

**TSG-RAN Meeting #6
Nice, France, 13 – 15 December 1999**

TSGRP#6(99)641

Title: Agreed CRs of category "D" (Editorial) to TS 25.322

Source: TSG-RAN WG2

Agenda item: 5.2.3

Doc #	Status-	Spec	CR	Rev	Subject	Cat	Versio	Versio
R2-99f04	agreed	25.322	001		RLC: Editorial corrections	D	3.0.0	3.1.0
R2-99i00	agreed	25.322	002	1	Editorial changes on RLC protocol	D	3.0.0	3.1.0
R2-99i01	agreed	25.322	007		Updated RLC SDL	D	3.0.0	3.1.0
R2-99k23	agreed	25.322	014		Editorial changes	D	3.0.0	3.1.0
R2-99k71	agreed	25.322	017	1	RLC editorial corrections	D	3.0.0	3.1.0

4.2.1.2 Unacknowledged mode entities

Figure 4-3 below shows the model of two unacknowledged mode peer entities.

Figure 4-3: Model of two unacknowledged mode peer entities

The transmitting UM-entity receives SDUs from the higher layers. ~~If the SDU is very large it is segmented into RLC~~ might segment the SDUs into RLC PDUs of appropriate size. The SDU might also be concatenated with other SDUs. RLC adds a header and the PDU is placed in the transmission buffer. RLC delivers the RLC PDUs to MAC through either a DCCH, a SHCCH (downlink only) or a DTCH. The CCCH also uses unacknowledged mode, but only for the downlink. Which type of logical channel depends on if the higher layer is located in the control plane (CCCH, DCCH, SHCCH) or user plane (DTCH).

The receiving UM-entity receives PDUs through one of the logical channels from the MAC sublayer. RLC removes header from the PDUs and reassembles the PDUs (if segmentation has been performed) into RLC SDUs. ~~After that~~ The RLC SDUs are delivered to the higher layer.

7 Services expected from MAC

For a detailed description of the following functions see [3].

- Data transfer;

9.2.2.3 Sequence Number (SN)

This field indicates the sequence number of the payload unit. ~~In a normal AMD PDU~~ If header compression is applied the sequence number of the first PU in the PDU is indicated. ~~If the PUs are not in sequence,~~ Otherwise a sequence number is indicated separately for each PU in the extended header.

PDU type	Length	Notes
AMD PDU	12 bits	Used for retransmission and reassembly
UMD PDU	7 bits	Used for reassembly

9.2.2.7 Header Extension Type (HE)

Length: 2 bits

This two-bit field indicates the format of the extended header.

Value	Description
00	The succeeding octets contains data
01	The succeeding octet contains a 7bit length indicator and E bit
10	The succeeding octet <u>field</u> contains an extended header field
11	The succeeding <u>two octets</u> contains a 15bit length indicator and E bit

9.2.2.8 Length Indicator (LI)

This field is optional and is used if concatenation, padding or a piggybacked STATUS PDU takes place in a PU. It indicates the end of the last segment of a SDU. It points out the end of a segment by giving the number of octets between the end of the header fields (including the length indicator fields) and the end of the segment. The size of the Length Indicator may be either 7bits or 15bits. If the last segment of a SDU do not completely fill a PU either padding or a piggybacked STATUS PDU can be added. Predefined values of the length indicator are used to indicate this. The padding/piggybacked STATUS PDU predefined length indicators shall be added after the length indicator that indicates the end of the last SDU segment in the PU. The values that are reserved for special purposes are listed in the tables below depending on the size of the Length Indicator.

If a length indicator that indicates padding/piggybacked STATUS PDU refers to the last PU in the PDU it implicitly means that the rest of the PDU contains padding/piggybacked STATUS PDU. If the last PU in a PDU does not include padding or piggybacked STATUS PDU, but the PDU includes padding or a piggybacked STATUS PDU, an extra length indicator field shall be added as a normal length indicator to the last PU. This extra length indicator shall indicate either padding or a piggybacked STATUS PDU and shall be placed as the last length indicator in the PDU. The space needed for this length indicator shall not be taken from the data part in the PU, but from the padding or piggybacked STATUS PDU in the PDU. The receiving entity shall discard this length indicator.

If RLC PDUs always carry only one PU, 7bit indicators are used in a particular RLC PDU if the address space is sufficient to indicate all SDU segment borders. Otherwise 15bit Length Indicators are applied.

If RLC PDUs may carry more than one PUs the length of the Length Indicator only depends on the size of the largest RLC PDU and the size of the Length Indicator is always the same for all PUs.

Only one size of Length Indicators is used in one RLC PDU.

Length: 7bit

Bit	Description
0000000	The previous RLC PU was exactly filled with the last segment of a RLC SDU.
1111110	The rest part of the RLC PU <u>or RLC PDU</u> includes a piggybacked STATUS PDU.
1111111	The rest part of the RLC PU <u>or RLC PDU</u> is padding.

Length: 15bit

Bit	Description
000000000000000	The previous RLC PU was exactly filled with the last segment of a RLC SDU.
111111111111110	The rest part of the RLC PU <u>or RLC PDU</u> includes a piggybacked STATUS PDU.
111111111111111	The rest part of the RLC PU <u>or RLC PDU</u> is padding.

9.2.2.9 Data

RLC SDUs are mapped to this field. ~~If a RLC SDU is too large to fit into the data field it is~~ might be segmented. If possible, the last segment of a SDU shall be concatenated with the first segment of the next SDU in order to fill the data field completely and avoid unnecessary padding. The length indicator field is used to point the borders between SDUs.

9.3.3.3 *Reset Pending State*

In the reset pending state the entity waits for a response from its peer entity and no data can be exchanged between the entities. Upon reception of CRLC-CONFIG-Req from higher layer the RLC entity is terminated and the null state is entered.

Upon reception of a RESET ACK PDU, the RLC entity resets the protocol and enters the acknowledged data transfer ready state.

Upon reception of a RESET PDU, the RLC entity resets the protocol, send a RESET ACK PDU and enters the acknowledged data transfer ready state.

Figure 9-18: The state model for the acknowledged mode entities

9.7.2 STATUS PDU transmission for acknowledged mode

The receiver of AMD PDUs transmits STATUS PDUs to the sender in order to inform about which PUs that have been received and not received. There are several triggers for sending a STATUS PDU. The network (RRC) controls which triggers should be used for each RLC entity, except for one, which is always present. The receiver shall always send a STATUS PDU when receiving a poll request. Except for that trigger following triggers are configurable:

- 1) Detection of missing PU(s).

If the receiver detects one or several missing PUs it shall send a STATUS PDU to the sender.

2) Timer based STATUS PDU transfer

The receiver transmits a STATUS PDU periodically to the sender. The time period is controlled by the timer `Timer_Status_Periodic`.

3) The EPC mechanism

The EPC is started when a STATUS PDU is transmitted to the peer entity. If not all PUs requested for retransmission have been received before the EPC has expired a new STATUS PDU is transmitted to the peer entity. A more detailed description of the EPC mechanism is given in section 9.7.42.

9.7.3.2 Timer based discard, without explicit signalling

This alternative uses the same timer based trigger for SDU discard (Timer_Discard) as the one described in the section 9.7.3.1. The difference is that this discard method does not use any peer-to-peer signalling. For unacknowledged mode RLC, peer-to-peer signalling is never needed. The SDUs are simply discarded in the transmitter, once the transmission time is exceeded. For acknowledged mode RLC, peer-to-peer signalling can be avoided as long as SDU discard is always performed in the transmitter before it is performed in the receiver. As long as the corresponding SDU is eventually discarded in the receiver too, possible retransmission requests of PDU of discarded SDUs can be ignored by the transmitter. ~~The bigger the time difference is between the triggering of the discard condition at the transmitter and the receiver, the bigger the unnecessary buffering need is at the receiver and the more bandwidth is lost on the reverse link due to unnecessary retransmission requests. On the other hand, forward link bandwidth is saved, as no explicit SDU discard signalling is needed.~~

9.7.4 The Estimated PDU Counter

The Estimated PDU Counter is a mechanism used for scheduling the retransmission of status reports in the receiver side. With this mechanism, the receiver will send a new Status PDU in which it requests for PUs not yet received. The time between two subsequent status report retransmissions is not fixed, but it is controlled by the Estimated PDU Counter (EPC), which adapts this time to the current bit rate, indicated in the TFI, in order to minimise the delay of the status report retransmission.

The EPC is a counter, which is decremented every transmission time interval with the estimated number of PUs that should have been transmitted during that transmission time interval. When the receiver detects that PDUs are missing it generates and sends a Status PDU to the transmitter and sets the EPC equal to the number of requested PUs.

A special timer, called EPC timer, controls the maximum time that the EPC needs to wait before it will start counting down. This timer starts immediately after a transmission of a retransmission request from the receiver (Status PDU). The EPC timer typically depends on the roundtrip delay, which consists of the propagation delay, processing time in the transmitter and receiver and the frame structure. This timer can also be implemented as a counter, which counts the number of 10 ms radio frames that could be expected to elapse before the first requested AMD PDU is received.

When the EPC is equal to zero and not all of these requested PUs have been received correctly, a new Status PDU will be transmitted and the EPC will be reset accordingly. The EPC timer will be started once more.

~~The EPC is based on the estimation of the number of PUs that should have been received during a transmission time interval. To estimate this number is easiest done by means of the TFI bits. However, if these bits are lost due to some reason or another, this estimation must be based on something else. A straightforward solution is to base the estimation on the number done in the previous transmission time interval. Only if the rate has changed this estimation is incorrect. Another method of estimating the number of PUs is based on the maximum allowable rate. The consequence of this is that if the estimation is incorrect, the Status PDU is sent too early. Alternatively, the estimation can be based on the lowest possible transmission rate. In this case, if the estimation is incorrect, the Status PDU will most likely be transmitted too late.~~

11.5.2 Initiation

This procedure is initiated by the receiver in any of following cases:

- 1) The poll bit in a received AMD PDU is set to 1.
- 2) Detection of missing PUs is used and a missing PU is detected.
- 3) The timer based STATUS PDU transfer is used and the timer Timer_Status_Periodic has expired.

The receiver shall transmit a STATUS PDU on the DCCH logical channel if the receiver is located in the control plane and on the DTCH if it is located in the user plane. Separate logical channels can be assigned for AMD PDU transfer and for Control PDU transfer.

The STATUS PDU has higher priority than data PDUs.

There are two functions that can prohibit the receiver from sending a STATUS PDU. If any of following conditions are fulfilled the sending of the STATUS PDU shall be delayed, even if any of the conditions above are fulfilled:

- 1) STATUS PDU prohibit is used and the timer Timer_Status_Prohibit is active.

The STATUS PDU shall be transmitted after the Timer_Status_Prohibit has expired. The receiver shall send only one STATUS PDU, even if there are several triggers when the timer is running.

- 2) The EPC mechanism is used and the timer Timer_EPC is active or VR(EP) is counting down.

The STATUS PDU shall be transmitted after the VR(EP) has reached 0. The receiver send only one STATUS PDU, even if there are several triggers when the timer is active or the counter is counting down.

If the timer based STATUS PDU transfer shall be used and the Timer_Status_Periodic has expired it shall be restarted.

If the EPC mechanism shall be used the timer Timer_EPC shall be started and the VR(EP) shall be set equal to the number PUs requested to be retransmitted.

CHANGE REQUEST

Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.

25.322 CR 002r1

Current Version: **3.0.0**

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

For submission to: **TSG-RAN#6**
list expected approval meeting # here ↑

for approval
for information

strategic
non-strategic (for SMG use only)

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: ftp://ftp.3gpp.org/Information/CR-Form-v2.doc

Proposed change affects:
(at least one should be marked with an X)

(U)SIM ME UTRAN / Radio Core Network

Source: TSG-RAN WG2

Date: 1999-11-24

Subject: Editorial changes on RLC protocol specification

Work item:

Category:
(only one category shall be marked with an X)
F Correction
A Corresponds to a correction in an earlier release
B Addition of feature
C Functional modification of feature
D Editorial modification

Release:
Phase 2
Release 96
Release 97
Release 98
Release 99
Release 00

Reason for change: The purpose of these changes is to make some of the contents in the current RLC specification clearer.

Clauses affected: 8.1, 9.2.2.7.1, 9.5

Other specs affected:
Other 3G core specifications → List of CRs:
Other GSM core specifications → List of CRs:
MS test specifications → List of CRs:
BSS test specifications → List of CRs:
O&M specifications → List of CRs:

Other comments:



<----- double-click here for help and instructions on how to create a CR.

8.1 Primitives between RLC and higher layers

The primitives between RLC and upper layers are shown in table 8-1.

Table 8-1 : Primitives between RLC and upper layers

Generic Name	Parameter			
	Req.	Ind.	Resp.	Conf.
RLC-AM-DATA	Data, CFN, MUI	Data	Not Defined	MUI
RLC-UM-DATA	Data,	Data	Not Defined	Not Defined
RLC-TR-DATA	Data	Data	Not Defined	Not Defined
CRLC-CONFIG	E/R, Ciphering Elements (UM/AM only), <u>AM parameters (AM only)</u>	Not Defined	Not Defined	Not Defined
CRLC-STATUS	Not Defined	EVC	Not Defined	Not Defined

Each Primitive is defined as follows:

RLC-AM-DATA-Req/Ind/Conf

- RLC-AM-DATA-Req is used by higher layers to request transmission of a higher layer PDU in acknowledged mode.
- RLC-AM-DATA-Ind is used by RLC to deliver to higher layers RLC SDUs, that have been transmitted in acknowledged mode.
- RLC-AM-DATA-Conf is used by RLC to confirm to higher layers the transmission of a RLC SDU.

RLC-UM-DATA-Req/Ind

- RLC-UM-DATA-Req is used by higher layers to request transmission of a higher layer PDU in unacknowledged mode.
- RLC-UM-DATA-Ind is used by RLC to deliver to higher layers RLC SDUs, that have been transmitted in unacknowledged mode.

RLC-TR-DATA-Req/Ind

- RLC-TR-DATA-Req is used by higher layers to request transmission of a higher layer PDU in transparent mode.
- RLC-TR-DATA-Ind is used by RLC to deliver to higher layers RLC SDUs, that have been transmitted in transparent mode.

CRLC-CONFIG-Req

This primitive is used by RRC to establish, release or reconfigure the RLC. Ciphering elements are included for UM and AM operation.

CRLC-STATUS-Ind

It is used by the RLC to send status information to RRC.

Following parameters are used in the primitives:

- 1) The parameter Data is the RLC SDU that is mapped onto the Data field in RLC PDUs. The Data parameter may be divided over several RLC PDUs. In case of a RLC-AM-DATA or a RLC-UM-DATA primitive the length of the Data parameter shall be octet alligned.
- 2) The parameter Confirmation request (CNF) indicates whether the RLC needs to confirm the correct transmission of the RLC SDU.
- 3) The parameter Message Unit Identifier (MUI) is an identity of the RLC SDU, which is used to indicate which

RLC SDU that is confirmed with the RLC-AM-DATA conf. primitive.

- 4) The parameter E/R indicates whether RLC should enter or exit the data transfer ready state.
- 5) The parameter Event Code (EVC) indicates the reason for the CRLC-STATUS-ind (i.e. unrecoverable errors such as data link layer loss or recoverable status events such as reset, etc.).
- ~~6) 6)~~ The parameter ciphering elements are only applicable for UM and AM operation. These parameters are Ciphering Mode, Ciphering Key and Ciphering Sequence Number.
- 7) The AM parameters is only applicable for AM operation. It contains PU size, Timer values (see section 9.5), Protocol parameter values (see section 9.6), Polling triggers (see section 9.7.1), Status triggers (see section 9.7.2), SDU discard mode (see section 9.7.3),.

9.2.2.7.1 AMD PDU Extended Header

The Extended Header is used when additional sequence numbers are needed to indicate PUs that are not sequential within a PDU or when the rest of a PDU, which is not filled by PUs, is equal or larger than the size of a PU. A PDU that includes more than one sequence number shall include sequence numbers for all PUs in the PDU. The nth sequence number in the PDU indicates the sequence number of the nth PU in the PDU. The decision to use Extended Header is made by the transmitting RLC.

First all the Extended Headers are listed. Then all Length Indicators are listed. Finally the PUs follow.

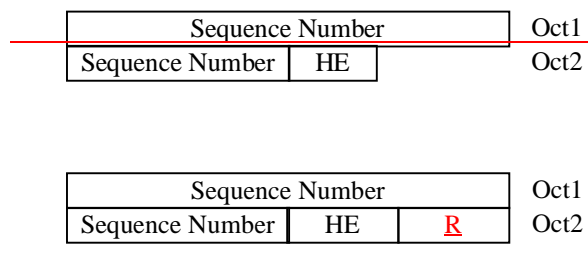


Figure 9-7: Format of the extended header

9.5 Timers

a) Timer_Poll

This timer is only used when the poll timer trigger is used. It is started when the transmitting side sends a poll to the peer entity. The timer is stopped when receiving a STATUS PDU that contains an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer. The value of the timer is signalled by RRC.

If the timer expires and no STATUS PDU containing an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer has been received, the receiver is polled once more (either by the transmission of a PDU which was not yet sent, or by a retransmission) and the timer is restarted. If there is no PU to be transmitted and all PUs have already been acknowledged, the receiver shall not be polled.

If a new poll is sent when the timer is running it is restarted.

b) Timer_Poll_Prohibit

This timer is only used when the poll prohibit function is used. It is used to prohibit transmission of polls within a certain period. A poll shall be delayed until the timer expires if a poll is triggered when the timer is active. Only one poll shall be transmitted when the timer expires even if several polls were triggered when the timer was active. If there is no PU to be transmitted and all PUs have already been acknowledged, a poll shall not be transmitted. This timer will not be stopped by a STATUS PDU. The value of the timer is signalled by RRC.

c) Timer_EPC

This timer is only used when the EPC function is used and it accounts for the roundtrip delay, i.e. the time when the first retransmitted PU should be received after a STATUS has been sent. The timer is started when a STATUS report is transmitted and when it expires EPC can start decrease (see section 9.7.3). The value of the timer is signalled by RRC.

d) Timer_Discard

This timer is used for the SDU discard function. In the transmitter, the timer is activated upon reception of a SDU from higher layer. If the SDU has not been acknowledged when the timer expires, the SDU is discarded and a Move Receiving Window request is sent to the receiver. If the SDU discard function does not use the Move Receiving Window request, the timer is also used in the receiver, where it is activated once a PDU is detected as outstanding, i.e. there is a gap between sequence numbers of received PDUs. The value of the timer is signalled by RRC.

e) Timer_Poll_Periodic

This timer is only used when the timer based polling is used. The timer is started when the RLC entity is created. Each time the timer expires a poll is transmitted and the timer is restarted. If there is no PU to be transmitted and all PUs have already been acknowledged, a poll shall not be transmitted and the timer shall only be restarted. The value of the timer is signalled by RRC.

f) Timer_Status_Prohibit

This timer is only used when the STATUS PDU prohibit function is used. It prohibits the receiving side from sending STATUS PDUs. The timer is started when a STATUS PDU is transmitted and no new STATUS PDU can be transmitted before the timer has expired. The value of the timer is signalled by RRC.

g) Timer_Status_Periodic

This timer is only used when timer based STATUS PDU sending is used. The timer is started when the RLC entity is created. Each time the timer expires a STATUS PDU is transmitted and the timer is restarted. The value of the timer is signalled by RRC.

h) Timer_RST

It is used to detect the loss of RESET ACK PDU from the peer RLC entity. This timer is set when the RESET PDU is transmitted. And it will be stopped upon reception of RESET ACK PDU. If it expires, RESET PDU will be retransmitted.

CHANGE REQUEST

Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.

25.322 CR 007

Current Version: 3.0.0

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

For submission to: TSG-RAN#6

list expected approval meeting # here



for approval
for information

strategic
non-strategic (for SMG use only)

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: <ftp://ftp.3gpp.org/Information/CR-Form-v2.doc>

Proposed change affects: (U)SIM ME UTRAN / Radio Core Network
(at least one should be marked with an X)

Source: TSG-RAN WG2 **Date:** 1999-11-29

Subject: Updated RLC SDL

Work item:

Category: F Correction **Release:** Phase 2
(only one category shall be marked with an X) A Corresponds to a correction in an earlier release Release 96
B Addition of feature Release 97
C Functional modification of feature Release 98
D Editorial modification Release 99
Release 00

Reason for change: Correction of the editorial mistakes in the current RLC SDL and updating it in accordance with the latest RLC specification.

Clauses affected: Annex A

Other specs affected: Other 3G core specifications → List of CRs:
Other GSM core specifications → List of CRs:
MS test specifications → List of CRs:
BSS test specifications → List of CRs:
O&M specifications → List of CRs:

Other comments:



help.doc

<----- double-click here for help and instructions on how to create a CR.

Annex A (informative): SDL diagrams

This annex contains the SDL diagrams. For Release'99, it is meant for informative purposes only.

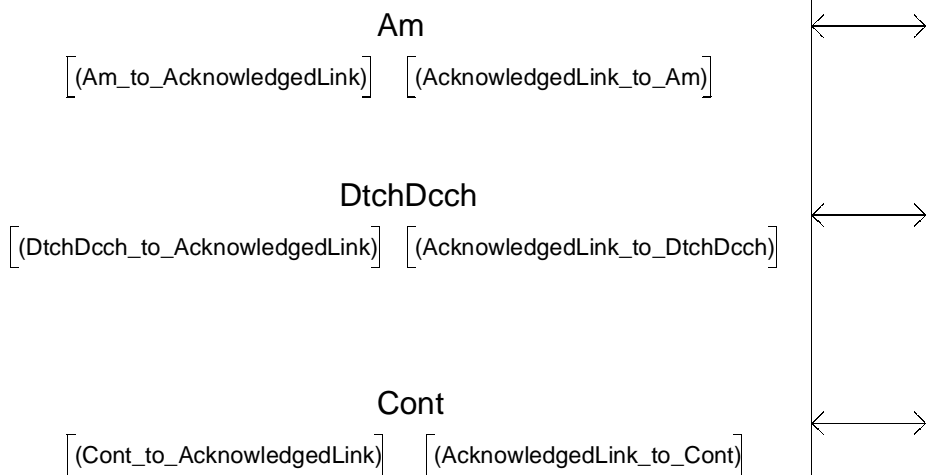
[All the section shall be reviewed when the protocol is defined;

all the SDL diagrams presented are [FFS]]

Virtual Process Type Acknowledged_link

1_Signals(69)

:
SIGNALSET
Crlc_amconfig_req,
Crlc_Status_ind,
Rlc_AmData_req,
Rlc_AmData_ind,
Rlc_AmData_conf,
Reset_am,
Reset_am_ack,
AmdPduQueuedUp,
StatusPdu,
AmdPdu;



Virtual Process Type Acknowledged_link

1_Declarations(69)

; SIGNALSET

```

DCL

/*SDU, PDU, and PU declarations:_____*/

sdu                               OctetType,
/*The sdu data from the upper layer protocol.*/

amd_pdu                           AmPdu,
/*A representation of data contained within an AmPdu.*/

amd_pu                             AmPuStructType,
/*A representation of a local am_pu*/

status_pdu, tx_status_pdu         StatPdu,
/*A representation of data contained within an StatPdu.*/

/*SDU, PDU, and PU array declarations:_____*/

sdus                               OctetArrayType,
/*An array containing SDUs.*/

pdus                               AmPduArrayType,
/*An array containing AMD PDUs created by segmenting a SDU.*/

pus                               AmPuArrayType,
/*An array containing PUs.*/

rem_pus                           AmPuArrayType,
/*An array containing PDUs to be removed from queues.*/

status_pdus                       StatusPduArrayType,
/*An array containing several STATUS PDUs.*/

/*Queue declarations:_____*/

receiver_queue                    Queue,
/*A queue used for storing PDUs as they arrive.*/

retransmission_queue              Queue,
/*A queue used for PDUs that are to be retransmitted.*/

assembly_queue                   Queue,
/*A queue used for reassembly of received PDUs into an SDU.*/

transmitted_queue                Queue,
/*A queue used for PDUs that have been transmitted.*/

amd_queue                         Queue,
/*A queue used for PDUs to be transmitted.*/

mui_queue                         Queue;
/*A queue used to store mui numbers for which confirmation
has been requested.*/

```


Virtual Process Type Acknowledged_link

2_Declarations(69)

; SIGNALSET

```

DCL
/*Indicator declarations:_____*/

epc_active                IndicatorType,
/*An indicator used to store whether the Timer_EPC is active or not.*/

poll_periodic_active      IndicatorType,
/*An indicator used to store whether the Timer_Poll_Periodic is active or not.*/

poll_prohibit_active      IndicatorType,
/*An indicator used to store whether the Timer_Poll_Prohibit is active or not.*/

rst_active                IndicatorType,
/*An indicator used to store whether the Timer_RST is active or not.*/

status_periodic_active    IndicatorType,
/*An indicator used to store whether the Timer_Status_Periodic is active or not.*/

status_prohibit_active    IndicatorType,
/*An indicator used to store whether the Timer_Status_Prohibit is active or not.*/

empty                    IndicatorType,
/*An Indicator used to determine whether a queue is empty or not.*/

exists                    IndicatorType,
/*An indicator used to determine whether a particular pdu exists
   within a queue or not.*/

complete                 IndicatorType,
/*An indicator used to determine whether an SDU has been
   completely reassembled.*/

cnf                      IndicatorType,
/*An indicator used to determine whether an SDU requires
   confirmation.*/

possible                 IndicatorType,
/*An indicator used to indicate whether status piggyback is
   possible or not.*/

create_status            IndicatorType,
/*An indicator used to store whether a status report should be created or not.*/

poll_triggered            IndicatorType,
/*This variable is used to record if a poll is to be transmitted or not.*/

status_triggered          IndicatorType,
/*This variable is used to indicate whether a status report should be transmitted
   or not.*/

piggyback                IndicatorType;
/*This variable indicates whether a piggybacked status report is included
   in the PDU or not.*/

```

Virtual Process Type Acknowledged_link

3_Declarations(69)

```
;
SIGNALSET
```

DCL

/*Indicator declarations:_____*/

```
MRW_active                               IndicatorType,
/*An indicator used to store whether the Timer_MRW is active or not.*/
```

```
poll_active                               IndicatorType,
/*An indicator used to keep track of whether the Poll_Timer is active or not.*/
```

```
contains, mrw_ans                         IndicatorType,
/*These indicators are used when checking the contents of a received
status Pdu.*/
```

```
poll_answer                               IndicatorType,
/*This indicator stores whether a status report is sent as an answer to a poll
or not.*/
```

```
missing_pu_detected                       IndicatorType;
/*This indicator is used to store whether he receive side has detected missing
PUs.*/
```

Virtual Process Type Acknowledged_link

4_Declarations(69)

; SIGNALSET

```

DCL

/*Parameter declarations:_____*/

e_r                                ERParameterType,
/*The parameter indicating the desired end state.*/

poll_triggers                      PollTriggArrType,
/*a configuration parameter dealing with when to issue poll requests.*/

protocol_parameters                ProtocolParametersStructType,
/*A struct variable containing the protocol parameters set.*/

status_triggers                   StatusTriggArrType,
/*A configuraion parameter dealing with when to issue Status reports.*/

timer_durations                   TimerDurationsStructType,
/*A struct containing the various timer durations.*/

discard                            DiscardArrayType,
/*A configuration parameter identifying discard conditions.*/

ciphering_mode                    CipheringModeType,
/*The ciphering mode.*/

ciphering_key                     CipheringKeyType,
/*The ciphering key.*/

ciphering_sequence_number         CipheringSequenceNumberType,
/*The ciphering sequence number.*/

pdu_size                          OctetType,
/*The size in octets of an AMD PDU.*/

pu_size                           OctetType,
/*The size in octets of a PU.*/

/*Sequence number variables:_____*/

n, sn_ack, sq                     SequenceNumberType,
/*A local sequence number.*/

poll_window                       SequenceNumberType,
/*The size of the poll_window.*/

receive_window                    SequenceNumberType,
/*The receive window size.*/

transmit_window                   SequenceNumberType,
/*The transmit window size.*/

polled_sn                         SequenceNumberType,
/*This variable stores a sequence number associated with the PDU that contained
a poll request.*/

sn_mrw                            SequenceNumberType;
/*This variable stores the sequence number associated with a MRW request.*/

```

Virtual Process Type Acknowledged_link

5_Declarations(69)

; SIGNALSET

```

DCL

/*Local variables declarations:_____*/

logical_channel                LogicalChannelType,
/*The logical channel associated with transmissions.*/

i, j                            INTEGER,
/*A local counter.*/

mui                            MuiType,
/*The message uit identifier associated with a message to be transmitted.*/

muis                           MuiArrayType,
/*An array used to store message unit identifiers.*/

tot_mui, k, tot_rem,
n_sq                            PduIndexType,
/*Counters used to manage the amount of PUs and SDUs received.*/

tot_list                        PduIndexType,
/*A local variable for maintaining knowledge of the total number of
(SNi, Li)-pairs in a list super field.*/

tot_bitmap, tot_rlist           PduIndexType,
/*A local variable for maintaining knowledge of the total length of a bitmap or codewords.*/

n_sdu                           PduIndexType,
/*A local variable for maintaining knowledge of the number of SDUs reassembled PUs.*/

n_pdu                           PduIndexType,
/*A local variable for maintaining knowledge of the number of AMD PDUs created from a SDU.*/

n_pu                            PduIndexType,
/*A local variable for maintaining knowledge of the number of PUs included in a AMD PDU.*/

n_status                        PduIndexType,
/*A local variable for maintaining knowledge of the number of STATUS PDUs
which have been created.*/

n_pu_per_tti                    PduIndexType,
/*A local variable for maintaining knowledge of the number of PUs received within a TTI.*/

end_state                       EndStateType,
/*A variable used to ensure correct timer reset.*/

poll_win                        REAL,
/*A local variable used to store the current transmit window usage.*/

bitmap                          IndicatorArrayType,
/*This array of boolean values indicates losses experienced by the
receiver.*/

codewords                       IndicatorArrayType;
/*This array is used to store the codewords in the rlsit super field.*/

```

Virtual Process Type Acknowledged_link

6_Declarations(69)

; SIGNALSET

```

DCL
/*State variable declarations:_____*/

vt_s                SequenceNumberType,
/*Send state variable: The sequence number of the next pu to be transmitted for the first time (i.e
excluding retransmissions). It is updated after transmission of a PDU which includes not earlier
transmitted PUs. The initial value of this variable is 0.*/

vt_a                SequenceNumberType,
/*Acknowledge state variable: The sequence number of the next in-sequence PU expected to
be acknowledged, thus forming the lower edge of the window of acceptable acknowledgements.
The variable vt_a is updated based on receipt of a STATUS PDU including an ACK super-field.
The initial value of this variable is 0.*/

vt_ms               SequenceNumberType,
/*Maximum send state variable: The sequence number of the first PU not allowed by the peer
receiver (i.e. the receiver will allow up to vt_ms-1) vt_ms=vt_a+ window size. This value
represents the upper edge of the transmit window. The transmitter shall not transmit a
new PU if vt_s >= vt_ms. The variable vt_ms is updated based on receipt of a STATUS PDU
including an ACK and/or WINDOW super-field.*/

vt_pu               SequenceNumberType,
/*This state variable is used when the poll every Poll_PU PU function is used. It is incremented with
1 for each PU that is transmitted. It should be incremented for both new and retransmitted PUs.
When it reaches Poll_PU a new poll is transmitted and the state variable is set to zero. The initial
value of this variable is 0.*/

vt_sdu              SequenceNumberType,
/*This state variable is used when the poll every Poll_SDU SDU function is used. It is incremented
with 1 for each SDU that is transmitted. When it reaches Poll_SDU a new poll is transmitted and
the state variable is set to zero. The poll bit should be set in the PU that contains the last segment
of the SDU. The initial value of this variable is 0.*/

vt_rst              SequenceNumberType,
/*Reset state variable: This variable is used to count the number of times a RESET PDU is transmit-
ted. It is incremented with 1 each time a RESET PDU is transmitted. It is reset upon reception of
a RESET ACK PDU. The initial value of this variable is 0.*/

vr_r                SequenceNumberType,
/*Receive state variable: The sequence number of the next in sequence PU expected to be received.
It is updated upon receipt of the next in-sequence pdu. The initial value of this variable is 0.*/

vr_h                SequenceNumberType,
/*Highest expected state variable: The sequence number of the next highest expected pdu. The vari-
able is updated whenever a new pdu is received with SN>=vr_h. The initial value of this variable is 0.*/

vr_mr               SequenceNumberType,
/*Maximum acceptable receive state variable: The sequence number of the first pdu not allowed
by the receiver (i.e. the receiver will allow up to vr_mr-1), vr_mr=vr_r+window size. The receiver
shall discard PUs with SN>=vr_mr, (in one case, such a PU may cause the transmission of an
unsolicited STATUS PDU).*/

vr_ep               SequenceNumberType;
/*Estimated PDU counter state variable: The number of PUs that should be received yet as
a consequence of the transmission of the latest STATUS PDU. In acknowledged mode,
this state variable is updated at the end of each transmission time interval. It is decremented
by the number of PUs that should have been received during the transmission time interval. If
VR(EP) is equal to zero, then check if all PUs requested for retransmission in the latest STATUS
PDU have been received. */

```


Virtual Process Type Acknowledged_link

8_Declarations(69)

; SIGNALSET

TIMER

Timer_Poll,

/*This timer is only used when the poll timer trigger is used. It is started when the transmitting side sends a poll to the peer entity. The timer is stopped when receiving a STATUS PDU that contains an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer. The value of the timer is signalled by RRC. If the timer expires and no STATUS PDU containing an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer has been received, the receiver is polled once more (either by the transmission of a PDU which was not yet sent, or by a retransmission) and the timer is restarted. If a new poll is sent when the timer is running it is restarted. */

Timer_Poll_Prohibit,

/*This timer is only used when the poll prohibit function is used. It is used to prohibit transmission of polls within a certain period. A poll shall be delayed until the timer expires if a poll is triggered when the timer is active. Only one poll shall be transmitted when the timer expires even if several polls were triggered when the timer was active. This timer will not be stopped by a STATUS PDU. The value of the timer is signalled by RRC. */

Timer_EPC,

/*This timer is only used when the EPC function is used and it accounts for the roundtrip delay, i.e. the time when the first retransmitted PU should be received after a STATUS has been sent. The timer is started when a STATUS report is transmitted and when it expires EPC can start decrease (see section 9.7.3). The value of the timer is signalled by RRC*/

Timer_EPC_check,

/*This timer is used to count down the state variable vr_ep at a certain interval.*/

Timer_Discard(MuiType),

/*This timer is used for the SDU discard function. In the transmitter, the timer is activated upon reception of a SDU from higher layer. If the SDU has not been acknowledged when the timer expires, the SDU is discarded and a Move Receiving Window request is sent to the receiver. If the SDU discard function does not use the Move Receiving Window request, the timer is also used in the receiver, where it is activated once a PDU is detected as outstanding, i.e. there is a gap between sequence numbers of received PDUs. The value of the timer is signalled by RRC.*/

Timer_Poll_Periodic,

/*This timer is only used when the timer based polling is used. The timer is started when the RLC entity is created. Each time the timer expires a poll is transmitted and the timer is restarted. The value of the timer is signalled by RRC.*/

Timer_Status_Prohibit,

/*This timer is only used when the STATUS PDU prohibit function is used. It prohibits the receiving side from sending STATUS PDUs. The timer is started when a STATUS PDU is transmitted and no new STATUS PDU can be transmitted before the timer has expired. The value of the timer is signalled by RRC.*/

Timer_Status_Periodic,

/*This timer is only used when timer based STATUS PDU sending is used. The timer is started when the RLC entity is created. Each time the timer expires a STATUS PDU is transmitted and the timer is restarted. The value of the timer is signalled by RRC.*/

Timer_MRW,

/*This timer is used to keep track of the response to the MRW sufi type.*/

Timer_RST;

/*It is used to detect the loss of RESET ACK PDU from the peer RLC entity. This timer is set when the RESET PDU is transmitted. And it will be stopped upon reception of RESET ACK PDU. If it expires, RESET PDU will be retransmitted.*/

Virtual Process Type Acknowledged_link

1_LocalProcedures(69)

SIGNALSET

Sdu_am_segmentation

This procedure manages segmentation and concatenation of sdu. If the poll_trigger EVERY_POLL_SDU is used, poll bit is set in accordance with the value POLL_SDU. In case a SDU is smaller than a PU and waiting next SDU, n_pdu=0 is returned.

FPAR

IN/OUT	sdu	OctetType,
IN	cfm	IndicatorType,
IN/OUT	np	SequenceNumberType,
IN/OUT	pdu	AmPduArrayType,
IN/OUT	qu	Queue,
IN	poll_trigg	PollTriggArrType,
IN	prtcl_parameter	ProtocolParameterStructType,
IN/OUT	vt_sdu	SequenceNumberType,
IN	cip_m	CipheringModeType,
IN	cip_k	CipheringKeyType,
IN	cip_s	CipheringSequenceNumberType,
IN/OUT	mui	MuiType,
IN	pdu_s	OctetType,
IN	pu_s	OctetType;

Set_sequence_number

This procedure sets the sequence numbers within an AmPdu.

FPAR

IN/OUT	pdu	AmPdu,
IN	vt_s	SequenceNumberType;

Read_pdu

This procedure retrieves a copy of the first entry in the queue indicated as parameter to the procedure.

FPAR

IN/OUT	qu	Queue,
IN/OUT	am_pdu	AmPdu;

Virtual Process Type Acknowledged_link

2_LocalProcedures(69)

```

;
SIGNALSET
    
```

Place_several_in_queue This procedure places several pus in the indicated queue.

FPAR

IN/OUT qu	Queue,
IN/OUT tot	PduIndexType,
IN/OUT pus	AmPuArrayStructType;

Place_in_queue This procedure places the indicated pdu within the queue given as parameter to the procedure.

FPAR

IN/OUT qu	Queue,
IN/OUT pdu	AmPdu;

Place_piggyback_in_queue This procedure places a piggybacked STATUS PDU onto the first AMD PDU within a queue.

FPAR

IN/OUT qu	Queue,
IN/OUT re_qu	Queue,
IN/OUT stat_pdu	StatPdu,
IN pa	IndicatorType,
IN/OUT pos	IndicatorType;

Place_in_mui_queue This procedure places a message identifier in the sdu queue.

FPAR

IN/OUT qu	Queue,
IN mui	MuiType;

Place_in_transmitted_queue This procedure stores the individual pu:s within the transmitted queue.

