3GPP TSG-RAN WG3 #110-E R3-205939

Online, 2-12 November 2020

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
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|  | **38.473** | **CR** | 0658 | **rev** | **1** | **Current version:** | **16.3.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Correction on V2X related information |
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| ***Source to WG:*** | Google, Ericsson |
| ***Source to TSG:*** | RAN3 |
|  |  |
| ***Work item code:*** | 5G\_V2X\_NRSL |  | ***Date:*** | 2020-10-22 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | * The *HandoverPreparationInformation* can include the sidelink related UE information in the scenarios below.
	+ For the inter-gNB-CU handover, the gNB-CU receives a *HandoverPreparationInformation* from the source node so it seems the gNB-CU does not need to use an additional CG-ConfigInfo for the sidelink related UE information.
	+ For the intra-gNB-CU inter-gNB-DU handover, if the sidelink UE related information is received from the source gNB-DU, the gNB-CU can also generate a *HandoverPreparationInformation* to include it for the target gNB-DU.
* The reference of UEAssistanceInformationEUTRA is not defined in TS38.331 and should be referred to TS36.331 instead of TS38.331.
* The following is defined in 38.331. Currently, the gNB-DU only provides the SL-ConfigDedicatedEUTRA in the DU to CU information. The gNB-DU should provide sl-TimeOffsetEUTRA-List-r16 as well.

SL-ConfigDedicatedEUTRA-Info-r16 ::= SEQUENCE { sl-ConfigDedicatedEUTRA-r16 OCTET STRING OPTIONAL, -- Need M sl-TimeOffsetEUTRA-List-r16 SEQUENCE (SIZE (8)) OF SL-TimeOffsetEUTRA-r16 OPTIONAL -- Need M} |
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| ***Summary of change:*** | * Add TS36.331 to the References section
* Add descriptions that if the *HandoverPreparationInformation* IE containing the sidelink related UE information is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall regard it as an indication of V2X sidelink information.
* Correct the semantics description for UEAssitanceInformationEUTRA
* In the DU to CU infomration, the DU provides SL-ConfigDedicatedEUTRA-Info-r16 instead of SL-ConfigDedicatedEUTRA-r16.

Impact Analysis:Impact assessment towards the previous version of the specification (same release): The impact can be considered isolated because the change affects only the sidelink operation in UE Context Setup procedure, the EUTRA UE Assistance Information, and the SL-ConfigDedicatedEUTRA. |
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| ***Consequences if not approved:*** | * For the inter-gNB-CU handover, the target gNB-CU needs to extract the sidelink related UE information and includes the sidelink UE information in the CG-ConfigInfo.
* For the intra-gNB-CU inter-gNB-DU handover, the gNB-CU needs to send both *HandoverPreparationInformation* and CG-ConfigInfo to the gNB-DU, which causes duplicate sidelink related UE information sent to the gNB-DU.
* The LTE UEAssistanceInformation cannot be sent to the gNB-DU.
* The CU is required to generate sl-TimeOffsetEUTRA-List-r16 and parent IE “SL-ConfigDedicatedEUTRA-Info-r16”.
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| ***Clauses affected:*** | 2, 8.3.1.2, 9.3.1.25, 9.3.1.26 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** | R3-205151 |

<<<<<<<<<<<<<<<<<<<< First Change >>>>>>>>>>>>>>>>>>>>

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 38.470: "NG-RAN; F1 general aspects and principles".

[3] 3GPP TS 38.413: "NG-RAN; NG Application Protocol (NGAP)".

[4] 3GPP TS 38.401: "NG-RAN; Architecture Description".

[5] ITU-T Recommendation X.691 (2002-07): "Information technology - ASN.1 encoding rules - Specification of Packed Encoding Rules (PER)".

[6] 3GPP TS 38.300: "NR; Overall description; Stage-2".

[7] 3GPP TS 37.340: "NR; Multi-connectivity; Overall description; Stage-2".

[8] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol specification".

[9] 3GPP TS 36.423: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 Application Protocol (X2AP)".

[10] 3GPP TS 23.401: "General Packet Radio Service (GPRS) enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) access".

[11] 3GPP TS 23.203: "Policy and charging control architecture".

[12] ITU-T Recommendation X.680 (07/2002): "Information technology – Abstract Syntax Notation One (ASN.1): Specification of basic notation".

[13] ITU-T Recommendation X.681 (07/2002): "Information technology – Abstract Syntax Notation One (ASN.1): Information object specification".

[14] 3GPP TR 25.921: (version.7.0.0): "Guidelines and principles for protocol description and error".

