**3GPP TSG-RAN WG3 Meeting #114b-e *R3-221131***

**E-meeting, 17-26 Jan 2022**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **36.423** | **CR** | **1663** | **rev** | **1** | **Current version:** | **16.8.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Supporting EPS User Plane Integrity Protection | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, Orange, CATT | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | UPIP\_SEC\_LTE-RAN-Core | | | | |  | ***Date:*** | | | 2022-01-17 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | The new WID on User plane integrity protection support for EPC connected architectures is agreed in RP-213369, where only EN-DC capable devices are applicable.  This CR provides protocols updates to support the UPIP for EPS. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | * Add the security Indication (including the UP integrity protection indication) and the security result per E-RAB in the related messages, * Update the UE Security Capabilities IE to include the UE capability to support the UPIP. * Add a new cause value “UP integrity protection not possible” and UP result in the response messages | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | User plane integrity protection support for EPC connected architectures is not supported.  Not aligned with specifications in other groups. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 8.2.1.2, 8.7.4.2, 8.7.6.2, 9.1.1.1, 9.1.4.1, 9.1.4.2, 9.1.4.5, 9.1.4.6, 9.2.6, 9.2.107, 9.2.x1, 9.2.x2, ASN.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 36.413 CR 1852  TS 38.463 CR 0678 | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Rev0: R3-220659  Rev1: R3-221131  Add editor’s notes on the security result report and UE user plane integrity protection capability.  The criticality of the Security Indication is FFS  Update the IE name to align with S1AP BLCR etc. | | | | | | | | |

*1stCHANGE*

### 8.2.1 Handover Preparation

#### 8.2.1.1 General

This procedure is used to establish necessary resources in an eNB for an incoming handover. If the procedure concerns a conditional handover, parallel transactions are allowed. Possible parallel requests are identified by the target cell ID when the source UE AP IDs are the same.

The procedure uses UE-associated signalling.

#### 8.2.1.2 Successful Operation



Figure 8.2.1.2-1: Handover Preparation, successful operation

**<Unchanged Text Omitted>**

If the *IMS Voice EPS Fallback from 5G* IE is contained in the HANDOVER REQUEST message, the target eNB shall, if supported, store this information in the UE context and consider that the UE was previously handed over from NG-RAN to E-UTRAN due to an IMS voice fallback.

For each E-RAB for which the *Security Indication* IE is included in the *E-RAB To Be Setup Item IEs* IE of the HANDOVER REQUEST message, and the EIA7 bit in the *Integrity Protection Algorithms* IE contained in *UE Security Capabilities* IE is set to '1':

- if the *Integrity Protection Indication* IE is set to "required", the eNB shall, if supported, perform user plane integrity protection for the concerned E-RAB as specified in TS 33.401 [15].

- if the *Integrity Protection Indication* IE is set to "preferred", the eNB should perform user plane integrity protection for the concerned E-RAB as specified in TS 33.401 [15].

- if the *Integrity Protection Indication* IE is set to "not needed", the eNB shall not perform user plane integrity protection for the concerned E-RAB.

**<Unchanged Text Omitted>**

### 8.7.4 SgNB Addition Preparation

#### 8.7.4.1 General

The purpose of the SgNB Addition Preparation procedure is to request the en-gNB to allocate resources for EN-DC connectivity operation for a specific UE.

The procedure uses UE-associated signalling.

#### 8.7.4.2 Successful Operation



Figure 8.7.4.2-1: SgNB Addition Preparation, successful operation

**<Unchanged Text Omitted>**

For each requested E-RAB configured as MN-terminated split bearer/SCG bearer, if the *QoS Mapping Information* IE is contained in the *GTP Tunnel Endpoint* IE in the SGNB ADDITION REQUEST ACKNOWLEDGE message, the MeNB shall, if supported, use it to set DSCP and/or flow label fields for the downlink IP packets which are transmitted from MeNB to en-gNB through the GTP tunnels indicated by the *GTP Tunnel Endpoint* IE.

If the *Source NG-RAN Node ID* IE is included in the SGNB ADDITION REQUEST message, the en-gNB shall, if supported, use it to decide the direct data forwarding path availability with the indicated source NG-RAN node, and if the direct data forwarding path is available, include the *Direct Forwarding Path Availability* IE in the SGNB ADDITION REQUEST ACKNOWLEDGE message.

If the *UE Integrity Protection Capability Indication* IE set to “supported” is included in the SGNB ADDITION REQUEST message, for each E-RAB for which the *Security Indication* IE is included in the *E-RABs To Be Added Item* IE of the SGNB ADDITION REQUEST message:

- if the *Integrity Protection Indication* IE is set to "required", the en-gNB shall, if supported, perform user plane integrity protection for the concerned E-RAB as specified in TS 33.401 [15].

- if the *Integrity Protection Indication* IE is set to "preferred", the en-gNB should perform user plane integrity protection for the concerned E-RAB as specified in TS 33.401 [15], and it shall notify the MeNB whether it performed the user plane integrity protection by including the *Integrity Protection result* IE in the *Security Result* IE of the SGNB ADDITION REQUEST ACKNOWLEDGE message.

- if the Integrity Protection Indication IE is set to "not needed", the en-gNB shall not perform user plane integrity protection for the concerned E-RAB.

Editor’s Note: How to indicate the UE integrity protection capability to the en-gNB is FFS.

Editor’s Note: Whether the en-gNB reports the security result to the MeNB is FFS.

**<Unchanged Text Omitted>**

### 8.7.6 MeNB initiated SgNB Modification Preparation

#### 8.7.6.1 General

This procedure is used to enable an MeNB to request an en-gNB to modify the UE context at the en-gNB, or to query the current SCG configuration for supporting delta signalling in MeNB initiated SgNB change, or to provide the S-RLF-related information to the en-gNB.

The procedure uses UE-associated signalling.

#### 8.7.6.2 Successful Operation



Figure 8.7.6.2-1: MeNB initiated SgNB Modification Preparation, successful operation

The MeNB initiates the procedure by sending the SGNB MODIFICATION REQUEST message to the en-gNB. When the MeNB sends the SGNB MODIFICATION REQUEST message, it shall start the timer TDCprep.

The SGNB MODIFICATION REQUEST message may contain:

- within the *UE Context Information* IE (if the modification of the UE context at the en-gNB is requested);

- E-RABs to be added within the *E-RABs To Be Added Item* IE;

- E-RABs to be modified within the *E-RABs To Be Modified Item* IE;

- E-RABs to be released within the *E-RABs To Be Released Item* IE;

- the *SgNB UE Aggregate Maximum Bit Rate* IE;

- the *MeNB to SgNB Container* IE;

- *UE Integrity Protection Capability Indication* IE;

- the *SCG Configuration Query* IE;

- the *MeNB Resource Coordination Information* IE;

- the *Requested split SRBs IE*;

- the *Requested split SRBs release* IE;

- the *Requested fast MCG recovery via SRB3 IE*;

- the *Requested fast MCG recovery via SRB3 Release* IE.

**<Unchanged Text Omitted>**

If the *Lower Layer presence status change* IE set to "suspend lower layers" is included in the SGNB MODIFICATION REQUEST message, the en-gNB shall act as specified in TS 37.340 [32].

If the *Lower Layer presence status change* IE set to "resume lower layers" is included in the SGNB MODIFICATION REQUEST message, the en-gNB shall act as specified in TS 37.340 [32].

If the SGNB MODIFICATION REQUEST message contains the *IAB Node Indication* IE, the en-gNB shall, if supported, consider that the request is for an IAB node.

If the *UE Integrity Protection Capability Indication* IE is stored in the UE context, or the *UE Integrity Protection Capability Indication* IE set to “supported” is included in the SGNB MODIFICATION REQUEST message, for each E-RAB for which the *Security Indication* IE is included in the *E-RABs To Be Added Item* IE of the SGNB MODIFICATION REQUEST message:

- if the *Integrity Protection Indication* IE is set to "required", the en-gNB shall, if supported, perform user plane integrity protection for the concerned E-RAB as specified in TS 33.401 [15].

- if the *Integrity Protection Indication* IE is set to "preferred", the en-gNB should perform user plane integrity protection for the concerned E-RAB as specified in TS 33.401 [15], and it shall notify the MeNB whether it performed the user plane integrity protection by including the *Integrity Protection result* IE in the *Security Result* IE of the SGNB MODIFICATION REQUEST ACKNOWLEDGE message.

- if the Integrity Protection Indication IE is set to "not needed", the en-gNB shall not perform user plane integrity protection for the concerned E-RAB.

Editor’s Note: How to indicate the UE integrity protection capability to the en-gNB is FFS.

Editor’s Note: Whether the en-gNB reports the security result to the MeNB is FFS.

For each requested E-RAB configured as MN-terminated split bearer/SCG bearer, if the *QoS Mapping Information* IE is contained in the *GTP Tunnel Endpoint* IE in the SGNB MODIFICATION REQUEST ACKNOWLEDGE message, the MeNB shall, if supported, use it to set DSCP and/or flow label fields for the downlink IP packets which are transmitted from MeNB to SgNB through the GTP tunnels indicated by the *GTP Tunnel Endpoint* IE.

**<Unchanged Text Omitted>**

#### 9.1.1.1 HANDOVER REQUEST

This message is sent by the source eNB to the target eNB to request the preparation of resources for a handover.

