RP-020730

Title: CRs (Rel-4 and Rel-5 category A) to TS 25.331 (1).

Source: TSG-RAN WG2

Agenda item: 7.2.4

Doc-1st-	Status-	Spec	CR	Rev	Phase	Subject	Cat	Version	Version
R2-022686	Agreed	25.331	1700	-	R99	Correction of ASN1 IE "InterFreqCellInfoList-r4"	F	4.7.0	4.8.0
R2-022687	Agreed	25.331	1701	-	Rel-4	Correction of ASN1 IE "InterFreqCellInfoList-r4"	Α	5.2.0	5.3.0
R2-022688	Agreed	25.331	1702	-	R99	Correction of Special Burst Scheduling for TDD	F	4.7.0	4.8.0
R2-022689	Agreed	25.331	1703	-	Rel-4	Correction of Special Burst Scheduling for TDD A		5.2.0	5.3.0
R2-022690	Agreed	25.331	1704	-	R99	Correction of measurement reporting event 6f for 1.28 Mcps TDD	F	4.7.0	4.8.0
R2-022691	Agreed	25.331	1705	-	Rel-4	Correction of measurement reporting event 6f for 1.28 Mcps TDD	Α	5.2.0	5.3.0
R2-023168	Agreed	25.331	1780	-	R99	Ciphering during SRNS relocation without reuse of COUNT-C	F	4.7.0	4.8.0
R2-023169	Agreed	25.331	1781	-	Rel-4	Ciphering during SRNS relocation without reuse of COUNT-C	Α	5.2.0	5.3.0
R2-023178	Agreed	25.331	1782	-	R99	Correction to IE "Intra Domain NAS Node Selector"	F	4.7.0	4.8.0
R2-023179	Agreed	25.331	1783	-	Rel-4	Correction to IE "Intra Domain NAS Node Selector"	Α	5.2.0	5.3.0
R2-023180	Agreed	25.331	1784	-	R99	Correction to PRACH selection	F	4.7.0	4.8.0
R2-023181	Agreed	25.331	1785	-	Rel-4	Correction to PRACH selection	Α	5.2.0	5.3.0

## 3GPP TSG-RAN WG2 Meeting #32 Xi'an, China, 23<sup>rd</sup> – 27<sup>th</sup> September 2002

CHANGE REQUEST								
*	25.331 CR	1700	жrev	- 8	Ħ	Current version:	4.7.0	#

FOR <b>MELP</b>	on u	ing this form, see bottom of t	nis page or look at the	pop-up text	over the ж symbols.
Proposed chan	nge a	ffects: UICC apps#	ME X Radio Ac	cess Netwo	rk X Core Network
Title:	$\mathfrak{R}$	Correction of ASN1 IE	"InterFreqCellInfoL	ist-r4"	
			•		
Source:	$\mathbf{x}$	Siemens AG			
Work item code	<b>e</b> :₩	TEI4		Date: ₩	10/09/2002
Category:	$\mathfrak{R}$	F		Release: ₩	Rel-4
		Jse <u>one</u> of the following catego	ries:		the following releases:
		<b>F</b> (correction)		2	(GSM Phase 2)
		A (corresponds to a correct	ction in an earlier release,		(Release 1996)
		<b>B</b> (addition of feature),		R97	(Release 1997)
		C (functional modification	of feature)	R98	(Release 1998)
		D (editorial modification)		R99	(Release 1999)
		Detailed explanations of the abo	ve categories can	Rel-4	(Release 4)
		be found in 3GPP TR 21.900.		Rel-5	(Release 5)
				Rel-6	(Release 6)

In the Rel-4 ASN1 representation of IE "Inter-frequency cell info list" Reason for change: #

("InterFreqCellInfoList-r4") which is used in the Rel-4 Measurement control message, the IE "Cells for Measurement" is missing.

Since this IE is necessary to select cells from the CELL INFO LIST for interfrequeny measurements it should be corrected in ASN1.

Summary of change: ₩

The optional ASN1 IE " CellsForInterFreqMeasList" is added to the ASN1 IE " InterFreqCellInfoList-r4" which is part of the Rel-4 Version of the Measurement control message".

Isolated impact analysis:

Affected Functionality: UE Rel-4 inter-frequency measurements

Correction to a function where specification contained an error. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.

If the UE does not implement this CR:

A UE will not be able to decode Rel-4 Measurement control messages correctly, which contain this IE "Inter-frequency cell info list".

If the UTRAN does not implement this CR:

UTRAN will not be able to send correct Rel-4 Measurement control messages which contain the IE "Inter-frequency cell info list".

If UE and UTRAN do not implement this CR

Rel-4 UTRAN will not be able to select particular cells from Inter-frequency cell

info list for inter-frequency measurements.

34.108:

The current specification contains no references to the concerned functions.

34.123

The current specification contains no references to the concerned functions.

Consequences if not approved:

Rel-4 UTRAN will not be able to select particular cells from the Inter-frequency cell info list for inter-frequency measurements.

Clauses affected:	第 11.3
Other specs affected:	Y N  X Other core specifications   Test specifications   O&M Specifications
Other comments:	ж

## How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 10.3.7.13 Inter-frequency cell info list

Contains the information for the list of measurement objects for an inter-frequency measurement.

Information Element/Group	Need	Multi	Type and	Semantics description
name			reference	
CHOICE Inter-frequency cell removal	OP			
>Remove all inter-frequency cells				No data
>Remove some inter-frequency cells				
>>Removed inter-frequency cells	MP	1 <maxcellm eas&gt;</maxcellm 		
>>>Inter-frequency cell id	MP		Integer(0 <maxcellme as&gt;-1)</maxcellme 	
>No inter-frequency cells removed				No data
New inter-frequency cells	OP	1 to <maxcellm eas&gt;</maxcellm 		
>Inter-frequency cell id	MD		Integer(0 <maxcellme as&gt;-1)</maxcellme 	
>Frequency info	MD		Frequency info 10.3.6.36	Default value is the value of the previous "frequency info" in the list. NOTE: The first occurrence is then MP.
>Cell info	MP		Cell info 10.3.7.2	
Cell for measurement	CV- BCHopt	1 to <maxcellm eas&gt;</maxcellm 		
>Inter-frequency cell id	MP		Integer(0 <maxcellme as&gt;-1)</maxcellme 	

Condition	Explanation				
BCHopt	This IE is not needed when sent in SYSTEM				
	INFORMATION. Otherwise, the IE is Optional				

```
[...]
```

```
InterFreqCellInfoList ::=
                                    SEQUENCE {
                                       RemovedInterFreqCellList
                                                                           OPTIONAL,
   removedInterFreqCellList
   newInterFreqCellList
                                        NewInterFreqCellList
                                                                            OPTIONAL,
   cellsForInterFreqMeasList
                                       CellsForInterFreqMeasList
                                                                            OPTIONAL
InterFreqCellInfoList-r4 ::=
                                    SEQUENCE {
   removedInterFreqCellList
                                        RemovedInterFreqCellList
                                                                            OPTIONAL,
   newInterFreqCellList
                                        NewInterFreqCellList-r4
                                                                            OPTIONAL,
    cellsForInterFreqMeasList
                                        CellsForInterFreqMeasList
                                                                            OPTIONAL
InterFreqCellInfoSI-List-RSCP ::=
                                        SEQUENCE {
   removedInterFreqCellList
                                        RemovedInterFreqCellList
                                                                            OPTIONAL.
                                       NewInterFreqCellSI-List-RSCP
   {\tt newInterFreqCellList}
                                                                            OPTIONAL
```

## 3GPP TSG-RAN WG2 Meeting #32 Xi'an, China, 23<sup>rd</sup> – 27<sup>th</sup> September 2002

CHANGE REQUEST								
*	25.331 CR	1701	ж rev	-	¥	Current version:	5.2.0	#

For <u><b>MELP</b></u> on using this form, see bottom of this page of look at the pop-up text over the # symbols.								
Proposed chang	ge a	<i>ffects:</i> UICC appsℋ ME <mark>X</mark> Rad	dio Ac	cess Networ	k X Core Network			
Title:	$\mathfrak{R}$	Correction of ASN1 IE "InterFreqCell	InfoL	ist-r4"				
		•						
Source:	$\mathfrak{R}$	Siemens AG						
Work item code	<b>:</b>	TEI4		Date: ♯	10/09/2002			
Category:	$\mathfrak{R}$	A		Release: ₩	Rel-5			
		Use one of the following categories:		Use <u>one</u> of	the following releases:			
		F (correction)		2	(GSM Phase 2)			
		A (corresponds to a correction in an earlier re	elease)	) R96	(Release 1996)			
		<b>B</b> (addition of feature),		R97	(Release 1997)			
		C (functional modification of feature)		R98	(Release 1998)			
		<b>D</b> (editorial modification)		R99	(Release 1999)			
		Detailed explanations of the above categories can		Rel-4	(Release 4)			
		be found in 3GPP <u>TR 21.900</u> .		Rel-5	(Release 5)			
				Rel-6	(Release 6)			

In the Rel-4 ASN1 representation of IE "Inter-frequency cell info list" Reason for change: # ("InterFreqCellInfoList-r4") which is used in the Rel-4 Measurement control message, the IE "Cells for Measurement" is missing.

> Since this IE is necessary to select cells from the CELL\_INFO\_LIST for interfrequeny measurements it should be corrected in ASN1.

### Summary of change: ₩

The optional ASN1 IE " CellsForInterFreqMeasList" is added to the ASN1 IE " InterFreqCellInfoList-r4" which is part of the Rel-4 Version of the Measurement control message".

### Isolated impact analysis:

Affected Functionality: UE Rel-4 inter-frequency measurements

Correction to a function where specification contained an error. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.

If the UE does not implement this CR:

A UE will not be able to decode Rel-4 Measurement control messages correctly, which contain this IE "Inter-frequency cell info list".

If the UTRAN does not implement this CR:

UTRAN will not be able to send correct Rel-4 Measurement control messages which contain the IE "Inter-frequency cell info list".

If UE and UTRAN do not implement this CR

Rel-4 UTRAN will not be able to select particular cells from Inter-frequency cell

info list for inter-frequency measurements.

34.108:

The current specification contains no references to the concerned functions.

34.123

The current specification contains no references to the concerned functions.

Consequences if not approved:

Rel-4 UTRAN will not be able to select particular cells from the Inter-frequency cell info list for inter-frequency measurements.

Clauses affected:	第 11.3
Other specs affected:	Y N  X Other core specifications   Test specifications   O&M Specifications
Other comments:	ж

## How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 10.3.7.13 Inter-frequency cell info list

Contains the information for the list of measurement objects for an inter-frequency measurement.

