**3GPP TSG-RAN WG4 Meeting # 102-e R4-2203813**

**Electronic Meeting, February 21 – March 3, 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.101-1** | **CR** |  | **rev** | **-** | **Current version:** | **17.4.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Draft CR to 38.101-1 for adding support NR band n77 with UL-MIMO for PC1.5 UPUE | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Verizon | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_bands\_UL\_MIMO\_PC3\_R17-Core | | | | |  | ***Date:*** | | | 2022-02-21 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | RAN4 approved the contribution R4-2117308 in meeting # 101-e for proposal to support NR band n77/n78 with UL-MIMO for PC1.5 UE in Rel-17. This draftCR is to add the same text changes into this spec based on the proposal. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Update the section 6.2D.1 for UE power class PC1.5 for UL MIMO | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The approved UE power class for UL MIMO will not be supported | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS 38.521-1 | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

## <Start of Changes>

### 6.2D.1 UE maximum output power for UL MIMO

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the maximum output power for any transmission bandwidth within the channel bandwidth is specified in Table 6.2D.1-1. The requirements shall be met with the UL MIMO configurations specified in Table 6.2D.1-2. For UE supporting UL MIMO, the maximum output power is defined as the sum of the maximum output power from both UE antenna connectors. The period of measurement shall be at least one sub frame (1 ms).

The requirements shall be met with the UL MIMO configurations of using 2-layer UL MIMO transmission with codebook of. DCI Format for UE configured in PUSCH transmission mode for uplink single-user MIMO shall be used.

Table 6.2D.1-1: UE Power Class for UL MIMO in closed loop spatial multiplexing scheme

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| NR band | Class 1.5 (dBm) | Tolerance (dB) | Class 2 (dBm) | Tolerance (dB) | Class 3 (dBm) | Tolerance (dB) | Class 4 (dBm) | Tolerance (dB) |
| n1 |  |  |  |  | 23 | +2/-3 |  |  |
| n2 |  |  |  |  | 23 | +2/-31 |  |  |
| n3 |  |  |  |  | 23 | +2/-31 |  |  |
| n7 |  |  |  |  | 23 | +2/-31 |  |  |
| n25 |  |  |  |  | 23 | +2/-31 |  |  |
| n30 |  |  |  |  | 23 | +2/-3 |  |  |
| n34 |  |  | 26 | +2/-3 | 23 | +2/-3 |  |  |
| n38 |  |  |  |  | 23 | +2/-3 |  |  |
| n39 |  |  | 26 | +2/-3 | 23 | +2/-3 |  |  |
| n40 |  |  |  |  | 23 | +2/-3 |  |  |
| n41 | 29 | +2/-31 | 26 | +2/-31 | 23 | +2/-31 |  |  |
| n48 |  |  |  |  | 23 | +2/-3 |  |  |
| n66 |  |  |  |  | 23 | +2/-3 |  |  |
| n70 |  |  |  |  | 23 | +2/-3 |  |  |
| n71 |  |  |  |  | 23 | +2/-3 |  |  |
| n77 | 29 | +2/-3 | 26 | +2/-3 | 23 | +2/-3 |  |  |
| n78 | 29 | +2/-3 | 26 | +2/-3 | 23 | +2/-3 |  |  |
| n79 | 29 | +2/-3 | 26 | +2/-3 | 23 | +2/-3 |  |  |
| n80 |  |  |  |  | 23 | +2/-31 |  |  |
| n84 |  |  |  |  | 23 | +2/-3 |  |  |
| n95 |  |  |  |  | 23 | +2/-3 |  |  |
| n97 |  |  |  |  | 23 | +2/-3 |  |  |
| n98 |  |  |  |  | 23 | +2/-3 |  |  |
| NOTE 1: The transmission bandwidths confined within FUL\_low and FUL\_low + 4 MHz or FUL\_high – 4 MHz and FUL\_high, the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB  NOTE 2: Power class 3 is the default power class unless otherwise stated | | | | | | | | |

Table 6.2D.1-2: UL MIMO configuration in closed-loop spatial multiplexing scheme

|  |  |  |  |
| --- | --- | --- | --- |
| Transmission scheme | DCI format | Number of layers | TPMI index |
| Codebook based uplink | DCI format 0\_1 | 2 | 0 |
| NOTE 1: The UE is configured with one SRS resource with the parameter *nrofSRS-Ports* set to 2. | | | |

For UE support uplink full power transmission (ULFPTx) for UL MIMO, the maximum output power requirements specified in Table 6.2D.1-1 shall be met with the PUSCH configurations specified in Table 6.2D.1-3, based upon UE’s support of uplink full power transmission mode.

Table 6.2D.1-3: PUSCH Configuration for uplink full power transmission (ULFPTx)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| ULFPTx Mode | Transmission scheme | DCI format | Modulation | Number of layers | Number of Tx Port | TPMI index |
| Mode-1 | Codebook based uplink | DCI format 0\_1 | DFT-s-OFDM, CP-OFDM NOTE3 | 1 | 2 | 2 |
| Mode-2 | Codebook based uplink | DCI format 0\_1 | DFT-s-OFDM, CP-OFDM | 1 | 2 | 0 or 1NOTE2 |
| Mode-full power | Codebook based uplink | DCI format 0\_1 | DFT-s-OFDM, CP-OFDM | 1 | 2 | 0,1 |
| NOTE 1: The UE is configured with one SRS resource with the parameter *nrofSRS-Ports* set to 2.  NOTE 2: TPMI index selected shall be based upon the full power TPMI reported by the UE [8, TS 38.213].  NOTE 3: For PUSCH configured with ULFPTxModes set to Mode-1, all the transmitter requirement for CP-OFDM based modulation is not needed to be verified if the requirement for UL MIMO has been validated. | | | | | | |

If UE not indicating Tx diversity [15, TS 38.306] is scheduled for single antenna-port PUSCH transmission by DCI format 0\_0 or by DCI format 0\_1 for single antenna port codebook based transmission, the requirements in clause 6.2.1 apply for the power class as indicated by the *ue-PowerClass* field in capability signalling.

## <End of Changes>