**3GPP TSG-RAN WG4 Meeting #102-e *R4-2206016***

**Electronic, , 21st Feb - 3rd Mar**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.133** | **CR** | **2258** | **rev** | **-** | **Current version:** | **17.4.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Big CR to TS 38.133 on HST FR2 RRM Core Requirements | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_HST\_FR2-Core | | | | |  | ***Date:*** | | | 2022-03-07 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Intorductoin of HST FR2 RRM Core requirements. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | R4-2206851, Mobility of cell re-selection of RRC\_IDLE state for FR2 with high speed configuration  R4-2206850, Update Re-establishment for FR2 with highspeed configuration. No handover related clause because no enhancement is needed.  R4-2207107, The autonomous time adjustment step Tq is enhanced to support FR2 HST in Table 7.1.2.1-1. One shot large UL timing adjustment is added as a new section in 7.1.2.3.  R4-2206855, 1. Specify the requirements of RLM for FR2 HST 2. Specify the requirements of BFD for FR2 HST  R4-2206856, For the active TCI state switching delay requirement, one more slot is allowed for interruption during TCI switching for FR2 HST scenario. Furthermore, the delay requirement for FR2 HST is introduced to implement the below GTW agreement: • Introduce additional TCI switching delay for UE to perform fine downlink timing tracking  R4-2206853, The following requirements for FR2 NR HST are defined: - Intra-frequency measurements without gaps in connected mode including PSS/SSS detection, and measurement period  R4-2206852, Requirements enhancements for L1-RSRP measurements for Reporting for FR2 with high speed configuration  R4-2206854, RAN4 introduce scheduling restriction for the symbol before and after reference symbols used for L1-SINR measurement.  Editorial changes:   * Removal of double or redundant sapaces * Fixing of typos:   + Isn’t to is not in R4-2206850   + Transmittion to transmission in R4-2207107   + Perssitent to Persistent in R4-2206852 * Accomdated Big CR new clause numbering recommndations | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | HST FR2 Core RRM requirements will not be defined. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 4.2, 6.2.1, 7.1.2, 8.1.2.2, 8.5.2.2, 8.5.5.2, 8.10, 9.2.5, 9.5, 9.8.6.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **N** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **Y** |  | Test specifications | | | | TS 38.533 | | |
| ***(show related CRs)*** | |  | **N** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | | Big CR combines the followgin draftCRs:   * R4-2206851, Draft CR for cell re-selection for RRC\_IDLE state for NR high speed train scenario in FR2 * R4-2206850, On RRM enhancement for NR high speed train scenario in FR2 * R4-2207107, CR to TS 38.133: Enhancements for Tq timing adjustment and one shot large UL timing adjustment requirements for FR2 NR HST * R4-2206855, Draft CR on RLM/BFD requirement for FR2 HST   + Ericsson suggested clarifying the applicability of the FR2 HST, which should apply just to PCell, while the present RLM should apply to PCell PSCell, and BFD/CBD should apply to Pcell, PScell, and Scell. The scope shall at very least be added in Clause 3.6 Applicability of requirements in #103-e meeting. * R4-2206856, Draft CR to introduce active TCI state switching delay requirement for FR2 HST UE * R4-2206853, CR to TS 38.133: intra-frequency measurements without gaps for FR2 NR HST * R4-2206852, Draft CR for L1-RSRP measurements for Reporting for HST FR2 * R4-2206854, Scheduling restriction for L1-SINR for FR2 HST | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

< Start of change #1 > [R4-2206851]

# 4 SA: RRC\_IDLE state mobility

## 4.2 Cell Re-selection

### 4.2.2 Requirements

#### 4.2.2.3 Measurements of intra-frequency NR cells

The UE shall be able to identify new intra-frequency cells and perform SS-RSRP and SS-RSRQ measurements of the identified intra-frequency cells without an explicit intra-frequency neighbour list containing physical layer cell identities.

The UE shall be able to evaluate whether a newly detectable intra-frequency cell meets the reselection criteria defined in TS38.304 [1] within Tdetect,NR\_Intrawhen that Treselection= 0. An intra frequency cell is considered to be detectable according to the conditions defined in Annex B.1.2 for a corresponding Band.

The UE shall measure SS-RSRP and SS-RSRQ at least every Tmeasure,NR\_Intra (see table 4.2.2.3-1, table 4.2.2.3-2 or table 4.2.2.3-3) for intra-frequency cells that are identified and measured according to the measurement rules.

The UE shall filter SS-RSRP and SS-RSRQ measurements of each measured intra-frequency cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least Tmeasure,NR\_Intra/2.

The UE shall not consider a NR neighbour cell in cell reselection, if it is indicated as not allowed in the measurement control system information of the serving cell.

For an intra-frequency cell that has been already detected, but that has not been reselected to, the filtering shall be such that the UE shall be capable of evaluating that the intra-frequency cell has met reselection criterion defined in TS38.304 [1] within Tevaluate,NR\_Intra when Treselection = 0as specified in table 4.2.2.3-1, table 4.2.2.3-2 or table 4.2.2.3-3 provided that:

when *rangeToBestCell* is not configured:

- the cell is at least 3dB better ranked in FR1 or 4.5dB better ranked in FR2.

when *rangeToBestCell* is configured:

- the cell has the highest number of beams above the threshold *absThreshSS-BlocksConsolidation* among all detected cells whose cell-ranking criterion R value in TS38.304 [1] is within *rangeToBestCell* of the cell-ranking criterion R value of the highest ranked cell.

- if there are multiple such cells, the cell has the highest rank among them.

- the cell is at least 3dB better ranked in FR1 or 4.5dB better ranked in FR2 if the current serving cell is among them.

When evaluating cells for reselection, the SSB side conditions apply to both serving and non-serving intra-frequency cells.

If Treselection timer has a non zero value and the intra-frequency cell is satisfied with the reselection criteria which are defined in TS38.304 [1], the UE shall evaluate this intra-frequency cell for the Treselection time. If this cell remains satisfied with the reselection criteria within this duration, then the UE shall reselect that cell.

For UE neither configured with *highSpeedMeasFlag-r16* nor *[highSpeedMeasFlagFR2-r17]*, Tdetect,NR\_Intra, Tmeasure,NR\_Intra and Tevaluate, NR\_intra are specified in Table 4.2.2.3-1. For UE configured with *highSpeedMeasFlag-r16*, Tdetect,NR\_Intra, Tmeasure,NR\_Intra and Tevaluate, NR\_intra are specified in Table 4.2.2.3-2. For FR2 power class 6 UE configured with *[highSpeedMeasFlagFR2-r17]*, Tdetect,NR\_Intra, Tmeasure,NR\_Intra and Tevaluate, NR\_intra are specified in Table 4.2.2.3-3.

The requirements in Table 4.2.2.3-2 apply only when the UE supports *measurementEnhancement-r16* or[*intraRAT-MeasurementEnhancement-r16*]. For UE neither supporting either *measurementEnhancement-r16* nor[*intraRAT-MeasurementEnhancement-r16*], the UE is not required to meet the requirements specified in Table 4.2.2.3-2.

*Editor’s note: the exact signalling names in the above paragraph and in Table 4.2.2.3-2, 4.2.2.3-3 are subject to RAN2 definitions and the brackets shall be replaced by the correct signalling names according to RAN2 specification.*

Table 4.2.2.3-1: Tdetect,NR\_Intra, Tmeasure,NR\_Intra and Tevaluate,NR\_Intra

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| DRX cycle length [s] | Scaling Factor (N1) | | Tdetect,NR\_Intra [s] (number of DRX cycles) | Tmeasure,NR\_Intra [s] (number of DRX cycles) | Tevaluate,NR\_Intra  [s] (number of DRX cycles) |
|  | FR1 | FR2Note1 |  |  |  |
| 0.32 | 1 | 8 | 11.52 x N1 x M2 (36 x N1 x M2) | 1.28 x N1 x M2 (4 x N1 x M2) | 5.12 x N1 x M2 (16 x N1 x M2) |
| 0.64 |  | 5 | 17.92 x N1 (28 x N1) | 1.28 x N1 (2 x N1) | 5.12 x N1 (8 x N1) |
| 1.28 |  | 4 | 32 x N1 (25 x N1) | 1.28 x N1 (1 x N1) | 6.4 x N1 (5 x N1) |
| 2.56 |  | 3 | 58.88 x N1 (23 x N1) | 2.56 x N1 (1 x N1) | 7.68 x N1 (3 x N1) |
| Note 1: Applies for UE supporting power class 2&3&4. For UE supporting power class 1 or 5, N1 = 8 for all DRX cycle length.  Note 2: M2 = 1.5 if SMTC periodicity of measured intra-frequency cell > 20 ms; otherwise M2=1. If different SMTC periodicities are configured for different cells, the SMTC periodicity in this note is the one used by the cell being identified. During PSS/SSS detection, the periodicity of the SMTC configured for the intra-frequency carrier is assumed, and if the actual SSB transmission periodicity is greater than the SMTC configured for the intra-frequency carrier, longer Tdetect, NR\_intra is expected. | | | | | |

Table 4.2.2.3-2: Tdetect,NR\_Intra, Tmeasure,NR\_Intra and Tevaluate,NR\_Intra for UE configured with *highSpeedMeasFlag-r16* (Frequency range FR1)

|  |  |  |  |
| --- | --- | --- | --- |
| DRX cycle length [s] | Tdetect,NR\_Intra [s] (number of DRX cycles) | Tmeasure,NR\_Intra [s] (number of DRX cycles) | Tevaluate,NR\_Intra  [s] (number of DRX cycles) |
|
| 0.32 | 2.56 x M2 (8 x M2) | 0.32 x M3 (1 x M3) | 0.96 x M4 (3 x M4) |
| 0.64 | 5.12 (8) | 0.64 (1) | 1.92 (3) |
| 1.28 | 8.96 (7) | 1.28 (1) | 3.84 (3) |
| 2.56 | 58.88 (23) | 2.56 (1) | 7.68 (3) |
| Note 1: when SMTC < = 40 ms, M2 = M3 = M4 = 1; and when SMTC > 40 ms, M2 = 1.5, M3 = M4 = 2  Note 2: When *highSpeedMeasFlag-r16* is configured, the requirements apply only to UE supporting either *measurementEnhancement-r16* or *[intraRAT-MeasurementEnhancement-r16].* | | | |

