

TSG-RAN Meeting #18
New-Orleans, USA, 03 - 06 December 2002

RP-020721

Title: CRs (R'99 and Rel-4/Rel-5 category A) to TS 25.331. (1)

Source: TSG-RAN WG2

Agenda item: 7.2.3

Doc-1st-	Status-	Spec	CR	Rev	Phase	Subject	Cat	Version	Version
R2-022657	Agreed	25.331	1685	-	R'99	Corrections to IEs "Ellipsoid point with Altitude and uncertainty Ellipsoid" and "Ellipsoid point with uncertainty Ellipse"	F	3.12.0	3.13.0
R2-022658	Agreed	25.331	1686	-	Rel-4	Corrections to IEs "Ellipsoid point with Altitude and uncertainty Ellipsoid" and "Ellipsoid point with uncertainty Ellipse"	A	4.7.0	4.8.0
R2-022659	Agreed	25.331	1687	-	Rel-5	Corrections to IEs "Ellipsoid point with Altitude and uncertainty Ellipsoid" and "Ellipsoid point with uncertainty Ellipse"	A	5.2.0	5.3.0
R2-022712	Agreed	25.331	1688	2	R'99	Handling of Ciphering and integrity protection activation times	F	3.12.0	3.13.0
R2-022713	Agreed	25.331	1689	1	Rel-4	Handling of Ciphering and integrity protection activation times	A	4.7.0	4.8.0
R2-022714	Agreed	25.331	1690	1	Rel-5	Handling of Ciphering and integrity protection activation times	A	5.2.0	5.3.0
R2-022694	Agreed	25.331	1691	1	R'99	Handling of measurements at state transitions to/from DCH state.	F	3.12.0	3.13.0
R2-022695	Agreed	25.331	1692	1	Rel-4	Handling of measurements at state transitions to/from DCH state.	A	4.7.0	4.8.0
R2-022696	Agreed	25.331	1693	-	Rel-5	Handling of measurements at state transitions to/from DCH state.	A	5.2.0	5.3.0
R2-023059	Agreed	25.331	1694	3	R'99	Measurement related corrections	F	3.12.0	3.13.0
R2-023060	Agreed	25.331	1695	3	Rel-4	Measurement related corrections	A	4.7.0	4.8.0
R2-023061	Agreed	25.331	1696	2	Rel-5	Measurement related corrections	A	5.2.0	5.3.0
R2-022680	Agreed	25.331	1697	-	R'99	ASN.1 of the SRNS relocation info	F	3.12.0	3.13.0
R2-022681	Agreed	25.331	1698	-	Rel-4	ASN.1 of the SRNS relocation info	A	4.7.0	4.8.0
R2-022682	Agreed	25.331	1699	-	Rel-5	ASN.1 of the SRNS relocation info	A	5.2.0	5.3.0
R2-023274	Agreed	25.331	1708	1	R'99	Corrections to PRACH selection	F	3.12.0	3.13.0
R2-023275	Agreed	25.331	1709	1	Rel-4	Corrections to PRACH selection	A	4.7.0	4.8.0
R2-023276	Agreed	25.331	1710	1	Rel-5	Corrections to PRACH selection	A	5.2.0	5.3.0

CHANGE REQUEST

⌘ **25.331 CR 1685** ⌘ rev **-** ⌘ Current version: **3.12.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘	Correction to IEs "Ellipsoid point with Altitude and uncertainty Ellipsoid" and "Ellipsoid point with uncertainty Ellipse"	
Source:	⌘	Hutchison 3G	
Work item code:	⌘	TEI	Date: ⌘ 16/09/2002
Category:	⌘	F	Release: ⌘ R99
		Use <u>one</u> of the following categories:	Use <u>one</u> of the following releases:
		F (correction)	2 (GSM Phase 2)
		A (corresponds to a correction in an earlier release)	R96 (Release 1996)
		B (addition of feature),	R97 (Release 1997)
		C (functional modification of feature)	R98 (Release 1998)
		D (editorial modification)	R99 (Release 1999)
		Detailed explanations of the above categories can be found in 3GPP TR 21.900.	Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

Reason for change: ⌘ The semantics descriptions of the IE "Orientation of Major Axis" within IEs "Ellipsoid point with Altitude and uncertainty Ellipsoid" and "Ellipsoid point with uncertainty Ellipse" in sections 10.3.8.4c and 10.3.8.4e respectively of the current version of the specification states that the angle 'a' represents the orientation of the major axis that should be encoded in the IE value (N). The value of 'a' is in the range of 0..360 degrees. The IE value is found by the formula $N \leq (a/2) < N+1$. This means that the IE value is derived by dividing 'a' by 2 and rounding it off to the nearest integer. The resulting integer will be odd or even depending on the value of 'a'.

Whereas, the type definition of the IE states that the IE value shall be an integer in the range 0..179 by step of 2. This means that only even values are accepted. Thus, there is a discrepancy between the Semantics description and the Type definition. For example, if the value of 'a' is 3 degrees, the formula would yield $(N \leq 1.5 < N+1)$ '1' as the value of the IE. But a value of '1' cannot be represented without further rounding it off.

ASN.1 considers the value of the IE to be an integer in the range 0..89. This appears to be correct due to the fact that orientation of a major axis can be represented by an angle within the range 0 to 180 degrees.

Further, section 6.7 of TS 23.032 v 3.10.0 states the following on the coding of an angle for a shape description:

Offset and Included angle are encoded in increments of 2° using an 8 bit binary coded number N in the range 0 to 179. The relation between the number N and the range of angle a (in degrees) it encodes is described by the following

equation:

$$2 N \leq a < 2 (N+1)$$

Accepted values for 'a' are within the range from 0 to 360 degrees.

Summary of change: ⌘ The following changes are made to the type definition and semantics description of the IE "Orientation of Major Axis" given in the tabular format of sections 10.3.8.4c and 10.3.8.4e, in order to make it consistent with ASN.1 as well as the coding of angle specified in TS 23.032:

a) Aligned the formula, given in the semantics description, with the coding of angle specified in TS 23.032 as "2 N ≤ a < 2 (N+1)"

b) Corrected the range given in the semantics description to 0..180, as seems to have been considered for ASN.1

c) Aligned the type definition of the IE with ASN.1 i.e. Integer(0..89)

d) It is indicated in ASN.1 that the actual value of the orientation of the major axis is 2*IE Value

Isolated Impact Change Analysis.

Impacted functionality: UE Positioning.

Correction to a function where the specification is erroneous. The change has an isolated impact.

It would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.

Consequences if not approved: ⌘ The wrong interpretation of the axis orientation may result in erroneous estimate of the accuracy of the position location or in erroneous interpretation of assistance data, which could in turn increase the time needed to achieve a position fix

Clauses affected: ⌘ 10.3.8.4c and 10.3.8.4e

Other specs affected: ⌘

Y	N
	X
	X
	X

Other core specifications ⌘
Test specifications ⌘
O&M Specifications ⌘

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

< Start of Corrected Section >

10.3.8.4c Ellipsoid point with Altitude and uncertainty ellipsoid

This IE contains the description of an ellipsoid point with altitude and uncertainty ellipsoid as in [24].

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Latitude sign	MP		Enumerated (North, South)	
Degrees Of Latitude	MP		Integer (0..2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{23} X / 90 < N+1$ X being the latitude in degree (0°.. 90°)
Degrees Of Longitude	MP		Integer (-2 ²³ ..2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{24} X / 360 < N+1$ X being the longitude in degree (-180°..+180°)
Altitude Direction	MP		Enumerated (Height, Depth)	
Altitude	MP		Integer (0..2 ¹⁵ -1)	The IE value (N) is derived by this formula: $N \leq a < N+1$ a being the altitude in metres
Uncertainty semi-major	MP		Integer (0..127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^{k-1})$
Uncertainty semi-minor	MP		Integer (0..127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^{k-1})$
Orientation of major axis	MP		Integer (0..179) by step of 2)	The IE value (N) is derived by this formula: $N \leq a / 2 < N+1$ $2N \leq a < 2(N+1)$ a being the orientation in degree (0°.. 360/179°)
Uncertainty Altitude	MP		Integer(0..127)	The uncertainty in altitude, h, expressed in metres is mapped from the IE value (K), with the following formula: $h = C \left((1 + x)^K - 1 \right)$ with C = 45 and x = 0.025.
Confidence	MP		Integer (0..100)	in percentage

10.3.8.4d Ellipsoid point with uncertainty Circle

This IE contains the description of an ellipsoid point with an uncertainty circle as in [24].

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Latitude sign	MP		Enumerated (North, South)	
Degrees Of Latitude	MP		Integer (0...2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{23} X / 90 < N+1$ X being the latitude in degree (0°.. 90°)
Degrees Of Longitude	MP		Integer (-2 ²³ ...2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{24} X / 360 < N+1$ X being the longitude in degree (-180°..+180°)
Uncertainty Code	MP		Integer (0...127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^k - 1)$

10.3.8.4e Ellipsoid point with uncertainty Ellipse

This IE contains the description of an ellipsoid point with an uncertainty ellipse as in [24].

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Latitude sign	MP		Enumerated (North, South)	
Degrees Of Latitude	MP		Integer (0...2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{23} X / 90 < N+1$ X being the latitude in degree (0°.. 90°)
Degrees Of Longitude	MP		Integer (-2 ²³ ...2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{24} X / 360 < N+1$ X being the longitude in degree (-180°..+180°)
Uncertainty semi-major	MP		Integer (0...127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^k - 1)$
Uncertainty semi-minor	MP		Integer (0...127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^k - 1)$
Orientation of major axis	MP		Integer (0..179 by step of 2)	The IE value (N) is derived by this formula: $N \leq a / 2 < N+1$ $2N \leq a < 2(N+1)$ a being the orientation in degree (0°.. 179°)
Confidence	MP		Integer (0..100)	in percentage

< Omitted Sections not corrected >

```

EllipsoidPointAltitudeEllipsoide ::= SEQUENCE {
    latitudeSign      ENUMERATED { north, south },
    latitude          INTEGER (0..8388607),
    longitude         INTEGER (-8388608..8388607),
    altitudeDirection ENUMERATED { height, depth},
    altitude          INTEGER (0..32767),
    uncertaintySemiMajor  INTEGER (0..127),
    uncertaintySemiMinor  INTEGER (0..127),
    -- Actual value orientationMajorAxis = IE value * 2
    orientationMajorAxis  INTEGER (0..89),
    uncertaintyAltitude  INTEGER (0..127),
    confidence          INTEGER (0..100)
}

```

```

EllipsoidPointUncertCircle ::= SEQUENCE {
    latitudeSign      ENUMERATED { north, south },
    latitude          INTEGER (0..8388607),
    longitude         INTEGER (-8388608..8388607),
    uncertaintyCode    INTEGER (0..127)
}

```

```

EllipsoidPointUncertEllipse ::= SEQUENCE {
    latitudeSign      ENUMERATED { north, south },
    latitude          INTEGER (0..8388607),
    longitude         INTEGER (-8388608..8388607),
    uncertaintySemiMajor  INTEGER (0..127),
    uncertaintySemiMinor  INTEGER (0..127),
    -- Actual value orientationMajorAxis = IE value * 2
    orientationMajorAxis  INTEGER (0..89),
    confidence          INTEGER (0..100)
}

```

< End of Corrected Section >

CR-Form-v7

CHANGE REQUEST

⌘ **25.331 CR 1686** ⌘ rev **-** ⌘ Current version: **4.7.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘	Correction to IEs "Ellipsoid point with Altitude and uncertainty Ellipsoid" and "Ellipsoid point with uncertainty Ellipse"	
Source:	⌘	Hutchison 3G	
Work item code:	⌘	TEI	Date: ⌘ 16/09/2002
Category:	⌘	A	Release: ⌘ Rel-4
		Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900.	Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change: ⌘ The semantics descriptions of the IE "Orientation of Major Axis" within IEs "Ellipsoid point with Altitude and uncertainty Ellipsoid" and "Ellipsoid point with uncertainty Ellipse" in sections 10.3.8.4c and 10.3.8.4e respectively of the current version of the specification states that the angle 'a' represents the orientation of the major axis that should be encoded in the IE value (N). The value of 'a' is in the range of 0..360 degrees. The IE value is found by the formula $N \leq (a/2) < N+1$. This means that the IE value is derived by dividing 'a' by 2 and rounding it off to the nearest integer. The resulting integer will be odd or even depending on the value of 'a'.

Whereas, the type definition of the IE states that the IE value shall be an integer in the range 0..179 by step of 2. This means that only even values are accepted. Thus, there is a discrepancy between the Semantics description and the Type definition. For example, if the value of 'a' is 3 degrees, the formula would yield $(N \leq 1.5 < N+1)$ '1' as the value of the IE. But a value of '1' cannot be represented without further rounding it off.

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- b) Corrected the range given in the semantics description to 0..180, as seems to have been considered for ASN.1
- c) Aligned the type definition of the IE with ASN.1 i.e. Integer(0..89)
- d) It is indicated in ASN.1 that the actual value of the orientation of the major axis is 2*IE Value

Isolated Impact Change Analysis.

Impacted functionality: UE Positioning.

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Consequences if not approved: ⌘ The wrong interpretation of the axis orientation may result in erroneous estimate of the accuracy of the position location or in erroneous interpretation of assistance data, which could in turn increase the time needed to achieve a position fix

Clauses affected: ⌘ 10.3.8.4c and 10.3.8.4e

Other specs affected: ⌘

Y	N
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>

Other core specifications ⌘
Test specifications ⌘
O&M Specifications ⌘

Other comments: ⌘

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< Start of Corrected Section >

10.3.8.4c Ellipsoid point with Altitude and uncertainty ellipsoid

This IE contains the description of an ellipsoid point with altitude and uncertainty ellipsoid as in [24].

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Latitude sign	MP		Enumerated (North, South)	
Degrees Of Latitude	MP		Integer (0..2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{23} X / 90 < N+1$ X being the latitude in degree (0°.. 90°)
Degrees Of Longitude	MP		Integer (-2 ²³ ..2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{24} X / 360 < N+1$ X being the longitude in degree (-180°..+180°)
Altitude Direction	MP		Enumerated (Height, Depth)	
Altitude	MP		Integer (0..2 ¹⁵ -1)	The IE value (N) is derived by this formula: $N \leq a < N+1$ a being the altitude in metres
Uncertainty semi-major	MP		Integer (0..127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^{k-1})$
Uncertainty semi-minor	MP		Integer (0..127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^{k-1})$
Orientation of major axis	MP		Integer (0..179 by step of 2)	The IE value (N) is derived by this formula: $N \leq a / 2 < N+1$ $2N \leq a < 2(N+1)$ a being the orientation in degree (0°.. 179°)
Uncertainty Altitude	MP		Integer(0..127)	The uncertainty in altitude, h, expressed in metres is mapped from the IE value (K), with the following formula: $h = C \left((1 + x)^K - 1 \right)$ with C = 45 and x = 0.025.
Confidence	MP		Integer (0..100)	in percentage

10.3.8.4d Ellipsoid point with uncertainty Circle

This IE contains the description of an ellipsoid point with an uncertainty circle as in [24].

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Latitude sign	MP		Enumerated (North, South)	
Degrees Of Latitude	MP		Integer (0...2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{23} X / 90 < N+1$ X being the latitude in degree (0°.. 90°)
Degrees Of Longitude	MP		Integer (-2 ²³ ...2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{24} X / 360 < N+1$ X being the longitude in degree (-180°..+180°)
Uncertainty Code	MP		Integer (0...127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^k - 1)$

10.3.8.4e Ellipsoid point with uncertainty Ellipse

This IE contains the description of an ellipsoid point with an uncertainty ellipse as in [24].

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Latitude sign	MP		Enumerated (North, South)	
Degrees Of Latitude	MP		Integer (0...2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{23} X / 90 < N+1$ X being the latitude in degree (0°.. 90°)
Degrees Of Longitude	MP		Integer (-2 ²³ ...2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{24} X / 360 < N+1$ X being the longitude in degree (-180°..+180°)
Uncertainty semi-major	MP		Integer (0...127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^k - 1)$
Uncertainty semi-minor	MP		Integer (0...127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^k - 1)$
Orientation of major axis	MP		Integer (0..179 by step of 2)	The IE value (N) is derived by this formula: $N \leq a / 2 < N+1$ $2N \leq a < 2(N+1)$ a being the orientation in degree (0°.. 179°)
Confidence	MP		Integer (0..100)	in percentage

< Omitted Sections not corrected >

```

EllipsoidPointAltitudeEllipsoide ::= SEQUENCE {
    latitudeSign      ENUMERATED { north, south },
    latitude          INTEGER (0..8388607),
    longitude         INTEGER (-8388608..8388607),
    altitudeDirection ENUMERATED { height, depth},
    altitude          INTEGER (0..32767),
    uncertaintySemiMajor  INTEGER (0..127),
    uncertaintySemiMinor  INTEGER (0..127),
    -- Actual value orientationMajorAxis = IE value * 2
    orientationMajorAxis  INTEGER (0..89),
    uncertaintyAltitude  INTEGER (0..127),
    confidence           INTEGER (0..100)
}

```

```

EllipsoidPointUncertCircle ::= SEQUENCE {
    latitudeSign      ENUMERATED { north, south },
    latitude          INTEGER (0..8388607),
    longitude         INTEGER (-8388608..8388607),
    uncertaintyCode    INTEGER (0..127)
}

```

```

EllipsoidPointUncertEllipse ::= SEQUENCE {
    latitudeSign      ENUMERATED { north, south },
    latitude          INTEGER (0..8388607),
    longitude         INTEGER (-8388608..8388607),
    uncertaintySemiMajor  INTEGER (0..127),
    uncertaintySemiMinor  INTEGER (0..127),
    -- Actual value orientationMajorAxis = IE value * 2
    orientationMajorAxis  INTEGER (0..89),
    confidence           INTEGER (0..100)
}

```

< End of Corrected Section >

CHANGE REQUEST

⌘ **25.331 CR 1687** ⌘ rev **-** ⌘ Current version: **5.2.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘	Correction to IEs "Ellipsoid point with Altitude and uncertainty Ellipsoid" and "Ellipsoid point with uncertainty Ellipse"	
Source:	⌘	Hutchison 3G	
Work item code:	⌘	TEI	Date: ⌘ 16/09/2002
Category:	⌘	A	Release: ⌘ Rel 5
		Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900.	Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change: ⌘ The semantics descriptions of the IE "Orientation of Major Axis" within IEs "Ellipsoid point with Altitude and uncertainty Ellipsoid" and "Ellipsoid point with uncertainty Ellipse" in sections 10.3.8.4c and 10.3.8.4e respectively of the current version of the specification states that the angle 'a' represents the orientation of the major axis that should be encoded in the IE value (N). The value of 'a' is in the range of 0..360 degrees. The IE value is found by the formula $N \leq (a/2) < N+1$. This means that the IE value is derived by dividing 'a' by 2 and rounding it off to the nearest integer. The resulting integer will be odd or even depending on the value of 'a'.

Whereas, the type definition of the IE states that the IE value shall be an integer in the range 0..179 by step of 2. This means that only even values are accepted. Thus, there is a discrepancy between the Semantics description and the Type definition. For example, if the value of 'a' is 3 degrees, the formula would yield $(N \leq 1.5 < N+1)$ '1' as the value of the IE. But a value of '1' cannot be represented without further rounding it off.

ASN.1 considers the value of the IE to be an integer in the range 0..89. This appears to be correct due to the fact that orientation of a major axis can be represented by an angle within the range 0 to 180 degrees.

Further, section 6.7 of TS 23.032 v 3.10.0 states the following on the coding of an angle for a shape description:

Offset and Included angle are encoded in increments of 2° using an 8 bit binary coded number N in the range 0 to 179. The relation between the number N and the range of angle a (in degrees) it encodes is described by the following

equation:

$$2 N \leq a < 2 (N+1)$$

Accepted values for 'a' are within the range from 0 to 360 degrees.

Summary of change: ⌘ The following changes are made to the type definition and semantics description of the IE "Orientation of Major Axis" given in the tabular format of sections 10.3.8.4c and 10.3.8.4e, in order to make it consistent with ASN.1 as well as the coding of angle specified in TS 23.032:

- a) Aligned the formula, given in the semantics description, with the coding of angle specified in TS 23.032 as "2 N ≤ a < 2 (N+1)"
- b) Corrected the range given in the semantics description to 0..180, as seems to have been considered for ASN.1
- c) Aligned the type definition of the IE with ASN.1 i.e. Integer(0..89)
- d) It is indicated in ASN.1 that the actual value of the orientation of the major axis is 2*IE Value

Isolated Impact Change Analysis.

Impacted functionality: UE Positioning.

Correction to a function where the specification is erroneous. The change has an isolated impact.

It would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.

Consequences if not approved: ⌘ The wrong interpretation of the axis orientation may result in erroneous estimate of the accuracy of the position location or in erroneous interpretation of assistance data, which could in turn increase the time needed to achieve a position fix

Clauses affected: ⌘ 10.3.8.4c and 10.3.8.4e

Other specs affected: ⌘

Y	N
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>

Other core specifications ⌘
Test specifications ⌘
O&M Specifications ⌘

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

< Start of Corrected Section >

10.3.8.4c Ellipsoid point with Altitude and uncertainty ellipsoid

This IE contains the description of an ellipsoid point with altitude and uncertainty ellipsoid as in [24].

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Latitude sign	MP		Enumerated (North, South)	
Degrees Of Latitude	MP		Integer (0..2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{23} X / 90 < N+1$ X being the latitude in degree (0°.. 90°)
Degrees Of Longitude	MP		Integer (-2 ²³ ..2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{24} X / 360 < N+1$ X being the longitude in degree (-180°..+180°)
Altitude Direction	MP		Enumerated (Height, Depth)	
Altitude	MP		Integer (0..2 ¹⁵ -1)	The IE value (N) is derived by this formula: $N \leq a < N+1$ a being the altitude in metres
Uncertainty semi-major	MP		Integer (0..127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^{k-1})$
Uncertainty semi-minor	MP		Integer (0..127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^{k-1})$
Orientation of major axis	MP		Integer (0..179) by step of 2)	The IE value (N) is derived by this formula: $N \leq a / 2 < N+1$ $2N \leq a < 2(N+1)$ a being the orientation in degree (0°.. 179°)
Uncertainty Altitude	MP		Integer(0..127)	The uncertainty in altitude, h, expressed in metres is mapped from the IE value (K), with the following formula: $h = C \left((1 + x)^K - 1 \right)$ with C = 45 and x = 0.025.
Confidence	MP		Integer (0..100)	in percentage

10.3.8.4d Ellipsoid point with uncertainty Circle

This IE contains the description of an ellipsoid point with an uncertainty circle as in [24].

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Latitude sign	MP		Enumerated (North, South)	
Degrees Of Latitude	MP		Integer (0...2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{23} X / 90 < N+1$ X being the latitude in degree (0°.. 90°)
Degrees Of Longitude	MP		Integer (-2 ²³ ...2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{24} X / 360 < N+1$ X being the longitude in degree (-180°..+180°)
Uncertainty Code	MP		Integer (0...127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^k - 1)$

10.3.8.4e Ellipsoid point with uncertainty Ellipse

This IE contains the description of an ellipsoid point with an uncertainty ellipse as in [24].

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Latitude sign	MP		Enumerated (North, South)	
Degrees Of Latitude	MP		Integer (0...2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{23} X / 90 < N+1$ X being the latitude in degree (0°.. 90°)
Degrees Of Longitude	MP		Integer (-2 ²³ ...2 ²³ -1)	The IE value (N) is derived by this formula: $N \leq 2^{24} X / 360 < N+1$ X being the longitude in degree (-180°..+180°)
Uncertainty semi-major	MP		Integer (0...127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^k - 1)$
Uncertainty semi-minor	MP		Integer (0...127)	The uncertainty r is derived from the "uncertainty code" k by $r = 10x(1.1^k - 1)$
Orientation of major axis	MP		Integer (0..179 by step of 2)	The IE value (N) is derived by this formula: $N \leq a / 2 < N+1$ $2N \leq a < 2(N+1)$ a being the orientation in degree (0°.. 179°)
Confidence	MP		Integer (0..100)	in percentage

< Omitted Sections not corrected >

```

EllipsoidPointAltitudeEllipsoide ::= SEQUENCE {
    latitudeSign      ENUMERATED { north, south },
    latitude          INTEGER (0..8388607),
    longitude         INTEGER (-8388608..8388607),
    altitudeDirection ENUMERATED { height, depth},
    altitude          INTEGER (0..32767),
    uncertaintySemiMajor  INTEGER (0..127),
    uncertaintySemiMinor  INTEGER (0..127),
    -- Actual value orientationMajorAxis = IE value * 2
    orientationMajorAxis  INTEGER (0..89),
    uncertaintyAltitude  INTEGER (0..127),
    confidence           INTEGER (0..100)
}

```

```

EllipsoidPointUncertCircle ::= SEQUENCE {
    latitudeSign      ENUMERATED { north, south },
    latitude          INTEGER (0..8388607),
    longitude         INTEGER (-8388608..8388607),
    uncertaintyCode   INTEGER (0..127)
}

```

```

EllipsoidPointUncertEllipse ::= SEQUENCE {
    latitudeSign      ENUMERATED { north, south },
    latitude          INTEGER (0..8388607),
    longitude         INTEGER (-8388608..8388607),
    uncertaintySemiMajor  INTEGER (0..127),
    uncertaintySemiMinor  INTEGER (0..127),
    -- Actual value orientationMajorAxis = IE value * 2
    orientationMajorAxis  INTEGER (0..89),
    confidence           INTEGER (0..100)
}

```

< End of Corrected Section >

CHANGE REQUEST

⌘ 25.331 CR 1688 ⌘ rev 2 ⌘ Current version: 3.12.0 ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps⌘ ME Radio Access Network Core Network

Title:	⌘	Handling of Cipherring and integrity protection activation times
Source:	⌘	Ericsson, Motorola
Work item code:	⌘	TEI
		Date: ⌘ September 2002
Category:	⌘	F
		Release: ⌘ R99
		Use <u>one</u> of the following categories:
		Use <u>one</u> of the following releases:
		F (correction) 2 (GSM Phase 2)
		A (corresponds to a correction in an earlier release) R96 (Release 1996)
		B (addition of feature), R97 (Release 1997)
		C (functional modification of feature) R98 (Release 1998)
		D (editorial modification) R99 (Release 1999)
		Detailed explanations of the above categories can Rel-4 (Release 4)

be found in 3GPP [TR 21.900](#).

Rel-5 (Release 5)

Rel-6 (Release 6)

Reason for change: ⌘ 1) The spec. currently contains contradicting text about the handling of pending activation times. In 8.6.3.4/ 8.6.3.5 it is both stated that the UE shall apply the new security configuration at the pending activation time and at the activation time in the received message.

2) The UE handling of pending activation times for the downlink does not work. The UE and UTRAN may not have the same opinion regarding if an activation time is pending or not and consequently ciphering/integrity may be applied at the wrong point in time in UTRAN and UE.

Examples where the UE handling of pending activation times in DL causes problems:

a) For AM if there are retransmissions using the old configuration but the new

configuration has already been used for one or more PDUs. The activation time is considered to be pending but it is not possible to "reuse" the old activation time since it has been passed.

b) For UM if a few PDUs have been sent with the new configuration but these are lost, the UE still thinks it has a pending activation time and will apply the new configuration at another time than the UTRAN (This is only a problem if the activation time is close to a CFN border, in which case a HFN wraparound can occur).

3) The SMC complete is transmitted with the old ciphering configuration. In the message, the activation times for all RBs/SRBs including SRB2 is given. It is also stated that the activation time for ciphering shall be set to the pending activation time from previous SMC procedures if any. This is contradictory and we propose to remove the latter requirement. Otherwise it would be impossible to set the activation time equal to the pending activation time as specified

4) The tabular of the COUNTER CHECK and COUNTER CHECK RESPONSE are not aligned with ASN.1. The Integrity check IE is MP in the tabular but not in ASN.1 (In all other messages Integrity check info is CH)

5) The UE setting of the IE "COUNT-C activation time" is currently not specified. If the UE does not set the activation time far enough in the future the ciphering will fail since the HFN will be out of sync. Basically the activation time must be set far enough in the future to consider possible retransmissions of the response message in bad radio conditions.

6) The IE "RLC sequence number" is mis-quoted as "RLC send sequence number" at several places.

7) The definition of pending activation times (in uplink) is unclear (talks about when activation times elapses)

8) It is currently (erroneously) stated that the SECURITY MODE COMMAND can

be used to stop ciphering, although this option has been removed.

9) The current specification is slightly ambiguous as to the inclusion of IE "Ciphering Mode Info" in messages that can perform SRNS relocation.

10) CR1630 was incorrectly implemented in v3.c.0 leading to an incorrect deletion of text in 8.6.5.1.

Summary of change: ⌘ 1) In order to remove the current inconsistency in the spec. and avoid ciphering failure the text on the UE handling of pending activation times in DL is removed. The UE shall always apply the new configuration at the activation time received in the message. (8.6.3.4)

2) For SRB2 the activation time shall not be set equal to any pending activation time. Instead it shall always be set "to ensure minimised delay for the new configuration", in the same way as for the case when there are no pending

activation times. (8.6.3.4)

4) The IE integrity check info is made CH in COUNTER CHECK and COUNTER CHECK RESPONSE to align with ASN.1 (10.2.9, 10.2.10)

5) It is specified that the COUNT-C activation time shall be set at least 200 frames in the future calculated from the CFN where the message is transmitted (several places).

6) The misspelled IE "RLC send sequence number" is corrected to "RLC sequence number" (several places)

7) It is clarified what a pending activation time is, since the procedure text have specific actions for pending activation times (8.1.12.2.1, 8.1.12.2.2, 8.6.3.4, 8.6.3.5).

8) The text indicating that SECURITY MODE COMMAND can be used to stop

ciphering is removed to align with previously agreed changes (8.1.12.1)

9) The tabular section is updated with text in the semantics description clarifying that UTRAN should not include IE "Ciphering Mode Info" in messages performing SRNS relocation unless ciphering algorithm is being changed.

10) CR1630 correctly implemented in 8.6.5.1 – reference to actions for ACTIVE SET UPDATE removed and reference to actions regarding "reconfiguration message" re-stated.

11) Section 8.6.3.5 is divided into subsections for readability

***Consequences if
not approved:***

- ⌘ 1) At a consecutive SECURITY MODE COMMAND, a ciphering configuration may be applied at different time points at UTRAN and UE leading to ciphering failure. In some

cases the different start points for ciphering in UE and UTRAN may lead to HFN out of sync, which would cause permanent ciphering failure on a RB/SRB.

2) The UE may set the COUNT-C activation time incorrectly which would cause ciphering failure on TM.

If the CR is not implemented at all or if the CR is implemented in UTRAN but not in the UE:

Potential ciphering failure at consecutive SECURITY MODE COMMAND. Potential failure of ciphering on TM due to a restrictive setting of the activation time by the UE. Potential erroneous application of activation times leading to ciphering failure.

If the CR is implemented in the UE but not in UTRAN:

The system will work as intended. However, UTRAN should be aware of the alignment of the tabular to ASN.1

Clauses affected: ⌘ 8.1.12.1, 8.1.12.2.1, 8.1.12.2.2, 8.2.2.3, 8.3.6.3, 8.6.3.4, 8.6.3.5, 8.6.6.28, 10.2.9, 10.2.10; [8.6.5.1](#)

Other specs affected:

Y	N
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

⌘ Other core specifications ⌘
⌘ Test specifications ⌘
⌘ O&M Specifications ⌘

Other comments: ⌘

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.12.1 General

The purpose of this procedure is to trigger the ~~stop or~~ start of ciphering or to command the restart of the ciphering with a new ciphering configuration, for the radio bearers of one CN domain and for all signalling radio bearers.

It is also used to start integrity protection or to modify the integrity protection configuration for all signalling radio bearers.

8.1.12.2 Initiation

8.1.12.2.1 Ciphering configuration change

To start/restart ciphering, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the most recent ciphering configuration. If no such ciphering configuration exists then the SECURITY MODE COMMAND is not ciphered. UTRAN should not transmit a SECURITY MODE COMMAND to signal a change in ciphering algorithm.

When configuring ciphering, UTRAN should ensure that the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain, in total over all radio bearers at any given time. For signalling radio bearers the total number of ciphering configurations that need to be stored is at most three. Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

- 1> suspend all radio bearers using RLC-AM or RLC-UM and all signalling radio bearers using RLC-AM or RLC-UM, except the signalling radio bearer used to send the SECURITY MODE COMMAND message on the downlink DCCH in RLC-AM, and except signalling radio bearer RBO, according to the following:
 - 2> not transmit RLC PDUs with sequence number greater than or equal to the number in IE "Radio bearer downlink ciphering activation time info" on all suspended radio bearers and all suspended signalling radio bearers.
 - 1> set, for the signalling radio bearer used to send the SECURITY MODE COMMAND, the "RLC ~~send~~-sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
 - 1> if a transparent mode radio bearer for this CN domain exists:
 - 2> include the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
- 1> consider an ciphering activation time in downlink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;
- 1> set, for each suspended radio bearer and signalling radio bearer that has no pending ciphering activation time set by a previous security mode control procedure, an "RLC ~~send~~-sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
 - 1> set, for each suspended radio bearer and signalling radio bearer that has a pending ciphering activation time set by a previous security mode control procedure, the "RLC ~~send~~-sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" to the value used in the previous security mode control procedure, at which time the latest ciphering configuration shall be applied;
 - 1> if Integrity protection has already been started for the UE:
 - 2> if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:
 - 3> include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND.
 - 2> if the IE "CN domain identity" in the SECURITY MODE COMMAND is different from the IE "CN domain identity" that was sent in the previous SECURITY MODE COMMAND message to the UE:

3> include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND.

1> transmit the SECURITY MODE COMMAND message on RB2.

8.1.12.2.2 Integrity protection configuration change

To start or modify integrity protection, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the new integrity protection configuration. UTRAN should not "modify" integrity protection for a CN domain to which a SECURITY MODE COMMAND configuring integrity protection has been previously sent for an ongoing signalling connection unless the application of new integrity keys needs to be signalled to the UE. UTRAN should not transmit a SECURITY MODE COMMAND to signal a change in integrity protection algorithm.

When configuring Integrity protection, UTRAN should:

- 1> ensure that the UE needs to store at most three different Integrity protection configurations (keysets) at any given time. This includes the total number of Integrity protection configurations for all signalling radio bearers;
- 1> if Ciphering has already been started for the UE for the CN domain to be set in the IE "CN domain identity" in the SECURITY MODE COMMAND:
 - 2> if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:
 - 3> include the IE "Ciphering mode info" in the SECURITY MODE COMMAND.
 - 1> if Ciphering has already been configured for the UE for a CN domain different from the CN domain to be set in the IE "CN domain identity" in the SECURITY MODE COMMAND:
 - 2> include the IE "Ciphering mode info" in the SECURITY MODE COMMAND.

Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

- 1> if this is the first SECURITY MODE COMMAND sent for this RRC connection:
 - 2> if new keys have been received:
 - 3> initialise the hyper frame numbers as follows:
 - 4> set all bits of the hyper frame numbers of the COUNT-I values for all signalling radio bearers to zero.
 - 2> else (if new keys have not been received):
 - 3> use the value "START" in the most recently received IE "START list" or IE "START" that belongs to the CN domain indicated in the IE "CN domain identity" to initialise all hyper frame numbers of COUNT-I for all the signalling radio bearers by:
 - 4> setting the 20 most significant bits of the hyper frame numbers for all signalling radio bearers to the value "START" in the most recently received IE "START list" or IE "START" for that CN domain;
 - 4> setting the remaining bits of the hyper frame numbers equal to zero.
 - 1> else (this is not the first SECURITY MODE COMMAND sent for this RRC connection):
 - 2> if new keys have been received:
 - 3> initialise the hyper frame number for COUNT-I for RB2 as follows:
 - 4> set all bits of the HFN of the COUNT-I value for RB2 to zero.
 - 2> if new keys have not been received:
 - 3> initialise the hyper frame number for COUNT-I for RB2 as follows:

- 4> set the 20 most significant bits of the HFN of the downlink and uplink COUNT-I to the value of the most recently received IE "START" or IE "START ~~LIST~~list" for the CN domain to be set in the IE "CN Domain Identity";
 - 4> set the remaining bits of the HFN of the downlink and uplink COUNT-I to zero.
- 1> if the IE "Integrity protection mode command" has the value "Start":
- 2> prohibit the transmission of signalling messages with any RRC SN on all signalling radio bearers, except RB2;
 - 2> set the FRESH value in the IE "Integrity protection initialisation number", included in the IE "Integrity protection mode info".
- 1> if the IE "Integrity protection mode command" has the value "Modify":
- 2> for each signalling radio bearer RBn, except RB2:
 - 3> prohibit the transmission of signalling messages with RRC SN greater or equal to the RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info".
 - [2> consider an integrity protection activation time in downlink to be pending until the selected activation time is equal to the next RRC sequence number to be used, which means that the last RRC message using the old integrity protection configuration has been submitted to lower layers;](#)
 - 2> set, for each signalling radio bearer RBn, that has no pending integrity protection activation time set by a previous security mode control procedure, an RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info", at which time the new integrity protection configuration shall be applied;
 - 2> set, for each signalling radio bearer RBn, that has a pending integrity protection activation time set by a previous security mode control procedure, the RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info", to the value used in the previous security mode control procedure, at which time the latest integrity protection configuration shall be applied.
 - 1> transmit the SECURITY MODE COMMAND message on RB2 using the new integrity protection configuration.

8.1.12.3 Reception of SECURITY MODE COMMAND message by the UE

Upon reception of the SECURITY MODE COMMAND message, the UE shall:

- 1> if neither IE "Ciphering mode info" nor IE "Integrity protection mode info" is included in the SECURITY MODE COMMAND:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the IE "Security capability" is the same as indicated by variable UE_CAPABILITY_TRANSFERRED, and the IE "GSM security capability" (if included in the SECURITY MODE COMMAND) is the same as indicated by the variable UE_CAPABILITY_TRANSFERRED:
 - 2> set the variable LATEST_CONFIGURED_CN_DOMAIN equal to the IE "CN domain identity";
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for the CN domain indicated in the IE "CN domain identity" in the received SECURITY MODE COMMAND to the value "Affected";
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all CN domains other than the CN domain indicated in the IE "CN domain identity" to "Not affected";
 - 2> set the IE "RRC transaction identifier" in the SECURITY MODE COMPLETE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and

- 2> clear that entry;
- 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
 - 3> perform the actions as specified in subclause 8.6.3.4.
- 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
 - 3> perform the actions as specified in subclause 8.6.3.5.
- 1> prior to sending the SECURITY MODE COMPLETE message:
 - 2> use the old ciphering configuration for this message;
 - 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
 - 3> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;
 - 3> for each radio bearer and signalling radio bearer that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:
 - 4> start or continue incrementing the COUNT-C values for all RLC-AM and RLC-UM signalling radio bearers at the ciphering activation time as specified in the procedure;
 - 4> start or continue incrementing the COUNT-C values common for all transparent mode radio bearers for this CN domain at the ciphering activation time as specified in the procedure;
 - 4> continue incrementing the COUNT-C values for all RLC-AM and RLC-UM radio bearers.
 - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:
 - 4> for ciphering on signalling radio bearers using RLC-AM and RLC-UM in the downlink, at the RLC sequence number indicated in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" included in the SECURITY MODE COMMAND, for each signalling radio bearer:
 - 5> set the 20 most significant bits of the HFN component of the downlink COUNT-C to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 5> set the remaining bits of the hyper frame numbers to zero.
 - 3> if new keys have been received:
 - 4> perform the actions in subclause 8.1.12.3.1.
 - 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
 - 3> include and set the IE "Uplink integrity protection activation info" to the value of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO for each signalling radio bearer;
 - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, for RB2:
 - 4> in the downlink, for the received SECURITY MODE COMMAND message:
 - 5> set the 20 most significant bits of the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 5> set the remaining bits of the IE "Downlink RRC HFN" to zero.
 - 4> in the uplink, for the transmitted response message, SECURITY MODE COMPLETE:

- 5> set the 20 most significant bits of the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 5> set the remaining bits of the IE "Uplink RRC HFN" to zero.
- 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, for each signalling radio bearer other than RB2:
 - 4> if the IE "Integrity protection mode command" has the value "start":
 - 5> in the downlink, for this signalling radio bearer:
 - 6> set the 20 most significant bits of IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to the value START transmitted in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 6> set the remaining bits of the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero;
 - 4> else:
 - 5> in the downlink, for the first message for which the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info", for this signalling radio bearer:
 - 6> set the 20 most significant bits of the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 6> set the remaining bits of the IE "Downlink RRC HFN" to zero.
 - 3> if new keys have been received:
 - 4> perform the actions in subclause 8.1.12.3.1.
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted SECURITY MODE COMPLETE message;
 - 2> transmit the SECURITY MODE COMPLETE message on the uplink DCCH in AM RLC;
- 1> when the successful delivery of the SECURITY MODE COMPLETE message has been confirmed by RLC:
 - 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
 - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:
 - 4> for ciphering on signalling radio bearers using RLC-AM and RLC-UM in the uplink, at the RLC sequence number indicated in IE "Radio bearer uplink ciphering activation time info" included in the SECURITY MODE COMPLETE, for each signalling radio bearer:
 - 5> set the HFN component of the uplink COUNT-C to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 5> set the remaining bits of the hyper frame numbers to zero.
 - 3> if new keys have been received:
 - 4> perform the actions in subclause 8.1.12.3.1.

- 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
- 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
- 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
 - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, for each signalling radio bearer other than RB2:
 - 4> if the IE "Integrity protection mode command" has the value "start":
 - 5> in the uplink, for this signalling radio bearer:
 - 6> set the 20 most significant bits of IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to the value START transmitted in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 6> set the remaining bits of the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.
 - 4> else:
 - 5> in the uplink, for the first transmitted RRC message for this signalling radio bearer with RRC sequence number equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the transmitted SECURITY MODE COMPLETE, for this signalling radio bearer:
 - 6> set the 20 most significant bits of the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 6> set the remaining bits of the IE "Uplink RRC HFN" to zero.
 - 3> if new keys have been received:
 - 4> perform the actions in subclause 8.1.12.3.1.
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and
 - 3> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
 - 2> clear the variable SECURITY_MODIFICATION;
 - 2> notify upper layers upon change of the security configuration;
 - 2> and the procedure ends.
 - 1> if the IE "Security capability" is not the same as indicated by the variable UE_CAPABILITY_TRANSFERRED, or the IE "GSM security capability" (if included in the SECURITY MODE COMMAND) is not the same as indicated by the variable UE_CAPABILITY_TRANSFERRED, or if the IE "GSM security capability" is not included in the SECURITY MODE COMMAND and is included in the variable UE_CAPABILITY_TRANSFERRED:
 - 2> release all its radio resources;

- 2> indicate the release of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
- 2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 2> clear the variable ESTABLISHED_RABS;
- 2> clear the variable SECURITY_MODIFICATION;
- 2> enter idle mode;
- 2> perform actions when entering idle mode as specified in subclause 8.5.2;
- 2> and the procedure ends.

8.1.12.3.1 New ciphering and integrity protection keys

If a new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, the UE shall:

- 1> set the START value for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN to zero;
- 1> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
 - 2> for integrity protection in the downlink on each signalling radio bearer except RB2:
 - 3> if IE "Integrity protection mode command" has the value "start":
 - 4> for the first received message on this signalling radio bearer:
 - 5> start using the new integrity key;
 - 5> for this signalling radio bearer:
 - 6> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.
 - 3> else:
 - 4> for the first message for which the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info":
 - 5> start using the new integrity key;
 - 5> for this signalling radio bearer:
 - 6> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.
 - 2> for integrity protection in the uplink on each signalling radio bearer except RB2:
 - 3> for the first message for which the RRC sequence number in a to be transmitted RRC message for this signalling radio bearer is equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the transmitted SECURITY MODE COMPLETE message:
 - 4> start using the new integrity key;
 - 4> for this signalling radio bearer:
 - 5> set the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.
 - 2> for integrity protection in the downlink on signalling radio bearer RB2:

- 3> at the received SECURITY MODECOMMAND:
 - 4> start using the new integrity key;
 - 4> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.
- 2> for integrity protection in the uplink on signalling radio bearer RB2 :
 - 3> at the transmitted SECURITY MODE COMPLETE:
 - 4> start using the new integrity key;
 - 4> set the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.
- 1> if the SECURITY MODE COMMAND message contained the IE "Cipherng mode info":
 - 2> for each signalling radio bearer and for each radio bearer for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:
 - 3> if the IE "Status" in the variable CIPHERING_STATUS has the value "Started" for this CN domain, then for cipherng on radio bearers using RLC-TM:
 - 4> at the CFN as indicated in the IE "Cipherng activation time for DPCH" in the IE "Cipherng mode info":
 - 5> start using the new key in uplink and downlink;
 - 5> set the HFN component of the COUNT-C to zero.
 - 3> if the IE "Status" in the variable CIPHERING_STATUS has the value "Started" for this CN domain, then for cipherng on radio bearers and signalling radio bearers using RLC-AM and RLC-UM:
 - 4> in the downlink, at the RLC sequence number indicated in IE "Radio bearer downlink cipherng activation time info" in the IE "Cipherng mode info":
 - 5> start using the new key;
 - 5> set the HFN component of the downlink COUNT-C to zero.
 - 4> in the uplink, at the RLC sequence number indicated in IE "Radio bearer uplink cipherng activation time info":
 - 5> start using the new key;
 - 5> set the HFN component of the uplink COUNT-C to zero.
 - 1> consider the value of the latest transmitted START value to be zero.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or

- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

- 1> in FDD; or
- 1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 2> remove any C-RNTI from MAC;
 - 2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent:

2> not change its current UL Physical channel configuration.1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> re-establish RB2;
 - 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
 - 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
 - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE, [that lies at least 200 frames ahead of the CFN in which the response message is first transmitted](#).

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration messages unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP_SN_INFO is not empty:
 - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
 - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

- 1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> the procedure ends.
- 1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:
 - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 2> when the cell update procedure is successfully completed:
 - 3> the procedure ends.
- 1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:
 - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
 - 2> when the URA update procedure is successfully completed:
 - 3> the procedure ends.

8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following. The UE shall:

- 1> store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and
- 1> initialise the variable ESTABLISHED_SIGNALLING_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;
- 1> initialise the variable UE_CAPABILITIES_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;
- 1> initialise the variable TIMERS_AND_CONSTANTS to the default values and start to use those timer and constants values;
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":
 - 2> initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";
 - 2> initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;
 - 2> store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and

2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".

1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":

2> initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";

2> initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;

NOTE IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used

2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".

1> if IE "Specification mode" is set to "Preconfiguration":

2> use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:

3> 0 dB for the power offset $P_{\text{Pilot-DPCH}}$ bearer in FDD;

3> calculate the Default DPCH Offset Value using the following formula:

3> in FDD:

$$\text{Default DPCH Offset Value} = (\text{SRNTI} \cdot 2 \bmod 600) * 512$$

3> in TDD:

$$\text{Default DPCH Offset Value} = (\text{SRNTI} \cdot 2 \bmod 7)$$

3> handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.

1> if IE "Specification mode" is set to "Complete specification":

2> initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.

1> perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;

1> set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message equal to the START value for each CN domain stored in the USIM if the USIM is present, or as stored in the UE for each CN domain if the SIM is present;

1> if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the variable LATEST_CONFIGURED_CN_DOMAIN to the value indicated in the IE "CN domain identity", or to the CS domain when this IE is not present;

3> set the 20 MSB of the HFN component of the COUNT-C variable for all radio bearers using RLC-TM and all signalling radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER_RAT_HANDOVER_INFO_TRANSFERRED";

3> set the remaining LSBs of the HFN component of COUNT-C for all radio bearers using RLC-TM and all signalling radio bearers to zero;

- 3> not increment the HFN component of COUNT-C for radio bearers using RLC-TM, i.e. keep the HFN value fixed without incrementing every CFN cycle;
 - 3> set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;
 - 3> set the IE "Status" in the variable CIPHERING_STATUS to "Started";
 - 3> apply the algorithm according to IE "Ciphering Algorithm" and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.
- 1> if ciphering has not been activated and ongoing in the radio access technology from which inter-RAT handover is performed:
 - 2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:
 - 3> set the IE "Status" in the variable CIPHERING_STATUS to "Not Started".

If the UE succeeds in establishing the connection to UTRAN, it shall:

- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> include the IE "COUNT-C activation time" in the response message and specify a CFN value [for this IE](#) other than the default, "Now", [that lies at least 200 frames ahead of the CFN in which the response message is first transmitted](#)~~for this IE~~;
 - 2> at the CFN value as indicated in the response message in the IE "COUNT-C activation time" for radio bearers using RLC-TM:
 - 3> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one;
 - 3> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
 - 3> step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.
- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Not Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> initialise the 20 MSB of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value as indicated in the IE "START list" of the response message for the relevant CN domain;
 - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 2> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
- 1> transmit a HANDOVER TO UTRAN COMPLETE message on the uplink DCCH, using, if ciphering has been started, the new ciphering configuration;
- 1> when the HANDOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:
 - 2> enter UTRA RRC connected mode in state CELL_DCH;
 - 2> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;
 - 2> for all radio bearers using RLC-AM or RLC-UM:

- 3> set the 20 MSB of the HFN component of the uplink and downlink COUNT-C variable to the START value indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one;
 - 3> start incrementing the COUNT-C values.
- 1> and the procedure ends.

8.6.3.4 Cipherng mode info

The IE "Cipherng mode info" defines the new cipherng configuration. At any given time, the UE needs to store at most two different cipherng configurations (keyset and algorithm) per CN domain at any given time in total for all radio bearers and three configurations in total for all signalling radio bearers.

If the IE "Cipherng mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE, the UE shall:

- 1> ignore this second attempt to change the cipherng configuration; and
- 1> set the variable INCOMPATIBLE_SECURITY_RECONFIGURATION to TRUE.

If the IE "Cipherng mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to FALSE, the UE shall:

- 1> if none of the IE "Status" in the variable CIPHERING STATUS has the value "Started", and this IE "Cipherng mode info" was included in a message that is not the message SECURITY MODE COMMAND; or
 - 1> if the IE "Cipherng Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one cipherng activation time in the IE "Radio bearer downlink cipherng activation time info" for each established RLC-AM and RLC-UM radio bearers included in the IE "RB information" in the IE "ESTABLISHED_RABS" for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN; or
 - 1> if the IE "Cipherng Mode Info" was received in the message SECURITY MODE COMMAND and the IE "Cipherng activation time for DPCH" is not included in the message, and there exist radio bearers using RLC-TM according to the IE "RB information" in the IE "ESTABLISHED_RABS" for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN; or
 - 1> if the IE "Cipherng Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one cipherng activation time in the IE "Radio bearer downlink cipherng activation time info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS":
 - 2> ignore this attempt to change the cipherng configuration;
 - 2> set the variable INVALID_CONFIGURATION to TRUE;
 - 2> perform the actions as specified in subclause 8.1.12.4c.
- 1> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to TRUE;
- 1> set the IE "Status" in the variable CIPHERING_STATUS of the CN domains for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" to "Started";
 - 1> apply the new cipherng configuration in the lower layers for all RBs that belong to a CN domain for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" and all signalling radio bearers:
 - 2> using the cipherng algorithm (UEA [40]) indicated by the IE "Cipherng algorithm" as part of the new cipherng configuration;

- 2> for each radio bearer that belongs to a CN domain for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" and all signalling radio bearers:
 - 3> using the value of the IE "RB identity" in the variable ESTABLISHED_RABS minus one as the value of BEARER [40] in the ciphering algorithm.

