TSG-RAN Meeting #27 **RP-050067**
Tokyo, Japan, 09-11 March 2005 **Agenda item 8.3.5**

Source: TSG-RAN WG2

Title: CRs to 25.301, 25.306, 25.323, 25.331 on Lossless Downlink RLC PDU size change

Spec	CR	Rev	Phase	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level	Workitem
25.301	073	-	Rel-5	Lossless DL RLC PDU size change	B	5.3.0	5.4.0	R2-050661	TEI5
25.301	074	-	Rel-6	Lossless DL RLC PDU size change	B	6.1.0	6.2.0	R2-050662	TEI5
25.306	102	-	Rel-5	Lossless DL RLC PDU size change	B	5.9.0	5.10.0	R2-050607	TEI5
25.306	103	-	Rel-6	Lossless DL RLC PDU size change	B	6.3.0	6.4.0	R2-050608	TEI5
25.323	058	2	Rel-5	Lossless DL RLC PDU size change	B	5.2.0	5.3.0	R2-050713	TEI5
25.323	059	2	Rel-6	Lossless DL RLC PDU size change	B	6.0.0	6.1.0	R2-050714	TEI5
25.331	2516	3	Rel-5	Lossless DL RLC PDU size change	B	5.11.0	5.12.0	R2-050727	TEI5
25.331	2517	3	Rel-6	Lossless DL RLC PDU size change	B	6.4.0	6.5.0	R2-050728	TEI5

3GPP TSG-RAN2 Meeting #46
Scottsdale, USA, 14-18 Feb 2005

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CR-Form-v7.1

CHANGE REQUEST

⌘ **25.301** **CR** **073** ⌘ **rev** **-** ⌘ Current version: **5.3.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Lossless DL RLC PDU size change
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	February, 2005
Category:	⌘	**B**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

Ph2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*
Rel-7	*(Release 7)*

Reason for change:	⌘	For HS-DSCH, a different (larger) RLC PDU size for HS-DSCH bearers is needed for the maximum throughput which is limited by the RLC PDU size, the round trip time in the system and the RLC window size. Therefore it is desirable to use a larger PDU size for HS-DSCH. Thus the PDU size needs to be reconfigured when switching between DCH and HS-DSCH. It may lead to significant data loss.
Summary of change:	⌘	Supprot for lossless DL RLC PDU size change is added in PDCP functions
Consequences if not approved:	⌘	Data loss at PDU size change implies that it is not feasible to change the RLC PDU size when reconfiguring between DCH and HS-DSCH

Clauses affected:	⌘	5.4.1.2, 5.4.1.3

		Y	N			
Other specs affected:	⌘	X		Other core specifications	⌘	25.301, 25.306, 25.323 and 25.331.
			X	Test specifications		
			X	O&M Specifications		

Other comments:	⌘	

How to create CRs using this form:
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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5.3.3 PDCP Services and Function

This subclause provides an overview on services and functions provided by the Packet Data Convergence Protocol (PDCP). A detailed description of the PDCP is given in [10].

5.3.3.1 PDCP Services provided to upper layers

- PDCP SDU delivery.

5.3.3.2 PDCP Functions

- **Header compression and decompression.** Header compression and decompression of IP data streams (e.g., TCP/IP and RTP/UDP/IP headers) at the transmitting and receiving entity, respectively. The header compression method is specific to the particular network layer, transport layer or upper layer protocol combinations e.g. TCP/IP and RTP/UDP/IP.

- **Transfer of user data.** Transmission of user data means that PDCP receives PDCP SDU from the NAS and forwards it to the RLC layer and vice versa.

- **Support for lossless SRNS relocation or lossless DL RLC PDU size change.** Maintenance of PDCP sequence numbers for radio bearers that are configured to support lossless SRNS relocation or lossless DL RLC PDU size change.

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CHANGE REQUEST

⌘ **25.301** **CR** **074** ⌘ **rev** **-** ⌘ Current version: **6.1.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Lossless DL RLC PDU size change
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	February, 2005
Category:	⌘	**B**
Release:	⌘	Rel-6

Use one of the following categories:
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B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
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Use one of the following releases:

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R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*
Rel-7	*(Release 7)*

Reason for change:	⌘	For HS-DSCH, a different (larger) RLC PDU size for HS-DSCH bearers is needed for the maximum throughput which is limited by the RLC PDU size, the round trip time in the system and the RLC window size. Therefore it is desirable to use a larger PDU size for HS-DSCH. Thus the PDU size needs to be reconfigured when switching between DCH and HS-DSCH. It may lead to significant data loss.
Summary of change:	⌘	Supprot for lossless DL RLC PDU size change is added in PDCP functions
Consequences if not approved:	⌘	Data loss at PDU size change implies that it is not feasible to change the RLC PDU size when reconfiguring between DCH and HS-DSCH

Clauses affected:	⌘	5.4.1.2, 5.4.1.3

Other specs affected:	Y	N			
⌘	X		Other core specifications	⌘	25.301, 25.306, 25.323 and 25.331.
		X	Test specifications		
		X	O&M Specifications		

Other comments:	⌘	

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CHANGE REQUEST

⌘ **25.306** **CR** **102** ⌘ **rev** **-** ⌘ Current version: **5.9.0** ⌘

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Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Lossless DL RLC PDU size change
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	February, 2005
Category:	⌘	**B**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)
Rel-7 (Release 7)

Reason for change:	⌘	For HS-DSCH, a different (larger) RLC PDU size for HS-DSCH bearers is needed for the maximum throughput which is limited by the RLC PDU size, the round trip time in the system and the RLC window size. Therefore it is desirable to use a larger PDU size for HS-DSCH. Thus the PDU size needs to be reconfigured when switching between DCH and HS-DSCH. It may lead to significant data loss.
Summary of change:	⌘	Added support for lossless DL RLC PDU size change.
Consequences if not approved:	⌘	Data loss at PDU size change implies that it is not feasible to change the RLC PDU size when reconfiguring between DCH and HS-DSCH

Clauses affected:	⌘	4.1, 5.1, 5.2.2

Other specs affected:	Y	N			
⌘	X		Other core specifications	⌘	25.301, 25.306, 25.323 and 25.331.
		X	Test specifications		
		X	O&M Specifications		

Other comments:	⌘	

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

4.1 PDCP parameters

Support for RFC 2507

This parameter defines whether the UE supports header compression according to RFC 2507 as defined in [1] or not.

Support for RFC 3095

This parameter defines whether the UE supports header compression according to RFC 3095 as defined in [1] or not.

Support for RFC 3095 context relocation

This parameter defines whether the UE supports RFC 3095 context relocation as defined in [1] or not.

Support for loss-less SRNS relocation

Defines whether the UE supports loss-less SRNS relocation as defined in [1] or not.

Support for lossless DL RLC PDU size change

Defines whether the UE supports lossless DL RLC PDU size change as defined in [1] or not.

Maximum header compression context space

This parameter is only applicable if the UE supports header compression according to RFC 2507. It is defined as the maximum header compression context size supported by the UE for all RFC 2507 protocol entities for all RBs. UTRAN controls that the UE capability can be fulfilled through the following parameters:

1. MAX_HEADER;
2. TCP_SPACE;
3. NON_TCP_SPACE;

The context space for a single RFC 2507 protocol entity calculates from:

(2 * (TCP_SPACE + 1 + NON_ TCP_SPACE + 1) * MAX_HEADER).

The following criterion must be fulfilled in the configuration:

Maximum header compression context space ≥ sum of context spaces for all RFC 2507 protocol entities for all RBs.

Maximum number of ROHC context sessions

This parameter is only applicable if the UE supports header compression according to RFC3095. It is defined as the maximum number of header compression context sessions supported by the UE.

Support for Reverse Decompression

This parameter determines whether reverse decompression is supported or not and the maximum number of packets that can be reverse decompressed by the decompressor in the UE.

[...]

5 Possible UE radio access capability parameter settings

5.1 Value ranges

Table 5.1: UE radio access capability parameter value ranges

		UE radio access capability parameter	Value range
PDCP parameters		Support for RFC 2507	Yes/No
		Support for RFC 3095	Yes/No
		Support for RFC 3095 context relocation	Yes/No
		Support for loss-less SRNS relocation	Yes/No
		Support for loss-less DL RLC PDU size change	Yes/No
		Maximum header compression context space	512, 1024, 2048, 4096, 8192 bytes
		Maximum number of ROHC context sessions	2, 4, 8, 12, 16, 24, 32, 48, 64, 128, 256, 512, 1024, 16384
		Support for Reverse Decompression	Not supported, 1..65535
RLC and MAC-hs parameters		Total RLC AM and MAC-hs buffer size	2, 10, 50, 100, 150, 200, 300, 400, 500, 750, 1000 kBytes
		Maximum number of AM entities	3, 4, 5, 6, 8, 16, 30
		Maximum RLC AM window size	2047, 4095
PHY parameters	Transport channel parameters in downlink	Maximum sum of number of bits of all transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all convolutionally coded transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all turbo coded transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum number of simultaneous transport channels	4, 8, 16, 32
		Maximum number of simultaneous CCTrCH	1, 2, 3, 4, 5, 6, 7, 8
		Maximum total number of transport blocks received within TTIs that end within the same 10 ms interval	4, 8, 16, 32, 48, 64, 96, 128, 256, 512
		Maximum number of TFC	16, 32, 48, 64, 96, 128, 256, 512, 1024
		Maximum number of TF	32, 64, 128, 256, 512, 1024
		Support for turbo decoding	Yes/No
	Transport channel parameters in uplink	Maximum sum of number of bits of all transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all convolutionally coded transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all turbo coded transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum number of simultaneous transport channels	2, 4, 8, 16, 32
		Maximum number of simultaneous CCTrCH of DCH type (TDD only)	1, 2, 3, 4, 5, 6, 7, 8

		UE radio access capability parameter	Value range
		Maximum total number of transport blocks transmitted within TTIs that start at the same time	2, 4, 8, 16, 32, 48, 64, 96, 128, 256, 512
		Maximum number of TFC	4, 8, 16, 32, 48, 64, 96, 128, 256, 512, 1024
		Maximum number of TF	32, 64, 128, 256, 512, 1024
		Support for turbo encoding	Yes/No
	FDD Physical channel parameters in downlink	Maximum number of DPCH/PDSCH codes to be simultaneously received	1, 2, 3, 4, 5, 6, 7, 8
		Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH)	600, 1200, 2400, 3600, 4800, 7200, 9600, 14400, 19200, 28800, 38400, 48000, 57600, 67200, 76800
		Support for SF 512	Yes/No
		Support of PDSCH	Yes/No
		Support of HS-PDSCH	Yes/No
		Simultaneous reception of SCCPCH and DPCH	Yes/No
		Simultaneous reception of SCCPCH, DPCH and PDSCH	Yes/No
		Simultaneous reception of SCCPCH, DPCH and HS-PDSCH	Yes/No
		Maximum number of simultaneous S-CCPCH radio links	1 NOTE: Only the value 1 is part of this release of the specification
		Support of dedicated pilots for channel estimation	Yes
		Support of dedicated pilots for channel estimation of HS-DSCH	Yes/No
	FDD Physical channel parameters in uplink	Maximum number of DPDCH bits transmitted per 10 ms	600, 1200, 2400, 4800, 9600, 19200, 28800, 38400, 48000, 57600
		Support of PCPCH	Yes/No
	TDD 3.84 Mcps physical channel parameters in downlink	Maximum number of timeslots per frame	1..14
		Maximum number of physical channels per frame	1, 2, 3..224
		Minimum SF	16, 1
		Support of PDSCH	Yes/No
		Support of HS-PDSCH	Yes/No
		Maximum number of physical channels per timeslot	1..16
	TDD 3.84 Mcps physical channel parameters in uplink	Maximum Number of timeslots per frame	1..14
		Maximum number of physical channels per timeslot	1, 2
		Minimum SF	16, 8, 4, 2, 1
		Support of PUSCH	Yes/No
	TDD 1.28 Mcps physical channel parameters in downlink	Maximum number of timeslots per subframe	1..6
		Maximum number of physical channels per subframe	1, 2, 3, …, 96
		Minimum SF	16, 1
		Support of PDSCH	Yes/No
		Support of HS-PDSCH	Yes/No
		Maximum number of physical channels per timeslot	1..16
		Support 8PSK	Yes/No
	TDD 1.28 Mcps physical channel parameters in uplink	Maximum number of timeslots per subframe	1..6
		Maximum number of physical channels per timeslot	1, 2
		Minimum SF	16, 8, 4, 2, 1
		Support of 8PSK	Yes/No
		Support of PUSCH	Yes/No

		UE radio access capability parameter	Value range
RF parameters	FDD RF parameters	UE power class	3, 4 NOTE: Only power classes 3 and 4 are part of this release of the specification
		Tx/Rx frequency separation	190 Mhz 174.8 MHz to 205.2 MHz 134.8 MHz to 245.2 MHz
RF parameters	TDD 3.84 Mcps RF parameters	UE power class	2, 3 NOTE: Only power classes 2 and 3 are part of this release of the specification
		Radio frequency bands	a), b), c), a+b), a+c), b+c), a+b+c)
	TDD 1.28 Mcps RF parameters	UE power class	2, 3
		Radio frequency bands	a), b), c), a+b), a+c), b+c), a+b+c)
Multi-mode related parameters		Support of UTRA FDD	Yes/No
		Support of UTRA TDD 3.84 Mcps	Yes/No
		Support of UTRA TDD 1.28 Mcps	Yes/No
Multi-RAT related parameters		Support of GSM	Yes/No (per GSM frequency band)
		Support of multi-carrier	Yes/No
		Support of UTRAN to GERAN Network Assisted Cell Change	Yes/No
Security parameters		Support of ciphering algorithm UEA0	Yes
		Support of ciphering algorithm UEA1	Yes
		Support of integrity protection algorithm UIA1	Yes
UE positioning related parameters		Standalone location method(s) supported	Yes/No
		Network assisted GPS support	Network based / UE based / Both/ None
		GPS reference time capable	Yes/No
		Support for IPDL	Yes/No
		Support for OTDOA UE based method	Yes/No
		Support for Rx-Tx time difference type 2 measurement	Yes/No
		Support for UE Positioning assisted GPS measurement validity in CELL_PCH and URA_PCH RRC states	Yes
		Support for SFN-SFN observed time difference type 2 measurement	Yes/No
Measurement related capabilities		Need for downlink compressed mode	Yes/No (per frequency band, UTRA mode and RAT)
		Need for uplink compressed mode	Yes/No (per frequency band, UTRA mode and RAT)
General capabilities		Access Stratum release indicator	R99, REL-4, REL-5
DL capabilities with simultaneous HS-DSCH		DL capability with simultaneous HS-DSCH configuration	32 kbps, 64 kbps, 128 kbps, 384 kbps

[...]

5.2.2 Combinations of UE Radio Access Parameters for DL

Table 5.2.2.1: UE radio access capability parameter combinations, DL parameters

Reference combination of UE Radio Access capability parameters in DL	12 kbps class	32 kbps class	64 kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
Transport channel parameters							
Maximum sum of number of bits of all transport blocks being received at an arbitrary time instant	640 (FDD) 1280(TDD)	1280	3840	3840	6400	10240	20480
Maximum sum of number of bits of all convolutionally coded transport	640	640	640	640	640	640	640

Reference combination of UE Radio Access capability parameters in DL	12 kbps class	32 kbps class	64 kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
blocks being received at an arbitrary time instant							
Maximum sum of number of bits of all turbo coded transport blocks being received at an arbitrary time instant	NA (FDD) 1280(TDD)	1280	3840	3840	6400	10240	20480(1) 10240(2) NOTE 5
Maximum number of simultaneous transport channels	4	8 NOTE 4	8 NOTE 4	8 NOTE 4	8 NOTE 4	8 NOTE 4	16 NOTE 4
Maximum number of simultaneous CCTrCH (FDD)	1	1 NOTE 3	2/1 NOTE 2 NOTE 3	2/1 NOTE 2 NOTE 3	2 NOTE 3	2 NOTE 3	2 NOTE 3
Maximum number of simultaneous CCTrCH (TDD)	1 NOTE 3	2 NOTE 3	3 NOTE 3	3 NOTE 3	3 NOTE 3	4 NOTE 3	4 NOTE 3
Maximum total number of transport blocks received within TTIs that end at the same time	4	8	8	16	32	64	96
Maximum number of TFC	16	32	48	96	128	256	1024
Maximum number of TF	32	32	64	64	64	128	256
Support for turbo decoding	No (FDD) Yes (TDD)	Yes	Yes	Yes	Yes	Yes	Yes
Support for loss-less DL RLC PDU size change	No	No	Yes/No	Yes/No	Yes/No	Yes/No	Yes/No
Physical channel parameters (FDD)							
Maximum number of DPCH/PDSCH codes to be simultaneously received	1	1	2/1 NOTE 2	2/1 NOTE 2	3	3	3
Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH).	1200	1200	3600/2400 NOTE2	7200/4800 NOTE2	19200	28800	57600
Support for SF 512 for DPCH NOTE 6	No	No	No	No	No	No	No
Support of PDSCH	No	No	Yes/No NOTE 1	Yes/No NOTE 1	Yes	Yes	Yes
Support of HS-PDSCH	No	No	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1
Maximum number of simultaneous S-CCPCH radio links	1	1	1	1	1	1	1
Support of dedicated pilots for channel estimation	Yes NOTE 1 NOTE 7	Yes NOTE 1 NOTE 7	Yes NOTE 1 NOTE 7	Yes NOTE 1 NOTE 7	Yes NOTE 1 NOTE 7	Yes NOTE 1 NOTE 7	Yes NOTE 1 NOTE 7
Support of dedicated pilots for channel estimation of HS-DSCH	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1
Physical channel parameters (TDD 3.84 Mcps)							
Maximum number of timeslots per frame	1	1	2	4	5	10	12
Maximum number of physical channels per frame	5	8	9	14	28	64	136
Minimum SF	16	16	16	16	1/16 NOTE 1	1/16 NOTE 1	1/16 NOTE 1
Support of PDSCH	No	Yes/No NOTE 1	Yes	Yes	Yes	Yes	Yes
Support of HS-PDSCH	No	No	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1
Maximum number of physical channels per timeslot	5	8	9	9	9	9	13
Physical channel parameters (TDD 1.28 Mcps)							
Maximum number of timeslots per subframe	1	1	2	3	4	6	6
Maximum number of physical	5	8	12	18	43	77	77

Reference combination of UE Radio Access capability parameters in DL	12 kbps class	32 kbps class	64 kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
channels per subframe							
Minimum SF	16	16	16	16	1/16 NOTE 1	1/16 NOTE 1	1
Support of PDSCH	No	Yes/No NOTE 1	Yes	Yes	Yes	Yes	Yes
Support of HS-PDSCH	No	No	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1
Maximum number of physical channels per timeslot	5	8	11	14	14	14	14
Support of 8PSK	No	No	No	No	No	No	Yes

NOTE 1: Options represent different combinations that should be supported with conformance tests.

NOTE 2: Options depend on the support of PDSCH. The highest value is required if PDSCH is supported.

NOTE 3: The given number does not contain the BCH CCTrCH of the current cell nor of the neighbour cells.

NOTE 4: The given number does not contain the BCH of the neighbour cell.

NOTE 5: (1) For FDD and 3.84 Mcps TDD (2) For 1.28 Mcps TDD.

NOTE 6: This UE capability does not relate to the support of CPCH in the uplink for which SF 512 is needed

NOTE 7: A UE conforming to this release of the specification shall set the support of channel estimation based on dedicated pilot bits to TRUE.

The reference combinations for HS-DSCH capabilities are shown in tables 5.2.2.2, 5.2.2.3 and 5.2.2.4. These tables are subject to further discussions in TSG-RAN WG1 and TSG-RAN WG2.

3GPP TSG-RAN2 Meeting #46
Scottsdale, USA, 14-18 Feb 2005

Tdoc ⌘R2-050608

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.306** **CR** **103** ⌘**rev** **-** ⌘ Current version: **6.3.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Lossless DL RLC PDU size change
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	February, 2005
Category:	⌘	**B**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)
Rel-7 (Release 7)

Reason for change:	⌘	For HS-DSCH, a different (larger) RLC PDU size for HS-DSCH bearers is needed for the maximum throughput which is limited by the RLC PDU size, the round trip time in the system and the RLC window size. Therefore it is desirable to use a larger PDU size for HS-DSCH. Thus the PDU size needs to be reconfigured when switching between DCH and HS-DSCH. It may lead to significant data loss.
Summary of change:	⌘	Added support for lossless DL RLC PDU size change.
Consequences if not approved:	⌘	Data loss at PDU size change implies that it is not feasible to change the RLC PDU size when reconfiguring between DCH and HS-DSCH

Clauses affected:	⌘	4.1, 5.1, 5.2.2

Other specs affected:	Y	N			
⌘	X		Other core specifications	⌘	25.301, 25.306, 25.323 and 25.331.
		X	Test specifications		
		X	O&M Specifications		

Other comments:	⌘	

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm.
Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

4.1 PDCP parameters

Support for RFC 2507

This parameter defines whether the UE supports header compression according to RFC 2507 as defined in [1] or not.

