**3GPP TSG-RAN3 #115-e R3-22xxxx**

21st February – 3rd March 2022

Online

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.423** | **CR** | 0691 | **rev** | **3** | **Current version:** | **16.8.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Dynamic ACL over Xn CR 38.423 |
|  |  |
| ***Source to WG:*** | Ericsson, Deutsche Telekom, China Telecom, Huawei |
| ***Source to TSG:*** | R3 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core, TEI16 |  | ***Date:*** | 2022-02-21 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | If an Xn interface is not established via the CN based Xn address discovery, it is not possible for the Xn HO target to know the TNL address of the HO source. This prevents the use of the ACL function for data forwarding triggered after an Xn based handover |
|  |  |
| ***Summary of change:*** | Add the source IP address used for data forwarding in the HANDOVER REQUEST message, S-NODE ADDITION REQUEST message, S-NODE ADDITION REQUEST ACKNOWLEDGE message. Impact Analysis:Impact assessment towards the previous version of the specification (same release): This CR has limited impact under funtional point of view.  |
|  |  |
| ***Consequences if not approved:*** | It is not possible to use the ACL function for data forwarding following Xn based handovers in cases where the Xn interface was not established via CN based Xn address discovery |
|  |  |
| ***Clauses affected:*** | 8.2.1, 8.3.1, 9.2.1.6, 9.2.1.17, 9.3.4, 9.3.5 and 9.3.7 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS/TR 38.413 CR 214391 TS/TR 38.473 CR 214393TS/TR 37.473 CR 214395TS/TR 36.413 CR 215232TS/TR 36.423 CR 215234TS/TR 38.463 CR 215230 |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

**<<<<<< NEXT CHANGE >>>>>>**

### 8.2.1 Handover Preparation

#### 8.2.1.1 General

This procedure is used to establish necessary resources in an NG-RAN node for an incoming handover. If the procedure concerns a conditional handover, parallel transactions are allowed. Possible parallel requests are identified by the target cell ID when the source UE AP IDs are the same.

The procedure uses UE-associated signalling.

#### 8.2.1.2 Successful Operation



Figure 8.2.1.2-1: Handover Preparation, successful operation

The source NG-RAN node initiates the procedure by sending the HANDOVER REQUEST message to the target NG-RAN node. When the source NG-RAN node sends the HANDOVER REQUEST message, it shall start the timer TXnRELOCprep.

If the *Conditional Handover Information Request* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall consider that the request concerns a conditional handover and shall include the *Conditional Handover Information* *Acknowledge* IE in the HANDOVER REQUEST ACKNOWLEDGE message.

If the *Target NG-RAN node UE XnAP ID* IE is contained in the *Conditional Handover Information Request* IE included in the HANDOVER REQUEST message, then the target NG-RAN node shall remove the existing prepared conditional HO identified by the *Target NG-RAN node UE XnAP ID* IE and the *Target Cell Global ID* IE. It is up to the implementation of the target NG-RAN node when to remove the HO information.

Upon reception of the HANDOVER REQUEST ACKNOWLEDGE message, the source NG-RAN node shall stop the timer TXnRELOCprep and terminate the Handover Preparation procedure. If the procedure was initiated for an immediate handover, the source NG-RAN node shall start the timer TXnRELOCoverall. The source NG-RAN node is then defined to have a Prepared Handover for that Xn UE-associated signalling.

For each *E-RAB ID* IE included in the *QoS Flow To Be Setup List* IE in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store the content of the IE in the UE context and use it for subsequent inter-system handover.

If the *Masked IMEISV* IE is contained in the HANDOVER REQUEST message the target NG-RAN node shall, if supported, use it to determine the characteristics of the UE for subsequent handling.

At reception of the HANDOVER REQUEST message the target NG-RAN node shall prepare the configuration of the AS security relation between the UE and the target NG-RAN node by using the information in the *UE Security Capabilities* IE and the *AS Security Information* IE in the *UE Context Information* IE, as specified in TS 33.501 [28].

Upon reception of the *PDU Session Resource Setup List* IE, contained in the HANDOVER REQUEST message, the target NG-RAN node shall behave the same as specified in TS 38.413 [5] for the PDU Session Resource Setup procedure. The target NG-RAN node shall report in the HANDOVER REQUEST ACKNOWLEDGE message the successful establishment of the result for all the requested PDU session resources. When the target NG-RAN node reports the unsuccessful establishment of a PDU session resource, the cause value should be precise enough to enable the source NG-RAN node to know the reason for the unsuccessful establishment.

For each PDU session if the *PDU Session Aggregate Maximum Bit Rate* IE is included in the *PDU Session Resources To Be Setup List* IE contained in the HANDOVER REQUEST message, the target NG-RAN node shall store the received PDU Session Aggregate Maximum Bit Rate in the UE context and use it when enforcing traffic policing for Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [7].

For each QoS flow for which the source NG-RAN node proposes to perform forwarding of downlink data, the source NG-RAN node shall include the *DL Forwarding* IE set to "DL forwarding proposed" within the *Data Forwarding and* *Offloading Info from source NG-RAN node* IE in the *PDU Session Resources To Be Setup List* IE in the HANDOVER REQUEST message. The source NG-RAN node shall include the *DL Forwarding* IE set to "DL forwarding proposed" for all the QoS flows mapped to a DRB, if it requests a DAPS handover for that DRB. For each PDU session that the target NG-RAN node decides to admit the data forwarding for at least one QoS flow, the target NG-RAN node includes the *PDU Session level DL data forwarding GTP-U Tunnel Endpoint* IE within the *Data Forwarding Info from target NG-RAN node* IE in the *PDU Session Resource Admitted Info* IE contained in the *PDU Session Resources Admitted List* IE in the HANDOVER REQUEST ACKNOWLEDGE message.

For each QoS flow for which the source NG-RAN node has not yet received the SDAP end marker packet if QoS flow re-mapping happened before handover, the source NG-RAN node shall include the *UL Forwarding* *Proposal* IE within the *Data Forwarding and Offloading Info from source NG-RAN node* IE in the HANDOVER REQUEST message, and if the target NG-RAN node decides to admit uplink data forwarding for at least one QoS flow, the target NG-RAN node may include the *PDU Session Level UL Data Forwarding UP TNL Information* IE in the *Data Forwarding Info from target NG-RAN node* IE in the *PDU Session Resources Admitted Item* IE contained in the *PDU Session Resources Admitted List* IE in the HANDOVER REQUEST ACKNOWLEDGE message to indicate that it accepts the uplink data forwarding.

For each PDU session resource successfully setup at the target NG-RAN, the target NG-RAN node may allocate resources for additional Xn-U PDU session resource GTP-U tunnels, indicated in the *Secondary Data Forwarding Info from target NG-RAN node List* IE.

For each PDU session in the HANDOVER REQUEST message, if the *Alternative QoS Parameters Set List* IE is included in the *GBR QoS Flow Information* IE in the *PDU Session Resources To Be Setup List* IE, the target NG-RAN node may accept the setup of the involved QoS flow when notification control has been enabled if the requested QoS parameters set or at least one of the alternative QoS parameters sets can be fulfilled at the time of handover as specified in TS 23.501 [7]. In case the target NG-RAN node accepts the handover fulfilling one of the alternative QoS parameters it shall indicate the alternative QoS parameters set which it can currently fulfil in the *Current QoS Parameters Set Index* IE within the *PDU Session Resources Admitted List* IE of the HANDOVER REQUEST ACKNOWLEDGE message while setting the QoS parameters towards the UE according to the requested QoS parameters set as specified in TS 23.501 [7].

For each DRB for which the source NG-RAN node proposes to perform forwarding of downlink data, the source NG-RAN node shall include the *DRB ID* IE and the mapped *QoS Flows List* IE within the *Source DRB to QoS Flow Mapping List* IE contained in the *PDU Session Resources To Be Setup List* IE in the HANDOVER REQUEST message. The source NG-RAN node may include the *QoS Flow Mapping Indication* IE in the *Source DRB to QoS Flow Mapping List* IE to indicate that only the uplink or downlink QoS flow is mapped to the DRB. If the target NG-RAN node decides to use the same DRB configuration and to map the same QoS flows as the source NG-RAN node, the target NG-RAN node includes the *DL Forwarding GTP Tunnel Endpoint* IE within the *Data Forwarding Response DRB List* IE in the HANDOVER REQUEST ACKNOWLEDGE message to indicate that it accepts the proposed forwarding of downlink data for this DRB.

The target NG-RAN node may additionally include the *Redundant DL Forwarding UP TNL Information* IE if at least one of the QoS flow mapped to the DRB is eligible to the redundant transmission feature as indicated in the *Redundant QoS Flow Indicator* IE within the *PDU Session Resource To Be Setup List* IE received in the HANDOVER REQUEST message for the QoS flow.

If the HANDOVER REQUEST ACKNOWLEDGE message contains the *UL Forwarding GTP Tunnel Endpoint* IE for a given DRB in the *Data Forwarding Response DRB List* IE within *Data Forwarding Info from target NG-RAN node* IE in the *PDU Session Resources Admitted List* IE and the source NG-RAN node accepts the data forwarding proposed by the target NG-RAN node, the source NG-RAN node shall perform forwarding of uplink data for the DRB.

If the HANDOVER REQUEST includes PDU session resources for PDU sessions associated to S-NSSAIs not supported by target NG-RAN, the target NG-RAN shall reject such PDU session resources. In this case, and if at least one *PDU Session Resource To Be Setup Item* IE is admitted, the target NG-RAN shall send the HANDOVER REQUEST ACKNOWLEDGE message including the *PDU Session Resources Not Admitted List* IE listing corresponding PDU sessions rejected at the target NG-RAN.

If the *Mobility Restriction List* IE is

- contained in the HANDOVER REQUEST message, the target NG-RAN node shall

- store the information received in the *Mobility Restriction List* IE in the UE context;

- use this information to determine a target for the UE during subsequent mobility action for which the NG-RAN node provides information about the target of the mobility action towards the UE, except when one of the PDU sessions has a particular ARP value (TS 23.501 [7]) in which case the information shall not apply;

- use this information to select a proper SCG during dual connectivity operation.

- use this information to select proper RNA(s) for the UE when moving the UE to RRC\_INACTIVE.

- not contained in the HANDOVER REQUEST message, the target NG-RAN node shall

- consider that no roaming and no access restriction apply to the UE.