1> apply the new ciphering configuration as follows:

~~2> consider an activation time in downlink to be pending:~~

~~3> for UM RLC until an UMD PDU with sequence number equal to or larger than activation time-1 has been received;~~

~~3> for AM RLC until all AMD PDUs with sequence numbers up to and including activation time-1 have been received;~~

~~3> for TM RLC until the CFN indicated in the activation time has been reached.~~

~~2> if there are pending activation times in downlink set for ciphering by a previous procedure changing the ciphering configuration for a radio bearer or signalling radio bearer:~~

~~3> apply the ciphering configuration included in the current message at this pending activation time.~~

~~Clause, please ensure that the bulleting for the immediately following text is at 32.~~

2> if the ciphering configuration ~~is pending~~ for a radio bearer or signalling radio bearer ~~from due to~~ a previously received SECURITY MODE COMMAND ~~has not yet been applied because of the corresponding activation times not having not elapsed~~ and the current received message includes the IE "DL Counter Synch Info" or the current received message is a RADIO BEARER RECONFIGURATION message and includes the IE "New U-RNTI":

3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

4> consider the new ciphering configuration to include the received new keys; and

4> initialise the HFN values of the COUNT-C for the corresponding radio bearers or signalling radio bearers according to subclause 8.1.12.

3> else:

4> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

4> initialise the HFN values of the COUNT-C for the corresponding radio bearers or signalling radio bearers according to subclause 8.1.12 using the START value associated with the LATEST_CONFIGURED_CN_DOMAIN to be transmitted in the response to the current message.

3> apply the new ciphering configuration in uplink and downlink immediately following RLC re-establishment.

2> if the IE "Ciphering activation time for DPCH" is present in the IE "Ciphering mode info" and the UE was in CELL_DCH state prior to this procedure:

3> for radio bearers using RLC-TM:

4> apply the old ciphering configuration for CFN less than the number indicated in the IE "Ciphering activation time for DPCH";

4> apply the new ciphering configuration for CFN greater than or equal to the number indicated in IE "Ciphering activation time for DPCH".

2> if the IE "Radio bearer downlink ciphering activation time info" is present:

3> apply the following procedure for each radio bearer and signalling radio bearers using RLC-AM or RLC-UM indicated by the IE "RB identity":

- 4> suspend uplink transmission on the radio bearer or the signalling radio bearer (except for the SRB where the response message is transmitted) according to the following:
 - 5> do not transmit RLC PDUs with sequence number greater than or equal to the uplink activation time, where the uplink activation time is selected according to the rules below.
- 4> select an "RLC ~~send~~-sequence number" at which (activation) time the new ciphering configuration shall be applied in uplink for that radio bearer according to the following:
 - 5> consider an ciphering activation time in uplink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;
 - 5> for each radio bearer and signalling radio bearer that has no pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:
 - 6> set a suitable value that would ensure a minimised delay in the change to the latest ~~security~~ ciphering configuration.
 - 5> for each radio bearer and signalling radio bearer that has a pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:
 - 6> for radio bearers and signalling radio bearers except SRB2, set the same value as the pending ciphering activation time;
 - 6> for signalling radio bearer SRB2, set a suitable value that would ensure a minimised delay in the change to the latest ciphering configuration.
 - ~~5> consider this activation time in uplink to be elapsed when the selected activation time (as above) is equal to the "RLC send sequence number";~~
- 4> store the selected "RLC ~~send~~-sequence number" for that radio bearer in the entry for the radio bearer in the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;
- 4> switch to the new ciphering configuration according to the following:
 - 5> use the old ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers smaller than the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;
 - 5> use the new ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers greater than or equal to the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;
 - 5> for a radio bearer using RLC-AM, when the RLC sequence number indicated in the IE "Radio bearer downlink ciphering activation time info" falls below the RLC receiving window and the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" falls below the RLC transmission window, the UE may release the old ciphering configuration for that radio bearer;
 - 5> if an RLC reset or re-establishment occurs before the activation time for the new ciphering configuration has been reached, ignore the activation time and apply the new ciphering configuration immediately after the RLC reset or RLC re-establishment.

If the IE "Ciphering mode info" is not present, the UE shall:

- 1> not change the ciphering configuration.

8.6.3.5 Integrity protection mode info

The IE "Integrity protection mode info" defines the new integrity protection configuration. At any given time, the UE needs to store at most three different integrity protection configurations (keysets) in total for all signalling radio bearers for all CN domains.

If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE, the UE shall:

1> ignore this second attempt to change the integrity protection configuration; and

1> set the variable INCOMPATIBLE_SECURITY_RECONFIGURATION to TRUE.

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and the IE "Integrity protection mode command-info" was not included in the message SECURITY MODE COMMAND; or

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and the IE "Integrity protection mode info" was included in the message SECURITY MODE COMMAND, and the IE "Integrity protection algorithm" is not included; or

If the IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not Started"; or

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started", and the IE "Integrity protection mode command-info" was included in the message SECURITY MODE COMMAND; or

If the IE "Integrity protection mode command" has the value "Modify" and there does not exist exactly one integrity protection activation time in the IE "Downlink integrity protection activation info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS"; or

If IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started", and the IE "Integrity protection mode info" was not included in the message SECURITY MODE COMMAND;

the UE shall:

1> ignore this attempt to change the integrity protection configuration; and

1> set the variable INVALID_CONFIGURATION to TRUE.

If the IE "Integrity protection mode info" is not present, the UE shall:

1> not change the integrity protection configuration.

If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to FALSE, the UE shall:

1> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to TRUE;

1> perform the actions in accordance with subclauses 8.6.3.5.1, 8.6.3.5.2 and 8.6.3.5.3.;

8.6.3.5.1 Initialization of Integrity Protection

The UE shall:

1> if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and this IE was included in the message SECURITY MODE COMMAND:

2> initialise the information for all signalling radio bearers in the variable INTEGRITY_PROTECTION_INFO according to the following:

3> set the IE "Uplink RRC Message sequence number" in the variable INTEGRITY_PROTECTION_INFO to zero;

3> do not set the IE "Downlink RRC Message sequence number" in the variable INTEGRITY_PROTECTION_INFO;

- 3> set the variable INTEGRITY_PROTECTION_ACTIVATION_INFO to zero for each signalling radio bearer in the IE "ESTABLISHED_RABS".

NOTE: The IE "Integrity protection activation info" and "RRC Message sequence number" included in the IE "Integrity Check Info" in the transmitted message do not have identical values, but integrity protection is applied from the first transmitted message.

- 2> set the IE "Status" in the variable INTEGRITY_PROTECTION_INFO to the value "Started";
- 2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:
 - 3> using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";
 - 3> using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].
- 2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED_RABS" except RB2 at the next received RRC message;
- 2> start applying the new integrity protection configuration in the downlink for signalling radio bearer RB2 from and including the received SECURITY MODE COMMAND message;
- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted SECURITY MODE COMPLETE message;
- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RB2 at the uplink activation time included in the IE "Uplink integrity protection activation info".

8.6.3.5.2 Integrity Protection Re-configuration for SRNS Relocation

The UE shall:

- 1> if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and this IE was not included SECURITY MODE COMMAND:

NOTE: This case is used in SRNS relocation

- 2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:
 - 3> using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";
 - 3> using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].
- 2> let RB_m be the signalling radio bearer where the reconfiguration message was received and let RB_n be the signalling radio bearer where the response message is transmitted;
- 2> prohibit transmission of RRC messages on all signalling radio bearers in the IE "ESTABLISHED_RABS" except on RB₀ and the radio bearer where the response message is transmitted;
- 2> if for a signalling radio bearer, a security configuration triggered by a previous SECURITY MODE COMMAND ~~is has not yet pending~~ been applied, due to the activation time for the signalling radio bearer not having elapsed:
 - 3> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 4> consider the new integrity protection configuration to include the received new keys; and
 - 4> initialise the HFN of the COUNT-I values of the corresponding signalling radio bearers according to subclause 8.1.12.

3> else:

- 4> consider the new Integrity Protection configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN associated with the previously received SECURITY MODE COMMAND; and
- 4> initialise the HFN of the COUNT-I values of the corresponding signalling radio bearers according to subclause 8.1.12 using the START value associated with the LATEST_CONFIGURED_CN_DOMAIN to be transmitted in the response to the current message.
- 2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED_RABS" except RBm at the next received RRC message ~~disregarding any pending activation times~~ for the corresponding signalling radio bearer;
- 2> start applying the new integrity protection configuration in the downlink for signalling radio bearer RBm from and including the received configuration message;
- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RBn from and including the transmitted response message;
- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RBn from the first message onwards.

NOTE: The UTRAN should ignore the information included in the IE "Uplink integrity protection [activation info](#)".

8.6.3.5.3 Integrity Protection modification in case of new keys or initialisation of signalling connection

The UE shall:

- 1> if IE "Integrity protection mode command" has the value "modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and this IE was included in SECURITY MODE COMMAND:
 - 2> store the (oldest currently used) integrity protection configuration until activation times have elapsed for the new integrity protection configuration to be applied on all signalling radio bearers;
 - ~~2> if there are pending activation times set for integrity protection by a previous procedure changing the integrity protection configuration:~~
 - ~~3> apply the integrity protection configuration at this pending activation time as indicated in this procedure.~~
 - 2> start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each signalling radio bearer n, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info";
 - 2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1;
 - 3> if present, use the algorithm indicated by the IE "Integrity protection algorithm" (UIA [40]);
 - 2> set the content of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO according to the following:
 - 3> for each established signalling radio bearer, stored in the variable ESTABLISHED_RABS:
 - 4> select a value of the RRC sequence number at which (activation) time the new integrity protection configuration shall be applied in uplink for that signalling radio bearer according to the following:
 - 5> for each signalling radio bearer that has no pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:

- 6> set a suitable value that would ensure a minimised delay in the change to the latest integrity protection configuration.
- 5> for signalling radio bearer that has a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:
 - 6> set the same value as the pending activation time for integrity protection;
 - 5> consider ~~this~~~~an~~~~(pending)~~-~~integrity protection~~ activation time ~~in uplink~~ -to be ~~elapsed~~-~~pending when~~-~~until~~ the selected activation time (as above) is equal to the next RRC sequence number to be used, which means that the last RRC message using the old integrity protection configuration has been submitted to lower layers.
- 4> for signalling radio bearer RB0:
 - 5> set the value of the included RRC sequence number to greater than or equal to the current value of the RRC sequence number for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO, plus the value of the constant N302 plus one.
 - 4> prohibit the transmission of RRC messages on all signalling radio bearers, except for RB2, with RRC SN greater than or equal to the value in the "RRC message sequence number list" for the signalling radio bearer in the IE "Uplink integrity protection activation info" of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 2> start applying the new integrity protection configuration in the uplink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Uplink integrity protection activation info", included in the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;
- 2> start applying the new integrity protection configuration in the uplink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration;
- 2> start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info";

NOTE: For signalling radio bearers that have a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration, UTRAN should set this value in IE "Downlink integrity protection activation info".

- 2> start applying the new integrity protection configuration in the downlink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration.

~~If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and the IE "Integrity protection mode command info" was not included in the message SECURITY MODE COMMAND; or~~

~~If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and the IE "Integrity protection mode info" was included in the message SECURITY MODE COMMAND, and the IE "Integrity protection algorithm" is not included; or~~

~~If the IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not Started"; or~~

~~If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started", and the IE "Integrity protection mode command info" was included in the message SECURITY MODE COMMAND; or~~

~~If the IE "Integrity protection mode command" has the value "Modify" and there does not exist exactly one integrity protection activation time in the IE "Downlink integrity protection activation info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS"; or~~

~~If IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started", and the IE "Integrity protection mode info" was not included in the message SECURITY MODE COMMAND:~~

~~the UE shall:~~

- ~~1> ignore this attempt to change the integrity protection configuration; and~~
- ~~1> set the variable INVALID_CONFIGURATION to TRUE.~~

~~If the IE "Integrity protection mode info" is not present, the UE shall:~~

- ~~1> not change the integrity protection configuration.~~

8.6.3.6 Void

8.6.5.1 Transport Format Set

If the IE "Transport format set" is included, the UE shall:

- 1> if the transport format set is a RACH TFS received in System Information Block type 5 or 6, and CHOICE "Logical Channel List" has a value different from "Configured":
 - 2> ignore that System Information Block.
- 1> if the transport format set for a downlink transport channel is received in a System Information Block, and CHOICE "Logical Channel List" has a value different from 'ALL':
 - 2> ignore that System Information Block.
- 1> if the transport format set for a downlink transport channel is received in a message on a DCCH, and CHOICE "Logical Channel List" has a value different from 'ALL':
 - 2> keep the transport format set if this exists for that transport channel;
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the value of any IE "RB identity" (and "Logical Channel" for RBs using two UL logical channels) in the IE "Logical channel list" does not correspond to a logical channel indicated to be mapped onto this transport channel in any RB multiplexing option (either included in the same message or previously stored and not changed by this message); or
- 1> if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is set to "Configured" while it is set to "All" or given as an "Explicit List" for any other RLC size; or
- 1> if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is set to "All" and for any logical channel mapped to this transport channel, the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is not set to "Configured"; or
- 1> if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is given as an "Explicit List" that contains a logical channel for which the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is not set to "Configured"; or
- 1> if the "Logical Channel List" for all the RLC sizes defined for that transport channel are given as "Explicit List" and if one of the logical channels mapped onto this transport channel is not included in any of those lists; or
- 1> if the "Logical Channel List" for the RLC sizes defined for that transport channel is set to "Configured" and for any logical channel mapped onto that transport channel, the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is also set to "Configured"; or
- 1> if the IE "Transport Format Set" was not received within the IE "PRACH system information list" and if the "Logical Channel List" for the RLC sizes defined for that transport channel is set to "Configured" and for any logical channel mapped onto that transport channel, the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is given as an "Explicit List" that includes an "RLC size index" that does not correspond to any RLC size in this "Transport Format Set":

- 2> keep the transport format set if this exists for that transport channel;
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the total number of configured transport formats for the transport channel exceeds maxTF:
- 2> keep the transport format set if this exists for that transport channel;
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the IE "Transport format set" is considered as valid according to the rules above:
- 2> remove a previously stored transport format set if this exists for that transport channel;
 - 2> store the transport format set for that transport channel;
 - 2> consider the first instance of the parameter *Number of TBs and TTI List* within the *Dynamic transport format information* to correspond to transport format 0 for this transport channel, the second to transport format 1 and so on;
 - 2> if the IE "Transport format Set" has the choice "Transport channel type" set to "Dedicated transport channel":
 - 3> calculate the transport block size for all transport formats in the TFS using the following

$$\text{TB size} = \text{RLC size} + \text{MAC header size},$$
 where:
 - MAC header size is calculated according to [15] if MAC multiplexing is used. Otherwise it is 0 bits;
 - 'RLC size' reflects the RLC PDU size.
 - 2> if the IE "Transport format Set" has the choice "Transport channel type" set to "Common transport channel":
 - 3> calculate the transport block size for all transport formats in the TFS using the following:

$$\text{TB size} = \text{RLC size}.$$
 - 2> if the IE "Number of Transport blocks" $\neq 0$ and IE "RLC size" = 0, no RLC PDU data exists but only parity bits exist for that transport format;
 - 2> if the IE "Number of Transport blocks" = 0, neither RLC PDU neither data nor parity bits exist for that transport format;
 - 2> configure the MAC with the new transport format set (with computed transport block sizes) for that transport channel;
 - 2> if the RB multiplexing option for a RB mapped onto that transport channel (based on the stored RB multiplexing option) is not modified by this message:
 - 3> determine the sets of RLC sizes that apply to the logical channels used by that RB, based on the IE "Logical Channel List" and/or the IE "RLC Size List" from the previously stored RB multiplexing option.
 - 3> if the IE "Transport Format Set" was received within the IE "PRACH system information list":
 - 4> ignore the RLC size indexes in the stored RB multiplexing option that do not correspond to any RLC size in the received Transport Format Set.
 - 3> if the IE "Transport Format Set" was received within the IE "PRACH system information list", if that RB is using AM and if RACH is the transport channel to be used on the uplink:
 - 4> apply the largest size amongst the ones derived according to the previous bullet for the RLC size (or RLC sizes in case the RB is realised using two logical channels) for the corresponding RLC entity.
 - 3> if the IE "Transport Format Set" was not received within the IE "PRACH system information list", and if that RB is using AM and the set of RLC sizes applicable to the logical channel transferring data PDUs has more than one element:

- 4> set the variable INVALID_CONFIGURATION to true.
- 3> if that RB is using AM and the RLC size applicable to the logical channel transporting data PDUs is different from the one derived from the previously stored configuration:
 - 4> re-establish the corresponding RLC entity;
 - 4> configure the corresponding RLC entity with the new RLC size;
 - 4> for each AM RLC radio bearer in the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS whose RLC size is changed; and
 - 4> for each AM RLC signalling radio bearer in the CN domain as indicated in the IE "CN domain identity" in the variable LATEST_CONFIGURED_CN_DOMAIN whose RLC size is changed:
 - 5> if this IE was included in system information and if the IE "Status" in variable CIPHERING_STATUS of this CN domain is set to "Started":
 - 6> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" for this CN domain that will be included in the CELL UPDATE message following cell reselection.

NOTE: Since the UE cannot predict the START value at the time of the next CELL UPDATE transmission in the future, UTRAN should desist from changing the RLC size for a signalling radio bearer within a cell. Other than this case the change in RLC size for a signalling radio bearer is known to the UE when reading system information following cell reselection.

- 5> if this IE was included in CELL UPDATE CONFIRM and if the IE "Status" in the variable CIPHERING_STATUS of this CN domain is set to "Started":
 - 6> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" included in the latest transmitted CELL UPDATE message for this CN domain.

~~5> if this IE was included in ACTIVE SET UPDATE and if the IE "Status" in the variable CIPHERING_STATUS of this CN domain is set to "Started":~~

~~6> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the ACTIVE SET UPDATE COMPLETE message for this CN domain.~~

5> if this IE was included in a reconfiguration message and if the IE "Status" in the variable CIPHERING_STATUS of this CN domain is set to "Started":

6> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the reconfiguration complete message for this CN domain.

- 3> if that RB is using UM:
 - 4> indicate the largest applicable RLC size to the corresponding RLC entity.
- 3> configure MAC with the set of applicable RLC Sizes for each of the logical channels used for that RB.

For configuration restrictions on Blind Transport Format Detection, see [27].

8.6.6.28 Downlink DPCH info common for all radio links

If the IE "Downlink DPCH info common for all RL" is included the UE shall:

- 1> if the IE "Downlink DPCH info common for all RL" is included in a message used to perform a hard handover:
 - 2> perform actions for the IE "Timing indication" as specified in subclause 8.5.15.2, and subclause 8.3.5.1 or 8.3.5.2.
- 1> ignore the value received in IE "CFN-targetSFN frame offset";
- 1> if the IE "Downlink DPCH power control information" is included:

- 2> perform actions for the IE "DPC Mode" according to [29].
- 1> if the IE choice "mode" is set to 'FDD':
 - 2> if the IE "Downlink rate matching restriction information" is included:
 - 3> set the variable INVALID_CONFIGURATION to TRUE.
 - 2> perform actions for the IE "spreading factor";
 - 2> perform actions for the IE "Fixed or Flexible position";
 - 2> perform actions for the IE "TFCI existence";
 - 2> if the IE choice "SF" is set to 256:
 - 3> store the value of the IE "Number of bits for pilot bits".
 - 2> if the IE choice "SF" set to 128:
 - 3> store the value of the IE "Number of bits for pilot bits".
- 1> if the IE choice "mode" is set to 'TDD':
 - 2> perform actions for the IE "Common timeslot info".

If the IE "Downlink DPCH info common for all RL" is included in a message used to perform a Timing re-initialised hard handover or the IE "Downlink DPCH info common for all RL" is included in a message other than RB SETUP used to transfer the UE from a state different from Cell_DCH to Cell_DCH, and ciphering is active for any radio bearer using RLC-TM, the UE shall, after having activated the dedicated physical channels indicated by that IE:

- 1> set the 20 MSB of the HFN component of COUNT-C for TM-RLC to the value of the latest transmitted IE "START" or "START List" for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
- 1> set the remaining LSBs of the HFN component of COUNT-C to zero;
- 1> start to perform ciphering on the radio bearer in lower layers while not incrementing the HFN;
- 1> include the IE "COUNT-C activation time" in the response message and specify a CFN value [for this IE](#) other than the default, "Now", [that lies at least 200 frames ahead of the CFN in which the response message is first transmitted](#) ~~for this IE~~;
- 1> calculate the START value according to subclause 8.5.9;
- 1> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the response message;
- 1> at the CFN value as indicated in the response message in the IE "COUNT-C activation time":
 - 2> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 2> increment the HFN component of the COUNT-C variable by one;
 - 2> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
 - 2> step the COUNT-C variable, as normal, at each CFN value, i.e. the HFN component is no longer fixed in value but incremented at each CFN cycle.

10.2.8 CELL UPDATE CONFIRM

This message confirms the cell update procedure and can be used to reallocate new RNTI information for the UE valid in the new cell.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
U-RNTI	CV-CCCH		U-RNTI 10.3.3.47	
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
RLC re-establish indicator (RB2, RB3 and RB4)	MP		RLC re-establish indicator 10.3.3.35	
RLC re-establish indicator (RB5 and upwards)	MP		RLC re-establish indicator 10.3.3.35	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN Information Elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
RB information to release list	OP	1 to <maxRB>		

Information Element/Group name	Need	Multi	Type and reference	Semantics description
>RB information to release	MP		RB information to release 10.3.4.19	
RB information to reconfigure list	OP	1 to <maxRB>		
>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE <i>mode</i>	MP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all	OP		DL Transport channel	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
transport channels			information common for all transport channels 10.3.5.6	
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	OP		Frequency info 10.3.6.36	
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
<i>CHOICE channel requirement</i>				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88.	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
<i>CHOICE mode</i>				
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link to be set-up
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

Condition	Explanation
CCCH	This IE is mandatory present when CCCH is used and ciphering is not required and not needed otherwise.

10.2.9 COUNTER CHECK

This message is used by the UTRAN to indicate the current COUNT-C MSB values associated to each radio bearer utilising UM or AM RLC mode and to request the UE to compare these to its COUNT-C MSB values and to report the comparison results to UTRAN.

RLC-SAP: AM

Logical channel: DCCH

Direction: UTRAN→UE

Information Element/Group name	Presence	Multi	IE type and reference	Semantics description
Message Type	MP			
UE information elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	MPCH		Integrity check info 10.3.3.16	
RB information elements				
RB COUNT-C MSB information	MP	1 to < maxRBallR ABs >		For each RB (excluding signalling radio bearers) using UM or AM RLC.
>RB COUNT-C MSB information	MP		RB COUNT-C MSB information 10.3.4.14	

10.2.10 COUNTER CHECK RESPONSE

This message is used by the UE to respond to a COUNTER CHECK message.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

Information Element/Group name	Presence	Multi	IE type and reference	Semantics description
Message Type	MP			
UE information elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	MPCH		Integrity check info 10.3.3.16	
RB information elements				
RB COUNT-C information	OP	1 to < maxRBallR ABs >		
>RB COUNT-C information	MP		RB COUNT-C information 10.3.4.15	

10.2.22 PHYSICAL CHANNEL RECONFIGURATION

This message is used by UTRAN to assign, replace or release a set of physical channels used by a UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
PhyCH information elements				
Frequency info	OP		Frequency info	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			10.3.6.36	
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing value of the maximum allowed UL TX power
CHOICE <i>channel requirement</i>	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
>CPCH set ID			CPCH set ID 10.3.5.3	
Downlink radio resources				
CHOICE <i>mode</i>	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

10.2.27 RADIO BEARER RECONFIGURATION

This message is sent from UTRAN to reconfigure parameters related to a change of QoS. This procedure can also change the multiplexing of MAC, reconfigure transport channels and physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN information elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
RAB information to reconfigure list	OP	1 to <maxRABsetup >		
>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11	
RB information to reconfigure list	MP	1to <maxRB>		Although this IE is not always required, need is MP to align with ASN.1
>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE mode	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	OP		Frequency info 10.3.6.36	
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE channel requirement				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Downlink radio resources				
CHOICE <i>mode</i>	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	MP	1 to <maxRL>		Although this IE is not always required, need is MP to align with ASN.1
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

10.2.30 RADIO BEARER RELEASE

This message is used by UTRAN to release a radio bearer. It can also include modifications to the configurations of transport channels and/or physical channels. It can simultaneously indicate release of a signalling connection when UE is connected to more than one CN domain.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
Signalling Connection release indication	OP		CN domain identity 10.3.1.1	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB Information Elements				
RAB information to reconfigure list	OP	1 to <maxRABsetup>		
>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11	
RB information to release list	MP	1 to <maxRB>		
>RB information to release	MP		RB information to release 10.3.4.19	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Deleted TrCH information list	OP	1 to <maxTrCH>		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE <i>mode</i>	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	OP		Frequency info 10.3.6.36	
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE <i>channel requirement</i>	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
CHOICE <i>mode</i>	MP			
>FDD				
>>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link to be set-up
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

10.2.33 RADIO BEARER SETUP

This message is sent by UTRAN to the UE to establish new radio bearer(s). It can also include modifications to the configurations of transport channels and/or physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB Information Elements				
Signalling RB information to setup list	OP	1 to <maxSRBs etup>		For each signalling radio bearer established
>Signalling RB information to setup	MP		Signalling RB information to setup 10.3.4.24	
RAB information to setup list	OP	1 to <maxRABs etup>		For each RAB established
>RAB information for setup	MP		RAB information for setup 10.3.4.10	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE <i>mode</i>	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels10.3.5.6	
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	OP		Frequency info 10.3.6.36	
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
<i>CHOICE channel requirement</i>				
>Uplink DPCH info	OP		Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
<i>CHOICE mode</i>				
>FDD	MP			
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link
>Downlink information for each radio link	MP		Downlink information for each	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			radio link 10.3.6.27	

10.2.50 TRANSPORT CHANNEL RECONFIGURATION

This message is used by UTRAN to configure the transport channel of a UE. This also includes a possible reconfiguration of physical channels. The message can also be used to assign a TFC subset and reconfigure physical channel.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
Downlink counter	OP			

Information Element/Group name	Need	Multi	Type and reference	Semantics description
synchronisation info				
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE <i>mode</i>	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	OP		Frequency info 10.3.6.36	
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE <i>channel requirement</i>	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
CHOICE <i>mode</i>	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

10.2.61 URA UPDATE CONFIRM

This message confirms the URA update procedure and can be used to reallocate new RNTI information for the UE valid after the URA update.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE information elements				
U-RNTI	<i>CV-CCCH</i>		U-RNTI 10.3.3.47	
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	Integrity check info is included if integrity protection is applied
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			10.3.3.8	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	

Condition	Explanation
CCCH	This IE is mandatory present when CCCH is used and not needed otherwise.

10.2.62 UTRAN MOBILITY INFORMATION

This message is used by UTRAN to allocate a new RNTI and to convey other UTRAN mobility related information to a UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
Integrity check info	CH		Integrity check info 10.3.3.16	
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering

Information Element/Group name	Need	Multi	Type and reference	Semantics description
				algorithm.
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
UE Timers and constants in connected mode	OP		UE Timers and constants in connected mode 10.3.3.43	
CN Information Elements				
CN Information info	OP		CN Information info full 10.3.1.3a	
UTRAN Information Elements				
URA identity	OP		URA identity 10.3.2.6	
RB Information elements				
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	

CHANGE REQUEST

⌘ **25.331** CR **1689** ⌘ rev **1** ⌘ Current version: **4.7.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps⌘ ME Radio Access Network Core Network

Title:	⌘	Handling of Cipherring and integrity protection activation times
Source:	⌘	Ericsson, Motorola
Work item code:	⌘	TEI
		Date: ⌘ September 2002
Category:	⌘	A
		Release: ⌘ Rel-4
		Use <u>one</u> of the following categories:
		Use <u>one</u> of the following releases:
		F (correction) 2 (GSM Phase 2)
		A (corresponds to a correction in an earlier release) R96 (Release 1996)
		B (addition of feature), R97 (Release 1997)
		C (functional modification of feature) R98 (Release 1998)
		D (editorial modification) R99 (Release 1999)
		Detailed explanations of the above categories can Rel-4 (Release 4)

be found in 3GPP [TR 21.900](#).

Rel-5 (Release 5)

Rel-6 (Release 6)

Reason for change: ☒ 1) The spec. currently contains contradicting text about the handling of pending activation times. In 8.6.3.4/ 8.6.3.5 it is both stated that the UE shall apply the new security configuration at the pending activation time and at the activation time in the received message.

2) The UE handling of pending activation times for the downlink does not work. The UE and UTRAN may not have the same opinion regarding if an activation time is pending or not and consequently ciphering/integrity may be applied at the wrong point in time in UTRAN and UE.

Examples where the UE handling of pending activation times in DL causes problems:

a) For AM if there are retransmissions using the old configuration but the new

configuration has already been used for one or more PDUs. The activation time is considered to be pending but it is not possible to "reuse" the old activation time since it has been passed.

b) For UM if a few PDUs have been sent with the new configuration but these are lost, the UE still thinks it has a pending activation time and will apply the new configuration at another time than the UTRAN (This is only a problem if the activation time is close to a CFN border, in which case a HFN wraparound can occur).

3) The SMC complete is transmitted with the old ciphering configuration. In the message, the activation times for all RBs/SRBs including SRB2 is given. It is also stated that the activation time for ciphering shall be set to the pending activation time from previous SMC procedures if any. This is contradictory and we propose to remove the latter requirement. Otherwise it would be impossible to set the activation time equal to the pending activation time as specified

4) The tabular of the COUNTER CHECK and COUNTER CHECK RESPONSE are not aligned with ASN.1. The Integrity check IE is MP in the tabular but not in ASN.1 (In all other messages Integrity check info is CH)

5) The UE setting of the IE "COUNT-C activation time" is currently not specified. If the UE does not set the activation time far enough in the future the ciphering will fail since the HFN will be out of sync. Basically the activation time must be set far enough in the future to consider possible retransmissions of the response message in bad radio conditions.

6) The IE "RLC sequence number" is mis-quoted as "RLC send sequence number" at several places.

7) The definition of pending activation times (in uplink) is unclear (talks about when activation times elapses)

8) It is currently (erroneously) stated that the SECURITY MODE COMMAND can

be used to stop ciphering, although this option has been removed.

9) The current specification is slightly ambiguous as to the inclusion of IE "Ciphering Mode Info" in messages that can perform SRNS relocation.

10) CR1630 was incorrectly implemented in v3.c.0 leading to an incorrect deletion of text in 8.6.5.1.

Summary of change: ⌘ 1) In order to remove the current inconsistency in the spec. and avoid ciphering failure the text on the UE handling of pending activation times in DL is removed. The UE shall always apply the new configuration at the activation time received in the message. (8.6.3.4)

2) For SRB2 the activation time shall not be set equal to any pending activation time. Instead it shall always be set "to ensure minimised delay for the new configuration", in the same way as for the case when there are no pending

activation times. (8.6.3.4)

4) The IE integrity check info is made CH in COUNTER CHECK and COUNTER CHECK RESPONSE to align with ASN.1 (10.2.9, 10.2.10)

5) It is specified that the COUNT-C activation time shall be set at least 200 frames in the future calculated from the CFN where the message is transmitted (several places).

6) The misspelled IE "RLC send sequence number" is corrected to "RLC sequence number" (several places)

7) It is clarified what a pending activation time is, since the procedure text have specific actions for pending activation times (8.1.12.2.1, 8.1.12.2.2, 8.6.3.4, 8.6.3.5).

8) The text indicating that SECURITY MODE COMMAND can be used to stop

ciphering is removed to align with previously agreed changes (8.1.12.1)

9) The tabular section is updated with text in the semantics description clarifying that UTRAN should not include IE "Ciphering Mode Info" in messages performing SRNS relocation unless ciphering algorithm is being changed.

10) CR1631 correctly implemented in 8.6.5.1 – reference to actions for ACTIVE SET UPDATE removed and reference to actions regarding "reconfiguration message" re-stated.

11) Section 8.6.3.5 is divided into subsections for readability

**Consequences if
not approved:**

⌘ 1) At a consecutive SECURITY MODE COMMAND, a ciphering configuration may be applied at different time points at UTRAN and UE leading to ciphering failure. In some

cases the different start points for ciphering in UE and UTRAN may lead to HFN out of sync, which would cause permanent ciphering failure on a RB/SRB.

2) The UE may set the COUNT-C activation time incorrectly which would cause ciphering failure on TM.

If the CR is not implemented at all or if the CR is implemented in UTRAN but not in the UE:

Potential ciphering failure at consecutive SECURITY MODE COMMAND. Potential failure of ciphering on TM due to a restrictive setting of the activation time by the UE. Potential erroneous application of activation times leading to ciphering failure.

If the CR is implemented in the UE but not in UTRAN:

The system will work as intended. However, UTRAN should be aware of the alignment of the tabular to ASN.1

Clauses affected: ⌘ 8.1.12.1, 8.1.12.2.1, 8.1.12.2.2, 8.2.2.3, 8.3.6.3, 8.6.3.4, 8.6.3.5, 8.6.6.28, 10.2.9, 10.2.10; 8.6.5.1

Other specs affected:

Y	N
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>

⌘ Other core specifications ⌘
⌘ Test specifications ⌘
⌘ O&M Specifications ⌘

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.12.1 General

The purpose of this procedure is to trigger the ~~stop or~~ start of ciphering or to command the restart of the ciphering with a new ciphering configuration, for the radio bearers of one CN domain and for all signalling radio bearers.

It is also used to start integrity protection or to modify the integrity protection configuration for all signalling radio bearers.

8.1.12.2 Initiation

8.1.12.2.1 Ciphering configuration change

To start/restart ciphering, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the most recent ciphering configuration. If no such ciphering configuration exists then the SECURITY MODE COMMAND is not ciphered. UTRAN should not transmit a SECURITY MODE COMMAND to signal a change in ciphering algorithm.

When configuring ciphering, UTRAN should ensure that the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain, in total over all radio bearers at any given time. For signalling radio bearers the total number of ciphering configurations that need to be stored is at most three. Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

- 1> suspend all radio bearers using RLC-AM or RLC-UM and all signalling radio bearers using RLC-AM or RLC-UM, except the signalling radio bearer used to send the SECURITY MODE COMMAND message on the downlink DCCH in RLC-AM, and except signalling radio bearer RBO, according to the following:
 - 2> not transmit RLC PDUs with sequence number greater than or equal to the number in IE "Radio bearer downlink ciphering activation time info" on all suspended radio bearers and all suspended signalling radio bearers.
- 1> set, for the signalling radio bearer used to send the SECURITY MODE COMMAND, the "RLC ~~send~~-sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
- 1> if a transparent mode radio bearer for this CN domain exists:
 - 2> include the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;

1> consider an ciphering activation time in downlink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;

- 1> set, for each suspended radio bearer and signalling radio bearer that has no pending ciphering activation time set by a previous security mode control procedure, an "RLC ~~send~~-sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
- 1> set, for each suspended radio bearer and signalling radio bearer that has a pending ciphering activation time set by a previous security mode control procedure, the "RLC ~~send~~-sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" to the value used in the previous security mode control procedure, at which time the latest ciphering configuration shall be applied;
- 1> if Integrity protection has already been started for the UE:
 - 2> if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:
 - 3> include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND.
 - 2> if the IE "CN domain identity" in the SECURITY MODE COMMAND is different from the IE "CN domain identity" that was sent in the previous SECURITY MODE COMMAND message to the UE:

3> include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND.

1> transmit the SECURITY MODE COMMAND message on RB2.

8.1.12.2.2 Integrity protection configuration change

To start or modify integrity protection, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the new integrity protection configuration. UTRAN should not "modify" integrity protection for a CN domain to which a SECURITY MODE COMMAND configuring integrity protection has been previously sent for an ongoing signalling connection unless the application of new integrity keys needs to be signalled to the UE. UTRAN should not transmit a SECURITY MODE COMMAND to signal a change in integrity protection algorithm.

When configuring Integrity protection, UTRAN should:

- 1> ensure that the UE needs to store at most three different Integrity protection configurations (keysets) at any given time. This includes the total number of Integrity protection configurations for all signalling radio bearers;
- 1> if Ciphering has already been started for the UE for the CN domain to be set in the IE "CN domain identity" in the SECURITY MODE COMMAND:
 - 2> if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:
 - 3> include the IE "Ciphering mode info" in the SECURITY MODE COMMAND.
- 1> if Ciphering has already been configured for the UE for a CN domain different from the CN domain to be set in the IE "CN domain identity" in the SECURITY MODE COMMAND:
 - 2> include the IE "Ciphering mode info" in the SECURITY MODE COMMAND.

Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

- 1> if this is the first SECURITY MODE COMMAND sent for this RRC connection:
 - 2> if new keys have been received:
 - 3> initialise the hyper frame numbers as follows:
 - 4> set all bits of the hyper frame numbers of the COUNT-I values for all signalling radio bearers to zero.
 - 2> else (if new keys have not been received):
 - 3> use the value "START" in the most recently received IE "START list" or IE "START" that belongs to the CN domain indicated in the IE "CN domain identity" to initialise all hyper frame numbers of COUNT-I for all the signalling radio bearers by:
 - 4> setting the 20 most significant bits of the hyper frame numbers for all signalling radio bearers to the value "START" in the most recently received IE "START list" or IE "START" for that CN domain;
 - 4> setting the remaining bits of the hyper frame numbers equal to zero.
- 1> else (this is not the first SECURITY MODE COMMAND sent for this RRC connection):
 - 2> if new keys have been received:
 - 3> initialise the hyper frame number for COUNT-I for RB2 as follows:
 - 4> set all bits of the HFN of the COUNT-I value for RB2 to zero.
 - 2> if new keys have not been received:
 - 3> initialise the hyper frame number for COUNT-I for RB2 as follows:

- 4> set the 20 most significant bits of the HFN of the downlink and uplink COUNT-I to the value of the most recently received IE "START" or IE "START ~~LIST~~list" for the CN domain to be set in the IE "CN Domain Identity";
 - 4> set the remaining bits of the HFN of the downlink and uplink COUNT-I to zero.
- 1> if the IE "Integrity protection mode command" has the value "Start":
 - 2> prohibit the transmission of signalling messages with any RRC SN on all signalling radio bearers, except RB2;
 - 2> set the FRESH value in the IE "Integrity protection initialisation number", included in the IE "Integrity protection mode info".
 - 1> if the IE "Integrity protection mode command" has the value "Modify":
 - 2> for each signalling radio bearer RBn, except RB2:
 - 3> prohibit the transmission of signalling messages with RRC SN greater or equal to the RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info".
 - 2> consider an integrity protection activation time in downlink to be pending until the selected activation time is equal to the next RRC sequence number to be used, which means that the last RRC message using the old integrity protection configuration has been submitted to lower layers;
 - 2> set, for each signalling radio bearer RBn, that has no pending integrity protection activation time set by a previous security mode control procedure, an RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info", at which time the new integrity protection configuration shall be applied;
 - 2> set, for each signalling radio bearer RBn, that has a pending integrity protection activation time set by a previous security mode control procedure, the RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info", to the value used in the previous security mode control procedure, at which time the latest integrity protection configuration shall be applied.
 - 1> transmit the SECURITY MODE COMMAND message on RB2 using the new integrity protection configuration.

8.1.12.3 Reception of SECURITY MODE COMMAND message by the UE

Upon reception of the SECURITY MODE COMMAND message, the UE shall:

- 1> if neither IE "Ciphering mode info" nor IE "Integrity protection mode info" is included in the SECURITY MODE COMMAND:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the IE "Security capability" is the same as indicated by variable UE_CAPABILITY_TRANSFERRED, and the IE "GSM security capability" (if included in the SECURITY MODE COMMAND) is the same as indicated by the variable UE_CAPABILITY_TRANSFERRED:
 - 2> set the variable LATEST_CONFIGURED_CN_DOMAIN equal to the IE "CN domain identity";
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for the CN domain indicated in the IE "CN domain identity" in the received SECURITY MODE COMMAND to the value "Affected";
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all CN domains other than the CN domain indicated in the IE "CN domain identity" to "Not affected";
 - 2> set the IE "RRC transaction identifier" in the SECURITY MODE COMPLETE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and

- 2> clear that entry;
- 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
 - 3> perform the actions as specified in subclause 8.6.3.4.
- 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
 - 3> perform the actions as specified in subclause 8.6.3.5.
- 1> prior to sending the SECURITY MODE COMPLETE message:
 - 2> use the old ciphering configuration for this message;
 - 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
 - 3> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;
 - 3> for each radio bearer and signalling radio bearer that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:
 - 4> start or continue incrementing the COUNT-C values for all RLC-AM and RLC-UM signalling radio bearers at the ciphering activation time as specified in the procedure;
 - 4> start or continue incrementing the COUNT-C values common for all transparent mode radio bearers for this CN domain at the ciphering activation time as specified in the procedure;
 - 4> continue incrementing the COUNT-C values for all RLC-AM and RLC-UM radio bearers.
 - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:
 - 4> for ciphering on signalling radio bearers using RLC-AM and RLC-UM in the downlink, at the RLC sequence number indicated in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" included in the SECURITY MODE COMMAND, for each signalling radio bearer:
 - 5> set the 20 most significant bits of the HFN component of the downlink COUNT-C to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 5> set the remaining bits of the hyper frame numbers to zero.
 - 3> if new keys have been received:
 - 4> perform the actions in subclause 8.1.12.3.1.
 - 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
 - 3> include and set the IE "Uplink integrity protection activation info" to the value of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO for each signalling radio bearer;
 - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, for RB2:
 - 4> in the downlink, for the received SECURITY MODE COMMAND message:
 - 5> set the 20 most significant bits of the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 5> set the remaining bits of the IE "Downlink RRC HFN" to zero.
 - 4> in the uplink, for the transmitted response message, SECURITY MODE COMPLETE:

- 5> set the 20 most significant bits of the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 5> set the remaining bits of the IE "Uplink RRC HFN" to zero.
- 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, for each signalling radio bearer other than RB2:
 - 4> if the IE "Integrity protection mode command" has the value "start":
 - 5> in the downlink, for this signalling radio bearer:
 - 6> set the 20 most significant bits of IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to the value START transmitted in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 6> set the remaining bits of the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero;
 - 4> else:
 - 5> in the downlink, for the first message for which the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info", for this signalling radio bearer:
 - 6> set the 20 most significant bits of the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 6> set the remaining bits of the IE "Downlink RRC HFN" to zero.
 - 3> if new keys have been received:
 - 4> perform the actions in subclause 8.1.12.3.1.
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted SECURITY MODE COMPLETE message;
 - 2> transmit the SECURITY MODE COMPLETE message on the uplink DCCH in AM RLC;
 - 1> when the successful delivery of the SECURITY MODE COMPLETE message has been confirmed by RLC:
 - 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
 - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:
 - 4> for ciphering on signalling radio bearers using RLC-AM and RLC-UM in the uplink, at the RLC sequence number indicated in IE "Radio bearer uplink ciphering activation time info" included in the SECURITY MODE COMPLETE, for each signalling radio bearer:
 - 5> set the HFN component of the uplink COUNT-C to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 5> set the remaining bits of the hyper frame numbers to zero.
 - 3> if new keys have been received:
 - 4> perform the actions in subclause 8.1.12.3.1.

- 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
- 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
- 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
 - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, for each signalling radio bearer other than RB2:
 - 4> if the IE "Integrity protection mode command" has the value "start":
 - 5> in the uplink, for this signalling radio bearer:
 - 6> set the 20 most significant bits of IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to the value START transmitted in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 6> set the remaining bits of the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.
 - 4> else:
 - 5> in the uplink, for the first transmitted RRC message for this signalling radio bearer with RRC sequence number equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the transmitted SECURITY MODE COMPLETE, for this signalling radio bearer:
 - 6> set the 20 most significant bits of the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 6> set the remaining bits of the IE "Uplink RRC HFN" to zero.
 - 3> if new keys have been received:
 - 4> perform the actions in subclause 8.1.12.3.1.
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and
 - 3> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
 - 2> clear the variable SECURITY_MODIFICATION;
 - 2> notify upper layers upon change of the security configuration;
 - 2> and the procedure ends.
 - 1> if the IE "Security capability" is not the same as indicated by the variable UE_CAPABILITY_TRANSFERRED, or the IE "GSM security capability" (if included in the SECURITY MODE COMMAND) is not the same as indicated by the variable UE_CAPABILITY_TRANSFERRED, or if the IE "GSM security capability" is not included in the SECURITY MODE COMMAND and is included in the variable UE_CAPABILITY_TRANSFERRED:
 - 2> release all its radio resources;

- 2> indicate the release of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
- 2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 2> clear the variable ESTABLISHED_RABS;
- 2> clear the variable SECURITY_MODIFICATION;
- 2> enter idle mode;
- 2> perform actions when entering idle mode as specified in subclause 8.5.2;
- 2> and the procedure ends.

8.1.12.3.1 New ciphering and integrity protection keys

If a new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, the UE shall:

- 1> set the START value for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN to zero;
- 1> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
 - 2> for integrity protection in the downlink on each signalling radio bearer except RB2:
 - 3> if IE "Integrity protection mode command" has the value "start":
 - 4> for the first received message on this signalling radio bearer:
 - 5> start using the new integrity key;
 - 5> for this signalling radio bearer:
 - 6> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.
 - 3> else:
 - 4> for the first message for which the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info":
 - 5> start using the new integrity key;
 - 5> for this signalling radio bearer:
 - 6> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.
 - 2> for integrity protection in the uplink on each signalling radio bearer except RB2:
 - 3> for the first message for which the RRC sequence number in a to be transmitted RRC message for this signalling radio bearer is equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the transmitted SECURITY MODE COMPLETE message:
 - 4> start using the new integrity key;
 - 4> for this signalling radio bearer:
 - 5> set the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.
 - 2> for integrity protection in the downlink on signalling radio bearer RB2:

- 3> at the received SECURITY MODECOMMAND:
 - 4> start using the new integrity key;
 - 4> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.
- 2> for integrity protection in the uplink on signalling radio bearer RB2 :
 - 3> at the transmitted SECURITY MODE COMPLETE:
 - 4> start using the new integrity key;
 - 4> set the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.
- 1> if the SECURITY MODE COMMAND message contained the IE "Cipherng mode info":
 - 2> for each signalling radio bearer and for each radio bearer for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:
 - 3> if the IE "Status" in the variable CIPHERING_STATUS has the value "Started" for this CN domain, then for cipherng on radio bearers using RLC-TM:
 - 4> at the CFN as indicated in the IE "Cipherng activation time for DPCH" in the IE "Cipherng mode info":
 - 5> start using the new key in uplink and downlink;
 - 5> set the HFN component of the COUNT-C to zero.
 - 3> if the IE "Status" in the variable CIPHERING_STATUS has the value "Started" for this CN domain, then for cipherng on radio bearers and signalling radio bearers using RLC-AM and RLC-UM:
 - 4> in the downlink, at the RLC sequence number indicated in IE "Radio bearer downlink cipherng activation time info" in the IE "Cipherng mode info":
 - 5> start using the new key;
 - 5> set the HFN component of the downlink COUNT-C to zero.
 - 4> in the uplink, at the RLC sequence number indicated in IE "Radio bearer uplink cipherng activation time info":
 - 5> start using the new key;
 - 5> set the HFN component of the uplink COUNT-C to zero.
 - 1> consider the value of the latest transmitted START value to be zero.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message;

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The Release '99 RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

- 1> in FDD; or
- 1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI. If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

- 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
- 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
- 2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> re-establish RB2;
 - 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
 - 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
 - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE, [that lies at least 200 frames ahead of the CFN in which the response message is first transmitted](#).

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform a SRNS relocation with change of ciphering algorithm.

- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP_SN_INFO is not empty:
 - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
 - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> the procedure ends.
- 1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:
 - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 2> when the cell update procedure is successfully completed:
 - 3> the procedure ends.
- 1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:
 - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
 - 2> when the URA update procedure is successfully completed:
 - 3> the procedure ends.

8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following. The UE shall:

- 1> store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and
- 1> initialise the variable ESTABLISHED_SIGNALLING_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;
- 1> initialise the variable UE_CAPABILITIES_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;
- 1> initialise the variable TIMERS_AND_CONSTANTS to the default values and start to use those timer and constants values;
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":
 - 2> initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";
 - 2> initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;

- 2> store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and
 - 2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":
- 2> initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";
 - 2> initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;
- NOTE IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used
- 2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration":
- 2> use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:
 - 3> 0 dB for the power offset $P_{\text{Pilot-DPDCH}}$ bearer in FDD;
 - 3> calculate the Default DPCH Offset Value using the following formula:
 - 3> in FDD:

$$\text{Default DPCH Offset Value} = (\text{SRNTI} \cdot 2 \bmod 600) * 512$$
 - 3> in TDD:

$$\text{Default DPCH Offset Value} = (\text{SRNTI} \cdot 2 \bmod 7)$$
 - 3> handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.
- 1> if IE "Specification mode" is set to "Complete specification":
- 2> initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.
- 1> perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;
- 1> set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message equal to the START value for each CN domain stored in the USIM if the USIM is present, or as stored in the UE for each CN domain if the SIM is present;
- 1> if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:
- 2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:
 - 3> set the variable LATEST_CONFIGURED_CN_DOMAIN to the value indicated in the IE "CN domain identity", or to the CS domain when this IE is not present;
 - 3> set the 20 MSB of the HFN component of the COUNT-C variable for all radio bearers using RLC-TM and all signalling radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER_RAT_HANDOVER_INFO_TRANSFERRED";

- 3> set the remaining LSBs of the HFN component of COUNT-C for all radio bearers using RLC-TM and all signalling radio bearers to zero;
 - 3> not increment the HFN component of COUNT-C for radio bearers using RLC-TM, i.e. keep the HFN value fixed without incrementing every CFN cycle;
 - 3> set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;
 - 3> set the IE "Status" in the variable CIPHERING_STATUS to "Started";
 - 3> apply the algorithm according to IE "Ciphering Algorithm" and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.
- 1> if ciphering has not been activated and ongoing in the radio access technology from which inter-RAT handover is performed:
 - 2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:
 - 3> set the IE "Status" in the variable CIPHERING_STATUS to "Not Started".

If the UE succeeds in establishing the connection to UTRAN, it shall:

- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> include the IE "COUNT-C activation time" in the response message and specify a CFN value [for this IE other than the default, "Now", that lies at least 200 frames ahead of the CFN in which the response message is first transmitted](#)~~for this IE~~;
 - 2> at the CFN value as indicated in the response message in the IE "COUNT-C activation time" for radio bearers using RLC-TM:
 - 3> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one;
 - 3> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
 - 3> step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.
 - 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Not Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> initialise the 20 MSB of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value as indicated in the IE "START list" of the response message for the relevant CN domain;
 - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 2> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
- 1> transmit a HANDOVER TO UTRAN COMPLETE message on the uplink DCCH, using, if ciphering has been started, the new ciphering configuration;
 - 1> when the HANDOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:
 - 2> enter UTRA RRC connected mode in state CELL_DCH;

- 2> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;
- 2> for all radio bearers using RLC-AM or RLC-UM:
 - 3> set the 20 MSB of the HFN component of the uplink and downlink COUNT-C variable to the START value indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one;
 - 3> start incrementing the COUNT-C values.
- 1> and the procedure ends.

8.6.3.4 Cipherng mode info

The IE "Cipherng mode info" defines the new cipherng configuration. At any given time, the UE needs to store at most two different cipherng configurations (keyset and algorithm) per CN domain at any given time in total for all radio bearers and three configurations in total for all signalling radio bearers.

If the IE "Cipherng mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE, the UE shall:

- 1> ignore this second attempt to change the cipherng configuration; and
- 1> set the variable INCOMPATIBLE_SECURITY_RECONFIGURATION to TRUE.