Table 4.2.2.3-3: Tdetect,NR\_Intra, Tmeasure,NR\_Intra and Tevaluate,NR\_Intra for UE configured with [highSpeedMeasFlagFR2-r17 ] (Frequency range FR2)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| DRX cycle length [s] | Scaling Factor (N1) | Tdetect,NR\_Intra [s] (number of DRX cycles) | Tmeasure,NR\_Intra [s] (number of DRX cycles) | Tevaluate,NR\_Intra  [s] (number of DRX cycles) |
| 0.32 | N2Note2 | 2.56 x N1 x M2 (8 x N1 x M2) | 0.32 x N1 x M3 (1 x N1 x M3) | 0.96 x N1 x M4 (3 x N1 x M4) |
| 0.64 | 5 | 17.92 x N1 (28 x N1) | 1.28 x N1 (2 x N1) | 5.12 x N1 (8 x N1) |
| 1.28 | 4 | 32 x N1 (25 x N1) | 1.28 x N1 (1 x N1) | 6.4 x N1 (5 x N1) |
| 2.56 | 3 | 58.88 x N1 (23 x N1) | 2.56 x N1 (1 x N1) | 7.68 x N1 (3 x N1) |
| Note 1: When SMTC < = 40 ms, M2 = M3 = M4 = 1; and when SMTC > 40 ms, M2 = 1.5, M3 = M4 = 2  Note 2: N2 = 2 when [*highSpeedMeasFlagFR2-r17*] = [set1]; N2 = 6 when [*highSpeedMeasFlagFR2-r17*] = [set2]. | | | | |

< End of change #1 >

## < Start of change 2 > [R4-2206850]

# 6 RRC\_CONNECTED state mobility

## 6.2 RRC Connection Mobility Control

### 6.2.1 SA: RRC Re-establishment

#### 6.2.1.2 Requirements

In RRC\_CONNECTED state the UE shall be capable of sending *RRCReestablishmentRequest* message within Tre-establish\_delay seconds from the moment it detects a loss in RRC connection. The total RRC connection delay (Tre-establish\_delay) shall be less than:

TUL\_grant: It is the time required to acquire and process uplink grant from the target PCell. The uplink grant is required to transmit *RRCReestablishmentRequest* message.

The UE re-establishment delay (TUE\_re-establish\_delay) is specified in clause 6.2.1.2.1.

##### 6.2.1.2.1 UE Re-establishment delay requirement

The UE re-establishment delay (TUE\_re-establish\_delay) is the time between the moments when any of the conditions requiring RRC re-establishment as defined in clause 5.3.7 in TS 38.331 [2] is detected by the UE and when the UE sends PRACH to the target PCell. The UE re-establishment delay (TUE\_re-establish\_delay) requirement shall be less than:

The intra-frequency target NR cell shall be considered detectable if each relevant SSB can satisfy that:

- SS-RSRP related side conditions given in clause 10.1.2 and 10.1.3 are fulfilled for a corresponding NR Band for FR1 and FR2, respectively, and

- the conditions of SSB\_RP and SSB Ês/Iot according to Annex B.2.3 for a corresponding NR Band are fulfilled.

The inter-frequency target NR cell shall be considered detectable when for each relevant SSB:

- SS-RSRP related side conditions given in clause 10.1.4 and 10.1.5 are fulfilled for a corresponding NR Band for FR1 and FR2, respectively, and

- the conditions of SSB\_RP and SSB Ês/Iot according to Annex B.2.2 for a corresponding NR Band are fulfilled.

Tidentify\_intra\_NR: It is the time to identify the target intra-frequency NR cell and it depends on whether the target NR cell is known cell or unknown cell and on the FR of the target NR cell. If the UE is not configured with intra-frequency NR carrier for RRC re-establishment then Tidentify\_intra\_NR=0; otherwise Tidentify\_intra\_NR shall not exceed the values defined in Table 6.2.1.2.1-1 when *[highSpeedMeasFlagFR2]* is not configured or UE is not capable of FR2 power class 6 and Table 6.2.1.2.1-3 when *[highSpeedMeasFlagFR2]* is configured and UE is capable of FR2 power class 6.

Tidentify\_inter\_NR,i: It is the time to identify the target inter-frequency NR cell on inter-frequency carrier *i* configured for RRC re-establishment and it depends on whether the target NR cell is known cell or unknown cell and on the FR of the target NR cell. Tidentify\_inter\_NR,i shall not exceed the values defined in Table 6.2.1.2.1-2.

TSMTC: It is the periodicity of the SMTC occasion configured for the intra-frequency carrier. If the UE has been provided with higher layer in TS 38.331 [2] signaling of *smtc2*, Tsmtc follows *smtc1* or *smtc2* according to the physical cell ID of the target cell.

TSMTC,i: It is the periodicity of the SMTC occasion configured for the inter-frequency carrier *i*. If it is not configured, the UE may assume that the target SSB periodicity is no larger than 20 ms.

TSI-NR: It is the time required for receiving all the relevant system information according to the reception procedure and the RRC procedure delay of system information blocks defined in TS 38.331 [2] for the target NR cell.

TPRACH: It is the delay uncertainty in acquiring the first available PRACH occasion in the target NR cell. TPRACH can be up to the summation of SSB to PRACH occasion association period and 10 ms. SSB to PRACH occasion associated period is defined in the table 8.1-1 of TS 38.213 [3].

Nfreq: It is the total number of NR frequencies to be monitored for RRC re-establishment; Nfreq = 1 if the target intra-frequency NR cell is known, else Nfreq = 2 and Tidentify\_intra\_NR = 0 if the target inter-frequency NR cell is known.

There is no requirement if the target cell does not contain the UE context.

In the requirement defined in the below tables, the target FR1 cell is known if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown.

Table 6.2.1.2.1-1: Time to identify target NR cell for RRC connection re-establishment to NR intra-frequency cell

|  |  |  |  |
| --- | --- | --- | --- |
| Serving cell | FR of target NR | Tidentify\_intra\_NR [ms] | |
| SSB Ês/Iot (dB) | cell | Known NR cell | Unknown NR cell |
| ≥ -8 | FR1 | MAX (200 ms, 5 x TSMTC) | MAX (800 ms, 10 x TSMTC) |
| ≥ -8 | FR2 | N/A | MAX (1000 ms, 80 x TSMTC)) |
| < -8 | FR1 | N/A | 800Note1 |
| < -8 | FR2 | N/A | 3520Note1 |
| Note 1: The UE is not required to successfullyidentify a cell on any NR frequency layer when TSMTC > 20 ms and serving cell SSB Ês/Iot < -8 dB. | | | |

Table 6.2.1.2.1-2: Time to identify target NR cell for RRC connection re-establishment to NR inter-frequency cell

|  |  |  |  |
| --- | --- | --- | --- |
| Serving cell SSB Ês/Iot (dB) | FR of target NR cell | Tidentify\_inter\_NR, i [ms] | |
|  |  | Known NR cell | Unknown NR cell |
| ≥ -8 | FR1 | MAX (200 ms, 6 x TSMTC, i) | MAX (800 ms, 13 x TSMTC, i) |
| ≥ -8 | FR2 | N/A | MAX (1000 ms, 104 x TSMTC, i)) |
| < -8 | FR1 | N/A | 800Note1 |
| < -8 | FR2 | N/A | 4000Note1 |
| Note 1: The UE is not required to successfully identify a cell on any NR frequency layer when TSMTC,i > 20 ms and serving cell SSB Ês/Iot < -8 dB. | | | |

Table 6.2.1.2.1-3: Time to identify target NR cell for RRC connection re-establishment to NR intra-frequency cell When *[highSpeedMeasFlagFR2]* is configured (Frequency range FR2)

|  |  |  |  |
| --- | --- | --- | --- |
| Serving cell | FR of target NR | Tidentify\_intra\_NR [ms] | |
| SSB Ês/Iot (dB) | cell | Known NR cell | Unknown NR cell |
| ≥ -8 | FR2 | N/A | MAX (1000 ms, 10 xN2 x TSMTC)) |
|  |  |  |  |
| Note 1: The UE is not required to successfullyidentify a cell on any NR frequency layer when TSMTC > 20 ms and serving cell SSB Ês/Iot < -8 dB.  Note 2: When SMTC <= 40ms, N2=2 when *[highSpeedMeasFlagFR2]* = [set1]; N2=6 when *[highSpeedMeasFlagFR2]* = [set2]. | | | |

## < END of change 2 >

## < Start of Change 3 > [R4-2207107]

#### 7.1.2.1 Gradual timing adjustment

<Unmodified text omitted>

Table 7.1.2.1-1: Tq Maximum Autonomous Time Adjustment Step and Tp Minimum Aggregate Adjustment rate

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency Range | SCS of uplink signals (kHz) | Tq | Tp |
| 1 | 15 | 5.5\*64\*Tc | 5.5\*64\*Tc |
|  | 30 | 5.5\*64\*Tc | 5.5\*64\*Tc |
|  | 60 | 5.5\*64\*Tc | 5.5\*64\*Tc |
| 2 | 60 | K\*64\*Tc | 2.5\*64\*Tc |
|  | 120 | K\*64\*Tc | 2.5\*64\*Tc |
| NOTE 1: Tc is the basic timing unit defined in TS 38.211 [6]  NOTE 2: When [*highSpeedMeasFlagFR2-r17*] is configured for UE supporting power class 6, K = [4.5]; otherwise, when [*highSpeedMeasFlagFR2-r17*] is not configured K = 2.5. | | | |

## < End of Change 3 >

## < Start of Change 4 >

#### 7.1.2.2 Void

Table 7.1.2.2-1: Void

#### 7.1.2.X1 One shot large UL timing adjustment for FR2 Power Class 6 UE

When [*largeOneStepUL-timingFR2-r17*] is enabled for UE supporting FR2 power class 6,

The requirement in clause 7.1.2.1 doesn’t apply to the first UL transmission after a TCI state switch

The UE transmit timing immediately after TCI state switch shall be , where

- (in units) is the DL timing defined as the time when UE receives downlink frame with new target TCI state.

- (in units) is the DL timing defined as the time when UE receives downlink frame with old source TCI state.

The UE UL transmission timing error after the TCI state switching procedure shall be less than or equal to ±[9]\*64\*Tc, and the reference point shall be the downlink timing of the new cell minus .