If the *Trace Activation* IE is included in the HANDOVER REQUEST message the target NG-RAN node shall, if supported, initiate the requested trace function as specified in TS 32.422 [23].

If the *Index to RAT/Frequency Selection Priority* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall store this information and use it as defined in TS 23.501 [7].

If the *UE Context Reference at the S-NG-RAN* IE is contained in the HANDOVER REQUEST message the target NG-RAN node may use it as specified in TS 37.340 [8]. In this case, the source NG-RAN node may expect the target NG-RAN node to include the *UE Context Kept Indicator* IE set to "True" in the HANDOVER REQUEST ACKNOWLEDGE message, which shall use this information as specified in TS 37.340 [8].

For each PDU session, if the *Network Instance* IE is included in the *PDU Session Resource To Be Setup List* IE and the *Common Network Instance* IE is not present, the target NG-RAN node shall, if supported, use it when selecting transport network resource as specified in TS 23.501 [7].

Redundant transmission:

- For each PDU session, if the *Redundant UL NG-U UP TNL Information at UPF* IE is included in the *PDU Session Resource To Be Setup List* IE, the target NG-RAN node shall, if supported, use it as the uplink termination point for the user plane data for the redundant transmission for the concerned PDU session.

- For each PDU session, if the *Additional Redundant UL NG-U UP TNL Information at UPF List* IE is included in the *PDU Session Resource To Be Setup List* IE, the target NG-RAN node shall, if supported, use them as the uplink termination points for the user plane data for the redundant transmission for the concerned PDU session.

- For each PDU session, if the *Redundant Common Network Instance* IE is included in the *PDU Session Resource To Be Setup List* IE, the target NG-RAN node shall, if supported, use it when selecting transport network resource for the redundant transmission as specified in TS 23.501 [7].

- For each PDU session, if the *Redundant PDU Session Information* IE is included in the *PDU Session Resource To Be Setup List* IE contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store the received information in the UE context and set up the redundant user plane for the concerned PDU session, as specified in TS 23.501 [7].

If the *TSC Traffic Characteristics* IE is included in the *QoS Flows To Be Setup* List in the *PDU Session Resource To Be Setup List* IE, the target NG-RAN node shall, if supported, use it as specified in TS 23.501 [7].

For each PDU session, if the *Common* *Network Instance* IE is included in the *PDU Session Resource To Be Setup List* IE or in the *Additional UL NG-U UP TNL Information at UPF List* IE, or in the *Additional Redundant UL NG-U UP TNL Information at UPF List* IE, the target NG-RAN node shall, if supported, use it when selecting transport network resource for the concerned NG-U transport bearer as specified in TS 23.501 [7].

For each PDU session for which the *Security Indication* IE is included in the *PDU Session Resource To Be Setup List* IE and the *Integrity Protection Indication* IE or *Confidentiality Protection Indication* IE is set to "required", the target NG-RAN node shall perform user plane integrity protection or ciphering, respectively. If the NG-RAN node is not able to perform the user plane integrity protection or ciphering, it shall reject the setup of the PDU Session Resources with an appropriate cause value.

If the NG-RAN node is an ng-eNB, it shall reject all PDU sessions for which the *Integrity Protection Indication* IE is set to "required".

For each PDU session for which the *Security Indication* IE is included in the *PDU Session Resource To Be Setup List* IE and the *Integrity Protection Indication* IE or the *Confidentiality Protection Indication* IE is set to "preferred", the target NG-RAN node should, if supported, perform user plane integrity protection or ciphering, respectively and shall notify the SMF whether it succeeded the user plane integrity protection or ciphering or not for the concerned security policy.

For each PDU session for which the *Maximum Integrity Protected Data Rate* IE is included in the *Security Indication* IE in the *PDU Session Resources To Be Setup List* IE, the NG-RAN node shall store the respective information and, if integrity protection is to be performed for the PDU session, it shall enforce the traffic corresponding to the received *Maximum Integrity Protected Data Rate* IE, for the concerned PDU session and concerned UE, as specified in TS 23.501 [7].

For each PDU session for which the *Security Indication* IE is included in the *PDU Session Resource To Be Setup List* IE and the *Integrity Protection Indication* IE or *Confidentiality Protection Indication* IE is set to "not needed", the target NG-RAN node shall not perform user plane integrity protection or ciphering, respectively, for the concerned PDU session.

For each PDU session, if the *Additional UL NG-U UP TNL Information List* IE is included in the *PDU Session Resources To Be Setup List* IE contained in the HANDOVER REQUEST message, the target NG-RAN node may forward the UP transport layer information to the target S-NG-RAN node as the uplink termination point for the user plane data for this PDU session split in different tunnel.

If the *Location Reporting Information* IE is included in the HANDOVER REQUEST message, then the target NG-RAN node should initiate the requested location reporting functionality as defined in TS 38.413 [5].

Upon reception of *UE History Information* IE in the HANDOVER REQUEST message, the target NG-RAN node shall collect the information defined as mandatory in the *UE History Information* IE and shall, if supported, collect the information defined as optional in the *UE History Information* IE, for as long as the UE stays in one of its cells, and store the collected information to be used for future handover preparations.

If the *Trace Activation* IE is included in the HANDOVER REQUEST message which includes

- the *MDT Activation* IE set to "Immediate MDT and Trace", then the target NG-RAN node shall if supported, initiate the requested trace session and MDT session as described in TS 32.422 [23].

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", the target NG-RAN node shall, if supported, initiate the requested MDT session as described in TS 32.422 [23] and the target NG-RAN node shall ignore the *Interfaces To Trace* IE, and the *Trace Depth* IE.

- the *MDT Location Information* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, store this information and take it into account in the requested MDT session.

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", and if the *Signalling based MDT PLMN List* IE is included in the *MDT Configuration* IE, the target NG-RAN node may use it to propagate the MDT Configuration as described in TS 37.320 [43].

- the *Bluetooth Measurement Configuration* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *WLAN Measurement Configuration* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *Sensor Measurement Configuration* IE, within the *MDT Configuration* IE, the target NG-RAN node shall take it into account for MDT Configuration as described in TS 37.320 [43].

- the *MDT Configuration* IE and if the target NG-RAN node is a gNB at least *the MDT Configuration-NR* IE shall be present, while if the target NG-RAN node is an ng-eNB at least the *MDT Configuration-EUTRA* IE shall be present. If the target NG-RAN node is a gNB receiving a *MDT Configuration-EUTRA* IE, or the target NG-RAN node is a ng-eNB receiving a *MDT Configuration-NR* IE, the target NG-RAN node shall store it as part of the UE context, and propagate it at the next Xn handover as described in TS 37.320 [43].

If the *Management Based MDT PLMN List* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store the received information in the UE context, and use this information to allow subsequent selection of the UE for management based MDT defined in TS 32.422 [23].

If the HANDOVER REQUEST message includes the *Management Based MDT PLMN List* IE, the target NG-RAN node shall take it into account if it includes information regarding the PLMN serving the UE in the target NG-RAN node.

If the *Mobility Information* IE is provided in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information. The target NG-RAN shall, if supported, store the C-RNTI assigned at the source cell as received in the HANDOVER REQUEST message.

Upon reception of the *UE History Information from the UE* IE in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store the collected information and use it for future handover preparations.

For each QoS flow which has been successfully established in the target NG-RAN node, if the *QoS Monitoring Request* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the HANDOVER REQUEST message, the target NG-RAN node shall store this information, and, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [7]. If the *QoS Monitoring Reporting Frequency* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the HANDOVER REQUEST message, the target NG-RAN node shall store this information, and, if supported, use it for RAN part delay reporting.

If the *5GC Mobility Restriction List Container* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information in the UE context and use it as specified in TS 38.300 [9].

V2X:

- If the *NR V2X Services Authorized* IE is included in the HANDOVER REQUEST message and it contains one or more IEs set to "authorized", the target NG-RAN node shall, if supported, consider that the UE is authorized for the relevant service(s).

- If the *LTE V2X Services Authorized* IE is included in the HANDOVER REQUEST message and it contains one or more IEs set to "authorized", the target NG-RAN node shall, if supported, consider that the UE is authorized for the relevant service(s).

- If the *NR UE Sidelink Aggregate Maximum Bit Rate* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, use the received value for the concerned UE’s sidelink communication in network scheduled mode for NR V2X services.

- If the *LTE UE Sidelink Aggregate Maximum Bit Rate* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, use the received value for the concerned UE’s sidelink communication in network scheduled mode for LTE V2X services.

If the *PC5 QoS Parameters* IE is included in theHANDOVER REQUEST message, the target NG-RAN node shall, if supported, use it as defined in TS 23.287 [38].

If the *DAPS Request Information* IE is included for a given DRB in the HANDOVER REQUEST message, the target NG-RAN node shall consider that the request concerns a DAPS handover for that DRB, as described in TS 38.300 [9]. Accordingly, the target NG-RAN node shall include the *DAPS Response Information* IE in the HANDOVER REQUEST ACKNOWLEDGE message.

If the *Maximum Number of CHO Preparations* IE is included in the *Conditional Handover Information* *Acknowledge* IE contained in the HANDOVER REQUEST ACKNOWLEDGE message, then the source NG-RAN node should not prepare more candidate target cells for a CHO for the same UE towards the target NG-RAN node than the number indicated in the IE.

If the *Estimated Arrival Probability* IE is contained in the *Conditional Handover Information Request* IE included in the HANDOVER REQUEST message, then the target NG-RAN node may use the information to allocate necessary resources for the incoming CHO.

If the *IAB Node Indication* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, consider that the handover is for an IAB node.

If the *UE Radio Capability ID* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [7] and TS 23.502 [13].

If for a given QoS Flow the *Source DL Forwarding IP Address* IE is included within the *Data Forwarding and* *Offloading Info from source NG-RAN node* IE in the *PDU Session Resources To Be Setup List* IE contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information and use it as part of its ACL functionality configuration actions, if such ACL functionality is deployed.

**Interaction with SN Status Transfer procedure:**

If the *UE Context Kept Indicator* IE set to "True" and the *DRBs transferred to MN* IE are included in the HANDOVER REQUEST ACKNOWLEDGE message, the source NG-RAN node shall, if supported, include the uplink/downlink PDCP SN and HFN status received from the S-NG-RAN node in the SN Status Transfer procedure towards the target NG-RAN node, as specified in TS 37.340 [8].

