**3GPP TSG-RAN WG3 Meeting #114-e *R3-215954***

**E-meeting,** **1-11 Nov 2021**

**Title:** (TP for eIIOT BLCR for TS 38.423) Supporting propagation delay compensation enhancements

**Source:** Huawei

**Agenda item:** 21.2

**Document Type:** Discussion

# Introduction

The TP for TS 38.423 is to capture the agreements of CB: # NRIIOR1\_PDC.

# TP for TS 38.423

|  |
| --- |
| **Change Begins** |

### 8.2.1 Handover Preparation

#### 8.2.1.1 General

This procedure is used to establish necessary resources in an NG-RAN node for an incoming handover. If the procedure concerns a conditional handover, parallel transactions are allowed. Possible parallel requests are identified by the target cell ID when the source UE AP IDs are the same.

The procedure uses UE-associated signalling.

#### 8.2.1.2 Successful Operation



Figure 8.2.1.2-1: Handover Preparation, successful operation

The source NG-RAN node initiates the procedure by sending the HANDOVER REQUEST message to the target NG-RAN node. When the source NG-RAN node sends the HANDOVER REQUEST message, it shall start the timer TXnRELOCprep.

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If the *Estimated Arrival Probability* IE is contained in the *Conditional Handover Information Request* IE included in the HANDOVER REQUEST message, then the target NG-RAN node may use the information to allocate necessary resources for the incoming CHO.

If the *IAB Node Indication* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, consider that the handover is for an IAB node.

If the *UE Radio Capability ID* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [7] and TS 23.502 [13].

If the *Time Synchronisation Assistance Information* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [7].

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### 8.2.4 Retrieve UE Context

#### 8.2.4.1 General

The purpose of the Retrieve UE Context procedure is to either retrieve the UE context from the old NG-RAN node and transfer it to the NG-RAN node where the UE RRC Connection has been requested to be established, or to enable the old NG-RAN node to forward an RRC message to the UE via the new NG-RAN node without context transfer.

The procedure uses UE-associated signalling.

#### 8.2.4.2 Successful Operation



Figure 8.2.4.2-1: Retrieve UE Context, successful operation

The new NG-RAN node initiates the procedure by sending the RETRIEVE UE CONTEXT REQUEST message to the old NG-RAN node.

If the old NG-RAN node is able to identify the UE context by means of the UE Context ID, and to successfully verify the UE by means of the integrity protection contained in the RETRIEVE UE CONTEXT REQUEST message, and decides to provide the UE context to the new NG-RAN node, it shall respond to the new NG-RAN node with the RETRIEVE UE CONTEXT RESPONSE message.

If the *Index to RAT/Frequency Selection Priority* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall store this information and use it as defined in TS 23.501 [7].

If the *Location Reporting Information* IE is included in the RETRIEVE UE CONTEXT RESPONSE message, then the new NG-RAN node should initiate the requested location reporting functionality as defined in TS 38.413 [5].

**<Unchanged Text Omitted>**

In case of RRC Re-establishment, the old NG-RAN may include the *UE History Information* IE or the *UE History Information from the UE* IE in the RETRIEVE UE CONTEXT RESPONSE message. Upon reception of the *UE History Information* IE or the *UE History Information from the UE* IE in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, store the collected information and use it for future handover preparations.

If the *UE Radio Capability ID* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG- RAN node shall, if supported store this information in the UE context and use it as defined in TS 23.501 [7] and TS 23.502 [13].

If the *Time Synchronisation Assistance Information* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [7].

**<Unchanged Text Omitted>**

#### 9.1.1.1 HANDOVER REQUEST

This message is sent by the source NG-RAN node to the target NG-RAN node to request the preparation of resources for a handover.