Direction: source eNB → target eNB.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.2.13 |  | YES | reject |
| Old eNB UE X2AP ID | M |  | eNB UE X2AP ID  9.2.24 | Allocated at the source eNB | YES | reject |
| Cause | M |  | 9.2.6 |  | YES | ignore |
| Target Cell ID | M |  | ECGI  9.2.14 |  | YES | reject |
| GUMMEI | M |  | 9.2.16 |  | YES | reject |
| **UE Context Information** |  | *1* |  |  | YES | reject |
| >MME UE S1AP ID | M |  | INTEGER (0..232 -1) | MME UE S1AP ID allocated at the MME | – |  |
| >UE Security Capabilities | M |  | 9.2.29 |  | – |  |
| >AS Security Information | M |  | 9.2.30 |  | – |  |
| >UE Aggregate Maximum Bit Rate | M |  | 9.2.12 |  | – |  |
| >Subscriber Profile ID for RAT/Frequency priority | O |  | 9.2.25 |  | – |  |
| **>E-RABs To Be Setup List** |  | *1* |  |  | – |  |
| **>>E-RABs To Be Setup Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | ignore |
| >>>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>>E-RAB Level QoS Parameters | M |  | 9.2.9 | Includes necessary QoS parameters | – |  |
| >>>DL Forwarding | O |  | 9.2.5 |  | – |  |
| >>>UL GTP Tunnel Endpoint | M |  | GTP Tunnel Endpoint 9.2.1 | SGW endpoint of the S1 transport bearer. For delivery of UL PDUs. | – |  |
| >>>Bearer Type | O |  | 9.2.92 |  | YES | reject |
| >>>Ethernet Type | O |  | 9.2.157 |  | YES | ignore |
| >>>DAPS Request Information | O |  | 9.2.154 |  | YES | ignore |
| >>>Security Indication | O |  | 9.2.x1 |  | YES | [FFS] |
| >RRC Context | M |  | OCTET STRING | Includes the RRC *HandoverPreparationInformation* message as defined in subclause 10.2.2 of TS 36.331 [9], or the RRC *HandoverPreparationInformation-NB* message as defined in 10.6.2 of TS 36.331 [9]. | – |  |
| >Handover Restriction List | O |  | 9.2.3 |  | – |  |
| >Location Reporting Information | O |  | 9.2.21 | Includes the necessary parameters for location reporting | – |  |
| >Management Based MDT Allowed | O |  | 9.2.59 |  | YES | ignore |
| >ManagementBasedMDT PLMN List | O |  | MDT PLMN List  9.2.64 |  | YES | ignore |
| >UE Sidelink Aggregate Maximum Bit Rate | O |  | 9.2.97 | This IE applies only if the UE is authorized for V2X services. | YES | ignore |
| >EPC Handover Restriction List Container | O |  | 9.2.153 |  | YES | ignore |
| >Additional RRM Policy Index | O |  | 9.2.25a |  | YES | ignore |
| >NR UE Sidelink Aggregate Maximum Bit Rate | O |  | 9.2.159 | This IE applies only if the UE is authorized for NR V2X services. | YES | ignore |
| >UE Radio Capability ID | O |  | 9.2.171 |  | YES | reject |
| >IMS voice EPS fallback from 5G | O |  | ENUMERATED (true, ...) |  | YES | ignore |
| UE History Information | M |  | 9.2.38 | Same definition as in TS 36.413 [4] | YES | ignore |
| Trace Activation | O |  | 9.2.2 |  | YES | ignore |
| SRVCC Operation Possible | O |  | 9.2.33 |  | YES | ignore |
| CSG Membership Status | O |  | 9.2.52 |  | YES | reject |
| Mobility Information | O |  | BIT STRING (SIZE (32)) | Information related to the handover; the source eNB provides it in order to enable later analysis of the conditions that led to a wrong HO. | YES | ignore |
| Masked IMEISV | O |  | 9.2.69 |  | YES | ignore |
| UE History Information from the UE | O |  | OCTET STRING | VisitedCellInfoList contained in the UEInformationResponse message (TS 36.331 [9]) | YES | ignore |
| Expected UE Behaviour | O |  | 9.2.70 |  | YES | ignore |
| ProSe Authorized | O |  | 9.2.78 |  | YES | ignore |
| UE Context Reference at the SeNB | O |  |  |  | YES | ignore |
| >Global SeNB ID | M |  | Global eNB ID  9.2.22 |  | – |  |
| >SeNB UE X2AP ID | M |  | eNB UE X2AP ID  9.2.24 | Allocated at the SeNB | – |  |
| >SeNB UE X2AP ID Extension | O |  | Extended eNB UE X2AP ID  9.2.86 | Allocated at the SeNB | – |  |
| Old eNB UE X2AP ID Extension | O |  | Extended eNB UE X2AP ID  9.2.86 | Allocated at the source eNB | YES | reject |
| V2X Services Authorized | O |  | 9.2.93 |  | YES | ignore |
| UE Context Reference at the WT | O |  |  |  | YES | ignore |
| >WT ID | M |  | 9.2.95 |  | – |  |
| >WT UE XwAP ID | M |  | 9.2.96 |  | – |  |
| NR UE Security Capabilities | O |  | 9.2.107 |  | YES | ignore |
| UE Context Reference at the SgNB | O |  |  |  | YES | ignore |
| >Global en-gNB ID | M |  | 9.2.112 |  | – |  |
| >SgNB UE X2AP ID | M |  | en-gNB UE X2AP ID  9.2.100 | Allocated at the SgNB. | – |  |
| Aerial UE subscription information | O |  | 9.2.129 |  | YES | ignore |
| Subscription Based UE Differentiation Information | O |  | 9.2.136 |  | YES | ignore |
| **Conditional Handover Information Request** | O |  |  |  | YES | reject |
| >CHO Trigger | M |  | ENUMERATED (CHO-initiation, CHO-replace, …) |  | – |  |
| >New eNB UE X2AP ID | C-ifCHOmod |  | eNB UE X2AP ID  9.2.24 | Allocated at the target eNB | – |  |
| >New eNB UE X2AP ID Extension | O |  | Extended eNB UE X2AP ID  9.2.86 | Allocated at the target eNB | – |  |
| >Estimated Arrival Probability | O |  | INTEGER (1..100) |  | – |  |
| NR V2X Services Authorized | O |  | 9.2.158 |  | YES | ignore |
| PC5 QoS Parameters | O |  | 9.2.160 | This IE applies only if the UE is authorized for NR V2X services. | YES | ignore |
| IAB Node Indication | O |  | ENUMERATED (true, ...) |  | YES | reject |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |
| maxnoofMDTPLMNs | PLMNs in the Management Based MDT PLMN list. Value is 16. |

|  |  |
| --- | --- |
| Condition | Explanation |
| ifCHOmod | This IE shall be present if the *CHO Trigger* IE is present and set to "CHO-replace". |

**<Unchanged Text Omitted>**

### 9.1.4 Messages for E-UTRAN-NR Dual Connectivity Procedures

#### 9.1.4.1 SGNB ADDITION REQUEST

This message is sent by the MeNB to the en-gNB to request the preparation of resources for EN-DC operation for a specific UE

Direction: MeNB → en-gNB.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.2.13 |  | YES | reject |
| MeNB UE X2AP ID | M |  | eNB UE X2AP ID  9.2.24 | Allocated at the MeNB | YES | reject |
| NR UE Security Capabilities | M |  | 9.2.107 |  | YES | reject |
| SgNB Security Key | M |  | 9.2.101 | The S-KgNB which is provided by the MeNB, see TS 33.401 [18]. | YES | reject |
| SgNB UE Aggregate Maximum Bit Rate | M |  | UE Aggregate Maximum Bit Rate  9.2.12 | The UE Aggregate Maximum Bit Rate is split into MeNB UE Aggregate Maximum Bit Rate and SgNB UE Aggregate Maximum Bit Rate which are enforced by MeNB and en-gNB respectively. | YES | reject |
| Selected PLMN | O |  | PLMN Identity  9.2.4 | The selected PLMN of the SCG in the en-gNB. | YES | ignore |
| Handover Restriction List | O |  | 9.2.3 |  | YES | ignore |
| **E-RABs To Be Added List** |  | *1* |  |  | YES | reject |
| **>E-RABs To Be Added Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | reject |
| >>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>DRB ID | M |  | 9.2.122 |  | – |  |
| >>EN-DC Resource Configuration | M |  | EN-DC Resource Configuration 9.2.108 | Indicates the PDCP and Lower Layer MCG/SCG configuration. | – |  |
| >>CHOICE *Resource Configuration* | M |  |  |  |  |  |
| >>>*PDCP present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |  |  |
| >>>>Full E-RAB Level QoS Parameters | M |  | E-RAB Level QoS Parameters 9.2.9 | Includes the E-RAB level QoS parameters as received on S1-MME. | – |  |
| >>>>Maximum MCG admittable E-RAB Level QoS Parameters | C-ifMCGandSCGpresent\_GBR |  | GBR QoS Information 9.2.10 | Includes the GBR QoS Information admittable by the MCG. | – |  |
| >>>>DL Forwarding | O |  | 9.2.5 |  | – |  |
| >>>>MeNB DL GTP Tunnel Endpoint at MCG | C-ifMCGpresent |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer at MCG. For delivery of DL PDCP PDUs. | – |  |
| >>>>S1 UL GTP Tunnel Endpoint | M |  | GTP Tunnel Endpoint 9.2.1 | SGW endpoint of the S1-U transport bearer. For delivery of UL PDUs from the en-gNB. | – |  |
| >>>>RLC Mode | O |  | RLC Mode  9.2.119 | Indicates the RLC mode at the MeNB for PDCP transfer to en-gNB. | YES | ignore |
| >>>>Bearer Type | O |  | 9.2.92 |  | YES | ignore |
| >>>>Ethernet Type | O |  | 9.2.157 |  | YES | ignore |
| >>>>Security Indication | O |  | 9.2.x1 |  | YES | [FFS] |
| >>>>Security Result [FFS] | O |  | 9.2.x2 | Indicates security activation status in MeNB. | YES | ignore |
| >>>*PDCP not present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "not present". |  |  |
| >>>>Requested SCG E-RAB Level QoS Parameters | M |  | E-RAB Level QoS Parameters 9.2.9 | Includes E-RAB level QoS parameters requested to be provided by the SCG. | – |  |
| >>>>MeNB UL GTP Tunnel Endpoint at PDCP | M |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer. For delivery of UL PDCP PDUs. | – |  |
| >>>>Secondary MeNB UL GTP Tunnel Endpoint at PDCP | O |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer. For delivery of UL PDCP PDUs in case of PDCP duplication. | – |  |
| >>>>RLC Mode | M |  | RLC Mode  9.2.119 | Indicates the RLC mode to be used in the assisting node. | – |  |
| >>>>UL Configuration | C-ifMCGandSCGpresent |  | 9.2.118 | Information about UL usage in the en-gNB. | – |  |
| >>>>UL PDCP SN Length | O |  | PDCP SN Length  9.2.133 | Indicates the PDCP SN length of the bearer for the UL. | YES | ignore |
| >>>>DL PDCP SN Length | O |  | PDCP SN Length  9.2.133 | Indicates the PDCP SN length of the bearer for the DL. | YES | ignore |
| >>>>Duplication activation | O |  | 9.2.137 | Indicated the initial staus of PDCP duplication. | YES | ignore |
| MeNB to SgNB Container | M |  | OCTET STRING | Includes the *CG-ConfigInfo* message as defined in TS 38.331 [31]. | YES | reject |
| SgNB UE X2AP ID | O |  | en-gNB UE X2AP ID  9.2.100 | Allocated at the en-gNB. | YES | reject |
| Expected UE Behaviour | O |  | 9.2.70 |  | YES | ignore |
| MeNB UE X2AP ID Extension | O |  | Extended eNB UE X2AP ID  9.2.86 | Allocated at the MeNB. | YES | reject |
| Requested split SRBs | O |  | ENUMERATED (srb1, srb2, srb1&2, ...) | Indicates that resources for Split SRB are requested. | YES | reject |
| MeNB Resource Coordination Information | O |  | 9.2.116 | Information used to coordinate resources utilisation between MeNB and en-gNB. | YES | ignore |
| SGNB Addition Trigger Indication | O |  | ENUMERATED (SN change, inter-eNB HO, intra-eNB HO, ...) | This IE indicates the trigger for SGNB Addition procedure. | YES | reject |
| Subscriber Profile ID for RAT/Frequency priority | O |  | 9.2.25 |  | YES | ignore |
| MeNB Cell ID | M |  | ECGI  9.2.14 | Indicates the cell ID for PCell in MeNB. | YES | reject |
| Desired Activity Notification Level | O |  | 9.2.141 |  | YES | ignore |
| Trace Activation | O |  | 9.2.2 |  | YES | ignore |
| Location Information at SgNB reporting | O |  | ENUMERATED (pscell, ...) | Indicates that the user’s location information is to be provided. | YES | ignore |
| Masked IMEISV | O |  | 9.2.69 |  | YES | ignore |
| Additional RRM Policy Index | O |  | 9.2.25a |  | YES | ignore |
| Requested Fast MCG recovery via SRB3 | O |  | ENUMERATED (true, ...) | Indicates that the resources for fast MCG recovery via SRB3 are requested. | YES | ignore |
| UE Context Reference at Source NG-RAN | O |  | RAN UE NGAP ID 9.2.152 |  | YES | ignore |
| Management Based MDT Allowed | O |  | 9.2.59 |  | YES | ignore |
| Management Based MDT PLMN List | O |  | MDT PLMN List  9.2.64 |  | YES | ignore |
| UE Radio Capability ID | O |  | 9.2.171 |  | YES | reject |
| IAB Node Indication | O |  | ENUMERATED (true, ...) |  | YES | reject |
| Source NG-RAN Node ID | O |  | Global RAN Node ID  9.2.176 |  | YES | Ignore |
| UE Integrity Protection Capability Indication [FFS] | O |  | 9.2.aa |  | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofBearers | Maximum no. of E-RABs. Value is 256. |