FPAR

IN/OUT qu	Queue,
IN/OUT pdu	AmPdu;

Virtual Process Type Acknowledged_link

3_LocalProcedures(69)

```

;
SIGNALSET
Crc_amconfia_red
    
```

Place_in_receive_side_queue

This procedure places a PU in one of the receive side queues.

FPAR

IN/OUT qu Queue,

IN/OUT pu AmPuStructType;

Place_in_retransmission_queue

This procedure places a PU in the retransmission queue.

FPAR

IN/OUT qu Queue,

IN/OUT pu AmPuStructType;

Remove_from_retransmission_queue

This procedure retrieves an AMD PDU from the retransmission queue.

FPAR

IN/OUT qu Queue,

IN/OUT pdu AmPdu,

IN pdu_s OctetType,

IN pu_s OctetType,

IN/OUT n_pu PduIndexType;

Virtual Process Type Acknowledged_link

4_LocalProcedures(69)

```

;
SIGNALSET
    
```

Remove_from_queue

This procedure removes the first PDU in the queue and returns the number of PUs within the removed PDU.

FPAR

```

IN/OUT qu      Queue,
IN/OUT pdu     AmPdu,
IN   pdu_size  OctetType,
IN   pu_size   OctetType,
IN/OUT n_pu    PduIndexType;
    
```

Remove_identified_from_queue

This procedure removes a pu with a given sequence number from the queue identified.

FPAR

```

IN/OUT qu      Queue,
IN   sn        SequenceNumberType,
IN/OUT pu      AmPuStructType;
    
```

Remove_acks_and_get_muis

This procedure removes all pus that have been acknowledged from the indicated queue and stores the muis that are removed from the queue in a special array.

FPAR

```

IN/OUT tx_qu   Queue,
IN   re_qu     Queue,
IN   sn        SequenceNumberType,
IN/OUT tot     PduIndexType,
IN/OUT muis    MuiArrayType,
IN/OUT poll_tot PduIndexType,
IN/OUT rem_poll SequenceNumberArrayType;
    
```

Virtual Process Type Acknowledged_link

5_LocalProcedures(69)

; SIGNALSET

Remove_list_from_queue

This procedure checks whether each sequence number of missing PU informed by LIST SUFI is within the value between vt_a and vt_s, and removes a list of pdus indicated by sequence numbers from the transmission queue and retransmission_queue.

FPAR

IN/OUT	qu	Queue,
IN/OUT	re_qu	Queue,
IN	sq	SequenceNumberType,
IN/OUT	no	PduIndexType,
IN/OUT	tot	PduIndexType,
IN/OUT	pus	AmPuArrayStructType;

Remove_bitmap_from_queue

This procedure checks whether each sequence number of missing PU informed by LIST SUFI is within the value between vt_a and vt_s, and removes a list of pdus in accordance with a bitmap from the transmission queue and retransmission queue.

FPAR

IN/OUT	qu	Queue,
IN/OUT	re_qu	Queue,
IN	sq	SequenceNumberType,
IN/OUT	no	PduIndexType,
IN/OUT	bitmap	IndicatorArrayType,
IN/OUT	tot	PduIndexType,
IN/OUT	pus	AmPuArrayStructType;

Remove_mui_from_queue

This procedure removes all PUs associated with a given mui from the transmitted_queue.

FPAR

IN/OUT	mui	MuiType,
IN/OUT	tx_qu	Queue,
IN/OUT	retx_qu	Queue;

Virtual Process Type Acknowledged_link

6_LocalProcedures(69)

```

;
SIGNALSET
    
```

Remove_rlist_from_queue

This procedure checks whether each sequence number of missing PU informed by LIST SUFI is within the value between vt_a and vt_s, and removes a list of pdus in accordance with a codewords from the transmission queue and retransmission queue.

FPAR

```

IN/OUT qu      Queue,
IN/OUT re_qu   Queue,
IN      sq      SequenceNumberType,
IN/OUT no      PduIndexType,
IN/OUT codewords IndicatorArrayType,
IN/OUT tot      PduIndexType,
IN/OUT pus      AmPuArrayType,
IN/OUT poss     IndicatorType;
    
```

Remove_all_below_mrw_from_queue

This procedure removes all PUs below the move receiving window from all receiver queues.

FPAR

```

IN/OUT r_qu     Queue,
IN/OUT a_qu     Queue,
IN/OUT sn       SequenceNumberType;
    
```

Remove_identified_from_mui_queue

This procedure removes a specific mui from the mui queue used to keep track of Timer_Discard instances.

FPAR

```

IN/OUT sdu_queue Queue,
IN      mui       MuiType;
    
```

Virtual Process Type Acknowledged_link

7_LocalProcedures(69)

; SIGNALSET

Virtual
Transmit_amd_pdu

This procedure manages transmission of an AMD PDU across the proper SAP.

FPAR

IN pdu AmPdu,

IN ch LogicalChannelType;

Virtual
Transmit_reset

This procedure transmits a RESET PDU on the correct logical channel.

FPAR

IN ch LogicalChannelType;

Virtual
Transmit_reset_ack

This procedure transmits a RESET ACK PDU on the correct logical channel.

FPAR

IN ch LogicalChannelType;

Virtual
Transmit_status

This procedure transmits a STATUS PDU on the correct logical channel.

FPAR

IN pdu StatPdu,

IN ch LogicalChannelType;

Reassemble_am_pdu

This procedure reassembles Rlc pdu contents into Sdu:s as they arrive.

FPAR

IN/OUT qu Queue,

IN/OUT comp IndicatorType,

IN/OUT sdus OctetArrayType,

IN/OUT n_sdu PduIndexType;

Virtual Process Type Acknowledged_link

8_LocalProcedures(69)

```

;
SIGNALSET
    
```

Extract_status_from_pdu — This procedure extracts piggybacked status information from the received PDU.

FPAR

IN/OUT pdu AmPdu,
 IN/OUT st_pdu StatPdu;

Extract_pus — This procedure places the pus in the received AMD PDU in an array in order to make them available for processing one by one and checks the number of PUs in the AMD PDU.

FPAR

IN/OUT pdu AmPdu,
 IN/OUT pus AmPuArrayType,
 IN/OUT n_pu PduIndexType;

Initialise_state_variables — This procedure ssets the state variables appropriately.

FPAR

IN/OUT vt_s, vt_ms, vt_sdu, vt_pu, vt_a,
 vr_r, vr_h, vr_mr SequenceNumberType;

Initialise_vtDAT — This procedure initialises the retransmission counters associated with the PUs within the PDU.

FPAR

IN/OUT pdu AmPdu;

Increment_vtDAT — This procedure increments the retransmission counters associated with the PUs within the PDU.

FPAR

IN/OUT pdu AmPdu;

Queue_initialisations — This procedure initialises all queues needed within the process.

FPAR

IN/OUT a_qu, t_qu, retx_qu, rx_qu,
 as_qu, sdu_qu Queue;

Virtual Process Type Acknowledged_link

9_LocalProcedures(69)

```
;  
SIGNALSET
```

Create_status

This procedure creates a status report based on available information. The information can be split into several STATUS PDUs if it can not be mapped onto one STATUS PDU. At the same time, vr_ep is set equal to the number of requested PUs.

FPAR

IN	vr_r	SequenceNumberType,
IN	vr_h	SequenceNumberType,
IN	rx_win	SequenceNumberType,
IN	pdu_size	OctetType,
IN	rx_qu	Queue,
IN/OUT	stat_pdus	StatusPduArrayType,
IN/OUT	vr_ep	SequenceNumberType,
IN/OUT	n_stat	PduIndexType,
IN	sn_mrw	SequenceNumberType;

Exists_in_receiver_queue

This procedure checks if an identified pu exists within the receiver queue.

FPAR

IN	n	SequenceNumberType,
IN/OUT	qu	Queue,
IN/OUT	exists	IndicatorType;

Estimate_number_of_pus

This procedure estimates the number of PUs that have been received within aTTI.

FPAR

IN/OUT	n_pu_tti	PduIndexType;
--------	----------	---------------

Virtual Process Type Acknowledged_link

10_LocalProcedures(69)

```
;  
SIGNALSET
```

Check_status_creation

This procedure checks if a status report should be generated.

FPAR

```
IN    vr_r      SequenceNumberType,  
IN    vr_h      SequenceNumberType,  
IN    qu        Queue,  
IN/OUT status   IndicatorType;
```

Check_if_queue_empty

This procedure checks if there are any PDUs remaining in the queue given as parameter to the procedure.

FPAR

```
IN    qu        Queue,  
IN/OUT empty    IndicatorType;
```

Check_and_delete_timer_discards

This procedure checks if any timer polls are active and returns the first message identifier associated with the discard. If the queue is empty, empty=YES is returned.

FPAR

```
IN/OUT qu        Queue,  
IN    mui        MuiType,  
IN/OUT empty    IndicatorType;
```

Check_if_piggyback

This procedure checks if the current AMD PDU to be transmitted contains a piggybacked STATUS PDU or not

FPAR

```
IN    pdu        AmPdu,  
IN/OUT piggyback IndicatorType;
```

Check_if_MRW_answer

This procedure checks if the peer has responded to a MRW command.

FPAR

```
IN    sn_mrw     SequenceNumberType,  
IN    status_pdu StatPdu,  
IN/OUT mrw_ans   IndicatorType;
```

Virtual Process Type Acknowledged_link

11_LocalProcedures(69)

; SIGNALSET

Update_state_variables

This procedure updates the state variables vt_a and vt_s.

FPAR

```

IN/OUT vt_a   SequenceNumberType,
IN/OUT vt_ms  SequenceNumberType,
IN/OUT tx_win SequenceNumberType,
IN      am_qu  Queue,
IN/OUT tx_qu  Queue,
IN/OUT retx_qu Queue;

```

Set_poll_bit_in_queue

This procedure ensures that a poll bit is set in the amd_queue

FPAR

```

IN/OUT qu      Queue;

```

Contains_polledSN

This procedure checks if the sequence number associated with a poll request has been acknowledged in the status pdu.

FPAR

```

IN      polled_sn      SequenceNumberType,
IN      status_pdu     StatPdu,
IN/OUT contains       IndicatorType;

```

Calculate_polling_window

This procedure calculates the current usage of the transmit window.

FPAR

```

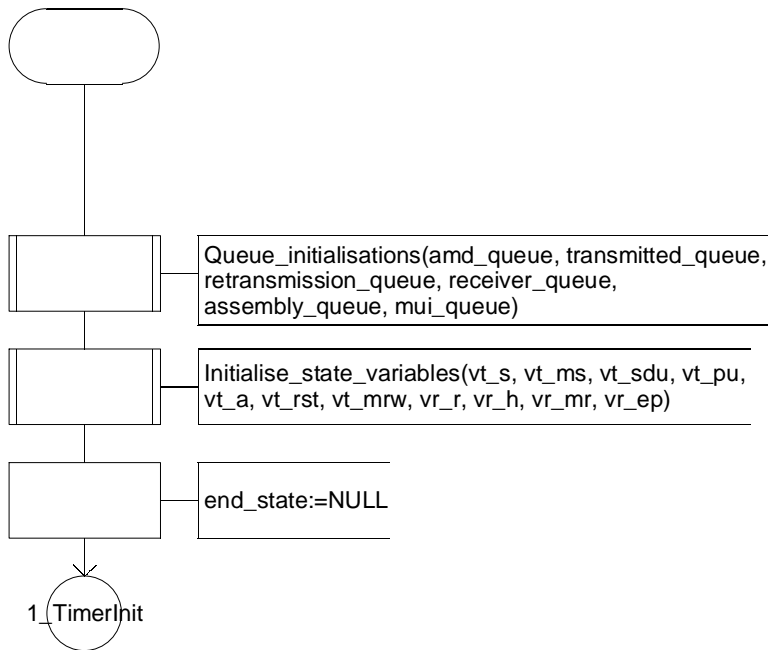
IN/OUT pdu      AmPdu,
IN/OUT poll_win Real,
IN      vt_ms   SequenceNumberType,
IN      tx_win  SequenceNumberType;

```

Virtual Process Type Acknowledged_link

1_ProcessTypeStart(69)

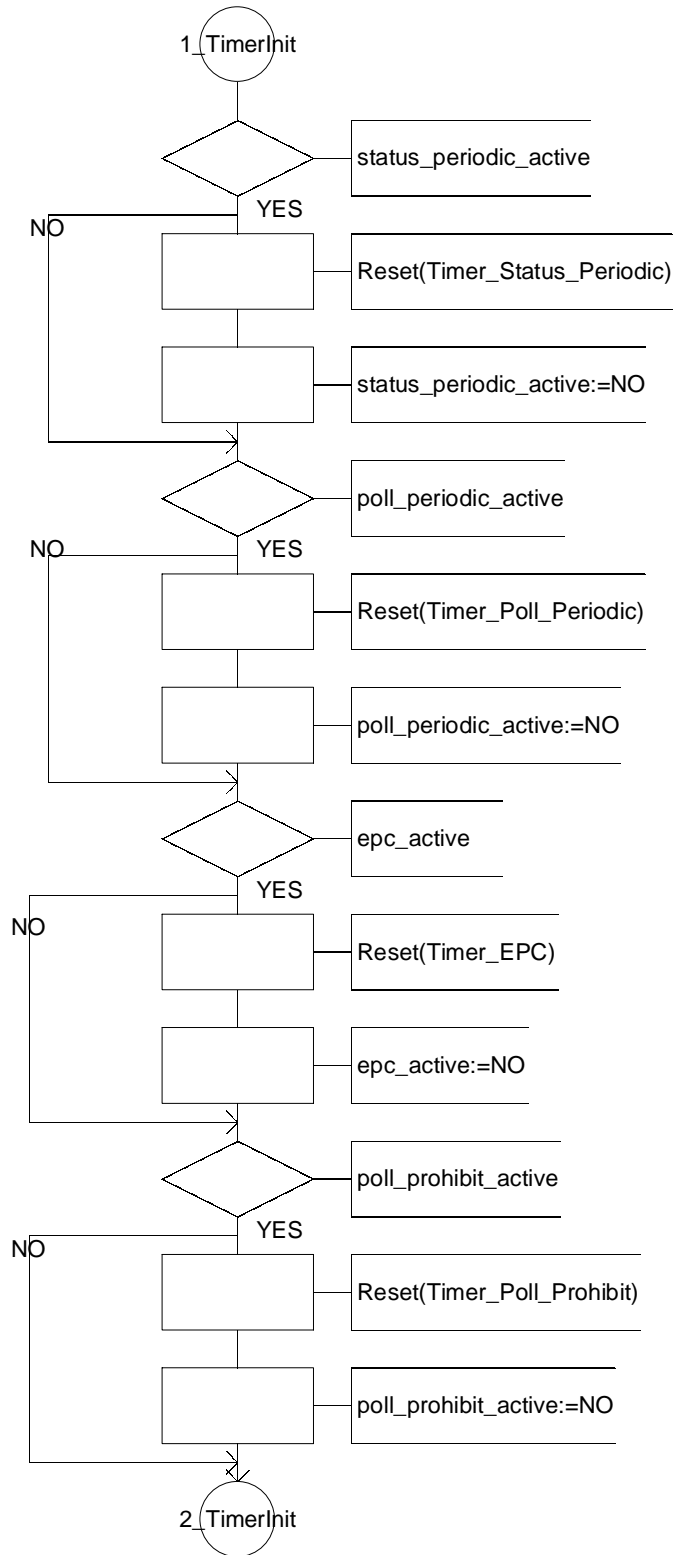
; SIGNALSET



Virtual Process Type Acknowledged_link

1_TimerInit(69)

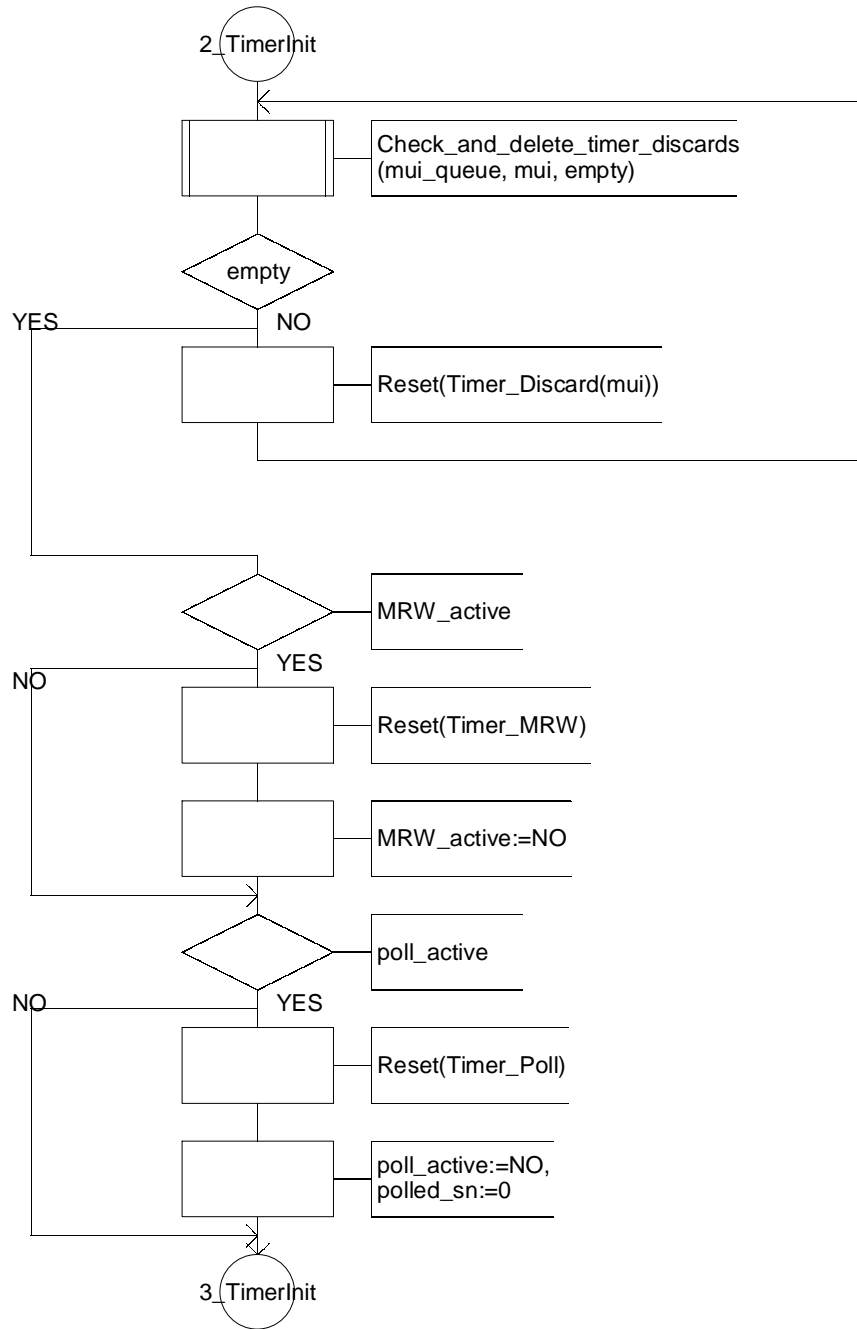
SIGNALSET



Virtual Process Type Acknowledged_link

2_TimerInit(69)

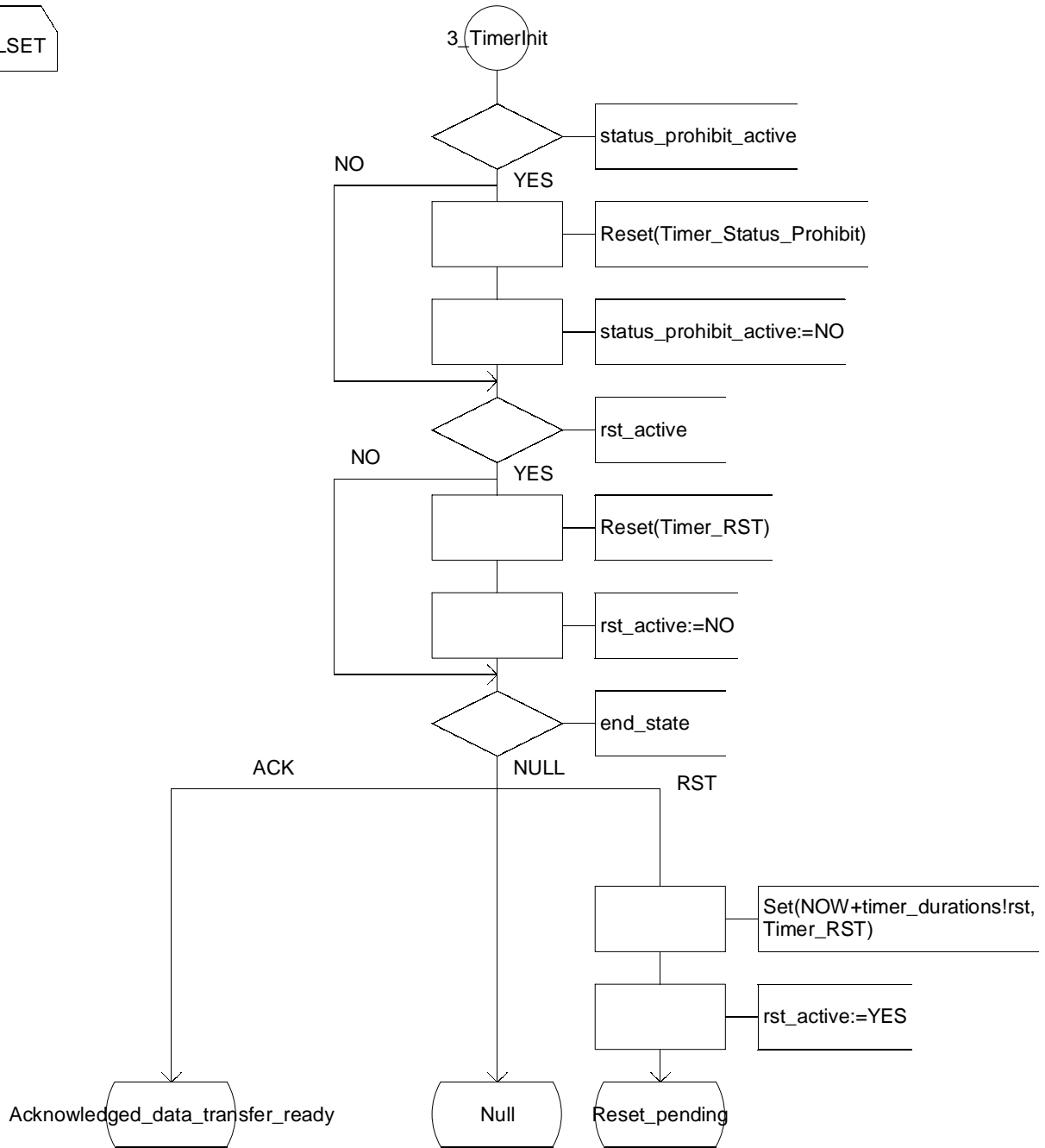
SIGNALSET



Virtual Process Type Acknowledged_link

3_TimerInit(69)

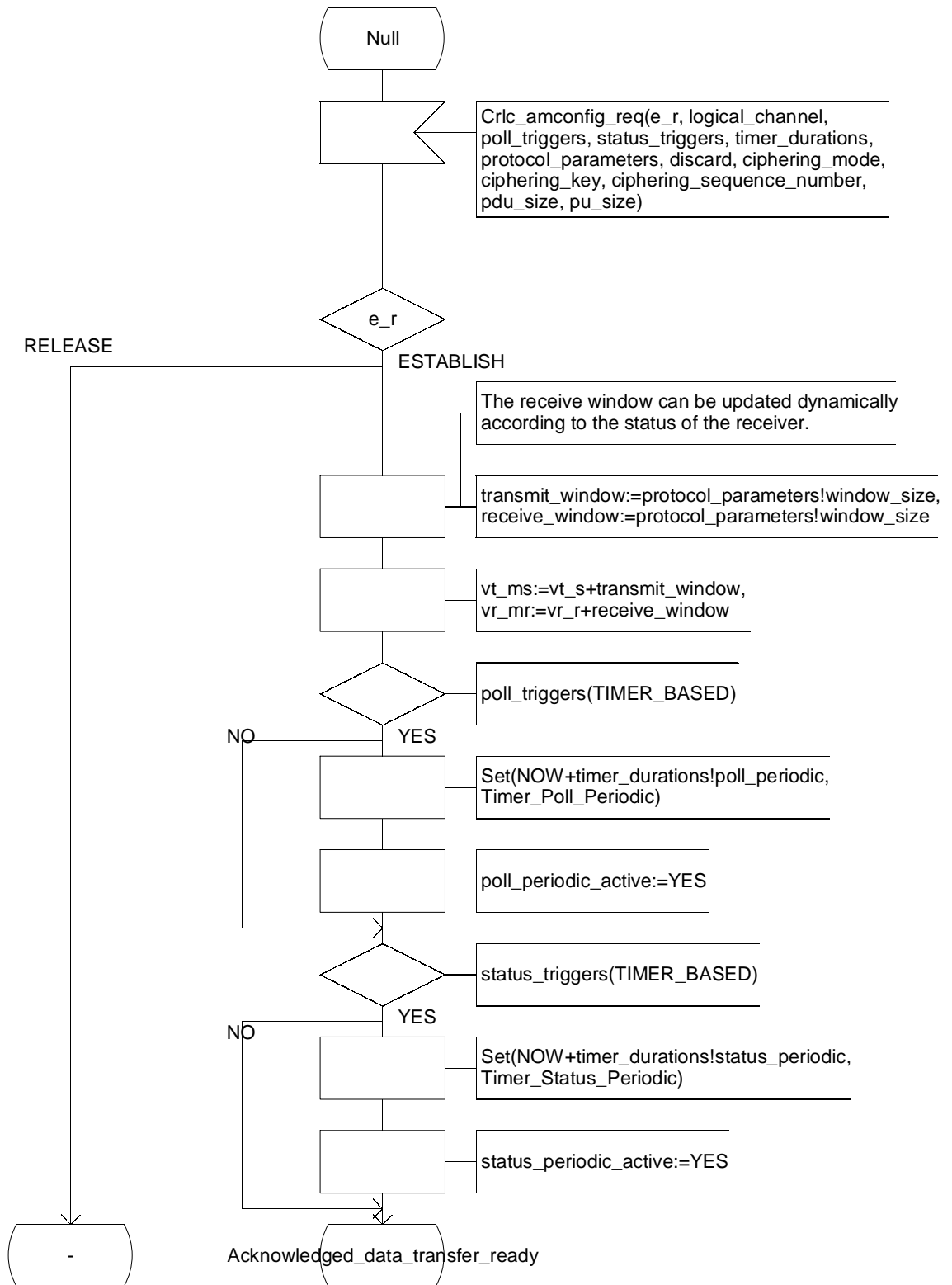
SIGNALSET



Virtual Process Type Acknowledged_link

1_Null(69)

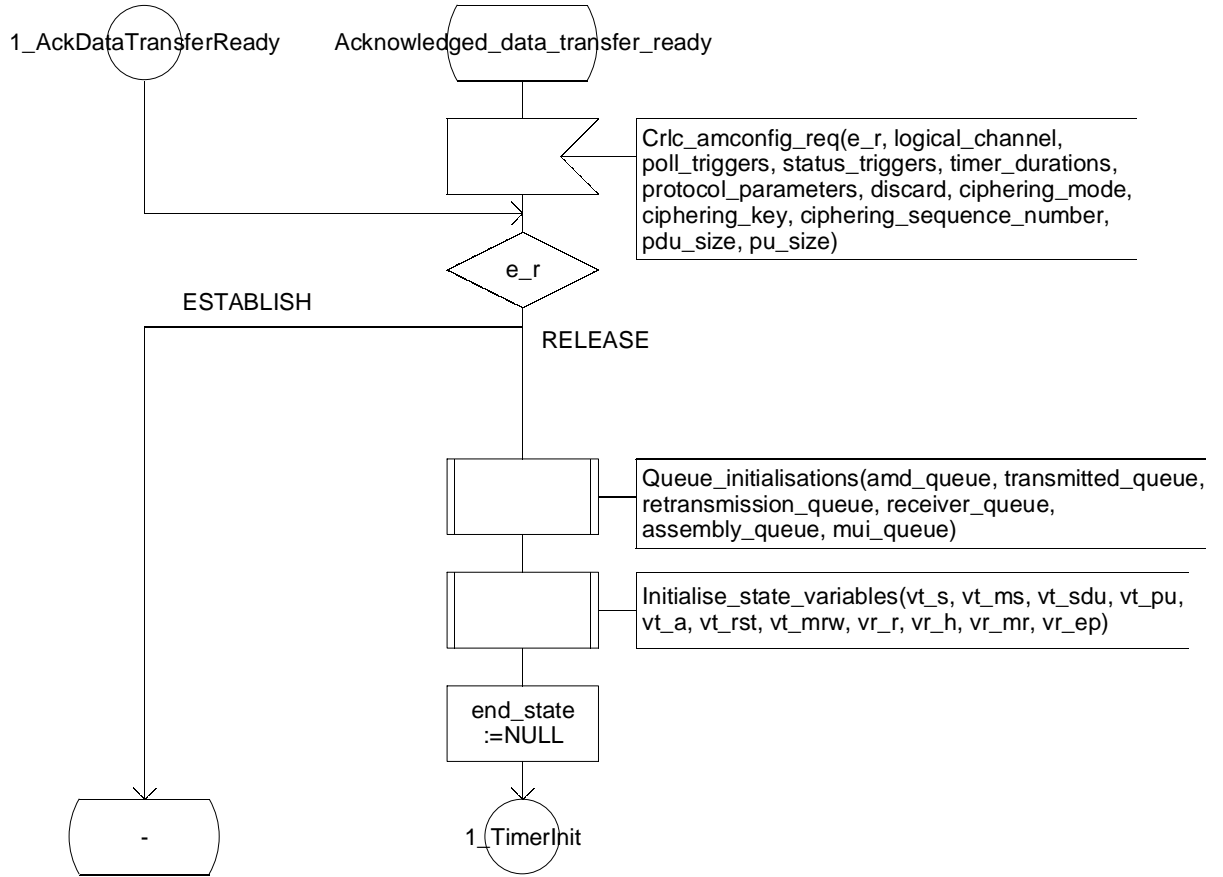
SIGNALSET



Virtual Process Type Acknowledged_link

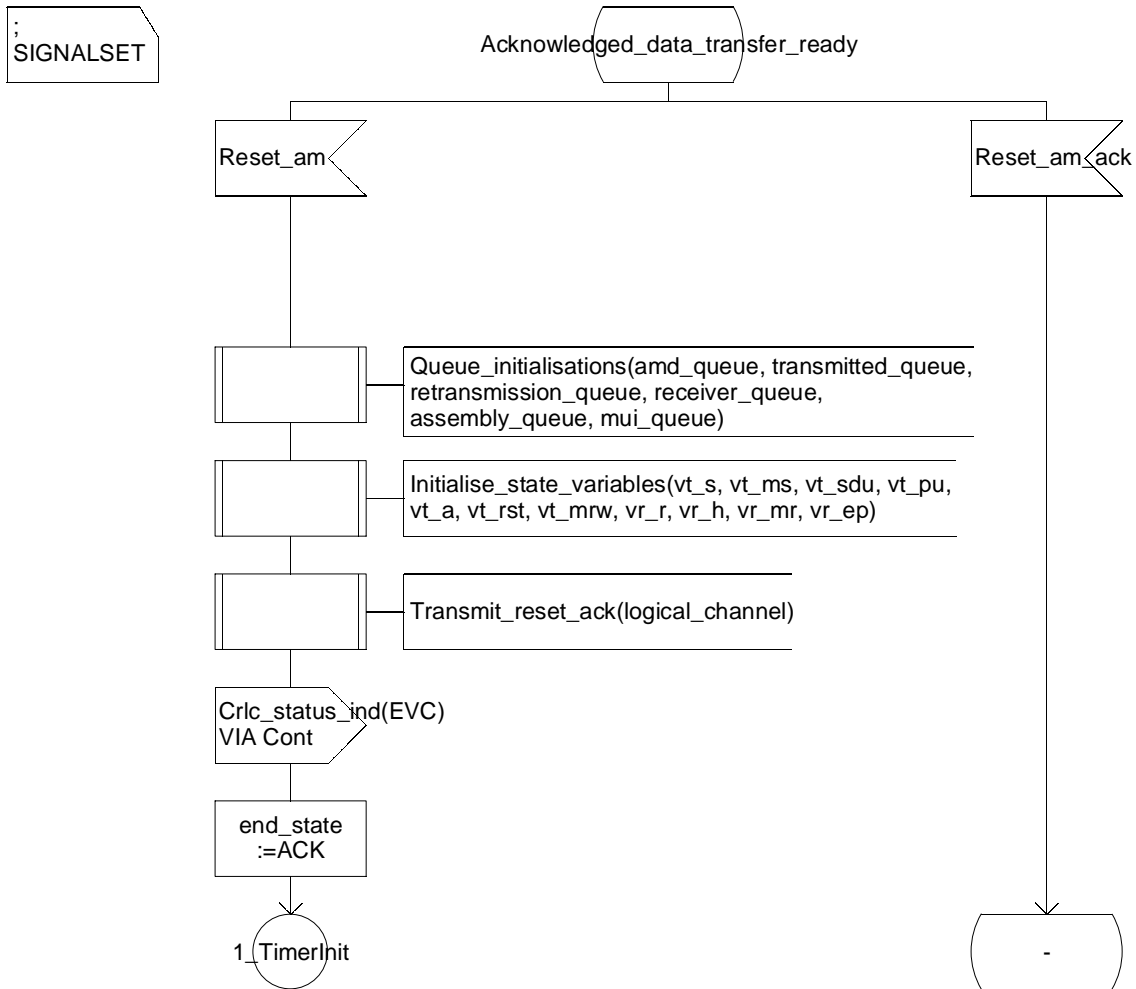
1_AcknowledgedDataTransferReady(69)

; SIGNALSET



Virtual Process Type Acknowledged_link

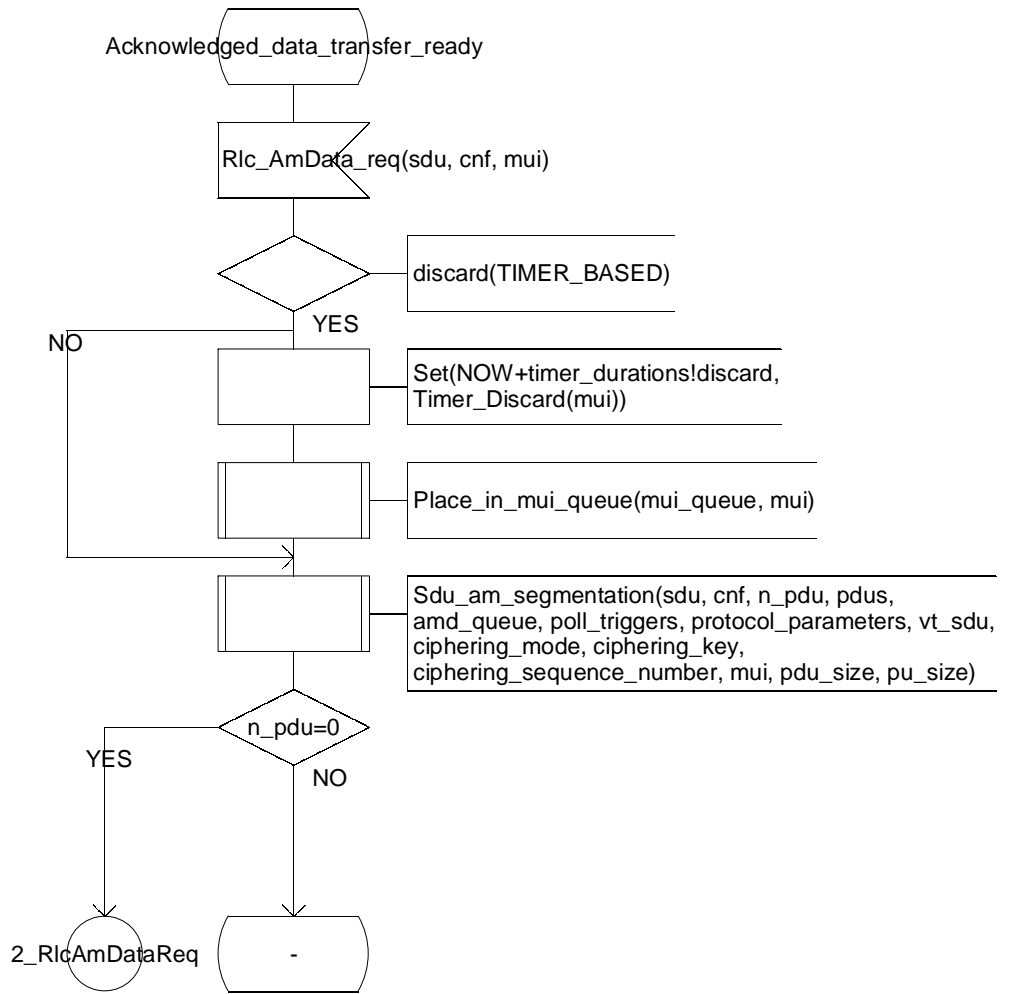
2_AcknowledgedDataTransferReady(69)



Virtual Process Type Acknowledged_link

1_RlcAmDataReq(69)

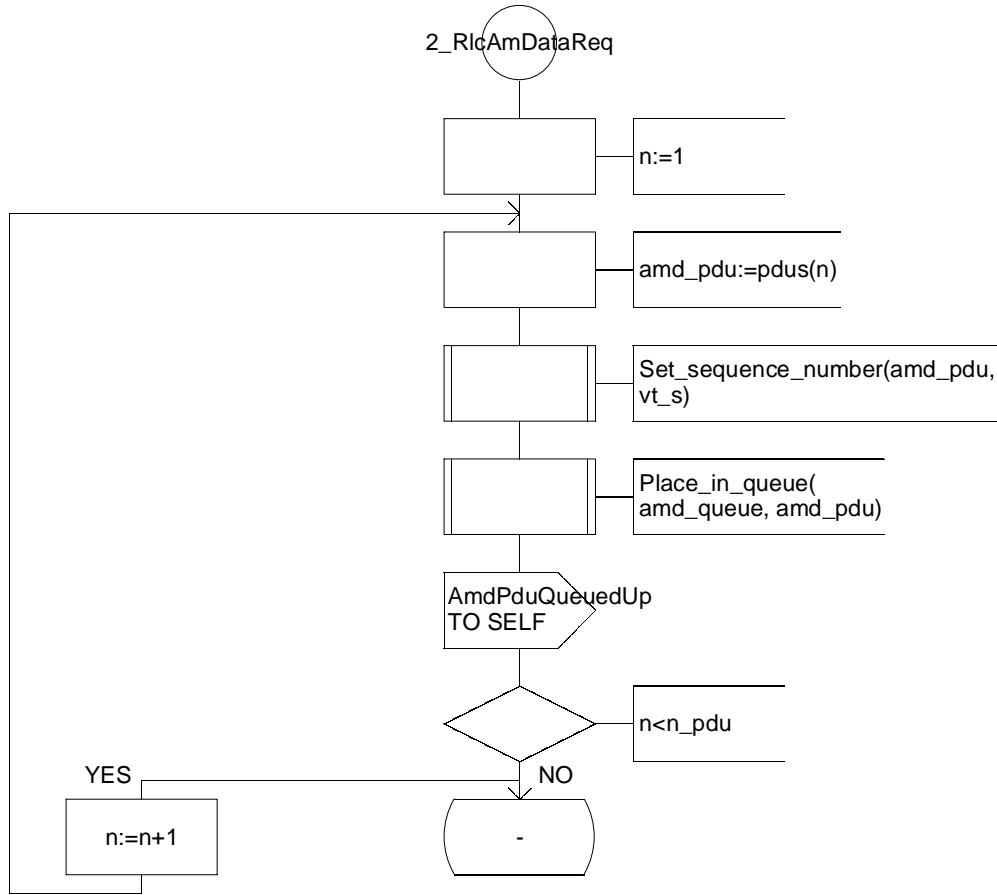
SIGNALSET



Virtual Process Type Acknowledged_link

2_RlcAmDataReq(69)

