3GPP TSG-RAN WG3 Meeting #108-e R3-204386

**E-Meeting, 1– 11 Jun 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **36.423** | **CR** | **1494** | **rev** | **3** | **Current version:** | **16.1.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Clarification on MIB only scenario | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | China Telecom, ZTE, CATT, Huawei, China Unicom, Nokia, Nokia Shanghai Bell, Samsung | | | | | | | | | |
| ***Source to TSG:*** | RAN3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2020-06-12 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | A |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | According to TS37.340, in MR-DC, the SN is not required to broadcast system information other than for radio frame timing and SFN.  Per TS36.423, the 5GS TAC and served PLMN comes from the broadcast SIB1. However, the en-gNB may not broadcast SIB1 via air interface. In this case, the current signalling brings some confusion on that the information is broadcast and therefore that “MIB only” is not used at the peer node. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Add the Note for X2 Setup, eNB Configuration Update, EN-DCX2 Setup, and EN-DC Configuration Update procedures.  Impact Analysis:  Impact assessment towards the previous version of the specification (same release):  This CR has isolated impact since the changes only clarify “MIB only” case.  No ASN.1 impact. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | There still remain some ambiguities on how to support MIB only in EN-DC scenario. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 8.3.3.1, 8.3.5.1, 8.7.1.1, 8.7.2.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS36.423 CR1493 R15  TS38.423 CR0379 R15  TS38.423 CR0381 R16 | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | V1: update the semantics description for optional IEs  V2: add note for procedure text  V3: rewording the text | | | | | | | | |

////////////////////////////////////////////////////////////////////////start of change///////////////////////////////////////////////////////////////////////////

### 8.3.3 X2 Setup

#### 8.3.3.1 General

The purpose of the X2 Setup procedure is to exchange application level configuration data needed for two eNBs to interoperate correctly over the X2 interface. This procedure erases any existing application level configuration data in the two nodes and replaces it by the one received. This procedure also resets the X2 interface like a Reset procedure would do.

NOTE: Exchange of application level configuration data also applies for two eNB nodes in case the SN does not broadcast system information. How to use this information when this option is used is not explicitly specified, as specified in the TS 37.340 [32].

The procedure uses non UE-associated signalling.

#### 8.3.3.2 Successful Operation



Figure 8.3.3.2-1: X2 Setup, successful operation

An eNB1 initiates the procedure by sending the X2 SETUP REQUEST message to a candidate eNB2. The candidate eNB2 replies with the X2 SETUP RESPONSE message. The initiating eNB1 shall transfer the complete list of its served cells and, if available, a list of supported GU Group Ids to the candidate eNB2. The candidate eNB2 shall reply with the complete list of its served cells and shall include, if available, a list of supported GU Group Ids in the reply.

If a cell is switched off for energy savings reasons, it should be activated before initiating or responding to the X2 Setup procedure and shall still be included in the list of served cells.

The initiating eNB1 may include the *Neighbour Information* IE in the X2 SETUP REQUEST message. The candidate eNB2 may also include the *Neighbour Information* IE in the X2 SETUP RESPONSE message. The *Neighbour Information* IE shall only include E-UTRAN cells that are direct neighbours of cells in the reporting eNB. A direct neighbour of one cell of a given eNB may be any cell belonging to an eNB that is a neighbour of that given eNB cell e.g. even if the cell has not been reported by a UE. The initiating eNB1 may include the *TAC* IE with the *Neighbour Information* IE in the X2 SETUP REQUEST message. The candidate eNB2 may also include the *TAC* IE with the *Neighbour Information* IE in the X2 SETUP RESPONSE message. The eNB receiving the IE may use it according to TS 36.300 [15].

The initiating eNB1 may include the *NR Neighbour Information* IE in the X2 SETUP REQUEST message. The candidate eNB2 may also include the *NR Neighbour Information* IE in the X2 SETUP RESPONSE message. The *NR Neighbour Information* IE shall only include NR cells capable of performing EN-DC with the corresponding served E-UTRA cell. The eNB receiving the *NR Neighbour Information* IE may use it according to TS 36.300 [15].

The initiating eNB1 may include the *Number of Antenna Ports* IE in the X2 SETUP REQUEST message. The candidate eNB2 may also include the *Number of Antenna Ports* IE in the X2 SETUP RESPONSE message. The eNB receiving the IE may use it according to TS 36.331 [9].

