# TSG RAN Meeting #28 Quebec, Canada, 1 - 3 June 2005

## **RP-050244**

Title	CRs (Rel-5 & Rel-6) to TS25.211 & TS25.214 for Feature clean up: Removal of SSDT
Source	TSG RAN WG1
Agenda Item	7.7.2

RAN1 Tdoc	Spec	CR	Rev	Rel	Cat	Current Version	Subject	Work item	Remarks
R1-050446	25.211	213	-	Rel-5	С	5.6.0	Feature clean up: Removal of SSDT	TEI5	
R1-050446	25.211	214	-	Rel-6	С	6.4.0	Feature clean up: Removal of SSDT	TEI6	
R1-050446	25.214	383	-	Rel-5	С	5.10.0	Feature clean up: Removal of SSDT	TEI5	
R1-050446	25.214	384	-	Rel-6	С	6.5.0	Feature clean up: Removal of SSDT	TEI6	

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Title:	Ж	Feature C	<mark>Clean L</mark>	Jp: Removal o	f "SSDT"						
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Reason for change: 3	RAN#27 decided with RP-050144 to remove SSDT from Rel5 onwards.
Summary of change: a	f The text related to SSDT is removed from the specification.
	Isolated impact analysis: The CR has isolated impact as if only affects the feature SSDT itself by being removed and other features so that they cannot be used together with SSDT.
Consequences if not approved:	RAN#27 decision would be violated.
Clauses affected: \$	€ 5.2.1
۵ther specs ۶ affected:	XOther core specifications¥25.214, 25.331, 25.423, 25.433, 25.101XTest specifications¥XO&M Specifications
Other comments: 3	e la

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1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.

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# 5.2 Uplink physical channels

## 5.2.1 Dedicated uplink physical channels

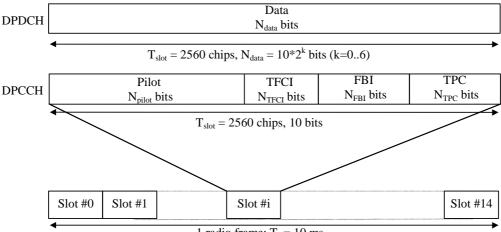
There are three types of uplink dedicated physical channels, the uplink Dedicated Physical Data Channel (uplink DPDCH), the uplink Dedicated Physical Control Channel (uplink DPCCH), and the uplink Dedicated Control Channel associated with HS-DSCH transmission (uplink HS-DPCCH).

The DPDCH, the DPCCH and the HS-DPCCH are I/Q code multiplexed (see [4]).

The uplink DPDCH is used to carry the DCH transport channel. There may be zero, one, or several uplink DPDCHs on each radio link.

The uplink DPCCH is used to carry control information generated at Layer 1. The Layer 1 control information consists of known pilot bits to support channel estimation for coherent detection, transmit power-control (TPC) commands, feedback information (FBI), and an optional transport-format combination indicator (TFCI). The transport-format combination indicator informs the receiver about the instantaneous transport format combination of the transport channels mapped to the simultaneously transmitted uplink DPDCH radio frame. There is one and only one uplink DPCCH on each radio link.

Figure 1 shows the frame structure of the uplink DPDCH and the uplink DPCCH. Each radio frame of length 10 ms is split into 15 slots, each of length  $T_{slot} = 2560$  chips, corresponding to one power-control period. The DPDCH and DPCCH are always frame aligned with each other.



1 radio frame:  $T_f = 10 \text{ ms}$ 

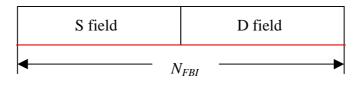
Figure 1: Frame structure for uplink DPDCH/DPCCH

The parameter k in figure 1 determines the number of bits per uplink DPDCH slot. It is related to the spreading factor SF of the DPDCH as  $SF = 256/2^k$ . The DPDCH spreading factor may range from 256 down to 4. The spreading factor of the uplink DPCCH is always equal to 256, i.e. there are 10 bits per uplink DPCCH slot.

The exact number of bits of the uplink DPDCH and the different uplink DPCCH fields ( $N_{pilot}$ ,  $N_{TFCI}$ ,  $N_{FBI}$ , and  $N_{TPC}$ ) is given by table 1 and table 2. What slot format to use is configured by higher layers and can also be reconfigured by higher layers.

The channel bit and symbol rates given in table 1 and table 2 are the rates immediately before spreading. The pilot patterns are given in table 3 and table 4, the TPC bit pattern is given in table 5.

The FBI bits are used to support techniques requiring feedback from the UE to the UTRAN Access Point, <u>including for</u> <u>operation of</u> closed loop mode transmit diversity <del>and site selection diversity transmission (SSDT)</del>. The structure of the FBI field is shown in figure 2 and described below.



### Figure 2: Details of FBI field

The S field is used for SSDT signalling, while the D field is used for closed loop mode transmit diversity signalling. The S field consists of 0, 1 or 2 bits. The D field consists of 0 or 1 bit. The total FBI field size  $N_{FBI}$  is given by table 2. If total FBI field is not filled with S field or D field, FBI field shall be filled with "1". When  $N_{FBI}$  is 2bits, S field is 0bit and D field is 1bit, left side field shall be filled with "1" and right side field shall be D field. The use of the FBI fieldsbits is described in detail in [5].

Slot Format #i	Channel Bit Rate (kbps)	Channel Symbol Rate (ksps)	SF	Bits/ Frame	Bits/ Slot	N <sub>data</sub>
0	15	15	256	150	10	10
1	30	30	128	300	20	20
2	60	60	64	600	40	40
3	120	120	32	1200	80	80
4	240	240	16	2400	160	160
5	480	480	8	4800	320	320
6	960	960	4	9600	640	640

### Table 1: DPDCH fields

There are two types of uplink dedicated physical channels; those that include TFCI (e.g. for several simultaneous services) and those that do not include TFCI (e.g. for fixed-rate services). These types are reflected by the duplicated rows of table 2. It is the UTRAN that determines if a TFCI should be transmitted and it is mandatory for all UEs to support the use of TFCI in the uplink. The mapping of TFCI bits onto slots is described in [3].

In compressed mode, DPCCH slot formats with TFCI fields are changed. There are two possible compressed slot formats for each normal slot format. They are labelled A and B and the selection between them is dependent on the number of slots that are transmitted in each frame in compressed mode.

Slot Form at #i	Channel Bit Rate (kbps)	Channel Symbol Rate (ksps)	SF	Bits/ Frame	Bits/ Slot	N <sub>pilot</sub>	N <sub>TPC</sub>	N <sub>tfci</sub>	N <sub>FBI</sub>	Transmitted slots per radio frame
0	15	15	256	150	10	6	2	2	0	15
0A	15	15	256	150	10	5	2	3	0	10-14
0B	15	15	256	150	10	4	2	4	0	8-9
1	15	15	256	150	10	8	2	0	0	8-15
2	15	15	256	150	10	5	2	2	1	15
2A	15	15	256	150	10	4	2	3	1	10-14
2B	15	15	256	150	10	3	2	4	1	8-9
3	15	15	256	150	10	7	2	0	1	8-15
4	<del>15</del>	<del>15</del>	<del>256</del>	<del>150</del>	<del>10</del>	ф	2	Φ	2	<del>8-15</del>
5	<del>15</del>	<del>15</del>	<del>256</del>	<del>150</del>	<del>10</del>	5	4	2	2	<del>15</del>
<del>5A</del>	<del>15</del>	<del>15</del>	<del>256</del>	<del>150</del>	<del>10</del>	4	4	<del>З</del>	2	<del>10-14</del>
<del>5B</del>	<del>15</del>	<del>15</del>	<del>256</del>	<del>150</del>	<del>10</del>	3	4	4	2	<del>8-9</del>

### **Table 2: DPCCH fields**

The pilot bit patterns are described in table 3 and table 4. The shadowed column part of pilot bit pattern is defined as FSW and FSWs can be used to confirm frame synchronization. (The value of the pilot bit pattern other than FSWs shall be "1".)

	Ν	pilot =	3		N <sub>pilo</sub>	t = 4			Ν	pilot =	5				Npilo	<sub>t</sub> = 6		
Bit #	0	1	2	0	1	2	3	0	1	2	3	4	0	1	2	3	4	5
Slot #0	1	1	1	1	1	1	1	1	1	1	1	0	1	1	1	1	1	0
1	0	0	1	1	0	0	1	0	0	1	1	0	1	0	0	1	1	0
2	0	1	1	1	0	1	1	0	1	1	0	1	1	0	1	1	0	1
3	0	0	1	1	0	0	1	0	0	1	0	0	1	0	0	1	0	0
4	1	0	1	1	1	0	1	1	0	1	0	1	1	1	0	1	0	1
5	1	1	1	1	1	1	1	1	1	1	1	0	1	1	1	1	1	0
6	1	1	1	1	1	1	1	1	1	1	0	0	1	1	1	1	0	0
7	1	0	1	1	1	0	1	1	0	1	0	0	1	1	0	1	0	0
8	0	1	1	1	0	1	1	0	1	1	1	0	1	0	1	1	1	0
9	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
10	0	1	1	1	0	1	1	0	1	1	0	1	1	0	1	1	0	1
11	1	0	1	1	1	0	1	1	0	1	1	1	1	1	0	1	1	1
12	1	0	1	1	1	0	1	1	0	1	0	0	1	1	0	1	0	0
13	0	0	1	1	0	0	1	0	0	1	1	1	1	0	0	1	1	1
14	0	0	1	1	0	0	1	0	0	1	1	1	1	0	0	1	1	1

Table 3: Pilot bit patterns for uplink DPCCH with  $N_{pilot}$  = 3, 4, 5 and 6

5

			N	pilot =	7						Npilo	ot = 8			
Bit #	0	1	2	3	4	5	6	0	1	2	3	4	5	6	7
Slot #0	1	1	1	1	1	0	1	1	1	1	1	1	1	1	0
1	1	0	0	1	1	0	1	1	0	1	0	1	1	1	0
2	1	0	1	1	0	1	1	1	0	1	1	1	0	1	1
3	1	0	0	1	0	0	1	1	0	1	0	1	0	1	0
4	1	1	0	1	0	1	1	1	1	1	0	1	0	1	1
5	1	1	1	1	1	0	1	1	1	1	1	1	1	1	0
6	1	1	1	1	0	0	1	1	1	1	1	1	0	1	0
7	1	1	0	1	0	0	1	1	1	1	0	1	0	1	0
8	1	0	1	1	1	0	1	1	0	1	1	1	1	1	0
9	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
10	1	0	1	1	0	1	1	1	0	1	1	1	0	1	1
11	1	1	0	1	1	1	1	1	1	1	0	1	1	1	1
12	1	1	0	1	0	0	1	1	1	1	0	1	0	1	0
13	1	0	0	1	1	1	1	1	0	1	0	1	1	1	1
14	1	0	0	1	1	1	1	1	0	1	0	1	1	1	1

The relationship between the TPC bit pattern and transmitter power control command is presented in table 5.