If the IE "Cipherng mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to FALSE, the UE shall:

- 1> if none of the IE "Status" in the variable CIPHERING STATUS has the value "Started", and this IE "Cipherng mode info" was included in a message that is not the message SECURITY MODE COMMAND; or
- 1> if the IE "Cipherng Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one cipherng activation time in the IE "Radio bearer downlink cipherng activation time info" for each established RLC-AM and RLC-UM radio bearers included in the IE "RB information" in the IE "ESTABLISHED_RABS" for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN; or
- 1> if the IE "Cipherng Mode Info" was received in the message SECURITY MODE COMMAND and the IE "Cipherng activation time for DPCH" is not included in the message, and there exist radio bearers using RLC-TM according to the IE "RB information" in the IE "ESTABLISHED_RABS" for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN; or
- 1> if the IE "Cipherng Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one cipherng activation time in the IE "Radio bearer downlink cipherng activation time info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS":
 - 2> ignore this attempt to change the cipherng configuration;
 - 2> set the variable INVALID_CONFIGURATION to TRUE;
 - 2> perform the actions as specified in subclause 8.1.12.4c.
- 1> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to TRUE;
- 1> set the IE "Status" in the variable CIPHERING_STATUS of the CN domains for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" to "Started";
- 1> apply the new cipherng configuration in the lower layers for all RBs that belong to a CN domain for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" and all signalling radio bearers:

- 2> using the ciphering algorithm (UEA [40]) indicated by the IE "Ciphering algorithm" as part of the new ciphering configuration;
- 2> for each radio bearer that belongs to a CN domain for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" and all signalling radio bearers:
 - 3> using the value of the IE "RB identity" in the variable ESTABLISHED_RABS minus one as the value of BEARER [40] in the ciphering algorithm.

1> apply the new ciphering configuration as follows:

~~2> consider an activation time in downlink to be pending:~~

~~3> for UM RLC until an UMD PDU with sequence number equal to or larger than activation time -1 has been received;~~

~~3> for AM RLC until all AMD PDUs with sequence numbers up to and including activation time -1 have been received;~~

~~3> for TM RLC until the CFN indicated in the activation time has been reached.~~

~~2> if there are pending activation times in downlink set for ciphering by a previous procedure changing the ciphering configuration for a radio bearer or signalling radio bearer:~~

~~3> apply the ciphering configuration included in the current message at this pending activation time.~~

Change, please ensure that the bulleting for the immediately following text is at R21

2> if the ciphering configuration ~~is pending~~ for a radio bearer or signalling radio bearer ~~from due to~~ a previously received SECURITY MODE COMMAND ~~has not yet been applied because of the corresponding activation times not having not elapsed~~ and the current received message includes the IE "DL Counter Synch Info" or the current received message is a RADIO BEARER RECONFIGURATION message and includes the IE "New U-RNTI":

3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

4> consider the new ciphering configuration to include the received new keys; and

4> initialise the HFN values of the COUNT-C for the corresponding radio bearers or signalling radio bearers according to subclause 8.1.12.

3> else:

4> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

4> initialise the HFN values of the COUNT-C for the corresponding radio bearers or signalling radio bearers according to subclause 8.1.12 using the START value associated with the LATEST_CONFIGURED_CN_DOMAIN to be transmitted in the response to the current message.

3> apply the new ciphering configuration in uplink and downlink immediately following RLC re-establishment.

2> if the IE "Ciphering activation time for DPCH" is present in the IE "Ciphering mode info" and the UE was in CELL_DCH state prior to this procedure:

3> for radio bearers using RLC-TM:

4> apply the old ciphering configuration for CFN less than the number indicated in the IE "Ciphering activation time for DPCH";

4> apply the new ciphering configuration for CFN greater than or equal to the number indicated in IE "Ciphering activation time for DPCH".

2> if the IE "Radio bearer downlink ciphering activation time info" is present:

- 3> apply the following procedure for each radio bearer and signalling radio bearers using RLC-AM or RLC-UM indicated by the IE "RB identity":
 - 4> suspend uplink transmission on the radio bearer or the signalling radio bearer (except for the SRB where the response message is transmitted) according to the following:
 - 5> do not transmit RLC PDUs with sequence number greater than or equal to the uplink activation time, where the uplink activation time is selected according to the rules below.
 - 4> select an "RLC ~~send~~-sequence number" at which (activation) time the new ciphering configuration shall be applied in uplink for that radio bearer according to the following:
 - 5> consider an ciphering activation time in uplink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;
 - 5> for each radio bearer and signalling radio bearer that has no pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:
 - 6> set a suitable value that would ensure a minimised delay in the change to the latest ~~security ciphering~~ configuration.
 - 5> for each radio bearer and signalling radio bearer that has a pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:
 - 6> for radio bearers and signalling radio bearers except SRB2, set the same value as the pending ciphering activation time;
 - 6> for signalling radio bearer SRB2, set a suitable value that would ensure a minimised delay in the change to the latest ciphering configuration.
 - ~~5> consider this activation time in uplink to be elapsed when the selected activation time (as above) is equal to the "RLC send sequence number";~~
 - 4> store the selected "RLC ~~send~~-sequence number" for that radio bearer in the entry for the radio bearer in the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;
 - 4> switch to the new ciphering configuration according to the following:
 - 5> use the old ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers smaller than the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;
 - 5> use the new ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers greater than or equal to the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;
 - 5> for a radio bearer using RLC-AM, when the RLC sequence number indicated in the IE "Radio bearer downlink ciphering activation time info" falls below the RLC receiving window and the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" falls below the RLC transmission window, the UE may release the old ciphering configuration for that radio bearer;
 - 5> if an RLC reset or re-establishment occurs before the activation time for the new ciphering configuration has been reached, ignore the activation time and apply the new ciphering configuration immediately after the RLC reset or RLC re-establishment.

If the IE "Ciphering mode info" is not present, the UE shall:

- 1> not change the ciphering configuration.

8.6.3.5 Integrity protection mode info

The IE "Integrity protection mode info" defines the new integrity protection configuration. At any given time, the UE needs to store at most three different integrity protection configurations (keysets) in total for all signalling radio bearers for all CN domains.

If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE, the UE shall:

- 1> ignore this second attempt to change the integrity protection configuration; and
- 1> set the variable INCOMPATIBLE_SECURITY_RECONFIGURATION to TRUE.

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and the IE "Integrity protection mode ~~command~~-info" was not included in the message SECURITY MODE COMMAND; or

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and the IE "Integrity protection mode info" was included in the message SECURITY MODE COMMAND, and the IE "Integrity protection algorithm" is not included; or

If the IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not Started"; or

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started", and the IE "Integrity protection mode ~~command~~-info" was included in the message SECURITY MODE COMMAND; or

If the IE "Integrity protection mode command" has the value "Modify" and there does not exist exactly one integrity protection activation time in the IE "Downlink integrity protection activation info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS"; or

If IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started", and the IE "Integrity protection mode info" was not included in the message SECURITY MODE COMMAND;

the UE shall:

- 1> ignore this attempt to change the integrity protection configuration; and
- 1> set the variable INVALID_CONFIGURATION to TRUE.

If the IE "Integrity protection mode info" is not present, the UE shall:

- 1> not change the integrity protection configuration.

If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to FALSE, the UE shall:

- 1> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to TRUE;
- 1> perform the actions in accordance with subclauses 8.6.3.5.1, 8.6.3.5.2 and 8.6.3.5.3.

8.6.3.5.1 Initialization of Integrity Protection

The UE shall:

- 1> if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and this IE was included in the message SECURITY MODE COMMAND:
- 2> initialise the information for all signalling radio bearers in the variable INTEGRITY_PROTECTION_INFO according to the following:

- 3> set the IE "Uplink RRC Message sequence number" in the variable INTEGRITY_PROTECTION_INFO to zero;
- 3> do not set the IE "Downlink RRC Message sequence number" in the variable INTEGRITY_PROTECTION_INFO;
- 3> set the variable INTEGRITY_PROTECTION_ACTIVATION_INFO to zero for each signalling radio bearer in the IE "ESTABLISHED_RABS".

NOTE: The IE "Integrity protection activation info" and "RRC Message sequence number" included in the IE "Integrity Check Info" in the transmitted message do not have identical values, but integrity protection is applied from the first transmitted message.

- 2> set the IE "Status" in the variable INTEGRITY_PROTECTION_INFO to the value "Started";
- 2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:
 - 3> using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";
 - 3> using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].
- 2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED_RABS" except RB2 at the next received RRC message;
- 2> start applying the new integrity protection configuration in the downlink for signalling radio bearer RB2 from and including the received SECURITY MODE COMMAND message;
- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted SECURITY MODE COMPLETE message;
- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RB2 at the uplink activation time included in the IE "Uplink integrity protection activation info".

8.6.3.5.2 Integrity Protection Re-configuration for SRNS Relocation

The UE shall:

- 1> if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and this IE was not included SECURITY MODE COMMAND:

NOTE: This case is used in SRNS relocation

- 2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:
 - 3> using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";
 - 3> using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].
- 2> let RB_m be the signalling radio bearer where the reconfiguration message was received and let RB_n be the signalling radio bearer where the response message is transmitted;
- 2> prohibit transmission of RRC messages on all signalling radio bearers in the IE "ESTABLISHED_RABS" except on RB₀ and the radio bearer where the response message is transmitted;
- 2> if for a signalling radio bearer, a security configuration triggered by a previous SECURITY MODE COMMAND ~~is has not yet pending~~ been applied, due to the activation time for the signalling radio bearer not having elapsed:
 - 3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

- 4> consider the new integrity protection configuration to include the received new keys; and
 - 4> initialise the HFN of the COUNT-I values of the corresponding signalling radio bearers according to subclause 8.1.12.
- 3> else:
- 4> consider the new Integrity Protection configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN associated with the previously received SECURITY MODE COMMAND; and
 - 4> initialise the HFN of the COUNT-I values of the corresponding signalling radio bearers according to subclause 8.1.12 using the START value associated with the LATEST_CONFIGURED_CN_DOMAIN to be transmitted in the response to the current message.
- 2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED_RABS" except RBm at the next received RRC message ~~disregarding any pending activation times~~ for the corresponding signalling radio bearer;
 - 2> start applying the new integrity protection configuration in the downlink for signalling radio bearer RBm from and including the received configuration message;
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RBn from and including the transmitted response message;
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RBn from the first message onwards.

NOTE: The UTRAN should ignore the information included in the IE "Uplink integrity protection [activation info](#)".

8.6.3.5.3 Integrity Protection modification in case of new keys or initialisation of signalling connection

The UE shall:

- 1> if IE "Integrity protection mode command" has the value "modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and this IE was included in SECURITY MODE COMMAND:
 - 2> store the (oldest currently used) integrity protection configuration until activation times have elapsed for the new integrity protection configuration to be applied on all signalling radio bearers;
 - ~~2> if there are pending activation times set for integrity protection by a previous procedure changing the integrity protection configuration:~~
 - ~~3> apply the integrity protection configuration at this pending activation time as indicated in this procedure.~~
 - 2> start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each signalling radio bearer n, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info";
 - 2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1;
 - 3> if present, use the algorithm indicated by the IE "Integrity protection algorithm" (UIA [40]);
 - 2> set the content of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO according to the following:
 - 3> for each established signalling radio bearer, stored in the variable ESTABLISHED_RABS:
 - 4> select a value of the RRC sequence number at which (activation) time the new integrity protection configuration shall be applied in uplink for that signalling radio bearer according to the following:

- 5> for each signalling radio bearer that has no pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:
 - 6> set a suitable value that would ensure a minimised delay in the change to the latest integrity protection configuration.
- 5> for signalling radio bearer that has a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:
 - 6> set the same value as the pending activation time for integrity protection;
- 5> consider ~~this an (pending) integrity protection~~ activation time ~~in uplink~~ -to be ~~elapsed-pending when-until~~ the selected activation time (as above) is equal to the next RRC sequence number to be used, which means that the last RRC message using the old integrity protection configuration has been submitted to lower layers.
- 4> for signalling radio bearer RB0:
 - 5> set the value of the included RRC sequence number to greater than or equal to the current value of the RRC sequence number for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO, plus the value of the constant N302 plus one.
- 4> prohibit the transmission of RRC messages on all signalling radio bearers, except for RB2, with RRC SN greater than or equal to the value in the "RRC message sequence number list" for the signalling radio bearer in the IE "Uplink integrity protection activation info" of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 2> start applying the new integrity protection configuration in the uplink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Uplink integrity protection activation info", included in the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;
- 2> start applying the new integrity protection configuration in the uplink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration;
- 2> start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info";

NOTE: For signalling radio bearers that have a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration, UTRAN should set this value in IE "Downlink integrity protection activation info".

- 2> start applying the new integrity protection configuration in the downlink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration.

~~If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and the IE "Integrity protection mode command info" was not included in the message SECURITY MODE COMMAND; or~~

~~If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and the IE "Integrity protection mode info" was included in the message SECURITY MODE COMMAND, and the IE "Integrity protection algorithm" is not included; or~~

~~If the IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not Started"; or~~

~~If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started", and the IE "Integrity protection mode command info" was included in the message SECURITY MODE COMMAND; or~~

~~If the IE "Integrity protection mode command" has the value "Modify" and there does not exist exactly one integrity protection activation time in the IE "Downlink integrity protection activation info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS"; or~~

~~If IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started", and the IE "Integrity protection mode info" was not included in the message SECURITY MODE COMMAND:~~

~~the UE shall:~~

~~1> ignore this attempt to change the integrity protection configuration; and~~

~~1> set the variable INVALID_CONFIGURATION to TRUE.~~

~~If the IE "Integrity protection mode info" is not present, the UE shall:~~

~~1> not change the integrity protection configuration.~~

8.6.3.6 Void

8.6.5.1 Transport Format Set

If the IE "Transport format set" is included, the UE shall:

1> if the transport format set is a RACH TFS received in System Information Block type 5 or 6, and CHOICE "Logical Channel List" has a value different from "Configured":

2> ignore that System Information Block.

1> if the transport format set for a downlink transport channel is received in a System Information Block, and CHOICE "Logical Channel List" has a value different from 'ALL':

2> ignore that System Information Block.

1> if the transport format set for a downlink transport channel is received in a message on a DCCH, and CHOICE "Logical Channel List" has a value different from 'ALL':

2> keep the transport format set if this exists for that transport channel;

2> set the variable INVALID_CONFIGURATION to TRUE.

1> if the value of any IE "RB identity" (and "Logical Channel" for RBs using two UL logical channels) in the IE "Logical channel list" does not correspond to a logical channel indicated to be mapped onto this transport channel in any RB multiplexing option (either included in the same message or previously stored and not changed by this message); or

1> if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is set to "Configured" while it is set to "All" or given as an "Explicit List" for any other RLC size; or

1> if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is set to "All" and for any logical channel mapped to this transport channel, the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is not set to "Configured"; or

1> if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is given as an "Explicit List" that contains a logical channel for which the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is not set to "Configured"; or

1> if the "Logical Channel List" for all the RLC sizes defined for that transport channel are given as "Explicit List" and if one of the logical channels mapped onto this transport channel is not included in any of those lists; or

1> if the "Logical Channel List" for the RLC sizes defined for that transport channel is set to "Configured" and for any logical channel mapped onto that transport channel, the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is also set to "Configured"; or

1> if the IE "Transport Format Set" was not received within the IE "PRACH system information list" and if the "Logical Channel List" for the RLC sizes defined for that transport channel is set to "Configured" and for any logical channel mapped onto that transport channel, the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is given as an "Explicit List" that includes an "RLC size index" that does not correspond to any RLC size in this "Transport Format Set":

- 2> keep the transport format set if this exists for that transport channel;
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the total number of configured transport formats for the transport channel exceeds maxTF:
- 2> keep the transport format set if this exists for that transport channel;
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the IE "Transport format set" is considered as valid according to the rules above:
- 2> remove a previously stored transport format set if this exists for that transport channel;
 - 2> store the transport format set for that transport channel;
 - 2> consider the first instance of the parameter *Number of TBs and TTI List* within the *Dynamic transport format information* to correspond to transport format 0 for this transport channel, the second to transport format 1 and so on;
 - 2> if the IE "Transport format Set" has the choice "Transport channel type" set to "Dedicated transport channel":
 - 3> calculate the transport block size for all transport formats in the TFS using the following

$$\text{TB size} = \text{RLC size} + \text{MAC header size},$$
 where:
 - MAC header size is calculated according to [15] if MAC multiplexing is used. Otherwise it is 0 bits;
 - 'RLC size' reflects the RLC PDU size.
 - 2> if the IE "Transport format Set" has the choice "Transport channel type" set to "Common transport channel":
 - 3> calculate the transport block size for all transport formats in the TFS using the following:

$$\text{TB size} = \text{RLC size}.$$
 - 2> if the IE "Number of Transport blocks" $\neq 0$ and IE "RLC size" = 0, no RLC PDU data exists but only parity bits exist for that transport format;
 - 2> if the IE "Number of Transport blocks" = 0, neither RLC PDU neither data nor parity bits exist for that transport format;
 - 2> configure the MAC with the new transport format set (with computed transport block sizes) for that transport channel;
 - 2> if the RB multiplexing option for a RB mapped onto that transport channel (based on the stored RB multiplexing option) is not modified by this message:
 - 3> determine the sets of RLC sizes that apply to the logical channels used by that RB, based on the IE "Logical Channel List" and/or the IE "RLC Size List" from the previously stored RB multiplexing option.
 - 3> if the IE "Transport Format Set" was received within the IE "PRACH system information list":
 - 4> ignore the RLC size indexes in the stored RB multiplexing option that do not correspond to any RLC size in the received Transport Format Set.
 - 3> if the IE "Transport Format Set" was received within the IE "PRACH system information list", if that RB is using AM and if RACH is the transport channel to be used on the uplink:
 - 4> apply the largest size amongst the ones derived according to the previous bullet for the RLC size (or RLC sizes in case the RB is realised using two logical channels) for the corresponding RLC entity.
 - 3> if the IE "Transport Format Set" was not received within the IE "PRACH system information list", and if that RB is using AM and the set of RLC sizes applicable to the logical channel transferring data PDUs has more than one element:

- 4> set the variable INVALID_CONFIGURATION to true.
- 3> if that RB is using AM and the RLC size applicable to the logical channel transporting data PDUs is different from the one derived from the previously stored configuration:
 - 4> re-establish the corresponding RLC entity;
 - 4> configure the corresponding RLC entity with the new RLC size;
 - 4> for each AM RLC radio bearer in the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS whose RLC size is changed; and
 - 4> for each AM RLC signalling radio bearer in the CN domain as indicated in the IE "CN domain identity" in the variable LATEST_CONFIGURED_CN_DOMAIN whose RLC size is changed:
 - 5> if this IE was included in system information and if the IE "Status" in variable CIPHERING_STATUS of this CN domain is set to "Started":
 - 6> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" for this CN domain that will be included in the CELL UPDATE message following cell reselection.

NOTE: Since the UE cannot predict the START value at the time of the next CELL UPDATE transmission in the future, UTRAN should desist from changing the RLC size for a signalling radio bearer within a cell. Other than this case the change in RLC size for a signalling radio bearer is known to the UE when reading system information following cell reselection.

- 5> if this IE was included in CELL UPDATE CONFIRM and if the IE "Status" in the variable CIPHERING_STATUS of this CN domain is set to "Started":
 - 6> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" included in the latest transmitted CELL UPDATE message for this CN domain.

5> if this IE was included in a reconfiguration message and if the IE "Status" in the variable CIPHERING_STATUS of this CN domain is set to "Started":

6> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the reconfiguration complete message for this CN domain.

~~5> if this IE was included in ACTIVE SET UPDATE and if the IE "Status" in the variable CIPHERING_STATUS of this CN domain is set to "Started":~~

~~6> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the ACTIVE SET UPDATE COMPLETE message for this CN domain.~~

- 3> if that RB is using UM:
 - 4> indicate the largest applicable RLC size to the corresponding RLC entity.
- 3> configure MAC with the set of applicable RLC Sizes for each of the logical channels used for that RB.

For configuration restrictions on Blind Transport Format Detection, see [27].

8.6.6.28 Downlink DPCH info common for all radio links

If the IE "Downlink DPCH info common for all RL" is included the UE shall:

- 1> if the IE "Downlink DPCH info common for all RL" is included in a message used to perform a hard handover:
 - 2> perform actions for the IE "Timing indication" as specified in subclause 8.5.15.2, and subclause 8.3.5.1 or 8.3.5.2.
- 1> ignore the value received in IE "CFN-targetSFN frame offset";
- 1> if the IE "Downlink DPCH power control information" is included:
 - 2> perform actions for the IE "DPC Mode" according to [29].

- 1> if the IE choice "mode" is set to 'FDD':
 - 2> if the IE "Downlink rate matching restriction information" is included:
 - 3> set the variable INVALID_CONFIGURATION to TRUE.
 - 2> perform actions for the IE "spreading factor";
 - 2> perform actions for the IE "Fixed or Flexible position";
 - 2> perform actions for the IE "TFCI existence";
 - 2> if the IE choice "SF" is set to 256:
 - 3> store the value of the IE "Number of bits for pilot bits".
 - 2> if the IE choice "SF" set to 128:
 - 3> store the value of the IE "Number of bits for pilot bits".
- 1> if the IE choice "mode" is set to 'TDD':
 - 2> perform actions for the IE "Common timeslot info".

If the IE "Downlink DPCH info common for all RL" is included in a message used to perform a Timing re-initialised hard handover or the IE "Downlink DPCH info common for all RL" is included in a message other than RB SETUP used to transfer the UE from a state different from Cell_DCH to Cell_DCH, and ciphering is active for any radio bearer using RLC-TM, the UE shall, after having activated the dedicated physical channels indicated by that IE:

- 1> set the 20 MSB of the HFN component of COUNT-C for TM-RLC to the value of the latest transmitted IE "START" or "START List" for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
- 1> set the remaining LSBs of the HFN component of COUNT-C to zero;
- 1> start to perform ciphering on the radio bearer in lower layers while not incrementing the HFN;
- 1> include the IE "COUNT-C activation time" in the response message and specify a CFN value [for this IE](#) other than the default, "Now", ~~that lies at least 200 frames ahead of~~ [the CFN in which the response message is first transmitted for this IE](#);
- 1> calculate the START value according to subclause 8.5.9;
- 1> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the response message;
- 1> at the CFN value as indicated in the response message in the IE "COUNT-C activation time":
 - 2> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 2> increment the HFN component of the COUNT-C variable by one;
 - 2> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
 - 2> step the COUNT-C variable, as normal, at each CFN value, i.e. the HFN component is no longer fixed in value but incremented at each CFN cycle.

10.2.8 CELL UPDATE CONFIRM

This message confirms the cell update procedure and can be used to reallocate new RNTI information for the UE valid in the new cell.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
U-RNTI	CV-CCCH		U-RNTI 10.3.3.47	
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
RLC re-establish indicator (RB2, RB3 and RB4)	MP		RLC re-establish indicator 10.3.3.35	
RLC re-establish indicator (RB5 and upwards)	MP		RLC re-establish indicator 10.3.3.35	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN Information Elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
RB information to release list	OP	1 to <maxRB>		

Information Element/Group name	Need	Multi	Type and reference	Semantics description
>RB information to release	MP		RB information to release 10.3.4.19	
RB information to reconfigure list	OP	1 to <maxRB>		
>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE <i>mode</i>	MP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all	OP		DL Transport channel	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
transport channels			information common for all transport channels 10.3.5.6	
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	OP		Frequency info 10.3.6.36	
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
<i>CHOICE channel requirement</i>				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88.	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
<i>CHOICE mode</i>				
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link to be set-up
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

Condition	Explanation
CCCH	This IE is mandatory present when CCCH is used and ciphering is not required and not needed otherwise.

10.2.9 COUNTER CHECK

This message is used by the UTRAN to indicate the current COUNT-C MSB values associated to each radio bearer utilising UM or AM RLC mode and to request the UE to compare these to its COUNT-C MSB values and to report the comparison results to UTRAN.

RLC-SAP: AM

Logical channel: DCCH

Direction: UTRAN→UE

Information Element/Group name	Presence	Multi	IE type and reference	Semantics description
Message Type	MP			
UE information elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	MPCH		Integrity check info 10.3.3.16	
RB information elements				
RB COUNT-C MSB information	MP	1 to < maxRBallR ABs >		For each RB (excluding signalling radio bearers) using UM or AM RLC.
>RB COUNT-C MSB information	MP		RB COUNT-C MSB information 10.3.4.14	

10.2.10 COUNTER CHECK RESPONSE

This message is used by the UE to respond to a COUNTER CHECK message.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

Information Element/Group name	Presence	Multi	IE type and reference	Semantics description
Message Type	MP			
UE information elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	MPCH		Integrity check info 10.3.3.16	
RB information elements				
RB COUNT-C information	OP	1 to < maxRBallR ABs >		
>RB COUNT-C information	MP		RB COUNT-C information 10.3.4.15	

10.2.22 PHYSICAL CHANNEL RECONFIGURATION

This message is used by UTRAN to assign, replace or release a set of physical channels used by a UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
PhyCH information elements				
Frequency info	OP		Frequency info 10.3.6.36	
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing value of the maximum allowed UL TX power
<i>CHOICE channel requirement</i>				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
>CPCH set ID			CPCH set ID 10.3.5.3	
Downlink radio resources				
<i>CHOICE mode</i>				
>FDD	MP			
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

10.2.27 RADIO BEARER RECONFIGURATION

This message is sent from UTRAN to reconfigure parameters related to a change of QoS. This procedure can also change the multiplexing of MAC, reconfigure transport channels and physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN information elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB information elements					
RAB information to reconfigure list	OP	1 to <maxRABse>			
>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11		
RB information to reconfigure list	MP	1to <maxRB>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18		
RB information to be affected list	OP	1 to <maxRB>			
>RB information to be affected	MP		RB information to be affected 10.3.4.17		
TrCH Information Elements					
Uplink transport channels					
UL Transport channel	OP		UL Transport		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
information common for all transport channels			channel information common for all transport channels 10.3.5.24		
Deleted TrCH information list	OP	1 to <maxTrCH >			
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >			
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
CHOICE <i>mode</i>	OP				
>FDD					
>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >			
>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>TDD				(no data)	
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
Deleted TrCH information list	OP	1 to <maxTrCH >			
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >			
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	OP		Frequency info 10.3.6.36		
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE <i>channel requirement</i>	OP				

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
CHOICE <i>mode</i>	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	MP	1 to <maxRL>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

10.2.30 RADIO BEARER RELEASE

This message is used by UTRAN to release a radio bearer. It can also include modifications to the configurations of transport channels and/or physical channels. It can simultaneously indicate release of a signalling connection when UE is connected to more than one CN domain.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering	The UTRAN should not

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			mode info 10.3.3.5	include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
Signalling Connection release indication	OP		CN domain identity 10.3.1.1	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB Information Elements				
RAB information to reconfigure list	OP	1 to <maxRABse tup >		
>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11	
RB information to release list	MP	1 to <maxRB>		
>RB information to release	MP		RB information to release 10.3.4.19	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all	OP		UL Transport channel	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
transport channels			information common for all transport channels 10.3.5.24	
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE <i>mode</i>	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	OP		Frequency info 10.3.6.36	
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE <i>channel requirement</i>	OP			
>Uplink DPCH info			Uplink	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
CHOICE <i>mode</i>	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link to be set-up
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

10.2.33 RADIO BEARER SETUP

This message is sent by UTRAN to the UE to establish new radio bearer(s). It can also include modifications to the configurations of transport channels and/or physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB Information Elements				
Signalling RB information to setup list	OP	1 to <maxSRBs etup>		For each signalling radio bearer established
>Signalling RB information to setup	MP		Signalling RB information to setup 10.3.4.24	
RAB information to setup list	OP	1 to <maxRABs etup>		For each RAB established
>RAB information for setup	MP		RAB information for setup 10.3.4.10	
RB information to be affected list	OP	1 to <maxRB>		
>RB information to be affected	MP		RB information to be affected 10.3.4.17	
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted UL TrCH information	MP		Deleted UL TrCH information	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			10.3.5.5	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
CHOICE <i>mode</i>	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
Deleted TrCH information list	OP	1 to <maxTrCH >		
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	OP		Frequency info 10.3.6.36	
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
CHOICE <i>channel requirement</i>	OP			
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
CHOICE <i>mode</i>	MP			

Information Element/Group name	Need	Multi	Type and reference	Semantics description
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

10.2.50 TRANSPORT CHANNEL RECONFIGURATION

This message is used by UTRAN to configure the transport channel of a UE. This also includes a possible reconfiguration of physical channels. The message can also be used to assign a TFC subset and reconfigure physical channel.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
Activation time	MD		Activation time 10.3.3.1	Default value is "now"
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a	
RRC State Indicator	MP		RRC State	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
			Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	
TrCH Information Elements				
Uplink transport channels				
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2	
<i>CHOICE mode</i>	OP			
>FDD				
>>CPCH set ID	OP		CPCH set ID 10.3.5.3	
>>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >		
>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>TDD				(no data)
Downlink transport channels				
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >		

Information Element/Group name	Need	Multi	Type and reference	Semantics description
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1	
PhyCH information elements				
Frequency info	OP		Frequency info 10.3.6.36	
Uplink radio resources				
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power
<i>CHOICE channel requirement</i>				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88	
>CPCH SET Info			CPCH SET Info 10.3.6.13	
Downlink radio resources				
<i>CHOICE mode</i>	MP			
>FDD				
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30	
>TDD				(no data)
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24	
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27	

10.2.61 URA UPDATE CONFIRM

This message confirms the URA update procedure and can be used to reallocate new RNTI information for the UE valid after the URA update.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE information elements				
U-RNTI	<i>CV-CCCH</i>		U-RNTI 10.3.3.47	

Information Element/Group name	Need	Multi	Type and reference	Semantics description
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	CH		Integrity check info 10.3.3.16	Integrity check info is included if integrity protection is applied
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a	
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49	
CN Information Elements				
CN Information info	OP		CN Information info 10.3.1.3	
UTRAN mobility information elements				
URA identity	OP		URA identity 10.3.2.6	
RB information elements				
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	

Condition	Explanation
CCCH	This IE is mandatory present when CCCH is used and not needed otherwise.

10.2.62 UTRAN MOBILITY INFORMATION

This message is used by UTRAN to allocate a new RNTI and to convey other UTRAN mobility related information to a UE.

- RLC-SAP: AM or UM
- Logical channel: DCCH
- Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information Elements				
Integrity check info	CH		Integrity check info 10.3.3.16	
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.
New U-RNTI	OP		U-RNTI 10.3.3.47	
New C-RNTI	OP		C-RNTI 10.3.3.8	
UE Timers and constants in connected mode	OP		UE Timers and constants in connected mode 10.3.3.43	
CN Information Elements				
CN Information info	OP		CN Information info full 10.3.1.3a	
UTRAN Information Elements				
URA identity	OP		URA identity 10.3.2.6	
RB Information elements				
Downlink counter synchronisation info	OP			
>RB with PDCP information list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP in the case of lossless SRNS relocation
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	

CHANGE REQUEST

⌘ **25.331** CR **1690** ⌘ rev **1** ⌘ Current version: **5.2.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps⌘ ME Radio Access Network Core Network

Title:	⌘	Handling of Ciphering and integrity protection activation times
Source:	⌘	Ericsson, Motorola
Work item code:	⌘	TEI
		Date: ⌘ September 2002
Category:	⌘	A
		Release: ⌘ Rel-5
		Use <u>one</u> of the following categories:
		Use <u>one</u> of the following releases:
		F (correction)
		2 (GSM Phase 2)
		A (corresponds to a correction in an earlier release)
		R96 (Release 1996)
		B (addition of feature),
		R97 (Release 1997)
		C (functional modification of feature)
		R98 (Release 1998)
		D (editorial modification)
		R99 (Release 1999)
		Detailed explanations of the above categories can
		Rel-4 (Release 4)

be found in 3GPP [TR 21.900](#).

Rel-5 (Release 5)

Rel-6 (Release 6)

Reason for change: ☞ 1) The spec. currently contains contradicting text about the handling of pending activation times. In 8.6.3.4/ 8.6.3.5 it is both stated that the UE shall apply the new security configuration at the pending activation time and at the activation time in the received message.

2) The UE handling of pending activation times for the downlink does not work. The UE and UTRAN may not have the same opinion regarding if an activation time is pending or not and consequently ciphering/integrity may be applied at the wrong point in time in UTRAN and UE.

Examples where the UE handling of pending activation times in DL causes problems:

a) For AM if there are retransmissions using the old configuration but the new

configuration has already been used for one or more PDUs. The activation time is considered to be pending but it is not possible to "reuse" the old activation time since it has been passed.

b) For UM if a few PDUs have been sent with the new configuration but these are lost, the UE still thinks it has a pending activation time and will apply the new configuration at another time than the UTRAN (This is only a problem if the activation time is close to a CFN border, in which case a HFN wraparound can occur).

3) The SMC complete is transmitted with the old ciphering configuration. In the message, the activation times for all RBs/SRBs including SRB2 is given. It is also stated that the activation time for ciphering shall be set to the pending activation time from previous SMC procedures if any. This is contradictory and we propose to remove the latter requirement. Otherwise it would be impossible to set the activation time equal to the pending activation time as specified

4) The tabular of the COUNTER CHECK and COUNTER CHECK RESPONSE are not aligned with ASN.1. The Integrity check IE is MP in the tabular but not in ASN.1 (In all other messages Integrity check info is CH)

5) The UE setting of the IE "COUNT-C activation time" is currently not specified. If the UE does not set the activation time far enough in the future the ciphering will fail since the HFN will be out of sync. Basically the activation time must be set far enough in the future to consider possible retransmissions of the response message in bad radio conditions.

6) The IE "RLC sequence number" is mis-quoted as "RLC send sequence number" at several places.

7) The definition of pending activation times (in uplink) is unclear (talks about when activation times elapses)

8) It is currently (erroneously) stated that the SECURITY MODE COMMAND can

be used to stop ciphering, although this option has been removed.

9) The current specification is slightly ambiguous as to the inclusion of IE "Ciphering Mode Info" in messages that can perform SRNS relocation.

10) CR1632 was incorrectly implemented leading to an incorrect deletion of text in 8.6.5.1.

Summary of change: ⌘ 1) In order to remove the current inconsistency in the spec. and avoid ciphering failure the text on the UE handling of pending activation times in DL is removed. The UE shall always apply the new configuration at the activation time received in the message. (8.6.3.4)

2) For SRB2 the activation time shall not be set equal to any pending activation time. Instead it shall always be set "to ensure minimised delay for the new configuration", in the same way as for the case when there are no pending

activation times. (8.6.3.4)

4) The IE integrity check info is made CH in COUNTER CHECK and COUNTER CHECK RESPONSE to align with ASN.1 (10.2.9, 10.2.10)

5) It is specified that the COUNT-C activation time shall be set at least 200 frames in the future calculated from the CFN where the message is transmitted (several places).

6) The misspelled IE "RLC send sequence number" is corrected to "RLC sequence number" (several places)

7) It is clarified what a pending activation time is, since the procedure text have specific actions for pending activation times (8.1.12.2.1, 8.1.12.2.2, 8.6.3.4, 8.6.3.5).

8) The text indicating that SECURITY MODE COMMAND can be used to stop

ciphering is removed to align with previously agreed changes (8.1.12.1)

9) The tabular section is updated with text in the semantics description clarifying that UTRAN should not include IE "Ciphering Mode Info" in messages performing SRNS relocation unless ciphering algorithm is being changed.

10) CR1630 correctly implemented in 8.6.5.1 – reference to actions for ACTIVE SET UPDATE removed and reference to actions regarding "reconfiguration message" re-stated.

11) Section 8.6.3.5 is divided into subsections for readability

Consequences if not approved:

- ⌘ 1) At a consecutive SECURITY MODE COMMAND, a ciphering configuration may be applied at different time points at UTRAN and UE leading to ciphering failure. In some

cases the different start points for ciphering in UE and UTRAN may lead to HFN out of sync, which would cause permanent ciphering failure on a RB/SRB.

2) The UE may set the COUNT-C activation time incorrectly which would cause ciphering failure on TM.

If the CR is not implemented at all or if the CR is implemented in UTRAN but not in the UE:

Potential ciphering failure at consecutive SECURITY MODE COMMAND. Potential failure of ciphering on TM due to a restrictive setting of the activation time by the UE. Potential erroneous application of activation times leading to ciphering failure.

If the CR is implemented in the UE but not in UTRAN:

The system will work as intended. However, UTRAN should be aware of the alignment of the tabular to ASN.1

Clauses affected: ⌘ 8.1.12.1, 8.1.12.2.1, 8.1.12.2.2, 8.2.2.3, 8.3.6.3, 8.6.3.4, 8.6.3.5, 8.6.6.28, 10.2.9, 10.2.10; 8.6.5.1

Other specs affected:

Y	N
	X
	X
	X

Other core specifications ⌘
Test specifications ⌘
O&M Specifications ⌘

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.12.1 General

The purpose of this procedure is to trigger the ~~stop or~~ start of ciphering or to command the restart of the ciphering with a new ciphering configuration, for the radio bearers of one CN domain and for all signalling radio bearers.

It is also used to start integrity protection or to modify the integrity protection configuration for all signalling radio bearers.

8.1.12.2 Initiation

8.1.12.2.1 Ciphering configuration change

To start/restart ciphering, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the most recent ciphering configuration. If no such ciphering configuration exists then the SECURITY MODE COMMAND is not ciphered. UTRAN should not transmit a SECURITY MODE COMMAND to signal a change in ciphering algorithm.

When configuring ciphering, UTRAN should ensure that the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain, in total over all radio bearers at any given time. For signalling radio bearers the total number of ciphering configurations that need to be stored is at most three. Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

- 1> suspend all radio bearers using RLC-AM or RLC-UM and all signalling radio bearers using RLC-AM or RLC-UM, except the signalling radio bearer used to send the SECURITY MODE COMMAND message on the downlink DCCH in RLC-AM, and except signalling radio bearer RBO, according to the following:
 - 2> not transmit RLC PDUs with sequence number greater than or equal to the number in IE "Radio bearer downlink ciphering activation time info" on all suspended radio bearers and all suspended signalling radio bearers.
 - 1> set, for the signalling radio bearer used to send the SECURITY MODE COMMAND, the "RLC ~~send~~-sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
 - 1> if a transparent mode radio bearer for this CN domain exists:
 - 2> include the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
- 1> consider an ciphering activation time in downlink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;
- 1> set, for each suspended radio bearer and signalling radio bearer that has no pending ciphering activation time set by a previous security mode control procedure, an "RLC ~~send~~-sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
 - 1> set, for each suspended radio bearer and signalling radio bearer that has a pending ciphering activation time set by a previous security mode control procedure, the "RLC ~~send~~-sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" to the value used in the previous security mode control procedure, at which time the latest ciphering configuration shall be applied;
 - 1> if Integrity protection has already been started for the UE:
 - 2> if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:
 - 3> include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND.
 - 2> if the IE "CN domain identity" in the SECURITY MODE COMMAND is different from the IE "CN domain identity" that was sent in the previous SECURITY MODE COMMAND message to the UE:

3> include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND.

1> transmit the SECURITY MODE COMMAND message on RB2.

8.1.12.2.2 Integrity protection configuration change

To start or modify integrity protection, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the new integrity protection configuration. UTRAN should not "modify" integrity protection for a CN domain to which a SECURITY MODE COMMAND configuring integrity protection has been previously sent for an ongoing signalling connection unless the application of new integrity keys needs to be signalled to the UE. UTRAN should not transmit a SECURITY MODE COMMAND to signal a change in integrity protection algorithm.

When configuring Integrity protection, UTRAN should:

- 1> ensure that the UE needs to store at most three different Integrity protection configurations (keysets) at any given time. This includes the total number of Integrity protection configurations for all signalling radio bearers;
- 1> if Ciphering has already been started for the UE for the CN domain to be set in the IE "CN domain identity" in the SECURITY MODE COMMAND:
 - 2> if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:
 - 3> include the IE "Ciphering mode info" in the SECURITY MODE COMMAND.
 - 1> if Ciphering has already been configured for the UE for a CN domain different from the CN domain to be set in the IE "CN domain identity" in the SECURITY MODE COMMAND:
 - 2> include the IE "Ciphering mode info" in the SECURITY MODE COMMAND.

Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

- 1> if this is the first SECURITY MODE COMMAND sent for this RRC connection:
 - 2> if new keys have been received:
 - 3> initialise the hyper frame numbers as follows:
 - 4> set all bits of the hyper frame numbers of the COUNT-I values for all signalling radio bearers to zero.
 - 2> else (if new keys have not been received):
 - 3> use the value "START" in the most recently received IE "START list" or IE "START" that belongs to the CN domain indicated in the IE "CN domain identity" to initialise all hyper frame numbers of COUNT-I for all the signalling radio bearers by:
 - 4> setting the 20 most significant bits of the hyper frame numbers for all signalling radio bearers to the value "START" in the most recently received IE "START list" or IE "START" for that CN domain;
 - 4> setting the remaining bits of the hyper frame numbers equal to zero.
 - 1> else (this is not the first SECURITY MODE COMMAND sent for this RRC connection):
 - 2> if new keys have been received:
 - 3> initialise the hyper frame number for COUNT-I for RB2 as follows:
 - 4> set all bits of the HFN of the COUNT-I value for RB2 to zero.
 - 2> if new keys have not been received:
 - 3> initialise the hyper frame number for COUNT-I for RB2 as follows:

- 4> set the 20 most significant bits of the HFN of the downlink and uplink COUNT-I to the value of the most recently received IE "START" or IE "START ~~LIST~~list" for the CN domain to be set in the IE "CN Domain Identity";
 - 4> set the remaining bits of the HFN of the downlink and uplink COUNT-I to zero.
- 1> if the IE "Integrity protection mode command" has the value "Start":
 - 2> prohibit the transmission of signalling messages with any RRC SN on all signalling radio bearers, except RB2;
 - 2> set the FRESH value in the IE "Integrity protection initialisation number", included in the IE "Integrity protection mode info".
 - 1> if the IE "Integrity protection mode command" has the value "Modify":
 - 2> for each signalling radio bearer RBn, except RB2:
 - 3> prohibit the transmission of signalling messages with RRC SN greater or equal to the RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info".
 - [2> consider an integrity protection activation time in downlink to be pending until the selected activation time is equal to the next RRC sequence number to be used, which means that the last RRC message using the old integrity protection configuration has been submitted to lower layers;](#)
 - 2> set, for each signalling radio bearer RBn, that has no pending integrity protection activation time set by a previous security mode control procedure, an RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info", at which time the new integrity protection configuration shall be applied;
 - 2> set, for each signalling radio bearer RBn, that has a pending integrity protection activation time set by a previous security mode control procedure, the RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info", to the value used in the previous security mode control procedure, at which time the latest integrity protection configuration shall be applied.
 - 1> transmit the SECURITY MODE COMMAND message on RB2 using the new integrity protection configuration.

8.1.12.3 Reception of SECURITY MODE COMMAND message by the UE

Upon reception of the SECURITY MODE COMMAND message, the UE shall:

- 1> if neither IE "Ciphering mode info" nor IE "Integrity protection mode info" is included in the SECURITY MODE COMMAND:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the IE "Security capability" is the same as indicated by variable UE_CAPABILITY_TRANSFERRED, and the IE "GSM security capability" (if included in the SECURITY MODE COMMAND) is the same as indicated by the variable UE_CAPABILITY_TRANSFERRED:
 - 2> set the variable LATEST_CONFIGURED_CN_DOMAIN equal to the IE "CN domain identity";
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for the CN domain indicated in the IE "CN domain identity" in the received SECURITY MODE COMMAND to the value "Affected";
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all CN domains other than the CN domain indicated in the IE "CN domain identity" to "Not affected";
 - 2> set the IE "RRC transaction identifier" in the SECURITY MODE COMPLETE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and

- 2> clear that entry;
- 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
 - 3> perform the actions as specified in subclause 8.6.3.4.
- 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
 - 3> perform the actions as specified in subclause 8.6.3.5.
- 1> prior to sending the SECURITY MODE COMPLETE message:
 - 2> use the old ciphering configuration for this message;
 - 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
 - 3> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;
 - 3> for each radio bearer and signalling radio bearer that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:
 - 4> start or continue incrementing the COUNT-C values for all RLC-AM and RLC-UM signalling radio bearers at the ciphering activation time as specified in the procedure;
 - 4> start or continue incrementing the COUNT-C values common for all transparent mode radio bearers for this CN domain at the ciphering activation time as specified in the procedure;
 - 4> continue incrementing the COUNT-C values for all RLC-AM and RLC-UM radio bearers.
 - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:
 - 4> for ciphering on signalling radio bearers using RLC-AM and RLC-UM in the downlink, at the RLC sequence number indicated in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" included in the SECURITY MODE COMMAND, for each signalling radio bearer:
 - 5> set the 20 most significant bits of the HFN component of the downlink COUNT-C to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 5> set the remaining bits of the hyper frame numbers to zero.
 - 3> if new keys have been received:
 - 4> perform the actions in subclause 8.1.12.3.1.
 - 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
 - 3> include and set the IE "Uplink integrity protection activation info" to the value of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO for each signalling radio bearer;
 - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, for RB2:
 - 4> in the downlink, for the received SECURITY MODE COMMAND message:
 - 5> set the 20 most significant bits of the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 5> set the remaining bits of the IE "Downlink RRC HFN" to zero.
 - 4> in the uplink, for the transmitted response message, SECURITY MODE COMPLETE:

- 5> set the 20 most significant bits of the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 5> set the remaining bits of the IE "Uplink RRC HFN" to zero.
- 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, for each signalling radio bearer other than RB2:
 - 4> if the IE "Integrity protection mode command" has the value "start":
 - 5> in the downlink, for this signalling radio bearer:
 - 6> set the 20 most significant bits of IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to the value START transmitted in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 6> set the remaining bits of the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero;
 - 4> else:
 - 5> in the downlink, for the first message for which the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info", for this signalling radio bearer:
 - 6> set the 20 most significant bits of the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 6> set the remaining bits of the IE "Downlink RRC HFN" to zero.
 - 3> if new keys have been received:
 - 4> perform the actions in subclause 8.1.12.3.1.
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted SECURITY MODE COMPLETE message;
 - 2> transmit the SECURITY MODE COMPLETE message on the uplink DCCH in AM RLC;
- 1> when the successful delivery of the SECURITY MODE COMPLETE message has been confirmed by RLC:
 - 2> if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
 - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:
 - 4> for ciphering on signalling radio bearers using RLC-AM and RLC-UM in the uplink, at the RLC sequence number indicated in IE "Radio bearer uplink ciphering activation time info" included in the SECURITY MODE COMPLETE, for each signalling radio bearer:
 - 5> set the HFN component of the uplink COUNT-C to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 5> set the remaining bits of the hyper frame numbers to zero.
 - 3> if new keys have been received:
 - 4> perform the actions in subclause 8.1.12.3.1.

- 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
- 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
- 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 2> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
 - 3> if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, for each signalling radio bearer other than RB2:
 - 4> if the IE "Integrity protection mode command" has the value "start":
 - 5> in the uplink, for this signalling radio bearer:
 - 6> set the 20 most significant bits of IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to the value START transmitted in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 6> set the remaining bits of the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.
 - 4> else:
 - 5> in the uplink, for the first transmitted RRC message for this signalling radio bearer with RRC sequence number equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the transmitted SECURITY MODE COMPLETE, for this signalling radio bearer:
 - 6> set the 20 most significant bits of the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 6> set the remaining bits of the IE "Uplink RRC HFN" to zero.
 - 3> if new keys have been received:
 - 4> perform the actions in subclause 8.1.12.3.1.
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and
 - 3> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
 - 2> clear the variable SECURITY_MODIFICATION;
 - 2> notify upper layers upon change of the security configuration;
 - 2> and the procedure ends.
 - 1> if the IE "Security capability" is not the same as indicated by the variable UE_CAPABILITY_TRANSFERRED, or the IE "GSM security capability" (if included in the SECURITY MODE COMMAND) is not the same as indicated by the variable UE_CAPABILITY_TRANSFERRED, or if the IE "GSM security capability" is not included in the SECURITY MODE COMMAND and is included in the variable UE_CAPABILITY_TRANSFERRED:
 - 2> release all its radio resources;

- 2> indicate the release of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
- 2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 2> clear the variable ESTABLISHED_RABS;
- 2> clear the variable SECURITY_MODIFICATION;
- 2> enter idle mode;
- 2> perform actions when entering idle mode as specified in subclause 8.5.2;
- 2> and the procedure ends.

8.1.12.3.1 New ciphering and integrity protection keys

If a new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN, the UE shall:

- 1> set the START value for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN to zero;
- 1> if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
 - 2> for integrity protection in the downlink on each signalling radio bearer except RB2:
 - 3> if IE "Integrity protection mode command" has the value "start":
 - 4> for the first received message on this signalling radio bearer:
 - 5> start using the new integrity key;
 - 5> for this signalling radio bearer:
 - 6> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.
 - 3> else:
 - 4> for the first message for which the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info":
 - 5> start using the new integrity key;
 - 5> for this signalling radio bearer:
 - 6> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.
 - 2> for integrity protection in the uplink on each signalling radio bearer except RB2:
 - 3> for the first message for which the RRC sequence number in a to be transmitted RRC message for this signalling radio bearer is equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the transmitted SECURITY MODE COMPLETE message:
 - 4> start using the new integrity key;
 - 4> for this signalling radio bearer:
 - 5> set the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.
 - 2> for integrity protection in the downlink on signalling radio bearer RB2:

- 3> at the received SECURITY MODECOMMAND:
 - 4> start using the new integrity key;
 - 4> set the IE "Downlink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the downlink COUNT-I to zero.
- 2> for integrity protection in the uplink on signalling radio bearer RB2 :
 - 3> at the transmitted SECURITY MODE COMPLETE:
 - 4> start using the new integrity key;
 - 4> set the IE "Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink COUNT-I to zero.
- 1> if the SECURITY MODE COMMAND message contained the IE "Cipherng mode info":
 - 2> for each signalling radio bearer and for each radio bearer for the CN domain indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:
 - 3> if the IE "Status" in the variable CIPHERING_STATUS has the value "Started" for this CN domain, then for cipherng on radio bearers using RLC-TM:
 - 4> at the CFN as indicated in the IE "Cipherng activation time for DPCH" in the IE "Cipherng mode info":
 - 5> start using the new key in uplink and downlink;
 - 5> set the HFN component of the COUNT-C to zero.
 - 3> if the IE "Status" in the variable CIPHERING_STATUS has the value "Started" for this CN domain, then for cipherng on radio bearers and signalling radio bearers using RLC-AM and RLC-UM:
 - 4> in the downlink, at the RLC sequence number indicated in IE "Radio bearer downlink cipherng activation time info" in the IE "Cipherng mode info":
 - 5> start using the new key;
 - 5> set the HFN component of the downlink COUNT-C to zero.
 - 4> in the uplink, at the RLC sequence number indicated in IE "Radio bearer uplink cipherng activation time info":
 - 5> start using the new key;
 - 5> set the HFN component of the uplink COUNT-C to zero.
 - 1> consider the value of the latest transmitted START value to be zero.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message;

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The Release '99 RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

- 1> in FDD; or
- 1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI. If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

- 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
- 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
- 2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> re-establish RB2;
 - 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
 - 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
 - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE, [that lies at least 200 frames ahead of the CFN in which the response message is first transmitted](#).

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform a SRNS relocation with change of ciphering algorithm.

- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP_SN_INFO is not empty:
 - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
 - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> the procedure ends.
- 1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:
 - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 2> when the cell update procedure is successfully completed:
 - 3> the procedure ends.
- 1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:
 - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
 - 2> when the URA update procedure is successfully completed:
 - 3> the procedure ends.

8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following. The UE shall:

- 1> store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and
- 1> initialise the variable ESTABLISHED_SIGNALLING_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;
- 1> initialise the variable UE_CAPABILITIES_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;
- 1> initialise the variable TIMERS_AND_CONSTANTS to the default values and start to use those timer and constants values;
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":
 - 2> initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";
 - 2> initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;

- 2> store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and
 - 2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":
- 2> initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";
 - 2> initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;
- NOTE IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used
- 2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".
- 1> if IE "Specification mode" is set to "Preconfiguration":
- 2> use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:
 - 3> 0 dB for the power offset $P_{\text{Pilot-DPDCH}}$ bearer in FDD;
 - 3> calculate the Default DPCH Offset Value using the following formula:
 - 3> in FDD:

$$\text{Default DPCH Offset Value} = (\text{SRNTI} \cdot 2 \bmod 600) * 512$$
 - 3> in TDD:

$$\text{Default DPCH Offset Value} = (\text{SRNTI} \cdot 2 \bmod 7)$$
 - 3> handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.
- 1> if IE "Specification mode" is set to "Complete specification":
- 2> initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.
- 1> perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;
- 1> set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message equal to the START value for each CN domain stored in the USIM if the USIM is present, or as stored in the UE for each CN domain if the SIM is present;
- 1> if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:
- 2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:
 - 3> set the variable LATEST_CONFIGURED_CN_DOMAIN to the value indicated in the IE "CN domain identity", or to the CS domain when this IE is not present;
 - 3> set the 20 MSB of the HFN component of the COUNT-C variable for all radio bearers using RLC-TM and all signalling radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER_RAT_HANDOVER_INFO_TRANSFERRED";

- 3> set the remaining LSBs of the HFN component of COUNT-C for all radio bearers using RLC-TM and all signalling radio bearers to zero;
 - 3> not increment the HFN component of COUNT-C for radio bearers using RLC-TM, i.e. keep the HFN value fixed without incrementing every CFN cycle;
 - 3> set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;
 - 3> set the IE "Status" in the variable CIPHERING_STATUS to "Started";
 - 3> apply the algorithm according to IE "Ciphering Algorithm" and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.
- 1> if ciphering has not been activated and ongoing in the radio access technology from which inter-RAT handover is performed:
- 2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:
 - 3> set the IE "Status" in the variable CIPHERING_STATUS to "Not Started".

