3GPP TSG-RAN WG3 Meeting #115-e R3-222735

21st Feb – 03rd Mar 2022

Online

**Agenda item:** 11.2

**Source:** Radisys, Reliance JIO

**Title:** (TP for TS 38.413 on RedCap UE Support) Cause values for Xn and NG mobility failure for RedCap UEs

**Document for:** Discussion and Approval

# 1. Introduction

In RAN3 #114bis-e meeting based on R3-221142 [1], the cause values for Xn and Ng mobility for RedCap UEs were recommended to be discussed in RAN3 #115-e.

This paper discusses whether cause values are needed for Xn and Ng mobility for RedCap UEs.

# 2. Xn Mobility Failure Cause for RedCap UE

The different HO scenarios captured by the moderator and in the chair notes on RAN3#114-e as below –

1. Pre-rel17 target
2. Rel-17 target which does not support RedCap access
3. Rel-17 target which supports RedCap access, but temporarily bars these (with subcases, e.g. either 1 RX and/or 2 Rx)
4. Rel-17 target which supports RedCap access and is not barring these

**Scenario A** the Pre-rel17 target may not be able to detect a Redcap UE from UE Capabilities. Handover may fail during Handover Preparation phase due to unsupported Bandwidth etc, or during Handover Execution phase. Hence new cause is not needed in this scenario.

**Scenario B** – Since the neighbour RedCap support capabilities are exchanged between gNBs, the source gNB shall be aware of the neighbouring gNBs support for RedCap UE. Hence source gNB may not forward the UE to a target gNB which does not support RedCap. Hence new cause is not needed in this scenario

**Scenario C**- Due to race condition between NG-RAN Configuration Update and Handover message or unsynchronised configuration between two neighbouring gNBs, there is a possibility that Redcap UE is being handed over to a Rel-17 target which temporarily bars both 1Rx and 2Rx. This is a not a very usual scenario. Hence we prefer not to add a new cause value to an unusual scenario

**Scenario D** – Nothing specific for HO failure for RedCap UE.

**Proposal 1: No new cause values related to RedCap UEs is needed for XN Hand Over failure.**

# 3. Ng Mobility Failure Cause for RedCap UE

For Ng handover also we consider the 4 scenarios considers in Xn mobility above for discussion.

Scenario A – Scenario A via Ng mobility and Xn mobility is the same. Since a pre-Rel17 node cannot read the Rel-17 IEs, it cannot identify the handover request if for a Redcap UE. Hence the handover may fail during HO preparation phase or HO execution phase. Hence new cause is not needed in this scenario.

Scenario B and Scenario C - In case of Ng mobility, the source node may or may not have information regarding the target node. Hence the Rel-17 target should be able to indicate via Ng Handover Failure message that it does not support RedCap UE in the cause.

**Proposal 2: Add NG Handover Preparation Failure cause value “RedCap UE Not Supported” for Rel-17 target (which does not support RedCap) to inform source the reason behind Handover failure.**

Scenario D – Nothing specific for HO failure for RedCap UE.

# 4. Conclusions

Based on the above discussions on Xn mobility and Ng mobility, the following proposals are made –

**Proposal 1: No new cause values related to RedCap UEs is needed for XN Hand Over failure.**

**Proposal 2: Add NG Handover Preparation Failure cause value “RedCap UE Not Supported” for Rel-17 target (which does not support RedCap) to inform source the reason behind Handover failure.**

# 5. References

[1] R3-221142, “Summary of Offline Discussion on Handling RedCap Mobility”, RAN3#114bis-e, January 2022.