Information Element/Group	Need	Multi	Type and	Semantics description
name			reference	
CHOICE Inter-frequency cell removal	OP			
>Remove all inter-frequency cells				No data
>Remove some inter-frequency cells				
>>Removed inter-frequency cells	MP	1 <maxcellm eas&gt;</maxcellm 		
>>>Inter-frequency cell id	MP		Integer(0 <maxcellme as&gt;-1)</maxcellme 	
>No inter-frequency cells removed				No data
New inter-frequency cells	OP	1 to <maxcellm eas&gt;</maxcellm 		
>Inter-frequency cell id	MD		Integer(0 <maxcellme as&gt;-1)</maxcellme 	
>Frequency info	MD		Frequency info 10.3.6.36	Default value is the value of the previous "frequency info" in the list. NOTE: The first occurrence is then MP.
>Cell info	MP		Cell info 10.3.7.2	
Cell for measurement	CV- BCHopt	1 to <maxcellm eas&gt;</maxcellm 		
>Inter-frequency cell id	MP		Integer(0 <maxcellme as&gt;-1)</maxcellme 	

Condition	Explanation				
BCHopt	This IE is not needed when sent in SYSTEM				
	INFORMATION. Otherwise, the IE is Optional				

```
[...]
```

```
InterFreqCellInfoList ::=
                                    SEQUENCE {
                                       RemovedInterFreqCellList
                                                                           OPTIONAL,
   removedInterFreqCellList
   newInterFreqCellList
                                        NewInterFreqCellList
                                                                            OPTIONAL,
   cellsForInterFreqMeasList
                                       CellsForInterFreqMeasList
                                                                            OPTIONAL
InterFreqCellInfoList-r4 ::=
                                    SEQUENCE {
   removedInterFreqCellList
                                        RemovedInterFreqCellList
                                                                            OPTIONAL,
   newInterFreqCellList
                                        NewInterFreqCellList-r4
                                                                            OPTIONAL,
    cellsForInterFreqMeasList
                                        CellsForInterFreqMeasList
                                                                            OPTIONAL
InterFreqCellInfoSI-List-RSCP ::=
                                        SEQUENCE {
   removedInterFreqCellList
                                        RemovedInterFreqCellList
                                                                            OPTIONAL.
                                       NewInterFreqCellSI-List-RSCP
   {\tt newInterFreqCellList}
                                                                            OPTIONAL
```

(Release 6)

# 3GPP TSG-RAN WG2 Meeting #32 Xi'an, China, 23<sup>rd</sup> – 27<sup>th</sup> September 2002

CHANGE REQUEST								
*	25.331 CR	1702	ж rev	- 3	#	Current version:	4.7.0	<b>*</b>

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed chan	ge i	nffects: UICC apps第 <mark></mark> ME <mark>X</mark> Radio A	ccess Netwo	rk X Core Network
Title:	ж	Correction of Special Burst Scheduling for	or TDD	
		σ σ σ σ σ σ σ σ σ σ σ σ σ σ σ σ σ σ σ		
Source:	ж	Siemens AG		
Work item code	e:#	TEI4	Date: ₩	10/09/2002
Category:	Ж	The state of the s	Release: ₩	Rel-4
		Use <u>one</u> of the following categories:		f the following releases:
		<b>F</b> (correction)	2	(GSM Phase 2)
		A (corresponds to a correction in an earlier releas	e) R96	(Release 1996)
		<b>B</b> (addition of feature),	R97	(Release 1997)
		C (functional modification of feature)	R98	(Release 1998)
		<b>D</b> (editorial modification)	R99	(Release 1999)
		Detailed explanations of the above categories can	Rel-4	(Release 4)
1		be found in 3GPP TR 21,900.	Rel-5	(Release 5)

Reason for change: # Discontinous Transmission (DTX) is used in 3.84 Mcps and 1.28 Mcps TDD (TS

25.224 clause 4.5 and 5.4). A Special Burst Period Scheduling/Generation parameter is used for this and is signalled to UE within the "Uplink physical channel control" message.

From the tabular of the current specification, it is only possible to signal this parameter for 3.84 Mcps TDD and in the Rel-4 ASN1 implementation this parameter is missing at all. Therefor it is not possible to signal this parameter correctly.

Summary of change: # Clause 10.2.59

The IE "Special Burst Scheduling" is moved in tabluar, so it can be used for both TDD options.

#### **ASN1 Implementation:**

The IE "specialBurstScheduling" is inserted into the UplinkPhysicalChannelControl-r4-IEs accordingly to the proposed tabular correction.

#### Isolated impact analysis:

Affected Functionality: Rel-4 Implemention of DTX signalling (TDD only)

Correction to a function where specification contained an error. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.

If the UE does not implement this CR:

A UE will not be able to perform DTX correctly, because an essential parameter could not be signalled.

If the UTRAN does not implement this CR:

UTRAN will not be able to confirgure DTX correctly with Rel-4 Uplink physical channel control messages.

If UE and UTRAN do not implement this CR:

DTX for TDD could not be configured correctly within Rel-4.

34.108:

The current specification contains no references to the concerned functions.

34.123

The current specification contains no references to the concerned functions.

Consequences if not approved:

**Rel-4 UTRAN will not be able to configure DTX for TDD correctly.** 

Clauses affected:	<b>第 10.2.59, 11.3</b>
Other specs affected:	Y N  X Other core specifications   Test specifications   O&M Specifications
Other comments:	<b>x</b>

#### How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked \$\mathbb{X}\$ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 10.2.59 UPLINK PHYSICAL CHANNEL CONTROL

NOTE: Only for TDD.

This message is used to transfer uplink physical channel parameters to the UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
Message Type	MP		Message Type		
UE information elements			•		
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	OP		Integrity check info 10.3.3.16		
PhyCH information elements					
CCTrCH power control info	OP		CCTrCH power control info 10.3.6.8	Power control information for one CCTrCH	
Special Burst Scheduling	<u>OP</u>		Special Burst Scheduling 10.3.6.75a	UL Special Burst generation period in radio frames	
CHOICE TDD option	MP				REL-4
>3.84 Mcps TDD					REL-4
>>Alpha	OP		Alpha 10.3.6.5		
>>Special Burst Scheduling	<del>OP</del>		Special Burst Scheduling 10.3.6.75a	UL Special Burst generation period in radio frames	
>>Timing Advance Control	OP		UL Timing Advance Control 10.3.6.96		
>>PRACH Constant Value	OP		Constant value TDD 10.3.6.11a	Operator controlled PRACH Margin	
>>PUSCH Constant Value	OP		Constant value TDD 10.3.6.11a	Operator controlled PUSCH Margin	
>>UE positioning related parameters	CV-IPDLs				REL-4
>>>IPDL-Alpha	MP		Alpha 10.3.6.5		REL-4
>>>Max power increase	MP		Integer (03)	In dB	REL-4
>1.28 Mcps TDD					REL-4
>>Uplink synchronisation parameters	MD			Default: Uplink synchronisation step size 1. Uplink synchronisation	REL-4

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
				frequency 1.	
>>>Uplink synchronisation step size	MP		Integer(18)	This parameter specifies the step size to be used for the adjustment of the uplink transmission timing	REL-4
>>>Uplink synchronisation frequency	MP		Integer(18)	This parameter specifies the frequency of the adjustment of the uplink transmission timing	REL-4

Condition	Explanation
IPDLs	This IE is present only if idle periods are applied

```
[...]
UplinkPhysicalChannelControl-r3-IEs ::= SEQUENCE {
    -- User equipment IEs
rrc-TransactionIdentifier
                                       RRC-TransactionIdentifier,
    -- Physical channel IEs
        ccTrCH-PowerControlInfo
                                        CCTrCH-PowerControlInfo
                                                                             OPTIONAL,
                                        UL-TimingAdvanceControl
        timingAdvance
                                                                             OPTIONAL,
                                                                             OPTIONAL,
        alpha
                                        Alpha
        specialBurstScheduling
                                        SpecialBurstScheduling
                                                                             OPTIONAL,
        prach-ConstantValue
                                        ConstantValueTdd
                                                                             OPTIONAL,
        pusch-ConstantValue
                                        ConstantValueTdd
                                                                             OPTIONAL
}
UplinkPhysicalChannelControl-v4xyext-IEs ::= SEQUENCE {
    -- In case of TDD, openLoopPowerControl-IPDL-TDD is included instead of IE
    -- up-IPDL-Parameters in up-OTDOA-AssistanceData
    openLoopPowerControl-IPDL-TDD OpenLoopPowerControl-IPDL-TDD-r4 OPTIONAL
UplinkPhysicalChannelControl-r4-IEs ::= SEQUENCE {
    -- Physical channel IEs
        ccTrCH-PowerControlInfo
                                        CCTrCH-PowerControlInfo-r4
                                                                             OPTIONAL,
       specialBurstScheduling
                                        SpecialBurstScheduling
                                                                             OPTIONAL,
        tddOption
                                        CHOICE {
                                            SEQUENCE {
            tdd384
                timingAdvance
                                                UL-TimingAdvanceControl-r4 OPTIONAL,
                alpha
                                                Alpha
                                                                             OPTIONAL,
                                                ConstantValueTdd
                prach-ConstantValue
                                                                             OPTIONAL,
                pusch-ConstantValue
                                                ConstantValueTdd
                                                                             OPTIONAL,
                openLoopPowerControl-IPDL-TDD OpenLoopPowerControl-IPDL-TDD-r4
                                                                                    OPTIONAL
            tdd128
                                            SEQUENCE {
                ul-SynchronisationParameters
                                               UL-SynchronisationParameters-r4 OPTIONAL
        }
```

## 3GPP TSG-RAN WG2 Meeting #32 Xi'an, China, 23<sup>rd</sup> – 27<sup>th</sup> September 2002

CHANGE REQUEST							
*	25.331	CR <mark>1703</mark>	<b>≋ rev</b>	<b>-</b> #	Current version:	5.2.0	Ħ

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Proposed chang	ge a	<b>affects:</b> UICC apps <b>⋇</b> ME <mark>X</mark> Ra	adio Ac	cess Networ	k X Core Network
Title:	ж	Correction of Special Burst Scheduli	ina for	· TDD	
			9 -		
Source:	ж	Siemens AG			
Work item code	<b>:</b> #	TEI4		Date: ₩	10/09/2002
Category:	$\mathfrak{R}$	A		Release: ₩	Rel-5
		Use one of the following categories:			the following releases:
		<b>F</b> (correction)		2	(GSM Phase 2)
		A (corresponds to a correction in an earlier	release)		(Release 1996)
		<b>B</b> (addition of feature),		R97	(Release 1997)
		<b>C</b> (functional modification of feature)		R98	(Release 1998)
		<b>D</b> (editorial modification)		R99	(Release 1999)
		Detailed explanations of the above categories car	n	Rel-4	(Release 4)
		be found in 3GPP <u>TR 21.900</u> .		Rel-5	(Release 5)
				Rel-6	(Release 6)

Reason for change: # Discontinous Transmission (DTX) is used in 3.84 Mcps and 1.28 Mcps TDD (TS

25.224 clause 4.5 and 5.4). A Special Burst Period Scheduling/Generation parameter is used for this and is signalled to UE within the "Uplink physical channel control" message.

