**3GPP TSG-RAN WG3 #107bis-e *R3-202581***

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**Agenda Item: 14.3.2.6**

**Source: Ericsson**

**Title: (TP for BL CR# 0153 - Common CP/UP aspects of CIoT UEs when connected to 5GC for 38.413): Addition of Pending Data Indication and UE Differentiation Information**

**Document for: Others**

(TP for BL CR 0153 Common CP/UP aspects of CIoT UEs when connected to 5GC for 38.413): Addition of Pending Data Indication and UE Differentiation Information

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8.3.1 Initial Context Setup

8.3.1.1 General

The purpose of the Initial Context Setup procedure is to establish the necessary overall initial UE context at the NG-RAN node, when required, including PDU session context, the Security Key, Mobility Restriction List, UE Radio Capability and UE Security Capabilities, etc. The AMF may initiate the Initial Context Setup procedure if a UE-associated logical NG-connection exists for the UE or if the AMF has received the *RAN UE NGAP ID* IE in an INITIAL UE MESSAGE message or if the NG-RAN node has already initiated a UE-associated logical NG-connection by sending an INITIAL UE MESSAGE message via another NG interface instance. The procedure uses UE-associated signalling.

For signalling only connections and if the *UE Context Request* IE is not received in the Initial UE Message, the AMF may be configured to trigger the procedure for all NAS procedures or on a per NAS procedure basis depending on operator’s configuration.

8.3.1.2 Successful Operation

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**Figure 8.3.1.2-1: Initial context setup: successful operation**

In case of the establishment of a PDU session the 5GC shall be prepared to receive user data before the INITIAL CONTEXT SETUP RESPONSE message has been received by the AMF. If no UE-associated logical NG-connection exists, the UE-associated logical NG-connection shall be established at reception of the INITIAL CONTEXT SETUP REQUEST message.

The INITIAL CONTEXT SETUP REQUEST message shall contain the *Index to RAT/Frequency Selection Priority* IE, if available in the AMF.

If the *NAS-PDU* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node shall pass it transparently towards the UE.

If the *Masked IMEISV* IE is contained in the INITIAL CONTEXT SETUP REQUEST message the target NG-RAN node shall, if supported, use it to determine the characteristics of the UE for subsequent handling.

Upon receipt of the INITIAL CONTEXT SETUP REQUEST message the NG-RAN node shall

- attempt to execute the requested PDU session configuration;

- store the received UE Aggregate Maximum Bit Rate in the UE context, and use the received UE Aggregate Maximum Bit Rate for Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [9];

- store the received Mobility Restriction List in the UE context;

- store the received UE Radio Capability in the UE context;

- store the received Index to RAT/Frequency Selection Priority in the UE context and use it as defined in TS 23.501 [9];

- store the received UE Security Capabilities in the UE context;

- store the received Security Key in the UE context and, if the NG-RAN node is required to activate security for the UE, take this security key into use.

- if supported, store the received SRVCC Operation Possible in the UE context and use it as defined in TS 23.216 [31].

For the Initial Context Setup an initial value for the Next Hop Chaining Count is stored in the UE context.

If the *PDU Session Resource Setup Request List* IE is contained in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node shall behave the same as defined in the PDU Session Resource Setup procedure. The NG-RAN node shall report to the AMF in the INITIAL CONTEXT SETUP RESPONSE message the result for each PDU session resource requested to be setup as defined in the PDU Session Resource Setup procedure.

Upon reception of the INITIAL CONTEXT SETUP RESPONSE message the AMF shall, for each PDU session indicated in the *PDU Session ID* IE, transfer transparently the *PDU Session Resource Setup Response Transfer* IE or *PDU Session Resource Setup Unsuccessful Transfer* IE to the SMF associated with the concerned PDU session. In case the splitting PDU session is not used by the NG-RAN node, the SMF should remove the Additional Transport Layer Information, if any.

The NG-RAN node shall use the information in the *Mobility Restriction List* IE if present in the INITIAL CONTEXT SETUP REQUEST message to

- determine a target for subsequent mobility action for which the NG-RAN node provides information about the target of the mobility action towards the UE;

- select a proper SCG during dual connectivity operation;

- assign proper RNA(s) for the UE when moving the UE to RRC\_INACTIVE state.

If the *Mobility Restriction List* IE is not contained in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node shall consider that no roaming and no access restriction apply to the UE. The NG-RAN node shall also consider that no roaming and no access restriction apply to the UE when:

- one of the QoS flows includes a particular ARP value (TS 23.501 [9]).

If the *Trace Activation* IE is included in the INITIAL CONTEXT SETUP REQUEST message the NG-RAN node shall, if supported, initiate the requested trace function as described in TS 32.422 [11].

If the *UE Security Capabilities* IE included in the INITIAL CONTEXT SETUP REQUEST message only contains the EIA0 or NIA0 algorithm as defined in TS 33.501 [13] and if the EIA0 or NIA0 algorithm is defined in the configured list of allowed integrity protection algorithms in the NG-RAN node (TS 33.501 [13]), the NG-RAN node shall take it into use and ignore the keys received in the *Security Key* IE.

If the *Core Network Assistance Information* *for RRC INACTIVE* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context and use it for e.g. the RRC\_INACTIVE state decision and RNA configuration for the UE and RAN paging if any for a UE in RRC\_INACTIVE state, as specified in TS 38.300 [8].

If the *CN Assisted RAN Parameters Tuning* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node may use it as described in TS 23.501 [9].

If the *RRC Inactive Transition Report Request* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context.

If the *Emergency Fallback Indicator* IE is included in the INITIAL CONTEXT SETUP REQUEST message, it indicates that the UE context to be set up is subject to emergency service fallback as described in TS 23.501 [9] and the NG-RAN node may, if supported, take the appropriate mobility actions.

If the *Old AMF* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node shall consider that this UE-associated logical NG-connection was redirected to this AMF from another AMF identified by the *Old AMF* IE.

If the *Redirection for Voice EPS Fallback* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node shall, if supported, store it and use it in a subsequent decision of EPS fallback for voice as specified in TS 23.502 [10].

If the *Location Reporting Request Type* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node should perform the requested location reporting functionality for the UE as described in subclause 8.12.

If the *Enhanced Coverage Restriction* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [9].

If the *Extended Connected Time* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN node shall use it as described in TS 23.501 [9].

If the *Pending Data Indication* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN shall, if supported, use it as defined in TS 23.501 [9].

If the *UE differentiation Information* IE is included in the INITIAL CONTEXT SETUP REQUEST message, the NG-RAN shall, if supported, store this information in the UE context for further use according to TS 23.501 [9].

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

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8.3.4 UE Context Modification

8.3.4.1 General

The purpose of the UE Context Modification procedure is to partly modify the established UE context. The procedure uses UE-associated signalling.

8.3.4.2 Successful Operation

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**Figure 8.3.4.2-1: UE context modification: successful operation**

Upon receipt of the UE CONTEXT MODIFICATION REQUEST message the NG-RAN node shall

- store the received *Security Key* IE and, if the NG-RAN node is required to activate security for the UE, take this security key into use.

- store the *UE Security Capabilities* IE and take them into use together with the received keys according to TS 33.501 [13].

- store the *Index to RAT/Frequency Selection Priority* IE and use it as defined in TS 23.501 [9].

If the *RAN Paging Priority* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node may use it to determine a priority for paging the UE in RRC\_INACTIVE state.

If the *UE Aggregate Maximum Bit Rate* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall

- replace the previously provided UE Aggregate Maximum Bit Rate by the received UE Aggregate Maximum Bit Rate in the UE context;

- use the received UE Aggregate Maximum Bit Rate for all Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [9].

If the *Core Network Assistance Information for RRC INACTIVE* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context and use it for e.g. the RRC\_INACTIVE state decision and RNA configuration for the UE and RAN paging if any for a UE in RRC\_INACTIVE state, as specified in TS 38.300 [8].

If the *CN Assisted RAN Parameters Tuning* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node may use it as described in TS 23.501 [9].

If the *RRC Inactive Transition Report Request* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context and report to the AMF the *User Location Information* IE and the *RRC State* IE in the UE CONTEXT MODIFICATION RESPONSE message.

If the *RRC Inactive Transition Report Request* IE is included in the UE CONTEXT MODIFICATION REQUEST message and set to "cancel report", the NG-RAN node shall, if supported, stop reporting to the AMF the RRC state of the UE.

The NG-RAN node shall report, in the UE CONTEXT MODIFICATION RESPONSE message to the AMF, the successful update of the UE context.

If the *Emergency Fallback Indicator* IE is included in the UE CONTEXT MODIFICATION REQUEST message, it indicates that the concerned UE context is subject to emergency service fallback as described in TS 23.501 [9] and the NG-RAN node may, if supported, take the appropriate mobility actions taking into account the *Emergency Service Target CN* IE if provided.

If the *New AMF UE NGAP ID* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall use the received value for future signalling with the AMF.