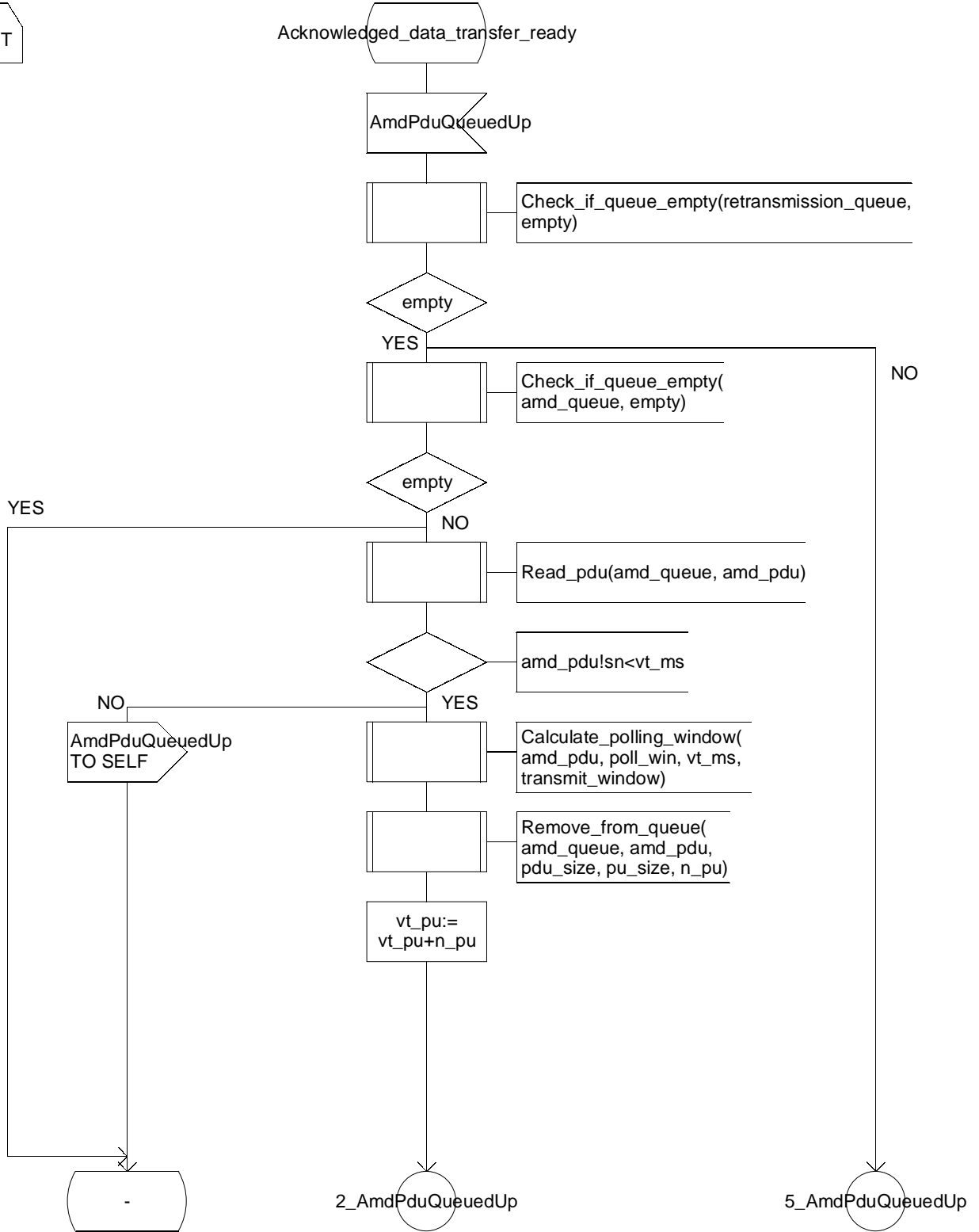
; SIGNALSET



Virtual Process Type Acknowledged_link

1_AmdPduQueuedUp(69)

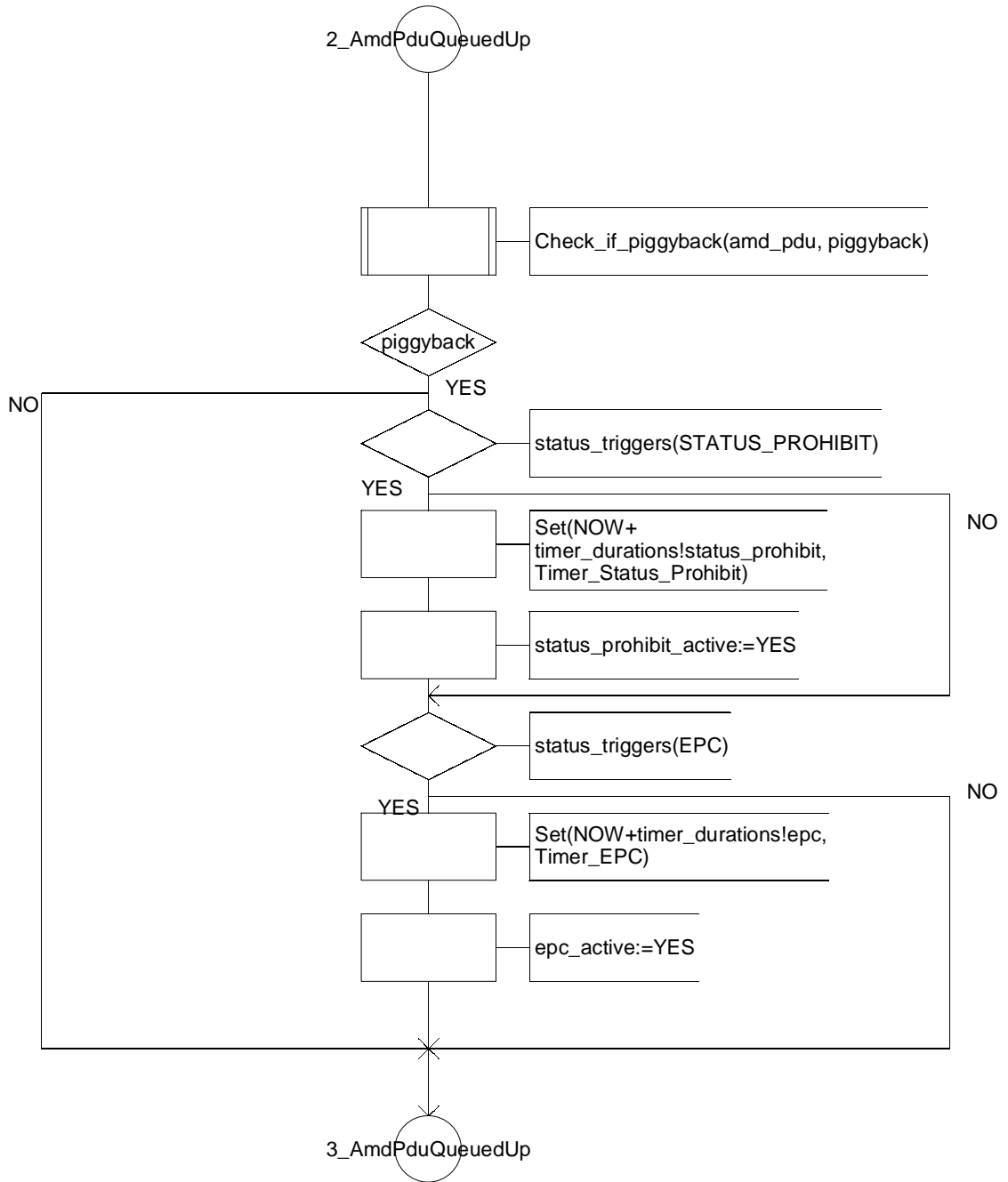
SIGNALSET



Virtual Process Type Acknowledged_link

2_AmdPduQueuedUp(69)

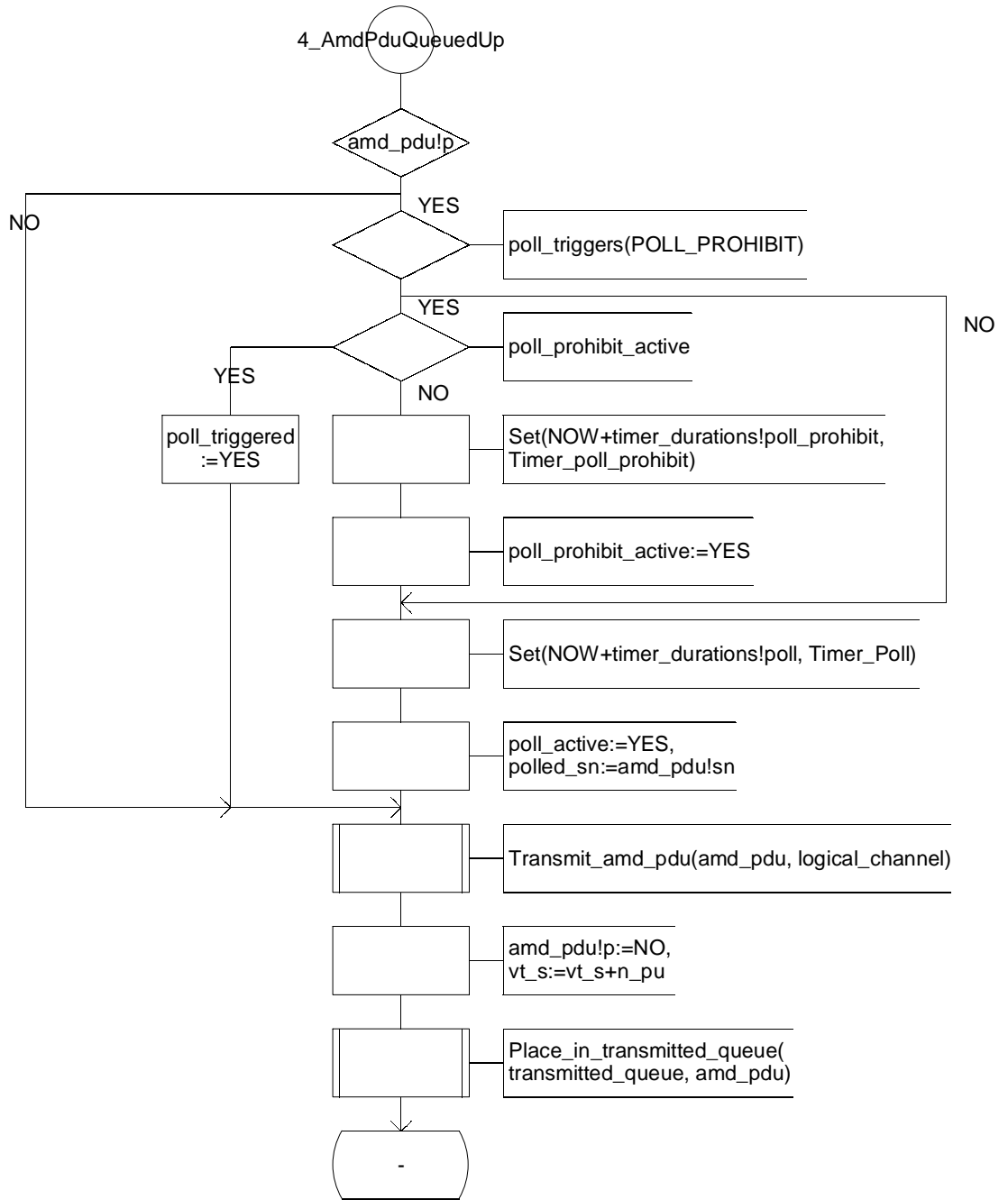
SIGNALSET



Virtual Process Type Acknowledged_link

4_AmdPduQueuedUp(69)

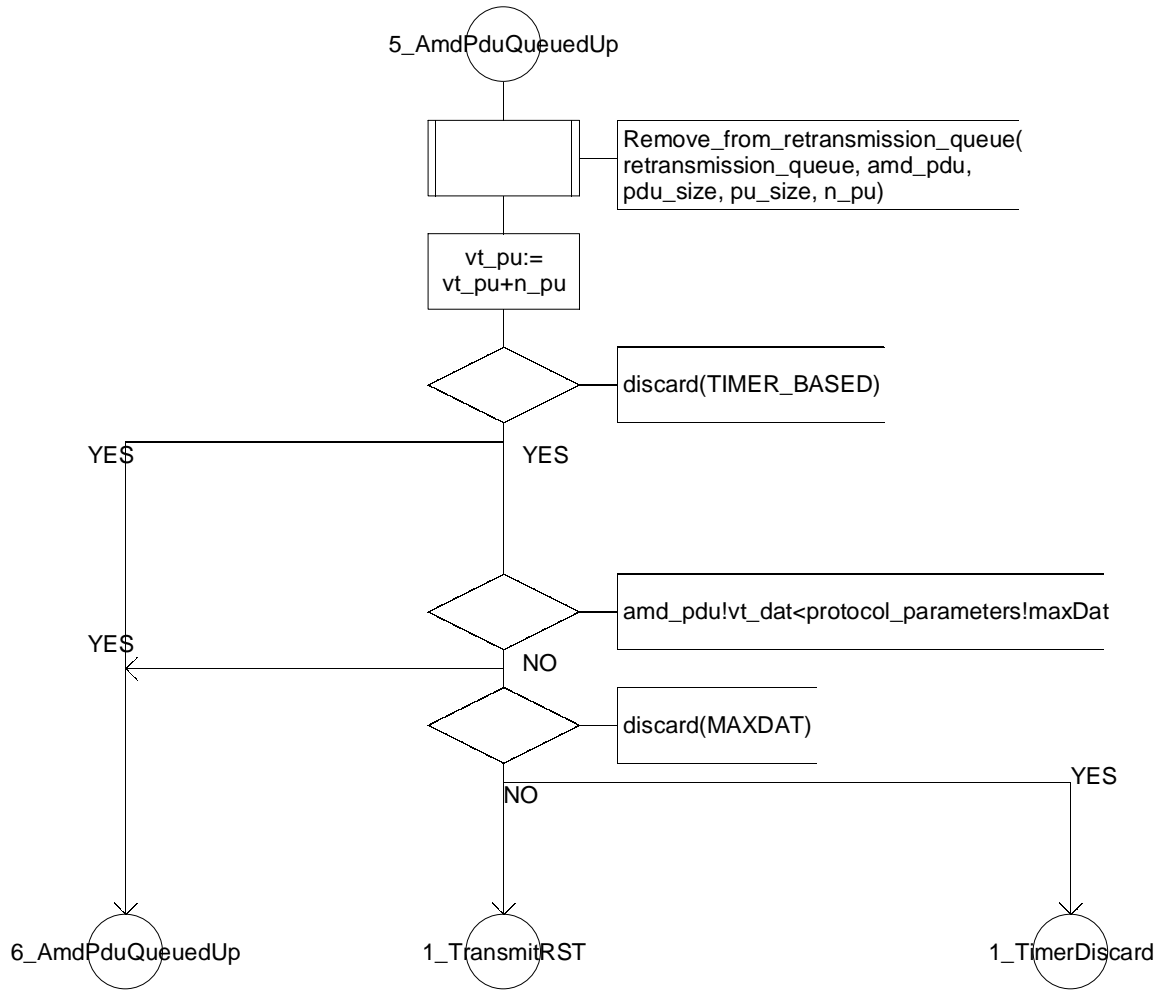
SIGNALSET



Virtual Process Type Acknowledged_link

5_AmdPduQueuedUp(69)

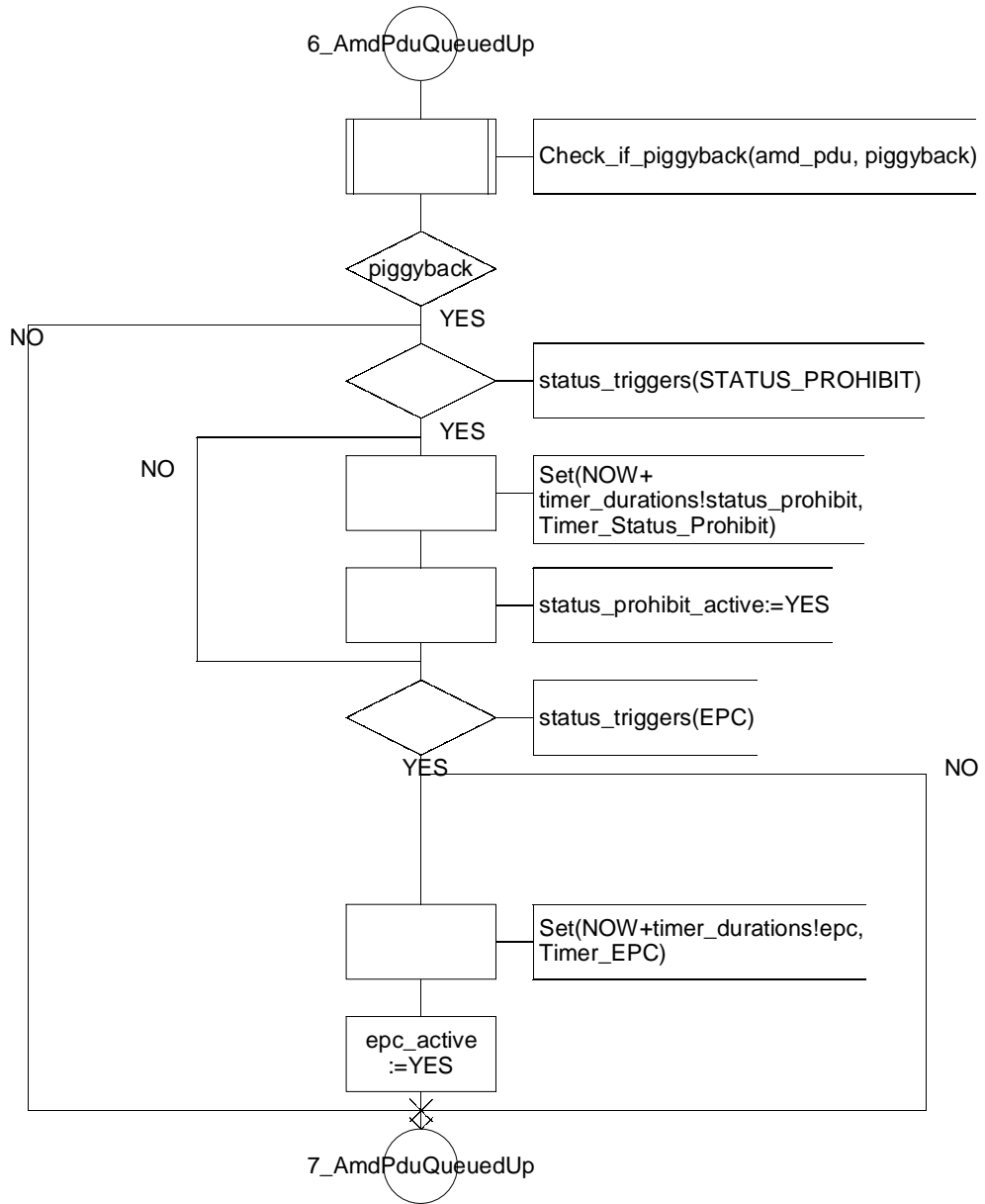
SIGNALSET



Virtual Process Type Acknowledged_link

6_AmdPduQueuedUp(69)

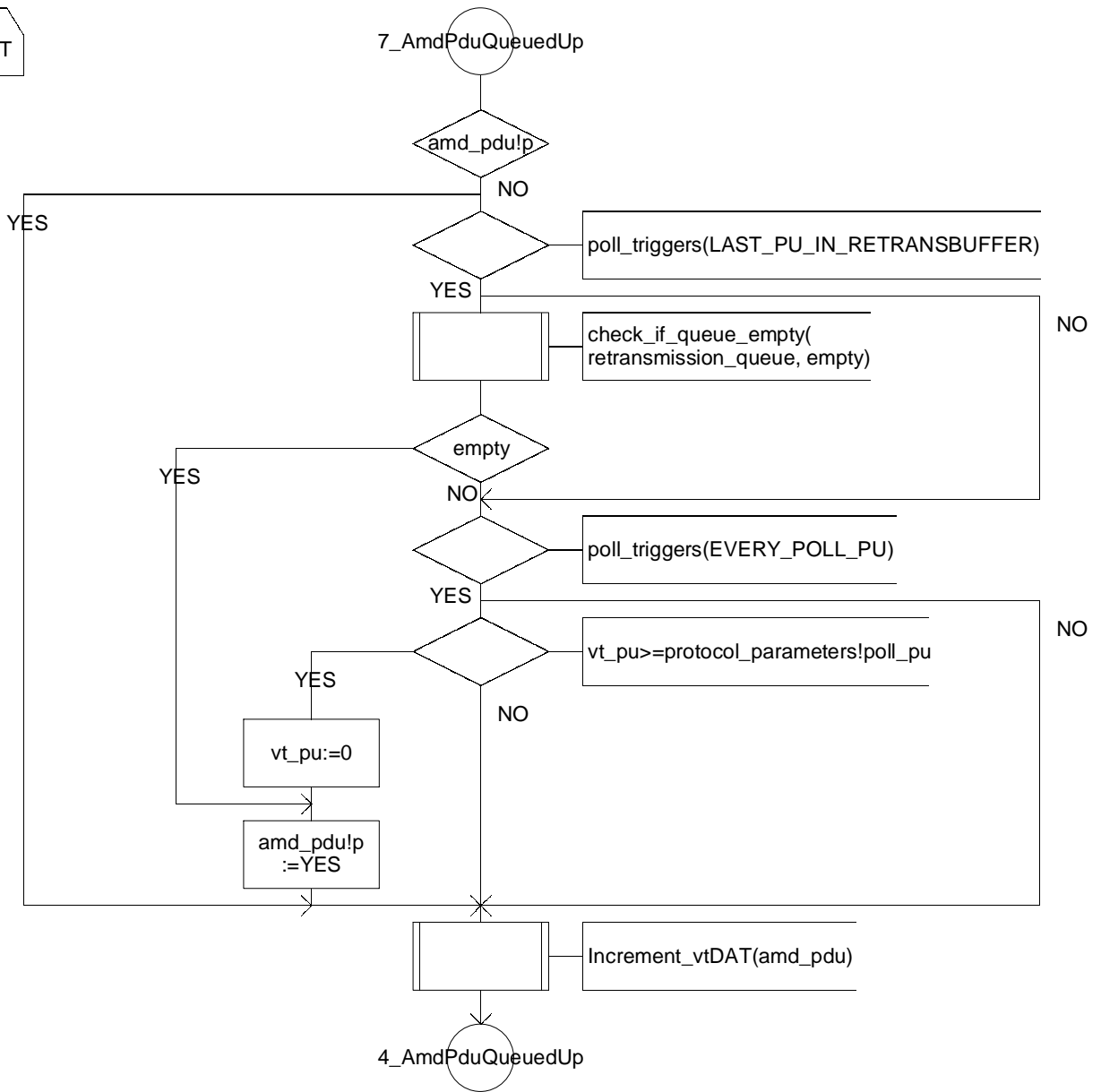
; SIGNALSET



Virtual Process Type Acknowledged_link

7_AmdPduQueuedUp(69)

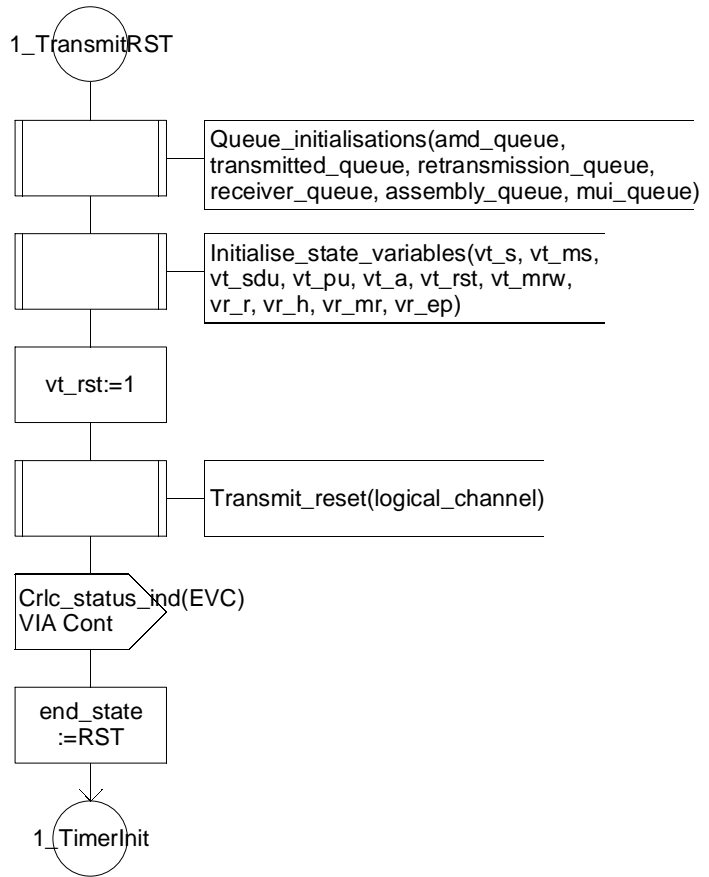
SIGNALSET



Virtual Process Type Acknowledged_link

1_TransmitRST(69)

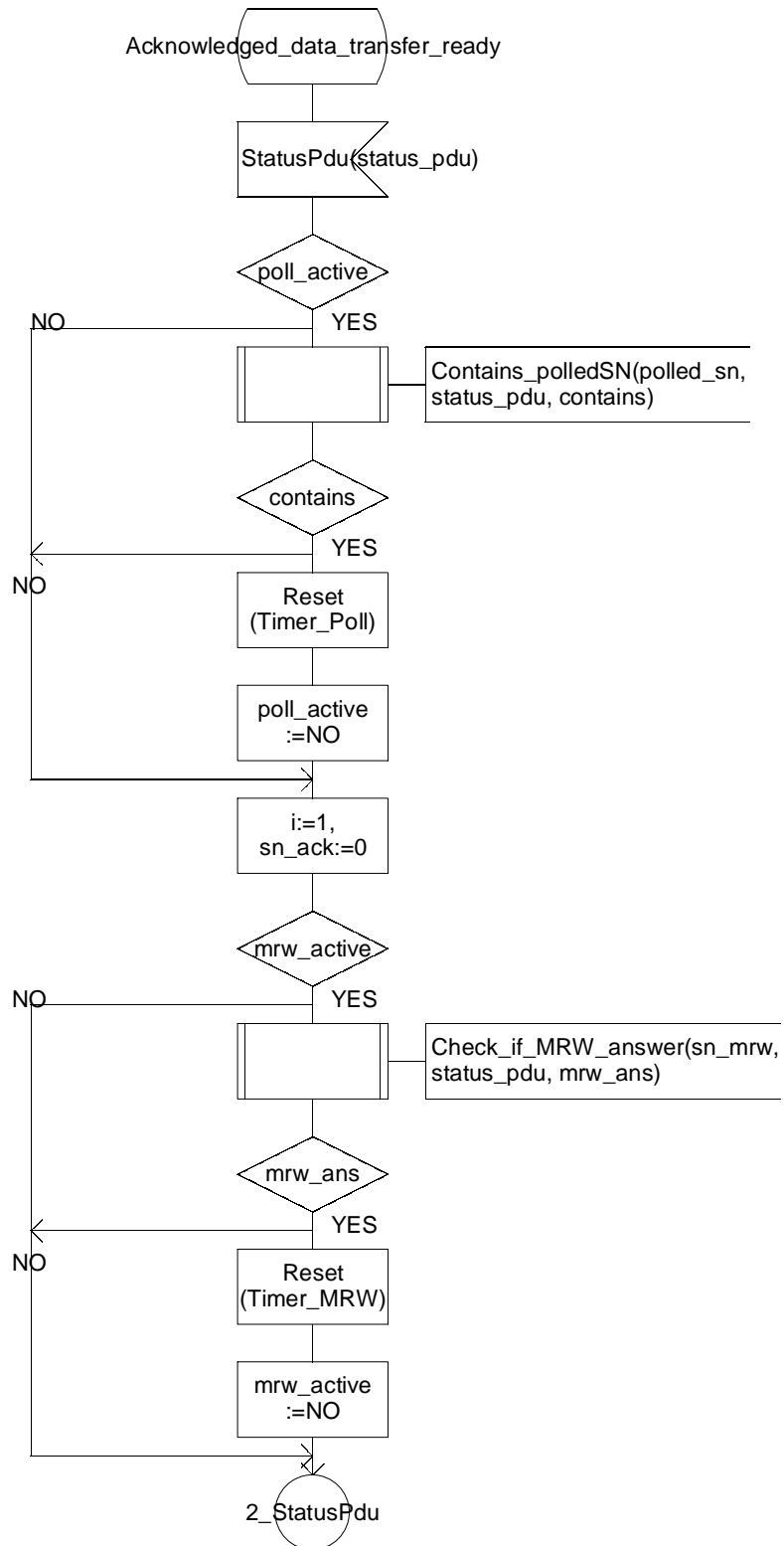
; SIGNALSET
Crc_amconfig_req



Virtual Process Type Acknowledged_link

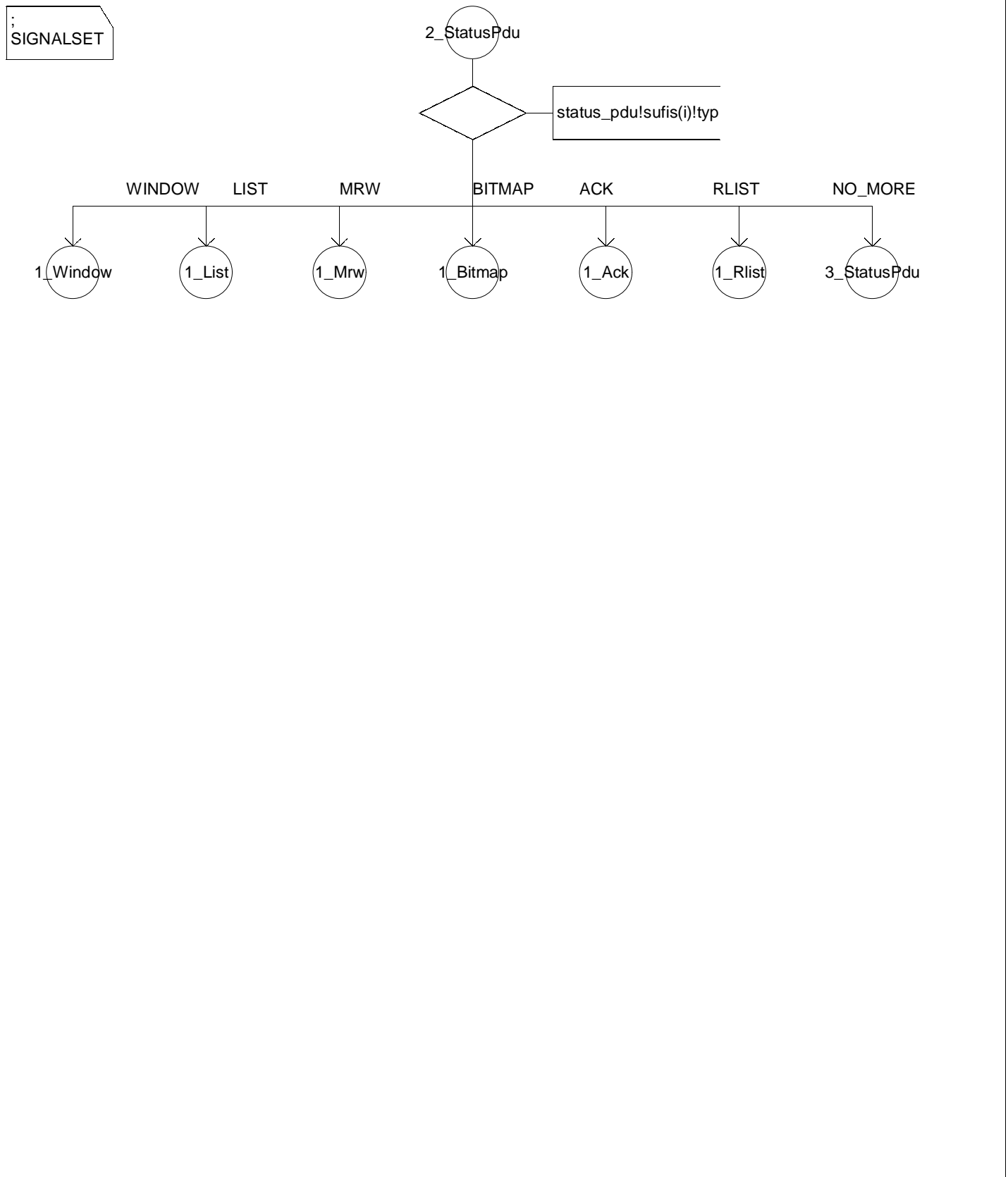
1_StatusPdu(69)

SIGNALSET



Virtual Process Type Acknowledged_link

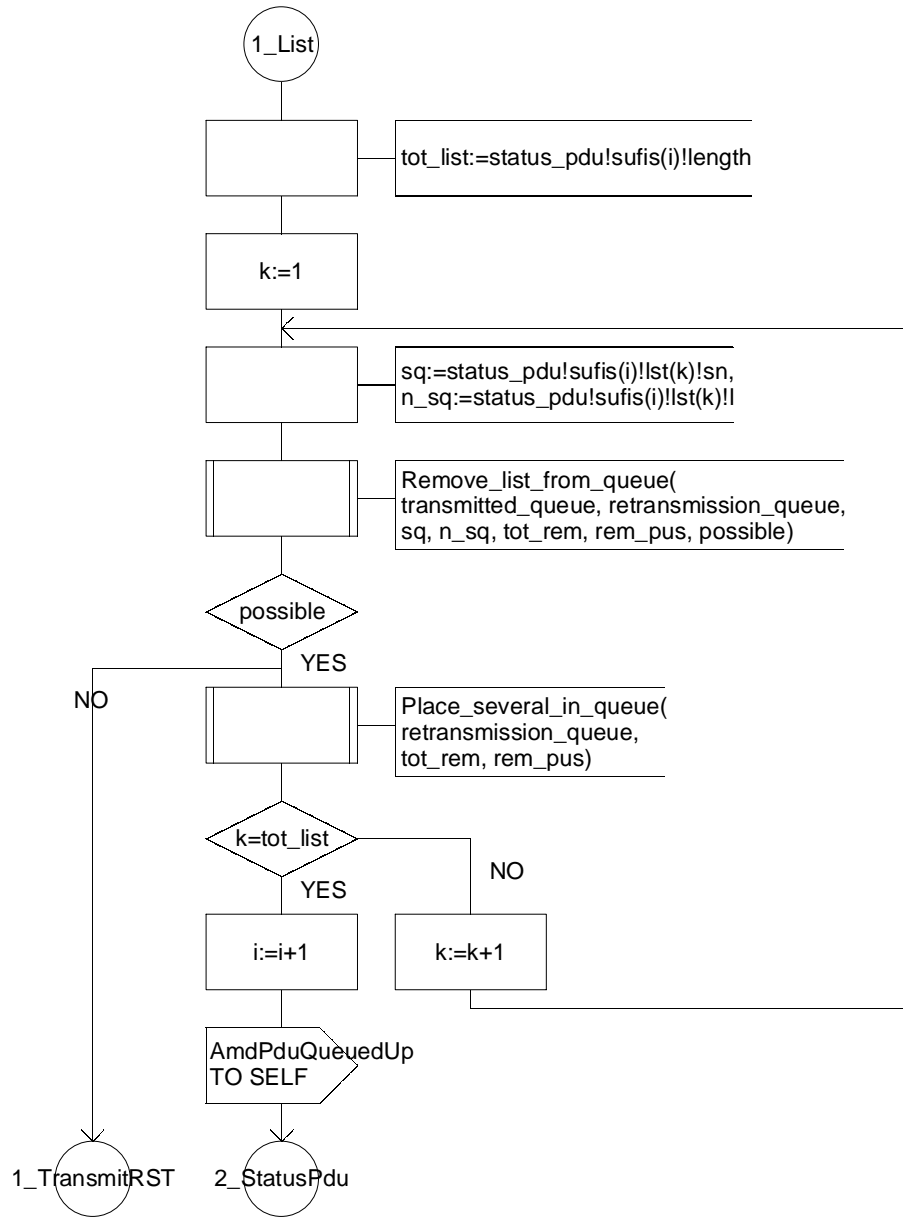
2_StatusPdu(69)



Virtual Process Type Acknowledged_link

1_StatusPduList(69)