Table	5:	TPC	Bit	Pattern
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TPC Bit	Pattern	Transmitter power
N <sub>TPC</sub> = 1	N <sub>TPC</sub> = 2	control command
1	11	1
0	00	0

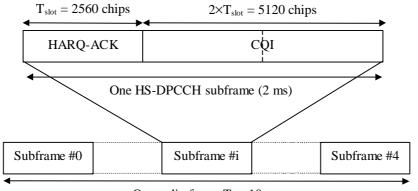
Multi-code operation is possible for the uplink dedicated physical channels. When multi-code transmission is used, several parallel DPDCH are transmitted using different channelization codes, see [4]. However, there is only one DPCCH per radio link.

A period of uplink DPCCH transmission prior to the start of the uplink DPDCH transmission (uplink DPCCH power control preamble) shall be used for initialisation of a DCH. The length of the power control preamble is a higher layer parameter,  $N_{pcp}$ , signalled by the network [5]. The UL DPCCH shall take the same slot format in the power control preamble as afterwards, as given in table 2. When  $N_{pcp} > 0$  the pilot patterns of table 3 and table 4 shall be used. The timing of the power control preamble is described in [5], subclause 4.3.2.3. The TFCI field is filled with "0" bits.

Figure 2A illustrates the frame structure of the HS-DPCCH. The HS-DPCCH carries uplink feedback signalling related to downlink HS-DSCH transmission. The HS-DSCH-related feedback signalling consists of Hybrid-ARQ Acknowledgement (HARQ-ACK) and Channel-Quality Indication (CQI) [3]. Each sub frame of length 2 ms (3\*2560 chips) consists of 3 slots, each of length 2560 chips. The HARQ-ACK is carried in the first slot of the HS-DPCCH sub-

frame. The CQI is carried in the second and third slot of a HS-DPCCH sub-frame. There is atmost one HS-DPCCH on each radio link. The HS-DPCCH can only exist together with an uplink DPCCH. The timing of the HS-DPCCH relative to the uplink DPCCH is shown in section 7.7.

6



One radio frame  $T_f = 10 \text{ ms}$ 

### Figure 2A: Frame structure for uplink HS-DPCCH

The spreading factor of the HS-DPCCH is 256 i.e. there are 10 bits per uplink HS-DPCCH slot. The slot format for uplink HS-DPCCH is defined in Table 5A.

### Table 5A: HS-DPCCH fields

Slot	Format #i	Channel Bit Rate (kbps)	Channel Symbol Rate (ksps)	SF	Bits/ Subframe	Bits/ Slot	Transmitted slots per Subframe
	0	15	15	256	30	10	3

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Reason for change: ೫	8 RAN#27 decided with RP-050144 to remove SSDT from Rel5 onwards.								
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# 5.2 Uplink physical channels

## 5.2.1 Dedicated uplink physical channels

There are five types of uplink dedicated physical channels, the uplink Dedicated Physical Data Channel (uplink DPDCH), the uplink Dedicated Physical Control Channel (uplink DPCCH), the uplink E-DCH Dedicated Physical Data Channel (uplink E-DPDCH), the uplink E-DCH Dedicated Physical Control Channel (uplink E-DPCCH) and the uplink Dedicated Control Channel associated with HS-DSCH transmission (uplink HS-DPCCH).

The DPDCH, the E-DPDCH, the E-DPDCH and the HS-DPCCH are I/Q code multiplexed (see [4]).

## 5.2.1.1 DPCCH and DPDCH

The uplink DPDCH is used to carry the DCH transport channel. There may be zero, one, or several uplink DPDCHs on each radio link.

The uplink DPCCH is used to carry control information generated at Layer 1. The Layer 1 control information consists of known pilot bits to support channel estimation for coherent detection, transmit power-control (TPC) commands, feedback information (FBI), and an optional transport-format combination indicator (TFCI). The transport-format combination indicator informs the receiver about the instantaneous transport format combination of the transport channels mapped to the simultaneously transmitted uplink DPDCH radio frame. There is one and only one uplink DPCCH on each radio link.

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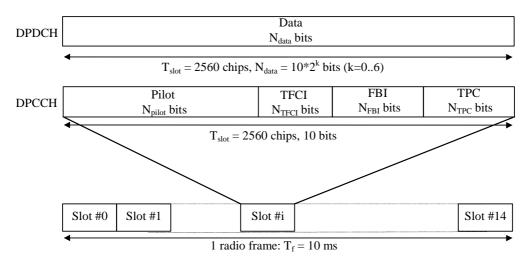
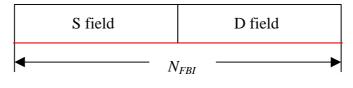


Figure 1: Frame structure for uplink DPDCH/DPCCH

The parameter k in figure 1 determines the number of bits per uplink DPDCH slot. It is related to the spreading factor SF of the DPDCH as  $SF = 256/2^k$ . The DPDCH spreading factor may range from 256 down to 4. The spreading factor of the uplink DPCCH is always equal to 256, i.e. there are 10 bits per uplink DPCCH slot.

The exact number of bits of the uplink DPDCH and the different uplink DPCCH fields ( $N_{pilot}$ ,  $N_{TFCI}$ ,  $N_{FBI}$ , and  $N_{TPC}$ ) is given by table 1 and table 2. What slot format to use is configured by higher layers and can also be reconfigured by higher layers.

The channel bit and symbol rates given in table 1 and table 2 are the rates immediately before spreading. The pilot patterns are given in table 3 and table 4, the TPC bit pattern is given in table 5.



### Figure 2: Details of FBI field

The S field is used for SSDT signalling, while the D field is used for closed loop mode transmit diversity signalling. The S field consists of 0, 1 or 2 bits. The D field consists of 0 or 1 bit. The total FBI field size  $N_{FBI}$  is given by table 2. If total FBI field is not filled with S field or D field, FBI field shall be filled with "1". When  $N_{FBI}$  is 2bits, S field is 0bit and D field is 1bit, left side field shall be filled with "1" and right side field shall be D field. The use of the FBI fieldsbits is described in detail in [5].

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### Table 1: DPDCH fields

There are two types of uplink dedicated physical channels; those that include TFCI (e.g. for several simultaneous services) and those that do not include TFCI (e.g. for fixed-rate services). These types are reflected by the duplicated rows of table 2. It is the UTRAN that determines if a TFCI should be transmitted and it is mandatory for all UEs to support the use of TFCI in the uplink. The mapping of TFCI bits onto slots is described in [3].

In compressed mode, DPCCH slot formats with TFCI fields are changed. There are two possible compressed slot formats for each normal slot format. They are labelled A and B and the selection between them is dependent on the number of slots that are transmitted in each frame in compressed mode.

Slot Form at #i	Channel Bit Rate (kbps)	Channel Symbol Rate (ksps)	SF	Bits/ Frame	Bits/ Slot	N <sub>pilot</sub>	N <sub>TPC</sub>	N <sub>tfci</sub>	N <sub>FBI</sub>	Transmitted slots per radio frame
0	15	15	256	150	10	6	2	2	0	15
0A	15	15	256	150	10	5	2	3	0	10-14
0B	15	15	256	150	10	4	2	4	0	8-9
1	15	15	256	150	10	8	2	0	0	8-15
2	15	15	256	150	10	5	2	2	1	15
2A	15	15	256	150	10	4	2	3	1	10-14
2B	15	15	256	150	10	3	2	4	1	8-9
3	15	15	256	150	10	7	2	0	1	8-15
4	<del>15</del>	<del>15</del>	<del>256</del>	<del>150</del>	<del>10</del>	ф	2	Φ	2	<del>8-15</del>
5	<del>15</del>	<del>15</del>	<del>256</del>	<del>150</del>	<del>10</del>	5	4	2	2	<del>15</del>
<del>5A</del>	<del>15</del>	<del>15</del>	<del>256</del>	<del>150</del>	<del>10</del>	4	4	<del>З</del>	2	<del>10-14</del>
<del>5B</del>	<del>15</del>	<del>15</del>	<del>256</del>	<del>150</del>	<del>10</del>	3	4	4	2	<del>8-9</del>

### **Table 2: DPCCH fields**

The pilot bit patterns are described in table 3 and table 4. The shadowed column part of pilot bit pattern is defined as FSW and FSWs can be used to confirm frame synchronization. (The value of the pilot bit pattern other than FSWs shall be "1".)