If the *New GUAMI* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall replace the previously stored GUAMI as specified in TS 23.501 [9].

If the *SRVCC Operation Possible* IE is included in UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall, if supported, store the content of the received *SRVCC Operation Possible* IE in the UE context and use it as defined in TS 23.216 [31].

If the *Pending Data Indication* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN shall, if supported, use it as defined in TS 23.501 [9].

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

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8.4.2 Handover Resource Allocation

8.4.2.1 General

The purpose of the Handover Resource Allocation procedure is to reserve resources at the target NG-RAN node for the handover of a UE.

8.4.2.2 Successful Operation

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**Figure 8.4.2.2-1: Handover resource allocation: successful operation**

The AMF initiates the procedure by sending the HANDOVER REQUEST message to the target NG-RAN node.

If the *Masked IMEISV* IE is contained in the HANDOVER REQUEST message the target NG-RAN node shall, if supported, use it to determine the characteristics of the UE for subsequent handling.

Upon receipt of the HANDOVER REQUEST message the target NG-RAN node shall

- attempt to execute the requested PDU session configuration and associated security;

- store the received UE Aggregate Maximum Bit Rate in the UE context, and use the received UE Aggregate Maximum Bit Rate for all Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [9];

- store the received Mobility Restriction List in the UE context;

- store the received UE Security Capabilities in the UE context;

- store the received Security Context in the UE context and take it into use as defined in TS 33.501 [13].

Upon reception of the *UE History Information* IE, which is included within the *Source to Target Transparent Container* IE of the HANDOVER REQUEST message, the target NG-RAN node shall collect the information defined as mandatory in the *UE History Information* IE and shall, if supported, collect the information defined as optional in the *UE History Information* IE, for as long as the UE stays in one of its cells, and store the collected information to be used for future handover preparations.

Upon receiving the *PDU Session Resource Setup List* IE contained in the HANDOVER REQUEST message, the target NG-RAN node shall behave the same as defined in the PDU Session Resource Setup procedure. The target NG-RAN node shall report to the AMF in the HANDOVER REQUEST ACKNOWLEDGE message the result for each PDU session resource requested to be setup. In particular, for each PDU session resource successfully setup, it shall include the *Handover Request Acknowledge Transfer* IE containing the following information:

- The list of QoS flows which have been successfully established in the *QoS Flow Setup Response List* IE.

- The *Data Forwarding Accepted* IE if the data forwarding for the QoS flow is accepted.

- The list of QoS flows which have failed to be established, if any, in the *QoS Flow Failed to Setup List* IE.

- The UP transport layer information to be used for the PDU session.

- The security result associated to the PDU session.

For each PDU session resource which failed to be setup, the *Handover Resource Allocation Unsuccessful Transfer* IE shall be included in the HANDOVER REQUEST ACKNOWLEDGE message containing a cause value that should be precise enough to enable the SMF to know the reason for the unsuccessful establishment.

Upon reception of the HANDOVER REQUEST ACKNOWLEDGE message the AMF shall, for each PDU session indicated in the *PDU Session ID* IE, transfer transparently the *Handover Request Acknowledge Transfer* IE or *Handover Resource Allocation Unsuccessful Transfer* IE to the SMF associated with the concerned PDU session.

If the HANDOVER REQUEST message contains the *Data Forwarding Not Possible* IE associated with a given PDU session within the *Handover Request Transfer* IE set to "data forwarding not possible", the target NG-RAN node may not include the *DL Forwarding UP TNL Information* IE and for intra-system handover the *Data Forwarding Response DRB List* IE within the *Handover Request Acknowledge Transfer* IE in the HANDOVER REQUEST ACKNOWLEDGE message for that PDU session.

In case of intra-system handover, if the target NG-RAN node accepts the downlink data forwarding for at least one QoS flow for which the *DL Forwarding* IE is set to "DL forwarding proposed", it may include the *DL Forwarding UP TNL Information* IE in the *Handover Request Acknowledge Transfer* IE as forwarding tunnel for the QoS flows listed in the *QoS Flow Setup Response List* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

In case of intra-system handover, if the target NG-RAN node accepts the uplink data forwarding for at least one QoS flow for which the *UL Forwarding* IE is set to "UL forwarding proposed", it may include the *UL Forwarding UP TNL Information* IE in the *Handover Request Acknowledge Transfer* IE for the PDU session within the *PDU Session Resource Admitted List* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

In case of intra-system handover, for each PDU session for which the *Additional DL UP TNL Information for HO List* IE is included in the *Handover Request Acknowledge Transfer* IE of the HANDOVER REQUEST ACKNOWLEDGE message, the SMF shall consider the included *Additional DL NG-U UP TNL Information* IE as the downlink termination point for the associated flows indicated in the *Additional QoS Flow Setup Response List* IE for this PDU session split in different tunnels and shall consider the *Additional DL Forwarding UP TNL Information* IE, if included, as the forwarding tunnel associated to these QoS flows.

In case of intra-system handover, for each PDU session for which the *Additional UL Forwarding UP TNL Information* IE is included in the *Handover Request Acknowledge Transfer* IE of the HANDOVER REQUEST ACKNOWLEDGE message, the SMF shall consider it as the termination points for the uplink forwarding tunnels for this PDU session split in different tunnels.

In case of intra-system handover, if the target NG-RAN node accepts the data forwarding for a successfully configured DRB, the target NG-RAN node may include the *DL Forwarding UP TNL Information* IE for the DRB within the *Data Forwarding Response DRB List* IE within *Handover Request Acknowledge Transfer* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

If the HANDOVER REQUEST ACKNOWLEDGE message contains the *UL Forwarding UP TNL Information* IE for a given DRB in the *Data Forwarding Response DRB List* IE within the *Handover Request Acknowledge Transfer* IE, it indicates the target NG-RAN node has requested the forwarding of uplink data for the DRB.

In case of inter-system handover from E-UTRAN, if the *PDU Session Resource Setup Request Transfer* IE contains the *Direct Forwarding Path Availability* IE set to "direct path available", the target NG-RAN node shall, if supported, and if it accepts downlink data forwarding for the QoS flows mapped to an E-RAB of an admitted PDU session, include the *DL Forwarding UP TNL Information* IE in the *Data Forwarding Response E-RAB List* IE in the *Handover Request Acknowledge Transfer* IE in the HANDOVER REQUEST ACKNOWLEDGE message for that mapped E-RAB.

In case of inter-system handover from E-UTRAN, the target NG-RAN node includes the *Data Forwarding Accepted* IE for each QoS flow that the *DL Forwarding* IE is set to "DL forwarding proposed" for the corresponding E-RAB in the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE and that the target NG-RAN node has admitted the proposed forwarding of downlink data for the QoS flow. If indirect data forwarding is applied for inter-system handover, if the target NG-RAN node accepts the downlink data forwarding for at least one QoS flow of an admitted PDU session it shall include the *DL Forwarding UP TNL Information* IE in the *PDU Session Resource Setup Response Transfer* IE for that PDU session within the *PDU Session Resources Admitted List* IE of the HANDOVER REQUEST ACKNOWLEDGE message.

In case of inter-system handover from E-UTRAN with direct forwarding, if the target NG-RAN node receives the *SgNB UE X2AP ID* IE in the *Source NG-RAN Node to Target NG-RAN Node Transparent Container* IE, it may use it for internal forwarding as described in TS 37.340 [32].

The target NG-RAN node shall use the information in the *Mobility Restriction List* IE if present in the HANDOVER REQUEST message to

- determine a target for subsequent mobility action for which the target NG-RAN node provides information about the target of the mobility action towards the UE;

- select a proper SCG during dual connectivity operation;

- assign proper RNA(s) for the UE when moving the UE to RRC\_INACTIVE state.

If the *Mobility Restriction List* IE is not contained in the HANDOVER REQUEST message, the target NG-RAN node shall consider that no roaming and no access restriction apply to the UE. The target NG-RAN node shall also consider that no roaming and no access restriction apply to the UE when:

- one of the QoS flows includes a particular ARP value (TS 23.501 [9]).

If the *Trace Activation* IE is included in the HANDOVER REQUEST message the target NG-RAN node shall, if supported, initiate the requested trace function as described in TS 32.422 [11].

If the *Location Reporting Request Type* IE is included in the HANDOVER REQUEST message, the target NG-RAN node should perform the requested location reporting functionality for the UE as described in subclause 8.12.

If the *Core Network Assistance Information for RRC INACTIVE* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store this information in the UE context and use it for e.g. the RRC\_INACTIVE state decision and RNA configuration for the UE and RAN paging if any for a UE in RRC\_INACTIVE state, as specified in TS 38.300 [8].

If the *CN Assisted RAN Parameters Tuning* IE is included in the HANDOVER REQUEST message, the NG-RAN node may use it as described in TS 23.501 [9].

If the *New Security Context Indicator* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall use the information as specified in TS 33.501 [13].

If the *NASC* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall use it towards the UE as specified in TS 33.501 [13].