Direction: source NG-RAN node → target NG-RAN node.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.2.3.1 |  | YES | reject |
| Source NG-RAN node UE XnAP ID reference | M |  | NG-RAN node UE XnAP ID 9.2.3.16 | Allocated at the source NG-RAN node | YES | reject |
| Cause | M |  | 9.2.3.2 |  | YES | reject |
| Target Cell Global ID | M |  | 9.2.3.25 | Includes either an E-UTRA CGI or an NR CGI | YES | reject |
| GUAMI | M |  | 9.2.3.24 |  | YES | reject |
| **UE Context Information** |  | *1* |  |  | YES | reject |
| >NG-C UE associated Signalling reference | M |  | AMF UE NGAP ID  9.2.3.26 | Allocated at the AMF on the source NG-C connection. | – |  |
| >Signalling TNL association address at source NG-C side | M |  | CP Transport Layer Information  9.2.3.31 | This IE indicates the AMF’s IP address of the SCTP association used at the source NG-C interface instance.  Note: If no UE TNLA binding exists at the source NG-RAN node, the source NG-RAN node indicates the TNL association address it would have selected if it would have had to create a UE TNLA binding. | – |  |
| >UE Security Capabilities | M |  | 9.2.3.49 |  | – |  |
| >AS Security Information | M |  | 9.2.3.50 |  | – |  |
| >Index to RAT/Frequency Selection Priority | O |  | 9.2.3.23 |  | – |  |
| >UE Aggregate Maximum Bit Rate | M |  | 9.2.3.17 |  | – |  |
| >PDU Session Resources To Be Setup List |  | *1* | 9.2.1.1 | Similar to NG-C signalling, containing UL tunnel information per PDU Session Resource;  and in addition, the source side QoS flow ⇔ DRB mapping | – |  |
| >RRC Context | M |  | OCTET STRING | Either includes the *HandoverPreparationInformation* message as defined in subclause 10.2.2. of TS 36.331 [14], or the *HandoverPreparationInformation-NB* message as defined in subclause 10.6.2 of TS 36.331 [14], if the target NG-RAN node is an ng-eNB,  or the *HandoverPreparationInformation* message as defined in subclause 11.2.2 of TS 38.331 [10], if the target NG-RAN node is a gNB. | – |  |
| >Location Reporting Information | O |  | 9.2.3.47 | Includes the necessary parameters for location reporting. | – |  |
| >Mobility Restriction List | O |  | 9.2.3.53 |  | – |  |
| >ManagementBasedMDT PLMN List | O |  | MDT PLMN List  9.2.3.133 |  | YES | ignore |
| >5GC Mobility Restriction List Container | O |  | 9.2.3.100 |  | YES | ignore |
| >NR UE Sidelink Aggregate Maximum Bit Rate | O |  | 9.2.3.107 | This IE applies only if the UE is authorized for NR V2X services. | YES | ignore |
| >LTE UE Sidelink Aggregate Maximum Bit Rate | O |  | 9.2.3.108 | This IE applies only if the UE is authorized for LTE V2X services. | YES | ignore |
| >UE Radio Capability ID | O |  | 9.2.3.138 |  | YES | reject |
| Trace Activation | O |  | 9.2.3.55 |  | YES | ignore |
| Masked IMEISV | O |  | 9.2.3.32 |  | YES | ignore |
| UE History Information | M |  | 9.2.3.64 |  | YES | ignore |
| **UE Context Reference at the S-NG-RAN node** | O |  |  |  | YES | ignore |
| >Global NG-RAN Node ID | M |  | 9.2.2.3 |  | – |  |
| >S-NG-RAN node UE XnAP ID | M |  | NG-RAN node UE XnAP ID  9.2.3.16 |  | – |  |
| **Conditional Handover Information Request** | O |  |  |  | YES | reject |
| >CHO Trigger | M |  | ENUMERATED (CHO-initiation, CHO-replace, …) |  | – |  |
| >Target NG-RAN node UE XnAP ID | C-ifCHOmod |  | NG-RAN node UE XnAP ID 9.2.3.16 | Allocated at the target NG-RAN node | – |  |
| >Estimated Arrival Probability | O |  | INTEGER (1..100) |  | – |  |
| NR V2X Services Authorized | O |  | 9.2.3.105 |  | YES | ignore |
| LTE V2X Services Authorized | O |  | 9.2.3.106 |  | YES | ignore |
| PC5 QoS Parameters | O |  | 9.2.3.109 | This IE applies only if the UE is authorized for NR V2X services. | YES | ignore |
| Mobility Information | O |  | BIT STRING (SIZE (32)) | Information related to the handover; the source NG-RAN node provides it in order to enable later analysis of the conditions that led to a wrong HO. | YES | ignore |
| UE History Information from the UE | O |  | 9.2.3.110 |  | YES | ignore |
| IAB Node Indication | O |  | ENUMERATED (true, ...) |  | YES | reject |
| Time Synchronisation Assistance Information | O |  | 9.2.3.aaa |  | YES | ignore |

|  |  |
| --- | --- |
| Condition | Explanation |
| ifCHOmod | This IE shall be present if the *CHO Trigger* IE is present and set to "CHO-replace". |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofMDTPLMNs | PLMNs in the Management Based MDT PLMN list. Value is 16. |

**<Unchanged Text Omitted>**

#### 9.1.1.9 RETRIEVE UE CONTEXT RESPONSE

This message is sent by the old NG-RAN node to transfer the UE context to the new NG-RAN node.

