**3GPP TSG-RAN WG1 Meeting #104bis-e *R1-210xxxx***

**e-Meeting, April 12th-20th, 2021**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
|  |
|  | **38.213** | **CR** | **xxxx** | **rev** | **-** | **Current version:** | **15.13.0** |  |
|  |
| *For* [**HE****LP**](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* <http://www.3gpp.org/Change-Requests>*.* |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  |
| ***Title:***  | Editorial corrections for TS 38.213 |
|  |  |
| ***Source to WG:*** | Samsung |
| ***Source to TSG:*** |  |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2021-04-20 |
|  |  |  |  |  |
| ***Category:*** | A |  | ***Release:*** | Rel-15 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
|  |  |
| ***Reason for change:*** | 1. Correct reference 36.212 to 38.212 in Clause 7.2.1
2. Correct last subscript of in Clause 9.1.2.1
3. Remove description of “or the SpCell for EN-DC operation” in Clause 11.3 as descriptions at the beginning of Clause 11 apply when necessary.
4. Correct to in Clauses 9.1.3.1 and 9.1.3.2
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|  |  |
| ***Summary of change:*** | 1. Change reference 36.212 to 38.212 in Clause 7.2.1
2. Change to in Clause 9.1.2.1.
3. Delete “or the SpCell for EN-DC operation” in Clause 11.3
4. Change to in Clauses 9.1.3.1 and 9.1.3.2
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|  |  |
| ***Consequences if not approved:*** | Inconsistent specifications |
|  |  |
| ***Clauses affected:*** | 7.2.1, 9.1.2.1, 11.3, 9.1.3.1, 9.1.3.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ... |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

### 7.2.1 UE behaviour

<omitted text>

- For the PUCCH power control adjustment state  for active UL BWP  of carrier  of primary cell  and PUCCH transmission occasion 

-  is a TPC command value included in a DCI format scheduling a PDSCH reception for active UL BWP  of carrier  of the primary cell  that the UE detects for PUCCH transmission occasion , or is jointly coded with other TPC commands in a DCI format 2\_2 with CRC scrambled by TPC-PUCCH-RNTI [5, TS 38.212], as described in Clause 11.3

<omitted text>

#### 9.1.2.1 Type-1 HARQ-ACK codebook in physical uplink control channel

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If *maxNrofCodeWordsScheduledByDCI* indicates reception of two transport blocks, when the UE receives a PDSCH with one transport block or a SPS PDSCH release, the HARQ-ACK information is associated with the first transport block and the UE generates a NACK for the second transport block if *harq-ACK-SpatialBundlingPUCCH* is not provided and generates HARQ-ACK information with value of ACK for the second transport block if *harq-ACK-SpatialBundlingPUCCH* is provided.

A UE determines HARQ-ACK information bits, for a total number of  HARQ-ACK information bits, of a HARQ-ACK codebook for transmission in a PUCCH according to the following pseudo-code. In the following pseudo-code, if the UE does not receive a transport block or a CBG, due to the UE not detecting a corresponding DCI format, the UE generates a NACK value for the transport block or the CBG. The cardinality of the set  defines a total number  of occasions for PDSCH reception or SPS PDSCH release for serving cell  corresponding to the HARQ-ACK information bits.

<omitted text>

#### 9.1.3.1 Type-2 HARQ-ACK codebook in physical uplink control channel

<omitted text>

If the UE transmits HARQ-ACK information in a PUCCH in slot and for any PUCCH format, the UE determines the , for a total number of HARQ-ACK information bits, according to the following pseudo-code:

Set – PDCCH with DCI format scheduling PDSCH reception, SPS PDSCH release or SCell dormancy indication monitoring occasion index: lower index corresponds to earlier PDCCH monitoring occasion

Set

Set

Set

Set

Set to the number of serving cells configured by higher layers for the UE

- if, for an active DL BWP of a serving cell, the UE is not provided *coresetPoolIndex* or is provided *coresetPoolIndex* with value 0 for one or more first CORESETs and is provided *coresetPoolIndex* with value 1 for one or more second CORESETs, and is provided *ACKNackFeedbackMode = JointFeedback,* the serving cell is counted two times where the first time corresponds to the first CORESETs and the second time corresponds to the second CORESETs

- if the UE indicates *type2-HARQ-ACK-Codebook*, a serving cell is counted times where is the number of PDSCH receptions that can be scheduled for the serving cell by DCI formats in PDCCH receptions at a same PDCCH monitoring occasion based on the reported value of *type2-HARQ-ACK-Codebook*

Set to the number of PDCCH monitoring occasion(s)

while

Set – serving cell index: lower indexes correspond to lower RRC indexes of corresponding cell

while

if PDCCH monitoring occasion is before an active DL BWP change on serving cell or an active UL BWP change on the PCell and an active DL BWP change is not triggered in PDCCH monitoring occasion

;

else

if there is a PDSCH on serving cell associated with PDCCH in PDCCH monitoring occasion , or there is a PDCCH indicating SPS PDSCH release or SCell dormancy on serving cell

if

end if

if

else

end if

if *harq-ACK-SpatialBundlingPUCCH* is not provided and the UE is configured by *maxNrofCodeWordsScheduledByDCI* with reception of two transport blocks for at least one configured DL BWP of at least one serving cell,