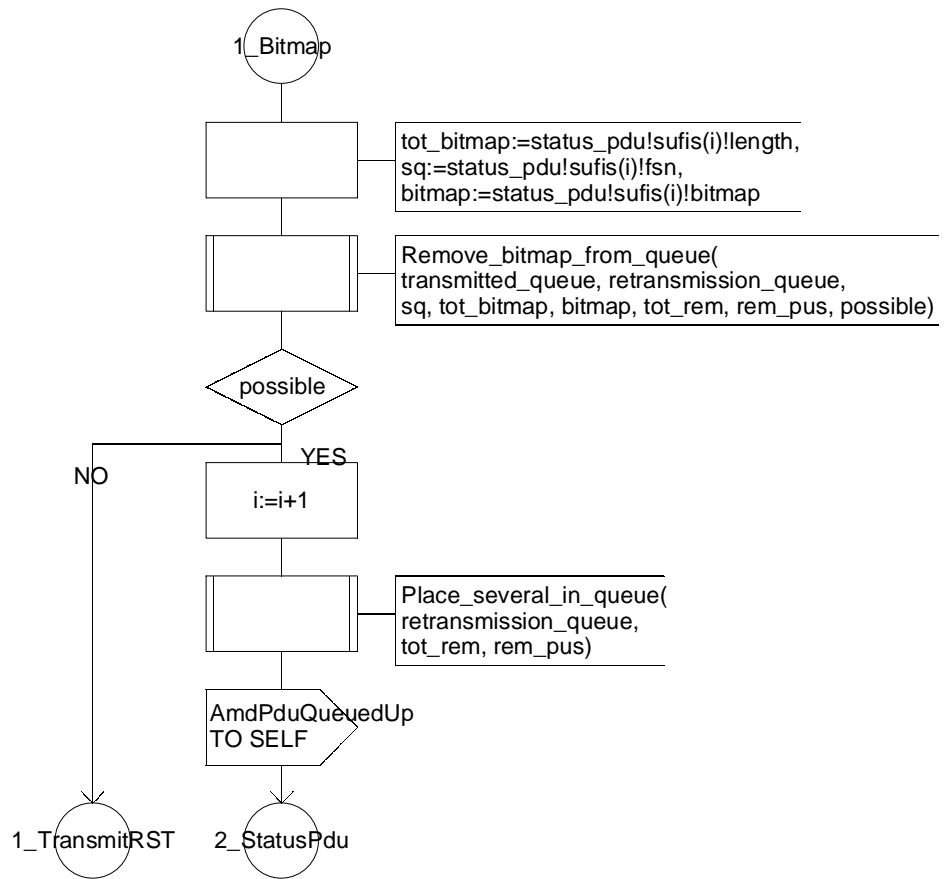
; SIGNALSET



Virtual Process Type Acknowledged_link

1_StatusPduBitmap(69)

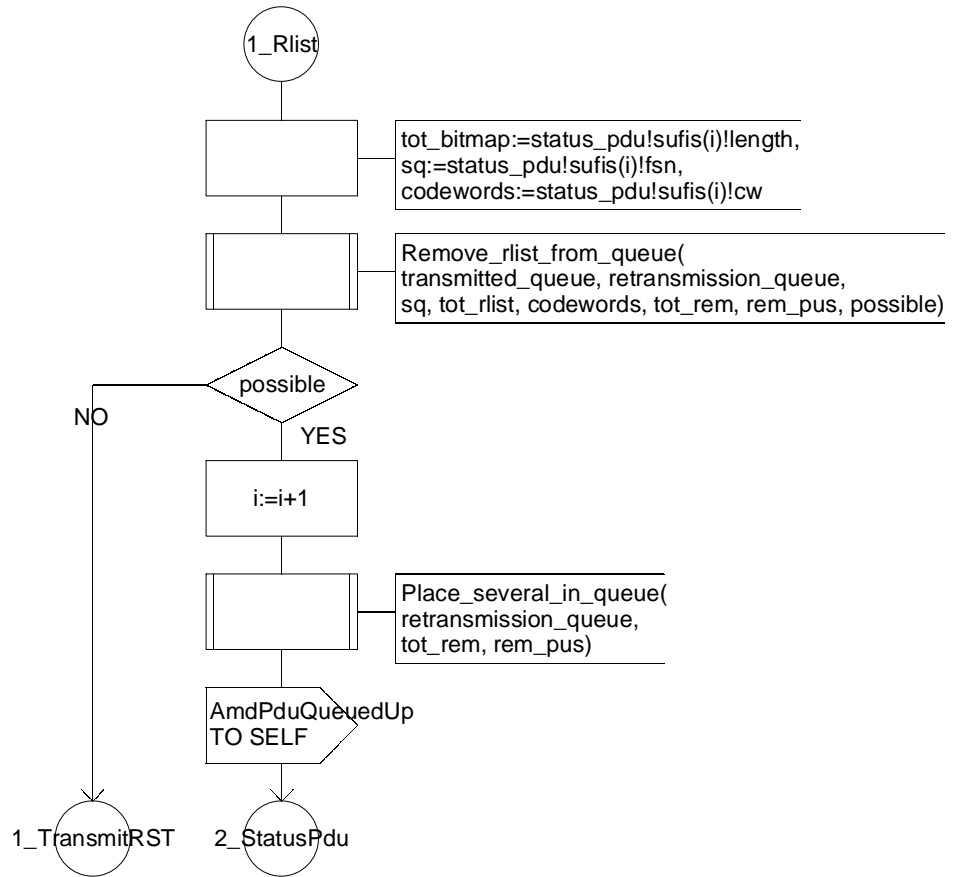
SIGNALSET



Virtual Process Type Acknowledged_link

1_StatusPduRlist(69)

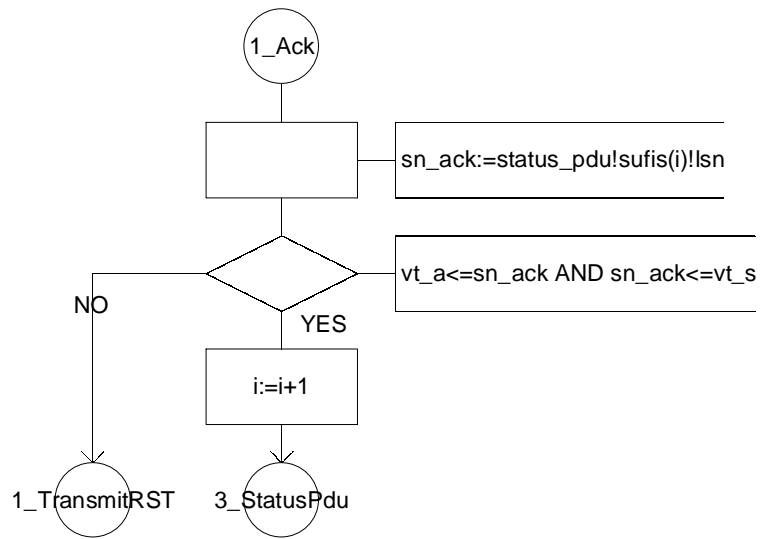
SIGNALSET



Virtual Process Type Acknowledged_link

1_StatusPduAck(69)

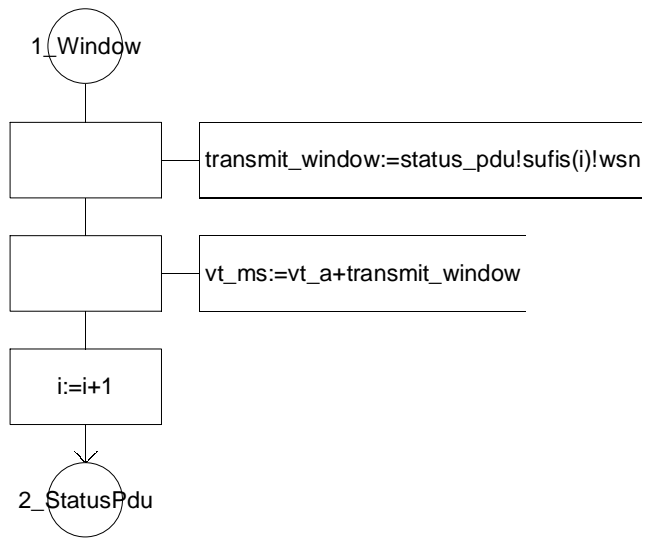
; SIGNALSET



Virtual Process Type Acknowledged_link

1_StatusPduWindow(69)

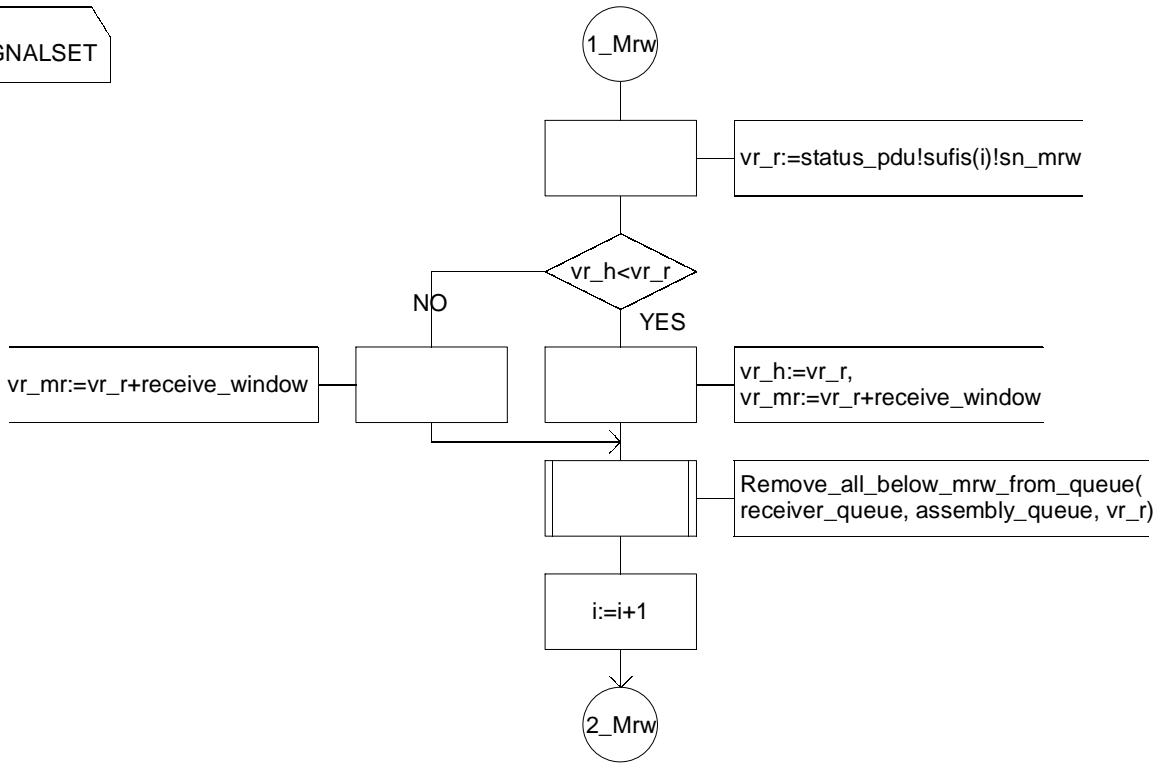
SIGNALSET



Virtual Process Type Acknowledged_link

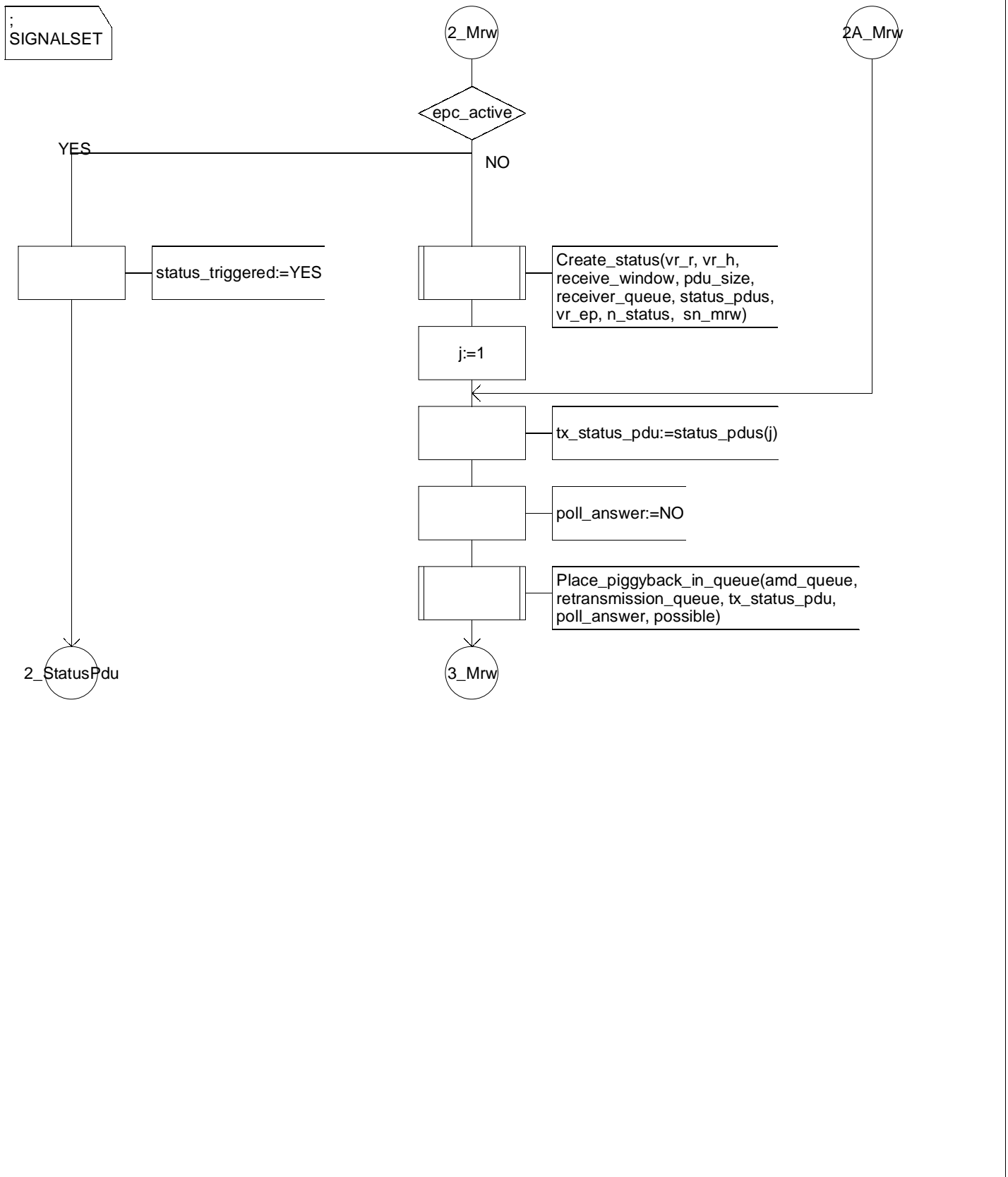
1_StatusPduMrw(69)

SIGNALSET



Virtual Process Type Acknowledged_link

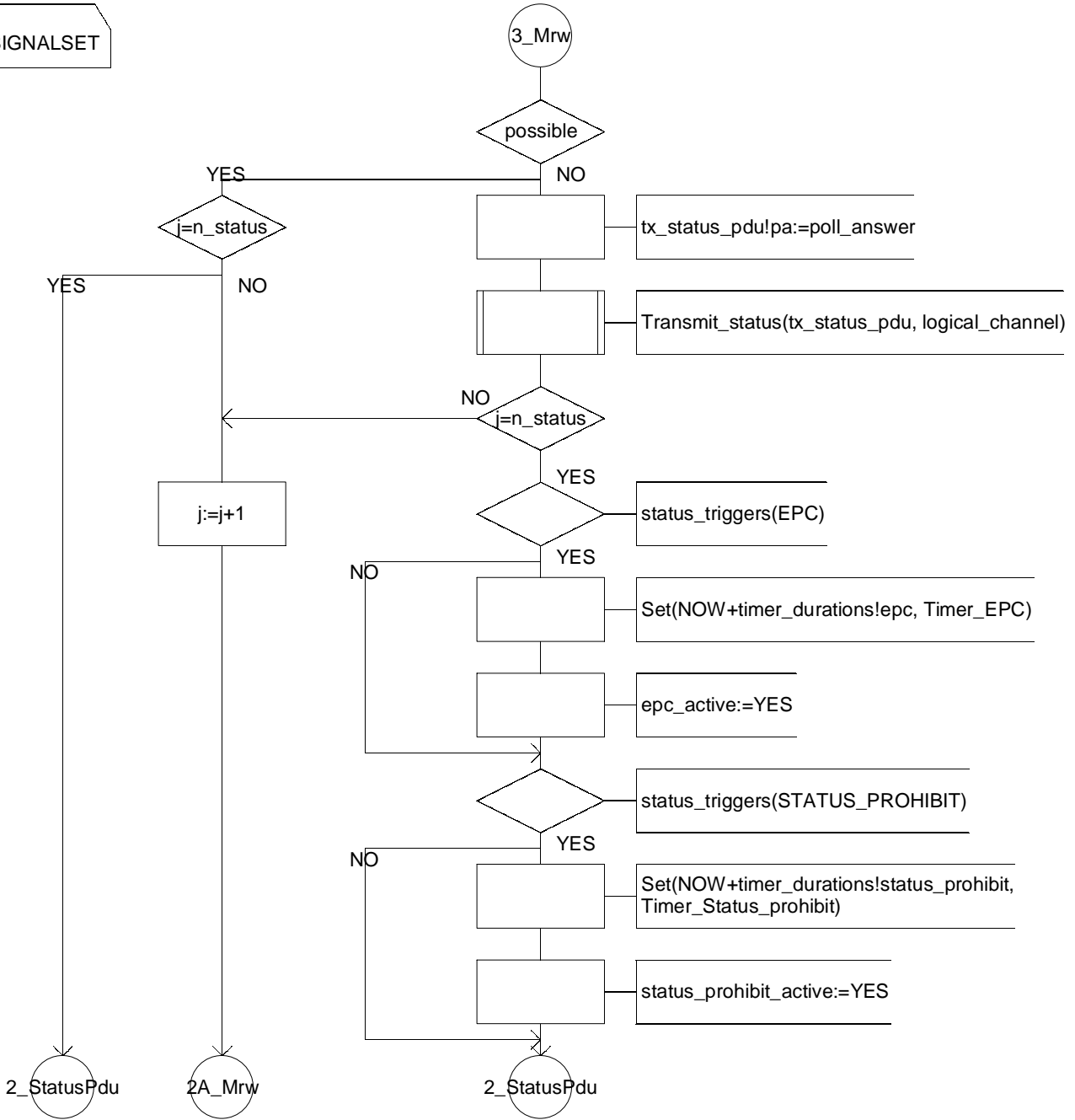
2_StatusPduMrw(69)



Virtual Process Type Acknowledged_link

3_StatusPduMrw(69)

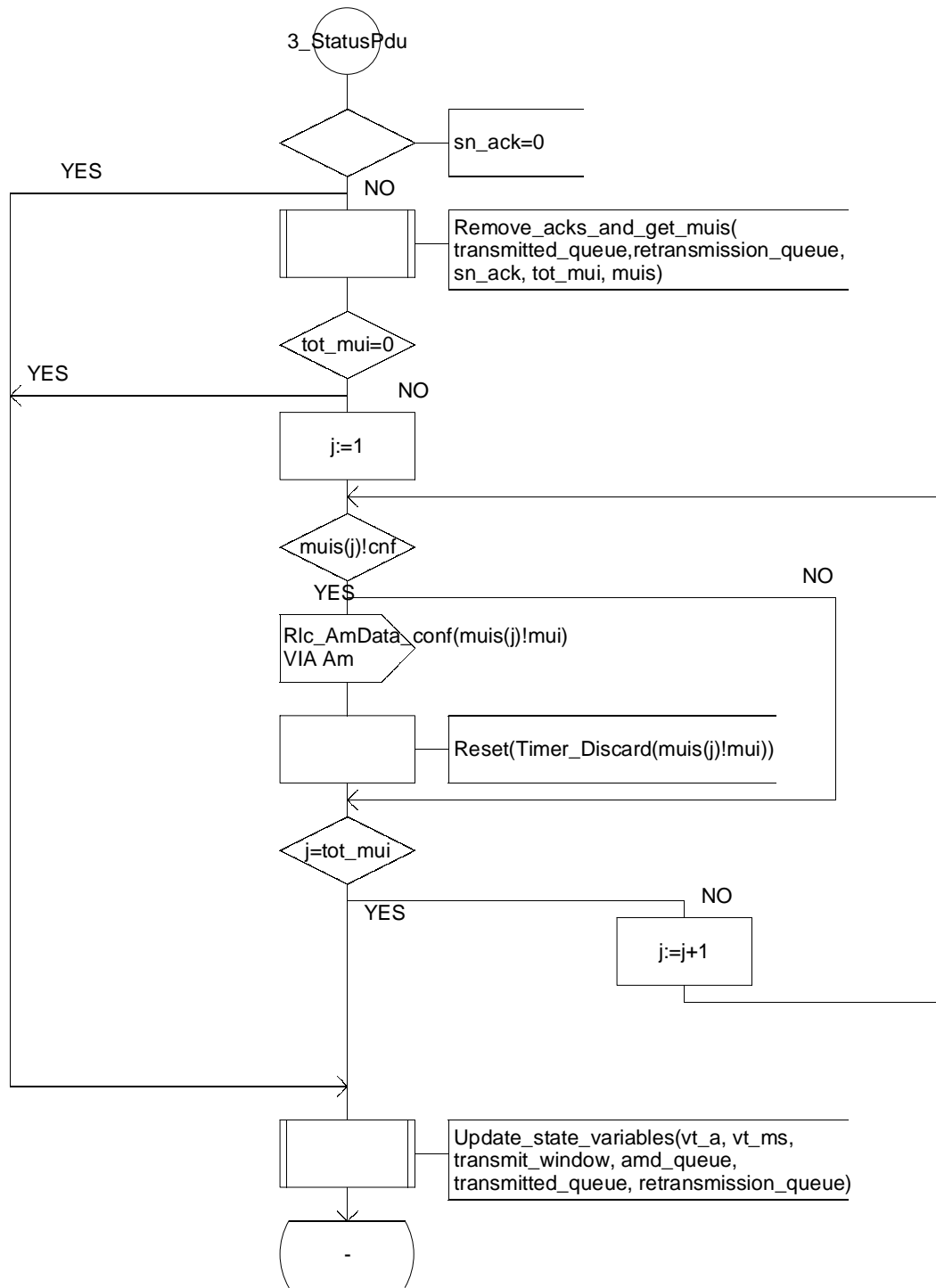
SIGNALSET



Virtual Process Type Acknowledged_link

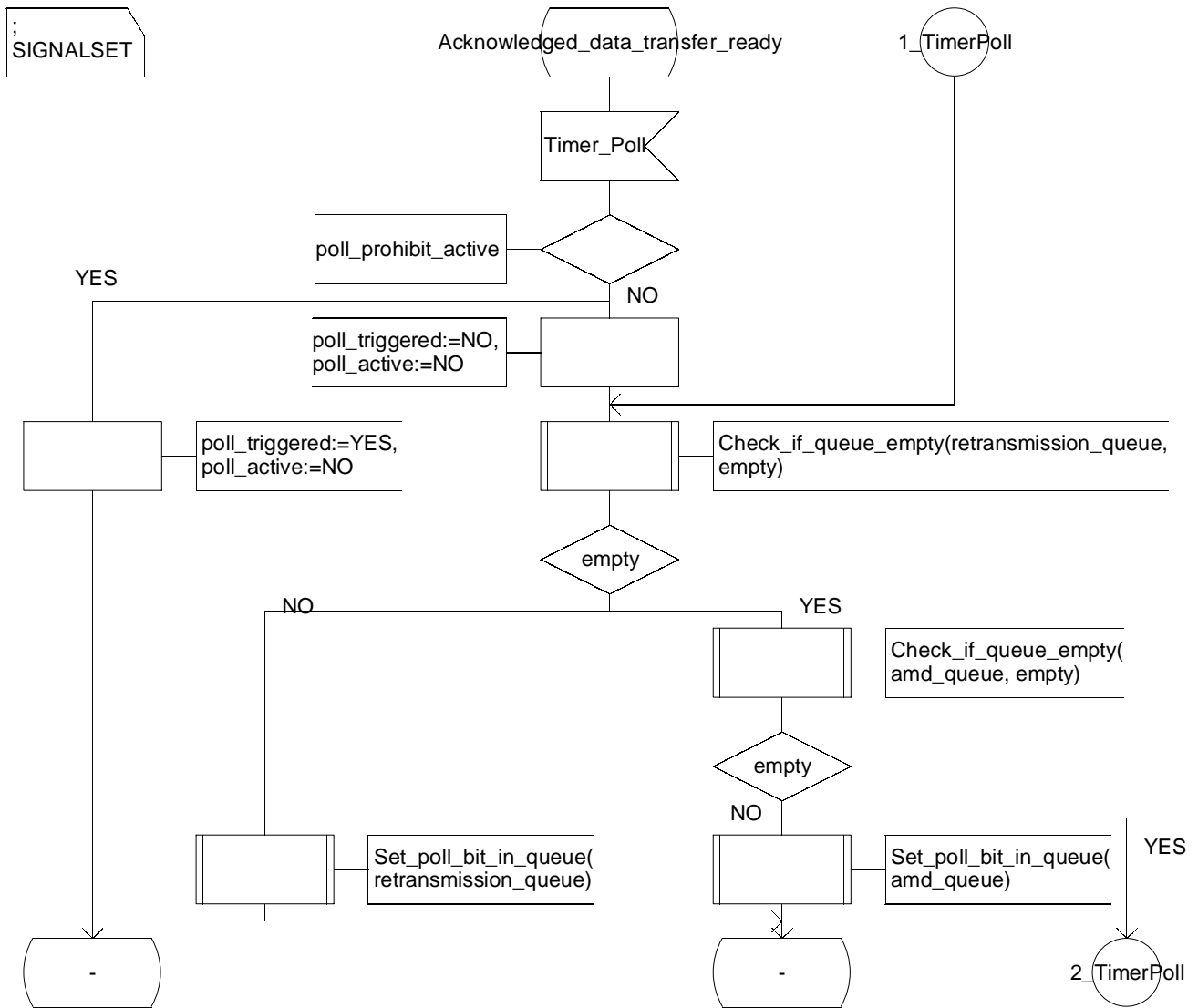
3_StatusPdu(69)

SIGNALSET



Virtual Process Type Acknowledged_link

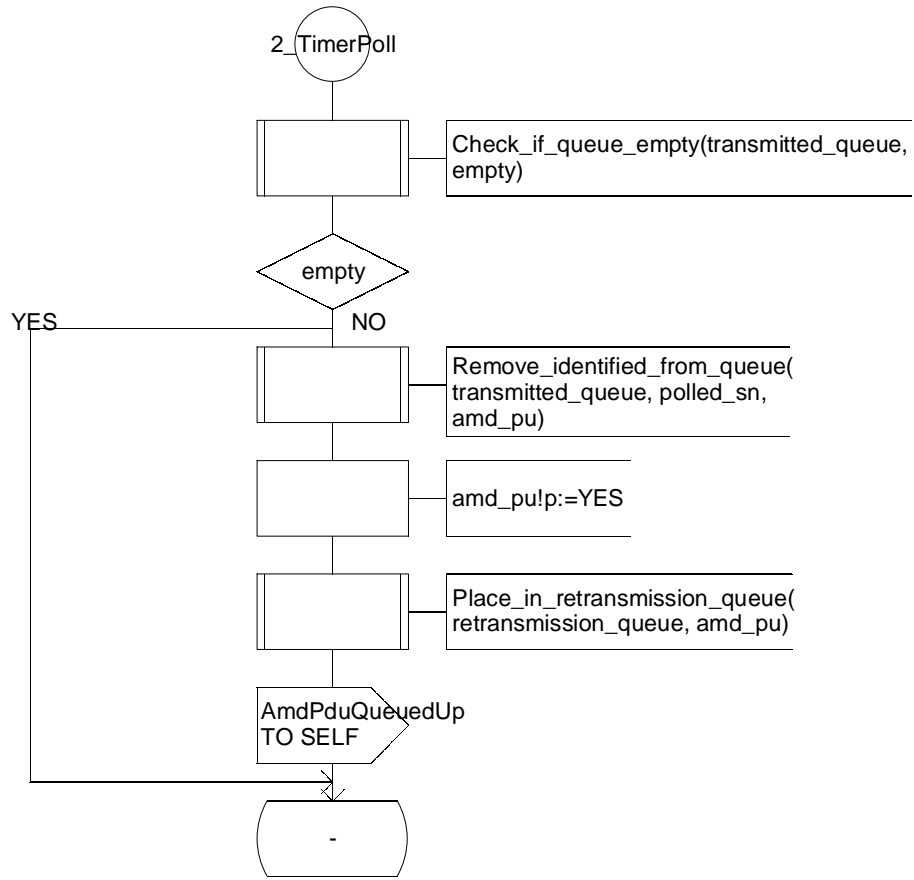
1_TimerPoll(69)



Virtual Process Type Acknowledged_link

2_TimerPoll(69)

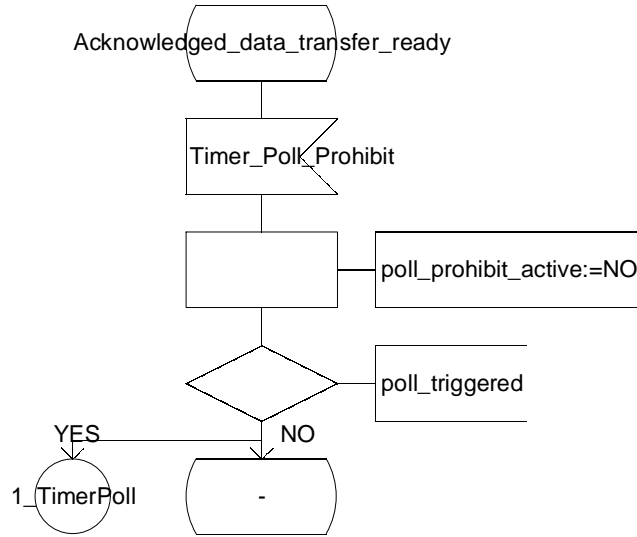
; SIGNALSET



Virtual Process Type Acknowledged_link

1_TimerPollProhibit(69)

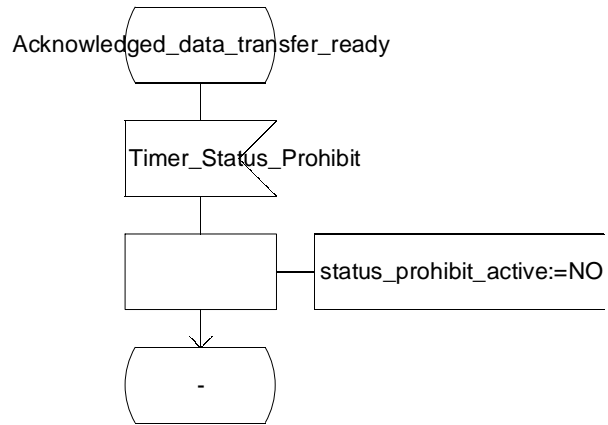
; SIGNALSET



Virtual Process Type Acknowledged_link

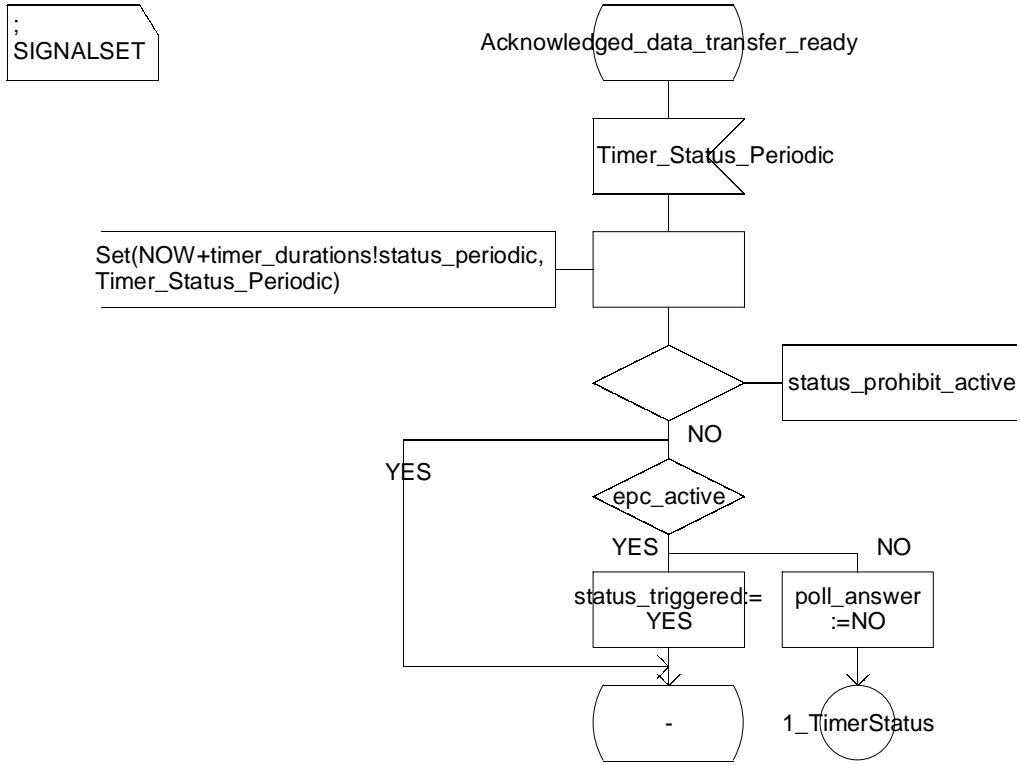
1_TimerStatusProhibit(69)

; SIGNALSET



Virtual Process Type Acknowledged_link

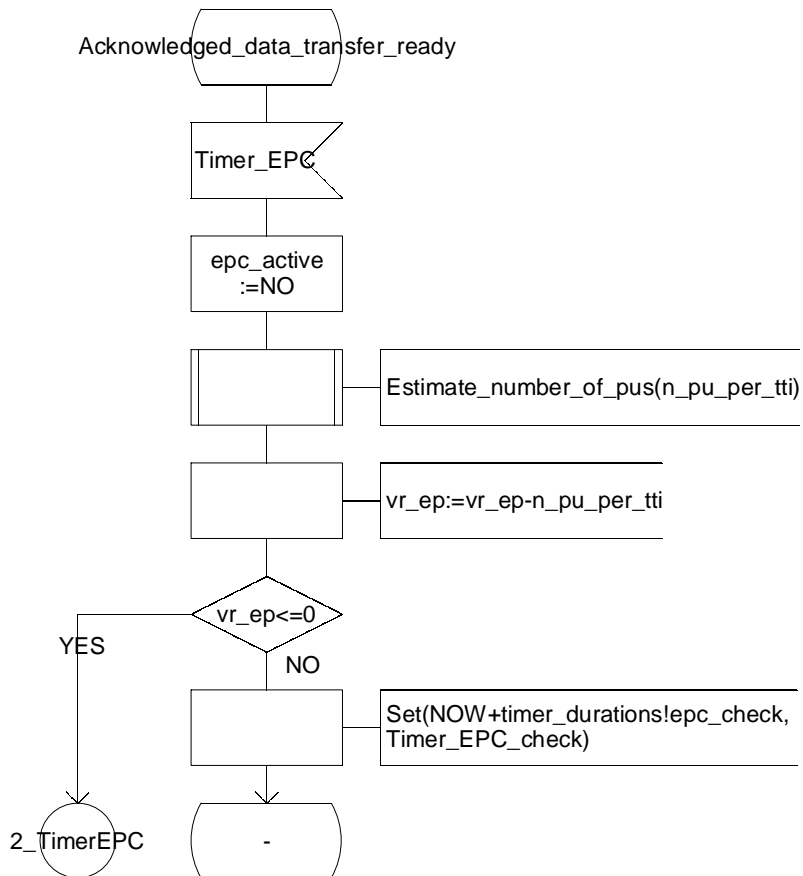
1_TimerStatusPeriodic(69)



Virtual Process Type Acknowledged_link

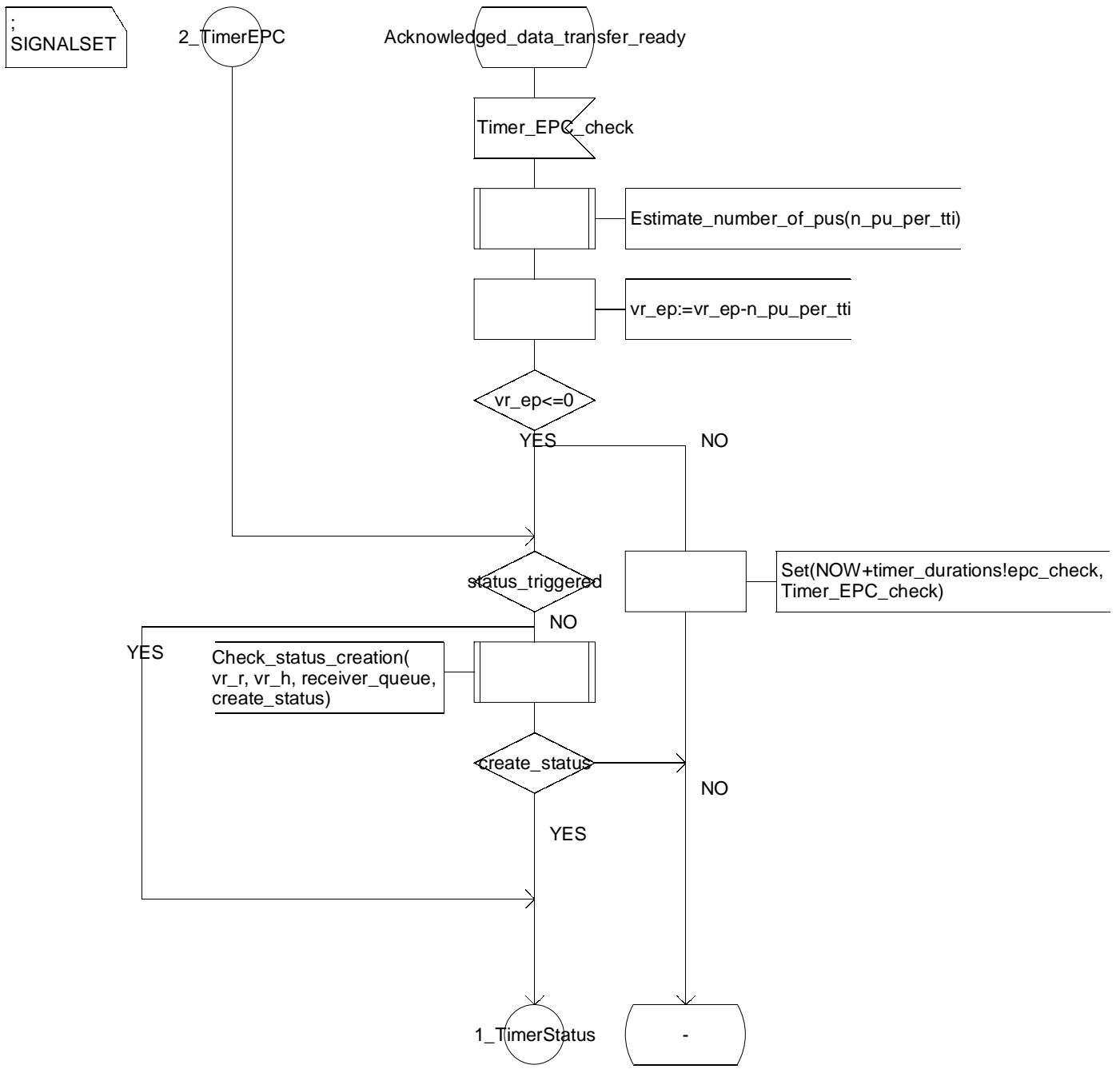
1_TimerEpc(69)

