3GPP TSG RAN WG1 ***TSGR1#12(00)0502***
Seoul, Korea, Apr 10 – 13, 2000

Agenda item:

Source: **Philips**

Title: **Corrections to uplink DCH power control sections**

Document for: **Decision**

Introduction

This CR makes some corrections to 25.214 sections 5.1.2.2 and 5.1.2.3 on uplink DCH power control.

In summary, these corrections consist of:

- Correction to naming of higher layer parameter “PowerControlAlgorithm”;
- Revision to description of parameter Δ_{TPC} for consistency with description of power control algorithm parameters and chapter 11 of TS25.331;
- Editorial corrections to punctuation (various missing carriage returns etc.);
- Correction of an “are” to “shall be”.

3GPP TSG RAN WG1 Meeting #12
Seoul, Korea, Apr 10 – 13, 2000

***Document* R1-00-0502**
e.g. for 3GPP use the format TP-99xxx
or for SMG, use the format P-99-xxx

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25.214 CR 087 Current Version: 3.2.0

GSM (AA.BB) or 3G (AA.BBB) specification number ↑ *↑ CR number as allocated by MCC support team*

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Proposed change affects: (U)SIM [ ] ME [X] UTRAN / Radio [X] Core Network [ ]
(at least one should be marked with an X)

Source: Philips **Date:** 2000-03-31

Subject: Corrections to uplink DCH power control sections

Work item:

Category: *(only one category shall be marked with an X)*

F	Correction	X	
A	Corresponds to a correction in an earlier release		
B	Addition of feature		
C	Functional modification of feature		
D	Editorial modification		

Release:

Phase 2	
Release 96	
Release 97	
Release 98	
Release 99	X
Release 00	

Reason for change: Editorial corrections to punctuation;
Clarification of relation between layer 1 and higher-layer parameters for power control algorithms and steps sizes, and correction to naming of power control algorithm parameter.

Clauses affected: 5.1.2.2.1, 5.1.2.2.2.3, 5.1.2.2.3.2, 5.1.2.3

Other specs affected:

Other 3G core specifications		→ List of CRs:
Other GSM core specifications		→ List of CRs:
MS test specifications		→ List of CRs:
BSS test specifications		→ List of CRs:
O&M specifications		→ List of CRs:

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5 Power control

5.1 Uplink power control

5.1.1 PRACH

5.1.1.1 General

The power control during the physical random access procedure is described in clause 6. The setting of power of the message control and data parts is described in the next sub-clause.

5.1.1.2 Setting of PRACH control and data part power difference

The message part of the uplink PRACH channel shall employ gain factors to control the control/data part relative power similar to the uplink dedicated physical channels. Hence, section 5.1.2.5 applies also for the RACH message part, with the differences that:

- b_c is the gain factor for the control part (similar to DPCCH),
- b_d is the gain factor for the data part (similar to DPDCH),
- no inner loop power control is performed.

5.1.2 DPCCH/DPDCH

5.1.2.1 General

The initial uplink DPCCH transmit power is set by higher layers. Subsequently the uplink transmit power control procedure simultaneously controls the power of a DPCCH and its corresponding DPDCHs (if present). The relative transmit power offset between DPCCH and DPDCHs is determined by the network and is computed according to sub clause 5.1.2.5 using the gain factors signalled to the UE using higher layer signalling.

The operation of the inner power control loop, described in sub clause 5.1.2.2, adjusts the power of the DPCCH and DPDCHs by the same amount, provided there are no changes in gain factors. Additional adjustments to the power of the DPCCH associated with the use of compressed mode are described in sub clause 5.1.2.3.

Any change in the uplink DPCCH transmit power shall take place immediately before the start of the pilot field on the DPCCH. The change in DPCCH power with respect to its previous value is derived by the UE and is denoted by Δ_{DPCCH} (in dB). The previous value of DPCCH power shall be that used in the previous slot, except in the event of an interruption in transmission due to the use of compressed mode, when the previous value shall be that used in the last slot before the transmission gap.

During the operation of the uplink power control procedure the UE transmit power shall not exceed a maximum allowed value which is the lower out of the maximum output power of the terminal power class and a value which may be set by higher layer signalling.

Uplink power control shall be performed while the UE transmit power is below the maximum allowed output power. If the UE transmit power is below the required minimum output power [as defined in TS 25.101] and the derived value of Δ_{DPCCH} is less than zero, the UE may reduce the magnitude of Δ_{DPCCH}.

5.1.2.2 Ordinary transmit power control

5.1.2.2.1 General

The uplink inner-loop power control adjusts the UE transmit power in order to keep the received uplink signal-to-interference ratio (SIR) at a given SIR target, SIR_{target}.