Direction: old NG-RAN node → new NG-RAN node.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.2.3.1 |  | YES | reject |
| New NG-RAN node UE XnAP ID reference | M |  | NG-RAN node UE XnAP ID 9.2.3.16 | Allocated at the new NG-RAN node | YES | ignore |
| Old NG-RAN node UE XnAP ID reference | M |  | NG-RAN node UE XnAP ID 9.2.3.16 | Allocated at the old NG-RAN node | YES | ignore |
| GUAMI | M |  | 9.2.3.24 |  | YES | reject |
| UE Context Information – Retrieve UE Context Response | M |  | 9.2.1.13 |  | YES | reject |
| Trace Activation | O |  | 9.2.3.55 |  | YES | ignore |
| Masked IMEISV | O |  | 9.2.3.32 |  | YES | ignore |
| Location Reporting Information | O |  | 9.2.3.47 | Includes the necessary parameters for location reporting. | YES | ignore |
| Criticality Diagnostics | O |  | 9.2.3.3 |  | YES | ignore |
| NR V2X Services Authorized | O |  | 9.2.3.105 |  | YES | ignore |
| LTE V2X Services Authorized | O |  | 9.2.3.106 |  | YES | ignore |
| PC5 QoS Parameters | O |  | 9.2.3.109 | This IE applies only if the UE is authorized for NR V2X services. | YES | ignore |
| UE History Information | O |  | 9.2.3.64 |  | YES | ignore |
| UE History Information from the UE | O |  | 9.2.3.110 |  | YES | ignore |
| ManagementBasedMDT PLMN List | O |  | MDT PLMN List  9.2.3.133 |  | YES | Ignore |
| Time Synchronisation Assistance Information | O |  | 9.2.3.aaa |  | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofMDTPLMNs | PLMNs in the Management Based MDT PLMN list. Value is 16. |

**<Unchanged Text Omitted>**

#### 9.2.3.aaa Time Synchronisation Assistance Information

This IE indicates the 5G access stratum time distribution parameters as specified in TS 23.501 [7].

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | **Presence** | **Range** | **IE type and reference** | **Semantics description** |
| Time Distribution indication | M |  | ENUMERATED  (enabled, disabled, …) |  |
| Uu Time Synchronization Error Budget | C-ifEnabled |  | INTEGER (0..1000000, …) | Expressed in units of 1 ns. |

|  |  |
| --- | --- |
| Condition | Explanation |
| C-ifEnabled | This IE shall be present if the *Time Distribution Indication* IE is set to “enabled”. |

**<Unchanged Text Omitted>**

### 9.3.4 PDU Definitions

**<Unchanged Text Omitted>**

IABNodeIndication,

SNTriggered,

SCGIndicator,

UESpecificDRX,

TimeSynchronizationAssistanceInformation

**<Unchanged Text Omitted>**

id-IABNodeIndication,

id-UERadioCapabilityID,

id-SCGIndicator,

id-UESpecificDRX,

id-PDUSessionExpectedUEActivityBehaviour,

id-TimeSynchronizationAssistanceInformation,

maxnoofCellsinNG-RANnode,

maxnoofDRBs,

maxnoofPDUSessions,

maxnoofQoSFlows

FROM XnAP-Constants;

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- HANDOVER REQUEST

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

HandoverRequest ::= SEQUENCE {

protocolIEs ProtocolIE-Container {{HandoverRequest-IEs}},

...

}

HandoverRequest-IEs XNAP-PROTOCOL-IES ::= {

{ ID id-sourceNG-RANnodeUEXnAPID CRITICALITY reject TYPE NG-RANnodeUEXnAPID PRESENCE mandatory}|

{ ID id-Cause CRITICALITY reject TYPE Cause PRESENCE mandatory}|

{ ID id-targetCellGlobalID CRITICALITY reject TYPE Target-CGI PRESENCE mandatory}|

{ ID id-GUAMI CRITICALITY reject TYPE GUAMI PRESENCE mandatory}|

{ ID id-UEContextInfoHORequest CRITICALITY reject TYPE UEContextInfoHORequest PRESENCE mandatory}|

{ ID id-TraceActivation CRITICALITY ignore TYPE TraceActivation PRESENCE optional }|

{ ID id-MaskedIMEISV CRITICALITY ignore TYPE MaskedIMEISV PRESENCE optional }|

{ ID id-UEHistoryInformation CRITICALITY ignore TYPE UEHistoryInformation PRESENCE mandatory}|

{ ID id-UEContextRefAtSN-HORequest CRITICALITY ignore TYPE UEContextRefAtSN-HORequest PRESENCE optional }|