; SIGNALSET



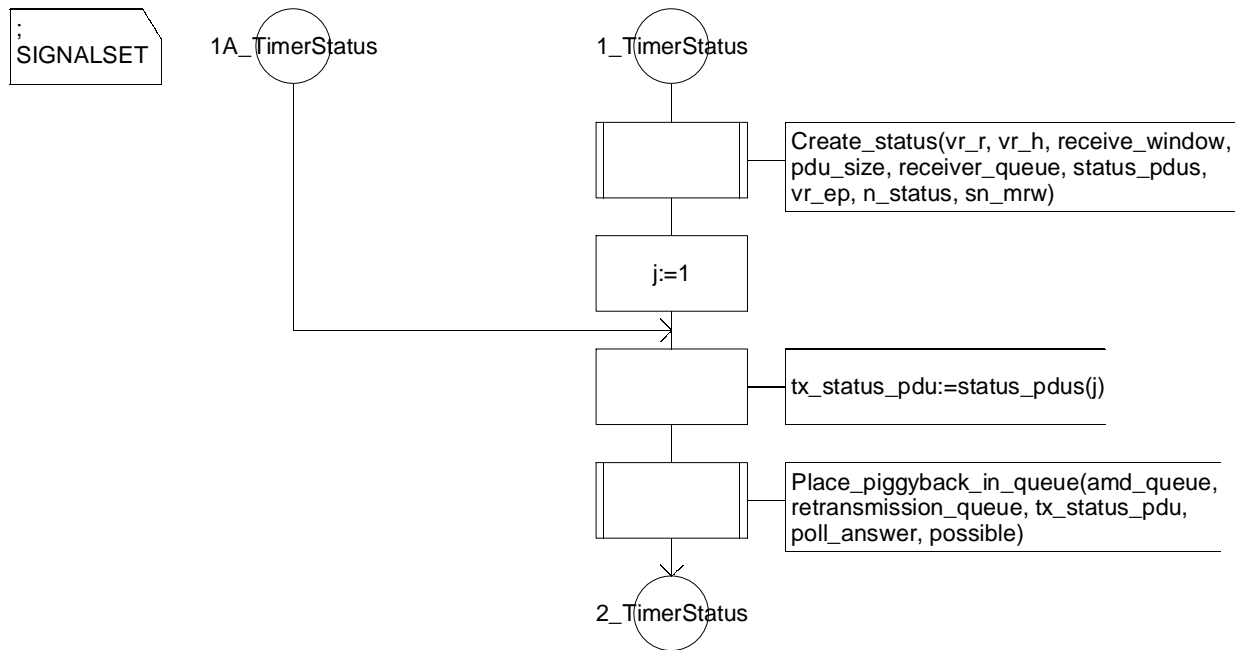
Virtual Process Type Acknowledged_link

1_TimerEpcCheck(69)



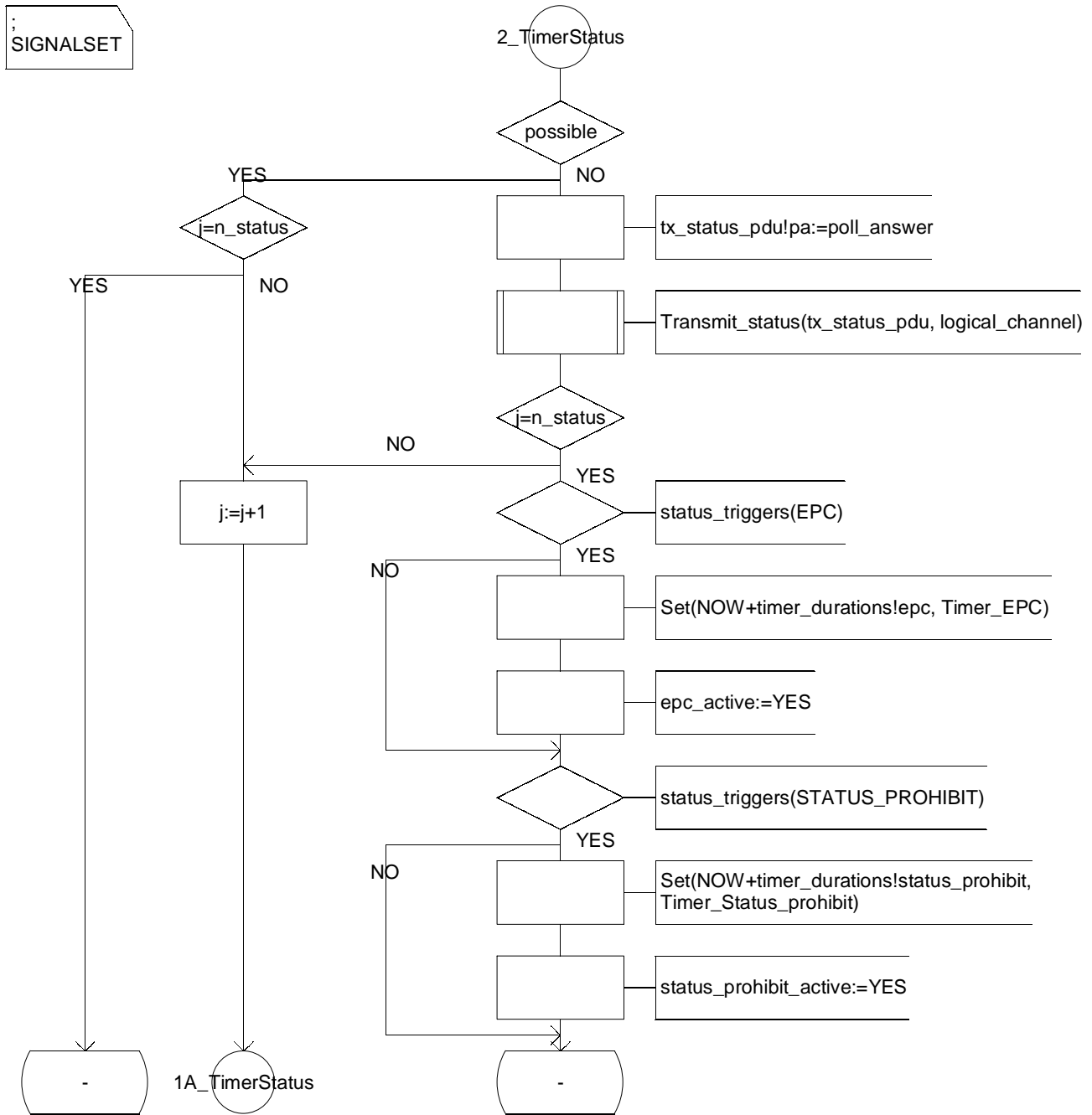
Virtual Process Type Acknowledged_link

1_TimerStatus(69)



Virtual Process Type Acknowledged_link

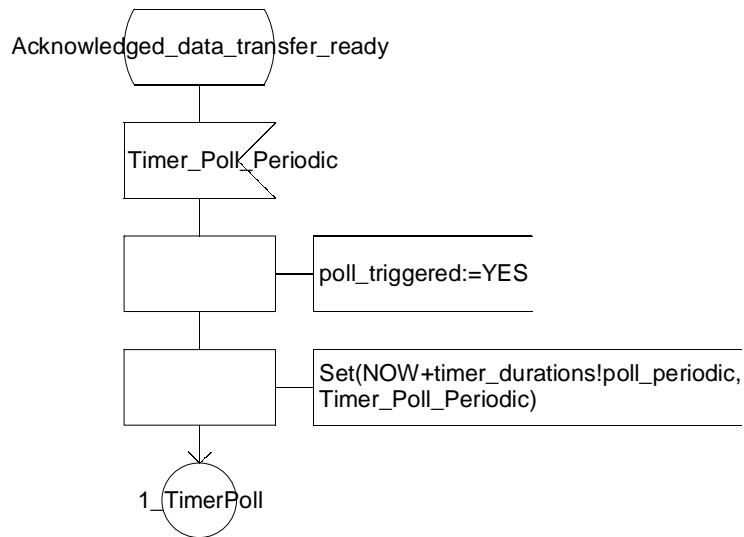
2_TimerStatus(69)



Virtual Process Type Acknowledged_link

1_TimerPollPeriodic(69)

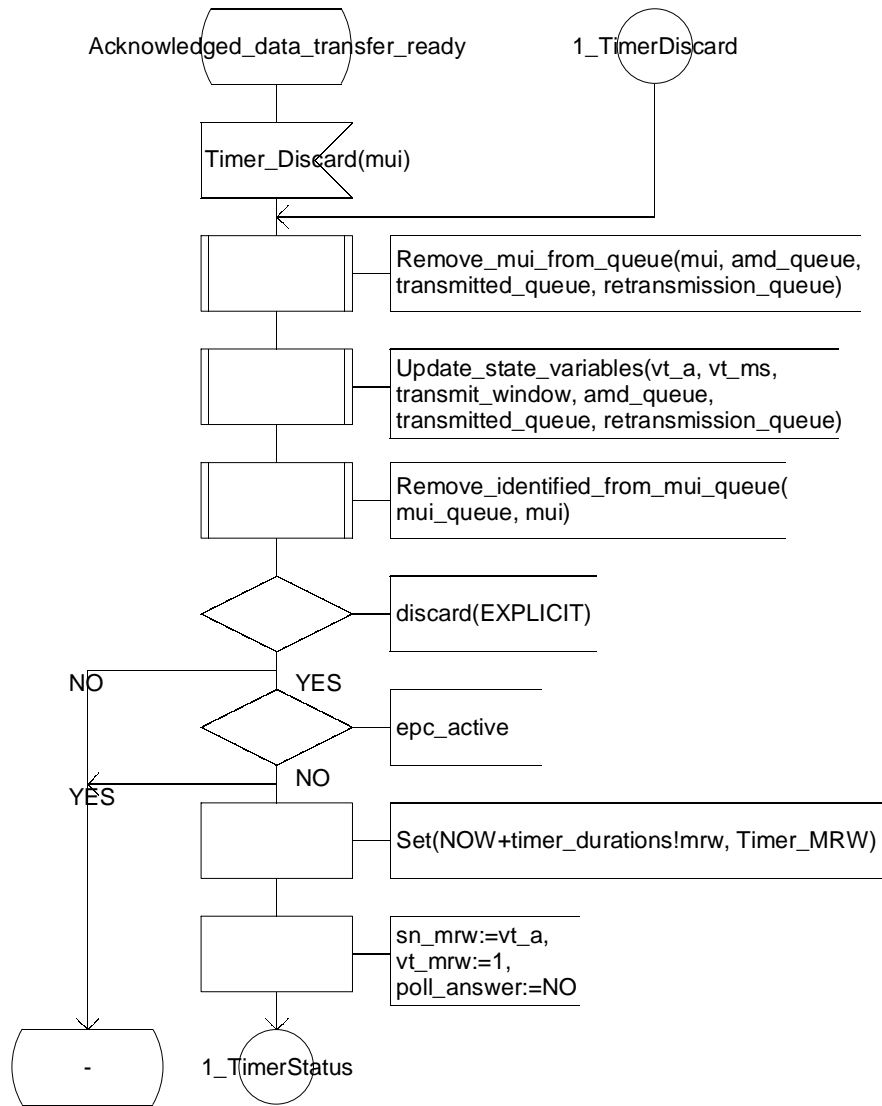
; SIGNALSET



Virtual Process Type Acknowledged_link

1_TimerDiscard(69)

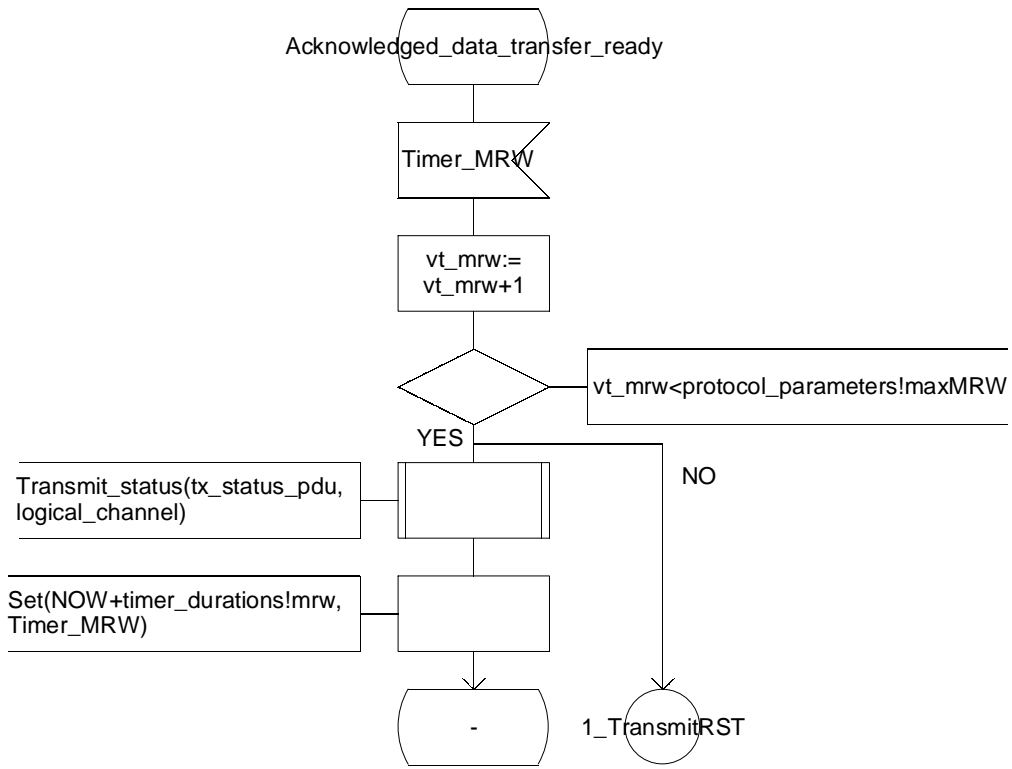
SIGNALSET



Virtual Process Type Acknowledged_link

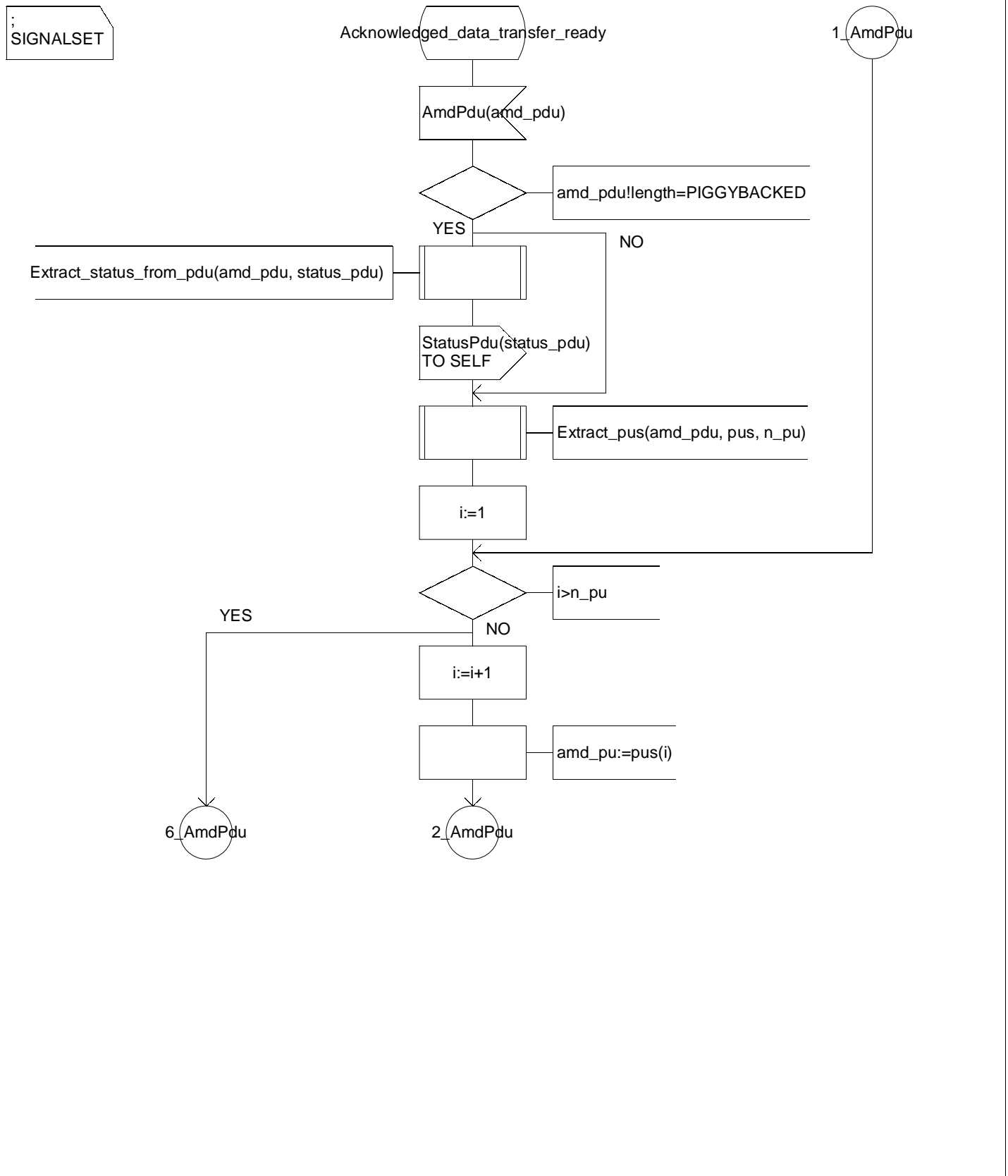
1_TimerMRW(69)

SIGNALSET



Virtual Process Type Acknowledged_link

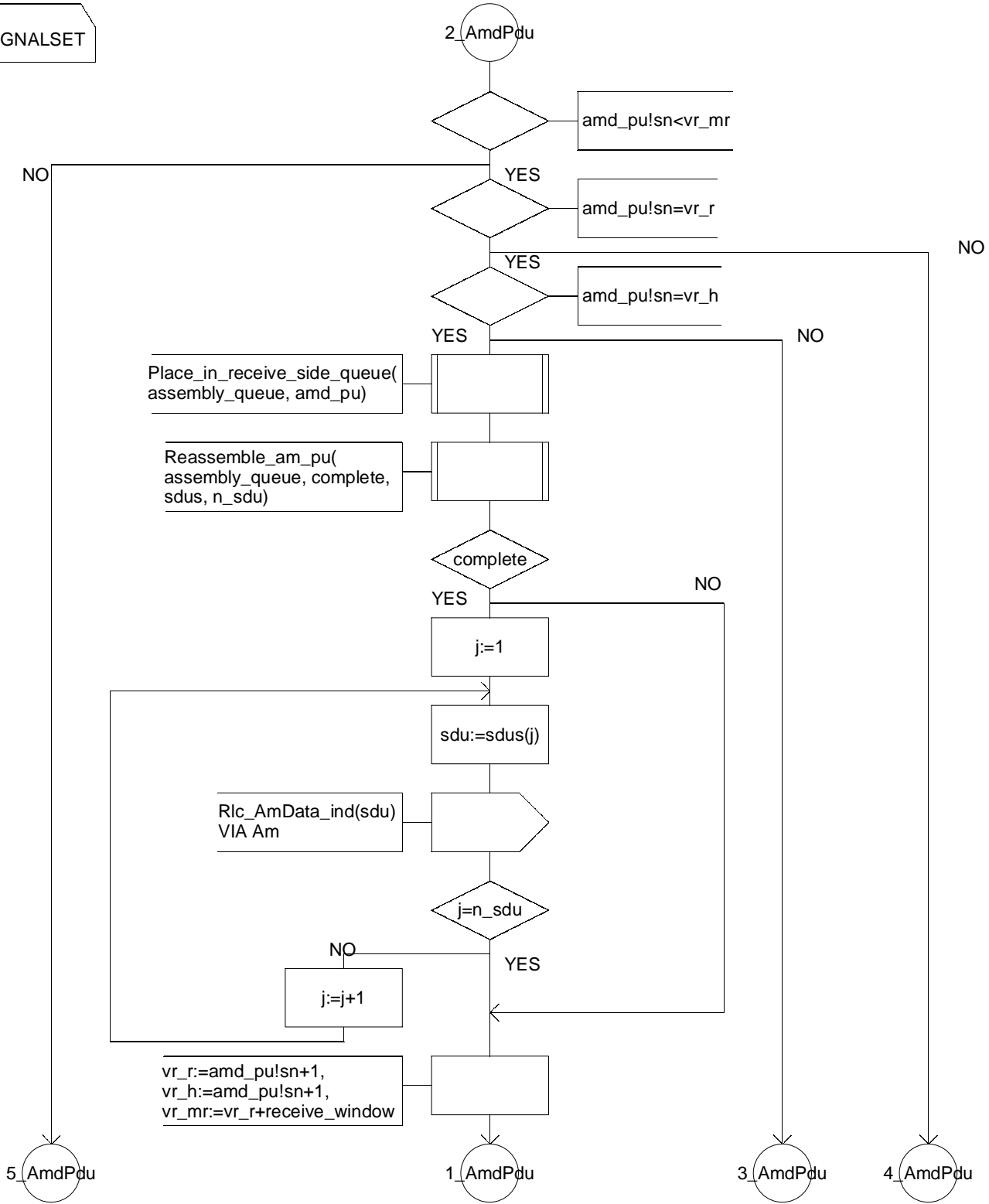
1_AmdPdu(69)



Virtual Process Type Acknowledged_link

2_AmdPdu(69)

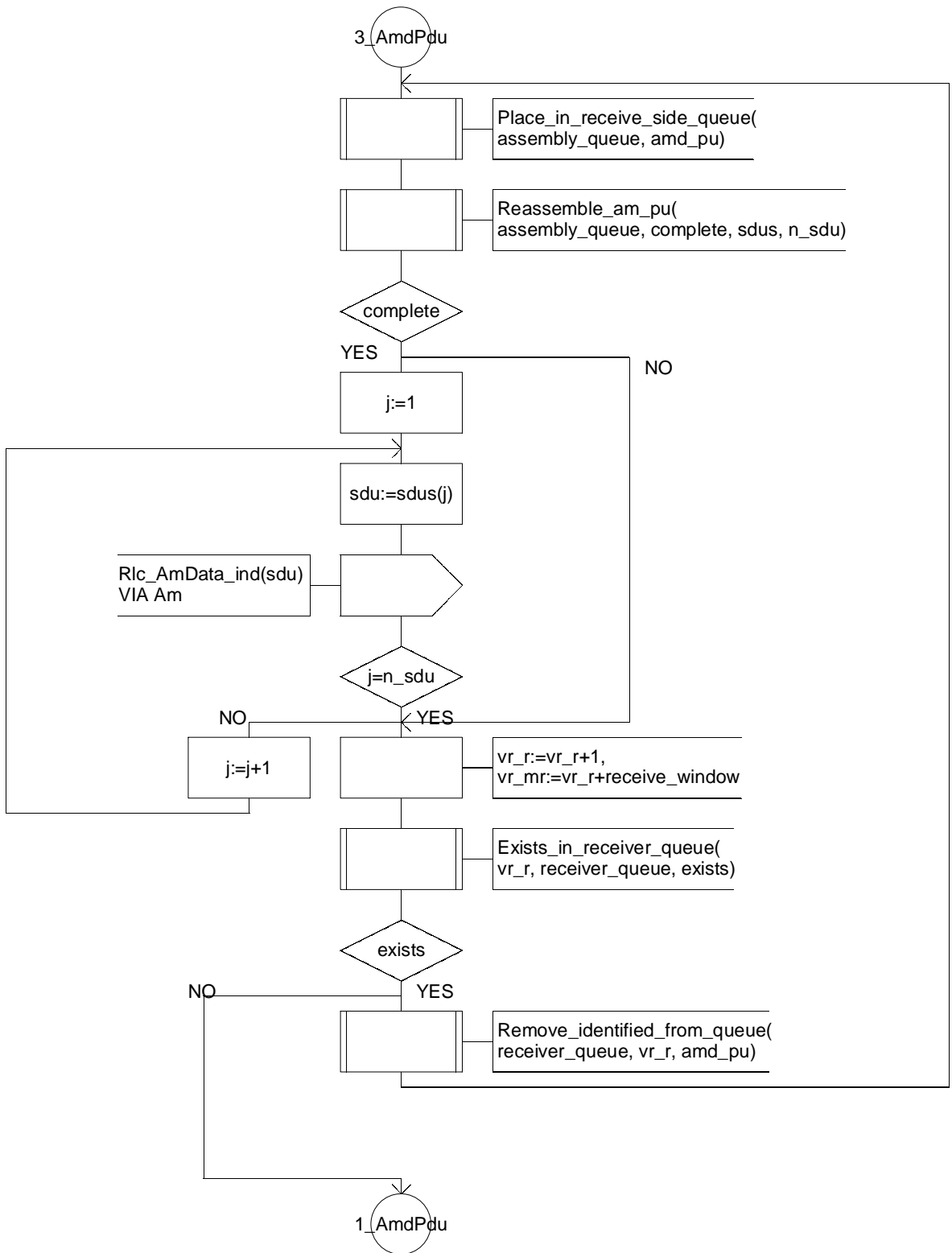
SIGNALSET



Virtual Process Type Acknowledged_link

3_AmdPdu(69)

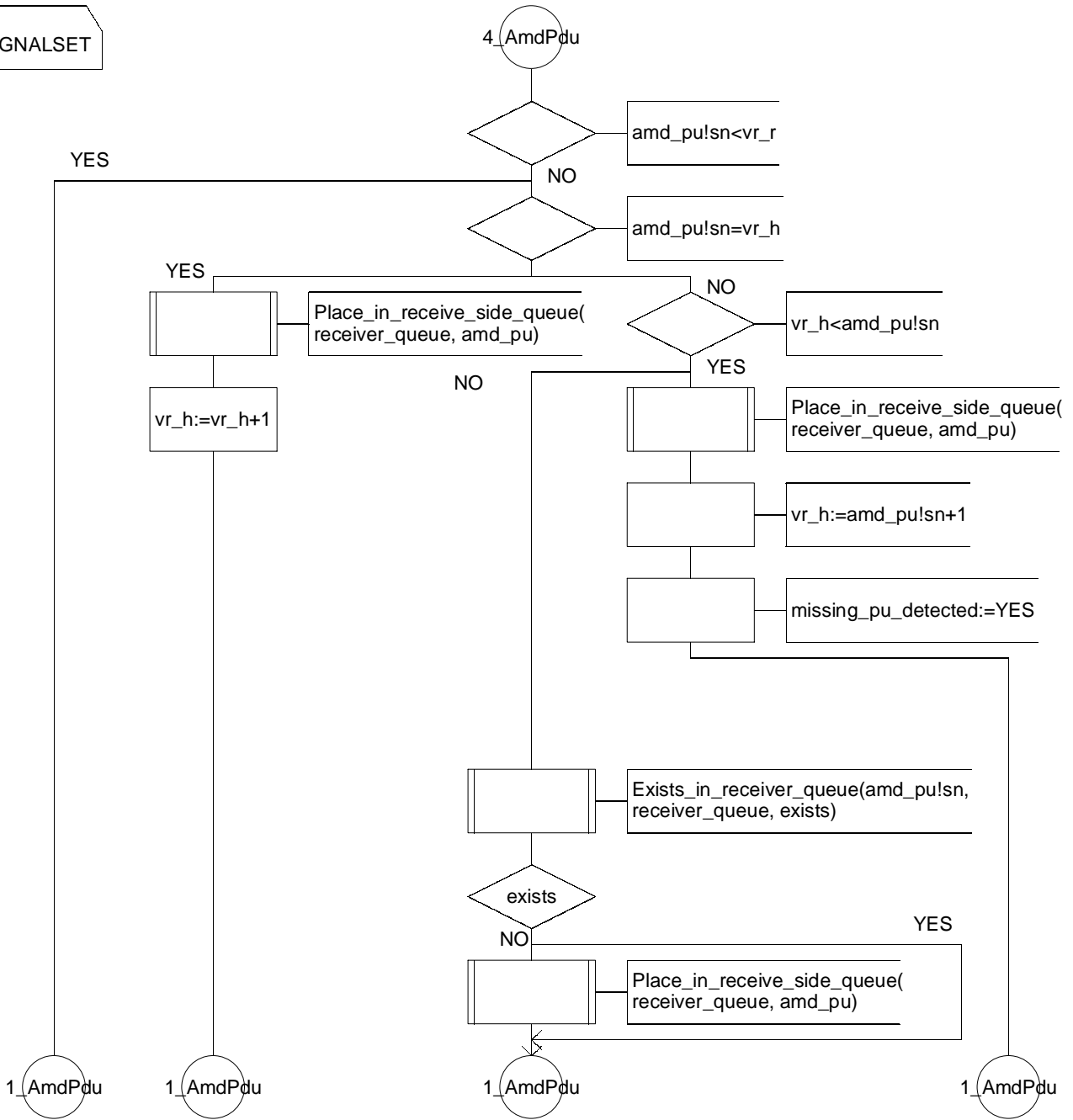
SIGNALSET



Virtual Process Type Acknowledged_link

4_AmdPdu(69)

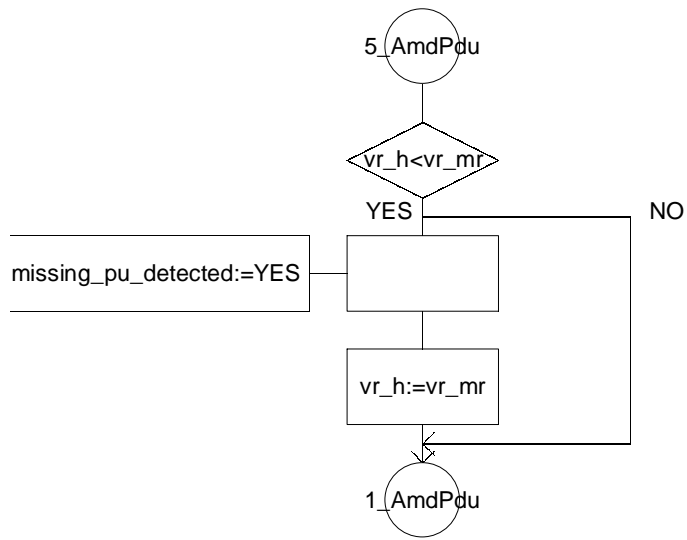
SIGNALSET



Virtual Process Type Acknowledged_link

5_AmdPdu(69)

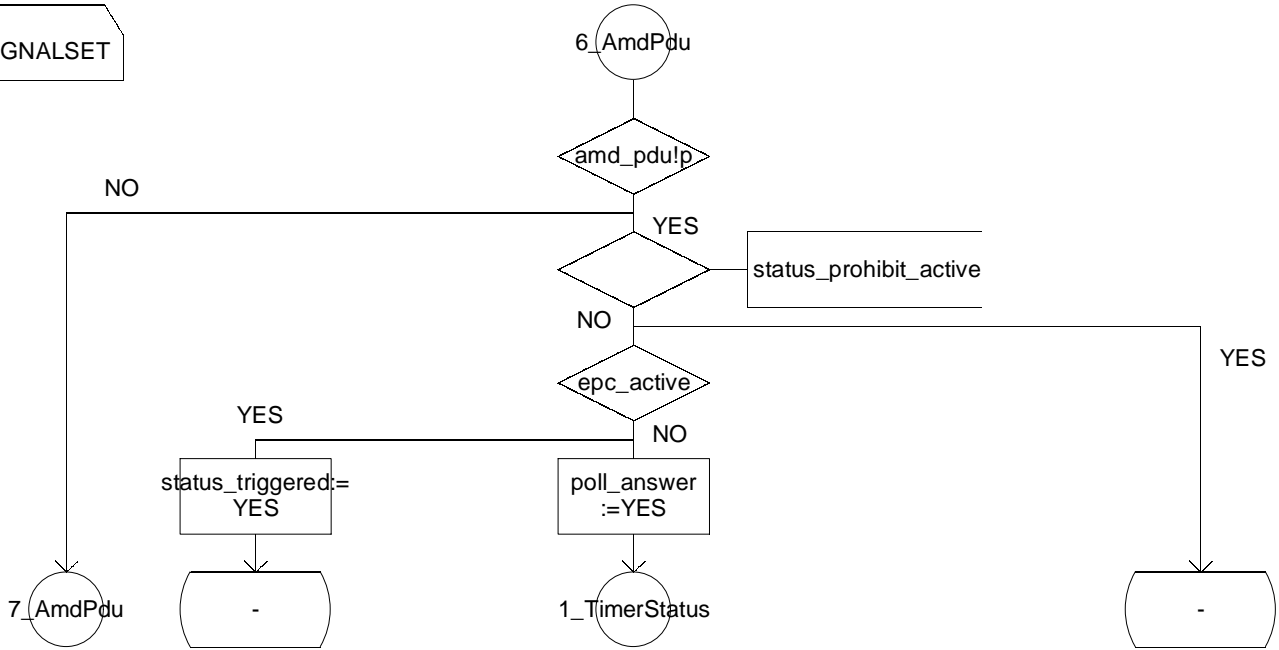
; SIGNALSET



Virtual Process Type Acknowledged_link

6_AmdPdu(69)

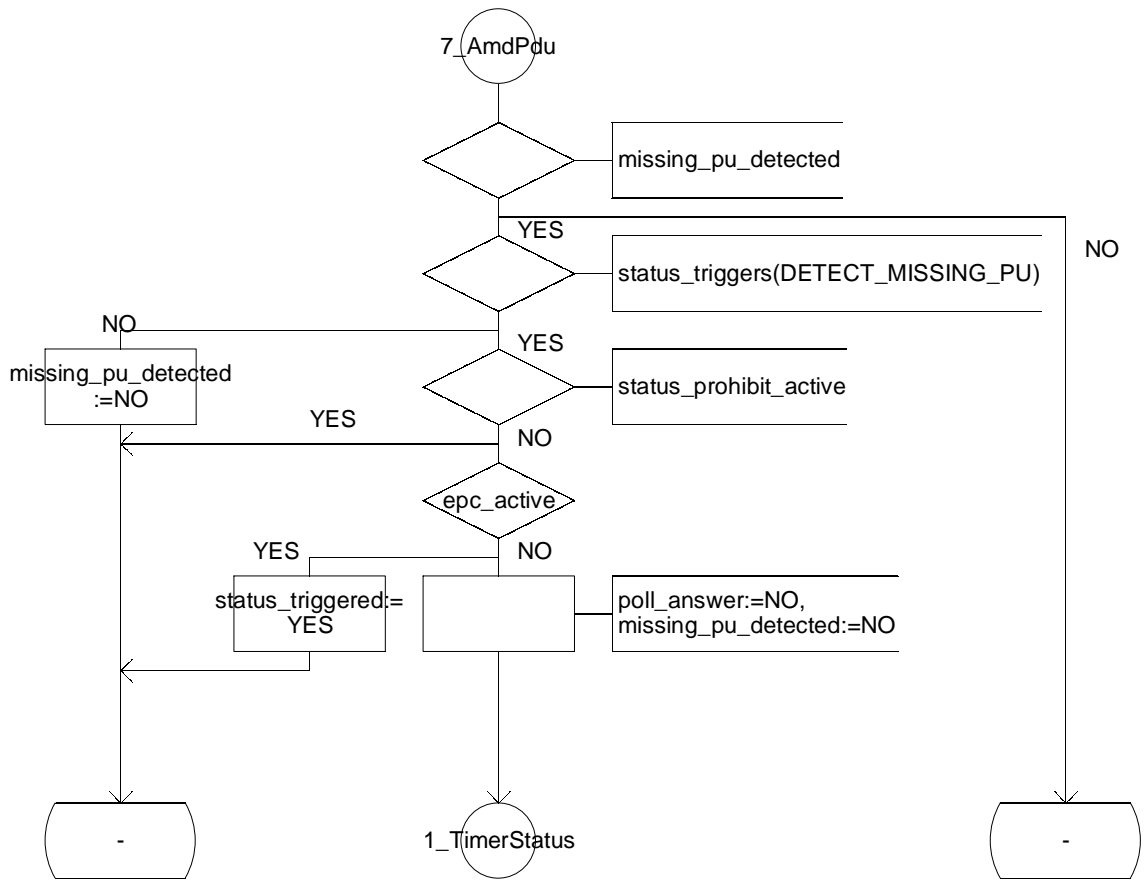
SIGNALSET



Virtual Process Type Acknowledged_link

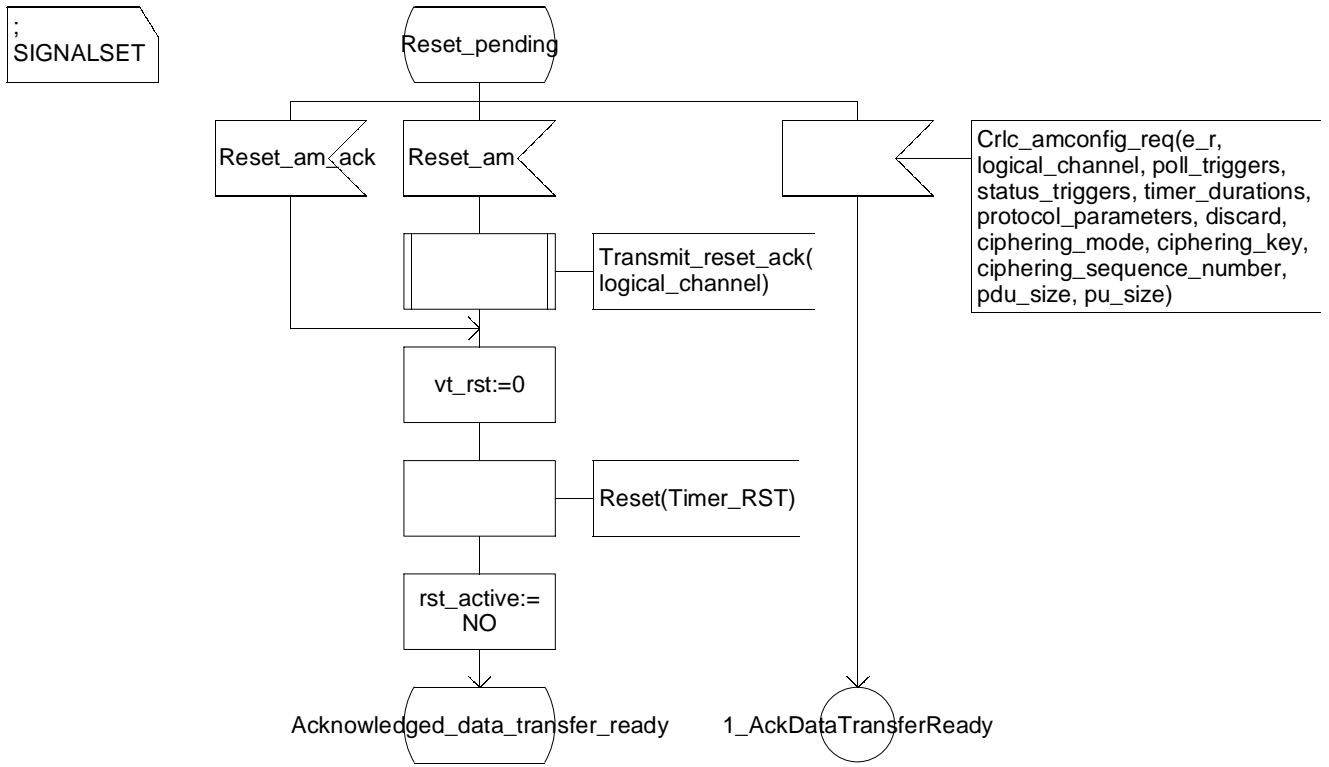
7_AmdPdu(69)