The serving cells (cells in the active set) should estimate signal-to-interference ratio SIR_{est} of the received uplink DPCH. The serving cells should then generate TPC commands and transmit the commands once per slot according to the following rule: if $SIR_{est} > SIR_{target}$ then the TPC command to transmit is "0", while if $SIR_{est} < SIR_{target}$ then the TPC command to transmit is "1".

Upon reception of one or more TPC commands in a slot, the UE shall derive a single TPC command, TPC_cmd, for each slot, combining multiple TPC commands if more than one is received in a slot. Two algorithms shall be supported by the UE for deriving a TPC_cmd. Which of these two algorithms is used is determined by a UE-specific higher-layer parameter, “Power-Control-Algorithm”, and is under the control of the UTRAN. If “Power-Control ~~Parameter~~ Algorithm” indicates “algorithm-1”, then the layer 1 parameter PCA shall take the value 1 and if “Power-Control ~~Parameter~~ Algorithm” indicates “algorithm-2” then PCA shall take the value 2.

If PCA has the value 1, Algorithm 1, described in subclause 5.1.2.2.2, shall be used for processing TPC commands.

If PCA has the value 2, Algorithm 2, described in subclause 5.1.2.2.3, shall be used for processing TPC commands.

The step size Δ_{TPC} is a layer 1 parameter which is derived from the UE-specific higher-layer parameter “TPC-StepSize” which is~~,~~ under the control of the UTRAN. If “TPC-StepSize” has the value “dB1”, then the layer 1 parameter Δ_{TPC} shall take ~~that can have~~ the value~~s~~ 1 dB and if “TPC-StepSize” has the value “dB2”, then Δ_{TPC} shall take the value ~~or~~ 2 dB.

After deriving of the combined TPC command TPC_cmd using one of the two supported algorithms, the UE shall adjust the transmit power of the uplink DPCCH with a step of Δ_{DPCCH} (in dB) which is given by:

$$\Delta_{DPCCH} = \Delta_{TPC} \times TPC\_cmd$$

5.1.2.2.1.1 Out of synchronisation handling

The UE shall shut its transmitter off when the UE estimates the DPCCH quality over the last 200 ms period to be worse than a threshold Q_{out}. This criterion is never fulfilled during the first 200 ms of the dedicated channel's existence. Q_{out} is defined implicitly by the relevant tests in TS 25.101.

The UE can turn its transmitter on when the UE estimates the DPCCH quality over the last 200 ms period to be better than a threshold Q_{in}. This criterion is always fulfilled during the first 200 ms of the dedicated channel's existence. Q_{in} is defined implicitly by the relevant tests in TS 25.101. When transmission is resumed, the power of the DPCCH shall be the same as when the UE transmitter was shut off.

5.1.2.2.2 Algorithm 1 for processing TPC commands

5.1.2.2.2.1 Derivation of TPC_cmd when only one TPC command is received in each slot

When a UE is not in soft handover, only one TPC command will be received in each slot. In this case, the value of TPC_cmd shall be derived as follows:

- If the received TPC command is equal to 0 then TPC_cmd for that slot is –1.
- If the received TPC command is equal to 1, then TPC_cmd for that slot is 1.

5.1.2.2.2.2 Combining of TPC commands known to be the same

When a UE is in soft handover, multiple TPC commands may be received in each slot from different cells in the active set. In some cases, the UE has the knowledge that some of the transmitted TPC commands in a slot are the same. This is the case e.g. with receiver diversity or so called softer handover when the UTRAN transmits the same command in all the serving cells the UE is in softer handover with. For these cases, the TPC commands known to be the same shall be combined into one TPC command, to be further combined with other TPC commands as described in subclause 5.1.2.2.2.3.

5.1.2.2.2.3 Combining of TPC commands not known to be the same

In general in case of soft handover, the TPC commands transmitted in the same slot in the different cells may be different.

This subclause describes the general scheme for combination of the TPC commands not known to be the same.

First, the UE shall conduct a soft symbol decision W_i on each of the power control commands TPC_i, where i = 1, 2, …, N, where N is greater than 1 and is the number of TPC commands not known to be the same, that may be the result of a first phase of combination according to subclause 5.1.2.2.2.2.

Finally, the UE derives a combined TPC command, TPC_cmd, as a function γ of all the N soft symbol decisions W_i:

TPC_cmd = γ (W_1, W_2, … W_N), where TPC_cmd can take the values 1 or -1.

The function γ shall fulfil the following criteria:

If the N TPC_i commands are random and uncorrelated, with equal probability of being transmitted as "0" or "1", the probability that the output of γ is equal to 1 shall be greater than or equal to $1/(2^N)$, and the probability that the output of γ is equal to -1 shall be greater than or equal to 0.5.

5.1.2.2.3 Algorithm 2 for processing TPC commands

NOTE: Algorithm 2 makes it possible to emulate smaller step sizes than the minimum power control step specified in section 5.1.2.2.1, or to turn off uplink power control by transmitting an alternating series of TPC commands.

