3GPP TSG-RAN WG3#121 　　　　　　　　　　　　　　　R3-23xxxx (was 3870)

Toulouse, France, 21 – 25th August, 2023

**Agenda Item: 14.2**

**Source: NEC**

**Title: (TP to TS 38.473 on LTM) co-existence between LTM and L3 mobility**

**Document for: decision**

# **Introduction**

This contribution propose a TP related with the co-existence between LTM and L3 mobility, when crossing of the LTM related signalling (DU->CU) and L3 handover related signalling (CU->DU), to give a procedure description in the F1AP.

Also to introduce a new cause value.

**(TP to TS38.473 on LTM)**

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### 8.3.4 UE Context Modification (gNB-CU initiated)

#### 8.3.4.1 General

The purpose of the UE Context Modification procedure is to modify the established UE Context, e.g., establishing, modifying and releasing radio resources or sidelink resources. This procedure is also used to command the gNB-DU to stop data transmission for the UE for mobility (see TS 38.401 [4]). The procedure uses UE-associated signalling.

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#### 8.3.4.3 Unsuccessful Operation



Figure 8.3.4.3-1: UE Context Modification procedure. Unsuccessful operation

In case none of the requested modifications of the UE context can be successfully performed, the gNB-DU shall respond with the UE CONTEXT MODIFICATION FAILURE message with an appropriate cause value. If the *Conditional Intra-DU Mobility Information* IE was included in the UE CONTEXT MODIFICATION REQUEST message and set to "CHO-initiation", the gNB-DU shall include the received *SpCell ID* IE as the *Requested Target Cell ID* IE in the UE CONTEXT MODIFICATION FAILURE message.

If the gNB-DU is not able to accept the *SpCell ID* IE in UE CONTEXT MODIFICATION REQUEST message, it shall reply with the UE CONTEXT MODIFICATION FAILURE message.

If the *Conditional Intra-DU Mobility Information* IE was included and set to "CHO-initiation" or "CHO-replace" but the *SpCell ID* IE was not included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall respond with the UE CONTEXT MODIFICATION FAILURE message with an appropriate cause value.

If the gNB-DU is not able to accept the UE CONTEXT MODIFICATION REQUEST message because LTM command to the UE has been triggered, it shall reply with the UE CONTEXT MODIFICATION FAIILURE message with a cause value “LTM command triggered”.

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#### 9.3.1.2 Cause

The purpose of the *Cause* IE is to indicate the reason for a particular event for the F1AP protocol.

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| --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE Type and Reference** | **Semantics Description** |
| CHOICE *Cause Group* | M |  |  |  |
| >*Radio Network Layer* |  |  |  |  |
| >>Radio Network Layer Cause  | M |  | ENUMERATED(Unspecified, RL failure-RLC, Unknown or already allocated gNB-CU UE F1AP ID, Unknown or already allocated gNB-DU UE F1AP ID, Unknown or inconsistent pair of UE F1AP ID, Interaction with other procedure, Not supported QCI Value, Action Desirable for Radio Reasons, No Radio Resources Available, Procedure cancelled, Normal Release, ..., Cell not available, RL failure-others, UE rejection, Resources not available for the slice(s), AMF initiated abnormal release, Release due to Pre-Emption, PLMN not served by the gNB-CU, Multiple DRB ID Instances, Unknown DRB ID, Multiple BH RLC CH ID Instances, Unknown BH RLC CH ID, CHO-CPC resources to be changed, NPN not supported, NPN access denied, gNB-CU Cell Capacity Exceeded, Report Characteristics Empty, Existing Measurement ID, Measurement Temporarily not Available, Measurement not Supported For The Object, Unknown BAP address, Unknown BAP routing ID, Insufficient UE Capabilities, SCG activation deactivation failure, SCG deactivation failure due to data transmission, Requested Item not Supported on Time, Unknown or already allocated gNB-CU MBS F1AP ID, Unknown or already allocated gNB-DU MBS F1AP ID, Unknown or inconsistent pair of MBS F1AP ID, Unknown or inconsistent MRB ID, TAT-SDT expiry, LTM command triggered) |  |
| *>Transport Layer* |  |  |  |  |
| >>Transport Layer Cause | M |  | ENUMERATED(Unspecified, Transport Resource Unavailable, ... , Unknown TNL address for IAB, Unknown UP TNL information for IAB) |  |
| *>Protocol* |  |  |  |  |
| >>Protocol Cause | M |  | ENUMERATED(Transfer Syntax Error,Abstract Syntax Error (Reject),Abstract Syntax Error (Ignore and Notify),Message not Compatible with Receiver State,Semantic Error,Abstract Syntax Error (Falsely Constructed Message), Unspecified, ...) |  |
| *>Misc* |  |  |  |  |
| >>Miscellaneous Cause | M |  | ENUMERATED(Control Processing Overload, Not enough User Plane Processing Resources,Hardware Failure,O&M Intervention,Unspecified, ...) |  |

