

TSG-RAN Meeting #20
Hämeenlinna, Finland, 03-06 June 2003

RP-030294

Title: CRs (Release '99 and Rel-4/Rel-5 category A) to TS 25.331 (2)

Source: TSG-RAN WG2

Agenda item: 7.2.3

Spec	CR	Rev	Phase	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level	Workitem
25.331	1930	-	R99	Update of interfrequency measurement cell info list, reading of SIB11/12, inclusion of Measured Results on RACH	F	3.14.0	3.15.0	R2-031316	TEI
25.331	1931	-	Rel-4	Update of interfrequency measurement cell info list, reading of SIB11/12, inclusion of Measured Results on RACH	A	4.8.0	4.9.0	R2-031317	TEI
25.331	1932	-	Rel-5	Update of interfrequency measurement cell info list, reading of SIB11/12, inclusion of Measured Results on RACH	A	5.3.0	5.4.0	R2-031318	TEI
25.331	1933	-	R99	L3 filtering	F	3.14.0	3.15.0	R2-031319	TEI
25.331	1934	-	Rel-4	L3 filtering	A	4.8.0	4.9.0	R2-031320	TEI
25.331	1935	-	R99	Additional measurements without measurement validity	F	3.14.0	3.15.0	R2-031321	TEI
25.331	1936	-	Rel-4	Additional measurements without measurement validity	F	4.8.0	4.9.0	R2-031322	TEI4
25.331	1937	-	Rel-5	Additional measurements without measurement validity	A	5.3.0	5.4.0	R2-031323	TEI4
25.331	1938	-	R99	Handover to UTRAN in macrodiversity	F	3.14.0	3.15.0	R2-031324	TEI
25.331	1939	-	Rel-4	Handover to UTRAN in macrodiversity	A	4.8.0	4.9.0	R2-031325	TEI
25.331	1940	-	Rel-5	Handover to UTRAN in macrodiversity	A	5.3.0	5.4.0	R2-031326	TEI
25.331	1941	1	R99	TVM Reporting in CELL_PCH state	F	3.14.0	3.15.0	R2-031412	TEI
25.331	1942	1	Rel-4	TVM Reporting in CELL_PCH state	F	4.8.0	4.9.0	R2-031413	TEI4
25.331	1943	1	Rel-5	TVM Reporting in CELL_PCH state	A	5.3.0	5.4.0	R2-031414	TEI4
25.331	1944	1	R99	Initialisation of the Virtual Active Set	F	3.14.0	3.15.0	R2-031415	TEI
25.331	1945	1	Rel-4	Initialisation of the Virtual Active Set	A	4.8.0	4.9.0	R2-031416	TEI
25.331	1946	1	Rel-5	Initialisation of the Virtual Active Set	A	5.3.0	5.4.0	R2-031417	TEI

TSG-RAN Working Group 2 meeting #35
Paris, France 19th-23 May 2003

R2-031316

CR-Form-v7

CHANGE REQUEST

⌘ **25.331 CR 1930** ⌘ rev - ⌘ Current version: **3.e.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Update of interfrequency measurement cell info list, reading of SIB11/12, inclusion of Measured Results on RACH		
Source:	⌘ RAN WG2		
Work item code:	⌘ TEI Date: ⌘ May 2003		
Category:	⌘ F Release: ⌘ R99		
	<table border="0"> <tr> <td style="vertical-align: top;"> <p><i>Use <u>one</u> of the following categories:</i></p> <p>F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p> </td> <td style="vertical-align: top;"> <p><i>Use <u>one</u> of the following releases:</i></p> <p>2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)</p> </td> </tr> </table>	<p><i>Use <u>one</u> of the following categories:</i></p> <p>F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p>	<p><i>Use <u>one</u> of the following releases:</i></p> <p>2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)</p>
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Reason for change: ⌘	<ol style="list-style-type: none"> 1. It is not very clear in the specifications what signalling to use in order to update the inter-frequency cell info list, for inter-frequency measurements with event based reporting. 2. It is not unambiguously specified in what cases the UE shall read and act upon SIB11/12. 3. It is not completely clear what cells the UE has to include in the IE "Measured results on RACH" 4. The current text on ordering of measured results seems to suggest a general ordering rule which is however not generally applicable. 5. The specification seems to incorrectly suggest a double inclusion of the serving cell in the IE "Measured results on RACH". 6. The current text section 8.3.5 (Hard Handover) and section 8.4.1.7.2 (Inter-frequency measurement upon transition from CELL_FACH to CELL_DCH state) specifies that UE shall stop Inter-frequency measurement reporting. This is contradicted in section 8.6.6.15. <p>Further information on the indicated issues can be found in Tdoc R2-031017</p>
Summary of change: ⌘	<ol style="list-style-type: none"> 1. Section 8.4.1.3: It is clarified in what way the inter-frequency cell info list can be updated without having to specify any event details. 2. Section 8.1.1.1.2: It is specified when the UE needs to have read and acted upon SIB11/12.

- 3. Section 8.5.x: It is clarified that the UE will only include valid measurement results, and only as far as the size of the RACH message allows this.
- 4. Section 10.3.7.44: It is clarified that the ordering is only applicable to intra- and inter-frequency measurements.
- 5. Section 10.3.7.45: The double inclusion of the serving cell is clearly removed.
- 6. Section 8.3.5: Clarified that UE measurement reporting is stopped at hard handover.
Section 8.6.6.15: Corrected to be in alignment with sections 8.3.5 and 8.4.1.7.2.

T1 impact:

No impact on T1 specifications is foreseen (the behaviour clarified in issue 5 is in line with the behaviour specified in two T1 test cases).

Backward compatibility:

- 1) Considered a clarification of currently specified UE behaviour. Backward compatible for the UE. A UTRAN assuming the incorrect behaviour might have to be corrected if it is using the intra-frequency measurement in the inter-frequency measurement, and not including the event details.
- 2) UE's not complying to this clarification might start incorrect measurements when going to CELL_DCH, and might include incorrect cells in the IE "Measured results on RACH".
- 3) A UE implementing the alternative behaviour will wait until it has collected sufficient measurements before accessing the RACH. This behaviour (although not necessary) is still compatible with the proposed clarification.
- 4) Since the clarification indicates less ordering restrictions than a UE might have assumed, the specified behaviour is assumed to be compatible with implemented behaviour.
- 5) A UE including a double inclusion of the serving cell will have to be corrected.
- 6) A UTRAN need to be prepared on receiving Inter-frequency measurement results prior to re-activating the measurement.

Consequences if not approved:

- ⌘ The indicated unclarities/incorrections will remain in the specification:
 - 1) UTRAN implementations might be using an incorrect approach for the updating of the inter-frequency cell info list.
 - 2) It will remain unclear at what point in time UE's have to read SIB11/12. This might e.g. lead to incorrect measurement initiation.
 - 3) A UTRAN might incorrectly assume to receive more measured results, which might even lead to incorrectly handling of the message.
 - 4) A UTRAN might incorrectly assume an ordering of the measured results.
 - 5) A UE might incorrectly report the serving cell twice which might prevent the UE from including another relevant neighbour cell.
 - 6) Contradictions will remain in specification.

Clauses affected: ⌘ 8.1.1.1.2, 8.1.3.3, 8.1.8.2, 8.1.8.3, 8.1.10.2, 8.1.10.3, 8.3.1.3, 8.3.5, 8.4.1.3, 8.4.2.2, 8.5.x, 8.6.6.15, 10.3.7.44, 10.3.7.45,

Other specs ⌘

Y	N
	X

 Other core specifications

⌘

affected:

<input checked="" type="checkbox"/>	Test specifications
<input checked="" type="checkbox"/>	O&M Specifications

Other comments: ☞**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☞ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.1.1.2 System information blocks

Table 8.1.1 specifies all system information blocks and their characteristics.

The *area scope column* in table 8.1.1 specifies the area where a system information block's value tag is valid. If the area scope is *cell*, the UE shall consider the system information block to be valid only in the cell in which it was read. If system information blocks have been previously stored for this cell, the UE shall check whether the value tag for the system information block in the entered cell is different compared to the stored value tag. If the area scope is *PLMN* or *Equivalent PLMN*, the UE shall check the value tag for the system information block when a new cell is selected. If the value tag for the system information block in the new cell is different compared to the value tag for the system information block stored in the UE, the UE shall re-read the system information block. If the area scope is *PLMN*, the UE shall consider the system information block to be valid only within the PLMN in which it was read. If the area scope is *Equivalent PLMN*, the UE shall consider the system information block to be valid within the PLMN in which it was received and all PLMNs which are indicated by higher layers to be equivalent.

For System information block types 15.2, 15.3 and 16, which may have multiple occurrences, each occurrence has its own independent value tag. The UE shall re-read a particular occurrence if the value tag of this occurrence has changed compared to that stored in the UE.

The *UE mode/state column when block is valid* in Table 8.1.1 specifies in which UE mode or UE state the IEs in a system information block shall be regarded as valid by the UE. In other words, the indicated system information block becomes invalid upon change to a mode/state that is not included in this column. System Information Block Type 16 remains also valid upon transition to or from GSM/GPRS. In some cases, the states are inserted in brackets to indicate that the validity is dependent on the broadcast of the associated System Information Blocks by the network as explained in the relevant procedure subclause.

The *UE mode/state column when block is read* in Table 8.1.1 specifies in which UE mode or UE state the IEs in a system information block may be read by the UE. The UE shall have the necessary information prior to execution of any procedure requiring information to be obtained from the appropriate system information block. The requirements on the UE in terms of when to read the system information may therefore be derived from the procedure specifications that specify which IEs are required in the different UE modes/states in conjunction with the different performance requirements that are specified.

System Information Block type 10 shall only be read by the UE while in CELL_DCH.

The UE shall:

- 1> if System Information Block type 11 is referenced in the master information block or in the scheduling blocks:
- 2> if System Information Block type 12 is not referenced in the master information block or in the scheduling blocks , or broadcast of System Information Block type 12 is not indicated in System Information Block type 11:
- 3> have read and acted upon System Information Block type 11 in a cell when the UE transmits an RRC message on RACH.
- 2> else
- 3> have read and acted upon System Information Block type 11 in a cell before the UE transmits the RRC CONNECTION REQUEST message.
- 3> have read and acted upon both System Information Block type 11 and System Information Block type 12 in a cell when:
 - 4> the UE transmits an RRC message on RACH in RRC connected mode, or
 - 4> the UE receives a message commanding to enter Cell_DCH state.

NOTE 1: There are a number of system information blocks that include the same IEs while the UE mode/state in which the information is valid differs. This approach is intended to allow the use of different IE values in different UE mode/states.

NOTE 2: System Information Block Type 16 is also obtained by a UE while in GSM/GPRS. The details of this are not within the scope of this specification.

The *Scheduling information* column in table 8.1.1 specifies the position and repetition period for the SIB.

The *modification of system information* column in table 8.1.1 specifies the update mechanisms applicable for a certain system information block. For system information blocks with a value tag, the UE shall update the information according to subclause 8.1.1.7.1 or 8.1.1.7.2. For system information blocks with an expiration timer, the UE shall, when the timer expires, perform an update of the information according to subclause 8.1.1.7.4.

Table 8.1.1: Specification of system information block characteristics

System information block	Area scope	UE mode/state when block is valid	UE mode/state when block is read	Scheduling information	Modification of system information	Additional comment
Master information block	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	SIB_POS = 0 SIB_REP = 8 (FDD) SIB_REP = 8, 16, 32 (TDD) SIB_OFF=2	Value tag	
Scheduling block 1	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information" in MIB	Value tag	
Scheduling block 2	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information" in MIB	Value tag	
System information block type 1	PLMN	Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Idle, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 2	Cell	URA_PCH	URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 3	Cell	Idle mode, (CELL_FACH, CELL_PCH, URA_PCH)	Idle mode, (CELL_FACH, CELL_PCH, URA_PCH)	Specified by the IE "Scheduling information"	Value tag	
System information block type 4	Cell	CELL_FACH, CELL_PCH, URA_PCH	CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	If System information block type 4 is not broadcast in a cell, the connected mode UE shall apply information in System information block type 3 in connected mode.
System information block type 5	Cell	Idle mode, (CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only))	Idle mode, (CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only))	Specified by the IE "Scheduling information"	Value tag	

System information block	Area scope	UE mode/state when block is valid	UE mode/state when block is read	Scheduling information	Modification of system information	Additional comment
System information block type 6	Cell	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)	Specified by the IE "Scheduling information"	Value tag	<p>If system information block type 6 is not broadcast in a cell, the connected mode UE shall read System information block type 5.</p> <p>If some of the optional IEs are not included in System information block type 6, the UE shall read the corresponding IEs in System information block type 5</p> <p>In TDD mode system information block 6 shall only be read in CELL_DCH if required for open loop power control as specified in subclause 8.5.7 and/or if shared transport channels are assigned to the UE. If in these cases system information block type 6 is not broadcast the UE shall read system information block type 5.</p>
System information block type 7	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)	Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)	Specified by the IE "Scheduling information"	Expiration timer = MAX(32, SIB_REP * ExpirationTimeFactor)	In TDD mode system information block type 7 shall only be read in CELL_DCH if shared transport channels are assigned to the UE.
System information block type 8	Cell	CELL_FACH, CELL_PCH, URA_PCH	CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 9	Cell	CELL_FACH, CELL_PCH, URA_PCH	CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Expiration timer = SIB_REP	
System information block type 10	Cell	CELL_DCH	CELL_DCH	Specified by the IE "Scheduling information"	Expiration timer = SIB_REP	
System information block type 11	Cell	Idle mode (CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH)	Idle mode (CELL_FACH, CELL_PCH, URA_PCH)	Specified by the IE "Scheduling information"	Value tag	

System information block	Area scope	UE mode/state when block is valid	UE mode/state when block is read	Scheduling information	Modification of system information	Additional comment
System information block type 12	Cell	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	<u>Idle mode</u> , CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	If system information block type 12 is not broadcast in a cell, the connected mode UE shall read System information block type 11. If some of the optional IEs are not included in System information block type 12, the UE shall read the corresponding IEs in System information block type 11.
System information block type 13	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 13.1	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 13.2	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 13.3	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 13.4	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 14	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Specified by the IE "Scheduling information"	Expiration timer = MAX(32, SIB_REP * ExpirationTimeFactor)	This system information block is used in TDD mode only. System information block type 14 shall only be read in CELL_DCH if required for open loop power control as specified in subclause 8.5.7.
System information block type 15	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 15.1	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 15.2	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	For this system information block there may be multiple occurrences
System information block type 15.3	PLMN	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	For this system information block there may be multiple occurrences

System information block	Area scope	UE mode/state when block is valid	UE mode/state when block is read	Scheduling information	Modification of system information	Additional comment
System information block type 15.4	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 15.5	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 16	Equivalent PLMN	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	For this system information block there may be multiple occurrences. This system information block is also valid while in GSM/GPRS.
System information block type 17	Cell	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Specified by the IE "Scheduling information"	Expiration timer = SIB_REP	This system information block is used in TDD mode only. System information block type 17 shall only be read if shared transport channels are assigned to the UE.
System Information Block type 18	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	

The UE shall acquire all system information blocks except system information block type 10 on BCH. System Information Block type 10 shall be acquired on the FACH and only by UEs with support for simultaneous reception of one SCCPCH and one DPCH. If System Information Block type 10 is not broadcast in a cell, the DRAC procedures do not apply in this cell. System Information Block type 10 is used in FDD mode only.

8.1.3.3 RRC CONNECTION REQUEST message contents to set

The UE shall, in the transmitted RRC CONNECTION REQUEST message:

- 1> set the IE "Establishment cause" to the value of the variable ESTABLISHMENT_CAUSE;
- 1> set the IE "Initial UE identity" to the value of the variable INITIAL_UE_IDENTITY;
- 1> set the IE "Protocol error indicator" to the value of the variable PROTOCOL_ERROR_INDICATOR;

~~1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 11; and~~

~~1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported; and~~

~~1> take care that the maximum allowed message size is not exceeded when forming the IE "Measured results on RACH".~~

The UE shall not include the IE "UE Specific Behaviour Information 1 idle".

8.1.8.2 Initiation of Initial direct transfer procedure in the UE

In the UE, the initial direct transfer procedure shall be initiated, when the upper layers request establishment of a signalling connection. This request also includes a request for the transfer of a NAS message.

Upon initiation of the initial direct transfer procedure when the UE is in idle mode, the UE shall:

- 1> set the variable ESTABLISHMENT_CAUSE to the cause for establishment indicated by upper layers;
- 1> perform an RRC connection establishment procedure, according to subclause 8.1.3;
- 1> if the RRC connection establishment procedure was not successful:
 - 2> indicate failure to establish the signalling connection to upper layers and end the procedure.
- 1> when the RRC connection establishment procedure is completed successfully:
 - 2> continue with the initial direct transfer procedure as below.

Upon initiation of the initial direct transfer procedure when the UE is in CELL_PCH or URA_PCH state, the UE shall:

- 1> perform a cell update procedure, according to subclause 8.3.1, using the cause "uplink data transmission";
- 1> when the cell update procedure completed successfully:
 - 2> continue with the initial direct transfer procedure as below.

The UE shall, in the INITIAL DIRECT TRANSFER message:

- 1> set the IE "NAS message" as received from upper layers; and
- 1> set the IE "CN domain identity" as indicated by the upper layers; and
- 1> set the IE "Intra Domain NAS Node Selector" as follows:
 - 2> derive the IE "Intra Domain NAS Node Selector" from TMSI/PMTSI, IMSI, or IMEI; and
 - 2> provide the coding of the IE "Intra Domain NAS Node Selector" according to the following priorities:
 1. derive the routing parameter for IDNNS from TMSI (CS domain) or PTMSI (PS domain) whenever a valid TMSI/PTMSI is available;
 2. base the routing parameter for IDNNS on IMSI when no valid TMSI/PTMSI is available;
 3. base the routing parameter for IDNNS on IMEI only if no (U)SIM is inserted in the UE.
- 1> calculate the START according to subclause 8.5.9 for the CN domain as set in the IE "CN Domain Identity"; and
- 1> include the calculated START value for that CN domain in the IE "START".

~~In CELL_FACH state, the UE shall:~~

- ~~1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);~~
- ~~1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.~~

The UE shall:

- 1> transmit the INITIAL DIRECT TRANSFER message on the uplink DCCH using AM RLC on signalling radio bearer RB3;
- 1> when the INITIAL DIRECT TRANSFER message has been submitted to lower layers for transmission:
 - 2> confirm the establishment of a signalling connection to upper layers; and

2> add the signalling connection with the identity indicated by the IE "CN domain identity" in the variable ESTABLISHED_SIGNALLING_CONNECTIONS.

1> when the successful delivery of the INITIAL DIRECT TRANSFER message has been confirmed by RLC:

2> the procedure ends.

When not stated otherwise elsewhere, the UE may also initiate the initial direct transfer procedure when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

A new signalling connection request may be received from upper layers during transition to idle mode. In those cases, from the time of the indication of release to upper layers until the UE has entered idle mode, any such upper layer request to establish a new signalling connection shall be queued. This request shall be processed after the UE has entered idle mode.

8.1.8.3 Reception of INITIAL DIRECT TRANSFER message by the UTRAN

On reception of the INITIAL DIRECT TRANSFER message the NAS message should be routed using the IE "CN Domain Identity". UTRAN may also use the IE "Intra Domain NAS Node Selector" for routing among the CN nodes for the addressed CN domain.

If no signalling connection exists towards the chosen node, then a signalling connection is established.

~~If the IE "Measured results on RACH" is present in the message, the UTRAN should extract the contents to be used for radio resource control.~~

When the UTRAN receives an INITIAL DIRECT TRANSFER message, it shall not affect the state of any other ongoing RRC procedures, when not stated otherwise elsewhere.

The UTRAN should:

1> set the START value for the CN domain indicated in the IE "CN domain identity" to the value of the IE "START".

8.1.10.2 Initiation of uplink direct transfer procedure in the UE

In the UE, the uplink direct transfer procedure shall be initiated when the upper layers request a transfer of a NAS message on an existing signalling connection. When not stated otherwise elsewhere, the UE may initiate the uplink direct transfer procedure when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

Upon initiation of the uplink direct transfer procedure in CELL_PCH or URA_PCH state, the UE shall:

- 1> perform a cell update procedure, according to subclause 8.3.1, using the cause "uplink data transmission";
- 1> when the cell update procedure has been completed successfully:
 - 2> continue with the uplink direct transfer procedure as below.

The UE shall transmit the UPLINK DIRECT TRANSFER message on the uplink DCCH using AM RLC on signalling radio bearer RB3 or signalling radio bearer RB4. The UE shall:

- 1> if upper layers indicate "low priority" for this message:
 - 2> select signalling radio bearer RB4, if available. Specifically, for a GSM-MAP based CN, signalling radio bearer RB4 shall, if available, be selected when "SAPI 3" is requested;
 - 2> select signalling radio bearer RB3 when signalling radio bearer RB4 is not available;
- 1> if upper layers indicate "high priority" for this message:
 - 2> select signalling radio bearer RB3. Specifically, for a GSM-MAP based CN, signalling radio bearer RB3 shall be selected when "SAPI 0" is requested.

~~In CELL_FACH state, the UE shall:~~

- ~~1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);~~
- ~~1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.~~

The UE shall set the IE "NAS message" as received from upper layers and set the IE "CN domain identity" as indicated by the upper layers.

When the successful delivery of the UPLINK DIRECT TRANSFER message has been confirmed by RLC the procedure ends.

8.1.10.3 Reception of UPLINK DIRECT TRANSFER message by the UTRAN

On reception of the UPLINK DIRECT TRANSFER message the NAS message should be routed using the value indicated in the IE "CN domain identity".

~~If the IE "Measured results on RACH" is present in the message, the UTRAN should extract the contents to be used for radio resource control.~~

When the UTRAN receives an UPLINK DIRECT TRANSFER message, it shall not affect the state of any other ongoing RRC procedures, when not stated otherwise elsewhere.

8.3.1.3 CELL UPDATE / URA UPDATE message contents to set

In case of cell update procedure the UE shall transmit a CELL UPDATE message.

In case of URA update procedure the UE shall transmit a URA UPDATE message.

The UE shall set the IEs in the CELL UPDATE message as follows:

- 1> set the IE "Cell update cause" corresponding to the cause specified in subclause 8.3.1.2 that is valid when the CELL UPDATE message is submitted to lower layers for transmission;

NOTE: During the time period starting from when a cell update procedure is initiated by the UE until when the procedure ends, additional CELL UPDATE messages may be transmitted by the UE with different causes.

- 1> set the IE "U-RNTI" to the value of the variable U_RNTI;

- 1> if the value of the variable PROTOCOL_ERROR_INDICATOR is TRUE:

- 2> include the IE "RRC transaction identifier"; and

- 3> set it to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

- 2> include and set the IE "failure cause" to the cause value "protocol error";

- 2> set the IE "Protocol error information" set to the value of the variable PROTOCOL_ERROR_INFORMATION.

- 1> if the value of the variable FAILURE_INDICATOR is TRUE:

- 2> include the IE "RRC transaction identifier"; and

- 3> set it to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS.

- 2> include and set the IE "failure cause" to the value of the variable FAILURE_CAUSE.

- 1> include the START values for each CN domain, calculated according to subclause 8.5.9;

- 1> if an unrecoverable error [16] in any of the AM RLC entities for the signalling radio bearers RB2, RB3 or RB4 is detected:

- 2> set the IE "AM_RLC error indication (RB2, RB3 or RB4)" to TRUE.

- 1> otherwise:

- 2> set the IE "AM_RLC error indication (RB2, RB3 or RB4)" to FALSE.

- 1> if an unrecoverable error [16] in any of the AM RLC entities for the RB5 or upward is detected:

- 2> set the IE "AM_RLC error indication (RB>4)" to TRUE.

- 1> otherwise:

- 2> set the IE "AM_RLC error indication (RB>4)" to FALSE.

- 1> set the IE "RB Timer indicator" to the value of the variable RB_TIMER_INDICATOR;

~~1> include an intra frequency measurement report in the IE "Measured results on RACH", as specified in the IE "Intra frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in system information block type 12 (or System Information Block type 11, if System Information Block type 12 is not being broadcast); and~~

~~1> include in the IE "Measured results on RACH" all requested reporting quantities for all included measurement objects; and~~

~~1> take care that the maximum allowed message size is not exceeded when forming the IE "Measured results on RACH".~~

The UE shall set the IEs in the URA UPDATE message as follows:

- 1> set the IE "U-RNTI" to the value of the variable U_RNTI;
- 1> set the IE "URA update cause" corresponding to which cause as specified in subclause 8.3.1.2 that is valid when the URA UPDATE message is submitted to lower layers for transmission;

NOTE: During the time period starting from when a URA update procedure is initiated by the UE until when the procedure ends, additional URA UPDATE messages may be transmitted by the UE with different causes, depending on which causes are valid for the respective URA UPDATE message.

- 2> if the value of the variable PROTOCOL_ERROR_INDICATOR is TRUE:
 - 3> include the IE "RRC transaction identifier"; and
 - 4> set it to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS;
 - 3> set the IE "Protocol error indicator" to TRUE;
 - 3> include the IE "Protocol error information" set to the value of the variable PROTOCOL_ERROR_INFORMATION.
- 2> if the value of the variable PROTOCOL_ERROR_INDICATOR is FALSE:
 - 3> if the value of the variable INVALID_CONFIGURATION is TRUE:
 - 4> include the IE "RRC transaction identifier"; and
 - 4> set it to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS;
 - 4> set the IE "Protocol error indicator" to TRUE;
 - 4> include the IE "Protocol error information" set to "Information element value not comprehended";
 - 3> if the value of the variable INVALID_CONFIGURATION is FALSE:
 - 4> set the IE "Protocol error indicator" to FALSE.

8.3.5 Hard handover

When performing hard handover with change of frequency, the UE shall:

- 1> stop all intra-frequency and inter-frequency measurements [reporting](#) on the cells listed in the variable CELL_INFO_LIST. Each stopped measurement is restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

8.3.5.1 Timing re-initialised hard handover

8.3.5.1.1 General

The purpose of the timing re-initialised hard handover procedure is to remove all the RL(s) in the active set and establish new RL(s) along with a change in the UL transmission timing and the CFN in the UE according to the SFN of the target cell.(see subclause 8.5.15).

This procedure is initiated when UTRAN does not know the target SFN timing before hard handover.

8.3.5.1.2 Initiation

Timing re-initialised hard handover initiated by the UTRAN is normally performed by using the procedure "Physical channel reconfiguration" (subclause 8.2.6), but may also be performed by using either one of the following procedures:

- "radio bearer establishment" (subclause 8.2.1);
- "Radio bearer reconfiguration" (subclause 8.2.2);
- "Radio bearer release" (subclause 8.2.3); or
- "Transport channel reconfiguration" (subclause 8.2.4).

If IE "Timing indication" has the value "initialise", UE shall:

- 1> execute the Timing Re-initialised hard handover procedure by following the procedure indicated in the subclause relevant to the procedure chosen by the UTRAN.

In this case of a timing re-initialised hard handover, UTRAN should include the IE "Default DPCH Offset Value" and:

1> in FDD mode:

- 2> set "Default DPCH Offset Value" and "DPCH frame offset" respecting the following relation

$$(\text{Default DPCH Offset Value}) \bmod 38400 = \text{DPCH frame offset}_j$$

- 3> where j indicates the first radio link listed in the message and the IE values used are the Actual Values of the IEs as defined in clause 11.

If the IE "Default DPCH Offset Value" is included, the UE shall:

1> in FDD mode:

- 2> if the above relation between "Default DPCH Offset Value" and "DPCH frame offset" is not respected:
- 3> set the variable INVALID_CONFIGURATION to true.

If the IE "Default DPCH Offset Value" is not included, the UE shall:

- 1> set the variable INVALID_CONFIGURATION to true.