	Ν	pilot =	3		N <sub>pilo</sub>	ot = 4			Ν	pilot =	5				Npilo	<sub>t</sub> = 6		
Bit #	0	1	2	0	1	2	3	0	1	2	3	4	0	1	2	3	4	5
Slot #0	1	1	1	1	1	1	1	1	1	1	1	0	1	1	1	1	1	0
1	0	0	1	1	0	0	1	0	0	1	1	0	1	0	0	1	1	0
2	0	1	1	1	0	1	1	0	1	1	0	1	1	0	1	1	0	1
3	0	0	1	1	0	0	1	0	0	1	0	0	1	0	0	1	0	0
4	1	0	1	1	1	0	1	1	0	1	0	1	1	1	0	1	0	1
5	1	1	1	1	1	1	1	1	1	1	1	0	1	1	1	1	1	0
6	1	1	1	1	1	1	1	1	1	1	0	0	1	1	1	1	0	0
7	1	0	1	1	1	0	1	1	0	1	0	0	1	1	0	1	0	0
8	0	1	1	1	0	1	1	0	1	1	1	0	1	0	1	1	1	0
9	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
10	0	1	1	1	0	1	1	0	1	1	0	1	1	0	1	1	0	1
11	1	0	1	1	1	0	1	1	0	1	1	1	1	1	0	1	1	1
12	1	0	1	1	1	0	1	1	0	1	0	0	1	1	0	1	0	0
13	0	0	1	1	0	0	1	0	0	1	1	1	1	0	0	1	1	1
14	0	0	1	1	0	0	1	0	0	1	1	1	1	0	0	1	1	1

Table 3: Pilot bit patterns for uplink DPCCH with  $N_{pilot}$  = 3, 4, 5 and 6

Table 4: Pilot bit patterns for uplink DPCCH with  $N_{\text{pilot}}$  = 7 and 8

			N	pilot =	7			N <sub>pilot</sub> = 8							
Bit #	0	1	2	3	4	5	6	0	1	2	3	4	5	6	7
Slot #0	1	1	1	1	1	0	1	1	1	1	1	1	1	1	0
1	1	0	0	1	1	0	1	1	0	1	0	1	1	1	0
2	1	0	1	1	0	1	1	1	0	1	1	1	0	1	1
3	1	0	0	1	0	0	1	1	0	1	0	1	0	1	0
4	1	1	0	1	0	1	1	1	1	1	0	1	0	1	1
5	1	1	1	1	1	0	1	1	1	1	1	1	1	1	0
6	1	1	1	1	0	0	1	1	1	1	1	1	0	1	0
7	1	1	0	1	0	0	1	1	1	1	0	1	0	1	0
8	1	0	1	1	1	0	1	1	0	1	1	1	1	1	0
9	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
10	1	0	1	1	0	1	1	1	0	1	1	1	0	1	1
11	1	1	0	1	1	1	1	1	1	1	0	1	1	1	1
12	1	1	0	1	0	0	1	1	1	1	0	1	0	1	0
13	1	0	0	1	1	1	1	1	0	1	0	1	1	1	1
14	1	0	0	1	1	1	1	1	0	1	0	1	1	1	1

The relationship between the TPC bit pattern and transmitter power control command is presented in table 5.

TPC Bit	Pattern	Transmitter power					
N <sub>TPC</sub> = 1	N <sub>TPC</sub> = 2	control command					
1	11	1					
0	00	0					

Multi-code operation is possible for the uplink dedicated physical channels. When multi-code transmission is used, several parallel DPDCH are transmitted using different channelization codes, see [4]. However, there is only one DPCCH per radio link.

A period of uplink DPCCH transmission prior to the start of the uplink DPDCH transmission (uplink DPCCH power control preamble) shall be used for initialisation of a DCH. The length of the power control preamble is a higher layer parameter,  $N_{pcp}$ , signalled by the network [5]. The UL DPCCH shall take the same slot format in the power control preamble as afterwards, as given in table 2. When  $N_{pcp} > 0$  the pilot patterns of table 3 and table 4 shall be used. The timing of the power control preamble is described in [5], subclause 4.3.2.3. The TFCI field is filled with "0" bits.

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Reason for change: ೫	RAN#27 decided with RP-050144 to remove SSDT from Rel5 onwards.							
Summary of change: # The text related to SSDT is removed from the specification.								
	<b>Isolated impact analysis:</b> The CR has isolated impact as if only affects the feature SSDT itself by being removed and other features so that they cannot be used together with SSDT.							
Consequences if # not approved:	RAN#27 decision would be violated.							
Clauses affected: #	3, 5.1.2.2.1, 5.2.1.1, 5.2.1.4, 5.2.2, 5.2.3.1, 5.2.3.2, 7, 7.1, B.2							
	YN							
Other specs अ affected:	XOther core specifications# 25.211, 25.331, 25.423, 25.433, 25.101XO&M Specifications							
Other comments: ೫								

### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <u>http://www.3gpp.org/specs/CR.htm</u>. Below is a brief summary:

1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

ACK	Acknowledgement
AICH	Acquisition Indicator Channel
ASC	Access Service Class
AP	Access Preamble
BCH	Broadcast Channel
CA	Channel Assignment
CCC	CPCH Control Command
CCPCH	Common Control Physical Channel
CCTrCH	Coded Composite Transport Channel
CD	Collision Detection
CPCH	Common Packet Channel
CPICH	Common Pilot Channel
CQI	Channel Quality Indicator
CRC	Cyclic Redundancy Check
CSICH	CPCH Status Indicator Channel
DCH	Dedicated Channel
DL	Downlink
DPCCH	Dedicated Physical Control Channel
DPCH	Dedicated Physical Channel
DPDCH	Dedicated Physical Data Channel
DTX	Discontinuous Transmission
HSDPA	High Speed Downlink Packet Access
HS-DSCH	High Speed Downlink Shared Channel
HS-PDSCH	High Speed Physical Downlink Shared Channel
HS-SCCH	High Speed Physical Downlink Shared Control Channel
NACK	Negative Acknowledgement
P-CCPCH	Primary Common Control Physical Channel
PCA	Power Control Algorithm
PCPCH	Physical Common Packet Channel
PDSCH	Physical Downlink Shared Channel
PICH	Paging Indicator Channel
PRACH	Physical Random Access Channel
RACH	Random Access Channel
RL	Radio Link
RPL	Recovery Period Length
RSCP	Received Signal Code Power
S-CCPCH	Secondary Common Control Physical Channel
SCH	Synchronisation Channel
SFN	System Frame Number
SIR	Signal-to-Interference Ratio
SNIR	Signal to Noise Interference Ratio
SSDT	Site Selection Diversity TPC
TFC	Transport Format Combination
TPC	Transmit Power Control
TrCH	Transport Channel
TTI	Transmission Time Interval
UE	User Equipment
UL	Uplink
UTRAN	UMTS Terrestrial Radio Access Network

4

# 5.1.2 DPCCH/DPDCH

## 5.1.2.1 General

The initial uplink DPCCH transmit power is set by higher layers. Subsequently the uplink transmit power control procedure simultaneously controls the power of a DPCCH and its corresponding DPDCHs (if present). The relative transmit power offset between DPCCH and DPDCHs is determined by the network and is computed according to subclause 5.1.2.5 using the gain factors signalled to the UE using higher layer signalling.

The operation of the inner power control loop, described in sub clause 5.1.2.2, adjusts the power of the DPCCH and DPDCHs by the same amount, provided there are no changes in gain factors. Additional adjustments to the power of the DPCCH associated with the use of compressed mode are described in sub clause 5.1.2.3.

Any change in the uplink DPCCH transmit power shall take place immediately before the start of the pilot field on the DPCCH. The change in DPCCH power with respect to its previous value is derived by the UE and is denoted by  $\Delta_{DPCCH}$  (in dB). The previous value of DPCCH power shall be that used in the previous slot, except in the event of an interruption in transmission due to the use of compressed mode, when the previous value shall be that used in the last slot before the transmission gap.

During the operation of the uplink power control procedure the UE transmit power shall not exceed a maximum allowed value which is the lower out of the maximum output power of the terminal power class and a value which may be set by higher layer signalling.

Uplink power control shall be performed while the UE transmit power is below the maximum allowed output power.

The provisions for power control at the maximum allowed value and below the required minimum output power (as defined in [7]) are described in sub-clause 5.1.2.6.

## 5.1.2.2 Ordinary transmit power control

### 5.1.2.2.1 General

The uplink inner-loop power control adjusts the UE transmit power in order to keep the received uplink signal-to-interference ratio (SIR) at a given SIR target, SIR<sub>target</sub>.

The serving cells (cells in the active set) should estimate signal-to-interference ratio  $SIR_{est}$  of the received uplink DPCH. The serving cells should then generate TPC commands and transmit the commands once per slot according to the following rule: if  $SIR_{est} > SIR_{target}$  then the TPC command to transmit is "0", while if  $SIR_{est} < SIR_{target}$  then the TPC command to transmit is "1".

Upon reception of one or more TPC commands in a slot, the UE shall derive a single TPC command, TPC\_cmd, for each slot, combining multiple TPC commands if more than one is received in a slot. This is also valid when SSDT-transmission is used in the downlink. Two algorithms shall be supported by the UE for deriving a TPC\_cmd. Which of these two algorithms is used is determined by a UE-specific higher-layer parameter, "PowerControlAlgorithm", and is under the control of the UTRAN. If "PowerControlAlgorithm" indicates "algorithm1", then the layer 1 parameter PCA shall take the value 1 and if "PowerControlAlgorithm" indicates "algorithm2" then PCA shall take the value 2.

If PCA has the value 1, Algorithm 1, described in subclause 5.1.2.2.2, shall be used for processing TPC commands.

If PCA has the value 2, Algorithm 2, described in subclause 5.1.2.2.3, shall be used for processing TPC commands.

The step size  $\Delta_{\text{TPC}}$  is a layer 1 parameter which is derived from the UE-specific higher-layer parameter "TPC-StepSize" which is under the control of the UTRAN. If "TPC-StepSize" has the value "dB1", then the layer 1 parameter  $\Delta_{\text{TPC}}$  shall take the value 1 dB and if "TPC-StepSize" has the value "dB2", then  $\Delta_{\text{TPC}}$  shall take the value 2 dB. The parameter "TPC-StepSize" only applies to Algorithm 1 as stated in [5]. For Algorithm 2  $\Delta_{\text{TPC}}$  shall always take the value 1 dB.

After deriving of the combined TPC command TPC\_cmd using one of the two supported algorithms, the UE shall adjust the transmit power of the uplink DPCCH with a step of  $\Delta_{DPCCH}$  (in dB) which is given by:

 $\Delta_{\text{DPCCH}} = \quad \Delta_{\text{TPC}} \times \text{TPC}\_\text{cmd}.$ 

## 5.1.2.2.1.1 Out of synchronisation handling

After 160 ms after physical channel establishment (defined in [5]), the UE shall control its transmitter according to a downlink DPCCH quality criterion as follows:

- The UE shall shut its transmitter off when the UE estimates the DPCCH quality over the last 160 ms period to be worse than a threshold  $Q_{out}$ .  $Q_{out}$  is defined implicitly by the relevant tests in [7].
- The UE can turn its transmitter on again when the UE estimates the DPCCH quality over the last 160 ms period to be better than a threshold Q<sub>in</sub>. Q<sub>in</sub> is defined implicitly by the relevant tests in [7]. When transmission is resumed, the power of the DPCCH shall be the same as when the UE transmitter was shut off.