Support for RFC 3095

This parameter defines whether the UE supports header compression according to RFC 3095 as defined in [1] or not.

Support for RFC 3095 context relocation

This parameter defines whether the UE supports RFC 3095 context relocation as defined in [1] or not.

Support for loss-less SRNS relocation

Defines whether the UE supports loss-less SRNS relocation as defined in [1] or not.

Support for lossless DL RLC PDU size change

Defines whether the UE supports lossless DL RLC PDU size change as defined in [1] or not.

Maximum header compression context space

This parameter is only applicable if the UE supports header compression according to RFC 2507. It is defined as the maximum header compression context size supported by the UE for all RFC 2507 protocol entities for all RBs. UTRAN controls that the UE capability can be fulfilled through the following parameters:

1. MAX_HEADER;
2. TCP_SPACE;
3. NON_TCP_SPACE;

The context space for a single RFC 2507 protocol entity calculates from:

(2 * (TCP_SPACE + 1 + NON_ TCP_SPACE + 1) * MAX_HEADER).

The following criterion must be fulfilled in the configuration:

Maximum header compression context space ≥ sum of context spaces for all RFC 2507 protocol entities for all RBs.

Maximum number of ROHC context sessions

This parameter is only applicable if the UE supports header compression according to RFC3095. It is defined as the maximum number of header compression context sessions supported by the UE.

Support for Reverse Decompression

This parameter determines whether reverse decompression is supported or not and the maximum number of packets that can be reverse decompressed by the decompressor in the UE.

[...]

5 Possible UE radio access capability parameter settings

5.1 Value ranges

Table 5.1: UE radio access capability parameter value ranges

		UE radio access capability parameter	Value range
PDCP parameters		Support for RFC 2507	Yes/No
		Support for RFC 3095	Yes/No
		Support for RFC 3095 context relocation	Yes/No
		Support for loss-less SRNS relocation	Yes/No
		Support for loss-less DL RLC PDU size change	Yes/No
		Maximum header compression context space	512, 1024, 2048, 4096, 8192 bytes
		Maximum number of ROHC context sessions	2, 4, 8, 12, 16, 24, 32, 48, 64, 128, 256, 512, 1024, 16384
		Support for Reverse Decompression	Not supported, 1..65535
RLC and MAC-hs parameters		Total RLC AM and MAC-hs buffer size	2, 10, 50, 100, 150, 200, 300, 400, 500, 750, 1000 kBytes
		Maximum number of AM entities	3, 4, 5, 6, 8, 16, 30
		Maximum RLC AM window size	2047, 4095
PHY parameters	Transport channel parameters in downlink	Maximum sum of number of bits of all transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all convolutionally coded transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all turbo coded transport blocks being received at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum number of simultaneous transport channels	4, 8, 16, 32
		Maximum number of simultaneous CCTrCH	1, 2, 3, 4, 5, 6, 7, 8
		Maximum total number of transport blocks received within TTIs that end within the same 10 ms interval	4, 8, 16, 32, 48, 64, 96, 128, 256, 512
		Maximum number of TFC	16, 32, 48, 64, 96, 128, 256, 512, 1024
		Maximum number of TF	32, 64, 128, 256, 512, 1024
		Support for turbo decoding	Yes/No
	Transport channel parameters in uplink	Maximum sum of number of bits of all transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all convolutionally coded transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum sum of number of bits of all turbo coded transport blocks being transmitted at an arbitrary time instant	640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840
		Maximum number of simultaneous transport channels	2, 4, 8, 16, 32
		Maximum number of simultaneous CCTrCH of DCH type (TDD only)	1, 2, 3, 4, 5, 6, 7, 8

		UE radio access capability parameter	Value range
		Maximum total number of transport blocks transmitted within TTIs that start at the same time	2, 4, 8, 16, 32, 48, 64, 96, 128, 256, 512
		Maximum number of TFC	4, 8, 16, 32, 48, 64, 96, 128, 256, 512, 1024
		Maximum number of TF	32, 64, 128, 256, 512, 1024
		Support for turbo encoding	Yes/No
	FDD Physical channel parameters in downlink	Maximum number of DPCH/PDSCH codes to be simultaneously received	1, 2, 3, 4, 5, 6, 7, 8
		Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH)	600, 1200, 2400, 3600, 4800, 7200, 9600, 14400, 19200, 28800, 38400, 48000, 57600, 67200, 76800
		Support for SF 512	Yes/No
		Support of PDSCH	Yes/No
		Support of HS-PDSCH	Yes/No
		Simultaneous reception of SCCPCH and DPCH	Yes/No
		Simultaneous reception of SCCPCH, DPCH and PDSCH	Yes/No
		Simultaneous reception of SCCPCH, DPCH and HS-PDSCH	Yes/No
		Maximum number of simultaneous S-CCPCH radio links	1 NOTE: Only the value 1 is part of this release of the specification
		Support of dedicated pilots for channel estimation	Yes
		Support of dedicated pilots for channel estimation of HS-DSCH	Yes/No
	FDD Physical channel parameters in uplink	Maximum number of DPDCH bits transmitted per 10 ms	600, 1200, 2400, 4800, 9600, 19200, 28800, 38400, 48000, 57600
		Support of PCPCH	Yes/No
	TDD 3.84 Mcps physical channel parameters in downlink	Maximum number of timeslots per frame	1..14
		Maximum number of physical channels per frame	1, 2, 3..224
		Minimum SF	16, 1
		Support of PDSCH	Yes/No
		Support of HS-PDSCH	Yes/No
		Maximum number of physical channels per timeslot	1..16
	TDD 3.84 Mcps physical channel parameters in uplink	Maximum Number of timeslots per frame	1..14
		Maximum number of physical channels per timeslot	1, 2
		Minimum SF	16, 8, 4, 2, 1
		Support of PUSCH	Yes/No
	TDD 1.28 Mcps physical channel parameters in downlink	Maximum number of timeslots per subframe	1..6
		Maximum number of physical channels per subframe	1, 2, 3, …, 96
		Minimum SF	16, 1
		Support of PDSCH	Yes/No
		Support of HS-PDSCH	Yes/No
		Maximum number of physical channels per timeslot	1..16
		Support 8PSK	Yes/No
	TDD 1.28 Mcps physical channel parameters in uplink	Maximum number of timeslots per subframe	1..6
		Maximum number of physical channels per timeslot	1, 2
		Minimum SF	16, 8, 4, 2, 1
		Support of 8PSK	Yes/No
		Support of PUSCH	Yes/No

		UE radio access capability parameter	Value range
RF parameters	FDD RF parameters	UE power class	3, 4 NOTE: Only power classes 3 and 4 are part of this release of the specification
		Tx/Rx frequency separation	190 Mhz 174.8 MHz to 205.2 MHz 134.8 MHz to 245.2 MHz
RF parameters	TDD 3.84 Mcps RF parameters	UE power class	2, 3 NOTE: Only power classes 2 and 3 are part of this release of the specification
		Radio frequency bands	a), b), c), a+b), a+c), b+c), a+b+c)
	TDD 1.28 Mcps RF parameters	UE power class	2, 3
		Radio frequency bands	a), b), c), a+b), a+c), b+c), a+b+c)
Multi-mode related parameters		Support of UTRA FDD	Yes/No
		Support of UTRA TDD 3.84 Mcps	Yes/No
		Support of UTRA TDD 1.28 Mcps	Yes/No
Multi-RAT related parameters		Support of GSM	Yes/No (per GSM frequency band)
		Support of multi-carrier	Yes/No
		Support of UTRAN to GERAN Network Assisted Cell Change	Yes/No
Security parameters		Support of ciphering algorithm UEA0	Yes
		Support of ciphering algorithm UEA1	Yes
		Support of integrity protection algorithm UIA1	Yes
UE positioning related parameters		Standalone location method(s) supported	Yes/No
		Network assisted GPS support	Network based / UE based / Both/ None
		GPS reference time capable	Yes/No
		Support for IPDL	Yes/No
		Support for OTDOA UE based method	Yes/No
		Support for Rx-Tx time difference type 2 measurement	Yes/No
		Support for UE Positioning assisted GPS measurement validity in CELL_PCH and URA_PCH RRC states	Yes
		Support for SFN-SFN observed time difference type 2 measurement	Yes/No
Measurement related capabilities		Need for downlink compressed mode	Yes/No (per frequency band, UTRA mode and RAT)
		Need for uplink compressed mode	Yes/No (per frequency band, UTRA mode and RAT)
General capabilities		Access Stratum release indicator	R99, REL-4, REL-5
DL capabilities with simultaneous HS-DSCH		DL capability with simultaneous HS-DSCH configuration	32 kbps, 64 kbps, 128 kbps, 384 kbps

[...]

5.2.2 Combinations of UE Radio Access Parameters for DL

Table 5.2.2.1: UE radio access capability parameter combinations, DL parameters

Reference combination of UE Radio Access capability parameters in DL	12 kbps class	32 kbps class	64 kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
Transport channel parameters							
Maximum sum of number of bits of all transport blocks being received at an arbitrary time instant	640 (FDD) 1280(TDD)	1280	3840	3840	6400	10240	20480
Maximum sum of number of bits of all convolutionally coded transport	640	640	640	640	640	640	640

Reference combination of UE Radio Access capability parameters in DL	12 kbps class	32 kbps class	64 kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
blocks being received at an arbitrary time instant							
Maximum sum of number of bits of all turbo coded transport blocks being received at an arbitrary time instant	NA (FDD) 1280(TDD)	1280	3840	3840	6400	10240	20480(1) 10240(2) NOTE 5
Maximum number of simultaneous transport channels	4	8 NOTE 4	8 NOTE 4	8 NOTE 4	8 NOTE 4	8 NOTE 4	16 NOTE 4
Maximum number of simultaneous CCTrCH (FDD)	1	1 NOTE 3	2/1 NOTE 2 NOTE 3	2/1 NOTE 2 NOTE 3	2 NOTE 3	2 NOTE 3	2 NOTE 3
Maximum number of simultaneous CCTrCH (TDD)	1 NOTE 3	2 NOTE 3	3 NOTE 3	3 NOTE 3	3 NOTE 3	4 NOTE 3	4 NOTE 3
Maximum total number of transport blocks received within TTIs that end at the same time	4	8	8	16	32	64	96
Maximum number of TFC	16	32	48	96	128	256	1024
Maximum number of TF	32	32	64	64	64	128	256
Support for turbo decoding	No (FDD) Yes (TDD)	Yes	Yes	Yes	Yes	Yes	Yes
Support for loss-less DL RLC PDU size change	No	No	Yes/No	Yes/No	Yes/No	Yes/No	Yes/No
Physical channel parameters (FDD)							
Maximum number of DPCH/PDSCH codes to be simultaneously received	1	1	2/1 NOTE 2	2/1 NOTE 2	3	3	3
Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH).	1200	1200	3600/2400 NOTE2	7200/4800 NOTE2	19200	28800	57600
Support for SF 512 for DPCH NOTE 6	No	No	No	No	No	No	No
Support of PDSCH	No	No	Yes/No NOTE 1	Yes/No NOTE 1	Yes	Yes	Yes
Support of HS-PDSCH	No	No	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1
Maximum number of simultaneous S-CCPCH radio links	1	1	1	1	1	1	1
Support of dedicated pilots for channel estimation	Yes NOTE 1 NOTE 7	Yes NOTE 1 NOTE 7	Yes NOTE 1 NOTE 7	Yes NOTE 1 NOTE 7	Yes NOTE 1 NOTE 7	Yes NOTE 1 NOTE 7	Yes NOTE 1 NOTE 7
Support of dedicated pilots for channel estimation of HS-DSCH	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1
Physical channel parameters (TDD 3.84 Mcps)							
Maximum number of timeslots per frame	1	1	2	4	5	10	12
Maximum number of physical channels per frame	5	8	9	14	28	64	136
Minimum SF	16	16	16	16	1/16 NOTE 1	1/16 NOTE 1	1/16 NOTE 1
Support of PDSCH	No	Yes/No NOTE 1	Yes	Yes	Yes	Yes	Yes
Support of HS-PDSCH	No	No	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1
Maximum number of physical channels per timeslot	5	8	9	9	9	9	13
Physical channel parameters (TDD 1.28 Mcps)							
Maximum number of timeslots per subframe	1	1	2	3	4	6	6
Maximum number of physical	5	8	12	18	43	77	77

Reference combination of UE Radio Access capability parameters in DL	12 kbps class	32 kbps class	64 kbps class	128 kbps class	384 kbps class	768 kbps class	2048 kbps class
channels per subframe							
Minimum SF	16	16	16	16	1/16 NOTE 1	1/16 NOTE 1	1
Support of PDSCH	No	Yes/No NOTE 1	Yes	Yes	Yes	Yes	Yes
Support of HS-PDSCH	No	No	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1	Yes/No NOTE 1
Maximum number of physical channels per timeslot	5	8	11	14	14	14	14
Support of 8PSK	No	No	No	No	No	No	Yes

NOTE 1: Options represent different combinations that should be supported with conformance tests.

NOTE 2: Options depend on the support of PDSCH. The highest value is required if PDSCH is supported.

NOTE 3: The given number does not contain the BCH CCTrCH of the current cell nor of the neighbour cells.

NOTE 4: The given number does not contain the BCH of the neighbour cell.

NOTE 5: (1) For FDD and 3.84 Mcps TDD (2) For 1.28 Mcps TDD.

NOTE 6: This UE capability does not relate to the support of CPCH in the uplink for which SF 512 is needed

NOTE 7: A UE conforming to this release of the specification shall set the support of channel estimation based on dedicated pilot bits to TRUE.

The reference combinations for HS-DSCH capabilities are shown in tables 5.2.2.2, 5.2.2.3 and 5.2.2.4. These tables are subject to further discussions in TSG-RAN WG1 and TSG-RAN WG2.

3GPP TSG-RAN2 Meeting #46
Scottsdale, USA, 14-18 Feb 2005

Tdoc ⌘R2-050713

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.323** **CR** **058** ⌘**rev** **2** ⌘ Current version: **5.2.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Lossless DL RLC PDU size change
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	February, 2005
Category:	⌘	**B**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)
Rel-7 (Release 7)

Reason for change:	⌘	For HS-DSCH, a different (larger) RLC PDU size for HS-DSCH bearers is needed for the maximum throughput which is limited by the RLC PDU size, the round trip time in the system and the RLC window size. Therefore it is desirable to use a larger PDU size for HS-DSCH. Thus the PDU size needs to be reconfigured when switching between DCH and HS-DSCH. It may lead to significant data loss.
Summary of change:	⌘	DL lossless RLC PDU size change is added. ● Only applicable when RLC is configured for in-sequence delivery and AM. ● PDCP maintenance of sequence numbering for DL PDCP SDUs is described in 5.5.1.1. ● PDCP SN synchronization between PDCP sender and receiver is described in 5.5.1.2 ● In 5.5.1.3, SN transmission from UE to UTRAN is added in case of a lossless DL RLC PDU size change ● Added invalid type PDU handling for lossless DL PDU size change in 9.1
Consequences if not approved:	⌘	Data loss at PDU size change implies that it is not feasible to change the RLC PDU size when reconfiguring between DCH and HS-DSCH

Clauses affected:	⌘	5, 5.3, 5.4, 5.4.1.1, 5.4.1.2, 5.4.1.3, 5.5, 5.6 5.6.1.1, 5.6.1.2, 5.6.1.3, 9.1

[Y] [N]

Other specs affected:	⌘	X		Other core specifications	⌘ 25.301, 25.306, 25.323 and 25.331.
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5 Functions

PDCP provides its services to the NAS at the UE or the relay at the Radio Network Controller (RNC).

The Packet Data Convergence Protocol shall perform the following functions:

- header compression and decompression of IP data streams (e.g., TCP/IP and RTP/UDP/IP headers for IPv4 and IPv6) at the transmitting and receiving entity, respectively.
- transfer of user data. This function is used for conveyance of data between users of PDCP services.
- maintenance of PDCP sequence numbers for radio bearers that are configured to support lossless SRNS Relocation or lossless DL RLC PDU size change.

PDCP uses the services provided by the Radio Link Control (RLC) sublayer.

5.3 Data Transfer

If header compression is configured the PDCP entity in the Sender shall:

- perform header compression upon reception of a PDCP SDU from upper layers;
- if the radio bearer is configured for lossless SRNS Relocation or lossless DL RLC PDU size change:
  - maintain PDCP sequence numbering as specified in subclause 5.64.1.1;
- submit the PDCP PDU to lower layer in the sequence received from the upper layer.

When the PDCP entity at the Receiver receives the PDCP PDU from lower layers, it shall:

- perform header decompression (if header compression is configured) of the PDCP PDU to obtain the PDCP SDU; and
- deliver the PDCP SDU to the upper layer in the order received from the lower layer;
- if the received PDCP PDU is of type PDCP SeqNum PDU:
  - follow the procedure in subclause 5.46.1.2.

5.4 SRNS Relocation

In case of SRNS Relocation upper layer indicates to PDCP to perform either the re-initialisation or the context relocation of compression protocols of an RB. In this version of the specification, context relocation is only applicable to RFC3095. Each of the compression protocols is handled independently, but the context relocation capability is optional for the UE and it is indicated as a part of the UE radio access capabilities.

The re-initialisation of a given compression protocol entails the following:

- Configured compression parameters remain valid during re-initialisation.
- All compression state information is initialised, e.g. header compression contexts. Therefore, the first 'compressed' packet type after SRNS Relocation is a full header.
- The PDCP sequence numbers are not changed due to the PDCP header compression protocol re-initialisation.

The context relocation of a given compression protocol entails the following:

- Configured compression parameters remain valid during context relocation.
- A snapshot of the compression state information (context) is taken in the source RNC and transferred to the target RNC, which initialises the header compression protocol according to the transferred snapshot. Therefore, the (de)compression continues after SRNS Relocation from the context used before relocation.
- Some additional specific actions are performed both in UE and UTRAN during the SRNS Relocation in order to keep the (de)compressors consistent.

5.4.1 Lossless SRNS Relocation

Lossless SRNS Relocation is only applicable when RLC is configured for in-sequence delivery and acknowledged mode. The support of lossless SRNS Relocation is configured by upper layer.

For the support of lossless SRNS Relocation, the PDCP entities ~~shall~~ maintain~~s~~ sequence numbers for PDCP SDUs, as described in subclause 5.6~~4~~.1.1.~~–~~

These sequence numbers are synchronised between PDCP Sender and Receiver, as described in subclause 5.6~~4~~.1.2.

When a lossless SRNS Relocation is performed sequence numbers are exchanged between UE and UTRAN. They are used to confirm PDCP SDUs transmitted but not yet acknowledged by the Receiver, as described in subclause 5.6~~4~~.1.3. After relocation the data transfer begins with the first unconfirmed PDCP SDU.

5.4.1.1 Void~~PDCP Sequence Numbering~~

~~PDCP sequence numbering shall be applied when lossless SRNS Relocation is supported. PDCP Sequence Numbers serve to acknowledge previously transmitted PDCP SDUs prior to relocation. The value of the PDCP sequence number ranges from 0 to 65535. The PDCP SN window size indicates the maximum number of PDCP SDUs, not confirmed to have been successfully transmitted to the peer entity by lower layer, that can be numbered at any given time. The PDCP SN window size is configured by upper layers. PDCP sequence numbers are set to "0" when the PDCP entity is set up for the first time.~~

~~In the following the "submission/reception of a PDCP SDU to/from lower layer" is used as a synonym for the submission/reception of a PDCP Data PDU or a PDCP SeqNum PDU to/from lower layer that carries in its Data field a compressed or uncompressed PDCP SDU. In case PDCP sequence numbers are applied, for each radio bearer:~~

- ~~in the UE:~~
  - ~~the UL_Send PDCP SN shall be set to "0" for the first PDCP SDU submitted to lower layer;~~
  - ~~the UL_Send PDCP SN shall be incremented by "1" for the next PDCP SDU submitted to lower layer;~~
  - ~~the DL_Receive PDCP SN shall be set to "0" for the first PDCP SDU received from lower layer;~~
  - ~~the DL_Receive PDCP SN shall be incremented by "1" for the next PDCP SDU received from lower layer.~~
- ~~in the UTRAN:~~

- the DL_Send PDCP SN should be set to "0" for the first PDCP SDU submitted to lower layer;
- the DL_Send PDCP SN should be incremented by "1" for the next PDCP SDU submitted to lower layer;
- the UL_Receive PDCP SN should be set to "0" for the first PDCP SDU received from lower layer;
- the UL_Receive PDCP SN should be incremented by "1" for the next PDCP SDU received from lower layer.

PDCP sequence numbers shall not be decremented in a PDCP entity.

5.4.1.2 VoidPDCP Sequence Number synchronization

For radio bearers that are configured to support lossless SRNS Relocation, the PDCP entity shall:

- if a PDCP entity has to synchronise the PDCP SN following a RLC reset or RLC re-establishment not caused by a SRNS Relocation; or
- if the UE/UTRAN PDCP entity receives an invalid "next expected UL/DL_Receive PDCP SN" from upper layer after Relocation:
  - trigger the PDCP SN synchronisation procedure by submitting one PDCP SeqNum PDU to lower layer;
  - consider that the synchronisation procedure is complete on confirmation by lower layer of the successful transmission of the PDCP SeqNum PDU.