; SIGNALSET



Virtual Process Type Acknowledged_link

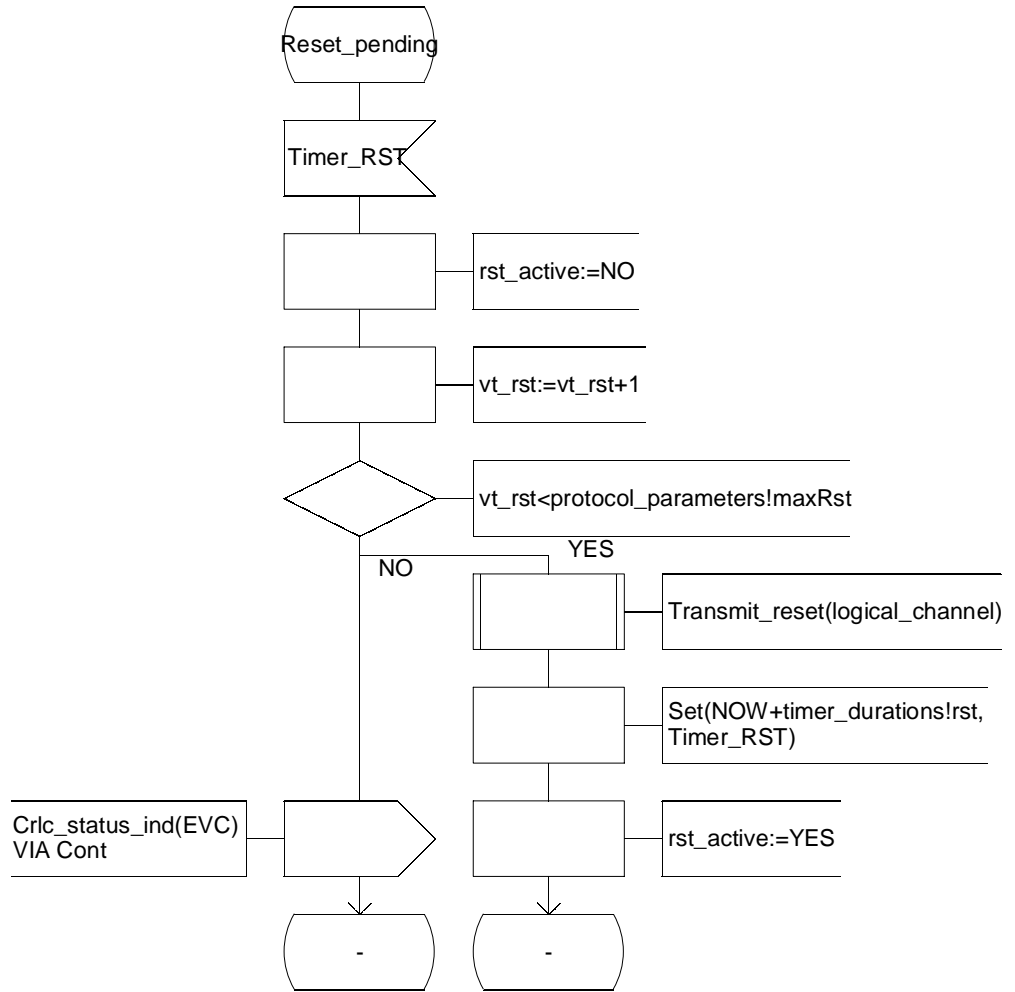
1_ResetPending(69)



Virtual Process Type Acknowledged_link

2_ResetPending(69)

; SIGNALSET



Virtual Process Type Acknowledged_connection

1_Declarations(44)



DCL

```

am_pdu, tmp, pdu                               AmPdu,
/*A representation of data contained within a AmPdu.*/

stat_pdu, rx_stat_pdu                          StatPdu,
/*A representation of data contained within a StatPdu.*/

pdus, rem_pdus                                 AmPduArrayType,
/*The initially segmented sdu.*/

receiver_queue                                 Queue,
/*A queue used for storing PDUs as they arrive.*/

retransmission_queue                          Queue,
/*A queue used for PDUs that are to be retransmitted.*/

assembly_queue                                Queue,
/*A queue used for reassembly of received PDUs into an SDU.*/

transmitted_queue                             Queue,
/*A queue used for PDUs that have been transmitted.*/

am_queue                                       Queue,
/*A queue used for PDUs to be transmitted.*/

stat_queue                                    Queue,
/* Queues used for PDUs associated with STATUS Pdu's to be transmitted.*/

prohibit , rx_prohibit, epc_active            IndicatorType,
/*An indicator used to determine whether the timer_PROHIBIT
is running or not.*/

empty, no_tx, no_retx                          IndicatorType,
/*An indicator used to determine whether a queue is empty or not.*/

exists                                         IndicatorType,
/*An indicator used to determine whether a particular pdu exists
within a queue or not.*/

poll_triggers                                 PollTriggArrType,
/*a configuration parameter dealing with when to issue poll requests.*/

status_triggers                              StatusTriggArrType,
/*A configuraion parameter dealing with when to issue Status reports.*/

rx_period                                     DURATION,
/*The duration of a periodic Statut report generation timer.*/

rx_prohibdur, epc_dur                         DURATION,
/*The duration of a prohibit retransmission of status report timer.*/

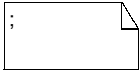
discard                                       DiscardArrayType,
/*A configuration parameter identifying discard conditions.*/

complete, cnf                                 IndicatorType;
/*An indicator used to determine whether an SDU has been
completely reassembled or whether an SDU requires confirmation.*/

```

Virtual Process Type Acknowledged_connection

2_Declarations(44)



```

DCL
period                DURATION,
/*The duration of a periodic Polling generation timer.*/

retransmission        IndicatorType,
/*An indicator used to determine whether the received PDU is a retransmission.*/

logical_channel       LogicalChannelType,
/*The logical channel associated with transmissions.*/

i                     INTEGER,
/*A local counter.*/

mui                   MuiType,
/*The message uit identifier associated with a message to be transmitted.*/

muis                  MuiArrayType,
/*An array used to store message unit identifiers.*/

no_sdu, no_pu, xpu, xsdu, tx_win, rx_win, no_of_pu_per_tti,
rx_pu, rx_sdu, muis_tot, tot, k, no_of_sq, tot_rem, l, no_s      PduIndexType,
/*Counters used to manage the amount of PUs and SDUs received.*/

percent, rx_percent   REAL,
/*Percentages of the transmit and receive window.*/

sdu                   OctetType,
/*The sdu data from the higher protocol layer.*/

sdus                  OctetArrayType,
/*A set of octets.*/

seq, n, np, sn_ack, sq, sn      SequenceNumberType,
/*A local sequence number.*/

vt_s                  SequenceNumberType,
/*Send state variable: The sequence number of the next PU to be transmitted for the first time.
It is incremented after transmission of a PU for the first time (i.e. excluding retransmissions).*/

vt_a                  SequenceNumberType,
/*Acknowledge state variable: The sequence number of the next in-sequence PU expected to
be acknowledged, thus forming the lower edge of the window of acceptable acknowledgements.
The variable vt_a is updated upon acknowledgement of in-sequence PUs.*/

vt_dat                SequenceNumberType,
/*This variable is used to count the retransmission number of each PU. It is incremented by a
PU transmission.*/

vt_ms                 SequenceNumberType;
/*Maximum send state variable: This is the sequence number of the first PU not allowed by the
receiver. It thus represents the upper edge of the transmit window. If vt_s is equal to vt_ms, now
new PU should be transmitted. The variable is updated based on receipt of STATUS PDU.*/

```

Virtual Process Type Acknowledged_connection

3_Declarations(44)



DCL

```

vr_r                               SequenceNumberType,
/*Receive state variable: The sequence number of the next in sequence PU expected to be received.
It is incremented upon receipt of the next in-sequence PU.*/

vr_h                               SequenceNumberType,
/*Highest expected state variable: The sequence number of the next highest expected PU. The variable
is updated whenever a new PU is received.*/

vr_mr                              SequenceNumberType,
/*Maximum acceptable receive state variable: The sequence number of the first PU not allowed by the
receiver, thus the receiver shall discard PUs with an n_s=vr_mr. Updating of vr_mr is implementation
dependent but should not be set to a value less than vr_h.*/

rx_sufi_tot                       PduIndexType,
/*Local variable for maintaining knowledge of the number of super fields.*/

tx_sufi                           SufiStructType,
/*The contents of one superfield.*/

rx_sufis, sufis, tx_sufis          SufiArrayStructType,
/*The set of superfields associated with a status report.*/

flip, possible, status, rx_flip, polling_answer                          IndicatorType,
/*An indicator used in or to determine whether the highest sequence number value has been passed or not.
The second is used to indicate whether status piggyback is possible or not.*/

retransmissions_requested          IndicatorType,
/*An indicator used to keep track whether a generated status report contains retransmission requests or not.*/

status_timer_active, start_am      IndicatorType,
/*This indicator keeps track of whether the timer_STATUS timer is running or not.*/

per                                REAL,
/*Local storage of a percentage value.*/

rx_ongoing, tx_ongoing             IndicatorType,
/*These indicators are used to maintain information about whether something is in the process of being
transmitted or received.*/

bitmap                             IndicatorArrayType,
/*This array of boolean values indicates losses experienced by the receiver.*/

vr_ep                              SequenceNumberType;
/*Estimated PDU counter state variable: The number of PUs that should have been received after the latest
STATU PDU was sent. In acknowledged mode, this state variable is updated at the end of each transmission
time interval. If vr_ep is equal to the number of requested PUs in the latest STATUS PDU it should be checked
if all PUs requested for retransmission have been received.*/

```

Virtual Process Type Acknowledged_connection

4_Declarations(44)



TIMER

timer_AM,

/*This timer is used to sequence transmissions.*/

timer_EPC,

/*This timer accounts for the round trip delay, i.e. the time when the first retransmitted PU should have been received after a status report has been sent. The value of timer is heavily based on the transmission time interval (layer 1 interleaving depth). When changing the transmission time interval, the value of the EPC timer also needs to be changed.*/

timer_STATUS,

/*This timer is used to detect the loss of response from the receiver side. The timer is set when a transmitted AmPdu requests a status report and it will be stopped when the transmitter receives acknowledgement of the pdu within StatPdu (positive) or UstatPdu (negative). When the timer expires, the pdus of the oldest unconfirmed pdus should be retransmitted together with a status report request and the timer set again. If polling takes place when this timer is active, it should be reset and then set again.*/

timer_DISCARD(MuiType),

/*This timer is used for the SDU discard function. In the transmitter, the timer is activated upon reception of an SDU from a higher layer. If the SDU has not been acknowledged when the timer expires, the SDU is discarded and a move receiving window request is sent to the receiver. If the SDU discard function does not use the move receiving window request, the timer is also used in the receiver, where it is activated once a PDU is detected as outstanding, i.e. there is a gap between sequence numbers of received PDUs.*/

timer_PERIOD,

/*A timer used for the periodic creation of polls.*/

timer_RXPERIOD,

/*A timer used for the periodic creation of status reports.*/

timer_RXPROHIBIT,

/*A timer used on the receive side to limit STATUS transmissions.*/

timer_PROHIBIT;

/*It is used to prohibit transmission of polling messages within a certain period. If polling takes place while the timer is active, it will be reset and then set again. No action other than indicating that the timer is not active is needed when it expires.*/

Virtual Process Type Acknowledged_connection

1_Procedures(44)

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Sdu_am_segmentation	This procedure manages segmentation and concatenation of sdus. It applies polling in accordance with the toolset functions applied by the higher layer protocols.
Virtual Transmit_am_pdu	This procedure manages transmission of RLC PDUs across the proper SAP.
Check_if_queue_empty	This procedure checks if there are any PDUs remaining in the queue given as parameter to the procedure.
Remove_from_queue	This procedure removes the first PDU in the queue given as parameter to the procedure.
Place_in_queue	This procedure places the indicated pdu within the queue given as parameter to the procedure
Update_sequence_number	This procedure increments the sequence number properly based on the maximum allowed.
Read_pdu	This procedure retrieves a copy of the first entry in the queue indicated as parameter to the procedure.
Remove_identified_from_queue	This procedure removes a pdu with a given sequence number from the queue identified.
Remove_acks_get_muis	This procedure removes all pdus that have been acknowledged from the indicated queue and stores the muis that are removed from the queue in a special array.
Complete_muis	This procedure checks if any of the muis identified still exists within the retransmission queue and updates the list of muis that should be confirmed accordingly.
Remove_list_from_transmitted_queue	This procedure removes a list of pdus indicated by sequence numbers from the transmitted queue.
Remove_bitmap_from_transmitted_queue	This procedure removes a list of pdus in accordance with a bitmap from the transmitted queue.

Virtual Process Type Acknowledged_connection

2_Procedures(44)

;

Place_several_in_queue	This procedure places several pdus in the indicated queue.
Update_state_variables	This procedure updates the state variables vt_a and vt_ms after a STATUS PDU has been received and processed.
Place_first_in_queue	This procedure places an AM_PDU with polling=YES first in the retransmission queue after its associated STATUS timer 'has expired.
Reassemble_am_pdu	This procedure reassembles Rlc pdu contents into Sdu:s as they arrive.
Virtual Transmit_ack	This procedure transmits a reset acknowledgement on the correct logical channel.
Virtual Transmit_reset	This procedure transmits a reset on the correct logical channel.
Virtual Transmit_stat	This procedure transmits status signal on the correct logical channel.
Place_piggyback_in_queue	This procedure places a sufi containing a move receive window piggybacked onto a pdu within a queue.
Exists_in_receiver_queue	This procedure checks if an identified pdu exists within the receiver queue.
Create_status	This procedure creates a status report based on available information.
Check_status_creation	This procedure checks if a status report should be generated.
Place_in_stat_queue	This procedure places a STAT_PDU in a queue waiting for transmission.
Remove_from_stat_queue	This procedure removes a STAT-PDU from the STAT queue.

Virtual Process Type Acknowledged_connection

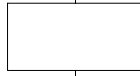
3_Procedures(44)

;

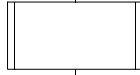
Remove_mui_from_queues	This procedure removes all pdus associated with a given mui from the transmitted_queue.
Remove_all_below_from_queues	This procedure removes all pdus below an identified sequence number from all receiver queues.
Set_polling_flag	This procedure causes the polling flag to be set in the first PDU within a defined queue
Count_epc	This procedure counts the received PDUs.
Exists_in_stat_await_queue	This procedure checks whether the STATUS PDU includes ACK or NACK for the AMD PDU which triggered timer_STATUS.
Replace_am_pdu	This procedure places the AMD PDU which triggered timer_STATUS in the STAT_await queue. If other AMD PDU has already been existing in the queue, the old one will be replaced with the new one.

Virtual Process Type Acknowledged_connection

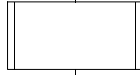
1_ProcessTypeStart(44)



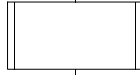
vt_s:=0, vr_r:=0, no_sdu:=0,
no_pu:=0, vt_a:=0, vr_h:=0,
status_timer_active:=NO



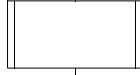
Queue_initialisation(receiver_queue)



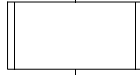
Queue_initialisation(retransmission_queue)



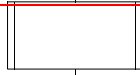
Queue_initialisation(assembly_queue)



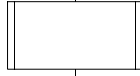
Queue_initialisation(am_queue)



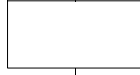
Queue_initialisation(transmitted_queue)



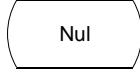
Queue_initialisation(stat_queue)



Queue_initialisation(stat_await_queue)

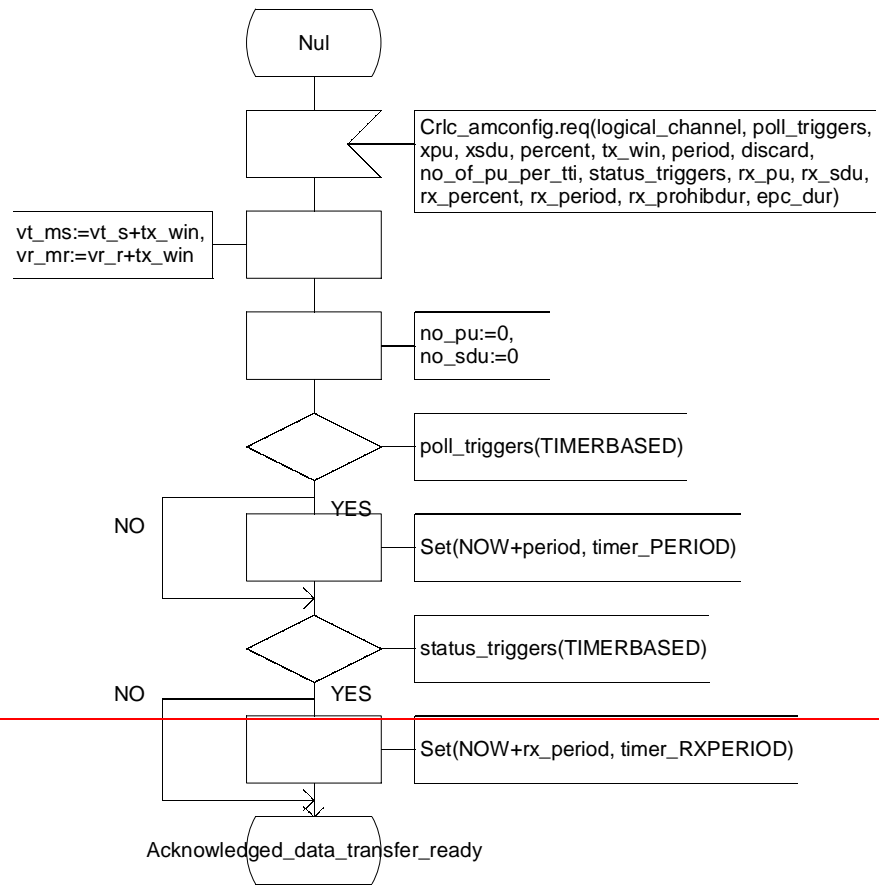


seq:=0,
prohibit:=NO,
rx_prohibit:=NO,
epc_active:=NO,
retransmissions_requested:=NO,
start_am:=NO,
stat_triggered:=NO



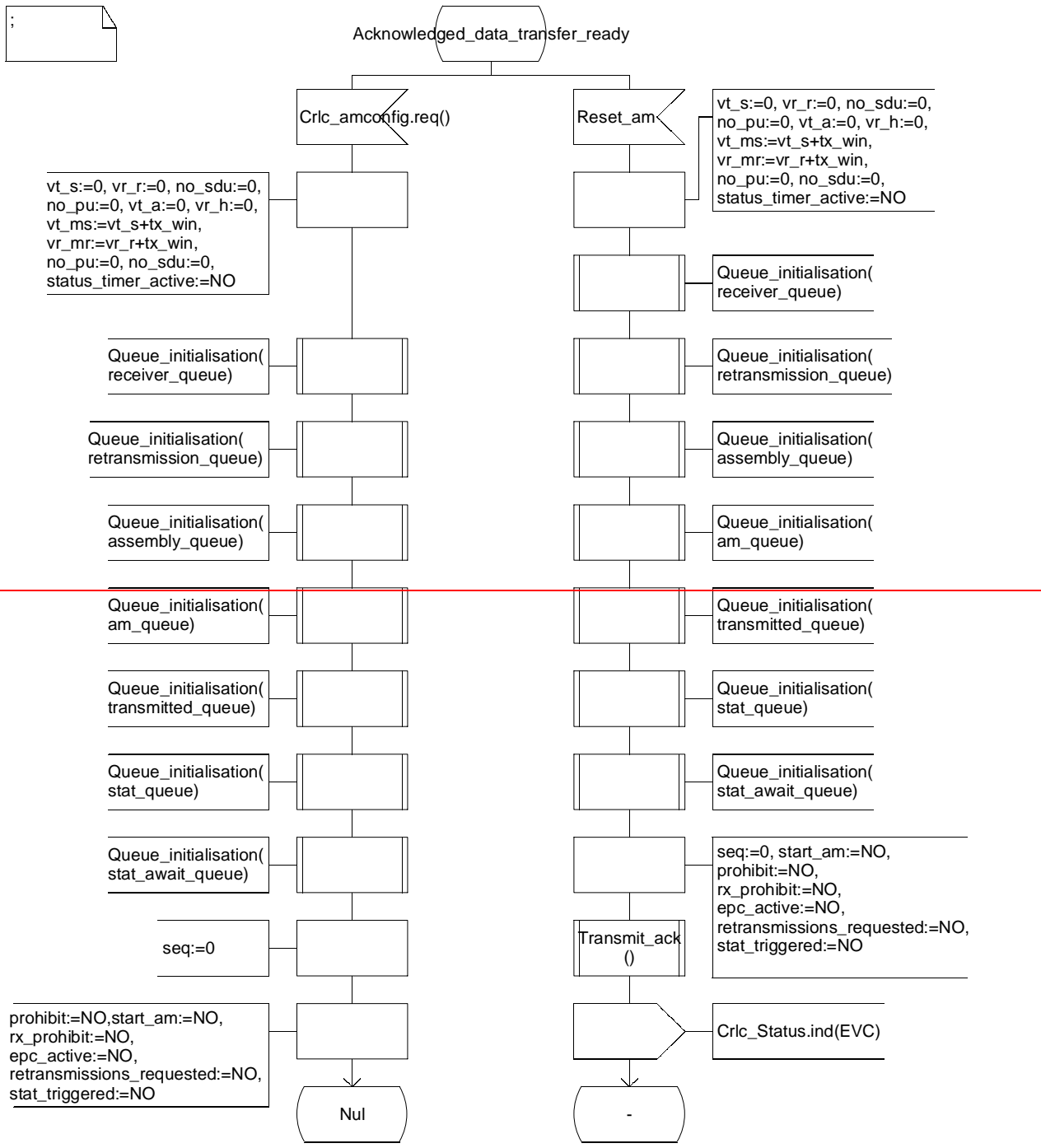
Virtual Process Type Acknowledged_connection

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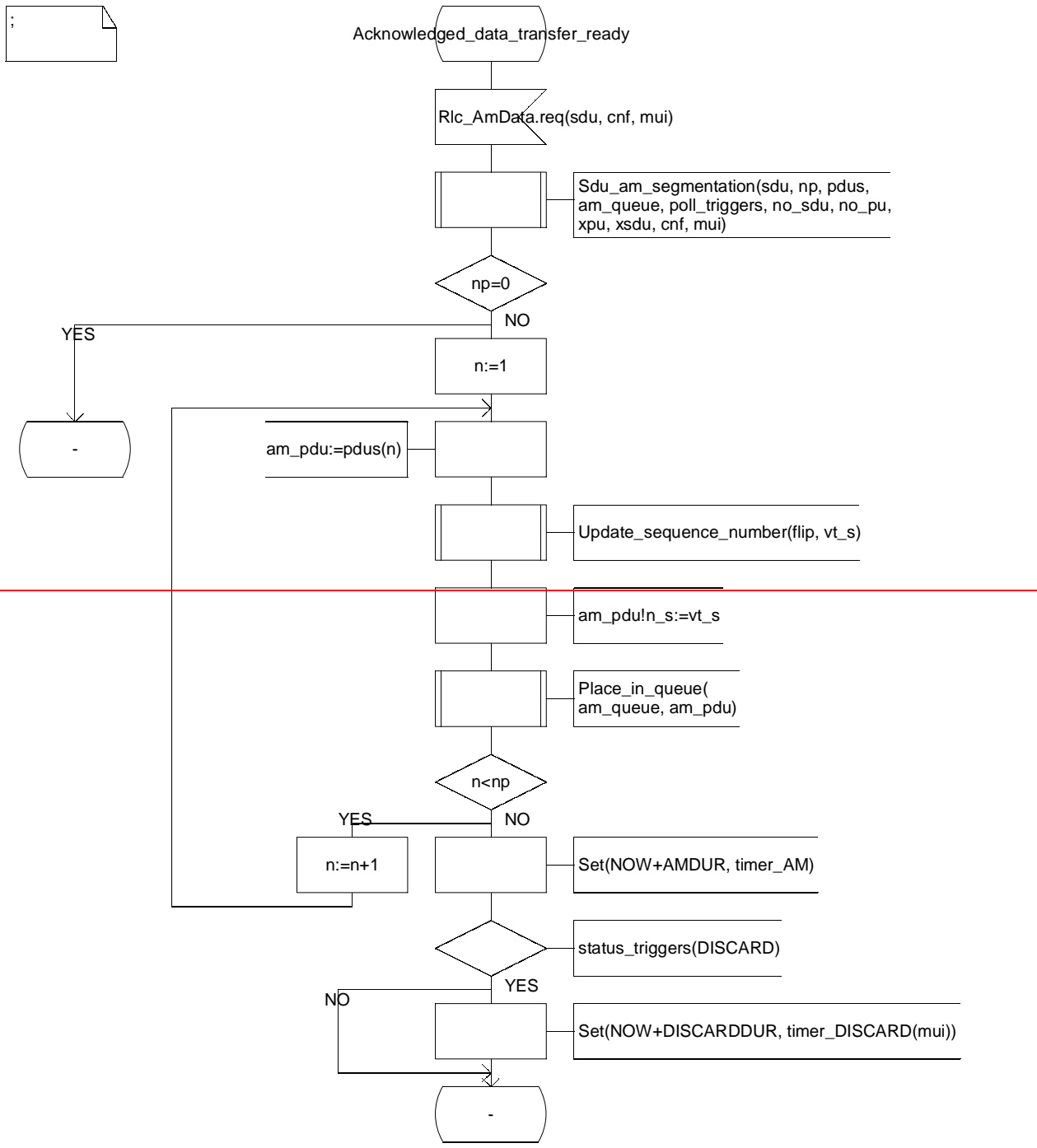


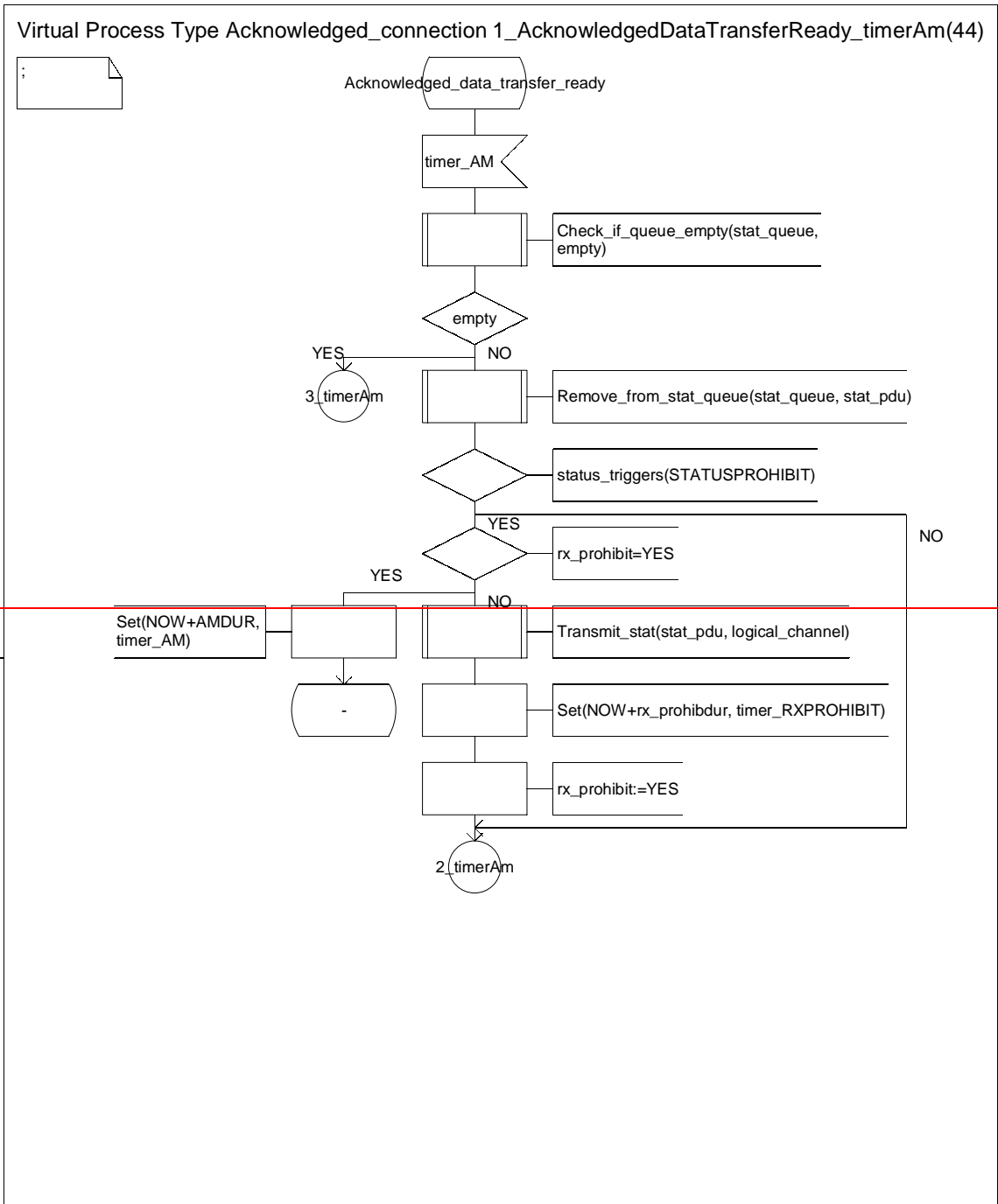
Virtual Process Type Acknowledged_connection

1_AcknowledgedDataTransferReady(44)



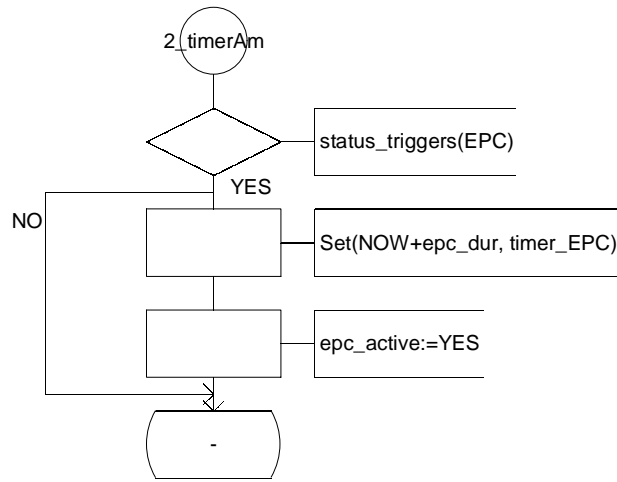
Virtual Process Type Acknowledged_conrm1_AcknowledgedDataTransferReady_RlcAmDataReq(44)





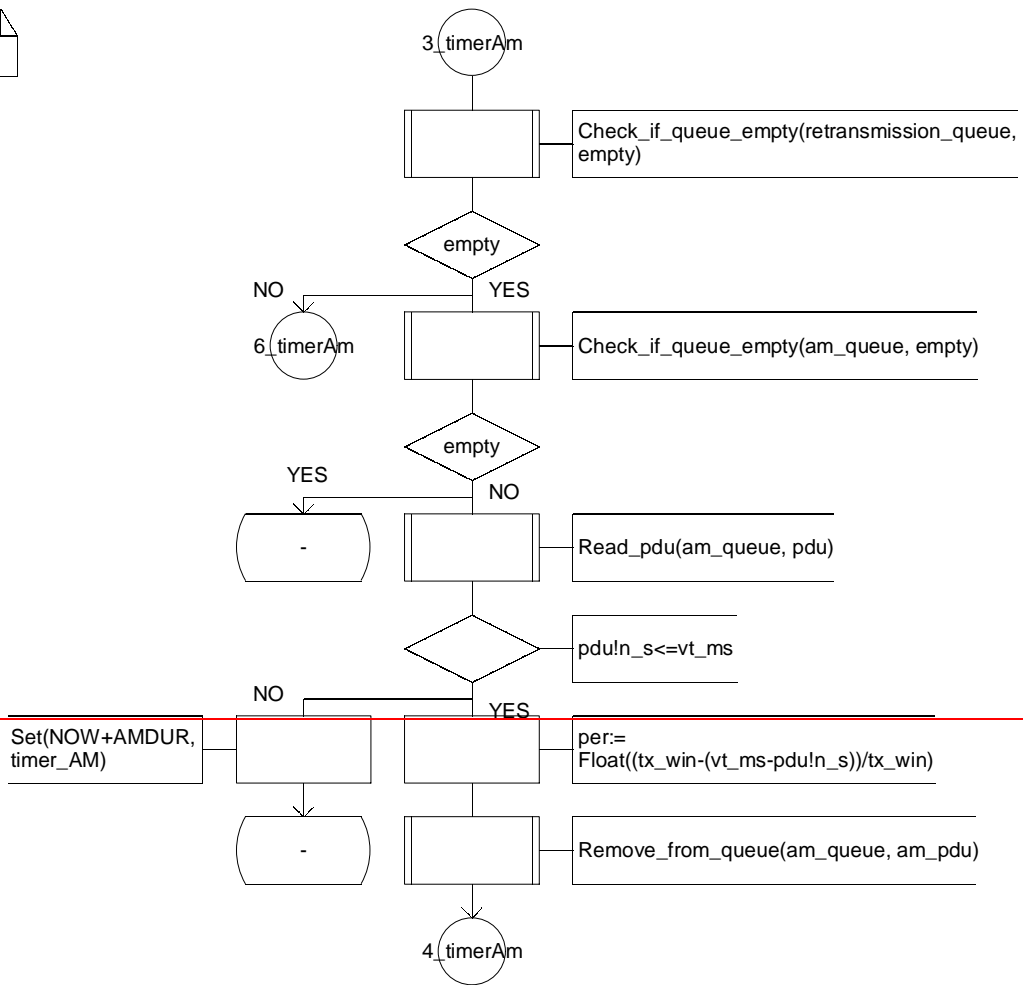
Virtual Process Type Acknowledged_connection 2_AcknowledgedDataTransferReady_timerAm(44)

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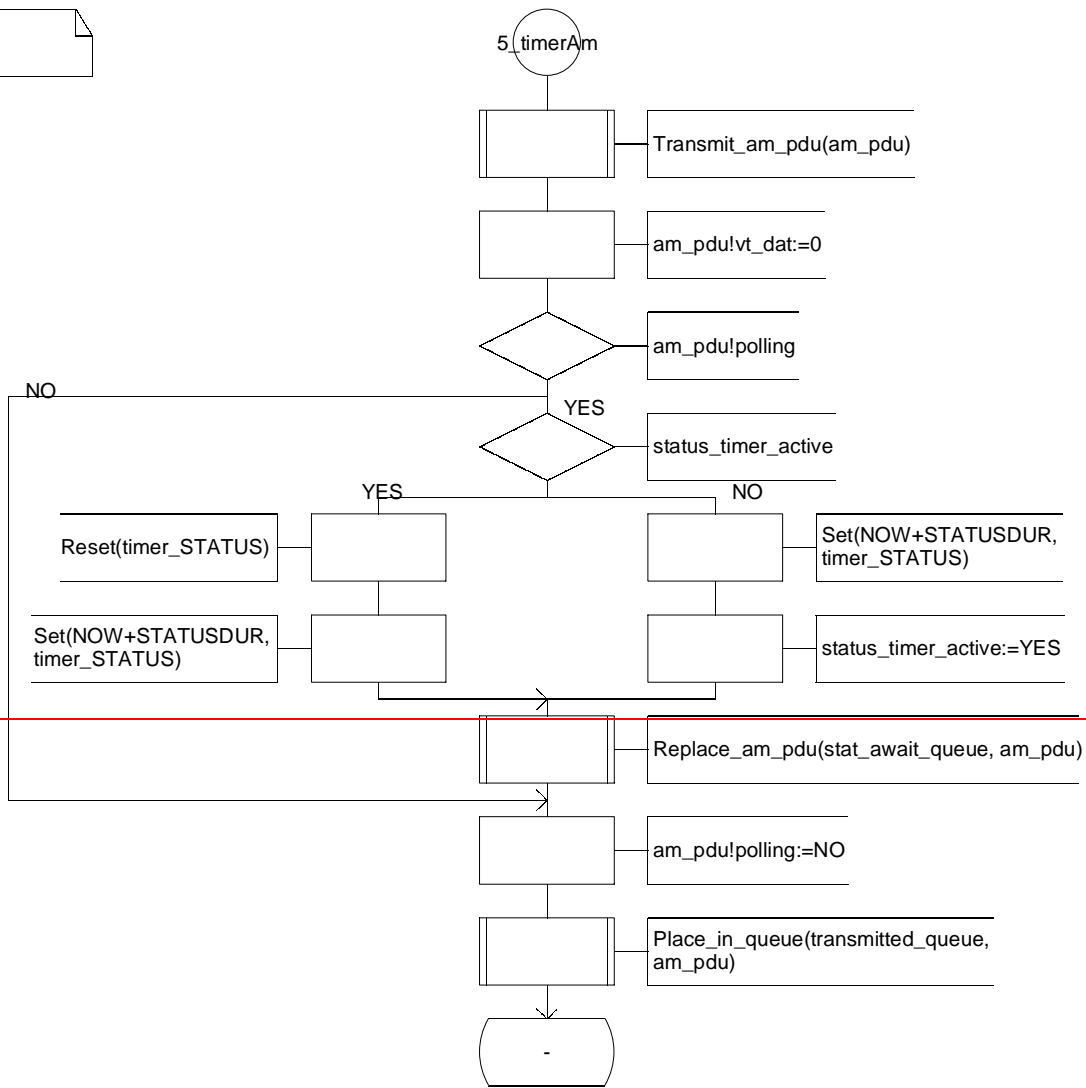
Virtual Process Type Acknowledged_connection 3_AcknowledgedDataTransferReady_timerAm(44)

