**3GPP TSG-RAN WG4 Meeting #101-e-bis *R4-2200245***

**, 17 – 25 January, 2022**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
|  |
|  | **38.133** | **CR** | draftCR | **rev** |  | **Current version:** | **17.4.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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|  |
| ***Title:***  | CR on NCSG applicability |
|  |  |
| ***Source to WG:*** | Apple |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** | NR\_MG\_enh-Core |  | ***Date:*** | 2022-01-05 |
|  |  |  |  |  |
| ***Category:*** | B |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | RAN4 agreed to introduce NCSG applicability (R4-2120415):

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| --- | --- | --- |
| **Expected impact** | **Detail**  | **New or impacted section** |
| Applicability | The condition where the NCSG requirements applied, e.g., UE capability, network configure, CA/DC scenarios | (new) 9.1.2C |

NCSG applicability related agreements:1. For different types of measurement with NCSG:

Agreements: * NCSG can be used for:
	+ SSB based intra-frequency measurement with gap
	+ SSB based inter-frequency measurement with gap
	+ Inter-RAT E-UTRAN measurement
	+ Measurement on de-activated SCell
* NCSG will NOT be used for:
	+ 2G/3G measurements
	+ PRS measurements
* It is still FFS whether NCSG can be used for:
	+ RRM measurement for dormant SCell.
	+ CSI-RS based inter-frequency measurement
1. Applicable scenarios:

Agreements: * NCSG is feasible in NR SA. Feasibility from requirement perspective in EN-DC, NE-DC and NR-DC is still being discussed in RAN4.
* NCSG is feasible in FR1.
* Feasibility in FR2 is still being discussed in RAN4.
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| ***Summary of change:*** | Introduce NCSG applicability. |
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| ***Consequences if not approved:*** | Applicability of NCSG would still be missing. |
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| ***Clauses affected:*** | 3.3, (new) 9.1.2C |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** | **X** |  |  Test specifications | TS38.533  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

Start of Change 1

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [11] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [11].

AoA Angle of Arrival

AoD Angle of Departure

BFD Beam Failure Detection

BFD-RS BFD Reference Signal

BLER Block Error Rate

BM-RS Beam Management Reference Signal

BWP Bandwidth Part

CA Carrier Aggregation

CBD Candidate Beam Detection

CBW Channel Bandwidth

CC Component Carrier

CCA Clear Channel Assessment

CLI Cross Link Interference

CMR Channel Measurement Resource

CORESET Control Resource Set

CP Cyclic Prefix

CSI Channel-State Information

CSI-RS CSI Reference Signal

CSI-RSRP CSI Reference Signal based Reference Signal Received Power

CSI-RSRQ CSI Reference Signal based Reference Signal Received Quality

CSI-SINR CSI Reference Signal based Signal to Noise and Interference Ratio

CSI\_RP Received (linear) average power of the resource elements that carry NR CSI-RS signals and channels, measured at the UE antenna connector

DBT Discovery Burst Transmission

DC Dual Connectivity

DCI Downlink Control Information

DL Downlink

DL-AoD Downlink Angle-of-Departure

DL-TDOA Downlink Time Difference Of Arrival

DMRS Demodulation Reference Signal

DRX Discontinuous Reception

E-CID Enhanced Cell ID

E-UTRA Evolved UTRA

E-UTRAN Evolved UTRAN

EN-DC E-UTRA-NR Dual Connectivity

FDD Frequency Division Duplex

FR Frequency Range

HARQ Hybrid Automatic Repeat Request

HO Handover

IMR Interference Measurement Resource

L1-RSRP Layer 1 RSRP

L1 SL-RSRP Layer 1 Sidelink RSRP which corresponds to PSCCH-RSRP and/or PSSCH-RSRP