|  |  |
| --- | --- |
| Condition | Explanation |
| ifMCGandSCGpresent | This IE shall be present if, for the E-RAB requested to be added, the *MCG resources* and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to the value "present". |
| ifMCGpresent | This IE shall be present if, for the E-RAB requested to be added, the *MCG resources* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |
| C-ifMCGandSCGpresent\_GBR | This IE shall be present if, for the E-RAB requested to be added, the *MCG resources* and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to the value "present", and *GBR QoS Information* IE is present in *Full E-RAB Level QoS Parameters* IE. |

#### 9.1.4.2 SGNB ADDITION REQUEST ACKNOWLEDGE

This message is sent by the en-gNB to confirm the MeNB about the SgNB addition preparation.

Direction: en-gNB → MeNB.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.2.13 |  | YES | reject |
| MeNB UE X2AP ID | M |  | eNB UE X2AP ID  9.2.24 | Allocated at the MeNB. | YES | reject |
| SgNB UE X2AP ID | M |  | en-gNB UE X2AP ID  9.2.100 | Allocated at the en-gNB. | YES | reject |
| **E-RABs Admitted To Be Added List** |  | *1* |  |  | YES | ignore |
| **>E-RABs Admitted To Be Added Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | ignore |
| >>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>EN-DC Resource Configuration | M |  | EN-DC Resource Configuration 9.2.108 | Indicates the PDCP and Lower Layer MCG/SCG configuration. | – |  |
| >>CHOICE *Resource Configuration* | M |  |  |  |  |  |
| *>>>PDCP present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |  |  |
| >>>>S1 DL GTP Tunnel Endpoint at the SgNB | M |  | GTP Tunnel Endpoint 9.2.1 | en-gNB endpoint of the S1 transport bearer. For delivery of DL PDUs. | – |  |
| >>>>SgNB UL GTP Tunnel Endpoint at PDCP | C-ifMCGpresent |  | GTP Tunnel Endpoint 9.2.1 | en-gNB endpoint of the X2-U transport bearer at PDCP. For delivery of UL PDCP PDUs. | – |  |
| >>>>RLC Mode | C-ifMCGpresent |  | RLC Mode  9.2.119 | Indicates the RLC mode. | – |  |
| >>>>DL Forwarding GTP Tunnel Endpoint | O |  | GTP Tunnel Endpoint 9.2.1 | Identifies the X2 transport bearer used for forwarding of DL PDUs | – |  |
| >>>>UL Forwarding GTP Tunnel Endpoint | O |  | GTP Tunnel Endpoint 9.2.1 | Identifies the X2 transport bearer used for forwarding of UL PDUs | – |  |
| >>>>Requested MCG E-RAB Level QoS Parameters | C-ifMCGandSCGpresent\_GBRpresent |  | E-RAB Level QoS Parameters 9.2.9 | Includes E-RAB level QoS parameters requested to be provided by the MCG. | – |  |
| >>>>UL Configuration | C-ifMCGandSCGpresent |  | 9.2.118 | Information about UL usage in the MeNB. | – |  |
| >>>>UL PDCP SN Length | O |  | PDCP SN Length  9.2.133 | Indicates the PDCP SN length of the bearer for the UL. | YES | ignore |
| >>>>DL PDCP SN Length | O |  | PDCP SN Length  9.2.133 | Indicates the PDCP SN length of the bearer for the DL. | YES | ignore |
| >>>>Security Result [FFS] | O |  | 9.2.x2 |  | YES | ignore |
| >>>*PDCP not present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "not present". |  |  |
| >>>>SgNB DL GTP Tunnel Endpoint at SCG | M |  | GTP Tunnel Endpoint 9.2.1 | SgNB endpoint of the X2-U transport bearer at the SCG. For delivery of DL PDCP PDUs. | – |  |
| >>>>Secondary SgNB DL GTP Tunnel Endpoint at SCG | O |  | GTP Tunnel Endpoint 9.2.1 | SgNB endpoint of the X2-U transport bearer at the SCG. For delivery of DL PDCP PDUs in case of PDCP duplication | – |  |
| >>>>LCID | O |  | 9.2.138 | LCID for the primary path in case of PDCP duplication | YES | ignore |
| E-RABs Not Admitted List | O |  | E-RAB List  9.2.28 | A value for *E-RAB ID* shall only be present once in*E-RABs Admitted**List* IE and in *E-RABs Not Admitted List* IE. | YES | ignore |
| SgNB to MeNB Container | M |  | OCTET STRING | Includes the *CG-Config* message as defined in TS 38.331[31]. | YES | reject |
| Criticality Diagnostics | O |  | 9.2.7 |  | YES | ignore |
| MeNB UE X2AP ID Extension | O |  | Extended eNB UE X2AP ID  9.2.86 | Allocated at the MeNB | YES | reject |
| Admitted split SRBs | O |  | ENUMERATED (srb1, srb2, srb1&2, ...) | Indicates admitted SRBs | YES | reject |
| SgNB Resource Coordination Information | O |  | 9.2.117 | Information used to coordinate resources utilisation between en-gNB and MeNB. | YES | ignore |
| RRC config indication | O |  | 9.2.132 | Indicates the type of RRC configuration used at the en-gNB. | YES | reject |
| Location Information at SgNB | O |  | 9.2.142 | Contains information to support localisation of the UE | YES | ignore |
| Available fast MCG recovery via SRB3 | O |  | ENUMERATED (true, ...) | Indicates the fast MCG recovery via SRB3 is enabled. | YES | ignore |
| Direct Forwarding Path Availability | O |  | ENUMERATED (direct path available, …) | Indicates direct forwarding path is available between the target en-gNB and source NG-RAN node for SA to EN-DC handover. | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |

|  |  |
| --- | --- |
| Condition | Explanation |
| ifMCGpresent | This IE shall be present if, for the E-RAB admitted to be added, the *MCG resources* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |
| ifMCGandSCGpresent | This IE shall be present if, for the E-RAB admitted to be added, the *MCG resources* and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to the value "present". |
| C-ifMCGandSCGpresent\_GBRpresent | This IE shall be present if, for the E-RAB admitted to be added, the *MCG resources* and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to the value "present", and the *GBR QoS Information* IE is present in the *Requested MCG E-RAB Level QoS Parameters* IE. |

**<Unchanged Text Omitted>**

#### 9.1.4.5 SGNB MODIFICATION REQUEST

This message is sent by the MeNB to the en-gNB to request the preparation to modify en-gNB resources for a specific UE, to query for the current SCG configuration, or to provide the S-RLF-related information to the en-gNB.

