**3GPP TSG-RAN WG4 Meeting #98-eR4-2103138**

**E-meeting, 25nd Jan, 2021 – 5th Feb, 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.101-1** | **CR** | **0662** | **rev** | **1** | **Current version:** | **16.6.0** |  |
|  | | | | | | | | |
| *For* ***[HE](http://www.3gpp.org/3G_Specs/CRs.htm" \l "_blank)******[LP](http://www.3gpp.org/3G_Specs/CRs.htm" \l "_blank)*** *on using this form: comprehensive instructions can be found at  <http://www.3gpp.org/Change-Requests>.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | CR to TS 38.101-1: system parameters maintenance for NR-U | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | ZTE Corporation | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_unlic-Core | | | | |  | ***Date:*** | | | 2021-01-25 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Editorial typos of Note 3 and 4 in Table 5.4.3.3-1 | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Correct the editorial typos of Note 3 and 4 in Table 5.4.3.3-1. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Editorial typos of Note 3 and 4 in Table 5.4.3.3-1. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.4.3.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS 38.521-1 | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

**<Start of change>**

### 5.4.2 Channel raster

#### 5.4.2.1 NR-ARFCN and channel raster

The global frequency channel raster defines a set of RF reference frequencies FREF. The RF reference frequency is used in signalling to identify the position of RF channels, SS blocks and other elements.

The global frequency raster is defined for all frequencies from 0 to 100 GHz. The granularity of the global frequency raster is ΔFGlobal.

RF reference frequencies are designated by an NR Absolute Radio Frequency Channel Number (NR-ARFCN) in the range (0…2016666) on the global frequency raster. The relation between the NR-ARFCN and the RF reference frequency FREF in MHz is given by the following equation, where FREF-Offs and NRef-Offs are given in table 5.4.2.1-1 and NREF is the NR-ARFCN.

FREF = FREF-Offs + ΔFGlobal (NREF – NREF-Offs)

Table 5.4.2.1-1: NR-ARFCN parameters for the global frequency raster

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Frequency range (MHz) | ΔFGlobal (kHz) | FREF-Offs (MHz) | NREF-Offs | Range of NREF |
| 0 – 3000 | 5 | 0 | 0 | 0 – 599999 |
| 3000 – 24250 | 15 | 3000 | 600000 | 600000 – 2016666 |

The channel raster defines a subset of RF reference frequencies that can be used to identify the RF channel position in the uplink and downlink. The RF reference frequency for an RF channel maps to a resource element on the carrier. For each operating band, a subset of frequencies from the global frequency raster are applicable for that band and forms a channel raster with a granularity ΔFRaster, which may be equal to or larger than ΔFGlobal.

For SUL bands except n95, for the uplink of all FDD bands defined in Table 5.2-1, and for TDD bands n48, n90 and n38,

FREF, shift = FREF + Δshift, Δshift = 0 kHz or 7.5 kHz.

where Δshift is signalled by the network in higher layer parameter *frequencyShift7p5khz* [7]. For Band n48, FREF, shift is only applicable to uplink transmissions using a 15 kHz SCS. For Band n38, FREF, shift is only applicable to uplink transmissions using a 15 kHz SCS.

The mapping between the channel raster and corresponding resource element is given in Clause 5.4.2.2. The applicable entries for each operating band are defined in Clause 5.4.2.3

#### 5.4.2.2 Channel raster to resource element mapping

The mapping between the RF reference frequency on the channel raster and the corresponding resource element is given in Table 5.4.2.2-1 and can be used to identify the RF channel position. The mapping depends on the total number of RBs that are allocated in the channel and applies to both UL and DL. The mapping must apply to at least one numerology supported by the UE.

Table 5.4.2.2-1: Channel raster to resource element mapping

|  |  |  |
| --- | --- | --- |
|  | NRBmod2 = 0 | NRBmod2 = 1 |
| Resource element index | 0 | 6 |
| Physical resource block number |  |  |

, *nPRB*, *NRB* are as defined in TS 38.211[6].

#### 5.4.2.3 Channel raster entries for each operating band

The RF channel positions on the channel raster in each NR operating band are given through the applicable NR-ARFCN in Table 5.4.2.3‑1, using the channel raster to resource element mapping in clause 5.4.2.2.