LMF Location Management Function

LPP LTE Positioning Protocol

MAC Medium Access Control

MCG Master Cell Group

MDT Minimization of Drive Tests

MG Measurement Gap

MGL Measurement Gap Length

MGRP Measurement Gap Repetition Period

MIB Master Information Block

MN Master Node

MR-DC Multi-Radio Dual Connectivity

NCSG Network Controlled Small Gap

NE-DC NR-E-UTRA Dual Connectivity

NGEN-DC NG-RAN E-UTRA-NR Dual Connectivity

NR New Radio

NR-DC NR-NR Dual Connectivity

OFDM Orthogonal Frequency Division Multiplexing

OFDMA Orthogonal Frequency Division Multiple Access

OTDOA Observed Time Difference Of Arrival

PBCH Physical Broadcast Channel

PCC Primary Component Carrier

PCell Primary Cell

PDCCH Physical Downlink Control Channel

PDSCH Physical Downlink Shared Channel

PLMN Public Land Mobile Network

PRACH Physical RACH

PRP PRS Received Power

PRS Positioning Reference Signal

PRS-RSRP Positioning Reference Signal based Reference Signal Received Power

PSBCH Physical Sidelink Broadcast Channel

PSBCH-RSRP Physical Sidelink Broadcast Channel DMRS based Reference Signal Received Power

PSCCH Physical Sidelink Control Channel

PSCCH-RSRP Physical Sidelink Control Channel DMRS based Reference Signal Received Power

PSCell Primary SCell

PSS Primary Synchronization Signal PSS Primary Synchronization Signal

PSSCH Physical Sidelink Shared Channel

PSSCH-RSRP Physical Sidelink Shared Channel DMRS based Reference Signal Received Power

pTAG Primary Timing Advance Group

PUCCH Physical Uplink Control Channel

PUSCH Physical Uplink Shared Channel

QCL Quasi Co-Location

RACH Random Access Channel

RAT Radio Access Technology

RLM Radio Link Monitoring

RLM-RS Reference Signal for RLM

RMSI Remaining Minimum System Information

RRC Radio Resource Control

RRM Radio Resource Management

RSSI Received Signal Strength Indicator

RSRP Reference Signal Received Power

RSRQ Reference Signal Received Quality

RSTD Reference Signal Time Difference

RTT Round Trip Time

S-SSB Sidelink Synchronization Signal Block

S-SSB\_RP Received (linear) average power of the resource elements that carry NR S-SSB signals and channels, measured at the UE antenna connector

SA Standalone operation mode

SCC Secondary Component Carrier

SCell Secondary Cell

SCG Secondary Cell Group

SCS Subcarrier Spacing

SCSSSB SSB subcarrier spacing

SDL Supplementary Downlink

SFN System Frame Number

SFTD SFN and Frame Timing DifferenceSI System Information

SIB System Information Block

SL-RSSI Sidelink Received Signal Strength Indicator

SLSS Sidelink Synchronization Signal

SMTC SSB-based Measurement Timing configuration

SpCell Special Cell

SRS Sounding Reference Signal

SRS-RSRP Sounding Reference Signal based Reference Signal Received Power

SS-RSRP Synchronization Signal based Reference Signal Received Power

SS-RSRQ Synchronization Signal based Reference Signal Received Quality

SS-SINR Synchronization Signal based Signal to Noise and Interference Ratio

SSB Synchronization Signal Block

SSB\_RP Received (linear) average power of the resource elements that carry NR SSB signals and channels, measured at the UE antenna connector.

SSS Secondary Synchronization Signal

sTAG Secondary Timing Advance Group

SUL Supplementary Uplink

TA Timing Advance

TAG Timing Advance Group

TCI Transmission Configuration Indicator

TDD Time Division Duplex

TDOA Time Difference Of Arrival

TRP Transmission-Reception Point

TTI Transmission Time Interval

UE User Equipment

UL Uplink

End of Change 1

Start of Change 2

### 9.1.2C Network controlled small gap

#### 9.1.2C.1 Requirements applicability

Requirements in clause 9.1.2C apply for UE capable of NCSG in standalone NR in both FR1 and FR2 (including FR1+FR2 CA), provided UE is configured with only NCSG and no other measurement gap is configured, and UE is configured with

* SSB based intra-frequency measurement (including measurement on de-activated SCC), and/or
* SSB based inter-frequency measurement, and/or
* Inter-RAT E-UTRAN measurement.

Requirements in clause 9.1.2C do not apply if UE is configured with

* Inter-RAT GSM measurement, or
* Inter-RAT UTRAN measurement, or
* PRS measurement.

Editor’s note: FFS the applicability for RRM measurement for dormant Scell

End of Change 2