**3GPP TSG-RAN WG3 Meeting #119bis-eR3-231728**

**Electronic meeting, 17 Apr – 26 Apr, 2023**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.423** | **CR** | 1034 | **rev** | **1** | **Current version:** | **16.13.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | Correction on the Area Scope IE in MDT Configuration | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, CMCC, China Unicom | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_SON\_MDT-Core | | | | |  | ***Date:*** | | | 2023-04-01 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | The present of Area Scope IE in MDT Configuration NR and MDT Configuration EUTRA is optional. However, the procedural text for the receiver node behaviour when the IE is not present is missing. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | To add procedural text for the area scope IE to specify the receiver node behaviour when the IE is not present.  Impact Analysis:  Impact assessment towards the previous version of the specification (same release):  This CR has isolated impact with the previous version of the specification (same release).  This CR has an impact under protocol & functional point of view.  The impact can be considered isolated. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Ambiguity exits in specification. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 8.2.1.2, 8.2.4.2, 8.3.1.2, 8.3.14.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*Start of changes\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/

### 8.2.1 Handover Preparation

#### 8.2.1.1 General

This procedure is used to establish necessary resources in an NG-RAN node for an incoming handover. If the procedure concerns a conditional handover, parallel transactions are allowed. Possible parallel requests are identified by the target cell ID when the source UE AP IDs are the same.

The procedure uses UE-associated signalling.

#### 8.2.1.2 Successful Operation



Figure 8.2.1.2-1: Handover Preparation, successful operation

The source NG-RAN node initiates the procedure by sending the HANDOVER REQUEST message to the target NG-RAN node. When the source NG-RAN node sends the HANDOVER REQUEST message, it shall start the timer TXnRELOCprep.

<Unchanged part omitted>

Upon reception of *UE History Information* IE in the HANDOVER REQUEST message, the target NG-RAN node shall collect the information defined as mandatory in the *UE History Information* IE and shall, if supported, collect the information defined as optional in the *UE History Information* IE, for as long as the UE stays in one of its cells, and store the collected information to be used for future handover preparations.

If the *Trace Activation* IE is included in the HANDOVER REQUEST message which includes

- the *MDT Activation* IE set to "Immediate MDT and Trace", then the target NG-RAN node shall if supported, initiate the requested trace session and MDT session as described in TS 32.422 [23].

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", the target NG-RAN node shall, if supported, initiate the requested MDT session as described in TS 32.422 [23] and the target NG-RAN node shall ignore the *Interfaces To Trace* IE, and the *Trace Depth* IE.

- the *MDT Location Information* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, store this information and take it into account in the requested MDT session.

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", and if the *Signalling based MDT PLMN List* IE is included in the *MDT Configuration* IE, the target NG-RAN node may use it to propagate the MDT Configuration as described in TS 37.320 [43].

- the *Bluetooth Measurement Configuration* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *WLAN Measurement Configuration* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *Sensor Measurement Configuration* IE, within the *MDT Configuration* IE, the target NG-RAN node shall take it into account for MDT Configuration as described in TS 37.320 [43].

- the *MDT Configuration* IE and if the target NG-RAN node is a gNB at least *the* *MDT Configuration-NR* IE shall be present, while if the target NG-RAN node is an ng-eNB at least the *MDT Configuration-EUTRA* IE shall be present. If the target NG-RAN node is a gNB receiving a *MDT Configuration-EUTRA* IE, or the target NG-RAN node is a ng-eNB receiving a *MDT Configuration-NR* IE, the target NG-RAN node shall store it as part of the UE context, and propagate it at the next Xn handover as described in TS 37.320 [43].

If the *Area Scope* IE is not present in the *MDT Configuration* IE, the target NG-RAN node shall consider that the *MDT configuration* is applied to the whole serving PLMN of the UE, as described in TS 32.422 [23].

If the *Management Based MDT PLMN List* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store the received information in the UE context, and use this information to allow subsequent selection of the UE for management based MDT defined in TS 32.422 [23].

If the HANDOVER REQUEST message includes the *Management Based MDT PLMN List* IE, the target NG-RAN node shall take it into account if it includes information regarding the PLMN serving the UE in the target NG-RAN node.

If the *Mobility Information* IE is provided in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information. The target NG-RAN shall, if supported, store the C-RNTI assigned at the source cell as received in the HANDOVER REQUEST message.

Upon reception of the *UE History Information from the UE* IE in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store the collected information and use it for future handover preparations.

For each QoS flow which has been successfully established in the target NG-RAN node, if the *QoS Monitoring Request* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the HANDOVER REQUEST message, the target NG-RAN node shall store this information, and, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [7]. If the *QoS Monitoring Reporting Frequency* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the HANDOVER REQUEST message, the target NG-RAN node shall store this information, and, if supported, use it for RAN part delay reporting.

If the *5GC Mobility Restriction List Container* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information in the UE context and use it as specified in TS 38.300 [9].

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*Next change\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/

### 8.2.4 Retrieve UE Context

#### 8.2.4.1 General

The purpose of the Retrieve UE Context procedure is to either retrieve the UE context from the old NG-RAN node and transfer it to the NG-RAN node where the UE RRC Connection has been requested to be established, or to enable the old NG-RAN node to forward an RRC message to the UE via the new NG-RAN node without context transfer.

The procedure uses UE-associated signalling.

#### 8.2.4.2 Successful Operation



Figure 8.2.4.2-1: Retrieve UE Context, successful operation

<Unchanged part omitted>

If the *Trace Activation* IE is included in the RETRIEVE UE CONTEXT RESPONSE message which includes

- the *MDT Activation* IE set to "Immediate MDT and Trace", then the target NG-RAN node shall if supported, initiate the requested trace session and MDT session as described in TS 32.422 [23].