# 6. Text Proposal

## TP for TS 38.413

9.3.1.2 Cause

The purpose of the *Cause* IE is to indicate the reason for a particular event for the NGAP protocol.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** |
| CHOICE *Cause Group* | M |  |  |  |
| >*Radio Network Layer* |  |  |  |  |
| >>Radio Network Layer Cause | M |  | ENUMERATED (Unspecified,  TXnRELOCOverall expiry,  Successful handover,  Release due to NG-RAN generated reason,  Release due to 5GC generated reason,  Handover cancelled,  Partial handover,  Handover failure in target 5GC/NG-RAN node or target system,  Handover target not allowed,  TNGRELOCoverall expiry,  TNGRELOCprep expiry,  Cell not available,  Unknown target ID,  No radio resources available in target cell,  Unknown local UE NGAP ID,  Inconsistent remote UE NGAP ID,  Handover desirable for radio reasons,  Time critical handover,  Resource optimisation handover,  Reduce load in serving cell,  User inactivity,  Radio connection with UE lost,  Radio resources not available,  Invalid QoS combination,  Failure in the radio interface procedure,  Interaction with other procedure,  Unknown PDU Session ID,  Unknown QoS Flow ID,  Multiple PDU Session ID Instances,  Multiple QoS Flow ID Instances,  Encryption and/or integrity protection algorithms not supported,  NG intra-system handover triggered,  NG inter-system handover triggered,  Xn handover triggered,  Not supported 5QI value,  UE context transfer,  IMS voice EPS fallback or RAT fallback triggered,  UP integrity protection not possible,  UP confidentiality protection not possible,  Slice(s) not supported,  UE in RRC\_INACTIVE state not reachable,  Redirection,  Resources not available for the slice(s),  UE maximum integrity protected data rate reason,  Release due to CN-detected mobility,  …, N26 interface not available, Release due to pre-emption,Multiple Location Reporting Reference ID Instances,  RSN not available for the UP,  NPN access denied,  CAG only access denied, Insufficient UE Capabilities, RedCap UE Not Supported) |  |
| *>Transport Layer* |  |  |  |  |
| >>Transport Layer Cause | M |  | ENUMERATED (Transport resource unavailable,  Unspecified, …) |  |
| *>NAS* |  |  |  |  |
| >>NAS Cause | M |  | ENUMERATED  (Normal release,  Authentication failure,  Deregister,  Unspecified,  …) |  |
| *>Protocol* |  |  |  |  |
| >>Protocol Cause | M |  | ENUMERATED (Transfer syntax error, Abstract syntax error (reject), Abstract syntax error (ignore and notify), Message not compatible with receiver state,  Semantic error,  Abstract syntax error (falsely constructed message),  Unspecified,  …) |  |
| *>Miscellaneous* |  |  |  |  |
| >>Miscellaneous Cause | M |  | ENUMERATED (Control processing overload,  Not enough user plane processing resources, Hardware failure, O&M intervention, Unknown PLMN or SNPN,  Unspecified,  …) |  |

The meaning of the different cause values is described in the following tables. In general, “not supported” cause values indicate that the related capability is missing. On the other hand, “not available” cause values indicate that the related capability is present, but insufficient resources were available to perform the requested action.

|  |  |
| --- | --- |
| **Radio Network Layer cause** | **Meaning** |
| Unspecified | Sent for radio network layer cause when none of the specified cause values applies. |
| TXnRELOCOverall expiry | The timer guarding the handover that takes place over Xn has abnormally expired. |
| Successful handover | Successful handover. |
| Release due to NG-RAN generated reason | Release is initiated due to NG-RAN generated reason. |
| Release due to 5GC generated reason | Release is initiated due to 5GC generated reason. |
| Handover cancelled | The reason for the action is cancellation of Handover. |
| Partial handover | Provides a reason for the handover cancellation. The HANDOVER COMMAND message from AMF contained *PDU Session Resource to Release List* IEor *QoS flow to Release List* and the source NG-RAN node estimated service continuity for the UE would be better by not proceeding with handover towards this particular target NG-RAN node. |
| Handover failure in target 5GC/ NG-RAN node or target system | The handover failed due to a failure in target 5GC/NG-RAN node or target system. |
| Handover target not allowed | Handover to the indicated target cell is not allowed for the UE in question. |
| TNGRELOCoverall expiry | The reason for the action is expiry of timer TNGRELOCoverall. |
| TNGRELOCprep expiry | Handover Preparation procedure is cancelled when timer TNGRELOCprep expires. |
| Cell not available | The concerned cell is not available. |
| Unknown target ID | Handover rejected because the target ID is not known to the AMF. |
| No radio resources available in target cell | Load on target cell is too high. |
| Unknown local UE NGAP ID | The action failed because the receiving node does not recognise the local UE NGAP ID. |
| Inconsistent remote UE NGAP ID | The action failed because the receiving node considers that the received remote UE NGAP ID is inconsistent. |
| Handover desirable for radio reasons | The reason for requesting handover is radio related. |
| Time critical handover | Handover is requested for time critical reason i.e., this cause value is reserved to represent all critical cases where the connection is likely to be dropped if handover is not performed. |
| Resource optimisation handover | The reason for requesting handover is to improve the load distribution with the neighbour cells. |
| Reduce load in serving cell | Load on serving cell needs to be reduced. When applied to handover preparation, it indicates the handover is triggered due to load balancing. |
| User inactivity | The action is requested due to user inactivity on all PDU sessions, e.g., NG is requested to be released in order to optimise the radio resources. |
| Radio connection with UE lost | The action is requested due to losing the radio connection to the UE. |
| Radio resources not available | No requested radio resources are available. |
| Invalid QoS combination | The action was failed because of invalid QoS combination. |
| Failure in the radio interface procedure | Radio interface procedure has failed. |
| Interaction with other procedure | The action is due to an ongoing interaction with another procedure. |
| Unknown PDU Session ID | The action failed because the PDU Session ID is unknown in the NG-RAN node. |
| Unknown QoS Flow ID | The action failed because the QoS Flow ID is unknown in the NG-RAN node. |
| Multiple PDU Session ID instances | The action failed because multiple instance of the same PDU Session had been provided to/from the NG-RAN node. |
| Multiple QoS Flow ID instances | The action failed because multiple instances of the same QoS flow had been provided to the NG-RAN node. |
| Encryption and/or integrity protection algorithms not supported | The NG-RAN node is unable to support any of the encryption and/or integrity protection algorithms supported by the UE. |
| NG intra-system handover triggered | The action is due to a NG intra-system handover that has been triggered. |
| NG inter-system handover triggered | The action is due to a NG inter-system handover that has been triggered. |
| Xn handover triggered | The action is due to an Xn handover that has been triggered. |
| Not supported 5QI value | The QoS flow setup failed because the requested 5QI is not supported. |
| UE context transfer | The action is due to a UE resumes from the NG-RAN node different from the one which sent the UE into RRC\_INACTIVE state. |
| IMS voice EPS fallback or RAT fallback triggered | The setup of QoS flow is failed due to EPS fallback or RAT fallback for IMS voice using handover or redirection. |
| UP integrity protection not possible | The PDU session cannot be accepted according to the required user plane integrity protection policy. |
| UP confidentiality protection not possible | The PDU session cannot be accepted according to the required user plane confidentiality protection policy. |
| Slice(s) not supported | Slice(s) not supported. |
| UE in RRC\_INACTIVE state not reachable | The action is requested due to RAN paging failure. |
| Redirection | The release is requested due to inter-system redirection or intra-system redirection. |
| Resources not available for the slice(s) | The requested resources are not available for the slice(s). |
| UE maximum integrity protected data rate reason | The request is not accepted in order to comply with the maximum data rate for integrity protection supported by the UE. |
| Release due to CN-detected mobility | The context release is requested by the AMF because the UE is already served by another CN node (same or different system), or another NG interface of the same CN node. |
| N26 interface not available | The action failed due to a temporary failure of the N26 interface. |
| Release due to pre-emption | Release is initiated due to pre-emption. |
| Multiple Location Reporting Reference ID Instances | The action failed because multiple areas of interest are set with the same Location Reporting Reference ID. |
| RSN not available for the UP | The redundant user plane resources indicated by RSN are not available. |
| NPN access denied | Access was denied, or release is requested, for NPN reasons. |
| CAG only access denied | Access was denied because the cell is a non-CAG cell and UE is only allowed to access CAG cells. |
| Insufficient UE Capabilities | The procedure can’t proceed due to insufficient UE capabilities. |
| RedCap UE Not Supported | The action failed because target NG-RAN node does not support RedCap UE |