8.3.5.2 Timing-maintained hard handover

8.3.5.2.1 General

The purpose of the Timing-maintained hard handover procedure is to remove all the RL(s) in the active set and establish new RL(s) while maintaining the UL transmission timing and the CFN in the UE.

This procedure can be initiated only if UTRAN knows the target SFN timing before hard handover. The target SFN timing can be known by UTRAN in the following 2 cases:

- UE reads SFN when measuring "Cell synchronisation information" and sends it to the UTRAN in MEASUREMENT REPORT message.
- UTRAN internally knows the time difference between the cells.

8.3.5.2.2 Initiation

Timing-maintained hard handover initiated by the network is normally performed by using the procedure "Physical channel reconfiguration" (subclause 8.2.6), but may also be performed by using either one of the following procedures:

- "radio bearer establishment" (subclause 8.2.1);
- "Radio bearer reconfiguration" (subclause 8.2.2);
- "Radio bearer release" (subclause 8.2.3); or
- "Transport channel reconfiguration" (subclause 8.2.4).

If IE "Timing indication" has the value "maintain", UE shall initiate the Timing-maintained hard handover procedure by following the procedure indicated in the subclause relevant to the procedure chosen by the UTRAN. In this case UTRAN should not include the IE "Default DPCH Offset Value".

If the IE "Default DPCH Offset Value" is included, the UE shall:

- 1> ignore the IE "Default DPCH Offset Value".

8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

.....

- 1> if the IE "Measurement command" has the value "modify":
 - 2> for all IEs present in the MEASUREMENT CONTROL message:
 - 3> if a measurement was stored in the variable MEASUREMENT_IDENTITY associated to the identity by the IE "measurement identity":
 - 4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 5> if the UE is in CELL_FACH state:
 - 6> the UE behaviour is not specified.
 - 4> if measurement type is set to "intra-frequency measurement", for any of the optional IEs "Intra-frequency measurement objects list", "Intra-frequency measurement quantity", "Intra-frequency reporting quantity", "Measurement Validity", "report criteria" and "parameters required for each event" (given "report criteria" is set to "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-frequency measurement", for any of the optional IEs "Inter-frequency measurement quantity", "Inter-frequency reporting quantity", "Measurement Validity", "Inter-frequency set update" and "parameters required for each event" (given "report criteria" is set to either "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-RAT measurement", for any of the optional IEs "Inter-RAT measurement objects list", "Inter-RAT measurement quantity", "Inter-RAT reporting quantity" and "parameters required for each event" (given "report criteria" is set to "inter-RAT measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning OTDOA assistance data" is present, for any of the optional IEs "UE positioning OTDOA neighbour cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-based", "UE positioning OTDOA neighbour cell info for UE-based" and "UE positioning" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning GPS assistance data" is present, for any of the optional IEs "UE positioning GPS reference time", "UE positioning GPS reference UE position", "UE positioning GPS DGPS corrections", "UE positioning GPS navigation model", "UE positioning GPS ionospheric model", "UE positioning GPS UTC model", "UE positioning GPS almanac", "UE positioning GPS acquisition assistance", "UE positioning GPS real-time integrity" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "traffic volume measurement", for any of the optional IEs "Traffic volume measurement Object", "Traffic volume measurement quantity", "Traffic volume reporting quantity", "Measurement Validity" and "parameters required for each event" (given "report criteria" is set to "traffic volume measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "quality measurement", for any of the optional IE "Quality reporting quantity" that is present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE internal measurement", for any of the optional IEs "UE internal measurement quantity", "UE internal reporting quantity" and "parameters required for each event" (given "report criteria" is set to "UE internal measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:

- 5> replace the corresponding information (the IEs listed above and all their children) stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;
 - 5> leave all other stored information elements unchanged in the variable MEASUREMENT_IDENTITY.
- 3> otherwise:
- 4> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 2> if measurement type is set to "inter-frequency measurement":
- 3> if "report criteria" is set to "intra-frequency report criteria" and "reporting criteria" in "inter-frequency measurement quantity" is set to "intra-frequency reporting criteria":
 - 4> leave the currently stored "inter-frequency report criteria" within "report criteria" and "inter-frequency reporting criteria" within "inter-frequency measurement quantity" unchanged, and continue to act on the information stored in these variables, and also store the newly received "intra-frequency report criteria" and intra-frequency reporting criteria.
 - 3> otherwise:
 - 4> clear the variables associated with the CHOICE "report criteria" and store the received "report criteria" choice;
 - 4> if the IE "inter-frequency measurement quantity" is present:
 - 5> clear the variables associated with the choice "reporting criteria" in "inter-frequency measurement quantity" and store the received "reporting criteria" choice.
- NOTE: If the UTRAN wants to modify the inter-frequency cell info list for an inter-frequency measurement configured with event based reporting without repeating any IE's related to the configured events, the only possibility is to set the IE "report criteria" to "intra-frequency report criteria", not include the IE "parameters required for each event", and set the IE "reporting criteria" in the IE "inter-frequency measurement quantity" to "intra-frequency reporting criteria".
- 2> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:
- 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and after reception of this message a compressed mode pattern sequence with an appropriate measurement purpose is active according to the IE "Current TGPS Status Flag" in UE variable TGPS_IDENTITY; or
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode, on at least one supported band of that measurement type, to perform the measurements:
 - 4> resume the measurements according to the new stored measurement control information.
- 2> for measurement type "inter-frequency measurement" that requires measurements only on the same frequency as the actually used frequency:
- 3> if the measurement is valid in the current RRC state of the UE:
 - 4> resume measurements according to the new stored control information for this measurement identity.
- 2> for any other measurement type:
- 3> resume the measurements according to the new stored measurement control information.
- 1> if the IE "measurement command" has the value "release":

.....

8.4.2.2 Initiation

In CELL_DCH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing measurements that are being performed in the UE.

In CELL_FACH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing traffic volume measurement or UE positioning measurement that is being performed in the UE;

~~1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);~~

~~1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.~~

In TDD, if the Radio Bearer associated with the MEASUREMENT_IDENTITY fulfilling the reporting criteria for an ongoing traffic volume measurement is mapped on transport channel of type USCH, the UE shall:

- 1> initiate the "PUSCH CAPACITY REQUEST" procedure instead of transmitting a MEASUREMENT REPORT (TDD Only).

In CELL_PCH or URA_PCH state, the UE shall:

- 1> first perform the cell update procedure according to subclause 8.3.1, using the cause "uplink data transmission", in order to transit to CELL_FACH state; and then
- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are fulfilled for any ongoing traffic volume measurement or UE positioning measurement which is being performed in the UE.

The reporting criteria are fulfilled if either:

- a periodic MEASUREMENT REPORT message shall be sent according to the IE "Periodical Reporting Criteria"; or
- an event in stored IE "Measurement reporting criteria" was triggered. Events and triggering of reports for different measurement types are described in detail in clause 14.

For the measurement, which triggered the MEASUREMENT REPORT message, the UE shall:

- 1> set the IE "measurement identity" to the measurement identity, which is associated with that measurement in variable MEASUREMENT_IDENTITY;
- 1> set the IE "measured results" to include measurements according to the IE "reporting quantity" of that measurement stored in variable MEASUREMENT_IDENTITY; and
 - 2> if all the reporting quantities are set to "false":
 - 3> not set the IE "measured results".
- 1> set the IE "Measured results" in the IE "Additional measured results" according to the IE "reporting quantity" for all measurements associated with the measurement identities included in the "Additional measurements list" stored in variable MEASUREMENT_IDENTITY of the measurement that triggered the measurement report; and
 - 2> if more than one additional measured results are to be included:
 - 3> include only the available additional measured results, and sort them in ascending order according to their IE "measurement identity" in the MEASUREMENT REPORT message.

1> if the MEASUREMENT REPORT message was triggered by an event (i.e. not a periodical report):

2> set the IE "Event results" according to the event that triggered the report.

The UE shall:

1> transmit the MEASUREMENT REPORT message on the uplink DCCH using either AM or UM RLC according to the stored IE "measurement reporting mode" associated with the measurement identity that triggered the report.

When the MEASUREMENT REPORT message has been submitted to lower layers for transmission:

1> the procedure ends.

8.5.x Measured results on RACH

When transmitting an UL RRC message, the UE shall:

1> if the UL RRC message is an RRC CONNECTION REQUEST message:

2> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 11;

1> for any other UL RRC message which optionally includes the IE "Measured results on RACH":

2> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);

1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.

NOTE: The UE only includes measurement results for neighbour cells for which valid measurements are available at the time the message is sent. At cell access following selection or reselection to a cell, the UE may not have had sufficient time to obtain valid measurement results for neighbour cells

1> for messages transmitted on CCCH, take care that the maximum allowed message size is not exceeded when forming the IE "Measured results on RACH", i.e. limit the number of included neighbour cells or if required omit the IE "Measured results on RACH" altogether.

If the IE "Measured results on RACH" is present in the message, the UTRAN should extract the contents to be used for radio resource control.

8.6.6.15 DPCH Compressed mode info

If the IE "DPCH compressed mode info" is included, and if the IE group "transmission gap pattern sequence configuration parameters" is included, the UE shall for each transmission gap pattern sequence perform the following consistency checks:

- 1> if the UE, according to its measurement capabilities, and for all supported bands of the UTRA mode or RAT associated with the measurement purpose indicated by IE "TGMP", requires UL compressed mode, and CHOICE 'UL/DL mode' indicates 'DL only':
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE, according to its measurement capabilities, and for all supported bands of the UTRA mode or RAT associated with the measurement purpose indicated by IE "TGMP", requires DL compressed mode, and CHOICE 'UL/DL mode' indicates 'UL only':
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE, according to its measurement capabilities, does not require UL compressed mode for any of supported band of the UTRA mode or RAT associated with the measurement purpose indicated by the IE "TGMP", and CHOICE 'UL/DL mode' indicates 'UL only' or 'UL and DL':
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE, according to its measurement capabilities, does not require DL compressed mode for any supported band of the UTRA mode or RAT associated with the measurement purpose indicated by the IE "TGMP", and CHOICE 'UL/DL mode' indicates 'DL only' or 'UL and DL':
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if UE already has an active transmission gap pattern sequence that, according to IE "TGMP", has the same measurement purpose, and both patterns will be active after the new configuration has been taken into use:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if there is any pending "TGPS reconfiguration CFN" or any pending "TGCFN":
 - 2> the UE behaviour is unspecified.

If variable INVALID_CONFIGURATION has value FALSE after UE has performed the checks above, the UE shall:

- 1> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag" in the variable TGPS_IDENTITY):
 - 2> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:
 - 3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use.
 - 3> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".
 - 2> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:
 - 3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

- 1> update each pattern sequence to the variable TGPS_IDENTITY according to the IE "TGPSI";
- 1> update into the variable TGPS_IDENTITY the configuration information defined by IE group "transmission gap pattern sequence configuration parameters";

1> after the new configuration has been taken into use:

2> activate the stored pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in the variable TGPS_IDENTITY is set to "activate" at the time indicated by IE "TGCFN"; ~~and~~

2> if the IE "DPCH compressed mode info" is included in a message used to perform a Hard Handover with change of frequency (see 8.3.5); or

2> if the IE "DPCH compressed mode info" is included in a message used to transfer the UE from Cell_FACH to Cell_DCH, and the cell in which the UE transited from CELL_FACH state is not included in the active set for the CELL_DCH state (see 8.4.1.7.2):

3> not begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;
NOTE: UE compliance is not required in this version of the specification.

2> else

3> begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> begin the ~~inter-frequency and/or~~ inter-RAT measurements reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> if the new configuration is taken into use at the same CFN as indicated by IE "TGCFN":

3> start the concerned pattern sequence immediately at that CFN.

1> monitor if the parallel transmission gap pattern sequences create an illegal overlap, and in case of overlap, take actions as specified in subclause 8.2.11.2.

If the IE "DPCH compressed mode info" is included, and if the IE group "transmission gap pattern sequence configuration parameters" is not included, the UE shall:

1> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag" in the variable TGPS_IDENTITY):

2> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:

3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use;

3> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".

2> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:

3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

1> after the new configuration has been taken into use:

2> at the time indicated by IE "TGCFN":

3> activate the stored pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" is set to "activate"; and

3> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "active".

2> if the IE "DPCH compressed mode info" is included in a message used to perform a Hard Handover with change of frequency (see 8.3.5); or

2> if the IE "DPCH compressed mode info" is included in a message used to transfer the UE from Cell_FACH to Cell_DCH, and the cell in which the UE transited from CELL_FACH state is not included in the active set for the CELL_DCH state (see 8.4.1.7.2):

3> not begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;
NOTE: UE compliance is not required in this version of the specification.

2> else

3> begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> begin the ~~inter-frequency and/or~~ inter-RAT measurements reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> if the new configuration is taken into use at the same CFN as indicated by IE "TGCFN":

3> start the concerned pattern sequence immediately at that CFN.

For transmission gap pattern sequences stored in variable TGPS_IDENTITY, but not identified in IE "TGPSI" (either due to the absence of the IE "DPCH compressed mode info" in the received message or due to not receiving the corresponding TGPSI value in the IE "DPCH compressed mode info"), the UE shall:

1> if the received message implies a timing re-initialised hard handover (see subclause 8.3.5.1):

2> deactivate such transmission gap pattern sequences at the beginning of the frame, indicated by IE "Activation time" (see subclause 8.6.3.1) received in this message; and

2> set IE "Current TGPS Status Flag" in corresponding UE variable TGPS_IDENTITY to 'inactive'.

1> if the received message not implies a timing re-initialised hard handover (see subclause 8.3.5.1):

2> continue such transmission gap pattern sequence according to IE "Current TGPS Status Flag" in the corresponding UE variable TGPS_IDENTITY.

Uplink and downlink compressed mode methods are described in [27]. For UL "higher layer scheduling" compressed mode method and transport format combination selection, see [15].

10.3.7.44 Measured results

Contains the measured results of the quantity indicated optionally by Reporting Quantity in Measurement Control. "Measured results" can be used for both event trigger mode and periodical reporting mode. [For intra-frequency and inter-frequency measurements](#) the list shall be in the order of the value of the measurement quantity (the first cell shall be the best cell). The "best" FDD cell has the largest value when the measurement quantity is "Ec/No" or "RSCP". On the other hand, the "best" cell has the smallest value when the measurement quantity is "Pathloss". The "best" TDD cell has the largest value when measurement quantity is "Primary CCPCH RSCP". [For intra-frequency measurements, the ordering shall be applied to all cells included in the IE "Measured results". For inter-frequency measurements, the ordering shall be applied to all cells on the same frequency included in the IE "Measured results". For other measurements, the order of reported measurement objects is not specified.](#)

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE <i>Measurement</i>	MP			One spare value is needed.
>Intra-frequency measured results list			Intra-frequency measured results list 10.3.7.35	
>Inter-frequency measured results list			Inter-frequency measured results list 10.3.7.15	
>Inter-RAT measured results list			Inter-RAT measured results list 10.3.7.26	
>Traffic volume measured results list			Traffic volume measured results list 10.3.7.67	
>Quality measured results list			Quality measured results list 10.3.7.55	
>UE Internal measured results			UE Internal measured results 10.3.7.76	
>UE positioning measured results			UE positioning measured results 10.3.7.99	

10.3.7.45 Measured results on RACH

Contains the measured results on RACH of the quantity indicated optionally by Reporting Quantity in the system information broadcast on BCH. The list should be in the order of the value of the measurement quality (the first cell should be the best cell). The "best" FDD cell has the largest value when the measurement quantity is "Ec/No" or "RSCP". On the other hand, the "best" cell has the smallest value when the measurement quantity is "Pathloss". The "best" TDD cell has the largest value when measurement quantity is "Primary CCPCH RSCP".

Information Element/group name	Need	Multi	Type and reference	Semantics description
Measurement result for current cell				
CHOICE <i>mode</i>	MP			
>FDD				
>>CHOICE <i>measurement quantity</i>	MP			One spare value is needed.
>>>CPICH Ec/No			Integer(0..49)	In dB. According to CPICH_Ec/No in [19]. Fourteen spare values are needed.
>>>CPICH RSCP			Integer(0..91)	In dBm. According to CPICH_RSCP_LEV in [19]. Thirty-six spare values are needed.
>>>Pathloss			Integer(46..158)	In dB. Fifteen spare values are needed.
>TDD				
>>Timeslot List	OP	1 to 14		
>>>Timeslot ISCP	MP		Timeslot ISCP info 10.3.7.65	The UE shall report the Timeslot ISCP in the same order as indicated in the cell info
>>>Primary CCPCH RSCP	OP		Primary CCPCH RSCP info 10.3.7.54	
Measurement results for monitored cells	OP	1 to 8		
>SFN-SFN observed time difference	OP		SFN-SFN observed time difference 10.3.7.63	It is absent for current cell
>CHOICE <i>mode</i>	MP			
>>FDD				
>>>Primary CPICH info	MP		Primary CPICH info 10.3.6.60	
>>>CHOICE <i>measurement quantity</i>	OP			It is absent for current cell. One spare value is needed.
>>>>CPICH Ec/No			Integer(0..49)	In dB. According to CPICH_Ec/No in [19]. Fourteen spare values are needed.
>>>>CPICH RSCP			Integer(0..91)	In dBm. According to CPICH_RSCP_LEV in [19]. Thirty-six spare values are needed.
>>>>Pathloss			Integer(46..158)	In dB. Fifteen spare values are needed.
>>TDD				
>>>Cell parameters Id	MP		Cell parameters Id 10.3.6.9	
>>>Primary CCPCH RSCP	MP		Primary	

Information Element/group name	Need	Multi	Type and reference	Semantics description
			CCPCH RSCP info 10.3.7.54	

NOTE: Monitored cells consist of ~~current cell and~~ neighbouring cells.

TSG-RAN Working Group 2 meeting #35
Paris, France 19th-23 May 2003

R2-031317

CR-Form-v7

CHANGE REQUEST

⌘ **25.331 CR 1931** ⌘ rev ⌘ Current version: **4.9.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Update of interfrequency measurement cell info list, reading of SIB11/12, inclusion of Measured Results on RACH		
Source:	⌘ RAN WG2		
Work item code:	⌘ TEI Date: ⌘ May 2003		
Category:	⌘ A Release: ⌘ Rel-4		
	<table border="0"> <tr> <td style="vertical-align: top;"> <p>Use <u>one</u> of the following categories:</p> <p>F (correction)</p> <p>A (corresponds to a correction in an earlier release)</p> <p>B (addition of feature),</p> <p>C (functional modification of feature)</p> <p>D (editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p> </td> <td style="vertical-align: top;"> <p>Use <u>one</u> of the following releases:</p> <p>2 (GSM Phase 2)</p> <p>R96 (Release 1996)</p> <p>R97 (Release 1997)</p> <p>R98 (Release 1998)</p> <p>R99 (Release 1999)</p> <p>Rel-4 (Release 4)</p> <p>Rel-5 (Release 5)</p> <p>Rel-6 (Release 6)</p> </td> </tr> </table>	<p>Use <u>one</u> of the following categories:</p> <p>F (correction)</p> <p>A (corresponds to a correction in an earlier release)</p> <p>B (addition of feature),</p> <p>C (functional modification of feature)</p> <p>D (editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p>	<p>Use <u>one</u> of the following releases:</p> <p>2 (GSM Phase 2)</p> <p>R96 (Release 1996)</p> <p>R97 (Release 1997)</p> <p>R98 (Release 1998)</p> <p>R99 (Release 1999)</p> <p>Rel-4 (Release 4)</p> <p>Rel-5 (Release 5)</p> <p>Rel-6 (Release 6)</p>
<p>Use <u>one</u> of the following categories:</p> <p>F (correction)</p> <p>A (corresponds to a correction in an earlier release)</p> <p>B (addition of feature),</p> <p>C (functional modification of feature)</p> <p>D (editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p>	<p>Use <u>one</u> of the following releases:</p> <p>2 (GSM Phase 2)</p> <p>R96 (Release 1996)</p> <p>R97 (Release 1997)</p> <p>R98 (Release 1998)</p> <p>R99 (Release 1999)</p> <p>Rel-4 (Release 4)</p> <p>Rel-5 (Release 5)</p> <p>Rel-6 (Release 6)</p>		

Reason for change: ⌘	<ol style="list-style-type: none"> It is not very clear in the specifications what signalling to use in order to update the inter-frequency cell info list, for inter-frequency measurements with event based reporting. It is not unambiguously specified in what cases the UE shall read and act upon SIB11/12. It is not completely clear what cells the UE has to include in the IE "Measured results on RACH" The current text on ordering of measured results seems to suggest a general ordering rule which is however not generally applicable. The specification seems to incorrectly suggest a double inclusion of the serving cell in the IE "Measured results on RACH". The current text section 8.3.5 (Hard Handover) and section 8.4.1.7.2 (Inter-frequency measurement upon transition from CELL_FACH to CELL_DCH state) specifies that UE shall stop Inter-frequency measurement reporting. This is contradicted in section 8.6.6.15. <p>Further information on the indicated issues can be found in Tdoc R2-031017</p>
Summary of change: ⌘	<ol style="list-style-type: none"> Section 8.4.1.3: It is clarified in what way the inter-frequency cell info list can be updated without having to specify any event details. Section 8.1.1.1.2: It is specified when the UE needs to have read and acted upon SIB11/12.

3. Section 8.5.x: It is clarified that the UE will only include valid measurement results, and only as far as the size of the RACH message allows this.
4. Section 10.3.7.44: It is clarified that the ordering is only applicable to intra- and inter-frequency measurements.
5. Section 10.3.7.45: The double inclusion of the serving cell is clearly removed.
6. Section 8.3.5: Clarified that UE measurement reporting is stopped at hard handover.
Section 8.6.6.15: Corrected to be in alignment with sections 8.3.5 and 8.4.1.7.2.

T1 impact:

No impact on T1 specifications is foreseen (the behaviour clarified in issue 5 is in line with the behaviour specified in two T1 test cases).

Backward compatibility:

- 1) Considered a clarification of currently specified UE behaviour. Backward compatible for the UE. A UTRAN assuming the incorrect behaviour might have to be corrected if it is using the intra-frequency measurement in the inter-frequency measurement, and not including the event details.
- 2) UE's not complying to this clarification might start incorrect measurements when going to CELL_DCH, and might include incorrect cells in the IE "Measured results on RACH".
- 3) A UE implementing the alternative behaviour will wait until it has collected sufficient measurements before accessing the RACH. This behaviour (although not necessary) is still compatible with the proposed clarification.
- 4) Since the clarification indicates less ordering restrictions than a UE might have assumed, the specified behaviour is assumed to be compatible with implemented behaviour.
- 5) A UE including a double inclusion of the serving cell will have to be corrected.
- 6) A UTRAN need to be prepared on receiving Inter-frequency measurement results prior to re-activating the measurement.

Consequences if not approved:

⌘ The indicated unclarities/incorrections will remain in the specification:

- 1) UTRAN implementations might be using an incorrect approach for the updating of the inter-frequency cell info list.
- 2) It will remain unclear at what point in time UE's have to read SIB11/12. This might e.g. lead to incorrect measurement initiation.
- 3) A UTRAN might incorrectly assume to receive more measured results, which might even lead to incorrectly handling of the message.
- 4) A UTRAN might incorrectly assume an ordering of the measured results.
- 5) A UE might incorrectly report the serving cell twice which might prevent the UE from including another relevant neighbour cell.
- 6) Contradictions will remain in specification.

Clauses affected:

⌘ 8.1.1.1.2, 8.1.3.3, 8.1.8.2, 8.1.8.3, 8.1.10.2, 8.1.10.3, 8.3.1.3, 8.3.5, 8.4.1.3, 8.4.2.2, 8.5.x, 8.6.6.15, 10.3.7.44, 10.3.7.45,

Other specs

Y	N
	X

⌘ Other core specifications ⌘

affected:

<input checked="" type="checkbox"/>	Test specifications
<input checked="" type="checkbox"/>	O&M Specifications

Other comments: ☞**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☞ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.1.1.2 System information blocks

Table 8.1.1 specifies all system information blocks and their characteristics.

The *area scope column* in table 8.1.1 specifies the area where a system information block's value tag is valid. If the area scope is *cell*, the UE shall consider the system information block to be valid only in the cell in which it was read. If system information blocks have been previously stored for this cell, the UE shall check whether the value tag for the system information block in the entered cell is different compared to the stored value tag. If the area scope is *PLMN* or *Equivalent PLMN*, the UE shall check the value tag for the system information block when a new cell is selected. If the value tag for the system information block in the new cell is different compared to the value tag for the system information block stored in the UE, the UE shall re-read the system information block. If the area scope is *PLMN*, the UE shall consider the system information block to be valid only within the PLMN in which it was read. If the area scope is *Equivalent PLMN*, the UE shall consider the system information block to be valid within the PLMN in which it was received and all PLMNs which are indicated by higher layers to be equivalent.

For System information block types 15.2, 15.3 and 16, which may have multiple occurrences, each occurrence has its own independent value tag. The UE shall re-read a particular occurrence if the value tag of this occurrence has changed compared to that stored in the UE.

The *UE mode/state column when block is valid* in Table 8.1.1 specifies in which UE mode or UE state the IEs in a system information block shall be regarded as valid by the UE. In other words, the indicated system information block becomes invalid upon change to a mode/state that is not included in this column. System Information Block Type 16 remains also valid upon transition to or from GSM/GPRS. In some cases, the states are inserted in brackets to indicate that the validity is dependent on the broadcast of the associated System Information Blocks by the network as explained in the relevant procedure subclause.

The *UE mode/state column when block is read* in Table 8.1.1 specifies in which UE mode or UE state the IEs in a system information block may be read by the UE. The UE shall have the necessary information prior to execution of any procedure requiring information to be obtained from the appropriate system information block. The requirements on the UE in terms of when to read the system information may therefore be derived from the procedure specifications that specify which IEs are required in the different UE modes/states in conjunction with the different performance requirements that are specified.

System Information Block type 10 shall only be read by the UE while in CELL_DCH.

The UE shall:

- 1> if System Information Block type 11 is referenced in the master information block or in the scheduling blocks:
 - 2> if System Information Block type 12 is not referenced in the master information block or in the scheduling blocks , or broadcast of System Information Block type 12 is not indicated in System Information Block type 11:
 - 3> have read and acted upon System Information Block type 11 in a cell when the UE transmits an RRC message on RACH.
 - 2> else
 - 3> have read and acted upon System Information Block type 11 in a cell before the UE transmits the RRC CONNECTION REQUEST message.
 - 3> have read and acted upon both System Information Block type 11 and System Information Block type 12 in a cell when:
 - 4> the UE transmits an RRC message on RACH in RRC connected mode, or
 - 4> the UE receives a message commanding to enter Cell_DCH state.

NOTE 1: There are a number of system information blocks that include the same IEs while the UE mode/state in which the information is valid differs. This approach is intended to allow the use of different IE values in different UE mode/states.

NOTE 2: System Information Block Type 16 is also obtained by a UE while in GSM/GPRS. The details of this are not within the scope of this specification.

The *Scheduling information* column in table 8.1.1 specifies the position and repetition period for the SIB.

The *modification of system information* column in table 8.1.1 specifies the update mechanisms applicable for a certain system information block. For system information blocks with a value tag, the UE shall update the information according to subclause 8.1.1.7.1 or 8.1.1.7.2. For system information blocks with an expiration timer, the UE shall, when the timer expires, perform an update of the information according to subclause 8.1.1.7.4.