The meaning of the different cause values is described in the following table. In general, "not supported" cause values indicate that the related capability is missing. On the other hand, "not available" cause values indicate that the related capability is present, but insufficient resources were available to perform the requested action.

|  |  |
| --- | --- |
| Radio Network Layer cause | Meaning |
| Unspecified | Sent for radio network layer cause when none of the specified cause values applies. |
| RL Failure-RLC | The action is due to an RL failure caused by exceeding the maximum number of ARQ retransmissions. |
| Unknown or already allocated gNB-CU UE F1AP ID | The action failed because the gNB-CU UE F1AP ID is either unknown, or (for a first message received at the gNB-CU) is known and already allocated to an existing context. |
| Unknown or already allocated gNB-DU UE F1AP ID | The action failed because the gNB-DU UE F1AP ID is either unknown, or (for a first message received at the gNB-DU) is known and already allocated to an existing context. |
| Unknown or inconsistent pair of UE F1AP ID | The action failed because both UE F1AP IDs are unknown, or are known but do not define a single UE context. |
| Interaction with other procedure | The action is due to an ongoing interaction with another procedure. |
| Not supported QCI Value | The action failed because the requested QCI is not supported. |
| Action Desirable for Radio Reasons | The reason for requesting the action is radio related. |
| No Radio Resources Available | The cell(s) in the requested node don’t have sufficient radio resources available. |
| Procedure cancelled | The sending node cancelled the procedure due to other urgent actions to be performed. |
| Normal Release | The action is due to a normal release of the UE (e.g. because of mobility) and does not indicate an error. |
| Cell Not Available | The action failed due to no cell available in the requested node. |
| RL Failure-others | The action is due to an RL failure caused by other radio link failures than exceeding the maximum number of ARQ retransmissions. |
| UE rejection | The action is due to gNB-CU’s rejection of a UE access request. |
| Resources not available for the slice(s) | The requested resources are not available for the slice(s). |
| AMF initiated abnormal release | The release is triggered by an error in the AMF or in the NAS layer. |
| Release due to Pre-Emption | Release is initiated due to pre-emption. |
| PLMN not served by the gNB-CU | The PLMN indicated by the UE is not served by the gNB-CU. |
| Multiple DRB ID Instances | The action failed because multiple instances of the same DRB had been provided. |
| Unknown DRB ID | The action failed because the DRB ID is unknow. |
| Multiple BH RLC CH ID Instances | The action failed because multiple instances of the same BH RLC CH ID had been provided. This cause value is only applicable to IAB. |
| Unknown BH RLC CH ID | The action failed because the BH RLC CH ID is unknown. This cause value is only applicable to IAB. |
| CHO-CPC resources to be changed | The gNB-DU requires gNB-CU to replace, i.e. overwrite the configuration of indicated candidate target cell. |
| NPN not supported | The action fails because the indicated SNPN is not supported in the node. |
| NPN access denied | The action is due to rejection of a UE access request for NPN. |
| gNB-CU Cell Capacity Exceeded | The number of cells requested to be added was exceeding maximum cell capacity in the gNB-CU. |
| Report Characteristics Empty | The action failed because there is no measurement object in the report characteristics. |
| Existing Measurement ID | The action failed because the measurement ID is already used. |
| Measurement Temporarily not Available | The gNB-DU can temporarily not provide the requested measurement object. |
| Measurement not Supported For The Object | At least one of the concerned object(s) does not support the requested measurement. |
| Unknown BAP address | The action failed because the BAP address is unknown. This cause value is only applicable to IAB. |
| Unknown BAP routing ID | The action failed because the BAP routing ID is unknown. This cause value is only applicable to IAB. |
| Insufficient UE Capabilities | The setup can’t proceed due to insufficient UE capabilities. |
| SCG activation deactivation failure | The action failed due to rejection of the SCG activation deactivation request. |
| SCG deactivation failure due to data transmission | The SCG deactivation failure due to ongoing or arriving data transmission. |
| Requested Item not Supported on Time | The gNB-DU is unable to provide the measurement results on time. |
| Unknown or already allocated gNB-CU MBS F1AP ID | The action failed because the gNB-CU MBS F1AP ID is either unknown, or (for a first message received at the gNB-CU) is known and already allocated to an existing context. |
| Unknown or already allocated gNB-DU MBS F1AP ID | The action failed because the gNB-DU MBS F1AP ID is either unknown, or (for a first message received at the gNB-DU) is known and already allocated to an existing context. |
| Unknown or inconsistent pair of MBS F1AP ID | The action failed because both MBS F1AP IDs are unknown, or are known but do not define a single MBS context. |
| Unknown or inconsistent MRB ID | The action failed because the MRB ID is unknown or inconsistent. |
| TAT-SDT expiry | The UE context release is requested from the gNB-DU due to the expiry of the Timing Alignment timer for CG-SDT. |
| LTM command triggered | The action failed because the LTM command has been triggered. |