In the UE/UTRAN, the "next expected UL/DL_Receive PDCP SN" is considered invalid if its value is less than the UL/DL_Send PDCP SN of the first transmitted but not yet acknowledged PDCP SDU or greater than that of the first unsent PDCP SDU.

On receiving a PDCP SeqNum PDU:

- the UE PDCP entity shall:
  - set the value of the DL_Receive PDCP SN to the value indicated in the PDCP SeqNum PDU;
- the UTRAN PDCP entity should:
  - set the value of the UL_Receive PDCP SN to the value indicated in the PDCP SeqNum PDU.

5.4.1.3 VoidSequence Number and Data Forwarding

In case of a lossless SRNS Relocation procedure, as described in [1]:

- the UTRAN should send to the UE the next expected UL_Receive PDCP SN; and
- the UE shall send to the UTRAN the next expected DL_Receive PDCP SN.

This information exchange synchronises the Sequence Numbers at the UE and UTRAN PDCP entities.

When requested by the upper layer, for each radio bearer configured to support lossless SRNS Relocation, the PDCP sublayer in the source RNC should forward the following to the target RNC:

- the UL_Receive PDCP SN of the next PDCP SDU expected to be received from the UE;
- the DL_Send PDCP SN of the first transmitted but not yet acknowledged PDCP SDU;
- the transmitted but not yet acknowledged PDCP SDUs together with their related DL_Send PDCP SNs;
- the not yet transmitted PDCP SDUs.

5.5 Lossless DL RLC PDU size change

Lossless DL RLC PDU size change is only applicable when RLC is configured for in-sequence delivery and acknowledged mode. The support of lossless DL RLC PDU size change is configured by upper layer.

For the support of lossless DL RLC PDU size change, the PDCP entities ~~shall~~ maintains sequence numbers for DL PDCP SDUs, as described in subclause 5.6.1.1.

These DL sequence numbers are synchronised between PDCP Sender in the UTRAN and Receiver in the UE, as described in subclause 5.6.1.2.

When a lossless DL RLC PDU size change is performed the next expected DL Receive PDCP SN ~~DL sequence number i~~is sent from the UE to the UTRAN. ~~They are~~It is used to confirm DL PDCP SDUs transmitted but not yet acknowledged by the Receiver in the UE, as described in subclause 5.6.1.3. After lossless DL RLC PDU size change the data transfer begins with the first unconfirmed DL PDCP SDU.

5.6 General procedures

~~5.4.1.1~~5.6.1.1 PDCP Sequence Numbering

~~PDCP sequence numbering shall be applied when lossless SRNS Relocation is supported. PDCP Sequence Numbers serve to acknowledge previously transmitted PDCP SDUs prior to relocation.~~ The value of the PDCP sequence number ranges from 0 to 65535. The PDCP SN window size indicates the maximum number of PDCP SDUs, not confirmed to have been successfully transmitted to the peer entity by lower layer, that can be numbered at any given time. The PDCP SN window size is configured by upper layers. PDCP sequence numbers are set to "0" when the PDCP entity is set-up for the first time.

In the following the "submission/reception of a PDCP SDU to/from lower layer" is used as a synonym for the submission/reception of a PDCP Data PDU or a PDCP SeqNum PDU to/from lower layer that carries in its Data field a compressed or uncompressed PDCP SDU.

If lossless SRNS relocation and/or lossless DL RLC PDU size change are/is supported by the UE,~~and configured by the upper layers then In case PDCP sequence numbers are applied, for each radio bearer:~~ for each radio bearer configured to support “lossless SRNS relocation or lossless DL RLC PDU size change” as specified in [1], PDCP sequence numbers are applied:

- in the UE:
  - ~~the UL_Send PDCP SN shall be set to "0" for the first PDCP SDU submitted to lower layer;~~
  - ~~the UL_Send PDCP SN shall be incremented by "1" for the next PDCP SDU submitted to lower layer;~~
  - the DL_Receive PDCP SN shall be set to "0" for the first PDCP SDU received from lower layer;
  - the DL_Receive PDCP SN shall be incremented by "1" for the next PDCP SDU received from lower layer.
- in the UTRAN:
  - the DL_Send PDCP SN should be set to "0" for the first PDCP SDU submitted to lower layer;
  - the DL_Send PDCP SN should be incremented by "1" for the next PDCP SDU submitted to lower layer;
  - ~~the UL_Receive PDCP SN should be set to "0" for the first PDCP SDU received from lower layer;~~
  - ~~the UL_Receive PDCP SN should be incremented by "1" for the next PDCP SDU received from lower layer.~~

Additionally, if lossless SRNS relocation is supported by the UE, for each radio bearer configured to support “lossless SRNS relocation or lossless DL RLC PDU size change” as specified in [1], PDCP sequence numbers are applied:

- in the UE:
  - the UL_Send PDCP SN shall be set to "0" for the first PDCP SDU submitted to lower layer;

- the UL Send PDCP SN shall be incremented by "1" for the next PDCP SDU submitted to lower layer;

- in the UTRAN:

  - the UL_Receive PDCP SN should be set to "0" for the first PDCP SDU received from lower layer;

  - the UL Receive PDCP SN should be incremented by "1" for the next PDCP SDU received from lower layer.

PDCP sequence numbers shall not be decremented in a PDCP entity.

5.6.1.2~~5.4.1.2~~ PDCP Sequence Number synchronization

For radio bearers that are configured to support "lossless SRNS Relocation or lossless DL RLC PDU size change" as specified in [1];~~,~~

~~T~~t~~-~~ the UE PDCP entity shall:

- if the UE supports lossless SRNS relocation,

  - if a PDCP entity has to synchronise the UL PDCP SN following a RLC reset or RLC transmitting side re-establishment not caused by a lossless SRNS Relocation ~~or a lossless DL RLC size change~~; or[Indentation changed]

  - if the UE PDCP entity receives an invalid "next expected UL Receive PDCP SN" from upper layer after a lossless SRNS Relocation; ~~or~~[Indentation changed]

  ~~if the UE/UTRAN PDCP entity receives an invalid "next expected UL/DL_Receive PDCP SN" from upper layer after Relocation:~~

    - trigger the PDCP SN synchronisation procedure by submitting one PDCP SeqNum PDU to lower layer; [Indentation changed]

    - consider that the synchronisation procedure is complete on confirmation by lower layer of the successful transmission of the PDCP SeqNum PDU;~~.~~[Indentation changed]

- if the UE supports ~~only~~ lossless DL RLC PDU size change but not lossless SRNS relocation, the UE PDCP entity shall not submit PDCP SeqNum PDU to lower layer.

- t~~T~~he UTRAN PDCP entity should:

  - if a PDCP entity has to synchronise the DL PDCP SN following a RLC reset or RLC transmitting side re-establishment not caused by a lossless SRNS Relocation or a lossless DL RLC size change; or

  - if the UTRAN PDCP entity receives an invalid "next expected DL Receive PDCP SN" from upper layer after lossless SRNS Relocation or lossless DL RLC PDU size change:

    - trigger the PDCP SN synchronisation procedure by submitting one PDCP SeqNum PDU to lower layer;

    - consider that the synchronisation procedure is complete on confirmation by lower layer of the successful transmission of the PDCP SeqNum PDU.

In the UE/UTRAN, the "next expected UL/DL_Receive PDCP SN" is considered invalid if its value is less than the UL/DL_Send PDCP SN of the first transmitted but not yet acknowledged PDCP SDU or greater than that of the first unsent PDCP SDU.

On receiving a PDCP SeqNum PDU:

- the UE PDCP entity shall:

  - set the value of the DL_Receive PDCP SN to the value indicated in the PDCP SeqNum PDU;

- the UTRAN PDCP entity should:

  - set the value of the UL_Receive PDCP SN to the value indicated in the PDCP SeqNum PDU;

  NOTE: If UTRAN has the intention to use only the lossless DL RLC PDU size change, UTRAN may not maintain UL PDCP SN. In this case, UTRAN should still transfer the user data to upper layer.

5.6.1.35.4.1.3 Sequence Number and Data Forwarding

In case of a lossless SRNS Relocation procedure or lossless DL RLC size change, as described in [1]:

~~- the UTRAN should send to the UE the next expected UL_Receive PDCP SN; and~~

- the UE shall send to the UTRAN the next expected DL_Receive PDCP SN.

Additionally, in case of lossless SRNS relocation procedure, as described in [1]:

- the UTRAN should send to the UE the next expected UL_Receive PDCP SN.

This information exchange synchronises the Sequence Numbers at the UE and UTRAN PDCP entities.

When requested by the upper layer, for each radio bearer configured to support lossless SRNS Relocation, the PDCP sublayer in the source RNC should forward the following to the target RNC:

- the UL_Receive PDCP SN of the next PDCP SDU expected to be received from the UE;
- the DL_Send PDCP SN of the first transmitted but not yet acknowledged PDCP SDU;
- the transmitted but not yet acknowledged PDCP SDUs together with their related DL_Send PDCP SNs;
- the not yet transmitted PDCP SDUs.

9 Handling of unknown, unforeseen and erroneous protocol data

9.1 Invalid PDU type

If a PDCP entity receives a PDCP PDU with a PDU Type set to Reserved (see subclause 8.3.1), it shall:

- discard the PDCP PDU.

If a PDCP entity is not configured for lossless SRNS Relocation or lossless DL RLC PDU size change and receives a PDCP SeqNum PDU, it shall:

- discard the PDCP SeqNum PDU.

9.2 Invalid PID value

If a PDCP entity receives a PDCP PDU with a PID value that is not mapped with a valid packet type (see subclause 5.1.1), it shall:

- discard the PDCP PDU.

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CHANGE REQUEST

⌘ **25.323** **CR** **059** ⌘**rev** **2** ⌘ Current version: **6.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Lossless DL RLC PDU size change
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	February, 2005
Category:	⌘	**B**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)
Rel-7 (Release 7)

Reason for change: ⌘ For HS-DSCH, a different (larger) RLC PDU size for HS-DSCH bearers is needed for the maximum throughput which is limited by the RLC PDU size, the round trip time in the system and the RLC window size. Therefore it is desirable to use a larger PDU size for HS-DSCH. Thus the PDU size needs to be reconfigured when switching between DCH and HS-DSCH. It may lead to significant data loss.

Summary of change: ⌘ DL lossless RLC PDU size change is added.

- Only applicable when RLC is configured for in-sequence delivery and AM.
- PDCP maintenance of sequence numbering for DL PDCP SDUs is described in 5.5.1.1.
- PDCP SN synchronization between PDCP sender and receiver is described in 5.5.1.2
- In 5.5.1.3, SN transmission from UE to UTRAN is added in case of a lossless DL RLC PDU size change
- Added invalid type PDU handling for lossless DL PDU size change in 9.1

Consequences if not approved: ⌘ Data loss at PDU size change implies that it is not feasible to change the RLC PDU size when reconfiguring between DCH and HS-DSCH

Clauses affected: ⌘ 5, 5.3, 5.4, 5.4.1.1, 5.4.1.2, 5.4.1.3, 5.5, 5.6 5.6.1.1, 5.6.1.2, 5.6.1.3, 9.1

[Y] [N]

Other specs affected:	⌘	X		Other core specifications	⌘ 25.301, 25.306, 25.323 and 25.331.
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5 Functions

PDCP provides its services to the NAS at the UE or the relay at the Radio Network Controller (RNC).

The Packet Data Convergence Protocol shall perform the following functions:

- header compression and decompression of IP data streams (e.g., TCP/IP and RTP/UDP/IP headers for IPv4 and IPv6) at the transmitting and receiving entity, respectively.
- transfer of user data. This function is used for conveyance of data between users of PDCP services.
- maintenance of PDCP sequence numbers for radio bearers that are configured to support lossless SRNS Relocation or lossless DL RLC PDU size change.

PDCP uses the services provided by the Radio Link Control (RLC) sublayer.

5.3 Data Transfer

If header compression is configured the PDCP entity in the Sender shall:

- perform header compression upon reception of a PDCP SDU from upper layers;
- if the radio bearer is configured for lossless SRNS Relocation or lossless DL RLC PDU size change:
  - maintain PDCP sequence numbering as specified in subclause 5.64.1.1;
- submit the PDCP PDU to lower layer in the sequence received from the upper layer.

When the PDCP entity at the Receiver receives the PDCP PDU from lower layers, it shall:

- perform header decompression (if header compression is configured) of the PDCP PDU to obtain the PDCP SDU; and
- deliver the PDCP SDU to the upper layer in the order received from the lower layer;
- if the received PDCP PDU is of type PDCP SeqNum PDU:
  - follow the procedure in subclause 5.46.1.2.

5.4 SRNS Relocation

In case of SRNS Relocation upper layer indicates to PDCP to perform either the re-initialisation or the context relocation of compression protocols of an RB. In this version of the specification, context relocation is only applicable to RFC3095. Each of the compression protocols is handled independently, but the context relocation capability is optional for the UE and it is indicated as a part of the UE radio access capabilities.

The re-initialisation of a given compression protocol entails the following:

- Configured compression parameters remain valid during re-initialisation.
- All compression state information is initialised, e.g. header compression contexts. Therefore, the first 'compressed' packet type after SRNS Relocation is a full header.
- The PDCP sequence numbers are not changed due to the PDCP header compression protocol re-initialisation.

The context relocation of a given compression protocol entails the following:

- Configured compression parameters remain valid during context relocation.
- A snapshot of the compression state information (context) is taken in the source RNC and transferred to the target RNC, which initialises the header compression protocol according to the transferred snapshot. Therefore, the (de)compression continues after SRNS Relocation from the context used before relocation.
- Some additional specific actions are performed both in UE and UTRAN during the SRNS Relocation in order to keep the (de)compressors consistent.

5.4.1 Lossless SRNS Relocation

Lossless SRNS Relocation is only applicable when RLC is configured for in-sequence delivery and acknowledged mode. The support of lossless SRNS Relocation is configured by upper layer.

For the support of lossless SRNS Relocation, the PDCP entities ~~shall~~ maintain~~s~~ sequence numbers for PDCP SDUs, as described in subclause 5.6~~4~~.1.1.~~–~~

These sequence numbers are synchronised between PDCP Sender and Receiver, as described in subclause 5.6~~4~~.1.2.

When a lossless SRNS Relocation is performed sequence numbers are exchanged between UE and UTRAN. They are used to confirm PDCP SDUs transmitted but not yet acknowledged by the Receiver, as described in subclause 5.6~~4~~.1.3. After relocation the data transfer begins with the first unconfirmed PDCP SDU.

5.4.1.1 Void~~PDCP Sequence Numbering~~

~~PDCP sequence numbering shall be applied when lossless SRNS Relocation is supported. PDCP Sequence Numbers serve to acknowledge previously transmitted PDCP SDUs prior to relocation. The value of the PDCP sequence number ranges from 0 to 65535. The PDCP SN window size indicates the maximum number of PDCP SDUs, not confirmed to have been successfully transmitted to the peer entity by lower layer, that can be numbered at any given time. The PDCP SN window size is configured by upper layers. PDCP sequence numbers are set to "0" when the PDCP entity is set up for the first time.~~

~~In the following the "submission/reception of a PDCP SDU to/from lower layer" is used as a synonym for the submission/reception of a PDCP Data PDU or a PDCP SeqNum PDU to/from lower layer that carries in its Data field a compressed or uncompressed PDCP SDU. In case PDCP sequence numbers are applied, for each radio bearer:~~

- ~~in the UE:~~
  - ~~the UL_Send PDCP SN shall be set to "0" for the first PDCP SDU submitted to lower layer;~~
  - ~~the UL_Send PDCP SN shall be incremented by "1" for the next PDCP SDU submitted to lower layer;~~
  - ~~the DL_Receive PDCP SN shall be set to "0" for the first PDCP SDU received from lower layer;~~
  - ~~the DL_Receive PDCP SN shall be incremented by "1" for the next PDCP SDU received from lower layer.~~
- ~~in the UTRAN:~~

- the DL_Send PDCP SN should be set to "0" for the first PDCP SDU submitted to lower layer;
- the DL_Send PDCP SN should be incremented by "1" for the next PDCP SDU submitted to lower layer;
- the UL_Receive PDCP SN should be set to "0" for the first PDCP SDU received from lower layer;
- the UL_Receive PDCP SN should be incremented by "1" for the next PDCP SDU received from lower layer.

PDCP sequence numbers shall not be decremented in a PDCP entity.

5.4.1.2 VoidPDCP Sequence Number synchronization

For radio bearers that are configured to support lossless SRNS Relocation, the PDCP entity shall:

- if a PDCP entity has to synchronise the PDCP SN following a RLC reset or RLC re-establishment not caused by a SRNS Relocation; or
- if the UE/UTRAN PDCP entity receives an invalid "next expected UL/DL_Receive PDCP SN" from upper layer after Relocation:
  - trigger the PDCP SN synchronisation procedure by submitting one PDCP SeqNum PDU to lower layer;
  - consider that the synchronisation procedure is complete on confirmation by lower layer of the successful transmission of the PDCP SeqNum PDU.

In the UE/UTRAN, the "next expected UL/DL_Receive PDCP SN" is considered invalid if its value is less than the UL/DL_Send PDCP SN of the first transmitted but not yet acknowledged PDCP SDU or greater than that of the first unsent PDCP SDU.

On receiving a PDCP SeqNum PDU:

- the UE PDCP entity shall:
  - set the value of the DL_Receive PDCP SN to the value indicated in the PDCP SeqNum PDU;
- the UTRAN PDCP entity should:
  - set the value of the UL_Receive PDCP SN to the value indicated in the PDCP SeqNum PDU.

5.4.1.3 VoidSequence Number and Data Forwarding

In case of a lossless SRNS Relocation procedure, as described in [1]:

- the UTRAN should send to the UE the next expected UL_Receive PDCP SN; and
- the UE shall send to the UTRAN the next expected DL_Receive PDCP SN.

This information exchange synchronises the Sequence Numbers at the UE and UTRAN PDCP entities.

When requested by the upper layer, for each radio bearer configured to support lossless SRNS Relocation, the PDCP sublayer in the source RNC should forward the following to the target RNC:

- the UL_Receive PDCP SN of the next PDCP SDU expected to be received from the UE;
- the DL_Send PDCP SN of the first transmitted but not yet acknowledged PDCP SDU;
- the transmitted but not yet acknowledged PDCP SDUs together with their related DL_Send PDCP SNs;
- the not yet transmitted PDCP SDUs.

5.5 Lossless DL RLC PDU size change

Lossless DL RLC PDU size change is only applicable when RLC is configured for in-sequence delivery and acknowledged mode. The support of lossless DL RLC PDU size change is configured by upper layer.

For the support of lossless DL RLC PDU size change, the PDCP entities ~~shall~~ maintains sequence numbers for DL PDCP SDUs, as described in subclause 5.6.1.1.

These DL sequence numbers are synchronised between PDCP Sender in the UTRAN and Receiver in the UE, as described in subclause 5.6.1.2.

When a lossless DL RLC PDU size change is performed the next expected DL Receive PDCP SN ~~DL sequence number i~~is sent from the UE to the UTRAN. ~~They are~~It is used to confirm DL PDCP SDUs transmitted but not yet acknowledged by the Receiver in the UE, as described in subclause 5.6.1.3. After lossless DL RLC PDU size change the data transfer begins with the first unconfirmed DL PDCP SDU.

5.6 General procedures

~~5.4.1.1~~5.6.1.1 PDCP Sequence Numbering

~~PDCP sequence numbering shall be applied when lossless SRNS Relocation is supported. PDCP Sequence Numbers serve to acknowledge previously transmitted PDCP SDUs prior to relocation.~~ The value of the PDCP sequence number ranges from 0 to 65535. The PDCP SN window size indicates the maximum number of PDCP SDUs, not confirmed to have been successfully transmitted to the peer entity by lower layer, that can be numbered at any given time. The PDCP SN window size is configured by upper layers. PDCP sequence numbers are set to "0" when the PDCP entity is set-up for the first time.

In the following the "submission/reception of a PDCP SDU to/from lower layer" is used as a synonym for the submission/reception of a PDCP Data PDU or a PDCP SeqNum PDU to/from lower layer that carries in its Data field a compressed or uncompressed PDCP SDU.

