indicates a zero length preamble, then there is not power control preamble and the message portion of the burst starts  $\tau_{cd-p-pc-p}$  ms after the initiation of the CD Preamble. In this case the initial transmission power of the control part of the message part shall be  $\Delta P_{p-m}$  [dB] higher than the power of the CD preamble. Power control in the message part is described in sub clause 5.1.3.2
- 13) The UE shall test the value of Start of Message Indicator received from DL-DPCCH for CPCH during the first N<sub>Start\_Message</sub> frames after Power Control preamble. Start of Message Indicator is a known sequence repeated on a frame by frame basis. The value of N<sub>Start Message</sub> shall be provided by the higher layers.
- 14)If the UE does not detect Start of Message Indicator in the first N<sub>Start\_Message</sub> frames of DL-DPCCH for CPCH after Power Control preamble, the UE aborts the access attempt and sends a failure message to the MAC layer. Otherwise, UE continuously transmits the packet data.
- 15)During CPCH Packet Data transmission, the UE and UTRAN perform inner-loop power control on both the CPCH UL and the DPCCH DL, as described in sub clause 5.1.3.
- 16) After the first N<sub>Start\_Message</sub> frames after Power Control preamble, upon the detection of an Emergency Stop command sent by UTRAN, the UE halts CPCH UL transmission, aborts the access attempt and sends a failure message to the MAC layer.
- 17)If the UE detects loss of DPCCH DL during transmission of the power control preamble or the packet data, the UE halts CPCH UL transmission, aborts the access attempt and sends a failure message to the MAC layer.
- 18) If the UE completes the transmission of the packet data, the UE sends a success message to the MAC layer.