From the tabular of the current specification, it is only possible to signal this parameter for 3.84 Mcps TDD and in the Rel-4 ASN1 implementation this parameter is missing at all. Therefor it is not possible to signal this parameter correctly.

## Summary of change: # Clause 10.2.59

The IE "Special Burst Scheduling" is moved in tabluar, so it can be used for both TDD options.

#### **ASN1 Implementation:**

The IE "specialBurstScheduling" is inserted into the UplinkPhysicalChannelControl-r4-IEs accordingly to the proposed tabular correction.

#### Isolated impact analysis:

Affected Functionality: Rel-4 Implemention of DTX signalling (TDD only)

Correction to a function where specification contained an error. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.

If the UE does not implement this CR:

A UE will not be able to perform DTX correctly, because an essential parameter could not be signalled.

If the UTRAN does not implement this CR:

UTRAN will not be able to confirgure DTX correctly with Rel-4 Uplink physical channel control messages.

If UE and UTRAN do not implement this CR:

DTX for TDD could not be configured correctly within Rel-4.

34.108:

The current specification contains no references to the concerned functions.

34.123

The current specification contains no references to the concerned functions.

Consequences if not approved:

**Rel-4 UTRAN will not be able to configure DTX for TDD correctly.** 

Clauses affected:	<b>第 10.2.59, 11.3</b>
Other specs affected:	Y N  X Other core specifications   Test specifications   O&M Specifications
Other comments:	<b>x</b>

#### How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked \$\mathbb{X}\$ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 10.2.59 UPLINK PHYSICAL CHANNEL CONTROL

NOTE: Only for TDD.

This message is used to transfer uplink physical channel parameters to the UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
Message Type	MP		Message Type		
UE information elements			71		
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	OP		Integrity check info 10.3.3.16		
PhyCH information elements					
CCTrCH power control info	OP		CCTrCH power control info 10.3.6.8	Power control information for one CCTrCH	
Special Burst Scheduling	<u>OP</u>		Special Burst Scheduling 10.3.6.75a	UL Special Burst generation period in radio frames	
CHOICE TDD option	MP				REL-4
>3.84 Mcps TDD					REL-4
>>Alpha	OP		Alpha 10.3.6.5		
>>Special Burst Scheduling	<del>OP</del>		Special Burst Scheduling 10.3.6.75a	UL Special Burst generation period in radio frames	
>>Timing Advance Control	OP		UL Timing Advance Control 10.3.6.96		
>>PRACH Constant Value	OP		Constant value TDD 10.3.6.11a	Operator controlled PRACH Margin	
>>PUSCH Constant Value	OP		Constant value TDD 10.3.6.11a	Operator controlled PUSCH Margin	
>>UE positioning related parameters	CV-IPDLs				REL-4
>>>IPDL-Alpha	MP		Alpha 10.3.6.5		REL-4
>>>Max power increase	MP		Integer (03)	In dB	REL-4
>1.28 Mcps TDD					REL-4
>>Uplink synchronisation parameters	MD			Default: Uplink synchronisation step size 1. Uplink synchronisation	REL-4

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
				frequency 1.	
>>>Uplink synchronisation step size	MP		Integer(18)	This parameter specifies the step size to be used for the adjustment of the uplink transmission timing	REL-4
>>>Uplink synchronisation frequency	MP		Integer(18)	This parameter specifies the frequency of the adjustment of the uplink transmission timing	REL-4

Condition	Explanation
IPDLs	This IE is present only if idle periods are applied

```
[...]
UplinkPhysicalChannelControl-r3-IEs ::= SEQUENCE {
    -- User equipment IEs
rrc-TransactionIdentifier
                                       RRC-TransactionIdentifier,
    -- Physical channel IEs
        ccTrCH-PowerControlInfo
                                        CCTrCH-PowerControlInfo
                                                                             OPTIONAL,
                                        UL-TimingAdvanceControl
        timingAdvance
                                                                             OPTIONAL,
                                                                             OPTIONAL,
        alpha
                                        Alpha
        specialBurstScheduling
                                        SpecialBurstScheduling
                                                                             OPTIONAL,
        prach-ConstantValue
                                        ConstantValueTdd
                                                                             OPTIONAL,
        pusch-ConstantValue
                                        ConstantValueTdd
                                                                             OPTIONAL
}
UplinkPhysicalChannelControl-v4xyext-IEs ::= SEQUENCE {
    -- In case of TDD, openLoopPowerControl-IPDL-TDD is included instead of IE
    -- up-IPDL-Parameters in up-OTDOA-AssistanceData
    openLoopPowerControl-IPDL-TDD OpenLoopPowerControl-IPDL-TDD-r4 OPTIONAL
UplinkPhysicalChannelControl-r4-IEs ::= SEQUENCE {
    -- Physical channel IEs
        ccTrCH-PowerControlInfo
                                        CCTrCH-PowerControlInfo-r4
                                                                             OPTIONAL,
       specialBurstScheduling
                                        SpecialBurstScheduling
                                                                             OPTIONAL,
        tddOption
                                        CHOICE {
                                            SEQUENCE {
            tdd384
                timingAdvance
                                                UL-TimingAdvanceControl-r4 OPTIONAL,
                alpha
                                                Alpha
                                                                             OPTIONAL,
                                                ConstantValueTdd
                prach-ConstantValue
                                                                             OPTIONAL,
                pusch-ConstantValue
                                                ConstantValueTdd
                                                                             OPTIONAL,
                openLoopPowerControl-IPDL-TDD OpenLoopPowerControl-IPDL-TDD-r4
                                                                                    OPTIONAL
            tdd128
                                            SEQUENCE {
                ul-SynchronisationParameters
                                               UL-SynchronisationParameters-r4 OPTIONAL
        }
```

## 3GPP TSG-RAN WG2 Meeting #32 Xi'an, China, 23<sup>rd</sup> – 27<sup>th</sup> September 2002

CHANGE REQUEST								CR-Form-v7
*	25.331 CR	1704	<b>⊭ rev</b>	-	¥	Current version:	4.7.0	#

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **%** symbols.

Title:    Correction of measurement reporting event 6f for 1.28 Mcps TDD  Source:    Siemens AG  Work item code:    LCRTDD-L23	Proposed chan	ge (	affects: UICC apps第 <mark>    ME X</mark> Radio Acce	ess Netwo	rk X Core Network
Work item code:  LCRTDD-L23  Date:  10/09/2002  Release:  Rel-4  Use one of the following categories:	Title:	ж	Correction of measurement reporting event	t 6f for 1.:	28 Mcps TDD
Work item code:  LCRTDD-L23  Date:  10/09/2002  Release:  Rel-4  Use one of the following categories: Use one of the following releases: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) P (Release 1998) D (editorial modification)  R90 R90 Release 1999)			, ,		•
Category:  # F Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification)  Release: # Rel-4 Use one of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999)	Source:	$\mathfrak{R}$	Siemens AG		
Category:  # F Use one of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification)  Release: # Rel-4 Use one of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999)					
Use one of the following categories:  F (correction)  A (corresponds to a correction in an earlier release)  B (addition of feature),  C (functional modification)  Use one of the following releases:  2 (GSM Phase 2)  R96 (Release 1996)  R97 (Release 1997)  R98 (Release 1998)  R99 (Release 1999)	Work item code	e: #	LCRTDD-L23	Date: ₩	10/09/2002
Use one of the following categories:  F (correction)  A (corresponds to a correction in an earlier release)  B (addition of feature),  C (functional modification)  Use one of the following releases:  2 (GSM Phase 2)  R96 (Release 1996)  R97 (Release 1997)  R98 (Release 1998)  R99 (Release 1999)	Category:	ж	F	Release: #	Rel-4
F (correction)2(GSM Phase 2)A (corresponds to a correction in an earlier release)R96 (Release 1996)B (addition of feature),R97 (Release 1997)C (functional modification of feature)R98 (Release 1998)D (editorial modification)R99 (Release 1999)					
B (addition of feature),R97 (Release 1997)C (functional modification of feature)R98 (Release 1998)D (editorial modification)R99 (Release 1999)			F (correction)		
C (functional modification of feature) R98 (Release 1998) D (editorial modification) R99 (Release 1999)			A (corresponds to a correction in an earlier release)	R96	(Release 1996)
<b>D</b> (editorial modification) R99 (Release 1999)				_	,
· · · · · · · · · · · · · · · · · · ·					•
Detailed explanations of the above categories can Rel-4 (Release 4)			· · · · · · · · · · · · · · · · · · ·		,
			Detailed explanations of the above categories can		(Release 4)
be found in 3GPP <u>TR 21.900</u> .			be found in 3GPP <u>IR 21.900</u> .		•

## Reason for change: # The current description of UE internal measurement reporting event 6f for 1.28 Mcps TDD does not completely describe the edge triggered behaviour of 6f

Mcps TDD does not completely describe the edge triggered behaviour of 6f events and the reporting functionality:

It is not clearly described the behaviour in presence of a time to trigger, which demands that the trigger condition should be kept for some time.

The expression "whenever changes more" for event evaluation does not cover an exact mathematical description and should changed to "if the absolute value of the difference is greater than"

## Summary of change: ₩

The current description of UE internal measurement reporting events (6f) is interpreted to have an edge triggered behaviour.

For this event, the variable TRIGGERED\_6f\_EVENT is modified to store the T<sub>ADV</sub> which is used for event evaluation

The expression "whenever changes more" is changed to:

"if the absolute value of the difference is greater than"

#### Isolated impact analysis:

Affected Functionality: UE internal measurements reporting events

Correction to a function where specification was ambiguous/not sufficiently explicit/missing procedural text or rules/containing some contradiction. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.

If the UE does not implement this CR:

The edge triggered behaviour might not be implemented correctly and there

may be more or less reports than expected by UTRAN.

If the UTRAN does not implement this CR:

The edge triggered behaviour might not be assumed correctly and there may be more or less reports than expected.

34.108:

The current specification contains no references to the concerned functions.

34.123:

The current state of the specification reflects the behaviour according to the proposed description.

Consequences if not approved:

The evaluation of 6f event and reporting is not completely described for 1.28 Mcps TDD. The edge triggered behaviour might not be implemented correctly and there may be more or less reports than expected by UTRAN.

Clauses affected:	策 13.4.27f, 14.6.2.6a
Other specs affected:	Y N  X Other core specifications
Other comments:	<b>x</b>

#### How to create CRs using this form:

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 13.4.27f19 TRIGGERED\_6F\_EVENT

This variable contains information about a 6f event that has been configured in the UE. There is one such variable per 6f event configured in the UE.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE mode				
> FDD				
>> Event triggered_RL	OP	<maxrl></maxrl>	Boolean	
> 1.28 Mcps TDD				
>> <u>T</u> ADV	<u>MP</u>		TADV info	
			10.3.7.112	

# 14.6.2.6a Reporting event 6F (1.28 Mcps TDD): The time difference indicated by T<sub>ADV</sub> becomes larger than an absolute threshold

When this event is ordered by UTRAN in a MEASUREMENT CONTROL message, the UE shall send a MEASUREMENT REPORT message whenever the  $T_{ADV}$  changes compared to the last reported value more than a predefined threshold as configured with IE "  $T_{ADV}$ -Threshold".

