**3GPP TSG-RAN4 Meeting #98-eR4-21xxxxx**

**Online, 25 Jan. – 5 Feb., 2021**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
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|  | **38.133** | **CR** |  | **rev** | **1** | **Current version:** | **15.12.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | CR on CSSF for EN-DC R15 |
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| ***Source to WG:*** | Apple |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** |  |  | ***Date:*** | 2020-12-16 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-15 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | The CSSF design for EN-DC shall consider the MOs configured from both LTE MN and NR SN in EN-DC. |
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| ***Summary of change:*** | The CSSF requirement has been updated for EN-DC to consider the MOs configured from both LTE MN and NR SN in EN-DC. |
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| ***Consequences if not approved:*** | The CSSF design for EN-DC is not correct when the MOs configured from both LTE MN and NR SN in EN-DC. |
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| ***Clauses affected:*** | Section 9.1.5.1; 9.1.5.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

Start of Change 1

#### 9.1.5.1 Monitoring of multiple layers outside gaps

The carrier-specific scaling factor CSSFoutside\_gap,i for measurement object *i* derived in this chapter is applied to following measurement types:

- Intra-frequency measurement with no measurement gap in clause 9.2.5, when none of the SMTC occasions of this intra-frequency measurement object are overlapped by the measurement gap.

- Intra-frequency measurement with no measurement gap in clause 9.2.5, when part of the SMTC occasions of this intra-frequency measurement object are overlapped by the measurement gap.

- For a UE in E-UTRA-NR dual connectivity operation, NR inter-RAT measurement configured by the E-UTRAN PCell on an NR serving carrier

- the SSB is completely contained in the active BWP of the UE, and

- none or part of the SMTC occasions of this inter-RAT measurement object are overlapped by the measurement gap;

UE is expected to conduct the measurement of this measurement object *i* only outside the measurement gaps.

For a UE in E-UTRA-NR dual connectivity operation, if a measurement object configured by PSCell and an NR inter-RAT measurment object configured by E-UTRAN PCell are on the same srving carrier, they shall be counted as one intra-frequency measurement object, provided that they meet the measurement object merging conditions in clause 9.1.3.2.

If the higher layer signaling in TS 38.331 [2] of *smtc2* is present and *smtc1* is fully overlapping with measurement gaps and *smtc2* is partially overlapping with measurement gaps, CSSFoutside\_gap,i and requirements derived from CSSFoutside\_gap,i are not specified.

The UE cell identification and measurement periods derived based on CSSFoutside\_gap,i in clauses 9.2.5.1, 9.2.5.2 may be extended for measurement objects of which the cell identification and measurement periods are overlapped with Tmeasure\_SFTD1 specified in clause 9.3.8 when no measurement gaps are provided.

The requirements in this clause apply provided that

- There are only SCCs in FR2, or

- The SMTC on all CCs in FR2 have the same offset, and one of following conditions is met

- If *smtc2* is configured on any FR2 CC,

- All CCs have the same configuration for *smtc1*, and

- All CCs configured with *smtc2* have the same configuration for *smtc2*

- If *smtc2* is not configured on any FR2 CC,

- The total number of different SMTC periodicities on all serving CCs does not exceed 4

Note: Longer delays for cell identification and measurement periods derived based on CSSFoutside\_gap,i in clauses 9.2.5.1, 9.2.5.2, can be expected, if the UE is configured with more than 4 different SMTC periodicities on FR2 serving carriers. The longer delay applies for the FR2 intra-frequency measurement objects with the longest SMTC periodicity/periodicities.

##### 9.1.5.1.1 EN-DC mode: carrier-specific scaling factor for SSB-based measurements performed outside gaps

For UE configured with the E-UTRA-NR dual connectivity operation, the carrier-specific scaling factor CSSFoutside\_gap,i for intra-frequency SSB-based measurements performed outside measurements gaps will be as specified in Table 9.1.5.1.1-1.