The initiating eNB1 may include the *PRACH Configuration* IE in the X2 SETUP REQUEST message. The candidate eNB2 may also include the *PRACH Configuration* IE in the X2 SETUP RESPONSE message. The eNB receiving the IE may use this information for RACH optimisation.

The initiating eNB1 may include the *MBSFN Subframe Info* IE in the X2 SETUP REQUEST message. The candidate eNB2 may also include the *MBSFN Subframe Info* IE in the X2 SETUP RESPONSE message. The eNB receiving the IE may use it according to TS 36.331 [9].

For each CSG cell or hybrid cell served by the initiating eNB1 the X2 SETUP REQUEST message shall contain the *CSG ID* IE. For each CSG cell or hybrid cell served by the candidate eNB2 the X2 SETUP RESPONSE message shall contain the *CSG ID* IE. The eNB receiving the IE shall take this information into account when further deciding whether X2 handover between the source cell and the target cell may be performed.

The initiating eNB1 may include the *MBMS Service Area Identity List* IE in the X2 SETUP REQUEST message. The candidate eNB2 may also include the *MBMS Service Area Identity List* IE in the X2 SETUP RESPONSE message. The eNB receiving the IE may use it according to TS 36.300 [15].

For each cell served by the initiating eNB1 the X2 SETUP REQUEST message may contain the *MultibandInfoList* IE and may also contain the *FreqBandIndicatorPriority* IE. For each cell served by the candidate eNB2 the X2 SETUP RESPONSE message may contain the *MultibandInfoList* IE and may also contain the *FreqBandIndicatorPriority* IE. The eNB receiving the *MultibandInfoList* IE shall, if supported, take this information into account when further deciding whether subsequent mobility actions between the source cell and the target cell may be performed, and use this IE and the *FreqBandIndicatorPriority* IE, if received, as specified in TS 36.331 [9].

The initiating eNB1 may include the *LHN ID* IE in the X2 SETUP REQUEST message. The candidate eNB2 may also include *LHN ID* IE in the X2 SETUP RESPONSE message. The eNB receiving the IE may use it according to TS 36.300 [15].

The initiating eNB1 may include the *BandwidthReducedSI* IE in the X2 SETUP REQUEST message. The candidate eNB2 may also include *BandwidthReducedSI* IE in the X2 SETUP RESPONSE message. The eNB receiving the IE may use it to determine a suitable target in case of subsequent outgoing mobility involving BL UEs or UEs requiring CE.

#### 8.3.3.3 Unsuccessful Operation



Figure 8.3.3.3-1: X2 Setup, unsuccessful operation

If the candidate eNB2 cannot accept the setup it shall respond with an X2 SETUP FAILURE message with appropriate cause value.

If the X2 SETUP FAILURE message includes the *Time To Wait* IE the initiating eNB1 shall wait at least for the indicated time before reinitiating the X2 Setup procedure towards the same eNB2.

#### 8.3.3.4 Abnormal Conditions

If the first message received for a specific TNL association is not an X2 SETUP REQUEST, X2 SETUP RESPONSE, or X2 SETUP FAILURE message then this shall be treated as a logical error.

If the initiating eNB1 does not receive either X2 SETUP RESPONSE message or X2 SETUP FAILURE message, the eNB1 may reinitiate the X2 Setup procedure towards the same eNB, provided that the content of the new X2 SETUP REQUEST message is identical to the content of the previously unacknowledged X2 SETUP REQUEST message.

If the initiating eNB1 receives an X2 SETUP REQUEST message from the peer entity on the same X2 interface:

- In case the eNB1 answers with an X2 SETUP RESPONSE message and receives a subsequent X2 SETUP FAILURE message, the eNB1 shall consider the X2 interface as non operational and the procedure as unsuccessfully terminated according to sub clause 8.3.3.3.

- In case the eNB1 answers with an X2 SETUP FAILURE message and receives a subsequent X2 SETUP RESPONSE message, the eNB1 shall ignore the X2 SETUP RESPONSE message and consider the X2 interface as non operational.

