**3GPP TSG-RAN WG4 Meeting #94-e *R4-2002208***

**Electronic Meeting, February 24 – March 6, 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.133** | **CR** | **0576** | **rev** | **1** | **Current version:** | **15.8.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | CR 38.133 (8.3.2) Corrections to SCell activation delay requirements | | | | | | | | | |
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| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-core | | | | |  | ***Date:*** | | | 2020-03-04 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-15 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | At earlier meetings, corrections to SCell activation timelines by introducing usage of TFirstSSB or TFirstSSB\_MAX has been made. However, corresponding corrections are missing for the cases of activation of first unknown SCell in FR2. Moreover, corresponding corrections are also missing for the definition of interruption windows. | | | | | | | | |
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| ***Summary of change:*** | | Introducing the following corrections:   * Modifying activation timelines for activation of first unknown SCell in FR2 by replacing “24\*Trs” by “TFirstSSB + 23\*Trs” * Modifying interruption windows to use TFirstSSB or TFirstSSB\_MAX instead of TSMTC\_MAX * Modifying the end-point of the interruption window to reflect the allowed interruption duration according to clause 8.2. | | | | | | | | |
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| ***Consequences if not approved:*** | | Specification remains inconsistent with respect to usage of TFirstSSB and TFirstSSB\_MAX in activation timelines, thereby for some cases indicated longer activation time than necessary. Specification remains incorrect regarding placement of interruption windows, thereby causing an uncertainty for the base station scheduler which may lead to degraded overall system performance. | | | | | | | | |
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| ***Clauses affected:*** | | 8.3.2 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS/TR ... CR ... 38.533 | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Rev 1: Modified the end-point of the interruption window to reflect the allowed interruption duration in clause 8.2. | | | | | | | | |

Unchanged Sections Omitted

First Modification

8.3.2 SCell Activation Delay Requirement for Deactivated SCell

The requirements in this clause shall apply for the UE configured with one downlink SCell in EN-DC, or in standalone NR carrier aggregation or in NE-DC or in NR-DC and when one SCell is being activated.

The delay within which the UE shall be able to activate the deactivated SCell depends upon the specified conditions.

Upon receiving SCell activation command in slot *n*, the UE shall be capable to transmit valid CSI report and apply actions related to the activation command for the SCell being activated no later than in slot , where:

THARQ (in ms) is the timing between DL data transmission and acknowledgement as specified in TS 38.213 [3]

Tactivation\_time is the SCell activation delay in millisecond.

If the SCell is known and belongs to FR1, Tactivation\_time is:

- TFirstSSB+ 5ms, if the SCell measurement cycle is equal to or smaller than 160ms.

- TFirstSSB\_MAX + Trs + 5ms, if the SCell measurement cycle is larger than 160ms.

If the SCell is unknown and belongs to FR1, provided that the side condition Ês/Iot ≥ [-2]dB is fulfilled, Tactivation\_time is:

- TFirstSSB\_MAX + TSMTC\_MAX + 2\*Trs + 5ms provided

If the SCell being activated belongs to FR2 and if there is at least one active serving cell on that FR2 band, then Tactivation\_time is TFirstSSB+ 5ms provided:

- The UE is provided with SMTC for the target SCell, and

- The SSBs in the serving cell(s) and the SSBs in the SCell fulfil the condition defined in clause 3.6.3.

If the SCell being activated belongs to FR2 and if there is at least one active serving cell on that FR2 band, if the UE is not provided with any SMTC for the target SCell, Tactivation\_time is 3 ms, provided

- the RS (s) of SCell being activated is (are) QCL-TypeD with RS (s) of one active serving cell on that FR2 band.

If the SCell being activated belongs to FR2 and if there is no active serving cell on that FR2 band provided that PCell or PSCell is FR1:

If the target SCell is known to UE and semi-persistent CSI-RS is used for CSI reporting, then Tactivation\_time is:

- TFineTiming + 5ms, if UE receives the SCell activation command, semi-persistent CSI-RS activation command and TCI state activation command at the same time.