Table 9.1.5.1.1-1: CSSFoutside\_gap,i scaling factor for EN-DC mode

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| --- | --- | --- | --- | --- | --- |
| Scenario | *CSSF*outside\_gap,i for FR1 PSCC | *CSSF*outside\_gap,i for FR1 SCC | *CSSF*outside\_gap,i for FR2 PSCC | *CSSF*outside\_gap,i for FR2 SCC where neighbour cell measurement is required Note 2 | *CSSF*outside\_gap,i for FR2 SCC where neighbour cell measurement is not required |
| **EN-DC with FR1 only CA**  | NEUTRAN\_config\_PSCC + NNR\_config\_PSCC note 6 | NEUTRAN\_NR\_config\_SCC note7 | N/A | N/A | N/A |
| **EN-DC with****FR2 only intra band CA**  | N/A | N/A | NEUTRAN\_config\_PSCC + NNR\_config\_PSCC note 6 | N/A | NEUTRAN\_NR\_config\_SCC note7 |
| **EN-DC with****FR1 +FR2 CA (FR1 PSCell) Note 1** | NEUTRAN\_config\_PSCC + NNR\_config\_PSCC note 6 | 2×( NEUTRAN\_NR\_config\_SCC- (NEUTRAN\_config\_SCC + NNR\_config\_SCC)) note7, note8 | N/A | K\*(NEUTRAN\_config\_SCC + NNR\_config\_SCC)Note 5, Note 8 | 2×( NEUTRAN\_NR\_config\_SCC- (NEUTRAN\_config\_SCC + NNR\_config\_SCC)) note7, note8 |
| **EN-DC with****FR1 +FR2 CA (FR2 PSCell) Note 1** | N/A | NEUTRAN\_NR\_config\_SCC note7 | NEUTRAN\_config\_PSCC + NNR\_config\_PSCC note 6 | N/A | NEUTRAN\_NR\_config\_SCC note7 |
| Note 1: Only one NR FR1 operating band and one NR FR2 operating band are included for FR1+FR2 inter-band EN-DC.Note 2: Selection of FR2 SCC where neighbour cell measurement is required follows clause 9.2.3.2.Note 3: VoidNote 4: VoidNote 5: K=1 if only one SCell is configured, K=2 otherwise.Note 6: If E-UTRAN MN configures MO on PSCC, NEUTRAN\_config\_PSCC =1, otherwise NEUTRAN\_config\_PSCC =0. If NR SN configures MO on PSCC, NNR\_config\_PSCC =1, otherwise NNR\_Config\_PSCC =0. If MOs configured by NR SN and E-UTRAN MN are on PSCC and meet MO merging conditions in clause 9.1.3.2, NEUTRAN\_config\_PSCC + NNR\_config\_PSCC =1.Note 7: NEUTRAN\_NR\_config\_SCC = ) where,  If E-UTRAN MN configures MO on SCC i, NEUTRAN\_config\_SCC,i =1, otherwise, NEUTRAN\_config\_SCC,i =0. If NR SN configures MO on SCC i, NNR\_config\_SCC,i =1, otherwise, NNR\_config\_SCC,i =0. If both E-UTRAN MN and NR SN configures MOs on SCC i and those two MOs meet MO merging conditions in clause 9.1.3.2, NEUTRAN\_config\_SCC,i +NNR\_config\_SCC,i = 1.Note 8: If E-UTRAN MN configures MO on FR2 SCC where neighbour cell measurement is required, NEUTRAN\_config\_SCC =1, otherwise, NEUTRAN\_config\_SCC =0. If NR SN configures MO on FR2 SCC where neighbour cell measurement is required, NNR\_config\_SCC =1, otherwise, NNR\_config\_SCC =0. If MOs configured by NR SN and E-UTRAN MN are on same SCC where neighbour cell measurement is required and meet MO merging conditions in clause 9.1.3.2, NEUTRAN\_config\_SCC + NNR\_config\_SCC =1. |

End of Change 1

Start of Change 2

#### 9.1.5.2 Monitoring of multiple layers within gaps

The carrier-specific scaling factor CSSFwithin\_gap,i for measurement object *i* derived in this chapter is applied to following measurement types:

- Intra-frequency measurement object with no measurement gap in clause 9.2.5, when all of the SMTC occasions of this intra-frequency measurement object are overlapped by the measurement gap.

- Intra-frequency measurement object with measurement gap in clause 9.2.6.

- Inter-frequency measurement object in clause 9.3.

- E-UTRA Inter-RAT measurement object in clauses 9.4.2 and 9.4.3.

- E-UTRA Inter-RAT RSTD and E-CID measurements in clauses 9.4.4 and 9.4.5.

- For a UE in E-UTRA-NR dual connectivity operation, NR Inter-RAT measurement object configured by the E-UTRAN PCell (TS 36.133 [15] clause 8.17.4) on an NR serving carrier

- the SSB is completely contained in the active BWP of the UE, or

- all of the SMTC occasions of this inter-RAT measurement object are overlapped by the measurement gap;

- NR Inter-RAT measurement object configured by the E-UTRAN PCell (TS 36.133 [15] clause 8.17.4) on an NR non-serving carrier.

- E-UTRAN Inter-frequency measurement object configured by the E-UTRAN PCell (TS 36.133 [15] clause 8.17.3) and by the E-UTRAN PSCell (TS 36.133 [15] clause 8.19.3).

- E-UTRAN Inter-frequency RSTD measurement configured by the E-UTRAN PCell (TS 36.133 [15] clause 8.17.15).

- UTRA Inter-RAT measurement object configured by the E-UTRAN PCell (TS 36.133 [15] clauses 8.17.5 to 8.17.12).

- GSM Inter-RAT measurements configured by the E-UTRAN PCell (TS 36.133 [15] clauses 8.17.13 and 8.17.14).

UE is expected to conduct the measurement of this measurement object *i* only within the measurement gaps.

If the higher layer signaling in TS 38.331 [2] of *smtc2* is present and *smtc1* is fully overlapping with measurement gaps and *smtc2* is partially overlapping with measurement gaps, CSSFwithin\_gap,i and requirements derived from CSSFoutside\_gap,i are not specified.