If the UE succeeds in establishing the connection to UTRAN, it shall:

- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> include the IE "COUNT-C activation time" in the response message and specify a CFN value [for this IE other than the default, "Now", that lies at least 200 frames ahead of the CFN in which the response message is first transmitted](#)~~for this IE~~;
 - 2> at the CFN value as indicated in the response message in the IE "COUNT-C activation time" for radio bearers using RLC-TM:
 - 3> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one;
 - 3> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
 - 3> step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.
- 1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Not Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
 - 2> initialise the 20 MSB of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value as indicated in the IE "START list" of the response message for the relevant CN domain;
 - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 2> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.
- 1> transmit a HANDOVER TO UTRAN COMPLETE message on the uplink DCCH, using, if ciphering has been started, the new ciphering configuration;
- 1> when the HANDOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:
 - 2> enter UTRA RRC connected mode in state CELL_DCH;

- 2> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;
- 2> for all radio bearers using RLC-AM or RLC-UM:
 - 3> set the 20 MSB of the HFN component of the uplink and downlink COUNT-C variable to the START value indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 3> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 3> increment the HFN component of the COUNT-C variable by one;
 - 3> start incrementing the COUNT-C values.
- 1> and the procedure ends.

8.6.3.4 Cipherng mode info

The IE "Cipherng mode info" defines the new cipherng configuration. At any given time, the UE needs to store at most two different cipherng configurations (keyset and algorithm) per CN domain at any given time in total for all radio bearers and three configurations in total for all signalling radio bearers.

If the IE "Cipherng mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE, the UE shall:

- 1> ignore this second attempt to change the cipherng configuration; and
- 1> set the variable INCOMPATIBLE_SECURITY_RECONFIGURATION to TRUE.

If the IE "Cipherng mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to FALSE, the UE shall:

- 1> if none of the IE "Status" in the variable CIPHERING STATUS has the value "Started", and this IE "Cipherng mode info" was included in a message that is not the message SECURITY MODE COMMAND; or
- 1> if the IE "Cipherng Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one cipherng activation time in the IE "Radio bearer downlink cipherng activation time info" for each established RLC-AM and RLC-UM radio bearers included in the IE "RB information" in the IE "ESTABLISHED_RABS" for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN; or
- 1> if the IE "Cipherng Mode Info" was received in the message SECURITY MODE COMMAND and the IE "Cipherng activation time for DPCH" is not included in the message, and there exist radio bearers using RLC-TM according to the IE "RB information" in the IE "ESTABLISHED_RABS" for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN; or
- 1> if the IE "Cipherng Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one cipherng activation time in the IE "Radio bearer downlink cipherng activation time info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS":
 - 2> ignore this attempt to change the cipherng configuration;
 - 2> set the variable INVALID_CONFIGURATION to TRUE;
 - 2> perform the actions as specified in subclause 8.1.12.4c.
- 1> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to TRUE;
- 1> set the IE "Status" in the variable CIPHERING_STATUS of the CN domains for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" to "Started";
- 1> apply the new cipherng configuration in the lower layers for all RBs that belong to a CN domain for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" and all signalling radio bearers:

- 2> using the ciphering algorithm (UEA [40]) indicated by the IE "Ciphering algorithm" as part of the new ciphering configuration;
- 2> for each radio bearer that belongs to a CN domain for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" and all signalling radio bearers:
 - 3> using the value of the IE "RB identity" in the variable ESTABLISHED_RABS minus one as the value of BEARER [40] in the ciphering algorithm.

1> apply the new ciphering configuration as follows:

~~2> consider an activation time in downlink to be pending:~~

~~3> for UM RLC until an UMD PDU with sequence number equal to or larger than activation time -1 has been received;~~

~~3> for AM RLC until all AMD PDUs with sequence numbers up to and including activation time -1 have been received;~~

~~3> for TM RLC until the CFN indicated in the activation time has been reached.~~

~~2> if there are pending activation times in downlink set for ciphering by a previous procedure changing the ciphering configuration for a radio bearer or signalling radio bearer:~~

~~3> apply the ciphering configuration included in the current message at this pending activation time.~~

Change, please ensure that the bulleting for the immediately following text is at R21

2> if the ciphering configuration ~~is pending~~ for a radio bearer or signalling radio bearer ~~from due to~~ a previously received SECURITY MODE COMMAND ~~has not yet been applied because of the corresponding activation times not having not elapsed~~ and the current received message includes the IE "DL Counter Synch Info" or the current received message is a RADIO BEARER RECONFIGURATION message and includes the IE "New U-RNTI":

3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

4> consider the new ciphering configuration to include the received new keys; and

4> initialise the HFN values of the COUNT-C for the corresponding radio bearers or signalling radio bearers according to subclause 8.1.12.

3> else:

4> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

4> initialise the HFN values of the COUNT-C for the corresponding radio bearers or signalling radio bearers according to subclause 8.1.12 using the START value associated with the LATEST_CONFIGURED_CN_DOMAIN to be transmitted in the response to the current message.

3> apply the new ciphering configuration in uplink and downlink immediately following RLC re-establishment.

2> if the IE "Ciphering activation time for DPCH" is present in the IE "Ciphering mode info" and the UE was in CELL_DCH state prior to this procedure:

3> for radio bearers using RLC-TM:

4> apply the old ciphering configuration for CFN less than the number indicated in the IE "Ciphering activation time for DPCH";

4> apply the new ciphering configuration for CFN greater than or equal to the number indicated in IE "Ciphering activation time for DPCH".

2> if the IE "Radio bearer downlink ciphering activation time info" is present:

- 3> apply the following procedure for each radio bearer and signalling radio bearers using RLC-AM or RLC-UM indicated by the IE "RB identity":
 - 4> suspend uplink transmission on the radio bearer or the signalling radio bearer (except for the SRB where the response message is transmitted) according to the following:
 - 5> do not transmit RLC PDUs with sequence number greater than or equal to the uplink activation time, where the uplink activation time is selected according to the rules below.
 - 4> select an "RLC ~~send~~-sequence number" at which (activation) time the new ciphering configuration shall be applied in uplink for that radio bearer according to the following:
 - 5> consider an ciphering activation time in uplink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;
 - 5> for each radio bearer and signalling radio bearer that has no pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:
 - 6> set a suitable value that would ensure a minimised delay in the change to the latest ~~security ciphering~~ configuration.
 - 5> for each radio bearer and signalling radio bearer that has a pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:
 - 6> for radio bearers and signalling radio bearers except SRB2, set the same value as the pending ciphering activation time;
 - 6> for signalling radio bearer SRB2, set a suitable value that would ensure a minimised delay in the change to the latest ciphering configuration.
 - ~~5> consider this activation time in uplink to be elapsed when the selected activation time (as above) is equal to the "RLC send sequence number";~~
 - 4> store the selected "RLC ~~send~~-sequence number" for that radio bearer in the entry for the radio bearer in the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;
 - 4> switch to the new ciphering configuration according to the following:
 - 5> use the old ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers smaller than the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;
 - 5> use the new ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers greater than or equal to the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;
 - 5> for a radio bearer using RLC-AM, when the RLC sequence number indicated in the IE "Radio bearer downlink ciphering activation time info" falls below the RLC receiving window and the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" falls below the RLC transmission window, the UE may release the old ciphering configuration for that radio bearer;
 - 5> if an RLC reset or re-establishment occurs before the activation time for the new ciphering configuration has been reached, ignore the activation time and apply the new ciphering configuration immediately after the RLC reset or RLC re-establishment.

If the IE "Ciphering mode info" is not present, the UE shall:

- 1> not change the ciphering configuration.

8.6.3.5 Integrity protection mode info

The IE "Integrity protection mode info" defines the new integrity protection configuration. At any given time, the UE needs to store at most three different integrity protection configurations (keysets) in total for all signalling radio bearers for all CN domains.

If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE, the UE shall:

- 1> ignore this second attempt to change the integrity protection configuration; and
- 1> set the variable INCOMPATIBLE_SECURITY_RECONFIGURATION to TRUE.

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and the IE "Integrity protection mode ~~command~~-info" was not included in the message SECURITY MODE COMMAND; or

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and the IE "Integrity protection mode info" was included in the message SECURITY MODE COMMAND, and the IE "Integrity protection algorithm" is not included; or

If the IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not Started"; or

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started", and the IE "Integrity protection mode ~~command~~-info" was included in the message SECURITY MODE COMMAND; or

If the IE "Integrity protection mode command" has the value "Modify" and there does not exist exactly one integrity protection activation time in the IE "Downlink integrity protection activation info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS"; or

If IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started", and the IE "Integrity protection mode info" was not included in the message SECURITY MODE COMMAND;

the UE shall:

- 1> ignore this attempt to change the integrity protection configuration; and
- 1> set the variable INVALID_CONFIGURATION to TRUE.

If the IE "Integrity protection mode info" is not present, the UE shall:

1> not change the integrity protection configuration.

If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to FALSE, the UE shall:

- 1> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to TRUE;
- 1> perform the actions in accordance with subclauses 8.6.3.5.1, 8.6.3.5.2 and 8.6.3.5.3.

8.6.3.5.1 Initialization of Integrity Protection

The UE shall:

- 1> if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and this IE was included in the message SECURITY MODE COMMAND:
- 2> initialise the information for all signalling radio bearers in the variable INTEGRITY_PROTECTION_INFO according to the following:

- 3> set the IE "Uplink RRC Message sequence number" in the variable INTEGRITY_PROTECTION_INFO to zero;
- 3> do not set the IE "Downlink RRC Message sequence number" in the variable INTEGRITY_PROTECTION_INFO;
- 3> set the variable INTEGRITY_PROTECTION_ACTIVATION_INFO to zero for each signalling radio bearer in the IE "ESTABLISHED_RABS".

NOTE: The IE "Integrity protection activation info" and "RRC Message sequence number" included in the IE "Integrity Check Info" in the transmitted message do not have identical values, but integrity protection is applied from the first transmitted message.

- 2> set the IE "Status" in the variable INTEGRITY_PROTECTION_INFO to the value "Started";
- 2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:
 - 3> using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";
 - 3> using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].
- 2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED_RABS" except RB2 at the next received RRC message;
- 2> start applying the new integrity protection configuration in the downlink for signalling radio bearer RB2 from and including the received SECURITY MODE COMMAND message;
- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted SECURITY MODE COMPLETE message;
- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RB2 at the uplink activation time included in the IE "Uplink integrity protection activation info".

8.6.3.5.2 Integrity Protection Re-configuration for SRNS Relocation

The UE shall:

- 1> if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and this IE was not included SECURITY MODE COMMAND:

NOTE: This case is used in SRNS relocation

- 2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:
 - 3> using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";
 - 3> using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].
- 2> let RB_m be the signalling radio bearer where the reconfiguration message was received and let RB_n be the signalling radio bearer where the response message is transmitted;
- 2> prohibit transmission of RRC messages on all signalling radio bearers in the IE "ESTABLISHED_RABS" except on RB₀ and the radio bearer where the response message is transmitted;
- 2> if for a signalling radio bearer, a security configuration triggered by a previous SECURITY MODE COMMAND ~~is~~ has not yet pending been applied, due to the activation time for the signalling radio bearer not having elapsed:
 - 3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

- 4> consider the new integrity protection configuration to include the received new keys; and
 - 4> initialise the HFN of the COUNT-I values of the corresponding signalling radio bearers according to subclause 8.1.12.
- 3> else:
- 4> consider the new Integrity Protection configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN associated with the previously received SECURITY MODE COMMAND; and
 - 4> initialise the HFN of the COUNT-I values of the corresponding signalling radio bearers according to subclause 8.1.12 using the START value associated with the LATEST_CONFIGURED_CN_DOMAIN to be transmitted in the response to the current message.
- 2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED_RABS" except RBm at the next received RRC message ~~disregarding any pending activation times~~ for the corresponding signalling radio bearer;
 - 2> start applying the new integrity protection configuration in the downlink for signalling radio bearer RBm from and including the received configuration message;
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RBn from and including the transmitted response message;
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RBn from the first message onwards.

NOTE: The UTRAN should ignore the information included in the IE "Uplink integrity protection [activation info](#)".

8.6.3.5.3 Integrity Protection modification in case of new keys or initialisation of signalling connection

The UE shall:

- 1> if IE "Integrity protection mode command" has the value "modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and this IE was included in SECURITY MODE COMMAND:
 - 2> store the (oldest currently used) integrity protection configuration until activation times have elapsed for the new integrity protection configuration to be applied on all signalling radio bearers;
 - ~~2> if there are pending activation times set for integrity protection by a previous procedure changing the integrity protection configuration:~~
 - ~~3> apply the integrity protection configuration at this pending activation time as indicated in this procedure.~~
 - 2> start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each signalling radio bearer n, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info";
 - 2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1;
 - 3> if present, use the algorithm indicated by the IE "Integrity protection algorithm" (UIA [40]);
 - 2> set the content of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO according to the following:
 - 3> for each established signalling radio bearer, stored in the variable ESTABLISHED_RABS:
 - 4> select a value of the RRC sequence number at which (activation) time the new integrity protection configuration shall be applied in uplink for that signalling radio bearer according to the following:

- 5> for each signalling radio bearer that has no pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:
 - 6> set a suitable value that would ensure a minimised delay in the change to the latest integrity protection configuration.
- 5> for signalling radio bearer that has a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:
 - 6> set the same value as the pending activation time for integrity protection;
- 5> consider ~~this an (pending) integrity protection~~ activation time ~~in uplink~~ -to be ~~elapsed-pending when-until~~ the selected activation time (as above) is equal to the next RRC sequence number to be used, which means that the last RRC message using the old integrity protection configuration has been submitted to lower layers.
- 4> for signalling radio bearer RB0:
 - 5> set the value of the included RRC sequence number to greater than or equal to the current value of the RRC sequence number for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO, plus the value of the constant N302 plus one.
- 4> prohibit the transmission of RRC messages on all signalling radio bearers, except for RB2, with RRC SN greater than or equal to the value in the "RRC message sequence number list" for the signalling radio bearer in the IE "Uplink integrity protection activation info" of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 2> start applying the new integrity protection configuration in the uplink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Uplink integrity protection activation info", included in the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;
- 2> start applying the new integrity protection configuration in the uplink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration;
- 2> start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info";

NOTE: For signalling radio bearers that have a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration, UTRAN should set this value in IE "Downlink integrity protection activation info".

- 2> start applying the new integrity protection configuration in the downlink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration.

~~If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and the IE "Integrity protection mode command info" was not included in the message SECURITY MODE COMMAND; or~~

~~If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and the IE "Integrity protection mode info" was included in the message SECURITY MODE COMMAND, and the IE "Integrity protection algorithm" is not included; or~~

~~If the IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not Started"; or~~

~~If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started", and the IE "Integrity protection mode command info" was included in the message SECURITY MODE COMMAND; or~~

~~If the IE "Integrity protection mode command" has the value "Modify" and there does not exist exactly one integrity protection activation time in the IE "Downlink integrity protection activation info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS"; or~~

~~If IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started", and the IE "Integrity protection mode info" was not included in the message SECURITY MODE COMMAND:~~

~~the UE shall:~~

~~1> ignore this attempt to change the integrity protection configuration; and~~

~~1> set the variable INVALID_CONFIGURATION to TRUE.~~

~~If the IE "Integrity protection mode info" is not present, the UE shall:~~

~~1> not change the integrity protection configuration.~~

8.6.3.6 Void

8.6.5.1 Transport Format Set

If the IE "Transport format set" is included, the UE shall:

1> if the transport format set is a RACH TFS received in System Information Block type 5 or 6, and CHOICE "Logical Channel List" has a value different from "Configured":

2> ignore that System Information Block.

1> if the transport format set for a downlink transport channel is received in a System Information Block, and CHOICE "Logical Channel List" has a value different from 'ALL':

2> ignore that System Information Block.

1> if the transport format set for a downlink transport channel is received in a message on a DCCH, and CHOICE "Logical Channel List" has a value different from 'ALL':

2> keep the transport format set if this exists for that transport channel;

2> set the variable INVALID_CONFIGURATION to TRUE.

1> if the value of any IE "RB identity" (and "Logical Channel" for RBs using two UL logical channels) in the IE "Logical channel list" does not correspond to a logical channel indicated to be mapped onto this transport channel in any RB multiplexing option (either included in the same message or previously stored and not changed by this message); or

1> if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is set to "Configured" while it is set to "All" or given as an "Explicit List" for any other RLC size; or

1> if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is set to "All" and for any logical channel mapped to this transport channel, the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is not set to "Configured"; or

1> if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is given as an "Explicit List" that contains a logical channel for which the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is not set to "Configured"; or

1> if the "Logical Channel List" for all the RLC sizes defined for that transport channel are given as "Explicit List" and if one of the logical channels mapped onto this transport channel is not included in any of those lists; or

1> if the "Logical Channel List" for the RLC sizes defined for that transport channel is set to "Configured" and for any logical channel mapped onto that transport channel, the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is also set to "Configured"; or

1> if the IE "Transport Format Set" was not received within the IE "PRACH system information list" and if the "Logical Channel List" for the RLC sizes defined for that transport channel is set to "Configured" and for any logical channel mapped onto that transport channel, the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is given as an "Explicit List" that includes an "RLC size index" that does not correspond to any RLC size in this "Transport Format Set":

- 2> keep the transport format set if this exists for that transport channel;
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the total number of configured transport formats for the transport channel exceeds maxTF:
- 2> keep the transport format set if this exists for that transport channel;
 - 2> set the variable INVALID_CONFIGURATION to TRUE.
- 1> if the IE "Transport format set" is considered as valid according to the rules above:
- 2> remove a previously stored transport format set if this exists for that transport channel;
 - 2> store the transport format set for that transport channel;
 - 2> consider the first instance of the parameter *Number of TBs and TTI List* within the *Dynamic transport format information* to correspond to transport format 0 for this transport channel, the second to transport format 1 and so on;
 - 2> if the IE "Transport format Set" has the choice "Transport channel type" set to "Dedicated transport channel":
 - 3> calculate the transport block size for all transport formats in the TFS using the following

$$\text{TB size} = \text{RLC size} + \text{MAC header size},$$
 where:
 - MAC header size is calculated according to [15] if MAC multiplexing is used. Otherwise it is 0 bits;
 - 'RLC size' reflects the RLC PDU size.
 - 2> if the IE "Transport format Set" has the choice "Transport channel type" set to "Common transport channel":
 - 3> calculate the transport block size for all transport formats in the TFS using the following:

$$\text{TB size} = \text{RLC size}.$$
 - 2> if the IE "Number of Transport blocks" ≤ 0 and IE "RLC size" = 0, no RLC PDU data exists but only parity bits exist for that transport format;
 - 2> if the IE "Number of Transport blocks" = 0, neither RLC PDU neither data nor parity bits exist for that transport format;
 - 2> configure the MAC with the new transport format set (with computed transport block sizes) for that transport channel;
 - 2> if the RB multiplexing option for a RB mapped onto that transport channel (based on the stored RB multiplexing option) is not modified by this message:
 - 3> determine the sets of RLC sizes that apply to the logical channels used by that RB, based on the IE "Logical Channel List" and/or the IE "RLC Size List" from the previously stored RB multiplexing option.
 - 3> if the IE "Transport Format Set" was received within the IE "PRACH system information list":
 - 4> ignore the RLC size indexes in the stored RB multiplexing option that do not correspond to any RLC size in the received Transport Format Set.
 - 3> if the IE "Transport Format Set" was received within the IE "PRACH system information list", if that RB is using AM and if RACH is the transport channel to be used on the uplink:
 - 4> apply the largest size amongst the ones derived according to the previous bullet for the RLC size (or RLC sizes in case the RB is realised using two logical channels) for the corresponding RLC entity.
 - 3> if the IE "Transport Format Set" was not received within the IE "PRACH system information list", and if that RB is using AM and the set of RLC sizes applicable to the logical channel transferring data PDUs has more than one element:

- 4> set the variable INVALID_CONFIGURATION to true.
- 3> if that RB is using AM and the RLC size applicable to the logical channel transporting data PDUs is different from the one derived from the previously stored configuration:
 - 4> re-establish the corresponding RLC entity;
 - 4> configure the corresponding RLC entity with the new RLC size;
 - 4> for each AM RLC radio bearer in the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS whose RLC size is changed; and
 - 4> for each AM RLC signalling radio bearer in the CN domain as indicated in the IE "CN domain identity" in the variable LATEST_CONFIGURED_CN_DOMAIN whose RLC size is changed:
 - 5> if this IE was included in system information and if the IE "Status" in variable CIPHERING_STATUS of this CN domain is set to "Started":
 - 6> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" for this CN domain that will be included in the CELL UPDATE message following cell reselection.

NOTE: Since the UE cannot predict the START value at the time of the next CELL UPDATE transmission in the future, UTRAN should desist from changing the RLC size for a signalling radio bearer within a cell. Other than this case the change in RLC size for a signalling radio bearer is known to the UE when reading system information following cell reselection.

- 5> if this IE was included in CELL UPDATE CONFIRM and if the IE "Status" in the variable CIPHERING_STATUS of this CN domain is set to "Started":
 - 6> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" included in the latest transmitted CELL UPDATE message for this CN domain.

5> if this IE was included in a reconfiguration message and if the IE "Status" in the variable CIPHERING_STATUS of this CN domain is set to "Started":

6> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the reconfiguration complete message for this CN domain.

~~5> if this IE was included in ACTIVE SET UPDATE and if the IE "Status" in the variable CIPHERING_STATUS of this CN domain is set to "Started":~~

~~6> set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the ACTIVE SET UPDATE COMPLETE message for this CN domain.~~

- 3> if that RB is using UM:
 - 4> indicate the largest applicable RLC size to the corresponding RLC entity.
- 3> configure MAC with the set of applicable RLC Sizes for each of the logical channels used for that RB.

For configuration restrictions on Blind Transport Format Detection, see [27].

8.6.6.28 Downlink DPCH info common for all radio links

If the IE "Downlink DPCH info common for all RL" is included the UE shall:

- 1> if the IE "Downlink DPCH info common for all RL" is included in a message used to perform a hard handover:
 - 2> perform actions for the IE "Timing indication" as specified in subclause 8.5.15.2, and subclause 8.3.5.1 or 8.3.5.2.
- 1> ignore the value received in IE "CFN-targetSFN frame offset";
- 1> if the IE "Downlink DPCH power control information" is included:
 - 2> perform actions for the IE "DPC Mode" according to [29].

- 1> if the IE choice "mode" is set to 'FDD':
 - 2> if the IE "Downlink rate matching restriction information" is included:
 - 3> set the variable INVALID_CONFIGURATION to TRUE.
 - 2> perform actions for the IE "spreading factor";
 - 2> perform actions for the IE "Fixed or Flexible position";
 - 2> perform actions for the IE "TFCI existence";
 - 2> if the IE choice "SF" is set to 256:
 - 3> store the value of the IE "Number of bits for pilot bits".
 - 2> if the IE choice "SF" set to 128:
 - 3> store the value of the IE "Number of bits for pilot bits".
- 1> if the IE choice "mode" is set to 'TDD':
 - 2> perform actions for the IE "Common timeslot info".

If the IE "Downlink DPCH info common for all RL" is included in a message used to perform a Timing re-initialised hard handover or the IE "Downlink DPCH info common for all RL" is included in a message other than RB SETUP used to transfer the UE from a state different from Cell_DCH to Cell_DCH, and ciphering is active for any radio bearer using RLC-TM, the UE shall, after having activated the dedicated physical channels indicated by that IE:

- 1> set the 20 MSB of the HFN component of COUNT-C for TM-RLC to the value of the latest transmitted IE "START" or "START List" for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
- 1> set the remaining LSBs of the HFN component of COUNT-C to zero;
- 1> start to perform ciphering on the radio bearer in lower layers while not incrementing the HFN;
- 1> include the IE "COUNT-C activation time" in the response message and specify a CFN value [for this IE](#) other than the default, "Now", [that lies at least 200 frames ahead of the CFN in which the response message is first transmitted for this IE](#);
- 1> calculate the START value according to subclause 8.5.9;
- 1> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the response message;
- 1> at the CFN value as indicated in the response message in the IE "COUNT-C activation time":
 - 2> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
 - 2> set the remaining LSBs of the HFN component of COUNT-C to zero;
 - 2> increment the HFN component of the COUNT-C variable by one;
 - 2> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
 - 2> step the COUNT-C variable, as normal, at each CFN value, i.e. the HFN component is no longer fixed in value but incremented at each CFN cycle.

10.2.8 CELL UPDATE CONFIRM

This message confirms the cell update procedure and can be used to reallocate new RNTI information for the UE valid in the new cell.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information Elements					
U-RNTI	CV-CCCH		U-RNTI 10.3.3.47		
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm.	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
RLC re-establish indicator (RB2, RB3 and RB4)	MP		RLC re-establish indicator 10.3.3.35		
RLC re-establish indicator (RB5 and upwards)	MP		RLC re-establish indicator 10.3.3.35		
CN Information Elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN Information Elements					

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
URA identity	OP		URA identity 10.3.2.6		
RB information elements					
RB information to release list	OP	1 to <maxRB>			
>RB information to release	MP		RB information to release 10.3.4.19		
RB information to reconfigure list	OP	1 to <maxRB>			
>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18		
RB information to be affected list	OP	1 to <maxRB>			
>RB information to be affected	MP		RB information to be affected 10.3.4.17		
Downlink counter synchronisation info	OP				
>RB with PDCP information list	OP	1 to <maxRBall RABs>			
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	This IE is needed for each RB having PDCP in the case of lossless SRNS relocation	
	OP				REL-5
>>PDCP context relocation info	OP		PDCP context relocation info 10.3.4.1a	This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
Deleted TrCH information list	OP	1 to <maxTrCH >			
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >			
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
CHOICE <i>mode</i>	MP				

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
>FDD					
>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >			
>>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>TDD				(no data)	
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
Deleted TrCH information list	OP	1 to <maxTrCH >			
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >			
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	OP		Frequency info 10.3.6.36		
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
<i>CHOICE channel requirement</i>					
>Uplink DPCH info			Uplink DPCH info 10.3.6.88.		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
<i>CHOICE mode</i>					
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS_PDSCH Information 10.3.6.23a		REL-5
Downlink information common for all radio links	OP		Downlink information common for all radio links		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			10.3.6.24		
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link to be set-up	
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

Condition	Explanation
CCCH	This IE is mandatory present when CCCH is used and ciphering is not required and not needed otherwise.

10.2.9 COUNTER CHECK

This message is used by the UTRAN to indicate the current COUNT-C MSB values associated to each radio bearer utilising UM or AM RLC mode and to request the UE to compare these to its COUNT-C MSB values and to report the comparison results to UTRAN.

RLC-SAP: AM

Logical channel: DCCH

Direction: UTRAN→UE

Information Element/Group name	Presence	Multi	IE type and reference	Semantics description
Message Type	MP			
UE information elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	MPCH		Integrity check info 10.3.3.16	
RB information elements				
RB COUNT-C MSB information	MP	1 to <maxRBallRABs >		For each RB (excluding signalling radio bearers) using UM or AM RLC.
>RB COUNT-C MSB information	MP		RB COUNT-C MSB information 10.3.4.14	

10.2.10 COUNTER CHECK RESPONSE

This message is used by the UE to respond to a COUNTER CHECK message.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE→UTRAN

Information Element/Group name	Presence	Multi	IE type and reference	Semantics description
Message Type	MP			
UE information elements				
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36	
Integrity check info	MPCH		Integrity check info 10.3.3.16	
RB information elements				
RB COUNT-C information	OP	1 to < maxRBAllR ABs >		
>RB COUNT-C information	MP		RB COUNT-C information 10.3.4.15	

10.2.22 PHYSICAL CHANNEL RECONFIGURATION

This message is used by UTRAN to assign, replace or release a set of physical channels used by a UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information Elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN Information Elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB information elements					
Downlink counter synchronisation info	OP				
>RB with PDCP information list	OP	1 to <maxRBall RABs>			
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	This IE is needed for each RB having PDCP in the case of lossless SRNS relocation	
	OP				REL-5
>>PDCP context relocation info	OP		PDCP context relocation info 10.3.4.1a	This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5
PhyCH information elements					
Frequency info	OP		Frequency info 10.3.6.36		
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing value of the maximum allowed UL TX power	
CHOICE channel requirement					
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
>CPCH set ID			CPCH set ID 10.3.5.3		
Downlink radio resources					
CHOICE mode					
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS_PDSCH Information 10.3.6.23a		REL-5
Downlink information common	OP		Downlink		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
for all radio links			information common for all radio links 10.3.6.24		
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link	
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

10.2.27 RADIO BEARER RECONFIGURATION

This message is sent from UTRAN to reconfigure parameters related to a change of QoS. This procedure can also change the multiplexing of MAC, reconfigure transport channels and physical channels.

RLC-SAP: AM or UM

Logical channel: DCCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			coefficient 10.3.3.49		
CN information elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB information elements					
RAB information to reconfigure list	OP	1 to <maxRABsetup >			
>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11		
RB information to reconfigure list	MP	1to <maxRB>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18		
RB information to be affected list	OP	1 to <maxRB>			
>RB information to be affected	MP		RB information to be affected 10.3.4.17		
RB with PDCP context relocation info list	OP	1 to <maxRBall RABs>		This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5
>RB identity	MP		RB identity 10.3.4.16		REL-5
>PDCP context relocation info	MP		PDCP context relocation info 10.3.4.1a		REL-5
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
Deleted TrCH information list	OP	1 to <maxTrCH >			

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >			
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
CHOICE <i>mode</i>	OP				
>FDD					
>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >			
>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>TDD				(no data)	
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
Deleted TrCH information list	OP	1 to <maxTrCH >			
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >			
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	OP		Frequency info 10.3.6.36		
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE <i>channel requirement</i>	OP				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
CHOICE <i>mode</i>	MP				
>FDD					

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS-PDSCH Information 10.3.6.23a		REL-5
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	MP	1 to <maxRL>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

10.2.30 RADIO BEARER RELEASE

This message is used by UTRAN to release a radio bearer. It can also include modifications to the configurations of transport channels and/or physical channels. It can simultaneously indicate release of a signalling connection when UE is connected to more than one CN domain.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information Elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm	
Activation time	MD		Activation	Default value is	

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			time 10.3.3.1	"now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN Information Elements					
CN Information info	OP		CN Information info 10.3.1.3		
Signalling Connection release indication	OP		CN domain identity 10.3.1.1		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB Information Elements					
RAB information to reconfigure list	OP	1 to <maxRABsetup>			
>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11		
RB information to release list	MP	1 to <maxRB>			
>RB information to release	MP		RB information to release 10.3.4.19		
RB information to be affected list	OP	1 to <maxRB>			
>RB information to be affected	MP		RB information to be affected 10.3.4.17		
Downlink counter synchronisation info	OP				
>RB with PDCP information list	OP	1 to <maxRBallRABs>			
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	This IE is needed for each RB having PDCP in the case of lossless SRNS relocation	
	OP				REL-5
>>>PDCP context relocation info	OP		PDCP context relocation info	This IE is needed for each RB having PDCP and performing PDCP	REL-5

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			10.3.4.1a	context relocation	
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
Deleted TrCH information list	OP	1 to <maxTrCH >			
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >			
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
CHOICE <i>mode</i>	OP				
>FDD					
>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >			
>>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>TDD				(no data)	
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
Deleted TrCH information list	OP	1 to <maxTrCH >			
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >			
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	OP		Frequency info 10.3.6.36		
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum	Default value is	

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			allowed UL TX power 10.3.6.39	the existing maximum UL TX power	
CHOICE <i>channel requirement</i>	OP				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
CHOICE <i>mode</i>	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS-PDSCH Information 10.3.6.23a		REL-5
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link to be set-up	
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

10.2.33 RADIO BEARER SETUP

This message is sent by UTRAN to the UE to establish new radio bearer(s). It can also include modifications to the configurations of transport channels and/or physical channels.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information Elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN Information Elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB Information Elements					
Signalling RB information to setup list	OP	1 to <maxSRBs etup>		For each signalling radio bearer established	
>Signalling RB information to setup	MP		Signalling RB information to setup 10.3.4.24		
RAB information to setup list	OP	1 to <maxRABs etup>		For each RAB established	
>RAB information for setup	MP		RAB information for setup 10.3.4.10		
RB information to be affected list	OP	1 to <maxRB>			
>RB information to be affected	MP		RB information to be affected 10.3.4.17		
Downlink counter synchronisation info	OP				
>RB with PDCP information list	OP	1 to <maxRBall RABs>			

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	This IE is needed for each RB having PDCP in the case of lossless SRNS relocation	
	OP				REL-5
>>PDCP context relocation info	OP		PDCP context relocation info 10.3.4.1a	This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
Deleted TrCH information list	OP	1 to <maxTrCH >			
>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >			
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
CHOICE mode					
>FDD					
>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >			
>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>TDD				(no data)	
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
Deleted TrCH information list	OP	1 to <maxTrCH >			
>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >			

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	OP		Frequency info 10.3.6.36		
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
<i>CHOICE channel requirement</i>					
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
<i>CHOICE mode</i>	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS-PDSCH Information 10.3.6.23a		REL-5
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link	
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

10.2.50 TRANSPORT CHANNEL RECONFIGURATION

This message is used by UTRAN to configure the transport channel of a UE. This also includes a possible reconfiguration of physical channels. The message can also be used to assign a TFC subset and reconfigure physical channel.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
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Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information Elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN Information Elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB information elements					
Downlink counter synchronisation info	OP				
>RB with PDCP information list	OP	1 to <maxRBall RABs>			
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	This IE is needed for each RB having PDCP in the case of lossless SRNS relocation	
	OP				REL-5
>>>PDCP context relocation info	OP		PDCP context relocation info 10.3.4.1a	This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
TrCH Information Elements					
Uplink transport channels					
UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >			
>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
CHOICE <i>mode</i>	OP				
>FDD					
>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH >			
>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>TDD				(no data)	
Downlink transport channels					
DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
Added or Reconfigured TrCH information list	OP	1 to <maxTrCH >			
>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
PhyCH information elements					
Frequency info	OP		Frequency info 10.3.6.36		
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE <i>channel requirement</i>	OP				
>Uplink DPCH info			Uplink DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
CHOICE <i>mode</i>	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS-PDSCH Information 10.3.6.23a		REL-5
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	OP	1 to <maxRL>		Send downlink information for each radio link	
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

10.2.61 URA UPDATE CONFIRM

This message confirms the URA update procedure and can be used to reallocate new RNTI information for the UE valid after the URA update.

RLC-SAP: UM

Logical channel: CCCH or DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE information elements					
U-RNTI	<i>CV-CCCH</i>		U-RNTI 10.3.3.47		
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16	Integrity check info is included if integrity protection is applied	
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			10.3.3.8		
RRC State Indicator	MP		RRC State Indicator 10.3.3.10		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN Information Elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity 10.3.2.6		
RB information elements					
Downlink counter synchronisation info	OP				
>RB with PDCP information list	OP	1 to <maxRBall RABs>			
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	This IE is needed for each RB having PDCP in the case of lossless SRNS relocation	
	OP				REL-5
>>PDCP context relocation info	OP		PDCP context relocation info 10.3.4.1a	This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5

Condition	Explanation
CCCH	This IE is mandatory present when CCCH is used and not needed otherwise.

10.2.62 UTRAN MOBILITY INFORMATION

This message is used by UTRAN to allocate a new RNTI and to convey other UTRAN mobility related information to a UE.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UTRAN→UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information Elements					
Integrity check info	CH		Integrity check info 10.3.3.16		
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19		
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing a SRNS relocation and a change in ciphering algorithm	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
UE Timers and constants in connected mode	OP		UE Timers and constants in connected mode 10.3.3.43		
CN Information Elements					
CN Information info	OP		CN Information info full 10.3.1.3a		
UTRAN Information Elements					
URA identity	OP		URA identity 10.3.2.6		
RB Information elements					
Downlink counter synchronisation info	OP				
>RB with PDCP information list	OP	1 to <maxRBall RABs>			
>>RB with PDCP information	MP		RB with PDCP information 10.3.4.22	This IE is needed for each RB having PDCP in the case of lossless SRNS relocation	
	OP				REL-5
>>>PDCP context relocation info	OP		PDCP context relocation info 10.3.4.1a	This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5

CHANGE REQUEST

⌘ **25.331 CR 1691** ⌘ rev **1** ⌘ Current version: **3.12.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Handling of measurements at state transitions to/from DCH state.
Source:	⌘ Ericsson
Work item code:	⌘ TEI Date: ⌘ September 2002
Category:	⌘ F Release: ⌘ R99
Use <u>one</u> of the following categories:	
F (correction)	
A (corresponds to a correction in an earlier release)	
B (addition of feature),	
C (functional modification of feature)	
D (editorial modification)	
Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	
Use <u>one</u> of the following releases:	
2 (GSM Phase 2)	
R96 (Release 1996)	
R97 (Release 1997)	
R98 (Release 1998)	
R99 (Release 1999)	
Rel-4 (Release 4)	
Rel-5 (Release 5)	
Rel-6 (Release 6)	

Reason for change:	⌘ Handling of measurements at the following state transitions do not seem to be specified unambiguously: <ol style="list-style-type: none">1. IDLE cell 1 -> DCH cell 22. FACH cell 2 -> DCH cell 23. DCH cell 1 -> FACH on cell with primary CPICH as indicated but another frequency Case 1: IDLE cell 1 -> DCH cell 2 When entering the DCH state: <ul style="list-style-type: none">- In this case, there will be no measurements in the MEASUREMENT_IDENTITY variable which are setup or modified by a MEASUREMENT CONTROL message. The only entries that could be in the MEASUREMENT_IDENTITY variable are one intra-frequency measurement and one traffic volume measurement. These entries can only come from the broadcast in cell1 since the broadcast in cell2 will not have been read.- The CELL_INFO_LIST may be empty or contain the cells from cell1. Shall the UE in this situation start the measurements as obtained from the broadcast in cell1 ? Case 2: FACH cell 1 -> DCH cell 2 When entering the DCH state: <ul style="list-style-type: none">- In this case, there could be intra-frequency, inter-frequency, traffic volume and/or
---------------------------	---

user positioning measurements in the MEASUREMENT_IDENTITY variable which are setup or modified by a MEASUREMENT CONTROL message. In addition there could be one intra-frequency measurement and one traffic volume measurement obtained from the broadcast in cell1 (again broadcast in cell2 will not have been read).

- The CELL_INFO_LIST will most likely contain the cells broadcasted in cell1. Alternatively it is empty if the UE did not have sufficient time to read SIB11/12 from cell1.

Shall the UE in this situation start the intra-frequency/interfrequency measurements previously setup or modified by a MEASUREMENT CONTROL message or as obtained from the broadcast in cell1 ?

Case 3: DCH cell 1 -> FACH on cell with primary CPICH as indicated but another frequency

In this case, the UE selects a cell other than indicated by the UTRAN. It is assumed that the intention of section 8.4.1.6.1/2 is that in that case the corresponding entries in the MEASUREMENT_IDENTITY variable shall be deleted.

Summary of change: ⌘ **Case 1 & 2**
 After discussions during RAN2#32, it was agreed to align these cases to the behaviour specified for the hard handover case (8.3.5), meaning that the intra- and inter-frequency measurements are “stopped” (not started in these cases). This will provide the UTRAN with the possibility to correct the MEASUREMENT_IDENTITY and CELL_INFO_LIST contents before measurement reports are sent by the UE.

Case 3
 The assumed behaviour is clarified.

T1 impact:
 No impact on T1 specifications is foreseen.

Backward compatibility:
 This set of CRs is backward compatible for R99 UEs since it still allows the current behaviour. For Re4/Rel5 UEs the change is backward incompatible.

Consequences if not approved: ⌘ If this CR is not approved, there will be situations in which UE has to start intra- and inter-frequency measurements with unintended parameter combination. The UE might enter a situation for which the measurement behaviour is not specified (e.g. no active set cells in the intra-frequency CELL_INFO_LIST). The UTRAN might get measurement reports based on incorrect cell parameters like CIO.

Clauses affected: ⌘ 8.4.1.6; 8.4.1.7; 8.4.1.8

Other specs affected:	⌘	<input type="checkbox"/> Y	<input checked="" type="checkbox"/> N	Other core specifications	⌘	
		<input type="checkbox"/>	<input checked="" type="checkbox"/>			Test specifications
		<input type="checkbox"/>	<input checked="" type="checkbox"/>			O&M Specifications

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1.6 Measurements after transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state

The UE shall apply the following rules for different measurement types after transiting from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state:

8.4.1.6.1 Intra-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

- 1> stop intra-frequency type measurement reporting;
- 1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE on the current frequency (in case the IE "Frequency info" is not received) or other than that indicated by this IE on the frequency indicated by the IE "Frequency info" (when the IE "Frequency info" is included); or
- 1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or
- 1> if the transition is not due to a reconfiguration message:
 - 2> delete the measurements of type intra-frequency associated with the variable MEASUREMENT_IDENTITY.
- 1> begin monitoring cells listed in the IE "intra-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11).

8.4.1.6.2 Inter-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

- 1> stop the inter-frequency type measurement reporting assigned in a MEASUREMENT CONTROL message;
- 1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE on the current frequency (in case the IE "Frequency info" is not received) or other than that indicated by this IE on the frequency indicated by the IE "Frequency info" (when the IE "Frequency info" is included); or
- 1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or
- 1> if the transition is not due to a reconfiguration message:
 - 2> delete the measurements of type inter-frequency associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern.
- 1> begin monitoring cells listed in the IE "inter-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);
- 1> in CELL_FACH state:
 - 2> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

8.4.1.7 Measurements after transition from CELL_FACH to CELL_DCH state

The UE shall apply the following rules for different measurement types after transiting from CELL_FACH to CELL_DCH state:

8.4.1.7.1 Intra-frequency measurement

Upon transition from CELL_FACH to CELL_DCH state, ~~the UE shall:~~

- 1> if intra-frequency measurements applicable to CELL_DCH state are stored in the variable MEASUREMENT_IDENTITY:
 - 2> if the cell in which the UE transited from CELL_FACH state is included in the active set for the CELL_DCH state:
 - ~~3>~~ the UE shall resume the measurement reporting.
 - 2> otherwise:
 - 3> the UE should not resume the measurement reporting. If the UE does not resume the measurement reporting, the measurement shall be restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

8.4.1.7.2 Inter-frequency measurement

Upon transition from CELL_FACH to CELL_DCH state, ~~the UE shall:~~

- 1> if inter-frequency measurements applicable to CELL_DCH state are stored in the variable MEASUREMENT_IDENTITY:
 - 2> if the cell in which the UE transited from CELL_FACH state is included in the active set for the CELL_DCH state:
 - ~~3>~~ the UE shall resume the measurement reporting.
 - 2> otherwise:
 - 3> the UE should not resume the measurement reporting. If the UE does not resume the measurement reporting, the measurement shall be restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

8.4.1.8 Measurements after transition from idle mode to CELL_DCH state

The UE shall obey the following rules for different measurement types after transiting from idle mode to CELL_DCH state:

8.4.1.8.1 Intra-frequency measurement

Upon transition from idle mode to CELL_DCH state, ~~the UE shall:~~

1> if intra-frequency measurements applicable to CELL_DCH state are stored in the variable MEASUREMENT_IDENTITY:

2> if the cell in which the UE transited from idle mode is included in the active set for the CELL_DCH state:

~~3>~~ the UE shall begin measurement reporting.

2> otherwise:

3> the UE should not begin the measurement reporting. If the UE does not begin the measurement reporting, the measurement shall be restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

CHANGE REQUEST

⌘ **25.331 CR 1692** ⌘ rev **1** ⌘ Current version: **4.7.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Handling of measurements at state transitions to/from DCH state.
Source:	⌘ Ericsson
Work item code:	⌘ TEI Date: ⌘ September 2002
Category:	⌘ A Release: ⌘ Rel-4
Use <u>one</u> of the following categories:	
F (correction)	
A (corresponds to a correction in an earlier release)	
B (addition of feature),	
C (functional modification of feature)	
D (editorial modification)	
Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	
Use <u>one</u> of the following releases:	
2 (GSM Phase 2)	
R96 (Release 1996)	
R97 (Release 1997)	
R98 (Release 1998)	
R99 (Release 1999)	
Rel-4 (Release 4)	
Rel-5 (Release 5)	
Rel-6 (Release 6)	

Reason for change:	⌘ Handling of measurements at the following state transitions do not seem to be specified unambiguously: 1. IDLE cell 1 -> DCH cell 2 2. FACH cell 2 -> DCH cell 2 3. DCH cell 1 -> FACH on cell with primary CPICH as indicated but another frequency Case 1: IDLE cell 1 -> DCH cell 2 When entering the DCH state: - In this case, there will be no measurements in the MEASUREMENT_IDENTITY variable which are setup or modified by a MEASUREMENT CONTROL message. The only entries that could be in the MEASUREMENT_IDENTITY variable are one intra-frequency measurement and one traffic volume measurement. These entries can only come from the broadcast in cell1 since the broadcast in cell2 will not have been read. - The CELL_INFO_LIST may be empty or contain the cells from cell1. Shall the UE in this situation start the measurements as obtained from the broadcast in cell1 ? Case 2: FACH cell 1 -> DCH cell 2 When entering the DCH state: - In this case, there could be intra-frequency, inter-frequency, traffic volume and/or
---------------------------	--

user positioning measurements in the MEASUREMENT_IDENTITY variable which are setup or modified by a MEASUREMENT CONTROL message. In addition there could be one intra-frequency measurement and one traffic volume measurement obtained from the broadcast in cell1 (again broadcast in cell2 will not have been read).

- The CELL_INFO_LIST will most likely contain the cells broadcasted in cell1. Alternatively it is empty if the UE did not have sufficient time to read SIB11/12 from cell1.

Shall the UE in this situation start the intra-frequency/interfrequency measurements previously setup or modified by a MEASUREMENT CONTROL message or as obtained from the broadcast in cell1 ?

Case 3: DCH cell 1 -> FACH on cell with primary CPICH as indicated but another frequency

In this case, the UE selects a cell other than indicated by the UTRAN. It is assumed that the intention of section 8.4.1.6.1/2 is that in that case the corresponding entries in the MEASUREMENT_IDENTITY variable shall be deleted.

Summary of change: ⌘ **Case 1 & 2**
 After discussions during RAN2#32, it was agreed to align these cases to the behaviour specified for the hard handover case (8.3.5), meaning that the intra- and inter-frequency measurements are “stopped” (not started in these cases). This will provide the UTRAN with the possibility to correct the MEASUREMENT_IDENTITY and CELL_INFO_LIST contents before measurement reports are sent by the UE.

Case 3
 The assumed behaviour is clarified.

T1 impact:
 No impact on T1 specifications is foreseen.

Backward compatibility:
 This set of CRs is backward compatible for R99 UEs since it still allows the current behaviour. For Re4/Rel5 UEs the change is backward incompatible.

Consequences if not approved: ⌘ If this CR is not approved, there will be situations in which UE has to start intra- and inter-frequency measurements with unintended parameter combination. The UE might enter a situation for which the measurement behaviour is not specified (e.g. no active set cells in the intra-frequency CELL_INFO_LIST). The UTRAN might get measurement reports based on incorrect cell parameters like CIO.

Clauses affected: ⌘ 8.4.1.6; 8.4.1.7; 8.4.1.8

	Y	N		
Other specs affected:	⌘	X	Other core specifications	⌘
		X	Test specifications	
		X	O&M Specifications	

Other comments: ⌘

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- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1.6 Measurements after transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state

The UE shall apply the following rules for different measurement types after transiting from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state:

8.4.1.6.1 Intra-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

- 1> stop intra-frequency type measurement reporting;
- 1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE on the current frequency (in case the IE "Frequency info" is not received) or other than that indicated by this IE on the frequency indicated by the IE "Frequency info" (when the IE "Frequency info" is included); or
- 1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or
- 1> if the transition is not due to a reconfiguration message:
 - 2> delete the measurements of type intra-frequency associated with the variable MEASUREMENT_IDENTITY.
- 1> begin monitoring cells listed in the IE "intra-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11).

8.4.1.6.2 Inter-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

- 1> stop the inter-frequency type measurement reporting assigned in a MEASUREMENT CONTROL message;
- 1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE on the current frequency (in case the IE "Frequency info" is not received) or other than that indicated by this IE on the frequency indicated by the IE "Frequency info" (when the IE "Frequency info" is included); or
- 1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or
- 1> if the transition is not due to a reconfiguration message:
 - 2> delete the measurements of type inter-frequency associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern.
- 1> begin monitoring cells listed in the IE "inter-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);
- 1> in CELL_FACH state:
 - 2> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

8.4.1.7 Measurements after transition from CELL_FACH to CELL_DCH state

The UE shall apply the following rules for different measurement types after transiting from CELL_FACH to CELL_DCH state:

8.4.1.7.1 Intra-frequency measurement

Upon transition from CELL_FACH to CELL_DCH state, ~~the UE shall:~~

1> if intra-frequency measurements applicable to CELL_DCH state are stored in the variable MEASUREMENT_IDENTITY:

2> if the cell in which the UE transited from CELL_FACH state is included in the active set for the CELL_DCH state:

~~3>~~ the UE shall resume the measurement reporting.

2> otherwise:

3> the UE shall not resume the measurement reporting. The measurement shall be restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

8.4.1.7.2 Inter-frequency measurement

Upon transition from CELL_FACH to CELL_DCH state, ~~the UE shall:~~

1> if inter-frequency measurements applicable to CELL_DCH state are stored in the variable MEASUREMENT_IDENTITY:

2> if the cell in which the UE transited from CELL_FACH state is included in the active set for the CELL_DCH state:

~~3>~~ the UE shall resume the measurement reporting.

2> otherwise:

3> the UE shall not resume the measurement reporting. The measurement shall be restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

8.4.1.8 Measurements after transition from idle mode to CELL_DCH state

The UE shall obey the following rules for different measurement types after transiting from idle mode to CELL_DCH state:

8.4.1.8.1 Intra-frequency measurement

Upon transition from idle mode to CELL_DCH state, ~~the UE shall:~~

1> if intra-frequency measurements applicable to CELL_DCH state are stored in the variable MEASUREMENT_IDENTITY:

2> if the cell in which the UE transited from idle mode is included in the active set for the CELL_DCH state:

~~3>~~ the UE shall begin measurement reporting.