The UE shall set the IE "T<sub>ADV</sub>" to the measured value and the IE "SFN" to the SFN during which the measurement was performed in the IE "T<sub>ADV</sub> Info".

When an UE internal measurement configuring event 6f is set up, the UE shall:

- 1> create a variable TRIGGERED 6F EVENT related to that measurement, which shall initially be set to the currently measured T<sub>ADV</sub>;
- 1> delete this variable when the measurement is released.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> if the absolute value of the difference between the measured T<sub>ADV</sub> and the T<sub>ADV</sub> stored in variable

  TRIGGERED 6F EVENT is greater than the predefined threshold configured with IE "T<sub>ADV</sub> Threshold" for
  this event in the variable MEASUREMENT IDENTITY for a time period indicated by the IE
  "time\_to\_trigger":
  - 2> set the variable TRIGGERED\_6F\_EVENT to the currently measured T<sub>ADV</sub>;
  - 2> send a measurement report with IEs set as below:
    - 3> set the IE "T<sub>ADV</sub>" to the measured value and the IE "SFN" to the SFN during which the latest measurement was performed in the IE "T<sub>ADV</sub> Info";
    - 3> set the IE "measured results" and the IE "additional measured results" according to 8.4.2.

## 3GPP TSG-RAN WG2 Meeting #32 Xi'an, China, 23<sup>rd</sup> – 27<sup>th</sup> September 2002

CHANGE REQUEST								
*	25.331 CR	1705	жrev	_ 8	Ħ.	Current version:	5.2.0	#

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the \$\mathbb{X}\$ symbols.

Proposed chang	ge a	nffects:	UICC apps#	ME X Radio	Acce	ess Netwo	rk X Core Ne	etwork
Title:	Ж	Correct	tion of measure	ement reporting ev	vent	6f for 1.2	28 Mcps TDI	)
				, J			•	
Source:	Ж	Siemens	AG					
14/	0.0	LODEDO	N I 00			D-4- 00	40/00/0000	
Work item code	e; æ	LCRTDD	)-L23			Date: ℜ	10/09/2002	
Category:	æ	Α			R	elease: ૠ	Rel-5	
			f the following categ	ories:	(		the following rele	eases:
		•	rrection)			2	(GSM Phase 2)	
		•	•	ection in an earlier relea	ase)	R96	(Release 1996)	
		•	ldition of feature),	• • • •		R97	(Release 1997)	
		•	nctional modification	of teature)		R98	(Release 1998)	
			litorial modification)			R99	(Release 1999)	
			•	ove categories can		Rel-4	(Release 4)	
		be found in	3GPP <u>TR 21.900</u> .			Rel-5	(Release 5)	
						Rel-6	(Release 6)	

#### The current description of UE internal measurement reporting event 6f for 1.28 Reason for change: #

Mcps TDD does not completely describe the edge triggered behaviour of 6f events and the reporting functionality:

It is not clearly described the behaviour in presence of a time to trigger, which demands that the trigger condition should be kept for some time.

The expression "whenever changes more" for event evaluation does not cover an exact mathematical description and should changed to "if the absolute value of the difference is greater than"

## Summary of change: ₩

The current description of UE internal measurement reporting events (6f) is interpreted to have an edge triggered behaviour.

For this event, the variable TRIGGERED\_6f\_EVENT is modified to store the TADV which is used for event evaluation

The expression "whenever changes more" is changed to:

"if the absolute value of the difference is greater than"

#### Isolated impact analysis:

Affected Functionality: UE internal measurements reporting events

Correction to a function where specification was ambiguous/not sufficiently explicit/missing procedural text or rules/containing some contradiction. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.

If the UE does not implement this CR:

The edge triggered behaviour might not be implemented correctly and there

may be more or less reports than expected by UTRAN.

If the UTRAN does not implement this CR:

The edge triggered behaviour might not be assumed correctly and there may be more or less reports than expected.

34.108:

The current specification contains no references to the concerned functions.

34.123:

The current state of the specification reflects the behaviour according to the proposed description.

Consequences if not approved:

The evaluation of 6f event and reporting is not completely described for 1.28 Mcps TDD. The edge triggered behaviour might not be implemented correctly and there may be more or less reports than expected by UTRAN.

Clauses affected:	策 13.4.27f, 14.6.2.6a
Other specs affected:	Y N  X Other core specifications
Other comments:	<b>x</b>

#### How to create CRs using this form:

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 13.4.27f19 TRIGGERED\_6F\_EVENT

This variable contains information about a 6f event that has been configured in the UE. There is one such variable per 6f event configured in the UE.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE mode				
> FDD				
>> Event triggered_RL	OP	<maxrl></maxrl>	Boolean	
> 1.28 Mcps TDD				
>> <u>T</u> ADV	<u>MP</u>		TADV info	
			10.3.7.112	

# 14.6.2.6a Reporting event 6F (1.28 Mcps TDD): The time difference indicated by T<sub>ADV</sub> becomes larger than an absolute threshold

When this event is ordered by UTRAN in a MEASUREMENT CONTROL message, the UE shall send a MEASUREMENT REPORT message whenever the  $T_{ADV}$  changes compared to the last reported value more than a predefined threshold as configured with IE "  $T_{ADV}$ -Threshold".

The UE shall set the IE "T<sub>ADV</sub>" to the measured value and the IE "SFN" to the SFN during which the measurement was performed in the IE "T<sub>ADV</sub> Info".

When an UE internal measurement configuring event 6f is set up, the UE shall:

- 1> create a variable TRIGGERED 6F EVENT related to that measurement, which shall initially be set to the currently measured T<sub>ADV</sub>;
- 1> delete this variable when the measurement is released.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

- 1> if the absolute value of the difference between the measured T<sub>ADV</sub> and the T<sub>ADV</sub> stored in variable

  TRIGGERED 6F EVENT is greater than the predefined threshold configured with IE "T<sub>ADV</sub> Threshold" for
  this event in the variable MEASUREMENT IDENTITY for a time period indicated by the IE
  "time\_to\_trigger":
  - 2> set the variable TRIGGERED\_6F\_EVENT to the currently measured T<sub>ADV</sub>;
  - 2> send a measurement report with IEs set as below:
    - 3> set the IE "T<sub>ADV</sub>" to the measured value and the IE "SFN" to the SFN during which the latest measurement was performed in the IE "T<sub>ADV</sub> Info";
    - 3> set the IE "measured results" and the IE "additional measured results" according to 8.4.2.

## 3GPP TSG-RAN2 Meeting #33 Sophia Antipolis, France, 12<sup>th</sup>-15<sup>th</sup> November 2002

be found in 3GPP TR 21.900.

	-	•	-											
			(	CHANG	ERE	ΕQI	UE	ST	1				С	R-Form-v7
*		25.331	CR	1780	⊭ re	€V	-	¥	Curr	ent ve	ersion:	4.7.	0 8	K
For <u>HEL</u>	<u>.P</u> on u	sing this fo	rm, see	bottom of th	nis page	e or l	ook a	at the	э рор	-up te	ext ove	r the ૠ ઙ	syml	ools.
Proposed c	hange a	affects:	UICC a	pps#	MF	= <b>X</b>	Rad	dio A	ccess	: Netw	ork X	Core	Netv	work
11000000	nango (		0.00 a	pp000			1100			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	- CIT (	00.0		
7'41-	0.0	Oin le a nin		ODNO	4!	.!41			4 00	LINIT	_			
Title:	$\mathfrak{H}$	Cipnering	g during	SRNS reloc	cation v	vitrio	ut re	use (		UN I -	C			
Source:	ж	Nortel No	otworks											
Source.	т.	MOREIN	SIWUIKS											
Work item o	eode: #	TEI								Date:	<b></b> 32	Nov 20	02	
	, <b>G u G</b> , 44								-	- 4101			-	
Category:	$\mathbf{z}$	F							Rele	ease:	₩ R	el-4		
<b>.</b> .		F (co. A (co B (ao C (fui D (eo	rrection) rrespond dition of nctional i litorial me	wing categori ds to a correct feature), modification o odification) ns of the aboy	tion in ar	e)		elease	e)	e <u>one</u> 2 R96 R97 R98 R99 Rel-4	(GS (Rei (Rei (Rei	following I M Phase lease 199 lease 199 lease 199 lease 4)	2) 16) 17) 18)	ses:

Reason for change: # During RAN2#32, Nortel proposed, for Rel-4, an alternative handling of ciphering of RB using RLC-TM during SRNS relocation in order to avoid the reused of COUNT-C values (R2-022550). It was decided to send an LS to SA3 asking their view on this (R2-022684).

In their reply to RAN2, SA3 affirms that 'reuse of the COUNT-C values in this situation is a security problem that needs correction in releases beyond R99'. SA3 has also indicated the proposal in R2-022550 was solving the problem and in line with their principles.

Rel-5

Rel-6

(Release 5)

(Release 6)

Therefore this CR is based on the on proposal presented during RAN2#32:

If it wants to avoid the reused of an old START value during the gap, the Target RNC should include the IE "MAC-d HFN initial value" in the message that will trigger the handover. The UE shall then use this value to initialised the COUNT-C for the TM RB similarly to R99. The HFN shall not be incremented during the gap.

The Target RNC should chose the "MAC-d HFN initial valu" by evaluating the current COUNT-C of the TM bearers included in the Source to Target "SRNS RELOCATION INFO" and taking some margin to prevent for possible CFN wrap around, i.e. (24 MSB of the COUNT-C) +x.

**Summary of change:** \*\* An optional IE "MAC-d HFN initial value" has been added in the IE "Downlink DPCH info common for all RL".

If the Target RNC want to use the new method, it shall include it in the message that will trigger the handover.

Rel-4 UE have to support the new method. They will know which method the UTRAN wants to used by the presence or absence of the IE "MAC-d HFN initial value".