## < End of Change 4 >

## < Start of Change 5 > [R4-2206855]

<Unmodified text omitted >

### 8.1.2 Requirements for SSB based radio link monitoring

*Editor’s note: Requirements on RLM/ BFD/CBD for FR2 HST which should apply just to PCell, while the present RLM should apply to PCell PSCell, and BFD/CBD should apply to Pcell, PScell, and Scell. The scope shall at very least be clarified in Clause 3.6 Applicability of requirements in #103-e meeting.*

#### 8.1.2.1 Introduction

The requirements in this clause apply for each SSB based RLM-RS resource configured for PCell or PSCell, provided that the SSB configured for RLM is actually transmitted within UE active DL BWP during the entire evaluation period specified in clause 8.1.2.2.

Table 8.1.2.1-1: PDCCH transmission parameters for out-of-sync evaluation

|  |  |
| --- | --- |
| Attribute | Value for BLER Configuration #0 |
| DCI format | 1-0 |
| Number of control OFDM symbols | 2 |
| Aggregation level (CCE) | 8 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 4dB |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 4dB |
| Bandwidth (PRBs) | 24 |
| Sub-carrier spacing (kHz) | SCS of the active DL BWP |
| DMRS precoder granularity | REG bundle size |
| REG bundle size | 6 |
| CP length | Normal |
| Mapping from REG to CCE | Distributed |

Table 8.1.2.1-2: PDCCH transmission parameters for in-sync evaluation

|  |  |
| --- | --- |
| Attribute | Value for BLER Configuration #0 |
| DCI payload size | 1-0 |
| Number of control OFDM symbols | 2 |
| Aggregation level (CCE) | 4 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 0dB |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 0dB |
| Bandwidth (PRBs) | 24 |
| Sub-carrier spacing (kHz) | SCS of the active DL BWP |
| DMRS precoder granularity | REG bundle size |
| REG bundle size | 6 |
| CP length | Normal |
| Mapping from REG to CCE | Distributed |

#### 8.1.2.2 Minimum requirement

UE shall be able to evaluate whether the downlink radio link quality on the configured RLM-RS resource estimated over the last TEvaluate\_out\_SSB [ms] period becomes worse than the threshold Qout\_SSB within TEvaluate\_out\_SSB [ms] evaluation period.

UE shall be able to evaluate whether the downlink radio link quality on the configured RLM-RS resource estimated over the last TEvaluate\_in\_SSB [ms] period becomes better than the threshold Qin\_SSB within TEvaluate\_in\_SSB [ms] evaluation period.

TEvaluate\_out\_SSB and TEvaluate\_in\_SSB are defined in Table 8.1.2.2-1 for FR1.

TEvaluate\_out\_SSB and TEvaluate\_in\_SSB are defined in Table 8.1.2.2-2 for FR2 with scaling factor N=8, for FR2 power classes other than power class 6 or for FR2 class 6 when highSpeedMeasFlagFR2-r17] is not configured

TEvaluate\_out\_SSB and TEvaluate\_in\_SSB are defined in Table 8.1.2.2-3 for FR2 power class 6 UE configured with [*highSpeedMeasFlagFR2-r17*].

For FR1,

- , when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, and these measurement gaps are overlapping with some but not all occasions of the SSB; and

- P = 1 when in the monitored cell there are no measurement gaps overlapping with any occasion of the SSB.

For FR2,

- , when RLM-RS resource is not overlapped with measurement gap and the RLM-RS resource is partially overlapped with SMTC occasion (TSSB < TSMTCperiod).

- P is Psharing factor, when the RLM-RS resource is not overlapped with measurement gap and RLM-RS resource is fully overlapped with SMTC period (TSSB = TSMTCperiod).

- , when the RLM-RS resource is partially overlapped with measurement gap and the RLM-RS resource is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and

- TSMTCperiod ≠ MGRP or

- TSMTCperiod = MGRP and TSSB < 0.5\*TSMTCperiod

- , when the RLM-RS is partially overlapped with measurement gap and the RLM-RS is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and TSMTCperiod = MGRP and TSSB = 0.5 × TSMTCperiod

-- , when the RLM-RS resource is partially overlapped with measurement gap and the RLM-RS resource is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is partially or fully overlapped with measurement gap

- , when the RLM-RS resource is partially overlapped with measurement gap and the RLM-RS resource is fully overlapped with SMTC occasion (TSSB = TSMTCperiod) and SMTC occasion is partially overlapped with measurement gap (TSMTCperiod < MGRP)

- Psharing factor = 1, if the RLM-RS resource outside measurement gap is

* not overlapped with the SSB symbols indicated by *SSB-ToMeasure* and 1 data symbol before each consecutive SSB symbols indicated by *SSB-ToMeasure* and 1 data symbol after each consecutive SSB symbols indicated by *SSB-ToMeasure*, given that *SSB-ToMeasure* is configured, where the *SSB-ToMeasure* is the union set of *SSB-ToMeasure* from all the configured measurement objects merged on the same serving carrier, and,
* not overlapped by the RSSI symbols indicated by *ss-RSSI-Measurement* and 1 data symbol before each RSSI symbol indicated by *ss-RSSI-Measurement* and 1 data symbol after each RSSI symbol indicated by *ss-RSSI-Measurement*, given that *ss-RSSI-Measurement* is configured.

- Psharing factor = 3, otherwise.

where,

If the high layer in TS 38.331 [2] signaling of *smtc2*is present, TSMTCperiod follows *smtc2*; Otherwise TSMTCperiod follows *smtc1.* TSMTCperiod is the shortest SMTC period among all CCs in the same FR2 band, provided the SMTC offset of all CCs in FR2 have the same offset.

If the high layer in TS 38.331 [2] signaling of *smtc2*is present, TSMTCperiod follows *smtc2*; Otherwise TSMTCperiod follows *smtc1.*

Longer evaluation period would be expected if the combination of RLM-RS resource, SMTC occasion and measurement gap configurations does not meet previous conditions.

For either an FR1 or FR2 serving cell, longer evaluation period would be expected during the period Tidentify\_CGI when the UE is requested to decode an NR CGI.

For either an FR1 or FR2 serving cell, longer evaluation period would be expected during the period Tidentify\_CGI,E-UTRAN when the UE is requested to decode an LTE CGI.

Table 8.1.2.2-1: Evaluation period TEvaluate\_out\_SSB and TEvaluate\_in\_SSB for FR1

|  |  |  |
| --- | --- | --- |
| Configuration | TEvaluate\_out\_SSB (ms) | TEvaluate\_in\_SSB (ms) |
| no DRX | Max(200, Ceil(10 × P) × TSSB) | Max(100, Ceil(5 × P) × TSSB) |
| DRX cycle≤320ms | Max(200, Ceil(15 × P) × Max(TDRX,TSSB)) | Max(100, Ceil(7.5 × P) × Max(TDRX,TSSB)) |
| DRX cycle>320ms | Ceil(10 × P) × TDRX | Ceil(5 × P) × TDRX |
| NOTE: TSSB is the periodicity of the SSB configured for RLM. TDRX is the DRX cycle length. | | |

Table 8.1.2.2-2: Evaluation period TEvaluate\_out\_SSB and TEvaluate\_in\_SSB for FR2

|  |  |  |
| --- | --- | --- |
| Configuration | TEvaluate\_out\_SSB (ms) | TEvaluate\_in\_SSB (ms) |
| no DRX | Max(200, Ceil(10 × P × N) × TSSB) | Max(100, Ceil(5 × P × N) × TSSB) |
| DRX cycle≤320ms | Max(200, Ceil(15 × P × N) × Max(TDRX,TSSB)) | Max(100, Ceil(7.5 × P × N) × Max(TDRX,TSSB)) |
| DRX cycle>320ms | Ceil(10 × P × N) × TDRX | Ceil(5 × P × N) × TDRX |
| NOTE: TSSB is the periodicity of the SSB configured for RLM. TDRX is the DRX cycle length. | | |

Table 8.1.2.2-3: Evaluation period TEvaluate\_out\_SSB and TEvaluate\_in\_SSB for FR2 power class 6 UE configured with [*highSpeedMeasFlagFR2-r17]*

|  |  |  |
| --- | --- | --- |
| Configuration | TEvaluate\_out\_SSB (ms) | TEvaluate\_in\_SSB (ms) |
| no DRX | Max(200, Ceil(10 × P × N Note2) × TSSB) | Max(100, Ceil(5 × P × N Note2) × TSSB) |
| DRX cycle≤80ms | Max(200, Ceil(15 × P × N Note2) × Max(TDRX,TSSB)) | Max(100, Ceil(7.5 × P × N Note2) × Max(TDRX,TSSB)) |
| NOTE 1: TSSB is the periodicity of the SSB configured for RLM. TDRX is the DRX cycle length.  Note2: scaling factor N=2 when [highSpeedMeasFlagFR2-r17] is configured to [set1] or scaling factor N=6 when [highSpeedMeasFlagFR2-r17] is configured to [set2]. | | |

#### 8.1.2.3 Measurement restrictions for SSB based RLM

The UE is required to be capable of measuring SSB for RLM without measurement gaps. The UE is required to perform the SSB measurements with measurement restrictions as described in the following scenarios.

For FR1, when the SSB for RLM is in the same OFDM symbol as CSI-RS for RLM, BFD, CBD or L1-RSRP measurement,

- If SSB and CSI-RS have same SCS, UE shall be able to measure the SSB for RLM without any restriction;

- If SSB and CSI-RS have different SCS,

- If UE supports *simultaneousRxDataSSB-DiffNumerology*, UE shall be able to measure the SSB for RLM without any restriction;

- If UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both SSB for RLM and CSI-RS. Longer measurement period for SSB based RLM is expected, and no requirements are defined.

For FR2, when the SSB for RLM measurement on one CC is in the same OFDM symbol as CSI-RS for RLM, BFD, CBD or L1-RSRP measurement on the same CC or different CCs in the same band, UE is required to measure one of but not both SSB for RLM and CSI-RS. Longer measurement period for SSB based RLM is expected, and no requirements are defined.

For FR2, there is no measurement restriction allowed when the network configures mixed numerology between SSB for RLM measurement on one FR2 band and CSI-RS for RLM, BFD, CBD, L1-RSRP or L1-SINR measurement on the other FR2 band, provided that UE is capable of independent beam management on this FR2 band pair.