////////////////////////////////////////////////////////////////////////start of 2nd change///////////////////////////////////////////////////////////////////////////

### 8.3.5 eNB Configuration Update

#### 8.3.5.1 General

The purpose of the eNB Configuration Update procedure is to update application level configuration data needed for two eNBs to interoperate correctly over the X2 interface.

NOTE: Update of application level configuration data also applies for two eNB nodes in case the SN does not broadcast system information. How to use this information when this option is used is not explicitly specified, as specified in the TS 37.340 [32].

The procedure uses non UE-associated signalling.

#### 8.3.5.2 Successful Operation



Figure 8.3.5.2-1: eNB Configuration Update, successful operation

An eNB1 initiates the procedure by sending an ENB CONFIGURATION UPDATE message to a peer eNB2 . Such message shall include an appropriate set of up-to-date configuration data, including, but not limited to, the complete lists of added, modified and deleted served cells, that eNB1 has just taken into operational use.

Upon reception of an ENB CONFIGURATION UPDATE message, eNB2 shall update the information for eNB1 as follows:

**Update of Served Cell Information:**

- If *Served Cells To Add* IE is contained in the ENB CONFIGURATION UPDATE message, eNB2 shall add cell information according to the information in the *Served Cell Information* IE.

- If *Number of Antenna Ports* IE is contained in the *Served Cell Information* IE in the ENB CONFIGURATION UPDATE message, eNB2 may use this information according to TS 36.331 [9].

- If the *PRACH Configuration* IE is contained in the *Served Cell Information* IE in the ENB CONFIGURATION UPDATE message, the eNB receiving the IE may use this information for RACH optimisation.

- If *Served Cells To Modify* IE is contained in the ENB CONFIGURATION UPDATE message, eNB2 shall modify information of cell indicated by *Old ECGI* IE according to the information in the *Served Cell Information* IE.

- If *MBSFN Subframe Info* IE is contained in the *Served Cell Information* IE in the ENB CONFIGURATION UPDATE message, eNB2 may use this information according to TS 36.331 [9]. If a MBSFN subframe indicated in the *MBSFN Subframe Info* IE coincides with an ABS, the eNB2 shall consider that the subframe is designated as ABS by the sending eNB.

- If *BandwidthReducedSI* IE is contained in the *Served Cell Information* IE in the ENB CONFIGURATION UPDATE message, eNB2 may use this information to determine a suitable target in case of subsequent outgoing mobility involving BL UEs or UEs requiring CE.

When either served cell information or neighbour information of an existing served cell in eNB1 need to be updated, the whole list of neighbouring cells, if any, shall be contained in the *Neighbour Information* IE.

If the *Deactivation Indication* IE is contained in *Served Cells To Modify* IE, it indicates that the concerned cell was switched off to lower energy consumption.

The eNB2 shall overwrite the served cell information and the whole list of neighbour cell information for the affected served cell.

- If *Served Cells To Delete* IE is contained in the ENB CONFIGURATION UPDATE message, eNB2 shall delete information of cell indicated by *Old ECGI* IE.

- If *MBMS Service Area Identity List* IE is contained in the *Served Cell Information* IE in the ENB CONFIGURATION UPDATE message, the eNB receiving the IE may use it according to TS 36.300 [15].

When the MBMS Service Area Identities of a cell in eNB1 need to be updated, the whole list of MBMS Service Area Identities of the affected cell shall be contained in the *Served Cell Information* IE.

**Update of GU Group Id List:**

- If *GU Group Id To Add List* IE is contained in the ENB CONFIGURATION UPDATE message, eNB2 shall add the GU Group Id to its GU Group Id List.

- If *GU Group Id To Delete List* IE is contained in the ENB CONFIGURATION UPDATE message, eNB2 shall remove the GU Group Id from its GU Group Id List.

If *Neighbour Information* IE is contained in the ENB CONFIGURATION UPDATE message, eNB2 may use this information to update its neighbour cell relations, or use it for other functions, like PCI selection. The *Neighbour Information* IE shall only include E-UTRAN cells that are direct neighbours of cells in the reporting eNB. A direct neighbour of one cell of a given eNB may be any cell belonging to an eNB that is a neighbour of that given eNB cell e.g. even if that cell has not been reported by a UE. The *Neighbour Information* IE may contain the *TAC* IE of the included cells. The receiving eNB may use *TAC* IE, as described in TS 36.300 [15].