For NR operating bands with 100 kHz channel raster, ΔFRaster = 20 × ΔFGlobal. In this case every 20th NR-ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in Table 5.4.2.3‑1 is given as <20>.

For NR operating bands with 15 kHz channel raster below 3GHz, ΔFRaster = *I* × ΔFGlobal, where *I ϵ {3,6}*. Every *Ith* NR‑ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in Table 5.4.2.3‑1 is given as < *I* >.

For NR operating bands with 15 kHz channel raster above 3GHz, ΔFRaster = *I* × ΔFGlobal, where *I ϵ {1,2}.* Every *Ith* NR‑ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in table 5.4.2.3-1 is given as <*I*>.

In frequency bands with two ΔFRaster, the higher ΔFRaster applies to channels using only the SCS that is equal to or larger than the higher ΔFRaster and SSB SCS is equal to the higher ∆FRaster .

Table 5.4.2.3-1: Applicable NR-ARFCN per operating band

|  |  |  |  |
| --- | --- | --- | --- |
| NR operating band | ΔFRaster  (kHz) | Uplink  Range of NREF  (First – <Step size> – Last) | Downlink  Range of NREF  (First – <Step size> – Last) |
| n1 | 100 | 384000 – <20> – 396000 | 422000 – <20> – 434000 |
| n2 | 100 | 370000 – <20> – 382000 | 386000 – <20> – 398000 |
| n3 | 100 | 342000 – <20> – 357000 | 361000 – <20> – 376000 |
| n5 | 100 | 164800 – <20> – 169800 | 173800 – <20> – 178800 |
| n7 | 100 | 500000 – <20> – 514000 | 524000 – <20> – 538000 |
| n8 | 100 | 176000 – <20> – 183000 | 185000 – <20> – 192000 |
| n12 | 100 | 139800 – <20> – 143200 | 145800 – <20> – 149200 |
| n14 | 100 | 157600 – <20> – 159600 | 151600 – <20> – 153600 |
| n18 | 100 | 163000 – <20> – 166000 | 172000 – <20> – 175000 |
| n20 | 100 | 166400 – <20> – 172400 | 158200 – <20> – 164200 |
| n25 | 100 | 370000 – <20> – 383000 | 386000 – <20> – 399000 |
| n26 | 100 | 162800 – <20> – 169800 | 171800 – <20> – 178800 |
| n28 | 100 | 140600 – <20> – 149600 | 151600 – <20> – 160600 |
| n29 | 100 | N/A | 143400 – <20> – 145600 |
| n30 | 100 | 461000 – <20> – 463000 | 470000 – <20> – 472000 |
| n34 | 100 | 402000 – <20> – 405000 | 402000 – <20> – 405000 |
| n38 | 100 | 514000 – <20> – 524000 | 514000 – <20> – 524000 |
| n39 | 100 | 376000 – <20> – 384000 | 376000 – <20> – 384000 |
| n40 | 100 | 460000 – <20> – 480000 | 460000 – <20> – 480000 |
| n41 | 15 | 499200 – <3> – 537999 | 499200 – <3> – 537999 |
|  | 30 | 499200 – <6> – 537996 | 499200 – <6> – 537996 |
| n462 | 15 | 743333 – <1> – 795000 | 743333 – <1> – 795000 |
| n47 | 15 | 790334 – <1> – 795000 | 790334 – <1> – 795000 |
| n48 | 15 | 636667 – <1> – 646666 | 636667 – <1> – 646666 |
|  | 30 | 636668 – <2> – 646666 | 636668 – <2> – 646666 |
| n50 | 100 | 286400 – <20> – 303400 | 286400 – <20> – 303400 |
| n51 | 100 | 285400 – <20> – 286400 | 285400 – <20> – 286400 |
| n53 | 100 | 496700 – <20> – 499000 | 496700 – <20> – 499000 |
| n65 | 100 | 384000 – <20> – 402000 | 422000 – <20> – 440000 |
| n66 | 100 | 342000 – <20> – 356000 | 422000 – <20> – 440000 |
| n70 | 100 | 339000 – <20> – 342000 | 399000 – <20> – 404000 |
| n71 | 100 | 132600 – <20> – 139600 | 123400 – <20> – 130400 |
| n74 | 100 | 285400 – <20> – 294000 | 295000 – <20> – 303600 |
| n75 | 100 | N/A | 286400 – <20> – 303400 |
| n76 | 100 | N/A | 285400 – <20> – 286400 |
| n77 | 15 | 620000 – <1> – 680000 | 620000 – <1> – 680000 |
|  | 30 | 620000 – <2> – 680000 | 620000 – <2> – 680000 |
| n78 | 15 | 620000 – <1> – 653333 | 620000 – <1> – 653333 |
|  | 30 | 620000 – <2> – 653332 | 620000 – <2> – 653332 |
| n79 | 15 | 693334 – <1> – 733333 | 693334 – <1> – 733333 |
|  | 30 | 693334 – <2> – 733332 | 693334 – <2> – 733332 |
| n80 | 100 | 342000 – <20> – 357000 | N/A |
| n81 | 100 | 176000 – <20> – 183000 | N/A |
| n82 | 100 | 166400 – <20> – 172400 | N/A |
| n83 | 100 | 140600 – <20> –149600 | N/A |
| n84 | 100 | 384000 – <20> – 396000 | N/A |
| n86 | 100 | 342000 – <20> – 356000 | N/A |
| n89 | 100 | 164800 – <20> – 169800 | N/A |
| n90 | 15 | 499200 – <3> – 537999 | 499200 – <3> – 537999 |
|  | 30 | 499200 – <6> – 537996 | 499200 – <6> – 537996 |
|  | 100 | 499200 – <20> – 538000 | 499200 – <20> – 538000 |
| n91 | 100 | 166400 – <20> – 172400 | 285400 – <20> – 286400 |
| n92 | 100 | 166400 – <20> – 172400 | 286400 – <20> – 303400 |
| n93 | 100 | 176000 – <20> – 183000 | 285400 – <20> – 286400 |
| n94 | 100 | 176000 – <20> – 183000 | 286400 – <20> – 303400 |
| n95 | 100 | 402000 – <20> – 405000 | N/A |
| n963 | 15 | 795000 – <1> – 875000 | 795000 – <1> – 875000 |
| NOTE 1: The channel numbers that designate carrier frequencies so close to the operating band edges that the carrier extends beyond the operating band edge shall not be used.  NOTE 2: The following NREF are allowed for operation in Band n46: see Table 5.4.2.3-2.  NOTE 3: The following NREF are allowed for operation in Band n96: see Table 5.4.2.3-3. | | | |