If lossless SRNS relocation and/or lossless DL RLC PDU size change are/is supported by the UE,~~and configured by the upper layers then In case PDCP sequence numbers are applied, for each radio bearer:~~ for each radio bearer configured to support “lossless SRNS relocation or lossless DL RLC PDU size change” as specified in [1], PDCP sequence numbers are applied:

- in the UE:
  - ~~the UL_Send PDCP SN shall be set to "0" for the first PDCP SDU submitted to lower layer;~~
  - ~~the UL_Send PDCP SN shall be incremented by "1" for the next PDCP SDU submitted to lower layer;~~
  - the DL_Receive PDCP SN shall be set to "0" for the first PDCP SDU received from lower layer;
  - the DL_Receive PDCP SN shall be incremented by "1" for the next PDCP SDU received from lower layer.
- in the UTRAN:
  - the DL_Send PDCP SN should be set to "0" for the first PDCP SDU submitted to lower layer;
  - the DL_Send PDCP SN should be incremented by "1" for the next PDCP SDU submitted to lower layer;
  - ~~the UL_Receive PDCP SN should be set to "0" for the first PDCP SDU received from lower layer;~~
  - ~~the UL_Receive PDCP SN should be incremented by "1" for the next PDCP SDU received from lower layer.~~

Additionally, if lossless SRNS relocation is supported by the UE, for each radio bearer configured to support “lossless SRNS relocation or lossless DL RLC PDU size change” as specified in [1], PDCP sequence numbers are applied:

- in the UE:
  - the UL_Send PDCP SN shall be set to "0" for the first PDCP SDU submitted to lower layer;

- the UL Send PDCP SN shall be incremented by "1" for the next PDCP SDU submitted to lower layer;

- in the UTRAN:

  - the UL_Receive PDCP SN should be set to "0" for the first PDCP SDU received from lower layer;

  - the UL Receive PDCP SN should be incremented by "1" for the next PDCP SDU received from lower layer.

PDCP sequence numbers shall not be decremented in a PDCP entity.

5.6.1.2~~5.4.1.2~~ PDCP Sequence Number synchronization

For radio bearers that are configured to support "lossless SRNS Relocation or lossless DL RLC PDU size change" as specified in [1];~~,~~

~~T~~t~~-~~ the UE PDCP entity shall:

- if the UE supports lossless SRNS relocation,

  - if a PDCP entity has to synchronise the UL PDCP SN following a RLC reset or RLC transmitting side re-establishment not caused by a lossless SRNS Relocation ~~or a lossless DL RLC size change~~; or[Indentation changed]

  - if the UE PDCP entity receives an invalid "next expected UL Receive PDCP SN" from upper layer after a lossless SRNS Relocation; ~~or~~[Indentation changed]

  ~~if the UE/UTRAN PDCP entity receives an invalid "next expected UL/DL_Receive PDCP SN" from upper layer after Relocation:~~

    - trigger the PDCP SN synchronisation procedure by submitting one PDCP SeqNum PDU to lower layer; [Indentation changed]

    - consider that the synchronisation procedure is complete on confirmation by lower layer of the successful transmission of the PDCP SeqNum PDU;~~.~~[Indentation changed]

- if the UE supports ~~only~~ lossless DL RLC PDU size change but not lossless SRNS relocation, the UE PDCP entity shall not submit PDCP SeqNum PDU to lower layer.

- t~~T~~he UTRAN PDCP entity should:

  - if a PDCP entity has to synchronise the DL PDCP SN following a RLC reset or RLC transmitting side re-establishment not caused by a lossless SRNS Relocation or a lossless DL RLC size change; or

  - if the UTRAN PDCP entity receives an invalid "next expected DL Receive PDCP SN" from upper layer after lossless SRNS Relocation or lossless DL RLC PDU size change:

    - trigger the PDCP SN synchronisation procedure by submitting one PDCP SeqNum PDU to lower layer;

    - consider that the synchronisation procedure is complete on confirmation by lower layer of the successful transmission of the PDCP SeqNum PDU.

In the UE/UTRAN, the "next expected UL/DL_Receive PDCP SN" is considered invalid if its value is less than the UL/DL_Send PDCP SN of the first transmitted but not yet acknowledged PDCP SDU or greater than that of the first unsent PDCP SDU.

On receiving a PDCP SeqNum PDU:

- the UE PDCP entity shall:

  - set the value of the DL_Receive PDCP SN to the value indicated in the PDCP SeqNum PDU;

- the UTRAN PDCP entity should:

  - set the value of the UL_Receive PDCP SN to the value indicated in the PDCP SeqNum PDU;

  NOTE: If UTRAN has the intention to use only the lossless DL RLC PDU size change, UTRAN may not maintain UL PDCP SN. In this case, UTRAN should still transfer the user data to upper layer.

5.6.1.35.4.1.3 Sequence Number and Data Forwarding

In case of a lossless SRNS Relocation procedure or lossless DL RLC size change, as described in [1]:

~~- the UTRAN should send to the UE the next expected UL_Receive PDCP SN; and~~

- the UE shall send to the UTRAN the next expected DL_Receive PDCP SN.

Additionally, in case of lossless SRNS relocation procedure, as described in [1]:

- the UTRAN should send to the UE the next expected UL_Receive PDCP SN.

This information exchange synchronises the Sequence Numbers at the UE and UTRAN PDCP entities.

When requested by the upper layer, for each radio bearer configured to support lossless SRNS Relocation, the PDCP sublayer in the source RNC should forward the following to the target RNC:

- the UL_Receive PDCP SN of the next PDCP SDU expected to be received from the UE;
- the DL_Send PDCP SN of the first transmitted but not yet acknowledged PDCP SDU;
- the transmitted but not yet acknowledged PDCP SDUs together with their related DL_Send PDCP SNs;
- the not yet transmitted PDCP SDUs.

9 Handling of unknown, unforeseen and erroneous protocol data

9.1 Invalid PDU type

If a PDCP entity receives a PDCP PDU with a PDU Type set to Reserved (see subclause 8.3.1), it shall:

- discard the PDCP PDU.

If a PDCP entity is not configured for lossless SRNS Relocation or lossless DL RLC PDU size change and receives a PDCP SeqNum PDU, it shall:

- discard the PDCP SeqNum PDU.

9.2 Invalid PID value

If a PDCP entity receives a PDCP PDU with a PID value that is not mapped with a valid packet type (see subclause 5.1.1), it shall:

- discard the PDCP PDU.

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CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2516** ⌘**rev** **3** ⌘ Current version: **5.11.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Lossless DL RLC PDU size change
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	February, 2005
Category:	⌘	**B**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

Ph2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*
Rel-7	*(Release 7)*

Reason for change: ⌘ For HS-DSCH, a different (larger) RLC PDU size for HS-DSCH bearers is needed for the maximum throughput, which is limited by the RLC PDU size, the round trip time in the system and the RLC window size. Therefore it is desirable to use a larger PDU size for HS-DSCH. Thus the PDU size needs to be reconfigured when switching between DCH and HS-DSCH. It may lead to significant data loss.

Summary of change: ⌘

- In this proposal only the DL lossless RLC PDU size change is assumed.
- Added a new UE PDCP capability (10.3.3.24): IE "Support of lossless DL RLC PDU size change"..
- In the IE "PDCP info" (8.6.4.10 and 10.3.4.2), the existing IE "Support for lossless SRNS relocation" is renamed in "Support for lossless SRNS relocation or for lossless DL RLC PDU size change". UTRAN will use this parameter to configure PDCP in the UE to support lossless DL RLC PDU size change for this RB. It is considered that this renaming does not have any backwards compatibility impact on UEs already supporting lossless SRNS relocation.
- In the "RLC info" (8.6.4.9) it is added that in case of DL RLC PDU size change and if the UE supports the lossless DL RLC PDU size change and PDCP was configured for that radio bearer with the IE "Support for lossless SRNS relocation or for lossless DL RLC PDU size change" set to TRUE, the UE shall include the current PDCP receive sequence number and the radio bearer identity for that radio bearer in the variable PDCP_SN_INFO.
  It should be noted that in the current specification, the transmission of the "RB with PDCP information list" is already covered in section 8.2.2.3 (originally intended for lossless SRNS relocation but reused for this new feature). It is reminded that in case of lossless SRNS relocation UTRAN may include in the DL

		message the “PDCP SN info” to provide the UE with the UL receive PDCP sequence numbers. The reception by the UE of this IE triggers the inclusion of the DL receive PDCP sequence numbers in the PDCP_SN_INFO (see 8.6.4.11)
Consequences if not approved:	⌘	Data loss at PDU size change implies that it is not feasible to change the RLC PDU size when reconfiguring between DCH and HS-DSCH

Clauses affected:	⌘	8.6.4.9, 8.6.4.10, 10.3.3.24, 10.3.4.2, 10.3.4.18, 10.3.4.22, 11.2, 11.3 and 11.5				
		Y	**N**			
Other specs affected:	⌘	**X**		Other core specifications	⌘	25.323, 25.306, 25.301
			X	Test specifications		
			X	O&M Specifications		
Other comments:	⌘					

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall:

1> be able to receive any of the following messages:

2> RADIO BEARER SETUP message; or

2> RADIO BEARER RECONFIGURATION message; or

2> RADIO BEARER RELEASE message; or

2> TRANSPORT CHANNEL RECONFIGURATION message; or

2> PHYSICAL CHANNEL RECONFIGURATION message;

1> be able to perform a hard handover and apply physical layer synchronisation procedure A as specified in [29], even if no prior UE measurements have been performed on the target cell and/or frequency.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

1> if the UE has a pending "TGPS reconfiguration CFN" at the activation time received in the reconfiguration message and the reconfiguration requests a timing re-initialised hard handover (see subclause 8.3.5.1), the UE may:

2> abort the pending CM activation;

2> set the CM_PATTERN_ACTIVATION_ABORTED to TRUE.

1> otherwise:

2> set the CM_PATTERN_ACTIVATION_ABORTED to FALSE.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

1> set the variable ORDERED_RECONFIGURATION to TRUE;

1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:

2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).

1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:

2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and

2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.

1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

In case the UE receives a RADIO BEARER RECONFIGURATION message with the IE "Specification mode" set to "Preconfiguration" while the message is not sent through GERAN *Iu mode*, the UE behaviour is unspecified.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

If after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> clear any stored IE "Downlink HS-PDSCH information";

1> determine the value for the HS_DSCH_RECEPTION variable and take the corresponding actions as described in subclause 8.5.25.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

2> if "Primary CCPCH Info" is included indicating a new target cell and "New H-RNTI" is not specified:

3> remove any H-RNTI from MAC;

3> clear the variable H_RNTI;

3> determine the value for the HS_DSCH_RECEPTION variable and take the corresponding actions as described in subclause 8.5.25.

1> if "DPCH frame offset" is included for one or more RLs in the active set:

2> use its value to determine the beginning of the DPCH frame in accordance with the following:

3> if the received IE "DPCH frame offset" is across the value range border compared to the DPCH frame offset currently used by the UE:

4> consider it to be a request to adjust the timing with 256 chips across the frame border (e.g. if the UE receives value 0 while the value currently used is 38144 consider this as a request to adjust the timing with +256 chips).

3> if after taking into account value range borders, the received IE "DPCH frame offset" corresponds to a request to adjust the timing with a step exceeding 256 chips:

4> set the variable INVALID_CONFIGURATION to TRUE.

3> and the procedure ends.

2> adjust the radio link timing accordingly.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4];

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE leaves CELL_FACH state, the UE shall:

1> stop timer T305.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

2> if the variable PDCP_SN_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".

2> else:

3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";

3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

2> re-establish the RLC entity for RB2;

2> for the downlink and the uplink, apply the ciphering configuration as follows:

3> if the received re-configuation message included the IE "Ciphering Mode Info":

4> use the ciphering configuration in the received message when transmitting the response message.

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.

4> apply the new ciphering configuration immediately following RLC re-establishment.

3> else:

4> continue using the current ciphering configuration.

2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN of COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":

2> if the variable START_VALUE_TO_TRANSMIT is set:

3> include and set the IE "START" to the value of that variable.

2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the received reconfiguration message contained the IE "Ciphering mode info":

2> if the reconfiguration message is not used to perform SRNS relocation with change of ciphering algorithm:

3> the UE behaviour is not specified.

2> if the message is used to perform a timing re-initialised hard handover:

3> if IE "Ciphering activation time for DPCH" is included:

4> the UE behaviour is not specified.

2> else:

3> if the reconfiguration message is used to setup radio bearer(s) using RLC-TM; or

3> if radio bearer(s) using RLC-TM already exist:

4> if IE "Ciphering activation time for DPCH" is not included:

5> the UE behaviour is not specified.

2> the UE may include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info":

2> if prior to this procedure there exist no transparent mode RLC radio bearers:

3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and

3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists:

4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters URA_PCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 are fulfilled after cell selection:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

8.6.4.9 RLC Info

Upon reception of the IE "RLC Info", the UE shall:

1> configure the transmitting and receiving RLC entities in the UE for that radio bearer accordingly;

1> if the IE "Polling info" is present in the IE "RLC info":

2> for each present IE in the IE "Polling info":

3> configure RLC to use the corresponding function according to the value of the IE.

2> for each absent IE in the IE "Polling info":

3> configure RLC to not use the corresponding function.

1> if the IE "Polling info" is absent:

2> configure RLC to not use the polling functionality.

1> if the IE "Downlink RLC STATUS info" is present in the IE "RLC info" (this IE is present for AM RLC):

2> for each present IE in the IE "Downlink RLC STATUS info":

3> configure RLC to use the corresponding function according to value of the IE.

2> for each absent IE in the IE "Downlink RLC STATUS info":

3> configure RLC to not use the corresponding function.

1> if the IE "Transmission RLC discard" is present:

2> configure the discard procedure in RLC according to the IE "Transmission RLC discard"

1> if the IE "Transmission RLC discard" is absent (only possible for TM RLC and UM RLC):

2> do not configure SDU discard in RLC.

1> if the IE "Downlink RLC mode" is present and is set to "AM RLC":

2> if IE "DL RLC PDU size" is not present:

3> determining the downlink RLC PDU size will be handled at RLC level as described in [16], without any configuration from RRC.

NOTE: The case where this mandatory IE is not present is meant to handle the interaction with a network using an earlier release of the specification.

2> else, if the IE "DL RLC PDU size" is present and no downlink RLC PDU size is currently set in the RLC entity:

3> configure the corresponding RLC entity with the downlink RLC PDU size.

2> else, if the IE "DL RLC PDU size" is present and its value is different from the one currently set in the RLC entity:

NOTE: The downlink RLC PDU size set in the RLC entity can either be explicitly configured or, in case no explicit configuration is provided, derived by the first received RLC PDU [16].

3> if the IE "one sided RLC re-establishment" is set to TRUE:

4> re-establish the receiving side of the corresponding RLC entity.

3> else:

4> re-establish the corresponding RLC entity.

3> configure the corresponding RLC entity with the new downlink RLC PDU size;

3> if the UE supports the lossless DL RLC PDU size change and PDCP was configured for that radio bearer with the IE "Support for lossless SRNS relocation or for lossless DL RLC PDU size change" set to TRUE:

4> include the current DL PDCP receive sequence number and the radio bearer identity for that radio bearer in the variable PDCP_SN_INFO.

3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" for this radio bearer is set to "Started":

4> if the RLC re-establishment is caused by a CELL UPDATE CONFIRM:

5> if only the receiving side of the RLC entity was re-established:

6> set the HFN values for the corresponding RLC entity in downlink equal to the value of the IE "START" included in the latest transmitted CELL UPDATE message for this CN domain.

5> if the whole RLC entity was re-established:

6> set the HFN values for the corresponding RLC entity in uplink and downlink equal to the value of the IE "START" included in the latest transmitted CELL UPDATE message for this CN domain.

4> if the RLC re-establishment is caused by a reconfiguration message:

5> if only the receiving side of the RLC entity was re-established:

6> set the HFN values for the corresponding RLC entity in downlink equal to the value of the IE "START" that will be included in the reconfiguration complete message for this CN domain.

5> if the whole RLC entity was re-established:

6> set the HFN values for the corresponding RLC entity in uplink and downlink equal to the value of the IE "START" that will be included in the reconfiguration complete message for this CN domain.

1> if the IE "Downlink RLC mode" is present and is set to "UM RLC":

2> if the IE "DL UM RLC LI size" is not present:

3> configure the corresponding RLC entity with an LI size of 7 bits;

NOTE: The case where this mandatory IE is not present is meant to handle the interaction with a network using an earlier release of the specification.

2> else:

3> configure the corresponding RLC entity with the LI size indicated in the IE "DL UM RLC LI size".

8.6.4.10 PDCP Info

For RFC 3095:

1> the chosen MAX_CID shall not be greater than the value "Maximum number of ROHC context sessions" as indicated in the IE "PDCP Capability";

1> the configuration for the PACKET_SIZES_ALLOWED is FFS.

If IE "PDCP info" is included, the UE shall:

1> if the radio bearer is connected to a CS domain radio access bearer:

2> set the variable INVALID_CONFIGURATION to TRUE.

1> if the IE "PDCP PDU header" is set to the value "absent":

2> if the IE "Support for lossless SRNS relocation or for lossless DL RLC PDU size change" is true:

3> set the variable INVALID_CONFIGURATION to TRUE.

1> if the IE "PDCP PDU header" is set to the value "present":

2> include PDCP headers in both uplink and downlink PDCP PDUs;

2> if the IE "Support for lossless SRNS relocation or for lossless DL RLC PDU size change" is false:

3> if the IE "Header compression information" is absent:

4> set the variable INVALID_CONFIGURATION to TRUE.

1> if the IE "Header compression information" is absent:

2> not use Header compression after the successful completion of this procedure;

2> remove any stored configuration for the IE "Header compression information".

1> if the IE "Header compression information" is present:

2> if the IE "Algorithm Type" is set to "RFC 2507":

3> if the UE capability "Maximum header compression context space", as specified in [35], is exceeded with this configuration:

4> set the variable INVALID_CONFIGURATION to TRUE.

1> configure the PDCP entity for that radio bearer accordingly;

1> configure the RLC entity for that radio bearer according to the value of the IE "Support for lossless SRNS relocation or for lossless DL RLC PDU size change ";

1> set the PROFILES parameter, used by inband ROHC profile negotiation, for this PDCP entity for both UL and DL equal to the list of ROHC profiles received in the IE "PDCP info". A UE complying to this version of the protocol shall support ROHC profiles 0x0000 (ROHC uncompressed), 0x0001 (ROHC RTP), 0x0002 (ROHC UDP) and 0x0003 (ROHC ESP) (see [52]).

8.6.4.11 PDCP SN Info

If the IE "PDCP SN Info" is included, the UE shall:

1> transfer the sequence number to the PDCP entity for the radio bearer;

1> configure the RLC entity for the radio bearer to stop;

1> include the current PDCP receive sequence number and the radio bearer identity for the radio bearer in the variable PDCP_SN_INFO.

10.3.3.24 PDCP capability

Indicates which algorithms and which value range of their parameters are supported by the UE.

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Support for lossless SRNS relocation	MP		Boolean	TRUE means supported	
Support for lossless DL RLC PDU size change	~~MD~~CV-*not_iRAT_HoInfo2*		Boolean	TRUE means supported Default value is FALSE~~.~~	REL-5
Support for RFC2507	MP		Boolean	TRUE means supported	
>Max HC context space	MP		Integer(1024, 2048, 4096, 8192,		
			16384, 32768, 65536, 131072)	Note 1	REL-5
Support for RFC 3095	CV-*not_iRAT_HoInfo*		Boolean	TRUE means supported	REL-4
>Maximum number of ROHC context sessions	MD		Integer(2, 4, 8, 12, 16, 24, 32, 48, 64, 128, 256, 512, 1024, 16384)	Default value is 16.	REL-4
>Reverse decompression depth	MD		Integer (0..65535)	Default value is 0 (reverse decompression is not supported).	REL-4
>Support for RFC 3095 context relocation	MP		Boolean	TRUE means supported	REL-5
Note 1: The IE "Max HC context space" values 16384, 32768, 65536 and 131072 are not used in the INTER ~~———~~RAT HANDOVER INFO message.					

Condition	Explanation
not_iRAT_HoInfo	The IE is not needed in the INTER RAT HANDOVER INFO message. Otherwise, it is mandatory present.
not_iRAT_HoInfo2	The IE is not needed in the INTER RAT HANDOVER INFO message. Otherwise, it is mandatory default.

10.3.4.2 PDCP info

The purpose of the PDCP info IE is to indicate which algorithms shall be established and to configure the parameters of each of the algorithms.