If the *RRC Inactive Transition Report Request* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context.

If the *Redirection for Voice EPS Fallback* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, store it and use it in a subsequent decision of EPS fallback for voice as specified in TS 23.502 [10].

If the *SRVCC Operation Possible* IE is included in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store the content of the received *SRVCC Operation Possible* IE in the UE context and use it as defined in TS 23.216 [31].

If the *Enhanced Coverage Restriction* IE is included in the HANDOVER REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [9].

If the *Pending Data Indication* IE is included in the HANDOVER REQUEST message, the NG-RAN shall, if supported, use it as defined in TS 23.501 [9].

If the *UE differentiation Information* IE is included in the HANDOVER REQUEST message, the NG-RAN shall, if supported, store this information in the UE context for further use according to TS 23.501 [9].

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

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8.4.4 Path Switch Request

8.4.4.1 General

The purpose of the Path Switch Request procedure is to establish a UE associated signalling connection to the 5GC and, if applicable, to request the switch of the downlink termination point of the NG-U transport bearer towards a new termination point.

8.4.4.2 Successful Operation

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**Figure 8.4.4.2-1: Path switch request: successful operation**

The NG-RAN node initiates the procedure by sending the PATH SWITCH REQUEST message to the AMF. Upon reception of the PATH SWITCH REQUEST message the AMF shall, for each PDU session indicated in the *PDU Session ID* IE, transparently transfer the *Path Switch Request Transfer* IE to the SMF associated with the concerned PDU session.

After all necessary updates including the UP path switch have been successfully completed in the 5GC for at least one of the PDU session resources included in the PATH SWITCH REQUEST, the AMF shall send the PATH SWITCH REQUEST ACKNOWLEDGE message to the NG-RAN node and the procedure ends.

The list of accepted QoS flows shall be included in the PATH SWITCH REQUEST message within the *Path Switch Request Transfer* IE. The SMF shall handle this information as specified in TS 23.502 [10].

For each PDU session for which the *Additional DL QoS Flow per TNL Information* IE is included in the *Path Switch Request Transfer* IE of the PATH SWITCH REQUEST message, the SMF may use each included UP transport layer information as the downlink termination point for the included associated QoS flows for this PDU session split in different tunnels.

The list of PDU sessions which failed to be setup, if any, shall be included in the PATH SWITCH REQUEST message within the *Path Switch Request Setup Failed Transfer* IE. The AMF shall handle this information as specified in TS 23.502 [10].

For each PDU session for which the *User Plane Security Information* IE is included in the *Path Switch Request Transfer* IE of the PATH SWITCH REQUEST message, the SMF shall behave as specified in TS 33.501 [13] and may send back the *Security Indication* IE within the *Path Switch Request Acknowledge Transfer* IE of the PATH SWITCH REQUEST ACKNOWLEDGE message.

For each PDU session for which the *DL NG-U TNL Information Reused* IE set to "true" is included in the *Path Switch Request Transfer* IE of the PATH SWITCH REQUEST message, the SMF shall, if supported, consider that the DL TNL information contained in the *DL NG-U UP TNL Information* IE has been reused.

If the *Security Indication* IE is included within the *Path Switch Request Acknowledge Transfer* IE of the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall behave as specified in TS 33.501 [13].

If the *UL NG-U UP TNL Information* IE is included within the *Path Switch Request Acknowledge Transfer* IE of the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall store this information and use it as the uplink termination point for the user plane data for this PDU session.

If the *Additional NG-U* *UP TNL Information* IE is included within the *Path Switch Request Acknowledge Transfer* IE of the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall store this information and use the included *UL NG-U UP TNL Information* IE(s) as the uplink termination point(s) of the user plane data for this PDU session split in different tunnel.

If the *Core Network Assistance Information for RRC INACTIVE* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall, if supported, store this information in the UE context and use it for e.g. the RRC\_INACTIVE state decision and RNA configuration for the UE and RAN paging if any for a UE in RRC\_INACTIVE state, as specified in TS 38.300 [8].

If the *CN Assisted RAN Parameters Tuning* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node may use it as described in TS 23.501 [9].

If the *RRC Inactive Transition Report Request* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall, if supported, store this information in the UE context.

If the *New Security Context Indicator* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall use the information as specified in TS 33.501 [13].

Upon reception of the PATH SWITCH REQUEST ACKNOWLEDGE message the NG-RAN node shall store the received *Security Context* IE in the UE context and the NG-RAN node shall use it as specified in TS 33.501 [13].

If the *UE Security Capabilities* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall handle it accordingly (TS 33.501 [13]).

If the *Redirection for Voice EPS Fallback* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall, if supported, store it and use it in a subsequent decision of EPS fallback for voice as specified in TS 23.502 [10].

If the *PDU Session Resource Released List* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall release the corresponding QoS flows and regard the PDU session(s) indicated in the *PDU Session Resource Released List* IE as being released. The appropriate cause value for each PDU session released is included in the *Path Switch Request Unsuccessful Transfer* IE contained in the PATH SWITCH REQUEST ACKNOWLEDGE message.

If the *SRVCC Operation Possible* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall, if supported, store the content of the received *SRVCC Operation Possible* IE in the UE context and use it as defined in TS 23.216 [31].

If the *Enhanced Coverage Restriction* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [9].

If the *Extended Connected Time* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN node shall, if supported, use it as described in TS 23.501 [9].

If the *Pending Data Indication* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN shall, if supported, use it as defined in TS 23.501 [9].

If *UE differentiation Information* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message, the NG-RAN shall, if supported, store this information in the UE context for further use according to TS 23.501 [9].

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

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8.6.2 Downlink NAS Transport

8.6.2.1 General

The Downlink NAS Transport procedure is used when the AMF only needs to send a NAS message transparently via the NG-RAN node to the UE, and a UE-associated logical NG-connection exists for the UE or the AMF has received the *RAN UE NGAP ID* IE in an INITIAL UE MESSAGE message or if the NG-RAN node has already initiated a UE-associated logical NG-connection by sending an INITIAL UE MESSAGE message via another NG interface instance.

8.6.2.2 Successful Operation

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**Figure 8.6.2.2-1: Downlink NAS transport**

The AMF initiates the procedure by sending a DOWNLINK NAS TRANSPORT message to the NG-RAN node. If the UE-associated logical NG-connection is not established, the AMF shall allocate a unique AMF UE NGAP ID to be used for the UE and include that in the DOWNLINK NAS TRANSPORT message; by receiving the *AMF UE NGAP ID* IE in the DOWNLINK NAS TRANSPORT message, the NG-RAN node establishes the UE-associated logical NG-connection.

If the *RAN Paging Priority* IE is included in the DOWNLINK NAS TRANSPORT message, the NG-RAN node may use it to determine a priority for paging the UE in RRC\_INACTIVE state.

The *NAS-PDU* IE contains an AMF – UE message that is transferred without interpretation in the NG-RAN node.

If the *Mobility Restriction List* IE is contained in the DOWNLINK NAS TRANSPORT message, the NG-RAN node shall overwrite any previously stored mobility restriction information in the UE context. The NG-RAN node shall use the information in the *Mobility Restriction List* IE if present in the DOWNLINK NAS TRANSPORT message to:

- determine a target for subsequent mobility action for which the NG-RAN node provides information about the target of the mobility action towards the UE;

- select a proper SCG during dual connectivity operation;

- assign proper RNA(s) for the UE when moving the UE to RRC\_INACTIVE state.

If the *Mobility Restriction List* IE is not contained in the DOWNLINK NAS TRANSPORT message and there is no previously stored mobility restriction information, the NG-RAN node shall consider that no roaming and no access restriction apply to the UE.

If the *Index to RAT/Frequency Selection Priority* IE is included in the DOWNLINK NAS TRANSPORT message, the NG-RAN node shall, if supported, use it as defined in TS 23.501 [9].

The *UE Aggregate Maximum Bit Rate* IE should be sent to the NG-RAN node if the AMF has not sent it previously. If it is included in the DOWNLINK NAS TRANSPORT message, the NG-RAN node shall store the UE Aggregate Maximum Bit Rate in the UE context, and use the received UE Aggregate Maximum Bit Rate for all Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [9].

If the *Old AMF* IE is included in the DOWNLINK NAS TRANSPORT message, the NG-RAN node shall consider that this UE-associated logical NG-connection was redirected to this AMF from another AMF identified by the *Old AMF* IE.

If the *SRVCC Operation Possible* IE is included in the DOWNLINK NAS TRANSPORT message, the NG-RAN node shall, if supported, store the content of the received *SRVCC Operation Possible* IE in the UE context and use it as defined in TS 23.216 [31].

If the *Extended Connected Time* IE is included in the DOWNLINK NAS TRANSPORT message, the NG-RAN node shall, if supported, use it as described in TS 23.501 [9].

If the *Enhanced Coverage Restriction* IE is included in the DOWNLINK NAS TRANSPORT message, the NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [9].