## < End of Change 5 >

## < Start of Change 6 >

<Unmodified text omitted >

### 8.5.2 Requirements for SSB based beam failure detection

*Editor’s note: Requirements on RLM/ BFD/CBD for FR2 HST which should apply just to PCell, while the present RLM should apply to PCell PSCell, and BFD/CBD should apply to Pcell, PScell, and Scell. The scope shall at very least be clarified in Clause 3.6 Applicability of requirements in #103-e meeting.*

#### 8.5.2.1 Introduction

The requirements in this clause apply for each SSB resource in the set  configured for a serving cell, provided that the SSB configured for beam failure detection is actually transmitted within the UE active DL BWP during the entire evaluation period specified in clause 8.5.2.2. The requirements in this clause could not be applicable if UE is required to perform beam failure detection on more than 1 serving cell per band.

Table 8.5.2.1-1: PDCCH transmission parameters for beam failure instance

|  |  |
| --- | --- |
| Attribute | Value for BLER |
| DCI format | 1-0 |
| Number of control OFDM symbols | 2 |
| Aggregation level (CCE) | 8 |
| Ratio of hypothetical PDCCH RE energy to average SSS RE energy | 0dB |
| Ratio of hypothetical PDCCH DMRS energy to average SSS RE energy | 0dB |
| Bandwidth (PRBs) | 24 |
| Sub-carrier spacing (kHz) | Same as the SCS of RMSI CORESET |
| DMRS precoder granularity | REG bundle size |
| REG bundle size | 6 |
| CP length | Normal |
| Mapping from REG to CCE | Distributed |

#### 8.5.2.2 Minimum requirement

UE shall be able to evaluate whether the downlink radio link quality on the configured SSB resource in set  estimated over the last TEvaluate\_BFD\_SSB ms period becomes worse than the threshold Qout\_LR\_SSB within TEvaluate\_BFD\_SSB ms period.

The value of TEvaluate\_BFD\_SSB is defined in Table 8.5.2.2-1 for FR1.

The value of TEvaluate\_BFD\_SSB is defined in Table 8.5.2.2-2 for FR2 with scaling factor N=8, for FR2 power classes other than power class 6 or for FR2 class 6 when [highSpeedMeasFlagFR2-r17] is not configured.

The value of TEvaluate\_BFD\_SSB is defined in Table 8.5.2.2-3 for FR2 power class 6 UE configured with [*highSpeedMeasFlagFR2-r17*].

For FR1,

- , when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the SSB.

- P=1 when in the monitored cell there are no measurement gaps overlapping with any occasion of the SSB.

For FR2,

- , when BFD-RS resource is not overlapped with measurement gap and the BFD-RS resource is partially overlapped with SMTC occasion (TSSB < TSMTCperiod).

- P = Psharing factor, when the BFD-RS resource is not overlapped with measurement gap and the BFD-RS resource is fully overlapped with SMTC period (TSSB = TSMTCperiod).

- , when the BFD-RS resource is partially overlapped with measurement gap and the BFD-RS resource is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and

- TSMTCperiod ≠ MGRP or

- TSMTCperiod = MGRP and TSSB < 0.5\*TSMTCperiod

- , when the BFD-RS resource is partially overlapped with measurement gap and the BFD-RS resource is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and TSMTCperiod = MGRP and TSSB = 0.5\*TSMTCperiod

- , when the BFD-RS resource is partially overlapped with measurement gap (TSSB <MGRP) and the BFD-RS resource is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is partially or fully overlapped with measurement gap.

- , when the BFD-RS resource is partially overlapped with measurement gap and the BFD-RS resource is fully overlapped with SMTC occasion (TSSB = TSMTCperiod) and SMTC occasion is partially overlapped with measurement gap (TSMTCperiod < MGRP)

- Psharing factor = 1, if the BFD-RS resource outside measurement gap is

- not overlapped with the SSB symbols indicated by SSB-ToMeasure and 1 data symbol before each consecutive SSB symbols indicated by SSB-ToMeasure and 1 data symbol after each consecutive SSB symbols indicated by SSB-ToMeasure, given that SSB-ToMeasure is configured, where the *SSB-ToMeasure* is the union set of *SSB-ToMeasure* from all the configured measurement objects merged on the same serving carrier, and;

- not overlapped with the RSSI symbols indicated by ss-RSSI-Measurement and 1 data symbol before each RSSI symbol indicated by ss-RSSI-Measurement and 1 data symbol after each RSSI symbol indicated by ss-RSSI-Measurement, given that ss-RSSI-Measurement is configured.- Psharing factor = 3, otherwise.

where,

If the high layer in TS 38.331 [2] signaling of *smtc2* is configured, TSMTCperiod corresponds to the value of higher layer parameter *smtc2*; Otherwise TSMTCperiod corresponds to the value of higher layer parameter *smtc1*. TSMTCperiod is the shortest SMTC period among all CCs in the same FR2 band, given the SMTC offset of all CCs in FR2 provided the same offset.

Longer evaluation period would be expected if the combination of BFD-RS resource, SMTC occasion and measurement gap configurations does not meet pervious conditions.

For either an FR1 or FR2 serving cell, longer evaluation period would be expected during the period Tidentify\_CGI when the UE is requested to decode an NR CGI.

For either an FR1 or FR2 serving cell, longer BFD evaluation period would be expected during the period Tidentify\_CGI,E-UTRAN when the UE is requested to decode an LTE CGI.

Table 8.5.2.2-1: Evaluation period TEvaluate\_BFD\_SSB for FR1

|  |  |
| --- | --- |
| Configuration | TEvaluate\_BFD\_SSB (ms) |
| no DRX | Max(50, Ceil(5 × P) × TSSB) |
| DRX cycle ≤ 320ms | Max(50, Ceil(7.5 × P) × Max(TDRX,TSSB)) |
| DRX cycle > 320ms | Ceil(5 × P) × TDRX |
| Note: TSSB is the periodicity of SSB in the set . TDRX is the DRX cycle length. | |

Table 8.5.2.2-2: Evaluation period TEvaluate\_BFD\_SSB for FR2

|  |  |
| --- | --- |
| Configuration | TEvaluate\_BFD\_SSB (ms) |
| no DRX | Max(50, Ceil(5 × P × N) × TSSB) |
| DRX cycle ≤ 320ms | Max(50, Ceil(7.5 × P × N) × Max(TDRX,TSSB)) |
| DRX cycle > 320ms | Ceil(5 × P × N) × TDRX |
| Note: TSSB is the periodicity of SSB in the set . TDRX is the DRX cycle length. | |

Table 8.5.2.2-3: Evaluation period TEvaluate\_BFD\_SSB for FR2 power class 6 UE configured with [*highSpeedMeasFlagFR2-r17]*

|  |  |
| --- | --- |
| Configuration | TEvaluate\_BFD\_SSB (ms) |
| no DRX | Max(50, Ceil(5 × P × N Note2) × TSSB) |
| DRX cycle ≤ 80ms | Max(50, Ceil(7.5 × P × N Note2) × Max(TDRX,TSSB)) |
| Note: TSSB is the periodicity of SSB in the set . TDRX is the DRX cycle length.  Note2: scaling factor N=2 when [highSpeedMeasFlagFR2-r17] is configured to [set1] or scaling factor N=6 when [highSpeedMeasFlagFR2-r17] is configured to [set2]. | |

#### 8.5.2.3 Measurement restriction for SSB based beam failure detection

The UE is required to be capable of measuring SSB for BFD without measurement gaps. The UE is required to perform the SSB measurements with measurement restrictions as described in the following scenarios.

For FR1, when the SSB for BFD measurement is in the same OFDM symbol as CSI-RS for RLM, BFD, CBD or L1-RSRP measurement,

- If SSB and CSI-RS have same SCS, UE shall be able to measure the SSB for BFD measurement without any restriction;

- If SSB and CSI-RS have different SCS,

- If UE supports *simultaneousRxDataSSB-DiffNumerology*, UE shall be able to measure the SSB for BFD measurement without any restriction;

- If UE does not support *simultaneousRxDataSSB-DiffNumerology*, UE is required to measure one of but not both SSB for BFD measurement and CSI-RS. Longer measurement period for SSB based BFD measurement is expected, and no requirements are defined.

For FR2, when the SSB for BFD measurement on one CC is in the same OFDM symbol as CSI-RS for RLM, BFD, CBD or L1-RSRP measurement on the same CC or different CCs in the same band, UE is required to measure one of but not both SSB for BFD measurement and CSI-RS. Longer measurement period for SSB based BFD measurement is expected, and no requirements are defined.

For FR2, if the network configures same or mixed numerology between SSB for BFD measurement on one FR2 band and CSI-RS for RLM, BFD, CBD, L1-RSRP or L1-SINR measurement on the other FR2 band, UE shall be able to perform the related SSB based measurements in one band without any measurement restrictions on the other band, provided that UE is capable of independent beam management on this FR2 band pair.

## < End of Change 6 >

## < Start of Change 7 > [R4-2206856]

## 8.10 Active TCI state switching delay

8.10.1 Introduction

The requirements in this clause apply for a UE configured with one or more TCI state configurations on serving cell in MR-DC or standalone NR. UE shall complete the switch of active TCI state within the delay defined in this clause.

8.10.2 Known conditions for TCI state

The TCI state is known if the following conditions are met:

- During the period from the last transmission of the RS resource used for the L1-RSRP measurement reporting for the target TCI state to the completion of active TCI state switch, where the RS resource for L1-RSRP measurement is the RS in target TCI state or QCLed to the target TCI state

- TCI state switch command is received within 1280 ms upon the last transmission of the RS resource for beam reporting or measurement

- The UE has sent at least 1 L1-RSRP report for the target TCI state before the TCI state switch command

- The TCI state remains detectable during the TCI state switching period

- The SSB associated with the TCI state remain detectable during the TCI switching period

- SNR of the TCI state ≥ -3dB

Otherwise, the TCI state is unknown.

8.10.3 MAC-CE based TCI state switch delay

If the target TCI state is known, upon receiving PDSCH carrying MAC-CE activation command in slot n, UE shall be able to receive PDCCH with target TCI state of the serving cell on which TCI state switch occurs at the first slot that is after slot n+ THARQ + + TOk\*(Tfirst-SSB + TSSB-proc) / *NR slot length*. The UE shall be able to receive PDCCH with the old TCI state until slot n+ THARQ + .Where THARQ is the timing between DL data transmission and acknowledgement as specified in TS 38.213 [3];

- Tfirst-SSB is time to first SSB transmission after MAC CE command is decoded by the UE; The SSB shall be the QCL-TypeA or QCL-TypeC to target TCI state

- TSSB-proc = 2 ms;

- TOk = 1 if target TCI state is not in the active TCI state list for PDSCH, 0 otherwise.

