**3GPP TSG-RAN4 Meeting #94-e *R4-2002220***

**Online, 24th February – 6th March 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.133** | **CR** | 0545 | **rev** | **1** | **Current version:** | **16.2.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | CR on CLI measurement requirements | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_CLI\_RIM-Core | | | | |  | ***Date:*** | | | 2019-12-26 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Some updates are needed for CLI measurement requirements:   1. The description of the constant offset should be more generic, and it does not needs to be linked to any specific purpose (e.g. compensation). 2. As agreed in earlier meeting, the SRS-RSRP requirements are defined for limited SRS configurations, so the applicable SRS configurations should be defined. 3. For SRS-RSRP measurement period, the relaxation factor 1.5 should be added for DRX case as for other measurements. 4. The measurement resource overlapping with L1 measurement should also be considered. 5. The spatial QCL assumption for FR2 CLI measurements needs to be specified according to the agreements. 6. The UE capability name defined by RAN2 for FDM support should be added. | | | | | | | | |
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| ***Summary of change:*** | | Update the CLI measurement requirements, and also make some wording improvement. | | | | | | | | |
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| ***Consequences if not approved:*** | | CLI measurmenet requirements are not 100% complete. | | | | | | | | |
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| ***Clauses affected:*** | | 9.7 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  |  | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  |  | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  |  | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

<Start of Change 1>

## 9.7 Cross Link Interference measurements

### 9.7.1 Introduction

The UE capable of performing CLI measurements shall be able to measure SRS-RSRP and CLI-RSSI which are defined in TS38.215 [4] within active DL BWP. The measurements requirements in this section apply for TDD mode only.

When the UE measures SRS-RSRP and CLI-RSSI, a constant offset relative to the downlink reference timing in the serving cell shall be applied. The constant offset value is derived by UE implementation and shall be at least Tc\*NTA\_offset.For performing CLI measurement in FR2, UE can assume the configured CLI measurement resources are QCL-ed with TypeD to one of the latest received PDSCH and the latest monitored CORESET.

### 9.7.2 SRS-RSRP measurements

9.7.2.1 Introduction

When configured by the network, the UE shall be able to perform SRS-RSRP measurements of configured *srs-ResourceConfigCLI*. The requirements apply when the subcarrier spacing for SRS-RSRP measurement resource configuration is the same as the subcarrier spacing of the active DL BWP of serving cell. The UE is not required to measure SRS using different SCS compared to the downlink active BWP SCS of the same carrier.

9.7.2.2 Requirements applicability

The requirements in clause 9.7.2 apply, provided:

- the number of SRS ports in the SRS resource configured for measurement is 1,

- the number of symbols in the SRS resource configured for measurement is 1,

- the number of repetitions in the SRS resource configured for measurement is 1,

- frequency hopping, sequence group hopping or sequence hopping is disabled in the SRS resource configured for measurement,

- SRS resources configured for SRS-RSRP measurements are measurable.

An SRS resource configured for SRS-RSRP shall be considered measurable when for each relevant SRS the following conditions are met:

- SRS-RSRP related side conditions given in clauses 10.1.22.1 for FR1 and FR2 for a corresponding band,

- SRS\_RP and SRS Ês/Iot according to Annex B.2.x for a corresponding band.

9.7.2.3 Measurement Reporting Requirements

The UE shall send SRS-RSRP reports only for report configurations according to *reportType* which is *cliPeriodical* or *cliEventTriggered* when SRS-RSRP report is configured.

The UE shall report the SRS-RSRP value as a 7-bit value in the range [-140, -44] dBm with 1dB step size according to clause 10.1.22.1 for FR1 and FR2.

9.7.2.3.1 Periodic Reporting

Reported SRS-RSRP measurements contained in periodically triggered measurement reports shall meet the requirements in clause 10.1.22.1.

9.7.2.3.2 Event-triggered Periodic Reporting

Reported SRS-RSRP measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.1.22.1.

The first report in event triggered periodic measurement reporting shall meet the requirements specified in clause 9.7.2.3.3.

9.7.2.3.3 Event Triggered Reporting

Reported SRS-RSRP measurements contained in periodically triggered measurement reports shall meet the requirements in clause 10.1.22.1.

The UE shall not send any event triggered measurement reports as long as no reporting criteria is fulfilled.