Table 8.1.1: Specification of system information block characteristics

System information block	Area scope	UE mode/state when block is valid	UE mode/state when block is read	Scheduling information	Modification of system information	Additional comment
Master information block	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	SIB_POS = 0 SIB_REP = 8 (FDD) SIB_REP = 8, 16, 32 (TDD) SIB_OFF=2	Value tag	
Scheduling block 1	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information" in MIB	Value tag	
Scheduling block 2	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information" in MIB	Value tag	
System information block type 1	PLMN	Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Idle, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 2	Cell	URA_PCH	URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 3	Cell	Idle mode, (CELL_FACH, CELL_PCH, URA_PCH)	Idle mode, (CELL_FACH, CELL_PCH, URA_PCH)	Specified by the IE "Scheduling information"	Value tag	
System information block type 4	Cell	CELL_FACH, CELL_PCH, URA_PCH	CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	If System information block type 4 is not broadcast in a cell, the connected mode UE shall apply information in System information block type 3 in connected mode.
System information block type 5	Cell	Idle mode, (CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only))	Idle mode, (CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only))	Specified by the IE "Scheduling information"	Value tag	

System information block	Area scope	UE mode/state when block is valid	UE mode/state when block is read	Scheduling information	Modification of system information	Additional comment
System information block type 6	Cell	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)	Specified by the IE "Scheduling information"	Value tag	<p>If system information block type 6 is not broadcast in a cell, the connected mode UE shall read System information block type 5.</p> <p>If some of the optional IEs are not included in System information block type 6, the UE shall read the corresponding IEs in System information block type 5</p> <p>In TDD mode system information block 6 shall only be read in CELL_DCH if required for open loop power control as specified in subclause 8.5.7 and/or if shared transport channels are assigned to the UE. If in these cases system information block type 6 is not broadcast the UE shall read system information block type 5.</p>
System information block type 7	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)	Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)	Specified by the IE "Scheduling information"	Expiration timer = MAX(32 , SIB_REP * ExpirationTimeFactor)	In TDD mode system information block type 7 shall only be read in CELL_DCH if shared transport channels are assigned to the UE.
System information block type 8	Cell	CELL_FACH, CELL_PCH, URA_PCH	CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 9	Cell	CELL_FACH, CELL_PCH, URA_PCH	CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Expiration timer = SIB_REP	
System information block type 10	Cell	CELL_DCH	CELL_DCH	Specified by the IE "Scheduling information"	Expiration timer = SIB_REP	
System information block type 11	Cell	Idle mode (CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH)	Idle mode (CELL_FACH, CELL_PCH, URA_PCH)	Specified by the IE "Scheduling information"	Value tag	

System information block	Area scope	UE mode/state when block is valid	UE mode/state when block is read	Scheduling information	Modification of system information	Additional comment
System information block type 12	Cell	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	If system information block type 12 is not broadcast in a cell, the connected mode UE shall read System information block type 11. If some of the optional IEs are not included in System information block type 12, the UE shall read the corresponding IEs in System information block type 11.
System information block type 13	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 13.1	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 13.2	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 13.3	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 13.4	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 14	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Specified by the IE "Scheduling information"	Expiration timer = MAX(32 , SIB_REP * ExpirationTimeFactor)	This system information block is used in 3.84 Mcps TDD mode only. System information block type 14 shall only be read in CELL_DCH if required for open loop power control as specified in subclause 8.5.7.
System information block type 15	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 15.1	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 15.2	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	For this system information block there may be multiple occurrences
System information block type 15.3	PLMN	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	For this system information block there may be multiple occurrences

System information block	Area scope	UE mode/state when block is valid	UE mode/state when block is read	Scheduling information	Modification of system information	Additional comment
System information block type 15.4	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 15.5	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 16	Equivalent PLMN	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	For this system information block there may be multiple occurrences. This system information block is also valid while in GSM/GPRS.
System information block type 17	Cell	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Specified by the IE "Scheduling information"	Expiration timer = SIB_REP	This system information block is used in TDD mode only. System information block type 17 shall only be read if shared transport channels are assigned to the UE.
System Information Block type 18	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	

The UE shall acquire all system information blocks except system information block type 10 on BCH. System Information Block type 10 shall be acquired on the FACH and only by UEs with support for simultaneous reception of one SCCPCH and one DPCH. If System Information Block type 10 is not broadcast in a cell, the DRAC procedures do not apply in this cell. System Information Block type 10 is used in FDD mode only.

8.1.3.3 RRC CONNECTION REQUEST message contents to set

The UE shall, in the transmitted RRC CONNECTION REQUEST message:

- 1> set the IE "Establishment cause" to the value of the variable ESTABLISHMENT_CAUSE;
- 1> set the IE "Initial UE identity" to the value of the variable INITIAL_UE_IDENTITY;
- 1> set the IE "Protocol error indicator" to the value of the variable PROTOCOL_ERROR_INDICATOR;

~~1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 11; and~~

~~1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported; and~~

~~1> take care that the maximum allowed message size is not exceeded when forming the IE "Measured results on RACH".~~

The UE shall not include the IE "UE Specific Behaviour Information 1 idle".

8.1.8.2 Initiation of Initial direct transfer procedure in the UE

In the UE, the initial direct transfer procedure shall be initiated, when the upper layers request establishment of a signalling connection. This request also includes a request for the transfer of a NAS message.

Upon initiation of the initial direct transfer procedure when the UE is in idle mode, the UE shall:

- 1> set the variable ESTABLISHMENT_CAUSE to the cause for establishment indicated by upper layers;
- 1> perform an RRC connection establishment procedure, according to subclause 8.1.3;
- 1> if the RRC connection establishment procedure was not successful:
 - 2> indicate failure to establish the signalling connection to upper layers and end the procedure.
- 1> when the RRC connection establishment procedure is completed successfully:
 - 2> continue with the initial direct transfer procedure as below.

Upon initiation of the initial direct transfer procedure when the UE is in CELL_PCH or URA_PCH state, the UE shall:

- 1> perform a cell update procedure, according to subclause 8.3.1, using the cause "uplink data transmission";
- 1> when the cell update procedure completed successfully:
 - 2> continue with the initial direct transfer procedure as below.

The UE shall, in the INITIAL DIRECT TRANSFER message:

- 1> set the IE "NAS message" as received from upper layers; and
- 1> set the IE "CN domain identity" as indicated by the upper layers; and
- 1> set the IE "Intra Domain NAS Node Selector" as follows:
 - 2> derive the IE "Intra Domain NAS Node Selector" from TMSI/PMTSI, IMSI, or IMEI; and
 - 2> provide the coding of the IE "Intra Domain NAS Node Selector" according to the following priorities:
 1. derive the routing parameter for IDNNS from TMSI (CS domain) or PTMSI (PS domain) whenever a valid TMSI/PTMSI is available;
 2. base the routing parameter for IDNNS on IMSI when no valid TMSI/PTMSI is available;
 3. base the routing parameter for IDNNS on IMEI only if no (U)SIM is inserted in the UE.
- 1> calculate the START according to subclause 8.5.9 for the CN domain as set in the IE "CN Domain Identity"; and
- 1> include the calculated START value for that CN domain in the IE "START".

~~In CELL_FACH state, the UE shall:~~

- ~~1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);~~
- ~~1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.~~

The UE shall:

- 1> transmit the INITIAL DIRECT TRANSFER message on the uplink DCCH using AM RLC on signalling radio bearer RB3;
- 1> when the INITIAL DIRECT TRANSFER message has been submitted to lower layers for transmission:
 - 2> confirm the establishment of a signalling connection to upper layers; and

2> add the signalling connection with the identity indicated by the IE "CN domain identity" in the variable ESTABLISHED_SIGNALLING_CONNECTIONS.

1> when the successful delivery of the INITIAL DIRECT TRANSFER message has been confirmed by RLC:

2> the procedure ends.

When not stated otherwise elsewhere, the UE may also initiate the initial direct transfer procedure when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

A new signalling connection request may be received from upper layers during transition to idle mode. In those cases, from the time of the indication of release to upper layers until the UE has entered idle mode, any such upper layer request to establish a new signalling connection shall be queued. This request shall be processed after the UE has entered idle mode.

8.1.8.3 Reception of INITIAL DIRECT TRANSFER message by the UTRAN

On reception of the INITIAL DIRECT TRANSFER message the NAS message should be routed using the IE "CN Domain Identity". UTRAN may also use the IE "Intra Domain NAS Node Selector" for routing among the CN nodes for the addressed CN domain.

If no signalling connection exists towards the chosen node, then a signalling connection is established.

~~If the IE "Measured results on RACH" is present in the message, the UTRAN should extract the contents to be used for radio resource control.~~

When the UTRAN receives an INITIAL DIRECT TRANSFER message, it shall not affect the state of any other ongoing RRC procedures, when not stated otherwise elsewhere.

The UTRAN should:

- 1> set the START value for the CN domain indicated in the IE "CN domain identity" to the value of the IE "START".

8.1.10.2 Initiation of uplink direct transfer procedure in the UE

In the UE, the uplink direct transfer procedure shall be initiated when the upper layers request a transfer of a NAS message on an existing signalling connection. When not stated otherwise elsewhere, the UE may initiate the uplink direct transfer procedure when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

Upon initiation of the uplink direct transfer procedure in CELL_PCH or URA_PCH state, the UE shall:

- 1> perform a cell update procedure, according to subclause 8.3.1, using the cause "uplink data transmission";
- 1> when the cell update procedure has been completed successfully:
 - 2> continue with the uplink direct transfer procedure as below.

The UE shall transmit the UPLINK DIRECT TRANSFER message on the uplink DCCH using AM RLC on signalling radio bearer RB3 or signalling radio bearer RB4. The UE shall:

- 1> if upper layers indicate "low priority" for this message:
 - 2> select signalling radio bearer RB4, if available. Specifically, for a GSM-MAP based CN, signalling radio bearer RB4 shall, if available, be selected when "SAPI 3" is requested;
 - 2> select signalling radio bearer RB3 when signalling radio bearer RB4 is not available;
- 1> if upper layers indicate "high priority" for this message:
 - 2> select signalling radio bearer RB3. Specifically, for a GSM-MAP based CN, signalling radio bearer RB3 shall be selected when "SAPI 0" is requested.

~~In CELL_FACH state, the UE shall:~~

- ~~1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);~~
- ~~1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.~~

The UE shall set the IE "NAS message" as received from upper layers and set the IE "CN domain identity" as indicated by the upper layers.

When the successful delivery of the UPLINK DIRECT TRANSFER message has been confirmed by RLC the procedure ends.

8.1.10.3 Reception of UPLINK DIRECT TRANSFER message by the UTRAN

On reception of the UPLINK DIRECT TRANSFER message the NAS message should be routed using the value indicated in the IE "CN domain identity".

~~If the IE "Measured results on RACH" is present in the message, the UTRAN should extract the contents to be used for radio resource control.~~

When the UTRAN receives an UPLINK DIRECT TRANSFER message, it shall not affect the state of any other ongoing RRC procedures, when not stated otherwise elsewhere.

8.3.1.3 CELL UPDATE / URA UPDATE message contents to set

In case of cell update procedure the UE shall transmit a CELL UPDATE message.

In case of URA update procedure the UE shall transmit a URA UPDATE message.

The UE shall set the IEs in the CELL UPDATE message as follows:

- 1> set the IE "Cell update cause" corresponding to the cause specified in subclause 8.3.1.2 that is valid when the CELL UPDATE message is submitted to lower layers for transmission;

NOTE: During the time period starting from when a cell update procedure is initiated by the UE until when the procedure ends, additional CELL UPDATE messages may be transmitted by the UE with different causes.

- 1> set the IE "U-RNTI" to the value of the variable U_RNTI;

- 1> if the value of the variable PROTOCOL_ERROR_INDICATOR is TRUE:

- 2> include the IE "RRC transaction identifier"; and

- 3> set it to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

- 2> include and set the IE "failure cause" to the cause value "protocol error";

- 2> set the IE "Protocol error information" set to the value of the variable PROTOCOL_ERROR_INFORMATION.

- 1> if the value of the variable FAILURE_INDICATOR is TRUE:

- 2> include the IE "RRC transaction identifier"; and

- 3> set it to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS.

- 2> include and set the IE "failure cause" to the value of the variable FAILURE_CAUSE.

- 1> include the START values for each CN domain, calculated according to subclause 8.5.9;

- 1> if an unrecoverable error [16] in any of the AM RLC entities for the signalling radio bearers RB2, RB3 or RB4 is detected:

- 2> set the IE "AM_RLC error indication (RB2, RB3 or RB4)" to TRUE.

- 1> otherwise:

- 2> set the IE "AM_RLC error indication (RB2, RB3 or RB4)" to FALSE.

- 1> if an unrecoverable error [16] in any of the AM RLC entities for the RB5 or upward is detected:

- 2> set the IE "AM_RLC error indication (RB>4)" to TRUE.

- 1> otherwise:

- 2> set the IE "AM_RLC error indication (RB>4)" to FALSE.

- 1> set the IE "RB Timer indicator" to the value of the variable RB_TIMER_INDICATOR;

~~1> include an intra frequency measurement report in the IE "Measured results on RACH", as specified in the IE "Intra frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in system information block type 12 (or System Information Block type 11, if System Information Block type 12 is not being broadcast); and~~

~~1> include in the IE "Measured results on RACH" all requested reporting quantities for all included measurement objects; and~~

~~1> take care that the maximum allowed message size is not exceeded when forming the IE "Measured results on RACH".~~

The UE shall set the IEs in the URA UPDATE message as follows:

- 1> set the IE "U-RNTI" to the value of the variable U_RNTI;
- 1> set the IE "URA update cause" corresponding to which cause as specified in subclause 8.3.1.2 that is valid when the URA UPDATE message is submitted to lower layers for transmission;

NOTE: During the time period starting from when a URA update procedure is initiated by the UE until when the procedure ends, additional URA UPDATE messages may be transmitted by the UE with different causes, depending on which causes are valid for the respective URA UPDATE message.

- 2> if the value of the variable `PROTOCOL_ERROR_INDICATOR` is TRUE:
 - 3> include the IE "RRC transaction identifier"; and
 - 4> set it to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable `TRANSACTIONS`;
 - 3> set the IE "Protocol error indicator" to TRUE;
 - 3> include the IE "Protocol error information" set to the value of the variable `PROTOCOL_ERROR_INFORMATION`.
- 2> if the value of the variable `PROTOCOL_ERROR_INDICATOR` is FALSE:
 - 3> if the value of the variable `INVALID_CONFIGURATION` is TRUE:
 - 4> include the IE "RRC transaction identifier"; and
 - 4> set it to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable `TRANSACTIONS`;
 - 4> set the IE "Protocol error indicator" to TRUE;
 - 4> include the IE "Protocol error information" set to "Information element value not comprehended";
 - 3> if the value of the variable `INVALID_CONFIGURATION` is FALSE:
 - 4> set the IE "Protocol error indicator" to FALSE.

8.3.5 Hard handover

When performing hard handover with change of frequency, the UE shall:

- 1> stop all intra-frequency and inter-frequency measurements ~~s~~ [reporting](#) on the cells listed in the variable CELL_INFO_LIST. Each stopped measurement is restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

.....

- 1> if the IE "Measurement command" has the value "modify":
 - 2> for all IEs present in the MEASUREMENT CONTROL message:
 - 3> if a measurement was stored in the variable MEASUREMENT_IDENTITY associated to the identity by the IE "measurement identity":
 - 4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 5> if the UE is in CELL_FACH state:
 - 6> the UE behaviour is not specified.
 - 4> if measurement type is set to "intra-frequency measurement", for any of the optional IEs "Intra-frequency measurement objects list", "Intra-frequency measurement quantity", "Intra-frequency reporting quantity", "Measurement Validity", "report criteria" and "parameters required for each event" (given "report criteria" is set to "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-frequency measurement", for any of the optional IEs "Inter-frequency measurement quantity", "Inter-frequency reporting quantity", "Measurement Validity", "Inter-frequency set update" and "parameters required for each event" (given "report criteria" is set to either "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-RAT measurement", for any of the optional IEs "Inter-RAT measurement objects list", "Inter-RAT measurement quantity", "Inter-RAT reporting quantity" and "parameters required for each event" (given "report criteria" is set to "inter-RAT measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning OTDOA assistance data" is present, for any of the optional IEs "UE positioning OTDOA neighbour cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-based", "UE positioning OTDOA neighbour cell info for UE-based" and "UE positioning" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning GPS assistance data" is present, for any of the optional IEs "UE positioning GPS reference time", "UE positioning GPS reference UE position", "UE positioning GPS DGPS corrections", "UE positioning GPS navigation model", "UE positioning GPS ionospheric model", "UE positioning GPS UTC model", "UE positioning GPS almanac", "UE positioning GPS acquisition assistance", "UE positioning GPS real-time integrity" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "traffic volume measurement", for any of the optional IEs "Traffic volume measurement Object", "Traffic volume measurement quantity", "Traffic volume reporting quantity", "Measurement Validity" and "parameters required for each event" (given "report criteria" is set to "traffic volume measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "quality measurement", for any of the optional IE "Quality reporting quantity" that is present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE internal measurement", for any of the optional IEs "UE internal measurement quantity", "UE internal reporting quantity" and "parameters required for each event" (given "report criteria" is set to "UE internal measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:

- 5> replace the corresponding information (the IEs listed above and all their children) stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;
 - 5> leave all other stored information elements unchanged in the variable MEASUREMENT_IDENTITY.
- 3> otherwise:
- 4> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 2> if measurement type is set to "inter-frequency measurement":
- 3> if "report criteria" is set to "intra-frequency report criteria" and "reporting criteria" in "inter-frequency measurement quantity" is set to "intra-frequency reporting criteria":
 - 4> leave the currently stored "inter-frequency report criteria" within "report criteria" and "inter-frequency reporting criteria" within "inter-frequency measurement quantity" unchanged, and continue to act on the information stored in these variables, and also store the newly received "intra-frequency report criteria" and intra-frequency reporting criteria.
 - 3> otherwise:
 - 4> clear the variables associated with the CHOICE "report criteria" and store the received "report criteria" choice;
 - 4> if the IE "inter-frequency measurement quantity" is present:
 - 5> clear the variables associated with the choice "reporting criteria" in "inter-frequency measurement quantity" and store the received "reporting criteria" choice.
- NOTE: If the UTRAN wants to modify the inter-frequency cell info list for an inter-frequency measurement configured with event based reporting without repeating any IE's related to the configured events, the only possibility is to set the IE "report criteria" to "intra-frequency report criteria", not include the IE "parameters required for each event", and set the IE "reporting criteria" in the IE "inter-frequency measurement quantity" to "intra-frequency reporting criteria".
- 2> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:
- 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and after reception of this message a compressed mode pattern sequence with an appropriate measurement purpose is active according to the IE "Current TGPS Status Flag" in UE variable TGPS_IDENTITY; or
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode, on at least one supported band of that measurement type, to perform the measurements:
 - 4> resume the measurements according to the new stored measurement control information.
- 2> for measurement type "inter-frequency measurement" that requires measurements only on the same frequency as the actually used frequency:
- 3> if the measurement is valid in the current RRC state of the UE:
 - 4> resume measurements according to the new stored control information for this measurement identity.
- 2> for any other measurement type:
- 3> resume the measurements according to the new stored measurement control information.
- 1> if the IE "measurement command" has the value "release":

.....

8.4.2.2 Initiation

In CELL_DCH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing measurements that are being performed in the UE.

In CELL_FACH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing traffic volume measurement or UE positioning measurement that is being performed in the UE;

~~1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);~~

~~1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.~~

In TDD, if the Radio Bearer associated with the MEASUREMENT_IDENTITY fulfilling the reporting criteria for an ongoing traffic volume measurement is mapped on transport channel of type USCH, the UE shall:

- 1> initiate the "PUSCH CAPACITY REQUEST" procedure instead of transmitting a MEASUREMENT REPORT (TDD Only).

In CELL_PCH or URA_PCH state, the UE shall:

- 1> first perform the cell update procedure according to subclause 8.3.1, using the cause "uplink data transmission", in order to transit to CELL_FACH state; and then
- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are fulfilled for any ongoing traffic volume measurement or UE positioning measurement which is being performed in the UE.

The reporting criteria are fulfilled if either:

- a periodic MEASUREMENT REPORT message shall be sent according to the IE "Periodical Reporting Criteria"; or
- an event in stored IE "Measurement reporting criteria" was triggered. Events and triggering of reports for different measurement types are described in detail in clause 14.

For the measurement, which triggered the MEASUREMENT REPORT message, the UE shall:

- 1> set the IE "measurement identity" to the measurement identity, which is associated with that measurement in variable MEASUREMENT_IDENTITY;
- 1> set the IE "measured results" to include measurements according to the IE "reporting quantity" of that measurement stored in variable MEASUREMENT_IDENTITY; and
 - 2> if all the reporting quantities are set to "false":
 - 3> not set the IE "measured results".
- 1> set the IE "Measured results" in the IE "Additional measured results" according to the IE "reporting quantity" for all measurements associated with the measurement identities included in the "Additional measurements list" stored in variable MEASUREMENT_IDENTITY of the measurement that triggered the measurement report; and
 - 2> if more than one additional measured results are to be included:
 - 3> include only the available additional measured results, and sort them in ascending order according to their IE "measurement identity" in the MEASUREMENT REPORT message.

1> if the MEASUREMENT REPORT message was triggered by an event (i.e. not a periodical report):

2> set the IE "Event results" according to the event that triggered the report.

1> if the observed time difference for one or more GSM cells is included in the MEASUREMENT REPORT message:

2> set the IE "GSM OTD reference cell" to the primary CPICH info of the active set cell that was used as reference for the measurement.

The UE shall:

1> transmit the MEASUREMENT REPORT message on the uplink DCCH using either AM or UM RLC according to the stored IE "measurement reporting mode" associated with the measurement identity that triggered the report.

When the MEASUREMENT REPORT message has been submitted to lower layers for transmission:

1> the procedure ends.

8.5.x Measured results on RACH

When transmitting an UL RRC message, the UE shall:

1> if the UL RRC message is an RRC CONNECTION REQUEST message:

2> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 11;

1> for any other UL RRC message which optionally includes the IE "Measured results on RACH":

2> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);

1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.

NOTE: The UE only includes measurement results for neighbour cells for which valid measurements are available at the time the message is sent. At cell access following selection or reselection to a cell, the UE may not have had sufficient time to obtain valid measurement results for neighbour cells

1> for messages transmitted on CCCH, take care that the maximum allowed message size is not exceeded when forming the IE "Measured results on RACH", i.e. limit the number of included neighbour cells or if required omit the IE "Measured results on RACH" altogether.

If the IE "Measured results on RACH" is present in the message, the UTRAN should extract the contents to be used for radio resource control.

8.6.6.15 DPCH Compressed mode info

If the IE "DPCH compressed mode info" is included, and if the IE group "transmission gap pattern sequence configuration parameters" is included, the UE shall for each transmission gap pattern sequence perform the following consistency checks:

- 1> if the UE, according to its measurement capabilities, and for all supported bands of the UTRA mode or RAT associated with the measurement purpose indicated by IE "TGMP", requires UL compressed mode, and CHOICE 'UL/DL mode' indicates 'DL only':
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE, according to its measurement capabilities, and for all supported bands of the UTRA mode or RAT associated with the measurement purpose indicated by IE "TGMP", requires DL compressed mode, and CHOICE 'UL/DL mode' indicates 'UL only':
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE, according to its measurement capabilities, does not require UL compressed mode for any of supported band of the UTRA mode or RAT associated with the measurement purpose indicated by the IE "TGMP", and CHOICE 'UL/DL mode' indicates 'UL only' or 'UL and DL':
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE, according to its measurement capabilities, does not require DL compressed mode for any supported band of the UTRA mode or RAT associated with the measurement purpose indicated by the IE "TGMP", and CHOICE 'UL/DL mode' indicates 'DL only' or 'UL and DL':
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if UE already has an active transmission gap pattern sequence that, according to IE "TGMP", has the same measurement purpose, and both patterns will be active after the new configuration has been taken into use:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if there is any pending "TGPS reconfiguration CFN" or any pending "TGCFN":
 - 2> the UE behaviour is unspecified.

If variable INVALID_CONFIGURATION has value FALSE after UE has performed the checks above, the UE shall:

- 1> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag" in the variable TGPS_IDENTITY):
 - 2> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:
 - 3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use.
 - 3> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".
 - 2> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:
 - 3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

- 1> update each pattern sequence to the variable TGPS_IDENTITY according to the IE "TGPSI";
- 1> update into the variable TGPS_IDENTITY the configuration information defined by IE group "transmission gap pattern sequence configuration parameters";

1> after the new configuration has been taken into use:

2> activate the stored pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in the variable TGPS_IDENTITY is set to "activate" at the time indicated by IE "TGCFN"; ~~and~~

2> if the IE "DPCH compressed mode info" is included in a message used to perform a Hard Handover with change of frequency (see 8.3.5); or

2> if the IE "DPCH compressed mode info" is included in a message used to transfer the UE from Cell_FACH to Cell_DCH, and the cell in which the UE transited from CELL_FACH state is not included in the active set for the CELL_DCH state (see 8.4.1.7.2);

3> not begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> else

3> begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> begin the ~~inter-frequency and/or~~ inter-RAT measurements reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> if the new configuration is taken into use at the same CFN as indicated by IE "TGCFN":

3> start the concerned pattern sequence immediately at that CFN.

1> monitor if the parallel transmission gap pattern sequences create an illegal overlap, and in case of overlap, take actions as specified in subclause 8.2.11.2.

If the IE "DPCH compressed mode info" is included, and if the IE group "transmission gap pattern sequence configuration parameters" is not included, the UE shall:

1> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag" in the variable TGPS_IDENTITY):

2> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:

3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use;

3> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".

2> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:

3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

1> after the new configuration has been taken into use:

2> at the time indicated by IE "TGCFN":

3> activate the stored pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" is set to "activate"; and

3> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "active".

2> if the IE "DPCH compressed mode info" is included in a message used to perform a Hard Handover with change of frequency (see 8.3.5); or

2> if the IE "DPCH compressed mode info" is included in a message used to transfer the UE from Cell_FACH to Cell_DCH, and the cell in which the UE transited from CELL_FACH state is not included in the active set for the CELL_DCH state (see 8.4.1.7.2):

3> not begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> else

3> begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> begin the ~~inter-frequency and/or~~ inter-RAT measurement reportings corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> if the new configuration is taken into use at the same CFN as indicated by IE "TGCFN":

3> start the concerned pattern sequence immediately at that CFN.

For transmission gap pattern sequences stored in variable TGPS_IDENTITY, but not identified in IE "TGPSI" (either due to the absence of the IE "DPCH compressed mode info" in the received message or due to not receiving the corresponding TGPSI value in the IE "DPCH compressed mode info"), the UE shall:

1> if the received message implies a timing re-initialised hard handover (see subclause 8.3.5.1):

2> deactivate such transmission gap pattern sequences at the beginning of the frame, indicated by IE "Activation time" (see subclause 8.6.3.1) received in this message; and

2> set IE "Current TGPS Status Flag" in corresponding UE variable TGPS_IDENTITY to 'inactive'.

1> if the received message not implies a timing re-initialised hard handover (see subclause 8.3.5.1):

2> continue such transmission gap pattern sequence according to IE "Current TGPS Status Flag" in the corresponding UE variable TGPS_IDENTITY.

Uplink and downlink compressed mode methods are described in [27]. For UL "higher layer scheduling" compressed mode method and transport format combination selection, see [15].