5.1.2.2.3.1 Derivation of TPC_cmd when only one TPC command is received in each slot

When a UE is not in soft handover, only one TPC command will be received in each slot. In this case, the UE shall process received TPC commands on a 5-slot cycle, where the sets of 5 slots shall be aligned to the frame boundaries and there shall be no overlap between each set of 5 slots.

The value of TPC_cmd shall be derived as follows:

- For the first 4 slots of a set, TPC_cmd = 0.
- For the fifth slot of a set, the UE uses hard decisions on each of the 5 received TPC commands as follows:
- If all 5 hard decisions within a set are 1 then TPC_cmd = 1 in the 5^{th} slot.
    - If all 5 hard decisions within a set are 0 then TPC_cmd = -1 in the 5^{th} slot.
    - Otherwise, TPC_cmd = 0 in the 5^{th} slot.

5.1.2.2.3.2 Combining of TPC commands known to be the same

When a UE is in soft handover, multiple TPC commands may be received in each slot from different cells in the active set. In some cases, the UE has the knowledge that some of the transmitted TPC commands in a slot are the same. This is the case e.g. with receiver diversity or so called softer handover when the UTRAN transmits the same command in all the serving cells the UE is in softer handover with. For these cases, the TPC commands known to be the same ~~are~~ shall be combined into one TPC command, to be processed and further combined with any other TPC commands as described in subclause 5.1.2.2.3.3.

5.1.2.2.3.3 Combining of TPC commands not known to be the same

In general in case of soft handover, the TPC commands transmitted in the same slot in the different cells may be different.

This subclause describes the general scheme for combination of the TPC commands not known to be the same.

The UE shall make a hard decision on the value of each TPC_i, where i = 1, 2, …, N and N is the number of TPC commands not known to be the same, that may be the result of a first phase of combination according to subclause 5.1.2.2.3.2.

The UE shall follow this procedure for 3 consecutive slots, resulting in N hard decisions for each of the 3 slots.

The sets of 3 slots shall be aligned to the frame boundaries and there shall be no overlap between each set of 3 slots.

The value of TPC_cmd is zero for the first 2 slots. After 3 slots have elapsed, the UE shall determine the value of TPC_cmd for the third slot in the following way:

The UE first determines one temporary TPC command, TPC_temp_i, for each of the N sets of 3 TPC commands as follows:

- If all 3 hard decisions within a set are "1", $TPC\_temp_i = 1$
- If all 3 hard decisions within a set are "0", $TPC\_temp_i = -1$
- Otherwise, $TPC\_temp_i = 0$

Finally, the UE derives a combined TPC command for the third slot, TPC_cmd, as a function γ of all the N temporary power control commands TPC_temp_i:

TPC_cmd(3rd slot) = γ (TPC_temp_1, TPC_temp_2, …, TPC_temp_N), where TPC_cmd(3rd slot) can take the values 1, 0 or –1, and γ is given by the following definition:

TPC_cmd is set to 1 if $\frac{1}{N}\sum_{i=1}^{N} TPC\_temp_i > 0.5$.

TPC_cmd is set to -1 if $\frac{1}{N}\sum_{i=1}^{N} TPC\_temp_i < -0.5$.

Otherwise, TPC_cmd is set to 0.

5.1.2.3 Transmit power control in compressed mode

In compressed mode, some frames are compressed and contain transmission gaps. The uplink power control procedure is as specified in clause 5.1.2.2, using the same UTRAN supplied parameters for Power Control Algorithm and step size (Δ_{TPC}), but with additional features which aim to recover as rapidly as possible a signal-to-interference ratio (SIR) close to the target SIR after each transmission gap.

In compressed mode, compressed frames may occur in either the uplink or the downlink or both. In uplink compressed frames, the transmission of uplink DPDCH(s) and DPCCH shall both be stopped during transmission gaps.

Due to the transmission gaps in compressed frames, there may be misssing TPC commands in the downlink. If no downlink TPC command is transmitted, the corresponding TPC_cmd derived by the UE shall be set to zero.

Compressed and non-compressed frames in the uplink DPCCH may have a different number of pilot bits per slot. A change in the transmit power of the uplink DPCCH would be needed in order to compensate for the change in the total pilot energy. Therefore at the start of each slot the UE shall derive the value of a power offset Δ_{PILOT}. If the number of pilot bits per slot in the uplink DPCCH is different from its value in the most recently transmitted slot, Δ_{PILOT} (in dB) shall be given by:

$$\Delta_{PILOT} = 10\log_{10}(N_{pilot,prev}/N_{pilot,curr})$$

where $N_{pilot,prev}$ is the number of pilot bits in the most recently transmitted slot , and $N_{pilot,curr}$ is the number of pilot bits in the current slot. Otherwise, including during transmission gaps in the downlink, Δ_{PILOT} shall be zero.