#### 8.2.1.3 Unsuccessful Operation



Figure 8.2.1.3-1: Handover Preparation, unsuccessful operation

If the target NG-RAN node does not admit at least one PDU session resource, or a failure occurs during the Handover Preparation, the target NG-RAN node shall send the HANDOVER PREPARATION FAILURE message to the source NG-RAN node. The message shall contain the *Cause* IE with an appropriate value.

If the *Conditional Handover Information* *Request* IE is contained in the HANDOVER REQUEST message and the target NG-RAN node rejects the handover or a failure occurs during the Handover Preparation, the target NG-RAN node shall include the *Requested Target Cell ID* IE in the HANDOVER PREPARATION FAILURE message.

**Interactions with Handover Cancel procedure:**

If there is no response from the target NG-RAN node to the HANDOVER REQUEST message before timer TXnRELOCprep expires in the source NG-RAN node, the source NG-RAN node should cancel the Handover Preparation procedure towards the target NG-RAN node by initiating the Handover Cancel procedure with the appropriate value for the *Cause* IE. The source NG-RAN node shall ignore any HANDOVER REQUEST ACKNOWLEDGE or HANDOVER PREPARATION FAILURE message received after the initiation of the Handover Cancel procedure and remove any reference and release any resources related to the concerned Xn UE-associated signalling.

#### 8.2.1.4 Abnormal Conditions

If the supported algorithms for encryption defined in the *UE Security Capabilities* IE in the *UE Context Information* IE, plus the mandated support of the EEA0 and NEA0 algorithms in all UEs (TS 33.501 [28]), do not match any allowed algorithms defined in the configured list of allowed encryption algorithms in the NG-RAN node (TS 33.501 [28]), the NG-RAN node shall reject the procedure using the HANDOVER PREPARATION FAILURE message.

If the supported algorithms for integrity defined in the *UE Security Capabilities* IE in the *UE Context Information* IE, plus the mandated support of the EIA0 and NIA0 algorithms in all UEs (TS 33.501 [28]), do not match any allowed algorithms defined in the configured list of allowed integrity protection algorithms in the NG-RAN node (TS 33.501 [28]), the NG-RAN node shall reject the procedure using the HANDOVER PREPARATION FAILURE message.

If the *CHO trigger* IE is set to "CHO-replace" in the HANDOVER REQUEST message, but there is no CHO prepared for the included Target NG-RAN node UE XnAP ID, or the candidate cell in the *Targe*t *Cell ID* IE was not prepared using the same UE-associated signaling connection, the NG-RAN node shall reject the procedure using the HANDOVER PREPARATION FAILURE message.

If the HANDOVER REQUEST message includes information for a PLMN not serving the UE in the target NG-RAN node in the *Management Based MDT PLMN List* IE, the target NG-RAN node shall ignore information for that PLMN within the Management Based MDT PLMN List.

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### 8.3.1 S-NG-RAN node Addition Preparation

#### 8.3.1.1 General

The purpose of the S-NG-RAN node Addition Preparation procedure is to request the S-NG-RAN node to allocate resources for dual connectivity operation for a specific UE.

The procedure uses UE-associated signalling.

#### 8.3.1.2 Successful Operation



Figure 8.3.1.2-1: S-NG-RAN node Addition Preparation, successful operation

The M-NG-RAN node initiates the procedure by sending the S-NODE ADDITION REQUEST message to the S-NG-RAN node.

When the M-NG-RAN node sends the S-NODE ADDITION REQUEST message, it shall start the timer TXnDCprep.

The allocation of resources according to the values of the *Allocation and Retention Priority* IE included in the *QoS Flow Level QoS Parameters* IE for each QoS flow shall follow the principles specified for the PDU Session Resource Setup procedure in TS 38.413 [5].

The S-NG-RAN node shall choose the ciphering algorithm based on the information in the *UE Security Capabilities* IE and locally configured priority list of AS encryption algorithms and apply the key indicated in the *S-NG-RAN node Security Key* IE as specified in TS 33.501 [28].

If the *TSC Traffic Characteristics* IE is included for a QoS flow in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall behave the same as the NG-RAN node in the PDU Session Resource Setup procedure, specified in TS 38.413 [5].

If the *Additional QoS* *Flow Information* IE is included for a QoS flow in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall behave the same as the NG-RAN node in the PDU Session Resource Setup procedure, specified in TS 38.413 [5].

For each GBR QoS flow, if the *Alternative QoS Parameters Sets* IE is included in the *GBR QoS Flow Information* IE, the S-NG-RAN node shall, if supported, behave the same as the NG-RAN node in the PDU Session Resource Setup procedure specified in TS 38.413 [5].

For each PDU session, if the *Network Instance* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE contained in the *PDU Session Resources To Be Added List* IE and the *Common Network Instance* IE is not present, the S-NG-RAN node shall, if supported, use it when selecting transport network resource as specified in TS 23.501 [7].

For each GBR QoS flow, if the *Offered GBR QoS Flow Information* IE is included in the *QoS Flows To Be Setup List* IE contained in the *PDU Session Resource Setup Info – SN terminated* IE, the S-NG-RAN node may request the M-NG-RAN node to configure the DRB to which that QoS flow is mapped with MCG resources.

For each PDU session, if the *Non-GBR Resources Offered* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE contained in the *PDU Session Resources To Be Added List* IE and set to "true", the S-NG-RAN node may request the M-NG-RAN node to configure DRBs to which non-GBR QoS flows of the PDU session are mapped with MCG resources.

For each PDU session, if the *Common* *Network Instance* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE contained in the *PDU Session Resources To Be Added List* IE, the S-NG-RAN node shall, if supported, use it when selecting transport network resource as specified in TS 23.501 [7].

Redundant transmission:

- For each PDU session, if the *Redundant UL NG-U UP TNL Information at UPF* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE, the S-NG-RAN node shall, if supported, use it as the uplink termination point for the user plane data for this PDU session for the redundant transmission and it shall include the *Redundant DL NG-U UP TNL Information at NG-RAN* IE in the *PDU Session Resource Setup Response Info – SN terminated* IE as described in TS 23.501 [9].

- For each PDU session, if the *Redundant Common Network Instance* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE the S-NG-RAN node shall, if supported, use it when selecting transport network resource for the redundant transmission as specified in TS 23.501 [7].

- For each PDU session for which the *Redundant QoS Flow Indicator* IE is include in *QoS Flows To Be Setup List* IE contained in the *S-NODE ADDITION REQUEST* message, the S-NG-RAN node shall, if supported, store and use it as specified in TS 23.501 [7].

- For each PDU session, if the *Redundant PDU Session Information* IE is included in the *PDU Session Resource Setup Info - SN terminated* IE in the S-NODE ADDITION REQUEST message, the S-NODE-RAN node shall, if supported, store the received information in the UE context and setup the redundant user plane resources for the concerned PDU session, as specified in TS 23.501 [7].

- For each PDU session resource successfully setup for which the *Redundant PDU Session Information* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, include the *Used RSN Information* IE in the *PDU Session Resource Setup Response Info – SN terminated* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message.

If the S-NODE ADDITION REQUEST message contains the *Selected PLMN* IE, the S-NG-RAN node may use it for RRM purposes.

If the S-NODE ADDITION REQUEST message contains the *Expected UE Behaviour* IE, the S-NG-RAN node shall, if supported, store this information and may use it to optimize resource allocation.

If the S-NODE ADDITION REQUEST message contains the *Mobility Restriction List* IE, the S-NG-RAN node, if supported, shall store this information and use it to select an appropriate SCG.

If the S-NODE ADDITION REQUEST message contains the *Index to RAT/Frequency Selection Priority* IE, the S-NG-RAN node may use it for RRM purposes.

If the S-NG-RAN node is a gNB and the S-NODE ADDITION REQUEST message contains the *PCell ID* IE, the S-NG-RAN node shall search for the target NR cell among the NR neighbour cells of the PCell indicated, as specified in the TS 37.340 [8].

If the S-NODE ADDITION REQUEST message contains the *S-NG-RAN node PDU Session Aggregate Maximum Bit Rate* IE, the S-NG-RAN node may use it for RRM purposes.

If the S-NODE ADDITION REQUEST message contains the *MR-DC Resource Coordination Information* IE, the S-NG-RAN node should forward it to lower layers and it may use it for the purpose of resource coordination with the M-NG-RAN node, or to coordinate with sidelink resources used in the M-NG-RAN node. The S-NG-RAN node shall consider the value of the received *UL Coordination Information* IE valid until reception of a new update of the IE for the same UE. The S-NG-RAN node shall consider the value of the received *DL Coordination Information* IE valid until reception of a new update of the IE for the same UE. If the *E-UTRA Coordination Assistance Information* IE or the *NR Coordination Assistance Information* IE is contained in the *MR-DC Resource Coordination Information* IE, the S-NG-RAN node shall, if supported, use the information to determine further coordination of resource utilisation between the S-NG-RAN node and the M-NG-RAN node.

If the S-NODE ADDITION REQUEST message contains the *NE-DC TDM Pattern* IE, the S-NG-RAN node should forward it to lower layers and use it for the purpose of single uplink transmission. The S-NG-RAN node shall consider the value of the received *NE-DC TDM Pattern* IE valid until reception of a new update of the IE for the same UE.

If the S-NODE ADDITION REQUEST message contains the *QoS Flow Mapping Indication* IE, the S-NG-RAN node may take it into account that only the uplink or downlink QoS flow is mapped to the DRB.

For each bearer for which allocation of the PDCP entity is requested at the S-NG-RAN node:

- the M-NG-RAN node may propose to apply forwarding of downlink data by including the *DL Forwarding* IE within *PDU Session Resource Setup Info – SN terminated* IE of the S-NODE ADDITION REQUEST message. For each bearer that it has decided to admit, the S-NG-RAN node may include the *DL Forwarding GTP Tunnel Endpoint* IE within the *PDU Session Resource Setup Response Info – SN terminated* IE of the S-NODE ADDITION REQUEST ACKNOWLEDGE message to indicate that it accepts the proposed forwarding of downlink data for this bearer.

- the S-NG-RAN node may include for each bearer in the *PDU Session Resource Setup Response Info – SN terminated* IE the *UL Forwarding GTP Tunnel Endpoint* IE to indicates it request data forwarding of uplink packets to be performed for that bearer.

- the M-NG-RAN node shall include *RLC Mode* IE for each bearer offloaded from M-NG-RAN node to S-NG-RAN node in the *DRBs to QoS Flow Mapping List* IE within the *PDU Session Resource Setup Info – SN terminated* IE of the S-NODE ADDTION REQUEST message, and the *RLC Mode* IE indicates the mode that the M-NG-RAN used for the DRB when it was hosted at the M-NG-RAN node.