If the *Pending Data Indication* IE is included in the DOWNLINK NAS TRANSPORT message, the NG-RAN shall, if supported, use it as defined in TS 23.501 [9].

If the *UE Differentiation Information* IE is included in the DOWNLINK NAS TRANSPORT message, the NG-RAN shall, if supported, store this information in the UE context for further use according to TS 23.501 [9].

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

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***//Editor’s note: the yellow part will be introduced in CP NGAP CR#0188 with two WI Codes.***

8.3.Y UE Context Resume

8.3.Y.1 General

The purpose of the UE Context Resume procedure is to resume the UE context, the suspended UE-associated logical NG-connection and re-establish the related NG-U transport bearer in the 5GC for this UE.

In this version of the specification, this procedure applies only if the NG-RAN node is an ng-eNB.

8.3.Y.2 Successful Operation

****

**Figure 8.3.Y.2-1: UE Context Resume procedure. Successful operation.**

The NG-RAN node initiates the procedure by sending the UE CONTEXT RESUME REQUEST message to the AMF. If the NG-RAN node is not able to admit any suspended PDU sessions, the NG-RAN node shall indicate this in the *PDU Session Resource Resume Request Failed to Setup List* IE. If the NG-RAN node is not able to admit certain QoS flows for a PDU session, the NG-RAN node shall indicate this in the *QoS Flow Failed to Setup List* IE included in the *UE Context Resume Request Transfer* IE for that PDU session.

Upon receipt of the UE CONTEXT RESUME REQUEST message the AMF shall act as defined in TS 23.502 [10] and respond with the UE CONTEXT RESUME RESPONSE message. If the AMF is not able to admit any suspended PDU sessions, the AMF shall indicate this in the *PDU Session Resource Resume Response Failed to Setup List* IE. If the SMF is not able to admit certain QoS flows for a PDU session, the SMF shall indicate this in the *QoS Flow Failed to Setup List* IE included in the *UE Context Resume Response Transfer* IE for that PDU session.

The NG-RAN node shall release resources for each PDU session or QoS flow failed to resume and shall assume that the 5GC has released respective resources as well.

If the *Security Context* IE is included in the UE CONTEXT RESUME RESPONSE message, the NG-RAN node shall store the received *Security Context* IE in the UE context and the NG-RAN node shall use it for the next suspend/resume or Xn handover or Intra NG-RAN node handovers as specified in TS 33.501 [13].

If the *Extended Connected Time* IE is included in the UE CONTEXT RESUME RESPONSE message, the NG-RAN node shall, if supported, use it as described in TS 23.501 [9].

If the *Pending Data Indication* IE is included in the UE CONTEXT RESUME RESPONSE message, the NG-RAN shall, if supported, use it as defined in TS 23.501 [9].

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

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***//Editor’s note: the green part will be introduced in CP NGAP CR#0173 with two WI Codes.***

8.3.a Connection Establishment Indication

8.3.a.1 General

*[Editor’s Note: it should be confirmed whether there is a need for this procedure to be used after a UE-associated logical NG-connection has been established]*

The purpose of the Connection Establishment Indication procedure is to enable the AMF to complete the establishment of the UE-associated logical NG-connection, and/or trigger the NG-RAN node to obtain and report UE Radio Capability. The procedure uses UE-associated signalling. This procedure applies only if the NG-RAN node is an ng-eNB.

8.3.a.2 Successful Operation

****

**Figure 8.3.a.2-1: Connection Establishment Indication procedure. Successful operation.**

The AMF initiates the procedure by sending a CONNECTION ESTABLISHMENT INDICATION message to the NG-RAN node.

If the UE-associated logical NG-connection is not established, the AMF shall allocate a unique AMF UE NGAP ID to be used for the UE and include it in the CONNECTION ESTABLISHMENT INDICATION message.

If the *UE Radio Capability* IE is included in the CONNECTION ESTABLISHMENT INDICATION message, the NG-RAN node shall store this information in the UE context, and use it as defined in TS 38.300 [8].

If the *End Indication* IE is included in the CONNECTION ESTABLISHMENT INDICATION message and set to "no further data", the NG-RAN node shall consider that there are no further NAS PDUs to be transmitted for this UE.

If the *S-NSSAI* IE is contained in the CONNECTION ESTABLISHMENT INDICATION message, the NG-RAN node shall store this information in the UE context, and use it as specified in TS 23.501 [9].

If the *Allowed NSSAI* IE is contained in the CONNECTION ESTABLISHMENT INDICATION message, the NG-RAN node shall store this information in the UE context, and use it as specified in TS 23.501 [9].

If the *UE differentiation Information* IE is included in the CONNECTION ESTABLISHMENT INDICATION message, the NG-RAN shall, if supported, store this information in the UE context for further use according to TS 23.501 [9].

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***//Editor’s note: the blue part will be introduced in CP NGAP CR#0156 with NB-IoT WI code***

8.3.y UE Information Transfer

8.3.y.1 General

The purpose of the UE information transfer procedure is for the AMF to send the UE information including *NB-IoT UE Priority* and UE Radio capability to the NG-RAN node, for a NB-IoT UE using Control Plane CIoT 5GS Optimisation. This procedure applies only if the NG-RAN node is an ng-eNB.

8.3.y.2 Successful Operation

****

**Figure 8.3.y.2-1: UE Information Transfer Procedure. Successful operation.**

The AMF initiates the procedure by sending the UE INFORMATION TRANSFER message to the NG-RAN node.

If the *NB-IoT UE Priority* IE is contained in the UE INFORMATION TRANSFER message, the NG-RAN node shall store this information in the UE context, and use it as specified in TS 23.501 [9].

If the *UE Radio Capability* IE is contained in the UE INFORMATION TRANSFER message, the NG-RAN node shall store this information in the UE context, and use it as specified in TS 23.501 [9].

If the *S-NSSAI* IE is contained in the UE INFORMATION TRANSFER message, the NG-RAN node shall store this information in the UE context, and use it as specified in TS 23.501 [9].

If the *Allowed NSSAI* IE is contained in the UE INFORMATION TRANSFER message, the NG-RAN node shall store this information in the UE context, and use it as specified in TS 23.501 [9].

If the *UE differentiation Information* IE is included in the UE INFORMATION TRANSFER message, the NG-RAN shall, if supported, store this information in the UE context for further use according to TS 23.501 [9].

If the *Pending Data Indication* IE is included in the UE INFORMATION TRANSFER message, the NG-RAN shall, if supported, use it as defined in TS 23.501 [9].

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

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9.2.2.1 INITIAL CONTEXT SETUP REQUEST

This message is sent by the AMF to request the setup of a UE context.

Direction: AMF → NG-RAN node

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| AMF UE NGAP ID | M |  | 9.3.3.1 |  | YES | reject |
| RAN UE NGAP ID | M |  | 9.3.3.2 |  | YES | reject |
| Old AMF | O |  | AMF Name9.3.3.21 |  | YES | reject |
| UE Aggregate Maximum Bit Rate | C-ifPDUsessionResourceSetup |  | 9.3.1.58 |  | YES | reject |
| Core Network Assistance Information for RRC INACTIVE | O |  | 9.3.1.15 |  | YES | ignore |
| GUAMI | M |  | 9.3.3.3 |  | YES | reject |
| **PDU Session Resource Setup Request List** |  | *0..1* |  |  | YES | reject |
| **>PDU Session Resource Setup Request Item** |  | *1..<maxnoofPDUSessions>* |  |  | - |  |
| >>PDU Session ID | M |  | 9.3.1.50 |  | - |  |
| >>PDU Session NAS-PDU | O |  | NAS-PDU9.3.3.4 |  | - |  |
| >>S-NSSAI  | M |  | 9.3.1.24 |  | - |  |
| >>PDU Session Resource Setup Request Transfer | M |  | OCTET STRING | Containing the *PDU Session Resource Setup Request Transfer* IE specified in subclause 9.3.4.1. | - |  |
| Allowed NSSAI | M |  | 9.3.1.31 | Indicates the S-NSSAIs permitted by the network | YES | reject |
| UE Security Capabilities | M |  | 9.3.1.86 |  | YES | reject |
| Security Key | M |  | 9.3.1.87 |  | YES | reject |
| Trace Activation | O |  | 9.3.1.14 |  | YES | ignore |
| Mobility Restriction List | O |  | 9.3.1.85 |  | YES | ignore |
| UE Radio Capability | O |  | 9.3.1.74 |  | YES | ignore |
| Index to RAT/Frequency Selection Priority | O |  | 9.3.1.61 |  | YES | ignore |
| Masked IMEISV | O |  | 9.3.1.54 |  | YES | ignore |
| NAS-PDU | O |  | 9.3.3.4 |  | YES | ignore |
| Emergency Fallback Indicator | O |  | 9.3.1.26 |  | YES | reject |
| RRC Inactive Transition Report Request | O |  | 9.3.1.91 |  | YES | ignore |
| UE Radio Capability for Paging | O |  | 9.3.1.68 |  | YES | ignore |
| Redirection for Voice EPS Fallback  | O |  | 9.3.1.116 |  | YES | ignore |
| Location Reporting Request Type | O |  | 9.3.1.65 |  | YES | ignore |
| CN Assisted RAN Parameters Tuning | O |  | 9.3.1.119 |  | YES | ignore |
| SRVCC Operation Possible | O |  | 9.3.1.128 |  | YES | ignore |
| Enhanced Coverage Restriction | O |  | 9.3.1.xxx |  | YES | ignore |
| Extended Connected Time | O |  | 9.3.3.X |  | YES | ignore |
| UE Differentiation Information | O |  | 9.3.1.yyy |  | YES | ignore |
| Pending Data Indication (FFS) | O |  | 9.3.3.zzz |  | YES | ignore |

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxnoofPDUSessions | Maximum no. of PDU sessions allowed towards one UE. Value is 256. |

|  |  |
| --- | --- |
| **Condition** | **Explanation** |
| ifPDUsessionResourceSetup | This IE shall be present if the *PDU Session Resource Setup List* IE is present. |

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9.2.2.7 UE CONTEXT MODIFICATION REQUEST

This message is sent by the AMF to provide UE Context information changes to the NG-RAN node.