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Support for lossless SRNS relocation or for lossless DL RLC PDU size change	CV-*LosslessCriteria*		Boolean	TRUE means support	
Max PDCP SN window size	CV-*Lossless*		Enumerated(sn255, sn65535)	Maximum PDCP sequence number window size. The handling of sequence number when the Max PDCP SN window size is 255 is specified in [23].	
PDCP PDU header	MD		Enumerated (present, absent)	Whether a PDCP PDU header is existent or not. Default value is "present"	
Header compression information	OP	1 to <maxPDCPAlgoType>			
>CHOICE *algorithm type*	MP				
>>RFC 2507				Header compression according to IETF standard RFC 2507	
>>>F_MAX_PERIOD	MD		Integer (1..65535)	Largest number of compressed non-TCP headers that may be sent without sending a full header. Default value is 256.	
>>>F_MAX_TIME	MD		Integer (1..255)	Compressed headers may not be sent more than F_MAX_TIME seconds after sending last full header. Default value is 5.	
>>>MAX_HEADER	MD		Integer (60..65535)	The largest header size in octets that may be compressed. Default value is 168.	
>>>TCP_SPACE	MD		Integer (3..255)	Maximum CID value for TCP connections. Default value is 15.	
>>>NON_TCP_SPACE	MD		Integer (3..65535)	Maximum CID value for non-TCP connections. Default value is 15.	
>>>EXPECT_REORDERING	MD		Enumerated (reordering	Whether the algorithm shall	

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			not expected, reordering expected)	reorder PDCP SDUs or not. Default value is "reordering not expected".	
>>RFC 3095				Header compression according to IETF standard RFC 3095	REL-4
>>>Profiles	MP	1 to <maxROHC-Profiles>		Profiles supported by both compressor and decompressor in both UE and UTRAN. Profile 0 shall always be supported.	REL-4
>>>>Profile instance	MP		Integer(1.. 3)	1 = 0x0001, 2 = 0x0002, 3 = 0x0003 (see [52])	REL-4
>>>Uplink	OP			Indicates the necessary information elements for Uplink.	REL-4
>>>>CID inclusion info	MP		Enumerated (PDCP header, RFC3095 packet format)	Configures which method shall be used to carry RFC3095 CID values.	REL-4
>>>>Max_CID	MD		Integer (1.. 16383)	Highest context ID number to be used by the UE compressor. Default value is 15.	REL-4
>>>>Packet_Sizes_Allowed	OP	1 to <maxROHC-PacketSizes>		List of packet sizes that are allowed to be produced by the UE compressor.	REL-4
>>>>>Packet size	MP		Integer (2 .. 1500)	Packet size as defined in RFC 3095.	REL-4
>>>Downlink	OP			Indicates the necessary information elements for Downlink.	REL-4
>>>>CID inclusion info	MP		Enumerated (PDCP header, RFC3095 packet format)	Configures which method shall be used to carry RFC3095 CID values.	REL-4
>>>>Max_CID	MD		Integer (1.. 16383)	Highest context ID number to be used by the UE decompressor. Default value is 15.	REL-4
>>>>Reverse_Decompression_Depth	MD		Integer (0..65535)	Determines whether reverse decompression should be used or	REL-4

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
				not and the maximum number of packets that can be reverse decompressed by the UE decompressor. Default value is 0 (reverse decompression shall not be used).	

Condition	Explanation
LosslessCriteria	This IE is mandatory present if the IE "RLC mode" is "Acknowledged", the IE "In-sequence delivery " is "True" and the IE "SDU Discard Mode" is "No discard" and not needed otherwise.
Lossless	This IE is mandatory present if the IE "Support for lossless SRNS relocation or for lossless RLC PDU size change " Is TRUE, otherwise it is not needed.

10.3.4.22 RB with PDCP information

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
RB identity	MP		RB identity 10.3.4.16		
PDCP SN info	MP		PDCP SN info 10.3.4.3	PDCP sequence number info from the sender of the message for lossless SRNS relocation.	
				PDCP sequence number info from the sender of the message for lossless SRNS relocation or for lossless DL RLC PDU size change.	REL-5

11.2 PDU definitions

```
--**************************************************************
--
-- TABULAR: The message type and integrity check info are not
-- visible in this module as they are defined in the class module.
-- Also, all FDD/TDD specific choices have the FDD option first
-- and TDD second, just for consistency.
--
--**************************************************************

PDU-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

--**************************************************************
--
-- IE parameter types from other modules
--
--**************************************************************

IMPORTS

-- Core Network IEs :
    CN-DomainIdentity,
    CN-InformationInfo,
    CN-InformationInfoFull,
    NAS-Message,
    PagingRecordTypeID,
-- UTRAN Mobility IEs :
    CellIdentity,
    CellIdentity-PerRL-List,
    URA-Identity,
-- User Equipment IEs :
    AccessStratumReleaseIndicator,
    ActivationTime,
    C-RNTI,
    CapabilityUpdateRequirement,
    CapabilityUpdateRequirement-r4,
    CapabilityUpdateRequirement-r4-ext,
    CapabilityUpdateRequirement-r5,
    CellUpdateCause,
    CipheringAlgorithm,
    CipheringModeInfo,
    DSCH-RNTI,
    EstablishmentCause,
    FailureCauseWithProtErr,
    FailureCauseWithProtErrTrId,
    GroupReleaseInformation,
    H-RNTI,
    UESpecificBehaviourInformation1idle,
    UESpecificBehaviourInformation1interRAT,
    InitialUE-Identity,
    IntegrityProtActivationInfo,
    IntegrityProtectionModeInfo,
    N-308,
    PagingCause,
    PagingRecordList,
    PagingRecord2List-r5,
    ProtocolErrorIndicator,
    ProtocolErrorIndicatorWithMoreInfo,
    RadioFrequencyBandTDDList,
    Rb-timer-indicator,
    RedirectionInfo,
    RejectionCause,
    ReleaseCause,
    RF-CapabilityComp,
    RRC-StateIndicator,
    RRC-TransactionIdentifier,
    SecurityCapability,
    START-Value,
    STARTList,
    SystemSpecificCapUpdateReq-v590ext,
    U-RNTI,
    U-RNTI-Short,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v3g0ext,
```

```
    UE-RadioAccessCapability-v4b0ext,
    UE-RadioAccessCapability-v590ext,
    UE-RadioAccessCapability-v5c0ext,
    UE-RadioAccessCapabilityComp,
    DL-PhysChCapabilityFDD-v380ext,
    UE-ConnTimersAndConstants,
    UE-ConnTimersAndConstants-v3a0ext,
    UE-ConnTimersAndConstants-r5,
    UE-SecurityInformation,
    URA-UpdateCause,
    UTRAN-DRX-CycleLengthCoefficient,
    WaitTime,
-- Radio Bearer IEs :
    DefaultConfigIdentity,
    DefaultConfigIdentity-r4,
    DefaultConfigIdentity-r5,
    DefaultConfigMode,
    DL-CounterSynchronisationInfo,
    DL-CounterSynchronisationInfo-r5,
    PredefinedConfigIdentity,
    PredefinedConfigStatusList,
    PredefinedConfigStatusListComp,
    PredefinedConfigSetWithDifferentValueTag,
    RAB-Info,
    RAB-Info-Post,
    RAB-InformationList,
    RAB-InformationReconfigList,
    RAB-InformationSetupList,
    RAB-InformationSetupList-r4,
    RAB-InformationSetupList-r5,
    RB-ActivationTimeInfoList,
    RB-COUNT-C-InformationList,
    RB-COUNT-C-MSB-InformationList,
    RB-IdentityList,
    RB-InformationAffectedList,
    RB-InformationAffectedList-r5,
    RB-InformationReconfigList,
    RB-InformationReconfigList-r4,
    RB-InformationReconfigList-r5,
    RB-InformationReleaseList,
    RB-PDCPContextRelocationList,
    SRB-InformationSetupList,
    SRB-InformationSetupList-r5,
    SRB-InformationSetupList2,
    UL-CounterSynchronisationInfo,
-- Transport Channel IEs:
    CPCH-SetID,
    DL-AddReconfTransChInfo2List,
    DL-AddReconfTransChInfoList,
    DL-AddReconfTransChInfoList-r4,
    DL-AddReconfTransChInfoList-r5,
    DL-CommonTransChInfo,
    DL-CommonTransChInfo-r4,
    DL-DeletedTransChInfoList,
    DL-DeletedTransChInfoList-r5,
    DRAC-StaticInformationList,
    TFC-Subset,
    TFCS-Identity,
    UL-AddReconfTransChInfoList,
    UL-CommonTransChInfo,
    UL-CommonTransChInfo-r4,
    UL-DeletedTransChInfoList,
-- Physical Channel IEs :
    Alpha,
    CCTrCH-PowerControlInfo,
    CCTrCH-PowerControlInfo-r4,
    CCTrCH-PowerControlInfo-r5,
    ConstantValue,
    ConstantValueTdd,
    CPCH-SetInfo,
    DL-CommonInformation,
    DL-CommonInformation-r4,
    DL-CommonInformation-r5,
    DL-CommonInformationPost,
    DL-HSPDSCH-Information,
    DL-InformationPerRL-List,
    DL-InformationPerRL-List-r4,
    DL-InformationPerRL-List-r5,
    DL-InformationPerRL-List-r5bis,
    DL-InformationPerRL-ListPostFDD,
    DL-InformationPerRL-PostTDD,
    DL-InformationPerRL-PostTDD-LCR-r4,
    DL-PDSCH-Information,
```

```
    DL-TPC-PowerOffsetPerRL-List,
    DPC-Mode,
    DPCH-CompressedModeStatusInfo,
    FrequencyInfo,
    FrequencyInfoFDD,
    FrequencyInfoTDD,
    HS-SICH-Power-Control-Info-TDD384,
    MaxAllowedUL-TX-Power,
    OpenLoopPowerControl-IPDL-TDD-r4,
    PDSCH-CapacityAllocationInfo,
    PDSCH-CapacityAllocationInfo-r4,
    PDSCH-Identity,
    PrimaryCPICH-Info,
    PrimaryCCPCH-TX-Power,
    PUSCH-CapacityAllocationInfo,
    PUSCH-CapacityAllocationInfo-r4,
    PUSCH-Identity,
    PUSCH-SysInfoList-HCR-r5,
    PDSCH-SysInfoList-HCR-r5,
    RL-AdditionInformationList,
    RL-RemovalInformationList,
    SpecialBurstScheduling,
    SSDT-Information,
    TFC-ControlDuration,
    SSDT-UL,
    TimeslotList,
    TimeslotList-r4,
    TX-DiversityMode,
    UL-ChannelRequirement,
    UL-ChannelRequirement-r4,
    UL-ChannelRequirement-r5,
    UL-ChannelRequirementWithCPCH-SetID,
    UL-ChannelRequirementWithCPCH-SetID-r4,
    UL-ChannelRequirementWithCPCH-SetID-r5,
    UL-DPCH-Info,
    UL-DPCH-Info-r4,
    UL-DPCH-Info-r5,
    UL-DPCH-InfoPostFDD,
    UL-DPCH-InfoPostTDD,
    UL-DPCH-InfoPostTDD-LCR-r4,
    UL-SynchronisationParameters-r4,
    UL-TimingAdvance,
    UL-TimingAdvanceControl,
    UL-TimingAdvanceControl-r4,
-- Measurement IEs :
    AdditionalMeasurementID-List,
    DeltaRSCP,
    Frequency-Band,
    EventResults,
    Inter-FreqEventCriteriaList-v590ext,
    Intra-FreqEventCriteriaList-v590ext,
    IntraFreqReportingCriteria-1b-r5,
    IntraFreqEvent-1d-r5,
    InterFreqEventResults-LCR-r4-ext,
    InterRATCellInfoIndication,
    InterRAT-TargetCellDescription,
    MeasuredResults,
    MeasuredResults-v390ext,
    MeasuredResults-v590ext,
    MeasuredResultsList,
    MeasuredResultsList-LCR-r4-ext,
    MeasuredResultsOnRACH,
    MeasurementCommand,
    MeasurementCommand-r4,
    MeasurementIdentity,
    MeasurementReportingMode,
    PrimaryCCPCH-RSCP,
    SFN-Offset-Validity,
    TimeslotListWithISCP,
    TrafficVolumeMeasuredResultsList,
    UE-Positioning-GPS-AssistanceData,
    UE-Positioning-Measurement-v390ext,
    UE-Positioning-OTDOA-AssistanceData,
    UE-Positioning-OTDOA-AssistanceData-r4ext,
    UE-Positioning-OTDOA-AssistanceData-UEB,
-- Other IEs :
    BCCH-ModificationInfo,
    CDMA2000-MessageList,
    GERANIu-MessageList,
    GERAN-SystemInformation,
    GSM-MessageList,
    InterRAT-ChangeFailureCause,
    InterRAT-HO-FailureCause,
    InterRAT-UE-RadioAccessCapabilityList,
```

```
    InterRAT-UE-RadioAccessCapability-v590ext,
    InterRAT-UE-SecurityCapList,
    IntraDomainNasNodeSelector,
    ProtocolErrorMoreInformation,
    Rplmn-Information,
    Rplmn-Information-r4,
    SegCount,
    SegmentIndex,
    SFN-Prime,
    SIB-Data-fixed,
    SIB-Data-variable,
    SIB-Type
FROM InformationElements

    :

-- ************************************************
--
-- RRC CONNECTION SETUP COMPLETE
--
-- ************************************************

RRCConnectionSetupComplete ::= SEQUENCE {
    -- TABULAR: Integrity protection shall not be performed on this message.
    -- User equipment IEs
        rrc-TransactionIdentifier       RRC-TransactionIdentifier,
        startList                       STARTList,
        ue-RadioAccessCapability        UE-RadioAccessCapability            OPTIONAL,
    --  Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- Non critical extensions
        v370NonCriticalExtensions           SEQUENCE {
            rrcConnectionSetupComplete-v370ext  RRCConnectionSetupComplete-v370ext,
            v380NonCriticalExtensions           SEQUENCE {
                rrcConnectionSetupComplete-v380ext  RRCConnectionSetupComplete-v380ext-IEs,
                -- Reserved for future non critical extension
                v3a0NonCriticalExtensions           SEQUENCE {
                    rrcConnectionSetupComplete-v3a0ext  RRCConnectionSetupComplete-v3a0ext-IEs,
                    laterNonCriticalExtensions          SEQUENCE {
                        -- Container for additional R99 extensions
                        rrcConnectionSetupComplete-r3-add-ext       BIT STRING      OPTIONAL,
                        v3g0NonCriticalExtensions           SEQUENCE {
                            rrcConnectionSetupComplete-v3g0ext  RRCConnectionSetupComplete-v3g0ext-IEs,
                            v4b0NonCriticalExtensions           SEQUENCE {
                                rrcConnectionSetupComplete-v4b0ext
                                                                RRCConnectionSetupComplete-v4b0ext-IEs,
                                v590NonCriticalExtensions           SEQUENCE {
                                    rrcConnectionSetupComplete-v590ext
                                                                RRCConnectionSetupComplete-v590ext-IEs,
                                    v5c0NonCriticalExtensions           SEQUENCE {
                                        rrcConnectionSetupComplete-v5c0ext
                                                                RRCConnectionSetupComplete-v5c0ext-IEs,
                                        nonCriticalExtensions               SEQUENCE {}     OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
        }       OPTIONAL
}

RRCConnectionSetupComplete-v370ext ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext    OPTIONAL
}

RRCConnectionSetupComplete-v380ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext
}

RRCConnectionSetupComplete-v3a0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext    OPTIONAL
}

RRCConnectionSetupComplete-v3g0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v3g0ext    UE-RadioAccessCapability-v3g0ext    OPTIONAL
}
```

```
RRCConnectionSetupComplete-v4b0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v4b0ext        UE-RadioAccessCapability-v4b0ext        OPTIONAL
}

RRCConnectionSetupComplete-v590ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v590ext        UE-RadioAccessCapability-v590ext        OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability-v590ext        InterRAT-UE-RadioAccessCapability-v590ext   OPTIONAL
}

RRCConnectionSetupComplete-v5c0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v5c0ext        UE-RadioAccessCapability-v5c0ext        OPTIONAL
}

    :

-- ************************************************
--
-- UE CAPABILITY INFORMATION
--
-- ************************************************

UECapabilityInformation ::= SEQUENCE {
    -- User equipment IEs
        rrc-TransactionIdentifier       RRC-TransactionIdentifier           OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability            OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
        v370NonCriticalExtensions           SEQUENCE {
            ueCapabilityInformation-v370ext UECapabilityInformation-v370ext,
            v380NonCriticalExtensions           SEQUENCE {
                ueCapabilityInformation-v380ext     UECapabilityInformation-v380ext-IEs,
                v3a0NonCriticalExtensions           SEQUENCE {
                    ueCapabilityInformation-v3a0ext     UECapabilityInformation-v3a0ext-IEs,
                    laterNonCriticalExtensions          SEQUENCE {
                        -- Container for additional R99 extensions
                        ueCapabilityInformation-r3-add-ext      BIT STRING      OPTIONAL,
                        -- Reserved for future non critical extension
                        v4b0NonCriticalExtensions           SEQUENCE {
                            ueCapabilityInformation-v4b0ext     UECapabilityInformation-v4b0ext,
                            v590NonCriticalExtensions           SEQUENCE {
                                ueCapabilityInformation-v590ext     UECapabilityInformation-v590ext,
                                v5c0NonCriticalExtensions           SEQUENCE {
                                    ueCapabilityInformation-v5c0ext
                                                                    UECapabilityInformation-v5c0ext,
                                    nonCriticalExtensions               SEQUENCE {}     OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
        }       OPTIONAL
}

UECapabilityInformation-v370ext ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v370ext        UE-RadioAccessCapability-v370ext        OPTIONAL
}

UECapabilityInformation-v380ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v380ext        UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext          DL-PhysChCapabilityFDD-v380ext
}

UECapabilityInformation-v3a0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v3a0ext        UE-RadioAccessCapability-v3a0ext        OPTIONAL
}

UECapabilityInformation-v4b0ext ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v4b0ext        UE-RadioAccessCapability-v4b0ext        OPTIONAL
}

UECapabilityInformation-v590ext ::= SEQUENCE {
    -- User equipment IEs
```

```
        ue-RadioAccessCapability-v3g0ext        UE-RadioAccessCapability-v3g0ext        OPTIONAL,
        ue-RadioAccessCapability-v590ext        UE-RadioAccessCapability-v590ext        OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability-v590ext    InterRAT-UE-RadioAccessCapability-v590ext    OPTIONAL
}

UECapabilityInformation-v5c0ext ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v5c0ext        UE-RadioAccessCapability-v5c0ext        OPTIONAL
}

    :
```

11.3 Information element definitions

```
    :

PDCP-Capability ::=                 SEQUENCE {
    losslessSRNS-RelocationSupport      BOOLEAN,
    -- If present, the "maxHcContextSpace" in the IE "PDCP-Capability-r5-ext" overrides the
    -- "supported" value in this IE. The value in this IE may be used by a pre-REL-5 UTRAN.
    supportForRfc2507                   CHOICE {
        notSupported                        NULL,
        supported                           MaxHcContextSpace
    }
}

PDCP-Capability-r4-ext ::=          SEQUENCE {
    supportForRfc3095               CHOICE {
        notSupported                        NULL,
        supported                           SEQUENCE {
            maxROHC-ContextSessions             MaxROHC-ContextSessions-r4  DEFAULT s16,
            reverseCompressionDepth             INTEGER (0..65535)          DEFAULT 0
        }
    }
}

PDCP-Capability-r5-ext ::=          SEQUENCE {
    supportForRfc3095ContextRelocation      BOOLEAN,
    maxHcContextSpace                       MaxHcContextSpace-r5-ext    OPTIONAL
}

PDCP-Capability-r5-ext2 ::=         SEQUENCE {
    losslessDLRLC-PDUSizeChange         ENUMERATED { true }                 OPTIONAL
}

    :

UE-RadioAccessCapability ::=        SEQUENCE {
    -- UE-RadioAccessCapability is compatible with R99, although accessStratumReleaseIndicator
    -- is removed from this IE, since its encoding did not does in bits. The
    -- accessStratumReleaseIndicator is provided in the relevant REL-4 extension IEs.
    pdcp-Capability                     PDCP-Capability,
    rlc-Capability                      RLC-Capability,
    transportChannelCapability          TransportChannelCapability,
    rf-Capability                       RF-Capability,
    physicalChannelCapability           PhysicalChannelCapability,
    ue-MultiModeRAT-Capability          UE-MultiModeRAT-Capability,
    securityCapability                  SecurityCapability,
    ue-positioning-Capability           UE-Positioning-Capability,
    measurementCapability               MeasurementCapability       OPTIONAL
}

UE-RadioAccessCapabilityInfo ::=    SEQUENCE {
    ue-RadioAccessCapability            UE-RadioAccessCapability,
    ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext
}

UE-RadioAccessCapability-v370ext ::=    SEQUENCE {
    ue-RadioAccessCapabBandFDDList      UE-RadioAccessCapabBandFDDList
}

UE-RadioAccessCapability-v380ext ::=    SEQUENCE {
    ue-PositioningCapabilityExt-v380        UE-PositioningCapabilityExt-v380
}

UE-RadioAccessCapability-v3a0ext ::=    SEQUENCE {
    ue-PositioningCapabilityExt-v3a0        UE-PositioningCapabilityExt-v3a0
}
```

```
UE-RadioAccessCapability-v3g0ext ::=    SEQUENCE {
    ue-PositioningCapabilityExt-v3g0        UE-PositioningCapabilityExt-v3g0
}

UE-PositioningCapabilityExt-v380 ::=    SEQUENCE {
    rx-tx-TimeDifferenceType2Capable        BOOLEAN
}

UE-PositioningCapabilityExt-v3a0 ::=    SEQUENCE {
    validity-CellPCH-UraPCH                 ENUMERATED { true }
}

UE-PositioningCapabilityExt-v3g0 ::=    SEQUENCE {
    sfn-sfnType2Capability              ENUMERATED { true }
}

UE-RadioAccessCapabBandFDDList ::=  SEQUENCE (SIZE (1..maxFreqBandsFDD)) OF
                                        UE-RadioAccessCapabBandFDD

UE-RadioAccessCapabBandFDD ::=  SEQUENCE{
    radioFrequencyBandFDD               RadioFrequencyBandFDD,
    fddRF-Capability                    SEQUENCE {
        ue-PowerClass                       UE-PowerClassExt,
        txRxFrequencySeparation             TxRxFrequencySeparation
    }                                                       OPTIONAL,
    measurementCapability               MeasurementCapabilityExt
}

UE-RadioAccessCapability-v4b0ext ::=    SEQUENCE {
    pdcp-Capability-r4-ext              PDCP-Capability-r4-ext,
    tdd-CapabilityExt                   SEQUENCE {
        rf-Capability                       RF-Capability-r4-ext,
        physicalChannelCapability-LCR       PhysicalChannelCapability-LCR-r4,
        measurementCapability-r4-ext        MeasurementCapability-r4-ext
    }                                           OPTIONAL,
    -- IE " AccessStratumReleaseIndicator" is not needed in RRC CONNECTION SETUP COMPLETE
    accessStratumReleaseIndicator           AccessStratumReleaseIndicator   OPTIONAL
}

UE-RadioAccessCapabilityComp ::= SEQUENCE {
    totalAM-RLCMemoryExceeds10kB            BOOLEAN,
    rf-CapabilityComp                       RF-CapabilityComp
}

RF-CapabilityComp ::= SEQUENCE {
    fdd                     CHOICE {
        notSupported                    NULL,
        supported                       RF-CapabBandListFDDComp
    },
    tdd384-RF-Capability    CHOICE {
        notSupported                    NULL,
        supported                       RadioFrequencyBandTDDList
    },
    tdd128-RF-Capability    CHOICE {
        notSupported                    NULL,
        supported                       RadioFrequencyBandTDDList
    }
}

-- NOTE: This IE is the frequency separation in MHz
RF-CapabBandFDDComp ::= ENUMERATED { notSupported, mhz190,
                                     mhz174-8-205-2, mhz134-8-245-2 }

RF-CapabBandListFDDComp ::= SEQUENCE (SIZE (1..maxFreqBandsFDD)) OF
    -- the first entry corresponds with the first value of IE RadioFrequencyBandFDD,
    -- fdd2100, and so on
    RF-CapabBandFDDComp

UE-RadioAccessCapability-v590ext ::=    SEQUENCE {
    dl-CapabilityWithSimultaneousHS-DSCHConfig  DL-CapabilityWithSimultaneousHS-DSCHConfig
    OPTIONAL,
    pdcp-Capability-r5-ext              PDCP-Capability-r5-ext,
    rlc-Capability-r5-ext               RLC-Capability-r5-ext,
    physicalChannelCapability           PhysicalChannelCapability-hspdsch-r5,
    multiModeRAT-Capability-v590ext     MultiModeRAT-Capability-v590ext
}

UE-RadioAccessCapability-v5c0ext ::= SEQUENCE {
    pdcp-Capability-r5-ext2             PDCP-Capability-r5-ext2
}

    :
```