10.3.7.44 Measured results

Contains the measured results of the quantity indicated optionally by Reporting Quantity in Measurement Control. "Measured results" can be used for both event trigger mode and periodical reporting mode. [For intra-frequency and inter-frequency measurements](#) the list shall be in the order of the value of the measurement quantity (the first cell shall be the best cell). The "best" FDD cell has the largest value when the measurement quantity is "Ec/No" or "RSCP". On the other hand, the "best" cell has the smallest value when the measurement quantity is "Pathloss". The "best" TDD cell has the largest value when measurement quantity is "Primary CCPCH RSCP". [For intra-frequency measurements, the ordering shall be applied to all cells included in the IE "Measured results". For inter-frequency measurements, the ordering shall be applied to all cells on the same frequency included in the IE "Measured results". For other measurements, the order of reported measurement objects is not specified.](#)

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE <i>Measurement</i>	MP			One spare value is needed.
>Intra-frequency measured results list			Intra-frequency measured results list 10.3.7.35	
>Inter-frequency measured results list			Inter-frequency measured results list 10.3.7.15	
>Inter-RAT measured results list			Inter-RAT measured results list 10.3.7.26	
>Traffic volume measured results list			Traffic volume measured results list 10.3.7.67	
>Quality measured results list			Quality measured results list 10.3.7.55	
>UE Internal measured results			UE Internal measured results 10.3.7.76	
>UE positioning measured results			UE positioning measured results 10.3.7.99	

10.3.7.45 Measured results on RACH

Contains the measured results on RACH of the quantity indicated optionally by Reporting Quantity in the system information broadcast on BCH. The list should be in the order of the value of the measurement quality (the first cell should be the best cell). The "best" FDD cell has the largest value when the measurement quantity is "Ec/No" or "RSCP". On the other hand, the "best" cell has the smallest value when the measurement quantity is "Pathloss". The "best" TDD cell has the largest value when measurement quantity is "Primary CCPCH RSCP".

Information Element/group name	Need	Multi	Type and reference	Semantics description	Version
Measurement result for current cell					
CHOICE <i>mode</i>	MP				
>FDD					
>>CHOICE <i>measurement quantity</i>	MP			One spare value is needed.	
>>>CPICH Ec/No			Integer(0..49)	In dB. According to CPICH_Ec/No in [19]. Fourteen spare values are needed.	
>>>CPICH RSCP			Integer(0..91)	In dBm. According to CPICH_RSCP_LEVEL in [19]. Thirty-six spare values are needed.	
>>>Pathloss			Integer(46..158)	In dB. Fifteen spare values are needed.	
>TDD					
>>CHOICE <i>TDD option</i>	MP				REL-4
>>>3.84 Mcps TDD					REL-4
>>>>Timeslot List	OP	1 to 14			
>>>>>Timeslot ISCP	MP		Timeslot ISCP info 10.3.7.65	The UE shall report the Timeslot ISCP in the same order as indicated in the cell info	
>>>1.28 Mcps TDD					REL-4
>>>>Timeslot List	OP	1 to 6			REL-4
>>>>>Timeslot ISCP	MP		Timeslot ISCP info 10.3.7.65	The UE shall report the Timeslot ISCP in the same order as indicated in the cell info	REL-4
>>Primary CCPCH RSCP	OP		Primary CCPCH RSCP info 10.3.7.54		
Measurement results for monitored cells	OP	1 to 8			
>SFN-SFN observed time difference	OP		SFN-SFN observed time difference 10.3.7.63	It is absent for current cell	
>CHOICE <i>mode</i>	MP				
>>FDD					
>>>Primary CPICH info	MP		Primary CPICH info 10.3.6.60		

Information Element/group name	Need	Multi	Type and reference	Semantics description	Version
>>>CHOICE <i>measurement quantity</i>	OP			It is absent for current cell. One spare value is needed.	
>>>>CPICH Ec/N0			Integer(0..49)	In dB. According to CPICH_Ec/No in [19]. Fourteen spare values are needed.	
>>>>CPICH RSCP			Integer(0..91)	In dBm. According to CPICH_RSCP_LEVEL in [19]. Thirty-six spare values are needed.	
>>>>Pathloss			Integer(46..158)	In dB. Fifteen spare values are needed.	
>>TDD					
>>>Cell parameters Id	MP		Cell parameters Id 10.3.6.9		
>>>Primary CCPCH RSCP	MP		Primary CCPCH RSCP info 10.3.7.54		

NOTE: Monitored cells consist of ~~current cell and~~ neighbouring cells.

TSG-RAN Working Group 2 meeting #35
Paris, France 19th-23 May 2003

R2-031318

CR-Form-v7

CHANGE REQUEST

⌘ **25.331 CR 1932** ⌘ rev ⌘ Current version: **5.4.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Update of interfrequency measurement cell info list, reading of SIB11/12, inclusion of Measured Results on RACH
Source:	⌘ RAN WG2
Work item code:	⌘ TEI
Date:	⌘ May 2003
Category:	⌘ A
	<p>Use <u>one</u> of the following categories:</p> <p>F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p>
Release:	⌘ Rel-5
	<p>Use <u>one</u> of the following releases:</p> <p>2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)</p>

Reason for change:	⌘	<ol style="list-style-type: none"> It is not very clear in the specifications what signalling to use in order to update the inter-frequency cell info list, for inter-frequency measurements with event based reporting. It is not unambiguously specified in what cases the UE shall read and act upon SIB11/12. It is not completely clear what cells the UE has to include in the IE "Measured results on RACH" The current text on ordering of measured results seems to suggest a general ordering rule which is however not generally applicable. The specification seems to incorrectly suggest a double inclusion of the serving cell in the IE "Measured results on RACH". The current text section 8.3.5 (Hard Handover) and section 8.4.1.7.2 (Inter-frequency measurement upon transition from CELL_FACH to CELL_DCH state) specifies that UE shall stop Inter-frequency measurement reporting. This is contradicted in section 8.6.6.15. <p>Further information on the indicated issues can be found in Tdoc R2-031017</p>
Summary of change:	⌘	<ol style="list-style-type: none"> Section 8.4.1.3: It is clarified in what way the inter-frequency cell info list can be updated without having to specify any event details. Section 8.1.1.1.2: It is specified when the UE needs to have read and acted upon SIB11/12.

3. Section 8.5.x: It is clarified that the UE will only include valid measurement results, and only as far as the size of the RACH message allows this.
4. Section 10.3.7.44: It is clarified that the ordering is only applicable to intra- and inter-frequency measurements.
5. Section 10.3.7.45: The double inclusion of the serving cell is clearly removed.
6. Section 8.3.5: Clarified that UE measurement reporting is stopped at hard handover.
Section 8.6.6.15: Corrected to be in alignment with sections 8.3.5 and 8.4.1.7.2.

T1 impact:

No impact on T1 specifications is foreseen (the behaviour clarified in issue 5 is in line with the behaviour specified in two T1 test cases).

Backward compatibility:

- 1) Considered a clarification of currently specified UE behaviour. Backward compatible for the UE. A UTRAN assuming the incorrect behaviour might have to be corrected if it is using the intra-frequency measurement in the inter-frequency measurement, and not including the event details.
- 2) UE's not complying to this clarification might start incorrect measurements when going to CELL_DCH, and might include incorrect cells in the IE "Measured results on RACH".
- 3) A UE implementing the alternative behaviour will wait until it has collected sufficient measurements before accessing the RACH. This behaviour (although not necessary) is still compatible with the proposed clarification.
- 4) Since the clarification indicates less ordering restrictions than a UE might have assumed, the specified behaviour is assumed to be compatible with implemented behaviour.
- 5) A UE including a double inclusion of the serving cell will have to be corrected.
- 6) A UTRAN need to be prepared on receiving Inter-frequency measurement results prior to re-activating the measurement.

Consequences if not approved:

⌘ The indicated unclarities/incorrections will remain in the specification:

- 1) UTRAN implementations might be using an incorrect approach for the updating of the inter-frequency cell info list.
- 2) It will remain unclear at what point in time UE's have to read SIB11/12. This might e.g. lead to incorrect measurement initiation.
- 3) A UTRAN might incorrectly assume to receive more measured results, which might even lead to incorrectly handling of the message.
- 4) A UTRAN might incorrectly assume an ordering of the measured results.
- 5) A UE might incorrectly report the serving cell twice which might prevent the UE from including another relevant neighbour cell.
- 6) Contradictions will remain in specification.

Clauses affected: ⌘ 8.1.1.1.2, 8.1.3.3, 8.1.8.2, 8.1.8.3, 8.1.10.2, 8.1.10.3, 8.3.1.3, 8.3.5, 8.4.1.3, 8.4.2.2, 8.5.x, 8.6.6.15, 10.3.7.44, 10.3.7.45,

Other specs

Y	N
	X

⌘ Other core specifications ⌘

affected:

<input checked="" type="checkbox"/>	Test specifications
<input checked="" type="checkbox"/>	O&M Specifications

Other comments: ☞**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☞ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.1.1.2 System information blocks

Table 8.1.1 specifies all system information blocks and their characteristics.

The *area scope column* in table 8.1.1 specifies the area where a system information block's value tag is valid. If the area scope is *cell*, the UE shall consider the system information block to be valid only in the cell in which it was read. If system information blocks have been previously stored for this cell, the UE shall check whether the value tag for the system information block in the entered cell is different compared to the stored value tag. If the area scope is *PLMN* or *Equivalent PLMN*, the UE shall check the value tag for the system information block when a new cell is selected. If the value tag for the system information block in the new cell is different compared to the value tag for the system information block stored in the UE, the UE shall re-read the system information block. If the area scope is *PLMN*, the UE shall consider the system information block to be valid only within the PLMN in which it was read. If the area scope is *Equivalent PLMN*, the UE shall consider the system information block to be valid within the PLMN in which it was received and all PLMNs which are indicated by higher layers to be equivalent.

For System information block types 15.2, 15.3 and 16, which may have multiple occurrences, each occurrence has its own independent value tag. The UE shall re-read a particular occurrence if the value tag of this occurrence has changed compared to that stored in the UE.

The *UE mode/state column when block is valid* in Table 8.1.1 specifies in which UE mode or UE state the IEs in a system information block shall be regarded as valid by the UE. In other words, the indicated system information block becomes invalid upon change to a mode/state that is not included in this column. System Information Block Type 16 remains also valid upon transition to or from GSM/GPRS. In some cases, the states are inserted in brackets to indicate that the validity is dependent on the broadcast of the associated System Information Blocks by the network as explained in the relevant procedure subclause.

The *UE mode/state column when block is read* in Table 8.1.1 specifies in which UE mode or UE state the IEs in a system information block may be read by the UE. The UE shall have the necessary information prior to execution of any procedure requiring information to be obtained from the appropriate system information block. The requirements on the UE in terms of when to read the system information may therefore be derived from the procedure specifications that specify which IEs are required in the different UE modes/states in conjunction with the different performance requirements that are specified.

System Information Block type 10 shall only be read by the UE while in CELL_DCH.

The UE shall:

- 1> if System Information Block type 11 is referenced in the master information block or in the scheduling blocks:
 - 2> if System Information Block type 12 is not referenced in the master information block or in the scheduling blocks , or broadcast of System Information Block type 12 is not indicated in System Information Block type 11:
 - 3> have read and acted upon System Information Block type 11 in a cell when the UE transmits an RRC message on RACH.
 - 2> else
 - 3> have read and acted upon System Information Block type 11 in a cell before the UE transmits the RRC CONNECTION REQUEST message.
 - 3> have read and acted upon both System Information Block type 11 and System Information Block type 12 in a cell when:
 - 4> the UE transmits an RRC message on RACH in RRC connected mode, or
 - 4> the UE receives a message commanding to enter Cell_DCH state.

NOTE 1: There are a number of system information blocks that include the same IEs while the UE mode/state in which the information is valid differs. This approach is intended to allow the use of different IE values in different UE mode/states.

NOTE 2: System Information Block Type 16 is also obtained by a UE while in GSM/GPRS. The details of this are not within the scope of this specification.

The *Scheduling information* column in table 8.1.1 specifies the position and repetition period for the SIB.

The *modification of system information* column in table 8.1.1 specifies the update mechanisms applicable for a certain system information block. For system information blocks with a value tag, the UE shall update the information according to subclause 8.1.1.7.1 or 8.1.1.7.2. For system information blocks with an expiration timer, the UE shall, when the timer expires, perform an update of the information according to subclause 8.1.1.7.4.

Table 8.1.1: Specification of system information block characteristics

System information block	Area scope	UE mode/state when block is valid	UE mode/state when block is read	Scheduling information	Modification of system information	Additional comment
Master information block	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	SIB_POS = 0 SIB_REP = 8 (FDD) SIB_REP = 8, 16, 32 (TDD) SIB_OFF=2	Value tag	
Scheduling block 1	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information" in MIB	Value tag	
Scheduling block 2	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information" in MIB	Value tag	
System information block type 1	PLMN	Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Idle, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 2	Cell	URA_PCH	URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 3	Cell	Idle mode, (CELL_FACH, CELL_PCH, URA_PCH)	Idle mode, (CELL_FACH, CELL_PCH, URA_PCH)	Specified by the IE "Scheduling information"	Value tag	
System information block type 4	Cell	CELL_FACH, CELL_PCH, URA_PCH	CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	If System information block type 4 is not broadcast in a cell, the connected mode UE shall apply information in System information block type 3 in connected mode.
System information block type 5	Cell	Idle mode, (CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only))	Idle mode, (CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only))	Specified by the IE "Scheduling information"	Value tag	

System information block	Area scope	UE mode/state when block is valid	UE mode/state when block is read	Scheduling information	Modification of system information	Additional comment
System information block type 6	Cell	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)	Specified by the IE "Scheduling information"	Value tag	<p>If system information block type 6 is not broadcast in a cell, the connected mode UE shall read System information block type 5.</p> <p>If some of the optional IEs are not included in System information block type 6, the UE shall read the corresponding IEs in System information block type 5</p> <p>In TDD mode system information block 6 shall only be read in CELL_DCH if required for open loop power control as specified in subclause 8.5.7 and/or if shared transport channels are assigned to the UE. If in these cases system information block type 6 is not broadcast the UE shall read system information block type 5.</p>
System information block type 7	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)	Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH (TDD only)	Specified by the IE "Scheduling information"	Expiration timer = MAX(32 , SIB_REP * ExpirationTimeFactor)	In TDD mode system information block type 7 shall only be read in CELL_DCH if shared transport channels are assigned to the UE.
System information block type 8	Cell	CELL_FACH, CELL_PCH, URA_PCH	CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 9	Cell	CELL_FACH, CELL_PCH, URA_PCH	CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Expiration timer = SIB_REP	
System information block type 10	Cell	CELL_DCH	CELL_DCH	Specified by the IE "Scheduling information"	Expiration timer = SIB_REP	
System information block type 11	Cell	Idle mode (CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH)	Idle mode (CELL_FACH, CELL_PCH, URA_PCH)	Specified by the IE "Scheduling information"	Value tag	

System information block	Area scope	UE mode/state when block is valid	UE mode/state when block is read	Scheduling information	Modification of system information	Additional comment
System information block type 12	Cell	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	If system information block type 12 is not broadcast in a cell, the connected mode UE shall read System information block type 11. If some of the optional IEs are not included in System information block type 12, the UE shall read the corresponding IEs in System information block type 11.
System information block type 13	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 13.1	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 13.2	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 13.3	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 13.4	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 14	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Specified by the IE "Scheduling information"	Expiration timer = MAX(32, SIB_REP * ExpirationTimeFactor)	This system information block is used in 3.84 Mcps TDD mode only. System information block type 14 shall only be read in CELL_DCH if required for open loop power control as specified in subclause 8.5.7.
System information block type 15	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 15.1	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 15.2	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	For this system information block there may be multiple occurrences
System information block type 15.3	PLMN	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	For this system information block there may be multiple occurrences

System information block	Area scope	UE mode/state when block is valid	UE mode/state when block is read	Scheduling information	Modification of system information	Additional comment
System information block type 15.4	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 15.5	Cell	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	
System information block type 16	Equivalent PLMN	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Idle Mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	For this system information block there may be multiple occurrences. This system information block is also valid while in GSM/GPRS.
System information block type 17	Cell	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Specified by the IE "Scheduling information"	Expiration timer = SIB_REP	This system information block is used in TDD mode only. System information block type 17 shall only be read if shared transport channels are assigned to the UE.
System Information Block type 18	Cell	Idle mode, CELL_FACH, CELL_PCH, URA_PCH, CELL_DCH	Idle mode, CELL_FACH, CELL_PCH, URA_PCH	Specified by the IE "Scheduling information"	Value tag	

The UE shall acquire all system information blocks except system information block type 10 on BCH. System Information Block type 10 shall be acquired on the FACH and only by UEs with support for simultaneous reception of one SCCPCH and one DPCH. If System Information Block type 10 is not broadcast in a cell, the DRAC procedures do not apply in this cell. System Information Block type 10 is used in FDD mode only.

8.1.3.3 RRC CONNECTION REQUEST message contents to set

The UE shall, in the transmitted RRC CONNECTION REQUEST message:

- 1> set the IE "Establishment cause" to the value of the variable ESTABLISHMENT_CAUSE;
- 1> set the IE "Initial UE identity" to the value of the variable INITIAL_UE_IDENTITY;
- 1> set the IE "Protocol error indicator" to the value of the variable PROTOCOL_ERROR_INDICATOR;
- 1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 11; and

- ~~1> include the IE "Predefined configuration status information" and set this IE to true if the UE has all pre-configurations stored with the same value tag as broadcast in the cell in which the RRC connection establishment is initiated; and~~
- ~~1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported; and~~
- ~~1> take care that the maximum allowed message size is not exceeded when forming the IE "Measured results on RACH".~~

The UE shall not include the IE "UE Specific Behaviour Information 1 idle".

8.1.8.2 Initiation of Initial direct transfer procedure in the UE

In the UE, the initial direct transfer procedure shall be initiated, when the upper layers request establishment of a signalling connection. This request also includes a request for the transfer of a NAS message.

Upon initiation of the initial direct transfer procedure when the UE is in idle mode, the UE shall:

- 1> set the variable ESTABLISHMENT_CAUSE to the cause for establishment indicated by upper layers;
- 1> perform an RRC connection establishment procedure, according to subclause 8.1.3;
- 1> if the RRC connection establishment procedure was not successful:
 - 2> indicate failure to establish the signalling connection to upper layers and end the procedure.
- 1> when the RRC connection establishment procedure is completed successfully:
 - 2> continue with the initial direct transfer procedure as below.

Upon initiation of the initial direct transfer procedure when the UE is in CELL_PCH or URA_PCH state, the UE shall:

- 1> perform a cell update procedure, according to subclause 8.3.1, using the cause "uplink data transmission";
- 1> when the cell update procedure completed successfully:
 - 2> continue with the initial direct transfer procedure as below.

The UE shall, in the INITIAL DIRECT TRANSFER message:

- 1> set the IE "NAS message" as received from upper layers; and
- 1> set the IE "CN domain identity" as indicated by the upper layers; and
- 1> set the IE "Intra Domain NAS Node Selector" as follows:
 - 2> derive the IE "Intra Domain NAS Node Selector" from TMSI/PMTSI, IMSI, or IMEI; and
 - 2> provide the coding of the IE "Intra Domain NAS Node Selector" according to the following priorities:
 1. derive the routing parameter for IDNNS from TMSI (CS domain) or PTMSI (PS domain) whenever a valid TMSI/PTMSI is available;
 2. base the routing parameter for IDNNS on IMSI when no valid TMSI/PTMSI is available;
 3. base the routing parameter for IDNNS on IMEI only if no (U)SIM is inserted in the UE.
- 1> calculate the START according to subclause 8.5.9 for the CN domain as set in the IE "CN Domain Identity"; and
- 1> include the calculated START value for that CN domain in the IE "START".

~~In CELL_FACH state, the UE shall:~~

- ~~1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);~~
- ~~1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.~~

The UE shall:

- 1> transmit the INITIAL DIRECT TRANSFER message on the uplink DCCH using AM RLC on signalling radio bearer RB3;
- 1> when the INITIAL DIRECT TRANSFER message has been submitted to lower layers for transmission:
 - 2> confirm the establishment of a signalling connection to upper layers; and

2> add the signalling connection with the identity indicated by the IE "CN domain identity" in the variable ESTABLISHED_SIGNALLING_CONNECTIONS.

1> when the successful delivery of the INITIAL DIRECT TRANSFER message has been confirmed by RLC:

2> the procedure ends.

When not stated otherwise elsewhere, the UE may also initiate the initial direct transfer procedure when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

A new signalling connection request may be received from upper layers during transition to idle mode. In those cases, from the time of the indication of release to upper layers until the UE has entered idle mode, any such upper layer request to establish a new signalling connection shall be queued. This request shall be processed after the UE has entered idle mode.

8.1.8.3 Reception of INITIAL DIRECT TRANSFER message by the UTRAN

On reception of the INITIAL DIRECT TRANSFER message the NAS message should be routed using the IE "CN Domain Identity". UTRAN may also use the IE "Intra Domain NAS Node Selector" for routing among the CN nodes for the addressed CN domain.

If no signalling connection exists towards the chosen node, then a signalling connection is established.

~~If the IE "Measured results on RACH" is present in the message, the UTRAN should extract the contents to be used for radio resource control.~~

When the UTRAN receives an INITIAL DIRECT TRANSFER message, it shall not affect the state of any other ongoing RRC procedures, when not stated otherwise elsewhere.

The UTRAN should:

- 1> set the START value for the CN domain indicated in the IE "CN domain identity" to the value of the IE "START".

8.1.10.2 Initiation of uplink direct transfer procedure in the UE

In the UE, the uplink direct transfer procedure shall be initiated when the upper layers request a transfer of a NAS message on an existing signalling connection. When not stated otherwise elsewhere, the UE may initiate the uplink direct transfer procedure when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

Upon initiation of the uplink direct transfer procedure in CELL_PCH or URA_PCH state, the UE shall:

- 1> perform a cell update procedure, according to subclause 8.3.1, using the cause "uplink data transmission";
- 1> when the cell update procedure has been completed successfully:
 - 2> continue with the uplink direct transfer procedure as below.

The UE shall transmit the UPLINK DIRECT TRANSFER message on the uplink DCCH using AM RLC on signalling radio bearer RB3 or signalling radio bearer RB4. The UE shall:

- 1> if upper layers indicate "low priority" for this message:
 - 2> select signalling radio bearer RB4, if available. Specifically, for a GSM-MAP based CN, signalling radio bearer RB4 shall, if available, be selected when "SAPI 3" is requested;
 - 2> select signalling radio bearer RB3 when signalling radio bearer RB4 is not available;
- 1> if upper layers indicate "high priority" for this message:
 - 2> select signalling radio bearer RB3. Specifically, for a GSM-MAP based CN, signalling radio bearer RB3 shall be selected when "SAPI 0" is requested.

~~In CELL_FACH state, the UE shall:~~

- ~~1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);~~
- ~~1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.~~

The UE shall set the IE "NAS message" as received from upper layers and set the IE "CN domain identity" as indicated by the upper layers.

When the successful delivery of the UPLINK DIRECT TRANSFER message has been confirmed by RLC the procedure ends.

8.1.10.3 Reception of UPLINK DIRECT TRANSFER message by the UTRAN

On reception of the UPLINK DIRECT TRANSFER message the NAS message should be routed using the value indicated in the IE "CN domain identity".

~~If the IE "Measured results on RACH" is present in the message, the UTRAN should extract the contents to be used for radio resource control.~~

When the UTRAN receives an UPLINK DIRECT TRANSFER message, it shall not affect the state of any other ongoing RRC procedures, when not stated otherwise elsewhere.

8.3.1.3 CELL UPDATE / URA UPDATE message contents to set

In case of cell update procedure the UE shall transmit a CELL UPDATE message.

In case of URA update procedure the UE shall transmit a URA UPDATE message.

The UE shall set the IEs in the CELL UPDATE message as follows:

- 1> set the IE "Cell update cause" corresponding to the cause specified in subclause 8.3.1.2 that is valid when the CELL UPDATE message is submitted to lower layers for transmission;

NOTE: During the time period starting from when a cell update procedure is initiated by the UE until when the procedure ends, additional CELL UPDATE messages may be transmitted by the UE with different causes.

- 1> set the IE "U-RNTI" to the value of the variable U_RNTI;

- 1> if the value of the variable PROTOCOL_ERROR_INDICATOR is TRUE:

- 2> include the IE "RRC transaction identifier"; and

- 3> set it to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

- 2> include and set the IE "failure cause" to the cause value "protocol error";

- 2> set the IE "Protocol error information" set to the value of the variable PROTOCOL_ERROR_INFORMATION.

- 1> if the value of the variable FAILURE_INDICATOR is TRUE:

- 2> include the IE "RRC transaction identifier"; and

- 3> set it to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS.

- 2> include and set the IE "failure cause" to the value of the variable FAILURE_CAUSE.

- 1> include the START values for each CN domain, calculated according to subclause 8.5.9;

- 1> if an unrecoverable error [16] in any of the AM RLC entities for the signalling radio bearers RB2, RB3 or RB4 is detected:

- 2> set the IE "AM_RLC error indication (RB2, RB3 or RB4)" to TRUE.

- 1> otherwise:

- 2> set the IE "AM_RLC error indication (RB2, RB3 or RB4)" to FALSE.

- 1> if an unrecoverable error [16] in any of the AM RLC entities for the RB5 or upward is detected:

- 2> set the IE "AM_RLC error indication (RB>4)" to TRUE.

- 1> otherwise:

- 2> set the IE "AM_RLC error indication (RB>4)" to FALSE.

- 1> set the IE "RB Timer indicator" to the value of the variable RB_TIMER_INDICATOR;

~~1> include an intra frequency measurement report in the IE "Measured results on RACH", as specified in the IE "Intra frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in system information block type 12 (or System Information Block type 11, if System Information Block type 12 is not being broadcast); and~~

~~1> include in the IE "Measured results on RACH" all requested reporting quantities for all included measurement objects; and~~

~~1> take care that the maximum allowed message size is not exceeded when forming the IE "Measured results on RACH".~~

The UE shall set the IEs in the URA UPDATE message as follows:

- 1> set the IE "U-RNTI" to the value of the variable U_RNTI;
- 1> set the IE "URA update cause" corresponding to which cause as specified in subclause 8.3.1.2 that is valid when the URA UPDATE message is submitted to lower layers for transmission;

NOTE: During the time period starting from when a URA update procedure is initiated by the UE until when the procedure ends, additional URA UPDATE messages may be transmitted by the UE with different causes, depending on which causes are valid for the respective URA UPDATE message.

- 2> if the value of the variable `PROTOCOL_ERROR_INDICATOR` is TRUE:
 - 3> include the IE "RRC transaction identifier"; and
 - 4> set it to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable `TRANSACTIONS`;
 - 3> set the IE "Protocol error indicator" to TRUE;
 - 3> include the IE "Protocol error information" set to the value of the variable `PROTOCOL_ERROR_INFORMATION`.
- 2> if the value of the variable `PROTOCOL_ERROR_INDICATOR` is FALSE:
 - 3> if the value of the variable `INVALID_CONFIGURATION` is TRUE:
 - 4> include the IE "RRC transaction identifier"; and
 - 4> set it to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable `TRANSACTIONS`;
 - 4> set the IE "Protocol error indicator" to TRUE;
 - 4> include the IE "Protocol error information" set to "Information element value not comprehended";
 - 3> if the value of the variable `INVALID_CONFIGURATION` is FALSE:
 - 4> set the IE "Protocol error indicator" to FALSE.

8.3.5 Hard handover

When performing hard handover with change of frequency, the UE shall:

- 1> stop all intra-frequency and inter-frequency measurement [reportings](#) on the cells listed in the variable CELL_INFO_LIST. Each stopped measurement is restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

....