- Tuncertainty\_MAC +TFineTiming + 5ms, if UE receives TCI state activation command after SCell activation command..

If the target SCell is known to UE and periodic CSI-RS is used for CSI reporting, then Tactivation\_time is:

- max(Tuncertainty\_MAC + 5ms + TFineTiming, Tuncertainty\_RRC + TRRC\_delay-THARQ), where Tuncertainty\_MAC=0 if UE receives the SCell activation command and TCI state activation commands at the same time.

If the target SCell is unknown to UE and semi-persistent CSI-RS is used for CSI reporting, provided that the side condition Ês/Iot ≥ [-2]dB is fulfilled, then Tactivation\_time is:

- 8ms + TFirstSSB + 23\*Trs + Tuncertainty\_MAC + TL1-RSRP, measure + TL1-RSRP, report + THARQ + TFineTiming

If the target SCell is unknown to UE and periodic CSI-RS is used for CSI reporting, provided that the side condition Ês/Iot ≥ [-2]dB is fulfilled, then Tactivation\_time is:

- 3ms + TFirstSSB + 23\*Trs + TL1-RSRP, measure + TL1-RSRP, report + max {(THARQ + Tuncertainty\_MAC + 5ms + TFineTiming), (Tuncertainty\_RRC + TRRC\_delay)}.

Where,

TSMTC\_MAX:

- In FR1, in case of intra-band SCell activation, TSMTC\_MAX is the longer SMTC periodicity between active serving cells and SCell being activated provided the cell specific reference signals from the active serving cells and the SCells being activated or released are available in the same slot; in case of inter-band SCell activation, TSMTC\_MAX is the SMTC periodicity of SCell being activated.

- In FR2, TSMTC\_MAX is the longer SMTC periodicity between active serving cells and SCell being activated provided that in Rel-15 only support FR2 intra-band CA.

- TSMTC\_MAX is bounded to a minimum value of 10ms.

Trs is the SMTC periodicity of the SCell being activated if the UE has been provided with an SMTC configuration for the SCell in SCell addition message, otherwise Trs is the SMTC configured in the measObjectNR having the same SSB frequency and subcarrier spacing. If the UE is not provided SMTC configuration or measurement object on this frequency, the requirement which involves Trs is applied with Trs = 5ms assuming the SSB transmission periodicity is 5ms. There is no requirements if the SSB transmission periodicity is not 5ms

TFirstSSB: is the time to first SSB indicated by the SMTC after n + THARQ+3ms

TFirstSSB\_MAX: Is the time to first SSB indicated by the SMTC after n + THARQ+3ms, further fulfilling:

- In FR1, in case of intra-band SCell activation, the occasion when all active serving cells and SCells being activated or released are transmitting SSB bursts in the same slot; in case of inter-band SCell activation, the first occasion when the SCell being activated is transmitting SSB burst.

- In FR2, the occasion when all active serving cells and SCells being activated or released are transmitting SSB bursts in the same slot.

TFineTiming is the time period between UE finish processing the last activation command for PDCCH TCI, PDSCH TCI (when applicable) and semi-persistent CSI-RS (when applicable) and the timing of first complete available SSB corresponding to the TCI state.

TL1-RSRP, measure is L1-RSRP measurement delay TL1-RSRP\_Measurement\_Period\_SSB (ms) or TL1-RSRP\_Measurement\_Period\_CSI-RS based on applicability as defined in clause 9.5 assuming M=1.

TL1-RSRP, report is delay of acquiring CSI reporting resources.

Tuncertainty\_MAC is the time period between reception of the last activation command for PDCCH TCI, PDSCH TCI (when applicable) and semi-persistent CSI-RS for CQI reporting (when applicable) relative to

- SCell activation command for known case;

- First valid L1-RSRP reporting for unknown case.

Tuncertainty\_RRC is the time period between reception of the RRC configuration message for TCI of periodic CSI-RS for CQI reporting (when applicable) relative to

- SCell activation command for known case;

- First valid L1-RSRP reporting for unknown case.