If the *NR Neighbour Information* IE is contained in the ENB CONFIGURATION UPDATE message, eNB2 may use this information to update its neighbour cell relations or use it for other functions. The *NR Neighbour Information* IE shall only include NR cells capable of performing EN-DC with the corresponding served E-UTRA cell. The eNB receiving the *NR Neighbour Information* IE may use it according to TS 36.300 [15].

After successful update of requested information, eNB2 shall reply with the ENB CONFIGURATION UPDATE ACKNOWLEDGE message to inform the initiating eNB1 that the requested update of application data was performed successfully. In case the peer eNB2 receives an ENB CONFIGURATION UPDATE without any IE except for *Message Typ*eIE it shall reply with ENB CONFIGURATION UPDATE ACKNOWLEDGE message without performing any updates to the existing configuration.

The eNB1 may initiate a further eNB Configuration Update procedure only after a previous eNB Configuration Update procedure has been completed.

For each cell served by the initiating eNB1 the ENB CONFIGURATION UPDATE message may contain the *MultibandInfoList* IE and may also contain the *FreqBandIndicatorPriority* IE. The eNB receiving the *MultibandInfoList* IE shall, if supported, take this information into account when further deciding whether subsequent mobility actions between the source cell and the target cell may be performed, and use this IE and the *FreqBandIndicatorPriority* IE, if received, as specified in TS 36.331 [9].

If the *Coverage Modification List* IE is present, eNB2 may use the information in the *Cell Coverage State* IE to identify the cell deployment configuration enabled by eNB1 and for configuring the mobility towards the cell(s) indicated by the *ECGI* IE, as described in TS 36.300 [15]. If the *Cell Deployment Status Indicator* IE is present in the *Coverage Modification List* IE, the eNB2 shall consider the cell deployment configuration of the cell to be modified as the next planned configuration and shall remove any planned configuration stored for this cell. If the *Cell Deployment Status Indicator* IE is present and the *Cell Replacing Info* IE contains non-empty cell list, the eNB2 may use this list to avoid connection or re-establishment failures during the reconfiguration, e.g. consider the cells in the list as possible alternative handover targets. If the *Cell Deployment Status Indicator* IE is not present, the eNB2 shall consider the cell deployment configuration of cell to be modified as activated and replace any previous configuration for the cells indicated in the *Coverage Modification List* IE.

**Interaction with the eNB Configuration Update procedure:**

If an eNB2 which has not stored a *FreqBandIndicatorPriority* IE received from eNB1, but has signaled a *FreqBandIndicatorPriority* IE to eNB1 after the TNL association has become available, receives an ENB CONFIGURATION UPDATE message from eNB1 containing the *FreqBandIndicatorPriority* IE, the eNB2 shall initiate the eNB Configuration Update procedure towards eNB1 including the *FreqBandIndicatorPriority* IE.

#### 8.3.5.3 Unsuccessful Operation



Figure 8.3.5.3-1: eNB Configuration Update, unsuccessful operation

If the eNB2 can not accept the update it shall respond with an ENB CONFIGURATION UPDATE FAILURE message and appropriate cause value.

If the ENB CONFIGURATION UPDATE FAILURE message includes the *Time To Wait* IE the eNB1 shall wait at least for the indicated time before reinitiating the eNB Configuration Update procedure towards the same eNB2. Both nodes shall continue to operate the X2 with their existing configuration data.

#### 8.3.5.4 Abnormal Conditions

If the eNB1 after initiating eNB Configuration Update procedure receives neither ENB CONFIGURATION UPDATE ACKNOWLEDGE message nor ENB CONFIGURATION UPDATE FAILURE message, the eNB1 may reinitiate the eNB Configuration Update procedure towards the same eNB2, provided that the content of the new ENB CONFIGURATION UPDATE message is identical to the content of the previously unacknowledged ENB CONFIGURATION UPDATE message.

////////////////////////////////////////////////////////////////////////start of 3rd change///////////////////////////////////////////////////////////////////////////

### 8.7.1 EN-DC X2 Setup

#### 8.7.1.1 General

The purpose of the EN-DC X2 Setup procedure is to exchange application level configuration data needed for eNB and en-gNB to interoperate correctly over the X2 interface. This procedure erases any existing application level configuration data in the two nodes and replaces it by the one received. This procedure also resets the X2 interface like a Reset procedure would do.