- 1> if the IE "Measurement command" has the value "modify":
 - 2> for all IEs present in the MEASUREMENT CONTROL message:
 - 3> if a measurement was stored in the variable MEASUREMENT_IDENTITY associated to the identity by the IE "measurement identity":
 - 4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 5> if the UE is in CELL_FACH state:
 - 6> the UE behaviour is not specified.
 - 4> if measurement type is set to "intra-frequency measurement", for any of the optional IEs "Intra-frequency measurement objects list", "Intra-frequency measurement quantity", "Intra-frequency reporting quantity", "Measurement Validity", "report criteria" and "parameters required for each event" (given "report criteria" is set to "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-frequency measurement", for any of the optional IEs "Inter-frequency measurement quantity", "Inter-frequency reporting quantity", "Measurement Validity", "Inter-frequency set update" and "parameters required for each event" (given "report criteria" is set to either "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-RAT measurement", for any of the optional IEs "Inter-RAT measurement objects list", "Inter-RAT measurement quantity", "Inter-RAT reporting quantity" and "parameters required for each event" (given "report criteria" is set to "inter-RAT measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning OTDOA assistance data" is present, for any of the optional IEs "UE positioning OTDOA neighbour cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-based", "UE positioning OTDOA neighbour cell info for UE-based" and "UE positioning" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning GPS assistance data" is present, for any of the optional IEs "UE positioning GPS reference time", "UE positioning GPS reference UE position", "UE positioning GPS DGPS corrections", "UE positioning GPS navigation model", "UE positioning GPS ionospheric model", "UE positioning GPS UTC model", "UE positioning GPS almanac", "UE positioning GPS acquisition assistance", "UE positioning GPS real-time integrity" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "traffic volume measurement", for any of the optional IEs "Traffic volume measurement Object", "Traffic volume measurement quantity", "Traffic volume reporting quantity", "Measurement Validity" and "parameters required for each event" (given "report criteria" is set to "traffic volume measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "quality measurement", for any of the optional IE "Quality reporting quantity" that is present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE internal measurement", for any of the optional IEs "UE internal measurement quantity", "UE internal reporting quantity" and "parameters required for each event" (given "report criteria" is set to "UE internal measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:

- 5> replace the corresponding information (the IEs listed above and all their children) stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;
 - 5> leave all other stored information elements unchanged in the variable MEASUREMENT_IDENTITY.
- 3> otherwise:
- 4> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 2> if measurement type is set to "inter-frequency measurement":
- 3> if "report criteria" is set to "intra-frequency report criteria" and "reporting criteria" in "inter-frequency measurement quantity" is set to "intra-frequency reporting criteria":
 - 4> leave the currently stored "inter-frequency report criteria" within "report criteria" and "inter-frequency reporting criteria" within "inter-frequency measurement quantity" unchanged, and continue to act on the information stored in these variables, and also store the newly received "intra-frequency report criteria" and intra-frequency reporting criteria.
 - 3> otherwise:
 - 4> clear the variables associated with the CHOICE "report criteria" and store the received "report criteria" choice;
 - 4> if the IE "inter-frequency measurement quantity" is present:
 - 5> clear the variables associated with the choice "reporting criteria" in "inter-frequency measurement quantity" and store the received "reporting criteria" choice.
- NOTE: If the UTRAN wants to modify the inter-frequency cell info list for an inter-frequency measurement configured with event based reporting without repeating any IE's related to the configured events, the only possibility is to set the IE "report criteria" to "intra-frequency report criteria", not include the IE "parameters required for each event", and set the IE "reporting criteria" in the IE "inter-frequency measurement quantity" to "intra-frequency reporting criteria".
- 2> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and after reception of this message a compressed mode pattern sequence with an appropriate measurement purpose is active according to the IE "Current TGPS Status Flag" in UE variable TGPS_IDENTITY; or
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode, on at least one supported band of that measurement type, to perform the measurements:
 - 4> resume the measurements according to the new stored measurement control information.
 - 2> for measurement type "inter-frequency measurement" that requires measurements only on the same frequency as the actually used frequency:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> resume measurements according to the new stored control information for this measurement identity.
 - 2> for any other measurement type:
 - 3> resume the measurements according to the new stored measurement control information.
- 1> if the IE "measurement command" has the value "release":

.....

8.4.2.2 Initiation

In CELL_DCH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing measurements that are being performed in the UE.

In CELL_FACH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing traffic volume measurement or UE positioning measurement that is being performed in the UE;

~~1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);~~

~~1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.~~

In TDD, if the Radio Bearer associated with the MEASUREMENT_IDENTITY fulfilling the reporting criteria for an ongoing traffic volume measurement is mapped on transport channel of type USCH, the UE shall:

- 1> initiate the "PUSCH CAPACITY REQUEST" procedure instead of transmitting a MEASUREMENT REPORT (TDD Only).

In CELL_PCH or URA_PCH state, the UE shall:

- 1> first perform the cell update procedure according to subclause 8.3.1, using the cause "uplink data transmission", in order to transit to CELL_FACH state; and then
- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are fulfilled for any ongoing traffic volume measurement or UE positioning measurement which is being performed in the UE.

The reporting criteria are fulfilled if either:

- a periodic MEASUREMENT REPORT message shall be sent according to the IE "Periodical Reporting Criteria"; or
- an event in stored IE "Measurement reporting criteria" was triggered. Events and triggering of reports for different measurement types are described in detail in clause 14.

For the measurement, which triggered the MEASUREMENT REPORT message, the UE shall:

- 1> set the IE "measurement identity" to the measurement identity, which is associated with that measurement in variable MEASUREMENT_IDENTITY;
- 1> set the IE "measured results" to include measurements according to the IE "reporting quantity" of that measurement stored in variable MEASUREMENT_IDENTITY; and
 - 2> if all the reporting quantities are set to "false":
 - 3> not set the IE "measured results".
- 1> set the IE "Measured results" in the IE "Additional measured results" according to the IE "reporting quantity" for all measurements associated with the measurement identities included in the "Additional measurements list" stored in variable MEASUREMENT_IDENTITY of the measurement that triggered the measurement report; and
 - 2> if more than one additional measured results are to be included:
 - 3> include only the available additional measured results, and sort them in ascending order according to their IE "measurement identity" in the MEASUREMENT REPORT message.

1> if the MEASUREMENT REPORT message was triggered by an event (i.e. not a periodical report):

2> set the IE "Event results" according to the event that triggered the report.

1> if the observed time difference for one or more GSM cells is included in the MEASUREMENT REPORT message:

2> set the IE "GSM OTD reference cell" to the primary CPICH info of the active set cell that was used as reference for the measurement.

The UE shall:

1> transmit the MEASUREMENT REPORT message on the uplink DCCH using either AM or UM RLC according to the stored IE "measurement reporting mode" associated with the measurement identity that triggered the report.

When the MEASUREMENT REPORT message has been submitted to lower layers for transmission:

1> the procedure ends.

8.5.x Measured results on RACH

When transmitting an UL RRC message, the UE shall:

1> if the UL RRC message is an RRC CONNECTION REQUEST message:

2> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 11;

1> for any other UL RRC message which optionally includes the IE "Measured results on RACH":

2> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);

1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.

NOTE: The UE only includes measurement results for neighbour cells for which valid measurements are available at the time the message is sent. At cell access following selection or reselection to a cell, the UE may not have had sufficient time to obtain valid measurement results for neighbour cells

1> for messages transmitted on CCCH, take care that the maximum allowed message size is not exceeded when forming the IE "Measured results on RACH", i.e. limit the number of included neighbour cells or if required omit the IE "Measured results on RACH" altogether.

If the IE "Measured results on RACH" is present in the message, the UTRAN should extract the contents to be used for radio resource control.

8.6.6.15 DPCH Compressed mode info

If the IE "DPCH compressed mode info" is included, and if the IE group "transmission gap pattern sequence configuration parameters" is included, the UE shall for each transmission gap pattern sequence perform the following consistency checks:

- 1> if the UE, according to its measurement capabilities, and for all supported bands of the UTRA mode or RAT associated with the measurement purpose indicated by IE "TGMP", requires UL compressed mode, and CHOICE 'UL/DL mode' indicates 'DL only':
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE, according to its measurement capabilities, and for all supported bands of the UTRA mode or RAT associated with the measurement purpose indicated by IE "TGMP", requires DL compressed mode, and CHOICE 'UL/DL mode' indicates 'UL only':
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE, according to its measurement capabilities, does not require UL compressed mode for any of supported band of the UTRA mode or RAT associated with the measurement purpose indicated by the IE "TGMP", and CHOICE 'UL/DL mode' indicates 'UL only' or 'UL and DL':
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE, according to its measurement capabilities, does not require DL compressed mode for any supported band of the UTRA mode or RAT associated with the measurement purpose indicated by the IE "TGMP", and CHOICE 'UL/DL mode' indicates 'DL only' or 'UL and DL':
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if UE already has an active transmission gap pattern sequence that, according to IE "TGMP", has the same measurement purpose, and both patterns will be active after the new configuration has been taken into use:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if there is any pending "TGPS reconfiguration CFN" or any pending "TGCFN":
 - 2> the UE behaviour is unspecified.

If variable INVALID_CONFIGURATION has value FALSE after UE has performed the checks above, the UE shall:

- 1> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag" in the variable TGPS_IDENTITY):
 - 2> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:
 - 3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use.
 - 3> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".
 - 2> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:
 - 3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

- 1> update each pattern sequence to the variable TGPS_IDENTITY according to the IE "TGPSI";
- 1> update into the variable TGPS_IDENTITY the configuration information defined by IE group "transmission gap pattern sequence configuration parameters";

1> after the new configuration has been taken into use:

2> activate the stored pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in the variable TGPS_IDENTITY is set to "activate" at the time indicated by IE "TGCFN"; ~~and~~

2> if the IE "DPCH compressed mode info" is included in a message used to perform a Hard Handover with change of frequency (see 8.3.5); or

2> if the IE "DPCH compressed mode info" is included in a message used to transfer the UE from Cell_FACH to Cell_DCH, and the cell in which the UE transited from CELL_FACH state is not included in the active set for the CELL_DCH state (see 8.4.1.7.2);

3> not begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> else

3> begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> begin the ~~inter-frequency and/or~~ inter-RAT measurement reportings corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> if the new configuration is taken into use at the same CFN as indicated by IE "TGCFN":

3> start the concerned pattern sequence immediately at that CFN.

1> monitor if the parallel transmission gap pattern sequences create an illegal overlap, and in case of overlap, take actions as specified in subclause 8.2.11.2.

If the IE "DPCH compressed mode info" is included, and if the IE group "transmission gap pattern sequence configuration parameters" is not included, the UE shall:

1> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag" in the variable TGPS_IDENTITY):

2> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:

3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use;

3> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".

2> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:

3> deactivate this pattern sequence at the beginning of the frame, indicated by IE "Activation time"(see subclause 8.6.3.1) received in this message, when the new configuration received in this message is taken into use.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

1> after the new configuration has been taken into use:

2> at the time indicated by IE "TGCFN":

3> activate the stored pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" is set to "activate"; and

3> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "active".

2> if the IE "DPCH compressed mode info" is included in a message used to perform a Hard Handover with change of frequency (see 8.3.5); or

2> if the IE "DPCH compressed mode info" is included in a message used to transfer the UE from Cell_FACH to Cell_DCH, and the cell in which the UE transited from CELL_FACH state is not included in the active set for the CELL_DCH state (see 8.4.1.7.2):

3> not begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> else

3> begin the inter-frequency measurement reporting corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> begin the ~~inter-frequency and/or~~ inter-RAT measurement reportings corresponding to the pattern sequence measurement purpose of each activated pattern sequence;

2> if the new configuration is taken into use at the same CFN as indicated by IE "TGCFN":

3> start the concerned pattern sequence immediately at that CFN.

For transmission gap pattern sequences stored in variable TGPS_IDENTITY, but not identified in IE "TGPSI" (either due to the absence of the IE "DPCH compressed mode info" in the received message or due to not receiving the corresponding TGPSI value in the IE "DPCH compressed mode info"), the UE shall:

1> if the received message implies a timing re-initialised hard handover (see subclause 8.3.5.1):

2> deactivate such transmission gap pattern sequences at the beginning of the frame, indicated by IE "Activation time" (see subclause 8.6.3.1) received in this message; and

2> set IE "Current TGPS Status Flag" in corresponding UE variable TGPS_IDENTITY to 'inactive'.

1> if the received message not implies a timing re-initialised hard handover (see subclause 8.3.5.1):

2> continue such transmission gap pattern sequence according to IE "Current TGPS Status Flag" in the corresponding UE variable TGPS_IDENTITY.

Uplink and downlink compressed mode methods are described in [27]. For UL "higher layer scheduling" compressed mode method and transport format combination selection, see [15].

10.3.7.44 Measured results

Contains the measured results of the quantity indicated optionally by Reporting Quantity in Measurement Control. "Measured results" can be used for both event trigger mode and periodical reporting mode. [For intra-frequency and inter-frequency measurements](#) the list shall be in the order of the value of the measurement quantity (the first cell shall be the best cell). The "best" FDD cell has the largest value when the measurement quantity is "Ec/No" or "RSCP". On the other hand, the "best" cell has the smallest value when the measurement quantity is "Pathloss". The "best" TDD cell has the largest value when measurement quantity is "Primary CCPCH RSCP". [For intra-frequency measurements, the ordering shall be applied to all cells included in the IE "Measured results"](#). [For inter-frequency measurements, the ordering shall be applied to all cells on the same frequency included in the IE "Measured results"](#). [For other measurements, the order of reported measurement objects is not specified.](#)

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE <i>Measurement</i>	MP			One spare value is needed.
>Intra-frequency measured results list			Intra-frequency measured results list 10.3.7.35	
>Inter-frequency measured results list			Inter-frequency measured results list 10.3.7.15	
>Inter-RAT measured results list			Inter-RAT measured results list 10.3.7.26	
>Traffic volume measured results list			Traffic volume measured results list 10.3.7.67	
>Quality measured results list			Quality measured results list 10.3.7.55	
>UE Internal measured results			UE Internal measured results 10.3.7.76	
>UE positioning measured results			UE positioning measured results 10.3.7.99	

10.3.7.45 Measured results on RACH

Contains the measured results on RACH of the quantity indicated optionally by Reporting Quantity in the system information broadcast on BCH. The list should be in the order of the value of the measurement quality (the first cell should be the best cell). The "best" FDD cell has the largest value when the measurement quantity is "Ec/No" or "RSCP". On the other hand, the "best" cell has the smallest value when the measurement quantity is "Pathloss". The "best" TDD cell has the largest value when measurement quantity is "Primary CCPCH RSCP".

Information Element/group name	Need	Multi	Type and reference	Semantics description	Version
Measurement result for current cell					
CHOICE <i>mode</i>	MP				
>FDD					
>>CHOICE <i>measurement quantity</i>	MP			One spare value is needed.	
>>>CPICH Ec/No			Integer(0..49)	In dB. According to CPICH_Ec/No in [19]. Fourteen spare values are needed.	
>>>CPICH RSCP			Integer(0..91)	In dBm. According to CPICH_RSCP_LEVEL in [19]. Thirty-six spare values are needed.	
>>>Pathloss			Integer(46..158)	In dB. Fifteen spare values are needed.	
>TDD					
>>CHOICE <i>TDD option</i>	MP				REL-4
>>>3.84 Mcps TDD					REL-4
>>>>Timeslot List	OP	1 to 14			
>>>>>Timeslot ISCP	MP		Timeslot ISCP info 10.3.7.65	The UE shall report the Timeslot ISCP in the same order as indicated in the cell info	
>>>1.28 Mcps TDD					REL-4
>>>>Timeslot List	OP	1 to 6			REL-4
>>>>>Timeslot ISCP	MP		Timeslot ISCP info 10.3.7.65	The UE shall report the Timeslot ISCP in the same order as indicated in the cell info	REL-4
>>Primary CCPCH RSCP	OP		Primary CCPCH RSCP info 10.3.7.54		
Measurement results for monitored cells	OP	1 to 8			
>SFN-SFN observed time difference	OP		SFN-SFN observed time difference 10.3.7.63	It is absent for current cell	
>CHOICE <i>mode</i>	MP				
>>FDD					
>>>Primary CPICH info	MP		Primary CPICH info 10.3.6.60		

Information Element/group name	Need	Multi	Type and reference	Semantics description	Version
>>>CHOICE <i>measurement quantity</i>	OP			It is absent for current cell. One spare value is needed.	
>>>>CPICH Ec/N0			Integer(0..49)	In dB. According to CPICH_Ec/No in [19]. Fourteen spare values are needed.	
>>>>CPICH RSCP			Integer(0..91)	In dBm. According to CPICH_RSCP_LEVEL in [19]. Thirty-six spare values are needed.	
>>>>Pathloss			Integer(46..158)	In dB. Fifteen spare values are needed.	
>>TDD					
>>>Cell parameters Id	MP		Cell parameters Id 10.3.6.9		
>>>Primary CCPCH RSCP	MP		Primary CCPCH RSCP info 10.3.7.54		

NOTE: Monitored cells consist of ~~current cell and~~ neighbouring cells.

TSG-RAN Working Group 2 meeting #36
Paris, France 19th-23rd May 2003

R2-031319

CR-Form-v7

CHANGE REQUEST

⌘ **25.331 CR 1933** ⌘ rev - ⌘ Current version: **3.e.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ L3 filtering
Source:	⌘ RAN WG2
Work item code:	⌘ TEI
Date:	⌘ May 2003
Category:	⌘ F
<p>Use <u>one</u> of the following categories:</p> <p>F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p>	
Release:	⌘ R99
<p>Use <u>one</u> of the following releases:</p> <p>2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)</p>	

Reason for change:	⌘ For L3 filtering of "UTRA carrier RSSI" and "UE transmitted power", the filtering method to use (logarithmic filtering) is currently stated within brackets.
Summary of change:	⌘ Table 8.6.7.2: Brackets removed for L3 filtering method for "UTRA carrier RSSI" and "UE transmitted power".
	<p>T1 impact: No impact on T1 specifications is foreseen.</p> <p>Backward compatibility: -</p>
Consequences if not approved:	⌘ The indicated unclarities will remain in the specification.

Clauses affected:	⌘ 8.6.7.2					
Other specs affected:	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications ⌘ Test specifications ⌘ O&M Specifications ⌘
Y	N					
<input type="checkbox"/>	<input checked="" type="checkbox"/>					
Other comments:	⌘					

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are

closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.7.2 Filter coefficient

If the IE "Filter coefficient" is received, depending on the measurement quantity (see Table 8.6.7.2) the UE shall apply filtering of the measurements for that measurement quantity according to the formula below. This filtering shall be performed by the UE before UE event evaluation. The UE shall, depending on the reporting quantity (see Table 8.6.7.2), also filter the measurements reported in the IE "Measured results". The filtering shall not be performed for the measurements reported in the IE "Measured results on RACH" and for cell-reselection in connected or idle mode.

The filtering shall be performed according to the following formula.

$$F_n = (1 - a) \cdot F_{n-1} + a \cdot M_n$$

The variables in the formula are defined as follows:

F_n is the updated filtered measurement result

F_{n-1} is the old filtered measurement result

M_n is the latest received measurement result from physical layer measurements, the unit used for M_n is the same unit as the reported unit in the MEASUREMENT REPORT message or the unit used in the event evaluation.

$a = 1/2^{(k/2)}$, where k is the parameter received in the IE "Filter coefficient".

NOTE: if k is set to 0 that will mean no layer 3 filtering.

In order to initialise the averaging filter, F_0 is set to M_1 when the first measurement result from the physical layer measurement is received.

The physical layer measurement results are sampled once every measurement period. The measurement period and the accuracy for a certain measurement is defined in [19] and [20].

Table 8.6.7.2 lists for all measurement and reporting quantities whether L3-filtering is applicable or not. If L3-filtering is applicable for a certain measurement or reporting quantity, the table specifies if the UE shall apply the filtering on linear values ("Lin"), logarithmic values ("Log") or either linear or logarithmic values ("Lin or Log"). In the last case, the choice between filtering on linear or logarithmic values is based on UE selection.

Table 8.6.7.2: L3 filtering applicable for each measurement- and reporting quantity

Measurement- / Reporting quantity	L3-filtering applicable	Linear or logarithmic filtering	Comment
Pathloss	Yes	Lin or Log	
Cell synchronisation information	No	-	
Cell Identity	No	-	
Frequency quality estimate	No	-	Although the frequency quality estimate itself is not filtered, the inputs to the frequency quality estimate calculation (CPICH Ec/N0, CPICH RSCP or P-CCPCH RSCP) are filtered
UTRA carrier RSSI	Yes	{Log}	
GSM carrier RSSI	Yes	Log	
Observed time difference to GSM cell	No	-	
UE transmitted power	Yes	{Log}	
FDD			
>UE Rx-Tx time difference	No	-	
>CPICH Ec/N0	Yes	Lin or Log	
>CPICH RSCP	Yes	Lin or Log	
TDD			
>Primary CCPCH RSCP	Yes	Lin or Log	
>Proposed TGSN	No	-	
>Timeslot ISCP	Yes	Lin or Log	
>Applied TA	No	-	

The UE shall support 2 different layer 3 filters per measurement type defined in subclause 8.4.0 (i.e. the UE shall be capable to apply at least 2 different L3 filters to intra-frequency measurement results, at least 2 different L3 filters to inter-frequency measurement results, etc.). If a MEASUREMENT CONTROL message is received that would require the UE to configure more than 2 different layer 3 filters, the UE may:

- 1> set the variable CONFIGURATION_INCOMPLETE to TRUE.

TSG-RAN Working Group 2 meeting #36
Paris, France 19th-23rd May 2003

R2-031320

CR-Form-v7

CHANGE REQUEST

⌘ **25.331 CR 1934** ⌘ rev - ⌘ Current version: **4.9.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ L3 filtering				
Source:	⌘ RAN WG2				
Work item code:	⌘ TEI	Date:	⌘ April 2003		
Category:	⌘ A	Release:	⌘ Rel-4		
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:		
	F (correction)		2 (GSM Phase 2)		
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)		
	B (addition of feature),		R97 (Release 1997)		
	C (functional modification of feature)		R98 (Release 1998)		
	D (editorial modification)		R99 (Release 1999)		
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)		
			Rel-5 (Release 5)		
			Rel-6 (Release 6)		

Reason for change:	⌘ For L3 filtering of “UTRA carrier RSSI” and “UE transmitted power”, the filtering method to use (logarithmic filtering) is currently stated within brackets.
Summary of change:	⌘ Table 8.6.7.2: Brackets removed for L3 filtering method for “UTRA carrier RSSI” and “UE transmitted power”.
	T1 impact: No impact on T1 specifications is foreseen.
	Backward compatibility:
Consequences if not approved:	⌘ The indicated unclarities will remain in the specification:

Clauses affected:	⌘ 8.6.7.2												
Other specs affected:	<table style="border-collapse: collapse;"> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">Y</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">N</td> <td></td> </tr> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">⌘</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">X</td> <td>Other core specifications</td> </tr> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">⌘</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">X</td> <td>Test specifications</td> </tr> <tr> <td style="border: 1px solid black; padding: 2px; text-align: center;">⌘</td> <td style="border: 1px solid black; padding: 2px; text-align: center;">X</td> <td>O&M Specifications</td> </tr> </table>	Y	N		⌘	X	Other core specifications	⌘	X	Test specifications	⌘	X	O&M Specifications
Y	N												
⌘	X	Other core specifications											
⌘	X	Test specifications											
⌘	X	O&M Specifications											
Other comments:	⌘												

How to create CRs using this form:

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.7.2 Filter coefficient

If the IE "Filter coefficient" is received the UE shall, depending on the measurement quantity (see Table 8.6.7.2), apply filtering of the measurements for that measurement quantity according to the formula below. This filtering shall be performed by the UE before UE event evaluation. The UE shall depending on the reporting quantity (see Table 8.6.7.2), also filter the measurements reported in the IE "Measured results". The filtering shall not be performed for the measurements reported in the IE "Measured results on RACH" and for cell-reselection in connected or idle mode.

The filtering shall be performed according to the following formula.

$$F_n = (1 - a) \cdot F_{n-1} + a \cdot M_n$$

The variables in the formula are defined as follows:

F_n is the updated filtered measurement result

F_{n-1} is the old filtered measurement result

M_n is the latest received measurement result from physical layer measurements, the unit used for M_n is the same unit as the reported unit in the MEASUREMENT REPORT message or the unit used in the event evaluation.

$a = 1/2^{(k/2)}$, where k is the parameter received in the IE "Filter coefficient".

NOTE: if k is set to 0 that will mean no layer 3 filtering.

In order to initialise the averaging filter, F_0 is set to M_1 when the first measurement result from the physical layer measurement is received.

The physical layer measurement results are sampled once every measurement period. The measurement period and the accuracy for a certain measurement is defined in [19] and [20].

Table 8.6.7.2 lists for all measurement quantities and reporting quantities if L3-filtering is applicable or not. If L3-filtering is applicable for a certain measurement quantity or reporting quantity, the table specifies if the UE shall apply the filtering on linear values ("Lin"), logarithmic values ("Log") or either linear or logarithmic values ("Lin or Log"). In the last case, the choice between filtering on linear or logarithmic values is based on UE selection.

Table 8.6.7.2: L3 filtering applicable for each measurement quantity and reporting quantity

Measurement- / Reporting quantity	L3-filtering applicable	Linear or logarithmic filtering	Comment
Pathloss	Yes	Lin or Log	
Cell synchronisation information	No	-	
Cell Identity	No	-	
Frequency quality estimate	No	-	Although the frequency quality estimate itself is not filtered, the inputs to the frequency quality estimate calculation (CPICH Ec/N0 or CPICH RSCP or P-CCPCH RSCP) are filtered
UTRA carrier RSSI	Yes	{Log}	
GSM carrier RSSI	Yes	Log	
Observed time difference to GSM cell	No	-	
UE transmitted power	Yes	{Log}	
FDD			
> UE Rx-Tx time difference	No	-	
> CPICH Ec/N0	Yes	Lin or Log	
> CPICH RSCP	Yes	Lin or Log	
TDD			
> Primary CCPCH RSCP	Yes	Lin or Log	
> Proposed TGSN	No	-	
> Timeslot ISCP	Yes	Lin or Log	

> TADV (1.28 Mcps TDD)	No	-	
> Applied TA (3.84 Mcps TDD)	No	-	

The UE shall support 2 different layer 3 filters per measurement type defined in subclause 8.4.0 (i.e. the UE shall be capable to apply at least 2 different L3 filters to intra-frequency measurement results, at least 2 different L3 filters to inter-frequency measurement results, etc.). If a MEASUREMENT CONTROL message is received that would require the UE to configure more than 2 different layer 3 filters, the UE may:

- 1> set the variable CONFIGURATION_INCOMPLETE to TRUE.

CHANGE REQUEST

25.331 CR 1935 # rev - # Current version: **3.14.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# Additional measurements without measurement validity		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# 19 May 2003
Category:	# F	Release:	# R99
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)	2	(GSM Phase 2)
	A (corresponds to a correction in an earlier release)	R96	(Release 1996)
	B (addition of feature),	R97	(Release 1997)
	C (functional modification of feature)	R98	(Release 1998)
	D (editorial modification)	R99	(Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Rel-4	(Release 4)
		Rel-5	(Release 5)
		Rel-6	(Release 6)

Reason for change:	# If the IE "measurement validity" is not included the measurement is valid until the next state transition. At the state transition the UE shall delete such measurement (see section 8.6.7.1). The UE could infer the validity based on the current state, but this is not always a straightforward exercise. As example, if the UE is in CELL_FACH state when the MEASUREMENT CONTROL message is received, it could be wrongly deduced that its validity is "CELL_FACH". This is incorrect because the only valid choices are: {CELL_DCH, all states except CELL_DCH, all states}. For Traffic Volume Measurements, the UE may try to figure out the validity from the measurement object specified in the MEASUREMENT CONTROL message but this could also be misleading, since the object may include both DCH and RACH
Summary of change:	# - if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positionong" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity": - the UE behaviour is not specified. It is also clarified that for the consistency check performed for the validity additional If the "measurement type" received in a MEASUREMENT CONTROL message is set to "inter-RAT", "UE internal", or "quality" the UE should assume "CELL_DCH" as measurement validity. Note: The "should" above becomes a "shall" in Rel-4 and in Rel-5. Isolated Impact Change Analysis. This change clarifies the use of measurement validity.

It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.

Impact on Test specifications

There is no impact on the test specifications.

Consequences if not approved: ⌘ Operators may setup an additional measurement without specifying its measurement validity, resulting in unpredictable UE behaviour.