TRRC\_delay is the RRC procedure delay as specified in [2].

TCSI\_reporting is the delay (in ms) including uncertainty in acquiring the first available downlink CSI reference resource, UE processing time for CSI reporting and uncertainty in acquiring the first available CSI reporting resources as specified in TS 38.331 [2].

SCell in FR1 is known if it has been meeting the following conditions:

- During the period equal to max(5 measCycleSCell,  5 DRX cycles) for FR1 before the reception of the SCell activation command:

- the UE has sent a valid measurement report for the SCell being activated and

- the SSB measured remains detectable according to the cell identification conditions specified in clause 9.2 and 9.3.

- the SSB measured during the period equal to max(5 measCycleSCell, 5 DRX cycles) also remains detectable during the SCell activation delay according to the cell identification conditions specified in clause 9.2 and 9.3.

Otherwise SCell in FR1 is unknown.

For the first SCell activation in FR2 bands, the SCell is known if it has been meeting the following conditions:

- During the period equal to [4s] for UE supporting power class1 and [3s] for UE supporting power class 2/3/4 before UE receives the last activation command for PDCCH TCI, PDSCH TCI (when applicable) and semi-persistent CSI-RS for CQI reporting (when applicable):

- the UE has sent a valid L3-RSRP measurement report with SSB index

- SCell activation command is received after L3-RSRP reporting and no later than the time when UE receives MAC-CE command for TCI activation

- During the period from L3-RSRP reporting to the valid CQI reporting, the reported SSBs with indexes remain detectable according to the cell identification conditions specified in clauses 9.2 and 9.3, and the TCI state is selected based on one of the latest reported SSB indexes.

Otherwise, the first SCell in FR2 band is unknown. The requirement for unknown SCell applies provided that the activation commands for PDCCH TCI, PDSCH TCI (when applicable), semi-persistent CSI-RS for CQI reporting (when applicable), and configuration message for TCI of periodic CSI-RS for CQI reporting (when applicable) are based on the latest valid L1-RSRP reporting.

If the UE has been provided with higher layer in TS 38.331 [2] signaling of *smtc2*prior to the activation command, TSMTC\_Scell follows *smtc1* or *smtc2* according to the physical cell ID of the target cell being activated. TSMTC\_MAX follows *smtc1* or *smtc2* according to the physical cell IDs of the target cells being activated and the active serving cells.

In addition to CSI reporting defined above, UE shall also apply other actions related to the activation command specified in TS 38.331 [2] for a SCell at the first opportunities for the corresponding actions once the SCell is activated.

The interruption as specified in clause 8.2 on PCell or any activated SCell in MCG for NR standalone mode, or on PSCell or any activated SCell in SCG for EN-DC mode, shall not occur before slot n+1+ and not occur after:

- slot n+1+ , when the SCell being activated is a known SCell in FR1 with SCell measurement cycle of 160ms or less, or a SCell in FR2;

- slot n+1+ , when the SCell being activated is a known SCell in FR1 with SCell measurement cycle longer than 160ms, or an unknown SCell in FR1;

where is the interruption duration, in slots, as specified in clauses 8.2.1.2.4, 8.2.2.2.2, 8.2.3.2.4, and 8.2.4.2.2 for EN-DC, SA, NE-DC, and NR-DC, respectively, and where further the applicable value depends on whether the interrupted serving cell is in the same band (intra-band) or a different band (inter-band) as the SCell being activated.

Starting from the slot specified in clause 4.3 of TS 38.213 [3] (timing for secondary Cell activation/deactivation) and until the UE has completed the SCell activation, the UE shall report out of range if the UE has available uplink resources to report CQI for the SCell.

Starting from the slot specified in clause 4.3 of TS 38.213 [3] (timing for secondary Cell activation/deactivation) and until the UE has completed a first L1-RSRP measurement, the UE shall report lowest valid L1 SS-RSRP range if the UE has available uplink resources to report L1-RSRP for the SCell.

End of First Modification

Unchanged Sections Omitted