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### 9.4.5 Information Element Definitions

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CauseRadioNetwork ::= ENUMERATED {

unspecified,

txnrelocoverall-expiry,

successful-handover,

release-due-to-ngran-generated-reason,

release-due-to-5gc-generated-reason,

handover-cancelled,

partial-handover,

ho-failure-in-target-5GC-ngran-node-or-target-system,

ho-target-not-allowed,

tngrelocoverall-expiry,

tngrelocprep-expiry,

cell-not-available,

unknown-targetID,

no-radio-resources-available-in-target-cell,

unknown-local-UE-NGAP-ID,

inconsistent-remote-UE-NGAP-ID,

handover-desirable-for-radio-reason,

time-critical-handover,

resource-optimisation-handover,

reduce-load-in-serving-cell,

user-inactivity,

radio-connection-with-ue-lost,

radio-resources-not-available,

invalid-qos-combination,

failure-in-radio-interface-procedure,

interaction-with-other-procedure,

unknown-PDU-session-ID,

unkown-qos-flow-ID,

multiple-PDU-session-ID-instances,

multiple-qos-flow-ID-instances,

encryption-and-or-integrity-protection-algorithms-not-supported,

ng-intra-system-handover-triggered,

ng-inter-system-handover-triggered,

xn-handover-triggered,

not-supported-5QI-value,

ue-context-transfer,

ims-voice-eps-fallback-or-rat-fallback-triggered,

up-integrity-protection-not-possible,

up-confidentiality-protection-not-possible,

slice-not-supported,

ue-in-rrc-inactive-state-not-reachable,

redirection,

resources-not-available-for-the-slice,

ue-max-integrity-protected-data-rate-reason,

release-due-to-cn-detected-mobility,

...,

n26-interface-not-available,

release-due-to-pre-emption,

multiple-location-reporting-reference-ID-instances,

rsn-not-available-for-the-up,

npn-access-denied,

cag-only-access-denied,

insufficient-ue-capabilities,

redcap-ue-not-supported

}

<End of Change>