Clauses affected: ⌘ 8.6.7.1, 8.6.7.22

	Y	N		⌘
Other specs affected:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Other core specifications	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Test specifications	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	O&M Specifications	

Other comments: ⌘

How to create CRs using this form:

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Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[...]

8.6.7.22 Additional Measurement List

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message, the UE shall:

- 1> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement referenced in the "Additional Measurement List" do not all have the same validity (for this consistency check the UE should assume "CELL_DCH" as the measurement validity for measurements of type "inter-RAT", "UE internal", and "quality"):
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 1> if any of the measurements referenced in the "Additional Measurement List" is an intra-frequency, inter-~~frequency~~-frequency or inter-RAT measurement, and this measurement is configured with event based reporting:
 - 2> the UE behaviour is not specified.
- 1> if the result of this MEASUREMENT CONTROL message is such that more than one additional measurement of the same type will be referenced in the IE "Additional Measurement List" in the MEASUREMENT_IDENTITY variable:
 - 2> the UE behaviour is not specified.

1> if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positioning" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity":

2> the UE behaviour is not specified.

If, at any time during the life-time of a measurement, any measurement referenced in the Additional Measurement List does not exist, the UE should remove this measurement identity from the Additional Measurement List.

NOTE: A measurement referenced in the Additional Measurement List which is updated with a measurement command set to "modify", or replaced with a measurement command set to "setup", continues to exist.

If the measurement configured with the MEASUREMENT CONTROL message triggers a measurement report, the UE shall also include the reporting quantities for the measurements referenced by the additional measurement identities. The contents of the IE "Additional Measured results" ~~is~~are completely determined by the measurement configuration of the referenced additional measurement.

[...]

CHANGE REQUEST

25.331 CR 1936 # rev - # Current version: **4.9.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Additional measurements without measurement validity		
Source:	# RAN WG2		
Work item code:	# TEI-4	Date:	# 19 May 2003
Category:	# F	Release:	# Rel-4
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)	2	(GSM Phase 2)
	A (corresponds to a correction in an earlier release)	R96	(Release 1996)
	B (addition of feature),	R97	(Release 1997)
	C (functional modification of feature)	R98	(Release 1998)
	D (editorial modification)	R99	(Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Rel-4	(Release 4)
		Rel-5	(Release 5)
		Rel-6	(Release 6)

Reason for change:	# If the IE "measurement validity" is not included the measurement is valid until the next state transition. At the state transition the UE shall delete such measurement (see section 8.6.7.1). The UE could infer the validity based on the current state, but this is not always a straightforward exercise. As example, if the UE is in CELL_FACH state when the MEASUREMENT CONTROL message is received, it could be wrongly deduced that its validity is "CELL_FACH". This is incorrect because the only valid choices are: {CELL_DCH, all states except CELL_DCH, all states}. For Traffic Volume Measurements, the UE may try to figure out the validity from the measurement object specified in the MEASUREMENT CONTROL message but this could also be misleading, since the object may include both DCH and RACH
Summary of change:	# - if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positionong" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity": - the UE behaviour is not specified. It is also clarified that for the consistency check performed for the validity additional If the "measurement type" received in a MEASUREMENT CONTROL message is set to "inter-RAT", "UE internal", or "quality" the UE shall assume "CELL_DCH" as measurement validity. Isolated Impact Change Analysis. This change clarifies the use of measurement validity. It would not affect implementations behaving like indicated in the CR, it would

affect implementations supporting the corrected functionality otherwise.

Impact on Test specifications

There is no impact on the test specifications.

Consequences if not approved: ⌘ Operators may setup an additional measurement without specifying its measurement validity, resulting in unpredictable UE behaviour.

Clauses affected: ⌘ 8.6.7.1, 8.6.7.22

Other specs affected:

Y	N
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>

Other core specifications ⌘
Test specifications
O&M Specifications

Other comments: ⌘

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- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[...]

8.6.7.22 Additional Measurement List

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message, the UE shall:

1> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement referenced in the "Additional Measurement List" do not all have the same validity (for this consistency check the UE shall assume "CELL_DCH" as the measurement validity for measurements of type "inter-RAT", "UE internal", and "quality"):

2> set the variable CONFIGURATION_INCOMPLETE to TRUE.

1> if any of the measurements referenced in the "Additional Measurement List" is an intra-frequency, inter-~~frequency~~ frequency or inter-RAT measurement, and this measurement is configured with event based reporting:

2> the UE behaviour is not specified.

1> if the result of this MEASUREMENT CONTROL message is such that more than one additional measurement of the same type will be referenced in the IE "Additional Measurement List" in the MEASUREMENT_IDENTITY variable:

2> the UE behaviour is not specified.

1> if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positioning" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity":

2> the UE behaviour is not specified.

If, at any time during the life-time of a measurement, any measurement referenced in the Additional Measurement List does not exist, the UE should remove this measurement identity from the Additional Measurement List.

NOTE: A measurement referenced in the Additional Measurement List which is updated with a measurement command set to "modify", or replaced with a measurement command set to "setup", continues to exist.

If the measurement configured with the MEASUREMENT CONTROL message triggers a measurement report, the UE shall also include the reporting quantities for the measurements referenced by the additional measurement identities. The contents of the IE "Additional Measured results" ~~is~~ are completely determined by the measurement configuration of the referenced additional measurement.

[...]

CHANGE REQUEST

25.331 CR 1937 # rev - # Current version: **5.4.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Additional measurements without measurement validity		
Source:	# RAN WG2		
Work item code:	# TEI-4	Date:	# 19 May 2003
Category:	# A	Release:	# Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)	2	(GSM Phase 2)
	A (corresponds to a correction in an earlier release)	R96	(Release 1996)
	B (addition of feature),	R97	(Release 1997)
	C (functional modification of feature)	R98	(Release 1998)
	D (editorial modification)	R99	(Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Rel-4	(Release 4)
		Rel-5	(Release 5)
		Rel-6	(Release 6)

Reason for change:	# If the IE "measurement validity" is not included the measurement is valid until the next state transition. At the state transition the UE shall delete such measurement (see section 8.6.7.1). The UE could infer the validity based on the current state, but this is not always a straightforward exercise. As example, if the UE is in CELL_FACH state when the MEASUREMENT CONTROL message is received, it could be wrongly deduced that its validity is "CELL_FACH". This is incorrect because the only valid choices are: {CELL_DCH, all states except CELL_DCH, all states}. For Traffic Volume Measurements, the UE may try to figure out the validity from the measurement object specified in the MEASUREMENT CONTROL message but this could also be misleading, since the object may include both DCH and RACH
Summary of change:	# - if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positionong" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity": - the UE behaviour is not specified. It is also clarified that for the consistency check performed for the validity additional If the "measurement type" received in a MEASUREMENT CONTROL message is set to "inter-RAT", "UE internal", or "quality" the UE shall assume "CELL_DCH" as measurement validity. Isolated Impact Change Analysis. This change clarifies the use of measurement validity. It would not affect implementations behaving like indicated in the CR, it would

affect implementations supporting the corrected functionality otherwise.

Impact on Test specifications

There is no impact on the test specifications.

Consequences if not approved: ⌘ Operators may setup an additional measurement without specifying its measurement validity, resulting in unpredictable UE behaviour.

Clauses affected: ⌘ 8.6.7.1, 8.6.7.22

Other specs affected:

Y	N
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>

Other core specifications ⌘
Test specifications ⌘
O&M Specifications ⌘

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[...]

8.6.7.22 Additional Measurement List

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message, the UE shall:

1> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement referenced in the "Additional Measurement List" do not all have the same validity (for this consistency check the UE shall assume "CELL_DCH" as the measurement validity for measurements of type "inter-RAT", "UE internal", and "quality"):

2> set the variable CONFIGURATION_INCOMPLETE to TRUE.

1> if any of the measurements referenced in the "Additional Measurement List" is an intra-frequency, inter-~~frequency~~-frequency or inter-RAT measurement, and this measurement is configured with event based reporting:

2> the UE behaviour is not specified.

1> if the result of this MEASUREMENT CONTROL message is such that more than one additional measurement of the same type will be referenced in the IE "Additional Measurement List" in the MEASUREMENT_IDENTITY variable:

2> the UE behaviour is not specified.

1> if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positioning" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity":

2> the UE behaviour is not specified.

If, at any time during the life-time of a measurement, any measurement referenced in the Additional Measurement List does not exist, the UE should remove this measurement identity from the Additional Measurement List.

NOTE: A measurement referenced in the Additional Measurement List which is updated with a measurement command set to "modify", or replaced with a measurement command set to "setup", continues to exist.

If the measurement configured with the MEASUREMENT CONTROL message triggers a measurement report, the UE shall also include the reporting quantities for the measurements referenced by the additional measurement identities. The contents of the IE "Additional Measured results" ~~is~~are completely determined by the measurement configuration of the referenced additional measurement.

[...]

CHANGE REQUEST

25.331 CR 1938 # rev **-** # Current version: **3.14.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Handover to UTRAN in macrodiversity		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# 19 May 2003
Category:	# F	Release:	# R99
	<i>Use <u>one</u> of the following categories:</i> F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		<i>Use <u>one</u> of the following releases:</i> 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	# If the UE receives a HANDOVER TO UTRAN COMMAND message, which uses a default or predefined configuration, and includes more than a radio link, the UE can not know what DPCH frame offset to apply for radio links listed after the first one
Summary of change:	# The UE behaviour is unspecified if more than one radio link is listed in the HANDOVER TO UTRAN COMMAND message which uses a default or predefined configuration. Isolated Impact Change Analysis. This change clarifies the Handover to UTRAN procedure. This change only affects UTRAN implementations. It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise. Impact on Test specifications There is no impact on the test specifications, since in all tests currently defined in TS 34.123 and TS 51.010 the HANDOVER TO UTRAN COMMAND includes only one radio link.
Consequences if not approved:	# Operators may try to use multiple radio links in the HANDOVER TO UTRAN COMMAND with a default or predefined configuration message resulting in unpredictable UE behaviour.

Clauses affected:	# 8.3.6.2
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Other specs affected:		Y	N		
	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

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- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[...]

8.3.6.2 Initiation

The procedure is initiated when a radio access technology other than UTRAN, e.g. GSM, using radio access technology-specific procedures, orders the UE to make a handover to UTRAN.

A HANOVER TO UTRAN COMMAND message is sent to the UE via the radio access technology from which inter-RAT handover is performed.

In case UTRAN decides to use a predefined or default radio configuration that is stored in the UE, it should include the following information in the HANOVER TO UTRAN COMMAND message.

- the IE "New U-RNTI" to be assigned;
- the IE "Predefined configuration identity", to indicate which pre-defined configuration of RB, transport channel and physical channel parameters shall be used; or
- the IE "Default configuration mode" and IE "Default configuration identity", to indicate which default configuration of RB, transport channel and physical channel parameters shall be used;
- PhyCH information elements.

NOTE 1: When using a predefined or default configuration during handover to UTRAN, UTRAN can only assign values of IEs "New U-RNTI" and "scrambling code" that are within the special subranges defined exclusively for this procedure. UTRAN may re-assign other values after completion of the handover procedure.

NOTE 2: When using a predefined or default configuration during handover to UTRAN, fewer IEs are signalled; when using this signalling option some parameters e.g. concerning compressed mode, DSCH, SSdT can not be configured. In this case, the corresponding functionality can not be activated immediately.

NOTE 3: When using a predefined or default configuration, the HANOVER TO UTRAN COMMAND should not include more than one radio link. If UTRAN includes more than one radio link in the HANOVER TO UTRAN COMMAND using a predefined or default configuration, the UE behaviour is unspecified.

In case UTRAN does not use a predefined radio configuration that is stored in the UE, it should include the following information in the HANOVER TO UTRAN COMMAND message.

- the IE "New U-RNTI" to be assigned;
- the complete set of RB, TrCH and PhyCH information elements to be used.

[...]

CHANGE REQUEST

25.331 CR 1939 # rev **-** # Current version: **4.9.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Handover to UTRAN in macrodiversity		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# 19 May 2003
Category:	# A	Release:	# Rel-4
	<i>Use one of the following categories:</i> F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		<i>Use one of the following releases:</i> 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	# If the UE receives a HANDOVER TO UTRAN COMMAND message, which uses a default or predefined configuration, and includes more than a radio link, the UE can not know what DPCH frame offset to apply for radio links listed after the first one
Summary of change:	# The UE behaviour is unspecified if more than one radio link is listed in the HANDOVER TO UTRAN COMMAND message which uses a default or predefined configuration.
	<p style="text-align: center;">Isolated Impact Change Analysis.</p> <p>This change clarifies the Handover to UTRAN procedure. This change only affects UTRAN implementations. It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.</p> <p style="text-align: center;">Impact on Test specifications</p> <p>There is no impact on the test specifications, since in all tests currently defined in TS 34.123 and TS 51.010 the HANDOVER TO UTRAN COMMAND includes only one radio link.</p>
Consequences if not approved:	# Operators may try to use multiple radio links in the HANDOVER TO UTRAN COMMAND with a default or predefined configuration message resulting in unpredictable UE behaviour.

Clauses affected:	# 8.3.6.2
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Other specs affected:		Y	N	
	⌘		X	Other core specifications ⌘
			X	Test specifications
			X	O&M Specifications
Other comments:	⌘			

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[...]

8.3.6.2 Initiation

The procedure is initiated when a radio access technology other than UTRAN, e.g. GSM, using radio access technology-specific procedures, orders the UE to make a handover to UTRAN.

A HANOVER TO UTRAN COMMAND message is sent to the UE via the radio access technology from which inter-RAT handover is performed.

In case UTRAN decides to use a predefined or default radio configuration that is stored in the UE, it should include the following information in the HANOVER TO UTRAN COMMAND message.

- the IE "New U-RNTI" to be assigned;
- the IE "Predefined configuration identity", to indicate which pre-defined configuration of RB, transport channel and physical channel parameters shall be used; or
- the IE "Default configuration mode" and IE "Default configuration identity", to indicate which default configuration of RB, transport channel and physical channel parameters shall be used;
- PhyCH information elements.

NOTE 1: When using a predefined or default configuration during handover to UTRAN, UTRAN can only assign values of IEs "New U-RNTI" and "scrambling code" that are within the special subranges defined exclusively for this procedure. UTRAN may re-assign other values after completion of the handover procedure.

NOTE 2: When using a predefined or default configuration during handover to UTRAN, fewer IEs are signalled; when using this signalling option some parameters e.g. concerning compressed mode, DSCH, SSdT can not be configured. In this case, the corresponding functionality can not be activated immediately.

NOTE 3: When using a predefined or default configuration, the HANOVER TO UTRAN COMMAND should not include more than one radio link. If UTRAN includes more than one radio link in the HANOVER TO UTRAN COMMAND using a predefined or default configuration, the UE behaviour is unspecified.

In case UTRAN does not use a predefined radio configuration that is stored in the UE, it should include the following information in the HANOVER TO UTRAN COMMAND message.

- the IE "New U-RNTI" to be assigned;
- the complete set of RB, TrCH and PhyCH information elements to be used.

[...]

CHANGE REQUEST

25.331 CR 1940 # rev **-** # Current version: **5.3.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# Handover to UTRAN in macrodiversity		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# 19 May 2003
Category:	# A	Release:	# Rel-5
	<i>Use one of the following categories:</i> F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		<i>Use one of the following releases:</i> 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	# If the UE receives a HANDOVER TO UTRAN COMMAND message, which uses a default or predefined configuration, and includes more than a radio link, the UE can not know what DPCH frame offset to apply for radio links listed after the first one
Summary of change:	# The UE behaviour is unspecified if more than one radio link is listed in the HANDOVER TO UTRAN COMMAND message which uses a default or predefined configuration.
	<p style="text-align: center;">Isolated Impact Change Analysis.</p> <p>This change clarifies the Handover to UTRAN procedure. This change only affects UTRAN implementations. It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.</p> <p style="text-align: center;">Impact on Test specifications</p> <p>There is no impact on the test specifications, since in all tests currently defined in TS 34.123 and TS 51.010 the HANDOVER TO UTRAN COMMAND includes only one radio link.</p>
Consequences if not approved:	# Operators may try to use multiple radio links in the HANDOVER TO UTRAN COMMAND with a default or predefined configuration message resulting in unpredictable UE behaviour.

Clauses affected:	# 8.3.6.2
--------------------------	-----------

Other specs affected:		Y	N	
	⌘		X	Other core specifications ⌘
			X	Test specifications
			X	O&M Specifications
Other comments:	⌘			

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[...]

8.3.6.2 Initiation

The procedure is initiated when a radio access technology other than UTRAN, e.g. GSM, using radio access technology-specific procedures, orders the UE to make a handover to UTRAN.

A HANOVER TO UTRAN COMMAND message is sent to the UE via the radio access technology from which inter-RAT handover is performed.

In case UTRAN decides to use a predefined or default radio configuration that is stored in the UE, it should include the following information in the HANOVER TO UTRAN COMMAND message.

- the IE "New U-RNTI" to be assigned;
- the IE "Predefined configuration identity", to indicate which pre-defined configuration of RB, transport channel and physical channel parameters shall be used; or
- the IE "Default configuration mode" and IE "Default configuration identity", to indicate which default configuration of RB, transport channel and physical channel parameters shall be used;
- PhyCH information elements.

NOTE 1: When using a predefined or default configuration during handover to UTRAN, UTRAN can only assign values of IEs "New U-RNTI" and "scrambling code" that are within the special subranges defined exclusively for this procedure. UTRAN may re-assign other values after completion of the handover procedure.

NOTE 2: When using a predefined or default configuration during handover to UTRAN, fewer IEs are signalled; when using this signalling option some parameters e.g. concerning compressed mode, DSCH, SSdT can not be configured. In this case, the corresponding functionality can not be activated immediately.

NOTE 3: When using a predefined or default configuration, the HANOVER TO UTRAN COMMAND should not include more than one radio link. If UTRAN includes more than one radio link in the HANOVER TO UTRAN COMMAND using a predefined or default configuration, the UE behaviour is unspecified.

In case UTRAN does not use a predefined radio configuration that is stored in the UE, it should include the following information in the HANOVER TO UTRAN COMMAND message.

- the IE "New U-RNTI" to be assigned;
- the complete set of RB, TrCH and PhyCH information elements to be used.

[...]

CHANGE REQUEST

25.331 CR 1941 # rev 1 # Current version: 3.e.0

For [HELP](#) on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# TVM Reporting in CELL_PCH state		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# 12/05/2003
Category:	# F	Release:	# R99
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change: # Currently, traffic volume measurements (TVM) may be setup such that they are valid in CELL_DCH state only, valid in all states but CELL_DCH or valid in all states, as indicated by the IE "Measurement validity". Therefore, it would be valid for a UE to receive and store a TVM configuration that is also valid in CELL_PCH. Moreover, TS 25.331 specifies in section 8.4.2, if the reporting criteria stored for TVM are fulfilled the UE shall perform the cell update procedure using the clause "uplink data transmission" and, once in CELL_FACH state, transmit a MEASUREMENT REPORT on DCCH.

However, there is a common understanding that the UE shall only perform TVM on the transport channels that are active on the current RRC state (e.g. CELL_DCH there's no RACH TVM). This behaviour was clarified in the agreed R2-030515 in the last RAN2 meeting. Given that in CELL_PCH neither RACH nor DCH transport channels exist, the UE cannot perform any measurements and there isn't a real justification why the UE should transmit a report. This is also confirmed by another modification introduced by the same document, where the UE shall only include reports for reporting quantities that are available. Furthermore, in section 8.4.1.10.1 of TS25.331, it is also specified that while a transport channel referenced in the IE "Traffic volume measurement object" does not exist, the UE shall not perform any reporting related to this channel.

A clarification on the intended is required to allow only one interpretation of the requirements for the situations described above.

Impact Analysis:
General: the implementation of the CR only affects the UE, therefore it will require modifications to implementations that do not comply with the proposed change.

T1 impact:

	There is an impact in test cases 8.4.1.20 and 8.4.1.21. These will require modification.
Summary of change: ⌘	Removed the statement about the TVM reporting in CELL_PCH due to the non-existence of UL transport channels to report on. Two new sections are added describing the validity of TVM during the transition from CELL_FACH to CELL_PCH and vice-versa.
Consequences if not approved: ⌘	There will be inconsistent UE behaviour according to different implementations. Periodic measurement cannot be used for CELL_FACH on networks that heavily rely on CELL_PCH state to reduce network load because the UE will periodically transit to CELL_FACH and perform a unnecessary cell update as well as void measurement report.

Clauses affected: ⌘	8.4.2.2								
Other specs affected:	<table border="1"> <tr> <td>Y</td> <td>N</td> </tr> <tr> <td>X</td> <td>X</td> </tr> <tr> <td>X</td> <td></td> </tr> <tr> <td></td> <td>X</td> </tr> </table>	Y	N	X	X	X			X
Y	N								
X	X								
X									
	X								
	Other core specifications ⌘								
	Test specifications ⌘								
	O&M Specifications ⌘								
Other comments: ⌘	34.123-1								

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.2.2 Initiation

In CELL_DCH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing measurements that are being performed in the UE.

In CELL_FACH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing traffic volume measurement or UE positioning measurement that is being performed in the UE;
- 1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);
- 1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.

In TDD, if the Radio Bearer associated with the MEASUREMENT_IDENTITY fulfilling the reporting criteria for an ongoing traffic volume measurement is mapped on transport channel of type USCH, the UE shall:

- 1> initiate the "PUSCH CAPACITY REQUEST" procedure instead of transmitting a MEASUREMENT REPORT (TDD Only).

In CELL_PCH or URA_PCH state, the UE shall:

- 1> first perform the cell update procedure according to subclause 8.3.1, using the cause "uplink data transmission", in order to transit to CELL_FACH state; and then
- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are fulfilled for any ongoing ~~traffic volume measurement or UE~~ positioning measurement which is being performed in the UE.

NOTE: A traffic volume measurement, which is being performed in the UE, may also be considered ongoing in CELL_PCH or URA_PCH.

The reporting criteria are fulfilled if either:

- a periodic MEASUREMENT REPORT message shall be sent according to the IE "Periodical Reporting Criteria"; or
- an event in stored IE "Measurement reporting criteria" was triggered. Events and triggering of reports for different measurement types are described in detail in clause 14.

For the measurement, which triggered the MEASUREMENT REPORT message, the UE shall:

- 1> set the IE "measurement identity" to the measurement identity, which is associated with that measurement in variable MEASUREMENT_IDENTITY;
- 1> set the IE "measured results" to include measurements according to the IE "reporting quantity" of that measurement stored in variable MEASUREMENT_IDENTITY; and
 - 2> if all the reporting quantities are set to "false":
 - 3> not set the IE "measured results".
- 1> set the IE "Measured results" in the IE "Additional measured results" according to the IE "reporting quantity" for all measurements associated with the measurement identities included in the "Additional measurements list" stored in variable MEASUREMENT_IDENTITY of the measurement that triggered the measurement report; and
 - 2> if more than one additional measured results are to be included:
 - 3> sort them in ascending order according to their IE "measurement identity" in the MEASUREMENT REPORT message.

1> if the MEASUREMENT REPORT message was triggered by an event (i.e. not a periodical report):

2> set the IE "Event results" according to the event that triggered the report.

The UE shall:

1> transmit the MEASUREMENT REPORT message on the uplink DCCH using either AM or UM RLC according to the stored IE "measurement reporting mode" associated with the measurement identity that triggered the report.

When the MEASUREMENT REPORT message has been submitted to lower layers for transmission:

1> the procedure ends.

8.4.1.9b Measurements after transition from CELL_FACH to CELL_PCH/URA_PCH

8.4.1.9b.1 Traffic volume measurement

Upon transition from CELL_FACH to CELL_PCH, the UE **should** stop ~~this~~ any ongoing traffic volume measurement, and associated traffic volume measurement reporting.

8.4.1.9c Measurements after transition from CELL_PCH/URA_PCH to CELL_FACH

8.4.1.9c.1 Traffic volume measurement

Upon transition from CELL_PCH to CELL_FACH, the UE shall **resume or continue** ~~this~~ any traffic volume measurement stored in the variable MEASUREMENT_IDENTITY with measurement validity “all states” or “all states except CELL_DCH”, and start the associated traffic volume measurement reporting.

CHANGE REQUEST

25.331 **CR 1942** # rev **1** # Current version: **4.9.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# TVM Reporting in CELL_PCH state		
Source:	# RAN WG2		
Work item code:	# TEI-4	Date:	# 12/05/2003
Category:	# F	Release:	# Rel-4
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)	R96	(Release 1996)
	B (addition of feature),	R97	(Release 1997)
	C (functional modification of feature)	R98	(Release 1998)
	D (editorial modification)	R99	(Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Rel-4	(Release 4)
		Rel-5	(Release 5)
		Rel-6	(Release 6)

Reason for change: # Currently, traffic volume measurements (TVM) may be setup such that they are valid in CELL_DCH state only, valid in all states but CELL_DCH or valid in all states, as indicated by the IE "Measurement validity". Therefore, it would be valid for a UE to receive and store a TVM configuration that is also valid in CELL_PCH. Moreover, TS 25.331 specifies in section 8.4.2, if the reporting criteria stored for TVM are fulfilled the UE shall perform the cell update procedure using the clause "uplink data transmission" and, once in CELL_FACH state, transmit a MEASUREMENT REPORT on DCCH.

However, there is a common understanding that the UE shall only perform TVM on the transport channels that are active on the current RRC state (e.g. CELL_DCH there's no RACH TVM). This behaviour was clarified in the agreed R2-030515 in the last RAN2 meeting. Given that in CELL_PCH neither RACH nor DCH transport channels exist, the UE cannot perform any measurements and there isn't a real justification why the UE should transmit a report. This is also confirmed by another modification introduced by the same document, where the UE shall only include reports for reporting quantities that are available. Furthermore, in section 8.4.1.10.1 of TS25.331, it is also specified that while a transport channel referenced in the IE "Traffic volume measurement object" does not exist, the UE shall not perform any reporting related to this channel.

A clarification on the intended is required to allow only one interpretation of the requirements for the situations described above.

Impact Analysis:
General: the implementation of the CR only affects the UE, therefore it will require modifications to implementations that do not comply with the proposed change.

T1 impact:

	There is an impact in test cases 8.4.1.20 and 8.4.1.21. These will require modification.
Summary of change: ⌘	Removed the statement about the TVM reporting in CELL_PCH due to the non-existence of UL transport channels to report on. Two new sections are added describing the validity of TVM during the transition from CELL_FACH to CELL_PCH and vice-versa.
Consequences if not approved: ⌘	There will be inconsistent UE behaviour according to different implementations. Periodic measurement cannot be used for CELL_FACH on networks that heavily rely on CELL_PCH state to reduce network load because the UE will periodically transit to CELL_FACH and perform a unnecessary cell update as well as void measurement report.

Clauses affected: ⌘	8.4.2.2								
Other specs affected:	<table border="1"> <tr> <td>Y</td> <td>N</td> </tr> <tr> <td>X</td> <td>X</td> </tr> <tr> <td>X</td> <td></td> </tr> <tr> <td></td> <td>X</td> </tr> </table>	Y	N	X	X	X			X
Y	N								
X	X								
X									
	X								
	Other core specifications ⌘								
	Test specifications ⌘								
	O&M Specifications ⌘								
Other comments: ⌘	34.123-1								

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.2.2 Initiation

In CELL_DCH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing measurements that are being performed in the UE.

In CELL_FACH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing traffic volume measurement or UE positioning measurement that is being performed in the UE;
- 1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);
- 1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.

In TDD, if the Radio Bearer associated with the MEASUREMENT_IDENTITY fulfilling the reporting criteria for an ongoing traffic volume measurement is mapped on transport channel of type USCH, the UE shall:

- 1> initiate the "PUSCH CAPACITY REQUEST" procedure instead of transmitting a MEASUREMENT REPORT (TDD Only).