For each bearer for which the PDCP entity is at the M-NG-RAN node:

- the M-NG-RAN node shall include the *RLC mode* IE for each bearer in the *DRBs To Be Setup List* IE within the *PDU Session Resource Setup Info – MN terminated* IE of the S-NODE ADDTION REQUEST message to indicate the RLC mode has been configured at the M-NG-RAN node, so that the S-NG-RAN node shall configure the same RLC mode for this MN terminated split bearer.

The M-NG-RAN node may also propose to apply forwarding of UL data when offloading QoS flows for which in-order delivery is requested by including the *UL Forwarding* *Proposal* IE in the *Data Forwarding and Offloading Info from source NG-RAN node* IE within the *PDU Session Resource Setup Info – SN terminated* IE of the S-NODE ADDITION REQUEST message. The S-NG-RAN node may include the *PDU Session Level UL Data Forwarding UP TNL Information* IE in the *Data Forwarding Info from target NG-RAN node* IE within the *PDU Session Resource Setup Response Info – SN terminated* IE of the S-NODE ADDITION REQUEST ACKNOWLEDGE message to indicate that it accepts the proposed forwarding.

If the *Masked IMEISV* IE is contained in the S-NODE ADDITION REQUEST message the S-NG-RAN node shall, if supported, use it to determine the characteristics of the UE for subsequent handling.

If the *UE Radio Capability ID* IE is contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [7] and TS 23.502 [13].

The S-NG-RAN node shall report to the M-NG-RAN node, in the S-NODE ADDITION REQUEST ACKNOWLEDGE message, the result for all the requested PDU session resources in the following way:

- A list of PDU session resources which are successfully established shall be included in the *PDU Session Resources Admitted To Be Added List* IE.

- A list of PDU session resources which failed to be established shall be included in the *PDU Session Resources Not Admitted List* IE.

Upon reception of the S-NODE ADDITION REQUEST ACKNOWLEDGE message the M-NG-RAN node shall stop the timer TXnDCprep.

If the S-NODE ADDITION REQUEST ACKNOWLEDGE message contains the *MR-DC Resource Coordination Information* IE, the M-NG-RAN node may use it for the purpose of resource coordination with the S-NG-RAN node. The M-NG-RAN node shall consider the value of the received *UL Coordination Information* IE valid until reception of a new update of the IE for the same UE. The M-NG-RAN node shall consider the value of the received *DL Coordination Information* IE valid until reception of a new update of the IE for the same UE. If the *E-UTRA Coordination Assistance Information* IE or the *NR Coordination Assistance Information* IE is contained in the *MR-DC Resource Coordination Information* IE, the M-NG-RAN node shall, if supported, use the information to determine further coordination of resource utilisation between the M-NG-RAN node and the S-NG-RAN node.

The S-NG-RAN node may include for each bearer in the *DRBs To Be Setup List* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message the *PDCP SN Length* IE to indicate the PDCP SN length for that DRB.

If the *S-NG-RAN node UE XnAP ID* IE is contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, store this information and use it as defined in TS 37.340 [8].

If the S-NODE ADDITION REQUEST message contains the *PDCP SN Length* IE, the S-NG-RAN node shall, if supported, store this information and use it for lower layer configuration of the concerned MN terminated bearer.

If the S-NODE ADDITION REQUEST message contains the *SN Addition Trigger Indication* IE, the S-NG-RAN node shall include the *RRC config indication* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message to inform the M-NG-RAN node if the S-NG-RAN node applied full or delta configuration, as specified in TS 37.340 [8].

If the S-NODE ADDITION REQUEST message contains the *S-NG-RAN node Maximum Integrity Protected Data Rate* *Uplink* IE or the *S-NG-RAN node Maximum Integrity Protected Data Rate Downlink* IE, the S-NG-RAN node shall use the received information when enforcing the maximum integrity protected data rate for the UE.

If the *Security Indication* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE of the S-NODE ADDITION REQUEST message, the behaviour of the S-NG-RAN node shall be the same as specified for the same IE in the *PDU Session Resources To Be Setup List* IE in the Handover Preparation procedure, for the concerned PDU session, and the S-NG-RAN node shall include the *Security Result* IE in the *PDU Session Resource Setup Response Info – SN terminated* IE.

If the *Security Result* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE of the S-NODE ADDITION REQUEST message, the S-NG-RAN node may take the information into account when deciding whether to perform user plane integrity protection or ciphering for the DRBs that it establishes for the concerned PDU session, except if the *Split Session Indicator* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE and set to "split", in which case it shall perform user plane integrity protection or ciphering according to the information in the *Security Result* IE*.* If the S-NG-RAN node is an ng-eNB, it shall reject all PDU sessions for which the *Integrity Protection Indication* IE is set to "required" as specified in TS 33.501 [28]. If either the S-NG-RAN node or the M-NG-RAN node is an ng-eNB, the S-NG-RAN node shall behave according to clause 6.10.4 of TS 33.501 [28] for PDU sessions for which the *Integrity Protection Indication* IE is set to "preferred".

The S-NG-RAN node may include the *Location Information at S-NODE* IE in the S-NODE ADDITION REQUEST ACKNOWLEDGE message, if respective information is available at the S-NG-RAN node.

If the *Location Information at S-NODE Reporting* IE set to "pscell" is included in the S-NODE ADDITION REQUEST, the S-NG-RAN node shall, start providing information about the current location of the UE. If the *Location Information at S-NODE* IE is included in the S-NODE ADDITION REQUEST ACKNOWLEDGE, the M-NG-RAN node shall store the included information so that it may be transferred towards the AMF.

If the *Default DRB Allowed* IE is included in the *PDU Session Resource Setup Info – SN terminated* IE of the S-NODE ADDITION REQUEST message and set to "true", the S-NG-RAN node may configure the default DRB for the PDU session.

If the S-NODE ADDITION REQUEST ACKNOWLEDGE message includes the *DRB IDs taken into use* IE, the M-NG-RAN node, if applicable, shall act as specified in TS 37.340 [8].

If *Trace Activation* IE has previously been received for this UE, it shall be included in the S-NODE ADDITION REQUEST message. If the *Trace Activation* IE is included in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, initiate the requested trace function as described in TS 32.422 [23].

If the *Requested Fast MCG recovery via SRB3* IE set to "true" is included in the S-NODE ADDITION REQUEST message and the S-NG-RAN node decides to configure fast MCG link recovery via SRB3 as specified in TS 37.340 [8], the S-NG-RAN shall, if supported, include the *Available fast MCG recovery via SRB3* IE set to "true" in the S-NODE ADDITION REQUEST ACKNOWLEDGE message.

If the *QoS Monitoring Request* IE is included in the *QoS Flow Level QoS Parameters* IE for a QoS flow contained in the *DRBs To Be Setup List* IE of the *PDU Session Resource Setup Info – MN terminated* IE, the S-NG-RAN node shall, if supported, use it to configure lower layers for the purpose of delay measurement and QoS monitoring as specified in TS 23.501 [7]. If the *QoS Monitoring Reporting Frequency* IE is included in the *QoS Flow Level QoS Parameters* IE for a QoS flow contained in the *DRBs To Be Setup List* IE of the *PDU Session Resource Setup Info – MN terminated* IE, the S-NG-RAN node shall, if supported, use it for RAN part delay reporting.

For each QoS flow which has been successfully established in the S-NG-RAN node, if the *QoS Monitoring Request* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the *PDU Session Resource Setup Info – SN terminated* IE, the S-NG-RAN node shall store this information, and, if supported, perform delay measurement and QoS monitoring as specified in TS 23.501 [7]. If the *QoS Monitoring Reporting Frequency* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the *PDU Session Resource Setup Info – SN terminated* IE, the S-NG-RAN node shall store this information, and, if supported, use it for RAN part delay reporting. In case such a QoS flow is included in the *DRBs To Be Setup List* IE of the *PDU Session Resource Setup Response Info – SN terminated* IE, the M-NG-RAN node shall, if supported, use it to configure lower layers for the purpose of delay measurement and QoS monitoring. If the *QoS Monitoring Reporting Frequency* IE is included in the *DRBs To Be Setup List* IE of the *PDU Session Resource Setup Response Info – SN terminated* IE, the M-NG-RAN node shall, if supported, use it for RAN part delay reporting.

For each DRB configured as MN-terminated split bearer/SCG bearer, if the *QoS Mapping Information* IE is included in the *DRBs Admitted List* IE in the *PDU Session Resource Setup Response Info – MN terminated* IE of the S-NODE ADDITION REQUEST ACKNOWLEDGE message, the M-NG-RAN node shall, if supported, use it to set DSCP and/or flow label fields for the downlink IP packets which are transmitted from M-NG-RAN node to S-NG-RAN node through the GTP tunnels indicated by the *UP Transport Layer Information* IE.

If for a given QoS Flow the *Source DL Forwarding IP Address* IE and/or *Source Node DL Forwarding IP Address* IE is included within the *Data Forwarding and* *Offloading Info from source NG-RAN node* IE in the *PDU Session Resource Setup Info – SN terminated* IE contained in the S-NODE ADDITION REQUEST message, the S-NG-RAN node shall, if supported, store this information and use it as part of its ACL functionality configuration actions, if such ACL functionality is deployed.

If for a given QoS Flow the *Source DL Forwarding IP Address* IE is included within the *QoS Flows Mapped To DRB List* IE in the *PDU Session Resource Setup Response Info – SN terminated* IE contained in the S-NODE ADDITION REQUEST ACKNOWLEDGE message, the M-NG-RAN node shall, if supported, store this information and use it as part of its ACL functionality configuration actions, if such ACL functionality is deployed.

**Interactions with the S-NG-RAN node Reconfiguration Completion procedure:**

If the S-NG-RAN node admits at least one PDU session resource, the S-NG-RAN node shall start the timer TXnDCoverall when sending the S-NODE ADDITION REQUEST ACKNOWLEDGE message to the M-NG-RAN node. The reception of the S-NODE RECONFIGURATION COMPLETE message shall stop the timer TXnDCoverall.

**Interaction with the Activity Notification procedure**

Upon receiving an S-NODE ADDITION REQUEST message containing the *Desired Activity Notification Level* IE, the S-NG-RAN node shall, if supported, use this information to decide whether to trigger subsequent Activation Notification procedures according to the requested notification level.