Direction: AMF → NG-RAN node

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| AMF UE NGAP ID | M |  | 9.3.3.1 |  | YES | reject |
| RAN UE NGAP ID | M |  | 9.3.3.2 |  | YES | reject |
| RAN Paging Priority | O  |  | 9.3.3.15 |  | YES | ignore |
| Security Key | O |  | 9.3.1.87 |  | YES | reject |
| Index to RAT/Frequency Selection Priority | O |  | 9.3.1.61 |  | YES | ignore |
| UE Aggregate Maximum Bit Rate | O |  | 9.3.1.58 |  | YES | ignore |
| UE Security Capabilities | O |  | 9.3.1.86 |  | YES | reject |
| Core Network Assistance Information for RRC INACTIVE | O |  | 9.3.1.15 |  | YES | ignore |
| Emergency Fallback Indicator | O |  | 9.3.1.26 |  | YES | reject |
| New AMF UE NGAP ID | O |  | AMF UE NGAP ID9.3.3.1 |  | YES | reject |
| RRC Inactive Transition Report Request | O |  | 9.3.1.91 |  | YES | ignore |
| New GUAMI | O |  | GUAMI9.3.3.3 |  | YES | reject |
| CN Assisted RAN Parameters Tuning | O |  | 9.3.1.119 |  | YES | ignore |
| SRVCC Operation Possible | O |  | 9.3.1.128 |  | YES | ignore |
| Pending Data Indication (FFS) | O |  | 9.3.3.zzz |  | YES | ignore |

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

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9.2.3.4 HANDOVER REQUEST

This message is sent by the AMF to the target NG-RAN node to request the preparation of resources.

Direction: AMF → NG-RAN node.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| AMF UE NGAP ID | M |  | 9.3.3.1 |  | YES | reject |
| Handover Type | M |  | 9.3.1.22 |  | YES | reject |
| Cause | M |  | 9.3.1.2 |  | YES | ignore |
| UE Aggregate Maximum Bit Rate | M |  | 9.3.1.58 |  | YES | reject |
| Core Network Assistance Information for RRC INACTIVE | O |  | 9.3.1.15 |  | YES | ignore |
| UE Security Capabilities  | M |  | 9.3.1.86 |  | YES | reject |
| Security Context | M |  | 9.3.1.88 |  | YES | reject |
| New Security Context Indicator | O |  | 9.3.1.55 |  | YES | reject |
| NASC | O |  | NAS-PDU9.3.3.4 | Refers to either the “Intra N1 mode NAS transparent container” or the “S1 mode to N1 mode NAS transparent container”, the details of the IE definition and the encoding arespecified in TS 24.501 [26]. | YES | reject |
| **PDU Session Resource Setup List** |  | *1* |  |  | YES | reject |
| **>PDU Session Resource Setup Item** |  | *1..<maxnoofPDUSessions>* |  |  | - |  |
| >>PDU Session ID  | M |  | 9.3.1.50 |  | - |  |
| >>S-NSSAI | M |  | 9.3.1.24 |  | - |  |
| >>Handover Request Transfer | M |  | OCTET STRING | Containing the *PDU Session Resource Setup Request Transfer* IE specified in subclause 9.3.4.1. | - |  |
| Allowed NSSAI | M |  | 9.3.1.31 | Indicates the S-NSSAIs permitted by the network. | YES | reject |
| Trace Activation | O |  | 9.3.1.14 |  | YES | ignore |
| Masked IMEISV | O |  | 9.3.1.54 |  | YES | ignore |
| Source to Target Transparent Container | M |  | 9.3.1.20 |  | YES | reject |
| Mobility Restriction List | O |  | 9.3.1.85 |  | YES | ignore |
| Location Reporting Request Type | O |  | 9.3.1.65 |  | YES | ignore |
| RRC Inactive Transition Report Request | O |  | 9.3.1.91 |  | YES | ignore |
| GUAMI | M |  | 9.3.3.3 |  | YES | reject |
| Redirection for Voice EPS Fallback  | O |  | 9.3.1.116 |  | YES | ignore |
| CN Assisted RAN Parameters Tuning | O |  | 9.3.1.119 |  | YES | ignore |
| SRVCC Operation Possible | O |  | 9.3.1.128 |  | YES | ignore |
| Enhanced Coverage Restriction | O |  | 9.3.1.xxx |  | YES | ignore |
| UE Differentiation Information | O |  | 9.3.1.yyy |  | YES | ignore |
| Pending Data Indication (FFS) | O |  | 9.3.3.zzz |  | YES | ignore |

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxnoofPDUSessions | Maximum no. of PDU sessions allowed towards one UE. Value is 256. |

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9.2.3.9 PATH SWITCH REQUEST ACKNOWLEDGE

This message is sent by the AMF to inform the NG-RAN node that the path switch has been successfully completed in the 5GC.

Direction: AMF → NG-RAN node.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| AMF UE NGAP ID | M |  | 9.3.3.1 |  | YES | ignore |
| RAN UE NGAP ID | M |  | 9.3.3.2 |  | YES | ignore |
| UE Security Capabilities | O |  | 9.3.1.86 |  | YES | reject |
| Security Context | M |  | 9.3.1.88 |  | YES | reject |
| New Security Context Indicator | O |  | 9.3.1.55 |  | YES | reject |
| **PDU Session Resource Switched List** |  | *1*  |  |  | YES | ignore |
| **>PDU Session Resource Switched Item** |  | *1..<maxnoofPDUSessions>*  |  |  | - |  |
| >>PDU Session ID  | M |  | 9.3.1.50 |  | - |  |
| >>Path Switch Request Acknowledge Transfer | M |  | OCTET STRING | Containing the *Path Switch Request Acknowledge Transfer* IE specified in subclause 9.3.4.9. | - |  |
| **PDU Session Resource Released List** |  | *0..1* |  |  | YES | ignore |
| **>PDU Session Resource Released Item** |  | *1..<maxnoofPDUSessions>* |  |  | - |  |
| >>PDU Session ID | M |  | 9.3.1.50 |  | - |  |
| >>Path Switch Request Unsuccessful Transfer | M |  | OCTET STRING | Containing the *Path Switch Request Unsuccessful Transfer* IE specified in subclause 9.3.4.20. | - |  |
| Allowed NSSAI | M |  | 9.3.1.31 | Indicates the S-NSSAIs permitted by the network. | YES | reject |
| Core Network Assistance Information for RRC INACTIVE | O |  | 9.3.1.15 |  | YES | ignore |
| RRC Inactive Transition Report Request | O |  | 9.3.1.91 |  | YES | ignore |
| Criticality Diagnostics | O |  | 9.3.1.3 |  | YES | ignore |
| Redirection for Voice EPS Fallback  | O |  | 9.3.1.116 |  | YES | ignore |
| CN Assisted RAN Parameters Tuning | O |  | 9.3.1.119 |  | YES | ignore |
| SRVCC Operation Possible | O |  | 9.3.1.128 |  | YES | ignore |
| Enhanced Coverage Restriction | O |  | 9.3.1.xxx |  | YES | ignore |
| Extended Connected Time | O |  | 9.3.3.X |  | YES | ignore |
| UE Differentiation Information | O |  | 9.3.1.yyy |  | YES | ignore |
| Pending Data Indication (FFS) | O |  | 9.3.3.zzz |  | YES | ignore |

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxnoofPDUSessions | Maximum no. of PDU sessions allowed towards one UE. Value is 256. |

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9.2.5.2 DOWNLINK NAS TRANSPORT

This message is sent by the AMF and is used for carrying NAS information over the NG interface.