Table 5.4.2.3-2: Allowed NREF (NR-ARFCN) for operation in Band n46

|  |  |
| --- | --- |
| Channel Bandwidth | Allowed NREF |
| 10 MHz | 782000, 788668 |
| 20 MHz | 744000, 745332, 746668, 748000, 749332, 750668, 752000, 753332, 754668, 756000, 765332, 766668, 768000, 769332, 770668, 772000, 773332, 774668, 776000, 777332, 778668, 780000, 781332, 783000, 784332, 785668, 787000, 788332, 789668, 791000, 792332, 793668 |
| 40 MHz | 744668, 746000, 748668, 751332, 754000, 755332, 766000, 767332, 770000, 772668, 775332, 778000, 780668, 783668, 786332, 787668, 790332, 793000 |
| 60 MHz | 745332, 746668, 748000, 752000, 753332, 754668, 766668, 768000, 769332, 773332, 774668, 778668, 780000, 784332, 785668, 787000, 791000, 792332 |
| 80 MHz | 746000, 747332, 752668, 754000, 767332, 768668, 774000, 779332, 785000, 786332, 791668 |
| NOTE: 10 MHz channel bandwidth shall only apply in certain regions where the absence of non 3GPP technologies can be guaranteed on a long-term basis in this version of specification. | |