[15] 3GPP TS 36.413: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 Application Protocol (S1AP)".

[16] 3GPP TS 38.321: "NR; Medium Access Control (MAC) protocol specification".

[17] 3GPP TS 38.104: "NR; Base Station (BS) radio transmission and reception".

[18] 3GPP TS 29.281: "General Packet Radio System (GPRS); Tunnelling Protocol User Plane (GTPv1-U) ".

[19] 3GPP TS 38.414: "NG-RAN; NG data transport".

[20] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2".

[21] 3GPP TS 23.501: "System Architecture for the 5G System".

[22] 3GPP TS 38.472: "NG-RAN; F1 signalling transport".

[23] 3GPP TS 23.003: "Numbering, addressing and identification".

[24] 3GPP TS 38.304: "NR; User Equipment (UE) procedures in Idle mode and RRC Inactive state ".

[25] 3GPP TS 36.104: "Base Station (BS) radio transmission and reception".

[26] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".

[27] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical channels and modulation".

[28] 3GPP TS 38.423: "NG-RAN; Xn application protocol (XnAP)".

[29] 3GPP TS 32.422: "Trace control and configuration management".

[30] 3GPP TS 38.340: "NR; Backhaul Adaptation Protocol (BAP) specification".

[31] 3GPP TS 38.213: "NR; Physical layer procedures for control".

[32] 3GPP TS 38.314: " NR; Layer 2 measurements".

[33] 3GPP TS 38.211: "NR; Physical channels and modulation".

[34] 3GPP TS 38.214: "NR; Physical layer procedures for data".

[35] 3GPP TS 37.320: "Radio measurement collection for Minimization of Drive Tests (MDT)".

[36] 3GPP TS 23.032:"Technical Specification Group Services and System Aspects; Universal Geographical Area Description (GAD)".

[37] 3GPP TS 38.455: "NG-RAN; NR Positioning protocol A (NRPPa)".

[38] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".

[39] 3GPP TS 37.355: "LTE Positioning Protocol (LPP)".

[40] 3GPP TS 23.287: "Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services".

[X] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC); Protocol specification".

<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>

8.3.1 UE Context Setup

8.3.1.1 General

The purpose of the UE Context Setup procedure is to establish the UE Context including, among others, SRB,DRB, BH RLC channel, and SL DRB configuration. The procedure uses UE-associated signalling.

8.3.1.2 Successful Operation

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**Figure 8.3.1.2-1: UE Context Setup Request procedure: Successful Operation**

The gNB-CU initiates the procedure by sending UE CONTEXT SETUP REQUEST message to the gNB-DU. If the gNB-DU succeeds to establish the UE context, it replies to the gNB-CU with UE CONTEXT SETUP RESPONSE. If no UE-associated logical F1-connection exists, the UE-associated logical F1-connection shall be established as part of the procedure.

If the *UE-CapabilityRAT-ContainerList* IE is included in the UE CONTEXT SETUP REQUEST, the gNB-DU shall take this information into account for UE specific configurations.

If the *servingCellMO* IE is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall configure servingCellMO for the indicated SpCell accordingly.

If the *SpCell UL Configured* IE is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall configure UL for the indicated SpCell accordingly.

If the *SCell To Be Setup List* IE is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall consider it as a list of candidate SCells to be set up. If the *SCell UL Configured* IE is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall configure UL for the indicated SCell accordingly. If the *servingCellMO* IE is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall configure servingCellMO for the indicated SCell accordingly.

If the *DRX Cycle* IE is contained in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall use the provided value from the gNB-CU.

If the *UL Configuration* IE in *DRB to Be Setup Item* IE is contained in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall take it into account for UL scheduling.

If the *SRB To Be Setup List* IE is contained in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall act as specified in TS 38.401 [4]. If *Duplication Indication* IE is contained in the *SRB To Be Setup List* IE, the gNB-DU shall, if supported, setup two RLC entities for the indicated SRB. If the *Additional* *Duplication Indication* IE is contained in the *SRB To Be Setup List* IE, the gNB-DU shall, if supported, setup the indicated RLC entities for the indicated SRB.

If the *DRB To Be Setup List* IE is contained in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall act as specified in TS 38.401 [4]. If the *QoS Flow Mapping Indication* IE is included in the *DRB To Be Setup List* IE for a QoS flow, the gNB-DU may take it into account that only the uplink or downlink QoS flow is mapped to the indicated DRB.

If the *BH Information* IE is included in the *UL UP TNL Information to be setup List* IE for a DRB, the gNB-DU shall, if supported, use the indicated BAP Routing ID and BH RLC channel for transmission of the corresponding GTP-U packets to the IAB-donor, as specified in TS 38.340 [30].