#### 8.3.1.3 Unsuccessful Operation



Figure 8.3.1.3-1: S-NG-RAN node Addition Preparation, unsuccessful operation

If the S-NG-RAN node is not able to accept any of the bearers or a failure occurs during the S-NG-RAN node Addition Preparation, the S-NG-RAN node sends the S-NODE ADDITION REQUEST REJECT message with an appropriate cause value to the M-NG-RAN node.

#### 8.3.1.4 Abnormal Conditions

If the S-NG-RAN node receives an S-NODE ADDITION REQUEST message containing in a *PDU Session Resource To Be Added Item* IE neither the *PDU Session Resource Setup Info – SN terminated* IE nor the *PDU Session Resource Setup Info – MN terminated* IE, the S-NG-RAN node shall fail the S-NG-RAN node Addition Preparation procedure indicating an appropriate cause.

If the supported algorithms for encryption defined in the *NR* *Encryption Algorithms* IE in the *NR* *UE Security Capabilities* IE, plus the mandated support of NEA0 in all UEs (TS 33.501 [28]), do not match any algorithms defined in the configured list of allowed encryption algorithms in the S-NG-RAN node (TS 33.501 [28]), the S-NG-RAN node shall reject the procedure using the S-NODE ADDITION REQUEST REJECT message.

If the supported algorithms for integrity defined in the *NR Integrity Protection Algorithms* IE in the *NR* *UE Security Capabilities* IE do not match any algorithms defined in the configured list of allowed integrity protection algorithms in the S-NG-RAN node (TS 33.501 [28]), the S-NG-RAN node shall reject the procedure using the S-NODE ADDITION REQUEST REJECT message.

If the S-NG-RAN node receives an S-NODE ADDITION REQUEST message containing a *NG-RAN node UE XnAP ID* IE that does not match any existing UE Context that has such ID, the S-NG-RAN node shall reject the procedure using the S-NODE ADDITION REQUEST REJECT message.

If the M-NG-RAN node receives an S-NODE ADDITION REQUEST ACKNOWLEGE message containing a value for *PDU Session ID* in*PDU Session Resources Admitted**List* IE and in *PDU Session Resources Not Admitted List* IE, the M-NG-RAN node shall regard setup of S-NG-RAN node resources of that PDU Session as being failed.

If the S-NG-RAN node receives an S-NODE ADDITION REQUEST message containing, for a PDU session, a *PDU Session Resource Setup Info – SN terminated* IE for which the *Split Session Indicator* IE is included and set to "split", the *Security Result* IE is not included, and either the *Integrity Protection Indication* IE or the *Confidentiality Protection Indication* IE is set to "preferred", it shall reject the PDU session.

**Interaction with the M-NG-RAN node initiated S-NG-RAN node Release procedure:**

If the M-NG-RAN node receives an S-NODE ADDITION REQUEST ACKNOWLEDGE message containing in a *PDU Session Resource Admitted To Be Added Item* IE neither the *PDU Session Resource Setup Response Info – SN terminated* IE nor the *PDU Session Resource Setup Response Info – MN terminated* IE, the M-NG-RAN node shall trigger the M-NG-RAN node initiated S-NG-RAN node Release procedure indicating an appropriate cause.

If the timer TXnDCprep expires before the M-NG-RAN node has received the S-NODE ADDITION REQUEST ACKNOWLEDGE message, the M-NG-RAN node shall regard the S-NG-RAN node Addition Preparation procedure as being failed and shall trigger the M-NG-RAN node initiated S-NG-RAN node Release procedure.

**Interactions with the S-NG-RAN node Reconfiguration Completion and S-NG-RAN node initiated S-NG-RAN node Release procedure:**

If the timer TXnDCoverall expires before the S-NG-RAN node has received the S-NODE RECONFIGURATION COMPLETE or the S-NODE RELEASE REQUEST message, the S-NG-RAN node shall regard the requested RRC connection reconfiguration as being not applied by the UE and shall trigger the S-NG-RAN node initiated S-NG-RAN node Release procedure.

**<<<<<< NEXT CHANGE >>>>>>**

#### 9.2.1.17 Data Forwarding and Offloading Info from source NG-RAN node

This IE contains information from a source NG-RAN node regarding per QoS flow proposed data forwarding and offloading.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| **QoS Flows To Be Forwarded List** |  | *1* |  |  | – |  |
| **>QoS Flows To Be Forwarded Item** |  | *1 .. <maxnoofQoSFlows>* |  |  | – |  |
| >>QoS Flow Identifier  | M |  | 9.2.3.10 |  | – |  |
| >>DL Forwarding | M |  | 9.2.3.34 |  | – |  |
| >>UL Forwarding | M |  | 9.2.3.90 | This IE shall be ignored. | – |  |
| >>UL Forwarding Proposal | O |  | 9.2.3.95 |  | YES | ignore |
| >>Source DL Forwarding IP Address | O |  | Transport Layer Address9.2.3.29 | Identifies the TNL address used by the source MN for data forwarding. | YES | ignore |
| >>Source Node DL Forwarding IP Address | O |  | Transport Layer Address9.2.3.29 | This IE is present only for the case of SA to MR-DC handover and it is used to identify the TNL address allocated by the source NG-RAN node for data forwarding. |  |  |
| Source DRB to QoS Flow Mapping List | O |  | DRB to QoS Flow Mapping List9.2.1.15 | Usage of the DRB IDs indicated in the *Source DRB to QoS Flow Mapping List* IE is specified in TS 37.340 [8]. | – |  |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofQoSFlows | Maximum no. of QoS flows allowed within one PDU session. Value is 64. |

**<<<<<< NEXT CHANGE >>>>>>**

#### 9.2.1.6 PDU Session Resource Setup Response Info – SN terminated

This IE contains the result of the addition of S-NG-RAN node resources related to a PDU session for DRBs configured with an SN terminated bearer option.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| DL NG-U UP TNL Information at NG-RAN | M |  | UP Transport Layer Information 9.2.3.30 | S-NG-RAN node endpoint of the NG transport bearer. For delivery of DL PDUs. | – |  |
| **DRBs To Be Setup List** |  | *0..1* |  |  | – |  |
| **>DRBs to Be Setup Item** |  | *1 .. <maxnoofDRBs>* |  |  | – |  |
| >>DRB ID | M |  | 9.2.3.33 |  | – |  |
| >>SN UL PDCP UP TNL Information | M |  | UP Transport Parameters 9.2.3. 76 | S-NG-RAN node endpoint(s) of a DRB’s Xn transport bearer at its PDCP resource. For delivery of UL PDUs. | – |  |
| >>DRB QoS | M |  | QoS Flow Level QoS Parameters9.2.3.5 |  | – |  |
| >>PDCP SN Length | O |  | 9.2.3.63 | Indicates the PDCP SN length of the DRB. | – |  |
| >>RLC Mode | M |  | 9.2.3.28 | Indicates the RLC mode to be used in the assisting node. | – |  |
| >>secondary SN UL PDCP UP TNL Information | O |  | UP Transport Parameters 9.2.3.76 | S-NG-RAN node endpoint(s) of a DRB’s Xn transport bearer at its PDCP resource. For delivery of UL PDUs in case of PDCP duplication. | – |  |
| >>Duplication Activation | O |  | 9.2.3.71 | Information on the initial state of UL PDCP duplication.This IE is ignored if the *RLC Duplication Information* IE is present. | – |  |
| >>UL Configuration | O |  | 9.2.3.75 | Information about UL usage in the M-NG-RAN node. This IE is used when the concerned DRB has both MCG resource and SCG resource configured i.e. the concerned DRB is configured as split bearer. | – |  |
| **>>QoS Flows Mapped To DRB List** |  | *1* |  |  | – |  |
| **>>>QoS Flows Mapped To DRB Item** |  | *1 .. <maxnoofQoSFlows>* |  |  | – |  |
| >>>>QoS Flow Identifier | M |  | 9.2.3.10 |  | – |  |
| >>>>MCG requested GBR QoS Flow Information  | O |  | GBR QoS Flow Information9.2.3.6 | This IE contains GBR QoS Flow Information necessary for the MCG part.  | – |  |
| >>>>QoS Flow Mapping Indication | O |  | 9.2.3.79 |  | – |  |
| >>>>Current QoS Parameters Set Index | O |  | Alternative QoS Parameters Set Index9.2.3.103 |  | YES | ignore |
| >>>>Source DL Forwarding IP Address | O |  | Transport Layer Address9.2.3.29 | Identifies the TNL address used by the source node for data forwarding. | YES | ignore |
| **>>Additional PDCP Duplication TNL List** |  | *0..1* |  |  | YES | Ignore |
| **>>>Additional PDCP Duplication TNL Item** |  | *1 .. <maxnoofAdditionalPDCPDuplicationTNL>* |  |  | – |  |
| >>>>Additional PDCP Duplication UP TNL Information | M |  | UP Transport Parameters 9.2.3.76 | S-NG-RAN node endpoint(s) of a DRB’s Xn transport bearer at its PDCP resource. For delivery of UL PDUs in case of additional PDCP duplication. | – |  |
| >>RLC Duplication Information | O |  | 9.2.3.111 | . | – |  |
| Data Forwarding Info from target NG-RAN node | O |  | 9.2.1.16 |  | – |  |
| QoS Flows Not Admitted List | O |  | QoS Flow List with Cause9.2.1.4 |  | – |  |
| Security Result | O |  | 9.2.3.67 |  | – |  |
| DRB IDs taken into use | O |  | DRB List 9.2.1.29 | Indicating the DRB IDs taken into use by the target NG-RAN node, as specified in TS 37.340 [8]. | YES | reject |
| Redundant DL NG-U UP TNL Information at NG-RAN | O |  | UP Transport Layer Information9.2.3.30 | S-NG-RAN node endpoint of the NG transport bearer. For delivery of DL PDUs for the redundant transmission. | YES | ignore |
| Used RSN Information | O |  | Redundant PDU Session Information9.2.3.112 |  | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofDRBs | Maximum no. of DRBs allowed towards one UE. Value is 32.  |
| maxnoofQoSFlows | Maximum no. of QoS flows. Value is 64 |
| maxnoofAdditionalPDCPDuplicationTNL | Maximum no. of additional PDCP Duplication TNL. Value is 2. |

**<<<<<< NEXT CHANGE >>>>>>**

### 9.3.4 PDU Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- PDU definitions for XnAP.

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

XnAP-PDU-Contents {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-access (22) modules (3) xnap (2) version1 (1) xnap-PDU-Contents (1) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- IE parameter types from other modules.