If the target TCI state is unknown, upon receiving PDSCH carrying MAC-CE activation command in slot n, UE shall be able to receive PDCCH with target TCI state of the serving cell on which TCI state switch occurs at the first slot that is after slot n+ THARQ + + TL1-RSRP +TOuk\*(Tfirst-SSB+ TSSB-proc) / *NR slot length*. The UE shall be able to receive PDCCH with the old TCI state until slot n+ THARQ + .

Where

- T L1-RSRP = 0 in FR1 or when the TCI state switching not involving QCL-TypeD in FR2. Otherwise,

- T L1-RSRP is the time for Rx beam refinement in FR2, defined as

- TL1-RSPR\_Measurement\_Period\_SSB for SSB as specified in clause 9.5.4.1,

- with the assumption of M=1

- with TReport = 0

- TL1-RSRP\_Measurement\_Period\_CSI-RS for CSI-RS as specified in clause 9.5.4.2

- configured with higher layer parameter *repetition* set to ON

- with the assumption of M=1 for periodic CSI-RS

- for aperiodic CSI-RS if number of resources in resource set at least equal to *MaxNumberRxBeam*

- with TReport = 0

- TOuk = 1 for CSI-RS based L1-RSRP measurement, and 0 for SSB based L1-RSRP measurement when TCI state switching involves QCL-TypeD

- TOuk = 1 when TCI state switching involves other QCL types only

- Tfirst-SSB is time to first SSB transmission after L1-RSRP measurement when TCI state switching involves QCL-TypeD;

- Tfirst-SSB is time to first SSB transmission after MAC CE command is decoded by the UE for other QCL types;

- The SSB shall be the QCL-TypeA or QCL-TypeC to target TCI state

### 8.10.X2 MAC-CE based TCI state switch delay in HST FR2 scenarios

For FR2 power class 6 UE*,* if the target TCI state is known, upon receiving PDSCH carrying MAC-CE activation command in slot n, UE shall be able to receive PDCCH with target TCI state of the serving cell on which TCI state switch occurs at the symbol m of the first slot that is after slot n+ THARQ + + TOk\*(Tfirst-SSB + TSSB-proc + Trs + Trs-proc) / *NR slot length*. The UE shall be able to receive PDCCH with the old TCI state until slot n+ THARQ + .Where THARQ is the timing between DL data transmission and acknowledgement as specified in TS 38.213 [3];

- Tfirst-SSB is time to first SSB transmission after MAC CE command is decoded by the UE;

- TSSB-proc = 2 ms;

- Trs is time to the first TRS or SSB transmission after the SSB transmission in the definition of Tfirst-SSB is processed by the UE;

- Trs-proc = 2 ms;

- TOk = 1, m = 0 if target TCI state is not in the active TCI state list for PDSCH; otherwise TOk = 0, m = 1.

For FR2 power class 6 UE, if the target TCI state is unknown, the same requirement for unknown target TCI state case specified in clause 8.10.3 applies.

### 8.10.4 DCI based TCI state switch delay

If the target TCI state is known, when a UE is configured with the higher layer parameter *tci-PresentInDCI* which is set as 'enabled'for the CORESET scheduling PDSCH at slot n, UE shall be able to receive PDSCH with target TCI state of the serving cell on which TCI state switch occurs at the first slot that is after slot n+*timeDurationForQCL*, where, *timeDurationForQCL* is the time required by the UE to perform PDCCH reception and applying spatial QCL information received in DCI for PDSCH processing as described in TS 38.214 [26], the value of *timeDurationForQCL* is defined in TS 38.331 [2].

The known condition for TCI state defined in clause 8.10.2 is applied.

### 8.10.5 RRC based TCI state switch delay

If the target TCI state is known, UE shall be able to receive PDCCH with target TCI state of the serving cell on which TCI state switch occurs at the first slot that is after slot n+ (TRRC\_processing +TOk\*(Tfirst-SSB + TSSB-proc)) / *NR slot length*, The UE is not required to receive PDCCH/PDSCH/CSI-RS or transmit PUCCH/PUSCH until the end of switching period.

Where

- Slot n is the last slot overlapping with the PDSCH carrying RRC activation command.

- TRRC\_processing is the RRC processing delay defined in Clause 11.2 of TS 36.331 [16] if the corresponding RRC message is embedded in E-UTRA RRC message, otherwise it is the RRC processing delay defined in Clause 12 of TS 38.331 [2].

- Tfirst-SSB is time to first SSB transmission after RRC processing by the UE; The SSB shall be the QCL-TypeA or QCL-TypeC to target TCI state.

- TSSB-proc and TOk are defined in clause 8.10.3.

If the target TCI state is unknown, UE shall be able to receive PDCCH with target TCI state of the serving cell on which TCI state switch occurs at the first slot that is after slot n+ (TRRC\_processing  +TL1-RSRP +TOuk\*(Tfirst-SSB + TSSB-proc)) / *NR slot length*, The UE is not required to receive PDCCH/PDSCH/CSI-RS or transmit PUCCH/PUSCH until the end of switching period.

Where

- Slot n is the last slot overlapping with the PDSCH carrying RRC activation command.

- TRRC\_processing is the RRC processing delay defined in Clause 11.2 of TS 36.331 [16] if the corresponding RRC message is embedded in E-UTRA RRC message, otherwise it is the RRC processing delay defined in Clause 12 of TS 38.331 [2].

- Tfirst-SSB is time to first SSB transmission after L1-RSRP measurement when TCI state switching involves QCL-TypeD;

- Tfirst-SSB is time to first SSB transmission after RRC processing time at the UE for other QCL types;

- The SSB shall be the QCL-TypeA or QCL-TypeC to target TCI state

- TL1-RSRP, TOuk and TSSB-proc are defined in clause 8.10.3.

The requirements for RRC based TCI state switch delay apply when only 1 TCI state is configured in RRC TCI state list. When a longer switching delay is allowed. Where is the time between DL data transmission and acknowledgement as specified in TS 38.213 [3].

### 8.10.6 Active TCI state list update delay

If the target TCI state is known, upon receiving PDSCH carrying MAC-CE active TCI state list update at slot n, UE shall be able to receive PDCCH to schedule PDSCH with the new target TCI state at the first slot that is after n+ THARQ + +TOk\*(Tfirst-SSB + TSSB-proc) / *NR slot length*. Where THARQ, Tfirst-SSB, TSSB-proc and TOk are defined in clause 8.10.3.

< End of Change 7 >

< Start of Change 8 > [R4-2206853]

### 9.2.5 Intrafrequency measurements without measurement gaps

#### 9.2.5.1 Intrafrequency cell identification

The UE shall be able to identify a new detectable intra-frequency cell within Tidentify\_intra\_without\_index if the UE is not indicated to report SSB based RRM measurement result with the associated SSB index(*reportQuantityRsIndexes* or *maxNrofRSIndexesToReport* is not configured), or the UE is indicated that the neighbour cell is synchronous with the serving cell (*deriveSSB-IndexFromCell* is enabled). Otherwise UE shall be able to identify a new detectable intra frequency cell within Tidentify\_intra\_with\_index. The UE shall be able to identify a new detectable intra frequency SS block of an already detected cell within Tidentify\_intra\_without\_index. It is assumed that *deriveSSB-IndexFromCell* is always enabled for FR1 TDD and FR2.

Tidentify\_intra\_without\_index = (TPSS/SSS\_sync\_intra + T SSB\_measurement\_period\_intra) ms

Tidentify\_intra\_with\_index = (TPSS/SSS\_sync\_intra + T SSB\_measurement\_period\_intra + TSSB\_time\_index\_intra) ms

Where:

TPSS/SSS\_sync\_intra: it is the time period used in PSS/SSS detection given in table 9.2.5.1-1, 9.2.5.1-2, 9.2.5.1-4 (deactivated SCell) or 9.2.5.1-5 (deactivated SCell) or 9.2.5.1-9 or 9.2.5.1-11.

TSSB\_time\_index\_intra: it is the time period used to acquire the index of the SSB being measured given in table 9.2.5.1-3 or 9.2.5.1-6 (deactivated SCell) or 9.2.5.1-10

T SSB\_measurement\_period\_intra: equal to a measurement period of SSB based measurement given in table 9.2.5.2-1, table 9.2.5.2-2 table 9.2.5.2-3 (deactivated SCell) or 9.2.5.2-4(deactivated SCell) or 9.2.5.2-5 or 9.2.5.2-6

CSSFintra: it is a carrier specific scaling factor and is determined

according to CSSFoutside\_gap,i in clause 9.1.5.1 for measurement conducted outside measurement gaps, i.e. when intra-frequency SMTC is fully non overlapping or partially overlapping with measurement gaps, or according to CSSFwithin\_gap,i in clause 9.1.5.2 for measurement conducted within measurement gaps, i.e. when intra-frequency SMTC is fully overlapping with measurement gaps.

if the high layer in TS 38.331 [2] signalling of *smtc2* is configured, the assumed periodicity of intra-frequency SMTC occasions corresponds to the value of higher layer parameter *smtc2*; Otherwise the assumed periodicity of intra-frequency SMTC occasions corresponds to the value of higher layer parameter *smtc1*.

Mpss/sss\_sync\_w/o\_gaps : For a UE supporting FR2 power class 1 or 5, Mpss/sss\_sync\_w/o\_gaps =40. For a UE supporting power class 2, Mpss/sss\_sync\_w/o\_gaps =24. For a UE supporting FR2 power class 3, Mpss/sss\_sync\_w/o\_gaps =24. For a UE supporting FR2 power class 4, Mpss/sss\_sync\_w/o\_gaps =24

Mmeas\_period\_w/o\_gaps : For a UE supporting power class 1 or 5, Mmeas\_period\_w/o\_gaps =40. For a UE supporting FR2 power class 2, Mmeas\_period\_w/o\_gaps =24. For a UE supporting power class 3, Mmeas\_period\_w/o\_gaps =24. For a UE supporting power class 4, Mmeas\_period\_w/o\_gaps =24.