If the *BH RLC Channel To Be Setup List* IE is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall act as specified in TS 38.401 [4]. If the *Traffic Mapping Information* IE is included in the *BH RLC Channel To Be Setup Item IEs* IE for a BH RLC Channel, the gNB-DU shall, if supported, process the *Traffic Mapping Information* IE as follows:

- if the *IP to layer2 Traffic Mapping Info* IE is included, the gNB-DU shall store the mapping information contained in the *IP to layer2 Mapping Info To Add* IE, if present, for the egress BH RLC channel identified by the *BH RLC CH ID* IE, and shall remove the previously stored mapping information as indicated by the *IP to layer2 Mapping Info To Remove* IE, if present. The gNB-DU shall use the mapping information stored for the mapping of IP traffic to layer 2, as specified in TS 38.340 [30].

- if the *BAP layer BH RLC channel Mapping Info* IE is included, the gNB-DU shall store the mapping information contained in the *BAP layer BH RLC channel Mapping Info To Add* IE, if present, for the egress BH RLC channel identified by the *BH RLC CH ID* IE, and shall remove the previously stored mapping information as indicated by the *BAP layer BH RLC channel Mapping Info To Remove* IE, if present. The gNB-DU shall use the mapping information stored when forwarding traffic on BAP-layer, as specified in TS 38.340 [30].

If two *UL UP TNL Information* IEs are included in UE CONTEXT SETUP REQUEST message for a DRB, gNB-DU shall include two *DL UP TNL Information* IEs in UE CONTEXT SETUP RESPONSE message and setup two RLC entities for the indicated DRB. gNB-CU and gNB-DU use the *UL UP TNL Information* IEs and *DL UP TNL Information* IEs to support packet duplication for intra-gNB-DU CA as defined in TS 38.470 [2]. The first *UP TNL Information* IE of the two *UP TNL Information* IEs is for the primary path*.*

If one or two *Additional PDCP Duplication UP TNL Information* IEs are included in the UE CONTEXT SETUP REQUEST message for a DRB, the gNB-DU shall, if supported, include one or two *Additional PDCP Duplication UP TNL Information* IEs in the UE CONTEXT SETUP RESPONSE message and setup one or two additional RLC entities for the indicated DRB. The gNB-CU and the gNB-DU use the *Additional PDCP Duplication UP TNL Information* IEs to support packet duplication for intra-gNB-DU CA as defined in TS 38.470 [2].

If *Duplication Activation IE* is included in the UE CONTEXT SETUP REQUEST message for a DRB, gNB-DU should take it into account when activating/deactivating CA based PDCP duplication for the DRB. If the *RLC Duplication State List* IE is included in the *RLC Duplication Information* IE contained in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall, if supported, take it into account when activating/deactivating CA based PDCP duplication for the DRB with more than two RLC entities.

If *DC Based Duplication Configured* IE is included in the UE CONTEXT SETUP REQUEST message for a DRB, gNB-DU shall regard that DC based PDCP duplication is configured for this DRB if the value is set to be "true" and it should take the responsibility of PDCP duplication activation/deactivation. If *DC Based Duplication Activation* IE is included in the UE CONTEXT SETUP REQUEST message for a DRB, gNB-DU should take it into account when activating/deactivating DC based PDCP duplication for this DRB. If the *RLC Duplication State List* IE is included in the *RLC Duplication Information* IE contained in the UE CONTEXT SETUP REQUEST message for a DRB, the gNB-DU shall, if supported, take it into account when activating/deactivating DC based PDCP duplication for the DRB with more than two RLC entities. If the *Primary Path Indication* IE is included in the *RLC Duplication Information* IE, the gNB-DU shall, if supported, take it into account when performing DC based PDCP duplication for the DRB with more than two RLC entities.

If *UL PDCP SN length* IE is included in the UE CONTEXT SETUP REQUEST message for a DRB, gNB-DU shall, if supported, store this information and use it for lower layer configuration.

For EN-DC operation, and if the *Subscriber Profile ID* *for RAT/Frequency priority* IE is received from an MeNB, the UE CONTEXT SETUP REQUEST message shall contain the *Subscriber Profile ID* *for RAT/Frequency priority* IE. If the *Additional RRM Policy Index* IE is received from an MeNB, the UE CONTEXT SETUP REQUEST message shall, if supported, contain the *Additional RRM Policy Index* IE. The gNB-DU shall store the received Subscriber Profile ID for RAT/Frequency priority in the UE context and use it as defined in TS 36.300 [20]. The gNB-DU shall, if supported, store the received Additional RRM Policy Index in the UE context and use it as defined in TS 36.300 [20].

