**3GPP TSG-RAN4 WG4 Meeting # 98-e *R4-2102718***

**Electronic meeting, 25 Jan- 5 Feb, 2021**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.101-2** | **CR** | 0344 | **rev** | **-** | **Current version:** | **16.6.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | CR for inter-band NC DL CA CBM and IBM | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_RF\_FR2\_req\_enh-Core | | | | |  | ***Date:*** | | | 2021-01-07 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | Indepent beam management(IBM) do not has clear definition in TS 38.101-2. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Add definition for IBM as:  UE select a suitable DL beam for CCs across bands based on DL measurements on both Bands. | | | | | | | | |
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| ***Consequences if not approved:*** | | There is no definition for Indepent beam management(IBM). | | | | | | | | |
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| ***Clauses affected:*** | | 5.2A.2 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR … CR … | | |
| ***affected:*** | | **x** |  | Test specifications | | | | TS 38.521-2 | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR … CR … | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |

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| ***This CR’s revision history:*** |  |

***<Start of change>***

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

**Aggregated Channel Bandwidth:** The RF bandwidth in which a UE is configured to transmit and receive multiple contiguously aggregated carriers.

**Bidirectional spectrum:** UL/DL common spectrum in which the UE supports the configuration of uplink or downlink CCs.

**Beam correspondence:** the ability of the UE to select a suitable beam for UL transmission based on DL measurements with or without relying on UL beam sweeping.

**Carrier aggregation:** Aggregation of two or more component carriers in order to support wider transmission bandwidths.

**Carrier aggregation band:** A set of one or more operating bands across which multiple carriers are aggregated with a specific set of technical requirements.

**Carrier aggregation bandwidth class:** A class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by a UE.

**Carrier aggregation configuration**: A combination of CA operating band(s) and CA bandwidth class(es) supported by a UE.

NOTE: Carriers aggregated in each band can be contiguous or non-contiguous.

**CBM (Common Beam Management):** A UE that supports inter-band CA with CBM selects a common DL Rx beam for all CCs in the configured bands. The network configures the common DL reference signal for all the configured bands.

**EIRP(Link=Link angle, Meas=Link angle):** measurement of the UE such that the link angle is aligned with the measurement angle. EIRP (indicator to be measured) can be replaced by EIS, Frequency, EVM, carrier Leakage, In-band eission and OBW.

**EIRP(Link=TX beam peak direction, Meas=Link angle):** measurement of the EIRP of the UE such that the measurement angle is aligned with the beam peak direction within an acceptable measurement error uncertainty. EIRP (indicator to be measured) can be replaced by Frequency, EVM, carrier Leakage, In-band eission and OBW

**EIRP(Link=Spherical coverage grid, Meas=Link angle):** measurement of the EIRP spherical coverage of the UE such that the EIRP link and measurement angles are aligned with the directions along the spherical coverage grid within an acceptable measurement error uncertainty. Alternatively, the spherical coverage grid can be replaced by the beam peak search grid as the results from the beam peak search can be re-used for spherical coverage.

**EIS (effective isotropic sensitivity):** sensitivity for an isotropic directivity device equivalent to the sensitivity of the discussed device exposed to an incoming wave from a defined AoA

**EIS(Link=RX beam peak direction, Meas=Link angle):** measurement of the EIS of the UE such that the measurement angle is aligned with the RX beam peak direction within an acceptable measurement error uncertainty.

NOTE 1: The sensitivity is the minimum received power level at which specific requirement is met.

NOTE 2: Isotropic directivity is equal in all directions (i.e. 0 dBi).

**Fallback group:** Group of carrier aggregation bandwidth classes for which it is mandatory for a UE to be able to fallback to lower order CA bandwidth class configuration. It is not mandatory for a UE to be able to fallback to lower order CA bandwidth class configuration that belong to a different fallback group

**IBM (Independent Beam Management):** A UE that supports inter-band CA with IBM selectes independent DL Rx beams for all CCs in each of the configured bands. The network configures the independent DL reference signal in each configured band.

**Inter-band carrier aggregation:** Carrier aggregation of component carriers in different operating bands.

NOTE: Carriers aggregated in each band can be contiguous or non-contiguous.

**Intra-band contiguous carrier aggregation:** Contiguous carriers aggregated in the same operating band.

**Intra-band non-contiguous carrier aggregation:** Non-contiguous carriers aggregated in the same operating band.

**Link angle:** a DL-signal AoA from the view point of the UE, as described in Annex J. If the beam lock function is used to lock the UE beam(s), the link angle can become any arbitrary AoA once the beam lock has been activated.

**Measurement angle:** the angle of measurement of the desired metric from the view point of the UE, as described in Annex J

**radiated interface boundary**: operating band specific radiated requirements reference point where the radiated requirements apply

**radiated requirements reference point**: for the RF measurement setup, the radiated requirements reference point is located at the centre of the quiet zone. From the UE perspective the reference point is the input of the UE antenna array

**RX beam peak direction**: direction where the maximum total component of RSRP and thus best total component of EIS is found

**Sub-block:** This is one contiguous allocated block of spectrum for transmission and reception by the same UE. There may be multiple instances of sub-blocks within an RF bandwidth.

**TX beam peak direction:** direction where the maximum total component of EIRP is found

**TRP(Link=TX beam peak direction, Meas=TRP grid):** measurement of the TRP of the UE such that the measurement angles are aligned with the directions of the TRP grid points within an acceptable measurement uncertainty while the link angle is aligned with the TX beam peak direction

NOTE: For requirements based on EIRP/EIS, the radiated interface boundary is associated to the far-field region

**UE transmission bandwidth configuration:** Set of resource blocks located within the UE channel bandwidth which may be used for transmitting or receiving by the UE.

**Vehicular UE:** A UE embedded in a vehicle

### 5.2A.2 Inter-band CA

NR inter-band carrier aggregation is designed to operate in the operating bands defined in Table 5.2A.2-1, where all operating bands are within FR2.

Beam management type is according to UE capability declaration *IE beamManagementType-r16*. The requirements in the following clauses are only applicable to inter-band CA with IBM type.

Table 5.2A.2-1: Inter-band CA operating bands in FR2

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| --- | --- |
| NR CA Band | NR Band  (Table 5.2-1) |
| CA\_n260-n261 | n260, n261 |

***<End of change>***