# 5.2.1 DPCCH/DPDCH

## 5.2.1.1 General

The downlink transmit power control procedure controls simultaneously the power of a DPCCH and its corresponding DPDCHs. The power control loop adjusts the power of the DPCCH and DPDCHs with the same amount, i.e. the relative power difference between the DPCCH and DPDCHs is not changed.

The relative transmit power offset between DPCCH fields and DPDCHs is determined by the network The TFCI, TPC and pilot fields of the DPCCH are offset relative to the DPDCHs power by PO1, PO2 and PO3 dB respectively. The power offsets may vary in time. UTRAN may use the SSDT operation as specified in section 5.2.2 to determine what power offset to use for TFCI in hard split mode with respect to the associated downlink DPDCH. The method for controlling the power offsets within UTRAN is specified in [6].

## 5.2.1.4 Site selection diversity transmit power control

### 5.2.1.4.1 General

Site selection diversity transmit power control (SSDT) is another macro diversity method in soft handover mode. Thismethod is optional in UTRAN.

Operation is summarised as follows. The UE selects one of the cells from its active set to be 'primary', all other cells are classed as 'non primary'. The main objective is to transmit on the downlink from the primary cell, thus reducing the interference caused by multiple transmissions in a soft handover mode. A second objective is to achieve fast site selection without network intervention, thus maintaining the advantage of the soft handover. In order to select a primary cell, each cell is assigned a temporary identification (ID) and UE periodically informs a primary cell ID to the connecting cells. The non primary cells selected by UE switch off the transmission power. The primary cell ID is delivered by UE to the active cells via uplink FBI field. SSDT activation, SSDT termination and ID assignment are all carried out by higher layer signalling.

SSDT is only supported when the P CPICH is used as the downlink phase reference and closed loop mode transmitdiversity is not used simultaneously. Simultaneous operation of SSDT and HS SCCH reception is not supported.

UTRAN may also command UE to use SSDT signalling in the uplink although cells would transmit the downlink aswithout SSDT active. In case SSDT is used in the uplink direction only, the processing in the UE for the radio linksreceived in the downlink is as with macro diversity in non SSDT case. The downlink operation mode for SSDT is set by higher layers. UTRAN may use the SSDT information for the PDSCH power control as specified in section 5.2.2 and for the TFCI power control in hard split mode. Simultaneous operation of SSDT signalling in the uplink and HS SCCHreception is not supported.

NOTE: This feature of SSDT limited to uplink only applies to terminals that are DSCH capable.

### 5.2.1.4.1.1 Definition of temporary cell identification

Each cell is given a temporary ID during SSDT and the ID is utilised as site selection signal. The ID is given a binarybit sequence. There are three different lengths of coded ID available denoted as "long", "medium" and "short". The network decides which length of coded ID is used. Settings of ID codes for 1 bit and 2 bit FBI are exhibited in table 3and table 4, respectively.

	ID code										
ID label	<del>"long"</del>	<del>"medium"</del>	<del>"short"</del>								
a	000000000000000000000000000000000000000	<del>(0)000000</del>	00000								
<del>b</del>	<del>101010101010101</del>	<del>(0)1010101</del>	<del>01001</del>								
e	011001100110011	<del>(0)0110011</del>	<del>11011</del>								
đ	<del>110011001100110</del>	<del>(0)1100110</del>	<del>10010</del>								
0	000111100001111	<del>(0)0001111</del>	<del>00111</del>								
f	<del>101101001011010</del>	<del>(0)1011010</del>	<del>01110</del>								
<del>g</del>	011110000111100	<del>(0)0111100</del>	<del>11100</del>								
h	<del>110100101101001</del>	<del>(0)1101001</del>	<del>10101</del>								

### Table 3: Settings of ID codes for 1 bit FBI

	ID code		
	(Column and Row denote slot position and FBI-bit position.)		
ID label	<del>"long"</del>	<del>"medium"</del>	<del>"short"</del>
a	<del>(0)000000</del>	<del>(0)000</del>	000
	0000000	<del>(0)000</del>	000
<del>b</del>	00000000	<del>(0)000</del>	<del>000</del>
	(1)1111111	(1)111	111
e	<del>(0)1010101</del>	<del>(0)101</del>	<del>101</del>
	<del>(0)1010101</del>	(0)101	<del>101</del>
đ	(0)1010101	(0)101	<del>101</del>
	(1)0101010	(1)010	<del>010</del>
Ð	(0)0110011	(0)011	011
	(0)0110011	<del>(0)011</del>	<del>011</del>
f	<del>(0)0110011</del>	<del>(0)011</del>	<del>011</del>
	(1)1001100	(1)100	<del>100</del>
<del>g</del>	(0)1100110	<del>(0)110</del>	<del>110</del>
C C	<del>(0)1100110</del>	<del>(0)</del> 110	<del>110</del>
h	(0)1100110	<del>(0)110</del>	<del>110</del>
	<del>(1)0011001</del>	(1)001	<del>001</del>

### Table 4: Settings of ID codes for 2 bit FBI

The ID code bits shown in table 3 and table 4 are transmitted from left to right. In table 4, the first row gives the first FBI bit in each slot, the second row gives the 2nd FBI bit in each slot. The ID code(s) are transmitted aligned to the radio frame structure (i.e. ID codes shall be terminated within a frame). If FBI space for sending the last ID code within a frame cannot be obtained, the first bit(s) from that ID code are punctured. The bit(s) to be punctured are shown in brackets in table 3 and table 4.

The alignment of the ID codes to the radio frame structure is not affected by transmission gaps resulting from uplinkcompressed mode.

### 5.2.1.4.2 TPC procedure in UE

The UE shall generate TPC commands to control the network transmit power and send them in the TPC field of the uplink DPCCH based on the downlink signals from the primary cell as selected by the UE. An example on how to derive the TPC commands is given in Annex B.2.

### 5.2.1.4.3 Selection of primary cell

The UE selects a primary cell periodically by measuring the RSCP of P CPICHs transmitted by the active cells. The cell with the highest P CPICH RSCP is detected as a primary cell.

### 5.2.1.4.4 Delivery of primary cell ID

The UE periodically sends the ID code of the primary cell via portion of the uplink FBI field assigned for SSDT use (FBI S field). A cell recognises its state as non primary if the following conditions are fulfilled simultaneously:

- The received ID code does not match with the own ID code.

- The received uplink signal quality satisfies the following:

 $\frac{SIR_{estIDcode} > SIR_{target} + Qth \qquad [dB]}{}$ 

Where SIR<sub>estIDcode</sub> is the average of estimated signal to interference ratio of the received uplink DPCH-SIR<sub>est</sub> described in subclause 5.1.2.2.1, over the uplink slots containing the received cell ID code; SIR<sub>target</sub> is the target SIR of the uplink, described in subclause 5.1.2.2.1; and Qth is uplink quality threshold which corresponds to the uplink DPCH quality level relative to the SIR<sub>target</sub>. Qth parameter is signalled via higher layer signalling.

If uplink compressed mode is used, and less than LN<sub>ID</sub>/3 bits are lost from the ID code (as a result of uplink compressed mode), where N<sub>ID</sub> is the number of bits in the ID code (after puncturing according to clause 5.2.1.4.1.1, if puncturing has been done).

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Otherwise the cell recognises its state as primary.

The state of the cells (primary or non primary) in the active set is updated synchronously. If a cell receives the last portion of the coded ID in uplink slot j, the state of cell is updated in downlink slot ( $j+1+T_{os}$ ) mod 15, where  $T_{os}$  is defined as a constant of 2 time slots. The updating of the cell state is not influenced by the operation of downlink compressed mode.

At the UE, the primary ID code to be sent to the cells is segmented into a number of portions. These portions are distributed in the uplink FBI S field. The cell in SSDT collects the distributed portions of the primary ID code and then detects the transmitted ID. The period of the primary cell update depends on the settings of the code length and the number of FBI bits assigned for SSDT use as shown in table 5. However, SSDT is only applicable with DPC\_MODE = 0.

#### Table 5: Period of primary cell update

	The number of FBI bits per slot assigned for SSDT		
<del>code length</del>	4 2		
<del>"long"</del>	1 update per frame	2 updates per frame	
<del>"medium"</del>	2 updates per frame	4 updates per frame	
<del>"short"</del>	3 updates per frame	5 updates per frame	

### 5.2.1.4.5 TPC procedure in the network

In SSDT, a non primary cell can switch off its DPDCH output (i.e. no transmissions).

The cell manages two downlink transmission power levels, P1, and P2. Power level P1 is used for downlink DPCCHtransmission power level and this level is updated in the same way with the downlink DPCCH power adjustmentspecified in 5.2.1.2.2 (for normal mode) and 5.2.1.3 (for compressed mode) regardless of the selected state (primary or non primary). The actual transmission power of TFCI, TPC and pilot fields of DPCCH is set by adding P1 and the offsets PO1, PO2 and PO3, respectively, as specified in 5.2.1.1. P2 is used for downlink DPDCH transmission powerlevel and this level is set to P1 if the cell is selected as primary, otherwise P2 is switched off. The cell updates P1 first and P2 next, and then the two power settings P1 and P2 are maintained within the power control dynamic range. Table 6 summarizes the updating method of P1 and P2.

#### Table 6: Updating of P1 and P2

State of cell	P1 (DPCCH)	P2 (DPDCH)
non primary	Updated in the same- way with the downlink. DPCCH power- adjustment specified- in 5.2.1.2.2 and- 5.2.1.3	Switched off
<del>primary</del>		<del>= P1</del>

## 5.2.2 PDSCH

The PDSCH power control can be based on any of the following solutions:

- Inner-loop power control based on the power control commands sent by the UE on the uplink DPCCH.
- Other power control procedures applied by the network.

UTRAN may use the SSDT signalling to determine what power offset to use for PDSCH with respect to the associated downlink DCH when more than one cell may be in the active set. The support for a combination where SSDT signaling is used in the uplink, but — SSDT is not necessarily used in the downlink, is required only from the UEs that support the use of DSCH.

If the downlink direction uses SSDT for the DCH transmission, then the TPC procedure in the UE to generate TPC commands to control the network transmit power is as specified in 5.2.1.4.2.