;



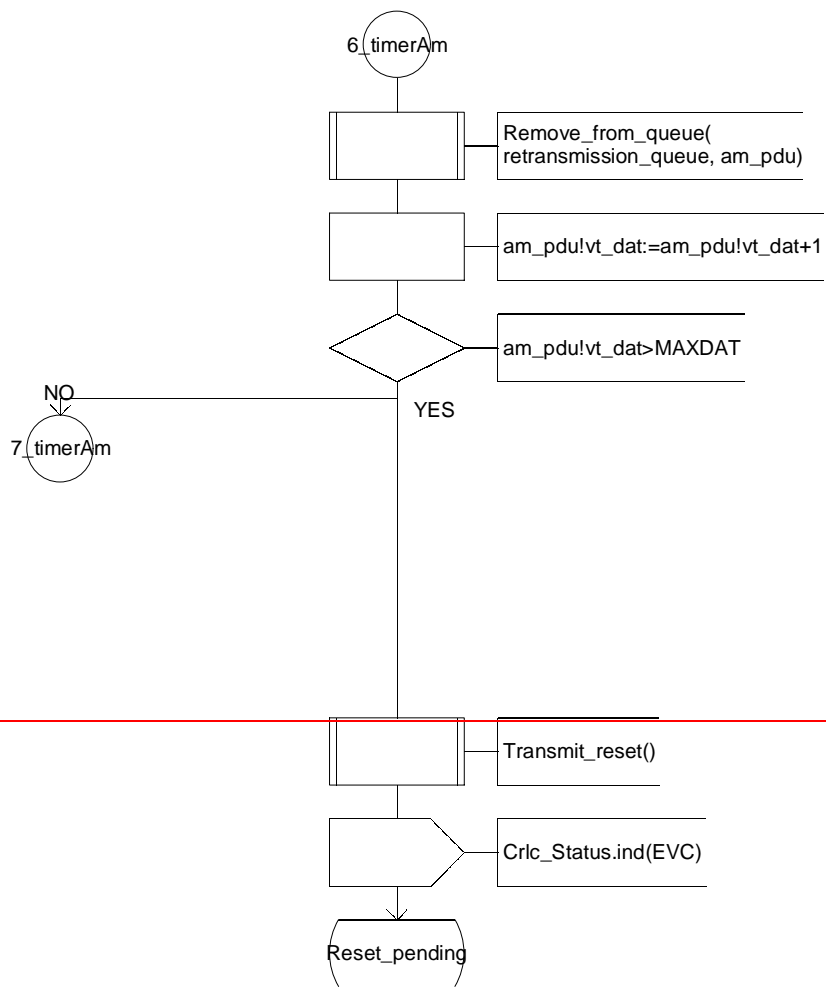
Virtual Process Type Acknowledged_connection 5_AcknowledgedDataTransferReady_timerAm(44)

;



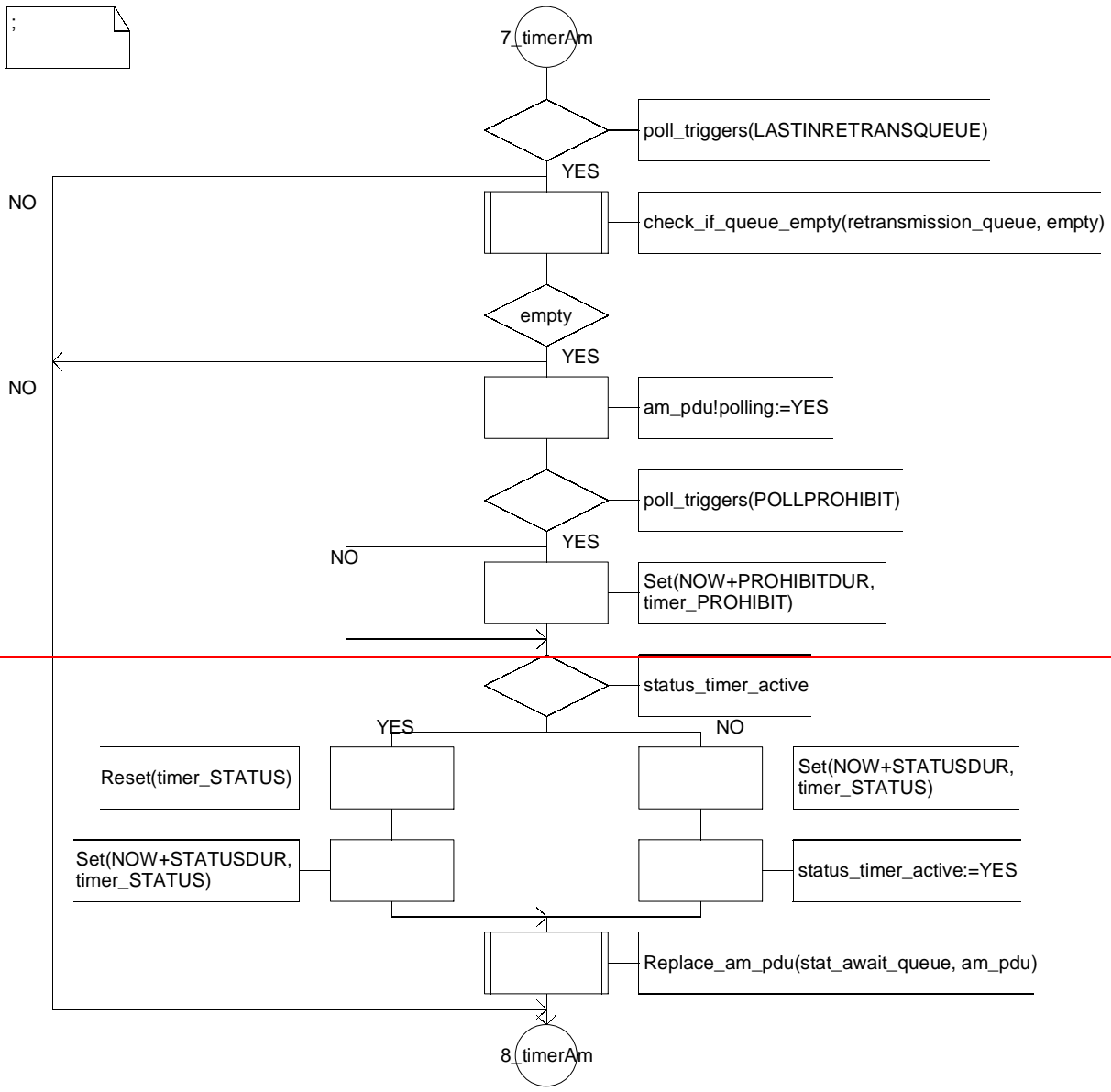
Virtual Process Type Acknowledged_connection 6_AcknowledgedDataTransferReady_timerAm(44)

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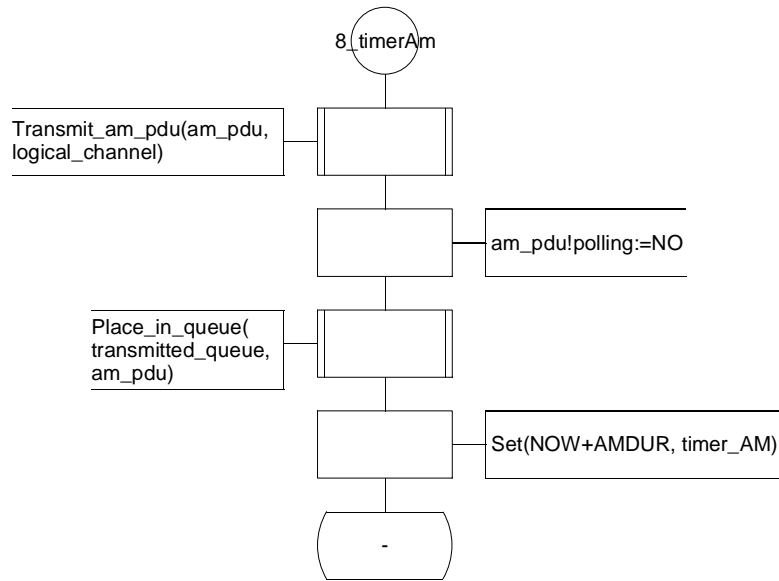
Virtual Process Type Acknowledged_connection 7_AcknowledgedDataTransferReady_timerAm(44)

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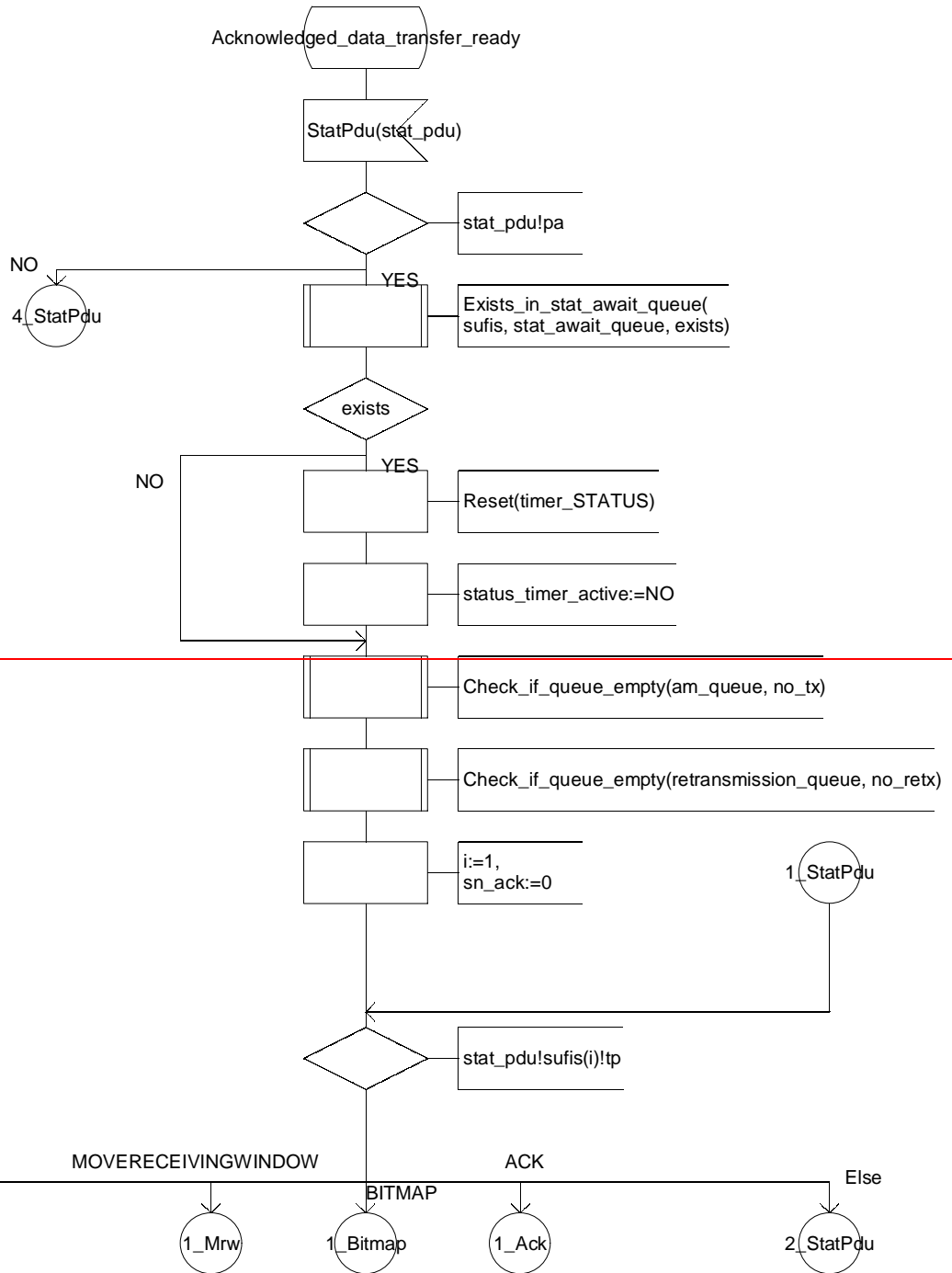
Virtual Process Type Acknowledged_connection 8_AcknowledgedDataTransferReady_timerAm(44)

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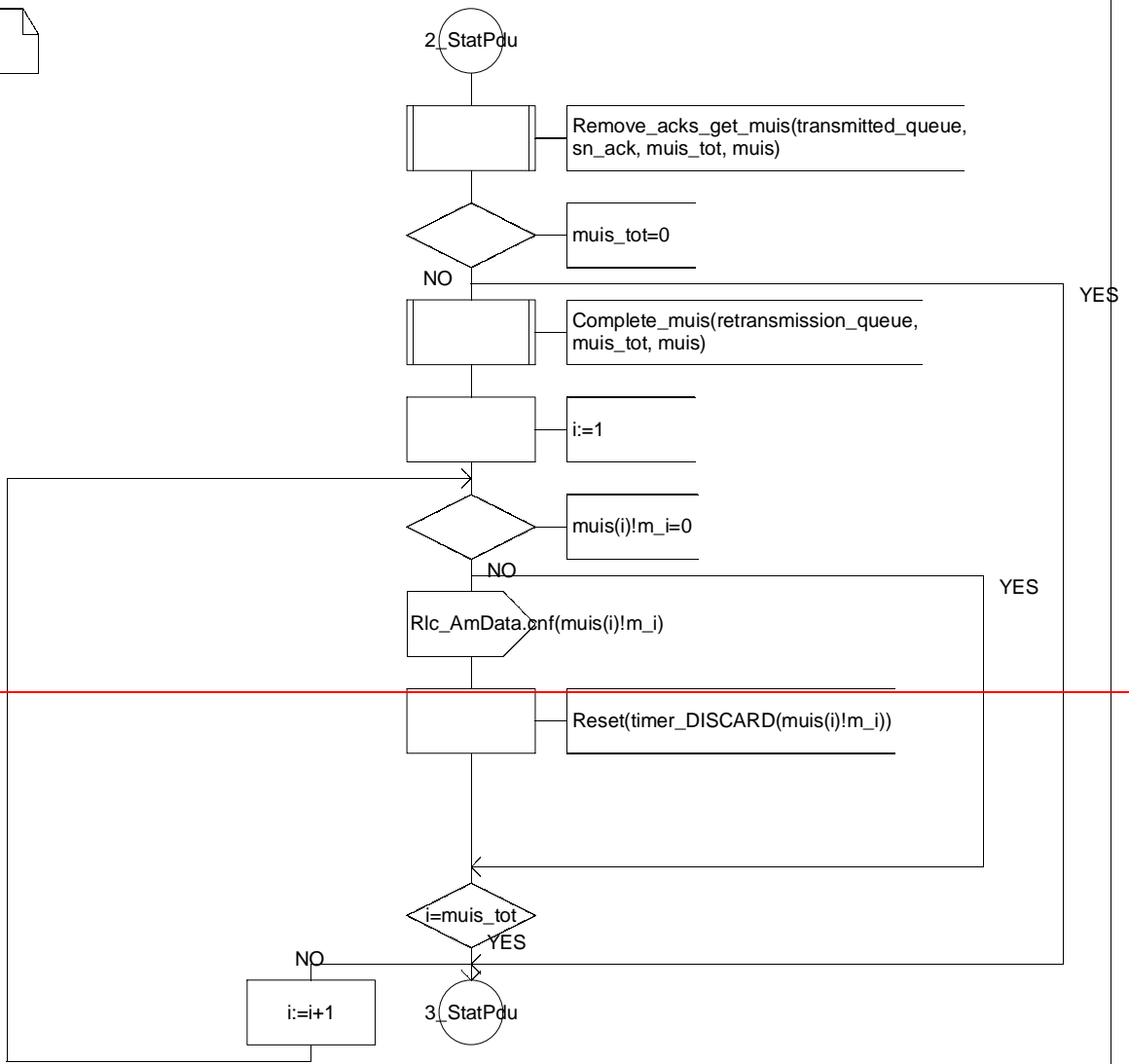
Virtual Process Type Acknowledged_connection 1_AcknowledgedDataTransferReady_StatPdu(44)

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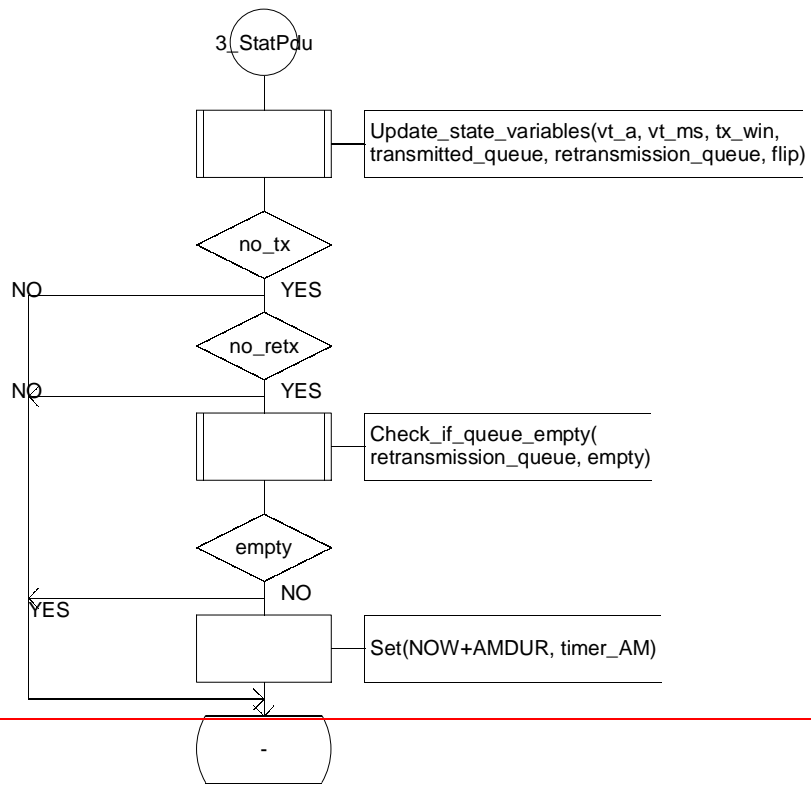
Virtual Process Type Acknowledged_connection 2_AcknowledgedDataTransferReady_StatPdu(44)

;



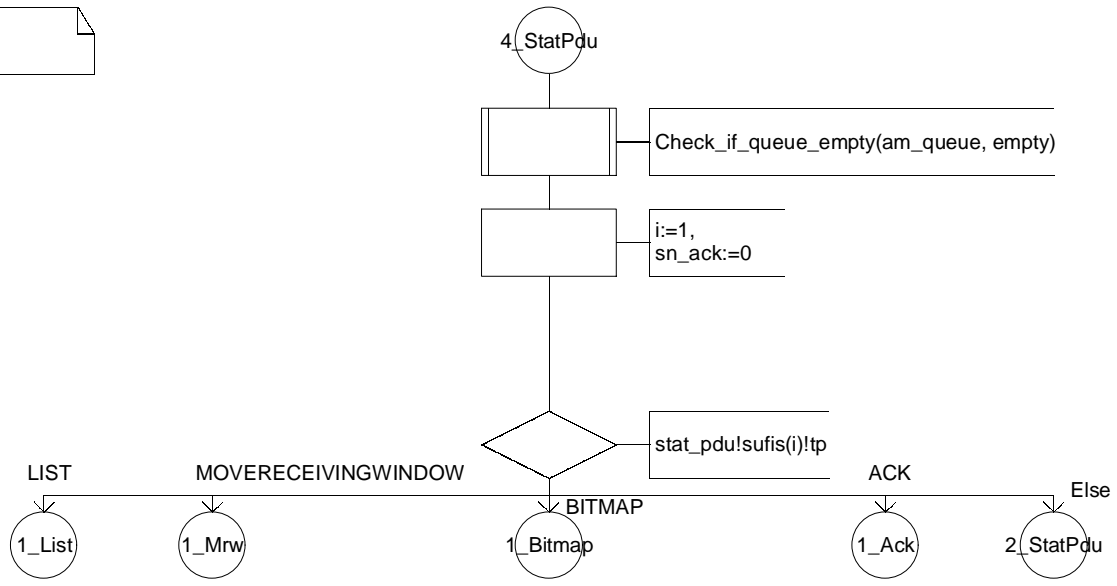
Virtual Process Type Acknowledged_connection 3_AcknowledgedDataTransferReady_StatPdu(44)

;



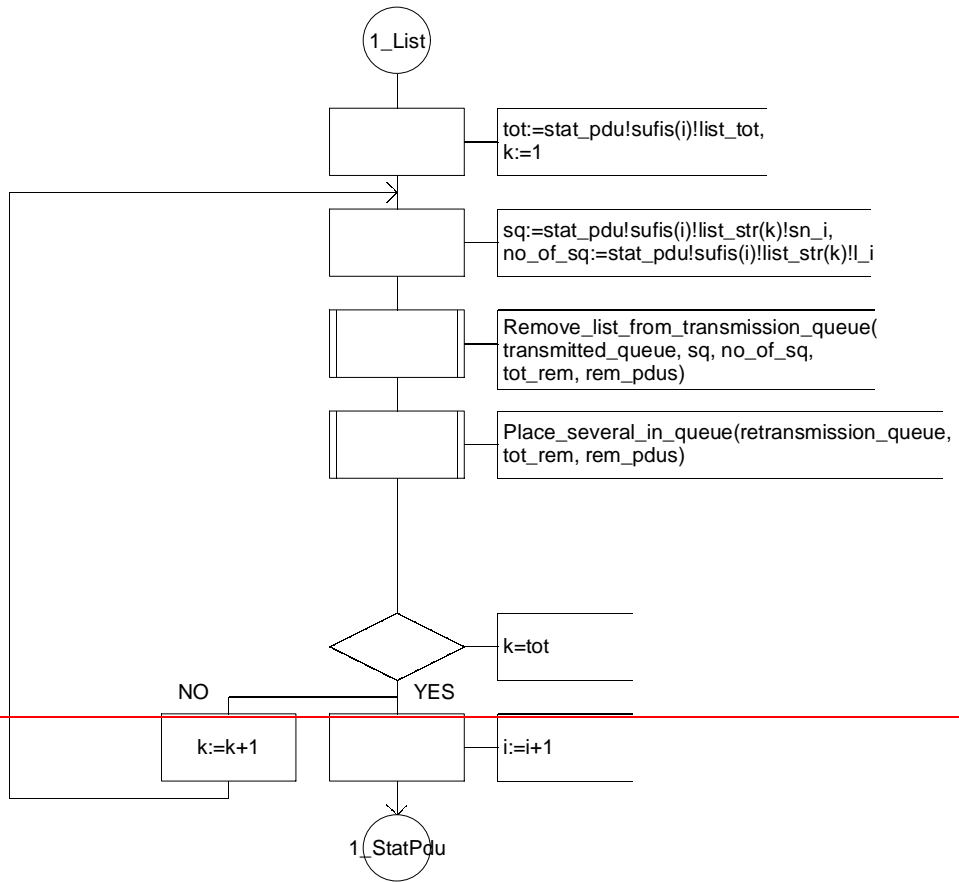
Virtual Process Type Acknowledged_connection 4_AcknowledgedDataTransferReady_StatPdu(44)

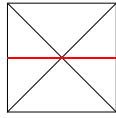
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Virtual Process Type Acknowledged_connecti1_AcknowledgedDataTransferReady_StatPduList(44)

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CHANGE REQUEST		Please see embedded help file at the bottom of this page for instructions on how to fill in this form correctly.
25.322	CR	014
GSM (AA.BB) or 3G (AA.BBB) specification number ↑		↑ CR number as allocated by MCC support team
For submission to: TSG-RAN#6		Current Version: 3.0.0
list expected approval meeting # here ↑		
for approval <input checked="" type="checkbox"/>		strategic <input type="checkbox"/>
for information <input type="checkbox"/>		non-strategic <input type="checkbox"/> (for SMG use only)

Form: CR cover sheet, version 2 for 3GPP and SMG The latest version of this form is available from: ftp://ftp.3gpp.org/Information/CR-Form-v2.doc

Proposed change affects: (U)SIM ME UTRAN / Radio Core Network
 (at least one should be marked with an X)

Source: TSG-RAN WG2 **Date:** 03 Dec 1999

Subject: Editorial changes

Work item:

Category:	F Correction <input type="checkbox"/> A Corresponds to a correction in an earlier release <input type="checkbox"/> B Addition of feature <input type="checkbox"/> C Functional modification of feature <input type="checkbox"/> D Editorial modification <input checked="" type="checkbox"/>	Release:	Phase 2 <input type="checkbox"/> Release 96 <input type="checkbox"/> Release 97 <input type="checkbox"/> Release 98 <input type="checkbox"/> Release 99 <input checked="" type="checkbox"/> Release 00 <input type="checkbox"/>
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(only one category shall be marked with an X)

Reason for change: Development of suitable version for submission o RAN#6

Clauses affected: 5.2.2.1.1, 5.2.4

Other specs affected:	Other 3G core specifications <input type="checkbox"/> Other GSM core specifications <input type="checkbox"/> MS test specifications <input type="checkbox"/> BSS test specifications <input type="checkbox"/> O&M specifications <input type="checkbox"/>	→ List of CRs: → List of CRs: → List of CRs: → List of CRs: → List of CRs:	
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Other comments:



help.doc

<----- double-click here for help and instructions on how to create a CR.

1 Scope

The present document specifies the RLC protocol.

Release '99 features:

- Transparent mode

- Unacknowledged mode

- Acknowledged mode

Features for future Releases:

- Hybrid ARQ

2 References

4 General

~~4.1 Objective~~

4.2 Overview on sublayer architecture

The model presented in this section is not for implementation purposes.

10 Handling of unknown, unforeseen and erroneous protocol data

~~A preliminary~~The list of ~~possible~~ error cases is reported below:

a) Inconsistent state variables

If the RLC entity receives a PDU including "erroneous Sequence Number", state variables between peer entities may be inconsistent. Following shows "erroneous Sequence Number" examples;

- Each Sequence Number of missing PU informed by SUFI LIST or BITMAP parameter is not within the value between "Acknowledge state variable(VT(A))" and "Send state variable(VT(S))", and
- LSN of SUFI ACK is not within the value between "Acknowledge state variable(VT(A))" and "Send state variable(VT(S))".

In case of error situations the following actions are foreseen:

- 1) RLC entity should use RESET procedure in case of an unrecoverable error
- 2) RLC entity should discard invalid PDU
- 3) RLC entity should notify upper layer of unrecoverable error occurrence in case of failed retransmission

11 Elementary procedures

Annex A (informative): SDL diagrams

This annex contains the SDL diagrams. For Release'99, it is meant for informative purposes only.

~~NOTE: All the section shall be reviewed when the protocol is defined;~~

NOTE: All the SDL diagrams presented are [FFS]

Virtual Process Type Acknowledged_connection

1_Declarations(44)



```

DCL

am_pdu, tmp, pdu                               AmPdu,
/*A representation of data contained within a AmPdu.*/

stat_pdu, rx_stat_pdu                           StatPdu,
/*A representation of data contained within a StatPdu.*/

pdus, rem_pdus                                 AmPduArrayType,
/*The initially segmented sdu.*/

receiver_queue                                 Queue,
/*A queue used for storing PDUs as they arrive.*/

retransmission_queue                           Queue,
/*A queue used for PDUs that are to be retransmitted.*/

assembly_queue                                 Queue,
/*A queue used for reassembly of received PDUs into an SDU.*/

transmitted_queue                              Queue,
/*A queue used for PDUs that have been transmitted.*/

am_queue                                       Queue,
/*A queue used for PDUs to be transmitted.*/

stat_queue                                     Queue,
/* Queues used for PDUs associated with STATUS Pdus to be transmitted.*/

prohibit , rx_prohibit, epc_active             IndicatorType,
/*An indicator used to determine whether the timer_PROHIBIT
is running or not.*/

empty, no_tx, no_retx                           IndicatorType,
/*An indicator used to determine whether a queue is empty or not.*/

exists                                         IndicatorType,
/*An indicator used to determine whether a particular pdu exists
within a queue or not.*/

poll_triggers                                  PollTriggArrType,
/*a configuration parameter dealing with when to issue poll requests.*/

status_triggers                                StatusTriggArrType,
/*A configuraion parameter dealing with when to issue Status reports.*/

rx_period                                      DURATION,
/*The duration of a periodic Statut report generation timer.*/

rx_prohibdur, epc_dur                          DURATION,
/*The duration of a prohibit retransmission of status report timer.*/

discard                                       DiscardArrayType,
/*A configuration parameter identifying discard conditions.*/

complete, cnf                                  IndicatorType;
/*An indicator used to determine whether an SDU has been
completely reassembled or whether an SDU requires confirmation.*/

```

5 Functions

The following functions are supported by RLC. For a detailed description of the following functions see [3].

- ~~Connection Control;~~
- Segmentation and reassembly;
- ~~Header compression; Multiple PU:s within a RLC PDU~~
- Concatenation;
- Padding;
- Transfer of user data;
- Error correction;
- In-sequence delivery of higher layer PDUs;
- Duplicate Detection;
- Flow control;
- Sequence number check (Unacknowledged data transfer mode);
- Protocol error detection and recovery.
- Ciphering;

The following potential function(s) are regarded as further study items (FFS):

- Suspend/resume function;

9 Elements for peer-to-peer communication

9.1 Protocol data units

9.1.1 Data PDUs

a) TrD PDU (Transparent Mode Data PDU)

The TrD PDU is used to convey RLC SDU data without adding any RLC overhead. The TrD PDU is used by RLC when it is in transparent mode.

b) UMD PDU (Unacknowledged Mode Data PDU)

The UMD PDU is used to convey sequentially numbered PDUs containing RLC SDU data. It is used by RLC when using unacknowledged data transfer.

c) AMD PDU (Acknowledged Mode Data PDU)

The AMD PDU is used to convey sequentially numbered PUs containing RLC SDU data. The AMD PDU is used by RLC when it is in acknowledged mode.

9.1.2 Control PDUs

a) STATUS PDU and Piggybacked STATUS PDU

The STATUS PDU and the Piggybacked STATUS PDU are used:

- by the receiving entity to inform the transmitting entity about missing PUs at the receiving entity;
- by the receiving entity to inform the transmitting entity about the size of the allowed transmission window;
- and by the transmitting entity to request the receiving entity to move the receiving window.

b) RESET (Reset)

The RESET PDU is used in acknowledged mode to reset all protocol states, protocol variables and protocol timers of the peer RLC entity in order to synchronise the two peer entities.

c) RESET ACK (Reset Acknowledge)

The RESET ACK PDU is an acknowledgement to the RESET PDU.

Table 9-1: RLC PDU names and descriptions

Data Transfer Mode	PDU name	Description
Transparent	TrD	Transparent mode data
Unacknowledged	UMD	Sequenced unacknowledged mode data
Acknowledged	AMD	Sequenced acknowledged mode data
	STATUS	Solicited or Unsolicited Status Report
	Piggybacked STATUS	Piggybacked Solicited or Unsolicited Status Report
	RESET	Reset Command
	RESET ACK	Reset Acknowledgement

9.2 Formats and parameters

9.2.1 Formats

This section specifies the format of the RLC PDUs. The parameters of each PDU are explained in section 9.2.2.

9.2.1.1 TrD PDU

The TrD PDU transfers user data when RLC is operating in transparent mode. No overhead is added by RLC. The TrD PDU is bit aligned

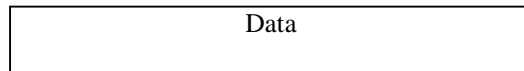


Figure 9-1: TrD PDU

9.2.1.2 UMD PDU

The UMD PDU transfers user data when RLC is operating in unacknowledged mode. The UMD PDU is octet aligned.

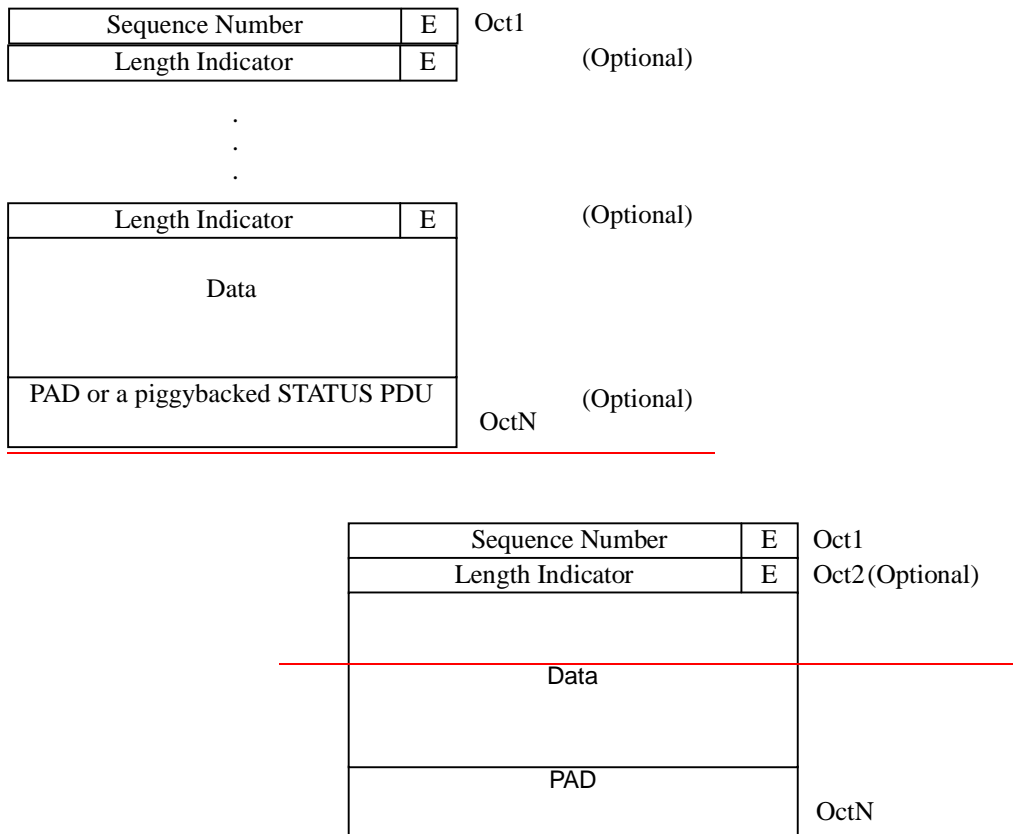


Figure 9-2: UMD PDU

9.2.1.3 AMD PDU

The AMD PDU transfers user data and piggybacked status information and requests status report by setting Poll bit when RLC is operating in acknowledged mode. The AMD PDU is octet aligned

D/C	Sequence Number			Oct1
Sequence Number		P	HE	Oct2
Length Indicator			E	Oct3(Optional) (1)
Data				
PAD or a piggybacked STATUS PDU				OctN

NOTE (1): The Length Indicator maybe 15bits.

Figure 9-3: AMD PDU

9.2.1.4 STATUS PDU

The STATUS PDU is used to report the status between two RLC AM entities. Both receiver and transmitter status information may be included in the same STATUS PDU.

The format of the STATUS PDU is given in figure 9-4 below.

D/C	PDU type	PA	SUFI ₁	Oct1
SUFI ₁				Oct2
SUFI ₁				Oct3
...				
SUFI _k				
PAD				OctN

Figure 9-4: Status Information Control PDU (STATUS PDU)

Up to K different super-fields (SUFI₁-SUFI_k) can be included into one STATUS PDU. The size of a STATUS PDU is variable and upper bounded by the maximum RLC PDU size used by an RLC entity. Padding shall be included to exactly fit one of the PDU sizes used by the entity. The AMD PDU is octet aligned

9.2.1.5 Piggybacked STATUS PDU

The format of the piggybacked STATUS PDU is the same as the ordinary STATUS PDU except that the D/C field and the PDU type field is omitted. This PDU can be used to piggyback STATUS PDU in a AMD PDU if the data does not fill the complete AMD PDU. The STATUS PDU is octet aligned

PA	SUFI ₁	Oct1
SUFI ₁		Oct2
SUFI ₁		Oct3
...		
SUFI _k		
PAD		OctN

Figure 9-5: Piggybacked STATUS PDU

9.2.1.6 RESET, RESET ACK PDU

The RESET, RESET ACK PDU:S ARE octet aligned

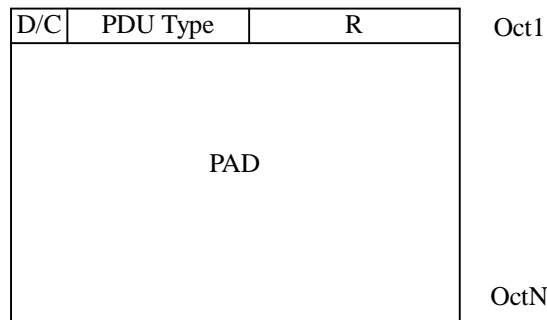


Figure 9-6: RESET, RESET ACK PDU

9.2.2 Parameters

If not otherwise mentioned in the definition of each field then the bits in the parameters shall be interpreted as follows: The left most bit string is the most significant and the right most bit is the least significant bit.

9.2.2.1 D/C field

Length: 1bit

The D/C field indicates the type of an acknowledged mode PDU. It can be either data or control PDU.

Bit	Description
0	Control PDU
1	Acknowledged mode data PDU

9.2.2.2 PDU Type

Length: 3 bit

The PDU type field indicates the Control PDU type

Bit	PDU Type
000	STATUS
001	RESET
010	RESET ACK

9.2.2.3 Sequence Number (SN)

This field indicates the sequence number of the payload unit. In a normal AMD-PDU the sequence number of the first PU in the PDU is indicated. If the PUs are not in sequence, a sequence number is indicated separately for each PU in the extended header.

PDU type	Length	Notes
AMD PDU	12 bits	Used for retransmission and reassembly
UMD PDU	7 bits	Used for reassembly

9.2.2.4 Polling bit (P)

Length: 1bit

This field is used to request a status report (STATUS PDU) from the receiver RLC.

Bit	Description
0	-STATUS report not requested
1	Request a status report

9.2.2.5 Extension bit (E)

Length: 1bit

This bit indicates if the next octet will be a length indicator and E bit.