Consequences if # not approved:	COUNT-C of RB using RLC-TM will be reused during SRNS relocation in Rel-4, which is contradictory with SA3 principles.
---------------------------------	--

Clauses affected:	第 8.6.6.28, 10.3.6.18, 11.3
Other specs affected:	X Other core specifications X Test specifications O&M Specifications
Other comments:	lpha

## How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.6.6.28 Downlink DPCH info common for all radio links

If the IE "Downlink DPCH info common for all RL" is included the UE shall:

- 1> if the IE "Downlink DPCH info common for all RL" is included in a message used to perform a hard handover:
  - 2> perform actions for the IE "Timing indication" as specified in subclause 8.5.15.2, and subclause 8.3.5.1 or 8.3.5.2.
- 1> ignore the value received in IE "CFN-targetSFN frame offset";
- 1> if the IE "Downlink DPCH power control information" is included:
  - 2> perform actions for the IE "DPC Mode" according to [29].
- 1> if the IE choice "mode" is set to 'FDD':
  - 2> if the IE "Downlink rate matching restriction information" is included:
    - 3> set the variable INVALID\_CONFIGURATION to TRUE.
  - 2> perform actions for the IE "spreading factor";
  - 2> perform actions for the IE "Fixed or Flexible position";
  - 2> perform actions for the IE "TFCI existence";
  - 2> if the IE choice "SF" is set to 256:
    - 3> store the value of the IE "Number of bits for pilot bits".
  - 2> if the IE choice "SF" set to 128:
    - 3> store the value of the IE "Number of bits for pilot bits".
- 1> if the IE choice "mode" is set to 'TDD':
  - 2> perform actions for the IE "Common timeslot info".

If the IE "Downlink DPCH info common for all RL" is included in a message used to perform a Timing re-initialised hard handover or the IE "Downlink DPCH info common for all RL" is included in a message other than RB SETUP used to transfer the UE from a state different from Cell\_DCH to Cell\_DCH, and ciphering is active for any radio bearer using RLC-TM, the UE shall, after having activated the dedicated physical channels indicated by that IE:

- 1> if the IE "MAC-d HFN initial value" is included in the IE "Downlink DPCH info common for all RL":
  - 2> set the HFN component of COUNT-C for TM-RLC to the value of the IE "MAC-d HFN initial value", while not incrementing the value of the HFN component of COUNT-C at each CFN cycle;
  - NOTE: The UTRAN should choose a value for the IE "MAC-d HFN initial value" using the COUNT-C value of the RBs using RLC-TM indicated by the Source RNC to the Target RNC in the IE "SRNS Relocation Info" and taking some margin in such a way that no values of COUNT-C are repeated after the handover.

#### 1> else:

- 24> set the 20 MSB of the HFN component of COUNT-C for TM-RLC to the value of the latest transmitted IE "START" or "START List" for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
- 24> set the remaining LSBs of the HFN component of COUNT-C to zero;
- 1> start to perform ciphering on the radio bearer in lower layers while not incrementing the HFN;
- 1> include the IE "COUNT-C activation time" in the response message and specify a CFN value other than the default, "Now" for this IE;

- 1> calculate the START value according to subclause 8.5.9;
- 1> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the response message;
- 1> at the CFN value as indicated in the response message in the IE "COUNT-C activation time":
  - 2> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
  - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
  - 2> increment the HFN component of the COUNT-C variable by one;
  - 2> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
  - 2> step the COUNT-C variable, as normal, at each CFN value, i.e. the HFN component is no longer fixed in value but incremented at each CFN cycle.

## 10.3.6.18 Downlink DPCH info common for all RL

Information	Need	Multi	Type and	Semantics description	Version
Element/Group name	11000		reference	<b>P</b>	
Timing Indication	MP		Enumerat	NOTE	
			ed(Initialis		
			e,		
			Maintain)		
CFN-targetSFN frame	CV-		Integer(0	In frame	
offset	TimInd		255)		
Downlink DPCH power	OP		Downlink		
control information			DPCH		
			power control		
			informatio		
			n		
			10.3.6.23		
MAC-d HFN initial value	OPCV-		Bit		REL-4
	Messag		string(24)		<u></u>
	e				
CHOICE mode	MP				
>FDD					
>>Power offset P Pilot-	MP		Integer(0	Power offset equals	
DPDCH			24)	P <sub>Pilot</sub> - P <sub>DPDCH</sub> , range	
				06 dB, in steps of 0.25	
				dB	
>>Downlink rate	OP		Downlink	If this IE is set to	
matching restriction			rate	"absent", no Transport	
information			matching	CH is restricted in TFI.	
			restriction		
			informatio		
			n 10.3.6.31		
>>Spreading factor	MP		Integer(4,		
223preading factor	IVII		8, 16, 32,		
			64, 128,		
			256, 512)		
>>Fixed or Flexible	MP		Enumerat		
Position			ed (Fixed,		
			Flexible)		
>>TFCI existence	MP		Boolean	TRUE indicates that	
				TFCI is used. When	
				spreading factor is less	

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
				than or equal to 64, FALSE indicates that TFCI is not used and therefore DTX is used in the TFCI field.	
>>CHOICE SF	MP				
>>>SF = 256					
>>>Number of bits for Pilot bits	MP		Integer (2,4,8)	In bits	
>>>SF = 128					
>>>Number of bits for Pilot bits	MP		Integer(4, 8)	In bits	
>>>Otherwise				(no data). In ASN.1 choice "Otherwise" is not explicitly available as all values are available, it is implied by the use of any value other than 128 or 256.	
>TDD				(no data)	

CHOICE SF	Condition under which the given SF is chosen
SF=128	"Spreading factor" is set to 128
SF=256	"Spreading factor" is set to 256
Otherwise	"Spreading factor" is set to a value distinct from 128
	and 256

Condition	Explanation
TimInd	This IE is optional if the IE "Timing Indication" is set to
	"Initialise". Otherwise it is not needed.
<u>Message</u>	This IE is not needed if the IE "Downlink DPCH info
	common for all RL" is included in RRC CONNECTION
	SETUP or HANDOVER TO UTRAN COMMAND
	messages. Otherwise it is optional.

 $NOTE: \quad Within the \ HANDOVER \ TO \ UTRAN \ COMMAND \ message, only \ value \ "initialise" \ is \ applicable.$ 

## 11.3 Information element definitions

```
RADIO BEARER INFORMATION ELEMENTS (10.3.4)
__ ***************
LogicalChannelIdentity ::=
                                 INTEGER (1..15)
LosslessSRNS-RelocSupport ::=
                                CHOICE {
                                 MaxPDCP-SN-WindowSize,
   supported
   notSupported
                                     NULL
}
MAC-d-HFN-initial-value::= BIT STRING (SIZE (24))
MAC-LogicalChannelPriority ::=
                                 INTEGER (1..8)
MaxDAT ::=
                                  ENUMERATED {
                                     dat1, dat2, dat3, dat4, dat5, dat6,
                                      dat7, dat8, dat9, dat10, dat15, dat20,
                                     dat25, dat30, dat35, dat40 }
__ ***************
      PHYSICAL CHANNEL INFORMATION ELEMENTS (10.3.6)
**********
DL-CommonInformation-r4 ::= SEQUENCE {
    dl-DPCH-InfoCommon DL-DPCH-InfoCommon_r4 OPTIONAL,
    modeSpecificInfo CHOICE {
        fdd SEQUENCE {
           },
        tdd
                                         SEQUENCE {
           tddOption
                                         CHOICE {
               tdd384
                                                NULL,
                                                 SEQUENCE {
               t.dd128
                  tstd-Indicator
                                                    BOOLEAN
           defaultDPCH-OffsetValue DefaultDPCH-OffsetValueTDD OPTIONAL
   }
}
DL-DPCH-InfoCommon ::=
                                  SEQUENCE {
   cfnHandling
                                     CHOICE {
                                      NULL,
       maintain
       initialise
                                        SEQUENCE {
                                             Cfntargetsfnframeoffset OPTIONAL
           cfntargetsfnframeoffset
       }
                                     CHOICE {
    modeSpecificInfo
           SEQUENCE {

dl-DPCH-PowerControlInfo DL-DPCH-PowerControlInfo OPTIONAL,
powerOffsetPilot-pdpdch PowerOffsetPilot-pdpdch,
dl-rate-matching-restriction Dl-rate-matching-restriction OPTIONAL,
           -- TABULAR: The number of pilot bits is nested inside the spreading factor.
```

```
spreadingFactorAndPilot
                                                SF512-AndPilot,
            positionFixedOrFlexible
                                                PositionFixedOrFlexible,
            tfci-Existence
                                                BOOLEAN
        },
        tdd
                                            SEQUENCE {
            dl-DPCH-PowerControlInfo
                                               DL-DPCH-PowerControlInfo
                                                                                    OPTIONAL
    }
}
                                        SEQUENCE {
DL-DPCH-InfoCommon-r4 ::=
                                        CHOICE {
    cfnHandling
                                            NULL,
       maintain
        initialise
                                            SEQUENCE {
           cfntargetsfnframeoffset
                                                Cfntargetsfnframeoffset
                                                                                    OPTIONAL
    },
   modeSpecificInfo
                                        CHOICE {
                                            SEQUENCE {
        fdd
            dl-DPCH-PowerControlInfo
                                                DL-DPCH-PowerControlInfo
                                                                                    OPTIONAL,
           powerOffsetPilot-pdpdch
                                                PowerOffsetPilot-pdpdch,
            dl-rate-matching-restriction
                                               Dl-rate-matching-restriction
                                                                                    OPTIONAL,
            -- TABULAR: The number of pilot bits is nested inside the spreading factor.
                                               SF512-AndPilot,
           spreadingFactorAndPilot
            positionFixedOrFlexible
                                                PositionFixedOrFlexible,
            tfci-Existence
                                                BOOLEAN
                                            SEQUENCE {
        tdd
            dl-DPCH-PowerControlInfo
                                                DL-DPCH-PowerControlInfo
                                                                                    OPTIONAL
  The IE mac-d-HFN-initial-value should be absent in the RRCConnectionSetup-r4-IEs or
  HandoverToUTRANCommand-r4-IEs and if the IE is included, the general error handling for
-- conditional IEs applies.
                                        MAC-d-HFN-initial-value
   mac-d-HFN-initial-value
                                                                            OPTIONAL
}
```

## 3GPP TSG-RAN2 Meeting #33 Sophia Antipolis, France, 12<sup>th</sup>-15<sup>th</sup> November 2002

be found in 3GPP TR 21.900.

CHANGE REQUEST						CR-Form-v7							
*		25.331	CR	1781	ж re	V	-	Ħ	Currer	nt versi	on:	5.2.0	¥
For <u>HELP</u>	on u	sing this fo	rm, see	e bottom of this	s page	or Id	ook a	at the	e pop-u	p text	over	the <b>%</b> syr	nbols.
Proposed char	ige a	affects:	UICC a	npps#	ME	X	Rad	lio A	ccess N	letwor	k X	Core Ne	etwork
Title:	ж	Ciphering	g during	SRNS reloca	ation w	ithou	ıt reı	use o	of COU	NT-C			
Source:	ж	Nortel Ne	tworks	3									
Work item cod	<b>е:</b> Ж	TEI							Da	ıte: ૠ	12	Nov 2002	
Category:	ж	Α							Relea	se: #	Re	l-5	
		F (con A (con B (ad C (fur D (ed	rection) respondition of actional itorial m	owing categories  ds to a correction feature), modification of the codification)  and of the above	on in an feature)	)		lease	2 R: R: R: R:	96 97 98 99	(GSN (Rele (Rele (Rele (Rele	ollowing rele A Phase 2) Pase 1996) Pase 1997) Pase 1998) Pase 1999) Pase 4)	

Reason for change: # During RAN2#32, Nortel proposed, for Rel-4, an alternative handling of ciphering of RB using RLC-TM during SRNS relocation in order to avoid the reused of COUNT-C values (R2-022550). It was decided to send an LS to SA3 asking their view on this (R2-022684).