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo,
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DomainInformationListFull,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    AccessStratumReleaseIndicator,
    C-RNTI,
    ChipRateCapability,
    DL-CapabilityWithSimultaneousHS-DSCHConfig,
    DL-PhysChCapabilityFDD-v380ext,
    DL-PhysChCapabilityTDD,
    DL-PhysChCapabilityTDD-LCR-r4,
    GSM-Measurements,
    HSDSCH-physical-layer-category,
    FailureCauseWithProtErr,
    MaxHcContextSpace,
    MaximumAM-EntityNumberRLC-Cap,
    MaximumRLC-WindowSize,
    MaxNoPhysChBitsReceived,
    MaxPhysChPerFrame,
    MaxPhysChPerSubFrame-r4,
    MaxPhysChPerTS,
    MaxROHC-ContextSessions-r4,
    MaxTS-PerFrame,
    MaxTS-PerSubFrame-r4,
    MinimumSF-DL,
    MultiModeCapability,
    MultiRAT-Capability,
    NetworkAssistedGPS-Supported,
    RadioFrequencyBandTDDList,
    RLC-Capability,
    RRC-MessageSequenceNumber,
    SecurityCapability,
    SimultaneousSCCPCH-DPCH-Reception,
    STARTList,
    STARTSingle,
    START-Value,
    SupportOfDedicatedPilotsForChEstimation,
    TransportChannelCapability,
    TxRxFrequencySeparation,
    U-RNTI,
    UE-MultiModeRAT-Capability,
    UE-PowerClassExt,
    UE-RadioAccessCapabBandFDDList,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v3g0ext,
    UE-RadioAccessCapability-v4b0ext,
    UE-RadioAccessCapability-v590ext,
    UE-RadioAccessCapability-v5c0ext,
    UL-PhysChCapabilityFDD,
    UL-PhysChCapabilityTDD,
    UL-PhysChCapabilityTDD-LCR-r4,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
```

```
    RAB-InformationSetupList-r4,
    RAB-InformationSetupList-r5,
    RB-Identity,
    SRB-InformationSetupList,
    SRB-InformationSetupList-r5,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-CommonTransChInfo-r4,
    DL-AddReconfTransChInfoList,
    DL-AddReconfTransChInfoList-r4,
    DL-AddReconfTransChInfoList-r5,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-CommonTransChInfo-r4,
    UL-AddReconfTransChInfoList,
-- Physical Channel IEs :
    PrimaryCPICH-Info,
    TPC-CombinationIndex,
    ScramblingCodeChange,
    TGCFN,
    TGPSI,
    TGPS-ConfigurationParams,
-- Measurement IEs :
    Inter-FreqEventCriteriaList-v590ext,
    Intra-FreqEventCriteriaList-v590ext,
    IntraFreqEvent-1d-r5,
    IntraFreqReportingCriteria-1b-r5,
    InterRATCellInfoIndication,
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,
-- Other IEs :
    GERANIu-RadioAccessCapability,
    InterRAT-UE-RadioAccessCapabilityList,
    InterRAT-UE-RadioAccessCapability-v590ext,
    UESpecificBehaviourInformation1idle,
    UESpecificBehaviourInformation1interRAT

FROM InformationElements

    :

-- ************************************************
--
-- SRNC Relocation information
--
-- ************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                                laterNonCriticalExtensions          SEQUENCE {
                                    sRNC-RelocationInfo-v3d0ext         SRNC-RelocationInfo-v3d0ext-IEs,
                                    -- Container for additional R99 extensions
                                    sRNC-RelocationInfo-r3-add-ext      BIT STRING
                                    (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)        OPTIONAL,
                                    v3g0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v3g0ext         SRNC-RelocationInfo-v3g0ext-IEs,
                                        v4b0NonCriticalExtensions           SEQUENCE {
                                            sRNC-RelocationInfo-v4b0ext         SRNC-RelocationInfo-v4b0ext-IE
                                            v590NonCriticalExtensions           SEQUENCE {
                                                sRNC-RelocationInfo-v590ext
                                                                                    SRNC-RelocationInfo-v590ext-IE
                                                v5a0NonCriticalExtensions           SEQUENCE {
                                                    sRNC-RelocationInfo-v5a0ext
                                                                                    SRNC-RelocationInfo-v5a0ext-IE
                                                    v5b0NonCriticalExtensions           SEQUENCE {
                                                        sRNC-RelocationInfo-v5b0ext
```

```
                                                                    SRNC-RelocationInfo-v5b0ext-IE
                                                        v5c0NonCriticalExtensions           SEQUENCE {
                                                            sRNC-RelocationInfo-v5c0ext
                                                                                            SRNC-RelocationInfo-v5c0ext-IE
                                                            -- Reserved for future non critical extension
                                                            nonCriticalExtensions           SEQUENCE {}OPTION
                                                        }       OPTIONAL
                                                    }       OPTIONAL
                                                }       OPTIONAL
                                            }       OPTIONAL
                                        }       OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            },
            later-than-r3                   CHOICE {
                r4                              SEQUENCE {
                    sRNC-RelocationInfo-r4          SRNC-RelocationInfo-r4-IEs,
                    v4d0NonCriticalExtensions       SEQUENCE {
                        sRNC-RelocationInfo-v4d0ext     SRNC-RelocationInfo-v4d0ext-IEs,
                        -- Container for adding non critical extensions after freezing REL-5
                        sRNC-RelocationInfo-r4-add-ext      BIT STRING      OPTIONAL,
                        v590NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v590ext         SRNC-RelocationInfo-v590ext-IEs,
                            v5a0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v5a0ext         SRNC-RelocationInfo-v5a0ext-IEs,
                                v5b0NonCriticalExtensions           SEQUENCE {
                                    sRNC-RelocationInfo-v5b0ext         SRNC-RelocationInfo-v5b0ext-IEs,
                                    v5c0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v5c0ext         SRNC-RelocationInfo-v5c0ext-IEs,
                                        nonCriticalExtensions               SEQUENCE {} OPTIONAL
                                    }   OPTIONAL
                                }   OPTIONAL
                            }   OPTIONAL
                        }   OPTIONAL
                    }   OPTIONAL
                },
                criticalExtensions              CHOICE {
                    r5                              SEQUENCE {
                        sRNC-RelocationInfo-r5          SRNC-RelocationInfo-r5-IEs,
                        sRNC-RelocationInfo-r5-add-ext  BIT STRING      OPTIONAL,
                        v5a0NonCriticalExtensions       SEQUENCE {
                            sRNC-RelocationInfo-v5a0ext     SRNC-RelocationInfo-v5a0ext-IEs,
                            v5b0NonCriticalExtensions       SEQUENCE {
                                sRNC-RelocationInfo-v5b0ext     SRNC-RelocationInfo-v5b0ext-IEs,
                                v5c0NonCriticalExtensions           SEQUENCE {
                                    sRNC-RelocationInfo-v5c0ext         SRNC-RelocationInfo-v5c0ext-IEs,
                                    nonCriticalExtensions           SEQUENCE {}     OPTIONAL
                                }   OPTIONAL
                            }   OPTIONAL
                        }   OPTIONAL
                    },
                    criticalExtensions                  SEQUENCE {}
                }
            }
        }

        SRNC-RelocationInfo-r3-IEs ::=      SEQUENCE {
            -- Non-RRC IEs
                stateOfRRC                      StateOfRRC,
                stateOfRRC-Procedure            StateOfRRC-Procedure,
            -- Ciphering related information IEs
            -- If the extension v380 is included use the extension for the ciphering status per CN domain
                cipheringStatus                 CipheringStatus,
                calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
                -- The order of occurrence in the IE cipheringInfoPerRB-List is the
                -- same as the RBs in SRB-InformationSetupList in RAB-InformationSetupList.
                -- The signalling RBs are supposed to be listed
                -- first. Only UM and AM RBs that are ciphered are listed here
                cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
                count-C-List                    COUNT-C-List                        OPTIONAL,
                integrityProtectionStatus       IntegrityProtectionStatus,
                 -- In the IE srb-SpecificIntegrityProtInfo, the first information listed corresponds to
                 -- signalling radio bearer RB0 and after the order of occurrence is the same as the SRBs in
                -- SRB-InformationSetupList
                -- The target RNC may ignore the IE srb-SpecificIntegrityProtInfo if the
                -- IE integrityProtectionStatus has the value "not started".
                srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
                implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
```

```
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                                  OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos             OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                            OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList                OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                      OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList                OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                    OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList             OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                      OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList      OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                    OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList             OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                       OPTIONAL
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity                   CN-DomainIdentity,
        cipheringStatusList                 CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext        OPTIONAL,
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext        OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        cipheringInfoForSRB1-v3a0ext        CipheringInfoPerRB-List-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext        OPTIONAL,
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCiphering-v3a0ext      START-Value
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the IE startValueForCiphering-v3b0ext contains the start values for each CN Domain. The
        -- value of start indicated by the IE startValueForCiphering-v3a0ext should be set to the
        -- same value as the start-Value for the corresponding cn-DomainIdentity in the IE
        -- startValueForCiphering-v3b0ext
        startValueForCiphering-v3b0ext      STARTList2                              OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE “TargetRNC-ToSourceRNC-Container”.
        -- Only included if type is “UE involved”
        rb-IdentityForHOMessage             RB-Identity         OPTIONAL
}

SRNC-RelocationInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL
}

SRNC-RelocationInfo-v3g0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v3g0ext    UE-RadioAccessCapability-v3g0ext        OPTIONAL
}
```

```
SRNC-RelocationInfo-v3h0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList         TPC-CombinationInfoList     OPTIONAL,
        nonCriticalExtension            SEQUENCE {}                OPTIONAL
}

SRNC-RelocationInfo-v4d0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList     TPC-CombinationInfoList     OPTIONAL
}

TPC-CombinationInfoList ::= SEQUENCE (SIZE (1..maxRL)) OF
        TPC-Combination-Info

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle

SRNC-RelocationInfo-v4b0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v4b0ext    UE-RadioAccessCapability-v4b0ext        OPTIONAL
}

SRNC-RelocationInfo-v590ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v590ext    UE-RadioAccessCapability-v590ext        OPTIONAL,
        ue-RATSpecificCapability-v590ext    InterRAT-UE-RadioAccessCapability-v590ext   OPTIONAL
}

SRNC-RelocationInfo-v5a0ext-IEs ::= SEQUENCE {
        storedCompressedModeInfo        StoredCompressedModeInfo    OPTIONAL
}

SRNC-RelocationInfo-v5b0ext-IEs ::= SEQUENCE {
    interRATCellInfoIndication          InterRATCellInfoIndication          OPTIONAL
}

SRNC-RelocationInfo-v5c0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v5c0ext    UE-RadioAccessCapability-v5c0ext        OPTIONAL
}