Unless otherwise specified, in every slot during compressed mode the UE shall adjust the transmit power of the uplink DPCCH with a step of Δ_{DPCCH} (in dB) which is given by:

$$\Delta_{DPCCH} = \Delta_{TPC} \times TPC\_cmd + \Delta_{PILOT}$$

At the start of the first slot after an uplink transmission gap the UE shall apply a change in the transmit power of the uplink DPCCH by an amount Δ_{DPCCH} (in dB), with respect to the uplink DPCCH power in the most recently transmitted uplink slot, where

$$\Delta_{DPCCH} = \Delta_{RESUME} + \Delta_{PILOT}$$

The value of Δ_{RESUME} (in dB) shall be determined by the UE according to the Initial Transmit Power mode (ITP). The ITP is a UE specific parameter, which is signalled by the network with the other compressed mode parameters (see TS 25.215). The different modes are summarised in table 1.

Table 1: Initial Transmit Power modes during compressed mode

Initial Transmit Power mode	Description
0	$\Delta_{RESUME} = \Delta_{TPC} \times TPC\_cmd_{gap}$
1	$\Delta_{RESUME} = \delta_{last}$

In the case of a transmission gap in the uplink, TPC_cmd_{gap} shall be the value of TPC_cmd derived in the first slot of the uplink transmission gap, if a downlink TPC_command is transmitted in that slot. Otherwise TPC_cmd_{gap} shall be zero.

If a downlink TPC command is transmitted in the first slot of a downlink transmission gap, then δ_{last} shall be equal to the value of δ_i computed in the first slot of the downlink transmission gap. Otherwise δ_{last} shall be equal to the value of δ_i computed in the last slot before the downlink transmission gap. δ_i shall be updated according to the following recursive relations, which shall be executed in all slots with simultaneous uplink and downlink DPCCH transmission and in the first slot of a downlink transmission gap if a downlink TPC command is transmitted in that slot:

$$\delta_i = 0.9375\delta_{i-1} - 0.96875 TPC\_cmd_i \Delta_{TPC}$$
$$\delta_{i-1} = \delta_i$$

TPC_cmd_i is the most recent power control command derived by the UE.

δ_{i-1} is the value of δ_i computed for the previous slot. The value of δ_{i-1} shall be initialised to zero when the uplink DPCCH is activated, and also at the end of the first slot after each downlink transmission gap.

After a transmission gap in either the uplink or the downlink, the period following resumption of simultaneous uplink and downlink DPCCH transmission is called a recovery period. RPL is the recovery period length and is expressed as a number of slots. RPL is equal to the minimum value out of the transmission gap length and 7 slots.

During the recovery period, 2 modes are possible for the power control algorithm. The Recovery Period Power control mode (RPP) is signalled with the other compressed mode parameters (see TS 25.215). The different modes are summarised in the table 2:

Table 2: Recovery Period Power control modes during compressed mode

Recovery Period power control mode	Description
0	Transmit power control is applied using the algorithm determined by the value of PCA, as in subclause 5.1.2.2 with step size Δ_{TPC}
1	Transmit power control is applied using algorithm 1 (see subclause 5.1.2.2.2) with step size Δ_{RP-TPC} during RPL slots after each transmission gap.

For RPP mode 0, the step size is not changed during the recovery period and ordinary transmit power control is applied (see subclause 5.1.2.2), using the algorithm for processing TPC commands determined by the value of PCA (see sub clauses 5.1.2.2.2 and 5.1.2.2.3).

For RPP mode 1, during RPL slots after each transmission gap, power control algorithm 1 is applied with a step size Δ_{RP-TPC} instead of Δ_{TPC}, regardless of the value of PCA. The change in uplink DPCCH transmit power (except for the first slot after the transmission gap) is given by

$$\Delta_{DPCCH} = \Delta_{RP-TPC} \times TPC\_cmd + \Delta_{PILOT}$$

Δ_{RP-TPC} is called the recovery power control step size and is expressed in dB. If PCA has the value 1, , Δ_{RP-TPC} is equal to the minimum value of 3 dB and $2\Delta_{TPC}$. If PCA has the value 2 , Δ_{RP-TPC} is equal to 1 dB.

After the recovery period, ordinary transmit power control resumes using the algorithm specified by the value of PCA and with step size Δ_{TPC}.

If PCA has the value 2 , the sets of slots over which the TPC commands are processed shall remain aligned to the frame boundaries in the compressed frame. For both RPP mode 0 and RPP mode 1, if the transmission gap or the recovery period results in any incomplete sets of TPC commands, TPC_cmd shall be zero for those sets of slots which are incomplete.