In their reply to RAN2, SA3 affirms that 'reuse of the COUNT-C values in this situation is a security problem that needs correction in releases beyond R99'. SA3 has also indicated the proposal in R2-022550 was solving the problem and in line with their principles.

Rel-5

Rel-6

(Release 5)

(Release 6)

Therefore this CR is based on the on proposal presented during RAN2#32:

If it wants to avoid the reused of an old START value during the gap, the Target RNC should include the IE "MAC-d HFN initial value" in the message that will trigger the handover. The UE shall then use this value to initialised the COUNT-C for the TM RB similarly to R99. The HFN shall not be incremented during the gap.

The Target RNC should chose the "MAC-d HFN initial valu" by evaluating the current COUNT-C of the TM bearers included in the Source to Target "SRNS RELOCATION INFO" and taking some margin to prevent for possible CFN wrap around, i.e. (24 MSB of the COUNT-C) +x.

**Summary of change:** \*\* An optional IE "MAC-d HFN initial value" has been added in the IE "Downlink DPCH info common for all RL".

If the Target RNC want to use the new method, it shall include it in the message that will trigger the handover.

Rel-4 UE have to support the new method. They will know which method the UTRAN wants to used by the presence or absence of the IE "MAC-d HFN initial value".

Consequences if # not approved:	COUNT-C of RB using RLC-TM will be reused during SRNS relocation in Rel-4, which is contradictory with SA3 principles.
---------------------------------	--

Clauses affected:	第 8.6.6.28, 10.3.6.18, 11.3				
Other specs affected:	X Other core specifications X Test specifications O&M Specifications				
Other comments:	lpha				

## How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 8.6.6.28 Downlink DPCH info common for all radio links

If the IE "Downlink DPCH info common for all RL" is included the UE shall:

- 1> if the IE "Downlink DPCH info common for all RL" is included in a message used to perform a hard handover:
  - 2> perform actions for the IE "Timing indication" as specified in subclause 8.5.15.2, and subclause 8.3.5.1 or 8.3.5.2.
- 1> ignore the value received in IE "CFN-targetSFN frame offset";
- 1> if the IE "Downlink DPCH power control information" is included:
  - 2> perform actions for the IE "DPC Mode" according to [29].
- 1> if the IE choice "mode" is set to 'FDD':
  - 2> if the IE "Downlink rate matching restriction information" is included:
    - 3> set the variable INVALID\_CONFIGURATION to TRUE.
  - 2> perform actions for the IE "spreading factor";
  - 2> perform actions for the IE "Fixed or Flexible position";
  - 2> perform actions for the IE "TFCI existence";
  - 2> if the IE choice "SF" is set to 256:
    - 3> store the value of the IE "Number of bits for pilot bits".
  - 2> if the IE choice "SF" set to 128:
    - 3> store the value of the IE "Number of bits for pilot bits".
- 1> if the IE choice "mode" is set to 'TDD':
  - 2> perform actions for the IE "Common timeslot info".

If the IE "Downlink DPCH info common for all RL" is included in a message used to perform a Timing re-initialised hard handover or the IE "Downlink DPCH info common for all RL" is included in a message other than RB SETUP used to transfer the UE from a state different from Cell\_DCH to Cell\_DCH, and ciphering is active for any radio bearer using RLC-TM, the UE shall, after having activated the dedicated physical channels indicated by that IE:

- 1> if the IE "MAC-d HFN initial value" is included in the IE "Downlink DPCH info common for all RL":
  - 2> set the HFN component of COUNT-C for TM-RLC to the value of the IE "MAC-d HFN initial value", while not incrementing the value of the HFN component of COUNT-C at each CFN cycle;
  - NOTE: The UTRAN should choose a value for the IE "MAC-d HFN initial value" using the COUNT-C value of the RBs using RLC-TM indicated by the Source RNC to the Target RNC in the IE "SRNS Relocation Info" and taking some margin in such a way that no values of COUNT-C are repeated after the handover.

#### <u>1> else:</u>

- 24> set the 20 MSB of the HFN component of COUNT-C for TM-RLC to the value of the latest transmitted IE "START" or "START List" for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
- 24> set the remaining LSBs of the HFN component of COUNT-C to zero;
- 1> start to perform ciphering on the radio bearer in lower layers while not incrementing the HFN;
- 1> include the IE "COUNT-C activation time" in the response message and specify a CFN value other than the default, "Now" for this IE;
- 1> calculate the START value according to subclause 8.5.9;

- 1> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the response message;
- 1> at the CFN value as indicated in the response message in the IE "COUNT-C activation time":
  - 2> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
  - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
  - 2> increment the HFN component of the COUNT-C variable by one;
  - 2> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
  - 2> step the COUNT-C variable, as normal, at each CFN value, i.e. the HFN component is no longer fixed in value but incremented at each CFN cycle.

## 10.3.6.18 Downlink DPCH info common for all RL

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Timing Indication	MP		Enumerat ed(Initialis	NOTE	
			e, Maintain)		
CFN-targetSFN frame offset	CV- TimInd		Integer(0 255)	In frame	
Downlink DPCH power	OP		Downlink		
control information			DPCH		
			power control		
			informatio		
			n 10.3.6.23		
MAC-d HFN initial value	OPCV-		<u>Bit</u>		REL-4
	<u>Messag</u> e		string(24)		
CHOICE mode	MP				
>FDD >>Power offset P Pilot-	MP		Intogor/O	Dower effect equals	
>>Power offset P Pilot-	IVIP		Integer(0 24)	Power offset equals P <sub>Pilot</sub> - P <sub>DPDCH</sub> , range	
			,	06 dB, in steps of 0.25	
>>Downlink rate	OP		Downlink	dB If this IE is set to	
matching restriction			rate	"absent", no Transport	
information			matching restriction	CH is restricted in TFI.	
			informatio		
			n 10.3.6.31		
>>Spreading factor	MP		Integer(4,		
			8, 16, 32, 64, 128,		
			256, 512)		
>>Fixed or Flexible Position	MP		Enumerat ed (Fixed,		
			Flexible)		
>>TFCI existence	MP		Boolean	TRUE indicates that TFCI is used. When	
				spreading factor is less	
				than or equal to 64, FALSE indicates that	
				TFCI is not used and	
				therefore DTX is used	
>>CHOICE SF	MP			in the TFCI field.	
>>>SF = 256	MD		lata a	In his	
>>>Number of bits for Pilot bits	MP		Integer (2,4,8)	In bits	
>>>SF = 128					
>>>Number of bits for Pilot bits	MP		Integer(4, 8)	In bits	
>>>Otherwise			-/	(no data). In ASN.1	
				choice "Otherwise" is not explicitly available	
				as all values are	
				available, it is implied	
				by the use of any value other than 128 or 256.	
>TDD				(no data)	

CHOICE SF	Condition under which the given SF is chosen
SF=128	"Spreading factor" is set to 128
SF=256	"Spreading factor" is set to 256
Otherwise	"Spreading factor" is set to a value distinct from 128
	and 256

Condition	Explanation
TimInd	This IE is optional if the IE "Timing Indication" is set to
	"Initialise". Otherwise it is not needed.
<u>Message</u>	This IE is not needed if the IE "Downlink DPCH info- common for all RL" is included in RRC CONNECTION SETUP or HANDOVER TO UTRAN COMMAND messages. Otherwise it is optional.

NOTE: Within the HANDOVER TO UTRAN COMMAND message, only value "initialise" is applicable.

## 11.3 Information element definitions

```
RADIO BEARER INFORMATION ELEMENTS (10.3.4)
__ ***************
LogicalChannelIdentity ::=
                                     INTEGER (1..15)
LosslessSRNS-RelocSupport ::=
                                   CHOICE {
                                        MaxPDCP-SN-WindowSize,
    supported
    notSupported
                                          NULL
MAC-d-HFN-initial-value::=
                                     BIT STRING (SIZE (24))
MAC-LogicalChannelPriority ::=
                                      INTEGER (1..8)
MaxDAT ::=
                                      ENUMERATED {
                                          dat1, dat2, dat3, dat4, dat5, dat6,
                                          dat7, dat8, dat9, dat10, dat15, dat20,
                                          dat25, dat30, dat35, dat40 }
__ ****************************
      PHYSICAL CHANNEL INFORMATION ELEMENTS (10.3.6)
__ *****************************
                                     SEQUENCE {
DL-CommonInformation-r4 ::=
                                    DL-DPCH-InfoCommon<u>-r4</u> OPTIONAL,
    dl-DPCH-InfoCommon
            defaultDPCH-OffsetValue dpch-CompressedModeInfo tx-DiversityMode TX-DiversityMode SSDT-Information-r4

DEFILONAL,

SEQUENCE {

SEQUENCE {

DefaultDPCH-OffsetValueFDD OPTIONAL,

DPCH-CompressedModeInfo OPTIONAL,

SSDT-Information-r4
    modeSpecificInfo
        fdd
                                             SEQUENCE {
        t.dd
            tddOption
                                                 CHOICE {
                tdd384
                                                       NULL,
                                                       SEQUENCE {
                 tdd128
                     tstd-Indicator
                                                           BOOLEAN
            defaultDPCH-OffsetValue DefaultDPCH-OffsetValueTDD OPTIONAL
}
DL-DPCH-InfoCommon ::=
                                     SEQUENCE {
    cfnHandling
                                          CHOICE {
        maintain
                                              NULL,
                                              SEQUENCE {
        initialise
                                                 Cfntargetsfnframeoffset
            cfntargetsfnframeoffset
                                                                                        OPTIONAL
                              CHOICE {
    {\tt modeSpecificInfo}
            SEQUENCE {

dl-DPCH-PowerControlInfo DL-DPCH-PowerControlInfo OPTIONAL,
powerOffsetPilot-pdpdch PowerOffsetPilot-pdpdch,
dl-rate-matching-restriction Dl-rate-matching-restriction OPTIONAL,
        fdd
             -- TABULAR: The number of pilot bits is nested inside the spreading factor.
            spreadingFactorAndPilot
                                                  SF512-AndPilot,
```

```
positionFixedOrFlexible
                                                   PositionFixedOrFlexible,
             tfci-Existence
                                                   BOOLEAN
        },
        tdd
                                               SEQUENCE {
            dl-DPCH-PowerControlInfo
                                                   DL-DPCH-PowerControlInfo
                                                                                          OPTIONAL
    }
}
DL-DPCH-InfoCommon-r4 ::=
                                           SEQUENCE {
    cfnHandling
                                           CHOICE {
                                               NULL,
        maintain
        initialise
                                               SEQUENCE {
            cfntargetsfnframeoffset
                                                   Cfntargetsfnframeoffset
                                                                                          OPTIONAL
                                           CHOICE {
    modeSpecificInfo
        fdd
                                               SEQUENCE {
            dl-DPCH-PowerControlInfo
                                                   DL-DPCH-PowerControlInfo
                                                                                          OPTIONAL,
            powerOffsetPilot-pdpdch
                                                   PowerOffsetPilot-pdpdch,
                                                                                          OPTIONAL,
            {\tt dl-rate-matching-restriction}
                                                   {\tt Dl-rate-matching-restriction}
             -- TABULAR: The number of pilot bits is nested inside the spreading factor.
             spreadingFactorAndPilot
                                                    SF512-AndPilot,
            positionFixedOrFlexible
                                                   PositionFixedOrFlexible,
                                                   BOOLEAN
            tfci-Existence
        tdd
                                               SEQUENCE {
             dl-DPCH-PowerControlInfo
                                                   DL-DPCH-PowerControlInfo
                                                                                          OPTIONAL
   The IE mac-d-HFN-initial-value should be absent in the RRCConnectionSetup-r4-IEs or
   RRCConnectionSetup-r5-IEs or HandoverToUTRANCommand-r4-IEs or HandoverToUTRANCommand-r5-IEs and
   if the IE is included, the general error handling for conditional IEs applies.

mac-d-HFN-initial-value MAC-d-HFN-initial-value OPTIO
                                                                                 OPTIONAL
}
```