Direction: MeNB → en-gNB.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.2.13 |  | YES | reject |
| MeNB UE X2AP ID | M |  | eNB UE X2AP ID  9.2.24 | Allocated at the MeNB. | YES | reject |
| SgNB UE X2AP ID | M |  | en-gNB UE X2AP ID  9.2.100 | Allocated at the en-gNB. | YES | reject |
| Cause | M |  | 9.2.6 |  | YES | ignore |
| Selected PLMN | O |  | PLMN Identity  9.2.4 | The selected PLMN of the SCG in the en-gNB. | YES | ignore |
| Handover Restriction List | O |  | 9.2.3 |  | YES | ignore |
| SCG Configuration Query | O |  | 9.2.103 |  | YES | ignore |
| **UE Context Information** |  | *0..1* |  |  | YES | reject |
| >NR UE Security Capabilities | O |  | 9.2.107 |  | – |  |
| >SgNB Security Key | O |  | 9.2.101 |  | – |  |
| >SgNB UE Aggregate Maximum Bit Rate | O |  | UE Aggregate Maximum Bit Rate  9.2.12 |  | – |  |
| >Lower Layer presence status change | O |  | 9.2.145 |  | – |  |
| **>E-RABs To Be Added List** |  | *0..1* |  |  | – |  |
| **>>E-RABs To Be Added Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | ignore |
| >>>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>>DRB ID | M |  | 9.2.122 |  | – |  |
| >>>EN-DC Resource Configuration | M |  | EN-DC Resource Configuration 9.2.108 | Indicates the PDCP and Lower Layer MCG/SCG configuration. | – |  |
| >>>CHOICE *Resource Configuration* | M |  |  |  |  |  |
| >>>>*PDCP present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". | – |  |
| >>>>>Full E-RAB Level QoS Parameters | M |  | E-RAB Level QoS Parameters 9.2.9 | Includes E-RAB level QoS parameters as received on S1-MME. | – |  |
| >>>>>Maximum MCG admittable E-RAB Level QoS Parameters | C-ifMCGandSCGpresent\_GBR |  | GBR QoS Information 9.2.10 | Includes the GBR QoS Information admittable by the MCG. | – |  |
| >>>>>DL Forwarding | O |  | 9.2.5 |  | – |  |
| >>>>>MeNB DL GTP Tunnel Endpoint at MCG | C-ifMCGpresent |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer at MCG. For delivery of DL PDCP PDUs. | – |  |
| >>>>>S1 UL GTP Tunnel Endpoint | M |  | GTP Tunnel Endpoint 9.2.1 | SGW endpoint of the S1-U transport bearer. For delivery of UL PDUs from the en-gNB. | – |  |
| >>>>>RLC Mode | O |  | RLC Mode  9.2.119 | Indicates the RLC mode at the MeNB for PDCP transfer to en-gNB. | YES | ignore |
| >>>>>Bearer Type | O |  | 9.2.92 |  | YES | ignore |
| >>>>>Ethernet Type | O |  | 9.2.157 |  | YES | ignore |
| >>>>>Security Indication | O |  | 9.2.x1 |  | YES | [FFS] |
| >>>>>Security Result [FFS] | O |  | 9.2.x2 | Indicates security activation status in MeNB. | YES | ignore |
| >>>>*PDCP not present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |  |  |
| >>>>>Requested SCG E-RAB Level QoS Parameters | M |  | E-RAB Level QoS Parameters 9.2.9 | Includes necessary E-RAB level QoS parameters requested to be provided by the SCG. | – |  |
| >>>>>MeNB UL GTP Tunnel Endpoint at PDCP | M |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer. For delivery of UL PDCP PDUs. | – |  |
| >>>>>Secondary MeNB UL GTP Tunnel Endpoint at PDCP | O |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer. For delivery of UL PDCP PDUs in case of PDCP duplication. | – |  |
| >>>>>RLC Mode | M |  | RLC Mode  9.2.119 | Indicates the RLC mode to be used in the assisting node. | – |  |
| >>>>>UL Configuration | C-ifMCGandSCGpresent |  | 9.2.118 | Information about UL usage in the en-gNB. | – |  |
| >>>>>UL PDCP SN Length | O |  | PDCP SN Length  9.2.133 | Indicates the PDCP SN length of the bearer for the UL. | YES | ignore |
| >>>>>DL PDCP SN Length | O |  | PDCP SN Length  9.2.133 | Indicates the PDCP SN length of the bearer for the DL. | YES | ignore |
| >>>>>Duplication activation | O |  | 9.2.137 | Indicated the initial staus of PDCP duplication. | YES | ignore |
| **>E-RABs To Be Modified List** |  | *0..1* |  |  | – |  |
| **>>E-RABs To Be Modified Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | ignore |
| >>>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>>EN-DC Resource Configuration | M |  | EN-DC Resource Configuration 9.2.108 | Indicates the PDCP and Lower Layer MCG/SCG configuration. | – |  |
| >>>CHOICE *Resource Configuration* | M |  |  |  |  |  |
| >>>>*PDCP present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |  |  |
| >>>>>Full E-RAB Level QoS Parameters | O |  | E-RAB Level QoS Parameters 9.2.9 | Includes E-RAB level QoS parameters to be modified as received on S1-MME | – |  |
| >>>>>Maximum MCG admittable E-RAB Level QoS Parameters | O |  | GBR QoS Information 9.2.10 | Includes the GBR QoS information admittable by the MCG | – |  |
| >>>>>MeNB GTP Tunnel Endpoint at MCG | O |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer at MCG. For delivery of DL PDCP PDUs. | – |  |
| >>>>>S1 UL GTP Tunnel Endpoint | O |  | GTP Tunnel Endpoint 9.2.1 | SGW endpoint of the S1-U transport bearer. For delivery of UL PDUs from the en-gNB. | – |  |
| >>>>>RLC Status | O |  | 9.2.131 | Indicates the RLC has been re-established.. |  |  |
| >>>>*PDCP not present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "not present". |  |  |
| >>>>>Requested SCG E-RAB Level QoS Parameters | O |  | E-RAB Level QoS Parameters 9.2.9 | Includes E-RAB level QoS parameters requested to be provided by the SCG. | – |  |
| >>>>>MeNB UL GTP Tunnel Endpoint at PDCP | O |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer. For delivery of UL PDCP PDUs. | – |  |
| >>>>>UL Configuration | O |  | 9.2.118 | Information about UL usage in the en-gNB. | – |  |
| >>>>>UL PDCP SN Length | O |  | PDCP SN Length  9.2.133 | Shall be ignored by the en-gNB if received. | YES | ignore |
| >>>>>DL PDCP SN Length | O |  | PDCP SN Length  9.2.133 | Shall be ignored by the en-gNB if received. | YES | ignore |
| >>>>>Secondary MeNB UL GTP Tunnel Endpoint at PDCP | O |  | GTP Tunnel Endpoint 9.2.1 | MeNB endpoint of the X2-U transport bearer. For delivery of UL PDCP PDUs in case of PDCP duplication. | YES | ignore |
| **>E-RABs To Be Released List** |  | *0..1* |  |  | – |  |
| **>>E-RABs To Be Released Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | ignore |
| >>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>>EN-DC Resource Configuration | M |  | EN-DC Resource Configuration 9.2.108 | Indicates the PDCP and Lower Layer MCG/SCG configuration. | – |  |
| >>>CHOICE *Resource Configuration* | M |  |  |  |  |  |
| >>>>*PDCP present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |  |  |
| >>>>>DL Forwarding GTP Tunnel Endpoint | O |  | GTP Tunnel Endpoint 9.2.1 | Identifies the X2 transport bearer used for forwarding of DL PDUs | – |  |
| >>>>>UL Forwarding GTP Tunnel Endpoint | O |  | GTP Tunnel Endpoint 9.2.1 | Identifies the X2 transport bearer. used for forwarding of UL PDUs | – |  |
| >>>>*PDCP not present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "not present". |  |  |
| >Subscriber Profile ID for RAT/Frequency priority | O |  | 9.2.25 |  | YES | ignore |
| >Additional RRM Policy Index | O |  | 9.2.25a |  | YES | ignore |
| >UE Integrity Protection Capability Indication [FFS] | O |  | 9.2.aa |  | YES | ignore |
| MeNB to SgNB Container | O |  | OCTET STRING | Includes the *CG-ConfigInfo* message as defined in TS 38.331 [31]. | YES | reject |
| MeNB UE X2AP ID Extension | O |  | Extended eNB UE X2AP ID  9.2.86 | Allocated at the MeNB | YES | reject |
| MeNB Resource Coordination Information | O |  | 9.2.116 | Information used to coordinate resources utilisation between MeNB and en-gNB. | YES | ignore |
| Requested split SRBs | O |  | ENUMERATED (srb1, srb2, srb1&2, ...) | Indicates that resources for Split SRB are requested. | YES | ignore |
| Requested split SRBs release | O |  | ENUMERATED (srb1, srb2, srb1&2, ...) | Indicates that resources for Split SRB are requested to be released. | YES | ignore |
| Desired Activity Notification Level | O |  | 9.2.141 |  | YES | ignore |
| Location Information at SgNB reporting | O |  | ENUMERATED (pscell, ...) | Indicates that the user’s location information is to be provided. | YES | ignore |
| MeNB Cell ID | O |  | ECGI  9.2.14 | Indicates the cell ID for PCell in MeNB. | YES | ignore |
| Requested Fast MCG recovery via SRB3 | O |  | ENUMERATED (true, ...) | Indicates that the resources for fast MCG recovery via SRB3 are requested. | YES | ignore |
| Requested Fast MCG recovery via SRB3 Release | O |  | ENUMERATED (true, ...) | Indicates that the resources for fast MCG recovery via SRB3 are requested to be released. | YES | ignore |
| SN triggered | O |  | ENUMERATED (True, ...) |  | YES | ignore |
| IAB Node Indication | O |  | ENUMERATED (true, ...) |  | YES | reject |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |

|  |  |
| --- | --- |
| Condition | Explanation |
| ifMCGandSCGpresent | This IE shall be present if, for the E-RAB requested to be added, the *MCG resources* and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to the value "present". |
| ifMCGpresent | This IE shall be present if, for the E-RAB requested to be added, the *MCG resources* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |
| C-ifMCGandSCGpresent\_GBR | This IE shall be present if, for the E-RAB requested to be added, the *MCG resources* and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to the value "present", and *GBR QoS Information* IE is present in *Full E-RAB Level QoS Parameters* IE. |

#### 9.1.4.6 SGNB MODIFICATION REQUEST ACKNOWLEDGE

This message is sent by the en-gNB to confirm the MeNB’s request to modify the en-gNB resources for a specific UE.