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

IMPORTS

 ActivationIDforCellActivation,

 AMF-Region-Information,

 AMF-UE-NGAP-ID,

 AS-SecurityInformation,

 AssistanceDataForRANPaging,

 BitRate,

 Cause,

 CellAndCapacityAssistanceInfo-EUTRA,

 CellAndCapacityAssistanceInfo-NR,

 CellAssistanceInfo-EUTRA,

 CellAssistanceInfo-NR,

 CHOinformation-Req,

 CHOinformation-Ack,

 CHO-MRDC-EarlyDataForwarding,

 CHO-MRDC-Indicator,

 CPTransportLayerInformation,

 TNLA-To-Add-List,

 TNLA-To-Update-List,

 TNLA-To-Remove-List,

 TNLA-Setup-List,

 TNLA-Failed-To-Setup-List,

 CriticalityDiagnostics,

 XnUAddressInfoperPDUSession-List,

 DAPSResponseInfo-List,

 DataTrafficResourceIndication,

 DeliveryStatus,

 DesiredActNotificationLevel,

 DRB-ID,

 DRB-List,

 DRB-Number,

 DRBsSubjectToDLDiscarding-List,

 DRBsSubjectToEarlyStatusTransfer-List,

 DRBsSubjectToStatusTransfer-List,

 DRBToQoSFlowMapping-List,

 E-UTRA-CGI,

 ExpectedUEActivityBehaviour,

 ExpectedUEBehaviour,

 ExtendedUEIdentityIndexValue,

 FiveGCMobilityRestrictionListContainer,

 GlobalCell-ID,

 GlobalNG-RANNode-ID,

 GlobalNG-RANCell-ID,

 GUAMI,

 InterfaceInstanceIndication,

 I-RNTI,

 LocationInformationSNReporting,

 LocationReportingInformation,

 LowerLayerPresenceStatusChange,

 LTEUESidelinkAggregateMaximumBitRate,

 LTEV2XServicesAuthorized,

 MR-DC-ResourceCoordinationInfo,

 ServedCells-E-UTRA,

 ServedCells-NR,

 ServedCellsToUpdate-E-UTRA,

 ServedCellsToUpdate-NR,

 MAC-I,

 MaskedIMEISV,

 MDT-Configuration,

 MDTPLMNList,

 MobilityRestrictionList,

 NG-RAN-Cell-Identity,

 NG-RANnodeUEXnAPID,

 NR-CGI,

 NE-DC-TDM-Pattern,

 NRUESidelinkAggregateMaximumBitRate,

 NRV2XServicesAuthorized,

 PagingDRX,

 PagingeDRXInformation,

 PagingPriority,

 PartialListIndicator,

 PLMN-Identity,

 PDCPChangeIndication,

 PDUSessionAggregateMaximumBitRate,

 PDUSession-ID,

 PDUSession-List,

 PDUSession-List-withCause,

 PDUSession-List-withDataForwardingFromTarget,

 PDUSession-List-withDataForwardingRequest,

 PDUSessionResourcesAdmitted-List,

 PDUSessionResourcesNotAdmitted-List,

 PDUSessionResourcesToBeSetup-List,

 PDUSessionResourceChangeRequiredInfo-SNterminated,

 PDUSessionResourceChangeRequiredInfo-MNterminated,

 PDUSessionResourceChangeConfirmInfo-SNterminated,

 PDUSessionResourceChangeConfirmInfo-MNterminated,

 PDUSessionResourceSecondaryRATUsageList,

 PDUSessionResourceSetupInfo-SNterminated,

 PDUSessionResourceSetupInfo-MNterminated,

 PDUSessionResourceSetupResponseInfo-SNterminated,

 PDUSessionResourceSetupResponseInfo-MNterminated,

 PDUSessionResourceModificationInfo-SNterminated,

 PDUSessionResourceModificationInfo-MNterminated,

 PDUSessionResourceModificationResponseInfo-SNterminated,

 PDUSessionResourceModificationResponseInfo-MNterminated,

 PDUSessionResourceModConfirmInfo-SNterminated,

 PDUSessionResourceModConfirmInfo-MNterminated,

 PDUSessionResourceModRqdInfo-SNterminated,

 PDUSessionResourceModRqdInfo-MNterminated,

 PDUSessionType,

 PC5QoSParameters,

 QoSFlowIdentifier,

 QoSFlowNotificationControlIndicationInfo,

 QoSFlows-List,

 RANPagingArea,

 ResetRequestTypeInfo,

 ResetResponseTypeInfo,

 RFSP-Index,

 RRCConfigIndication,

 RRCResumeCause,

 SCGConfigurationQuery,

 SecurityIndication,

 S-NG-RANnode-SecurityKey,

 SpectrumSharingGroupID,

 SplitSRBsTypes,

 S-NG-RANnode-Addition-Trigger-Ind,

 S-NSSAI,

 TargetCellList,

 TAISupport-List,

 Target-CGI,

 TimeToWait,

 TraceActivation,

 UEAggregateMaximumBitRate,

 UEContextID,

 UEContextInfoRetrUECtxtResp,

 UEContextKeptIndicator,

 UEHistoryInformation,

 UEIdentityIndexValue,

 UERadioCapabilityForPaging,

 UERadioCapabilityID,

 UERANPagingIdentity,

 UESecurityCapabilities,

 UPTransportLayerInformation,

 UserPlaneTrafficActivityReport,

 XnBenefitValue,

 RANPagingFailure,

 TNLConfigurationInfo,

 MaximumCellListSize,

 MessageOversizeNotification,

 NG-RANTraceID,

 MobilityInformation,

 InitiatingCondition-FailureIndication,

 HandoverReportType,

 TargetCellinEUTRAN,

 C-RNTI,

 UERLFReportContainer,

 Measurement-ID,

 RegistrationRequest,

 ReportCharacteristics,

 CellToReport,

 ReportingPeriodicity,

 CellMeasurementResult,

 UEHistoryInformationFromTheUE,

 MobilityParametersInformation,

 MobilityParametersModificationRange,

 RACHReportInformation,

 IABNodeIndication,

 SNTriggered,

 SCGIndicator,

 UESpecificDRX

FROM XnAP-IEs

 PrivateIE-Container{},

 ProtocolExtensionContainer{},

 ProtocolIE-Container{},

 ProtocolIE-ContainerList{},

 ProtocolIE-ContainerPair{},

 ProtocolIE-ContainerPairList{},

 ProtocolIE-Single-Container{},

 XNAP-PRIVATE-IES,

 XNAP-PROTOCOL-EXTENSION,

 XNAP-PROTOCOL-IES,

 XNAP-PROTOCOL-IES-PAIR

FROM XnAP-Containers

 id-ActivatedServedCells,

 id-ActivationIDforCellActivation,

 id-AdditionalDRBIDs,

 id-AMF-Region-Information,

 id-AMF-Region-Information-To-Add,

 id-AMF-Region-Information-To-Delete,

 id-AssistanceDataForRANPaging,

 id-AvailableDRBIDs,

 id-Cause,

 id-cellAssistanceInfo-EUTRA,

 id-cellAssistanceInfo-NR,

 id-CellAndCapacityAssistanceInfo-EUTRA,

 id-CellAndCapacityAssistanceInfo-NR,

 id-ConfigurationUpdateInitiatingNodeChoice,

 id-UEContextID,

 id-CriticalityDiagnostics,

 id-XnUAddressInfoperPDUSession-List,

 id-DesiredActNotificationLevel,

 id-DRBsSubjectToStatusTransfer-List,

 id-ExpectedUEBehaviour,

 id-ExtendedUEIdentityIndexValue,

 id-FiveGCMobilityRestrictionListContainer,

 id-GlobalNG-RAN-node-ID,

 id-GUAMI,

 id-indexToRatFrequSelectionPriority,

 id-List-of-served-cells-E-UTRA,

 id-List-of-served-cells-NR,

 id-LocationInformationSN,

 id-LocationInformationSNReporting,

 id-LocationReportingInformation,

 id-LTEUESidelinkAggregateMaximumBitRate,

 id-LTEV2XServicesAuthorized,

 id-MAC-I,

 id-MaskedIMEISV,

 id-MDT-Configuration,

 id-MDTPLMNList,

 id-MN-to-SN-Container,

 id-MobilityRestrictionList,

 id-M-NG-RANnodeUEXnAPID,

 id-new-NG-RAN-Cell-Identity,

 id-newNG-RANnodeUEXnAPID,

 id-NRUESidelinkAggregateMaximumBitRate,

 id-NRV2XServicesAuthorized,

 id-oldNG-RANnodeUEXnAPID,

 id-OldtoNewNG-RANnodeResumeContainer,

 id-PagingDRX,

 id-PagingeDRXInformation,

 id-PagingPriority,

 id-PartialListIndicator-EUTRA,

 id-PartialListIndicator-NR,

 id-PCellID,

 id-PDUSessionResourceSecondaryRATUsageList,

 id-PDUSessionResourcesActivityNotifyList,

 id-PDUSessionResourcesAdmitted-List,

 id-PDUSessionResourcesNotAdmitted-List,

 id-PDUSessionResourcesNotifyList,

 id-PDUSessionToBeAddedAddReq,

 id-PDUSessionToBeReleased-RelReqAck,

 id-procedureStage,

 id-RANPagingArea,

 id-requestedSplitSRB,

 id-RequiredNumberOfDRBIDs,

 id-ResetRequestTypeInfo,

 id-ResetResponseTypeInfo,

 id-RespondingNodeTypeConfigUpdateAck,

 id-RRCResumeCause,

 id-selectedPLMN,

 id-ServedCellsToActivate,

 id-servedCellsToUpdate-E-UTRA,

 id-ServedCellsToUpdateInitiatingNodeChoice,

 id-servedCellsToUpdate-NR,

 id-sourceNG-RANnodeUEXnAPID,

 id-SpareDRBIDs,

 id-S-NG-RANnodeMaxIPDataRate-UL,

 id-S-NG-RANnodeMaxIPDataRate-DL,

 id-S-NG-RANnodeUEXnAPID,

 id-TAISupport-list,

 id-Target2SourceNG-RANnodeTranspContainer,

 id-targetCellGlobalID,

 id-targetNG-RANnodeUEXnAPID,

 id-TimeToWait,

 id-TNLA-To-Add-List,

 id-TNLA-To-Update-List,

 id-TNLA-To-Remove-List,

 id-TNLA-Setup-List,

 id-TNLA-Failed-To-Setup-List,

 id-TraceActivation,

 id-UEContextInfoHORequest,