|  |  |
| --- | --- |
| Transport Layer cause | Meaning |
| Unspecified | Sent when none of the above cause values applies but still the cause is Transport Network Layer related. |
| Transport Resource Unavailable | The required transport resources are not available. |
| Unknown TNL address for IAB | The action failed because the TNL address is unknown. This cause value is only applicable to IAB. |
| Unknown UP TNL information for IAB | The action failed because the UP TNL information is unknown. This cause value is only applicable to IAB. |

|  |  |
| --- | --- |
| **Protocol cause** | **Meaning** |
| Transfer Syntax Error | The received message included a transfer syntax error. |
| Abstract Syntax Error (Reject) | The received message included an abstract syntax error and the concerning criticality indicated "reject". |
| Abstract Syntax Error (Ignore And Notify) | The received message included an abstract syntax error and the concerning criticality indicated "ignore and notify". |
| Message Not Compatible With Receiver State | The received message was not compatible with the receiver state. |
| Semantic Error | The received message included a semantic error. |
| Abstract Syntax Error (Falsely Constructed Message) | The received message contained IEs or IE groups in wrong order or with too many occurrences. |
| Unspecified | Sent when none of the above cause values applies but still the cause is Protocol related. |

| **Miscellaneous cause** | **Meaning** |
| --- | --- |
| Control Processing Overload | Control processing overload. |
| Not EnoughUser Plane Processing Resources Available | No enough resources are available related to user plane processing. |
| Hardware Failure | Action related to hardware failure. |
| O&M Intervention | The action is due to O&M intervention. |
| Unspecified Failure | Sent when none of the above cause values applies and the cause is not related to any of the categories Radio Network Layer, Transport Network Layer or Protocol. |

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Cause ::= CHOICE {

 radioNetwork CauseRadioNetwork,

 transport CauseTransport,

 protocol CauseProtocol,

 misc CauseMisc,

 choice-extension ProtocolIE-SingleContainer { { Cause-ExtIEs} }

}

Cause-ExtIEs F1AP-PROTOCOL-IES ::= {

 ...

}

CauseMisc ::= ENUMERATED {

 control-processing-overload,

 not-enough-user-plane-processing-resources,

 hardware-failure,

 om-intervention,

 unspecified,

 ...

}

CauseProtocol ::= ENUMERATED {

 transfer-syntax-error,

 abstract-syntax-error-reject,

 abstract-syntax-error-ignore-and-notify,

 message-not-compatible-with-receiver-state,

 semantic-error,

 abstract-syntax-error-falsely-constructed-message,

 unspecified,

 ...

}

CauseRadioNetwork ::= ENUMERATED {

 unspecified,

 rl-failure-rlc,

 unknown-or-already-allocated-gnb-cu-ue-f1ap-id,

 unknown-or-already-allocated-gnb-du-ue-f1ap-id,

 unknown-or-inconsistent-pair-of-ue-f1ap-id,

 interaction-with-other-procedure,

 not-supported-qci-Value,

 action-desirable-for-radio-reasons,

 no-radio-resources-available,

 procedure-cancelled,

 normal-release,

 ...,

 cell-not-available,

 rl-failure-others,

 ue-rejection,

 resources-not-available-for-the-slice,

 amf-initiated-abnormal-release,

 release-due-to-pre-emption,

 plmn-not-served-by-the-gNB-CU,

 multiple-drb-id-instances,

 unknown-drb-id,

 multiple-bh-rlc-ch-id-instances,

 unknown-bh-rlc-ch-id,

 cho-cpc-resources-tobechanged,

 nPN-not-supported,

 nPN-access-denied,

 gNB-CU-Cell-Capacity-Exceeded,

 report-characteristics-empty,

 existing-measurement-ID,

 measurement-temporarily-not-available,

 measurement-not-supported-for-the-object,

 unknown-bh-address,

 unknown-bap-routing-id,

 insufficient-ue-capabilities,

 scg-activation-deactivation-failure,

 scg-deactivation-failure-due-to-data-transmission,

 requested-item-not-supported-on-time,

 unknown-or-already-allocated-gNB-CU-MBS-F1AP-ID,

 unknown-or-already-allocated-gNB-DU-MBS-F1AP-ID,

 unknown-or-inconsistent-pair-of-MBS-F1AP-ID,

 unknown-or-inconsistent-MRB-ID,

 tat-sdt-expiry,

 lTM-command-triggered

}

CauseTransport ::= ENUMERATED {

 unspecified,

 transport-resource-unavailable,

 ...,

 unknown-TNL-address-for-IAB,

 unknown-UP-TNL-information-for-IAB

}

**--------------------------------------------------------- the end of change -------------------------------------------------------------**