Direction: en-gNB → MeNB.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.2.13 |  | YES | reject |
| MeNB UE X2AP ID | M |  | eNB UE X2AP ID  9.2.24 | Allocated at the MeNB. | YES | ignore |
| SgNB UE X2AP ID | M |  | en-gNB UE X2AP ID  9.2.100 | Allocated at the en-gNB. | YES | ignore |
| **E-RABs Admitted To Be Added List** |  | *0..1* |  |  | YES | ignore |
| **>E-RABs Admitted To Be Added Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | ignore |
| >>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>EN-DC Resource Configuration | M |  | EN-DC Resource Configuration 9.2.108 | Indicates the PDCP and Lower Layer MCG/SCG configuration. | – |  |
| >>CHOICE *Resource Configuration* | M |  |  |  |  |  |
| >>>*PDCP present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |  |  |
| >>>>S1 DL GTP Tunnel Endpoint at the SgNB | M |  | GTP Tunnel Endpoint 9.2.1 | SgNB endpoint of the S1 transport bearer. For delivery of DL PDUs. | – |  |
| >>>>SgNB UL GTP Tunnel Endpoint at PDCP | C-ifMCGpresent |  | GTP Tunnel Endpoint 9.2.1 | SgNB endpoint of the X2-U transport bearer at PDCP. For delivery of UL PDCP PDUs. | – |  |
| >>>>RLC Mode | C-ifMCGpresent |  | RLC Mode  9.2.119 | Indicates the RLC mode to be used at the assisting node. | – |  |
| >>>>DL Forwarding GTP Tunnel Endpoint | O |  | GTP Tunnel Endpoint 9.2.1 | Identifies the X2 transport bearer used for forwarding of DL PDUs | – |  |
| >>>>UL Forwarding GTP Tunnel Endpoint | O |  | GTP Tunnel Endpoint 9.2.1 | Identifies the X2 transport bearer used for forwarding of UL PDUs | – |  |
| >>>>Requested MCG E-RAB Level QoS Parameters | C-ifMCGandSCGpresent\_GBRpresent |  | E-RAB Level QoS Parameters 9.2.9 | Includes E-RAB level QoS parameters requested to be provided by the MCG. | – |  |
| >>>>UL Configuration | C-ifMCGandSCGpresent |  | 9.2.118 | Information about UL usage in the MeNB. | – |  |
| >>>>UL PDCP SN Length | O |  | PDCP SN Length  9.2.133 | Indicates the PDCP SN length of the bearer for the UL. | YES | ignore |
| >>>>DL PDCP SN Length | O |  | PDCP SN Length  9.2.133 | Indicates the PDCP SN length of the bearer for the DL. | YES | ignore |
| >>>>Security Result [FFS] | O |  | 9.2.aa |  | YES | ignore |
| >>>*PDCP not present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "not present". |  |  |
| >>>>SgNB DL GTP Tunnel Endpoint at SCG | M |  | GTP Tunnel Endpoint 9.2.1 | Endpoint of the X2-U transport bearer at the SCG. For delivery of DL PDCP PDUs. | – |  |
| >>>>Secondary SgNB DL GTP Tunnel Endpoint at SCG | O |  | GTP Tunnel Endpoint 9.2.1 | Endpoint of the X2-U transport bearer at the SCG. For delivery of DL PDCP PDUs in case of PDCP duplication. | – |  |
| >>>>LCID | O |  | 9.2.138 | LCID for the primary path in case of PDCP duplication configured. | YES | ignore |
| **E-RABs Admitted To Be Modified List** |  | *0..1* |  |  | YES | ignore |
| **>E-RABs Admitted To Be Modified Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | ignore |
| >>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>EN-DC Resource Configuration | M |  | EN-DC Resource Configuration 9.2.108 | Indicates the PDCP and Lower Layer MCG/SCG configuration. | – |  |
| >>CHOICE *Resource Configuration* | M |  |  |  |  |  |
| >>>*PDCP present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |  |  |
| >>>>S1 DL GTP Tunnel Endpoint | O |  | GTP Tunnel Endpoint 9.2.1 | SgNB endpoint of the S1 transport bearer. For delivery of DL PDUs. | – |  |
| >>>>SgNB UL GTP Tunnel Endpoint at PDCP | O |  | GTP Tunnel Endpoint 9.2.1 | SgNB endpoint of the X2-U transport bearer at PDCP. For delivery of UL PDCP PDUs. | – |  |
| >>>>Requested MCG E-RAB Level QoS Parameters | O |  | E-RAB Level QoS Parameters 9.2.9 | Includes E-RAB level QoS parameters requested to be provided by the MCG. | – |  |
| >>>>UL Configuration | O |  | 9.2.118 | Information about UL usage in the MeNB. | – |  |
| >>>>UL PDCP SN Length | O |  | PDCP SN Length  9.2.133 | Shall be ignored by the MeNB if received. | YES | ignore |
| >>>>DL PDCP SN Length | O |  | PDCP SN Length  9.2.133 | Shall be ignored by the MeNB if received. | YES | ignore |
| >>>*PDCP not present in SN* |  |  |  | This choice tag is used if the *PDCP at SgNB* IE in the *EN-DC Resource Configuration* IE is set to the value "not present". |  |  |
| >>>>SgNB DL GTP Tunnel Endpoint at SCG | O |  | GTP Tunnel Endpoint 9.2.1 | SgNB endpoint of the X2-U transport bearer at the SCG. For delivery of DL PDCP PDUs. | – |  |
| >>>>Secondary SgNB DL GTP Tunnel Endpoint at SCG | O |  | GTP Tunnel Endpoint 9.2.1 | Endpoint of the X2-U transport bearer at the SCG. For delivery of DL PDCP PDUs in case of PDCP duplication. | YES | ignore |
| >>>>RLC Status | O |  | 9.2.131 | Indicates the RLC has been re-established. | YES | ignore |
| **E-RABs Admitted To Be Released List** |  | *0..1* |  |  | YES | ignore |
| **>E-RABs Admitted To Be Released Item** |  | *1 .. <maxnoofBearers>* |  |  | EACH | ignore |
| >>E-RAB ID | M |  | 9.2.23 |  | – |  |
| >>EN-DC Resource Configuration | M |  | EN-DC Resource Configuration 9.2.108 | Indicates the PDCP and Lower Layer MCG/SCG configuration. | – |  |
| >>CHOICE *Resource Configuration* | M |  |  | Note: no further information contained in the IE container |  |  |
| E-RABs Not Admitted List | O |  | E-RAB List  9.2.28 | A value for *E-RAB ID* shall only be present once in*E-RABs Admitted**List* IE and in *E-RABs Not Admitted List* IE. | YES | ignore |
| SgNB to MeNB Container | O |  | OCTET STRING | Includes the NR *CG-Config* message as defined in TS 38.331 [31]. | YES | ignore |
| Criticality Diagnostics | O |  | 9.2.7 |  | YES | ignore |
| MeNB UE X2AP ID Extension | O |  | Extended eNB UE X2AP ID  9.2.86 | Allocated at the MeNB | YES | ignore |
| SgNB Resource Coordination Information | O |  | 9.2.117 | Information used to coordinate resources utilisation between en-gNB and MeNB. | YES | ignore |
| Admitted split SRBs | O |  | ENUMERATED (srb1, srb2, srb1&2, ...) | Indicates admitted SRBs | YES | ignore |
| Admitted split SRBs release | O |  | ENUMERATED (srb1, srb2, srb1&2, ...) | Indicates admitted SRBs release | YES | ignore |
| RRC config indication | O |  | 9.2.132 | Indicates the type of RRC configuration used at the en-gNB. | YES | reject |
| Location Information at SgNB | O |  | 9.2.142 | Contains information to support localisation of the UE | YES | ignore |
| Available fast MCG recovery via SRB3 | O |  | ENUMERATED (true, ...) | Indicates the fast MCG recovery via SRB3 isenabled. | YES | ignore |
| Release fast MCG recovery via SRB3 | O |  | ENUMERATED (true, ...) | Indicates the fast MCG recovery via SRB3 is released. | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |

|  |  |
| --- | --- |
| Condition | Explanation |
| ifMCGandSCGpresent | This IE shall be present if, for the E-RAB admitted to be added, the *MCG resources* and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to the value "present". |
| ifMCGpresent | This IE shall be present if, for the E-RAB admitted to be added, the *MCG resources* IE in the *EN-DC Resource Configuration* IE is set to the value "present". |
| C-ifMCGandSCGpresent\_GBRpresent | This IE shall be present if, for the E-RAB admitted to be added, the *MCG resources* and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to the value "present", and the *GBR QoS Information* IE is present in the *Requested MCG E-RAB Level QoS Parameters* IE. |