 id-UEContextInfoRetrUECtxtResp,

 id-UEContextKeptIndicator,

 id-UEContextRefAtSN-HORequest,

 id-UEHistoryInformation,

 id-UEIdentityIndexValue,

 id-UERANPagingIdentity,

 id-UESecurityCapabilities,

 id-UserPlaneTrafficActivityReport,

 id-XnRemovalThreshold,

 id-PDUSessionAdmittedAddedAddReqAck,

 id-PDUSessionNotAdmittedAddReqAck,

 id-SN-to-MN-Container,

 id-RRCConfigIndication,

 id-SplitSRB-RRCTransfer,

 id-UEReportRRCTransfer,

 id-PDUSessionReleasedList-RelConf,

 id-BearersSubjectToCounterCheck,

 id-PDUSessionToBeReleasedList-RelRqd,

 id-ResponseInfo-ReconfCompl,

 id-initiatingNodeType-ResourceCoordRequest,

 id-respondingNodeType-ResourceCoordResponse,

 id-PDUSessionToBeReleased-RelReq,

 id-PDUSession-SNChangeRequired-List,

 id-PDUSession-SNChangeConfirm-List,

 id-PDCPChangeIndication,

 id-PC5QoSParameters,

 id-SCGConfigurationQuery,

 id-UEContextInfo-SNModRequest,

 id-requestedSplitSRBrelease,

 id-PDUSessionAdmitted-SNModResponse,

 id-PDUSessionNotAdmitted-SNModResponse,

 id-admittedSplitSRB,

 id-admittedSplitSRBrelease,

 id-PDUSessionAdmittedModSNModConfirm,

 id-PDUSessionReleasedSNModConfirm,

 id-s-ng-RANnode-SecurityKey,

 id-PDUSessionToBeModifiedSNModRequired,

 id-S-NG-RANnodeUE-AMBR,

 id-PDUSessionToBeReleasedSNModRequired,

 id-target-S-NG-RANnodeID,

 id-S-NSSAI,

 id-MR-DC-ResourceCoordinationInfo,

 id-RANPagingFailure,

 id-UERadioCapabilityForPaging,

 id-PDUSessionDataForwarding-SNModResponse,

 id-Secondary-MN-Xn-U-TNLInfoatM,

 id-NE-DC-TDM-Pattern,

 id-InterfaceInstanceIndication,

 id-S-NG-RANnode-Addition-Trigger-Ind,

 id-SNTriggered,

 id-DRBs-transferred-to-MN,

 id-TNLConfigurationInfo,

 id-MessageOversizeNotification,

 id-NG-RANTraceID,

 id-FastMCGRecoveryRRCTransfer-SN-to-MN,

 id-FastMCGRecoveryRRCTransfer-MN-to-SN,

 id-RequestedFastMCGRecoveryViaSRB3,

 id-AvailableFastMCGRecoveryViaSRB3,

 id-RequestedFastMCGRecoveryViaSRB3Release,

 id-ReleaseFastMCGRecoveryViaSRB3,

 id-CHOinformation-Req,

 id-CHOinformation-Ack,

 id-targetCellsToCancel,

 id-requestedTargetCellGlobalID,

 id-DAPSResponseInfo-List,

 id-CHO-MRDC-EarlyDataForwarding,

 id-CHO-MRDC-Indicator,

 id-MobilityInformation,

 id-InitiatingCondition-FailureIndication,

 id-UEHistoryInformationFromTheUE,

 id-HandoverReportType,

 id-HandoverCause,

 id-SourceCellCGI,

 id-TargetCellCGI,

 id-ReEstablishmentCellCGI,

 id-TargetCellinEUTRAN,

 id-SourceCellCRNTI,

 id-UERLFReportContainer,

 id-NGRAN-Node1-Measurement-ID,

 id-NGRAN-Node2-Measurement-ID,

 id-RegistrationRequest,

 id-ReportCharacteristics,

 id-CellToReport,

 id-ReportingPeriodicity,

 id-CellMeasurementResult,

 id-NG-RANnode1CellID,

 id-NG-RANnode2CellID,

 id-NG-RANnode1MobilityParameters,

 id-NG-RANnode2ProposedMobilityParameters,

 id-MobilityParametersModificationRange,

 id-RACHReportInformation,

 id-IABNodeIndication,

 id-UERadioCapabilityID,

 id-SCGIndicator,

 id-UESpecificDRX,

 id-PDUSessionExpectedUEActivityBehaviour,

 id-SourceDLForwardingIPAddress,

 id-SourceNodeDLForwardingIPAddress,

 maxnoofCellsinNG-RANnode,

 maxnoofDRBs,

 maxnoofPDUSessions,

 maxnoofQoSFlows

**<<<<<< NEXT CHANGE >>>>>>**

DataforwardingandOffloadingInfofromSource ::= SEQUENCE {

 qosFlowsToBeForwarded QoSFLowsToBeForwarded-List,

 sourceDRBtoQoSFlowMapping DRBToQoSFlowMapping-List OPTIONAL,

 iE-Extension ProtocolExtensionContainer { {DataforwardingandOffloadingInfofromSource-ExtIEs} } OPTIONAL,

 ...

}

DataforwardingandOffloadingInfofromSource-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

 ...

}

QoSFLowsToBeForwarded-List ::= SEQUENCE (SIZE(1.. maxnoofQoSFlows)) OF QoSFLowsToBeForwarded-Item

QoSFLowsToBeForwarded-Item ::= SEQUENCE {

 qosFlowIdentifier QoSFlowIdentifier,

 dl-dataforwarding DLForwarding,

 ul-dataforwarding ULForwarding,

 iE-Extension ProtocolExtensionContainer { {QoSFLowsToBeForwarded-Item-ExtIEs} } OPTIONAL,

 ...

}

QoSFLowsToBeForwarded-Item-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

{ ID id-ULForwardingProposal CRITICALITY ignore EXTENSION ULForwardingProposal PRESENCE optional }|

{ ID id-SourceDLForwardingIPAddress CRITICALITY ignore EXTENSION TransportLayerAddress PRESENCE optional}|

{ ID id-SourceNodeDLForwardingIPAddress CRITICALITY ignore EXTENSION TransportLayerAddress PRESENCE optional},

 ...

}

**<<<<<< NEXT CHANGE >>>>>>**

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- PDU Session Resource Setup Response Info - SN terminated

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

PDUSessionResourceSetupResponseInfo-SNterminated ::= SEQUENCE {

 dL-NG-U-TNLatNG-RAN UPTransportLayerInformation,

 dRBsToBeSetup DRBsToBeSetupList-SetupResponse-SNterminated OPTIONAL,

 dataforwardinginfoTarget DataForwardingInfoFromTargetNGRANnode OPTIONAL,

 qosFlowsNotAdmittedList QoSFlows-List-withCause OPTIONAL,

 securityResult SecurityResult OPTIONAL,

 iE-Extensions ProtocolExtensionContainer { {PDUSessionResourceSetupResponseInfo-SNterminated-ExtIEs} } OPTIONAL,

 ...

}

PDUSessionResourceSetupResponseInfo-SNterminated-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

 { ID id-DRB-IDs-takenintouse CRITICALITY reject EXTENSION DRB-List PRESENCE optional}|

 { ID id-Redundant-DL-NG-U-TNLatNG-RAN CRITICALITY ignore EXTENSION UPTransportLayerInformation PRESENCE optional}|

 { ID id-UsedRSNInformation CRITICALITY ignore EXTENSION RedundantPDUSessionInformation PRESENCE optional},

 ...

}

DRBsToBeSetupList-SetupResponse-SNterminated ::= SEQUENCE (SIZE(1..maxnoofDRBs)) OF DRBsToBeSetupList-SetupResponse-SNterminated-Item

DRBsToBeSetupList-SetupResponse-SNterminated-Item ::= SEQUENCE {

 drb-ID DRB-ID,

 sN-UL-PDCP-UP-TNLInfo UPTransportParameters,

 dRB-QoS QoSFlowLevelQoSParameters,

 pDCP-SNLength PDCPSNLength OPTIONAL,

 rLC-Mode RLCMode,

 uL-Configuration ULConfiguration OPTIONAL,

 secondary-SN-UL-PDCP-UP-TNLInfo UPTransportParameters OPTIONAL,

 duplicationActivation DuplicationActivation OPTIONAL,

 qoSFlowsMappedtoDRB-SetupResponse-SNterminated QoSFlowsMappedtoDRB-SetupResponse-SNterminated,

 iE-Extensions ProtocolExtensionContainer { {DRBsToBeSetupList-SetupResponse-SNterminated-Item-ExtIEs} } OPTIONAL,

 ...

}

DRBsToBeSetupList-SetupResponse-SNterminated-Item-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

 { ID id-Additional-PDCP-Duplication-TNL-List CRITICALITY ignore EXTENSION Additional-PDCP-Duplication-TNL-List PRESENCE optional}|

 { ID id-RLCDuplicationInformation CRITICALITY ignore EXTENSION RLCDuplicationInformation PRESENCE optional},

 ...

}

QoSFlowsMappedtoDRB-SetupResponse-SNterminated ::= SEQUENCE (SIZE(1..maxnoofQoSFlows)) OF

 QoSFlowsMappedtoDRB-SetupResponse-SNterminated-Item

QoSFlowsMappedtoDRB-SetupResponse-SNterminated-Item ::= SEQUENCE {

 qoSFlowIdentifier QoSFlowIdentifier,

 mCGRequestedGBRQoSFlowInfo GBRQoSFlowInfo OPTIONAL,

 qosFlowMappingIndication QoSFlowMappingIndication OPTIONAL,

 iE-Extensions ProtocolExtensionContainer { {QoSFlowsMappedtoDRB-SetupResponse-SNterminated-Item-ExtIEs} } OPTIONAL,

 ...

}

QoSFlowsMappedtoDRB-SetupResponse-SNterminated-Item-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

 { ID id-CurrentQoSParaSetIndex CRITICALITY ignore EXTENSION QoSParaSetIndex PRESENCE optional }|

{ ID id-SourceDLForwardingIPAddress CRITICALITY ignore EXTENSION TransportLayerAddress PRESENCE optional},

 ...