If the *Index to RAT/Frequency Selection Priority* IE is available at the gNB-CU, the *Index to RAT/Frequency Selection Priority* IE shall be included in the UE CONTEXT SETUP REQUEST. The gNB-DU may use it for RRM purposes.

The gNB-DU shall report to the gNB-CU, in the UE CONTEXT SETUP RESPONSE message, the result for all the requested DRBs, SRBs and BH RLC channels in the following way:

- A list of DRBs which are successfully established shall be included in the *DRB Setup List* IE;

- A list of DRBs which failed to be established shall be included in the *DRB Failed to Setup List* IE;

- A list of SRBs which failed to be established shall be included in the *SRB Failed to Setup List* IE.

- A list of successfully established SRBs with logical channel identities for primary path shall be included in the *SRB Setup List* IE only if CA based PDCP duplication is initiated for the concerned SRBs.

- A list of BH RLC channels which are successfully established shall be included in the *BH RLC Channel Setup List* IE;

- A list of BH RLC channels which failed to be established shall be included in the *BH RLC Channel Failed to be Setup List* IE;

- A list of SL DRBs which are successfully established shall be included in the *SL DRB Setup List* IE;

- A list of SL DRBs which failed to be established shall be included in the *SL DRB Failed to Setup List* IE.

When the gNB-DU reports the unsuccessful establishment of a DRB or SRB or SL DRB, the cause value should be precise enough to enable the gNB-CU to know the reason for the unsuccessful establishment.

For EN-DC operation, the gNB-CU shall include in the UE CONTEXT SETUP REQUEST the *E-UTRAN QoS* IE. The allocation of resources according to the values of the *Allocation and Retention Priority* IE included in the *E-UTRAN QoS* IE shall follow the principles described for the E-RAB Setup procedure in TS 36.413 [15].

For NG-RAN operation, the gNB-CU shall include in the UE CONTEXT SETUP REQUEST the *DRB Information* IE.

For DC operation, the *CG-ConfigInfo* IE shall be included in the *CU to DU RRC Information* IE at the gNB acting as secondary node. If the *CG-ConfigInfo* IE is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall regard it as a reconfiguration with sync as defined in TS 38.331 [8].

For sidelink operation, the *CG-ConfigInfo* IE shall be included in the *CU to DU RRC Information* IE if the gNB-CU receives sidelink related UE information from UE. If the *CG-ConfigInfo* IE is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall regard it as an indication of V2X sidelink information as defined in TS 38.331 [8].

If the *HandoverPreparationInformation* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT SETUP REQUEST message, the gNB-DU of the gNB acting as master node shall regard it as a reconfiguration with sync as defined in TS 38.331 [8]. The gNB-CU shall only initiate the UE Context Setup procedure for handover or secondary node addition when at least one DRB is setup for the UE. If the *HandoverPreparationInformation* IE containing the sidelink related UE information is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall regard it as an indication of V2X sidelink information as defined in TS 38.331 [8].

If the received *CU to DU RRC Information* IE does not include source cell group configuration, the gNB-DU shall generate the cell group configuration using full configuration. Otherwise, delta configuration is allowed.

If the gNB-CU includes the SMTC information of the measured frequency(ies) in the *MeasurementTimingConfiguration* IE of the *CU to DU RRC Information* IE that is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall generate the measurement gaps based on the received SMTC information. Then the gNB-DU shall send the measurement gaps information to the gNB-CU in the *MeasGapConfig* IE of the *DU to CU RRC Information* IE that is included in the UE CONTEXT SETUP RESPONSE message.

If the *MeasConfig* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall deduce that changes to the measurements configuration need to be applied. If the *measObjectToAddModList* IE is included in the *MeasConfig* IE, then the frequencies added in such IE are to be activated. Then the gNB-DU shall decide if measurement gaps are needed or not and, if needed, the gNB-DU shall send the measurement gaps information to the gNB-CU in the *MeasGapConfig* IE of the *DU to CU RRC Information* IE that is included in the UE CONTEXT SETUP RESPONSE message. If the *measObjectToRemoveList* IE is included in the *MeasConfig* IE, the gNB-DU shall ignore it.