Table 5.4.2.3-3: Allowed NREF (NR-ARFCN) for operation in Band n96

|  |  |
| --- | --- |
| Channel Bandwidth | Allowed NREF |
| 20 MHz | 797000, 798332, 799668, 801000, 802332, 803668, 805000, 806332, 807668, 809000, 810332, 811668, 813000, 814332,  815668, 817000, 818332, 819668, 821000, 822332, 823668, 825000, 826332, 827668, 829000, 830332, 831668, 833000, 834332, 835668, 837000, 838332, 839668, 841000, 842332, 843668, 845000, 846332, 847668, 849000, 850332, 851668, 853000, 854332, 855668, 857000, 858332, 859668, 861000, 862332, 863668, 865000, 866332, 867668, 869000, 870332, 871668, 873000, 874332 |
| 40 MHz | 797668, 800332, 803000, 805668, 808332, 811000, 813668, 816332, 819000, 821668, 824332, 827000, 829668, 832332, 835000, 837668, 840332, 843000, 845668, 848332, 851000, 853668, 856332, 859000, 861668, 864332, 867000, 869668,  872332 |
| 60 MHz | 798332, 799668, 803668, 805000, 809000, 810332, 814332, 815668, 819668, 821000, 825000, 826332, 830332, 831668, 835668, 837000, 841000, 842332, 846332, 847668, 851668, 853000, 857000, 858332, 862332, 863668, 867668, 869000, 873000 |
| 80 MHz | 799000, 804332, 809668, 815000, 820332, 825668, 831000, 836332, 841668, 847000, 852332, 857668, 863000, 868332 |

### 5.4.3 Synchronization raster

#### 5.4.3.1 Synchronization raster and numbering

The synchronization raster indicates the frequency positions of the synchronization block that can be used by the UE for system acquisition when explicit signalling of the synchronization block position is not present.

A global synchronization raster is defined for all frequencies. The frequency position of the SS block is defined as SSREF with corresponding number GSCN. The parameters defining the SSREF and GSCN for all the frequency ranges are in Table 5.4.3.1-1.

The resource element corresponding to the SS block reference frequency SSREF is given in clause 5.4.3.2. The synchronization raster and the subcarrier spacing of the synchronization block is defined separately for each band.

Table 5.4.3.1-1: GSCN parameters for the global frequency raster

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency range | SS Block frequency position SSREF | GSCN | Range of GSCN |
| 0 – 3000 MHz | N \* 1200kHz + M \* 50 kHz,  N=1:2499, M ϵ {1,3,5} (Note 1) | 3N + (M-3)/2 | 2 – 7498 |
| 3000 – 24250 MHz | 3000 MHz + N \* 1.44 MHz  N = 0:14756 | 7499 + N | 7499 – 22255 |
| NOTE 1: The default value for operating bands with which only support SCS spaced channel raster(s) is M=3. | | | |

5.4.3.2 Synchronization raster to synchronization block resource element mapping

The mapping between the synchronization raster and the corresponding resource element of the SS block is given in Table 5.4.3.2-1.

Table 5.4.3.2-1: Synchronization raster to SS block resource element mapping

|  |  |
| --- | --- |
| Resource element index | 120 |
|  |  |

 is the subcarrier number of SS/PBCH block defined in TS 38.211 clause 7.4.3.1 [6].

#### 5.4.3.3 Synchronization raster entries for each operating band

The synchronization raster for each band is give in Table 5.4.3.3-1. The distance between applicable GSCN entries is given by the <Step size> indicated in Table 5.4.3.3-1.