}

**<<<<<< NEXT CHANGE >>>>>>**

### 9.3.7 Constant definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Constant definitions

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

XnAP-Constants {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-Access (22) modules (3) xnap (2) version1 (1) xnap-Constants (4) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

 ProcedureCode,

 ProtocolIE-ID

FROM XnAP-CommonDataTypes;

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Elementary Procedures

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

id-handoverPreparation ProcedureCode ::= 0

id-sNStatusTransfer ProcedureCode ::= 1

id-handoverCancel ProcedureCode ::= 2

id-retrieveUEContext ProcedureCode ::= 3

id-rANPaging ProcedureCode ::= 4

id-xnUAddressIndication ProcedureCode ::= 5

id-uEContextRelease ProcedureCode ::= 6

id-sNGRANnodeAdditionPreparation ProcedureCode ::= 7

id-sNGRANnodeReconfigurationCompletion ProcedureCode ::= 8

id-mNGRANnodeinitiatedSNGRANnodeModificationPreparation ProcedureCode ::= 9

id-sNGRANnodeinitiatedSNGRANnodeModificationPreparation ProcedureCode ::= 10

id-mNGRANnodeinitiatedSNGRANnodeRelease ProcedureCode ::= 11

id-sNGRANnodeinitiatedSNGRANnodeRelease ProcedureCode ::= 12

id-sNGRANnodeCounterCheck ProcedureCode ::= 13

id-sNGRANnodeChange ProcedureCode ::= 14

id-rRCTransfer ProcedureCode ::= 15

id-xnRemoval ProcedureCode ::= 16

id-xnSetup ProcedureCode ::= 17

id-nGRANnodeConfigurationUpdate ProcedureCode ::= 18

id-cellActivation ProcedureCode ::= 19

id-reset ProcedureCode ::= 20

id-errorIndication ProcedureCode ::= 21

id-privateMessage ProcedureCode ::= 22

id-notificationControl ProcedureCode ::= 23

id-activityNotification ProcedureCode ::= 24

id-e-UTRA-NR-CellResourceCoordination ProcedureCode ::= 25

id-secondaryRATDataUsageReport ProcedureCode ::= 26

id-deactivateTrace ProcedureCode ::= 27

id-traceStart ProcedureCode ::= 28

id-handoverSuccess ProcedureCode ::= 29

id-conditionalHandoverCancel ProcedureCode ::= 30

id-earlyStatusTransfer ProcedureCode ::= 31

id-failureIndication ProcedureCode ::= 32

id-handoverReport ProcedureCode ::= 33

id-resourceStatusReportingInitiation ProcedureCode ::= 34

id-resourceStatusReporting ProcedureCode ::= 35

id-mobilitySettingsChange ProcedureCode ::= 36

id-accessAndMobilityIndication ProcedureCode ::= 37

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Lists

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

maxEARFCN INTEGER ::= 262143

maxnoofAllowedAreas INTEGER ::= 16

maxnoofAMFRegions INTEGER ::= 16

maxnoofAoIs INTEGER ::= 64

maxnoofBluetoothName INTEGER ::= 4

maxnoofBPLMNs INTEGER ::= 12

maxnoofCAGs INTEGER ::= 12

maxnoofCAGsperPLMN INTEGER ::= 256

maxnoofCellIDforMDT INTEGER ::= 32

maxnoofCellsinAoI INTEGER ::= 256

maxnoofCellsinUEHistoryInfo INTEGER ::= 16

maxnoofCellsinNG-RANnode INTEGER ::= 16384

maxnoofCellsinRNA INTEGER ::= 32

maxnoofCellsUEMovingTrajectory INTEGER ::= 16

maxnoofDRBs INTEGER ::= 32

maxnoofEUTRABands INTEGER ::= 16

maxnoofEUTRABPLMNs INTEGER ::= 6

maxnoofEPLMNs INTEGER ::= 15

maxnoofExtSliceItems INTEGER ::= 65535

maxnoofEPLMNsplus1 INTEGER ::= 16

maxnoofForbiddenTACs INTEGER ::= 4096

maxnoofFreqforMDT INTEGER ::= 8

maxnoofMBSFNEUTRA INTEGER ::= 8

maxnoofMDTPLMNs INTEGER ::= 16

maxnoofMultiConnectivityMinusOne INTEGER ::= 3

maxnoofNeighbours INTEGER ::= 1024

maxnoofNeighPCIforMDT INTEGER ::= 32

maxnoofNIDs INTEGER ::= 12

maxnoofNRCellBands INTEGER ::= 32

maxnoofPLMNs INTEGER ::= 16

maxnoofPDUSessions INTEGER ::= 256

maxnoofProtectedResourcePatterns INTEGER ::= 16

maxnoofQoSFlows INTEGER ::= 64

maxnoofQoSParaSets INTEGER ::= 8

maxnoofRANAreaCodes INTEGER ::= 32

maxnoofRANAreasinRNA INTEGER ::= 16

maxnoofRANNodesinAoI INTEGER ::= 64

maxnoofSCellGroups INTEGER ::= 3

maxnoofSCellGroupsplus1 INTEGER ::= 4

maxnoofSensorName INTEGER ::= 3

maxnoofSliceItems INTEGER ::= 1024

maxnoofSNPNIDs INTEGER ::= 12

maxnoofsupportedPLMNs INTEGER ::= 12

maxnoofsupportedTACs INTEGER ::= 256

maxnoofTAforMDT INTEGER ::= 8

maxnoofTAI INTEGER ::= 16

maxnoofTAIsinAoI INTEGER ::= 16

maxnooftimeperiods INTEGER ::= 2

maxnoofTNLAssociations INTEGER ::= 32

maxnoofUEContexts INTEGER ::= 8192

maxNRARFCN INTEGER ::= 3279165

maxNrOfErrors INTEGER ::= 256

maxnoofslots INTEGER ::= 5120

maxnoofExtTLAs INTEGER ::= 16

maxnoofGTPTLAs INTEGER ::= 16

maxnoofCHOcells INTEGER ::= 8

maxnoofPC5QoSFlows INTEGER ::= 2064

maxnoofSSBAreas INTEGER ::= 64

maxnoofRACHReports INTEGER ::= 64

maxnoofNRSCSs INTEGER ::= 5

maxnoofPhysicalResourceBlocks INTEGER ::= 275

maxnoofAdditionalPDCPDuplicationTNL INTEGER ::= 2

maxnoofRLCDuplicationstate INTEGER ::= 3

maxnoofWLANName INTEGER ::= 4

maxnoofNonAnchorCarrierFreqConfig INTEGER ::= 15

maxnoofDataForwardingTunneltoE-UTRAN INTEGER ::= 256

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- IEs

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

id-ActivatedServedCells ProtocolIE-ID ::= 0

id-ActivationIDforCellActivation ProtocolIE-ID ::= 1

id-admittedSplitSRB ProtocolIE-ID ::= 2

id-admittedSplitSRBrelease ProtocolIE-ID ::= 3

id-AMF-Region-Information ProtocolIE-ID ::= 4

id-AssistanceDataForRANPaging ProtocolIE-ID ::= 5

id-BearersSubjectToCounterCheck ProtocolIE-ID ::= 6

id-Cause ProtocolIE-ID ::= 7

id-cellAssistanceInfo-NR ProtocolIE-ID ::= 8

id-ConfigurationUpdateInitiatingNodeChoice ProtocolIE-ID ::= 9

id-CriticalityDiagnostics ProtocolIE-ID ::= 10

id-XnUAddressInfoperPDUSession-List ProtocolIE-ID ::= 11

id-DRBsSubjectToStatusTransfer-List ProtocolIE-ID ::= 12

id-ExpectedUEBehaviour ProtocolIE-ID ::= 13

id-GlobalNG-RAN-node-ID ProtocolIE-ID ::= 14

id-GUAMI ProtocolIE-ID ::= 15

id-indexToRatFrequSelectionPriority ProtocolIE-ID ::= 16

id-initiatingNodeType-ResourceCoordRequest ProtocolIE-ID ::= 17

id-List-of-served-cells-E-UTRA ProtocolIE-ID ::= 18

id-List-of-served-cells-NR ProtocolIE-ID ::= 19

id-LocationReportingInformation ProtocolIE-ID ::= 20

id-MAC-I ProtocolIE-ID ::= 21

id-MaskedIMEISV ProtocolIE-ID ::= 22

id-M-NG-RANnodeUEXnAPID ProtocolIE-ID ::= 23

id-MN-to-SN-Container ProtocolIE-ID ::= 24

id-MobilityRestrictionList ProtocolIE-ID ::= 25

id-new-NG-RAN-Cell-Identity ProtocolIE-ID ::= 26

id-newNG-RANnodeUEXnAPID ProtocolIE-ID ::= 27

id-UEReportRRCTransfer ProtocolIE-ID ::= 28

id-oldNG-RANnodeUEXnAPID ProtocolIE-ID ::= 29

id-OldtoNewNG-RANnodeResumeContainer ProtocolIE-ID ::= 30

id-PagingDRX ProtocolIE-ID ::= 31

id-PCellID ProtocolIE-ID ::= 32

id-PDCPChangeIndication ProtocolIE-ID ::= 33

id-PDUSessionAdmittedAddedAddReqAck ProtocolIE-ID ::= 34

id-PDUSessionAdmittedModSNModConfirm ProtocolIE-ID ::= 35

id-PDUSessionAdmitted-SNModResponse ProtocolIE-ID ::= 36

id-PDUSessionNotAdmittedAddReqAck ProtocolIE-ID ::= 37

id-PDUSessionNotAdmitted-SNModResponse ProtocolIE-ID ::= 38

id-PDUSessionReleasedList-RelConf ProtocolIE-ID ::= 39

id-PDUSessionReleasedSNModConfirm ProtocolIE-ID ::= 40

id-PDUSessionResourcesActivityNotifyList ProtocolIE-ID ::= 41

id-PDUSessionResourcesAdmitted-List ProtocolIE-ID ::= 42

id-PDUSessionResourcesNotAdmitted-List ProtocolIE-ID ::= 43

id-PDUSessionResourcesNotifyList ProtocolIE-ID ::= 44

id-PDUSession-SNChangeConfirm-List ProtocolIE-ID ::= 45

id-PDUSession-SNChangeRequired-List ProtocolIE-ID ::= 46

id-PDUSessionToBeAddedAddReq ProtocolIE-ID ::= 47

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END

-- ASN1STOP

**<<<<<< END OF CHANGES >>>>>>**