For EN-DC operation, if the gNB-CU includes the *Resource Coordination Transfer Information* IE in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall, if supported, use it for the purpose of resource coordination. If the *Ignore PRACH Configuration* IE is present and set to "true" the *E-UTRA PRACH Configuration* IE in the UE CONTEXT SETUP REQUEST message shall be ignored. If the gNB-CU received the MeNB Resource Coordination Information as defined in TS 36.423 [9], it shall transparently transfer it to the gNB-DU via the *Resource Coordination Transfer Container* IE in the UE CONTEXT SETUP REQUEST message. The gNB-DU shall use the information received in the *Resource Coordination Transfer Container* IE for reception of MeNB Resource Coordination Information at the gNB acting as secondary node as described in TS 36.423 [9]. If the *Resource Coordination E-UTRA Cell Information* IE is included in the *Resource Coordination Transfer Information* IE, the gNB-DU shall store the information replacing previously received information for the same E-UTRA cell, and use the stored information for the purpose of resource coordination.

For NGEN-DC or NE-DC operation, if the gNB-CU includes the *Resource Coordination Transfer Information* IE in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall, if supported, use it for the purpose of resource coordination. If the gNB-CU received the MR-DC Resource Coordination Information as defined in TS 38.423 [28], it shall transparently transfer it to the gNB-DU via the *Resource Coordination Transfer Container* IE in the UE CONTEXT SETUP REQUEST message. The gNB-DU shall use the information received in the *Resource Coordination Transfer Container* IE for reception of MR-DC Resource Coordination Information at the gNB as described in TS 38.423 [28].

The *UEAssistanceInformation* IE shall be included in *CU to DU RRC Information* IE in the UE CONTEXT SETUP REQUEST message if the gNB-CU received this IE from the UE; if the *UEAssistanceInformation* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall, if supported, take it into account when configuring resources for the UE.

The *UEAssistanceInformationEUTRA* IE shall be included in *CU to DU RRC Information* IE in the UE CONTEXT SETUP REQUEST message if the gNB-CU received this IE from the UE; if the *UEAssistanceInformationEUTRA* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall, if supported, take it into account when configuring LTE sidelink resources for the UE.

If the *Resource Coordination Transfer Container* IE is included in the UE CONTEXT SETUP RESPONSE, the gNB-CU shall transparently transfer this information for the purpose of resource coordination as described in TS 36.423 [9], TS 38.423 [28].

If the *Masked IMEISV* IE is contained in the UE CONTEXT SETUP REQUEST message the gNB-DU shall, if supported, use it to determine the characteristics of the UE for subsequent handling.

If the *SCell Failed To Setup List* IE is contained in the UE CONTEXT SETUP RESPONSE message, the gNB-CU shall regard the corresponding SCell(s) failed to be set up with an appropriate cause value for each SCell failed to setup.

If the *Inactivity Monitoring Request* IE is contained in the UE CONTEXT SETUP REQUEST message, gNB-DU may consider that the gNB-CU has requested the gNB-DU to perform UE inactivity monitoring. If the *Inactivity Monitoring Response* IE is contained in the UE CONTEXT SETUP RESPONSE message and set to "Not-supported", the gNB-CU shall consider that the gNB-DU does not support UE inactivity monitoring for the UE.

If the *CellGroupConfig* IE is included in the *DU to CU RRC Information* IE contained in the UE CONTEXT SETUP RESPONSE message, the gNB-CU shall perform RRC Reconfiguration or RRC connection resume as described in TS 38.331 [8]. The *CellGroupConfig* IE shall transparently be signaled to the UE as specified in TS 38.331 [8].

If the *Full Configuration* IE is contained in the UE CONTEXT SETUP RESPONSE message, the gNB-CU shall consider that the gNB-DU has generated the *CellGroupConfig* IE using full configuration.

If the *C-RNTI* IE is included in the UE CONTEXT SETUP RESPONSE, the gNB-CU shall consider that the C-RNTI has been allocated by the gNB-DU for this UE context.

The UE Context Setup Procedure is not used to configure SRB0.

If the UE CONTEXT SETUP REQUEST message contains the *RRC-Container* IE, the gNB-DU shall send the corresponding RRC message to the UE via SRB1.

If the *Notification Control* IE is included in the *DRB to Be Setup List* IE contained in the UE CONTEXT SETUP REQUEST message and it is set to active, the gNB-DU shall, if supported, monitor the QoS of the DRB and notify the gNB-CU if the QoS cannot be fulfilled any longer or if the QoS can be fulfilled again. The *Notification Control* IE can only be applied to GBR bearers.

If the *UL PDU Session Aggregate Maximum Bit Rate* IE is included in the *QoS Flow Level QoS Parameters* IE contained in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall store the received UL PDU Session Aggregate Maximum Bit Rate and use it when enforcing uplink traffic policing for non-GBR Bearers for the concerned UE as specified in TS 23.501 [21].