{ ID id-CHOinformation-Req CRITICALITY reject TYPE CHOinformation-Req PRESENCE optional }|

{ ID id-NRV2XServicesAuthorized CRITICALITY ignore TYPE NRV2XServicesAuthorized PRESENCE optional }|

{ ID id-LTEV2XServicesAuthorized CRITICALITY ignore TYPE LTEV2XServicesAuthorized PRESENCE optional }|

{ ID id-PC5QoSParameters CRITICALITY ignore TYPE PC5QoSParameters PRESENCE optional }|

{ ID id-MobilityInformation CRITICALITY ignore TYPE MobilityInformation PRESENCE optional}|

{ ID id-UEHistoryInformationFromTheUE CRITICALITY ignore TYPE UEHistoryInformationFromTheUE PRESENCE optional }|

{ ID id-IABNodeIndication CRITICALITY reject TYPE IABNodeIndication PRESENCE optional}|

{ ID id-TimeSynchronizationAssistanceInformation CRITICALITY ignore TYPE TimeSynchronizationAssistanceInformation PRESENCE optional },

...

}

**<Unchanged Text Omitted>**

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- RETRIEVE UE CONTEXT RESPONSE

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

RetrieveUEContextResponse ::= SEQUENCE {

protocolIEs ProtocolIE-Container {{ RetrieveUEContextResponse-IEs}},

...

}

RetrieveUEContextResponse-IEs XNAP-PROTOCOL-IES ::= {

{ ID id-newNG-RANnodeUEXnAPID CRITICALITY ignore TYPE NG-RANnodeUEXnAPID PRESENCE mandatory}|

{ ID id-oldNG-RANnodeUEXnAPID CRITICALITY ignore TYPE NG-RANnodeUEXnAPID PRESENCE mandatory}|

{ ID id-GUAMI CRITICALITY reject TYPE GUAMI PRESENCE mandatory}|

{ ID id-UEContextInfoRetrUECtxtResp CRITICALITY reject TYPE UEContextInfoRetrUECtxtResp PRESENCE mandatory}|

{ ID id-TraceActivation CRITICALITY ignore TYPE TraceActivation PRESENCE optional }|

{ ID id-MaskedIMEISV CRITICALITY ignore TYPE MaskedIMEISV PRESENCE optional }|

{ ID id-LocationReportingInformation CRITICALITY ignore TYPE LocationReportingInformation PRESENCE optional }|

{ ID id-CriticalityDiagnostics CRITICALITY ignore TYPE CriticalityDiagnostics PRESENCE optional }|

{ ID id-NRV2XServicesAuthorized CRITICALITY ignore TYPE NRV2XServicesAuthorized PRESENCE optional}|

{ ID id-LTEV2XServicesAuthorized CRITICALITY ignore TYPE LTEV2XServicesAuthorized PRESENCE optional}|

{ ID id-PC5QoSParameters CRITICALITY ignore TYPE PC5QoSParameters PRESENCE optional }|

{ ID id-UEHistoryInformation CRITICALITY ignore TYPE UEHistoryInformation PRESENCE optional}|

{ ID id-UEHistoryInformationFromTheUE CRITICALITY ignore TYPE UEHistoryInformationFromTheUE PRESENCE optional }|

{ ID id-MDTPLMNList CRITICALITY ignore TYPE MDTPLMNList PRESENCE optional }|

{ ID id-TimeSynchronizationAssistanceInformation CRITICALITY ignore TYPE TimeSynchronizationAssistanceInformation PRESENCE optional },

...

}

**<Unchanged Text Omitted>**

### 9.3.5 Information Element definitions

**<Unchanged Text Omitted>**

TimeSynchronizationAssistanceInformation ::= SEQUENCE {

timeDistributionIndication ENUMERATED {enabled, disabled, ...},

uuTimeSynchronizationErrorBudget INTEGER (0..1000000, ...) OPTIONAL,

-- The above IE shall be present if the Time Distribution Indication IE is set to the value “enabled”

ie-Extension ProtocolExtensionContainer { { TimeSynchronizationAssistanceInformation-ExtIEs} } OPTIONAL,

...

}

TimeSynchronizationAssistanceInformation-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

...

}

**<Unchanged Text Omitted>**

### 9.3.7 Constant definitions

**<Unchanged Text Omitted>**

id-QoS-Mapping-Information ProtocolIE-ID ::= 250

id-TimeSynchronizationAssistanceInformation ProtocolIE-ID ::= xxx

|  |
| --- |
| **Change Ends** |