 = HARQ-ACK information bit corresponding to the first transport block of this cell

 = HARQ-ACK information bit corresponding to the second transport block of this cell

elseif *harq-ACK-SpatialBundlingPUCCH* is provided to the UE and is a monitoring occasion for PDCCH with a DCI format that supports PDSCH reception with two transport blocks and the UE is configured by *maxNrofCodeWordsScheduledByDCI* with reception of two transport blocks in at least one configured DL BWP of a serving cell,

 = binary AND operation of the HARQ-ACK information bits corresponding to the first and second transport blocks of this cell

else

 = HARQ-ACK information bit of this cell

end if

end if

end if

end while

end while

if UE does not set and

end if

if

end if

<omitted text>

#### 9.1.3.2 Type-2 HARQ-ACK codebook in physical uplink shared channel

If a UE would multiplex HARQ-ACK information in a PUSCH transmission that is not scheduled by a DCI format or is scheduled by a DCI format that does not include a DAI field, then

- if the UE has not received any PDCCH within the monitoring occasions for DCI formats scheduling PDSCH receptions, or SPS PDSCH release, or DCI format 1\_1 indicating SCell dormancy on any serving cell and the UE does not have HARQ-ACK information in response to a SPS PDSCH reception, or in response to a detection of a DCI format 1\_1 indicating SCell dormancy, to multiplex in the PUSCH, as described in Clause 9.1.3.1, the UE does not multiplex HARQ-ACK information in the PUSCH transmission;

- else, the UE generates the HARQ-ACK codebook as described in Clause 9.1.3.1, except that *harq-ACK-SpatialBundlingPUCCH* is replaced by *harq-ACK-SpatialBundlingPUSCH*.

If a UE multiplexes HARQ-ACK information in a PUSCH transmission that is scheduled by a DCI format that includes a DAI field, the UE generates the HARQ-ACK codebook as described in Clause 9.1.3.1, with the following modifications:

- For the pseudo-code for the HARQ-ACK codebook generation in Clause 9.1.3.1, after the completion of the and loops, the UE sets where is the value of the DAI field according to Table 9.1.3-2

- For the case of first and second HARQ-ACK sub-codebooks, the DCI format includes a first DAI field corresponding to the first HARQ-ACK sub-codebook and a second DAI field corresponding to the second HARQ-ACK sub-codebook

*- harq-ACK-SpatialBundlingPUCCH* is replaced by *harq-ACK-SpatialBundlingPUSCH*.

If a UE is not provided *PDSCH-CodeBlockGroupTransmission* and the UE is scheduled for a PUSCH transmission by DCI format that includes a DAI field with value and the UE has not received any PDCCH within the monitoring occasions for PDCCH with DCI format scheduling PDSCH receptions or SPS PDSCH release or indicating SCell dormancy on any serving cell and the UE does not have HARQ-ACK information in response to a SPS PDSCH reception to multiplex in the PUSCH, as described in Clause 9.1.3.1, the UE does not multiplex HARQ-ACK information in the PUSCH transmission.

If a UE is provided *PDSCH-CodeBlockGroupTransmission* and the UE is scheduled for a PUSCH transmission by DCI format that includes a DAI field with first value or with second value and the UE has not received any PDCCH within the monitoring occasions for PDCCH with DCI format scheduling PDSCH receptions or SPS PDSCH release, or DCI format 1\_1 indicating SCell dormancy, on any serving cell and the UE does not have HARQ-ACK information in response to a SPS PDSCH reception to multiplex in the PUSCH, as described in Clause 9.1.3.1, the UE does not multiplex HARQ-ACK information for the first sub-codebook or for the second sub-codebook, respectively, in the PUSCH transmission.

Table 9.1.3-2: Value of DAI

|  |  |  |
| --- | --- | --- |
| DAIMSB, LSB |   | Number of {serving cell, PDCCH monitoring occasion}-pair(s) in which PDSCH transmission(s) associated with PDCCH or PDCCH indicating SPS PDSCH release or DCI format 1\_1 indicating SCell dormancy is present, denoted as and  |
| 0,0 | 1 |  |
| 0,1 | 2 |  |
| 1,0 | 3 |  |
| 1,1 | 4 |  |

<omitted text>

## 11.3 Group TPC commands for PUCCH/PUSCH

For PUCCH transmission on a serving cell, a UE can be provided

- a TPC-PUCCH-RNTI for a DCI format 2\_2 by *tpc-PUCCH-RNTI*

- a field in DCI format 2\_2 is a TPC command of 2 bits mapping to  values as described in Clause 7.2.1

- an index for a location in DCI format 2\_2 of a first bit for a TPC command field for the PCell, or for a carrier of the PCell by *tpc-IndexPCell*

- an index for a location in DCI format 2\_2 of a first bit for a TPC command field for the PUCCH-SCell or for a carrier for the PUCCH-SCell by *tpc-IndexPUCCH-Scell*

- a mapping for the PUCCH power control adjustment state , by a corresponding {0, 1} value of a closed loop index field that is appended to the TPC command field in DCI format 2\_2 if the UE indicates a capability to support two PUCCH power control adjustment states by *twoDifferentTPC-Loop-PUCCH*, and if the UE is configured for two PUCCH power control adjustment states by *twoPUCCH-PC-AdjustmentStates*

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