Bit	Description
0	The next field is data
1	The next field is Length Indicator and E bit

9.2.2.6 Reserved (R)

Length: 4 bits

This field is used to achieve octet alignment and for this purpose it is coded as 0000. Other functions of it are left for future releases.

9.2.2.7 Header Extension Type (HE)

Length: 2 bits

This two-bit field indicates the format of the extended header.

Value	Description
00	The succeeding octet contains data
01	The succeeding octet contains a 7bit length indicator and E bit
10	The succeeding octet contains an extended header field
11	The succeeding octet contains a 15bit length indicator and E bit

9.2.2.7.1 AMD PDU Extended Header

The Extended Header is used when additional sequence numbers are needed to indicate PUs that are not sequential within a PDU or when the rest of a PDU, which is not filled by PUs, is equal or larger than the size of a PU. A PDU that includes more than one sequence number shall include sequence numbers for all PUs in the PDU. The n^{th} sequence number in the PDU indicates the sequence number of the n^{th} PU in the PDU. The decision to use Extended Header is made by the transmitting RLC.

First all the Extended Headers are listed. Then all Length Indicators are listed. Finally the PUs follow.

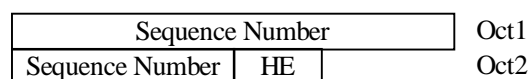


Figure 9-7: Format of the extended header

9.2.2.8 Length Indicator (LI)

This field is optional and is used if concatenation, padding or a piggybacked STATUS PDU takes place in a PU. It indicates the end of the last segment of a SDU. It points out the end of a segment by giving the number of octets between the end of the header fields (including the length indicator fields) and the end of the segment. The size of the Length Indicator may be either 7bits or 15bits. If the last segment of a SDU do not completely fill a PU either padding or a piggybacked STATUS PDU can be added. Predefined values of the length indicator are used to indicate this. The padding/piggybacked STATUS PDU predefined length indicators shall be added after the length indicator that indicates the end of the last SDU segment in the PU. The values that are reserved for special purposes are listed in the tables below depending on the size of the Length Indicator.

If a length indicator that indicates padding/piggybacked STATUS PDU refers to the last PU in the PDU it implicitly means that the rest of the PDU contains padding/piggybacked STATUS PDU. If the last PU in a PDU does not include padding or piggybacked STATUS PDU, but the PDU includes padding or a piggybacked STATUS PDU, an extra length indicator field shall be added as a normal length indicator to the last PU. This extra length indicator shall indicate either padding or a piggybacked STATUS PDU and shall be placed as the last length indicator in the PDU. The space needed for this length indicator shall not be taken from the data part in the PU, but from the padding or piggybacked STATUS PDU in the PDU. The receiving entity shall discard this length indicator.

If RLC PDUs always carry only one PU, 7bit indicators are used in a particular RLC PDU if the address space is sufficient to indicate all SDU segment borders. Otherwise 15bit Length Indicators are applied.

If RLC PDUs may carry more than one PUs the length of the Length Indicator only depends on the size of the largest RLC PDU and the size of the Length Indicator is always the same for all PUs.

Only one size of Length Indicators is used in one RLC PDU.

Length: 7bit

Bit	Description
0000000	The previous RLC PU was exactly filled with the last segment of a RLC SDU.
1111110	The rest part of the RLC PU includes a piggybacked STATUS PDU.
1111111	The rest part of the RLC PU is padding.

Length: 15bit

Bit	Description
000000000000000	The previous RLC PU was exactly filled with the last segment of a RLC SDU.
111111111111110	The rest part of the RLC PU includes a piggybacked STATUS PDU.
111111111111111	The rest part of the RLC PU is padding.

9.2.2.9 Data

RLC SDUs in transparent, unacknowledged and acknowledged mode are mapped to this field.

Transparent mode data:

The RLC SDUs might be segmented. If segmented, then the segmentation is performed according to a predefined pattern. The allowed size for RLC SDUs and segments shall be known. The RLC PDU:s belonging to one RLC SDU shall be sent in one transmission time interval. Only one RLC SDU is segmented in one transmission time interval.

Unacknowledged mode data and Acknowledged mode data

If a SDU is too large to fit into the data field it is segmented. If possible, the last segment of a SDU shall be concatenated with the first segment of the next SDU in order to fill the data field completely and avoid unnecessary padding. The length indicator field is used to point the borders between SDUs.

9.2.2.10 Padding (PAD)

Padding may have any value and the receiving entity shall disregard it.

9.2.2.11 Poll Answer (PA)

Length: 1bit

The PA (Poll Answer) field indicates whether the status report is the answer to a poll or not

Bit	Description
0	The status report is not the answer to a polling request
1	The status report is the answer to a polling request

9.2.2.12 SUFI

Length: variable number of bits

The SUFI (Super-Field) includes three sub-fields: type information (type of super-field, e.g. list, bitmap, acknowledgement, etc), length information (providing the length of a variable length field within the following value field) and a value.

Figure 9-8 shows the structure of the super-field. The size of the type sub-field is non-zero but the size of the other sub-fields may be zero.

Type
Length
Value

Figure 9-8: The Structure of a Super-Field

The length of the type field is 3 bits and it may have any of following values.

Bit	Description
000	No More Data (NO MORE)
001	Window Size (WINDOW)
010	Acknowledgement (ACK)
011	List (LIST)
100	Bitmap (BITMAP)
101	Relative list (Rlist)
110	Move Receiving Window (MRW)
111	<i>Reserved for future super-field types (PDUs with this coding will be discarded by this version of the protocol)</i>

The length sub-field gives the length of the variable size part of the following value sub-field and the length of it depends on the super-field type. The value sub-field includes the value of the super-field, e.g. the bitmap in case of a BITMAP super-field, and the length is given by the length or the type sub-field.

9.2.2.12.1 The No More Data super-field

The 'No More Data' super-field indicates the end of the data part of a STATUS PDU and is shown in figure 9-9 below. It shall always be placed as the last SUFI if it is included in a STATUS PDU. All data after this SUFI shall be regarded as padding and shall be neglected.

Type=NO_MORE

Figure 9-9: NO_MORE field in a STATUS PDU

9.2.2.12.2 The Acknowledgement super-field

The 'Acknowledgement' super-field consists of a type identifier field (ACK) and a sequence number (LSN) as shown in figure 9-10 below. The acknowledgement super-field is also indicating the end of the data part of a STATUS PDU. Thus, no 'NO_MORE' super-field is needed in the STATUS PDU when the 'ACK' super-field is present. The ACK SUFI shall always be placed as the last SUFI if it is included in a STATUS PDU. All data after this SUFI shall be

regarded as padding and shall be neglected.

Type = ACK
LSN

Figure 9-10: The ACK fields in a STATUS PDU

LSN

Length: 12 bits

Acknowledges the reception of all PUs with sequence numbers < LSN (Last Sequence Number) that are *not* indicated to be erroneous in earlier parts of the STATUS PDU. The LSN should not be set to a value \geq VR(H). This means that if the LSN is set to a different value than VR(R) all erroneous PUs must be included in the same STATUS PDU and VT(A) will be updated according to the first error indicated in the STATUS PDU.

9.2.2.12.3 The Window Size super-field

The 'Window Size' super-field consists of a type identifier (WINDOW) and a window size number (WSN) as shown in figure 9-11 below. The receiver is always allowed to change the window size during a connection.

Type = WINDOW
WSN

Figure 9-11: The WINDOW fields in a STATUS PDU

WSN

Length: 12 bits

The allowed window size to be used by the transmitter. The range of the window size is $[0, 2^{12}-1]$. The Window_Size parameter is set equal to WSN.

9.2.2.12.4 The List super-field

The List Super-Field consists of a type identifier field (LIST), a list length field (LENGTH) and a list of LENGTH number of pairs as shown in figure 9-12 below:

Type = LIST
LENGTH
SN ₁
L ₁
SN ₂
L ₂
...
SN _{LENGTH}
L _{LENGTH}

Figure 9-12: The List fields in a STATUS PDU for a list

LENGTH

Length: 4 bits

The number of (SN_{*i*}, L_{*i*})-pairs in the super-field of type LIST.

SN_{*i*}

Length: 12 bits

Sequence number of PU which was not correctly received.

L_{*i*}

Length: 4 bits

Number of consecutive PUs not correctly received following PU with sequence number SN_i .

9.2.2.12.5 The Bitmap super-field

The Bitmap Super-Field consists of a type identifier field (BITMAP), a bitmap length field (LENGTH), a first sequence number (FSN) and a bitmap as shown in figure 9-13 below:

Type = BITMAP
LENGTH
FSN
Bitmap

Figure 9-13: The Bitmap fields in a STATUS PDU

LENGTH

Length: 4 bits

The size of the bitmap in octets (maximum bitmap size: $2^4 \cdot 8 = 128$ bits).

FSN

Length: 12 bits

The sequence number for the first bit in the bitmap.

Bitmap

Length: Variable number of octets given by the LENGTH field.

Status of the SNs in the interval $[FSN, FSN + LENGTH \cdot 8 - 1]$ indicated in the bitmap where each position (from left to right) can have two different values (0 and 1) with the following meaning ($bit_position \in [0, LENGTH \cdot 8 - 1]$):

1: $SN = (FSN + bit_position)$ has been correctly received

0: $SN = (FSN + bit_position)$ has not been correctly received

9.2.2.12.6 The Relative List super-field

The Relative List super-field consists of a type identifier field (RLIST), a list length field (LENGTH), the first sequence number (FSN) and a list of LENGTH number of codewords (CW) as shown in figure 9-14 below.

Type = RLIST
LENGTH
FSN
CW ₁
CW ₂
...
CW _{LENGTH}

Figure 9-14: The RList fields in a STATUS PDU

LENGTH

Length: 4 bits

The number of codewords (CW) in the super-field of type RLIST.

FSN

Length: 12 bits

The sequence number for the first erroneous PU in the RLIST.

CW

Length: 4 bits

The CW consists of 4 bits where the three first bits are part of a number and the last bit is a status indicator and it shall be interpreted as follows.

Code Word	Description
$X_1X_2X_3$ 0	Next 3 bits of the number are $X_1X_2X_3$ and the number continues in the next CW. The most significant bit within this CW is X_1 .
$X_1X_2X_3$ 1	Next 3 bits of the number are $X_1X_2X_3$ and the number is terminated. The most significant bit within this CW is X_1 . This is the most significant CW within the number.

By default, the number given by the CWs represents a distance frombetween the previous indicated erroneous PU up to and including the next erroneous PU.

One special value of CW is defined:

000 1 'Error burst indicator'

The error burst indicator means that the next CW:s will represent the number of subsequent erroneous PU:s (not counting the already indicated error position). After the number of errors in a burst is terminated with XXX 1, the next codeword will again by default be the least significant bits (LSB) of the distance to the next error.

9.2.2.12.7 The Move Receiving Window super-field

The 'Move Receiving Window' super-field is used to request the RLC receiver to move its receiving window, as a result of a SDU discard in the RLC transmitter. The format is given in the figure below.

Type = MRW
SN

Figure 9-15: The MRW fields in a STATUS PDU

SN

Length: 12 bits

Requests the RLC receiver to discard all PUs with sequence number < SN, and to move the receiving window accordingly.

9.3 Protocol states

9.3.1 State model for transparent mode entities

Figure 9-16 illustrates the state model for transparent mode RLC entities (both transmitting and receiving). A transparent mode entity can be in one of following states.

9.3.1.1 Null State

In the null state the RLC entity does not exist and therefore it is not possible to transfer any data through it.

Upon reception of an CRLC-CONFIG-Req from higher layer the RLC entity is created and transparent data transfer ready state is entered.

9.3.1.2 Transparent Data Transfer Ready State

In the transparent data transfer ready, transparent mode data can be exchanged between the entities. Upon reception of an CRLC-CONFIG-Req from higher layer the RLC entity is terminated and the null state is entered.

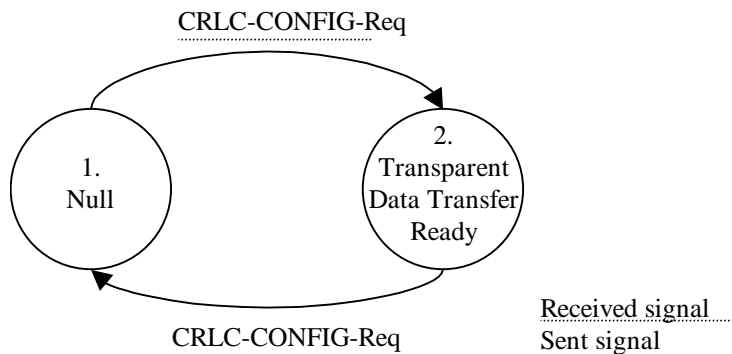


Figure 9-16: The state model for transparent mode entities

9.3.2 State model for unacknowledged mode entities

Figure 9-17 illustrates the state model for unacknowledged mode RLC entities (both transmitting and receiving). An unacknowledged mode entity can be in one of following states.

9.3.2.1 Null State

In the null state the RLC entity does not exist and therefore it is not possible to transfer any data through it.

Upon reception of an CRLC-CONFIG-Req from higher layer the RLC entity is created and unacknowledged data transfer ready state is entered.

9.3.2.2 Unacknowledged Data Transfer Ready State

In the unacknowledged data transfer ready, unacknowledged mode data can be exchanged between the entities. Upon reception of an CRLC-CONFIG-Req from higher layer the RLC entity is terminated and the null state is entered.

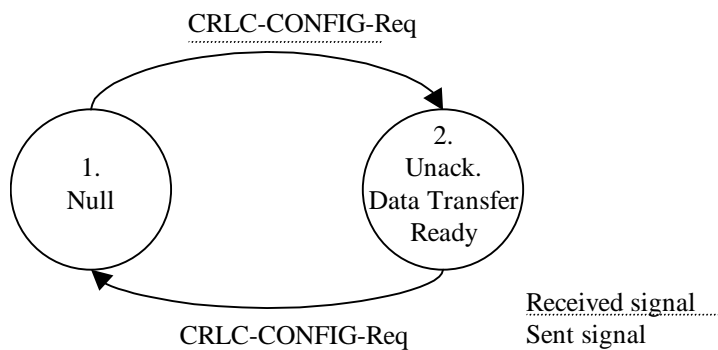


Figure 9-17: The state model for unacknowledged mode entities

9.3.3 State model for acknowledged mode entities

Figure 9-18 illustrates the state model for the acknowledged mode RLC entity (both transmitting and receiving). An acknowledged mode entity can be in one of following states.

9.3.3.1 Null State

In the null state the RLC entity does not exist and therefore it is not possible to transfer any data through it.

Upon reception of an CRLC-CONFIG-Req from higher layer the RLC entity is created and acknowledged data transfer ready state is entered.

9.3.3.2 Acknowledged Data Transfer Ready State

In the acknowledged data transfer ready state, acknowledged mode data can be exchanged between the entities. Upon reception of a CRLC-CONFIG-Req from higher layer the RLC entity is terminated and the null state is entered.

Upon errors in the protocol, the RLC entity sends a RESET PDU to its peer and enters the reset pending state.

Upon reception of a RESET PDU, the RLC entity resets the protocol and responds to the peer entity with a RESET ACK PDU.

Upon reception of a RESET ACK PDU, the RLC takes no action.

9.3.3.3 Reset Pending State

In the reset pending state the entity waits for a response from its peer entity and no data can be exchanged between the entities. Upon reception of CRLC-CONFIG-Req from higher layer the RLC entity is terminated and the null state is entered.

Upon reception of a RESET ACK PDU, the RLC entity resets the protocol and enters the acknowledged data transfer ready state.

Upon reception of a RESET PDU, the RLC entity resets the protocol, send a RESET ACK PDU and enters the acknowledged data transfer ready state.

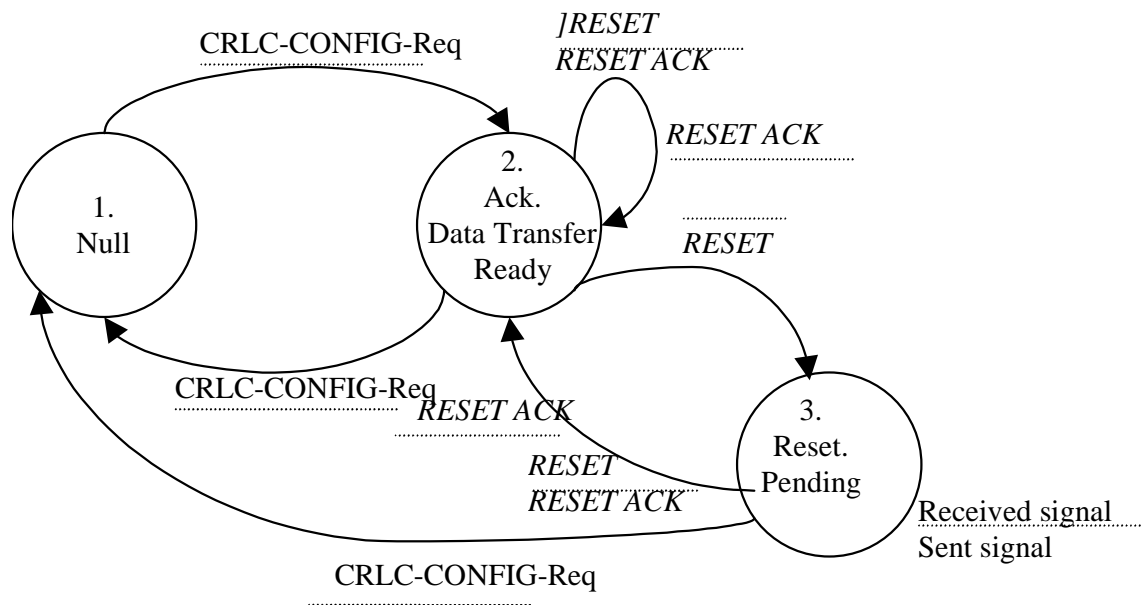


Figure 9-18: The state model for the acknowledged mode entities

9.4 State variables

This sub-clause describes the state variables used in the specification of the peer-to-peer protocol. PUs are sequentially and independently numbered and may have the value 0 through n minus 1 (where n is the modulus of the sequence numbers). The modulus equals 2^{12} for AM and 2^7 for UM; the sequence numbers cycle through the entire range: 0 through $2^{12} - 1$ for AM and 0 through $2^7 - 1$ for UM. All arithmetic operations on the following state variables and sequence numbers contained in this specification are affected by the modulus: VT(S), VT(A), VT(MS), VR(R), VR(H), VR(MR), VT(US) and VR(US). When performing arithmetic comparisons of transmitter variables, VT(A) is assumed to be the base. When performing arithmetic comparisons of receiver variables, VR(R) is assumed to be the base.

The RLC maintains the following state variables at the transmitter.

- a) VT(S) - Send state variable

The sequence number of the next PU to be transmitted for the first time (i.e. excluding retransmission). It is updated after transmission of a PDU which includes not earlier transmitted PUs. The initial value of this variable is 0.

b) VT(A) - Acknowledge state variable

The sequence number of the next in-sequence PU expected to be acknowledged, which forms the lower edge of the window of acceptable acknowledgments. VT(A) is updated based on receipt of a STATUS PDU including an ACK super-field. The initial value of this variable is 0.

c) VT(DAT)

This state variable counts the number of times a PU has been transmitted. There is one VT(DAT) for each PU and it is incremented each time the PU is transmitted. The initial value of this variable is 0.

d) VT(MS) - Maximum Send state variable

The sequence number of the first PU not allowed by the peer receiver [i.e. the receiver will allow up to VT(MS) – 1], $VT(MS) = VT(A) + Window_Size$. This value represents the upper edge of the transmit window. The transmitter shall not transmit a new PU if $VT(S) \geq VT(MS)$. VT(MS) is updated based on receipt of a STATUS PDU including an ACK and/or a WINDOW super-field.

e) VT(US) – UM data state variable

This state variable gives the sequence number of the next UMD PDU to be transmitted. It is updated each time a UMD PDU is transmitted. The initial value of this variable is 0.

f) VT(PU)

This state variable is used when the poll every Poll_PU PU function is used. It is incremented with 1 for each PU that is transmitted. It should be incremented for both new and retransmitted PUs. When it reaches Poll_PU a new poll is transmitted and the state variable is set to zero. The initial value of this variable is 0.

g) VT(SDU)

This state variable is used when the poll every Poll_SDU SDU function is used. It is incremented with 1 for each SDU that is transmitted. When it reaches Poll_SDU a new poll is transmitted and the state variable is set to zero. The poll bit should be set in the PU that contains the last segment of the SDU. The initial value of this variable is 0.

h) VT(RST) - Reset state variable

It is used to count the number of times a RESET PDU is transmitted. VT(RST) is incremented with 1 each time a RESET PDU is transmitted. VT(RST) is reset upon the reception of a RESET ACK PDU. The initial value of this variable is 0.

The RLC maintains the following state variables at the receiver:

a) VR(R) - Receive state variable

The sequence number of the next in-sequence PU expected to be received. It is updated upon receipt of the next in-sequence PU. The initial value of this variable is 0.

b) VR(H) - Highest expected state variable

The sequence number of the highest expected PU. This state variable is updated when a new PU is received with $SN \geq VR(H)$. The initial value of this variable is 0.

c) VR(MR) - Maximum acceptable Receive state variable

The sequence number of the first PU not allowed by the receiver [i.e. the receiver will allow up to VR(MR) – 1], $VR(MR) = VR(R) + Window_Size$. The receiver shall discard PUs with $SN \geq VR(MR)$, (in one case, such a PU may cause the transmission of an unsolicited STATUS PDU).

d) VR(US) - Receiver Send Sequence state variable

The sequence number of the next PDU to be received. It shall set equal to $SN + 1$ upon reception of a PDU. The initial value of this variable is 0.

- e) VR(EP) – Estimated PDU Counter state variable

The number of PUs that should be received yet as a consequence of the transmission of the latest STATUS PDU. In acknowledged mode, this state variable is updated at the end of each transmission time interval. It is decremented by the number of PUs that should have been received during the transmission time interval. If VR(EP) is equal to zero, then check if all PUs requested for retransmission in the latest STATUS PDU have been received.

9.5 Timers

- a) Timer_Poll

This timer is only used when the poll timer trigger is used. It is started when the transmitting side sends a poll to the peer entity. The timer is stopped when receiving a STATUS PDU that contains an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer. The value of the timer is signalled by RRC.

If the timer expires and no STATUS PDU containing an acknowledgement or negative acknowledgement of the AMD PDU that triggered the timer has been received, the receiver is polled once more (either by the transmission of a PDU which was not yet sent, or by a retransmission) and the timer is restarted.

If a new poll is sent when the timer is running it is restarted.

- b) Timer_Poll_Prohibit

This timer is only used when the poll prohibit function is used. It is used to prohibit transmission of polls within a certain period. A poll shall be delayed until the timer expires if a poll is triggered when the timer is active. Only one poll shall be transmitted when the timer expires even if several polls were triggered when the timer was active. This timer will not be stopped by a STATUS PDU. The value of the timer is signalled by RRC.

- c) Timer_EPC

This timer is only used when the EPC function is used and it accounts for the roundtrip delay, i.e. the time when the first retransmitted PU should be received after a STATUS has been sent. The timer is started when a STATUS report is transmitted and when it expires EPC can start decrease (see section 9.7.3). The value of the timer is signalled by RRC.

- d) Timer_Discard

This timer is used for the SDU discard function. In the transmitter, the timer is activated upon reception of a SDU from higher layer. If the SDU has not been acknowledged when the timer expires, the SDU is discarded and a Move Receiving Window request is sent to the receiver. If the SDU discard function does not use the Move Receiving Window request, the timer is also used in the receiver, where it is activated once a PDU is detected as outstanding, i.e. there is a gap between sequence numbers of received PDUs. The value of the timer is signalled by RRC.

- e) Timer_Poll_Periodic

This timer is only used when the timer based polling is used. The timer is started when the RLC entity is created. Each time the timer expires a poll is transmitted and the timer is restarted. The value of the timer is signalled by RRC.

- f) Timer_Status_Prohibit

This timer is only used when the STATUS PDU prohibit function is used. It prohibits the receiving side from sending STATUS PDUs. The timer is started when a STATUS PDU is transmitted and no new STATUS PDU can be transmitted before the timer has expired. The value of the timer is signalled by RRC.

- g) Timer_Status_Periodic

This timer is only used when timer based STATUS PDU sending is used. The timer is started when the RLC entity is created. Each time the timer expires a STATUS PDU is transmitted and the timer is restarted. The value of the timer is signalled by RRC.

h) Timer_RST

It is used to detect the loss of RESET ACK PDU from the peer RLC entity. This timer is set when the RESET PDU is transmitted. And it will be stopped upon reception of RESET ACK PDU. If it expires, RESET PDU will be retransmitted.

9.6 Protocol Parameters

a) MaxDAT

It is the maximum value for the number of retransmissions of a PU. This parameter is an upper limit of counter VT(DAT). When the value of VT(DAT) comes to MaxDAT, error recovery procedure will be performed.

b) Poll_PU

This parameter indicates how often the transmitter should poll the receiver in case of polling every Poll_PU PU. This is an upper limit for the VT(PU) state variable, when VT(PU) reaches Poll_PU a poll is transmitted to the peer entity.

c) Poll_SDU

This parameter indicates how often the transmitter should poll the receiver in case of polling every Poll_SDU SDU. This is an upper limit for the VT(SDU) state variable, when VT(SDU) reaches Poll_SDU a poll is transmitted to the peer entity.

d) Poll_Window

This parameter indicates when the transmitter should poll the receiver in case of performing window based polling. A poll is transmitted when:

$$1 - \frac{(Window_Size + VT(MS) - VT(S)) \bmod Window_Size}{Window_Size} > Poll_Window.$$

e) MaxRST

It is the maximum value for the number of retransmission of RESET PDU. This parameter is an upper limit of counter VT(RST). When the value of VT(RST) comes to MaxRST, the higher layer (RRC) is notified.

f) Window_Size

The maximum allowed transmitter window size.

9.7 Specific functions

9.7.1 Polling function for acknowledged mode transfer

The transmitter of AMD PDUs may poll the receiver for a STATUS PDU. The Polling bit in the AMD PDU indicates the poll request. There are several triggers for setting the polling bit. The network (RRC) controls which triggers should be used for each RLC entity. Following triggers are possible:

1) Last PU in buffer

The sender transmits a poll when the last PU available for transmission is transmitted.

2) Last PU in retransmission buffer

The sender transmits a poll when the last PU to be retransmitted is transmitted.

3) Poll timer

The timer Timer_Poll is started when a poll is transmitted to the receiver and if no STATUS PDU has been received before the timer Timer_Poll expires a new poll is transmitted to the receiver.

4) Every Poll_PU PU

The sender polls the receiver every Poll_PU PU. Both retransmitted and new PUs shall be counted.

5) Every Poll_SDU SDU

The sender polls the receiver every Poll_SDU SDU.

6) Poll_Window% of transmission window

The sender polls the receiver when it has reached Poll_Window% of the transmission window.

7) Timer based

The sender polls the receiver periodically.

The network also controls if the poll prohibit function shall be used. The poll bit shall be set to 0 if the poll prohibit function is used and the timer Timer_Poll_Prohibit is active. This function has higher priority than any of the above mentioned triggers.

9.7.2 STATUS PDU transmission for acknowledged mode

The receiver of AMD PDUs transmits STATUS PDUs to the sender in order to inform about which PUs that have been received and not received. There are several triggers for sending a STATUS PDU. The network (RRC) controls which triggers should be used for each RLC entity, except for one, which is always present. The receiver shall always send a STATUS PDU when receiving a poll request. Except for that trigger following triggers are configurable:

1) Detection of missing PU(s).

If the receiver detects one or several missing PUs it shall send a STATUS PDU to the sender.

2) Timer based STATUS PDU transfer

The receiver transmits a STATUS PDU periodically to the sender. The time period is controlled by the timer Timer_Status_Periodic.

3) The EPC mechanism

The EPC is started when a STATUS PDU is transmitted to the peer entity. If not all PUs requested for retransmission have been received before the EPC has expired a new STATUS PDU is transmitted to the peer entity. A more detailed description of the EPC mechanism is given in section 9.7.2.

There are two functions that can prohibit the receiver from sending a STATUS PDU. The network (RRC) controls which functions should be used for each RLC entity. If any of the following functions is used the sending of the STATUS PDU shall be delayed, even if any of the conditions above are fulfilled:

1) STATUS PDU prohibit

The Timer_Status_Prohibit is started when a STATUS PDU is transmitted to the peer entity. As long as the timer is running the receiving side is not allowed to send a STATUS PDUs to the peer entity. The STATUS PDU is transmitted after the timer has expired. The receiver shall only send information about a PU once, even if there are several triggers when the timer running.

2) The EPC mechanism

If the EPC mechanism is active and the sending of a STATUS PDU is triggered it shall be delayed until the EPC mechanism has ended. The receiver shall only send information about a PU once, even if there are several triggers when the timer is active or the counter is counting down.

9.7.3 SDU discard function

The SDU discard function allows to discharge RLC PDU from the buffer on the transmitter side, when the transmission of the RLC PDU does not success for a long time. The SDU discard function allows to avoid buffer overflow, in the case of non-transparent transmission mode. There will be several alternative operation modes of the RLC SDU discard function, and which discard function to use will be given by the QoS requirements of the Radio Access Bearer.

The following is a list of operation modes for the RLC SDU discard function.

Table 9-2: List of criteria's that control when to perform SDU discard

Operation mode	Presence
Timer based discard, with explicit signalling	Network controlled
Timer based discard, without explicit signalling	Network controlled
SDU discard after MaxDAT number of retransmissions	Network controlled

9.7.3.1 Timer based discard, with explicit signalling

This alternative uses a timer based triggering of SDU discard (Timer_Discard). This makes the SDU discard function insensitive to variations in the channel rate and provides means for exact definition of maximum delay. However, the SDU loss rate of the connection is increased as SDUs are discarded.

For every SDU received from a higher layer, timer monitoring of the transmission time of the SDU is started. If the transmission time exceeds a predefined value for a SDU in acknowledged mode RLC, this SDU is discarded in the transmitter and a Move Receiving Window (MRW) command is sent to the receiver so that AMD PDUs carrying that SDU are discarded in the receiver and the receiver window is updated accordingly. Note that when the concatenation function is active, PDUs carrying segments of other SDUs that have not timed out shall not be discarded.

The MRW command is defined as a super-field in the RLC STATUS PDU (see section 9.2), and piggy backed to status information of transmissions in the opposite direction. Therefore, SDU discard variants requiring peer-to-peer signalling are only possible for full duplex connections.

9.7.3.2 Timer based discard, without explicit signalling

This alternative uses the same timer based trigger for SDU discard (Timer_Discard) as the one described in the section 9.7.3.1. The difference is that this discard method does not use any peer-to-peer signalling. For unacknowledged mode RLC, peer-to-peer signalling is never needed. The SDUs are simply discarded in the transmitter, once the transmission time is exceeded. For acknowledged mode RLC, peer-to-peer signalling can be avoided as long as SDU discard is always performed in the transmitter before it is performed in the receiver. As long as the corresponding SDU is eventually discarded in the receiver too, possible retransmission requests of PDU of discarded SDUs can be ignored by the transmitter. The bigger the time difference is between the triggering of the discard condition at the transmitter and the receiver, the bigger the unnecessary buffering need is at the receiver and the more bandwidth is lost on the reverse link due to unnecessary retransmission requests. On the other hand, forward link bandwidth is saved, as no explicit SDU discard signalling is needed.

9.7.3.3 SDU discard after MaxDAT number of retransmissions

This alternative uses the number of retransmissions as a trigger for SDU discard, and is therefore only applicable for acknowledged mode RLC. This makes the SDU discard function dependent of the channel rate. Also, this variant of the SDU discard function strives to keep the SDU loss rate constant for the connection, on the cost of a variable delay. SDU discard is triggered at the transmitter, and a MRW command is necessary to convey the discard information to the receiver, like in the timer based discard with explicit signalling.

9.7.4 The Estimated PDU Counter

The Estimated PDU Counter is a mechanism used for scheduling the retransmission of status reports in the receiver side. With this mechanism, the receiver will send a new Status PDU in which it requests for PUs not yet received. The time between two subsequent status report retransmissions is not fixed, but it is controlled by the Estimated PDU Counter (EPC), which adapt this time to the current bit rate, indicated in the TFI, in order to minimise the delay of the status

report retransmission.

The EPC is a counter, which is decremented every transmission time interval with the estimated number of PUs that should have been transmitted during that transmission time interval. When the receiver detects that PDUs are missing it generates and sends a Status PDU to the transmitter and sets the EPC equal to the number of requested PUs.

A special timer, called EPC timer, controls the maximum time that the EPC needs to wait before it will start counting down. This timer starts immediately after a transmission of a retransmission request from the receiver (Status PDU). The EPC timer typically depends on the roundtrip delay, which consists of the propagation delay, processing time in the transmitter and receiver and the frame structure. This timer can also be implemented as a counter, which counts the number of 10 ms radio frames that could be expected to elapse before the first requested AMD PDU is received.

When the EPC is equal to zero and not all of these requested PUs have been received correctly, a new Status PDU will be transmitted and the EPC will be reset accordingly. The EPC timer will be started once more.

The EPC is based on the estimation of the number of PUs that should have been received during a transmission time interval. To estimate this number is easiest done by means of the TFI bits. However, if these bits are lost due to some reason or another, this estimation must be based on something else. A straightforward solution is to base the estimation on the number done in the previous transmission time interval. Only if the rate has changed this estimation is incorrect. Another method of estimating the number of PUs is based on the maximum allowable rate. The consequence of this is that if the estimation is incorrect, the Status PDU is sent too early. Alternatively, the estimation can be based on the lowest possible transmission rate. In this case, if the estimation is incorrect, the Status PDU will most likely be transmitted too late.

9.7.5 Multiple payload units in a RLC PDU and header compression

The possibility to include multiple payload units (PU) into one RLC AMD PDU ~~provides a way to support variable bit rate services with lower overhead. The method is to be a part of the service capabilities of a UE capable to support user plane traffic in acknowledged mode. For Release 99, there shall be only one PU per AMD PDU.~~

~~A semi-static sized payload unit is the smallest unit that can be separately addressed for retransmission and is of fixed size, containing data and optionally, length indicators and/or padding. The padding space of a PU can be used to piggyback STATUS PDUs. The segmentation and concatenation of SDUs into the RLC transmitter buffer is always performed as if there would be only one PU in each AMD PDU. However one AMD PDU may contain also multiple PUs. The header compression is applied to incorporate several PUs effectively into the AMD PDU and it takes place when the transport block size for the next transmission time interval indicated by MAC can accommodate several PUs. The concept of having multiple PUs in one AMD PDU does not remove the need to still have several transport blocks (RLC PDUs) in the transport block set.~~

~~Determination of (The configuration of PU and PDU sizes is determined based on by the needed transmission rates. The size of the PU is set by the RRC, is derived directly from the lowest common block size that can be used to compose all the applicable data rates. To support faster transmission rates more payload units are combined into one AMD PDU with header compression function as long as the size of the PDU reaches the optimum. When higher transmission rates are used, several transport blocks (RLC PDUs) are delivered within one transport block set keeping the amount of PUs the same in one AMD PDU. The AMD PDU Extended Header is needed when several PU:s out of sequence is included into one RLC PDU. When multiple PU:s in one RLC PDU is used then the RLC is able to construct PDU:s of variable size.~~

~~The method of multiple PUs in one PDU combined with the header compression is especially useful when the lowest needed transmission bit rate cannot be effectively supported with PDUs optimized for the highest transmission bit rate. Figure 3-1 presents a few examples illustrating the applicability of the method. To illustrate the method, figure 9-19 shows some examples where the RLC AMD PDU size has been set to 320 bits.~~

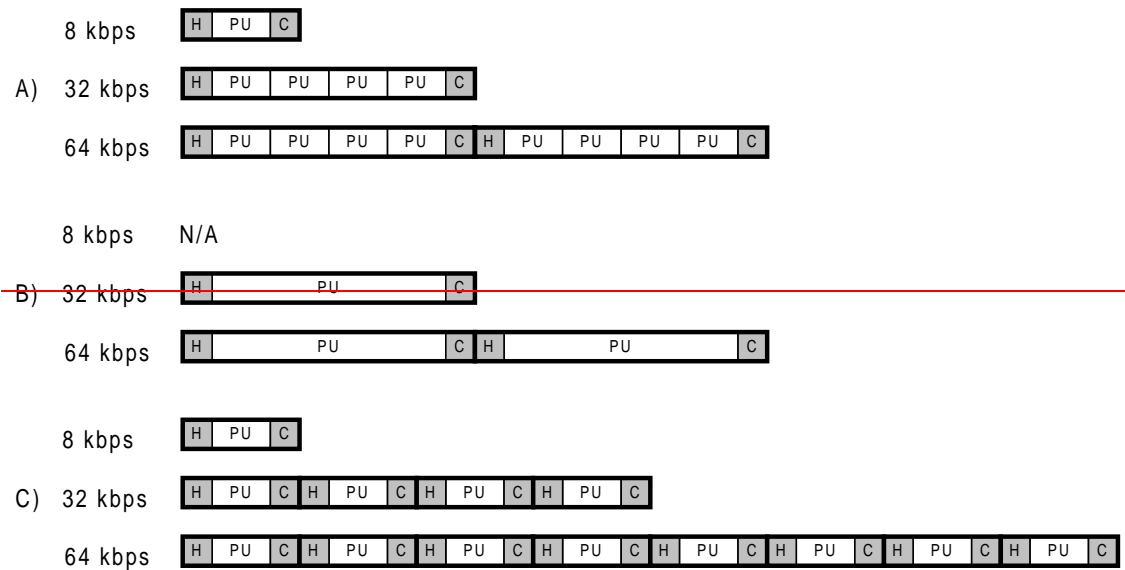


Figure 9-19: Example cases of payload units and PDUs at different transmission rates

Assuming there is a service having a need for variable transmission rate with the maximum above 32 kbps (e.g. 64 kbps) and the minimum of e.g. 8 kbps. With the PU size of 80 bits the flexibility for transmission rates of 8 kbps, 16 kbps or 32 kbps can be achieved by adjusting simply the amount of PUs in one RLC PDU. This also affects on the size of the RLC PDU. While having the optimum sized PDU at 32 kbps, faster transmission rates are constructed by sending several PDUs, each of them containing four PUs (case A).

In case B no data rates below 32 kbps are in the transport format set and the size of the PU is the same as the size of the payload in the RLC PDU. To support transmission bit rates above 32 kbps several PDUs (transport blocks) are sent within one transport block set.

Without the capability to append several PU:s in the PDU the overhead becomes rather high if several transport blocks are sent within one transport block set without header compression at a higher transmission rate (case C).