**<Unchanged Text Omitted>**

### 9.2.6 Cause

The purpose of the cause information element is to indicate the reason for a particular event for the whole protocol.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE Type and Reference | Semantics Description |
| CHOICE Cause Group | M |  |  |  |
| *>Radio Network Layer* |  |  |  |  |
| >>Radio Network Layer Cause | M |  | ENUMERATED  (Handover Desirable for Radio Reasons,  Time Critical Handover,  Resource Optimisation Handover,  Reduce Load in Serving Cell,  Partial Handover,  Unknown New eNB UE X2AP ID, Unknown Old eNB UE X2AP ID, Unknown Pair of UE X2AP ID,  HO Target not Allowed,  TX2RELOCoverall Expiry,  TRELOCprep Expiry,  Cell not Available,  No Radio Resources Available in Target Cell,  Invalid MME Group ID,  Unknown MME Code, Encryption And/Or Integrity Protection Algorithms Not Supported, ReportCharacteristicsEmpty, NoReportPeriodicity, ExistingMeasurementID, Unknown eNB Measurement ID, Measurement Temporarily not Available,  Unspecified,...,Load Balancing, Handover Optimisation, Value out of allowed range, Multiple E-RAB ID instances, Switch Off Ongoing, Not supported QCI value, Measurement not supported for the object,TDCoverall Expiry, TDCprep Expiry,  Action Desirable for Radio Reasons,  Reduce Load,  Resource Optimisation,  Time Critical action,  Target not Allowed,  No Radio Resources Available,  Invalid QoS combination, Encryption Algorithms Not Supported, Procedure cancelled, RRM purpose,  Improve user bit rate,  User Inactivity,  Radio Connection With UE Lost, Failure in the Radio Interface Procedure,  Bearer Option not Supported, MCG Mobility, SCG Mobility, Count reaches max value,  Unknown Old en-gNB UE X2AP ID, PDCP Overload, CHO-CPC resources to be changed, UE Power Saving, Insufficient UE Capabilities, Normal Release, Unknown E-UTRAN node Measurement ID, UP integrity protection not possible) |  |
| *>Transport Layer* |  |  |  |  |
| >>Transport Layer Cause | M |  | ENUMERATED (Transport Resource Unavailable,  Unspecified,...) |  |
| *>Protocol* |  |  |  |  |
| >>Protocol Cause | M |  | ENUMERATED (Transfer Syntax Error,Abstract Syntax Error (Reject),Abstract Syntax Error (Ignore and Notify),Message not Compatible with Receiver State,Semantic Error,Unspecified,Abstract Syntax Error (Falsely Constructed Message),...) |  |
| *>Misc* |  |  |  |  |
| >>Miscellaneous Cause | M |  | ENUMERATED (Control Processing Overload, Hardware Failure,O&M Intervention,Not enough User Plane Processing Resources,Unspecified,...) |  |

The meaning of the different cause values is described in the following table. In general, "not supported" cause values indicate that the concerned capability is missing. On the other hand, "not available" cause values indicate that the concerned capability is present, but insufficient resources were available to perform the requested action.

|  |  |
| --- | --- |
| Radio Network Layer cause | Meaning |
| Cell not Available | The concerned cell is not available. |
| Handover Desirable for Radio Reasons | The reason for requesting handover is radio related. |
| Handover Target not Allowed | Handover to the indicated target cell is not allowed for the UE in question |
| Invalid MME Group ID | The target eNB doesn’t belong to the same pool area of the source eNB i.e. S1 handovers should be attempted instead. |
| No Radio Resources Available in Target Cell | The target cell doesn’t have sufficient radio resources available. |
| Partial Handover | Provides a reason for the handover cancellation. The target eNB did not admit all E-RABs included in the HANDOVER REQUEST and the source eNB estimated service continuity for the UE would be better by not proceeding with handover towards this particular target eNB. |
| Reduce Load in Serving Cell | Load in serving cell needs to be reduced. When applied to handover preparation, it indicates the handover is triggered due to load balancing. |
| Resource Optimisation Handover | The reason for requesting handover is to improve the load distribution with the neighbour cells. |
| Time Critical Handover | Handover is requested for time critical reason i.e. this cause value is reserved to represent all critical cases where the connection is likely to be dropped if handover is not performed. |
| TX2RELOCoverall Expiry | The reason for the action is expiry of timer TX2RELOCoverall. |
| TRELOCprep Expiry | Handover Preparation procedure is cancelled when timer TRELOCprep expires. |
| Unknown MME Code | The target eNB belongs to the same pool area of the source eNB and recognizes the MME Group ID. However, the MME Code is unknown to the target eNB. |
| Unknown New eNB UE X2AP ID | The action failed because the New eNB UE X2AP ID or the MeNB UE X2AP ID is unknown. |
| Unknown Old eNB UE X2AP ID | The action failed because the Old eNB UE X2AP ID or the SeNB UE X2AP ID is unknown. |
| Unknown Pair of UE X2AP ID | The action failed because the pair of UE X2 AP IDs is unknown. |
| Encryption And/Or Integrity Protection Algorithms Not Supported | The target eNB is unable to support any of the encryption and/or integrity protection algorithms supported by the UE, or the en-gNB is unable to support any of the NR encryption and/or integrity protection algorithms supported by the UE for EN-DC operation. |
| ReportCharacteristicsEmpty | The action failed because there is no characteristic reported. |
| NoReportPeriodicity | The action failed because the periodicity is not defined. |
| ExistingMeasurementID | The action failed because measurement-ID is already used. |
| Unknown eNB Measurement ID | The action failed because some eNB Measurement-ID is unknown. |
| Measurement Temporarily not Available | The eNB can temporarily not provide the requested measurement object. |
| Load Balancing | The reason for mobility settings change is load balancing. |
| Handover Optimisation | The reason for mobility settings change is handover optimisation. |
| Value out of allowed range | The action failed because the proposed Handover Trigger parameter change in the eNB2 Proposed Mobility Parameters IE is too low or too high. |
| Multiple E-RAB ID Instances | The action failed because multiple instances of the same E-RAB had been provided to the eNB. |
| Switch Off Ongoing | The reason for the action is an ongoing switch off i.e. the concerned cell will be switched off after offloading and not be available. It aides the receiving eNB in taking subsequent actions, e.g. selecting the target cell for subsequent handovers. |
| Not supported QCI value | The action failed because the requested QCI is not supported. |
| Unspecified | Sent when none of the above cause values applies but still the cause is Radio Network Layer related. |
| Measurement not Supported For The Object | At least one of the concerned cell(s) does not support the requested measurement. |
| TDCoverall Expiry | The reason for the action is expiry of timer TDCoverall. |
| TDCprep Expiry | The reason for the action is expiry of timer TDCprep. |
| Action Desirable for Radio Reasons | The reason for requesting the action is radio related. In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| Reduce Load | Load in the cell(group) served by the requesting node needs to be reduced. In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| Resource Optimisation | The reason for requesting this action is to improve the load distribution with the neighbour cells. In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| Time Critical action | The action is requested for time critical reason i.e. this cause value is reserved to represent all critical cases where radio resources are likely to be dropped if the requested action is not performed. In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| Target not Allowed | Requested action towards the indicated target cell is not allowed for the UE in question.  In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| No Radio Resources Available | The cell(s) in the requested node don’t have sufficient radio resources available.  In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| Invalid QoS combination | The action was failed because of invalid QoS combination.  In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| Encryption Algorithms Not Supported | The requested eNB is unable to support any of the encryption algorithms supported by the UE. In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| Procedure cancelled | The sending node cancelled the procedure due to other urgent actions to be performed.  In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| RRM purpose | The procedure is initiated due to node internal RRM purposes.  In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| Improve User Bit Rate | The reason for requesting this action is to improve the user bit rate.  In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| User Inactivity | The action is requested due to user inactivity on all E-RABs, e.g., S1 is requested to be released in order to optimise the radio resources; or SeNB/en-gNB didn’t see activity on the DRB recently.  In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| Radio Connection With UE Lost | The action is requested due to losing the radio connection to the UE.  In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| Failure in the Radio Interface Procedure | Radio interface procedure has failed.  In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| Bearer Option not Supported | The requested bearer option is not supported by the sending node.  In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| MCG Mobility | The procedure is initiated due to mobility related at MCG radio resource. |
| SCG Mobility | The procedure is initiated due to mobility related at SCG radio resource. |
| Count reaches max value | Indicates the PDCP COUNT for UL or DL reached the max value and the bearer may be released. |
| Unknown Old en-gNB UE X2AP ID | The action failed because the Old en-gNB UE X2AP ID or the SgNB UE X2AP ID is unknown. |
| PDCP Overload | The procedure is initiated due to PDCP resource limitation. |
| CHO-CPC resources to be changed | The prepared resources for CHO or CPC for a UE are to be changed. |
| UE Power Saving | The procedure is initiated to accommodate the preference indicated by UE to release the SCG for UE power saving purpose.  In the current version of this specification applicable for Dual Connectivity and EN-DC only. |
| Insufficient UE Capabilities | The procedure can’t proceed due to insufficient UE capabilities. |
| Normal Release | The release is due to normal reasons. |
| Unknown E-UTRAN node Measurement ID | The action failed because some E-UTRAN node Measurement-ID is unknown. |
| UP integrity protection not possible | The E-RAB can’t be accepted according to the required Integrity Protection Indication. |

**<Unchanged Text Omitted>**

### 9.2.aa UE Integrity Protection Capability Indication

The IE defines UE capability to support user plane integrity protection with EPS.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| UE Integrity Protection Capability Indication | M |  | ENUMERATED (supported, …) | Indicates that the UE supports user plane integrity protection capability according to EIA7 bit in EPS UE Security Capabilities. |

**<Unchanged Text Omitted>**

#### 9.2.x1 Security Indication

This IE contains the user plane integrity protection indication which indicates the requirements on UP integrity protection for the corresponding E-RAB.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE Type and Reference | Semantics Description |
| Integrity Protection Indication | M |  | ENUMERATED (required, preferred, not needed, …) | Indicates whether UP integrity protection shall apply, should apply, or shall not apply for the concerned E-RAB. |

#### 9.2.x2 Security Result

This IE indicates whether the security policy indicated as "preferred" in the *Integrity Protection Indication* IE is performed or not.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE Type and Reference | Semantics Description |
| Integrity Protection Result | M |  | ENUMERATED (performed, not performed, …) | Indicates whether UP integrity protection is performed or not for the concerned E-RAB. |

### 9.3.4 PDU Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- PDU definitions for X2AP.

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

**<Unchanged Text Omitted>**

F1CTrafficContainer,

IntendedTDD-DL-ULConfiguration-NR,

UERadioCapability,

SFN-Offset,

IMSvoiceEPSfallbackfrom5G,

Global-RAN-NODE-ID,

DirectForwardingPathAvailability,

UEIntegrityProtectionCapabilityIndication,

SecurityIndication,

SecurityResult

**<Unchanged Text Omitted>**

id-IntendedTDD-DL-ULConfiguration-NR,

id-UERadioCapability,

id-SFN-Offset,

id-DirectForwardingPathAvailability,

id-sourceNG-RAN-node-id,

id-UEIntegrityProtectionCapabilityIndication,

id-SecurityIndication,

id-SecurityResult,

maxCellineNB,

maxnoofBearers,

maxnoofPDCP-SN,

**<Unchanged Text Omitted>**

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- SGNB ADDITION REQUEST

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

SgNBAdditionRequest ::= SEQUENCE {

protocolIEs ProtocolIE-Container {{SgNBAdditionRequest-IEs}},

...