2> otherwise:

3> the UE shall not begin the measurement reporting. The measurement shall be restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

CHANGE REQUEST

⌘ **25.331 CR 1693** ⌘ rev - ⌘ Current version: **5.2.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Handling of measurements at state transitions to/from DCH state.
Source:	⌘ Ericsson
Work item code:	⌘ TEI Date: ⌘ September 2002
Category:	⌘ A Release: ⌘ Rel-5
	Use <u>one</u> of the following categories:
	F (correction)
	A (corresponds to a correction in an earlier release)
	B (addition of feature),
	C (functional modification of feature)
	D (editorial modification)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .
	Use <u>one</u> of the following releases:
	2 (GSM Phase 2)
	R96 (Release 1996)
	R97 (Release 1997)
	R98 (Release 1998)
	R99 (Release 1999)
	Rel-4 (Release 4)
	Rel-5 (Release 5)
	Rel-6 (Release 6)

Reason for change:	⌘ Handling of measurements at the following state transitions do not seem to be specified unambiguously: <ol style="list-style-type: none">1. IDLE cell 1 -> DCH cell 22. FACH cell 2 -> DCH cell 23. DCH cell 1 -> FACH on cell with primary CPICH as indicated but another frequency Case 1: IDLE cell 1 -> DCH cell 2 When entering the DCH state: <ul style="list-style-type: none">- In this case, there will be no measurements in the MEASUREMENT_IDENTITY variable which are setup or modified by a MEASUREMENT CONTROL message. The only entries that could be in the MEASUREMENT_IDENTITY variable are one intra-frequency measurement and one traffic volume measurement. These entries can only come from the broadcast in cell1 since the broadcast in cell2 will not have been read.- The CELL_INFO_LIST may be empty or contain the cells from cell1. Shall the UE in this situation start the measurements as obtained from the broadcast in cell1 ? Case 2: FACH cell 1 -> DCH cell 2 When entering the DCH state: <ul style="list-style-type: none">- In this case, there could be intra-frequency, inter-frequency, traffic volume and/or
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user positioning measurements in the MEASUREMENT_IDENTITY variable which are setup or modified by a MEASUREMENT CONTROL message. In addition there could be one intra-frequency measurement and one traffic volume measurement obtained from the broadcast in cell1 (again broadcast in cell2 will not have been read).

- The CELL_INFO_LIST will most likely contain the cells broadcasted in cell1. Alternatively it is empty if the UE did not have sufficient time to read SIB11/12 from cell1.

Shall the UE in this situation start the intra-frequency/interfrequency measurements previously setup or modified by a MEASUREMENT CONTROL message or as obtained from the broadcast in cell1 ?

Case 3: DCH cell 1 -> FACH on cell with primary CPICH as indicated but another frequency

In this case, the UE selects a cell other than indicated by the UTRAN. It is assumed that the intention of section 8.4.1.6.1/2 is that in that case the corresponding entries in the MEASUREMENT_IDENTITY variable shall be deleted.

Summary of change: ⌘ **Case 1 & 2**
 After discussions during RAN2#32, it was agreed to align these cases to the behaviour specified for the hard handover case (8.3.5), meaning that the intra- and inter-frequency measurements are “stopped” (not started in these cases). This will provide the UTRAN with the possibility to correct the MEASUREMENT_IDENTITY and CELL_INFO_LIST contents before measurement reports are sent by the UE.

Case 3
 The assumed behaviour is clarified.

T1 impact:
 No impact on T1 specifications is foreseen.

Backward compatibility:
 This set of CRs is backward compatible for R99 UEs since it still allows the current behaviour. For Re4/Rel5 UEs the change is backward incompatible.

Consequences if not approved: ⌘ If this CR is not approved, there will be situations in which UE has to start intra- and inter-frequency measurements with unintended parameter combination. The UE might enter a situation for which the measurement behaviour is not specified (e.g. no active set cells in the intra-frequency CELL_INFO_LIST). The UTRAN might get measurement reports based on incorrect cell parameters like CIO.

Clauses affected: ⌘ 8.4.1.6; 8.4.1.7; 8.4.1.8

Other specs affected:	⌘	<input type="checkbox"/> Y	<input checked="" type="checkbox"/> N	Other core specifications	⌘	
		<input type="checkbox"/>	<input checked="" type="checkbox"/>			Test specifications
		<input type="checkbox"/>	<input checked="" type="checkbox"/>			O&M Specifications

Other comments: ⌘

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8.4.1.6 Measurements after transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state

The UE shall apply the following rules for different measurement types after transiting from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state:

8.4.1.6.1 Intra-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

- 1> stop intra-frequency type measurement reporting;
- 1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE on the current frequency (in case the IE "Frequency info" is not received) or other than that indicated by this IE on the frequency indicated by the IE "Frequency info" (when the IE "Frequency info" is included); or
- 1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or
- 1> if the transition is not due to a reconfiguration message:
 - 2> delete the measurements of type intra-frequency associated with the variable MEASUREMENT_IDENTITY.
- 1> begin monitoring cells listed in the IE "intra-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11).

8.4.1.6.2 Inter-frequency measurement

Upon transition from CELL_DCH to CELL_FACH/CELL_PCH/URA_PCH state, the UE shall:

- 1> stop the inter-frequency type measurement reporting assigned in a MEASUREMENT CONTROL message;
- 1> if the transition is due to a reconfiguration message which included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects a cell other than that indicated by this IE on the current frequency (in case the IE "Frequency info" is not received) or other than that indicated by this IE on the frequency indicated by the IE "Frequency info" (when the IE "Frequency info" is included); or
- 1> if the transition is due to a reconfiguration message which does not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); or
- 1> if the transition is not due to a reconfiguration message:
 - 2> delete the measurements of type inter-frequency associated with the variable MEASUREMENT_IDENTITY and delete the corresponding compressed mode pattern.
- 1> begin monitoring cells listed in the IE "inter-frequency cell info list" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11);
- 1> in CELL_FACH state:
 - 2> perform measurements on other frequencies according to the IE "FACH measurement occasion info".

8.4.1.7 Measurements after transition from CELL_FACH to CELL_DCH state

The UE shall apply the following rules for different measurement types after transiting from CELL_FACH to CELL_DCH state:

8.4.1.7.1 Intra-frequency measurement

Upon transition from CELL_FACH to CELL_DCH state, ~~the UE shall:~~

1> if intra-frequency measurements applicable to CELL_DCH state are stored in the variable MEASUREMENT_IDENTITY:

2> if the cell in which the UE transited from CELL_FACH state is included in the active set for the CELL_DCH state:

~~3>~~ the UE shall resume the measurement reporting.

2> otherwise:

3> the UE shall not resume the measurement reporting. The measurement shall be restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

8.4.1.7.2 Inter-frequency measurement

Upon transition from CELL_FACH to CELL_DCH state, ~~the UE shall:~~

1> if inter-frequency measurements applicable to CELL_DCH state are stored in the variable MEASUREMENT_IDENTITY:

2> if the cell in which the UE transited from CELL_FACH state is included in the active set for the CELL_DCH state:

~~3>~~ the UE shall resume the measurement reporting.

2> otherwise:

3> the UE shall not resume the measurement reporting. The measurement shall be restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

8.4.1.8 Measurements after transition from idle mode to CELL_DCH state

The UE shall obey the following rules for different measurement types after transiting from idle mode to CELL_DCH state:

8.4.1.8.1 Intra-frequency measurement

Upon transition from idle mode to CELL_DCH state, ~~the UE shall:~~

1> if intra-frequency measurements applicable to CELL_DCH state are stored in the variable MEASUREMENT_IDENTITY:

2> if the cell in which the UE transited from idle mode is included in the active set for the CELL_DCH state:

~~3>~~ the UE shall begin measurement reporting.

2> otherwise:

3> the UE shall not begin the measurement reporting. The measurement shall be restarted when a MEASUREMENT CONTROL message is received with the corresponding measurement identity.

CHANGE REQUEST

⌘ **25.331 CR 1694** ⌘ rev **3** ⌘ Current version: **3.12.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Measurement related corrections		
Source:	⌘ Ericsson / Siemens		
Work item code:	⌘ TEI Date: ⌘ November 2002		
Category:	⌘ F Release: ⌘ R99		
	<table border="0"> <tr> <td style="vertical-align: top;"> <p>Use <u>one</u> of the following categories:</p> <p>F (correction)</p> <p>A (corresponds to a correction in an earlier release)</p> <p>B (addition of feature),</p> <p>C (functional modification of feature)</p> <p>D (editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p> </td> <td style="vertical-align: top;"> <p>Use <u>one</u> of the following releases:</p> <p>2 (GSM Phase 2)</p> <p>R96 (Release 1996)</p> <p>R97 (Release 1997)</p> <p>R98 (Release 1998)</p> <p>R99 (Release 1999)</p> <p>Rel-4 (Release 4)</p> <p>Rel-5 (Release 5)</p> <p>Rel-6 (Release 6)</p> </td> </tr> </table>	<p>Use <u>one</u> of the following categories:</p> <p>F (correction)</p> <p>A (corresponds to a correction in an earlier release)</p> <p>B (addition of feature),</p> <p>C (functional modification of feature)</p> <p>D (editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p>	<p>Use <u>one</u> of the following releases:</p> <p>2 (GSM Phase 2)</p> <p>R96 (Release 1996)</p> <p>R97 (Release 1997)</p> <p>R98 (Release 1998)</p> <p>R99 (Release 1999)</p> <p>Rel-4 (Release 4)</p> <p>Rel-5 (Release 5)</p> <p>Rel-6 (Release 6)</p>
<p>Use <u>one</u> of the following categories:</p> <p>F (correction)</p> <p>A (corresponds to a correction in an earlier release)</p> <p>B (addition of feature),</p> <p>C (functional modification of feature)</p> <p>D (editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p>	<p>Use <u>one</u> of the following releases:</p> <p>2 (GSM Phase 2)</p> <p>R96 (Release 1996)</p> <p>R97 (Release 1997)</p> <p>R98 (Release 1998)</p> <p>R99 (Release 1999)</p> <p>Rel-4 (Release 4)</p> <p>Rel-5 (Release 5)</p> <p>Rel-6 (Release 6)</p>		

Reason for change:	⌘ Extension of the measurement and reporting quantity values on table 8.6.7.2 to include TDD.
	<p>1) Usage of Filter coefficient</p> <p>The current text in 8.6.7.2 seems to indicate that the exclusion of certain measurements related to L3-filtering is only applicable to “Measured results”. Further more the L3-filtering for the Observed time difference to GSM cell measurement is not excluded. Finally, it is currently also not indicated which type of filtering (linear or logarithmic) to apply (in relation to RAN17 decision).</p> <p>2) Inconsistency between measurement command “setup” and measurement command “modify”</p> <p>Probably be due to a type-error, an inconsistency is present between the handling of the measurement command “setup” and measurement command “modify”.</p> <p>3) Use of IE “cells forbidden to affect reporting range” in VAS-related reporting</p> <p>Currently, where describing the use of the IE "Intra-frequency measurement reporting criteria", it is stated that cells included in the IE “cells forbidden to affect reporting range” shall not be allowed to trigger event 1a or 1b. This is considered incorrect since:</p> <ul style="list-style-type: none"> - this behaviour introduces a misalignment between the management of the active set and the virtual active set; - the behaviour is not introduced consistently since the triggering of the 1c event is not covered by this restriction. <p>4) Cell selection and reselection info</p> <p>The current text related to the HCS neighbouring cell information seems to indicate that multiple occurrences for the different cell info lists exist. However, it is intended to indicate the occurrence of multiple cells in the different cell info lists.</p>

In addition, the settings to assume for cell selection and reselection info (other than HCS neighbouring cell information) in case this IE is absent are only specified for the case HCS is used. It is assumed that also in the case HCS is not used, the default values should be assumed.

5) INVALID_CONFIGURATION

The current text for the inter-frequency measurement indicates a case in which the UE variable INVALID_CONFIGURATION is set. However, no corresponding procedure text for the Measurement Control procedure is specified.

6) Reference cell for observed time difference to GSM measurement

Currently it is not clear which cell is the reference cell for the measurement: observed time difference to GSM cell (see also R2-022608).

Summary of change: ⌘ “Primary CCPCH RSCP”, “Proposed TGSN”, “Timeslot ISCP”, and “Applied TA” have been added and describe in table 8.6.7.2.

1) Usage of Filter coefficient (8.6.7.2)

In line with the assumed RAN2 understanding, the following is proposed:

- It is proposed to clarify that the non-filtering of certain timing related quantities is related to both measurement quantities and measurement reporting quantities.
- It is proposed clarify that also the Observed time difference to GSM cell shall not be filtered.
- Correction of name of SFN-CFN observed time difference name to “cell synchronisation information”
- For those case where filtering is applied, it is clarified if linear or logarithmic filtering should be used (TDD measurements still to be added).

2) Inconsistency between measurement command “setup” and measurement command “modify” (8.4.1.3)

- It is proposed to remove the inconsistency by replacing an “and” condition with “or”.

3) Use of IE “cells forbidden to affect reporting range” in VAS-related reporting (14.11.2)

- It is proposed to remove the concerning restrictions.

4) Cell selection and reselection info (8.1.1.6.11/12, 10.3.7.2, 10.3.7.23)

- It is proposed to clarify the indicated intended behaviour.

5) INVALID_CONFIGURATION (8.6.7.14)

- It is proposed to modify the concerning error case to set the UE variable CONFIGURATION_INCOMPLETE for which the behaviour is specified in 8.4.1.4a.

6) Reference cell for observed time difference to GSM measurement (10.3.7.32; 14.3.0c)

- It is proposed to clarify that the UE behaviour is unspecified in R99 when this Inter-RAT reporting quantity is requested. (FDD). For TDD and FDD Rel4/5, it is clarified that the reference cell in the UTRAN is one of the cells in the active set.

T1 impact:

No impact on T1 specifications is foreseen.

Backward compatibility:

This set of CRs is backward compatible w.r.t. issues 1,2,4 and 5 since for these issues this CR removes inconsistencies w.r.t. the currently specified/intended behaviour.

This set of CRs is not backward compatible for issue 3. UEs that do not implement this CR w.r.t. issue 3 will exclude cells forbidden to affect reporting range from the intra-frequency reporting related to inter-frequency measurements.

Related to issue 6, FDD UTRANs can no longer use the observed time difference to GSM measurement with R99 UEs but currently the measurement was specified ambiguously so not really useable by an FDD UTRAN.

Consequences if not approved: ⌘ The Measurement and reporting quantity value is not complete for TDD.

- 1) Usage of Filter coefficient
- If this modification is not made, it will remain unclear in which cases to apply what L3-filtering.
- 2) Inconsistency between measurement command “setup” and measurement command “modify”
- If this modification is not made, erroneous handling in case of the measurement command “modify” will remain.
- 3) Use of IE “cells forbidden to affect reporting range” in VAS-related reporting
- If this modification is not made, inconsistency between active set and virtual active set management will remain, leading to unbalanced inter-frequency comparisons.
- 4) Cell selection and reselection info
- The handling of the default values for the cell selection and reselection info, as well as the HCS neighbouring cell information remains unclear.
- 5) INVALID_CONFIGURATION
- The UE behaviour for the INVALID_CONFIGURATION case will remain unspecified
- 6) Reference cell for observed time difference to GSM measurement
- UEs will have to support an unuseable measurement (FDD R99). For TDD, it will remain unclear which UTRAN cell to use as a reference.

Clauses affected:	⌘	8.6.7.2 8.1.1.6.11; 8.1.1.6.12; 8.4.1.3; 8.6.7.2; 8.6.7.14; 10.3.7.2; 10.3.7.23; 10.3.7.32; 14.3.0c; 14.11.2										
Other specs affected:	⌘	<table border="1"> <thead> <tr> <th>Y</th> <th>N</th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications Test specifications O&M Specifications	⌘
Y	N											
<input type="checkbox"/>	<input checked="" type="checkbox"/>											
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<input type="checkbox"/>	<input checked="" type="checkbox"/>											
Other comments:	⌘											

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Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.1.6.11 System Information Block type 11

The UE should store all relevant IEs included in this system information block. The UE shall:

- 1> if in idle mode:
 - 2> clear the variable MEASUREMENT_IDENTITY.
- 1> if IE "FACH measurement occasion info" is included:
 - 2> act as specified in subclause 8.6.7.
- 1> else:
 - 2> may perform inter-frequency/inter-RAT measurements or inter-frequency/inter-RAT cell re-selection evaluation, if the UE capabilities permit such measurements while simultaneously receiving the S-CCPCH of the serving cell.
- 1> clear the variable CELL_INFO_LIST;
- 1> act upon the received IE "Intra-frequency cell info list"/"Inter-frequency cell info list"/"Inter-RAT cell info list" as described in subclause 8.6.7.3;
- 1> if in idle mode; or
- 1> if in connected mode and if System Information Block type 12 is not broadcast in the cell:
 - 2> if no intra-frequency measurement was set up or modified through a MEASUREMENT CONTROL message:
 - 3> if included, store the IE "Intra-frequency reporting quantity" and the IE "Intra-frequency measurement reporting criteria" or "Periodical reporting criteria" in order to activate reporting when state CELL_DCH is entered in the variable MEASUREMENT_IDENTITY. The IE "Cells for measurement" is absent for this measurement. The IE "Measurement Validity" is absent for this measurement after a state transition to CELL_DCH;
- 1> if in connected mode and if System Information Block type 12 is not broadcast in the cell:
 - 2> read the IE "Traffic volume measurement information";
 - 2> if no traffic volume measurement with the measurement identity indicated in the IE "Traffic volume measurement system information" was set up or modified through a MEASUREMENT CONTROL message:
 - 3> update the variable MEASUREMENT_IDENTITY with the measurement information received in that IE.
- 1> if the IE "Cell selection and reselection info" is not included for a new neighbouring cell in the IE "intra-frequency cell info list", the IE "inter-frequency cell info list" or the IE "inter-RAT cell info list" in System Information Block type 11:
 - 2> use the default values specified for the IE "Cell selection and reselection info" for that cell except for the IE "HCS neighbouring cell information".
- 1> if the IE "Use of HCS" is set to "used", indicating that HCS is used, do the following:
 - 2> if IE "HCS neighbouring cell information" is not included for in the first new cell in the occurrence of IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 11:
 - 3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.
 - 2> if IE "HCS neighbouring cell information" is not included for any in other new cell in the occurrence of IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 11:
 - 3> for that cell use the same parameter values as used for the preceding cell in the same IE "Intra-frequency cell info list" in System Information Block type 11.

- 2> if IE "HCS neighbouring cell information" is not included in the first occurrence of IE "Inter frequency cell info list":
 - 3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.
- 2> if IE "HCS neighbouring cell information" is not included in other occurrence of IE "Inter frequency cell info list":
 - 3> for that cell use the same parameter values as used for the preceding IE "Inter frequency cell info list".
- 2> if IE "HCS neighbouring cell information" is not included in the first occurrence of IE "Inter RAT Cell info list":
 - 3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.
- 2> if IE "HCS neighbouring cell information" is not included in other occurrence of IE "Inter RAT cell info list":
 - 3> for that cell use the same parameter values as used for the preceding IE "Inter RAT cell info list".

- 1> if the value of the IE "Cell selection and reselection quality measure" is different from the value of the IE "Cell selection and reselection quality measure" obtained from System Information Block type 3 or System Information Block type 4:
 - 2> use the value of the IE from this System Information Block and ignore the value obtained from System Information Block type 3 or System Information Block type 4.
- 1> if in connected mode, and System Information Block type 12 is indicated as used in the cell:
 - 2> read and act on information sent in System Information Block type 12 as indicated in subclause 8.1.1.6.12.

8.1.1.6.12 System Information Block type 12

If in connected mode, the UE should store all relevant IEs included in this system information block. The UE shall:

- 1> after reception of System Information Block type 11:
 - 2> update the variable MEASUREMENT_IDENTITY with the measurement information in the received IEs unless specified otherwise.
- 1> if IE "FACH measurement occasion info" is included:
 - 2> act as specified in subclause 8.6.7.
- 1> else:
 - 2> may perform inter-frequency/inter-RAT measurements or inter-frequency/inter-RAT cell re-selection evaluation, if the UE capabilities permit such measurements while simultaneously receiving the S-CCPCH of the serving cell.
- 1> act upon the received IE "Intra-frequency cell info list"/"Inter-frequency cell info list"/"Inter-RAT cell info list" as described in subclause 8.6.7.3;
- 1> if any of the IEs "Intra-frequency measurement quantity", "Intra-frequency reporting quantity for RACH reporting", "Maximum number of reported cells on RACH" or "Reporting information for state CELL_DCH" are not included in the system information block:
 - 2> read the corresponding IE(s) in system information block type 11 and use that information for the intra-frequency measurement.
- 1> if included in this system information block or in System Information Block type 11:
 - 2> if no intra-frequency measurement in the variable MEASUREMENT_IDENTITY was set up or modified through a MEASUREMENT CONTROL message:

- 3> store the IE "Intra-frequency reporting quantity" and the IE "Intra-frequency measurement reporting criteria" or "Periodical reporting criteria" in order to activate reporting when state CELL_DCH is entered in the variable MEASUREMENT_IDENTITY. The IE "Cells for measurement" is absent for this measurement. The IE "Measurement Validity" is absent for this measurement after a state transition to CELL_DCH;
- 1> if the IE "Traffic volume measurement system information" is not included in this system information block:
 - 2> read the corresponding IE in System Information Block type 11.
- 1> if the IE "Traffic volume measurement system information" was received either in this system information block or in System Information Block type 11:
 - 2> if no traffic volume measurement with the measurement identity indicated in the IE "Traffic volume measurement system information" was set up or modified through a MEASUREMENT CONTROL message:
 - 3> update the variable MEASUREMENT_IDENTITY with the measurement information received in that IE.
- 1> if in CELL_FACH state:
 - 2> start or continue the traffic volume measurements stored in the variable MEASUREMENT_IDENTITY that are valid in CELL_FACH state.

1> if the IE "Cell selection and reselection info" is not included for a new neighbouring cell in the IE "intra-frequency cell info list", the IE "inter-frequency cell info list" or the IE "inter-RAT cell info list" in System Information Block type 12:

2> use the default values specified for the IE "Cell selection and reselection info" for that cell except for the IE "HCS neighbouring cell information".

1> if the IE "Use of HCS" is set to "used", indicating that HCS is used, do the following:

2> if IE "HCS neighbouring cell information" is not included for in the first new cell in the occurrence of IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 12:

3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.

2> if IE "HCS neighbouring cell information" is not included for any in other new cell in the occurrence of IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 12:

3> for that cell use the same parameter values as used for the preceding cell in the same IE "Intra-frequency cell info list" in System Information Block type 12.

2> if IE "HCS neighbouring cell information" is not included in the first occurrence of IE "Inter-frequency cell info list":

3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.

2> if IE "HCS neighbouring cell information" is not included in other occurrence of IE "Inter-frequency cell info list":

3> for that cell use the same parameter values as used for the preceding IE "Inter-frequency cell info list".

2> if IE "HCS neighbouring cell information" is not included in the first occurrence of IE "Inter-RAT cell info list":

3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.

2> if IE "HCS neighbouring cell information" is not included in other occurrence of IE "Inter-RAT cell info list":

3> for that cell use the same parameter values as used for the preceding IE "Inter-RAT cell info list".

1> if the value of the IE "Cell selection and reselection quality measure" is different from the value of the IE "Cell selection and reselection quality measure" obtained from System Information Block type 3 or System Information Block type 4:

2> use the value of the IE from this System Information Block and ignore the value obtained from System Information Block type 3 or System Information Block type 4.

If in idle mode, the UE shall not use the values of the IEs in this system information block.

8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

The UE shall:

- 1> read the IE "Measurement command";
- 1> if the IE "Measurement command" has the value "setup":
 - 2> store this measurement in the variable MEASUREMENT_IDENTITY according to the IE "measurement identity", first releasing any previously stored measurement with that identity if that exists;
 - 2> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 3> if the UE is in CELL_FACH state:
 - 4> the UE behaviour is not specified.
 - 2> for measurement types "inter-RAT measurement" or "inter-frequency measurement":
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and a compressed mode pattern sequence with an appropriate measurement purpose is simultaneously activated by the IE "DPCH compressed mode status info"; **or**
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements:
 - 4> if the measurement is valid in the current RRC state of the UE:
 - 5> begin measurements according to the stored control information for this measurement identity.
 - 2> for measurement type "UE positioning measurement":
 - 3> if the UE is in CELL_FACH state:
 - 4> if IE "Positioning Method" is set to "OTDOA":
 - 5> if IE "Method Type" is set to "UE assisted":
 - 6> if IE "UE positioning OTDOA assistance data for UE assisted" is not included:
 - 7> if System Information Block type 15.4 is broadcast:
 - 8> read System Information Block type 15.4.
 - 7> act as specified in subclause 8.6.7.19.2.
 - 5> if IE "Method Type" is set to "UE based":
 - 6> if IE "UE positioning OTDOA assistance data for UE based" is not included:
 - 7> if System Information Block type 15.5 is broadcast:
 - 8> read System Information Block type 15.5.
 - 7> act as specified in subclause 8.6.7.19.2a.
 - 2> for any other measurement type:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> begin measurements according to the stored control information for this measurement identity.

- 1> if the IE "Measurement command" has the value "modify":
 - 2> for all IEs present in the MEASUREMENT CONTROL message:
 - 3> if a measurement was stored in the variable MEASUREMENT_IDENTITY associated to the identity by the IE "measurement identity":
 - 4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 5> if the UE is in CELL_FACH state:
 - 6> the UE behaviour is not specified.
 - 4> if measurement type is set to "intra-frequency measurement", for any of the optional IEs "Intra-frequency measurement objects list", "Intra-frequency measurement quantity", "Intra-frequency reporting quantity", "Measurement Validity", "report criteria" and "parameters required for each event" (given "report criteria" is set to "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-frequency measurement", for any of the optional IEs "Inter-frequency measurement quantity", "Inter-frequency reporting quantity", "Measurement Validity", "Inter-frequency set update" and "parameters required for each event" (given "report criteria" is set to either "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-RAT measurement", for any of the optional IEs "Inter-RAT measurement objects list", "Inter-RAT measurement quantity", "Inter-RAT reporting quantity" and "parameters required for each event" (given "report criteria" is set to "inter-RAT measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning OTDOA assistance data" is present, for any of the optional IEs "UE positioning OTDOA neighbour cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-based", "UE positioning OTDOA neighbour cell info for UE-based" and "UE positioning" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning GPS assistance data" is present, for any of the optional IEs "UE positioning GPS reference time", "UE positioning GPS reference UE position", "UE positioning GPS DGPS corrections", "UE positioning GPS navigation model", "UE positioning GPS ionospheric model", "UE positioning GPS UTC model", "UE positioning GPS almanac", "UE positioning GPS acquisition assistance", "UE positioning GPS real-time integrity" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "traffic volume measurement", for any of the optional IEs "Traffic volume measurement Object", "Traffic volume measurement quantity", "Traffic volume reporting quantity", "Measurement Validity" and "parameters required for each event" (given "report criteria" is set to "traffic volume measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "quality measurement", for any of the optional IE "Quality reporting quantity" that is present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE internal measurement", for any of the optional IEs "UE internal measurement quantity", "UE internal reporting quantity" and "parameters required for each event" (given "report criteria" is set to "UE internal measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 5> replace the corresponding information (the IEs listed above and all their children) stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;
 - 5> leave all other stored information elements unchanged in the variable MEASUREMENT_IDENTITY.
 - 3> otherwise:

- 4> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 2> if measurement type is set to "inter-frequency measurement":
 - 3> if "report criteria" is set to "intra-frequency report criteria" and "reporting criteria" in "inter-frequency measurement quantity" is set to "intra-frequency reporting criteria":
 - 4> leave the currently stored "inter-frequency report criteria" within "report criteria" and "inter-frequency reporting criteria" within "inter-frequency measurement quantity" unchanged, and continue to act on the information stored in these variables, and also store the newly received "intra-frequency report criteria" and intra-frequency reporting criteria.
 - 3> otherwise
 - 4> clear the variables associated with the CHOICE "report criteria" and store the received "report criteria" choice;
 - 4> if the IE "inter-frequency measurement quantity" is present:
 - 5> clear the variables associated with the choice "reporting criteria" in "inter-frequency measurement quantity" and store the received "reporting criteria" choice.
- 2> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and a compressed mode pattern sequence with an appropriate measurement purpose is simultaneously activated by the IE "DPCH compressed mode status info"; ~~or and~~
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements:
 - 4> resume the measurements according to the new stored measurement control information.
- 2> for any other measurement type:
 - 3> resume the measurements according to the new stored measurement control information.
- 1> if the IE "measurement command" has the value "release":
 - 2> terminate the measurement associated with the identity given in the IE "measurement identity";
 - 2> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT_IDENTITY.
- 1> if the IE "DPCH Compressed Mode Status Info" is present:
 - 2> if, as the result of this message, UE will have more than one transmission gap pattern sequence with the same measurement purpose active (according to IE 'TGMP' in variable TGPS_IDENTITY):
 - 3> set the variable CONFIGURATION_INCOMPLETE to TRUE.
 - 2> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag") in the variable TGPS_IDENTITY):
 - 3> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:
 - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message;
 - 4> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".
 - 3> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:
 - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

- 2> after the time indicated by IE "TGPS reconfiguration CFN" has elapsed:
 - 3> activate the pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in this message is set to "activate" at the time indicated by IE "TGCFN"; and
 - 3> set the corresponding "Current TGPS status flag" for this pattern sequence in the variable TGPS_IDENTITY to "active"; and
 - 3> begin the inter-frequency and/or inter-RAT measurements corresponding to the pattern sequence measurement purpose of each activated pattern sequence;
 - 3> if the values of IE "TGPS reconfiguration CFN" and IE "TGCFN" are equal:
 - 4> start the concerned pattern sequence immediately at that CFN.
 - 2> not alter pattern sequences stored in variable TGPS_IDENTITY, if the pattern sequence is not identified in IE "TGPSI" in the received message.
- 1> if the UE in CELL_FACH state receives a MEASUREMENT CONTROL message, which indicates the same measurement identity as that stored in the variable MEASUREMENT_IDENTITY:
 - 2> update the stored information with the traffic volume measurement control information in variable MEASUREMENT_IDENTITY; and
 - 2> refrain from updating the traffic volume measurement control information associated with this measurement identity in the variable MEASUREMENT_IDENTITY with the information received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) until this measurement is explicitly released with another MEASUREMENT CONTROL message.
 - 1> if the IE "Read SFN indicator" included in the IE "Cell info" of an inter-frequency cell is set to TRUE and the variable UE_CAPABILITY_TRANSFERRED has the DL "Measurement capability" for "FDD measurements" set to TRUE (the UE requires DL compressed mode in order to perform measurements on FDD):
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
 - 1> clear the entry for the MEASUREMENT CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS;
 - 1> if the UE "Additional Measurement List" is present:
 - 2> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement identities in the "Additional Measurement List" do not all have the same validity:
 - 3> set the variable CONFIGURATION_INCOMPLETE to TRUE.

The UE may:

- 1> if the IE "Measurement command" has the value "setup":
 - 2> for measurement type "UE positioning measurement":
 - 3> if the UE is CELL_FACH state:
 - 4> if IE "Positioning Method" is set to "GPS":
 - 5> if IE "UE positioning GPS assistance data" is not included and variable UE_POSITIONING_GPS_DATA is empty:
 - 6> if System Information Block types 15, 15.1, 15.2 and 15.3 are broadcast:
 - 7> read System Information Block types 15, 15.1, 15.2 and 15.3.
 - 6> act as specified in subclause 8.6.7.19.3.

1> and the procedure ends.

8.6.7.2 Filter coefficient

If the IE "Filter coefficient" is received, depending on the measurement quantity (see table 8.1) the UE shall apply filtering of the measurements for that measurement quantity according to the formula below. This filtering shall be performed by the UE before UE event evaluation. The UE shall depending on the reporting quantity (see table 8.1), also filter the measurements reported in the IE "Measured results", with the exception of SFN-SFN observed time difference, CFN-SFN observed time difference and UE Rx-Tx time difference type 1. The filtering shall not be performed for the measurements reported in the IE "Measured results on RACH" and for cell-reselection in connected or idle mode.

The filtering shall be performed according to the following formula.

$$F_n = (1 - a) \cdot F_{n-1} + a \cdot M_n$$

The variables in the formula are defined as follows:

F_n is the updated filtered measurement result

F_{n-1} is the old filtered measurement result

M_n is the latest received measurement result from physical layer measurements, the unit used for M_n is the same unit as the reported unit in the MEASUREMENT REPORT message or the unit used in the event evaluation.

$a = 1/2^{(k/2)}$, where k is the parameter received in the IE "Filter coefficient".

NOTE: if k is set to 0 that will mean no layer 3 filtering.

In order to initialise the averaging filter, F_0 is set to M_1 when the first measurement result from the physical layer measurement is received.

The physical layer measurement results are sampled once every measurement period. The measurement period and the accuracy for a certain measurement is defined in [19] and [20].

Table 8.6.7.2 lists for all measurement- and reporting quantities if L3-filtering is applicable or not. If L3-filtering is applicable for a certain measurement- or reporting quantity, the table lists if the UE shall apply the filtering on linear values ("Lin"), logarithmic values ("Log") or either linear or logarithmic values ("Lin or Log"). In the last case, the choice between filtering on linear or logarithmic values is based on UE selection.

Table 8.6.7.2: L3 filtering applicable for each measurement- and reporting quantity

<u>Measurement- / Reporting quantity</u>	<u>L3-filtering applicable</u>	<u>Linear or logarithmic filtering</u>	<u>Comment</u>
<u>Pathloss</u>	<u>Yes</u>	<u>Lin or Log</u>	
<u>Cell synchronisation information</u>	<u>No</u>	<u>=</u>	
<u>Cell Identity</u>	<u>No</u>	<u>=</u>	
<u>Frequency quality estimate</u>	<u>No</u>	<u>=</u>	<u>Although the frequency quality estimate itself is not filtered, the inputs to the frequency quality estimate calculation (CPICH Ec/N0 or CPICH RSCP or P-CCPCH RSCP) are filtered</u>
<u>UTRA carrier RSSI</u>	<u>Yes</u>	<u>[Log]</u>	
<u>GSM carrier RSSI</u>	<u>Yes</u>	<u>Log</u>	
<u>Observed time difference to GSM cell</u>	<u>No</u>	<u>=</u>	
<u>UE transmitted power</u>	<u>Yes</u>	<u>[Log]</u>	
<u>FDD</u>			
<u>> UE Rx-Tx time difference</u>	<u>No</u>	<u>=</u>	
<u>> CPICH Ec/N0</u>	<u>Yes</u>	<u>Lin or Log</u>	
<u>> CPICH RSCP</u>	<u>Yes</u>	<u>Lin or Log</u>	
<u>TDD</u>			

> Primary CCPCH RSCP	Yes	Lin or Log	
> Proposed TGSN	No	!	
> Timeslot ISCP	Yes	Lin or Log	
> Applied TA	No	!	

The UE shall support 2 different layer 3 filters per measurement type defined in subclause 8.4.0 (i.e. the UE shall be capable to apply at least 2 different L3 filters to intra-frequency measurement results, at least 2 different L3 filters to inter-frequency measurement results, etc). If a MEASUREMENT CONTROL message is received that would require the UE to configure more than 2 different layer 3 filters, the UE may:

- 1> set the variable CONFIGURATION_INCOMPLETE to TRUE.

8.6.7.14 Inter-frequency measurement

If the Inter-frequency cell info list, included in the variable CELL_INFO_LIST, includes a number (M) of frequencies that is larger than the number (N) considered in a UE performance requirement defined in [19] and [20]:

- 1> the UE shall:
 - 2> meet this performance requirement on the first relevant (N) frequencies, according to the order defined by the position of the frequencies in the Inter-frequency cell info list, included in the variable CELL_INFO_LIST.
- 1> the UE may:
 - 2> ignore the remaining (M-N) frequencies.

If IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", but IE "Inter-frequency measurement quantity", IE "Inter-frequency reporting quantity" or IE "parameters required for each event" (given "CHOICE Report criteria" is set to "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") is not received, the UE shall:

- 1> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT_IDENTITY;
- 1> set the variable CONFIGURATION_INCOMPLETE to TRUE.;

In the case of an inter-frequency measurement for FDD, the UE shall:

- 1> if IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", if an inter-frequency event is configured that is different from event 2d or 2f, and if the IE "Inter-frequency SET UPDATE" is not received in that same message:
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 1> if the IE "Inter-frequency SET UPDATE" is received:
 - 2> if the value of the IE "UE autonomous update mode" set to "Off" or "On":
 - 3> if more than one frequency is included in the list of cells pointed at in the IE "cells for measurement" if also included in the same IE "Inter-frequency measurement", or otherwise included in the "Inter-frequency cell info" part of the variable CELL_INFO_LIST:
 - 4> set the variable ~~INVALID_CONFIGURATION_INCOMPLETE~~ to TRUE.

If IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message:

- 1> if "CHOICE Report criteria" is set to "inter-frequency reporting criteria" and "inter-frequency measurement quantity" is not set to "inter-frequency reporting criteria"; or
- 1> if "CHOICE Report criteria" is set to "intra-frequency reporting criteria" and "inter-frequency measurement quantity" is not set to "intra-frequency reporting criteria":
 - 2> the UE behaviour is not specified.

If the variable CONFIGURATION_INCOMPLETE is set to TRUE, the UE shall:

- 1> act as described in subclause 8.4.1.4a.

10.3.7.2 Cell info

Includes non-frequency related cell info used in the IE "inter-frequency cell info list" and "intra frequency cell info list".

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Cell individual offset	MD		Real(-10..10 by step of 0.5)	In dB Default value is 0 dB Used to offset measured quantity value
Reference time difference to cell	OP		Reference time difference to cell 10.3.7.60	In chips. This IE is absent for serving cell.
Read SFN indicator	MP		Boolean	TRUE indicates that read of SFN is requested for the target cell
CHOICE mode	MP			
>FDD				
>>Primary CPICH info	OP		Primary CPICH info 10.3.6.60	This IE is absent only if measuring RSSI only (broadband measurement.)
>>>Primary CPICH Tx power	OP		Primary CPICH Tx power 10.3.6.61	Required if calculating pathloss.
>>TX Diversity Indicator	MP		Boolean	TRUE indicates that transmit diversity is used.
>TDD				
>>Primary CCPCH info	MP		Primary CCPCH info 10.3.6.57	
>>>Primary CCPCH TX power	OP		Primary CCPCH TX power 10.3.6.59	
>>Timeslot list	OP	1 to <maxTS>		The UE shall report Timeslot ISCP values according the order of the listed Timeslot numbers
>>>Timeslot number	MP		Integer (0...14)	Timeslot numbers, for which the UE shall report Timeslot ISCP
>>>>Burst Type	MD		Enumerated (Type1, Type2)	Use for Timeslot ISCP measurements only. Default value is "Type1"
Cell Selection and Re-selection Info	CV- <i>BCHopt</i>		Cell Selection and Re-selection for SIB11/12Info 10.3.2.4	This IE is absent for serving cell. For neighbouring cell, if HCS is not used and all the parameters in cell selection and re-selection info are default value, this IE is absent.

Condition	Explanation
<i>BCHopt</i>	This IE is Optional when sent in SYSTEM INFORMATION, Otherwise, the IE is not needed

10.3.7.23 Inter-RAT cell info list

Contains the information for the list of measurement objects for an inter-RAT measurement.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE <i>Inter-RAT cell removal</i>	MP			
>Remove all inter-RAT cells				No data
>Remove some inter-RAT cells				
>>Removed inter-RAT cells	MP	1 to <maxCellMeas>		
>>>Inter-RAT cell id	MP		Integer(0 .. <maxCellMeas> - 1)	
>Remove no inter-RAT cells				
New inter-RAT cells	MP	1 to <maxCellMeas>		Although this IE is not always required, need is MP to align with ASN.1
>Inter-RAT cell id	OP		Integer(0 .. <maxCellMeas> - 1)	
>CHOICE <i>Radio Access Technology</i>	MP			
>>GSM				
>>>Cell individual offset	MP		Integer (-50..50)	In dB Used to offset measured quantity value
>>>Cell selection and re-selection info	OP		Cell selection and re-selection info for SIB11/12 10.3.2.4	see 8.6.7.3 If HCS is not used and all the parameters in cell selection and re-selection info are default values, this IE is absent.
>>>BSIC	MP		BSIC 10.3.8.2	
>>>Band indicator	MP		Enumerated (DCS 1800 band used, PCS 1900 band used)	Indicates how to interpret the BCCH ARFCN
>>>BCCH ARFCN	MP		Integer (0..1023)	[45]
>>IS-2000				
>>>System specific measurement info	MP		enumerated (frequency, timeslot, colour code, output power, PN offset)	For IS-2000, use fields from TIA/EIA/IS-2000.5, subclause 3.7.3.3.2.27, <i>Candidate Frequency Neighbour List Message</i>
>>None			(no data)	This value has been introduced to handle the case when IE "New inter-RAT cells" is not required
Cell for measurement	OP	1 to <maxCellMeas>		
>Inter-RAT cell id	MP		Integer(0 .. <maxCellMeas>-1)	

10.3.7.32 Inter-RAT reporting quantity

For all boolean types TRUE means inclusion in the report is requested.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
UTRAN estimated quality	MP		Boolean	This parameter is not used in this release and should be set to FALSE.
CHOICE <i>system</i>	MP			
>GSM				
>>Observed time difference to GSM cell Reporting indicator	MP		Boolean	For FDD, the UE behaviour is <u>unspecified when this IE is set to TRUE.</u>
>>GSM Carrier RSSI Reporting indicator	MP		Boolean	

14.3.0c Inter-RAT reporting quantities

The quantities that the UE shall report to UTRAN when the event is triggered for an inter-RAT measurement are given by the IE "Inter-RAT reporting quantity" stored for that measurement, and can be the following:

In the case the other RAT is GSM:

- 1 Observed time difference to the GSM cell
- The reference cell in the UTRAN is one of the cells in the active set.
- 2 GSM carrier RSSI

A description of those values can be found in [7] and [8].

14.11.2 Virtual active set update during an inter-frequency measurement

If the IE "Intra-frequency measurement reporting criteria" is stored for an inter-frequency measurement, the UE shall:

- 1> if Event 1a is configured in that IE, when this event is triggered (~~according to the criteria described in subclause 14.2.1.1~~) by a cell ~~allowed to affect the reporting range (i.e. not included in the IE "Cells forbidden to affect reporting range" if that IE is included)~~ for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.1):
 - 2> if the "Reporting deactivation threshold" is equal to 0, or if the "Reporting deactivation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is less than or equal to the "Reporting deactivation threshold":
 - 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> add the primary CPICH that enters the reporting range to the "virtual active set".
 - 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:
 - 5> set the Measurement identity to the identity of the inter-frequency measurement;
 - 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;
 - 5> do not include the IEs "measured results" or "additional measured results".
- 1> if Event 1b was configured, when this event is triggered (~~according to the criteria described in subclause 14.2.1.2~~) by a cell ~~allowed to affect the reporting range (i.e. not included in the IE "Cells forbidden to affect reporting range" if that IE is included)~~ for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.2):
 - 2> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting" and if the number of cells included in the virtual active set is greater than 1:
 - 3> remove the primary CPICH that leaves the reporting range from the "virtual active set".
 - 2> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 3> send a measurement report with IEs set as below:
 - 4> set the Measurement identity to the identity of the inter-frequency measurement;
 - 4> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1b, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;
 - 4> do not include the IEs "measured results" or "additional measured results".
- 1> if Event 1c was configured, when this event is triggered by a cell for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.3):
 - 2> if the "Reporting activation threshold" is equal to 0, or if the "Reporting activation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is greater than or equal to the "Reporting activation threshold":
 - 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> rank all active and non-active primary CPICHs and take the n best cells to create a new "virtual active set", where n is the number of active primary CPICHs in the "virtual active set".
 - 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:

- 5> set the Measurement identity to the identity of the inter-frequency measurement;
- 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1c, and in "Cell measurement event results" include the CPICH info of all the cells that satisfy the event, and the rest of the entries as the cells that were in the virtual active set before the event occurred and that are worse than the best cell that triggered the event, in the order of their measured value (best one first);
- 5> do not include the IE "measured results" or "additional measured results".

CHANGE REQUEST

⌘ **25.331 CR 1695** ⌘ rev **3** ⌘ Current version: **4.7.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Measurement related corrections		
Source:	⌘ Ericsson / Siemens		
Work item code:	⌘ TEI	Date:	⌘ November 2002
Category:	⌘ A	Release:	⌘ Rel-4
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)
	B (addition of feature),		R97 (Release 1997)
	C (functional modification of feature)		R98 (Release 1998)
	D (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

Reason for change:	⌘ Extension of the measurement and reporting quantity values on table 8.6.7.2 to include TDD.
	<p>1) Usage of Filter coefficient The current text in 8.6.7.2 seems to indicate that the exclusion of certain measurements related to L3-filtering is only applicable to “Measured results”. Further more the L3-filtering for the Observed time difference to GSM cell measurement is not excluded. Finally, it is currently also not indicated which type of filtering (linear or logarithmic) to apply (in relation to RAN17 decision).</p> <p>2) Inconsistency between measurement command “setup” and measurement command “modify” Probably be due to a type-error, an inconsistency is present between the handling of the measurement command “setup” and measurement command “modify”.</p> <p>3) Use of IE “cells forbidden to affect reporting range” in VAS-related reporting Currently, where describing the use of the IE "Intra-frequency measurement reporting criteria", it is stated that cells included in the IE “cells forbidden to affect reporting range” shall not be allowed to trigger event 1a or 1b. This is considered incorrect since: - this behaviour introduces a misalignment between the management of the active set and the virtual active set; - the behaviour is not introduced consistently since the triggering of the 1c event is not covered by this restriction.</p> <p>4) Cell selection and reselection info The current text related to the HCS neighbouring cell information seems to indicate that multiple occurrences for the different cell info lists exist. However, it is intended to indicate the occurrence of multiple cells in the different cell info lists.</p>

In addition, the settings to assume for cell selection and reselection info (other than HCS neighbouring cell information) in case this IE is absent are only specified for the case HCS is used. It is assumed that also in the case HCS is not used, the default values should be assumed.

5) INVALID_CONFIGURATION

The current text for the inter-frequency measurement indicates a case in which the UE variable INVALID_CONFIGURATION is set. However, no corresponding procedure text for the Measurement Control procedure is specified.

6) Reference cell for observed time difference to GSM measurement

Currently it is not clear which cell is the reference cell for the measurement: observed time difference to GSM cell (see also R2-022608).

Summary of change: ☞ “Primary CCPCH RSCP”, “Proposed TGSN”, “Timeslot ISCP”, “Applied TA” and “TADV” have been added and describe in table 8.6.7.2.

1) Usage of Filter coefficient (8.6.7.2)

In line with the assumed RAN2 understanding, the following is proposed:

- It is proposed to clarify that the non-filtering of certain timing related quantities is related to both measurement quantities and measurement reporting quantities.
- It is proposed clarify that also the Observed time difference to GSM cell shall not be filtered.
- Correction of name of SFN-CFN observed time difference name to “cell synchronisation information”
- For those case where filtering is applied, it is clarified if linear or logarithmic filtering should be used (TDD measurements still to be added).

2) Inconsistency between measurement command “setup” and measurement command “modify” (8.4.1.3)

- It is proposed to remove the inconsistency by replacing an “and” condition with “or”.

3) Use of IE “cells forbidden to affect reporting range” in VAS-related reporting (14.11.2)

- It is proposed to remove the concerning restrictions.

4) Cell selection and reselection info (8.1.1.6.11/12, 10.3.7.2, 10.3.7.23)

- It is proposed to clarify the indicated intended behaviour.

5) INVALID_CONFIGURATION (8.6.7.14)

- It is proposed to modify the concerning error case to set the UE variable CONFIGURATION_INCOMPLETE for which the behaviour is specified in 8.4.1.4a.

6) Reference cell for observed time difference to GSM measurement (14.3.0c)

- It is proposed to clarify that the UE behaviour is unspecified in R99 when this Inter-RAT reporting quantity is requested. (FDD). For TDD and FDD Rel4/5, it is clarified that the reference cell in the UTRAN is one of the cells in the active set.

T1 impact:

No impact on T1 specifications is foreseen.

Backward compatibility:

This set of CRs is backward compatible w.r.t. issues 1,2,4 and 5 since for these issues this CR removes inconsistencies w.r.t. the currently specified/intended behaviour.

This set of CRs is not backward compatible for issue 3. UEs that do not implement this CR w.r.t. issue 3 will exclude cells forbidden to affect reporting range from the intra-frequency reporting related to inter-frequency measurements.

Consequences if not approved: ☞ The Measurement and reporting quantity value is not complete for TDD.

1) Usage of Filter coefficient

- If this modification is not made, it will remain unclear in which cases to apply what L3-filtering.

2) Inconsistency between measurement command “setup” and measurement command “modify”
 - If this modification is not made, erroneous handling in case of the measurement command “modify” will remain.

3) Use of IE “cells forbidden to affect reporting range” in VAS-related reporting
 - If this modification is not made, inconsistency between active set and virtual active set management will remain, leading to unbalanced inter-frequency comparisons.

4) Cell selection and reselection info
 - The handling of the default values for the cell selection and reselection info, as well as the HCS neighbouring cell information remains unclear.

5) INVALID_CONFIGURATION
 - The UE behaviour for the INVALID_CONFIGURATION case will remain unspecified

6) Reference cell for observed time difference to GSM measurement
 - UEs will have to support an unuseable measurement (FDD R99). For TDD, it will remain unclear which UTRAN cell to use as a reference.

Clauses affected: ⌘ 8.6.7.2
 8.1.1.6.11; 8.1.1.6.12; 8.4.1.3; 8.6.7.2; 8.6.7.14; 10.3.7.2; 10.3.7.23; 14.3.0c;
 14.11.2

	Y	N		⌘
Other specs affected:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Other core specifications	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Test specifications	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	O&M Specifications	

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.1.6.11 System Information Block type 11

The UE should store all relevant IEs included in this system information block. The UE shall:

- 1> if in idle mode:
 - 2> clear the variable MEASUREMENT_IDENTITY.
 - 1> if IE "FACH measurement occasion info" is included:
 - 2> act as specified in subclause 8.6.7.
 - 1> else:
 - 2> may perform inter-frequency/inter-RAT measurements or inter-frequency/inter-RAT cell re-selection evaluation, if the UE capabilities permit such measurements while simultaneously receiving the S-CCPCH of the serving cell.
 - 1> clear the variable CELL_INFO_LIST;
 - 1> act upon the received IE "Intra-frequency cell info list"/"Inter-frequency cell info list"/"Inter-RAT cell info list" as described in subclause 8.6.7.3;
 - 1> if in idle mode; or
 - 1> if in connected mode and if System Information Block type 12 is not broadcast in the cell:
 - 2> if no intra-frequency measurement was set up or modified through a MEASUREMENT CONTROL message:
 - 3> if included, store the IE "Intra-frequency reporting quantity" and the IE "Intra-frequency measurement reporting criteria" or "Periodical reporting criteria" in order to activate reporting when state CELL_DCH is entered in the variable MEASUREMENT_IDENTITY. The IE "Cells for measurement" is absent for this measurement. The IE "Measurement Validity" is absent for this measurement after a state transition to CELL_DCH;
 - 1> if in connected mode and if System Information Block type 12 is not broadcast in the cell:
 - 2> read the IE "Traffic volume measurement information";
 - 2> if no traffic volume measurement with the measurement identity indicated in the IE "Traffic volume measurement system information" was set up or modified through a MEASUREMENT CONTROL message:
 - 3> update the variable MEASUREMENT_IDENTITY with the measurement information received in that IE.
- 1> if the IE "Cell selection and reselection info" is not included for a new neighbouring cell in the IE "intra-frequency cell info list", the IE "inter-frequency cell info list" or the IE "inter-RAT cell info list" in System Information Block type 11:
- 2> use the default values specified for the IE "Cell selection and reselection info" for that cell except for the IE "HCS neighbouring cell information".
- 1> if the IE "Use of HCS" is set to "used", indicating that HCS is used, do the following:
- 2> if IE "HCS neighbouring cell information" is not included for in the first new cell in the occurrence of IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 11:
 - 3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.
 - 2> if IE "HCS neighbouring cell information" is not included for any in other new cell in the occurrence of IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 11:
 - 3> for that cell use the same parameter values as used for the preceding cell in the same IE "Intra-frequency cell info list" in System Information Block type 11.

- 2> if IE "HCS neighbouring cell information" is not included in the first occurrence of IE "Inter frequency cell info list":
 - 3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.
- 2> if IE "HCS neighbouring cell information" is not included in other occurrence of IE "Inter frequency cell info list":
 - 3> for that cell use the same parameter values as used for the preceding IE "Inter frequency cell info list".
- 2> if IE "HCS neighbouring cell information" is not included in the first occurrence of IE "Inter RAT Cell info list":
 - 3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.
- 2> if IE "HCS neighbouring cell information" is not included in other occurrence of IE "Inter RAT cell info list":
 - 3> for that cell use the same parameter values as used for the preceding IE "Inter RAT cell info list".