If the downlink transmission does not use SSDT operation, then the TPC procedure in the UE to generate TPC commands to control the network transmit power is as specified in 5.2.1.2.1.

The PDSCH power offset to be used with respect to the associated DCH depends on whether the cell transmitting-PDSCH is determined to be a primary one or not. Note that the condition on the received uplink signal quality insubclause 5.2.1.4.4 is not used for determining whether the cell status for PDSCH power control is primary or not.

The SSDT commands sent by the UE are averaged in UTRAN side over one or more frames. The averaging windowlength parameter as the number of frames to average over, *Enhanced DSCH PC Wnd*, and the parameter for the required number of received primary SSDT commands, *Enhanced DSCH PC Counter*, during the averaging window fordeclaring primary status for a cell are given by UTRAN [6].

If the number of primary ID codes in the uplink received during the averaging window is less than the parameter *Enhanced DSCH PC Counter*, then a cell shall consider itself as non-primary and uses the power offset given from UTRAN to the cell with the data for the PDSCH.

If the number of primary ID codes in the uplink received during the averaging window is equal or more than the parameter *Enhanced DSCH PC Counter* defines, the cell shall use the power control parameterisation for the primary case. When the cell considers itself as primary it uses both the power offset for the PDSCH frame for the given UE and the *Enhanced DSCH Power Offset* parameter given by the UTRAN for the primary case.

The cell status (primary/non primary) obtained from the rules above may differ from the cell status for SSDTtransmission in the downlink depending on the values given by UTRAN for the parameters for averaging windowlength and the required number of received primary SSDT commands for cell status determination.

## 5.2.3 DL-DPCCH for CPCH

## 5.2.3.1 UE behaviour

The UE shall generate TPC commands to control the network transmit power and send them in the TPC field of the uplink DPCCH. The UE shall send a unique TPC command in each slot as in the DPCCH/DPDCH case for DPC\_MODE=0.

The TPC commands setting may be based on the example provided in Annex B.2 for the DPCCH/DPDCH. However in the DL-DPCCH for CPCH case, the setting of the SIR\_target by the outer loop power control is based on a DL-DPCCH for CPCH BER target provided by the UTRAN rather than a TrCH BLER. Also there is no soft handover, neither-SSDT, used in combination with the CPCH.

The UE shall not make any assumptions on how the downlink power is set by UTRAN, in order to not prohibit usage of other UTRAN power control algorithms than what is defined in sub-clause 5.2.1.2.2.

## 5.2.3.2 UTRAN behaviour

The relative transmit power offsets between the different DPCCH fields (TPC and pilot) and CCC field is determined by the network. The power of CCC field in DL DPCCH for CPCH is the same as the power of the pilot field.

The TPC field of the DPCCH is offset relative to the pilot by PO2dB. This power offsets may vary in time. The method for controlling the power offset within UTRAN is specified in [6]

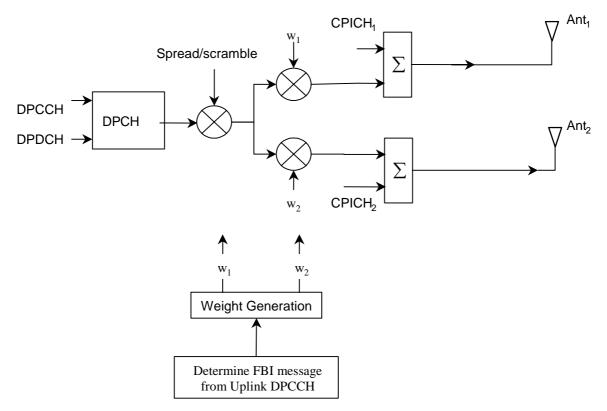
The UTRAN behaviour for the power control is left open to the implementation. As an example it may be based on the UTRAN behaviour for the DPCCH/DPDCH as specified in sub-clause 5.2.1.2.2, with the following exceptions : DPC\_MODE should be set to 0 as there is no DPC\_MODE parameter for CPCH and there is no support of Site selection diversity power control for the DL-DPCCH for CPCH as Soft handover is not applicable to the CPCH.

# 7 Closed loop mode transmit diversity

The general transmitter structure to support closed loop mode transmit diversity for DPCH transmission is shown in figure 3. Channel coding, interleaving and spreading are done as in non-diversity mode. The spread complex valued signal is fed to both TX antenna branches, and weighted with antenna specific weight factors  $w_1$  and  $w_2$ . The weight factors are complex valued signals (i.e.,  $w_i = a_i + jb_i$ ), in general.

The weight factors (actually the corresponding phase adjustments in closed loop mode 1 and phase/amplitude adjustments in closed loop mode 2) are determined by the UE, and signalled to the UTRAN access point (=cell transceiver) using the D sub field of the FBI field of uplink DPCCH.

For the closed loop mode 1 different orthogonal dedicated pilot symbols in the DPCCH are sent on the 2 different antennas. For closed loop mode 2 the same dedicated pilot symbols in the DPCCH are sent on both antennas.



# Figure 3: The generic downlink transmitter structure to support closed loop mode transmit diversity for DPCH transmission

There are two closed loop modes whose characteristics are summarised in the table 8. The use of the modes is controlled via higher layer signalling.

Table 8: Summary of number of feedback information bits per slot, N<sub>FBD</sub>, feedback command length in slots, N<sub>w</sub>, feedback command rate, feedback bit rate, number of phase bits, N<sub>ph</sub>, per signalling word, number of amplitude bits, N<sub>po</sub>, per signalling word and amount of constellation rotation at UE for the two closed loop modes

Closed loop mode	N <sub>FBD</sub>	Nw	Update rate	Feedback bit rate	N <sub>po</sub>	N <sub>ph</sub>	Constellatio n rotation
1	1	1	1500 Hz	1500 bps	0	1	π/2
2	1	4	1500 Hz	1500 bps	1	3	N/A

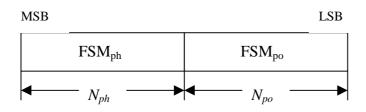
### 13

## 7.1 Determination of feedback information

The UE uses the CPICH to separately estimate the channels seen from each antenna.

Once every slot, the UE computes the phase adjustment,  $\phi$ , and for mode 2 the amplitude adjustment that should be applied at the UTRAN access point to maximise the UE received power. During soft handover, the UE computes the phase adjustment and for mode 2 the amplitude adjustment to maximise the total UE received power from the cells in the active set. In the case that a PDSCH or HS-PDSCH is associated with a DPCH for which closed-loop transmit diversity is applied, the antenna weights applied to the PDSCH and HS-PDSCH, respectively, are the same as the antenna weights applied to the associated DPCH. In case a PDSCH or HS-PDSCH is associated with a DPCH during soft handover, the UE may emphasize the radio link transmitted from DSCH or HS-DSCH serving cell, respectively, when calculating the antenna weights. An example of how the computations can be accomplished is given in Annex A.2.

The UE feeds back to the UTRAN access point the information on which phase/power settings to use. Feedback Signalling Message (FSM) bits are transmitted in the portion of FBI field of uplink DPCCH slot(s) assigned to closedloop mode transmit diversity, the FBI D field (see [1]). Each message is of length  $N_W = N_{po} + N_{ph}$  bits and its format is shown in the figure 4. The transmission order of bits is from MSB to LSB, i.e. MSB is transmitted first. FSM<sub>po</sub> and FSM<sub>ph</sub> subfields are used to transmit the power and phase settings, respectively.



# Figure 4: Format of feedback signalling message. FSM<sub>po</sub> transmits the power setting and FSM<sub>ph</sub> the phase setting

The adjustments are made by the UTRAN Access Point at the beginning of the downlink DPCCH pilot field. The downlink slot in which the adjustment is done is signalled to L1 of UE by higher layers. Two possibilities exist:

- 1) When feedback command is transmitted in uplink slot *i*, which is transmitted approximately 1024 chips in offset from the received downlink slot *j*, the adjustment is done at the beginning of the pilot field of the downlink slot  $(j+1) \mod 15$ .
- 2) When feedback command is transmitted in uplink slot *i*, which is transmitted approximately 1024 chips in offset from the received downlink slot *j*, the adjustment is done at the beginning of the pilot field of the downlink slot  $(j+2) \mod 15$ .

Thus, adjustment timing at UTRAN Access Point is either according to 1) or 2) as controlled by the higher layers.

In case of soft handover, Layer 1 shall support different adjustment timing values for different radio links in the same active set.

The timing of the weight adjustment of the PDSCH is such that the PDSCH weight adjustment is done at the PDSCH slot border, N chips after the adjustment of the associated DPCH, where  $0 \le N < 2560$ .

The timing of the weight adjustment of the HS-PDSCH is such that the HS-PDSCH weight adjustment is done at the HS-PDSCH slot border, respectively, M chips after the adjustment of the associated DPCH, where  $0 \le M < 2560$ .

# B.2 Example of implementation in the UE

The downlink inner-loop power control adjusts the network transmit power in order to keep the received downlink SIR at a given SIR target, SIR<sub>target</sub>. A higher layer outer loop adjusts SIR<sub>target</sub> independently for each connection.

The UE should estimate the received downlink DPCCH/DPDCH power of the connection to be power controlled. Simultaneously, the UE should estimate the received interference and calculate the signal-to-interference ratio, SIR<sub>est</sub>. SIR<sub>est</sub> can be calculated as RSCP/ISCP, where RSCP refers to the received signal code power on one code and ISCP refers to the non-orthogonal interference signal code power of the received signal on one code. Note that due to the specific SIR target offsets described in [5] that can be applied during compressed frames, the spreading factor shall not be considered in the calculation of SIR<sub>est</sub>.

The obtained SIR estimate  $SIR_{est}$  is then used by the UE to generate TPC commands according to the following rule: if  $SIR_{est} > SIR_{target}$  then the TPC command to transmit is "0", requesting a transmit power decrease, while if  $SIR_{est} < SIR_{target}$  then the TPC command to transmit is "1", requesting a transmit power increase.

When the UE is in soft handover-and SSDT is not activated, the UE should estimate  $SIR_{est}$  from the downlink signals of all cells in the active set.

When SSDT is activated, the UE should estimate SIR<sub>est</sub> from the downlink signals of the primary cell as described in 5.2.1.4.2. If the state of the cells (primary or non primary) in the active set is changed and the UE sends the last portion of the coded ID in uplink slot j, the UE should change the basis for the estimation of SIR<sub>est</sub> at the beginning of downlink slot  $(j+1+T_{es}) \mod 15$ , where  $T_{es}$  is defined as a constant of 2 time slots.