Direction: AMF → NG-RAN node

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | ignore |
| AMF UE NGAP ID | M |  | 9.3.3.1 |  | YES | reject |
| RAN UE NGAP ID | M |  | 9.3.3.2 |  | YES | reject |
| Old AMF | O |  | AMF Name9.3.3.21 |  | YES | reject |
| RAN Paging Priority | O  |  | 9.3.3.15 |  | YES | ignore |
| NAS-PDU | M |  | 9.3.3.4 |  | YES | reject |
| Mobility Restriction List | O |  | 9.3.1.85 |  | YES | ignore |
| Index to RAT/Frequency Selection Priority | O |  | 9.3.1.61 |  | YES | ignore |
| UE Aggregate Maximum Bit Rate | O |  | 9.3.1.58 |  | YES | ignore |
| Allowed NSSAI | O |  | 9.3.1.31 | Indicates the S-NSSAIs permitted by the network. | YES | reject |
| SRVCC Operation Possible | O |  | 9.3.1.128 |  | YES | ignore |
| Enhanced Coverage Restriction | O |  | 9.3.1.xxx |  | YES | ignore |
| Extended Connected Time | O |  | 9.3.3.X |  | YES | ignore |
| UE Differentiation Information | O |  | 9.3.1.yyy |  | YES | ignore |
| Pending Data Indication (FFS) | O |  | 9.3.3.zzz |  | YES | ignore |

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

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***//Editor’s note: the yellow part will be introduced in CP NGAP CR#0188 with two WI Codes.***

9.2.2.X5 UE CONTEXT RESUME RESPONSE

This message is sent by the AMF to indicate to the NG-RAN node that the UE context and the related PDU session contexts have been resumed in the 5GC.

Direction: AMF → NG-RAN node

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| AMF UE NGAP ID | M |  | 9.3.3.1 |  | YES | reject |
| RAN UE NGAP ID | M |  | 9.3.3.2 |  | YES | reject |
| PDU Session Resource Resume Response Setup List |  | *1* |  |  | YES | reject |
|  >PDU Session Resource Resume Response Setup Item |  | *1..<maxnoofPDUSessions>* |  |  | - |  |
|  >>PDU Session ID | M |  | 9.3.1.50 |  | - |  |
|  >>UE Context Resume Response Transfer | M |  | OCTET STRING | Containing the *UE Context Resume Response Transfer* IE specified in subclause 9.3.4.Z2  | - |  |
| PDU Session Resource Resume Response Failed to Setup List |  | *0..1* |  |  | YES | reject |
|  >PDU Session Resource Resume Response Failed to Setup Item |  | *1..<maxnoofPDUSessions>* |  |  | - |  |
|  >>PDU Session ID | M |  | 9.3.1.50 |  | - |  |
|  >>Cause | M |  | 9.3.1.2 |  | - |  |
| Security Context | O |  | 9.3.1.88 |  | YES | reject |
| Extended Connected Time | O |  | 9.3.3.X |  | YES | ignore |
| Criticality Diagnostics | O |  | 9.3.1.3 |  | YES | reject |
| Pending Data Indication (FFS) | O |  | 9.3.3.zzz |  | YES | ignore |

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxnoofPDUSessions | Maximum no. of PDU sessions allowed towards one UE. Value is 256. |

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

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***//Editor’s note: the green part will be introduced in CP NGAP CR#0173 with two WI Codes.***

9.2.2.A CONNECTION ESTABLISHMENT INDICATION

This message is sent by the AMF to complete the establishment of the UE-associated logical NG-connection.

Direction: AMF → NG-RAN node

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| AMF UE NGAP ID | M |  | 9.3.3.1 |  | YES | ignore |
| RAN UE NGAP ID | M |  | 9.3.3.2 |  | YES | ignore |
| UE Radio Capability | O |  | 9.3.1.74 |  | YES | ignore |
| End Indication  | O |  | 9.3.1.aaa |  | YES | ignore |
| S-NSSAI | O |  | 9.3.1.24 |  | YES | ignore |
| Allowed NSSAI | O |  | 9.3.1.31 | Indicates the S-NSSAIs permitted by the network | YES | ignore |
| UE Differentiation Information | O |  | 9.3.1.yyy |  | YES | ignore |

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**Skip to next change**

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***//Editor’s note: the blue part will be introduced in CP NGAP CR#0156 with NB-IoT WI code***

9.2.2.y UE INFORMATION TRANSFER

The message is sent by the AMF to transfer UE information over the NG interface.

Direction: AMF → NG-RAN node

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| 5G-S-TMSI | M |  | 9.3.3.20 |  | YES | reject |
| NB-IoT UE Priority | O |  | 9.3.1.a |  | YES | ignore |
| UE Radio Capability | O |  | 9.3.1.74 |  | YES | ignore |
| S-NSSAI | O |  | 9.3.1.24 |  | YES | ignore |
| Allowed NSSAI | O |  | 9.3.1.31 | Indicates the S-NSSAIs permitted by the network | YES | ignore |
| UE Differentiation Information | O |  | 9.3.1.yyy |  | YES | ignore |
| Pending Data Indication (FFS) | O |  | 9.3.3.zzz |  | YES | ignore |

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation from SA2

**------------------------------------------**

**Skip to next change**

**------------------------------------------**

9.3.1.yyy UE Differentiation Information

This IE is generated by the AMF based on the UE subscription information, it provides the Expected UE Behavior Information Information.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** |
| Periodic Communication Indicator | O |  | ENUMERATED(periodically, on demand, …) | This IE indicates whether the UE communicates periodically or not, e.g. only on demand. |
| Periodic Time | O |  | INTEGER (1..3600, …) | This IE indicates the interval time of periodic communication, the unit is: second |
| Scheduled Communication Time |  | *0..1* |  | This IE indicates the time zone and day of the week when the UE is available for communication. |
| >>Day of Week | O |  | BIT STRING (SIZE(7)) | If Day-Of-Week is not provided this shall be interpreted as every day of the week.Each position in the bitmap represents a day of the week:first bit = Mon, second bit =Tue, third bit =Wed, and so on. Value ‘1’ indicates ‘scheduled. Value ‘0’ indicates ‘not scheduled’. |
| >>Time of Day Start | O |  | INTEGER (0..86399, …) | This IE indicates the time to start of the day, each value represent the corresponding second since 00:00 of the day.If Time-Of-Day-Start is not provided, starting time shall be set to start of the day(s) indicated by Day-Of-Week-Mask. |
| >>Time of Day End | O |  | INTEGER (0..86399, …) | This IE indicates the time to start of the day, each value represent the corresponding second since 00:00 of the day. The value of this IE should be bigger than the value of *Time of Day Start* IE.If Time-Of-Day-End is not provided, ending time is end of the day(s) indicated by Day-Of-Week-Mask. |
| Stationary Indication | O |  | ENUMERATED(stationary, mobile, …) |  |
| Traffic Profile | O |  | ENUMERATED(single packet, dual packets, multiple packets, …) | “single packet” indicates single packet transmission (UL or DL),“dual packets” indicates dual packet transmission (UL with subsequent DL, or DL with subsequent UL),“multiple packets” indicates multiple packets transmission. |
| Battery Indication | O |  | ENUMERATED(battery powered, battery powered not rechargeable or replaceable, not battery powered, …) | “battery powered” indicates that the UE is battery powered and the battery is rechargeable/replaceable, “battery powered not rechargeable or replaceable” indicates that the UE is battery powered but the battery is not rechargeable/replaceable,, “not battery powered” indicates that the UE is not battery powered. |

**------------------------------------------**

**Skip to next change**

**------------------------------------------**

9.3.3.zzz Pending Data Indication (FFS)

This IE indicates that some signalling or data is pending in the network for the UE.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** |
| Pending Data Indication | M |  | ENUMERATED (true, …) |  |

Editor’s note: the addition of the *Pending Data Indication* IE needs further confirmation SA2

**START OF ASN.1 CHANGES**

### 9.4.4 PDU Definitions

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- PDU definitions for NGAP.

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

NGAP-PDU-Contents {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-Access (22) modules (3) ngap (1) version1 (1) ngap-PDU-Contents (1) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- IE parameter types from other modules.

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

IMPORTS

**SKIPPED ASN.1 UNCHANGED**

 UserLocationInformation,

 WarningAreaCoordinates,

 WarningAreaList,

 WarningMessageContents,

 WarningSecurityInfo,

 WarningType,

 RIMInformationTransfer,

 UE-DifferentiationInfo,

 PendingDataIndication

FROM NGAP-IEs

**NEXT ASN.1 CHANGE**

 id-UESecurityCapabilities,

 id-UnavailableGUAMIList,

 id-UserLocationInformation,

 id-WarningAreaCoordinates,

 id-WarningAreaList,

 id-WarningMessageContents,

 id-WarningSecurityInfo,

 id-WarningType,

 id-RIMInformationTransfer,

 id-Enhanced-CoverageRestriction,

 id-Extended-ConnectedTime,

 id-UE-DifferentiationInfo,

 id-PendingDataIndication

FROM NGAP-Constants;

**NEXT ASN.1 CHANGE**

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- UE CONTEXT MANAGEMENT ELEMENTARY PROCEDURES

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- INITIAL CONTEXT SETUP REQUEST

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

InitialContextSetupRequest ::= SEQUENCE {

 protocolIEs ProtocolIE-Container { {InitialContextSetupRequestIEs} },

 ...

