**3GPP TSG-RAN4 Meeting #101-bis-e *R4-2201626***

**Electronic Meeting, 17 – 25 January, 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.133** | **CR** | **-** | **rev** | **-** | **Current version:** | **17.4.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | CR on use cases and CSSF for NCSG | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_MG\_enh-Core | | | | |  | ***Date:*** | | | 2022-01-05 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | Based on WF R4-2120415, the uses cases and CSSF for NCSG need to be defined. | | | | | | | | |
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| ***Summary of change:*** | | Define uses cases and CSSF for NCSG. | | | | | | | | |
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| ***Consequences if not approved:*** | | It is unclear which measurements are applicable for NCSG. | | | | | | | | |
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| ***Clauses affected:*** | | 9.1.5.3 (new) | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

<Start of Change 1>

#### 9.1.5.3 Monitoring of multiple layers within NCSG

The carrier-specific scaling factor CSSFwithin\_ncsg,i for a measurement object *i* derived in this clause is applied to following measurement types:

- SSB-based intra-frequency measurement object corresponding to an activated serving cell, when the measurement can be performed with no measurement gap or NCSG as defined in clause [*TBD*] and all of the SMTC occasions of this intra-frequency measurement object are overlapped by the NCSG;

- SSB-based intra-frequency measurement object corresponding to an activated serving cell, when the measurement can be performed with no measurement gap but NCSG as defined in clause [*TBD*];

- SSB-based intra-frequency measurement object corresponding to a deactivated serving cell, when the measurement can be performed with no measurement gap but NCSG as defined in clause [*TBD*] and all or part of the SMTC occasions of this intra-frequency measurement object are overlapped by the NCSG;

- SSB-based inter-frequency measurement object, when the measurement can be performed with no measurement gap or NCSG as defined in clause [*TBD*] and all of the SMTC occasions of this inter-frequency measurement object are overlapped by the NCSG;

- SSB-based inter-frequency measurement object, when the measurement can be performed with no measurement gap but NCSG as defined in clause [*TBD*];

- E-UTRA inter-RAT measurement object, when the measurement can be performed with no measurement gap but NCSG as defined in clause [*TBD*];

*Editor’s Note: FFS for CSI-RS based inter-frequency measurement.*

*Editor’s Note: FFS for RRM measurement for SCell in dormancy.*

*Editor’s Note: FFS for NR SSB-based Inter-RAT measurement configured by E-UTRAN PCell when UE is in EN-DC.*

*Editor’s Note: FFS for E-UTRAN Inter-frequency measurement configured by E-UTRAN PCell when UE is in EN-DC or by E-UTRA PSCell when UE is in NE-DC.*

UE is expected to conduct the measurement of this measurement object *i* only within the NCSG.

If the higher layer signaling in TS 38.331 [2] of *smtc2* is present for an intra-frequency measurement object, and *smtc1* is fully overlapping with NCSG and *smtc2* is partially overlapping with NCSG, requirements derived from CSSFwithin\_ncsg,i and CSSFoutside\_gap,i are not applicable.

##### 9.1.5.3.1 SA mode: carrier-specific scaling factor for measurements performed within NCSG

When one or more measurement objects are monitored within NCSG, the carrier specific scaling factor for a target measurement object with index *i* is designated as CSSFwithin\_ncsg,i and is derived as described in this clause.

For each NCSG occasion *j*, count the total number of intra-frequency measurement objects and inter-frequency/inter-RAT measurement objects which are candidates to be measured within the occaison *j*.

- An NR measurement object with SSB measurement configured is a candidate to be measured in an NCSG occasion if its SMTC duration is fully covered by the ML. For intra-frequency NR measurement objects, if the higher layer in TS 38.331 [2] signaling of *smtc2* is configured, the assumed periodicity of SMTC occasions corresponds to the value of higher layer parameter *smtc2*; otherwise the assumed periodicity of SMTC occasions corresponds to the value of higher layer parameter *smtc1*.

- An inter-RAT E-UTRA measurement object configured is a candidate to be measured in all NCSG occasions.

- Mintra,i,j: Number of intra-frequency measurement objects which are candidates to be measured in NCSG occasion *j* where the measurement object *i* is also a candidate. Otherwise Mintra,i,j equals 0.

- Minter,i,j : Number of NR inter-frequency measurement objects and E-UTRA inter-RAT measurement objects which are candidates to be measured in NCSG occasion *j* where the measurement object *i* is also a candidate. Otherwise Minter,i,j equals 0.

- Mtot,i,j = Mintra,i,j + Minter,i,j : Total number of intra-frequency, inter-frequency and inter-RAT measurement objects which are candidates to be measured in NCSG occasion *j* where the measurement object *i* is also a candidate. Otherwise Mtot,i,j equals 0.

For UEs which support and are configured with per FR NCSG, the above counting is done on a per FR basis, and for UEs which are configured with per UE NCSG the counting is done on a per UE basis.

The carrier specific scaling factor CSSFwithin\_gap,i is given by:

If *measGapSharingScheme* is equal sharing, CSSFwithin\_ncsg,i= max(Mtot,i,j), where *j*=0…(160/VIRP)-1

If *measGapSharingScheme* is not equal sharing and

- measurement object *i* is an intra-frequency measurement object, CSSFwithin\_ncsg,i is the maximum among

- ceil(Kintra×Mintra,i,j) in NCSG occasions where Minter,i,j≠0, where *j*=0…(160/VIRP)-1

- Mintra,i,j in NCSG occasions where Minter,i,j=0, where *j*=0…(160/VIRP)-1

- measurement object *i* is an inter-frequency or inter-RAT measurement object, CSSFwithin\_ncsg,i is the maximum among

- ceil(Kinter×Minter,i,j) in NCSG occasions where Mintra,i,j ≠0, where *j*=0…(160/VIRP)-1

- Minter,i,j in NCSG occasions where Mintra,i,j=0, where *j*=0…(160/VIRP)-1

<End of Change 1>