The measurement reporting delay is defined as the time between an event that will trigger a measurement report and the point when the UE starts to transmit the measurement report over the air interface. This requirement assumes that the measurement report is not delayed by other RRC signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is: 2 x TTIDCCH. This measurement reporting delay excludes a delay which caused by no UL resources for UE to send the measurement report on.

9.7.2.4 Measurement capability

The UE shall be capable of performing SRS-RSRP measurements on the SRS resources configured for measurement, provided that the number of SRS to be monitored by UE does not exceed 8 within a slot, and the total number of SRSs to be monitored by the UE does not exceed 32.

9.7.2.5 SRS-RSRP measurement period

The UE shall be capable of performing SRS-RSRP measurement based on the configured SRS resource, and the UE shall be capable of reporting SRS-RSRP measured over measurement period of TSRS\_RSRP\_measurement\_period for FR1 and FR2.

Table 9.7.2.5‑1 Measurement period TSRS\_RSRP\_measurement\_period

|  |  |
| --- | --- |
| **Configuration** | **TSRS\_measurement\_period (ms)** |
| No DRX | Max(60, 3 X TSRS) |
| DRX cycle ≤ 320ms | Max(60, Ceil(1.5 X 3) X max(TSRS, TDRX)) |
| DRX cycle > 320ms | 3 X TDRX |
| Note: TSRS is SRS measurement periodicity configured *SRS-PeriodicityAndOffset*, and TDRX is the DRX cycle length. | |

If the SRS resources configured for measurement are partially or fully overlapping with SMTC window, SSB or CSI-RS configured for RLM, BFD, CBD or L1-RSRP measurement or measurement gaps, requirements are not specified for TSRS\_RSRP\_measurement\_period.

9.7.3 CLI-RSSI measurements

9.7.3.1 Introduction

When configured by the network, the UE shall be able to perform CLI-RSSI measurement of configured *rssi-ResourceConfigCLI*. The subcarrier spacing for CLI-RSSI measurement resource configuration can be same or different from the subcarrier spacing of active BWP.

9.7.3.2 Requirements applicability

The requirements in clause 9.7.3 apply, provided:

- The measurement resources configured for CLI-RSSI measurements are measurable.

A measurement resource configured for CLI-RSSI shall be considered measurable when for each relevant CLI-RSSI resource the following conditions are met:

- CLI-RSSI related side conditions given in clauses 10.1.22.2 for FR1 and FR2 for a corresponding band.

9.7.3.3 Measurement Reporting Requirements

The UE shall send CLI-RSSI reports only for report configurations according to *reportType* which is *cliPeriodical* or *cliEventTriggered* when CLI-RSSI report is configured.

The UE shall report the CLI-RSSI value as a 7-bit value in the range [-100, -25] dBm with 1dB step size according to clause 10.1.22.2 for FR1 and FR2.

9.7.3.3.1 Periodic Reporting

Reported CLI-RSSI measurements contained in periodically triggered measurement reports shall meet the requirements in clause 10.1.22.2.

9.7.3.3.2 Event-triggered Periodic Reporting

Reported CLI-RSSI measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.1.22.2.

The first report in event triggered periodic measurement reporting shall meet the requirements specified in clause 9.7.3.3.3.

9.7.3.3.3 Event Triggered Reporting

Reported CLI-RSSI measurements contained in periodically triggered measurement reports shall meet the requirements in clause 10.1.22.2.

The UE shall not send any event triggered measurement reports as long as no reporting criteria is fulfilled.

The measurement reporting delay is defined as the time between an event that will trigger a measurement report and the point when the UE starts to transmit the measurement report over the air interface. This requirement assumes that the measurement report is not delayed by other RRC signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is: 2 x TTIDCCH. This measurement reporting delay excludes a delay which caused by no UL resources for UE to send the measurement report on.

9.7.3.4 Measurement capability

The UE should be capable of performing CLI-RSSI measurement based on the configured resource, provided that the maximum number of CLI-RSSI measurement resources for the UE does not exceed 64.

9.7.3.5 CLI-RSSI measurement period

The UE shall be capable of performing CLI-RSSI measurement based on the configured measurement resource within TCLI\_RSSI\_measurement\_period. The UE shall be able to provide a single RSSI sample for each measurement resource configured for CLI-RSSI measurement occurring with a configured periodicity. The CLI-RSSI measurement period TCLI\_RSSI\_measurement\_period corresponds to the CLI-RSSI measurement resource periodicity, which is configured for by higher layers via *RSSI-PeriodicityAndOffset*.