When intra-frequency SMTC is fully non overlapping with measurement gaps or intra-frequency SMTC is fully overlapping with MGs, Kp=1

When intra-frequency SMTC is partially overlapping with measurement gaps, Kp = 1/(1- (SMTC period /MGRP)), where SMTC period < MGRP. For calculation of Kp, if the high layer signalling (TS 38.331 [2]) of *smtc2* is configured, for cells indicated in the *pci-List* parameter in *smtc2*, the SMTC periodicity corresponds to the value of higher layer parameter *smtc2*; for the other cells, the SMTC periodicity corresponds to the value of higher layer parameter *smtc1.*

If the higher layer signaling in TS38.331 [2] signalling of *smtc2* is present and smtc1 is fully overlapping with measurement gaps and smtc2 is partially overlapping with measurement gaps, requirements are not specified for Tidentify\_intra\_without\_index or Tidentify\_intra\_with\_index

For FR2,

Klayer1\_measurement=1,

- if all of the reference signals configured for RLM, BFD, CBD or L1-RSRP for beam reporting on any FR2 serving frequency in the same band outside measurement gap are not fully overlapped by intra-frequency SMTC occasions, or

- if all of the reference signal configured for RLM, BFD, CBD or L1-RSRP for beam reporting on any FR2 serving frequency in the same band outside measurement gap and fully-overlapped by intra-frequency SMTC occasions are not overlapped with any of the SSB symbols and the RSSI symbols, and 1 symbol before each consecutive SSB symbols and the RSSI symbols, and 1 symbol after each consecutive SSB symbols and the RSSI symbols, given that *SSB-ToMeasure* and *SS-RSSI-Measurement* are configured, where SSB symbols are indicated by the union set of SSB-ToMeasure from all the configured measurement objects on the same serving carrier which can be merged.and RSSI symbols are indicated by *SS-RSSI-Measurement*;

Klayer1\_measurement=1.5, otherwise.

If the above-mentioned reference signal configured for L1-RSRP measurement is aperiodic CSI-RS resource, longer cell identification delay would be expected.

If MCG DRX is in use, cell identification requirements for intra-frequency measurement in MCG specified in Table 9.2.5.1-1, Table 9.2.5.1-2, Table 9.2.5.1-3, Table 9.2.5.1-4, Table 9.2.5.1-5 and Table 9.2.5.1-6 shall depend on the MCG DRX cycle. If SCG DRX is in use, cell identification requirements for intra-frequency measurement in SCG specified in Table 9.2.5.1-1, Table 9.2.5.1-2, Table 9.2.5.1-3, Table 9.2.5.1-4, Table 9.2.5.1-5 and Table 9.2.5.1-6 shall depend on the SCG DRX cycle. Otherwise, the requirements for when DRX is not in use shall apply.

Table 9.2.5.1-1: Time period for PSS/SSS detection, (Frequency range FR1)

|  |  |
| --- | --- |
| DRX cycle | TPSS/SSS\_sync\_intra |
| No DRX | max( 600ms, ceil( 5 x Kp) x SMTC period )Note 1 x CSSFintra |
| DRX cycle≤ 320ms | max( 600ms, ceil(M2 Note 2x 5 x Kp) x max(SMTC period,DRX cycle)) x CSSFintra |
| DRX cycle>320ms | ceil(5 x Kp) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified  NOTE 2: When *highSpeedMeasFlag-r16* is not configured, M2 = 1.5; When *highSpeedMeasFlag-r16* is configured, M2 = 1.5 if SMTC periodicity > 40 ms; otherwise M2=1.  NOTE 3: When *highSpeedMeasFlag-r16* is configured, the requirements apply only to UE supporting either *measurementEnhancement-r16* or *[intraRAT-MeasurementEnhancement-r16]* on measurements of the primary component carrier and do not apply to measurements of a secondary component carrier with active SCell.  NOTE 4: When [highSpeedMeasFlag for CA] is configured, the requirements apply to measurements of secondary component carrier with active SCell. | |

Table 9.2.5.1-2: Time period for PSS/SSS detection, (Frequency range FR2)

|  |  |
| --- | --- |
| DRX cycle | TPSS/SSS\_sync\_intra |
| No DRX | max(600ms, ceil(Mpss/sss\_sync\_w/o\_gaps x Kp x Klayer1\_measurement)x SMTC period)Note 1 x CSSFintra |
| DRX cycle≤ 320ms | max(600ms, ceil(1.5 x Mpss/sss\_sync\_w/o\_gaps x Kp x Klayer1\_measurement)x max(SMTC period,DRX cycle)) x CSSFintra |
| DRX cycle>320ms | ceil(Mpss/sss\_sync\_w/o\_gaps x Kp x Klayer1\_measurement) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified | |

**Table 9.2.5.1-3: Time period for time index detection (FR1)**

|  |  |
| --- | --- |
| DRX cycle | TSSB\_time\_index\_intra |
| No DRX | max(120ms, ceil( 3 x Kp )x SMTC period)Note 1 x CSSFintra |
| DRX cycle≤ 320ms | max(120ms, ceil (M2 Note 2 x 3 x Kp) x max(SMTC period,DRX cycle)) x CSSFintra |
| DRX cycle>320ms | Ceil(3 x Kp) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified  NOTE 2: When *highSpeedMeasFlag-r16* is not configured, M2 = 1.5; When *highSpeedMeasFlag-r16* is configured, M2 = 1.5 if SMTC periodicity > 40 ms;,otherwise M2=1  NOTE 3: When *highSpeedMeasFlag-r16* is configured, the requirements apply only to UE supporting either *measurementEnhancement-r16* or *[intraRAT-MeasurementEnhancement-r16]* on measurements of the primary component carrier and do not apply to measurements of a secondary component carrier with active SCell.  NOTE 4: When [*highSpeedMeasFlag for CA*] is configured, the requirements apply to measurements of secondary component carrier with active SCell. | |

**Table 9.2.5.1-4: Time period for PSS/SSS detection, deactivated SCell (FR1)**

|  |  |
| --- | --- |
| DRX cycle | TPSS/SSS\_sync\_intra |
| No DRX | Ceil(5 x Kp) x measCycleSCell x CSSFintra |
| DRX cycle≤ 320ms | Ceil(5 x Kp) x max(measCycleSCell, 1.5xDRX cycle) x CSSFintra |
| DRX cycle> 320ms | Ceil(5 x Kp) x max(measCycleSCell, DRX cycle) x CSSFintra |

**Table 9.2.5.1-5: Time period for PSS/SSS detection, deactivated SCell (FR2)**

|  |  |
| --- | --- |
| DRX cycle | TPSS/SSS\_sync\_intra |
| No DRX | Ceil(Mpss/sss\_sync\_w/o\_gaps x Kp) x measCycleSCell x CSSFintra |
| DRX cycle≤ 320ms | Ceil(Mpss/sss\_sync\_w/o\_gaps x Kp) x max(measCycleSCell, 1.5xDRX cycle) x CSSFintra |
| DRX cycle> 320ms | Ceil(Mpss/sss\_sync\_w/o\_gaps x Kp) x max(measCycleSCell, DRX cycle) x CSSFintra |

**Table 9.2.5.1-6: Time period for time index detection, deactivated SCell (FR1)**

|  |  |
| --- | --- |
| DRX cycle | TSSB\_time\_index\_intra |
| No DRX | Ceil(3 x Kp) x measCycleSCell x CSSFintra |
| DRX cycle≤ 320ms | Ceil(3 x Kp) x max(measCycleSCell, 1.5xDRX cycle) x CSSFintra |
| DRX cycle> 320ms | Ceil(3 x Kp) x max(measCycleSCell, DRX cycle) x CSSFintra |

Table 9.2.5.1-7: Void

Table 9.2.5.1-8: Void

**Table 9.2.5.1-9: Time period for PSS/SSS detection, deactivated SCell (FR1), when *[highSpeedMeasFlag for CA]* is configured**

|  |  |
| --- | --- |
| DRX cycle | TPSS/SSS\_sync\_intra |
| No DRX | Ceil(5 x Kp) x measCycleSCell x CSSFintra |
| DRX cycle≤ 320ms | Ceil(5 x Kp) x max(measCycleSCell, M2 Note 1xDRX cycle) x CSSFintra |
| DRX cycle> 320ms | Ceil(5 x Kp) x max(measCycleSCell, DRX cycle) x CSSFintra |
| NOTE 1: M2 = 1.5 if SMTC periodicity > 40 ms; otherwise M2=1 | |

**Table 9.2.5.1-10: Time period for time index detection, deactivated SCell (FR1)，when *[highSpeedMeasFlag for CA]* is configured**

|  |  |
| --- | --- |
| DRX cycle | TSSB\_time\_index\_intra |
| No DRX | Ceil(3 x Kp) x measCycleSCell x CSSFintra |
| DRX cycle≤ 320ms | Ceil(3 x Kp) x max(measCycleSCell, M2 Note 1xDRX cycle) x CSSFintra |
| DRX cycle> 320ms | Ceil(3 x Kp)x max(measCycleSCell, DRX cycle) x CSSFintra |
| NOTE 1: M2 = 1.5 if SMTC periodicity > 40 ms; otherwise M2=1 | |

Table 9.2.5.1-11: Time period for PSS/SSS detection when [*highSpeedMeasFlagFR2-r17*] is configured, (Frequency range FR2)

|  |  |
| --- | --- |
| DRX cycle | TPSS/SSS\_sync\_intra |
| No DRX | max(600ms, ceil(M1Note 2 x Kp x Klayer1\_measurement)x SMTC period)Note 1 x CSSFintra |
| DRX cycle≤ 80ms | max(600ms, ceil(M1Note 2 x M2Note 3 x Kp x Klayer1\_measurement)x max(SMTC period,DRX cycle)) x CSSFintra |
| 80ms< DRX cycle≤ 320ms | ceil(M2Note 3 x Mpss/sss\_sync\_w/o\_gaps x Kp x Klayer1\_measurement)x max(SMTC period,DRX cycle) x CSSFintra |
| DRX cycle>320ms | ceil(Mpss/sss\_sync\_w/o\_gaps x Kp x Klayer1\_measurement) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified  NOTE 2: For UE supporting power class 6, M1= 6 if [*highSpeedMeasFlagFR2-r17* = set1] or M1= 18 if [*highSpeedMeasFlagFR2-r17* = set2]  NOTE 3: M2 = 1 if SMTC periodicity ≤ 40 ms; otherwise M2 = 1.5 | |

#### 9.2.5.2 Measurement period

The measurement period for intra-frequency measurements without gaps is as shown in table 9.2.5.2-1, 9.2.5.2-2, 9.2.5.2-3 (deactivated SCell) or 9.2.5.2-4(deactivated SCell). When *highSpeedMeasFlag-r16* is configured, T SSB\_measurement\_period\_intra is specified in Table 9.2.5.2-5.