	CHANGE REQUE	CR-Form-v7.1
¥	25.214 CR 384 #rev -	# Current version: 6.5.0
For <mark>HELP</mark> on	using this form, see bottom of this page or look	at the pop-up text over the X symbols.
Proposed chang	e affects: UICC apps೫ ME X Rad	dio Access Network X Core Network
Title:	Feature Clean Up: Removal of "SSDT"	
Source:	RAN WG1	
Work item code:	f TEI6	<b>Date:</b>
Category:	<ul> <li>C</li> <li>Use <u>one</u> of the following categories:</li> <li>F (correction)</li> <li>A (corresponds to a correction in an earlier release)</li> <li>B (addition of feature),</li> <li>C (functional modification of feature)</li> <li>D (editorial modification)</li> <li>Detailed explanations of the above categories can be found in 3GPP <u>TR 21.900</u>.</li> </ul>	Release: % Rel-6 Use <u>one</u> of the following releases: Ph2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6) Rel-7 (Release 7)

Reason for change: ೫	RAN#27 decided with RP-050144 to remove SSDT from Rel5 onwards.		
Summary of change: ೫	The text related to SSDT is removed from the specification.		
	Isolated impact analysis:		
	The CR has isolated impact as if only affects the feature SSDT itself by being		
	removed and other features so that they cannot be used together with SSDT.		
Concervences if	DAN#27 decision would be violated		
Consequences if # not approved:	RAN#27 decision would be violated.		
Clauses affected: #	3.2, 5.1.2.2.1, 5.2.1.1, 5.2.1.4, 5.2.2, 5.2.3.1, 5.2.3.2, 7, 7.1, B.2		
	YN		
Other specs अ	X Other core specifications <b>#</b> 25.211, 25.331, 25.423, 25.433, 25.101		
affected:	X Test specifications		
	X O&M Specifications		
Other comments: ೫			

### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <u>http://www.3gpp.org/specs/CR.htm</u>. Below is a brief summary:

1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 3 Definitions and Abbreviations

# 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

L1 combining period: An interval of contiguous radio frames when S-CCPCH clusters may be soft combined .

**S-CCPCH cluster**: One or more S-CCPCHs on different RLs, all containing identical physical channel bits. S-CCPCHs in an S-CCPCH cluster are synchronized such that the delay between the earliest and latest arriving S-CCPCH at the UE is no more than 296 chips.

# 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

ACK	Acknowledgement
AICH	Acquisition Indicator Channel
ASC	Access Service Class
AP	Access Preamble
BCH	Broadcast Channel
CA	Channel Assignment
CCC	CPCH Control Command
ССРСН	Common Control Physical Channel
CCTrCH	Coded Composite Transport Channel
CD	Collision Detection
СРСН	Common Packet Channel
CPICH	Common Pilot Channel
CQI	Channel Quality Indicator
CRC	Cyclic Redundancy Check
CSICH	CPCH Status Indicator Channel
DCH	Dedicated Channel
DL	Downlink
DPCCH	Dedicated Physical Control Channel
DPCH	Dedicated Physical Channel
DPDCH	Dedicated Physical Data Channel
DTX	Discontinuous Transmission
E-DCH	Enhanced Dedicated Channel
E-DPCCH	E-DCH Dedicated Physical Control Channel
E-DPDCH	E-DCH Dedicated Physical Data Channel
E-AGCH	E-DCH Absolute Grant Channel
E-HICH	E-DCH HARQ Acknowledgement Indicator Channel
E-RGCH	E-DCH Relative Grant Channel
F-DPCH	Fractional Dedicated Physical Channel
HSDPA	High Speed Downlink Packet Access
HS-DSCH	High Speed Downlink Shared Channel
HS-PDSCH	High Speed Physical Downlink Shared Channel
HS-SCCH	High Speed Physical Downlink Shared Control Channel
MICH	MBMS Indicator Channel
NACK	Negative Acknowledgement
P-CCPCH	Primary Common Control Physical Channel
PCA	Power Control Algorithm
PCPCH	Physical Common Packet Channel
PDSCH	Physical Downlink Shared Channel
PICH	Paging Indicator Channel
PRACH	Physical Random Access Channel
RACH	Random Access Channel
RL	Radio Link

RPL	Recovery Period Length
RSCP	Received Signal Code Power
S-CCPCH	Secondary Common Control Physical Channel
SCH	Synchronisation Channel
SFN	System Frame Number
SIR	Signal-to-Interference Ratio
SNIR	Signal to Noise Interference Ratio
SSDT	Site Selection Diversity TPC
TFC	Transport Format Combination
TPC	Transmit Power Control
TrCH	Transport Channel
TTI	Transmission Time Interval
UE	User Equipment
UL	Uplink
UTRAN	UMTS Terrestrial Radio Access Network

# 5.1.2 DPCCH/DPDCH

## 5.1.2.1 General

The initial uplink DPCCH transmit power is set by higher layers. Subsequently the uplink transmit power control procedure simultaneously controls the power of a DPCCH and its corresponding DPDCHs (if present). The relative transmit power offset between DPCCH and DPDCHs is determined by the network and is computed according to subclause 5.1.2.5 using the gain factors signalled to the UE using higher layer signalling.

The operation of the inner power control loop, described in sub clause 5.1.2.2, adjusts the power of the DPCCH and DPDCHs by the same amount, provided there are no changes in gain factors. Additional adjustments to the power of the DPCCH associated with the use of compressed mode are described in sub clause 5.1.2.3.

Any change in the uplink DPCCH transmit power shall take place immediately before the start of the pilot field on the DPCCH. The change in DPCCH power with respect to its previous value is derived by the UE and is denoted by  $\Delta_{DPCCH}$  (in dB). The previous value of DPCCH power shall be that used in the previous slot, except in the event of an interruption in transmission due to the use of compressed mode, when the previous value shall be that used in the last slot before the transmission gap.

During the operation of the uplink power control procedure the UE transmit power shall not exceed a maximum allowed value which is the lower out of the maximum output power of the terminal power class and a value which may be set by higher layer signalling.

Uplink power control shall be performed while the UE transmit power is below the maximum allowed output power.

The provisions for power control at the maximum allowed value and below the required minimum output power (as defined in [7]) are described in sub-clause 5.1.2.6.

## 5.1.2.2 Ordinary transmit power control

### 5.1.2.2.1 General

The uplink inner-loop power control adjusts the UE transmit power in order to keep the received uplink signal-to-interference ratio (SIR) at a given SIR target, SIR<sub>target</sub>.

The serving cells (cells in the active set) should estimate signal-to-interference ratio  $SIR_{est}$  of the received uplink DPCH. The serving cells should then generate TPC commands and transmit the commands once per slot according to the following rule: if  $SIR_{est} > SIR_{target}$  then the TPC command to transmit is "0", while if  $SIR_{est} < SIR_{target}$  then the TPC command to transmit is "1".

Upon reception of one or more TPC commands in a slot, the UE shall derive a single TPC command, TPC\_cmd, for each slot, combining multiple TPC commands if more than one is received in a slot. This is also valid when SSDT-transmission is used in the downlink. Two algorithms shall be supported by the UE for deriving a TPC\_cmd. Which of these two algorithms is used is determined by a UE-specific higher-layer parameter, "PowerControlAlgorithm", and is under the control of the UTRAN. If "PowerControlAlgorithm" indicates "algorithm1", then the layer 1 parameter PCA shall take the value 1 and if "PowerControlAlgorithm" indicates "algorithm2" then PCA shall take the value 2.

If PCA has the value 1, Algorithm 1, described in subclause 5.1.2.2.2, shall be used for processing TPC commands.

If PCA has the value 2, Algorithm 2, described in subclause 5.1.2.2.3, shall be used for processing TPC commands.

The step size  $\Delta_{\text{TPC}}$  is a layer 1 parameter which is derived from the UE-specific higher-layer parameter "TPC-StepSize" which is under the control of the UTRAN. If "TPC-StepSize" has the value "dB1", then the layer 1 parameter  $\Delta_{\text{TPC}}$  shall take the value 1 dB and if "TPC-StepSize" has the value "dB2", then  $\Delta_{\text{TPC}}$  shall take the value 2 dB. The parameter "TPC-StepSize" only applies to Algorithm 1 as stated in [5]. For Algorithm 2  $\Delta_{\text{TPC}}$  shall always take the value 1 dB.

After deriving of the combined TPC command TPC\_cmd using one of the two supported algorithms, the UE shall adjust the transmit power of the uplink DPCCH with a step of  $\Delta_{DPCCH}$  (in dB) which is given by:

 $\Delta_{\text{DPCCH}} = \quad \Delta_{\text{TPC}} \times \text{TPC}\_\text{cmd}.$ 

## 5.1.2.2.1.1 Out of synchronisation handling

After 160 ms after physical channel establishment (defined in [5]), the UE shall control its transmitter according to a downlink DPCCH or F-DPCH quality criterion as follows:

- The UE shall shut its transmitter off when the UE estimates the DPCCH or F-DPCH quality over the last 160 ms period to be worse than a threshold Q<sub>out</sub>. Q<sub>out</sub> is defined implicitly by the relevant tests in [7].
- The UE can turn its transmitter on again when the UE estimates the DPCCH or F-DPCH quality over the last 160 ms period to be better than a threshold  $Q_{in}$ .  $Q_{in}$  is defined implicitly by the relevant tests in [7]. When transmission is resumed, the power of the DPCCH shall be the same as when the UE transmitter was shut off.

In case F-DPCH is configured in the downlink, the F-DPCH quality criterion shall be estimated as explained in subclause 4.3.1.2.

## 5.2.1 DPCCH/DPDCH/F-DPCH

## 5.2.1.1 General

The downlink transmit power control procedure controls simultaneously the power of a DPCCH and its corresponding DPDCHs. The power control loop adjusts the power of the DPCCH and DPDCHs with the same amount, i.e. the relative power difference between the DPCCH and DPDCHs is not changed. In case of F-DPCH, the power control loop adjusts the F-DPCH power.