- 1> if the value of the IE "Cell selection and reselection quality measure" is different from the value of the IE "Cell selection and reselection quality measure" obtained from System Information Block type 3 or System Information Block type 4:
 - 2> use the value of the IE from this System Information Block and ignore the value obtained from System Information Block type 3 or System Information Block type 4.
- 1> if in connected mode, and System Information Block type 12 is indicated as used in the cell:
 - 2> read and act on information sent in System Information Block type 12 as indicated in subclause 8.1.1.6.12.

8.1.1.6.12 System Information Block type 12

If in connected mode, the UE should store all relevant IEs included in this system information block. The UE shall:

- 1> after reception of System Information Block type 11:
 - 2> update the variable MEASUREMENT_IDENTITY with the measurement information in the received IEs unless specified otherwise.
- 1> if IE "FACH measurement occasion info" is included:
 - 2> act as specified in subclause 8.6.7.
- 1> else:
 - 2> may perform inter-frequency/inter-RAT measurements or inter-frequency/inter-RAT cell re-selection evaluation, if the UE capabilities permit such measurements while simultaneously receiving the S-CCPCH of the serving cell.
- 1> act upon the received IE "Intra-frequency cell info list"/"Inter-frequency cell info list"/"Inter-RAT cell info list" as described in subclause 8.6.7.3;
- 1> if any of the IEs "Intra-frequency measurement quantity", "Intra-frequency reporting quantity for RACH reporting", "Maximum number of reported cells on RACH" or "Reporting information for state CELL_DCH" are not included in the system information block:
 - 2> read the corresponding IE(s) in system information block type 11 and use that information for the intra-frequency measurement.
- 1> if included in this system information block or in System Information Block type 11:
 - 2> if no intra-frequency measurement in the variable MEASUREMENT_IDENTITY was set up or modified through a MEASUREMENT CONTROL message:

3> store the IE "Intra-frequency reporting quantity" and the IE "Intra-frequency measurement reporting criteria" or "Periodical reporting criteria" in order to activate reporting when state CELL_DCH is entered in the variable MEASUREMENT_IDENTITY. The IE "Cells for measurement" is absent for this measurement. The IE "Measurement Validity" is absent for this measurement after a state transition to CELL_DCH;

1> if the IE "Traffic volume measurement system information" is not included in this system information block:

2> read the corresponding IE in System Information Block type 11.

1> if the IE "Traffic volume measurement system information" was received either in this system information block or in System Information Block type 11:

2> if no traffic volume measurement with the measurement identity indicated in the IE "Traffic volume measurement system information" was set up or modified through a MEASUREMENT CONTROL message:

3> update the variable MEASUREMENT_IDENTITY with the measurement information received in that IE.

1> if in CELL_FACH state:

2> start or continue the traffic volume measurements stored in the variable MEASUREMENT_IDENTITY that are valid in CELL_FACH state.

1> if the IE "Cell selection and reselection info" is not included for a new neighbouring cell in the IE "intra-frequency cell info list", the IE "inter-frequency cell info list" or the IE "inter-RAT cell info list" in System Information Block type 12:

2> use the default values specified for the IE "Cell selection and reselection info" for that cell except for the IE "HCS neighbouring cell information".

1> if the IE "Use of HCS" is set to "used", indicating that HCS is used, do the following:

2> if IE "HCS neighbouring cell information" is not included ~~for in~~ the first new cell in the occurrence of IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 12:

3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.

2> if IE "HCS neighbouring cell information" is not included ~~for any in~~ other new cell in the occurrence of IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 12:

3> for that cell use the same parameter values as used for the preceding ~~cell in the same IE "Intra-frequency cell info list"~~ in System Information Block type 12.

~~2> if IE "HCS neighbouring cell information" is not included in the first occurrence of IE "Inter-frequency cell info list":~~

~~3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.~~

~~2> if IE "HCS neighbouring cell information" is not included in other occurrence of IE "Inter-frequency cell info list":~~

~~3> for that cell use the same parameter values as used for the preceding IE "Inter-frequency cell info list".~~

~~2> if IE "HCS neighbouring cell information" is not included in the first occurrence of IE "Inter-RAT cell info list":~~

~~3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.~~

~~2> if IE "HCS neighbouring cell information" is not included in other occurrence of IE "Inter-RAT cell info list":~~

~~3> for that cell use the same parameter values as used for the preceding IE "Inter-RAT cell info list".~~

1> if the value of the IE "Cell selection and reselection quality measure" is different from the value of the IE "Cell selection and reselection quality measure" obtained from System Information Block type 3 or System Information Block type 4:

2> use the value of the IE from this System Information Block and ignore the value obtained from System Information Block type 3 or System Information Block type 4.

If in idle mode, the UE shall not use the values of the IEs in this system information block.

8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

The UE shall:

- 1> read the IE "Measurement command";
- 1> if the IE "Measurement command" has the value "setup":
 - 2> store this measurement in the variable MEASUREMENT_IDENTITY according to the IE "measurement identity", first releasing any previously stored measurement with that identity if that exists;
 - 2> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 3> if the UE is in CELL_FACH state:
 - 4> the UE behaviour is not specified.
 - 2> for measurement types "inter-RAT measurement" or "inter-frequency measurement":
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and a compressed mode pattern sequence with an appropriate measurement purpose is simultaneously activated by the IE "DPCH compressed mode status info"; **or**
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements:
 - 4> if the measurement is valid in the current RRC state of the UE:
 - 5> begin measurements according to the stored control information for this measurement identity.
 - 2> for measurement type "UE positioning measurement":
 - 3> if the UE is in CELL_FACH state:
 - 4> if IE "Positioning Method" is set to "OTDOA":
 - 5> if IE "Method Type" is set to "UE assisted":
 - 6> if IE "UE positioning OTDOA assistance data for UE assisted" is not included:
 - 7> if System Information Block type 15.4 is broadcast:
 - 8> read System Information Block type 15.4.
 - 7> act as specified in subclause 8.6.7.19.2.
 - 5> if IE "Method Type" is set to "UE based":
 - 6> if IE "UE positioning OTDOA assistance data for UE based" is not included:
 - 7> if System Information Block type 15.5 is broadcast:
 - 8> read System Information Block type 15.5.
 - 7> act as specified in subclause 8.6.7.19.2a.
 - 2> for any other measurement type:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> begin measurements according to the stored control information for this measurement identity.

- 1> if the IE "Measurement command" has the value "modify":
 - 2> for all IEs present in the MEASUREMENT CONTROL message:
 - 3> if a measurement was stored in the variable MEASUREMENT_IDENTITY associated to the identity by the IE "measurement identity":
 - 4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 5> if the UE is in CELL_FACH state:
 - 6> the UE behaviour is not specified.
 - 4> if measurement type is set to "intra-frequency measurement", for any of the optional IEs "Intra-frequency measurement objects list", "Intra-frequency measurement quantity", "Intra-frequency reporting quantity", "Measurement Validity", "report criteria" and "parameters required for each event" (given "report criteria" is set to "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-frequency measurement", for any of the optional IEs "Inter-frequency measurement quantity", "Inter-frequency reporting quantity", "Measurement Validity", "Inter-frequency set update" and "parameters required for each event" (given "report criteria" is set to either "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-RAT measurement", for any of the optional IEs "Inter-RAT measurement objects list", "Inter-RAT measurement quantity", "Inter-RAT reporting quantity" and "parameters required for each event" (given "report criteria" is set to "inter-RAT measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning OTDOA assistance data" is present, for any of the optional IEs "UE positioning OTDOA neighbour cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-based", "UE positioning OTDOA neighbour cell info for UE-based" and "UE positioning" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning GPS assistance data" is present, for any of the optional IEs "UE positioning GPS reference time", "UE positioning GPS reference UE position", "UE positioning GPS DGPS corrections", "UE positioning GPS navigation model", "UE positioning GPS ionospheric model", "UE positioning GPS UTC model", "UE positioning GPS almanac", "UE positioning GPS acquisition assistance", "UE positioning GPS real-time integrity" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "traffic volume measurement", for any of the optional IEs "Traffic volume measurement Object", "Traffic volume measurement quantity", "Traffic volume reporting quantity", "Measurement Validity" and "parameters required for each event" (given "report criteria" is set to "traffic volume measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "quality measurement", for any of the optional IE "Quality reporting quantity" that is present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE internal measurement", for any of the optional IEs "UE internal measurement quantity", "UE internal reporting quantity" and "parameters required for each event" (given "report criteria" is set to "UE internal measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 5> replace the corresponding information (the IEs listed above and all their children) stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;
 - 5> leave all other stored information elements unchanged in the variable MEASUREMENT_IDENTITY.
 - 3> otherwise:

- 4> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 2> if measurement type is set to "inter-frequency measurement":
 - 3> if "report criteria" is set to "intra-frequency report criteria" and "reporting criteria" in "inter-frequency measurement quantity" is set to "intra-frequency reporting criteria":
 - 4> leave the currently stored "inter-frequency report criteria" within "report criteria" and "inter-frequency reporting criteria" within "inter-frequency measurement quantity" unchanged, and continue to act on the information stored in these variables, and also store the newly received "intra-frequency report criteria" and intra-frequency reporting criteria.
 - 3> otherwise
 - 4> clear the variables associated with the CHOICE "report criteria" and store the received "report criteria" choice;
 - 4> if the IE "inter-frequency measurement quantity" is present:
 - 5> clear the variables associated with the choice "reporting criteria" in "inter-frequency measurement quantity" and store the received "reporting criteria" choice.
- 2> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and a compressed mode pattern sequence with an appropriate measurement purpose is simultaneously activated by the IE "DPCH compressed mode status info"; ~~or and~~
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements:
 - 4> resume the measurements according to the new stored measurement control information.
- 2> for any other measurement type:
 - 3> resume the measurements according to the new stored measurement control information.
- 1> if the IE "measurement command" has the value "release":
 - 2> terminate the measurement associated with the identity given in the IE "measurement identity";
 - 2> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT_IDENTITY.
- 1> if the IE "DPCH Compressed Mode Status Info" is present:
 - 2> if, as the result of this message, UE will have more than one transmission gap pattern sequence with the same measurement purpose active (according to IE 'TGMP' in variable TGPS_IDENTITY):
 - 3> set the variable CONFIGURATION_INCOMPLETE to TRUE.
 - 2> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag") in the variable TGPS_IDENTITY:
 - 3> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:
 - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message;
 - 4> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".
 - 3> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:
 - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

- 2> after the time indicated by IE "TGPS reconfiguration CFN" has elapsed:
 - 3> activate the pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in this message is set to "activate" at the time indicated by IE "TGCFN"; and
 - 3> set the corresponding "Current TGPS status flag" for this pattern sequence in the variable TGPS_IDENTITY to "active"; and
 - 3> begin the inter-frequency and/or inter-RAT measurements corresponding to the pattern sequence measurement purpose of each activated pattern sequence;
 - 3> if the values of IE "TGPS reconfiguration CFN" and IE "TGCFN" are equal:
 - 4> start the concerned pattern sequence immediately at that CFN.
 - 2> not alter pattern sequences stored in variable TGPS_IDENTITY, if the pattern sequence is not identified in IE "TGPSI" in the received message.
- 1> if the UE in CELL_FACH state receives a MEASUREMENT CONTROL message, which indicates the same measurement identity as that stored in the variable MEASUREMENT_IDENTITY:
 - 2> update the stored information with the traffic volume measurement control information in variable MEASUREMENT_IDENTITY; and
 - 2> refrain from updating the traffic volume measurement control information associated with this measurement identity in the variable MEASUREMENT_IDENTITY with the information received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) until this measurement is explicitly released with another MEASUREMENT CONTROL message.
 - 1> if the IE "Read SFN indicator" included in the IE "Cell info" of an inter-frequency cell is set to TRUE and the variable UE_CAPABILITY_TRANSFERRED has the DL "Measurement capability" for "FDD measurements" set to TRUE (the UE requires DL compressed mode in order to perform measurements on FDD):
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
 - 1> clear the entry for the MEASUREMENT CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS;
 - 1> if the UE "Additional Measurement List" is present:
 - 2> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement identities in the "Additional Measurement List" do not all have the same validity:
 - 3> set the variable CONFIGURATION_INCOMPLETE to TRUE.

The UE may:

- 1> if the IE "Measurement command" has the value "setup":
 - 2> for measurement type "UE positioning measurement":
 - 3> if the UE is CELL_FACH state:
 - 4> if IE "Positioning Method" is set to "GPS":
 - 5> if IE "UE positioning GPS assistance data" is not included and variable UE_POSITIONING_GPS_DATA is empty:
 - 6> if System Information Block types 15, 15.1, 15.2 and 15.3 are broadcast:
 - 7> read System Information Block types 15, 15.1, 15.2 and 15.3.
 - 6> act as specified in subclause 8.6.7.19.3.

1> and the procedure ends.

8.6.7.2 Filter coefficient

If the IE "Filter coefficient" is received the UE shall, depending on the measurement quantity (see table 8.1), apply filtering of the measurements for that measurement quantity according to the formula below. This filtering shall be performed by the UE before UE event evaluation. The UE shall depending on the reporting quantity (see table 8.1), also filter the measurements reported in the IE "Measured results", with the exception of SFN-SFN observed time difference, CFN-SFN observed time difference and UE Rx-Tx time difference type 1. The filtering shall not be performed for the measurements reported in the IE "Measured results on RACH" and for cell-reselection in connected or idle mode.

The filtering shall be performed according to the following formula.

$$F_n = (1 - a) \cdot F_{n-1} + a \cdot M_n$$

The variables in the formula are defined as follows:

F_n is the updated filtered measurement result

F_{n-1} is the old filtered measurement result

M_n is the latest received measurement result from physical layer measurements, the unit used for M_n is the same unit as the reported unit in the MEASUREMENT REPORT message or the unit used in the event evaluation.

$a = 1/2^{(k/2)}$, where k is the parameter received in the IE "Filter coefficient".

NOTE: if k is set to 0 that will mean no layer 3 filtering.

In order to initialise the averaging filter, F_0 is set to M_1 when the first measurement result from the physical layer measurement is received.

The physical layer measurement results are sampled once every measurement period. The measurement period and the accuracy for a certain measurement is defined in [19] and [20].

Table 8.6.7.2 lists for all measurement- and reporting quantities if L3-filtering is applicable or not. If L3-filtering is applicable for a certain measurement- or reporting quantity, the table lists if the UE shall apply the filtering on linear values ("Lin"), logarithmic values ("Log") or either linear or logarithmic values ("Lin or Log"). In the last case, the choice between filtering on linear or logarithmic values is based on UE selection.

Table 8.6.7.2: L3 filtering applicable for each measurement- and reporting quantity

<u>Measurement- / Reporting quantity</u>	<u>L3-filtering applicable</u>	<u>Linear or logarithmic filtering</u>	<u>Comment</u>
<u>Pathloss</u>	<u>Yes</u>	<u>Lin or Log</u>	
<u>Cell synchronisation information</u>	<u>No</u>	<u>=</u>	
<u>Cell Identity</u>	<u>No</u>	<u>=</u>	
<u>Frequency quality estimate</u>	<u>No</u>	<u>=</u>	<u>Although the frequency quality estimate itself is not filtered, the inputs to the frequency quality estimate calculation (CPICH Ec/N0 or CPICH RSCP or P-CCPCH RSCP) are filtered</u>
<u>UTRA carrier RSSI</u>	<u>Yes</u>	<u>[Log]</u>	
<u>GSM carrier RSSI</u>	<u>Yes</u>	<u>Log</u>	
<u>Observed time difference to GSM cell</u>	<u>No</u>	<u>=</u>	
<u>UE transmitted power</u>	<u>Yes</u>	<u>[Log]</u>	
FDD			
<u>> UE Rx-Tx time difference</u>	<u>No</u>	<u>=</u>	
<u>> CPICH Ec/N0</u>	<u>Yes</u>	<u>Lin or Log</u>	
<u>> CPICH RSCP</u>	<u>Yes</u>	<u>Lin or Log</u>	
TDD			
<u>> Primary CCPCH RSCP</u>	<u>Yes</u>	<u>Lin or Log</u>	
<u>> Proposed TGSN</u>	<u>No</u>	<u>=</u>	

> Timeslot ISCP	Yes	Lin or Log	
> TADV (1.28 Mcps TDD)	No		
> Applied TA (3.84 Mcps TDD)	No		

The UE shall support 2 different layer 3 filters per measurement type defined in subclause 8.4.0 (i.e. the UE shall be capable to apply at least 2 different L3 filters to intra-frequency measurement results, at least 2 different L3 filters to inter-frequency measurement results, etc). If a MEASUREMENT CONTROL message is received that would require the UE to configure more than 2 different layer 3 filters, the UE may:

- 1> set the variable CONFIGURATION_INCOMPLETE to TRUE.

8.6.7.14 Inter-frequency measurement

If the Inter-frequency cell info list, included in the variable CELL_INFO_LIST, includes a number (M) of frequencies that is larger than the number (N) considered in a UE performance requirement defined in [19] and [20]:

- 1> the UE shall:
 - 2> meet this performance requirement on the first relevant (N) frequencies, according to the order defined by the position of the frequencies in the Inter-frequency cell info list, included in the variable CELL_INFO_LIST.
- 1> the UE may:
 - 2> ignore the remaining (M-N) frequencies.

If IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", but IE "Inter-frequency measurement quantity", IE "Inter-frequency reporting quantity" or IE "parameters required for each event" (given "CHOICE Report criteria" is set to "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") is not received, the UE shall:

- 1> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT_IDENTITY;
- 1> set the variable CONFIGURATION_INCOMPLETE to TRUE.;

In the case of an inter-frequency measurement for FDD, the UE shall:

- 1> if IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", if an inter-frequency event is configured that is different from event 2d or 2f, and if the IE "Inter-frequency SET UPDATE" is not received in that same message:
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 1> if the IE "Inter-frequency SET UPDATE" is received:
 - 2> if the value of the IE "UE autonomous update mode" set to "Off" or "On":
 - 3> if more than one frequency is included in the list of cells pointed at in the IE "cells for measurement" if also included in the same IE "Inter-frequency measurement", or otherwise included in the "Inter-frequency cell info" part of the variable CELL_INFO_LIST:
 - 4> set the variable ~~INVALID_CONFIGURATION_INCOMPLETE~~ to TRUE.

If IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message:

- 1> if "CHOICE Report criteria" is set to "inter-frequency reporting criteria" and "inter-frequency measurement quantity" is not set to "inter-frequency reporting criteria"; or
- 1> if "CHOICE Report criteria" is set to "intra-frequency reporting criteria" and "inter-frequency measurement quantity" is not set to "intra-frequency reporting criteria":
 - 2> the UE behaviour is not specified.

If the variable CONFIGURATION_INCOMPLETE is set to TRUE, the UE shall:

- 1> act as described in subclause 8.4.1.4a.

10.3.7.2 Cell info

Includes non-frequency related cell info used in the IE "inter-frequency cell info list" and "intra frequency cell info list".

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Cell individual offset	MD		Real(-10..10 by step of 0.5)	In dB Default value is 0 dB Used to offset measured quantity value	
Reference time difference to cell	OP		Reference time difference to cell 10.3.7.60	In chips. This IE is absent for serving cell.	
Read SFN indicator	MP		Boolean	TRUE indicates that read of SFN is requested for the target cell	
CHOICE <i>mode</i>	MP				
>FDD					
>>Primary CPICH info	OP		Primary CPICH info 10.3.6.60	This IE is absent only if measuring RSSI only (broadband measurement.)	
>>Primary CPICH Tx power	OP		Primary CPICH Tx power 10.3.6.61	Required if calculating pathloss.	
>>TX Diversity Indicator	MP		Boolean	TRUE indicates that transmit diversity is used.	
>TDD					
>>Primary CCPCH info	MP		Primary CCPCH info 10.3.6.57		
>>Primary CCPCH TX power	OP		Primary CCPCH TX power 10.3.6.59		
>>Timeslot list	OP	1 to <maxTS>		The UE shall report Timeslot ISCP values according the order of the listed Timeslot numbers	
>>>CHOICE <i>TDD option</i>	MP				REL-4
>>>>3.84 Mcps TDD					REL-4
>>>>>Timeslot number	MP		Integer (0...14)	Timeslot numbers, for which the UE shall report Timeslot ISCP	
>>>>>Burst Type	MD		Enumerated (Type1, Type2)	Use for Timeslot ISCP measurements only. Default value is "Type1"	
>>>>>1.28 Mcps TDD					REL-4
>>>>>>Timeslot number	MP		Integer (1...6)	Timeslot numbers, for which the UE shall report Timeslot ISCP	REL-4

Cell Selection and Re-selection Info	CV- <i>BCHopt</i>		Cell Selection and Re-selection for SIB11/12Info 10.3.2.4	This IE is absent for serving cell. For neighbouring cell, if HCS is not used and all the parameters in cell selection and re-selection info are default value, this IE is absent.	
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Condition	Explanation
<i>BCHopt</i>	This IE is Optional when sent in SYSTEM INFORMATION, Otherwise, the IE is not needed

10.3.7.23 Inter-RAT cell info list

Contains the information for the list of measurement objects for an inter-RAT measurement.

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
CHOICE <i>Inter-RAT cell removal</i>	MP				
>Remove all inter-RAT cells				No data	
>Remove some inter-RAT cells					
>>Removed inter-RAT cells	MP	1 to <maxCellMeas>			
>>>Inter-RAT cell id	MP		Integer(0 .. <maxCellMeas> - 1)		
>Remove no inter-RAT cells					
New inter-RAT cells	MP	1 to <maxCellMeas>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>Inter-RAT cell id	OP		Integer(0 .. <maxCellMeas> - 1)		
>CHOICE <i>Radio Access Technology</i>	MP				
>>GSM					
>>>Cell individual offset	MP		Integer (-50..50)	In dB Used to offset measured quantity value	
>>>Cell selection and re-selection info	OP		Cell selection and re-selection info for SIB11/12 10.3.2.4	see 8.6.7.3 If HCS is not used and all the parameters in cell selection and re-selection info are default values, this IE is absent.	
>>>BSIC	MP		BSIC 10.3.8.2		
>>>Band indicator	MP		Enumerated (DCS 1800 band used, PCS 1900 band used)	Indicates how to interpret the BCCH ARFCN	
>>>BCCH ARFCN	MP		Integer (0..1023)	[45]	
>>IS-2000					
>>>System specific measurement info	MP		enumerated (frequency, timeslot, colour code, output power, PN offset)	For IS-2000, use fields from TIA/EIA/IS-2000.5, subclause 3.7.3.3.2.27, <i>Candidate Frequency Neighbour List Message</i>	
>>None			(no data)	This value has been introduced to handle the case when IE "New inter-RAT cells" is not required	
Cell for measurement	OP	1 to			

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
		<maxCellMeas>			
>Inter-RAT cell id	MP		Integer(0 .. <maxCellMeas>-1)		

14.3.0c Inter-RAT reporting quantities

The quantities that the UE shall report to UTRAN when the event is triggered for an inter-RAT measurement are given by the IE "Inter-RAT reporting quantity" stored for that measurement, and can be the following:

In the case the other RAT is GSM:

- 1 Observed time difference to the GSM cell
- The reference cell in the UTRAN is one of the cells in the active set.
- 2 GSM carrier RSSI

A description of those values can be found in [7] and [8].

14.11.2 Virtual active set update during an inter-frequency measurement

If the IE "Intra-frequency measurement reporting criteria" is stored for an inter-frequency measurement, the UE shall:

- 1> if Event 1a is configured in that IE, when this event is triggered (~~according to the criteria described in subclause 14.2.1.1~~) by a cell ~~allowed to affect the reporting range (i.e. not included in the IE "Cells forbidden to affect reporting range" if that IE is included)~~ for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.1):
 - 2> if the "Reporting deactivation threshold" is equal to 0, or if the "Reporting deactivation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is less than or equal to the "Reporting deactivation threshold":
 - 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> add the primary CPICH that enters the reporting range to the "virtual active set".
 - 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:
 - 5> set the Measurement identity to the identity of the inter-frequency measurement;
 - 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;
 - 5> do not include the IEs "measured results" or "additional measured results".
- 1> if Event 1b was configured, when this event is triggered (~~according to the criteria described in subclause 14.2.1.2~~) by a cell ~~allowed to affect the reporting range (i.e. not included in the IE "Cells forbidden to affect reporting range" if that IE is included)~~ for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.2):
 - 2> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting" and if the number of cells included in the virtual active set is greater than 1:
 - 3> remove the primary CPICH that leaves the reporting range from the "virtual active set".
 - 2> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 3> send a measurement report with IEs set as below:
 - 4> set the Measurement identity to the identity of the inter-frequency measurement;
 - 4> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1b, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;
 - 4> do not include the IEs "measured results" or "additional measured results".
- 1> if Event 1c was configured, when this event is triggered by a cell for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.3):
 - 2> if the "Reporting activation threshold" is equal to 0, or if the "Reporting activation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is greater than or equal to the "Reporting activation threshold":
 - 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> rank all active and non-active primary CPICHs and take the n best cells to create a new "virtual active set", where n is the number of active primary CPICHs in the "virtual active set".
 - 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:

- 5> set the Measurement identity to the identity of the inter-frequency measurement;
- 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1c, and in "Cell measurement event results" include the CPICH info of all the cells that satisfy the event, and the rest of the entries as the cells that were in the virtual active set before the event occurred and that are worse than the best cell that triggered the event, in the order of their measured value (best one first);
- 5> do not include the IEs "measured results" or "additional measured results".

CHANGE REQUEST

⌘ **25.331 CR 1696** ⌘ rev **2** ⌘ Current version: **5.2.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Measurement related corrections		
Source:	⌘ Ericsson / Siemens		
Work item code:	⌘ TEI	Date:	⌘ November 2002
Category:	⌘ A	Release:	⌘ Rel-5
	<p>Use <u>one</u> of the following categories:</p> <p>F (correction)</p> <p>A (corresponds to a correction in an earlier release)</p> <p>B (addition of feature),</p> <p>C (functional modification of feature)</p> <p>D (editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p>		<p>Use <u>one</u> of the following releases:</p> <p>2 (GSM Phase 2)</p> <p>R96 (Release 1996)</p> <p>R97 (Release 1997)</p> <p>R98 (Release 1998)</p> <p>R99 (Release 1999)</p> <p>Rel-4 (Release 4)</p> <p>Rel-5 (Release 5)</p> <p>Rel-6 (Release 6)</p>

Reason for change:	<p>⌘ Extension of the measurement and reporting quantity values on table 8.6.7.2 to include TDD.</p> <p>1) Usage of Filter coefficient The current text in 8.6.7.2 seems to indicate that the exclusion of certain measurements related to L3-filtering is only applicable to “Measured results”. Further more the L3-filtering for the Observed time difference to GSM cell measurement is not excluded. Finally, it is currently also not indicated which type of filtering (linear or logarithmic) to apply (in relation to RAN17 decision).</p> <p>2) Inconsistency between measurement command “setup” and measurement command “modify” Probably be due to a type-error, an inconsistency is present between the handling of the measurement command “setup” and measurement command “modify”.</p> <p>3) Use of IE “cells forbidden to affect reporting range” in VAS-related reporting Currently, where describing the use of the IE "Intra-frequency measurement reporting criteria", it is stated that cells included in the IE “cells forbidden to affect reporting range” shall not be allowed to trigger event 1a or 1b. This is considered incorrect since:</p> <ul style="list-style-type: none"> - this behaviour introduces a misalignment between the management of the active set and the virtual active set; - the behaviour is not introduced consistently since the triggering of the 1c event is not covered by this restriction. <p>4) Cell selection and reselection info The current text related to the HCS neighbouring cell information seems to indicate that multiple occurrences for the different cell info lists exist. However, it is intended to indicate the occurrence of multiple cells in the different cell info lists.</p>
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In addition, the settings to assume for cell selection and reselection info (other than HCS neighbouring cell information) in case this IE is absent are only specified for the case HCS is used. It is assumed that also in the case HCS is not used, the default values should be assumed.

5) INVALID_CONFIGURATION

The current text for the inter-frequency measurement indicates a case in which the UE variable INVALID_CONFIGURATION is set. However, no corresponding procedure text for the Measurement Control procedure is specified.

6) Reference cell for observed time difference to GSM measurement

Currently it is not clear which cell is the reference cell for the measurement: observed time difference to GSM cell (see also R2-022608).

Summary of change: ☞ “Primary CCPCH RSCP”, “Proposed TGSN”, “Timeslot ISCP”, “Applied TA” and “TADV” have been added and describe in table 8.6.7.2.

1) Usage of Filter coefficient (8.6.7.2)

In line with the assumed RAN2 understanding, the following is proposed:

- It is proposed to clarify that the non-filtering of certain timing related quantities is related to both measurement quantities and measurement reporting quantities.
- It is proposed clarify that also the Observed time difference to GSM cell shall not be filtered.
- Correction of name of SFN-CFN observed time difference name to “cell synchronisation information”
- For those case where filtering is applied, it is clarified if linear or logarithmic filtering should be used (TDD measurements still to be added).

2) Inconsistency between measurement command “setup” and measurement command “modify” (8.4.1.3)

- It is proposed to remove the inconsistency by replacing an “and” condition with “or”.

3) Use of IE “cells forbidden to affect reporting range” in VAS-related reporting (14.11.2)

- It is proposed to remove the concerning restrictions.

4) Cell selection and reselection info (8.1.1.6.11/12, 10.3.7.2, 10.3.7.23)

- It is proposed to clarify the indicated intended behaviour.

5) INVALID_CONFIGURATION (8.6.7.14)

- It is proposed to modify the concerning error case to set the UE variable CONFIGURATION_INCOMPLETE for which the behaviour is specified in 8.4.1.4a.

6) Reference cell for observed time difference to GSM measurement (14.3.0c)

- It is proposed to clarify that the UE behaviour is unspecified in R99 when this Inter-RAT reporting quantity is requested. (FDD). For TDD and FDD Rel4/5, it is clarified that the reference cell in the UTRAN is one of the cells in the active set.

T1 impact:

No impact on T1 specifications is foreseen.

Backward compatibility:

This set of CRs is backward compatible w.r.t. issues 1,2,4 and 5 since for these issues this CR removes inconsistencies w.r.t. the currently specified/intended behaviour.

This set of CRs is not backward compatible for issue 3. UEs that do not implement this CR w.r.t. issue 3 will exclude cells forbidden to affect reporting range from the intra-frequency reporting related to inter-frequency measurements.

Consequences if not approved: ☞ The Measurement and reporting quantity value is not complete for TDD.

1) Usage of Filter coefficient

- If this modification is not made, it will remain unclear in which cases to apply what L3-filtering.

2) Inconsistency between measurement command “setup” and measurement command “modify”
 - If this modification is not made, erroneous handling in case of the measurement command “modify” will remain.

3) Use of IE “cells forbidden to affect reporting range” in VAS-related reporting
 - If this modification is not made, inconsistency between active set and virtual active set management will remain, leading to unbalanced inter-frequency comparisons.

4) Cell selection and reselection info
 - The handling of the default values for the cell selection and reselection info, as well as the HCS neighbouring cell information remains unclear.

5) INVALID_CONFIGURATION
 - The UE behaviour for the INVALID_CONFIGURATION case will remain unspecified

6) Reference cell for observed time difference to GSM measurement
 - UEs will have to support an unuseable measurement (FDD R99). For TDD, it will remain unclear which UTRAN cell to use as a reference.

Clauses affected: ⌘ 8.6.7.2
 8.1.1.6.11; 8.1.1.6.12; 8.4.1.3; 8.6.7.2; 8.6.7.14; 10.3.7.2; 10.3.7.23; 14.3.0c;
 14.11.2

	Y	N		⌘
Other specs affected:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Other core specifications	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Test specifications	
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	O&M Specifications	

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.1.6.11 System Information Block type 11

The UE should store all relevant IEs included in this system information block. The UE shall:

- 1> if in idle mode:
 - 2> clear the variable MEASUREMENT_IDENTITY.
- 1> if IE "FACH measurement occasion info" is included:
 - 2> act as specified in subclause 8.6.7.
- 1> else:
 - 2> may perform inter-frequency/inter-RAT measurements or inter-frequency/inter-RAT cell re-selection evaluation, if the UE capabilities permit such measurements while simultaneously receiving the S-CCPCH of the serving cell.
- 1> clear the variable CELL_INFO_LIST;
- 1> act upon the received IE "Intra-frequency cell info list"/"Inter-frequency cell info list"/"Inter-RAT cell info list" as described in subclause 8.6.7.3;
- 1> if in idle mode; or
- 1> if in connected mode and if System Information Block type 12 is not broadcast in the cell:
 - 2> if no intra-frequency measurement was set up or modified through a MEASUREMENT CONTROL message:
 - 3> if included, store the IE "Intra-frequency reporting quantity" and the IE "Intra-frequency measurement reporting criteria" or "Periodical reporting criteria" in order to activate reporting when state CELL_DCH is entered in the variable MEASUREMENT_IDENTITY. The IE "Cells for measurement" is absent for this measurement. The IE "Measurement Validity" is absent for this measurement after a state transition to CELL_DCH;
- 1> if in connected mode and if System Information Block type 12 is not broadcast in the cell:
 - 2> read the IE "Traffic volume measurement information";
 - 2> if no traffic volume measurement with the measurement identity indicated in the IE "Traffic volume measurement system information" was set up or modified through a MEASUREMENT CONTROL message:
 - 3> update the variable MEASUREMENT_IDENTITY with the measurement information received in that IE.
- 1> if the IE "Cell selection and reselection info" is not included for a new neighbouring cell in the IE "intra-frequency cell info list", the IE "inter-frequency cell info list" or the IE "inter-RAT cell info list" in System Information Block type 11:
 - 2> use the default values specified for the IE "Cell selection and reselection info" for that cell except for the IE "HCS neighbouring cell information".
- 1> if the IE "Use of HCS" is set to "used", indicating that HCS is used, do the following:
 - 2> if IE "HCS neighbouring cell information" is not included for in the first new cell in the occurrence of IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 11:
 - 3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.
 - 2> if IE "HCS neighbouring cell information" is not included for any in other new cell in the occurrence of IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 11:
 - 3> for that cell use the same parameter values as used for the preceding cell in the same IE "Intra-frequency cell info list" in System Information Block type 11.

- 2> if IE "HCS neighbouring cell information" is not included in the first occurrence of IE "Inter frequency cell info list":
 - 3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.
- 2> if IE "HCS neighbouring cell information" is not included in other occurrence of IE "Inter frequency cell info list":
 - 3> for that cell use the same parameter values as used for the preceding IE "Inter frequency cell info list".
- 2> if IE "HCS neighbouring cell information" is not included in the first occurrence of IE "Inter RAT Cell info list":
 - 3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.
- 2> if IE "HCS neighbouring cell information" is not included in other occurrence of IE "Inter RAT cell info list":
 - 3> for that cell use the same parameter values as used for the preceding IE "Inter RAT cell info list".

- 1> if the value of the IE "Cell selection and reselection quality measure" is different from the value of the IE "Cell selection and reselection quality measure" obtained from System Information Block type 3 or System Information Block type 4:
 - 2> use the value of the IE from this System Information Block and ignore the value obtained from System Information Block type 3 or System Information Block type 4.
- 1> if in connected mode, and System Information Block type 12 is indicated as used in the cell:
 - 2> read and act on information sent in System Information Block type 12 as indicated in subclause 8.1.1.6.12.

8.1.1.6.12 System Information Block type 12

If in connected mode, the UE should store all relevant IEs included in this system information block. The UE shall:

- 1> after reception of System Information Block type 11:
 - 2> update the variable MEASUREMENT_IDENTITY with the measurement information in the received IEs unless specified otherwise.
- 1> if IE "FACH measurement occasion info" is included:
 - 2> act as specified in subclause 8.6.7.
- 1> else:
 - 2> may perform inter-frequency/inter-RAT measurements or inter-frequency/inter-RAT cell re-selection evaluation, if the UE capabilities permit such measurements while simultaneously receiving the S-CCPCH of the serving cell.
- 1> act upon the received IE "Intra-frequency cell info list"/"Inter-frequency cell info list"/"Inter-RAT cell info list" as described in subclause 8.6.7.3;
- 1> if any of the IEs "Intra-frequency measurement quantity", "Intra-frequency reporting quantity for RACH reporting", "Maximum number of reported cells on RACH" or "Reporting information for state CELL_DCH" are not included in the system information block:
 - 2> read the corresponding IE(s) in system information block type 11 and use that information for the intra-frequency measurement.
- 1> if included in this system information block or in System Information Block type 11:
 - 2> if no intra-frequency measurement in the variable MEASUREMENT_IDENTITY was set up or modified through a MEASUREMENT CONTROL message:

- 3> store the IE "Intra-frequency reporting quantity" and the IE "Intra-frequency measurement reporting criteria" or "Periodical reporting criteria" in order to activate reporting when state CELL_DCH is entered in the variable MEASUREMENT_IDENTITY. The IE "Cells for measurement" is absent for this measurement. The IE "Measurement Validity" is absent for this measurement after a state transition to CELL_DCH;
- 1> if the IE "Traffic volume measurement system information" is not included in this system information block:
 - 2> read the corresponding IE in System Information Block type 11.
 - 1> if the IE "Traffic volume measurement system information" was received either in this system information block or in System Information Block type 11:
 - 2> if no traffic volume measurement with the measurement identity indicated in the IE "Traffic volume measurement system information" was set up or modified through a MEASUREMENT CONTROL message:
 - 3> update the variable MEASUREMENT_IDENTITY with the measurement information received in that IE.
 - 1> if in CELL_FACH state:
 - 2> start or continue the traffic volume measurements stored in the variable MEASUREMENT_IDENTITY that are valid in CELL_FACH state.
-
- 1> if the IE "Cell selection and reselection info" is not included for a new neighbouring cell in the IE "intra-frequency cell info list", the IE "inter-frequency cell info list" or the IE "inter-RAT cell info list" in System Information Block type 12:
 - 2> use the default values specified for the IE "Cell selection and reselection info" for that cell except for the IE "HCS neighbouring cell information".
 - 1> if the IE "Use of HCS" is set to "used", indicating that HCS is used, do the following:
 - 2> if IE "HCS neighbouring cell information" is not included for in the first new cell in the occurrence of IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 12:
 - 3> use the default values specified for the IE "HCS neighbouring cell information" for that cell.
 - 2> if IE "HCS neighbouring cell information" is not included for any in other new cell in the occurrence of IE "Intra-frequency cell info list", the IE "Inter-frequency cell info list" or the IE "Inter-RAT cell info list" in System Information Block type 12:
 - 3> for that cell use the same parameter values as used for the preceding cell in the same IE "Intra-frequency cell info list" in System Information Block type 12.
 - 2> ~~if IE "HCS neighbouring cell information" is not included in the first occurrence of IE "Inter-frequency cell info list":~~
 - 3> ~~use the default values specified for the IE "HCS neighbouring cell information" for that cell.~~
 - 2> ~~if IE "HCS neighbouring cell information" is not included in other occurrence of IE "Inter-frequency cell info list":~~
 - 3> ~~for that cell use the same parameter values as used for the preceding IE "Inter-frequency cell info list".~~
 - 2> ~~if IE "HCS neighbouring cell information" is not included in the first occurrence of IE "Inter-RAT cell info list":~~
 - 3> ~~use the default values specified for the IE "HCS neighbouring cell information" for that cell.~~
 - 2> ~~if IE "HCS neighbouring cell information" is not included in other occurrence of IE "Inter-RAT cell info list":~~
 - 3> ~~for that cell use the same parameter values as used for the preceding IE "Inter-RAT cell info list".~~

1> if the value of the IE "Cell selection and reselection quality measure" is different from the value of the IE "Cell selection and reselection quality measure" obtained from System Information Block type 3 or System Information Block type 4:

2> use the value of the IE from this System Information Block and ignore the value obtained from System Information Block type 3 or System Information Block type 4.

If in idle mode, the UE shall not use the values of the IEs in this system information block.

8.4.1.3 Reception of MEASUREMENT CONTROL by the UE

Upon reception of a MEASUREMENT CONTROL message the UE shall perform actions specified in subclause 8.6 unless otherwise specified below.

The UE shall:

- 1> read the IE "Measurement command";
- 1> if the IE "Measurement command" has the value "setup":
 - 2> store this measurement in the variable MEASUREMENT_IDENTITY according to the IE "measurement identity", first releasing any previously stored measurement with that identity if that exists;
 - 2> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 3> if the UE is in CELL_FACH state:
 - 4> the UE behaviour is not specified.
 - 2> for measurement types "inter-RAT measurement" or "inter-frequency measurement":
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and a compressed mode pattern sequence with an appropriate measurement purpose is simultaneously activated by the IE "DPCH compressed mode status info"; **or**
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements:
 - 4> if the measurement is valid in the current RRC state of the UE:
 - 5> begin measurements according to the stored control information for this measurement identity.
 - 2> for measurement type "UE positioning measurement":
 - 3> if the UE is in CELL_FACH state:
 - 4> if IE "Positioning Method" is set to "OTDOA":
 - 5> if IE "Method Type" is set to "UE assisted":
 - 6> if IE "UE positioning OTDOA assistance data for UE assisted" is not included:
 - 7> if System Information Block type 15.4 is broadcast:
 - 8> read System Information Block type 15.4.
 - 7> act as specified in subclause 8.6.7.19.2.
 - 5> if IE "Method Type" is set to "UE based":
 - 6> if IE "UE positioning OTDOA assistance data for UE based" is not included:
 - 7> if System Information Block type 15.5 is broadcast:
 - 8> read System Information Block type 15.5.
 - 7> act as specified in subclause 8.6.7.19.2a.
 - 2> for any other measurement type:
 - 3> if the measurement is valid in the current RRC state of the UE:
 - 4> begin measurements according to the stored control information for this measurement identity.

- 1> if the IE "Measurement command" has the value "modify":
 - 2> for all IEs present in the MEASUREMENT CONTROL message:
 - 3> if a measurement was stored in the variable MEASUREMENT_IDENTITY associated to the identity by the IE "measurement identity":
 - 4> if the measurement type is quality, UE internal, intra-frequency, inter-frequency or inter-RAT:
 - 5> if the UE is in CELL_FACH state:
 - 6> the UE behaviour is not specified.
 - 4> if measurement type is set to "intra-frequency measurement", for any of the optional IEs "Intra-frequency measurement objects list", "Intra-frequency measurement quantity", "Intra-frequency reporting quantity", "Measurement Validity", "report criteria" and "parameters required for each event" (given "report criteria" is set to "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-frequency measurement", for any of the optional IEs "Inter-frequency measurement quantity", "Inter-frequency reporting quantity", "Measurement Validity", "Inter-frequency set update" and "parameters required for each event" (given "report criteria" is set to either "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "inter-RAT measurement", for any of the optional IEs "Inter-RAT measurement objects list", "Inter-RAT measurement quantity", "Inter-RAT reporting quantity" and "parameters required for each event" (given "report criteria" is set to "inter-RAT measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning OTDOA assistance data" is present, for any of the optional IEs "UE positioning OTDOA neighbour cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-assisted", "UE positioning OTDOA reference cell info for UE-based", "UE positioning OTDOA neighbour cell info for UE-based" and "UE positioning" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE positioning measurement" and the IE "UE positioning GPS assistance data" is present, for any of the optional IEs "UE positioning GPS reference time", "UE positioning GPS reference UE position", "UE positioning GPS DGPS corrections", "UE positioning GPS navigation model", "UE positioning GPS ionospheric model", "UE positioning GPS UTC model", "UE positioning GPS almanac", "UE positioning GPS acquisition assistance", "UE positioning GPS real-time integrity" that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "traffic volume measurement", for any of the optional IEs "Traffic volume measurement Object", "Traffic volume measurement quantity", "Traffic volume reporting quantity", "Measurement Validity" and "parameters required for each event" (given "report criteria" is set to "traffic volume measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "quality measurement", for any of the optional IE "Quality reporting quantity" that is present in the MEASUREMENT CONTROL message:
 - 4> if measurement type is set to "UE internal measurement", for any of the optional IEs "UE internal measurement quantity", "UE internal reporting quantity" and "parameters required for each event" (given "report criteria" is set to "UE internal measurement reporting criteria") that are present in the MEASUREMENT CONTROL message:
 - 5> replace the corresponding information (the IEs listed above and all their children) stored in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message;
 - 5> leave all other stored information elements unchanged in the variable MEASUREMENT_IDENTITY.
 - 3> otherwise:

- 4> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 2> if measurement type is set to "inter-frequency measurement":
 - 3> if "report criteria" is set to "intra-frequency report criteria" and "reporting criteria" in "inter-frequency measurement quantity" is set to "intra-frequency reporting criteria":
 - 4> leave the currently stored "inter-frequency report criteria" within "report criteria" and "inter-frequency reporting criteria" within "inter-frequency measurement quantity" unchanged, and continue to act on the information stored in these variables, and also store the newly received "intra-frequency report criteria" and intra-frequency reporting criteria.
 - 3> otherwise
 - 4> clear the variables associated with the CHOICE "report criteria" and store the received "report criteria" choice;
 - 4> if the IE "inter-frequency measurement quantity" is present:
 - 5> clear the variables associated with the choice "reporting criteria" in "inter-frequency measurement quantity" and store the received "reporting criteria" choice.
- 2> for measurement types "inter-frequency measurement" that require measurements on a frequency other than the actually used frequency, or that require measurements on another RAT:
 - 3> if, according to its measurement capabilities, the UE requires compressed mode to perform that measurement type and a compressed mode pattern sequence with an appropriate measurement purpose is simultaneously activated by the IE "DPCH compressed mode status info"; ~~or and~~
 - 3> if, according to its measurement capabilities, the UE does not require compressed mode to perform the measurements:
 - 4> resume the measurements according to the new stored measurement control information.
- 2> for any other measurement type:
 - 3> resume the measurements according to the new stored measurement control information.
- 1> if the IE "measurement command" has the value "release":
 - 2> terminate the measurement associated with the identity given in the IE "measurement identity";
 - 2> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT_IDENTITY.
- 1> if the IE "DPCH Compressed Mode Status Info" is present:
 - 2> if, as the result of this message, UE will have more than one transmission gap pattern sequence with the same measurement purpose active (according to IE 'TGMP' in variable TGPS_IDENTITY):
 - 3> set the variable CONFIGURATION_INCOMPLETE to TRUE.
 - 2> if pattern sequence corresponding to IE "TGPSI" is already active (according to "Current TGPS Status Flag") in the variable TGPS_IDENTITY):
 - 3> if the "TGPS Status Flag" in this message is set to "deactivate" for the corresponding pattern sequence:
 - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message;
 - 4> set the "Current TGPS Status Flag" for this pattern sequence in the variable TGPS_IDENTITY to "inactive".
 - 3> if the "TGPS Status Flag" in this message is set to "activate" for the corresponding pattern sequence:
 - 4> deactivate this pattern sequence at the beginning of the frame indicated by IE "TGPS reconfiguration CFN" received in the message.

NOTE: The temporary deactivation of pattern sequences for which the status flag is set to "activate" can be used by the network to align the timing of already active patterns with newly activated patterns.

- 2> after the time indicated by IE "TGPS reconfiguration CFN" has elapsed:
 - 3> activate the pattern sequence corresponding to each IE "TGPSI" for which the "TGPS status flag" in this message is set to "activate" at the time indicated by IE "TGCFN"; and
 - 3> set the corresponding "Current TGPS status flag" for this pattern sequence in the variable TGPS_IDENTITY to "active"; and
 - 3> begin the inter-frequency and/or inter-RAT measurements corresponding to the pattern sequence measurement purpose of each activated pattern sequence;
 - 3> if the values of IE "TGPS reconfiguration CFN" and IE "TGCFN" are equal:
 - 4> start the concerned pattern sequence immediately at that CFN.
 - 2> not alter pattern sequences stored in variable TGPS_IDENTITY, if the pattern sequence is not identified in IE "TGPSI" in the received message.
- 1> if the UE in CELL_FACH state receives a MEASUREMENT CONTROL message, which indicates the same measurement identity as that stored in the variable MEASUREMENT_IDENTITY:
 - 2> update the stored information with the traffic volume measurement control information in variable MEASUREMENT_IDENTITY; and
 - 2> refrain from updating the traffic volume measurement control information associated with this measurement identity in the variable MEASUREMENT_IDENTITY with the information received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) until this measurement is explicitly released with another MEASUREMENT CONTROL message.
 - 1> if the IE "Read SFN indicator" included in the IE "Cell info" of an inter-frequency cell is set to TRUE and the variable UE_CAPABILITY_TRANSFERRED has the DL "Measurement capability" for "FDD measurements" set to TRUE (the UE requires DL compressed mode in order to perform measurements on FDD):
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
 - 1> clear the entry for the MEASUREMENT CONTROL message in the table "Accepted transactions" in the variable TRANSACTIONS;
 - 1> if the UE "Additional Measurement List" is present:
 - 2> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement identities in the "Additional Measurement List" do not all have the same validity:
 - 3> set the variable CONFIGURATION_INCOMPLETE to TRUE.

The UE may:

- 1> if the IE "Measurement command" has the value "setup":
 - 2> for measurement type "UE positioning measurement":
 - 3> if the UE is CELL_FACH state:
 - 4> if IE "Positioning Method" is set to "GPS":
 - 5> if IE "UE positioning GPS assistance data" is not included and variable UE_POSITIONING_GPS_DATA is empty:
 - 6> if System Information Block types 15, 15.1, 15.2 and 15.3 are broadcast:
 - 7> read System Information Block types 15, 15.1, 15.2 and 15.3.
 - 6> act as specified in subclause 8.6.7.19.3.

1> and the procedure ends.

8.6.7.2 Filter coefficient

If the IE "Filter coefficient" is received the UE shall, depending on the measurement quantity (see table 8.1), apply filtering of the measurements for that measurement quantity according to the formula below. This filtering shall be performed by the UE before UE event evaluation. The UE shall depending on the reporting quantity (see table 8.1), also filter the measurements reported in the IE "Measured results", with the exception of SFN-SFN observed time difference, CFN-SFN observed time difference and UE Rx-Tx time difference type 1. The filtering shall not be performed for the measurements reported in the IE "Measured results on RACH" and for cell-reselection in connected or idle mode.

The filtering shall be performed according to the following formula.

$$F_n = (1 - a) \cdot F_{n-1} + a \cdot M_n$$

The variables in the formula are defined as follows:

F_n is the updated filtered measurement result

F_{n-1} is the old filtered measurement result

M_n is the latest received measurement result from physical layer measurements, the unit used for M_n is the same unit as the reported unit in the MEASUREMENT REPORT message or the unit used in the event evaluation.

$a = 1/2^{(k/2)}$, where k is the parameter received in the IE "Filter coefficient".

NOTE: if k is set to 0 that will mean no layer 3 filtering.

In order to initialise the averaging filter, F_0 is set to M_1 when the first measurement result from the physical layer measurement is received.

The physical layer measurement results are sampled once every measurement period. The measurement period and the accuracy for a certain measurement is defined in [19] and [20].

Table 8.6.7.2 lists for all measurement- and reporting quantities if L3-filtering is applicable or not. If L3-filtering is applicable for a certain measurement- or reporting quantity, the table lists if the UE shall apply the filtering on linear values ("Lin"), logarithmic values ("Log") or either linear or logarithmic values ("Lin or Log"). In the last case, the choice between filtering on linear or logarithmic values is based on UE selection.