If the higher layer signaling in TS38.331 [2] signalling of *smtc2* is present and smtc1 is fully overlapping with measurement gaps and smtc2 is partially overlapping with measurement gaps, requirements are not specified for TSSB\_measurement\_period\_intra

If MCG DRX is in use, measurement period requirements for intra-frequency measurement in MCG specified in Table 9.2.5.2-1, Table 9.2.5.2-2, Table 9.2.5.2-3 and Table 9.2.5.2-4 shall depend on the MCG DRX cycle. If SCG DRX is in use, measurement period requirements for intra-frequency measurement in SCG specified in Table 9.2.5.2-1, Table 9.2.5.2-2, Table 9.2.5.2-3 and Table 9.2.5.2-4 shall depend on the SCG DRX cycle. Otherwise, the requirements for when DRX is not in use shall apply.

For FR2, a longer measurement period is allowed, if aperiodic CSI-RS resource is measured for L1-RSRP measurement on any FR2 serving frequency in the same band, and the CSI-RS resource is outside measurement gap and overlapped with any of the SSB symbols and the RSSI symbols, and 1 symbol before each consecutive SSB symbols and the RSSI symbols, and 1 symbol after each consecutive SSB symbols and the RSSI symbols. If *SSB-ToMeasure* or *SS-RSSI-Measurement* is configured, the SSB symbols are indicated by the union set of *SSB-ToMeasure* from all the configured measurement objects on the same band which can be merged and the RSSI symbols are indicated by *SS-RSSI-Measurement*.

Table 9.2.5.2-1: Measurement period for intra-frequency measurements without gaps (FR1)

|  |  |
| --- | --- |
| DRX cycle | T SSB\_measurement\_period\_intra |
| No DRX | max(200ms, ceil( 5 x Kp) x SMTC period)Note 1 x CSSFintra |
| DRX cycle≤ 320ms | max(200ms, ceil(1.5x 5 x Kp) x max(SMTC period,DRX cycle)) x CSSFintra |
| DRX cycle>320ms | ceil( 5 x Kp ) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified | |

Table 9.2.5.2-2: Measurement period for intra-frequency measurements without gaps (FR2)

|  |  |
| --- | --- |
| DRX cycle | T SSB\_measurement\_period\_intra |
| No DRX | max(400ms, ceil(Mmeas\_period\_w/o\_gaps x Kp x Klayer1\_measurement) x SMTC period)Note 1 x CSSFintra |
| DRX cycle≤ 320ms | max(400ms, ceil(1.5x Mmeas\_period\_w/o\_gaps x Kp x Klayer1\_measurement) x max(SMTC period,DRX cycle)) x CSSFintra |
| DRX cycle>320ms | ceil(Mmeas\_period\_w/o\_gaps xKp x Klayer1\_measurement ) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified | |

Table 9.2.5.2-3: Measurement period for intra-frequency measurements without gaps (deactivated SCell) (FR1)

|  |  |
| --- | --- |
| DRX cycle | T SSB\_measurement\_period\_intra |
| No DRX | Ceil(5 x Kp) x measCycleSCell x CSSFintra |
| DRX cycle≤ 320ms | Ceil(5 x Kp) x max(measCycleSCell, 1.5xDRX cycle) x CSSFintra |
| DRX cycle> 320ms | Ceil(5 x Kp) x max(measCycleSCell, DRX cycle) x CSSFintra |

**Table 9.2.5.2-4: Measurement period for intra-frequency measurements without gaps (deactivated SCell) (FR2)**

|  |  |
| --- | --- |
| DRX cycle | T SSB\_measurement\_period\_intra |
| No DRX | Ceil(Mmeas\_period\_w/o\_gaps x Kp) x measCycleSCell x CSSFintra |
| DRX cycle≤ 320ms | Ceil(Mmeas\_period\_w/o\_gaps x Kp) x max(measCycleSCell, 1.5xDRX cycle) x CSSFintra |
| DRX cycle> 320ms | Ceil(Mmeas\_period\_w/o\_gaps x Kp) x max(measCycleSCell, DRX cycle) x CSSFintra |

Table 9.2.5.2-5: T SSB\_measurement\_period\_intra When *highSpeedMeasFlag-r16* is configured (Frequency range FR1

|  |  |
| --- | --- |
| DRX cycle | T SSB\_measurement\_period\_intra |
| No DRX Note 2 | max(200ms, ceil( 5 x Kp) x SMTC period)Note 1 x CSSFintra |
| DRX cycle≤ 160ms | max(200ms, ceil(5 x M2 Note 2 x Kp) x max(SMTC period,DRX cycle)) x CSSFintra |
| 160ms < DRX cycle≤ 320ms | ceil(4 x M2 Note 2 x Kp) x DRX cycle x CSSFintra |
| DRX cycle>320ms | ceil( Y Note 3 x Kp ) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified  NOTE 2: M2 = 1.5 if SMTC period > 40 ms, otherwise M2=1  NOTE 3: Y=3 when SMTC period <= 40ms, Y=5 when SMTC period > 40ms  NOTE 4: When *highSpeedMeasFlag-r16* is configured, the requirements apply only to UE supporting either *measurementEnhancement-r16* or *[intraRAT-MeasurementEnhancement-r16]* on measurements of the primary component carrier and do not apply to measurements of a secondary component carrier with active SCell.  NOTE 5: When [highSpeedMeasFlag for CA] is configured, the requirements apply to measurements of secondary component carrier with active SCell. | |

Table 9.2.5.2-6: Measurement period for intra-frequency measurements without gaps (deactivated SCell) (FR1), when [*highSpeedMeasFlag for CA*] is configured

|  |  |
| --- | --- |
| DRX cycle | T SSB\_measurement\_period\_intra |
| No DRX | ceil( 5 x Kp) x measCycleSCell x CSSFintra |
| DRX cycle≤ 160ms | ceil(5 x Kp) x max(measCycleSCell, M2 Note 1 x DRX cycle) x CSSFintra |
| 160ms < DRX cycle≤ 320ms | ceil(4 x Kp) x max(measCycleSCell, M2 Note 1 x DRX cycle) |
| DRX cycle>320ms | ceil( Y Note 2 x Kp ) x max(measCycleSCell, DRX cycle) x CSSFintra |
| NOTE 1: M2 = 1.5 if SMTC periodicity > 40 ms, otherwise M2=1  NOTE 2: Y=3 when SMTC <= 40ms, Y=5 when SMTC > 40ms | |

Table 9.2.5.2-7: Measurement period for intra-frequency measurements without gaps when [*highSpeedMeasFlagFR2-r17*] is configured (FR2)

|  |  |
| --- | --- |
| DRX cycle | T SSB\_measurement\_period\_intra |
| No DRX | max(400ms, ceil(M1Note 2 x Kp x Klayer1\_measurement) x SMTC period)Note 1 x CSSFintra |
| DRX cycle≤ 80ms | max(400ms, ceil(M1Note 2 x M2Note 3 x Kp x Klayer1\_measurement) x max(SMTC period,DRX cycle)) x CSSFintra |
| 80ms< DRX cycle≤ 320ms | ceil(M2Note 3 x Mmeas\_period\_w/o\_gaps x Kp x Klayer1\_measurement) x max(SMTC period,DRX cycle) x CSSFintra |
| DRX cycle>320ms | ceil(Mmeas\_period\_w/o\_gaps xKp x Klayer1\_measurement ) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identified  NOTE 2: For UE supporting power class 6, M1= 6 if [*highSpeedMeasFlagFR2-r17* = set1] or M1= 18 if [*highSpeedMeasFlagFR2-r17* = set2]  NOTE 3: M2 = 1 if SMTC periodicity ≤ 40 ms; otherwise M2 = 1.5 | |

< End of Change 8 >

< Start of Change 9 > [R4-2206852]

# 9 Measurement Procedure

## 9.5 L1-RSRP measurements for Reporting

### 9.5.4 L1-RSRP measurement requirements

#### 9.5.4.1 SSB based L1-RSRP Reporting

The UE shall be capable of performing L1-RSRP measurements based on the configured SSB resource for L1-RSRP computation, and the UE physical layer shall be capable of reporting L1-RSRP measured over the measurement period of TL1-RSRP\_Measurement\_Period\_SSB.

The value of TL1-RSRP\_Measurement\_Period\_SSB is defined in Table 9.5.4.1-1 for FR1. The value of TL1-RSRP\_Measurement\_Period\_SSB is defined in Table 9.5.4.1-2 for FR2 when [*highSpeedMeasFlagFR2-r17*] is not configured, and defined in Table 9.5.4.1-3 for FR2 power class 6 UE when [*highSpeedMeasFlagFR2-r17*] is configured, where

- M=1 if higher layer parameter *timeRestrictionForChannelMeasurement* is configured, and M=3 otherwise

- N= 8 in Table 9.5.4.1-2.

For FR1,

- P=, when in the monitored cell there are measurement gaps configured for intra-frequency, inter-frequency or inter-RAT measurements, which are overlapping with some but not all occasions of the SSB; and

- P=1 when in the monitored cell there are no measurement gaps overlapping with any occasion of the SSB.

For FR2,

- P=, when SSB is not overlapped with measurement gap and SSB is partially overlapped with SMTC occasion (TSSB < TSMTCperiod).

- P is Psharing factor, when SSB is not overlapped with measurement gap and SSB is fully overlapped with SMTC period (TSSB = TSMTCperiod).

- P=, when SSB is partially overlapped with measurement gap and SSB is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and

- TSMTCperiod ≠ MGRP or

- TSMTCperiod = MGRP and TSSB < 0.5\*TSMTCperiod

- P is , when SSB is partially overlapped with measurement gap and SSB is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is not overlapped with measurement gap and TSMTCperiod = MGRP and TSSB = 0.5\*TSMTCperiod

- P=, when S SSB is partially overlapped with measurement gap (TSSB <MGRP) and SSB is partially overlapped with SMTC occasion (TSSB < TSMTCperiod) and SMTC occasion is partially or fully overlapped with measurement gap.

- P is , when SSB is partially overlapped with measurement gap and SSB is fully overlapped with SMTC occasion (TSSB = TSMTCperiod) and SMTC occasion is partially overlapped with measurement gap (TSMTCperiod < MGRP)

- Psharing factor = 1, if the SSB configured for L1-RSRP measurement outside measurement gap is

- not overlapped with the SSB symbols indicated by *SSB-ToMeasure* and 1 data symbol before each consecutive SSB symbols indicated by *SSB-ToMeasure* and 1 data symbol after each consecutive SSB symbols indicated by *SSB-ToMeasure*, given that *SSB-ToMeasure* is configured, where the *SSB-ToMeasure* is the union set of *SSB-ToMeasure* from all the configured measurement objects merged on the same serving carrier, and,

- not overlapped with the RSSI symbols indicated by *ss-RSSI-Measurement* and 1data symbol before each RSSI symbol indicated by *ss-RSSI-Measurement* and 1 data symbol after each RSSI symbol indicated by *ss-RSSI-Measurement*, given that *ss-RSSI-Measurement* is configured,

- Psharing factor = 3, otherwise.