In CELL_PCH or URA_PCH state, the UE shall:

- 1> first perform the cell update procedure according to subclause 8.3.1, using the cause "uplink data transmission", in order to transit to CELL_FACH state; and then
- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are fulfilled for any ongoing ~~traffic volume measurement or~~ UE positioning measurement which is being performed in the UE.

The reporting criteria are fulfilled if either:

- a periodic MEASUREMENT REPORT message shall be sent according to the IE "Periodical Reporting Criteria"; or
- an event in stored IE "Measurement reporting criteria" was triggered. Events and triggering of reports for different measurement types are described in detail in clause 14.

For the measurement, which triggered the MEASUREMENT REPORT message, the UE shall:

- 1> set the IE "measurement identity" to the measurement identity, which is associated with that measurement in variable MEASUREMENT_IDENTITY;
- 1> set the IE "measured results" to include measurements according to the IE "reporting quantity" of that measurement stored in variable MEASUREMENT_IDENTITY; and
 - 2> if all the reporting quantities are set to "false":
 - 3> not set the IE "measured results".
- 1> set the IE "Measured results" in the IE "Additional measured results" according to the IE "reporting quantity" for all measurements associated with the measurement identities included in the "Additional measurements list" stored in variable MEASUREMENT_IDENTITY of the measurement that triggered the measurement report; and
 - 2> if more than one additional measured results are to be included:
 - 3> sort them in ascending order according to their IE "measurement identity" in the MEASUREMENT REPORT message.
- 1> if the MEASUREMENT REPORT message was triggered by an event (i.e. not a periodical report):

2> set the IE "Event results" according to the event that triggered the report.

The UE shall:

- 1> transmit the MEASUREMENT REPORT message on the uplink DCCH using either AM or UM RLC according to the stored IE "measurement reporting mode" associated with the measurement identity that triggered the report.

When the MEASUREMENT REPORT message has been submitted to lower layers for transmission:

- 1> the procedure ends.

8.4.1.9b Measurements after transition from CELL_FACH to CELL_PCH/URA_PCH

8.4.1.9b.1 Traffic volume measurement

Upon transition from CELL_FACH to CELL_PCH, the UE shall stop ~~this~~ any ongoing traffic volume measurement, and associated traffic volume measurement reporting.

8.4.1.9c Measurements after transition from CELL_PCH/URA_PCH to CELL_FACH

8.4.1.9c.1 Traffic volume measurement

Upon transition from CELL_PCH to CELL_FACH, the UE shall resume ~~this~~ any traffic volume measurement stored in the variable MEASUREMENT_IDENTITY with measurement validity “all states” or “all states except CELL_DCH”, and start the associated traffic volume measurement reporting.

CHANGE REQUEST

25.331 **CR 1943** # rev **1** # Current version: **5.4.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# TVM Reporting in CELL_PCH state		
Source:	# RAN WG2		
Work item code:	# TEI-4	Date:	# 12/05/2003
Category:	# A	Release:	# Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)
	B (addition of feature),		R97 (Release 1997)
	C (functional modification of feature)		R98 (Release 1998)
	D (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

Reason for change: # Currently, traffic volume measurements (TVM) may be setup such that they are valid in CELL_DCH state only, valid in all states but CELL_DCH or valid in all states, as indicated by the IE "Measurement validity". Therefore, it would be valid for a UE to receive and store a TVM configuration that is also valid in CELL_PCH. Moreover, TS 25.331 specifies in section 8.4.2, if the reporting criteria stored for TVM are fulfilled the UE shall perform the cell update procedure using the clause "uplink data transmission" and, once in CELL_FACH state, transmit a MEASUREMENT REPORT on DCCH.

However, there is a common understanding that the UE shall only perform TVM on the transport channels that are active on the current RRC state (e.g. CELL_DCH there's no RACH TVM). This behaviour was clarified in the agreed R2-030515 in the last RAN2 meeting. Given that in CELL_PCH neither RACH nor DCH transport channels exist, the UE cannot perform any measurements and there isn't a real justification why the UE should transmit a report. This is also confirmed by another modification introduced by the same document, where the UE shall only include reports for reporting quantities that are available. Furthermore, in section 8.4.1.10.1 of TS25.331, it is also specified that while a transport channel referenced in the IE "Traffic volume measurement object" does not exist, the UE shall not perform any reporting related to this channel.

A clarification on the intended is required to allow only one interpretation of the requirements for the situations described above.

Impact Analysis:
General: the implementation of the CR only affects the UE, therefore it will require modifications to implementations that do not comply with the proposed change.

T1 impact:

	There is an impact in test cases 8.4.1.20 and 8.4.1.21. These will require modification.
Summary of change: ⌘	Removed the statement about the TVM reporting in CELL_PCH due to the non-existence of UL transport channels to report on. Two new sections are added describing the validity of TVM during the transition from CELL_FACH to CELL_PCH and vice-versa.
Consequences if not approved: ⌘	There will be inconsistent UE behaviour according to different implementations. Periodic measurement cannot be used for CELL_FACH on networks that heavily rely on CELL_PCH state to reduce network load because the UE will periodically transit to CELL_FACH and perform a unnecessary cell update as well as void measurement report.

Clauses affected: ⌘	8.4.2.2								
Other specs affected:	<table border="1"> <tr> <td>Y</td> <td>N</td> </tr> <tr> <td>X</td> <td>X</td> </tr> <tr> <td>X</td> <td></td> </tr> <tr> <td></td> <td>X</td> </tr> </table>	Y	N	X	X	X			X
Y	N								
X	X								
X									
	X								
	Other core specifications ⌘								
	Test specifications ⌘								
	O&M Specifications ⌘								
Other comments: ⌘	34.123-1								

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- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.2.2 Initiation

In CELL_DCH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing measurements that are being performed in the UE.

In CELL_FACH state, the UE shall:

- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing traffic volume measurement or UE positioning measurement that is being performed in the UE;
- 1> include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);
- 1> include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.

In TDD, if the Radio Bearer associated with the MEASUREMENT_IDENTITY fulfilling the reporting criteria for an ongoing traffic volume measurement is mapped on transport channel of type USCH, the UE shall:

- 1> initiate the "PUSCH CAPACITY REQUEST" procedure instead of transmitting a MEASUREMENT REPORT (TDD Only).

In CELL_PCH or URA_PCH state, the UE shall:

- 1> first perform the cell update procedure according to subclause 8.3.1, using the cause "uplink data transmission", in order to transit to CELL_FACH state; and then
- 1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are fulfilled for any ongoing ~~traffic volume measurement or~~ UE positioning measurement which is being performed in the UE.

The reporting criteria are fulfilled if either:

- a periodic MEASUREMENT REPORT message shall be sent according to the IE "Periodical Reporting Criteria"; or
- an event in stored IE "Measurement reporting criteria" was triggered. Events and triggering of reports for different measurement types are described in detail in clause 14.

For the measurement, which triggered the MEASUREMENT REPORT message, the UE shall:

- 1> set the IE "measurement identity" to the measurement identity, which is associated with that measurement in variable MEASUREMENT_IDENTITY;
- 1> set the IE "measured results" to include measurements according to the IE "reporting quantity" of that measurement stored in variable MEASUREMENT_IDENTITY; and
 - 2> if all the reporting quantities are set to "false":
 - 3> not set the IE "measured results".
- 1> set the IE "Measured results" in the IE "Additional measured results" according to the IE "reporting quantity" for all measurements associated with the measurement identities included in the "Additional measurements list" stored in variable MEASUREMENT_IDENTITY of the measurement that triggered the measurement report; and
 - 2> if more than one additional measured results are to be included:
 - 3> sort them in ascending order according to their IE "measurement identity" in the MEASUREMENT REPORT message.
- 1> if the MEASUREMENT REPORT message was triggered by an event (i.e. not a periodical report):

2> set the IE "Event results" according to the event that triggered the report.

The UE shall:

1> transmit the MEASUREMENT REPORT message on the uplink DCCH using either AM or UM RLC according to the stored IE "measurement reporting mode" associated with the measurement identity that triggered the report.

When the MEASUREMENT REPORT message has been submitted to lower layers for transmission:

1> the procedure ends.

8.4.1.9b Measurements after transition from CELL_FACH to CELL_PCH/URA_PCH

8.4.1.9b.1 Traffic volume measurement

Upon transition from CELL_FACH to CELL_PCH, the UE shall stop ~~this~~ any ongoing traffic volume measurement, and associated traffic volume measurement reporting.

8.4.1.9c Measurements after transition from CELL_PCH/URA_PCH to CELL_FACH

8.4.1.9c.1 Traffic volume measurement

Upon transition from CELL_PCH to CELL_FACH, the UE shall resume ~~this~~ any traffic volume measurement stored in the variable MEASUREMENT_IDENTITY with measurement validity “all states” or “all states except CELL_DCH”, and start the associated traffic volume measurement reporting.

CHANGE REQUEST

25.331 CR 1944 # rev 1 # Current version: 3.14.0

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Initialisation of the Virtual Active Set		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# 20/05/2003
Category:	# F	Release:	# R99
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)
	B (addition of feature),		R97 (Release 1997)
	C (functional modification of feature)		R98 (Release 1998)
	D (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

Reason for change:	#	1) Rules describing the initialisation of the VAS contradict each other 2) Initialisation of the VAS when no cells are detected is unspecified 3) The specified rules to initialise the VAS when event 1A is not configured does not work
Summary of change:	#	1) clarified that the contradicting rule only applies for the 'modify' case. 2) Added a clarification that the UE may initialise the VAS after the first cell was detected 3) The UE should apply a default rule if event 1A is missing from the configuration
Consequences if not approved:	#	1) The UE behaviour would be unpredictable when the UE autonomous update mode is set to "off" 2) UEs might not initialise the VAS properly 3) UEs would attempt to initialise the VAS with an incomplete configuration, leading to an unstable reporting behaviour

Clauses affected:	#	14.11.1, 14.11.2				
Other specs Affected:	#	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Other core specifications	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Y	N					
<input type="checkbox"/>	<input checked="" type="checkbox"/>					
	#	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Test specifications	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
<input type="checkbox"/>	<input checked="" type="checkbox"/>					
	#	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> O&M Specifications	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
<input type="checkbox"/>	<input checked="" type="checkbox"/>					
Other comments:	#					

14.11 UE autonomous update of virtual active set on non-used frequency (FDD only)

In the text that follows:

- a "non-used frequency" is a frequency that the UE has been ordered to measure upon but is not used for the connection. A "used frequency" is a frequency that the UE has been ordered to measure upon and is also currently used for the connection;
- a "non-used frequency (resp. cell) considered in an inter-frequency measurement" shall be understood as a non-used frequency (resp. cell) included in the list of cells pointed at in the IE "cells for measurement" if it was received for that measurement, or otherwise as a non-used frequency (resp. cell) included in the "Inter-frequency cell info" part of the variable CELL_INFO_LIST.

For event-triggered inter frequency measurements it is possible to specify intra-frequency measurements reporting events for support of maintenance of an active set associated with a non-used frequency considered in that measurement, a "virtual active set" and used in the evaluation of the frequency quality estimates. The "initial virtual active set" for a frequency is the virtual active set that is associated to that frequency just after a message was received that sets up or modifies the inter-frequency measurement.

The way the virtual active sets are initiated and updated for the non-used frequencies considered in an inter-frequency measurement is described in the two subclauses below, and depends on whether the IE "intra-frequency reporting criteria" is stored for the inter-frequency measurement or not. In case that IE is not stored, the IE "intra-frequency measurement" stored in other measurements of type intra-frequency shall be used.

14.11.1 Initial virtual active set

The way the UE shall act when a MEASUREMENT CONTROL message is received that sets up or modifies an inter-frequency measurement, and that includes the IE "Inter-frequency set update" and/or the IE "Intra-Frequency reporting quantity" is described below. The UE shall:

1> if the IE "Intra-Frequency measurement reporting criteria" is included in the MEASUREMENT CONTROL message; or

1> if it was previously stored and if the IE "Inter-frequency set update" was included in the MEASUREMENT CONTROL message;

2> if the IE "UE autonomous update mode" received or previously stored is set to "on" or "on with no reporting":

3> for each non-used frequency F_i considered in the measurement:

4> include in the initial virtual active set the N_i cells that have either the greatest downlink E_c/N_0 , the greatest downlink RSCP after despreading, or the lowest pathloss (depending on what is indicated in the IE "inter-frequency measurement quantity"), among the cells on frequency F_i considered in that inter-frequency measurement, where:

5> if event 1a is configured in the "Intra-Frequency measurement reporting criteria":

$$N_i = \min(N_{Ia}, N_{Cells Fi}) \text{ if } N_{Ia} \neq 0 \text{ and } N_i = N_{Cells Fi} \text{ otherwise.}$$

where:

N_{Ia} is the "Reporting deactivation threshold" included in the "Intra-Frequency measurement" IE received for that inter-frequency measurement for event 1a.

$N_{Cells Fi}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.

~~5> else, if event 1e is configured in the "Intra-Frequency measurement reporting criteria":~~

~~$$N_i = \min(N_{Ie}, N_{Cells Fi}) \text{ if } N_{Ie} \neq 0 \text{ and } N_i = N_{Cells Fi} \text{ otherwise.}$$~~

~~— where:~~

~~— N_{I_e} is the "Replacement activation threshold" included in the "Intra-Frequency measurement" IE received for that inter-frequency measurement for event 1e.~~

~~— $N_{Cells F_i}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.~~

5> else:

$$N_i = N_{Cells F_i}$$

where:

$N_{Cells F_i}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.

2> if the IE "UE autonomous update mode" received or previously stored is set to "on":

3> if event 1a is configured in the "Intra-Frequency measurement reporting criteria":

4> send a MEASUREMENT REPORT with IEs set as follows:

5> set the Measurement identity to the identity of the inter-frequency measurement;

5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of all the cells included in a virtual active set of the non-used frequency considered in the inter-frequency measurement;

5> do not include the IEs "measured results" or "additional measured results".

~~3> else, if event 1e is configured in the "Intra-Frequency measurement reporting criteria":~~

~~4> send a measurement report with IEs set as follows:~~

~~5> set the Measurement identity to the identity of the inter-frequency measurement;~~

~~5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1e, and in "Cell measurement event results" the CPICH info of all the cells included in the virtual active set of the frequency considered in the inter-frequency measurement;~~

~~5> do not include the IEs "measured results" or "additional measured results".~~

2> if the IE "Inter-frequency set update" is included in the message and if the IE "UE autonomous update mode" is set to "Off":

3> if the IE "Measurement command" is set to "Modify", if the value previously stored for the IE "UE autonomous update mode" was also "Off" and if the IE "Intra-frequency measurement reporting criteria" was not included in the message:

4> apply the modifications indicated in the "Inter-frequency set update" to the virtual active set that was valid before the message was received for the non-used frequency considered in that inter-frequency measurement.

3> otherwise:

4> remove the possibly existing virtual active set of the non-used frequency considered in that measurement; and

4> set the initial virtual active set for it according to the "Inter-frequency set update" included in the message.

2> if the IE "Inter-frequency set update" is not included in the message and if the IE "UE autonomous update mode" stored for the inter-frequency measurement is set to "Off":

- 3> remove the possibly existing virtual active set of the non-used frequency considered in that measurement; and
- 3> consider the virtual active set for it as empty.
- 1> if the IE "Intra-Frequency measurement reporting criteria" was not included in the MEASUREMENT CONTROL message:
 - 2> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 3> for each non-used frequency F_i considered in the measurement:
 - 4> include in the initial virtual active set the N_i cells that have either the greatest downlink E_c/N_0 or the greatest downlink RSCP after despreading or the lowest pathloss (depending on what is indicated in the IE "inter-frequency measurement quantity"), among the cells on frequency F_i considered in that inter-frequency measurement, where:

- 5> if event 1a is configured for the used frequency in an intra-frequency measurement; ~~and~~
- ~~5> if the "Reporting deactivation threshold" is included:~~

$$N_i = \min(N_{1a}, N_{Cells\ F_i}) \text{ if } N_{1a} \neq 0 \text{ and } N_i = N_{Cells\ F_i} \text{ otherwise.}$$

where:

N_{1a} is the "Reporting deactivation threshold" included in the intra-frequency measurement for the first event 1a defined in the intra-frequency measurement with the lowest identity.

$N_{Cells\ F_i}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.

- ~~5> else, if event 1c is configured for the used frequency in an intra-frequency measurement:~~

~~$$N_i = \min(N_{1c}, N_{Cells\ F_i}) \text{ if } N_{1c} \neq 0 \text{ and } N_i = N_{Cells\ F_i} \text{ otherwise.}$$~~

~~where:~~

~~N_{1c} is the "Replacement activation threshold" included in the "Intra-Frequency measurement" for the first event 1c defined in the intra-frequency measurement with the lowest identity.~~

~~$N_{Cells\ F_i}$ is the number of cells on frequency F_i considered in that inter frequency measurement.~~

- 5> else:

$$N_i = N_{Cells\ F_i}$$

where:

$N_{Cells\ F_i}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.

- 3> if the IE "UE autonomous update mode" is set to "on":
 - 4> if event 1a is configured for the used frequency in an intra-frequency measurement:
 - 5> send a measurement report with IEs set as follows:
 - 6> set the Measurement identity to the identity of the inter-frequency measurement;
 - 6> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of all the cells included in the initial virtual active set of the non-used frequency considered in that measurement;
 - 6> do not include the IEs "measured results" or "additional measured results".

~~4> else, if event 1e is configured for the used frequency in an intra-frequency measurement:~~

~~5> send a measurement report with IEs set as follows:~~

~~6> set the Measurement identity to the identity of the inter-frequency measurement;~~

~~6> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1e, and in "Cell measurement event results" the CPICH info of all the cells included in the initial virtual active set of the non-used frequency considered in that measurement;~~

~~6> do not include the IEs "measured results" or "additional measured results".~~

2> if the IE "UE autonomous update mode" is set to "off":

3> set the initial virtual active set of the non-used frequency considered in that inter-frequency measurement according to what is included in the IE "Inter-frequency set update" included in the message; and

3> if the IE "Inter-frequency set update" was not received:

4> set the initial virtual active set for the frequencies considered in that measurement to be empty.

14.11.2 Virtual active set update during an inter-frequency measurement

If the IE "Intra-frequency measurement reporting criteria" is stored for an inter-frequency measurement, the UE shall:

1> if Event 1a is configured in that IE, when this event is triggered by a cell for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.1):

2> if the "Reporting deactivation threshold" is equal to 0, or if the "Reporting deactivation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is less than or equal to the "Reporting deactivation threshold":

3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":

4> add the primary CPICH that enters the reporting range to the "virtual active set".

3> if the IE "UE autonomous update mode" is set to "on" or "off":

4> send a measurement report with IEs set as below:

5> set the Measurement identity to the identity of the inter-frequency measurement;

5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;

5> do not include the IEs "measured results" or "additional measured results".

1> if Event 1b was configured, when this event is triggered by a cell for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.2):

2> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting" and if the number of cells included in the virtual active set is greater than 1:

3> remove the primary CPICH that leaves the reporting range from the "virtual active set".

2> if the IE "UE autonomous update mode" is set to "on" or "off":

3> send a measurement report with IEs set as below:

4> set the Measurement identity to the identity of the inter-frequency measurement;

4> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1b, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;

- 4> do not include the IEs "measured results" or "additional measured results".
- 1> if Event 1c was configured, when this event is triggered by a cell for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.3):
 - 2> if the "Reporting activation threshold" is equal to 0, or if the "Reporting activation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is greater than or equal to the "Reporting activation threshold":
 - 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> rank all active and non-active primary CPICHs and take the n best cells to create a new "virtual active set", where n is the number of active primary CPICHs in the "virtual active set".
 - 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:
 - 5> set the Measurement identity to the identity of the inter-frequency measurement;
 - 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1c, and in "Cell measurement event results" include the CPICH info of all the cells that satisfy the event, and the rest of the entries as the cells that were in the virtual active set before the event occurred and that are worse than the best cell that triggered the event, in the order of their measured value (best one first);
 - 5> do not include the IEs "measured results" or "additional measured results".

If the IE "Intra-frequency measurement reporting criteria" is stored for an inter-frequency measurement, the IE "UE autonomous update mode" is set to "on" or "on with no reporting"; ~~but~~

~~1> if none of the Events 1a, 1b or 1c are configured, or:~~

1> if Event 1a is not configured:

- 1> the UE should continuously update the virtual active set to consist of all cells on frequency F_i considered in that inter-frequency measurement, without sending any corresponding measurement report.

If the IE "Intra-frequency measurement reporting criteria" is not stored for that inter-frequency measurement, the UE shall:

- 1> apply the events of type 1a, 1b and 1c that were defined for the used frequency in other stored measurements of type "intra-frequency" at the time the inter-frequency measurement was set up; and
- 1> update the virtual active set for the non-used frequencies considered in that measurement according to the following rules:
 - 2> if several events of type 1a (resp. 1b, 1c) were defined for the used frequency when the inter-frequency measurement was set up, only the first 1a event (resp 1b, 1c) that was defined in the measurement with the lowest measurement identity shall apply to the non-used frequencies;
 - 2> all the cells considered in the inter-frequency measurements shall be able to affect the reporting range for event 1a and 1b. (i.e. the IE "Cells forbidden to affect reporting range" possibly stored for the intra-frequency measurements on the used frequency does not apply to the non-used frequencies considered in the inter-frequency measurement);
 - 2> the IEs "amount of reporting" and "reporting interval" that were stored for the intra-frequency measurements on the used frequency shall not be considered if reports of the virtual active set updates are needed.
- 1> if event 1a is applicable to the non-used frequencies considered in the inter-frequency measurement, when this event is triggered (according to the criteria described in subclause 14.1.2.1) by a cell for a non-used frequency considered in that measurement:
 - 2> if the "Reporting deactivation threshold" is equal to 0, or if the "Reporting deactivation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is less than or equal to the "Reporting deactivation threshold":

- 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> add the primary CPICH that enters the reporting range to the "virtual active set".
- 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:
 - 5> set the Measurement identity to the identity of the inter-frequency measurement;
 - 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;
 - 5> do not include the IEs "measured results" or "additional measured results".
- 1> if event 1b is applicable for the non-used frequencies considered in that inter-frequency measurement, when this event is triggered (according to the criteria described in subclause 14.1.2.2) by a cell for a non-used frequency considered in that measurement:
 - 2> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting" and if the number of cells included in the virtual active set is greater than 1:
 - 3> remove the primary CPICH that leaves the reporting range from the "virtual active set".
 - 2> if the IE "UE autonomous update mode" is set to "on" or "off", send a measurement report with IEs set as below:
 - 3> set the Measurement identity to the identity of the inter-frequency measurement;
 - 3> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1b, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;
 - 3> do not include the IEs "measured results" or "additional measured results".
- 1> if event 1c is applicable for the non-used frequencies considered in that inter-frequency measurement, when this event is triggered (according to the criteria described in subclause 14.1.2.3) by a cell for a non-used frequency considered in that measurement:
 - 2> if the "Reporting activation threshold" is equal to 0, or if the "Reporting activation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is greater than or equal to the "Reporting activation threshold":
 - 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> rank all active and non-active primary CPICHs and take the n best cells to create a new "virtual active set", where n is the number of active primary CPICHs in the "virtual active set".
 - 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:
 - 5> set the Measurement identity to the identity of the inter-frequency measurement.
 - 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1c, and in "Cell measurement event results" include the CPICH info of all the non-active cells which satisfy the event, and the rest of the entries as the cells that were in the virtual active set before the event occurred and that are worse than the best cell that triggered the event, in the order of their measured value (best one first);
 - 5> do not include the IEs "measured results" or "additional measured results".

If the IE "Intra-frequency measurement reporting criteria" is not stored for an inter-frequency measurement, the IE "UE autonomous update mode" is set to "on" or "on with no reporting"; ~~but none of the Events 1a, 1b or 1c are defined for the used frequency in other stored measurements of type "intra frequency" at the time the inter-frequency measurement was set up:~~

1> if Event 1a is not defined for the used frequency in other stored measurements of type "intra-frequency" at the time the inter-frequency measurement was set up:

±2> the UE should continuously update the virtual active set to consist of all cells on frequency F_i considered in that inter-frequency measurement, without sending any corresponding measurement report.

If none of the cells that are considered in the measurement on this frequency were measured, the UE may treat the VAS as empty and follow the appropriate initialisation procedured in 14.11.1 when any relevant cell can first be measured.

CHANGE REQUEST

25.331 CR 1945 # rev 1 # Current version: 4.9.0

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	# Initialisation of the Virtual Active Set		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# 20/05/2003
Category:	# A	Release:	# Rel-4
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)	2	(GSM Phase 2)
	A (corresponds to a correction in an earlier release)	R96	(Release 1996)
	B (addition of feature),	R97	(Release 1997)
	C (functional modification of feature)	R98	(Release 1998)
	D (editorial modification)	R99	(Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Rel-4	(Release 4)
		Rel-5	(Release 5)
		Rel-6	(Release 6)

Reason for change:	#	1) Rules describing the initialisation of the VAS contradict each other 2) Initialisation of the VAS when no cells are detected is unspecified 3) The specified rules to initialise the VAS when event 1A is not configured does not work
Summary of change:	#	1) clarified that the contradicting rule only applies for the 'modify' case. 2) Added a clarification that the UE may initialise the VAS after the first cell was detected 3) The UE should apply a default rule if event 1A is missing from the configuration
Consequences if not approved:	#	1) The UE behaviour would be unpredictable when the UE autonomous update mode is set to "off" 2) UEs might not initialise the VAS properly 3) UEs would attempt to initialise the VAS with an incomplete configuration, leading to an unstable reporting behaviour

Clauses affected:	#	14.11.1, 14.11.2				
Other specs Affected:	#	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Other core specifications	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Y	N					
<input type="checkbox"/>	<input checked="" type="checkbox"/>					
	#	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Test specifications	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
<input type="checkbox"/>	<input checked="" type="checkbox"/>					
	#	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> O&M Specifications	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
<input type="checkbox"/>	<input checked="" type="checkbox"/>					
Other comments:	#					

14.11 UE autonomous update of virtual active set on non-used frequency (FDD only)

In the text that follows:

- a "non-used frequency" is a frequency that the UE has been ordered to measure upon but is not used for the connection. A "used frequency" is a frequency that the UE has been ordered to measure upon and is also currently used for the connection;
- a "non-used frequency (resp. cell) considered in an inter-frequency measurement" shall be understood as a non-used frequency (resp. cell) included in the list of cells pointed at in the IE "cells for measurement" if it was received for that measurement, or otherwise as a non-used frequency (resp. cell) included in the "Inter-frequency cell info" part of the variable CELL_INFO_LIST.

For event-triggered inter frequency measurements it is possible to specify intra-frequency measurements reporting events for support of maintenance of an active set associated with a non-used frequency considered in that measurement, a "virtual active set" and used in the evaluation of the frequency quality estimates. The "initial virtual active set" for a frequency is the virtual active set that is associated to that frequency just after a message was received that sets up or modifies the inter-frequency measurement.

The way the virtual active sets are initiated and updated for the non-used frequencies considered in an inter-frequency measurement is described in the two subclauses below, and depends on whether the IE "intra-frequency reporting criteria" is stored for the inter-frequency measurement or not. In case that IE is not stored, the IE "intra-frequency measurement" stored in other measurements of type intra-frequency shall be used.

14.11.1 Initial virtual active set

The way the UE shall act when a MEASUREMENT CONTROL message is received that sets up or modifies an inter-frequency measurement, and that includes the IE "Inter-frequency set update" and/or the IE "Intra-Frequency reporting quantity" is described below. The UE shall:

1> if the IE "Intra-Frequency measurement reporting criteria" is included in the MEASUREMENT CONTROL message; or

1> if it was previously stored and if the IE "Inter-frequency set update" was included in the MEASUREMENT CONTROL message;

2> if the IE "UE autonomous update mode" received or previously stored is set to "on" or "on with no reporting":

3> for each non-used frequency F_i considered in the measurement:

4> include in the initial virtual active set the N_i cells that have either the greatest downlink E_c/N_0 , the greatest downlink RSCP after despreading, or the lowest pathloss (depending on what is indicated in the IE "inter-frequency measurement quantity"), among the cells on frequency F_i considered in that inter-frequency measurement, where:

5> if event 1a is configured in the "Intra-Frequency measurement reporting criteria":

$$N_i = \min(N_{Ia}, N_{Cells Fi}) \text{ if } N_{Ia} \neq 0 \text{ and } N_i = N_{Cells Fi} \text{ otherwise.}$$

where:

N_{Ia} is the "Reporting deactivation threshold" included in the "Intra-Frequency measurement" IE received for that inter-frequency measurement for event 1a.