NOTE: If X2-C signalling transport is shared among multiple X2-C interface instances, one EN-DC X2 Setup procedure is issued per X2-C interface instance to be setup, i.e. several X2 Setup procedures may be issued via the same TNL association after that TNL association has become operational.

NOTE: Exchange of application level configuration data also applies for eNB and en-gNB in case the SN does not broadcast system information. How to use this information when this option is used is not explicitly specified, as specified in the TS 37.340 [32].

The procedure uses non UE-associated signalling.

#### 8.7.1.2 Successful Operation



Figure 8.7.1.2-1: eNB Initiated EN-DC X2 Setup, successful operation



Figure 8.7.1.2-2: en-gNB Initiated EN-DC X2 Setup, successful operation

If case of network sharing with multiple cell ID broadcast with shared X2-C signalling transport, as specified in TS 36.300 [15], the EN-DC X2 SETUP REQUEST message and the EN-DC X2 SETUP RESPONSE message shall include the *Interface Instance Indication* IE to identify the corresponding interface instance. In the current version of this specification an eNB shall not include the *Interface Instance Indication* IE in the *Initiating NodeType* IE in the EN-DC X2 SETUP REQUEST message.

**eNB initiated EN-DC X2 Setup:**

An eNB initiates the procedure by sending the EN-DC X2 SETUP REQUEST message to a candidate en-gNB. The candidate en-gNB replies with the EN-DC X2 SETUP RESPONSE message. The initiating eNB shall transfer the complete list of its served cells to the candidate en-gNB. The candidate en-gNB shall reply with the complete list of its served cells. If Supplementary Uplink is configured at the candidate en-gNB, the candidate en-gNB shall include in the EN-DC X2 SETUP RESPONSE message the *SUL Information* IE and the *Supported SUL band List* IE for each served cell where supplementary uplink is configured.

If the EN-DC X2 SETUP REQUEST message contains the *Protected E-UTRA Resource Indication* IE, the receiving en-gNB should take this into account for cell-level resource coordination with the eNB. The en-gNB shall consider the received *Protected E-UTRA Resource Indication* IE content valid until reception of a new update of the IE for the same eNB.

The protected resource pattern indicated in the *Protected E-UTRA Resource Indication* IE is not valid in subframes indicated by the *Reserved Subframes* IE, as well as in the non-control region of the MBSFN subframes i.e. it is valid only in the control region therein. The size of the control region of MBSFN subframes is indicated in the *Protected E-UTRA Resource Indication* IE.

**en-gNB initiated EN-DC X2 Setup:**

An en-gNB initiates the procedure by sending the EN-DC X2 SETUP REQUEST message to a candidate eNB. The candidate eNB replies with the EN-DC X2 SETUP RESPONSE message. The initiating en-gNB shall transfer the complete list of its served cells to the candidate eNB. The candidate eNB shall reply with the complete list of its served cells.

If Supplementary Uplink is configured at the en-gNB, the en-gNB shall include in the EN-DC X2 SETUP REQUEST message the *SUL Information* IE and the *Supported SUL band List* IE for each served cell where supplementary uplink is configured.

If the EN-DC X2 SETUP RESPONSE message contains the *Protected E-UTRA Resource Indication* IE, the receiving en-gNB should take this into account for cell-level resource coordination with the eNB. The en-gNB shall consider the received *Protected E-UTRA Resource Indication* IE content valid until reception of a new update of the IE for the same eNB.

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### 8.7.2 EN-DC Configuration Update

#### 8.7.2.1 General

The purpose of the EN-DC Configuration Update procedure is to update application level configuration data needed for eNB and en-gNB to interoperate correctly over the X2 interface.

NOTE: Update of application level configuration data also applies for eNB and en-gNB in case the SN does not broadcast system information. How to use this information when this option is used is not explicitly specified, as specified in the TS 37.340 [32].

The procedure uses non UE-associated signalling.