If the CLI-RSSI measurement resources configured for measurement are partially or fully overlapping with SMTC window, SSB or CSI-RS configured for RLM, BFD, CBD or L1-RSRP measurement or measurement gaps, requirements are not specified for TCLI\_RSSI\_measurement\_period.

9.7.4 Scheduling availability of UE during CLI measurements

Scheduling availability restrictions when the UE is performing CLI measurements which are SRS-RSRP and CLI-RSSI are described in the following clause.

9.7.4.1 Scheduling availability of UE performing measurement on FR1

The following scheduling restriction applies due to CLI measurements.

- The UE is not expected to transmit PUCCH/PUSCH/SRS on OFDM symbols on which the UE performs CLI measurements, and on 1 data symbol before an OFDM symbol used for CLI measurements for 15 kHz and 30 kHz subcarrier spacing.

- For the UE which does not support *cli-SRS-RSRP-FDM\_DL*, the UE is not expected to receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on OFDM symbols on which the UE performs SRS-RSRP measurements, and on 1 data symbol before an OFDM symbol used for SRS-RSRP measurements for 15 kHz and 30 kHz subcarrier spacing.

- For the UE which does not support *cli-RSSI-FDM-DL*, the UE is not expected to receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on OFDM symbols on which the UE performs CLI-RSSI measurements, and on 1 data symbol before an OFDM symbol used for CLI-RSSI measurements for 15 kHz and 30 kHz subcarrier spacing.

- The UE is not expected to transmit PUCCH/PUSCH/SRS on OFDM symbols on which the UE performs CLI measurement, and on 2 data symbols before an OFDM symbol used for CLI measurements for 60 kHz subcarrier spacing.

- For the UE which does not support *cli-SRS-RSRP-FDM\_DL*, the UE is not expected to receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on OFDM symbols on which the UE performs SRS-RSRP measurement, and on 2 data symbols before an OFDM symbol used for SRS-RSRP measurements for 60 kHz subcarrier spacing.

- For the UE which does not support *cli-RSSI-FDM-DL*, the UE is not expected to receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on OFDM symbols on which the UE performs CLI-RSSI measurement, and on 2 data symbols before an OFDM symbol used for CLI-RSSI measurements for 60 kHz subcarrier spacing.

When TDD intra-band carrier aggregation is configured, the scheduling restrictions on serving cell where CLI measurements are performed apply on all serving cells in the same band on the symbols that fully or partially overlap with restricted symbols.

9.7.4.2 Scheduling availability of UE performing measurement on FR2

The following scheduling restriction applies due to CLI measurements.

- The UE is not expected to transmit PUCCH/PUSCH/SRS on OFDM symbols on which the UE performs CLI measurements, and on 1 data symbol before an OFDM symbol used for CLI measurements for 60 kHz subcarrier spacing.

- For the UE which does not support *cli-SRS-RSRP-FDM\_DL*, the UE is not expected to receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on OFDM symbols on which the UE performs SRS-RSRP measurements, and on 1 data symbol before an OFDM symbol used for SRS-RSRP measurements for 60 kHz subcarrier spacing.

- For the UE which does not support *cli-RSSI-FDM-DL*, the UE is not expected to receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on OFDM symbols on which the UE performs CLI-RSSI measurements, and on 1 data symbol before an OFDM symbol used for CLI-RSSI measurements for 60 kHz subcarrier spacing.

- The UE is not expected to transmit PUCCH/PUSCH/SRS on OFDM symbols on which the UE performs CLI measurements, and on 2 data symbols before an OFDM symbol used for CLI measurements for 120 kHz subcarrier spacing.

- For the UE which does not support *cli-SRS-RSRP-FDM\_DL*, the UE is not expected to receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on OFDM symbols on which the UE performs SRS-RSRP measurements, and on 2 data symbols before an OFDM symbol used for SRS-RSRP measurements for 120 kHz subcarrier spacing.

- For the UE which does not support *cli-RSSI-FDM-DL*, the UE is not expected to receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on OFDM symbols on which the UE performs CLI-RSSI measurements, and on 2 data symbols before an OFDM symbol used for CLI-RSSI measurements for 120 kHz subcarrier spacing.

When TDD intra-band carrier aggregation is configured, the scheduling restrictions on serving cell where CLI measurements are performed apply on all serving cells in the same band on the symbols that fully or partially overlap with restricted symbols.

<End of Change 1>