Table 5.4.3.3-1: Applicable SS raster entries per operating band

|  |  |  |  |
| --- | --- | --- | --- |
| NR operating band | SS Block SCS | SS Block pattern1 | Range of GSCN  (First – <Step size> – Last) |
| n1 | 15 kHz | Case A | 5279 – <1> – 5419 |
| n2 | 15 kHz | Case A | 4829 – <1> – 4969 |
| n3 | 15 kHz | Case A | 4517 – <1> – 4693 |
| n5 | 15 kHz | Case A | 2177 – <1> – 2230 |
|  | 30 kHz | Case B | 2183 – <1> – 2224 |
| n7 | 15 kHz | Case A | 6554 – <1> – 6718 |
| n8 | 15 kHz | Case A | 2318 – <1> – 2395 |
| n12 | 15 kHz | Case A | 1828 – <1> – 1858 |
| n14 | 15 kHz | Case A | 1901 – <1> – 1915 |
| n18 | 15 kHz | Case A | 2156 – <1> – 2182 |
| n20 | 15 kHz | Case A | 1982 – <1> – 2047 |
| n25 | 15 kHz | Case A | 4829 – <1> – 4981 |
| n26 | 15 kHz | Case A | 2153 – <1> – 2230 |
| n28 | 15 kHz | Case A | 1901 – <1> – 2002 |
| n29 | 15 kHz | Case A | 1798 – <1> – 1813 |
| n30 | 15 kHz | Case A | 5879 – <1> – 5893 |
| n34 | 15 kHz | Case A | NOTE 5 |
|  | 30 kHz | Case C | 5036 – <1> – 5050 |
| n38 | 15 kHz | Case A | NOTE 2 |
|  | 30 kHz | Case C | 6437 – <1> – 6538 |
| n39 | 15 kHz | Case A | NOTE 6 |
|  | 30 kHz | Case C | 4712 – <1> – 4789 |
| n40 | 30 kHz | Case C | 5762 – <1> – 5989 |
| n41 | 15 kHz | Case A | 6246 – <3> – 6717 |
|  | 30 kHz | Case C | 6252 – <3> – 6714 |
| n46**3** | 30 kHz | Case C | 8993 – <1> – 9530 |
| n48 | 30 kHz | Case C | 7884 – <1> – 7982 |
| n50 | 30 kHz | Case C | 3590 – <1> – 3781 |
| n51 | 15 kHz | Case A | 3572 – <1> – 3574 |
| n53 | 15 kHz | Case A | 6215 – <1> – 6232 |
| n65 | 15 kHz | Case A | 5279 – <1> – 5494 |
| n66 | 15 kHz | Case A | 5279 – <1> – 5494 |
|  | 30 kHz | Case B | 5285 – <1> – 5488 |
| n70 | 15 kHz | Case A | 4993 – <1> – 5044 |
| n71 | 15 kHz | Case A | 1547 – <1> – 1624 |
| n74 | 15 kHz | Case A | 3692 – <1> – 3790 |
| n75 | 15 kHz | Case A | 3584 – <1> – 3787 |
| n76 | 15 kHz | Case A | 3572 – <1> – 3574 |
| n77 | 30 kHz | Case C | 7711 – <1> – 8329 |
| n78 | 30 kHz | Case C | 7711 – <1> – 8051 |
| n79 | 30 kHz | Case C | 8480 – <16> – 8880 |
| n90 | 15 kHz | Case A | 6246 – <1> – 6717 |
|  | 30 kHz | Case C | 6252 – <1> – 6714 |
| n91 | 15 kHz | Case A | 3572 – <1> – 3574 |
| n92 | 15 kHz | Case A | 3584 – <1> – 3787 |
| n93 | 15 kHz | Case A | 3572 – <1> – 3574 |
| n94 | 15 kHz | Case A | 3584 – <1> – 3787 |
| n96**4** | 30 kHz | Case C | 9531 – <1> – 10363 |
| NOTE 1: SS Block pattern is defined in clause 4.1 in TS 38.213 [8].  NOTE 2: The applicable SS raster entries are GSCN = {6432, 6443, 6457, 6468, 6479, 6493, 6507, 6518, 6532, 6543}.  NOTE 3: The following GSCN are allowed for operation in band n46:  GSCN = {8996, 9010, 9024, 9038, 9051, 9065, 9079, 9093, 9107, 9121, 9218, 9232, 9246, 9260, 9274, 9288, 9301, 9315, 9329, 9343, 9357, 9371, 9385, 9402, 9416, 9430, 9444, 9458, 9472, 9485, 9499, 9513}.  NOTE 4: The following GSCN are allowed for operation in band n96:  GSCN = {9548, 9562, 9576, 9590, 9603, 9617,9631, 9645, 9659, 9673, 9687, 9701, 9714, 9728, 9742, 9756, 9770, 9784, 9798, 9812, 9826, 9840, 9853, 9867, 9881, 9895, 9909, 9923, 9937, 9951, 9964, 9978, 9992, 10006, 10020, 10034, 10048, 10062, 10076, 10090, 10103, 10117, 10131, 10145, 10159, 10173, 10187, 10201, 10214, 10228, 10242, 10256, 10270, 10284, 10298, 10312, 10325, 10339, 10353}.  NOTE 5: The applicable SS raster entries are GSCN = {5032, 5043, 5054}  NOTE 6: The applicable SS raster entries are GSCN = {4707, 4715, 4718, 4729, 4732, 4743, 4747, 4754, 4761, 4768, 4772, 4782, 4786, 4793} | | | |

**<End of change>**