#### 8.7.2.2 Successful Operation



Figure 8.7.2.2-1: eNB Initiated EN-DC Configuration Update, successful operation



Figure 8.7.2.2-2: en-gNB Initiated EN-DC Configuration Update, successful operation

If case of network sharing with multiple cell ID broadcast with shared X2-C signalling transport, as specified in TS 36.300 [15], the EN-DC CONFIGURATION UPDATE message and the EN-DC CONFIGURATION UPDATE ACKNOWLEDGE message shall include the *Interface Instance Indication* IE to identify the corresponding interface instance.

**eNB initiated EN-DC Configuration Update:**

An eNB initiates the procedure by sending an EN-DC CONFIGURATION UPDATE message to a peer en-gNB.

After successful update of requested information, en-gNB shall reply with the EN-DC CONFIGURATION UPDATE ACKNOWLEDGE message to inform the initiating eNB that the requested update of application data was performed successfully.

If the *Cell Assistance Information* IE is present, the en-gNB may use it to generate the *List of Served NR Cells* IE and include the list in the EN-DC CONFIGURATION UPDATE ACKNOWLEDGE message.

If the EN-DC CONFIGURATION UPDATE REQUEST message contains the Protected E-UTRA Resource Indication IE, the receiving en-gNB should take this into account for cell-level resource coordination with the eNB. The en-gNB shall consider the received Protected E-UTRA Resource Indication IE content valid until reception of a new update of the IE for the same eNB. The protected resource pattern indicated in the Protected E-UTRA Resource Indication IE is not valid in subframes indicated by the Reserved Subframes IE, as well as in the non-control region of the MBSFN subframes i.e. it is valid only in the control region therein. The size of the control region of MBSFN subframes is indicated in the Protected E-UTRA Resource Indication IE.

The eNB may initiate a further EN-DC Configuration Update procedure only after a previous EN-DC Configuration Update procedure has been completed.

If Supplementary Uplink is configured at the en-gNB, the en-gNB shall include in the EN-DC X2 CONFIGURATION UPDATE ACKNOWLEDGE message the *SUL Information* IE and the *Supported SUL band List* IE for each cell added in the Served NR Cells To Add IE and in the Served NR Cells To Modify IE.

**en-gNB initiated EN-DC Configuration Update:**

An en-gNB initiates the procedure by sending an EN-DC CONFIGURATION UPDATE message to an eNB.

If Supplementary Uplink is configured at the en-gNB, the en-gNB shall include in the EN-DC X2 CONFIGURATION UPDATE message the *SUL Information* IE and the *Supported SUL band List* IE for each served cell added in the Served NR Cells To Add IE and in the Served NR Cells To Modify IE.

If the Deactivation Indication IE is contained in the *Served NR Cells To Modify* IE, it indicates that the concerned NR cell was switched off to lower energy consumption, and is available for activation on request from the eNB, as described in TS 36.300 [15].

After successful update of requested information, eNB shall reply with the EN-DC CONFIGURATION UPDATE ACKNOWLEDGE message to inform the initiating en-gNB that the requested update of application data was performed successfully. In case the eNB receives an EN-DC CONFIGURATION UPDATE without any IE except for *Message Typ*eIE it shall reply with EN-DC CONFIGURATION UPDATE ACKNOWLEDGE message without performing any updates to the existing configuration.

Upon reception of an EN-DC CONFIGURATION UPDATE message, eNB shall update the information for en-gNB as follows:

**Update of Served NR Cell Information:**

- If *Served NR Cells To Add* IE is contained in the EN-DC CONFIGURATION UPDATE message, eNB shall add cell information according to the information in the *Served NR Cell Information* IE.

- If *Served NR Cells To Modify* IE is contained in the EN-DC CONFIGURATION UPDATE message, eNB shall modify information of cell indicated by *Old NR-CGI* IE according to the information in the *Served NR Cell Information* IE.

- If *Served NR Cells To Delete* IE is contained in the EN-DC CONFIGURATION UPDATE message, eNB shall delete information of cell indicated by *Old NR-CGI* IE.

If the EN-DC CONFIGURATION UPDATE RESPONSE message contains the Protected E-UTRA Resource Indication IE, the receiving en-gNB should take this into account for cell-level resource coordination with the eNB. The en-gNB shall consider the received *Protected E-UTRA Resource Indication* IE content valid until reception of a new update of the IE for the same eNB.

The en-gNB may initiate a further EN-DC Configuration Update procedure only after a previous EN-DC Configuration Update procedure has been completed.

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