    :
```

3GPP TSG-RAN2 Meeting #46
Scottsdale, USA, 14-18 Feb 2005

Tdoc ⌘R2-050728
(Revised: R2-050603)

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2517** ⌘**rev** **3** ⌘ Current version: **6.4.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Lossless DL RLC PDU size change
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	February, 2005
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

Ph2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*
Rel-7	*(Release 7)*

Reason for change: ⌘ For HS-DSCH, a different (larger) RLC PDU size for HS-DSCH bearers is needed for the maximum throughput, which is limited by the RLC PDU size, the round trip time in the system and the RLC window size. Therefore it is desirable to use a larger PDU size for HS-DSCH. Thus the PDU size needs to be reconfigured when switching between DCH and HS-DSCH. It may lead to significant data loss.

Summary of change: ⌘

- In this proposal only the DL lossless RLC PDU size change is assumed.
- Added a new UE PDCP capability (10.3.3.24): IE "Support of lossless DL RLC PDU size change"..
- In the IE "PDCP info" (8.6.4.10 and 10.3.4.2), the existing IE "Support for lossless SRNS relocation" is renamed in "Support for lossless SRNS relocation or for lossless DL RLC PDU size change". UTRAN will use this parameter to configure PDCP in the UE to support lossless DL RLC PDU size change for this RB. It is considered that this renaming does not have any backwards compatibility impact on UEs already supporting lossless SRNS relocation.
- In the "RLC info" (8.6.4.9) it is added that in case of DL RLC PDU size change and if the UE supports the lossless DL RLC PDU size change and PDCP was configured for that radio bearer with the IE "Support for lossless SRNS relocation or for lossless DL RLC PDU size change" set to TRUE, the UE shall include the current PDCP receive sequence number and the radio bearer identity for that radio bearer in the variable PDCP_SN_INFO.
  It should be noted that in the current specification, the transmission of the "RB with PDCP information list" is already covered in section 8.2.2.3 (originally intended for lossless SRNS relocation but reused for this new feature). It is reminded that in case of lossless SRNS relocation UTRAN may include in the DL

		message the “PDCP SN info” to provide the UE with the UL receive PDCP sequence numbers. The reception by the UE of this IE triggers the inclusion of the DL receive PDCP sequence numbers in the PDCP_SN_INFO (see 8.6.4.11)
Consequences if not approved:	⌘	Data loss at PDU size change implies that it is not feasible to change the RLC PDU size when reconfiguring between DCH and HS-DSCH

Clauses affected:	⌘	8.6.4.9, 8.6.4.10, 10.3.3.24, 10.3.4.2, 10.3.4.18, 10.3.4.22, 11.2, 11.3 and 11.5				
Other specs affected:	⌘	**Y**	**N**		⌘	25.323, 25.306, 25.301
		X		Other core specifications		
			X	Test specifications		
			X	O&M Specifications		
Other comments:	⌘					

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall:

1> be able to receive any of the following messages:

2> RADIO BEARER SETUP message; or

2> RADIO BEARER RECONFIGURATION message; or

2> RADIO BEARER RELEASE message; or

2> TRANSPORT CHANNEL RECONFIGURATION message; or

2> PHYSICAL CHANNEL RECONFIGURATION message;

1> be able to perform a hard handover and apply physical layer synchronisation procedure A as specified in [29], even if no prior UE measurements have been performed on the target cell and/or frequency.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

1> if the UE has a pending "TGPS reconfiguration CFN" at the activation time received in the reconfiguration message and the reconfiguration requests a timing re-initialised hard handover (see subclause 8.3.5.1), the UE may:

2> abort the pending CM activation;

2> set the CM_PATTERN_ACTIVATION_ABORTED to TRUE.

1> otherwise:

2> set the CM_PATTERN_ACTIVATION_ABORTED to FALSE.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

1> set the variable ORDERED_RECONFIGURATION to TRUE;

1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:

2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).

1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:

2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and

2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.

1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

In case the UE receives a RADIO BEARER RECONFIGURATION message with the IE "Specification mode" set to "Preconfiguration" while the message is not sent through GERAN *Iu mode*, the UE behaviour is unspecified.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

If after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> clear any stored IE "Downlink HS-PDSCH information";

1> determine the value for the HS_DSCH_RECEPTION variable and take the corresponding actions as described in subclause 8.5.25.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

2> if "Primary CCPCH Info" is included indicating a new target cell and "New H-RNTI" is not specified:

3> remove any H-RNTI from MAC;

3> clear the variable H_RNTI;

3> determine the value for the HS_DSCH_RECEPTION variable and take the corresponding actions as described in subclause 8.5.25.

1> if "DPCH frame offset" is included for one or more RLs in the active set:

2> use its value to determine the beginning of the DPCH frame in accordance with the following:

3> if the received IE "DPCH frame offset" is across the value range border compared to the DPCH frame offset currently used by the UE:

4> consider it to be a request to adjust the timing with 256 chips across the frame border (e.g. if the UE receives value 0 while the value currently used is 38144 consider this as a request to adjust the timing with +256 chips).

3> if after taking into account value range borders, the received IE "DPCH frame offset" corresponds to a request to adjust the timing with a step exceeding 256 chips:

4> set the variable INVALID_CONFIGURATION to TRUE.

3> and the procedure ends.

2> adjust the radio link timing accordingly.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4];

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE leaves CELL_FACH state, the UE shall:

1> stop timer T305.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

2> if the variable PDCP_SN_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".

2> else:

3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";

3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

2> re-establish the RLC entity for RB2;

2> for the downlink and the uplink, apply the ciphering configuration as follows:

3> if the received re-configuation message included the IE "Ciphering Mode Info":

4> use the ciphering configuration in the received message when transmitting the response message.

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.

4> apply the new ciphering configuration immediately following RLC re-establishment.

3> else:

4> continue using the current ciphering configuration.

2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN of COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":

2> if the variable START_VALUE_TO_TRANSMIT is set:

3> include and set the IE "START" to the value of that variable.

2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the received reconfiguration message contained the IE "Ciphering mode info":

2> if the reconfiguration message is not used to perform SRNS relocation with change of ciphering algorithm:

3> the UE behaviour is not specified.

2> if the message is used to perform a timing re-initialised hard handover:

3> if IE "Ciphering activation time for DPCH" is included:

4> the UE behaviour is not specified.

2> else:

3> if the reconfiguration message is used to setup radio bearer(s) using RLC-TM; or

3> if radio bearer(s) using RLC-TM already exist:

4> if IE "Ciphering activation time for DPCH" is not included:

5> the UE behaviour is not specified.

2> the UE may include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info":

2> if prior to this procedure there exist no transparent mode RLC radio bearers:

3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and

3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists:

4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters URA_PCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 are fulfilled after cell selection:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

8.6.4.9 RLC Info

Upon reception of the IE "RLC Info", the UE shall:

1> configure the transmitting and receiving RLC entities in the UE for that radio bearer accordingly;

1> if the IE "Polling info" is present in the IE "RLC info":

2> for each present IE in the IE "Polling info":

3> configure RLC to use the corresponding function according to the value of the IE.

2> for each absent IE in the IE "Polling info":

3> configure RLC to not use the corresponding function.

1> if the IE "Polling info" is absent:

2> configure RLC to not use the polling functionality.

1> if the IE "Downlink RLC STATUS info" is present in the IE "RLC info" (this IE is present for AM RLC):

2> for each present IE in the IE "Downlink RLC STATUS info":

3> configure RLC to use the corresponding function according to value of the IE.

2> for each absent IE in the IE "Downlink RLC STATUS info":

3> configure RLC to not use the corresponding function.

1> if the IE "Transmission RLC discard" is present:

2> configure the discard procedure in RLC according to the IE "Transmission RLC discard"

1> if the IE "Transmission RLC discard" is absent (only possible for TM RLC and UM RLC):

2> do not configure SDU discard in RLC.

1> if the IE "Downlink RLC mode" is present and is set to "AM RLC":

2> if IE "DL RLC PDU size" is not present:

3> determining the downlink RLC PDU size will be handled at RLC level as described in [16], without any configuration from RRC.

NOTE: The case where this mandatory IE is not present is meant to handle the interaction with a network using an earlier release of the specification.

2> else, if the IE "DL RLC PDU size" is present and no downlink RLC PDU size is currently set in the RLC entity:

3> configure the corresponding RLC entity with the downlink RLC PDU size.

2> else, if the IE "DL RLC PDU size" is present and its value is different from the one currently set in the RLC entity:

NOTE: The downlink RLC PDU size set in the RLC entity can either be explicitly configured or, in case no explicit configuration is provided, derived by the first received RLC PDU [16].

3> if the IE "one sided RLC re-establishment" is set to TRUE:

4> re-establish the receiving side of the corresponding RLC entity.

3> else:

4> re-establish the corresponding RLC entity.

3> configure the corresponding RLC entity with the new downlink RLC PDU size;

3> if the UE supports the lossless DL RLC PDU size change and PDCP was configured for that radio bearer with the IE "Support for lossless SRNS relocation or for lossless DL RLC PDU size change" set to TRUE:

4> include the current DL PDCP receive sequence number and the radio bearer identity for that radio bearer in the variable PDCP_SN_INFO.

3> if the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" for this radio bearer is set to "Started":

4> if the RLC re-establishment is caused by a CELL UPDATE CONFIRM:

5> if only the receiving side of the RLC entity was re-established:

6> set the HFN values for the corresponding RLC entity in downlink equal to the value of the IE "START" included in the latest transmitted CELL UPDATE message for this CN domain.

5> if the whole RLC entity was re-established:

6> set the HFN values for the corresponding RLC entity in uplink and downlink equal to the value of the IE "START" included in the latest transmitted CELL UPDATE message for this CN domain.

4> if the RLC re-establishment is caused by a reconfiguration message:

5> if only the receiving side of the RLC entity was re-established:

6> set the HFN values for the corresponding RLC entity in downlink equal to the value of the IE "START" that will be included in the reconfiguration complete message for this CN domain.

5> if the whole RLC entity was re-established:

6> set the HFN values for the corresponding RLC entity in uplink and downlink equal to the value of the IE "START" that will be included in the reconfiguration complete message for this CN domain.

1> if the IE "Downlink RLC mode" is present and is set to "UM RLC":

2> if the IE "DL UM RLC LI size" is not present:

3> configure the corresponding RLC entity with an LI size of 7 bits;

NOTE: The case where this mandatory IE is not present is meant to handle the interaction with a network using an earlier release of the specification.

2> else:

3> configure the corresponding RLC entity with the LI size indicated in the IE "DL UM RLC LI size".

8.6.4.10 PDCP Info

For RFC 3095:

1> the chosen MAX_CID shall not be greater than the value "Maximum number of ROHC context sessions" as indicated in the IE "PDCP Capability";

1> the configuration for the PACKET_SIZES_ALLOWED is FFS.

If IE "PDCP info" is included, the UE shall:

1> if the radio bearer is connected to a CS domain radio access bearer:

2> set the variable INVALID_CONFIGURATION to TRUE.

1> if the IE "PDCP PDU header" is set to the value "absent":

2> if the IE "Support for lossless SRNS relocation or for lossless DL RLC PDU size change" is true:

3> set the variable INVALID_CONFIGURATION to TRUE.

1> if the IE "PDCP PDU header" is set to the value "present":

2> include PDCP headers in both uplink and downlink PDCP PDUs;

2> if the IE "Support for lossless SRNS relocation or for lossless DL RLC PDU size change" is false:

3> if the IE "Header compression information" is absent:

4> set the variable INVALID_CONFIGURATION to TRUE.

1> if the IE "Header compression information" is absent:

2> not use Header compression after the successful completion of this procedure;

2> remove any stored configuration for the IE "Header compression information".

1> if the IE "Header compression information" is present:

2> if the IE "Algorithm Type" is set to "RFC 2507":

3> if the UE capability "Maximum header compression context space", as specified in [35], is exceeded with this configuration:

4> set the variable INVALID_CONFIGURATION to TRUE.

1> configure the PDCP entity for that radio bearer accordingly;

1> configure the RLC entity for that radio bearer according to the value of the IE "Support for lossless SRNS relocation or for lossless DL RLC PDU size change ";

1> set the PROFILES parameter, used by inband ROHC profile negotiation, for this PDCP entity for both UL and DL equal to the list of ROHC profiles received in the IE "PDCP info". A UE complying to this version of the protocol shall support ROHC profiles 0x0000 (ROHC uncompressed), 0x0001 (ROHC RTP), 0x0002 (ROHC UDP) and 0x0003 (ROHC ESP) (see [52]).

8.6.4.11 PDCP SN Info

If the IE "PDCP SN Info" is included, the UE shall:

1> transfer the sequence number to the PDCP entity for the radio bearer;

1> configure the RLC entity for the radio bearer to stop;

1> include the current PDCP receive sequence number and the radio bearer identity for the radio bearer in the variable PDCP_SN_INFO.

10.3.3.24 PDCP capability

Indicates which algorithms and which value range of their parameters are supported by the UE.

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Support for lossless SRNS relocation	MP		Boolean	TRUE means supported	
Support for lossless DL RLC PDU size change	~~MD~~CV-*not_iRAT_HoInfo2*		Boolean	TRUE means supported Default value is FALSE.	REL-5
Support for RFC2507	MP		Boolean	TRUE means supported	
>Max HC context space	MP		Integer(1024, 2048, 4096, 8192,		
			16384, 32768, 65536, 131072)	Note 1	REL-5
Support for RFC 3095	CV-*not_iRAT_HoInfo*		Boolean	TRUE means supported	REL-4
>Maximum number of ROHC context sessions	MD		Integer(2, 4, 8, 12, 16, 24, 32, 48, 64, 128, 256, 512, 1024, 16384)	Default value is 16.	REL-4
>Reverse decompression depth	MD		Integer (0..65535)	Default value is 0 (reverse decompression is not supported).	REL-4
>Support for RFC 3095 context relocation	MP		Boolean	TRUE means supported	REL-5
Note 1: The IE "Max HC context space" values 16384, 32768, 65536 and 131072 are not used in the INTER ~~———~~RAT HANDOVER INFO message.					

Condition	Explanation
not_iRAT_HoInfo	The IE is not needed in the INTER RAT HANDOVER INFO message. Otherwise, it is mandatory present.
not_iRAT_HoInfo2	The IE is not needed in the INTER RAT HANDOVER INFO message. Otherwise, it is mandatory default.

10.3.4.2 PDCP info

The purpose of the PDCP info IE is to indicate which algorithms shall be established and to configure the parameters of each of the algorithms.

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Support for lossless SRNS relocation or for lossless DL RLC PDU size change	CV-*LosslessCriteria*		Boolean	TRUE means support	
Max PDCP SN window size	CV-*Lossless*		Enumerated(sn255, sn65535)	Maximum PDCP sequence number window size. The handling of sequence number when the Max PDCP SN window size is 255 is specified in [23].	
PDCP PDU header	MD		Enumerated (present, absent)	Whether a PDCP PDU header is existent or not. Default value is "present"	
Header compression information	OP	1 to <maxPDCPAlgoType>			
>CHOICE *algorithm type*	MP				
>>RFC 2507				Header compression according to IETF standard RFC 2507	
>>>F_MAX_PERIOD	MD		Integer (1..65535)	Largest number of compressed non-TCP headers that may be sent without sending a full header. Default value is 256.	
>>>F_MAX_TIME	MD		Integer (1..255)	Compressed headers may not be sent more than F_MAX_TIME seconds after sending last full header. Default value is 5.	
>>>MAX_HEADER	MD		Integer (60..65535)	The largest header size in octets that may be compressed. Default value is 168.	
>>>TCP_SPACE	MD		Integer (3..255)	Maximum CID value for TCP connections. Default value is 15.	
>>>NON_TCP_SPACE	MD		Integer (3..65535)	Maximum CID value for non-TCP connections. Default value is 15.	
>>>EXPECT_REORDERING	MD		Enumerated (reordering	Whether the algorithm shall	

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			not expected, reordering expected)	reorder PDCP SDUs or not. Default value is "reordering not expected".	
>>RFC 3095				Header compression according to IETF standard RFC 3095	REL-4
>>>Profiles	MP	1 to <maxROHC-Profiles>		Profiles supported by both compressor and decompressor in both UE and UTRAN. Profile 0 shall always be supported.	REL-4
>>>>Profile instance	MP		Integer(1.. 3)	1 = 0x0001, 2 = 0x0002, 3 = 0x0003 (see [52])	REL-4
>>>Uplink	OP			Indicates the necessary information elements for Uplink.	REL-4
>>>>CID inclusion info	MP		Enumerated (PDCP header, RFC3095 packet format)	Configures which method shall be used to carry RFC3095 CID values.	REL-4
>>>>Max_CID	MD		Integer (1.. 16383)	Highest context ID number to be used by the UE compressor. Default value is 15.	REL-4
>>>>Packet_Sizes_Allowed	OP	1 to <maxROHC-PacketSizes>		List of packet sizes that are allowed to be produced by the UE compressor.	REL-4
>>>>>Packet size	MP		Integer (2 .. 1500)	Packet size as defined in RFC 3095.	REL-4
>>>Downlink	OP			Indicates the necessary information elements for Downlink.	REL-4
>>>>CID inclusion info	MP		Enumerated (PDCP header, RFC3095 packet format)	Configures which method shall be used to carry RFC3095 CID values.	REL-4
>>>>Max_CID	MD		Integer (1.. 16383)	Highest context ID number to be used by the UE decompressor. Default value is 15.	REL-4
>>>>Reverse_Decompression_Depth	MD		Integer (0..65535)	Determines whether reverse decompression should be used or	REL-4

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
				not and the maximum number of packets that can be reverse decompressed by the UE decompressor. Default value is 0 (reverse decompression shall not be used).	

Condition	Explanation
LosslessCriteria	This IE is mandatory present if the IE "RLC mode" is "Acknowledged", the IE "In-sequence delivery " is "True" and the IE "SDU Discard Mode" is "No discard" and not needed otherwise.
Lossless	This IE is mandatory present if the IE "Support for lossless SRNS relocation or for lossless RLC PDU size change " Is TRUE, otherwise it is not needed.

10.3.4.22 RB with PDCP information

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
RB identity	MP		RB identity 10.3.4.16		
PDCP SN info	MP		PDCP SN info 10.3.4.3	PDCP sequence number info from the sender of the message for lossless SRNS relocation.	
				PDCP sequence number info from the sender of the message for lossless SRNS relocation or for lossless DL RLC PDU size change.	REL-5

11.2 PDU definitions

```
--**************************************************************
--
-- TABULAR: The message type and integrity check info are not
-- visible in this module as they are defined in the class module.
-- Also, all FDD/TDD specific choices have the FDD option first
-- and TDD second, just for consistency.
--
--**************************************************************

PDU-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

--**************************************************************
--
-- IE parameter types from other modules
--
--**************************************************************

IMPORTS

-- Core Network IEs :
    CN-DomainIdentity,
    CN-InformationInfo,
    CN-InformationInfoFull,
    NAS-Message,
    PagingRecordTypeID,
-- UTRAN Mobility IEs :
    CellIdentity,
    CellIdentity-PerRL-List,
    URA-Identity,
-- User Equipment IEs :
    AccessStratumReleaseIndicator,
    ActivationTime,
    C-RNTI,
    CapabilityUpdateRequirement,
    CapabilityUpdateRequirement-r4,
    CapabilityUpdateRequirement-r4-ext,
    CapabilityUpdateRequirement-r5,
    CellUpdateCause,
    CipheringAlgorithm,
    CipheringModeInfo,
    DSCH-RNTI,
    EstablishmentCause,
    FailureCauseWithProtErr,
    FailureCauseWithProtErrTrId,
    GroupReleaseInformation,
    H-RNTI,
    UESpecificBehaviourInformation1idle,
    UESpecificBehaviourInformation1interRAT,
    InitialUE-Identity,
    IntegrityProtActivationInfo,
    IntegrityProtectionModeInfo,
    N-308,
    PagingCause,
    PagingRecordList,
    PagingRecord2List-r5,
    ProtocolErrorIndicator,
    ProtocolErrorIndicatorWithMoreInfo,
    RadioFrequencyBandTDDList,
    Rb-timer-indicator,
    RedirectionInfo,
    RejectionCause,
    ReleaseCause,
    RF-CapabilityComp,
    RRC-StateIndicator,
    RRC-TransactionIdentifier,
    SecurityCapability,
    START-Value,
    STARTList,
    SystemSpecificCapUpdateReq-v590ext,
    U-RNTI,
    U-RNTI-Short,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v3g0ext,
```

```
    UE-RadioAccessCapability-v4b0ext,
    UE-RadioAccessCapability-v590ext,
    UE-RadioAccessCapability-v5c0ext,
    UE-RadioAccessCapabilityComp,
    DL-PhysChCapabilityFDD-v380ext,
    UE-ConnTimersAndConstants,
    UE-ConnTimersAndConstants-v3a0ext,
    UE-ConnTimersAndConstants-r5,
    UE-SecurityInformation,
    URA-UpdateCause,
    UTRAN-DRX-CycleLengthCoefficient,
    WaitTime,
-- Radio Bearer IEs :
    DefaultConfigIdentity,
    DefaultConfigIdentity-r4,
    DefaultConfigIdentity-r5,
    DefaultConfigMode,
    DL-CounterSynchronisationInfo,
    DL-CounterSynchronisationInfo-r5,
    PredefinedConfigIdentity,
    PredefinedConfigStatusList,
    PredefinedConfigStatusListComp,
    PredefinedConfigSetWithDifferentValueTag,
    RAB-Info,
    RAB-Info-Post,
    RAB-InformationList,
    RAB-InformationReconfigList,
    RAB-InformationSetupList,
    RAB-InformationSetupList-r4,
    RAB-InformationSetupList-r5,
    RB-ActivationTimeInfoList,
    RB-COUNT-C-InformationList,
    RB-COUNT-C-MSB-InformationList,
    RB-IdentityList,
    RB-InformationAffectedList,
    RB-InformationAffectedList-r5,
    RB-InformationReconfigList,
    RB-InformationReconfigList-r4,
    RB-InformationReconfigList-r5,
    RB-InformationReleaseList,
    RB-PDCPContextRelocationList,
    SRB-InformationSetupList,
    SRB-InformationSetupList-r5,
    SRB-InformationSetupList2,
    UL-CounterSynchronisationInfo,
-- Transport Channel IEs:
    CPCH-SetID,
    DL-AddReconfTransChInfo2List,
    DL-AddReconfTransChInfoList,
    DL-AddReconfTransChInfoList-r4,
    DL-AddReconfTransChInfoList-r5,
    DL-CommonTransChInfo,
    DL-CommonTransChInfo-r4,
    DL-DeletedTransChInfoList,
    DL-DeletedTransChInfoList-r5,
    DRAC-StaticInformationList,
    TFC-Subset,
    TFCS-Identity,
    UL-AddReconfTransChInfoList,
    UL-CommonTransChInfo,
    UL-CommonTransChInfo-r4,
    UL-DeletedTransChInfoList,
-- Physical Channel IEs :
    Alpha,
    CCTrCH-PowerControlInfo,
    CCTrCH-PowerControlInfo-r4,
    CCTrCH-PowerControlInfo-r5,
    ConstantValue,
    ConstantValueTdd,
    CPCH-SetInfo,
    DL-CommonInformation,
    DL-CommonInformation-r4,
    DL-CommonInformation-r5,
    DL-CommonInformationPost,
    DL-HSPDSCH-Information,
    DL-InformationPerRL-List,
    DL-InformationPerRL-List-r4,
    DL-InformationPerRL-List-r5,
    DL-InformationPerRL-List-r5bis,
    DL-InformationPerRL-ListPostFDD,
    DL-InformationPerRL-PostTDD,
    DL-InformationPerRL-PostTDD-LCR-r4,
    DL-PDSCH-Information,
```

```
    DL-TPC-PowerOffsetPerRL-List,
    DPC-Mode,
    DPCH-CompressedModeStatusInfo,
    FrequencyInfo,
    FrequencyInfoFDD,
    FrequencyInfoTDD,
    HS-SICH-Power-Control-Info-TDD384,
    MaxAllowedUL-TX-Power,
    OpenLoopPowerControl-IPDL-TDD-r4,
    PDSCH-CapacityAllocationInfo,
    PDSCH-CapacityAllocationInfo-r4,
    PDSCH-Identity,
    PrimaryCPICH-Info,
    PrimaryCCPCH-TX-Power,
    PUSCH-CapacityAllocationInfo,
    PUSCH-CapacityAllocationInfo-r4,
    PUSCH-Identity,
    PUSCH-SysInfoList-HCR-r5,
    PDSCH-SysInfoList-HCR-r5,
    RL-AdditionInformationList,
    RL-RemovalInformationList,
    SpecialBurstScheduling,
    SSDT-Information,
    TFC-ControlDuration,
    SSDT-UL,
    TimeslotList,
    TimeslotList-r4,
    TX-DiversityMode,
    UL-ChannelRequirement,
    UL-ChannelRequirement-r4,
    UL-ChannelRequirement-r5,
    UL-ChannelRequirementWithCPCH-SetID,
    UL-ChannelRequirementWithCPCH-SetID-r4,
    UL-ChannelRequirementWithCPCH-SetID-r5,
    UL-DPCH-Info,
    UL-DPCH-Info-r4,
    UL-DPCH-Info-r5,
    UL-DPCH-InfoPostFDD,
    UL-DPCH-InfoPostTDD,
    UL-DPCH-InfoPostTDD-LCR-r4,
    UL-SynchronisationParameters-r4,
    UL-TimingAdvance,
    UL-TimingAdvanceControl,
    UL-TimingAdvanceControl-r4,
-- Measurement IEs :
    AdditionalMeasurementID-List,
    DeltaRSCP,
    Frequency-Band,
    EventResults,
    Inter-FreqEventCriteriaList-v590ext,
    Intra-FreqEventCriteriaList-v590ext,
    IntraFreqReportingCriteria-1b-r5,
    IntraFreqEvent-1d-r5,
    InterFreqEventResults-LCR-r4-ext,
    InterRATCellInfoIndication,
    InterRAT-TargetCellDescription,
    MeasuredResults,
    MeasuredResults-v390ext,
    MeasuredResults-v590ext,
    MeasuredResultsList,
    MeasuredResultsList-LCR-r4-ext,
    MeasuredResultsOnRACH,
    MeasurementCommand,
    MeasurementCommand-r4,
    MeasurementIdentity,
    MeasurementReportingMode,
    PrimaryCCPCH-RSCP,
    SFN-Offset-Validity,
    TimeslotListWithISCP,
    TrafficVolumeMeasuredResultsList,
    UE-Positioning-GPS-AssistanceData,
    UE-Positioning-Measurement-v390ext,
    UE-Positioning-OTDOA-AssistanceData,
    UE-Positioning-OTDOA-AssistanceData-r4ext,
    UE-Positioning-OTDOA-AssistanceData-UEB,
-- Other IEs :
    BCCH-ModificationInfo,
    CDMA2000-MessageList,
    GERANIu-MessageList,
    GERAN-SystemInformation,
    GSM-MessageList,
    InterRAT-ChangeFailureCause,
    InterRAT-HO-FailureCause,
    InterRAT-UE-RadioAccessCapabilityList,
```

```
    InterRAT-UE-RadioAccessCapability-v590ext,
    InterRAT-UE-SecurityCapList,
    IntraDomainNasNodeSelector,
    ProtocolErrorMoreInformation,
    Rplmn-Information,
    Rplmn-Information-r4,
    SegCount,
    SegmentIndex,
    SFN-Prime,
    SIB-Data-fixed,
    SIB-Data-variable,
    SIB-Type
FROM InformationElements

    :

-- ************************************************
--
-- RRC CONNECTION SETUP COMPLETE
--
-- ************************************************

RRCConnectionSetupComplete ::= SEQUENCE {
    -- TABULAR: Integrity protection shall not be performed on this message.
    -- User equipment IEs
        rrc-TransactionIdentifier       RRC-TransactionIdentifier,
        startList                       STARTList,
        ue-RadioAccessCapability        UE-RadioAccessCapability            OPTIONAL,
    --  Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- Non critical extensions
        v370NonCriticalExtensions           SEQUENCE {
            rrcConnectionSetupComplete-v370ext  RRCConnectionSetupComplete-v370ext,
            v380NonCriticalExtensions           SEQUENCE {
                rrcConnectionSetupComplete-v380ext  RRCConnectionSetupComplete-v380ext-IEs,
                -- Reserved for future non critical extension
                v3a0NonCriticalExtensions           SEQUENCE {
                    rrcConnectionSetupComplete-v3a0ext  RRCConnectionSetupComplete-v3a0ext-IEs,
                    laterNonCriticalExtensions          SEQUENCE {
                        -- Container for additional R99 extensions
                        rrcConnectionSetupComplete-r3-add-ext       BIT STRING      OPTIONAL,
                        v3g0NonCriticalExtensions           SEQUENCE {
                            rrcConnectionSetupComplete-v3g0ext  RRCConnectionSetupComplete-v3g0ext-IEs,
                            v4b0NonCriticalExtensions           SEQUENCE {
                                rrcConnectionSetupComplete-v4b0ext
                                                                RRCConnectionSetupComplete-v4b0ext-IEs,
                                v590NonCriticalExtensions           SEQUENCE {
                                    rrcConnectionSetupComplete-v590ext
                                                                RRCConnectionSetupComplete-v590ext-IEs,
                                    v5c0NonCriticalExtensions           SEQUENCE {
                                        rrcConnectionSetupComplete-v5c0ext
                                                                RRCConnectionSetupComplete-v5c0ext-IEs,
                                        nonCriticalExtensions               SEQUENCE {}     OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
        }       OPTIONAL
}