# 3GPP TSG-RAN WG2 Meeting #33 Sophia Antipolis, France, November 12 – 15, 2002

			(	CHAN	IGE I	REQ	UE	ST				CR-Form-v7
*	25	.331	CR	1782	H	rev	-	¥	Current v	ersion	4.7.0	æ
For <u><b>HELP</b></u> on	using	this fo	rm, see	bottom (	of this p	age or	look a	at the	e pop-up t	ext ov	er the ♯ sy	mbols.
Proposed change affects: UICC apps# ME X Radio Access Network X Core Network												
Title:	Co	rrectio	n to IE	"Intra Do	main N	AS No	de Se	lecto	r"			
Source:	Sie	mens	AG									
Work item code: ₃	E TE	14							Date:	·	15/10/2002	
Category: ३	Deta	F (cor A (cor B (add C (fur D (edi iled ex	rrection) rrespond dition of actional itorial m planatio	owing cate ds to a cor feature), modification odification ns of the a FR 21.900	rrection i on of fea o) above ca	ture)		elease	2	of the (G: (Re (Re (Re (Re (Re	Rel-4 e following rel SM Phase 2, elease 1996; elease 1997; elease 1999; elease 4) elease 5) elease 6)	
Reason for chang	re: Ж	cont CHC	ains a ' DICE "L	'CHOICE ater" it is	version stated,	n" with that it	choic shall	e bet not b	ween "R9 e used in	9" and this ve	IAS Node S d "Later". Fo ersion of pro	or the otocol.
Summary of chan	ge:♯	Sinc	e the "l	R99" brar	nch of th	ne CHC to the s	OICE : emar	shou ntics (	ld also be description	used	of protocol. in this versing that this	on of
Consequences if not approved:	*			ined how ater than						IAS N	ode Selecto	or" shall
Clauses affected:	ж	10.3	.1.6									
Other specs affected:	ж	Y N X X	Test	core spesspecificat	tions	ons	¥					
Other comments:	$\mathfrak{R}$											

## How to create CRs using this form:

<sup>1)</sup> Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 10.3.1.6 Intra Domain NAS Node Selector

This IE carries information to be used to route the establishment of a signalling connection to a CN node within a CN domain.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE version	MP			
>R99				This choice shall also be used by mobiles that are compliant to this version of the protocol.
>>CHOICE CN type	MP			
>>>GSM-MAP				
>>>>CHOICE Routing basis	MP			
>>>>local (P)TMSI				TMSI allocated in the current LA or PTMSI allocated in the current RA
>>>>Routing parameter	MP		Bit string (10)	The TMSI/ PTMSI consists of 4 octets (32bits). The bits are numbered from b0 to b31, with bit b0 being the least significant The "Routing parameter" bit string consists of bits b14 through b23 of the TMSI/ PTMSI where bit b14 is the least significant.
>>>>(P)TMSI of same PLMN, different (RA)LA				TMSI allocated in another LA of this PLMN or PTMSI allocated in another RA this PLMN
>>>>Routing parameter	MP		Bit string (10)	The TMSI/ PTMSI consists of 4 octets (32bits). The bits are numbered from b0 to b31, with bit b0 being the least significant The "Routing parameter" bit string consists of bits b14 through b23 of the TMSI/ PTMSI where bit b14 is the least significant.
>>>>(P)TMSI of different PLMN				TMSI or a PTMSI allocated in another PLMN
>>>>Routing parameter	MP		Bit string (10)	The TMSI/ PTMSI consists of 4 octets (32bits). The bits are numbered from b0 to b31, with bit b0 being the least significant The "Routing parameter" bit string consists of bits b14 through b23 of the TMSI/ PTMSI where bit b14 is the least significant.
>>>>IMSI(response to IMSI paging)				NAS identity is IMSI
>>>>Routing parameter	MP		Bit string (10)	The "Routing parameter" bit string consists of DecimalToBinary [(IMSI div 10) mod 1000]. The bits of the result are numbered from b0 to b9, with bit b0 being the least significant.
>>>>IMSI(cause UE initiated				NAS identity is IMSI
event)				

Information Element/Group	Need	Multi	Type and	Semantics description
name			reference	
>>>>Routing parameter	MP		Bit string	The "Routing parameter" bit
			(10)	string consists of
				DecimalToBinary [(IMSI div
				10) mod 1000]. The bits of the
				result are numbered from b0 to
				b9, with bit b0 being the least
				significant.
>>>>IMEI				NAS parameter is IMEI
>>>>>Routing parameter	MP		Bit string	The "Routing parameter" bit
			(10)	string consists of
				DecimalToBinary [(IMEI div
				10) mod 1000]. The bits of the
				result are numbered from b0 to
				b9, with bit b0 being the least
				significant.
>>>>Spare 1			Bit string	This choice shall not be used
			(10)	in this version
>>>>Spare 2			Bit string	This choice shall not be used
			(10)	in this version
>>>>Entered parameter	MP		Boolean	
				Entered parameter shall be set
				to TRUE if the most significant
				byte of the current LAI/RAI is
				different compared to the most
				significant byte of the LAI/RAI
				stored on the SIM;
				Entered parameter shall be set
				to FALSE otherwise
>>>ANSI-41			Bit string	All bits shall be set to 0
			(14)	
>Later			Bit string(15)	This bit string shall not be sent
				by mobiles that are compliant
				to this version of the protocol.

# 3GPP TSG-RAN WG2 Meeting #33 Sophia Antipolis, France, November 12 – 15, 2002

			С	HANGE	REQ	UE	ST				CR-Form-v7
*	2	5.331	CR 1	783	жrev	-	X	Current ver	sion:	5.2.0	*
For <b>HELP</b> or	For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the # symbols.										
Proposed change affects: UICC apps# ME X Radio Access Network X Core Network											
Title:	<b>#</b> C	Correctio	n to IE "I	ntra Domair	NAS No	de Sel	ector	,,,			
Source:	<b>#</b> S	Siemens	AG								
Work item code:	:# T	TEI5						Date: ₩	15/1	0/2002	
Category:									eases:		
Reason for char		CONT CHO From NAS Sinc	ains a "C DICE "Lat this it c Node So e the "RS	HOICE vers er" it is state annot be co elector" sha	sion" with ed, that it ncluded o Il be sent	choice shall r learly in late	how how than	Intra Doma ween "R99" e used in the the content n R99 version	and "L is versions of IE ons of p	ater". For on of pro "Intra Do protocol.	r the tocol. main
				ote is added e used in th				lescription s locol.	stating t	hat this b	oranch
Consequences in not approved:	if :			ed how the eer than R99				Domain NA	S Node	Selecto	r" shall
Clauses affected	d:	<b>光</b> 10.3	.1.6								
Other specs affected:	:	¥ X X	Test sp	ore specific ecifications pecifications		*					
Other comments	s:	<b></b>									

### How to create CRs using this form:

<sup>1)</sup> Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 10.3.1.6 Intra Domain NAS Node Selector

This IE carries information to be used to route the establishment of a signalling connection to a CN node within a CN domain.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE version	MP			
>R99				This choice shall also be used by mobiles that are compliant to this version of the protocol.
>>CHOICE CN type	MP			
>>>GSM-MAP				
>>>>CHOICE Routing basis	MP			
>>>>local (P)TMSI				TMSI allocated in the current LA or PTMSI allocated in the current RA
>>>>Routing parameter	MP		Bit string (10)	The TMSI/ PTMSI consists of 4 octets (32bits). The bits are numbered from b0 to b31, with bit b0 being the least significant The "Routing parameter" bit string consists of bits b14 through b23 of the TMSI/ PTMSI where bit b14 is the least significant.
>>>>(P)TMSI of same PLMN, different (RA)LA				TMSI allocated in another LA of this PLMN or PTMSI allocated in another RA this PLMN
>>>>Routing parameter	MP		Bit string (10)	The TMSI/ PTMSI consists of 4 octets (32bits). The bits are numbered from b0 to b31, with bit b0 being the least significant The "Routing parameter" bit string consists of bits b14 through b23 of the TMSI/ PTMSI where bit b14 is the least significant.
>>>>(P)TMSI of different PLMN				TMSI or a PTMSI allocated in another PLMN
>>>>Routing parameter	MP		Bit string (10)	The TMSI/ PTMSI consists of 4 octets (32bits). The bits are numbered from b0 to b31, with bit b0 being the least significant The "Routing parameter" bit string consists of bits b14 through b23 of the TMSI/ PTMSI where bit b14 is the least significant.
>>>>IMSI(response to IMSI paging)				NAS identity is IMSI
>>>>Routing parameter	MP		Bit string (10)	The "Routing parameter" bit string consists of DecimalToBinary [(IMSI div 10) mod 1000]. The bits of the result are numbered from b0 to b9, with bit b0 being the least significant.
>>>>IMSI(cause UE initiated				NAS identity is IMSI
event)				

Information Element/Group	Need	Multi	Type and	Semantics description
name			reference	
>>>>Routing parameter	MP		Bit string	The "Routing parameter" bit
			(10)	string consists of
				DecimalToBinary [(IMSI div
				10) mod 1000]. The bits of the
				result are numbered from b0 to
				b9, with bit b0 being the least
				significant.
>>>>IMEI				NAS parameter is IMEI
>>>>>Routing parameter	MP		Bit string	The "Routing parameter" bit
			(10)	string consists of
				DecimalToBinary [(IMEI div
				10) mod 1000]. The bits of the
				result are numbered from b0 to
				b9, with bit b0 being the least
				significant.
>>>>Spare 1			Bit string	This choice shall not be used
			(10)	in this version
>>>>Spare 2			Bit string	This choice shall not be used
			(10)	in this version
>>>>Entered parameter	MP		Boolean	
				Entered parameter shall be set
				to TRUE if the most significant
				byte of the current LAI/RAI is
				different compared to the most
				significant byte of the LAI/RAI
				stored on the SIM;
				Entered parameter shall be set
				to FALSE otherwise
>>>ANSI-41			Bit string	All bits shall be set to 0
			(14)	
>Later			Bit string(15)	This bit string shall not be sent
				by mobiles that are compliant
				to this version of the protocol.