The gNB-DU shall store the received gNB-DU UE Aggregate Maximum Bit Rate Uplink and use it for non-GBR Bearers for the concerned UE.

If the UE CONTEXT SETUP REQUEST message contains the *QoS Flow Mapping Indication* IE, the gNB-DU may take it into account that only the uplink or downlink QoS flow is mapped to the DRB.

If the UE CONTEXT SETUP REQUEST message contains the *New gNB-CU UE F1AP ID* IE, the gNB-DU shall, if supported, replace the value received in the *gNB-CU UE F1AP ID* IE by the value of the *New gNB-CU UE F1AP ID* and use it for further signalling.

If the *RAN UE ID* IE is contained in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall store and replace any previous information received.

If the *Trace Activation* IE is included in the UE CONTEXT SETUP REQUEST message the gNB-DU shall, if supported, initiate the requested trace function as described in TS 32.422 [29].

In particular, the gNB-DU shall, if supported:

- if the *Trace Activation* IE includes the *MDT Activation* IE set to "Immediate MDT and Trace", initiate the requested trace session and MDT session as described in TS 32.422 [29];

- if the *Trace Activation* IE includes the *MDT Activation* IE set to "Immediate MDT Only", initiate the requested MDT session as described in TS 32.422 [29] and the gNB-DU shall ignore Interfaces To Trace IE, and Trace Depth IE. If the *Management Based MDT PLMN List* IE is contained in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall, if supported, store the received information in the UE context, and use this information to allow subsequent selection of the UE for management based MDT defined in TS 32.422 [29].

For each QoS flow whose DRB has been successfully established and the *QoS Monitoring Request* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall store this information, and, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [21].

If the UE CONTEXT SETUP REQUEST message contains the *Configured* *BAP Address* IE, the gNB-DU shall, if supported, store this BAP address configured for the corresponding child IAB-node and use it as specified in TS 38.401 [4].

If the *BAP Control PDU Channel* IE is included in the *BH RLC Channel to be Setup List* IE, the gNB-DU shall, if supported, consider that the configured BH RLC channel can be used to transmit BAP Control PDUs, and use this BH RLC channel as specified in TS 38.340 [30].

If the *NR* *V2X Services Authorized* IE is contained in the UE CONTEXT SETUP REQUEST message and it contains one or more IEs set to "authorized", the gNB-DU node shall, if supported, consider that the UE is authorized for the relevant service(s).

If the *LTE V2X Services Authorized* IE is contained in the UE CONTEXT SETUP REQUEST message and it contains one or more IEs set to "authorized", the gNB-DU node shall, if supported, consider that the UE is authorized for the relevant service(s).

If the *NR UE Sidelink Aggregate Maximum Bit Rate* IE is contained in theUE CONTEXT SETUP REQUEST message, the gNB-DU shall, if supported, use it for the concerned UE's sidelink communication in network scheduled mode for NR V2X services.

If the *LTE UE Sidelink Aggregate Maximum Bit Rate* IE is contained in theUE CONTEXT SETUP REQUEST message, the gNB-DU shall, if supported, use it for the concerned UE's sidelink communication in network scheduled mode for LTE V2X services.

If the *PC5 Link Aggregate Bit Rate* IE is contained in theUE CONTEXT SETUP REQUEST message, the gNB-DU shall, if supported, use it for the concerned UE's sidelink communication in network scheduled mode for NR V2X services as defined in TS 23.287 [40].

If the *TSC Traffic Characteristics* IE is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall, if supported, take into account the corresponding information received in the *TSC Traffic Characteristics* IE.

If the *Conditional Inter-DU Mobility Information* IE is included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall consider that the request concerns a conditional handover or conditional PSCell change for the included *SpCell ID* IE and shall include it as the *Requested Target Cell ID* IE in the UE CONTEXT SETUP RESPONSE message. The gNB-DU shall regard it as a reconfiguration with sync as defined in TS 38.331 [8].

If the *Target gNB-DU UE F1AP ID* IE is contained in the *Conditional Inter-DU Mobility Information* IE included in the UE CONTEXT SETUP REQUEST message, then the gNB-DU shall replace the existing prepared conditional handover or conditional PSCell change identified by the *Target gNB-DU UE F1AP ID* IE and the *SpCell ID* IE.

If the *Serving NID* IE is contained in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall combine the *Serving NID* IE with the *Serving PLMN* IEto identify the serving NPN, and may take it into account for UE context establishment.

