**3GPP TSG-RAN WG3 Meeting #116-eR3-223764**

**Online,9th May – 19th May 2022**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.423** | **CR** | **0811** | **rev** | **1** | **Current version:** | **16.9.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Trace Activation IE support for the Retrieve UE Context procedure | | | | | | | | | |
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| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_SON\_MDT-Core, TEI16 | | | | |  | ***Date:*** | | | 2022-05-19 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | 6 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | The current TS38.423 specification does not explicitly specify the behaviour on receiving a Trace Activation IE in a RETRIEVE UE CONTEXT and thus the propagation of the trace control and configuration parameters is not accurately described.  While other Xn messages, [HANDOVER REQUEST, S-NODE ADDITION REQUEST], are making explicit references to the Trace Activation IE in their procedural description, for example:  HANDOVER REQUEST [Cl 8.2.1.2]  “If the *Trace Activation* IE is included in the HANDOVER REQUEST message the target NG-RAN node shall, if supported, initiate the requested trace function as specified in TS 32.422 [23].”  S-NODE ADDITION REQUEST [Cl 8.3.1.2]  “If the *Trace Activation* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, initiate the requested trace function as described in TS 32.422 [23].”  No such specification exists for the RETRIEVE UE CONTEXT RESPONSE message. This is rather misleading as TS32.422 already makes an explicit reference to this behaviour for the RETRIEVE UE CONTEXT RESPONSE. | | | | | | | | |
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| ***Summary of change:*** | | Update of the procedural text for the Retrieve UE context procedure in TS38.423 to describe the behaviour on the reception of the Trace Activation IE in a RETRIEVE UE CONTEXT RESPONSE message in order to support TS32.422 and in sync to other similar Xn messages [HANDOVER REQUEST, S-NODE ADDITION REQUEST]  Impact Analysis:  Impact assessment towards the previous version of the specification (same release):  This CR has limited impact with the previous version of the specification.  There is no ASN.1 impact. | | | | | | | | |
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| ***Consequences if not approved:*** | | Without this correction there is incomplete support for the Trace Activation IE in the RETRIEVE UE CONTEXT RESPONSE message, breaking the expectations from the current SA5 specifications (TS32.422) | | | | | | | | |
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| ***Clauses affected:*** | | 8.2.4.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS38.423 CR0812 | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
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| ***This CR's revision history:*** | | Rev1: Cover Page has been modified, baseline text has been added to the CR. | | | | | | | | |

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8.2.4.2 Successful Operation

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**Figure 8.2.4.2-1: Retrieve UE Context, successful operation**

The new NG-RAN node initiates the procedure by sending the RETRIEVE UE CONTEXT REQUEST message to the old NG-RAN node.

If the old NG-RAN node is able to identify the UE context by means of the UE Context ID, and to successfully verify the UE by means of the integrity protection contained in the RETRIEVE UE CONTEXT REQUEST message, and decides to provide the UE context to the new NG-RAN node, it shall respond to the new NG-RAN node with the RETRIEVE UE CONTEXT RESPONSE message.

If the *Trace Activation* IE is included in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, initiate the requested trace function as specified in TS 32.422 [23].

If the *Index to RAT/Frequency Selection Priority* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall store this information and use it as defined in TS 23.501 [7].

If the *Location Reporting Information* IE is included in the RETRIEVE UE CONTEXT RESPONSE message, then the new NG-RAN node should initiate the requested location reporting functionality as defined in TS 38.413 [5].

If the *Trace Activation* IE is included in the RETRIEVE UE CONTEXT RESPONSE message which includes

- the *MDT Activation* IE set to "Immediate MDT and Trace", then the target NG-RAN node shall if supported, initiate the requested trace session and MDT session as described in TS 32.422 [23].

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", the target NG-RAN node shall, if supported, initiate the requested MDT session as described in TS 32.422 [23] and the target NG-RAN node shall ignore the *Interfaces To Trace* IE, and the *Trace Depth* IE.

- the *MDT Location Information* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, store this information and take it into account in the requested MDT session.

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", and if the *Signalling based MDT PLMN List* IE is included in the *MDT Configuration* IE, the target NG-RAN node may use it to propagate the MDT Configuration as described in TS 37.320 [43].

- the *Bluetooth Measurement Configuration* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *WLAN Measurement Configuration* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *Sensor Measurement Configuration* IE, within the *MDT Configuration* IE, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *MDT Configuration* IE and if the target NG-RAN Node is a gNB at least *the MDT Configuration-NR* IE shall be present, while if the target NG-RAN Node is an ng-eNB at least the *MDT Configuration-EUTRA* IE shall be present.

For each QoS flow in the RETRIEVE UE CONTEXT RESPONSE message, if the *QoS Monitoring Request* IE is included in the *QoS Flow Level QoS Parameters* IE in the *PDU Session Resources To Be Setup List* IE, the new NG-RAN node shall store this information, and, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [7]. If the *QoS Monitoring Reporting Frequency* IE is included in the *QoS Flow Level QoS Parameters* IE in the *PDU Session Resources To Be Setup List* IE, the new NG-RAN node shall store this information, and, if supported, use it for RAN part delay reporting.

If the *5GC Mobility Restriction List Container* IE is included in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, store this information in the UE context and use it as specified in TS 38.300 [9].

**-- TEXT OMITTED –**

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