Table 8.6.7.2: L3 filtering applicable for each measurement- and reporting quantity

<u>Measurement- / Reporting quantity</u>	<u>L3-filtering applicable</u>	<u>Linear or logarithmic filtering</u>	<u>Comment</u>
<u>Pathloss</u>	<u>Yes</u>	<u>Lin or Log</u>	
<u>Cell synchronisation information</u>	<u>No</u>	<u>=</u>	
<u>Cell Identity</u>	<u>No</u>	<u>=</u>	
<u>Frequency quality estimate</u>	<u>No</u>	<u>=</u>	<u>Although the frequency quality estimate itself is not filtered, the inputs to the frequency quality estimate calculation (CPICH Ec/N0 or CPICH RSCP or P-CCPCH RSCP) are filtered</u>
<u>UTRA carrier RSSI</u>	<u>Yes</u>	<u>[Log]</u>	
<u>GSM carrier RSSI</u>	<u>Yes</u>	<u>Log</u>	
<u>Observed time difference to GSM cell</u>	<u>No</u>	<u>=</u>	
<u>UE transmitted power</u>	<u>Yes</u>	<u>[Log]</u>	
FDD			
<u>> UE Rx-Tx time difference</u>	<u>No</u>	<u>=</u>	
<u>> CPICH Ec/N0</u>	<u>Yes</u>	<u>Lin or Log</u>	
<u>> CPICH RSCP</u>	<u>Yes</u>	<u>Lin or Log</u>	
TDD			
<u>> Primary CCPCH RSCP</u>	<u>Yes</u>	<u>Lin or Log</u>	
<u>> Proposed TGSN</u>	<u>No</u>	<u>=</u>	

> Timeslot ISCP	Yes	Lin or Log	
> TADV (1.28 Mcps TDD)	No		
> Applied TA (3.84 Mcps TDD)	No		

The UE shall support 2 different layer 3 filters per measurement type defined in subclause 8.4.0 (i.e. the UE shall be capable to apply at least 2 different L3 filters to intra-frequency measurement results, at least 2 different L3 filters to inter-frequency measurement results, etc). If a MEASUREMENT CONTROL message is received that would require the UE to configure more than 2 different layer 3 filters, the UE may:

- 1> set the variable CONFIGURATION_INCOMPLETE to TRUE.

8.6.7.14 Inter-frequency measurement

If the Inter-frequency cell info list, included in the variable CELL_INFO_LIST, includes a number (M) of frequencies that is larger than the number (N) considered in a UE performance requirement defined in [19] and [20]:

- 1> the UE shall:
 - 2> meet this performance requirement on the first relevant (N) frequencies, according to the order defined by the position of the frequencies in the Inter-frequency cell info list, included in the variable CELL_INFO_LIST.
- 1> the UE may:
 - 2> ignore the remaining (M-N) frequencies.

If IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", but IE "Inter-frequency measurement quantity", IE "Inter-frequency reporting quantity" or IE "parameters required for each event" (given "CHOICE Report criteria" is set to "inter-frequency measurement reporting criteria" or "intra-frequency measurement reporting criteria") is not received, the UE shall:

- 1> clear all stored measurement control information related associated to this measurement identity in variable MEASUREMENT_IDENTITY;
- 1> set the variable CONFIGURATION_INCOMPLETE to TRUE.;

In the case of an inter-frequency measurement for FDD, the UE shall:

- 1> if IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message, where IE "measurement command" has the value "setup", if an inter-frequency event is configured that is different from event 2d or 2f, and if the IE "Inter-frequency SET UPDATE" is not received in that same message:
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 1> if the IE "Inter-frequency SET UPDATE" is received:
 - 2> if the value of the IE "UE autonomous update mode" set to "Off" or "On":
 - 3> if more than one frequency is included in the list of cells pointed at in the IE "cells for measurement" if also included in the same IE "Inter-frequency measurement", or otherwise included in the "Inter-frequency cell info" part of the variable CELL_INFO_LIST:
 - 4> set the variable ~~INVALID_CONFIGURATION_INCOMPLETE~~ to TRUE.

If IE "Inter-frequency measurement" is received by the UE in a MEASUREMENT CONTROL message:

- 1> if "CHOICE Report criteria" is set to "inter-frequency reporting criteria" and "inter-frequency measurement quantity" is not set to "inter-frequency reporting criteria"; or
- 1> if "CHOICE Report criteria" is set to "intra-frequency reporting criteria" and "inter-frequency measurement quantity" is not set to "intra-frequency reporting criteria":
 - 2> the UE behaviour is not specified.

If the variable CONFIGURATION_INCOMPLETE is set to TRUE, the UE shall:

- 1> act as described in subclause 8.4.1.4a.

10.3.7.2 Cell info

Includes non-frequency related cell info used in the IE "inter-frequency cell info list" and "intra frequency cell info list".

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Cell individual offset	MD		Real(-10..10 by step of 0.5)	In dB Default value is 0 dB Used to offset measured quantity value	
Reference time difference to cell	OP		Reference time difference to cell 10.3.7.60	In chips. This IE is absent for serving cell.	
Read SFN indicator	MP		Boolean	TRUE indicates that read of SFN is requested for the target cell	
CHOICE <i>mode</i>	MP				
>FDD					
>>Primary CPICH info	OP		Primary CPICH info 10.3.6.60	This IE is absent only if measuring RSSI only (broadband measurement.)	
>>Primary CPICH Tx power	OP		Primary CPICH Tx power 10.3.6.61	Required if calculating pathloss.	
>>TX Diversity Indicator	MP		Boolean	TRUE indicates that transmit diversity is used.	
>TDD					
>>Primary CCPCH info	MP		Primary CCPCH info 10.3.6.57		
>>Primary CCPCH TX power	OP		Primary CCPCH TX power 10.3.6.59		
>>Timeslot list	OP	1 to <maxTS>		The UE shall report Timeslot ISCP values according the order of the listed Timeslot numbers	
>>>CHOICE <i>TDD option</i>	MP				REL-4
>>>>3.84 Mcps TDD					REL-4
>>>>>Timeslot number	MP		Integer (0...14)	Timeslot numbers, for which the UE shall report Timeslot ISCP	
>>>>>Burst Type	MD		Enumerated (Type1, Type2)	Use for Timeslot ISCP measurements only. Default value is "Type1"	
>>>>>1.28 Mcps TDD					REL-4
>>>>>>Timeslot number	MP		Integer (1...6)	Timeslot numbers, for which the UE shall report Timeslot ISCP	REL-4

Cell Selection and Re-selection Info	CV- <i>BCHopt</i>		Cell Selection and Re-selection for SIB11/12Info 10.3.2.4	This IE is absent for serving cell. For neighbouring cell, if HCS is not used and all the parameters in cell selection and re-selection info are default value, this IE is absent.	
--------------------------------------	----------------------	--	---	--	--

Condition	Explanation
<i>BCHopt</i>	This IE is Optional when sent in SYSTEM INFORMATION, Otherwise, the IE is not needed

10.3.7.23 Inter-RAT cell info list

Contains the information for the list of measurement objects for an inter-RAT measurement.

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
CHOICE <i>Inter-RAT cell removal</i>	MP				
>Remove all inter-RAT cells				No data	
>Remove some inter-RAT cells					
>>Removed inter-RAT cells	MP	1 to <maxCellMeas>			
>>>Inter-RAT cell id	MP		Integer(0 .. <maxCellMeas> - 1)		
>Remove no inter-RAT cells					
New inter-RAT cells	MP	1 to <maxCellMeas>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>Inter-RAT cell id	OP		Integer(0 .. <maxCellMeas> - 1)		
>CHOICE <i>Radio Access Technology</i>	MP				
>>GSM					
>>>Cell individual offset	MP		Integer (-50..50)	In dB Used to offset measured quantity value	
>>>Cell selection and re-selection info	OP		Cell selection and re-selection info for SIB11/12 10.3.2.4	see 8.6.7.3 If HCS is not used and all the parameters in cell selection and re-selection info are default values, this IE is absent.	
>>>BSIC	MP		BSIC 10.3.8.2		
>>>Band indicator	MP		Enumerated (DCS 1800 band used, PCS 1900 band used)	Indicates how to interpret the BCCH ARFCN	
>>>BCCH ARFCN	MP		Integer (0..1023)	[45]	
>>IS-2000					
>>>System specific measurement info	MP		enumerated (frequency, timeslot, colour code, output power, PN offset)	For IS-2000, use fields from TIA/EIA/IS-2000.5, subclause 3.7.3.3.2.27, <i>Candidate Frequency Neighbour List Message</i>	
>>None			(no data)	This value has been introduced to handle the case when IE "New inter-RAT cells" is not required	
Cell for measurement	OP	1 to			

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
		<maxCellMeas>			
>Inter-RAT cell id	MP		Integer(0 .. <maxCellMeas>-1)		

14.3.0c Inter-RAT reporting quantities

The quantities that the UE shall report to UTRAN when the event is triggered for an inter-RAT measurement are given by the IE "Inter-RAT reporting quantity" stored for that measurement, and can be the following:

In the case the other RAT is GSM:

- 1 Observed time difference to the GSM cell
- The reference cell in the UTRAN is one of the cells in the active set.
- 2 GSM carrier RSSI

A description of those values can be found in [7] and [8].

14.11.2 Virtual active set update during an inter-frequency measurement

If the IE "Intra-frequency measurement reporting criteria" is stored for an inter-frequency measurement, the UE shall:

- 1> if Event 1a is configured in that IE, when this event is triggered (~~according to the criteria described in subclause 14.2.1.1~~) by a cell ~~allowed to affect the reporting range (i.e. not included in the IE "Cells forbidden to affect reporting range" if that IE is included)~~ for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.1):
 - 2> if the "Reporting deactivation threshold" is equal to 0, or if the "Reporting deactivation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is less than or equal to the "Reporting deactivation threshold":
 - 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> add the primary CPICH that enters the reporting range to the "virtual active set".
 - 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:
 - 5> set the Measurement identity to the identity of the inter-frequency measurement;
 - 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1a, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;
 - 5> do not include the IEs "measured results" or "additional measured results".
- 1> if Event 1b was configured, when this event is triggered (~~according to the criteria described in subclause 14.2.1.2~~) by a cell ~~allowed to affect the reporting range (i.e. not included in the IE "Cells forbidden to affect reporting range" if that IE is included)~~ for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.2):
 - 2> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting" and if the number of cells included in the virtual active set is greater than 1:
 - 3> remove the primary CPICH that leaves the reporting range from the "virtual active set".
 - 2> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 3> send a measurement report with IEs set as below:
 - 4> set the Measurement identity to the identity of the inter-frequency measurement;
 - 4> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1b, and in "Cell measurement event results" the CPICH info of the cell that triggered the event;
 - 4> do not include the IEs "measured results" or "additional measured results".
- 1> if Event 1c was configured, when this event is triggered by a cell for a non-used frequency considered in that measurement (according to the criteria described in subclause 14.1.2.3):
 - 2> if the "Reporting activation threshold" is equal to 0, or if the "Reporting activation threshold" is different from 0 and the number of cells included in the virtual active set for that frequency is greater than or equal to the "Reporting activation threshold":
 - 3> if the IE "UE autonomous update mode" is set to "on" or "on with no reporting":
 - 4> rank all active and non-active primary CPICHs and take the n best cells to create a new "virtual active set", where n is the number of active primary CPICHs in the "virtual active set".
 - 3> if the IE "UE autonomous update mode" is set to "on" or "off":
 - 4> send a measurement report with IEs set as below:

- 5> set the Measurement identity to the identity of the inter-frequency measurement;
- 5> set the CHOICE event result in the IE Event results to Intra-frequency measurement event results, Intra-frequency event identity to 1c, and in "Cell measurement event results" include the CPICH info of all the cells that satisfy the event, and the rest of the entries as the cells that were in the virtual active set before the event occurred and that are worse than the best cell that triggered the event, in the order of their measured value (best one first);
- 5> do not include the IEs "measured results" or "additional measured results".

3GPP TSG-RAN WG2 Meeting #32
Xian, China, 23 – 27 September 2002

R2-022680

CR-Form-v7
<h2 style="margin: 0;">CHANGE REQUEST</h2>
⌘ 25.331 CR 1697 ⌘ rev - ⌘ Current version: 3.12.0 ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ ASN.1 of the SRNS relocation Info		
Source:	⌘ Nortel Networks		
Work item code:	⌘ TEI	Date:	⌘ September 2002
Category:	⌘ F	Release:	⌘ R99
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	⌘ #1 In the IE "SRNS Relocation info" (subsection 14.12.4.2) in the list "Signalling radio bearer specific integrity protection information" there are no IE "RB Id" giving the RB identity of the radio bearer for which this information is valid. This is the case in both the Tabular and the ASN.1. #2 In the ASN.1 of the IE "SRNS Relocation info", the following sentence applicable to the START List : 'the remaining start values are contained in IE startValueForCipherring-v3b0ext' is misleading. It is not clear if the START given in the extension startValueForCipherring-v3a0ext has to be duplicated.
Summary of change:	⌘ #1 As it has been done for the IE "Cipherring info per radio bearer" in RAN2#31, it is specified that the order of occurrence of the IE "Signalling radio bearer specific integrity protection information" in the "SRNS Relocation Info" IE is the same as in the IE "Signalling RB information list". #2 It is specified that the IE startValueForCipherring-v3b0ext contains the strat values for each CN Domain (including the one already given by startValueForCipherring-v3a0ext) and that the START values given twice in extension 3a0 and in extension 3b0 should be the same.

Consequences if not approved:	⌘ #1 Potential different encoding of the occurrence of the IE “Signalling radio bearer specific integrity protection information” in the “SRNS Relocation Info” IE between a SRNC and a TRNC belonging to different vendor leading to intergrity failure after SRNS relocation. #2 Potential different encoding of START list in the “SRNS Relocation Info” IE between a SRNC and a TRNC belonging to different vendor leading to ciphering failure on TM RB after SRNS relocation. This CR only impact the UTRAN If the CR is not implemented in the UTRAN: Potential security failure after a inter-vendor SRNS relocation, in case they have had different interpreation of the ASN.1
--------------------------------------	--

Clauses affected:	⌘ 11.5												
Other specs affected:	<table border="1"> <tr> <td style="text-align: center;">Y</td> <td style="text-align: center;">N</td> <td></td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Other core specifications</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Test specifications</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>O&M Specifications</td> </tr> </table> ⌘	Y	N		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Test specifications	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	O&M Specifications
Y	N												
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications											
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Test specifications											
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	O&M Specifications											
Other comments:	⌘												

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

11.5 RRC information between network nodes

```

Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo,
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    C-RNTI,
    DL-PhysChCapabilityFDD-v380ext,
    FailureCauseWithProtErr,
    RRC-MessageSequenceNumber,
    STARTList,
    STARTSingle,
    START-Value,
    U-RNTI,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-AddReconfTransChInfoList,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-AddReconfTransChInfoList,
-- Measurement IEs :
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    AdditionalMeasurementID-List,
    PositionEstimate,
-- Other IEs :
    InterRAT-UE-RadioAccessCapabilityList
FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,
    maxRB,
    maxSRBsetup
FROM Constant-definitions;

-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is grouped
-- *****
--

```



```

-- RRC information, to target RNC
--
-- *****
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRATHandover          InterRATHandoverInfoWithInterRATCapabilities,
    srncRelocation            SRNC-RelocationInfo,
    extension                 NULL
}

-- *****
--
-- RRC information, target RNC to source RNC
--
-- *****

TargetRNC-ToSourceRNC-Container ::= CHOICE {
    radioBearerSetup          RadioBearerSetup,
    radioBearerReconfiguration RadioBearerReconfiguration,
    radioBearerRelease        RadioBearerRelease,
    transportChannelReconfiguration TransportChannelReconfiguration,
    physicalChannelReconfiguration PhysicalChannelReconfiguration,
    rrc-FailureInfo           RRC-FailureInfo,
    -- IE dl-DCCHmessage consists of an octet string that includes
    -- the IE DL-DCCH-Message
    dl-DCCHmessage           OCTET STRING,
    extension                 NULL
}

-- Part2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order

-- *****
--
-- Handover to UTRAN information
--
-- *****

InterRATHandoverInfoWithInterRATCapabilities ::= CHOICE {
    r3                        SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
        interRATHandoverInfo-r3          InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
        v390NonCriticalExtensions        SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
        }
        InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
        -- Reserved for future non critical extension
        nonCriticalExtensions            SEQUENCE {} OPTIONAL
    },
    criticalExtensions                  SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs ::= SEQUENCE {
    -- The order of the IEs may not reflect the tabular format
    -- but has been chosen to simplify the handling of the information in the BSC
    -- Other IEs
    ue-RATSpecificCapability            InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
    -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
    -- actual information. This makes it possible for BSS to transparently handle information
    -- received via GSM air interface even when it includes non critical extensions.
    -- The octet string shall include the InterRATHandoverInfo information
    -- The BSS can re-use the 04.18 length field received from the MS
    interRATHandoverInfo                OCTET STRING (SIZE (0..255))
}

InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
    failureCauseWithProtErr             FailureCauseWithProtErr             OPTIONAL
}

-- *****
--
-- SRNC Relocation information
--

```

```

-- *****
SRNC-RelocationInfo ::= CHOICE {
  r3                               SEQUENCE {
    sRNC-RelocationInfo-r3         SRNC-RelocationInfo-r3-IEs,
    v380NonCriticalExtensions      SEQUENCE {
      sRNC-RelocationInfo-v380ext  SRNC-RelocationInfo-v380ext-IEs,
      -- Reserved for future non critical extension
    }
    v390NonCriticalExtensions      SEQUENCE {
      sRNC-RelocationInfo-v390ext  SRNC-RelocationInfo-v390ext-IEs,
      v3a0NonCriticalExtensions    SEQUENCE {
        sRNC-RelocationInfo-v3a0ext SRNC-RelocationInfo-v3a0ext-IEs,
        v3b0NonCriticalExtensions  SEQUENCE {
          sRNC-RelocationInfo-v3b0ext SRNC-RelocationInfo-v3b0ext-IEs,
          v3c0NonCriticalExtensions SEQUENCE {
            sRNC-RelocationInfo-v3c0ext SRNC-RelocationInfo-v3c0ext-IEs,
            -- Reserved for future non critical extension
          }
          nonCriticalExtensions    SEQUENCE {} OPTIONAL
        }
      }
    }
  } OPTIONAL
},
criticalExtensions              SEQUENCE {}
}

SRNC-RelocationInfo-r3-IEs ::= SEQUENCE {
  -- Non-RRC IEs
  stateOfRRC                      StateOfRRC,
  stateOfRRC-Procedure            StateOfRRC-Procedure,
  -- Ciphering related information IEs
  -- If the extension v380 is included use the extension for the ciphering status per CN domain
  cipheringStatus                 CipheringStatus,
  calculationTimeForCiphering     CalculationTimeForCiphering OPTIONAL,
  -- The order of occurrence in the IE cipheringInfoPerRB-List is the
  -- same as the RBs in the IE "Signalling RB information list" and in the
  -- IE "RAB information list". The signalling RBs are supposed to be listed
  -- first. Only UM and AM RBs that are ciphered are listed here
  cipheringInfoPerRB-List         CipheringInfoPerRB-List OPTIONAL,
  count-C-List                    COUNT-C-List OPTIONAL,
  integrityProtectionStatus       IntegrityProtectionStatus,
  -- The order of occurrence in the IE srb-SpecificIntegrityProtInfo is the
  -- same as the SRBs in the IE "Signalling RB information list"
  srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
  implementationSpecificParams    ImplementationSpecificParams OPTIONAL,
  -- User equipment IEs
  u-RNTI                          U-RNTI,
  c-RNTI                          C-RNTI OPTIONAL,
  ue-RadioAccessCapability        UE-RadioAccessCapability,
  ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos OPTIONAL,
  -- Other IEs
  ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
  -- UTRAN mobility IEs
  ura-Identity                    URA-Identity OPTIONAL,
  -- Core network IEs
  cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
  cn-DomainInformationList        CN-DomainInformationList OPTIONAL,
  -- Measurement IEs
  ongoingMeasRepList              OngoingMeasRepList OPTIONAL,
  -- Radio bearer IEs
  predefinedConfigStatusList      PredefinedConfigStatusList,
  srb-InformationList             SRB-InformationSetupList,
  rab-InformationList             RAB-InformationSetupList OPTIONAL,
  -- Transport channel IEs
  ul-CommonTransChInfo           UL-CommonTransChInfo OPTIONAL,
  ul-TransChInfoList             UL-AddReconfTransChInfoList OPTIONAL,
  modeSpecificInfo               CHOICE {
    fdd                            SEQUENCE {
      cpch-SetID                   CPCH-SetID OPTIONAL,
      transChDRAC-Info             DRAC-StaticInformationList OPTIONAL
    },
    tdd                            NULL
  },
  dl-CommonTransChInfo           DL-CommonTransChInfo OPTIONAL,
  dl-TransChInfoList            DL-AddReconfTransChInfoList OPTIONAL,
  -- Measurement report
  measurementReport              MeasurementReport OPTIONAL
}

```

```

}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
  -- Ciphering related information IEs
  cn-DomainIdentity          CN-DomainIdentity,
  cipheringStatusList        CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
  cn-DomainInformationList-v390ext  CN-DomainInformationList-v390ext  OPTIONAL,
  ue-RadioAccessCapability-v370ext  UE-RadioAccessCapability-v370ext  OPTIONAL,
  ue-RadioAccessCapability-v380ext  UE-RadioAccessCapability-v380ext  OPTIONAL,
  dl-PhysChCapabilityFDD-v380ext    DL-PhysChCapabilityFDD-v380ext,
  failureCauseWithProtErr          FailureCauseWithProtErr          OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
  cipheringInfoForSRB1-v3a0ext      CipheringInfoPerRB-List-v3a0ext,
  ue-RadioAccessCapability-v3a0ext  UE-RadioAccessCapability-v3a0ext  OPTIONAL,
  -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
  -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
  startValueForCiphering-v3a0ext    START-Value
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
  -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
  cn-DomainIdentity          CN-DomainIdentity,
  the remaining start values are contained in IE startValueForCiphering-v3b0ext
  -- the IE startValueForCiphering-v3b0ext contains the start values for each CN Domain. The
  value of start indicated by the IE startValueForCiphering-v3a0ext should be set to the
  same value as the start-Value for the corresponding cn-DomainIdentity in the IE
  startValueForCiphering-v3b0ext
  startValueForCiphering-v3b0ext    STARTList2          OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
  -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
  -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
  -- Only included if type is "UE involved"
  rb-IdentityForHOMessage        RB-Identity          OPTIONAL
}

STARTList2 ::=
  SEQUENCE (SIZE (2..maxCNdomains)) OF
  STARTSingle

CipheringInfoPerRB-List-v3a0ext ::= SEQUENCE {
  dl-UM-SN                        BIT STRING (SIZE (7))
}

CipheringStatusList ::=
  SEQUENCE (SIZE (1..maxCNdomains)) OF
  CipheringStatusCNDomain

CipheringStatusCNDomain ::=
  SEQUENCE {
  cn-DomainIdentity          CN-DomainIdentity,
  cipheringStatus            CipheringStatus
}

-- IE definitions

CalculationTimeForCiphering ::=
  SEQUENCE {
  cell-Id                    CellIdentity,
  sfn                        INTEGER (0..4095)
}

CipheringInfoPerRB ::=
  SEQUENCE {
  dl-HFN                      BIT STRING (SIZE (20..25)),
  ul-HFN                      BIT STRING (SIZE (20..25))
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=
  SEQUENCE (SIZE (1..maxRB)) OF
  CipheringInfoPerRB

CipheringStatus ::=
  ENUMERATED {
  started, notStarted }

CN-DomainInformation-v390ext ::=
  SEQUENCE {

```

```

    cn-DRX-CycleLengthCoeff          CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::= SEQUENCE (SIZE (1..maxCNDomains)) OF
CN-DomainInformation-v390ext

COUNT-C-List ::= SEQUENCE (SIZE (1..maxCNDomains)) OF
COUNT-CSingle

COUNT-CSingle ::= SEQUENCE {
    cn-DomainIdentity          CN-DomainIdentity,
    count-C                    BIT STRING (SIZE (32))
}

ImplementationSpecificParams ::= BIT STRING (SIZE (1..512))

IntegrityProtectionStatus ::= ENUMERATED {
    started, notStarted }

MeasurementCommandWithType ::= CHOICE {
    setup          MeasurementType,
    modify         NULL,
    release        NULL
}

OngoingMeasRep ::= SEQUENCE {
    measurementIdentity          MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType MeasurementCommandWithType,
    measurementReportingMode    MeasurementReportingMode          OPTIONAL,
    additionalMeasurementID-List AdditionalMeasurementID-List    OPTIONAL
}

OngoingMeasRepList ::= SEQUENCE (SIZE (1..maxNoOfMeas)) OF
OngoingMeasRep

SRB-SpecificIntegrityProtInfo ::= SEQUENCE {
    ul-RRC-HFN          BIT STRING (SIZE (28)),
    dl-RRC-HFN          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
SRB-SpecificIntegrityProtInfo

StateOfRRC ::= ENUMERATED {
    cell-DCH, cell-FACH,
    cell-PCH, ura-PCH }

StateOfRRC-Procedure ::= ENUMERATED {
    awaitNoRRC-Message,
    awaitRB-ReleaseComplete,
    awaitRB-SetupComplete,
    awaitRB-ReconfigurationComplete,
    awaitTransportCH-ReconfigurationComplete,
    awaitPhysicalCH-ReconfigurationComplete,
    awaitActiveSetUpdateComplete,
    awaitHandoverComplete,
    sendCellUpdateConfirm,
    sendUraUpdateConfirm,
    -- dummy is not used in this version of specification
    -- It should not be sent
    dummy,
    otherStates
}

UE-Positioning-LastKnownPos ::= SEQUENCE {
    sfn          INTEGER (0..4095),
    cell-id      CellIdentity,
    positionEstimate PositionEstimate
}

END

```

3GPP TSG-RAN WG2 Meeting #32
Xian, China, 23 – 27 September 2002

R2-022681

CR-Form-v7
CHANGE REQUEST
⌘ 25.331 CR 1698 ⌘ rev - ⌘ Current version: 4.7.0 ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ ASN.1 of the SRNS relocation Info		
Source:	⌘ Nortel Networks		
Work item code:	⌘ TEI	Date:	⌘ September 2002
Category:	⌘ A	Release:	⌘ Rel-4
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	⌘ #1 In the IE "SRNS Relocation info" (subsection 14.12.4.2) in the list "Signalling radio bearer specific integrity protection information" there are no IE "RB Id" giving the RB identity of the radio bearer for which this information is valid. This is the case in both the Tabular and the ASN.1. #2 In the ASN.1 of the IE "SRNS Relocation info", the following sentence applicable to the START List : 'the remaining start values are contained in IE startValueForCipherring-v3b0ext' is misleading. It is not clear if the START given in the extension startValueForCipherring-v3a0ext has to be duplicated.
Summary of change:	⌘ #1 As it has been done for the IE "Cipherring info per radio bearer" in RAN2#31, it is specified that the order of occurrence of the IE "Signalling radio bearer specific integrity protection information" in the "SRNS Relocation Info" IE is the same as in the IE "Signalling RB information list". #2 It is specified that the IE startValueForCipherring-v3b0ext contains the strat values for each CN Domain (including the one already given by startValueForCipherring-v3a0ext) and that the START values given twice in extension 3a0 and in extension 3b0 should be the same.

Consequences if not approved:	⌘ #1 Potential different encoding of the occurrence of the IE “Signalling radio bearer specific integrity protection information” in the “SRNS Relocation Info” IE between a SRNC and a TRNC belonging to different vendor leading to intergrity failure after SRNS relocation. #2 Potential different encoding of START list in the “SRNS Relocation Info” IE between a SRNC and a TRNC belonging to different vendor leading to ciphering failure on TM RB after SRNS relocation. This CR only impact the UTRAN If the CR is not implemented in the UTRAN: Potential security failure after a inter-vendor SRNS relocation, in case they have had different interpreation of the ASN.1
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Clauses affected:	⌘ 11.5												
Other specs affected:	<table border="1"> <tr> <td style="text-align: center;">Y</td> <td style="text-align: center;">N</td> <td></td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Other core specifications</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Test specifications</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>O&M Specifications</td> </tr> </table> ⌘	Y	N		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Test specifications	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	O&M Specifications
Y	N												
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications											
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Test specifications											
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	O&M Specifications											
Other comments:	⌘												

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=
```

```
BEGIN
```

```
IMPORTS
```

```
    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo-r3-IEs,
    TransportChannelReconfiguration
```

```
FROM PDU-definitions
```

```
-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DomainInformationListFull,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    AccessStratumReleaseIndicator,
    C-RNTI,
    ChipRateCapability,
    DL-PhysChCapabilityFDD-v380ext,
    DL-PhysChCapabilityTDD,
    DL-PhysChCapabilityTDD-LCR-r4,
    GSM-Measurements,
    FailureCauseWithProtErr,
    MaxHcContextSpace,
    MaxNoPhysChBitsReceived,
    MaxROHC-ContextSessions-r4,
    NetworkAssistedGPS-Supported,
    RadioFrequencyBandTDDList,
    RLC-Capability,
    RRC-MessageSequenceNumber,
    SecurityCapability,
    SimultaneousSCCPCH-DPCH-Reception,
    STARTList,
    STARTSingle,
    START-Value,
    SupportOfDedicatedPilotsForChEstimation,
    TransportChannelCapability,
    TxRxFrequencySeparation,
    U-RNTI,
    UE-MultiModeRAT-Capability,
    UE-PowerClass-v370,
    UE-RadioAccessCapabBandFDDList,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v4xyext,
    UL-PhysChCapabilityFDD,
    UL-PhysChCapabilityTDD,
    UL-PhysChCapabilityTDD-LCR-r4,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RAB-InformationSetupList-r4,
    RAB-Identity,
    RB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
```

```

DL-CommonTransChInfo-r4,
DL-AddReconfTransChInfoList,
DL-AddReconfTransChInfoList-r4,
DRAC-StaticInformationList,
UL-CommonTransChInfo,
UL-CommonTransChInfo-r4,
UL-AddReconfTransChInfoList,
-- Measurement IEs :
  MeasurementIdentity,
  MeasurementReportingMode,
  MeasurementType,
  MeasurementType-r4,
  AdditionalMeasurementID-List,
  PositionEstimate,
  UE-Positioning-IPDL-Parameters-TDD-r4-ext,
-- Other IEs :
  InterRAT-UE-RadioAccessCapabilityList

FROM InformationElements

  maxCNDomains,
  maxNoOfMeas,

  maxRB,
  maxSRBsetup
FROM Constant-definitions
;

-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is transferred in the same direction and across the same path is grouped
-- *****
--
-- RRC information, to target RNC
--
-- *****
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
  interRATHandoverInfo          InterRATHandoverInfoWithInterRATCapabilities-r3,
  srncRelocation                SRNC-RelocationInfo-r3,
  extension                     NULL
}

-- *****
--
-- RRC information, target RNC to source RNC
--
-- *****

Target-RNC-ToSourceRNC-Container ::= CHOICE {
  radioBearerSetup              RadioBearerSetup,
  radioBearerReconfiguration    RadioBearerReconfiguration,
  radioBearerRelease            RadioBearerRelease,
  transportChannelReconfiguration TransportChannelReconfiguration,
  physicalChannelReconfiguration PhysicalChannelReconfiguration,
  rrc-FailureInfo              RRC-FailureInfo-r3-IEs,
  -- IE dl-DCCHmessage consists of an octet string that includes
  -- the IE DL-DCCH-Message
  dl-DCCHmessage                OCTET STRING,
  extension                     NULL
}

-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order

-- *****
--
-- Handover to UTRAN information
--
-- *****

InterRATHandoverInfoWithInterRATCapabilities-r3 ::= CHOICE {
  r3                             SEQUENCE {
    -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
    -- includes non critical extensions

```



```

        interRATHandoverInfo-r3          InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
        v390NonCriticalExtensions        SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
        }
        InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
        -- Reserved for future non critical extension
        nonCriticalExtensions            SEQUENCE {} OPTIONAL
    },
    criticalExtensions                  SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs ::= SEQUENCE {
    -- The order of the IEs may not reflect the tabular format
    -- but has been chosen to simplify the handling of the information in the BSC
    -- Other IEs
    ue-RATSpecificCapability            InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
    -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
    -- actual information. This makes it possible for BSS to transparently handle information
    -- received via GSM air interface even when it includes non critical extensions.
    -- The octet string shall include the InterRATHandoverInfo information
    -- The BSS can re-use the 04.18 length field received from the MS
    interRATHandoverInfo                OCTET STRING (SIZE (0..255))
}

InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
    failureCauseWithProtErr             FailureCauseWithProtErr                OPTIONAL
}

-- *****
--
-- SRNC Relocation information
--
-- *****

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                                    SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
        v380NonCriticalExtensions        SEQUENCE {
            sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
            -- Reserved for future non critical extension
            v390NonCriticalExtensions    SEQUENCE {
                sRNC-RelocationInfo-v390ext SRNC-RelocationInfo-v390ext-IEs,
                v3a0NonCriticalExtensions  SEQUENCE {
                    sRNC-RelocationInfo-v3a0ext SRNC-RelocationInfo-v3a0ext-IEs,
                    v3b0NonCriticalExtensions SEQUENCE {
                        sRNC-RelocationInfo-v3b0ext SRNC-RelocationInfo-v3b0ext-IEs,
                        v3c0NonCriticalExtensions SEQUENCE {
                            sRNC-RelocationInfo-v3c0ext SRNC-RelocationInfo-v3c0ext-IEs,
                            v4xyNonCriticalExtensions SEQUENCE {
                                sRNC-RelocationInfo-v4xyext SRNC-RelocationInfo-v4xyext-
        IEs,
                                -- Reserved for future non critical extension
                                nonCriticalExtensions SEQUENCE {} OPTIONAL
                            }
                        }
                    }
                }
            }
        }
    }
}
    OPTIONAL
}
    OPTIONAL
}
    OPTIONAL
},
later-than-r3
    CHOICE {
        r4
        SEQUENCE {
            sRNC-RelocationInfo-r4          SRNC-RelocationInfo-r4-IEs,
            nonCriticalExtensions          SEQUENCE {} OPTIONAL
        },
        criticalExtensions                  SEQUENCE {}
    }
}

SRNC-RelocationInfo-r3-IEs ::= SEQUENCE {
    -- Non-RRC IEs
    stateOfRRC                            StateOfRRC,
    stateOfRRC-Procedure                   StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status per CN domain
    cipheringStatus                        CipheringStatus,
    calculationTimeForCiphering            CalculationTimeForCiphering                OPTIONAL,
}

```

```

-- The order of occurrence in the IE cipheringInfoPerRB-List is the
-- same as the RBs in the IE "Signalling RB information list" and in the
-- IE "RAB information list". The signalling RBs are supposed to be listed
-- first. Only UM and AM RBs that are ciphered are listed here
cipheringInfoPerRB-List      CipheringInfoPerRB-List      OPTIONAL,
count-C-List                 COUNT-C-List                 OPTIONAL,
integrityProtectionStatus    IntegrityProtectionStatus,
-- The order of occurrence in the IE srb-SpecificIntegrityProtInfo is the
-- same as the SRBs in the IE "Signalling RB information list"
srb-SpecificIntegrityProtInfo SRB-SpecificIntegrityProtInfoList,
implementationSpecificParams ImplementationSpecificParams  OPTIONAL,
-- User equipment IEs
u-RNTI                       U-RNTI,
c-RNTI                       C-RNTI                 OPTIONAL,
ue-RadioAccessCapability     UE-RadioAccessCapability,
ue-Positioning-LastKnownPos  UE-Positioning-LastKnownPos  OPTIONAL,
-- Other IEs
ue-RATSpecificCapability     InterRAT-UE-RadioAccessCapabilityList  OPTIONAL,
-- UTRAN mobility IEs
ura-Identity                 URA-Identity           OPTIONAL,
-- Core network IEs
cn-CommonGSM-MAP-NAS-SysInfo NAS-SystemInformationGSM-MAP,
cn-DomainInformationList     CN-DomainInformationList  OPTIONAL,
-- Measurement IEs
ongoingMeasRepList           OngoingMeasRepList      OPTIONAL,
-- Radio bearer IEs
predefinedConfigStatusList   PredefinedConfigStatusList,
srb-InformationList          SRB-InformationSetupList,
rab-InformationList          RAB-InformationSetupList  OPTIONAL,
-- Transport channel IEs
ul-CommonTransChInfo         UL-CommonTransChInfo     OPTIONAL,
ul-TransChInfoList           UL-AddReconfTransChInfoList  OPTIONAL,
modeSpecificInfo             CHOICE {
    fdd                       SEQUENCE {
        cpch-SetID            CPCH-SetID              OPTIONAL,
        transChDRAC-Info      DRAC-StaticInformationList  OPTIONAL
    },
    tdd                       NULL
},
dl-CommonTransChInfo         DL-CommonTransChInfo     OPTIONAL,
dl-TransChInfoList           DL-AddReconfTransChInfoList  OPTIONAL,
-- Measurement report
measurementReport             MeasurementReport         OPTIONAL,
nonCriticalExtensions         SEQUENCE {
    -- In case of TDD only up-Ipdl-Parameters-TDD is present, otherwise
    -- this IE is absent
    up-Ipdl-Parameters-TDD    UE-Positioning-IPDL-Parameters-TDD-r4-ext  OPTIONAL,
    -- Extension mechanism for non- release4 information
    nonCriticalExtensions     SEQUENCE {}              OPTIONAL
}
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
-- Ciphering related information IEs
cn-DomainIdentity            CN-DomainIdentity,
cipheringStatusList          CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
cn-DomainInformationList-v390ext  CN-DomainInformationList-v390ext  OPTIONAL,
ue-RadioAccessCapability-v370ext  UE-RadioAccessCapability-v370ext  OPTIONAL,
ue-RadioAccessCapability-v380ext  UE-RadioAccessCapability-v380ext  OPTIONAL,
dl-PhysChCapabilityFDD-v380ext    DL-PhysChCapabilityFDD-v380ext,
failureCauseWithProtErr         FailureCauseWithProtErr          OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
-- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
-- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
startValueForCIpbering-v3a0ext    START-Value,
cipheringInfoForSRB1-v3a0ext      CipheringInfoForSRB1-v3a0ext,
ue-RadioAccessCapability-v3a0ext  UE-RadioAccessCapability-v3a0ext  OPTIONAL
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
-- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
cn-DomainIdentity                CN-DomainIdentity,
-- the remaining start values are contained in IE startValueForCiphering-v3b0ext

```

```

-- the IE startValueForCiphering-v3b0ext contains the start values for each CN Domain. The
-- value of start indicated by the IE startValueForCiphering-v3a0ext should be set to the
-- same value as the start-Value for the corresponding cn-DomainIdentity in the IE
-- startValueForCiphering-v3b0ext
startValueForCiphering-v3b0ext      STARTList2      OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
-- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
-- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
-- Only included if type is "UE involved"
rb-IdentityForHOMessage      RB-Identity      OPTIONAL
}

STARTList2 ::=
SEQUENCE (SIZE (2..maxCNdomains)) OF
STARTSingle

SRNC-RelocationInfo-v4xyext-IEs ::= SEQUENCE {
ue-RadioAccessCapability-v4xyext  UE-RadioAccessCapability-v4xyext
}

CipheringInfoForSRB1-v3a0ext ::= SEQUENCE {
dl-UM-SN      BIT STRING (SIZE (7))
}

CipheringStatusList ::=
SEQUENCE (SIZE (1..maxCNdomains)) OF
CipheringStatusCNdomain

CipheringStatusCNdomain ::=
SEQUENCE {
cn-DomainIdentity      CN-DomainIdentity,
cipheringStatus      CipheringStatus
}

SRNC-RelocationInfo-r4-IEs ::=
SEQUENCE {
-- Non-RRC IEs
-- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
-- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
-- Only included if type is "UE involved"
rb-IdentityForHOMessage      RB-Identity      OPTIONAL,
stateOfRRC      StateOfRRC,
stateOfRRC-Procedure      StateOfRRC-Procedure,
-- Ciphering related information IEs
cipheringStatusList      CipheringStatusList-r4,
latestConfiguredCN-Domain      CN-DomainIdentity,
calculationTimeForCiphering      CalculationTimeForCiphering      OPTIONAL,
count-C-List      COUNT-C-List      OPTIONAL,
cipheringInfoPerRB-List      CipheringInfoPerRB-List-r4      OPTIONAL,
-- Integrity protection related information IEs
integrityProtectionStatus      IntegrityProtectionStatus,
srb-SpecificIntegrityProtInfo      SRB-SpecificIntegrityProtInfoList,
implementationSpecificParams      ImplementationSpecificParams      OPTIONAL,
-- User equipment IEs
u-RNTI      U-RNTI,
c-RNTI      C-RNTI      OPTIONAL,
ue-RadioAccessCapability      UE-RadioAccessCapability-r4,
ue-RadioAccessCapability-ext      UE-RadioAccessCapabBandFDDList      OPTIONAL,
ue-Positioning-LastKnownPos      UE-Positioning-LastKnownPos      OPTIONAL,
-- Other IEs
ue-RATSpecificCapability      InterRAT-UE-RadioAccessCapabilityList      OPTIONAL,
-- UTRAN mobility IEs
ura-Identity      URA-Identity      OPTIONAL,
-- Core network IEs
cn-CommonGSM-MAP-NAS-SysInfo      NAS-SystemInformationGSM-MAP,
cn-DomainInformationList      CN-DomainInformationListFull      OPTIONAL,
-- Measurement IEs
ongoingMeasRepList      OngoingMeasRepList-r4      OPTIONAL,
-- Radio bearer IEs
predefinedConfigStatusList      PredefinedConfigStatusList,
srb-InformationList      SRB-InformationSetupList,
rab-InformationList      RAB-InformationSetupList-r4      OPTIONAL,
-- Transport channel IEs
ul-CommonTransChInfo      UL-CommonTransChInfo-r4      OPTIONAL,
ul-TransChInfoList      UL-AddReconfTransChInfoList      OPTIONAL,
modeSpecificInfo      CHOICE {
fdd      SEQUENCE {
cpch-SetID      CPCH-SetID      OPTIONAL,
transChDRAC-Info      DRAC-StaticInformationList      OPTIONAL
}
}
}

```

```

        },
        tdd
    }
    dl-CommonTransChInfo          DL-CommonTransChInfo-r4          OPTIONAL,
    dl-TransChInfoList            DL-AddReconfTransChInfoList-r4    OPTIONAL,
-- Measurement report
    measurementReport              MeasurementReport                OPTIONAL,
    failureCause                    FailureCauseWithProtErr        OPTIONAL
}

-- IE definitions

CalculationTimeForCiphering ::= SEQUENCE {
    cell-Id                        CellIdentity,
    sfn                            INTEGER (0..4095)
}

CipheringInfoPerRB ::= SEQUENCE {
    dl-HFN                        BIT STRING (SIZE (20..25)),
    ul-HFN                        BIT STRING (SIZE (20..25))
}

CipheringInfoPerRB-r4 ::= SEQUENCE {
    rb-Identity                    RB-Identity,
    dl-HFN                        BIT STRING (SIZE (20..25)),
    dl-UM-SN                      BIT STRING (SIZE (7))          OPTIONAL,
    ul-HFN                        BIT STRING (SIZE (20..25))
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::= SEQUENCE (SIZE (1..maxRB)) OF
    CipheringInfoPerRB

CipheringInfoPerRB-List-r4 ::= SEQUENCE (SIZE (1..maxRB)) OF
    CipheringInfoPerRB-r4

CipheringStatus ::= ENUMERATED {
    started, notStarted
}

CipheringStatusList-r4 ::= SEQUENCE (SIZE (1..maxCNdomains)) OF
    CipheringStatusCNdomain-r4

CipheringStatusCNdomain-r4 ::= SEQUENCE {
    cn-DomainIdentity              CN-DomainIdentity,
    cipheringStatus                CipheringStatus,
    start-Value                    START-Value
}

CN-DomainInformation-v390ext ::= SEQUENCE {
    cn-DRX-CycleLengthCoeff        CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::= SEQUENCE (SIZE (1..maxCNdomains)) OF
    CN-DomainInformation-v390ext

CompressedModeMeasCapability-r4 ::= SEQUENCE {
    fdd-Measurements                BOOLEAN,
    -- TABULAR: The IEs tdd-Measurements, gsm-Measurements and multiCarrierMeasurements
    -- are made optional since they are conditional based on another information element.
    -- Their absence corresponds to the case where the condition is not true.
    tdd384-Measurements              BOOLEAN          OPTIONAL,
    tdd128-Measurements              BOOLEAN          OPTIONAL,
    gsm-Measurements                 GSM-Measurements  OPTIONAL,
    multiCarrierMeasurements         BOOLEAN          OPTIONAL
}

COUNT-C-List ::= SEQUENCE (SIZE (1..maxCNdomains)) OF
    COUNT-CSingle

COUNT-CSingle ::= SEQUENCE {
    cn-DomainIdentity              CN-DomainIdentity,
    count-C                        BIT STRING (SIZE (32))
}

DL-PhysChCapabilityFDD-r4 ::= SEQUENCE {
    maxNoDPCH-PDSCH-Codes          INTEGER (1..8),
    maxNoPhysChBitsReceived         MaxNoPhysChBitsReceived,

```

```

supportForSF-512                BOOLEAN,
supportOfPDSCH                  BOOLEAN,
simultaneousSCCPCH-DPCH-Reception SimultaneousSCCPCH-DPCH-Reception,
supportOfDedicatedPilotsForChEstimation SupportOfDedicatedPilotsForChEstimation OPTIONAL
}

ImplementationSpecificParams ::= BIT STRING (SIZE (1..512))

IntegrityProtectionStatus ::= ENUMERATED {
    started, notStarted }

MeasurementCapability-r4 ::= SEQUENCE {
    downlinkCompressedMode CompressedModeMeasCapability-r4,
    uplinkCompressedMode CompressedModeMeasCapability-r4
}

MeasurementCommandWithType ::= CHOICE {
    setup MeasurementType,
    modify NULL,
    release NULL
}

MeasurementCommandWithType-r4 ::= CHOICE {
    setup MeasurementType-r4,
    modify NULL,
    release NULL
}

OngoingMeasRep ::= SEQUENCE {
    measurementIdentity MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType MeasurementCommandWithType,
    measurementReportingMode MeasurementReportingMode OPTIONAL,
    additionalMeasurementID-List AdditionalMeasurementID-List OPTIONAL
}

OngoingMeasRep-r4 ::= SEQUENCE {
    measurementIdentity MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType-r4 MeasurementCommandWithType-r4,
    measurementReportingMode MeasurementReportingMode OPTIONAL,
    additionalMeasurementID-List AdditionalMeasurementID-List OPTIONAL
}

OngoingMeasRepList ::= SEQUENCE (SIZE (1..maxNoOfMeas)) OF
    OngoingMeasRep

OngoingMeasRepList-r4 ::= SEQUENCE (SIZE (1..maxNoOfMeas)) OF
    OngoingMeasRep-r4

PDCP-Capability-r4 ::= SEQUENCE {
    losslessSRNS-RelocationSupport BOOLEAN,
    supportForRfc2507 CHOICE {
        notSupported NULL,
        supported MaxHcContextSpace
    },
    supportForRfc3095 CHOICE {
        notSupported NULL,
        supported SEQUENCE {
            maxROHC-ContextSessions MaxROHC-ContextSessions-r4 DEFAULT s16,
            reverseCompressionDepth INTEGER (0..65535) DEFAULT 0
        }
    }
}

PhysicalChannelCapability-r4 ::= SEQUENCE {
    fddPhysChCapability SEQUENCE {
        downlinkPhysChCapability DL-PhysChCapabilityFDD-r4,
        uplinkPhysChCapability UL-PhysChCapabilityFDD
    } OPTIONAL,
    tdd384-PhysChCapability SEQUENCE {
        downlinkPhysChCapability DL-PhysChCapabilityTDD,
        uplinkPhysChCapability UL-PhysChCapabilityTDD
    } OPTIONAL,
    tdd128-PhysChCapability SEQUENCE {

```

```

        downlinkPhysChCapability      DL-PhysChCapabilityTDD-LCR-r4,
        uplinkPhysChCapability        UL-PhysChCapabilityTDD-LCR-r4
    }
    }
    }
RF-Capability-r4 ::= SEQUENCE {
    fddRF-Capability                SEQUENCE {
        ue-PowerClass                UE-PowerClass-v370,
        txRxFrequencySeparation      TxRxFrequencySeparation
    }
    tdd384-RF-Capability            SEQUENCE {
        ue-PowerClass                UE-PowerClass-v370,
        radioFrequencyBandTDDList    RadioFrequencyBandTDDList,
        chipRateCapability            ChipRateCapability
    }
    tdd128-RF-Capability            SEQUENCE {
        ue-PowerClass                UE-PowerClass-v370,
        radioFrequencyBandTDDList    RadioFrequencyBandTDDList,
        chipRateCapability            ChipRateCapability
    }
}
SRB-SpecificIntegrityProtInfo ::= SEQUENCE {
    ul-RRC-HFN                      BIT STRING (SIZE (28)),
    dl-RRC-HFN                      BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber           RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber           RRC-MessageSequenceNumber
}
SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
SRB-SpecificIntegrityProtInfo
StateOfRRC ::= ENUMERATED {
    cell-DCH, cell-FACH,
    cell-PCH, ura-PCH }
StateOfRRC-Procedure ::= ENUMERATED {
    awaitNoRRC-Message,
    awaitRB-ReleaseComplete,
    awaitRB-SetupComplete,
    awaitRB-ReconfigurationComplete,
    awaitTransportCH-ReconfigurationComplete,
    awaitPhysicalCH-ReconfigurationComplete,
    awaitActiveSetUpdateComplete,
    awaitHandoverComplete,
    sendCellUpdateConfirm,
    sendUraUpdateConfirm,
    -- dummy is not used in this version of specification
    -- It should not be sent
    dummy,
    otherStates
}
UE-Positioning-LastKnownPos ::= SEQUENCE {
    sfn                              INTEGER (0..4095),
    cell-id                          CellIdentity,
    positionEstimate                  PositionEstimate
}
UE-Positioning-Capability-r4 ::= SEQUENCE {
    standaloneLocMethodsSupported    BOOLEAN,
    ue-BasedOTDOA-Supported          BOOLEAN,
    networkAssistedGPS-Supported     NetworkAssistedGPS-Supported,
    supportForUE-GPS-TimingOfCellFrames BOOLEAN,
    supportForIPDL                    BOOLEAN,
    rx-tx-TimeDifferenceType2Capable  BOOLEAN,
    validity-CellPCH-UraPCH           ENUMERATED { true (0) }
}
UE-RadioAccessCapability-r4 ::= SEQUENCE {
    accessStratumReleaseIndicator     AccessStratumReleaseIndicator,
    pdcp-Capability                   PDCP-Capability-r4,
    rlc-Capability                     RLC-Capability,
    transportChannelCapability        TransportChannelCapability,
    rf-Capability                     RF-Capability-r4,
    physicalChannelCapability         PhysicalChannelCapability-r4,
    ue-MultiModeRAT-Capability        UE-MultiModeRAT-Capability,
    securityCapability                SecurityCapability,
}

```

Error! No text of specified style in document.

Error! No text of specified style in document.