For DPCH, the relative transmit power offset between DPCCH fields and DPDCHs is determined by the network. The TFCI, TPC and pilot fields of the DPCCH are offset relative to the DPDCHs power by PO1, PO2 and PO3 dB respectively. The power offsets may vary in time. UTRAN may use the SSDT operation as specified in section 5.2.2 to determine what power offset to use for TFCI in hard split mode with respect to the associated downlink DPDCH. The method for controlling the power offsets within UTRAN is specified in [6]. The power offsets PO1, PO2 and PO3 do not apply to F-DPCH.

## 5.2.1.4 Site selection diversity transmit power control

### 5.2.1.4.1 General

Site selection diversity transmit power control (SSDT) is another macro diversity method in soft handover mode. Thismethod is optional in UTRAN.

Operation is summarised as follows. The UE selects one of the cells from its active set to be 'primary', all other cellsare classed as 'non primary'. The main objective is to transmit on the downlink from the primary cell, thus reducing the interference caused by multiple transmissions in a soft handover mode. A second objective is to achieve fast siteselection without network intervention, thus maintaining the advantage of the soft handover. In order to select a primary cell, each cell is assigned a temporary identification (ID) and UE periodically informs a primary cell ID to theconnecting cells. The non-primary cells selected by UE switch off the transmission power. The primary cell ID isdelivered by UE to the active cells via uplink FBI field. SSDT activation, SSDT termination and ID assignment are all carried out by higher layer signalling.

SSDT is only supported when the P CPICH is used as the downlink phase reference and closed loop mode transmitdiversity is not used simultaneously. Simultaneous operation of SSDT and HS SCCH or F DPCH reception is notsupported.

UTRAN may also command UE to use SSDT signalling in the uplink although cells would transmit the downlink aswithout SSDT active. In case SSDT is used in the uplink direction only, the processing in the UE for the radio linksreceived in the downlink is as with macro diversity in non SSDT case. The downlink operation mode for SSDT is set by higher layers. UTRAN may use the SSDT information for the PDSCH power control as specified in section 5.2.2 and for the TFCI power control in hard split mode. SSDT signaling in the uplink is only supported when the P-CPICH isused as the downlink phase reference and closed loop mode transmit diversity is not used simultaneously. Simultaneousoperation of SSDT signalling in the uplink and HS-SCCH or F-DPCH reception is not supported.

NOTE: This feature of SSDT limited to uplink only applies to terminals that are DSCH capable.

5.2.1.4.1.1 Definition of temporary cell identification

Each cell is given a temporary ID during SSDT and the ID is utilised as site selection signal. The ID is given a binarybit sequence. There are three different lengths of coded ID available denoted as "long", "medium" and "short". The network decides which length of coded ID is used. Settings of ID codes for 1 bit and 2 bit FBI are exhibited in table 3and table 4, respectively.

	ID code		
ID label	<del>"long"</del>	<del>"medium"</del>	<del>"short"</del>
a	0000000000000000000	<del>(0)0000000</del>	00000
þ	<del>101010101010101</del>	<del>(0)1010101</del>	<del>01001</del>
e	<del>011001100110011</del>	<del>(0)0110011</del>	<del>11011</del>
đ	<del>110011001100110</del>	<del>(0)1100110</del>	<del>10010</del>
e	000111100001111	<del>(0)0001111</del>	<del>00111</del>
f	<del>101101001011010</del>	<del>(0)1011010</del>	<del>01110</del>
<del>g</del>	011110000111100	<del>(0)0111100</del>	<del>11100</del>
h	<del>110100101101001</del>	(0)1101001	<del>10101</del>

### Table 3: Settings of ID codes for 1 bit FBI

	ID code-		
	(Column and Row denote slot position and FBI-bit position.)		
ID label	<del>"long"</del>	<del>"medium"</del>	<del>"short"</del>
a	<del>(0)000000</del>	<del>(0)000</del>	000
	00000000	<del>(0)000</del>	000
<del>b</del>	<del>(0)000000</del>	<del>(0)000</del>	<del>000</del>
	(1)1111111	(1)111	<del>111</del>
e	<del>(0)1010101</del>	<del>(0)101</del>	<del>101</del>
	<del>(0)1010101</del>	(0)101	<del>101</del>
đ	(0)1010101	(0)101	<del>101</del>
	(1)0101010	(1)010	<del>010</del>
Ð	(0)0110011	(0)011	011
	(0)0110011	<del>(0)011</del>	<del>011</del>
f	<del>(0)0110011</del>	<del>(0)011</del>	<del>011</del>
	(1)1001100	(1)100	<del>100</del>
<del>g</del>	(0)1100110	<del>(0)110</del>	<del>110</del>
C C	<del>(0)1100110</del>	<del>(0)</del> 110	<del>110</del>
h	(0)1100110	<del>(0)110</del>	<del>110</del>
1	<del>(1)0011001</del>	(1)001	001

### Table 4: Settings of ID codes for 2 bit FBI

The ID code bits shown in table 3 and table 4 are transmitted from left to right. In table 4, the first row gives the first FBI bit in each slot, the second row gives the 2nd FBI bit in each slot. The ID code(s) are transmitted aligned to the radio frame structure (i.e. ID codes shall be terminated within a frame). If FBI space for sending the last ID code within a frame cannot be obtained, the first bit(s) from that ID code are punctured. The bit(s) to be punctured are shown in brackets in table 3 and table 4.

The alignment of the ID codes to the radio frame structure is not affected by transmission gaps resulting from uplinkcompressed mode.

### 5.2.1.4.2 TPC procedure in UE

The UE shall generate TPC commands to control the network transmit power and send them in the TPC field of the uplink DPCCH based on the downlink signals from the primary cell as selected by the UE. An example on how to derive the TPC commands is given in Annex B.2.

### 5.2.1.4.3 Selection of primary cell

The UE selects a primary cell periodically by measuring the RSCP of P CPICHs transmitted by the active cells. The cell with the highest P CPICH RSCP is detected as a primary cell.

### 5.2.1.4.4 Delivery of primary cell ID

The UE periodically sends the ID code of the primary cell via portion of the uplink FBI field assigned for SSDT use (FBI S field). A cell recognises its state as non primary if the following conditions are fulfilled simultaneously:

- The received ID code does not match with the own ID code.

- The received uplink signal quality satisfies the following:

 $\frac{SIR_{estIDcode} > SIR_{target} + Qth \qquad [dB]}{}$ 

Where SIR<sub>estIDcode</sub> is the average of estimated signal to interference ratio of the received uplink DPCH-SIR<sub>est</sub> described in subclause 5.1.2.2.1, over the uplink slots containing the received cell ID code; SIR<sub>target</sub> is the target SIR of the uplink, described in subclause 5.1.2.2.1; and Qth is uplink quality threshold which corresponds to the uplink DPCH quality level relative to the SIR<sub>target</sub>. Qth parameter is signalled viahigher layer signalling.

If uplink compressed mode is used, and less than LN<sub>ID</sub>/3 bits are lost from the ID code (as a result of uplink compressed mode), where N<sub>ID</sub> is the number of bits in the ID code (after puncturing according to clause 5.2.1.4.1.1, if puncturing has been done).

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Otherwise the cell recognises its state as primary.

The state of the cells (primary or non primary) in the active set is updated synchronously. If a cell receives the last portion of the coded ID in uplink slot j, the state of cell is updated in downlink slot ( $j+1+T_{os}$ ) mod 15, where  $T_{os}$  is defined as a constant of 2 time slots. The updating of the cell state is not influenced by the operation of downlink compressed mode.

At the UE, the primary ID code to be sent to the cells is segmented into a number of portions. These portions are distributed in the uplink FBI S field. The cell in SSDT collects the distributed portions of the primary ID code and then detects the transmitted ID. The period of the primary cell update depends on the settings of the code length and the number of FBI bits assigned for SSDT use as shown in table 5. However, SSDT is only applicable with DPC\_MODE = 0.

#### Table 5: Period of primary cell update

	The number of FBI bits per slot assigned for SSDT		
code length	4 2		
<del>"long"</del>	1 update per frame	2 updates per frame	
<del>"medium"</del>	2 updates per frame	4 updates per frame	
<del>"short"</del>	3 updates per frame	5 updates per frame	

### 5.2.1.4.5 TPC procedure in the network

In SSDT, a non primary cell can switch off its DPDCH output (i.e. no transmissions).

The cell manages two downlink transmission power levels, P1, and P2. Power level P1 is used for downlink DPCCHtransmission power level and this level is updated in the same way with the downlink DPCCH power adjustmentspecified in 5.2.1.2.2 (for normal mode) and 5.2.1.3 (for compressed mode) regardless of the selected state (primary or non primary). The actual transmission power of TFCI, TPC and pilot fields of DPCCH is set by adding P1 and the offsets PO1, PO2 and PO3, respectively, as specified in 5.2.1.1. P2 is used for downlink DPDCH transmission power level and this level is set to P1 if the cell is selected as primary, otherwise P2 is switched off. The cell updates P1 firstand P2 next, and then the two power settings P1 and P2 are maintained within the power control dynamic range. Table 6 summarizes the updating method of P1 and P2.

#### Table 6: Updating of P1 and P2

State of cell	P1 (DPCCH)	<del>P2 (DPDCH)</del>
<del>non primary</del>	Updated in the same- way with the downlink. DPCCH power- adjustment specified- in 5.2.1.2.2 and- 5.2.1.3	Switched off
primary		<del>= P1</del>

## 5.2.2 PDSCH

The PDSCH power control can be based on any of the following solutions:

- Inner-loop power control based on the power control commands sent by the UE on the uplink DPCCH.
- Other power control procedures applied by the network.

UTRAN may use the SSDT signalling to determine what power offset to use for PDSCH with respect to the associated downlink DCH when more than one cell may be in the active set. The support for a combination where SSDT signaling is used in the uplink, but — SSDT is not necessarily used in the downlink, is required only from the UEs that support the use of DSCH.

If the downlink direction uses SSDT for the DCH transmission, then the TPC procedure in the UE to generate TPC commands to control the network transmit power is as specified in 5.2.1.4.2.

If the downlink transmission does not use SSDT operation, then the TPC procedure in the UE to generate TPC commands to control the network transmit power is as specified in 5.2.1.2.1.