Where:

- TSSB = ssb-periodicityServingCell

- TSMTCperiod = the configured SMTC period

If the high layer in TS 38.331 [2] signaling of *smtc2* is configured, TSMTCperiod corresponds to the value of higher layer parameter *smtc2*; Otherwise TSMTCperiod corresponds to the value of higher layer parameter *smtc1*. TSMTCperiod is the shortest SMTC period among all CCs in the same FR2 band, provided the SMTC offset of all CCs in FR2 have the same offset.

Longer evaluation period would be expected if the combination of SSB, SMTC occasion and measurement gap configurations does not meet pervious conditions.

For either an FR1 or FR2 serving cell, longer evaluation period would be expected during the period Tidentify\_CGI when the UE is requested to decode an NR CGI.

For either an FR1 or FR2 serving cell, longer L1 RSRP measurement period would be expected during the period Tidentify\_CGI,E-UTRAN when the UE is requested to decode an LTE CGI.

Table 9.5.4.1-1: Measurement period TL1-RSRP\_Measurement\_Period\_SSB for FR1

|  |  |
| --- | --- |
| Configuration | TL1-RSRP\_Measurement\_Period\_SSB (ms) |
| non-DRX | max(TReport, ceil(M\*P)\*TSSB) |
| DRX cycle ≤ 320ms | max(TReport, ceil(K \*M\*P)\*max(TDRX,TSSB)) |
| DRX cycle > 320ms | ceil(M\*P)\*TDRX |
| Note 1: TSSB = ssb-periodicityServingCell is the periodicity of the SSB-Index configured for L1-RSRP measurement. TDRX is the DRX cycle length. TReport is configured periodicity for reporting.  Note 2: K = 1 when TSSB ≤ 40 ms and *highSpeedMeasFlag-r16* are configured; otherwise K = 1.5.  Note 3: When *highSpeedMeasFlag-r16* is configured, the requirements apply only to UE supporting either *measurementEnhancement-r16* or *[intraRAT-MeasurementEnhancement-r16].* | |

Table 9.5.4.1-2: Measurement period TL1-RSRP\_Measurement\_Period\_SSB for FR2

|  |  |
| --- | --- |
| Configuration | TL1-RSRP\_Measurement\_Period\_SSB (ms) |
| non-DRX | max(TReport, ceil(M\*P\*N)\*TSSB) |
| DRX cycle ≤ 320ms | max(TReport, ceil(1.5\*M\*P\*N)\*max(TDRX,TSSB)) |
| DRX cycle > 320ms | ceil(1.5\*M\*P\*N)\*TDRX |
| Note: TSSB = ssb-periodicityServingCell is the periodicity of the SSB-Index configured for L1-RSRP measurement. TDRX is the DRX cycle length. TReport is configured periodicity for reporting. | |

Table 9.5.4.1-3: Measurement period TL1-RSRP\_Measurement\_Period\_SSB configured with [*highSpeedMeasFlagFR2-r17*] for FR2

|  |  |
| --- | --- |
| Configuration | TL1-RSRP\_Measurement\_Period\_SSB (ms) |
| non-DRX | max(TReport, ceil(M\*P\*N1Note2)\*TSSB) |
| DRX cycle ≤ 80ms | max(TReport, ceil(M\*P\*N1Note2\*M2)\*max(TDRX,TSSB)) |
| 80ms< DRX ≤ 320ms | max(TReport, ceil(1.5\*M\*P\*N)\*max(TDRX,TSSB)) |
| DRX cycle > 320ms | ceil(1.5\*M\*P\*N)\*TDRX |
| Note1: TSSB = ssb-periodicityServingCell is the periodicity of the SSB-Index configured for L1-RSRP measurement. TDRX is the DRX cycle length. TReport is configured periodicity for reporting.  Note 2: N1 = 2 when [*highSpeedMeasFlagFR2-r17*] = [set1]; N1 = 6 when [*highSpeedMeasFlagFR2-r17*] = [set2].  Note 3: M2 = 1.5 if SMTC periodicity > 40 ms; otherwise M2 = 1 | |

### 9.5.6 Scheduling availability of UE during L1-RSRP measurement

Scheduling availability restrictions when the UE is performing L1-RSRP measurement are described in the following clauses.

#### 9.5.6.3 Scheduling availability of UE performing L1-RSRP measurement on FR2

The following scheduling restriction applies due to L1-RSRP measurement.

- For the case where RS for L1-RSRP measurement is CSI-RS which is QCLed with active TCI state for PDCCH/PDSCH and not in a CSI-RS resource set with repetition ON, and N=1 applies as specified in clause 9.5.4.2

- There are no scheduling restrictions due to L1-RSRP measurement performed based on the CSI-RS.

- In non-HST scenario, the UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on

- symbols corresponding to the SSB indexes configured for L1-RSRP measurement, and/or

- symbols corresponding to the periodic CSI-RS resource configured for L1-RSRP measurement, and/or

- symbols corresponding to the semi-perssitent CSI-RS resource configured for L1-RSRP measurement when the resource is activated, and/or

- symbols corresponding to the aperiodic CSI-RS resource configured for L1-RSRP measurement when the reporting is triggered.

- In HST scenario, the UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on

- symbols corresponding to the SSB indexes configured for L1-RSRP measurement and 1 data symbol before each consecutive SSB symbols to be measured for L1-RSRP and 1 data symbol after each consecutive SSB symbols to be measured for L1-RSRP, and/or

- symbols corresponding to the periodic CSI-RS resource configured for L1-RSRP measurement and 1 data symbol before each periodic CSI-RS resource to be measured for L1-RSRP and 1 data symbol after each periodic CSI-RS for L1-RSRP measurement symbols to be measured for L1-RSRP, and/or

- symbols corresponding to the semi-persistent CSI-RS resource configured for L1-RSRP measurement and 1 data symbol before each semi-persistent CSI-RS resource to be measured for L1-RSRP and 1 data symbol after each semi-persistent CSI-RS resource to be measured for L1-RSRP when the resource is activated, and/or

- symbols corresponding to the aperiodic CSI-RS resource configured for L1-RSRP measurement and 1 data symbol before each aperiodic CSI-RS resource to be measured for L1-RSRP measurement and 1 data symbol after each aperiodic CSI-RS resource to be measured for L1-RSRP measurement when the reporting is triggered.

When intra-band carrier aggregation in FR2 is performed, the scheduling restrictions on serving cell where L1-RSRP measurement is performed apply to all serving cells in the band on the symbols that fully or partially overlap with restricted symbols.

When inter-band carrier aggregation in FR2 is performed, there are no scheduling restrictions on FR2 serving cells in the bands due to L1-RSRP measurement performed on FR2 serving cell(s) in different band(s), provided that UE is capable of independent beam management on this FR2 band pair. Additionally, there is no scheduling restriction if the UE is configured with different numerology between SSB on one FR2 band and data on the other FR2 band provided the UE is configured for IBM operation for the band pair.

If following conditions are met,

- UE has been notified about system information update through paging,

- The gap between UE’s reception of PDCCH that UE monitors in the Type 2-PDCCH CSS set and that notifies system information update, and the PDCCH that UE monitors in the Type0-PDCCH CSS set, is greater than 2 slots,

For the SSB and CORESET for RMSI scheduling multiplexing patterns 3, UE is expected to receive the PDCCH that UE monitors in the Type0-PDCCH CSS set, and the corresponding PDSCH, on SSB symbols to be measured for L1-RSRP measurement; and

For the SSB and CORESET for RMSI scheduling multiplexing patterns 2, UE is expected to receive PDSCH that corresponds to the PDCCH that UE monitors in the Type0-PDCCH CSS set, on SSB symbols to be measured for L1-RSRP measurement.

< End of Change 9 >

< Start of Change 10 > [R4-2206854]

9.8.6.3 Scheduling availability of UE performing L1-SINR measurement on FR2

The following scheduling restriction applies due to L1-SINR measurement.

- For the cases of CSI-RS used for L1-SINR measurement of CSI-RS based CMR only case and CSI-RS based CMR plus CSI-RS based ZP-IMR/NZP-IMR case and CSI-RS based CMR plus ZP-IMR case, where CSI-RS is QCLed with active TCI state for PDCCH/PDSCH and not in a CSI-RS resource set with repetition ON, and N=1 applies as specified in clause 9.8.4

- There are no scheduling restrictions due to L1-SINR measurement performed based on the CSI-RS.

- Otherwise

- The UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on the CSI-RS for L1-RSRP measurement symbols to be measured for L1-SINR for FR2 power class 6 UE which is not configured with *[highSpeedMeasFlagFR2-r17]*, and for the UE not supporting FR2 power class 6;

- The UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/CSI-RS for tracking/CSI-RS for CQI on the symbols to be measured for L1-SINR, and on 1 data symbol before symbols to be measured for L1-SINR and 1 data symbol after symbols to be measured for L1-SINR for FR2 power class 6 UE configured with *[highSpeedMeasFlagFR2-r17]*.

When network indicate

When intra-band carrier aggregation is performed, the scheduling restrictions on serving cell where L1-SINR measurement is performed apply to all serving cells in the band on the symbols that fully or partially overlap with restricted symbols.

If following conditions are met,

- UE has been notified about system information update through paging,

- The gap between UE’s reception of PDCCH that UE monitors in the Type 2-PDCCH CSS set and that notifies system information update, and the PDCCH that UE monitors in the Type0-PDCCH CSS set, is greater than 2 slots,

for the SSB and CORESET for RMSI scheduling multiplexing patterns 3, UE is expected to receive the PDCCH that UE monitors in the Type0-PDCCH CSS set, and the corresponding PDSCH, on SSB symbols to be measured for L1-SINR measurement; and

for the SSB and CORESET for RMSI scheduling multiplexing patterns 2, UE is expected to receive PDSCH that corresponds to the PDCCH that UE monitors in the Type0-PDCCH CSS set, on SSB symbols to be measured for L1-SINR measurement.

< End of Change 10 >