}

SgNBAdditionRequest-IEs X2AP-PROTOCOL-IES ::= {

{ ID id-MeNB-UE-X2AP-ID CRITICALITY reject TYPE UE-X2AP-ID PRESENCE mandatory}|

{ ID id-NRUESecurityCapabilities CRITICALITY reject TYPE NRUESecurityCapabilities PRESENCE mandatory}|

{ ID id-SgNBSecurityKey CRITICALITY reject TYPE SgNBSecurityKey PRESENCE mandatory}|

{ ID id-SgNBUEAggregateMaximumBitRate CRITICALITY reject TYPE UEAggregateMaximumBitRate PRESENCE mandatory}|

{ ID id-SelectedPLMN CRITICALITY ignore TYPE PLMN-Identity PRESENCE optional}|

{ ID id-HandoverRestrictionList CRITICALITY ignore TYPE HandoverRestrictionList PRESENCE optional}|

{ ID id-E-RABs-ToBeAdded-SgNBAddReqList CRITICALITY reject TYPE E-RABs-ToBeAdded-SgNBAddReqList PRESENCE mandatory}|

{ ID id-MeNBtoSgNBContainer CRITICALITY reject TYPE MeNBtoSgNBContainer PRESENCE mandatory}|

{ ID id-SgNB-UE-X2AP-ID CRITICALITY reject TYPE SgNB-UE-X2AP-ID PRESENCE optional}|

{ ID id-ExpectedUEBehaviour CRITICALITY ignore TYPE ExpectedUEBehaviour PRESENCE optional}|

{ ID id-MeNB-UE-X2AP-ID-Extension CRITICALITY reject TYPE UE-X2AP-ID-Extension PRESENCE optional}|

{ ID id-RequestedSplitSRBs CRITICALITY reject TYPE SplitSRBs PRESENCE optional}|

{ ID id-MeNBResourceCoordinationInformation CRITICALITY ignore TYPE MeNBResourceCoordinationInformation PRESENCE optional}|

{ ID id-SGNB-Addition-Trigger-Ind CRITICALITY reject TYPE SGNB-Addition-Trigger-Ind PRESENCE optional}|

{ ID id-SubscriberProfileIDforRFP CRITICALITY ignore TYPE SubscriberProfileIDforRFP PRESENCE optional}|

{ ID id-MeNBCell-ID CRITICALITY reject TYPE ECGI PRESENCE mandatory}|

{ ID id-DesiredActNotificationLevel CRITICALITY ignore TYPE DesiredActNotificationLevel PRESENCE optional}|

{ ID id-TraceActivation CRITICALITY ignore TYPE TraceActivation PRESENCE optional}|

{ ID id-LocationInformationSgNBReporting CRITICALITY ignore TYPE LocationInformationSgNBReporting PRESENCE optional}|

{ ID id-Masked-IMEISV CRITICALITY ignore TYPE Masked-IMEISV PRESENCE optional}|

{ ID id-AdditionalRRMPriorityIndex CRITICALITY ignore TYPE AdditionalRRMPriorityIndex PRESENCE optional}|

{ ID id-RequestedFastMCGRecoveryViaSRB3 CRITICALITY ignore TYPE RequestedFastMCGRecoveryViaSRB3 PRESENCE optional}|

{ ID id-UEContextReferenceatSourceNGRAN CRITICALITY ignore TYPE RAN-UE-NGAP-ID PRESENCE optional}|

{ ID id-ManagementBasedMDTallowed CRITICALITY ignore TYPE ManagementBasedMDTallowed PRESENCE optional }|

{ ID id-ManagementBasedMDTPLMNList CRITICALITY ignore TYPE MDTPLMNList PRESENCE optional }|

{ ID id-UERadioCapabilityID CRITICALITY reject TYPE UERadioCapabilityID PRESENCE optional}|

{ ID id-IABNodeIndication CRITICALITY reject TYPE IABNodeIndication PRESENCE optional}|

{ ID id-sourceNG-RAN-node-id CRITICALITY ignore TYPE Global-RAN-NODE-ID PRESENCE optional}|

{ ID id-UEIntegrityProtectionCapabilityIndication CRITICALITY ignore TYPE UEIntegrityProtectionCapabilityIndication PRESENCE optional},

...

}

**<Unchanged Text Omitted>**

E-RABs-ToBeSetup-ItemIEs X2AP-PROTOCOL-IES ::= {

{ ID id-E-RABs-ToBeSetup-Item CRITICALITY ignore TYPE E-RABs-ToBeSetup-Item PRESENCE mandatory },

...

}

E-RABs-ToBeSetup-Item ::= SEQUENCE {

e-RAB-ID E-RAB-ID,

e-RAB-Level-QoS-Parameters E-RAB-Level-QoS-Parameters,

dL-Forwarding DL-Forwarding OPTIONAL,

uL-GTPtunnelEndpoint GTPtunnelEndpoint,

iE-Extensions ProtocolExtensionContainer { {E-RABs-ToBeSetup-ItemExtIEs} } OPTIONAL,

...

}

E-RABs-ToBeSetup-ItemExtIEs X2AP-PROTOCOL-EXTENSION ::= {

{ ID id-BearerType CRITICALITY reject EXTENSION BearerType PRESENCE optional}|

{ ID id-DAPSRequestInfo CRITICALITY ignore EXTENSION DAPSRequestInfo PRESENCE optional}|

{ ID id-Ethernet-Type CRITICALITY ignore EXTENSION Ethernet-Type PRESENCE optional}|

{ ID id-SecurityIndication CRITICALITY ignore EXTENSION SecurityIndication PRESENCE optional},

...

}

**<Unchanged Text Omitted>**

E-RABs-ToBeAdded-SgNBAddReq-Item-SgNBPDCPpresent ::= SEQUENCE {

full-E-RAB-Level-QoS-Parameters E-RAB-Level-QoS-Parameters,

max-MCG-admit-E-RAB-Level-QoS-Parameters GBR-QosInformation OPTIONAL,

-- This IE shall be present if MCG resource and SCG resources IEs in the EN-DC Resource Configuration IE are set to “present” and GBR QoS Information IE is present in Full E-RAB Level QoS Parameters IE --

dL-Forwarding DL-Forwarding OPTIONAL,

meNB-DL-GTP-TEIDatMCG GTPtunnelEndpoint OPTIONAL,

-- This IE shall be present if MCG resource IE in the EN-DC Resource Configuration IE is set to “present” --

s1-UL-GTPtunnelEndpoint GTPtunnelEndpoint,

iE-Extensions ProtocolExtensionContainer { {E-RABs-ToBeAdded-SgNBAddReq-Item-SgNBPDCPpresentExtIEs} } OPTIONAL,

...

}

E-RABs-ToBeAdded-SgNBAddReq-Item-SgNBPDCPpresentExtIEs X2AP-PROTOCOL-EXTENSION ::= {

{ ID id-RLCMode-transferred CRITICALITY ignore EXTENSION RLCMode PRESENCE optional}|

{ ID id-BearerType CRITICALITY ignore EXTENSION BearerType PRESENCE optional}|

{ ID id-Ethernet-Type CRITICALITY ignore EXTENSION Ethernet-Type PRESENCE optional}|

{ ID id-SecurityIndication CRITICALITY ignore EXTENSION SecurityIndication PRESENCE optional}|

{ ID id-SecurityResult CRITICALITY ignore EXTENSION SecurityResult PRESENCE optional},

...

}

**<Unchanged Text Omitted>**

E-RABs-Admitted-ToBeAdded-SgNBAddReqAck-Item-SgNBPDCPpresent ::= SEQUENCE {

s1-DL-GTPtunnelEndpoint GTPtunnelEndpoint,

sgNB-UL-GTP-TEIDatPDCP GTPtunnelEndpoint OPTIONAL,

-- This IE shall be present if MCG resource IE in the EN-DC Resource Configuration IE is set to “present” --

rlc-Mode RLCMode OPTIONAL,

-- This IE shall be present if *MCG* resource IE in the *EN-DC Resource Configuration* IE is set to “present” --

dL-Forwarding-GTPtunnelEndpoint GTPtunnelEndpoint OPTIONAL,

uL-Forwarding-GTPtunnelEndpoint GTPtunnelEndpoint OPTIONAL,

mCG-E-RAB-Level-QoS-Parameters E-RAB-Level-QoS-Parameters OPTIONAL,

-- This IE shall be present if MCG resource and SCG resource IEs in the EN-DC Resource Configuration IE are set to “present” and the *GBR QoS Information* IE is present in the *Requested MCG E-RAB Level QoS Parameters* IE --

uL-Configuration ULConfiguration OPTIONAL,

-- This IE shall be present if MCG resource and SCG resources IEs in the EN-DC Resource Configuration IE are set to “present” --

iE-Extensions ProtocolExtensionContainer { {E-RABs-Admitted-ToBeAdded-SgNBAddReqAck-Item-SgNBPDCPpresentExtIEs} } OPTIONAL,

...