}

InitialContextSetupRequestIEs NGAP-PROTOCOL-IES ::= {

 { ID id-AMF-UE-NGAP-ID CRITICALITY reject TYPE AMF-UE-NGAP-ID PRESENCE mandatory }|

 { ID id-RAN-UE-NGAP-ID CRITICALITY reject TYPE RAN-UE-NGAP-ID PRESENCE mandatory }|

 { ID id-OldAMF CRITICALITY reject TYPE AMFName PRESENCE optional }|

 { ID id-UEAggregateMaximumBitRate CRITICALITY reject TYPE UEAggregateMaximumBitRate PRESENCE conditional }|

 { ID id-CoreNetworkAssistanceInformationForInactive CRITICALITY ignore TYPE CoreNetworkAssistanceInformationForInactive PRESENCE optional }|

 { ID id-GUAMI CRITICALITY reject TYPE GUAMI PRESENCE mandatory }|

 { ID id-PDUSessionResourceSetupListCxtReq CRITICALITY reject TYPE PDUSessionResourceSetupListCxtReq PRESENCE optional }|

 { ID id-AllowedNSSAI CRITICALITY reject TYPE AllowedNSSAI PRESENCE mandatory }|

 { ID id-UESecurityCapabilities CRITICALITY reject TYPE UESecurityCapabilities PRESENCE mandatory }|

 { ID id-SecurityKey CRITICALITY reject TYPE SecurityKey PRESENCE mandatory }|

 { ID id-TraceActivation CRITICALITY ignore TYPE TraceActivation PRESENCE optional }|

 { ID id-MobilityRestrictionList CRITICALITY ignore TYPE MobilityRestrictionList PRESENCE optional }|

 { ID id-UERadioCapability CRITICALITY ignore TYPE UERadioCapability PRESENCE optional }|

 { ID id-IndexToRFSP CRITICALITY ignore TYPE IndexToRFSP PRESENCE optional }|

 { ID id-MaskedIMEISV CRITICALITY ignore TYPE MaskedIMEISV PRESENCE optional }|

 { ID id-NAS-PDU CRITICALITY ignore TYPE NAS-PDU PRESENCE optional }|

 { ID id-EmergencyFallbackIndicator CRITICALITY reject TYPE EmergencyFallbackIndicator PRESENCE optional }|

 { ID id-RRCInactiveTransitionReportRequest CRITICALITY ignore TYPE RRCInactiveTransitionReportRequest PRESENCE optional }|

 { ID id-UERadioCapabilityForPaging CRITICALITY ignore TYPE UERadioCapabilityForPaging PRESENCE optional }|

 { ID id-RedirectionVoiceFallback CRITICALITY ignore TYPE RedirectionVoiceFallback PRESENCE optional }|

 { ID id-LocationReportingRequestType CRITICALITY ignore TYPE LocationReportingRequestType PRESENCE optional }|

 { ID id-CNAssistedRANTuning CRITICALITY ignore TYPE CNAssistedRANTuning PRESENCE optional }|

 { ID id-SRVCCOperationPossible CRITICALITY ignore TYPE SRVCCOperationPossible PRESENCE optional}|

 { ID id-Enhanced-CoverageRestriction CRITICALITY ignore TYPE Enhanced-CoverageRestriction PRESENCE optional}|

 { ID id-Extended-ConnectedTime CRITICALITY ignore TYPE Extended-ConnectedTime PRESENCE optional},|

 { ID id-UE-DifferentiationInfo CRITICALITY ignore TYPE UE-DifferentiationInfo PRESENCE optional}|

 { ID id-PendingDataIndication CRITICALITY ignore TYPE PendingDataIndication PRESENCE optional},

 ...

}

**NEXT ASN.1 CHANGE**

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- UE CONTEXT MODIFICATION REQUEST

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

UEContextModificationRequest ::= SEQUENCE {

 protocolIEs ProtocolIE-Container { {UEContextModificationRequestIEs} },

 ...

}

UEContextModificationRequestIEs NGAP-PROTOCOL-IES ::= {

 { ID id-AMF-UE-NGAP-ID CRITICALITY reject TYPE AMF-UE-NGAP-ID PRESENCE mandatory }|

 { ID id-RAN-UE-NGAP-ID CRITICALITY reject TYPE RAN-UE-NGAP-ID PRESENCE mandatory }|

 { ID id-RANPagingPriority CRITICALITY ignore TYPE RANPagingPriority

 PRESENCE optional}|

 { ID id-SecurityKey CRITICALITY reject TYPE SecurityKey PRESENCE optional }|

 { ID id-IndexToRFSP CRITICALITY ignore TYPE IndexToRFSP PRESENCE optional }|

 { ID id-UEAggregateMaximumBitRate CRITICALITY ignore TYPE UEAggregateMaximumBitRate PRESENCE optional }|

 { ID id-UESecurityCapabilities CRITICALITY reject TYPE UESecurityCapabilities PRESENCE optional }|

 { ID id-CoreNetworkAssistanceInformationForInactive CRITICALITY ignore TYPE CoreNetworkAssistanceInformationForInactive PRESENCE optional }|

 { ID id-EmergencyFallbackIndicator CRITICALITY reject TYPE EmergencyFallbackIndicator PRESENCE optional }|

 { ID id-NewAMF-UE-NGAP-ID CRITICALITY reject TYPE AMF-UE-NGAP-ID PRESENCE optional }|

 { ID id-RRCInactiveTransitionReportRequest CRITICALITY ignore TYPE RRCInactiveTransitionReportRequest PRESENCE optional }|

 { ID id-NewGUAMI CRITICALITY reject TYPE GUAMI PRESENCE optional }|

 { ID id-CNAssistedRANTuning CRITICALITY ignore TYPE CNAssistedRANTuning

 PRESENCE optional }|

 { ID id-SRVCCOperationPossible CRITICALITY ignore TYPE SRVCCOperationPossible PRESENCE optional}|

 { ID id-PendingDataIndication CRITICALITY ignore TYPE PendingDataIndication PRESENCE optional},

 ...

}

**NEXT ASN.1 CHANGE**

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Handover Resource Allocation Elementary Procedure

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- HANDOVER REQUEST

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

HandoverRequest ::= SEQUENCE {

 protocolIEs ProtocolIE-Container { {HandoverRequestIEs} },

 ...

}

HandoverRequestIEs NGAP-PROTOCOL-IES ::= {

 { ID id-AMF-UE-NGAP-ID CRITICALITY reject TYPE AMF-UE-NGAP-ID PRESENCE mandatory}|

 { ID id-HandoverType CRITICALITY reject TYPE HandoverType PRESENCE mandatory}|

 { ID id-Cause CRITICALITY ignore TYPE Cause PRESENCE mandatory}|

 { ID id-UEAggregateMaximumBitRate CRITICALITY reject TYPE UEAggregateMaximumBitRate PRESENCE mandatory }|

 { ID id-CoreNetworkAssistanceInformationForInactive CRITICALITY ignore TYPE CoreNetworkAssistanceInformationForInactive PRESENCE optional }|

 { ID id-UESecurityCapabilities CRITICALITY reject TYPE UESecurityCapabilities

 PRESENCE mandatory }|

 { ID id-SecurityContext CRITICALITY reject TYPE SecurityContext PRESENCE mandatory }|

 { ID id-NewSecurityContextInd CRITICALITY reject TYPE NewSecurityContextInd

 PRESENCE optional }|

 { ID id-NASC CRITICALITY reject TYPE NAS-PDU PRESENCE optional }|

 { ID id-PDUSessionResourceSetupListHOReq CRITICALITY reject TYPE PDUSessionResourceSetupListHOReq PRESENCE mandatory }|

 { ID id-AllowedNSSAI CRITICALITY reject TYPE AllowedNSSAI PRESENCE mandatory }|

 { ID id-TraceActivation CRITICALITY ignore TYPE TraceActivation PRESENCE optional}|

 { ID id-MaskedIMEISV CRITICALITY ignore TYPE MaskedIMEISV PRESENCE optional}|

 { ID id-SourceToTarget-TransparentContainer CRITICALITY reject TYPE SourceToTarget-TransparentContainer PRESENCE mandatory }|

 { ID id-MobilityRestrictionList CRITICALITY ignore TYPE MobilityRestrictionList PRESENCE optional }|

 { ID id-LocationReportingRequestType CRITICALITY ignore TYPE LocationReportingRequestType PRESENCE optional }|

 { ID id-RRCInactiveTransitionReportRequest CRITICALITY ignore TYPE RRCInactiveTransitionReportRequest PRESENCE optional }|

 { ID id-GUAMI CRITICALITY reject TYPE GUAMI PRESENCE mandatory }|

 { ID id-RedirectionVoiceFallback CRITICALITY ignore TYPE RedirectionVoiceFallback PRESENCE optional }|

 { ID id-CNAssistedRANTuning CRITICALITY ignore TYPE CNAssistedRANTuning PRESENCE optional }|

 { ID id-SRVCCOperationPossible CRITICALITY ignore TYPE SRVCCOperationPossible PRESENCE optional}|

 { ID id-Enhanced-CoverageRestriction CRITICALITY ignore TYPE Enhanced-CoverageRestriction PRESENCE optional},|

 { ID id-UE-DifferentiationInfo CRITICALITY ignore TYPE UE-DifferentiationInfo PRESENCE optional}|

 { ID id-PendingDataIndication CRITICALITY ignore TYPE PendingDataIndication PRESENCE optional},

 ...