# 3GPP TSG-RAN2 Meeting #33 Sophia Antipolis, France, November 12 – 15, 2002

Sophia Antipolis	s, Fr	ance	, Nov	ember 1	2 – 15, 2	002						
			(	CHAN	GE RE	QUE	ST	•				CR-Form-v7
*	25	.331	CR	1784	<b>≋ re</b> \	<i>'</i> -	¥	Curre	nt vers	sion:	4.7.0	¥
For <u>HELP</u> on u	sing	this fo	rm, see	e bottom o	f this page	or look	at the	е рор-	up text	over	the # sy	mbols.
Proposed change	affec	ts:	UICC a	apps#	ME[	X Ra	dio A	ccess	Netwo	rk	Core N	etwork
Title: ж	Co	rrectio	n to PF	RACH sele	ction							
Source: #	Sie	mens	AG									
Work item code: ₩	TE	l4						D	ate: ೫	14/	10/2002	
Category: ₩	<i>Use</i> Deta	F (cor A (cor B (add C (fun D (edi iled ex	rrection) rrespondition of actional itorial m planatio	ds to a corre f feature), modification nodification)	ories: ection in an e n of feature) pove categor			Use 2 e) F F F F F		the for (GSN) (Relea (Relea (Relea (Relea (Relea	I-4 Illowing real In Phase 2, Pase 1996, Pase 1997, Pase 1999, Pase 4) Pase 5)	) ) ) )
Reason for change	e: ¥	CR charagre	1618 for nges we eed on following ong ran	or R99, CR ere omitted RAN#17. I ng sentend nge for rand	ntaining the 1619 for R d for Rel-4 a This CR inte ce states the d function in PRACH is c	el-4 ar and Re ends to e origin n Rand	nd CR el-5 ar corre nal re- lom a	R 1620 nd thus ect this ason for access	for Resonly of omission	I-5. A chang sion. nge:	t merging ges for RS	g, these 99 were
Summary of chang	ge:₩	• C	orrecti	on of the ra	and function	n range	e in th	ne chap	oter 8.	5.17		
		A UE	E shoul	d comply the comply the complex is a december of the complex in th	ted feature: to the specietail that is R do not wo	fied be likely t	ehavio o be o	our alre	eady in	R99.	. Howeve	
Consequences if not approved:	ж		efined specifi		our if rando	m fun	ction i	returns	value	1, ind	consisten	cy with
Clauses affected:	*	8.5.1	17									
Other specs affected:	ж	Y N X X	Othe Test	r core spec specification Specificat	ons	¥						
Other comments:	92											

#### **How to create CRs using this form:**

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

#### 8.5.17 PRACH selection

For this version of the specification, when a UE selects a cell, the uplink frequency to be used for the initial PRACH transmission shall have a default duplex frequency spacing offset from the downlink frequency that the cell was selected on. The default duplex frequency separation to be used by the UE is specified in [35] (for FDD only).

The UE shall select a "PRACH system information" according to the following rule. The UE shall:

- 1> select a default "PRACH system information" from the ones indicated in the IE "PRACH system information list" in System Information Block type 5 (applicable in Idle Mode and Connected Mode) or System Information Block type 6 (applicable in Connected Mode only), as follows:
  - 2> if in connected mode and System Information Block type 6 is defined and includes PRACH info:
    - 3> compile a list of candidate PRACHs that consists of the PRACH system information(s) listed in SIB 6, in the order of appearance as in SIB 6.

#### 2>otherwise:

3> compile a list of candidate PRACHs that consists of the PRACH system information(s) listed in SIB 5, in the order of appearance as in SIB 5.

#### 2> in FDD:

- 3> if both RACH with 10 ms and 20 ms TTI are included in the list of candidate PRACH(s):
  - 4> select the appropriate TTI based on power requirements, as specified in subclause 8.5.18;
  - 4> remove PRACHs system information(s) from the list of candidate PRACHs that have a TTI different from the selected value.
- 2> in 1.28 Mcps TDD:
  - 3> if RACH with 5 ms, 10 ms and 20 ms TTI are included in the list of candidate PRACH(s):
    - 4> select the TTI according to 8.5.18.2;
    - 4> remove PRACHs system information(s) from the list of candidate PRACHs that have a TTI different from the selected value.
- 2> select a PRACH randomly from the list of candidate PRACH(s) as follows:

"Index of selected PRACH" = floor (rand \* K)

where K is equal to the number of candidate PRACH system informations, "rand" is a random number uniformly distributed in the range  $0 \le \text{rand} < 10,...,1$ , and "floor" refers to rounding down to nearest integer. The candidate PRACH system informations shall be indexed from 0 to K-1. The random number generator is left to implementation. The scheme shall be implemented such that one of the available PRACH system informations is randomly selected with uniform probability. At start-up of the random number generator in the UE the seed shall be dependent on the IMSI of the UE or time, thereby avoiding that all UEs select the same RACH;

- 2> reselect the default PRACH system information when a new cell is selected. RACH reselection may also be performed after each transmission of a Transport Block Set on RACH.
- 1> for emergency call, the UE is allowed to select any of the available PRACH system informations.

After selecting a PRACH system information, the RRC in the UE shall configure the MAC and the physical layer for the RACH access according to the parameters included in the selected "PRACH system information" IE.

# 3GPP TSG-RAN2 Meeting #33 Sophia Antipolis, France, November 12 – 15, 2002

Sophia Antipolis	s, France, November 12 – 15, 2002	
	CHANGE REQUEST	R-Form-v7
*	25.331 CR 1785 # rev - # Current version: 5.2.0	8
For HELP on u	sing this form, see bottom of this page or look at the pop-up text over the \$\mathbb{X}\$ symb	
7.4. 90	Correction to DDACH colortion	
Title: #	Correction to PRACH selection	
Source: #	Siemens AG	
Work item code: ₩	TEI4 Date: # 14/10/2002	
Category:	Release:  Release:  Release:  Rel-5 Use one of the following categories:  F (correction)  A (corresponds to a correction in an earlier release)  B (addition of feature),  C (functional modification of feature)  D (editorial modification)  Release:  Rel-5  Release 1996)  Release 1997)  Release 1997)  Release 1998)  Release 1999)  Detailed explanations of the above categories can be found in 3GPP TR 21.900.	ses:
	Rel-6 (Release 6)	
Reason for change	CR 1618 for R99, CR 1619 for Rel-4 and CR 1620 for Rel-5. At merging, the changes were omitted for Rel-4 and Rel-5 and thus only changes for R99 vagreed on RAN#17. This CR intends to correct this omission.  The following sentence states the original reason for change: "Wrong range for rand function in Random access procedure (if the rand val., Index of selected PRACH is out of range)".  • Correction of the rand function range in the chapter 8.5.17  Impact analysis: Affected feature: UE behaviour for RACH selection  A UE should comply to the specified behaviour already in R99. However, the change concerns a detail that is likely to be correctly implemented. UEs not complying with the CR do not work in this detail.	nese vere alue is
Consequences if not approved:	# Undefined UE behaviour if random function returns value 1, inconsistency R99 specification	with
Clauses affected:	第 8.5.17	
Other specs affected:	Y N	
Other comments:	$\mathbf{x}$	

#### **How to create CRs using this form:**

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <a href="ftp://ftp.3gpp.org/specs/">ftp://ftp.3gpp.org/specs/</a> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.5.17 PRACH selection

For this version of the specification, when a UE selects a cell, the uplink frequency to be used for the initial PRACH transmission shall have a default duplex frequency spacing offset from the downlink frequency that the cell was selected on. The default duplex frequency separation to be used by the UE is specified in [35] (for FDD only).

The UE shall select a "PRACH system information" according to the following rule. The UE shall:

- 1> select a default "PRACH system information" from the ones indicated in the IE "PRACH system information list" in System Information Block type 5 (applicable in Idle Mode and Connected Mode) or System Information Block type 6 (applicable in Connected Mode only), as follows:
  - 2> if in connected mode and System Information Block type 6 is defined and includes PRACH info:
    - 3> compile a list of candidate PRACHs that consists of the PRACH system information(s) listed in SIB 6, in the order of appearance as in SIB 6.

#### 2>otherwise:

3> compile a list of candidate PRACHs that consists of the PRACH system information(s) listed in SIB 5, in the order of appearance as in SIB 5.

#### 2> in FDD:

- 3> if both RACH with 10 ms and 20 ms TTI are included in the list of candidate PRACH(s):
  - 4> select the appropriate TTI based on power requirements, as specified in subclause 8.5.18;
  - 4> remove PRACHs system information(s) from the list of candidate PRACHs that have a TTI different from the selected value.
- 2> in 1.28 Mcps TDD:
  - 3> if RACH with 5 ms, 10 ms and 20 ms TTI are included in the list of candidate PRACH(s):
    - 4> select the TTI according to 8.5.18.2;
    - 4> remove PRACHs system information(s) from the list of candidate PRACHs that have a TTI different from the selected value.
- 2> select a PRACH randomly from the list of candidate PRACH(s) as follows:

"Index of selected PRACH" = floor (rand \* K)

where K is equal to the number of candidate PRACH system informations, "rand" is a random number uniformly distributed in the range  $0 \le \text{rand} < 10,...,1$ , and "floor" refers to rounding down to nearest integer. The candidate PRACH system informations shall be indexed from 0 to K-1. The random number generator is left to implementation. The scheme shall be implemented such that one of the available PRACH system informations is randomly selected with uniform probability. At start-up of the random number generator in the UE the seed shall be dependent on the IMSI of the UE or time, thereby avoiding that all UEs select the same RACH;

- 2> reselect the default PRACH system information when a new cell is selected. RACH reselection may also be performed after each transmission of a Transport Block Set on RACH.
- 1> for emergency call, the UE is allowed to select any of the available PRACH system informations.

After selecting a PRACH system information, the RRC in the UE shall configure the MAC and the physical layer for the RACH access according to the parameters included in the selected "PRACH system information" IE.