RRCConnectionSetupComplete-v370ext ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext    OPTIONAL
}

RRCConnectionSetupComplete-v380ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext
}

RRCConnectionSetupComplete-v3a0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext    OPTIONAL
}

RRCConnectionSetupComplete-v3g0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v3g0ext    UE-RadioAccessCapability-v3g0ext    OPTIONAL
}
```

```
RRCConnectionSetupComplete-v4b0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v4b0ext        UE-RadioAccessCapability-v4b0ext        OPTIONAL
}

RRCConnectionSetupComplete-v590ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v590ext        UE-RadioAccessCapability-v590ext        OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability-v590ext        InterRAT-UE-RadioAccessCapability-v590ext   OPTIONAL
}

RRCConnectionSetupComplete-v5c0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v5c0ext        UE-RadioAccessCapability-v5c0ext        OPTIONAL
}

    :

-- ************************************************
--
-- UE CAPABILITY INFORMATION
--
-- ************************************************

UECapabilityInformation ::= SEQUENCE {
    -- User equipment IEs
        rrc-TransactionIdentifier       RRC-TransactionIdentifier           OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability            OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList
    OPTIONAL,
        v370NonCriticalExtensions           SEQUENCE {
            ueCapabilityInformation-v370ext UECapabilityInformation-v370ext,
            v380NonCriticalExtensions           SEQUENCE {
                ueCapabilityInformation-v380ext     UECapabilityInformation-v380ext-IEs,
                v3a0NonCriticalExtensions           SEQUENCE {
                    ueCapabilityInformation-v3a0ext     UECapabilityInformation-v3a0ext-IEs,
                    laterNonCriticalExtensions          SEQUENCE {
                        -- Container for additional R99 extensions
                        ueCapabilityInformation-r3-add-ext      BIT STRING      OPTIONAL,
                        -- Reserved for future non critical extension
                        v4b0NonCriticalExtensions           SEQUENCE {
                            ueCapabilityInformation-v4b0ext     UECapabilityInformation-v4b0ext,
                            v590NonCriticalExtensions           SEQUENCE {
                                ueCapabilityInformation-v590ext     UECapabilityInformation-v590ext,
                                v5c0NonCriticalExtensions           SEQUENCE {
                                    ueCapabilityInformation-v5c0ext
                                                                    UECapabilityInformation-v5c0ext,
                                    nonCriticalExtensions               SEQUENCE {}     OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
        }       OPTIONAL
}

UECapabilityInformation-v370ext ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v370ext        UE-RadioAccessCapability-v370ext        OPTIONAL
}

UECapabilityInformation-v380ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v380ext        UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext          DL-PhysChCapabilityFDD-v380ext
}

UECapabilityInformation-v3a0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v3a0ext        UE-RadioAccessCapability-v3a0ext        OPTIONAL
}

UECapabilityInformation-v4b0ext ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v4b0ext        UE-RadioAccessCapability-v4b0ext        OPTIONAL
}

UECapabilityInformation-v590ext ::= SEQUENCE {
    -- User equipment IEs
```

```
        ue-RadioAccessCapability-v3g0ext        UE-RadioAccessCapability-v3g0ext        OPTIONAL,
        ue-RadioAccessCapability-v590ext        UE-RadioAccessCapability-v590ext        OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability-v590ext    InterRAT-UE-RadioAccessCapability-v590ext    OPTIONAL
}

UECapabilityInformation-v5c0ext ::= SEQUENCE {
    -- User equipment IEs
        ue-RadioAccessCapability-v5c0ext        UE-RadioAccessCapability-v5c0ext        OPTIONAL
}

    :
```

11.3 Information element definitions

```
    :

PDCP-Capability ::=                 SEQUENCE {
    losslessSRNS-RelocationSupport      BOOLEAN,
    -- If present, the "maxHcContextSpace" in the IE "PDCP-Capability-r5-ext" overrides the
    -- "supported" value in this IE. The value in this IE may be used by a pre-REL-5 UTRAN.
    supportForRfc2507                   CHOICE {
        notSupported                        NULL,
        supported                           MaxHcContextSpace
    }
}

PDCP-Capability-r4-ext ::=          SEQUENCE {
    supportForRfc3095               CHOICE {
        notSupported                        NULL,
        supported                           SEQUENCE {
            maxROHC-ContextSessions             MaxROHC-ContextSessions-r4  DEFAULT s16,
            reverseCompressionDepth             INTEGER (0..65535)          DEFAULT 0
        }
    }
}

PDCP-Capability-r5-ext ::=          SEQUENCE {
    supportForRfc3095ContextRelocation      BOOLEAN,
    maxHcContextSpace                       MaxHcContextSpace-r5-ext    OPTIONAL
}

PDCP-Capability-r5-ext2 ::=         SEQUENCE {
    losslessDLRLC-PDUSizeChange         ENUMERATED { true }                 OPTIONAL
}

    :

UE-RadioAccessCapability ::=        SEQUENCE {
    -- UE-RadioAccessCapability is compatible with R99, although accessStratumReleaseIndicator
    -- is removed from this IE, since its encoding did not does in bits. The
    -- accessStratumReleaseIndicator is provided in the relevant REL-4 extension IEs.
    pdcp-Capability                     PDCP-Capability,
    rlc-Capability                      RLC-Capability,
    transportChannelCapability          TransportChannelCapability,
    rf-Capability                       RF-Capability,
    physicalChannelCapability           PhysicalChannelCapability,
    ue-MultiModeRAT-Capability          UE-MultiModeRAT-Capability,
    securityCapability                  SecurityCapability,
    ue-positioning-Capability           UE-Positioning-Capability,
    measurementCapability               MeasurementCapability       OPTIONAL
}

UE-RadioAccessCapabilityInfo ::=    SEQUENCE {
    ue-RadioAccessCapability            UE-RadioAccessCapability,
    ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext
}

UE-RadioAccessCapability-v370ext ::=    SEQUENCE {
    ue-RadioAccessCapabBandFDDList      UE-RadioAccessCapabBandFDDList
}

UE-RadioAccessCapability-v380ext ::=    SEQUENCE {
    ue-PositioningCapabilityExt-v380        UE-PositioningCapabilityExt-v380
}

UE-RadioAccessCapability-v3a0ext ::=    SEQUENCE {
    ue-PositioningCapabilityExt-v3a0        UE-PositioningCapabilityExt-v3a0
}
```

```
UE-RadioAccessCapability-v3g0ext ::=    SEQUENCE {
    ue-PositioningCapabilityExt-v3g0        UE-PositioningCapabilityExt-v3g0
}

UE-PositioningCapabilityExt-v380 ::=    SEQUENCE {
    rx-tx-TimeDifferenceType2Capable        BOOLEAN
}

UE-PositioningCapabilityExt-v3a0 ::=    SEQUENCE {
    validity-CellPCH-UraPCH                 ENUMERATED { true }
}

UE-PositioningCapabilityExt-v3g0 ::=    SEQUENCE {
    sfn-sfnType2Capability              ENUMERATED { true }
}

UE-RadioAccessCapabBandFDDList ::=  SEQUENCE (SIZE (1..maxFreqBandsFDD)) OF
                                        UE-RadioAccessCapabBandFDD

UE-RadioAccessCapabBandFDD ::=  SEQUENCE{
    radioFrequencyBandFDD               RadioFrequencyBandFDD,
    fddRF-Capability                    SEQUENCE {
        ue-PowerClass                       UE-PowerClassExt,
        txRxFrequencySeparation             TxRxFrequencySeparation
    }                                                       OPTIONAL,
    measurementCapability               MeasurementCapabilityExt
}

UE-RadioAccessCapability-v4b0ext ::=    SEQUENCE {
    pdcp-Capability-r4-ext              PDCP-Capability-r4-ext,
    tdd-CapabilityExt                   SEQUENCE {
        rf-Capability                       RF-Capability-r4-ext,
        physicalChannelCapability-LCR       PhysicalChannelCapability-LCR-r4,
        measurementCapability-r4-ext        MeasurementCapability-r4-ext
    }                                           OPTIONAL,
    -- IE " AccessStratumReleaseIndicator" is not needed in RRC CONNECTION SETUP COMPLETE
    accessStratumReleaseIndicator           AccessStratumReleaseIndicator   OPTIONAL
}

UE-RadioAccessCapabilityComp ::= SEQUENCE {
    totalAM-RLCMemoryExceeds10kB            BOOLEAN,
    rf-CapabilityComp                       RF-CapabilityComp
}

RF-CapabilityComp ::= SEQUENCE {
    fdd                     CHOICE {
        notSupported                    NULL,
        supported                       RF-CapabBandListFDDComp
    },
    tdd384-RF-Capability    CHOICE {
        notSupported                    NULL,
        supported                       RadioFrequencyBandTDDList
    },
    tdd128-RF-Capability    CHOICE {
        notSupported                    NULL,
        supported                       RadioFrequencyBandTDDList
    }
}

-- NOTE: This IE is the frequency separation in MHz
RF-CapabBandFDDComp ::= ENUMERATED { notSupported, mhz190,
                                     mhz174-8-205-2, mhz134-8-245-2 }

RF-CapabBandListFDDComp ::= SEQUENCE (SIZE (1..maxFreqBandsFDD)) OF
    -- the first entry corresponds with the first value of IE RadioFrequencyBandFDD,
    -- fdd2100, and so on
    RF-CapabBandFDDComp

UE-RadioAccessCapability-v590ext ::=    SEQUENCE {
    dl-CapabilityWithSimultaneousHS-DSCHConfig  DL-CapabilityWithSimultaneousHS-DSCHConfig
    OPTIONAL,
    pdcp-Capability-r5-ext              PDCP-Capability-r5-ext,
    rlc-Capability-r5-ext               RLC-Capability-r5-ext,
    physicalChannelCapability           PhysicalChannelCapability-hspdsch-r5,
    multiModeRAT-Capability-v590ext     MultiModeRAT-Capability-v590ext
}

UE-RadioAccessCapability-v5c0ext ::= SEQUENCE {
    pdcp-Capability-r5-ext2             PDCP-Capability-r5-ext2
}

    :
```

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo,
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DomainInformationListFull,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    AccessStratumReleaseIndicator,
    C-RNTI,
    ChipRateCapability,
    DL-CapabilityWithSimultaneousHS-DSCHConfig,
    DL-PhysChCapabilityFDD-v380ext,
    DL-PhysChCapabilityTDD,
    DL-PhysChCapabilityTDD-LCR-r4,
    GSM-Measurements,
    HSDSCH-physical-layer-category,
    FailureCauseWithProtErr,
    MaxHcContextSpace,
    MaximumAM-EntityNumberRLC-Cap,
    MaximumRLC-WindowSize,
    MaxNoPhysChBitsReceived,
    MaxPhysChPerFrame,
    MaxPhysChPerSubFrame-r4,
    MaxPhysChPerTS,
    MaxROHC-ContextSessions-r4,
    MaxTS-PerFrame,
    MaxTS-PerSubFrame-r4,
    MinimumSF-DL,
    MultiModeCapability,
    MultiRAT-Capability,
    NetworkAssistedGPS-Supported,
    RadioFrequencyBandTDDList,
    RLC-Capability,
    RRC-MessageSequenceNumber,
    SecurityCapability,
    SimultaneousSCCPCH-DPCH-Reception,
    STARTList,
    STARTSingle,
    START-Value,
    SupportOfDedicatedPilotsForChEstimation,
    TransportChannelCapability,
    TxRxFrequencySeparation,
    U-RNTI,
    UE-MultiModeRAT-Capability,
    UE-PowerClassExt,
    UE-RadioAccessCapabBandFDDList,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v3g0ext,
    UE-RadioAccessCapability-v4b0ext,
    UE-RadioAccessCapability-v590ext,
    UE-RadioAccessCapability-v5c0ext,
    UL-PhysChCapabilityFDD,
    UL-PhysChCapabilityTDD,
    UL-PhysChCapabilityTDD-LCR-r4,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
```

```
    RAB-InformationSetupList-r4,
    RAB-InformationSetupList-r5,
    RB-Identity,
    SRB-InformationSetupList,
    SRB-InformationSetupList-r5,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-CommonTransChInfo-r4,
    DL-AddReconfTransChInfoList,
    DL-AddReconfTransChInfoList-r4,
    DL-AddReconfTransChInfoList-r5,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-CommonTransChInfo-r4,
    UL-AddReconfTransChInfoList,
-- Physical Channel IEs :
    PrimaryCPICH-Info,
    TPC-CombinationIndex,
    ScramblingCodeChange,
    TGCFN,
    TGPSI,
    TGPS-ConfigurationParams,
-- Measurement IEs :
    Inter-FreqEventCriteriaList-v590ext,
    Intra-FreqEventCriteriaList-v590ext,
    IntraFreqEvent-1d-r5,
    IntraFreqReportingCriteria-1b-r5,
    InterRATCellInfoIndication,
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,
-- Other IEs :
    GERANIu-RadioAccessCapability,
    InterRAT-UE-RadioAccessCapabilityList,
    InterRAT-UE-RadioAccessCapability-v590ext,
    UESpecificBehaviourInformation1idle,
    UESpecificBehaviourInformation1interRAT

FROM InformationElements

    :

-- ************************************************
--
-- SRNC Relocation information
--
-- ************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                                laterNonCriticalExtensions          SEQUENCE {
                                    sRNC-RelocationInfo-v3d0ext         SRNC-RelocationInfo-v3d0ext-IEs,
                                    -- Container for additional R99 extensions
                                    sRNC-RelocationInfo-r3-add-ext      BIT STRING
                                    (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)        OPTIONAL,
                                    v3g0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v3g0ext         SRNC-RelocationInfo-v3g0ext-IEs,
                                        v4b0NonCriticalExtensions           SEQUENCE {
                                            sRNC-RelocationInfo-v4b0ext         SRNC-RelocationInfo-v4b0ext-IE
                                            v590NonCriticalExtensions           SEQUENCE {
                                                sRNC-RelocationInfo-v590ext
                                                                                    SRNC-RelocationInfo-v590ext-IE
                                                v5a0NonCriticalExtensions           SEQUENCE {
                                                    sRNC-RelocationInfo-v5a0ext
                                                                                    SRNC-RelocationInfo-v5a0ext-IE
                                                    v5b0NonCriticalExtensions           SEQUENCE {
                                                        sRNC-RelocationInfo-v5b0ext
```

```
                                                                    SRNC-RelocationInfo-v5b0ext-IE
                                                        v5c0NonCriticalExtensions           SEQUENCE {
                                                            sRNC-RelocationInfo-v5c0ext
                                                                                            SRNC-RelocationInfo-v5c0ext-IE
                                                            -- Reserved for future non critical extension
                                                            nonCriticalExtensions           SEQUENCE {}OPTION
                                                        }       OPTIONAL
                                                    }       OPTIONAL
                                                }       OPTIONAL
                                            }       OPTIONAL
                                        }       OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            },
            later-than-r3                   CHOICE {
                r4                              SEQUENCE {
                    sRNC-RelocationInfo-r4          SRNC-RelocationInfo-r4-IEs,
                    v4d0NonCriticalExtensions       SEQUENCE {
                        sRNC-RelocationInfo-v4d0ext     SRNC-RelocationInfo-v4d0ext-IEs,
                        -- Container for adding non critical extensions after freezing REL-5
                        sRNC-RelocationInfo-r4-add-ext      BIT STRING      OPTIONAL,
                        v590NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v590ext         SRNC-RelocationInfo-v590ext-IEs,
                            v5a0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v5a0ext         SRNC-RelocationInfo-v5a0ext-IEs,
                                v5b0NonCriticalExtensions           SEQUENCE {
                                    sRNC-RelocationInfo-v5b0ext         SRNC-RelocationInfo-v5b0ext-IEs,
                                    v5c0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v5c0ext         SRNC-RelocationInfo-v5c0ext-IEs,
                                        nonCriticalExtensions               SEQUENCE {} OPTIONAL
                                    }   OPTIONAL
                                }   OPTIONAL
                            }   OPTIONAL
                        }   OPTIONAL
                    }   OPTIONAL
                },
                criticalExtensions              CHOICE {
                    r5                              SEQUENCE {
                        sRNC-RelocationInfo-r5          SRNC-RelocationInfo-r5-IEs,
                        sRNC-RelocationInfo-r5-add-ext  BIT STRING      OPTIONAL,
                        v5a0NonCriticalExtensions       SEQUENCE {
                            sRNC-RelocationInfo-v5a0ext     SRNC-RelocationInfo-v5a0ext-IEs,
                            v5b0NonCriticalExtensions       SEQUENCE {
                                sRNC-RelocationInfo-v5b0ext     SRNC-RelocationInfo-v5b0ext-IEs,
                                v5c0NonCriticalExtensions           SEQUENCE {
                                    sRNC-RelocationInfo-v5c0ext         SRNC-RelocationInfo-v5c0ext-IEs,
                                    nonCriticalExtensions           SEQUENCE {}     OPTIONAL
                                }   OPTIONAL
                            }   OPTIONAL
                        }   OPTIONAL
                    },
                    criticalExtensions                  SEQUENCE {}
                }
            }
        }

        SRNC-RelocationInfo-r3-IEs ::=      SEQUENCE {
            -- Non-RRC IEs
                stateOfRRC                      StateOfRRC,
                stateOfRRC-Procedure            StateOfRRC-Procedure,
            -- Ciphering related information IEs
            -- If the extension v380 is included use the extension for the ciphering status per CN domain
                cipheringStatus                 CipheringStatus,
                calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
                -- The order of occurrence in the IE cipheringInfoPerRB-List is the
                -- same as the RBs in SRB-InformationSetupList in RAB-InformationSetupList.
                -- The signalling RBs are supposed to be listed
                -- first. Only UM and AM RBs that are ciphered are listed here
                cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
                count-C-List                    COUNT-C-List                        OPTIONAL,
                integrityProtectionStatus       IntegrityProtectionStatus,
                 -- In the IE srb-SpecificIntegrityProtInfo, the first information listed corresponds to
                 -- signalling radio bearer RB0 and after the order of occurrence is the same as the SRBs in
                -- SRB-InformationSetupList
                -- The target RNC may ignore the IE srb-SpecificIntegrityProtInfo if the
                -- IE integrityProtectionStatus has the value "not started".
                srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
                implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
```

```
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                                  OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos             OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                            OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList                OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                      OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList                OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                    OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList             OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                      OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList      OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                    OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList             OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                       OPTIONAL
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity                   CN-DomainIdentity,
        cipheringStatusList                 CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext        OPTIONAL,
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext        OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        cipheringInfoForSRB1-v3a0ext        CipheringInfoPerRB-List-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext        OPTIONAL,
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCiphering-v3a0ext      START-Value
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the IE startValueForCiphering-v3b0ext contains the start values for each CN Domain. The
        -- value of start indicated by the IE startValueForCiphering-v3a0ext should be set to the
        -- same value as the start-Value for the corresponding cn-DomainIdentity in the IE
        -- startValueForCiphering-v3b0ext
        startValueForCiphering-v3b0ext      STARTList2                              OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE “TargetRNC-ToSourceRNC-Container”.
        -- Only included if type is “UE involved”
        rb-IdentityForHOMessage             RB-Identity         OPTIONAL
}

SRNC-RelocationInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL
}

SRNC-RelocationInfo-v3g0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v3g0ext    UE-RadioAccessCapability-v3g0ext        OPTIONAL
}
```

```
SRNC-RelocationInfo-v3h0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList         TPC-CombinationInfoList     OPTIONAL,
        nonCriticalExtension            SEQUENCE {}                OPTIONAL
}

SRNC-RelocationInfo-v4d0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList     TPC-CombinationInfoList     OPTIONAL
}

TPC-CombinationInfoList ::= SEQUENCE (SIZE (1..maxRL)) OF
        TPC-Combination-Info

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle

SRNC-RelocationInfo-v4b0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v4b0ext    UE-RadioAccessCapability-v4b0ext        OPTIONAL
}

SRNC-RelocationInfo-v590ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v590ext    UE-RadioAccessCapability-v590ext        OPTIONAL,
        ue-RATSpecificCapability-v590ext    InterRAT-UE-RadioAccessCapability-v590ext   OPTIONAL
}

SRNC-RelocationInfo-v5a0ext-IEs ::= SEQUENCE {
        storedCompressedModeInfo        StoredCompressedModeInfo    OPTIONAL
}

SRNC-RelocationInfo-v5b0ext-IEs ::= SEQUENCE {
    interRATCellInfoIndication          InterRATCellInfoIndication          OPTIONAL
}

SRNC-RelocationInfo-v5c0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v5c0ext    UE-RadioAccessCapability-v5c0ext        OPTIONAL
}

    :
```