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", the target NG-RAN node shall, if supported, initiate the requested MDT session as described in TS 32.422 [23] and the target NG-RAN node shall ignore the *Interfaces To Trace* IE, and the *Trace Depth* IE.

- the *MDT Location Information* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, store this information and take it into account in the requested MDT session.

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", and if the *Signalling based MDT PLMN List* IE is included in the *MDT Configuration* IE, the target NG-RAN node may use it to propagate the MDT Configuration as described in TS 37.320 [43].

- the *Bluetooth Measurement Configuration* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *WLAN Measurement Configuration* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *Sensor Measurement Configuration* IE, within the *MDT Configuration* IE, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *MDT Configuration* IE and if the target NG-RAN Node is a gNB at least *the MDT Configuration-NR* IE shall be present, while if the target NG-RAN Node is an ng-eNB at least the *MDT Configuration-EUTRA* IE shall be present.

If the *Area Scope* IE is not present in the *MDT Configuration* IE, the new NG-RAN node shall consider that the *MDT configuration* is applied to the whole serving PLMN of the UE, as described in TS 32.422 [23].

For each QoS flow in the RETRIEVE UE CONTEXT RESPONSE message, if the *QoS Monitoring Request* IE is included in the *QoS Flow Level QoS Parameters* IE in the *PDU Session Resources To Be Setup List* IE, the new NG-RAN node shall store this information, and, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [7]. If the *QoS Monitoring Reporting Frequency* IE is included in the *QoS Flow Level QoS Parameters* IE in the *PDU Session Resources To Be Setup List* IE, the new NG-RAN node shall store this information, and, if supported, use it for RAN part delay reporting.

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*Next change\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/

### 8.3.1 S-NG-RAN node Addition Preparation

#### 8.3.1.1 General

The purpose of the S-NG-RAN node Addition Preparation procedure is to request the S-NG-RAN node to allocate resources for dual connectivity operation for a specific UE.

The procedure uses UE-associated signalling.

#### 8.3.1.2 Successful Operation



Figure 8.3.1.2-1: S-NG-RAN node Addition Preparation, successful operation

<Unchanged part omitted>

If *Trace Activation* IE has previously been received for this UE, it shall be included in the S-NODE ADDITION REQUEST message. If the *Trace Activation* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, initiate the requested trace function as described in TS 32.422 [23].

If the *Area Scope* IE is not present in the *MDT Configuration* IE, the S-NG-RAN node shall consider that the *MDT configuration* is applied to the whole serving PLMN of the UE, as described in TS 32.422 [23].

If the *Requested Fast MCG recovery via SRB3* IE set to "true" is included in the S-NODE ADDITION REQUEST message and the S-NG-RAN node decides to configure fast MCG link recovery via SRB3 as specified in TS 37.340 [8], the S-NG-RAN shall, if supported, include the *Available fast MCG recovery via SRB3* IE set to "true" in the S-NODE ADDITION REQUEST ACKNOWLEDGE message.

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*Next change\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/

### 8.3.14 Trace Start

#### 8.3.14.1 General

The purpose of the Trace Start procedure is to allow the M-NG-RAN node to request the S-NG-RAN node to initiate a trace session for a UE. The procedure uses UE-associated signalling.

#### 8.3.14.2 Successful Operation



Figure 8.3.14.2-1: Trace Start, successful operation

The Trace Start procedure is initiated by the M-NG-RAN sending the TRACE START message to the S-NG-RAN for that specific UE. Upon reception of the TRACE START message, the S-NG-RAN shall initiate the requested trace session as described in TS 32.422 [23].

If the *Trace Activation* IE includes

- the *MDT Activation* IE set to "Immediate MDT and Trace", and if the S-NG-RAN node is a gNB, it shall, if supported, initiate the requested trace session and MDT session as described in TS 32.422[23].

- the *MDT Activation* IE set to "Immediate MDT Only"or "Logged MDT only", and if the S-NG-RAN node is a gNB, it shall, if supported, initiate the requested MDT session as described in TS 32.422[23] and the S-NG-RAN node shall ignore the *Interfaces To Trace* IE and the *Trace Depth* IE.

- the *MDT Location Information* IE, within the *MDT Configuration* IE, and if the S-NG-RAN node is a gNB, it shall, if supported, store this information and take it into account in the requested MDT session.

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", and if the *Signalling based MDT PLMN List* IE is included in the *MDT Configuration* IE, and if the S-NG-RAN node is gNB, it may use it to propagate the MDT Configuration as described in TS 37.320 [43].

- the *Bluetooth Measurement Configuration* IE, within the *MDT Configuration* IE, and if the S-NG-RAN node is a gNB, it shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *WLAN Measurement Configuration* IE, within the *MDT Configuration* IE, and if the S-NG-RAN node is a gNB, it shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *Sensor Measurement Configuration* IE, within the *MDT Configuration* IE, the S-NG-RAN node shall take it into account for MDT Configuration as described in TS 37.320 [43].

- the *MDT Configuration* IE, and if the S-NG-RAN Node is a gNB at least *the MDT Configuration-NR* IE shall be present, while if the S-NG-RAN Node is an ng-eNB at least the *MDT Configuration-EUTRA* IE shall be present.

If the *Area Scope* IE is not present in the *MDT Configuration* IE, the S-NG-RAN node shall consider that the *MDT configuration* is applied to the whole serving PLMN of the UE, as described in TS 32.422 [23].

/\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*End of changes\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*/