}

E-RABs-Admitted-ToBeAdded-SgNBAddReqAck-Item-SgNBPDCPpresentExtIEs X2AP-PROTOCOL-EXTENSION ::= {

{ ID id-uLpDCPSnLength CRITICALITY ignore EXTENSION PDCPSnLength PRESENCE optional}|

{ ID id-dLPDCPSnLength CRITICALITY ignore EXTENSION PDCPSnLength PRESENCE optional}|

{ ID id-SecurityResult CRITICALITY ignore EXTENSION SecurityResult PRESENCE optional},

...

}

**<Unchanged Text Omitted>**

E-RABs-ToBeAdded-SgNBModReq-Item-SgNBPDCPpresent ::= SEQUENCE {

full-E-RAB-Level-QoS-Parameters E-RAB-Level-QoS-Parameters,

max-MN-admit-E-RAB-Level-QoS-Parameters GBR-QosInformation OPTIONAL,

-- This IE shall be present if MCG resource and SCG resources IEs in the EN-DC Resource Configuration IE are set to “present” and GBR QoS Information IE is present in Full E-RAB Level QoS Parameters IE --

dL-Forwarding DL-Forwarding OPTIONAL,

meNB-DL-GTP-TEIDatMCG GTPtunnelEndpoint OPTIONAL,

-- This IE shall be present if MCG resource IE in the EN-DC Resource Configuration IE is set to “present” --

s1-UL-GTPtunnelEndpoint GTPtunnelEndpoint,

iE-Extensions ProtocolExtensionContainer { {E-RABs-ToBeAdded-SgNBModReq-Item-SgNBPDCPpresentExtIEs} } OPTIONAL,

...

}

E-RABs-ToBeAdded-SgNBModReq-Item-SgNBPDCPpresentExtIEs X2AP-PROTOCOL-EXTENSION ::= {

{ ID id-RLCMode-transferred CRITICALITY ignore EXTENSION RLCMode PRESENCE optional}|

{ ID id-BearerType CRITICALITY ignore EXTENSION BearerType PRESENCE optional}|

{ ID id-Ethernet-Type CRITICALITY ignore EXTENSION Ethernet-Type PRESENCE optional}|

{ ID id-SecurityIndication CRITICALITY ignore EXTENSION SecurityIndication PRESENCE optional}|

{ ID id-SecurityResult CRITICALITY ignore EXTENSION SecurityResult PRESENCE optional},

...

}

**<Unchanged Text Omitted>**

E-RABs-Admitted-ToBeAdded-SgNBModAck-Item-SgNBPDCPpresent ::= SEQUENCE {

s1-DL-GTPtunnelEndpoint GTPtunnelEndpoint,

sgNB-UL-GTP-TEIDatPDCP GTPtunnelEndpoint OPTIONAL,

-- This IE shall be present if *MCG* resource IE in the *EN-DC Resource Configuration* IE are set to “present” --

rlc-Mode RLCMode OPTIONAL,

-- This IE shall be present if *MCG* resource IE in the *EN-DC Resource Configuration* IE are set to “present” --

dL-Forwarding-GTPtunnelEndpoint GTPtunnelEndpoint OPTIONAL,

uL-Forwarding-GTPtunnelEndpoint GTPtunnelEndpoint OPTIONAL,

mCG-E-RAB-Level-QoS-Parameters E-RAB-Level-QoS-Parameters OPTIONAL,

-- This IE shall be present if *MCG resource* and *SCG resource* IEs in the *EN-DC Resource Configuration* IE are set to “present” and the *GBR QoS Information* IE is present in the *Requested MCG E-RAB Level QoS Parameters* IE --

uL-Configuration ULConfiguration OPTIONAL,

-- This IE shall be present if *MCG* resource and *SCG resources* IEs in the *EN-DC Resource Configuration* IE are set to “present” --

iE-Extensions ProtocolExtensionContainer { {E-RABs-Admitted-ToBeAdded-SgNBModAck-Item-SgNBPDCPpresentExtIEs} } OPTIONAL,

...

}

E-RABs-Admitted-ToBeAdded-SgNBModAck-Item-SgNBPDCPpresentExtIEs X2AP-PROTOCOL-EXTENSION ::= {

{ ID id-uLpDCPSnLength CRITICALITY ignore EXTENSION PDCPSnLength PRESENCE optional}|

{ ID id-dLPDCPSnLength CRITICALITY ignore EXTENSION PDCPSnLength PRESENCE optional}|

{ ID id-SecurityResult CRITICALITY ignore EXTENSION SecurityResult PRESENCE optional},

...

}

**<Unchanged Text Omitted>**

UE-ContextInformation-SgNBModReq ::= SEQUENCE {

nRUE-SecurityCapabilities NRUESecurityCapabilities OPTIONAL,

sgNB-SecurityKey SgNBSecurityKey OPTIONAL,

sgNBUEAggregateMaximumBitRate UEAggregateMaximumBitRate OPTIONAL,

e-RABs-ToBeAdded E-RABs-ToBeAdded-SgNBModReq-List OPTIONAL,

e-RABs-ToBeModified E-RABs-ToBeModified-SgNBModReq-List OPTIONAL,

e-RABs-ToBeReleased E-RABs-ToBeReleased-SgNBModReq-List OPTIONAL,

iE-Extensions ProtocolExtensionContainer { {UE-ContextInformationSgNBModReqExtIEs} } OPTIONAL,

...

}

UE-ContextInformationSgNBModReqExtIEs X2AP-PROTOCOL-EXTENSION ::= {

{ ID id-SubscriberProfileIDforRFP CRITICALITY ignore EXTENSION SubscriberProfileIDforRFP PRESENCE optional}|

{ ID id-AdditionalRRMPriorityIndex CRITICALITY ignore EXTENSION AdditionalRRMPriorityIndex PRESENCE optional}|

{ID id-LowerLayerPresenceStatusChange CRITICALITY ignore EXTENSION LowerLayerPresenceStatusChange PRESENCE optional}|

{ID id-UEIntegrityProtectionCapabilityIndication CRITICALITY ignore EXTENSION UEIntegrityProtectionCapabilityIndication PRESENCE optional},

...

}

### 9.3.5 Information Element definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Information Element Definitions

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

**<Unchanged Text Omitted>**

CauseRadioNetwork ::= ENUMERATED {

handover-desirable-for-radio-reasons,

time-critical-handover,

resource-optimisation-handover,

reduce-load-in-serving-cell,

partial-handover,

unknown-new-eNB-UE-X2AP-ID,

unknown-old-eNB-UE-X2AP-ID,

unknown-pair-of-UE-X2AP-ID,

ho-target-not-allowed,

tx2relocoverall-expiry,

trelocprep-expiry,

cell-not-available,

no-radio-resources-available-in-target-cell,

invalid-MME-GroupID,

unknown-MME-Code,

encryption-and-or-integrity-protection-algorithms-not-supported,

reportCharacteristicsEmpty,

noReportPeriodicity,

existingMeasurementID,

unknown-eNB-Measurement-ID,

measurement-temporarily-not-available,

unspecified,

...,

load-balancing,

handover-optimisation,

value-out-of-allowed-range,

multiple-E-RAB-ID-instances,

switch-off-ongoing,

not-supported-QCI-value,

measurement-not-supported-for-the-object,

tDCoverall-expiry,

tDCprep-expiry,

action-desirable-for-radio-reasons,

reduce-load,

resource-optimisation,

time-critical-action,

target-not-allowed,

no-radio-resources-available,

invalid-QoS-combination,

encryption-algorithms-not-supported,

procedure-cancelled,

rRM-purpose,

improve-user-bit-rate,

user-inactivity,

radio-connection-with-UE-lost,

failure-in-the-radio-interface-procedure,

bearer-option-not-supported,

mCG-Mobility,

sCG-Mobility,

count-reaches-max-value,

unknown-old-en-gNB-UE-X2AP-ID,

pDCP-Overload,

cho-cpc-resources-tobechanged,

ue-power-saving,

insufficient-ue-capabilities,

normal-release,

unknown-E-UTRAN-Node-Measurement-ID,

up-integrity-protection-not-possible

}

**<Unchanged Text Omitted>**

IntegrityProtectionIndication ::= ENUMERATED {

required,

preferred,

notneeded,

...

}

IntegrityProtectionResult ::= ENUMERATED {

performed,

notperformed,

...

}

**<Unchanged Text Omitted>**

SecondaryRATUsageReport-Item ::= SEQUENCE {

e-RAB-ID E-RAB-ID,

secondaryRATType ENUMERATED {nr, ..., nR-unlicensed },

e-RABUsageReportList E-RABUsageReportList,

iE-Extensions ProtocolExtensionContainer { {SecondaryRATUsageReport-Item-ExtIEs} } OPTIONAL,

...

}

SecondaryRATUsageReport-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {

...

SecurityIndication ::= SEQUENCE {

integrityProtectionIndication IntegrityProtectionIndication,

iE-Extensions ProtocolExtensionContainer { { SecurityIndication-ExtIEs } } OPTIONAL,

...

}

SecurityIndication-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {

...

}

SecurityResult ::= SEQUENCE {

integrityProtectionResult IntegrityProtectionResult,

iE-Extensions ProtocolExtensionContainer { {SecurityResult-ExtIEs} } OPTIONAL,

...

}

SecurityResult-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {

...

}

**<Unchanged Text Omitted>**

UE-HistoryInformation ::= SEQUENCE (SIZE(1..maxnoofCells)) OF LastVisitedCell-Item

UE-HistoryInformationFromTheUE ::= OCTET STRING

-- This IE is a transparent container and shall be encoded as the VisitedCellInfoList field contained in the UEInformationResponse message as defined in TS 36.331 [9]

UEIntegrityProtectionCapabilityIndication ::= ENUMERATED {supported, ... }

**<Unchanged Text Omitted>**

### 9.3.7 Constant definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Constant definitions

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

**<Unchanged Text Omitted>**

id-DirectForwardingPathAvailability ProtocolIE-ID ::= 410

id-sourceNG-RAN-node-id ProtocolIE-ID ::= 411

id-UEIntegrityProtectionCapabilityIndication ProtocolIE-ID ::= aaa

id-SecurityIndication ProtocolIE-ID ::= bbb

id-SecurityResult ProtocolIE-ID ::= ccc