##### 9.1.5.2.1 EN-DC mode: carrier-specific scaling factor for SSB-based measurements performed within gaps

The scaling value CSSFwithin\_gap,i below has been derived without considering GSM inter-RAT carriers.

When one or more measurement objects are monitored within measurement gaps, the carrier specific scaling factor for a target measurement object with index *i* is designated as CSSFwithin\_gap,i and is derived as described in this clause.

For a UE in E-UTRA-NR dual connectivity operation, if a measurement object configured by PSCell and an NR inter-RAT measurment object configured by E-UTRAN PCell are on the same frequency, they shall be counted as one inter-frequency measurement object in Mtot,i,j, provided that they meet the measurement object merging conditions in clause 9.1.3.2.

If measurement object *i* refers to an RSTD measurement with periodicity Tprs>160ms or with periodicity Tprs=160ms but *prs-MutingInfo-r9* is configured, CSSFwithin\_gap,i=1. Otherwise, the CSSFwithin\_gap,i for other measurement objects (including RSTD measurement with periodicity Tprs=160ms) participate in the gap competition are derived as below.

For each measurement gap *j* not used for an RSTD measurement with periodicity Tprs>160ms or with periodicity Tprs=160ms but *prs-MutingInfo-r9* is configured within an arbitrary 160ms period, count the total number of intrafrequency measurement objects and interfrequency/interRAT measurement objects which are candidates to be measured within the gap *j*.

- An NR measurement object is a candidate to be measured in a gap if its SMTC duration is fully covered by the MGL excluding RF switching time. For intra-frequency NR carriers, if the higher layer in TS 38.331 [2] signaling of *smtc2* is configured, the assumed periodicity of SMTC occasions corresponds to the value of higher layer parameter *smtc2*; otherwise the assumed periodicity of SMTC occasions corresponds to the value of higher layer parameter *smtc1*

- An inter-RAT UTRA measurement object configured by E-UTRA PCell [15] is a candidate to be measured in all measurement gaps.

- An inter-frequency E-UTRA measurement object configured by E-UTRA PCell [15] is a candidate to be measured in all measurement gaps.

- For UEs which support and are configured with per FR gaps, the counting is done on a per FR basis, and for UEs which are configured with per UE gaps the counting is done on a per UE basis.

- Mintra,i,j: Number of intra-frequency measurement objects which are candidates to be measured in gap *j* where the measurement object *i* is also a candidate. Otherwise Mintra,i,j equals 0.

- Minter,i,j : Number of NR inter-frequency measurement objects or NR inter-RAT measurement objects configured by E-UTRA PCell, EUTRA inter-frequency measurement objects configured by E-UTRA PCell, UTRA inter-RAT measurement objects configured by E-UTRA PCell which are candidates to be measured in gap *j* where the measurement object *i* is also a candidate. Otherwise Minter,i,j equals 0.

- Mtot,i,j = Mintra,i,j + Minter,i,j : Total number of intra-frequency, inter-frequency and inter-RAT measurement objects which are candidates to be measured in gap *j* where the measurement object *i* is also a candidate. Otherwise Mtot,i,j equals 0.

For each measurement gap *j* used for an RSTD measurement with periodicity Tprs>160ms or with periodicity Tprs=160ms but *prs-MutingInfo-r9* is configured within an arbitrary 160ms period, Mintra,i,j = Minter,i,j = Mtot,i,j =0.

 The carrier specific scaling factor CSSFwithin\_gap,i is given by:

 If *measGapSharingScheme* is equal sharing, CSSFwithin\_gap,i= max(ceil(Ri×Mtot,i,j)), where *j*=0…(160/MGRP)-1

 If *measGapSharingScheme* is not equal sharing and

- measurement object *i* is an intra-frequency measurement object, CSSFwithin\_gap,i is the maximum among

- ceil(Ri×Kintra×Mintra,i,j) in gaps where Minter,i,j≠0, where *j*=0…(160/MGRP)-1

- ceil(Ri×Mintra,i,j) in gaps where Minter,i,j=0, where *j*=0…(160/MGRP)-1

- measurement object *i* is an inter-frequency or inter-RAT measurement object, CSSFwithin\_gap,i is the maximum among

- ceil(Ri×Kinter×Minter,i,j) in gaps where Mintra,i,j ≠0, where *j*=0…(160/MGRP)-1

- ceil(Ri×Minter,i,j)in gaps where Mintra,i,j=0, where *j*=0…(160/MGRP)-1

 Where Ri is the maximal ratio of the number of measurement gap where measurement object *i* is a candidate to be measured over the number of measurement gap where measurement object *i* is a candidate and not used for RSTD measurement with periodicity Tprs>160ms or with periodicity Tprs=160ms but *prs-MutingInfo-r9* is configured within an arbitrary 1280ms period.

Note: longer delays for cell identification and measurement periods derived based on CSSFwithin\_gap,i can be expected, if the UE is configured with inter-RAT MO on NR serving CC by E-UTRAN PCell in EN-DC mode.

End of Change 2