The PDSCH power offset to be used with respect to the associated DCH depends on whether the cell transmitting-PDSCH is determined to be a primary one or not. Note that the condition on the received uplink signal quality insubclause 5.2.1.4.4 is not used for determining whether the cell status for PDSCH power control is primary or not.

The SSDT commands sent by the UE are averaged in UTRAN side over one or more frames. The averaging windowlength parameter as the number of frames to average over, *Enhanced DSCH PC Wnd*, and the parameter for the required number of received primary SSDT commands, *Enhanced DSCH PC Counter*, during the averaging window fordeclaring primary status for a cell are given by UTRAN [6].

If the number of primary ID codes in the uplink received during the averaging window is less than the parameter *Enhanced DSCH PC Counter*, then a cell shall consider itself as non-primary and uses the power offset given from UTRAN to the cell with the data for the PDSCH.

If the number of primary ID codes in the uplink received during the averaging window is equal or more than the parameter *Enhanced DSCH PC Counter* defines, the cell shall use the power control parameterisation for the primary case. When the cell considers itself as primary it uses both the power offset for the PDSCH frame for the given UE and the *Enhanced DSCH Power Offset* parameter given by the UTRAN for the primary case.

The cell status (primary/non primary) obtained from the rules above may differ from the cell status for SSDTtransmission in the downlink depending on the values given by UTRAN for the parameters for averaging windowlength and the required number of received primary SSDT commands for cell status determination.

## 5.2.3 DL-DPCCH for CPCH

## 5.2.3.1 UE behaviour

The UE shall generate TPC commands to control the network transmit power and send them in the TPC field of the uplink DPCCH. The UE shall send a unique TPC command in each slot as in the DPCCH/DPDCH case for DPC\_MODE=0.

The TPC commands setting may be based on the example provided in Annex B.2 for the DPCCH/DPDCH. However in the DL-DPCCH for CPCH case, the setting of the SIR\_target by the outer loop power control is based on a DL-DPCCH for CPCH BER target provided by the UTRAN rather than a TrCH BLER. Also there is no soft handover, neither-SSDT, used in combination with the CPCH.

The UE shall not make any assumptions on how the downlink power is set by UTRAN, in order to not prohibit usage of other UTRAN power control algorithms than what is defined in sub-clause 5.2.1.2.2.

## 5.2.3.2 UTRAN behaviour

The relative transmit power offsets between the different DPCCH fields (TPC and pilot) and CCC field is determined by the network. The power of CCC field in DL DPCCH for CPCH is the same as the power of the pilot field.

The TPC field of the DPCCH is offset relative to the pilot by PO2dB. This power offsets may vary in time. The method for controlling the power offset within UTRAN is specified in [6]

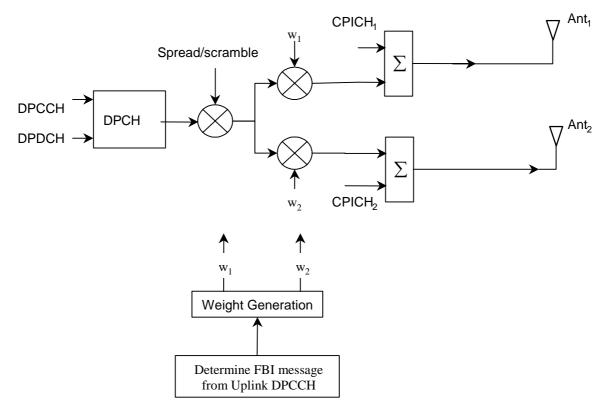
The UTRAN behaviour for the power control is left open to the implementation. As an example it may be based on the UTRAN behaviour for the DPCCH/DPDCH as specified in sub-clause 5.2.1.2.2, with the following exceptions-: DPC\_MODE should be set to 0 as there is no DPC\_MODE parameter for CPCH and there is no support of Site selection diversity power control for the DL-DPCCH for CPCH as Soft handover is not applicable to the CPCH.

# 7 Closed loop mode transmit diversity

The general transmitter structure to support closed loop mode transmit diversity for DPCH transmission is shown in figure 3. Channel coding, interleaving and spreading are done as in non-diversity mode. The spread complex valued signal is fed to both TX antenna branches, and weighted with antenna specific weight factors  $w_1$  and  $w_2$ . The weight factors are complex valued signals (i.e.,  $w_i = a_i + jb_i$ ), in general.

The weight factors (actually the corresponding phase adjustments in closed loop mode 1 and phase/amplitude adjustments in closed loop mode 2) are determined by the UE, and signalled to the UTRAN access point (=cell transceiver) using the D sub field of the FBI field of uplink DPCCH.

For the closed loop mode 1 different orthogonal dedicated pilot symbols in the DPCCH are sent on the 2 different antennas. For closed loop mode 2 the same dedicated pilot symbols in the DPCCH are sent on both antennas.



# Figure 3: The generic downlink transmitter structure to support closed loop mode transmit diversity for DPCH transmission

There are two closed loop modes whose characteristics are summarised in the table 8. The use of the modes is controlled via higher layer signalling.

Table 8: Summary of number of feedback information bits per slot, N<sub>FBD</sub>, feedback command length in slots, N<sub>w</sub>, feedback command rate, feedback bit rate, number of phase bits, N<sub>ph</sub>, per signalling word, number of amplitude bits, N<sub>po</sub>, per signalling word and amount of constellation rotation at UE for the two closed loop modes

Closed loop mode	N <sub>FBD</sub>	Nw	Update rate	Feedback bit rate	N <sub>po</sub>	N <sub>ph</sub>	Constellatio n rotation
1	1	1	1500 Hz	1500 bps	0	1	π/2
2	1	4	1500 Hz	1500 bps	1	3	N/A

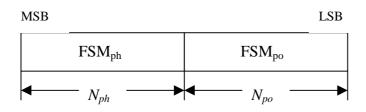
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## 7.1 Determination of feedback information

The UE uses the CPICH to separately estimate the channels seen from each antenna.

Once every slot, the UE computes the phase adjustment,  $\phi$ , and for mode 2 the amplitude adjustment that should be applied at the UTRAN access point to maximise the UE received power. During soft handover, the UE computes the phase adjustment and for mode 2 the amplitude adjustment to maximise the total UE received power from the cells in the active set. In the case that a PDSCH or HS-PDSCH is associated with a DPCH for which closed-loop transmit diversity is applied, the antenna weights applied to the PDSCH and HS-PDSCH, respectively, are the same as the antenna weights applied to the associated DPCH. In case a PDSCH or HS-PDSCH is associated with a DPCH during soft handover, the UE may emphasize the radio link transmitted from DSCH or HS-DSCH serving cell, respectively, when calculating the antenna weights. An example of how the computations can be accomplished is given in Annex A.2.

The UE feeds back to the UTRAN access point the information on which phase/power settings to use. Feedback Signalling Message (FSM) bits are transmitted in the portion of FBI field of uplink DPCCH slot(s) assigned to closedloop mode transmit diversity, the FBI D field (see [1]). Each message is of length  $N_W = N_{po} + N_{ph}$  bits and its format is shown in the figure 4. The transmission order of bits is from MSB to LSB, i.e. MSB is transmitted first. FSM<sub>po</sub> and FSM<sub>ph</sub> subfields are used to transmit the power and phase settings, respectively.



# Figure 4: Format of feedback signalling message. FSM<sub>po</sub> transmits the power setting and FSM<sub>ph</sub> the phase setting

The adjustments are made by the UTRAN Access Point at the beginning of the downlink DPCCH pilot field. The downlink slot in which the adjustment is done is signalled to L1 of UE by higher layers. Two possibilities exist:

- 1) When feedback command is transmitted in uplink slot *i*, which is transmitted approximately 1024 chips in offset from the received downlink slot *j*, the adjustment is done at the beginning of the pilot field of the downlink slot  $(j+1) \mod 15$ .
- 2) When feedback command is transmitted in uplink slot *i*, which is transmitted approximately 1024 chips in offset from the received downlink slot *j*, the adjustment is done at the beginning of the pilot field of the downlink slot  $(j+2) \mod 15$ .

Thus, adjustment timing at UTRAN Access Point is either according to 1) or 2) as controlled by the higher layers.

In case of soft handover, Layer 1 shall support different adjustment timing values for different radio links in the same active set.

The timing of the weight adjustment of the PDSCH is such that the PDSCH weight adjustment is done at the PDSCH slot border, N chips after the adjustment of the associated DPCH, where  $0 \le N < 2560$ .

The timing of the weight adjustment of the HS-PDSCH is such that the HS-PDSCH weight adjustment is done at the HS-PDSCH slot border, respectively, M chips after the adjustment of the associated DPCH, where  $0 \le M < 2560$ .

# B.2 Example of implementation in the UE

The downlink inner-loop power control adjusts the network transmit power in order to keep the received downlink SIR at a given SIR target, SIR<sub>target</sub>. A higher layer outer loop adjusts SIR<sub>target</sub> independently for each connection.

The UE should estimate the received downlink DPCCH/DPDCH power of the connection to be power controlled. Simultaneously, the UE should estimate the received interference and calculate the signal-to-interference ratio, SIR<sub>est</sub>. SIR<sub>est</sub> can be calculated as RSCP/ISCP, where RSCP refers to the received signal code power on one code and ISCP refers to the non-orthogonal interference signal code power of the received signal on one code. Note that due to the specific SIR target offsets described in [5] that can be applied during compressed frames, the spreading factor shall not be considered in the calculation of SIR<sub>est</sub>.

The obtained SIR estimate  $SIR_{est}$  is then used by the UE to generate TPC commands according to the following rule: if  $SIR_{est} > SIR_{target}$  then the TPC command to transmit is "0", requesting a transmit power decrease, while if  $SIR_{est} < SIR_{target}$  then the TPC command to transmit is "1", requesting a transmit power increase.

When the UE is in soft handover-and SSDT is not activated, the UE should estimate  $SIR_{est}$  from the downlink signals of all cells in the active set.

When SSDT is activated, the UE should estimate SIR<sub>est</sub> from the downlink signals of the primary cell as described in 5.2.1.4.2. If the state of the cells (primary or non-primary) in the active set is changed and the UE sends the last portion of the coded ID in uplink slot j, the UE should change the basis for the estimation of SIR<sub>est</sub> at the beginning of downlink slot (j+1+ $T_{es}$ ) mod 15, where  $T_{es}$  is defined as a constant of 2 time slots.