8.3.1.3 Unsuccessful Operation

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**Figure 8.3.1.3-1: UE Context Setup Request procedure: unsuccessful Operation**

If the gNB-DU is not able to establish an F1 UE context, or cannot even establish one bearer it shall consider the procedure as failed and reply with the UE CONTEXT SETUP FAILURE message. If the *Conditional Inter-DU Mobility Information* IE was included in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall include the received *SpCell ID* IE as the *Requested Target Cell ID* IE in the UE CONTEXT SETUP FAILURE message.

If the gNB-DU is not able to accept the *SpCell ID* IE in UE CONTEXT SETUP REQUEST message, it shall reply with the UE CONTEXT SETUP FAILURE message with an appropriate cause value. Further, if the *Candidate SpCell List* IEis included in the UE CONTEXT SETUP REQUEST message and the gNB-DU is not able to accept the *SpCell ID* IE, the gNB-DU shall, if supported, include the *Potential SpCell List* IE in the UE CONTEXT SETUP FAILURE message and the gNB-CU should take this into account for selection of an opportune SpCell. The gNB-DU shall include the cells in the *Potential SpCell List* IE in a priority order, where the first cell in the list is the one most desired and the last one is the one least desired (e.g., based on load conditions). If the *Potential SpCell List* IE is present but no *Potential SpCell Item* IE is present, the gNB-CU should assume that none of the cells in the *Candidate SpCell List* IE are acceptable for the gNB-DU.

8.3.1.4 Abnormal Conditions

If the gNB-DU receives a UE CONTEXT SETUP REQUEST message containing a *E-UTRAN QoS* IE for a GBR QoS DRB but where the *GBR QoS Information* IE is not present, the gNB-DU shall report the establishment of the corresponding DRB as failed in the *DRB Failed to Setup List* IE of the UE CONTEXT SETUP RESPONSE message with an appropriate cause value. If the gNB-DU receives a UE CONTEXT SETUP REQUEST message containing a *DRB QoS* IE for a GBR QoS DRB but where the *GBR QoS Flow Information* IE is not present, the gNB-DU shall report the establishment of the corresponding DRBs as failed in the *DRB Failed to Setup List* IE of the UE CONTEXT SETUP RESPONSE message with an appropriate cause value.

If the *Delay Critical* IE is included in the *Dynamic 5QI Descriptor* IE within the *DRB QoS* IE in the UE CONTEXT SETUP REQUEST message and is set to the value "delay critical" but the *Maximum Data Burst Volume* IE is not present, the gNB-DU shall report the establishment of the corresponding DRB as failed in the *DRB Failed to Setup List* IE of the of the UE CONTEXT SETUP RESPONSE message with an appropriate cause value.

In case of "CHO-replace" when the *Target gNB-DU UE F1AP ID* IE is included, if the candidate cell in the *SpCell ID* IE included in the UE CONTEXT SETUP REQUEST message was not prepared using the same UE-associated signaling connection, the gNB-DU shall ignore this candidate cell.

<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>

9.3.1.25 CU to DU RRC Information

This IE contains the RRC Information that are sent from gNB-CU to gNB-DU.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| CG-ConfigInfo | O |  | OCTET STRING | CG-ConfigInfo, as defined in TS 38.331 [8]. | - |  |
| UE-CapabilityRAT-ContainerList | O |  | OCTET STRING | This IE is used in the NG-RAN and it consists of the UE-CapabilityRAT-ContainerList, as defined in TS 38.331 [8]. | - |  |
| MeasConfig  | O |  | OCTET STRING | MeasConfig, as defined in TS 38.331 [8] (without MeasGapConfig). For EN-DC/NGEN-DC operation, includes the list of FR2 frequencies for which the gNB-CU requests the gNB-DU to generate gaps.For NG-RAN,NE-DC and MN for NR-NR DC, includes the list of FR1 and/or FR2 frequencies for which the gNB-CU requests the gNB-DU to generate gaps and the gap type (per-UE or per-FR). | - |  |
| Handover Preparation Information | O |  | OCTET STRING | HandoverPreparationInformation, as defined in TS 38.331 [8]. | YES | ignore |
| CellGroupConfig | O |  | OCTET STRING | CellGroupConfig, as defined in TS 38.331 [8]. | YES | ignore |
| Measurement Timing Configuration | O |  | OCTET STRING | Contains the *MeasurementTimingConfiguration* inter-node message defined in TS 38.331 [8].In EN-DC/NGEN-DC, it is included when the gaps for FR2 are requested to be configured by the MeNB. For MN in NR-NR DC,it is included when the gaps for FR2 and/or FR1 are requested by the SgNB | YES | ignore |
| UEAssistanceInformation | O |  | OCTET STRING | UEAssistanceInformation, as defined in TS 38.331 [8].  | YES | ignore |
| CG-Config | O |  | OCTET STRING | CG-Config, as defined in TS 38.331 [8]. | YES | ignore |
| UEAssistanceInformationEUTRA | O |  | OCTET STRING | UEAssistanceInformation, as defined in TS 36.331 [X]. | YES | ignore |