```
ue-positioning-Capability
measurementCapability
}
```

```
UE-Positioning-Capability-r4,
MeasurementCapability-r4    OPTIONAL
```

END

3GPP TSG-RAN WG2 Meeting #32
Xian, China, 23 – 27 September 2002

R2-022682

CR-Form-v7	<h2 style="margin: 0;">CHANGE REQUEST</h2>
⌘ 25.331 CR 1699 ⌘ rev - ⌘ Current version: 5.2.0 ⌘	

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ ASN.1 of the SRNS relocation Info		
Source:	⌘ Nortel Networks		
Work item code:	⌘ TEI	Date:	⌘ September 2002
Category:	⌘ A	Release:	⌘ Rel-5
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	⌘ #1 In the IE "SRNS Relocation info" (subsection 14.12.4.2) in the list "Signalling radio bearer specific integrity protection information" there are no IE "RB Id" giving the RB identity of the radio bearer for which this information is valid. This is the case in both the Tabular and the ASN.1. #2 In the ASN.1 of the IE "SRNS Relocation info", the following sentence applicable to the START List : 'the remaining start values are contained in IE startValueForCipherring-v3b0ext' is misleading. It is not clear if the START given in the extension startValueForCipherring-v3a0ext has to be duplicated.
Summary of change:	⌘ #1 As it has been done for the IE "Cipherring info per radio bearer" in RAN2#31, it is specified that the order of occurrence of the IE "Signalling radio bearer specific integrity protection information" in the "SRNS Relocation Info" IE is the same as in the IE "Signalling RB information list". #2 It is specified that the IE startValueForCipherring-v3b0ext contains the strat values for each CN Domain (including the one already given by startValueForCipherring-v3a0ext) and that the START values given twice in extension 3a0 and in extension 3b0 should be the same.

Consequences if not approved:	⌘ #1 Potential different encoding of the occurrence of the IE “Signalling radio bearer specific integrity protection information” in the “SRNS Relocation Info” IE between a SRNC and a TRNC belonging to different vendor leading to intergrity failure after SRNS relocation. #2 Potential different encoding of START list in the “SRNS Relocation Info” IE between a SRNC and a TRNC belonging to different vendor leading to ciphering failure on TM RB after SRNS relocation. This CR only impact the UTRAN If the CR is not implemented in the UTRAN: Potential security failure after a inter-vendor SRNS relocation, in case they have had different interpreation of the ASN.1
--------------------------------------	--

Clauses affected:	⌘ 11.5												
Other specs affected:	<table border="1"> <tr> <td style="text-align: center;">Y</td> <td style="text-align: center;">N</td> <td></td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Other core specifications</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Test specifications</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>O&M Specifications</td> </tr> </table> ⌘	Y	N		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Test specifications	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	O&M Specifications
Y	N												
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications											
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Test specifications											
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	O&M Specifications											
Other comments:	⌘												

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=
```

```
BEGIN
```

```
IMPORTS
```

```
    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo-r3-IEs,
    TransportChannelReconfiguration
```

```
FROM PDU-definitions
```

```
-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DomainInformationListFull,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    AccessStratumReleaseIndicator,
    C-RNTI,
    ChipRateCapability,
    DL-PhysChCapabilityFDD-v380ext,
    DL-PhysChCapabilityTDD,
    DL-PhysChCapabilityTDD-LCR-r4,
    GSM-Measurements,
    FailureCauseWithProtErr,
    MaxHcContextSpace,
    MaxNoPhysChBitsReceived,
    MaxROHC-ContextSessions-r4,
    NetworkAssistedGPS-Supported,
    RadioFrequencyBandTDDList,
    RLC-Capability,
    RRC-MessageSequenceNumber,
    SecurityCapability,
    SimultaneousSCCPCH-DPCH-Reception,
    STARTList,
    STARTSingle,
    START-Value,
    SupportOfDedicatedPilotsForChEstimation,
    TransportChannelCapability,
    TxRxFrequencySeparation,
    U-RNTI,
    UE-MultiModeRAT-Capability,
    UE-PowerClass-v370,
    UE-RadioAccessCapabBandFDDList,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v4xyext,
    UL-PhysChCapabilityFDD,
    UL-PhysChCapabilityTDD,
    UL-PhysChCapabilityTDD-LCR-r4,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RAB-InformationSetupList-r4,
    RAB-Identity,
    RB-Identity,
    RB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
```

```

DL-CommonTransChInfo,
DL-CommonTransChInfo-r4,
DL-AddReconfTransChInfoList,
DL-AddReconfTransChInfoList-r4,
DRAC-StaticInformationList,
UL-CommonTransChInfo,
UL-CommonTransChInfo-r4,
UL-AddReconfTransChInfoList,
-- Measurement IEs :
MeasurementIdentity,
MeasurementReportingMode,
MeasurementType,
MeasurementType-r4,
AdditionalMeasurementID-List,
PositionEstimate,
UE-Positioning-IPDL-Parameters-TDD-r4-ext,
-- Other IEs :
InterRAT-UE-RadioAccessCapabilityList
FROM InformationElements

maxCNdomains,
maxNoOfMeas,

maxRB,
maxRBallRABs,
maxRFC3095-CID,
maxSRBsetup
FROM Constant-definitions
;

-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is grouped

-- *****
--
-- RRC information, to target RNC
--
-- *****
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRATHandoverInfo          InterRATHandoverInfoWithInterRATCapabilities-r3,
    srncRelocation                SRNC-RelocationInfo-r3,
    rfc3095-ContextInfo           RFC3095-ContextInfo-r5,
    extension                     NULL
}

-- *****
--
-- RRC information, target RNC to source RNC
--
-- *****

Target-RNC-ToSourceRNC-Container ::= CHOICE {
    radioBearerSetup              RadioBearerSetup,
    radioBearerReconfiguration    RadioBearerReconfiguration,
    radioBearerRelease            RadioBearerRelease,
    transportChannelReconfiguration TransportChannelReconfiguration,
    physicalChannelReconfiguration PhysicalChannelReconfiguration,
    rrc-FailureInfo               RRC-FailureInfo-r3-IEs,
    dL-DCCHmessage                OCTET STRING,
    extension                     NULL
}

-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order

-- *****
--
-- Handover to UTRAN information
--
-- *****

InterRATHandoverInfoWithInterRATCapabilities-r3 ::= CHOICE {
    r3                            SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also

```

```

-- includes non critical extensions
interRATHandoverInfo-r3          InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
v390NonCriticalExtensions        SEQUENCE {
    interRATHandoverInfoWithInterRATCapabilities-v390ext
InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
-- Reserved for future non critical extension
    nonCriticalExtensions        SEQUENCE {} OPTIONAL
}
},
criticalExtensions              SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs ::= SEQUENCE {
-- The order of the IEs may not reflect the tabular format
-- but has been chosen to simplify the handling of the information in the BSC
-- Other IEs
ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
-- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
-- actual information. This makes it possible for BSS to transparently handle information
-- received via GSM air interface even when it includes non critical extensions.
-- The octet string shall include the InterRATHandoverInfo information
-- The BSS can re-use the 04.18 length field received from the MS
interRATHandoverInfo            OCTET STRING (SIZE (0..255))
}

InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
-- User equipment IEs
    failureCauseWithProtErr      FailureCauseWithProtErr              OPTIONAL
}

-- *****
--
-- RFC3095 context, source RNC to target RNC
--
-- *****

RFC3095-ContextInfo-r5 ::= CHOICE {
    r5                            SEQUENCE {
        RFC3095-ContextInfoList-r5    RFC3095-ContextInfoList-r5,
        -- Reserved for future non critical extension
        nonCriticalExtensions        SEQUENCE {} OPTIONAL
    },
    criticalExtensions            SEQUENCE {}
}

RFC3095-ContextInfoList-r5 ::= SEQUENCE (SIZE (1..maxRBallRABs)) OF
    RFC3095-ContextInfo

-- *****
--
-- SRNC Relocation information
--
-- *****

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                            SEQUENCE {
        sRNC-RelocationInfo-r3        SRNC-RelocationInfo-r3-IEs,
        v380NonCriticalExtensions    SEQUENCE {
            sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
            -- Reserved for future non critical extension
            v390NonCriticalExtensions SEQUENCE {
                sRNC-RelocationInfo-v390ext SRNC-RelocationInfo-v390ext-IEs,
                v3a0NonCriticalExtensions SEQUENCE {
                    sRNC-RelocationInfo-v3a0ext SRNC-RelocationInfo-v3a0ext-IEs,
                    v3b0NonCriticalExtensions SEQUENCE {
                        sRNC-RelocationInfo-v3b0ext SRNC-RelocationInfo-v3b0ext-IEs,
                        v3c0NonCriticalExtensions SEQUENCE {
                            sRNC-RelocationInfo-v3c0ext SRNC-RelocationInfo-v3c0ext-IEs,
                            v4xyNonCriticalExtensions SEQUENCE {
                                sRNC-RelocationInfo-v4xyext SRNC-RelocationInfo-v4xyext-
IEs,
                                -- Reserved for future non critical extension
                                nonCriticalExtensions SEQUENCE {} OPTIONAL
                            }
                        } OPTIONAL
                    } OPTIONAL
                }
            } OPTIONAL
        }
    } OPTIONAL
}
} OPTIONAL

```

```

    }
  } OPTIONAL
},
later-than-r3
  r4 CHOICE {
    SRNC-RelocationInfo-r4 SEQUENCE {
      nonCriticalExtensions SRNC-RelocationInfo-r4-IEs,
    }, SEQUENCE {} OPTIONAL
    criticalExtensions SEQUENCE {}
  }
}

SRNC-RelocationInfo-r3-IEs ::= SEQUENCE {
  -- Non-RRC IEs
  stateOfRRC StateOfRRC,
  stateOfRRC-Procedure StateOfRRC-Procedure,
  -- Ciphering related information IEs
  -- If the extension v380 is included use the extension for the ciphering status per CN domain
  cipheringStatus CipheringStatus,
  calculationTimeForCiphering CalculationTimeForCiphering OPTIONAL,
  -- The order of occurrence in the IE cipheringInfoPerRB-List is the
  -- same as the RBs in the IE "Signalling RB information list" and in the
  -- IE "RAB information list". The signalling RBs are supposed to be listed
  -- first. Only UM and AM RBs that are ciphered are listed here
  cipheringInfoPerRB-List CipheringInfoPerRB-List OPTIONAL,
  count-C-List COUNT-C-List OPTIONAL,
  integrityProtectionStatus IntegrityProtectionStatus,
  -- The order of occurrence in the IE srb-SpecificIntegrityProtInfo is the
  -- same as the SRBs in the IE "Signalling RB information list"
  srb-SpecificIntegrityProtInfo SRB-SpecificIntegrityProtInfoList,
  implementationSpecificParams ImplementationSpecificParams OPTIONAL,
  -- User equipment IEs
  u-RNTI U-RNTI,
  c-RNTI C-RNTI OPTIONAL,
  ue-RadioAccessCapability UE-RadioAccessCapability,
  ue-Positioning-LastKnownPos UE-Positioning-LastKnownPos OPTIONAL,
  -- Other IEs
  ue-RATSpecificCapability InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
  -- UTRAN mobility IEs
  ura-Identity URA-Identity OPTIONAL,
  -- Core network IEs
  cn-CommonGSM-MAP-NAS-SysInfo NAS-SystemInformationGSM-MAP,
  cn-DomainInformationList CN-DomainInformationList OPTIONAL,
  -- Measurement IEs
  ongoingMeasRepList OngoingMeasRepList OPTIONAL,
  -- Radio bearer IEs
  predefinedConfigStatusList PredefinedConfigStatusList,
  srb-InformationList SRB-InformationSetupList,
  rab-InformationList RAB-InformationSetupList OPTIONAL,
  -- Transport channel IEs
  ul-CommonTransChInfo UL-CommonTransChInfo OPTIONAL,
  ul-TransChInfoList UL-AddReconfTransChInfoList OPTIONAL,
  modeSpecificInfo CHOICE {
    fdd SEQUENCE {
      cpch-SetID CPCH-SetID OPTIONAL,
      transChDRAC-Info DRAC-StaticInformationList OPTIONAL
    },
    tdd NULL
  },
  dl-CommonTransChInfo DL-CommonTransChInfo OPTIONAL,
  dl-TransChInfoList DL-AddReconfTransChInfoList OPTIONAL,
  -- Measurement report
  measurementReport MeasurementReport OPTIONAL,
  nonCriticalExtensions SEQUENCE {
    -- In case of TDD only up-Ipdl-Parameters-TDD is present, otherwise
    -- this IE is absent
    up-Ipdl-Parameters-TDD UE-Positioning-IPDL-Parameters-TDD-r4-ext OPTIONAL,
    -- Extension mechanism for non- release4 information
    nonCriticalExtensions SEQUENCE {} OPTIONAL
  }
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
  -- Ciphering related information IEs
  cn-DomainIdentity CN-DomainIdentity,
  cipheringStatusList CipheringStatusList
}

```

```

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
  cn-DomainInformationList-v390ext  CN-DomainInformationList-v390ext  OPTIONAL,
  ue-RadioAccessCapability-v370ext  UE-RadioAccessCapability-v370ext  OPTIONAL,
  ue-RadioAccessCapability-v380ext  UE-RadioAccessCapability-v380ext  OPTIONAL,
  dl-PhysChCapabilityFDD-v380ext    DL-PhysChCapabilityFDD-v380ext,
  failureCauseWithProtErr          FailureCauseWithProtErr          OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
  -- cn-domain identity for IE startValueForCipherng-v3a0ext is specified
  -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
  startValueForCipherng-v3a0ext      START-Value,
  cipherngInfoForSRB1-v3a0ext       CipherngInfoForSRB1-v3a0ext,
  ue-RadioAccessCapability-v3a0ext   UE-RadioAccessCapability-v3a0ext  OPTIONAL
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
  -- cn-domain identity for IE startValueForCipherng-v3a0ext included in previous extension
  cn-DomainIdentity                  CN-DomainIdentity,
  the remaining start values are contained in IE startValueForCipherng-v3b0ext
  the IE startValueForCipherng-v3b0ext contains the start values for each CN Domain. The
  value of start indicated by the IE startValueForCipherng-v3a0ext should be set to the
  same value as the start-Value for the corresponding cn-DomainIdentity in the IE
  startValueForCipherng-v3b0ext
  startValueForCipherng-v3b0ext      STARTList2                      OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
  -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
  -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
  -- Only included if type is "UE involved"
  rb-IdentityForHOMessage            RB-Identity                    OPTIONAL
}

STARTList2 ::=
  SEQUENCE (SIZE (2..maxCNdomains)) OF
  STARTSingle

SRNC-RelocationInfo-v4xyext-IEs ::= SEQUENCE {
  ue-RadioAccessCapability-v4xyext   UE-RadioAccessCapability-v4xyext
}

CipherngInfoForSRB1-v3a0ext ::= SEQUENCE {
  dl-UM-SN                           BIT STRING (SIZE (7))
}

CipherngStatusList ::=
  SEQUENCE (SIZE (1..maxCNdomains)) OF
  CipherngStatusCNDomain

CipherngStatusCNDomain ::=
  SEQUENCE {
    cn-DomainIdentity                CN-DomainIdentity,
    cipherngStatus                    CipherngStatus
  }

SRNC-RelocationInfo-r4-IEs ::=
  SEQUENCE {
    -- Non-RRC IEs
    -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
    -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
    -- Only included if type is "UE involved"
    rb-IdentityForHOMessage           RB-Identity                    OPTIONAL,
    stateOfRRC                        StateOfRRC,
    stateOfRRC-Procedure              StateOfRRC-Procedure,
    -- Cipherng related information IEs
    cipherngStatusList                CipherngStatusList-r4,
    latestConfiguredCN-Domain         CN-DomainIdentity,
    calculationTimeForCipherng        CalculationTimeForCipherng    OPTIONAL,
    count-C-List                       COUNT-C-List                OPTIONAL,
    cipherngInfoPerRB-List             CipherngInfoPerRB-List-r4    OPTIONAL,
    -- Integrity protection related information IEs
    integrityProtectionStatus          IntegrityProtectionStatus,
    srb-SpecificIntegrityProtInfoList SRB-SpecificIntegrityProtInfoList,
    implementationSpecificParams       ImplementationSpecificParams  OPTIONAL,
    -- User equipment IEs
    u-RNTI                             U-RNTI,
    c-RNTI                             C-RNTI                        OPTIONAL,
    ue-RadioAccessCapability           UE-RadioAccessCapability-r4,
    ue-RadioAccessCapability-ext       UE-RadioAccessCapabBandFDDList  OPTIONAL,
    ue-Positioning-LastKnownPos        UE-Positioning-LastKnownPos    OPTIONAL,
    -- Other IEs
  }

```

```

    ue-RATSpecificCapability      InterRAT-UE-RadioAccessCapabilityList  OPTIONAL,
-- UTRAN mobility IEs
    ura-Identity                  URA-Identity                            OPTIONAL,
-- Core network IEs
    cn-CommonGSM-MAP-NAS-SysInfo NAS-SystemInformationGSM-MAP,
    cn-DomainInformationList      CN-DomainInformationListFull            OPTIONAL,
-- Measurement IEs
    ongoingMeasRepList            OngoingMeasRepList-r4                  OPTIONAL,
-- Radio bearer IEs
    predefinedConfigStatusList    PredefinedConfigStatusList,
    srb-InformationList           SRB-InformationSetupList,
    rab-InformationList           RAB-InformationSetupList-r4            OPTIONAL,
-- Transport channel IEs
    ul-CommonTransChInfo         UL-CommonTransChInfo-r4                OPTIONAL,
    ul-TransChInfoList           UL-AddReconfTransChInfoList           OPTIONAL,
    modeSpecificInfo              CHOICE {
        fdd                        SEQUENCE {
            cpch-SetID              CPCH-SetID                            OPTIONAL,
            transChDRAC-Info        DRAC-StaticInformationList           OPTIONAL
        },
        tdd                        NULL
    }
    dl-CommonTransChInfo         DL-CommonTransChInfo-r4                OPTIONAL,
    dl-TransChInfoList           DL-AddReconfTransChInfoList-r4        OPTIONAL,
-- Measurement report
    measurementReport             MeasurementReport                       OPTIONAL,
    failureCause                  FailureCauseWithProtErr                 OPTIONAL
}

-- IE definitions

CalculationTimeForCipherring ::= SEQUENCE {
    cell-Id                       CellIdentity,
    sfn                           INTEGER (0..4095)
}

CipherringInfoPerRB ::= SEQUENCE {
    dl-HFN                        BIT STRING (SIZE (20..25)),
    ul-HFN                        BIT STRING (SIZE (20..25))
}

CipherringInfoPerRB-r4 ::= SEQUENCE {
    rb-Identity                   RB-Identity,
    dl-HFN                        BIT STRING (SIZE (20..25)),
    dl-UM-SN                      BIT STRING (SIZE (7))                OPTIONAL,
    ul-HFN                        BIT STRING (SIZE (20..25))
}

-- TABULAR: CipherringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipherringInfoPerRB-List ::= SEQUENCE (SIZE (1..maxRB)) OF
    CipherringInfoPerRB

CipherringInfoPerRB-List-r4 ::= SEQUENCE (SIZE (1..maxRB)) OF
    CipherringInfoPerRB-r4

CipherringStatus ::= ENUMERATED {
    started, notStarted }

CipherringStatusList-r4 ::= SEQUENCE (SIZE (1..maxCNdomains)) OF
    CipherringStatusCNdomain-r4

CipherringStatusCNdomain-r4 ::= SEQUENCE {
    cn-DomainIdentity             CN-DomainIdentity,
    cipherringStatus              CipherringStatus,
    start-Value                   START-Value
}

CN-DomainInformation-v390ext ::= SEQUENCE {
    cn-DRX-CycleLengthCoeff       CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::= SEQUENCE (SIZE (1..maxCNdomains)) OF
    CN-DomainInformation-v390ext

CompressedModeMeasCapability-r4 ::= SEQUENCE {
    fdd-Measurements              BOOLEAN,

```

```

-- TABULAR: The IEs tdd-Measurements, gsm-Measurements and multiCarrierMeasurements
-- are made optional since they are conditional based on another information element.
-- Their absence corresponds to the case where the condition is not true.
tdd384-Measurements      BOOLEAN                OPTIONAL,
tdd128-Measurements      BOOLEAN                OPTIONAL,
gsm-Measurements         GSM-Measurements       OPTIONAL,
multiCarrierMeasurements BOOLEAN                OPTIONAL
}

COUNT-C-List ::=          SEQUENCE (SIZE (1..maxCNDomains)) OF
                           COUNT-CSingle

COUNT-CSingle ::=        SEQUENCE {
  cn-DomainIdentity        CN-DomainIdentity,
  count-C                  BIT STRING (SIZE (32))
}

DL-PhysChCapabilityFDD-r4 ::= SEQUENCE {
  maxNoDPCH-PDSCH-Codes    INTEGER (1..8),
  maxNoPhysChBitsReceived  MaxNoPhysChBitsReceived,
  supportForSF-512         BOOLEAN,
  supportOfPDSCH           BOOLEAN,
  simultaneousSCCPCH-DPCH-Reception SimultaneousSCCPCH-DPCH-Reception,
  supportOfDedicatedPilotsForChEstimation SupportOfDedicatedPilotsForChEstimation OPTIONAL
}

-- The structure of DL-RFC3095-Context is FFS
DL-RFC3095-Context ::=    SEQUENCE {
  rfc3095-Context-Identity INTEGER (0..16383),
  dl-mode                  ENUMERATED {u, o, r}
}

ImplementationSpecificParams ::= BIT STRING (SIZE (1..512))

IntegrityProtectionStatus ::= ENUMERATED {
  started, notStarted }

MeasurementCapability-r4 ::= SEQUENCE {
  downlinkCompressedMode  CompressedModeMeasCapability-r4,
  uplinkCompressedMode    CompressedModeMeasCapability-r4
}

MeasurementCommandWithType ::= CHOICE {
  setup                    MeasurementType,
  modify                   NULL,
  release                  NULL
}

MeasurementCommandWithType-r4 ::= CHOICE {
  setup                    MeasurementType-r4,
  modify                   NULL,
  release                  NULL
}

OngoingMeasRep ::=        SEQUENCE {
  measurementIdentity      MeasurementIdentity,
  -- TABULAR: The CHOICE Measurement in the tabular description is included
  -- in MeasurementCommandWithType
  measurementCommandWithType MeasurementCommandWithType,
  measurementReportingMode MeasurementReportingMode OPTIONAL,
  additionalMeasurementID-List AdditionalMeasurementID-List OPTIONAL
}

OngoingMeasRep-r4 ::=     SEQUENCE {
  measurementIdentity      MeasurementIdentity,
  -- TABULAR: The CHOICE Measurement in the tabular description is included
  -- in MeasurementCommandWithType-r4.
  measurementCommandWithType MeasurementCommandWithType-r4,
  measurementReportingMode MeasurementReportingMode OPTIONAL,
  additionalMeasurementID-List AdditionalMeasurementID-List OPTIONAL
}

OngoingMeasRepList ::=    SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                           OngoingMeasRep

OngoingMeasRepList-r4 ::= SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                           OngoingMeasRep-r4

```



```

PDCP-Capability-r4 ::= SEQUENCE {
    losslessSRNS-RelocationSupport    BOOLEAN,
    supportForRfc2507                  CHOICE {
        notSupported                    NULL,
        supported                        MaxHcContextSpace
    },
    supportForRfc3095                   CHOICE {
        notSupported                    NULL,
        supported                        SEQUENCE {
            maxROHC-ContextSessions     MaxROHC-ContextSessions-r4  DEFAULT s16,
            reverseCompressionDepth     INTEGER (0..65535)          DEFAULT 0
        }
    }
}

PhysicalChannelCapability-r4 ::= SEQUENCE {
    fddPhysChCapability                SEQUENCE {
        downlinkPhysChCapability        DL-PhysChCapabilityFDD-r4,
        uplinkPhysChCapability          UL-PhysChCapabilityFDD
    } OPTIONAL,
    tdd384-PhysChCapability            SEQUENCE {
        downlinkPhysChCapability        DL-PhysChCapabilityTDD,
        uplinkPhysChCapability          UL-PhysChCapabilityTDD
    } OPTIONAL,
    tdd128-PhysChCapability            SEQUENCE {
        downlinkPhysChCapability        DL-PhysChCapabilityTDD-LCR-r4,
        uplinkPhysChCapability          UL-PhysChCapabilityTDD-LCR-r4
    } OPTIONAL
}

RF-Capability-r4 ::= SEQUENCE {
    fddRF-Capability                   SEQUENCE {
        ue-PowerClass                  UE-PowerClass-v370,
        txRxFrequencySeparation        TxRxFrequencySeparation
    } OPTIONAL,
    tdd384-RF-Capability               SEQUENCE {
        ue-PowerClass                  UE-PowerClass-v370,
        radioFrequencyBandTDDList      RadioFrequencyBandTDDList,
        chipRateCapability              ChipRateCapability
    } OPTIONAL,
    tdd128-RF-Capability               SEQUENCE {
        ue-PowerClass                  UE-PowerClass-v370,
        radioFrequencyBandTDDList      RadioFrequencyBandTDDList,
        chipRateCapability              ChipRateCapability
    } OPTIONAL
}

RFC3095-ContextInfo ::= SEQUENCE {
    rb-Identity                        RB-Identity,
    rfc3095-Context-List               RFC3095-Context-List
}

RFC3095-Context-List ::= SEQUENCE (SIZE (1..maxRFC3095-CID)) OF SEQUENCE {
    dl-RFC3095-Context                 DL-RFC3095-Context    OPTIONAL,
    ul-RFC3095-Context                 UL-RFC3095-Context    OPTIONAL
}

SRB-SpecificIntegrityProtInfo ::= SEQUENCE {
    ul-RRC-HFN                         BIT STRING (SIZE (28)),
    dl-RRC-HFN                         BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber              RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber              RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
SRB-SpecificIntegrityProtInfo

StateOfRRC ::= ENUMERATED {
    cell-DCH, cell-FACH,
    cell-PCH, ura-PCH }

StateOfRRC-Procedure ::= ENUMERATED {
    awaitNoRRC-Message,
    awaitRB-ReleaseComplete,
    awaitRB-SetupComplete,
    awaitRB-ReconfigurationComplete,
    awaitTransportCH-ReconfigurationComplete,
}

```

```

        awaitPhysicalCH-ReconfigurationComplete,
        awaitActiveSetUpdateComplete,
        awaitHandoverComplete,
        sendCellUpdateConfirm,
        sendUraUpdateConfirm,
        -- dummy is not used in this version of specification
        -- It should not be sent
        dummy,
        otherStates
    }
}

UE-Positioning-Capability-r4 ::= SEQUENCE {
    standaloneLocMethodsSupported    BOOLEAN,
    ue-BasedOTDOA-Supported          BOOLEAN,
    networkAssistedGPS-Supported     NetworkAssistedGPS-Supported,
    supportForUE-GPS-TimingOfCellFrames    BOOLEAN,
    supportForIPDL                   BOOLEAN,
    rx-tx-TimeDifferenceType2Capable    BOOLEAN,
    validity-CellPCH-UraPCH           ENUMERATED { true (0 ) }    OPTIONAL
}

UE-Positioning-LastKnownPos ::= SEQUENCE {
    sfn                               INTEGER (0..4095),
    cell-id                           CellIdentity,
    positionEstimate                   PositionEstimate
}

UE-RadioAccessCapability-r4 ::= SEQUENCE {
    accessStratumReleaseIndicator     AccessStratumReleaseIndicator,
    pdcp-Capability                   PDCP-Capability-r4,
    rlc-Capability                     RLC-Capability,
    transportChannelCapability         TransportChannelCapability,
    rf-Capability                      RF-Capability-r4,
    physicalChannelCapability          PhysicalChannelCapability-r4,
    ue-MultiModeRAT-Capability        UE-MultiModeRAT-Capability,
    securityCapability                 SecurityCapability,
    ue-positioning-Capability          UE-Positioning-Capability-r4,
    measurementCapability              MeasurementCapability-r4    OPTIONAL
}

-- The structure of UL-RFC3095-Context is FFS
UL-RFC3095-Context ::= SEQUENCE {
    rfc3095-Context-Identity          INTEGER (0..16383),
    ul-mode                           ENUMERATED {u, o, r}
}

END

```

CR-Form-v7

CHANGE REQUEST

25.331 CR 1708 # rev **1** # Current version: **3.12.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# Corrections to PRACH Selection		
Source:	# Ericsson, Motorola, Qualcomm		
Work item code:	# TEI	Date:	# 12/11/2002
Category:	# F	Release:	# R99
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)
	B (addition of feature),		R97 (Release 1997)
	C (functional modification of feature)		R98 (Release 1998)
	D (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900.		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

Reason for change:	# The PRACH selection procedure is not properly specified.
Summary of change:	<ul style="list-style-type: none"> ▪ Clarifies that all PRACH TFSs include the single block TF for each configured RLC size. ▪ Specifies that for RACH TTI length selection the Margin should be computed based on one single block TF. ▪ Clarifies that TFC selection should be performed based on the TFS of the selected PRACH. <p>Isolated impact analysis: Functionality corrected: PRACH selection</p> <p>Isolated impact statement: Correction to a function where specification was not sufficiently explicit. It is expected that most implementations would have adopted the described interpretation and would therefore not be impacted by the CR.</p>
Consequences if not approved:	# UE behavior would be unpredictable when multiple PRACHs are configured. If UEs are not randomly distributed across PRACHs, the system would suffer from excessive collisions and therefore delays in UE system access and CELL_FACH transmissions.

Clauses affected:	# 8.5.17, 8.5.18, 8.6.4.8								
Other specs affected:	<table style="display: inline-table; border-collapse: collapse;"> <tr> <td style="border: 1px solid black; padding: 2px;">Y</td> <td style="border: 1px solid black; padding: 2px;">N</td> </tr> <tr> <td style="border: 1px solid black; padding: 2px;">#</td> <td style="border: 1px solid black; padding: 2px;">N</td> </tr> <tr> <td style="border: 1px solid black; padding: 2px;">#</td> <td style="border: 1px solid black; padding: 2px;">N</td> </tr> <tr> <td style="border: 1px solid black; padding: 2px;">#</td> <td style="border: 1px solid black; padding: 2px;">N</td> </tr> </table> Other core specifications # Test specifications # O&M Specifications #	Y	N	#	N	#	N	#	N
Y	N								
#	N								
#	N								
#	N								

Other comments: ☹

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☹ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.5.17 PRACH selection

For this version of the specification, when a UE selects a cell, the uplink frequency to be used for the initial PRACH transmission shall have a default duplex frequency spacing offset from the downlink frequency that the cell was selected on. The default duplex frequency separation to be used by the UE is specified in [35] (for FDD only).

NOTE: The PRACH selection scheme assumes that all PRACHs configured in SIB 5 and SIB 6 support all (implicitly or explicitly) configurable RLC sizes of the cell, i.e. at least the transport formats corresponding to a single transport block of each applicable RLC size of the cell must be defined for each PRACH in the cell.

The UE shall select a "PRACH system information" according to the following rule. The UE shall:

1> select a ~~default~~ "PRACH system information" from the ones indicated in the IE "PRACH system information list" in System Information Block type 5 (applicable in Idle Mode and Connected Mode) or System Information Block type 6 (applicable in Connected Mode only), as follows:

2> if in connected mode and System Information Block type 6 is defined and includes PRACH info:

3> compile a list of candidate PRACHs that consists of the PRACH system information(~~s~~) listed in System Information Block type 6, in the order of appearance as in System Information Block type 6.

2>otherwise:

3> compile a list of candidate PRACHs that consists of the PRACH system information(~~s~~) listed in System Information Block type 5, in the order of appearance as in System Information Block type 5.

~~2> if both RACH with 10 ms and 20 ms TTI are included in the list of candidate PRACH(s):~~

~~23> select the appropriate TTI based on power requirements, perform RACH TTI selection as specified in subclause 8.5.18;~~

~~23> remove PRACHs system information(s) from the list of candidate PRACHs those PRACHs that have a TTI length different from the selected value.~~

2> select a PRACH randomly from the list of candidate PRACH(~~s~~) as follows:

$$\text{"Index of selected PRACH"} = \text{floor}(\text{rand} * K)$$

where K is equal to the number of candidate PRACH system informations, "rand" is a random number uniformly distributed in the range $0 \leq \text{rand} < 1$ and "floor" refers to rounding down to nearest integer.. The candidate PRACH system informations shall be indexed from 0 to K-1. The random number generator is left to implementation. The scheme shall be implemented such that one of the available PRACH system informations is randomly selected with uniform probability. At start-up of the random number generator in the UE the seed shall be dependent on the IMSI of the UE or time, thereby avoiding that all UEs select the same RACH;

2> use the TFCS of the selected PRACH when performing TFC selection (see [15]);

2> reselect the ~~default~~ PRACH system information when a new cell is selected. RACH reselection may also be performed after each transmission of a Transport Block Set on RACH.

1> for emergency call, the UE is allowed to select any of the available PRACH system informations.

After selecting a PRACH system information, the RRC in the UE shall configure the MAC and the physical layer for the RACH access according to the parameters included in the selected "PRACH system information" IE.

8.5.18 Selection of RACH TTI

In FDD mode, a RACH may employ either 10 or 20 ms TTI. The supported TTI is indicated as a semi-static parameter of the RACH Transport Format in system information. ~~If in one cell RACHs for both 10 and 20 ms TTI are supported,~~ ~~†~~The UE shall select an appropriate TTI length from the RACHs included in the list of candidate PRACH(s) according to the following rule:‡

The UE shall first check whether a RACH Transport Format is available which is suitable for the transmission of the current transport Block Set for both 10 and 20 ms TTI. The UE shall:

- 1> if only RACHs with one particular TTI length are included in the list of candidate PRACH(s) the required transport format is available only for one particular TTI:
 - 2> select this TTI length and proceed as specified in subclause 8.5.17;
 - 2> ~~identify the corresponding RACHs;~~
 - 2> ~~proceed with RACH selection as specified in subclause 8.5.17.~~
- 1> if both PRACHs with 10ms and 20ms TTI lengths are included in the list of candidate PRACH(s) the required transport format is available on both types of RACH, 10 and 20 ms TTI:
 - 2> perform TTI selection as follows:
 - 3> when the UE calculates the initial preamble transmit power ("Preamble_Initial_Power") as specified in subclause 8.5.7:
 - 4> select a TF to be employed for calculation of a transmit power margin as follows:
 - 5> from the TFs supported by all candidate PRACHs keep those which correspond to a single transport block of all configured RLC sizes (i.e., in idle mode, the RLC size applicable for RBO, in connected mode, the RLC sizes configured with explicit "RB mapping info"). If more than a single TF remain applicable, the UE may select any of these. Preferably the UE should select the TF, which is intended to be used at the next transmission, or, if such information is not available, the TF corresponding to the largest configured RLC size.
 - 4> calculate a transmit power margin,

$$\text{Margin} = \{ \min(\text{Maximum allowed UL tx power, P_MAX}) - \max(\text{Preamble_Initial_Power, Preamble_Initial_Power} + \Delta P_{p-m} + 10 \cdot \log_{10}(1 + (\beta_d / \beta_c)^2)) \}$$

where "Maximum allowed UL tx power" is the maximum allowed uplink transmit power indicated in system information (in dBm), and P_MAX is the maximum RF output power of the UE (dBm). The margin shall be calculated for ~~40 ms TTI RACH message~~ the gain factors β_d and β_c ~~of the TF selected in the step above, using 10ms TTI length.~~

NOTE: the expression $\text{Preamble_Initial_Power} + \Delta P_{p-m} + 10 \cdot \log_{10}(1 + (\beta_d / \beta_c)^2)$ represents the total RACH message power if the message would be sent after the initial preamble.

- 3> if the ~~value of resulting "Margin" value calculated for RACH with 10 ms TTI~~ is less than 6 dB:
 - 4> select RACH with 20 ms TTI, and proceed as specified in subclause 8.5.17;
- 3> ~~otherwise, if the last L1 message transmission on PRACH failed (see [15]):~~
 - 4> the UE may select RACH with 20ms TTI length and proceed as specified in subclause 8.5.17;
- 3> ~~otherwise:~~
 - 4> select RACH with 10ms TTI length and proceed as specified in subclause 8.5.17.
- 3> ~~perform reselection of the RACH TTI only after successful transmission of one Transport Block Set. However in case L1 message transmission on PRACH has failed at least once while using 10 ms TTI, the UE may use the 20 ms TTI RACH for the retransmission. Handling of RACH Message transmission failure is part of general error handling procedure.~~

CR-Form-v7

CHANGE REQUEST

25.331 CR 1709 # rev **1** # Current version: **4.7.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# Corrections to PRACH Selection		
Source:	# Qualcomm		
Work item code:	# TEI	Date:	# 12/11/2002
Category:	# A	Release:	# Rel-4
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)	2	(GSM Phase 2)
	A (corresponds to a correction in an earlier release)	R96	(Release 1996)
	B (addition of feature),	R97	(Release 1997)
	C (functional modification of feature)	R98	(Release 1998)
	D (editorial modification)	R99	(Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Rel-4	(Release 4)
		Rel-5	(Release 5)
		Rel-6	(Release 6)

Reason for change:	# The PRACH selection procedure is not properly specified.
Summary of change:	# <ul style="list-style-type: none"> ▪ Clarifies that all PRACH TFSs include the single block TF for each configured RLC size. ▪ Specifies that for RACH TTI length selection the Margin should be computed based on one single block TF. ▪ Clarifies that TFC selection should be performed based on the TFS of the selected PRACH.
Consequences if not approved:	# UE behavior would be unpredictable when multiple PRACHs are configured. If UEs are not randomly distributed across PRACHs, the system would suffer from excessive collisions and therefore delays in UE system access and CELL_FACH transmissions

Clauses affected:	# 8.5.17, 8.5.18, 8.6.4.8										
Other specs affected:	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">N</td> </tr> </table>	Y	N	#	N	#	N	#	N	Other core specifications	#
Y	N										
#	N										
#	N										
#	N										
		Test specifications	#								
		O&M Specifications	#								
Other comments:	#										

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.5.17 PRACH selection

For this version of the specification, when a UE selects a cell, the uplink frequency to be used for the initial PRACH transmission shall have a default duplex frequency spacing offset from the downlink frequency that the cell was selected on. The default duplex frequency separation to be used by the UE is specified in [35] (for FDD only).

NOTE: The PRACH selection scheme assumes that all PRACHs configured in SIB 5 and SIB 6 support all (implicitly or explicitly) configurable RLC sizes of the cell, i.e. at least the transport formats corresponding to a single transport block of each applicable RLC size of the cell must be defined for each PRACH in the cell.

The UE shall select a "PRACH system information" according to the following rule. The UE shall:

- 1> select a ~~default~~ "PRACH system information" from the ones indicated in the IE "PRACH system information list" in System Information Block type 5 (applicable in Idle Mode and Connected Mode) or System Information Block type 6 (applicable in Connected Mode only), as follows:
 - 2> if in connected mode and System Information Block type 6 is defined and includes PRACH info:
 - 3> compile a list of candidate PRACHs that consists of the PRACH system information(s) listed in SIB 6, in the order of appearance as in SIB 6.
 - 2> otherwise:
 - 3> compile a list of candidate PRACHs that consists of the PRACH system information(s) listed in SIB 5, in the order of appearance as in SIB 5.
- 2> in FDD:
 - 3> ~~if both RACH with 10 ms and 20 ms TTI are included in the list of candidate PRACH(s):~~
 - 34> ~~select the appropriate TTI based on power requirements, perform RACH TTI selection~~ as specified in subclause 8.5.18.1;
 - 4> ~~remove PRACHs system information(s) from the list of candidate PRACHs that have a TTI different from the selected value.~~
- 2> in 1.28 Mcps TDD:
 - 3> ~~if RACH with 5 ms, 10 ms and 20 ms TTI are included in the list of candidate PRACH(s):~~
 - 34> ~~select the perform RACH TTI selection~~ according to subclause 8.5.18.2;
 - 24> ~~remove PRACHs system information(s) from the list of candidate PRACHs~~ those PRACHs that have a TTI length different from the selected value.
- 2> select a PRACH randomly from the list of candidate PRACH(s) as follows:

$$\text{"Index of selected PRACH"} = \text{floor}(\text{rand} * K)$$

where K is equal to the number of candidate PRACH system informations, "rand" is a random number uniformly distributed in the range 0,...,1, and "floor" refers to rounding down to nearest integer. The candidate PRACH system informations shall be indexed from 0 to K-1. The random number generator is left to implementation. The scheme shall be implemented such that one of the available PRACH system informations is randomly selected with uniform probability. At start-up of the random number generator in the UE the seed shall be dependent on the IMSI of the UE or time, thereby avoiding that all UEs select the same RACH;

 - 2> use the TFCS of the selected PRACH when performing TFC selection (see [15]);
 - 2> reselect the ~~default~~ PRACH system information when a new cell is selected. RACH reselection may also be performed after each transmission of a Transport Block Set on RACH.
- 1> for emergency call, the UE is allowed to select any of the available PRACH system informations.

After selecting a PRACH system information, the RRC in the UE shall configure the MAC and the physical layer for the RACH access according to the parameters included in the selected "PRACH system information" IE.

8.5.18 Selection of RACH TTI

8.5.18.1 FDD

In FDD mode, a RACH may employ either 10 or 20 ms TTI. The supported TTI is indicated as a semi-static parameter of the RACH Transport Format in system information. ~~If in one cell RACHs for both 10 and 20 ms TTI are supported, the UE shall select an appropriate TTI length from the RACHs included in the list of candidate PRACH(s) according to the following rule:~~

~~The UE shall first check whether a RACH Transport Format is available which is suitable for the transmission of the current transport Block Set for both 10 and 20 ms TTI. The UE shall:~~

~~1> if only RACHs with one particular TTI length are included in the list of candidate PRACH(s) the required transport format is available only for one particular TTI:~~

~~2> select this TTI length and proceed as specified in subclause 8.5.17;~~

~~2> identify the corresponding RACHs;~~

~~2> proceed with RACH selection as specified in subclause 8.5.17.~~

~~1> if both PRACHs with 10ms and 20ms TTI lengths are included in the list of candidate PRACH(s) the required transport format is available on both types of RACH, 10 and 20 ms TTI:~~

~~2> perform TTI selection as follows:~~

~~3> when the UE calculates the initial preamble transmit power ("Preamble_Initial_Power") as specified in subclause 8.5.7:~~

~~4> select a TF to be employed for calculation of a transmit power margin as follows:~~

~~5> from the TFs supported by all candidate PRACHs keep those which correspond to a single transport block of all configured RLC sizes (i.e., in idle mode, the RLC size applicable for RBO, in connected mode, the RLC sizes configured with explicit "RB mapping info"). If more than a single TF remain applicable, the UE may select any of these. Preferably the UE should select the TF, which is intended to be used at the next transmission, or, if such information is not available, the TF corresponding to the largest configured RLC size.~~

~~4> calculate a transmit power margin,~~

$$\text{Margin} = \{ \min(\text{Maximum allowed UL tx power, } P_{\text{MAX}}) - \max(\text{Preamble_Initial_Power, Preamble_Initial_Power} + \Delta P_{\text{p-m}} + 10 \cdot \log_{10}(1 + (\beta_{\text{d}}/\beta_{\text{c}})^2)) \}$$

~~where "Maximum allowed UL tx power" is the maximum allowed uplink transmit power indicated in system information (in dBm), and P_{MAX} is the maximum RF output power of the UE (dBm). The margin shall be calculated for 40 ms TTI RACH message the gain factors β_{d} and β_{c} of the TF selected in the step above, using 10ms TTI length.~~

NOTE: the expression $\text{Preamble_Initial_Power} + \Delta P_{\text{p-m}} + 10 \cdot \log_{10}(1 + (\beta_{\text{d}}/\beta_{\text{c}})^2)$ represents the total RACH message power if the message would be sent after the initial preamble.

~~3> if the value of resulting "Margin" value calculated for RACH with 10 ms TTI is less than 6 dB:~~

~~4> select RACH with 20 ms TTI, and proceed as specified in subclause 8.5.17;~~

~~3> otherwise, if the last L1 message transmission on PRACH failed (see [15]):~~

~~4> the UE may select RACH with 20ms TTI length and proceed as specified in subclause 8.5.17;~~

~~3> otherwise:~~

~~4> select RACH with 10ms TTI length and proceed as specified in subclause 8.5.17.~~

- 3> ~~perform reselection of the RACH TTI only after successful transmission of one Transport Block Set. However in case L1 message transmission on PRACH has failed at least once while using 10 ms TTI, the UE may use the 20 ms TTI RACH for the retransmission. Handling of RACH Message transmission failure is part of general error handling procedure.~~

8.5.18.2 1.28 Mcps TDD

In 1.28 Mcps TDD, a RACH may be assigned a 5, 10 or 20 ms TTI. If, in one cell, more than one RACH is defined a UE shall select the RACH that is to be used for each transmission according to the following rule:

- 1> if only ~~one~~ RACHs with one particular TTI length are ~~is~~ assigned a transport format that is suitable for the transmission of the transport block set:
 - 2> select this ~~RACH and the~~ RACH's TTI length.
- 1> if more than one RACHs ~~is~~ are assigned a transport format that is suitable for the transmission of the transport block set:
 - 2> select ~~that which has the largest~~ longest of the TTI lengths of these RACHs.

CR-Form-v7

CHANGE REQUEST

25.331 CR 1710 # rev **1** # Current version: **5.2.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# Corrections to PRACH Selection		
Source:	# Qualcomm		
Work item code:	# TEI	Date:	# 12/11/2002
Category:	# A	Release:	# Rel-5
	<i>Use one of the following categories:</i> F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		<i>Use one of the following releases:</i> 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	# The PRACH selection procedure is not properly specified.
Summary of change:	<ul style="list-style-type: none"> ▪ Clarifies that all PRACH TFSs include the single block TF for each configured RLC size. ▪ Specifies that for RACH TTI length selection the Margin should be computed based on one single block TF. ▪ Clarifies that TFC selection should be performed based on the TFS of the selected PRACH.
Consequences if not approved:	# UE behavior would be unpredictable when multiple PRACHs are configured. If UEs are not randomly distributed across PRACHs, the system would suffer from excessive collisions and therefore delays in UE system access and CELL_FACH transmissions

Clauses affected:	# 8.5.17, 8.5.18, 8.6.4.8										
Other specs affected:	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">#</td> <td style="text-align: center;">N</td> </tr> </table> Other core specifications # Test specifications # O&M Specifications #	Y	N	#	N	#	N	#	N		
Y	N										
#	N										
#	N										
#	N										
Other comments:	#										

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.5.17 PRACH selection

For this version of the specification, when a UE selects a cell, the uplink frequency to be used for the initial PRACH transmission shall have a default duplex frequency spacing offset from the downlink frequency that the cell was selected on. The default duplex frequency separation to be used by the UE is specified in [35] (for FDD only).

NOTE: The PRACH selection scheme assumes that all PRACHs configured in SIB 5 and SIB 6 support all (implicitly or explicitly) configurable RLC sizes of that cell, i.e. at least the transport formats corresponding to a single transport block of each applicable RLC size of that cell must be defined for each PRACH in the cell.

The UE shall select a "PRACH system information" according to the following rule. The UE shall:

1> select a ~~default~~ "PRACH system information" from the ones indicated in the IE "PRACH system information list" in System Information Block type 5 (applicable in Idle Mode and Connected Mode) or System Information Block type 6 (applicable in Connected Mode only), as follows:

2> if in connected mode and System Information Block type 6 is defined and includes PRACH info:

3> compile a list of candidate PRACHs that consists of the PRACH system information(s) listed in SIB 6, in the order of appearance as in SIB 6.

2> otherwise:

3> compile a list of candidate PRACHs that consists of the PRACH system information(s) listed in SIB 5, in the order of appearance as in SIB 5.

2> in FDD:

~~3> if both RACH with 10 ms and 20 ms TTI are included in the list of candidate PRACH(s):~~

~~34> select the appropriate TTI based on power requirements, perform RACH TTI selection as specified in subclause 8.5.18.1;~~

~~4> remove PRACHs system information(s) from the list of candidate PRACHs that have a TTI different from the selected value.~~

2> in 1.28 Mcps TDD:

~~3> if RACH with 5 ms, 10 ms and 20 ms TTI are included in the list of candidate PRACH(s):~~

~~34> select the perform RACH TTI selection according to subclause 8.5.18.2;~~

~~24> remove PRACHs system information(s) from the list of candidate PRACHs those PRACHs that have a TTI length different from the selected value.~~

2> select a PRACH randomly from the list of candidate PRACH(s) as follows:

$$\text{"Index of selected PRACH"} = \text{floor}(\text{rand} * K)$$

where K is equal to the number of candidate PRACH system informations, "rand" is a random number uniformly distributed in the range 0,...,1, and "floor" refers to rounding down to nearest integer. The candidate PRACH system informations shall be indexed from 0 to K-1. The random number generator is left to implementation. The scheme shall be implemented such that one of the available PRACH system informations is randomly selected with uniform probability. At start-up of the random number generator in the UE the seed shall be dependent on the IMSI of the UE or time, thereby avoiding that all UEs select the same RACH;

2> use the TFCS of the selected PRACH when performing TFC selection (see [15]);

2> reselect the ~~default~~ PRACH system information when a new cell is selected. RACH reselection may also be performed after each transmission of a Transport Block Set on RACH.

1> for emergency call, the UE is allowed to select any of the available PRACH system informations.

After selecting a PRACH system information, the RRC in the UE shall configure the MAC and the physical layer for the RACH access according to the parameters included in the selected "PRACH system information" IE.

8.5.18 Selection of RACH TTI

8.5.18.1 FDD

In FDD mode, a RACH may employ either 10 or 20 ms TTI. The supported TTI is indicated as a semi-static parameter of the RACH Transport Format in system information. ~~If in one cell RACHs for both 10 and 20 ms TTI are supported, the UE shall select an appropriate TTI length from the RACHs included in the list of candidate PRACH(s) according to the following rule:~~

~~The UE shall first check whether a RACH Transport Format is available which is suitable for the transmission of the current transport Block Set for both 10 and 20 ms TTI. The UE shall:~~

~~1> if only RACHs with one particular TTI length are included in the list of candidate PRACH(s) the required transport format is available only for one particular TTI:~~

~~2> select this TTI length and proceed as specified in subclause 8.5.17;~~

~~2> identify the corresponding RACHs;~~

~~2> proceed with RACH selection as specified in subclause 8.5.17.~~

~~1> if both PRACHs with 10ms and 20ms TTI lengths are included in the list of candidate PRACH(s) the required transport format is available on both types of RACH, 10 and 20 ms TTI:~~

~~2> perform TTI selection as follows:~~

~~3> when the UE calculates the initial preamble transmit power ("Preamble_Initial_Power") as specified in subclause 8.5.7:~~

~~4> select a TF to be employed for calculation of a transmit power margin as follows:~~

~~5> from the TFs supported by all candidate PRACHs keep those which correspond to a single transport block of all configured RLC sizes (i.e., in idle mode, the RLC size applicable for RBO, in connected mode, the RLC sizes configured with explicit "RB mapping info"). If more than a single TF remain applicable, the UE may select any of these. Preferably the UE should select the TF, which is intended to be used at the next transmission, or, if such information is not available, the TF corresponding to the largest configured RLC size.~~

~~4> calculate a transmit power margin,~~

$$\text{Margin} = \{ \min(\text{Maximum allowed UL tx power, P_MAX}) - \max(\text{Preamble_Initial_Power, Preamble_Initial_Power} + \Delta P_{p-m} + 10 \cdot \log_{10}(1 + (\beta_d / \beta_c)^2)) \}$$

~~where "Maximum allowed UL tx power" is the maximum allowed uplink transmit power indicated in system information (in dBm), and P_MAX is the maximum RF output power of the UE (dBm). The margin shall be calculated for 40 ms TTI RACH message the gain factors β_d and β_c of the TF selected in the step above, using 10ms TTI length.~~

NOTE: the expression $\text{Preamble_Initial_Power} + \Delta P_{p-m} + 10 \cdot \log_{10}(1 + (\beta_d / \beta_c)^2)$ represents the total RACH message power if the message would be sent after the initial preamble.

~~3> if the value of resulting "Margin" value calculated for RACH with 10 ms TTI is less than 6 dB:~~

~~4> select RACH with 20 ms TTI, and proceed as specified in subclause 8.5.17;~~

~~3> otherwise, if the last L1 message transmission on PRACH failed (see [15]):~~

~~4> the UE may select RACH with 20ms TTI length and proceed as specified in subclause 8.5.17;~~

~~3> otherwise:~~

~~4> select RACH with 10ms TTI length and proceed as specified in subclause 8.5.17.~~

- 3> ~~perform reselection of the RACH TTI only after successful transmission of one Transport Block Set. However in case L1 message transmission on PRACH has failed at least once while using 10 ms TTI, the UE may use the 20 ms TTI RACH for the retransmission. Handling of RACH Message transmission failure is part of general error handling procedure.~~

8.5.18.2 1.28 Mcps TDD

In 1.28 Mcps TDD, a RACH may be assigned a 5, 10 or 20 ms TTI. If, in one cell, more than one RACH is defined a UE shall select the RACH that is to be used for each transmission according to the following rule:

- 1> if only ~~one~~ RACHs with one particular TTI length are assigned a transport format that is suitable for the transmission of the transport block set:
 - 2> select this ~~RACH and the~~ RACH's TTI length.
- 1> if more than one RACHs ~~is~~ are assigned a transport format that is suitable for the transmission of the transport block set:
 - 2> select ~~that which has the largest~~ longest of the TTI lengths of these RACHs.