$N_{Cells Fi}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.

~~5> else, if event 1e is configured in the "Intra-Frequency measurement reporting criteria":~~

~~$$N_i = \min(N_{Ie}, N_{Cells Fi}) \text{ if } N_{Ie} \neq 0 \text{ and } N_i = N_{Cells Fi} \text{ otherwise.}$$~~

~~— where:~~

~~— N_{Le} is the "Replacement activation threshold" included in the "Intra-Frequency measurement" IE received for that inter-frequency measurement for event 1e.~~

~~— $N_{Cells Fi}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.~~

5> else:

$$N_i = N_{Cells Fi}$$

where:

$N_{Cells Fi}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.

2> if the IE "UE autonomous update mode" received or previously stored is set to "on":

3> if event 1a is configured in the "Intra-Frequency measurement reporting criteria":

4> send a MEASUREMENT REPORT with IEs set as follows:

5> set the Measurement identity to the identity of the inter-frequency measurement;

5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of all the cells included in a virtual active set of the non-used frequency considered in the inter-frequency measurement;

5> do not include the IEs "measured results" or "additional measured results".

~~3> else, if event 1e is configured in the "Intra-Frequency measurement reporting criteria":~~

~~4> send a measurement report with IEs set as follows:~~

~~5> set the Measurement identity to the identity of the inter-frequency measurement;~~

~~5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1e, and in "Cell measurement event results" the CPICH info of all the cells included in the virtual active set of the frequency considered in the inter-frequency measurement;~~

~~5> do not include the IEs "measured results" or "additional measured results".~~

2> if the IE "Inter-frequency set update" is included in the message and if the IE "UE autonomous update mode" is set to "Off":

3> if the IE "Measurement command" is set to "Modify", if the value previously stored for the IE "UE autonomous update mode" was also "Off" and if the IE "Intra-frequency measurement reporting criteria" was not included in the message:

4> apply the modifications indicated in the "Inter-frequency set update" to the virtual active set that was valid before the message was received for the non-used frequency considered in that inter-frequency measurement.

3> otherwise:

4> remove the possibly existing virtual active set of the non-used frequency considered in that measurement; and

4> set the initial virtual active set for it according to the "Inter-frequency set update" included in the message.

2> if the IE "Inter-frequency set update" is not included in the message and if the IE "UE autonomous update mode" stored for the inter-frequency measurement is set to "Off":

- 3> remove the possibly existing virtual active set of the non-used frequency considered in that measurement; and
- 3> consider the virtual active set for it as empty.
- 1> if the IE "Intra-Frequency measurement reporting criteria" was not included in the MEASUREMENT CONTROL message:
 - 2> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 3> for each non-used frequency F_i considered in the measurement:
 - 4> include in the initial virtual active set the N_i cells that have either the greatest downlink E_c/N_0 or the greatest downlink RSCP after despreading or the lowest pathloss (depending on what is indicated in the IE "inter-frequency measurement quantity"), among the cells on frequency F_i considered in that inter-frequency measurement, where:

- 5> if event 1a is configured for the used frequency in an intra-frequency measurement; ~~and~~
- 5> ~~if the "Reporting deactivation threshold" is included:~~

$$N_i = \min(N_{1a}, N_{Cells\ F_i}) \text{ if } N_{1a} \neq 0 \text{ and } N_i = N_{Cells\ F_i} \text{ otherwise.}$$

where:

N_{1a} is the "Reporting deactivation threshold" included in the intra-frequency measurement for the first event 1a defined in the intra-frequency measurement with the lowest identity.

$N_{Cells\ F_i}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.

- ~~5> else, if event 1c is configured for the used frequency in an intra-frequency measurement:~~

~~$$N_i = \min(N_{1c}, N_{Cells\ F_i}) \text{ if } N_{1c} \neq 0 \text{ and } N_i = N_{Cells\ F_i} \text{ otherwise.}$$~~

~~where:~~

~~N_{1c} is the "Replacement activation threshold" included in the "Intra-Frequency measurement" for the first event 1c defined in the intra-frequency measurement with the lowest identity.~~

~~$N_{Cells\ F_i}$ is the number of cells on frequency F_i considered in that inter frequency measurement.~~

- 5> else:

$$N_i = N_{Cells\ F_i}$$

where:

$N_{Cells\ F_i}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.

- 3> if the IE "UE autonomous update mode" is set to "on":
 - 4> if event 1a is configured for the used frequency in an intra-frequency measurement:
 - 5> send a measurement report with IEs set as follows:
 - 6> set the Measurement identity to the identity of the inter-frequency measurement;
 - 6> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of all the cells included in the initial virtual active set of the non-used frequency considered in that measurement;
 - 6> do not include the IEs "measured results" or "additional measured results".

~~4> else, if event 1e is configured for the used frequency in an intra-frequency measurement:~~

~~5> send a measurement report with IEs set as follows:~~

~~6> set the Measurement identity to the identity of the inter-frequency measurement;~~

~~6> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1e, and in "Cell measurement event results" the CPICH info of all the cells included in the initial virtual active set of the non-used frequency considered in that measurement;~~

~~6> do not include the IEs "measured results" or "additional measured results".~~

2> if the IE "UE autonomous update mode" is set to "off":

3> set the initial virtual active set of the non-used frequency considered in that inter-frequency measurement according to what is included in the IE "Inter-frequency set update" included in the message; and

3> if the IE "Inter-frequency set update" was not received:

4> set the initial virtual active set for the frequencies considered in that measurement to be empty.

14.11.2 Virtual active set update during an inter-frequency measurement

If the IE "Intra-frequency measurement reporting criteria" is stored for an inter-frequency measurement, the UE shall:

1> if Event 1a is configured in that IE, when this event is triggered by a cell for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.1):

2> if the "Reporting deactivation threshold" is equal to 0, or if the "Reporting deactivation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is less than or equal to the "Reporting deactivation threshold":

3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":

4> add the primary CPICH that enters the reporting range to the "virtual active set".

3> if the IE "UE autonomous update mode" is set to "on" or "off":

4> send a measurement report with IEs set as below:

5> set the Measurement identity to the identity of the inter-frequency measurement;

5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;

5> do not include the IEs "measured results" or "additional measured results".

1> if Event 1b was configured, when this event is triggered by a cell for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.2):

2> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting" and if the number of cells included in the virtual active set is greater than 1:

3> remove the primary CPICH that leaves the reporting range from the "virtual active set".

2> if the IE "UE autonomous update mode" is set to "on" or "off":

3> send a measurement report with IEs set as below:

4> set the Measurement identity to the identity of the inter-frequency measurement;

4> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1b, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;

- 4> do not include the IEs "measured results" or "additional measured results".
- 1> if Event 1c was configured, when this event is triggered by a cell for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.3):
 - 2> if the "Reporting activation threshold" is equal to 0, or if the "Reporting activation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is greater than or equal to the "Reporting activation threshold":
 - 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> rank all active and non-active primary CPICHs and take the n best cells to create a new "virtual active set", where n is the number of active primary CPICHs in the "virtual active set".
 - 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:
 - 5> set the Measurement identity to the identity of the inter-frequency measurement;
 - 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1c, and in "Cell measurement event results" include the CPICH info of all the cells that satisfy the event, and the rest of the entries as the cells that were in the virtual active set before the event occurred and that are worse than the best cell that triggered the event, in the order of their measured value (best one first);
 - 5> do not include the IEs "measured results" or "additional measured results".

If the IE "Intra-frequency measurement reporting criteria" is stored for an inter-frequency measurement, the IE "UE autonomous update mode" is set to "on" or "on with no reporting"; ~~but~~

~~1> if none of the Events 1a, 1b or 1c are configured, or:~~

1> if Event 1a is not configured:

- 1> the UE should continuously update the virtual active set to consist of all cells on frequency F_i considered in that inter-frequency measurement, without sending any corresponding measurement report.

If the IE "Intra-frequency measurement reporting criteria" is not stored for that inter-frequency measurement, the UE shall:

- 1> apply the events of type 1a, 1b and 1c that were defined for the used frequency in other stored measurements of type "intra-frequency" at the time the inter-frequency measurement was set up; and
- 1> update the virtual active set for the non-used frequencies considered in that measurement according to the following rules:
 - 2> if several events of type 1a (resp. 1b, 1c) were defined for the used frequency when the inter-frequency measurement was set up, only the first 1a event (resp 1b, 1c) that was defined in the measurement with the lowest measurement identity shall apply to the non-used frequencies;
 - 2> all the cells considered in the inter-frequency measurements shall be able to affect the reporting range for event 1a and 1b. (i.e. the IE "Cells forbidden to affect reporting range" possibly stored for the intra-frequency measurements on the used frequency does not apply to the non-used frequencies considered in the inter-frequency measurement);
 - 2> the IEs "amount of reporting" and "reporting interval" that were stored for the intra-frequency measurements on the used frequency shall not be considered if reports of the virtual active set updates are needed.
- 1> if event 1a is applicable to the non-used frequencies considered in the inter-frequency measurement, when this event is triggered (according to the criteria described in subclause 14.1.2.1) by a cell for a non-used frequency considered in that measurement:
 - 2> if the "Reporting deactivation threshold" is equal to 0, or if the "Reporting deactivation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is less than or equal to the "Reporting deactivation threshold":

- 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> add the primary CPICH that enters the reporting range to the "virtual active set".
- 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:
 - 5> set the Measurement identity to the identity of the inter-frequency measurement;
 - 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;
 - 5> do not include the IEs "measured results" or "additional measured results".
- 1> if event 1b is applicable for the non-used frequencies considered in that inter-frequency measurement, when this event is triggered (according to the criteria described in subclause 14.1.2.2) by a cell for a non-used frequency considered in that measurement:
 - 2> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting" and if the number of cells included in the virtual active set is greater than 1:
 - 3> remove the primary CPICH that leaves the reporting range from the "virtual active set".
 - 2> if the IE "UE autonomous update mode" is set to "on" or "off", send a measurement report with IEs set as below:
 - 3> set the Measurement identity to the identity of the inter-frequency measurement;
 - 3> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1b, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;
 - 3> do not include the IEs "measured results" or "additional measured results".
- 1> if event 1c is applicable for the non-used frequencies considered in that inter-frequency measurement, when this event is triggered (according to the criteria described in subclause 14.1.2.3) by a cell for a non-used frequency considered in that measurement:
 - 2> if the "Reporting activation threshold" is equal to 0, or if the "Reporting activation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is greater than or equal to the "Reporting activation threshold":
 - 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> rank all active and non-active primary CPICHs and take the n best cells to create a new "virtual active set", where n is the number of active primary CPICHs in the "virtual active set".
 - 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:
 - 5> set the Measurement identity to the identity of the inter-frequency measurement.
 - 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1c, and in "Cell measurement event results" include the CPICH info of all the non-active cells which satisfy the event, and the rest of the entries as the cells that were in the virtual active set before the event occurred and that are worse than the best cell that triggered the event, in the order of their measured value (best one first);
 - 5> do not include the IEs "measured results" or "additional measured results".

If the IE "Intra-frequency measurement reporting criteria" is not stored for an inter-frequency measurement, the IE "UE autonomous update mode" is set to "on" or "on with no reporting"; ~~but none of the Events 1a, 1b or 1c are defined for the used frequency in other stored measurements of type "intra frequency" at the time the inter-frequency measurement was set up:~~

1> if Event 1a is not defined for the used frequency in other stored measurements of type "intra-frequency" at the time the inter-frequency measurement was set up:

±2> the UE should continuously update the virtual active set to consist of all cells on frequency F_i considered in that inter-frequency measurement, without sending any corresponding measurement report.

If none of the cells that are considered in the measurement on this frequency were measured, the UE may treat the VAS as empty and follow the appropriate initialisation procedured in 14.11.1 when any relevant cell can first be measured.

CHANGE REQUEST

25.331 CR 1946 # rev **1** # Current version: **5.4.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# Initialisation of the Virtual Active Set		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# 20/05/2003
Category:	# A	Release:	# Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)
	B (addition of feature),		R97 (Release 1997)
	C (functional modification of feature)		R98 (Release 1998)
	D (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

Reason for change:	# 1) Rules describing the initialisation of the VAS contradict each other 2) Initialisation of the VAS when no cells are detected is unspecified 3) The specified rules to initialise the VAS when event 1A is not configured does not work
Summary of change:	# 1) Removed the contradicting rule clarified that the contradicting rule only applies for the 'modify' case. 2) Added a clarification that the UE may initialise the VAS after the first cell was detected 3) The UE should apply a default rule if event 1A is missing from the configuration
Consequences if not approved:	# 1) The UE behaviour would be unpredictable when the UE autonomous update mode is set to "off" 2) UEs might not initialise the VAS properly 3) UEs would attempt to initialise the VAS with an incomplete configuration, leading to an unstable reporting behaviour

Clauses affected:	# 14.11.1, 14.11.2								
Other specs Affected:	#								
	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">X</td> </tr> </table> Other core specifications # Test specifications # O&M Specifications #	Y	N	#	X	#	X	#	X
Y	N								
#	X								
#	X								
#	X								
Other comments:	#								

14.11 UE autonomous update of virtual active set on non-used frequency (FDD only)

In the text that follows:

- a "non-used frequency" is a frequency that the UE has been ordered to measure upon but is not used for the connection. A "used frequency" is a frequency that the UE has been ordered to measure upon and is also currently used for the connection;
- a "non-used frequency (resp. cell) considered in an inter-frequency measurement" shall be understood as a non-used frequency (resp. cell) included in the list of cells pointed at in the IE "cells for measurement" if it was received for that measurement, or otherwise as a non-used frequency (resp. cell) included in the "Inter-frequency cell info" part of the variable CELL_INFO_LIST.

For event-triggered inter frequency measurements it is possible to specify intra-frequency measurements reporting events for support of maintenance of an active set associated with a non-used frequency considered in that measurement, a "virtual active set" and used in the evaluation of the frequency quality estimates. The "initial virtual active set" for a frequency is the virtual active set that is associated to that frequency just after a message was received that sets up or modifies the inter-frequency measurement.

The way the virtual active sets are initiated and updated for the non-used frequencies considered in an inter-frequency measurement is described in the two subclauses below, and depends on whether the IE "intra-frequency reporting criteria" is stored for the inter-frequency measurement or not. In case that IE is not stored, the IE "intra-frequency measurement" stored in other measurements of type intra-frequency shall be used.

14.11.1 Initial virtual active set

The way the UE shall act when a MEASUREMENT CONTROL message is received that sets up or modifies an inter-frequency measurement, and that includes the IE "Inter-frequency set update" and/or the IE "Intra-Frequency reporting quantity" is described below. The UE shall:

1> if the IE "Intra-Frequency measurement reporting criteria" is included in the MEASUREMENT CONTROL message; or

1> if it was previously stored and if the IE "Inter-frequency set update" was included in the MEASUREMENT CONTROL message;

2> if the IE "UE autonomous update mode" received or previously stored is set to "on" or "on with no reporting":

3> for each non-used frequency F_i considered in the measurement:

4> include in the initial virtual active set the N_i cells that have either the greatest downlink E_c/N_0 , the greatest downlink RSCP after despreading, or the lowest pathloss (depending on what is indicated in the IE "inter-frequency measurement quantity"), among the cells on frequency F_i considered in that inter-frequency measurement, where:

5> if event 1a is configured in the "Intra-Frequency measurement reporting criteria":

$$N_i = \min(N_{Ia}, N_{Cells Fi}) \text{ if } N_{Ia} \neq 0 \text{ and } N_i = N_{Cells Fi} \text{ otherwise.}$$

where:

N_{Ia} is the "Reporting deactivation threshold" included in the "Intra-Frequency measurement" IE received for that inter-frequency measurement for event 1a.

$N_{Cells Fi}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.

~~5> else, if event 1e is configured in the "Intra-Frequency measurement reporting criteria":~~

~~$$N_i = \min(N_{Ie}, N_{Cells Fi}) \text{ if } N_{Ie} \neq 0 \text{ and } N_i = N_{Cells Fi} \text{ otherwise.}$$~~

~~— where:~~

~~— N_{I_e} is the "Replacement activation threshold" included in the "Intra-Frequency measurement" IE received for that inter-frequency measurement for event 1e.~~

~~— $N_{Cells F_i}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.~~

5> else:

$$N_i = N_{Cells F_i}$$

where:

$N_{Cells F_i}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.

2> if the IE "UE autonomous update mode" received or previously stored is set to "on":

3> if event 1a is configured in the "Intra-Frequency measurement reporting criteria":

4> send a MEASUREMENT REPORT with IEs set as follows:

5> set the Measurement identity to the identity of the inter-frequency measurement;

5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of all the cells included in a virtual active set of the non-used frequency considered in the inter-frequency measurement;

5> do not include the IEs "measured results" or "additional measured results".

~~3> else, if event 1e is configured in the "Intra-Frequency measurement reporting criteria":~~

~~4> send a measurement report with IEs set as follows:~~

~~5> set the Measurement identity to the identity of the inter-frequency measurement;~~

~~5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1e, and in "Cell measurement event results" the CPICH info of all the cells included in the virtual active set of the frequency considered in the inter-frequency measurement;~~

~~5> do not include the IEs "measured results" or "additional measured results".~~

2> if the IE "Inter-frequency set update" is included in the message and if the IE "UE autonomous update mode" is set to "Off":

3> if the IE "Measurement command" is set to "Modify", if the value previously stored for the IE "UE autonomous update mode" was also "Off" and if the IE "Intra-frequency measurement reporting criteria" was not included in the message:

4> apply the modifications indicated in the "Inter-frequency set update" to the virtual active set that was valid before the message was received for the non-used frequency considered in that inter-frequency measurement.

3> otherwise:

4> remove the possibly existing virtual active set of the non-used frequency considered in that measurement; and

4> set the initial virtual active set for it according to the "Inter-frequency set update" included in the message.

2> if the IE "Inter-frequency set update" is not included in the message and if the IE "UE autonomous update mode" stored for the inter-frequency measurement is set to "Off":

- 3> remove the possibly existing virtual active set of the non-used frequency considered in that measurement; and
- 3> consider the virtual active set for it as empty.
- 1> if the IE "Intra-Frequency measurement reporting criteria" was not included in the MEASUREMENT CONTROL message:
 - 2> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 3> for each non-used frequency F_i considered in the measurement:
 - 4> include in the initial virtual active set the N_i cells that have either the greatest downlink E_c/N_0 or the greatest downlink RSCP after despreading or the lowest pathloss (depending on what is indicated in the IE "inter-frequency measurement quantity"), among the cells on frequency F_i considered in that inter-frequency measurement, where:

- 5> if event 1a is configured for the used frequency in an intra-frequency measurement; ~~and~~
- 5> ~~if the "Reporting deactivation threshold" is included:~~

$$N_i = \min(N_{1a}, N_{Cells\ F_i}) \text{ if } N_{1a} \neq 0 \text{ and } N_i = N_{Cells\ F_i} \text{ otherwise.}$$

where:

N_{1a} is the "Reporting deactivation threshold" included in the intra-frequency measurement for the first event 1a defined in the intra-frequency measurement with the lowest identity.

$N_{Cells\ F_i}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.

- ~~5> else, if event 1c is configured for the used frequency in an intra-frequency measurement:~~

~~$$N_i = \min(N_{1c}, N_{Cells\ F_i}) \text{ if } N_{1c} \neq 0 \text{ and } N_i = N_{Cells\ F_i} \text{ otherwise.}$$~~

~~where:~~

~~N_{1c} is the "Replacement activation threshold" included in the "Intra-Frequency measurement" for the first event 1c defined in the intra-frequency measurement with the lowest identity.~~

~~$N_{Cells\ F_i}$ is the number of cells on frequency F_i considered in that inter frequency measurement.~~

- 5> else:

$$N_i = N_{Cells\ F_i}$$

where:

$N_{Cells\ F_i}$ is the number of cells on frequency F_i considered in that inter-frequency measurement.

- 3> if the IE "UE autonomous update mode" is set to "on":
 - 4> if event 1a is configured for the used frequency in an intra-frequency measurement:
 - 5> send a measurement report with IEs set as follows:
 - 6> set the Measurement identity to the identity of the inter-frequency measurement;
 - 6> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of all the cells included in the initial virtual active set of the non-used frequency considered in that measurement;
 - 6> do not include the IEs "measured results" or "additional measured results".

~~4> else, if event 1e is configured for the used frequency in an intra-frequency measurement:~~

~~5> send a measurement report with IEs set as follows:~~

~~6> set the Measurement identity to the identity of the inter-frequency measurement;~~

~~6> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1e, and in "Cell measurement event results" the CPICH info of all the cells included in the initial virtual active set of the non-used frequency considered in that measurement;~~

~~6> do not include the IEs "measured results" or "additional measured results".~~

2> if the IE "UE autonomous update mode" is set to "off":

3> set the initial virtual active set of the non-used frequency considered in that inter-frequency measurement according to what is included in the IE "Inter-frequency set update" included in the message; and

3> if the IE "Inter-frequency set update" was not received:

4> set the initial virtual active set for the frequencies considered in that measurement to be empty.

14.11.2 Virtual active set update during an inter-frequency measurement

If the IE "Intra-frequency measurement reporting criteria" is stored for an inter-frequency measurement, the UE shall:

1> if Event 1a is configured in that IE, when this event is triggered by a cell for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.1):

2> if the "Reporting deactivation threshold" is equal to 0, or if the "Reporting deactivation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is less than or equal to the "Reporting deactivation threshold":

3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":

4> add the primary CPICH that enters the reporting range to the "virtual active set".

3> if the IE "UE autonomous update mode" is set to "on" or "off":

4> send a measurement report with IEs set as below:

5> set the Measurement identity to the identity of the inter-frequency measurement;

5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;

5> do not include the IEs "measured results" or "additional measured results".

1> if Event 1b was configured, when this event is triggered by a cell for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.2):

2> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting" and if the number of cells included in the virtual active set is greater than 1:

3> remove the primary CPICH that leaves the reporting range from the "virtual active set".

2> if the IE "UE autonomous update mode" is set to "on" or "off":

3> send a measurement report with IEs set as below:

4> set the Measurement identity to the identity of the inter-frequency measurement;

4> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1b, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;

- 4> do not include the IEs "measured results" or "additional measured results".
- 1> if Event 1c was configured, when this event is triggered by a cell for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.3):
 - 2> if the "Reporting activation threshold" is equal to 0, or if the "Reporting activation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is greater than or equal to the "Reporting activation threshold":
 - 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> rank all active and non-active primary CPICHs and take the n best cells to create a new "virtual active set", where n is the number of active primary CPICHs in the "virtual active set".
 - 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:
 - 5> set the Measurement identity to the identity of the inter-frequency measurement;
 - 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1c, and in "Cell measurement event results" include the CPICH info of all the cells that satisfy the event, and the rest of the entries as the cells that were in the virtual active set before the event occurred and that are worse than the best cell that triggered the event, in the order of their measured value (best one first);
 - 5> do not include the IEs "measured results" or "additional measured results".

If the IE "Intra-frequency measurement reporting criteria" is stored for an inter-frequency measurement, the IE "UE autonomous update mode" is set to "on" or "on with no reporting"; ~~but~~

~~1> if none of the Events 1a, 1b or 1c are configured, or:~~

1> if Event 1a is not configured:

- 1> the UE should continuously update the virtual active set to consist of all cells on frequency F_i considered in that inter-frequency measurement, without sending any corresponding measurement report.

If the IE "Intra-frequency measurement reporting criteria" is not stored for that inter-frequency measurement, the UE shall:

- 1> apply the events of type 1a, 1b and 1c that were defined for the used frequency in other stored measurements of type "intra-frequency" at the time the inter-frequency measurement was set up; and
- 1> update the virtual active set for the non-used frequencies considered in that measurement according to the following rules:
 - 2> if several events of type 1a (resp. 1b, 1c) were defined for the used frequency when the inter-frequency measurement was set up, only the first 1a event (resp 1b, 1c) that was defined in the measurement with the lowest measurement identity shall apply to the non-used frequencies;
 - 2> all the cells considered in the inter-frequency measurements shall be able to affect the reporting range for event 1a and 1b. (i.e. the IE "Cells forbidden to affect reporting range" possibly stored for the intra-frequency measurements on the used frequency does not apply to the non-used frequencies considered in the inter-frequency measurement);
 - 2> the IEs "amount of reporting" and "reporting interval" that were stored for the intra-frequency measurements on the used frequency shall not be considered if reports of the virtual active set updates are needed.
- 1> if event 1a is applicable to the non-used frequencies considered in the inter-frequency measurement, when this event is triggered (according to the criteria described in subclause 14.1.2.1) by a cell for a non-used frequency considered in that measurement:
 - 2> if the "Reporting deactivation threshold" is equal to 0, or if the "Reporting deactivation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is less than or equal to the "Reporting deactivation threshold":

- 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> add the primary CPICH that enters the reporting range to the "virtual active set".
- 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:
 - 5> set the Measurement identity to the identity of the inter-frequency measurement;
 - 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;
 - 5> do not include the IEs "measured results" or "additional measured results".
- 1> if event 1b is applicable for the non-used frequencies considered in that inter-frequency measurement, when this event is triggered (according to the criteria described in subclause 14.1.2.2) by a cell for a non-used frequency considered in that measurement:
 - 2> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting" and if the number of cells included in the virtual active set is greater than 1:
 - 3> remove the primary CPICH that leaves the reporting range from the "virtual active set".
 - 2> if the IE "UE autonomous update mode" is set to "on" or "off", send a measurement report with IEs set as below:
 - 3> set the Measurement identity to the identity of the inter-frequency measurement;
 - 3> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1b, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;
 - 3> do not include the IEs "measured results" or "additional measured results".
- 1> if event 1c is applicable for the non-used frequencies considered in that inter-frequency measurement, when this event is triggered (according to the criteria described in subclause 14.1.2.3) by a cell for a non-used frequency considered in that measurement:
 - 2> if the "Reporting activation threshold" is equal to 0, or if the "Reporting activation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is greater than or equal to the "Reporting activation threshold":
 - 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> rank all active and non-active primary CPICHs and take the n best cells to create a new "virtual active set", where n is the number of active primary CPICHs in the "virtual active set".
 - 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:
 - 5> set the Measurement identity to the identity of the inter-frequency measurement.
 - 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1c, and in "Cell measurement event results" include the CPICH info of all the non-active cells which satisfy the event, and the rest of the entries as the cells that were in the virtual active set before the event occurred and that are worse than the best cell that triggered the event, in the order of their measured value (best one first);
 - 5> do not include the IEs "measured results" or "additional measured results".

If the IE "Intra-frequency measurement reporting criteria" is not stored for an inter-frequency measurement, the IE "UE autonomous update mode" is set to "on" or "on with no reporting"; ~~but none of the Events 1a, 1b or 1c are defined for the used frequency in other stored measurements of type "intra frequency" at the time the inter-frequency measurement was set up:~~

1> if Event 1a is not defined for the used frequency in other stored measurements of type "intra-frequency" at the time the inter-frequency measurement was set up:

±2> the UE should continuously update the virtual active set to consist of all cells on frequency F_i considered in that inter-frequency measurement, without sending any corresponding measurement report.

If none of the cells that are considered in the measurement on this frequency were measured, the UE may treat the VAS as empty and follow the appropriate initialisation procedured in 14.11.1 when any relevant cell can first be measured.