9.3.1.26 DU to CU RRC Information

This IE contains the RRC Information that are sent from the gNB-DU to the gNB-CU.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| CellGroupConfig | M |  | OCTET STRING | CellGroupConfig, as defined in TS 38.331 [8]. |  |  |
| MeasGapConfig | O |  | OCTET STRING | MeasGapConfig as defined in TS 38.331 [8].For EN-DC/NGEN-DC operation, includes the gap for FR2, as requested by the gNB-CU via MeasConfig IE. For NG-RAN,NE-DC and MN for NR-NR DC, includes the gap(s) for FR1 and/or FR2, as requested by the gNB-CU via MeasConfig IE and according to the requested gap type (per-UE or per-FR). |  |  |
| Requested P-MaxFR1 | O |  | OCTET STRING | requestedP-MaxFR1, as defined in TS 38.331 [8]. For EN-DC, NGEN-DC and NR-DC operation, this IE should be included. |  |  |
| DRX Long Cycle Start Offset | O |  | INTEGER (0..10239) | Identical to the value of the drx-LongCycleStartOffset IE within the DRX-Config as defined in TS 38.331 [8].This field is not used in NR-DC. |  |  |
| Selected BandCombinationIndex | O |  | OCTET STRING | BandCombinationIndex, as defined in TS 38.331 [8]. For (NG)EN-DC and NR DC operation, this IE should be included so that gNB-CU is informed of the selected Band Combination. | YES | ignore |
| Selected FeatureSetEntryIndex | O |  | OCTET STRING | FeatureSetEntryIndex, as defined in TS 38.331 [8]. For (NG)EN-DC and NR DC operation, this IE should be included so that gNB-CU is informed of the selected FeatureSet. | YES | ignore |
| Ph-InfoSCG | O |  | OCTET STRING | PH-TypeListSCG, as defined in TS 38.331 [8].For MR-DC, this IE should be included so that gNB-CU is informed of the Power Headroom type for each serving cell in SN. | Yes | ignore |
| Requested BandCombinationIndex | O |  | OCTET STRING | BandCombinationIndex, as defined in TS 38.331 [8]. This IE is used for the gNB-DU to request a new Band Combination. | YES | ignore |
| Requested FeatureSetEntryIndex | O |  | OCTET STRING | FeatureSetEntryIndex, as defined in TS 38.331 [8]. This IE is used for the gNB-DU to request a new Feature Set. | YES | ignore |
| DRX Config | O |  | OCTET STRING | DRX-Config, as defined in TS 38.331 [8].This field is only used in NR-DC. | YES | ignore |
| PDCCH BlindDetectionSCG | O |  | OCTET STRING | pdcch-BlindDetectionSCG, as defined in TS 38.331 [8]. This IE is used between the MgNB-DU and the MgNB-CU. | YES | ignore |
| Requested PDCCH BlindDetectionSCG | O |  | OCTET STRING | requestedPDCCH-BlindDetectionSCG, as defined in TS 38.331 [8]. This IE is used between the SgNB-DU and the SgNB-CU. | YES | ignore |
| Ph-InfoMCG | O |  | OCTET STRING | PH-TypeListMCG, as defined in TS 38.331 [8]. For MR-DC, this IE should be included so that gNB-CU is informed of the Power Headroom type for each serving cell in MCG. | YES | ignore |
| MeasGapSharingConfig | O |  | OCTET STRING | MeasGapSharingConfig as defined in TS 38.331 [8]. | YES | ignore |
| SL-PHY-MAC-RLC-Config | O |  | OCTET STRING | SL-PHY-MAC-RLC-Config as defined in TS 38.331 [8]. | YES | ignore |
| SL-ConfigDedicatedEUTRA | O |  | OCTET STRING | SL-ConfigDedicatedEUTRA-Info as defined in TS 38.331 [8]. | YES | ignore |
| Requested P-MaxFR2 | O |  | OCTET STRING | RequestedP-MaxFR2, as defined in TS 38.331 [8]. For NR-DC operation, this IE should be included. | YES | ignore |