}

**NEXT ASN.1 CHANGE**

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Path Switch Request Elementary Procedure

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- PATH SWITCH REQUEST ACKNOWLEDGE

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

PathSwitchRequestAcknowledge ::= SEQUENCE {

 protocolIEs ProtocolIE-Container { { PathSwitchRequestAcknowledgeIEs} },

 ...

}

PathSwitchRequestAcknowledgeIEs NGAP-PROTOCOL-IES ::= {

 { ID id-AMF-UE-NGAP-ID CRITICALITY ignore TYPE AMF-UE-NGAP-ID PRESENCE mandatory }|

 { ID id-RAN-UE-NGAP-ID CRITICALITY ignore TYPE RAN-UE-NGAP-ID PRESENCE mandatory }|

 { ID id-UESecurityCapabilities CRITICALITY reject TYPE UESecurityCapabilities PRESENCE optional }|

 { ID id-SecurityContext CRITICALITY reject TYPE SecurityContext PRESENCE mandatory }|

 { ID id-NewSecurityContextInd CRITICALITY reject TYPE NewSecurityContextInd PRESENCE optional }|

 { ID id-PDUSessionResourceSwitchedList CRITICALITY ignore TYPE PDUSessionResourceSwitchedList PRESENCE mandatory }|

 { ID id-PDUSessionResourceReleasedListPSAck CRITICALITY ignore TYPE PDUSessionResourceReleasedListPSAck PRESENCE optional }|

 { ID id-AllowedNSSAI CRITICALITY reject TYPE AllowedNSSAI PRESENCE mandatory }|

 { ID id-CoreNetworkAssistanceInformationForInactive CRITICALITY ignore TYPE CoreNetworkAssistanceInformationForInactive PRESENCE optional }|

 { ID id-RRCInactiveTransitionReportRequest CRITICALITY ignore TYPE RRCInactiveTransitionReportRequest PRESENCE optional }|

 { ID id-CriticalityDiagnostics CRITICALITY ignore TYPE CriticalityDiagnostics PRESENCE optional }|

 { ID id-RedirectionVoiceFallback CRITICALITY ignore TYPE RedirectionVoiceFallback PRESENCE optional }|

 { ID id-CNAssistedRANTuning CRITICALITY ignore TYPE CNAssistedRANTuningPRESENCE optional }|

 { ID id-SRVCCOperationPossible CRITICALITY ignore TYPE SRVCCOperationPossible PRESENCE optional}|

 { ID id-Enhanced-CoverageRestriction CRITICALITY ignore TYPE Enhanced-CoverageRestriction PRESENCE optional}|

 { ID id-Extended-ConnectedTime CRITICALITY ignore TYPE Extended-ConnectedTime PRESENCE optional},|

 { ID id-UE-DifferentiationInfo CRITICALITY ignore TYPE UE-DifferentiationInfo PRESENCE optional}|

 { ID id-PendingDataIndication CRITICALITY ignore TYPE PendingDataIndication PRESENCE optional},

 ...

}

**NEXT ASN.1 CHANGE**

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- NAS TRANSPORT ELEMENTARY PROCEDURES

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- DOWNLINK NAS TRANSPORT

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

DownlinkNASTransport ::= SEQUENCE {

 protocolIEs ProtocolIE-Container { {DownlinkNASTransport-IEs} },

 ...

}

DownlinkNASTransport-IEs NGAP-PROTOCOL-IES ::= {

 { ID id-AMF-UE-NGAP-ID CRITICALITY reject TYPE AMF-UE-NGAP-ID PRESENCE mandatory }|

 { ID id-RAN-UE-NGAP-ID CRITICALITY reject TYPE RAN-UE-NGAP-ID PRESENCE mandatory }|

 { ID id-OldAMF CRITICALITY reject TYPE AMFName PRESENCE optional }|

 { ID id-RANPagingPriority CRITICALITY ignore TYPE RANPagingPriority PRESENCE optional }|

 { ID id-NAS-PDU CRITICALITY reject TYPE NAS-PDU PRESENCE mandatory }|

 { ID id-MobilityRestrictionList CRITICALITY ignore TYPE MobilityRestrictionList PRESENCE optional }|

 { ID id-IndexToRFSP CRITICALITY ignore TYPE IndexToRFSP PRESENCE optional }|

 { ID id-UEAggregateMaximumBitRate CRITICALITY ignore TYPE UEAggregateMaximumBitRate PRESENCE optional }|

 { ID id-AllowedNSSAI CRITICALITY reject TYPE AllowedNSSAI PRESENCE optional }|

 { ID id-SRVCCOperationPossible CRITICALITY ignore TYPE SRVCCOperationPossible PRESENCE optional}|

 { ID id-Enhanced-CoverageRestriction CRITICALITY ignore TYPE Enhanced-CoverageRestriction PRESENCE optional}|

 { ID id-Extended-ConnectedTime CRITICALITY ignore TYPE Extended-ConnectedTime PRESENCE optional},|

 { ID id-UE-DifferentiationInfo CRITICALITY ignore TYPE UE-DifferentiationInfo PRESENCE optional}|

 { ID id-PendingDataIndication CRITICALITY ignore TYPE PendingDataIndication PRESENCE optional},

 ...

}

**NEXT CHANGE**

***//Editor’s note: the yellow part will be introduced in CP NGAP CR#0188 with two WI Codes.***

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- UE CONTEXT RESUME RESPONSE

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

UeContextResumeResponse ::= SEQUENCE {

 protocolIEs ProtocolIE-Container { {UeContextResumeResponseIEs} },

 ...

}

UeContextResumeResponseIEs NGAP-PROTOCOL-IES ::= {

 { ID id-AMF-UE-NGAP-ID CRITICALITY reject TYPE AMF-UE-NGAP-ID PRESENCE mandatory}|

 { ID id-RAN-UE-NGAP-ID CRITICALITY reject TYPE RAN-UE-NGAP-ID PRESENCE mandatory}|

 { ID id-PDUSessionResourceResumeResponseList CRITICALITY reject TYPE PDUSessionResourceResumeResponseList PRESENCE optional}|

 { ID id-PDUSessionResourceResumeResponseFailedToResumeList CRITICALITY reject TYPE PDUSessionResourceResumeResponseFailedToResumeList PRESENCE optional}|

 { ID id-SecurityContext CRITICALITY reject TYPE SecurityContext PRESENCE optional}|

 { ID id-Extended-ConnectedTime CRITICALITY reject TYPE Extended-ConnectedTime PRESENCE optional}|

 { ID id-CriticalityDiagnostic CRITICALITY reject TYPE CriticalityDiagnostic PRESENCE optional}|

 { ID id-PendingDataIndication CRITICALITY ignore TYPE PendingDataIndication PRESENCE optional},

 ...

}

**NEXT ASN.1 CHANGE**

***//Editor’s note: the green part will be introduced in CP NGAP CR#0173 with two WI Codes.***

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Connection Establishment Indication

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

ConnectionEstablishmentIndication::= SEQUENCE {

 protocolIEs ProtocolIE-Container { {ConnectionEstablishmentIndicationIEs} },

 ...

}

ConnectionEstablishmentIndicationIEs NGAP-PROTOCOL-IES ::= {

 { ID id-AMF-UE-NGAP-ID CRITICALITY ignore TYPE AMF-UE-NGAP-ID PRESENCE mandatory}|

 { ID id-RAN-UE-NGAP-ID CRITICALITY ignore TYPE RAN-UE-NGAP-ID PRESENCE mandatory}|

 { ID id-UERadioCapability CRITICALITY ignore TYPE UERadioCapability PRESENCE optional }|

 { ID id-EndIndication CRITICALITY ignore TYPE EndIndication PRESENCE optional}|

 { ID id-S-NSSAI CRITICALITY ignore TYPE S-NSSAI PRESENCE optional}|

 { ID id-AllowedNSSAI CRITICALITY ignore TYPE AllowedNSSAI PRESENCE optional}|

 { ID id-UE-DifferentiationInfo CRITICALITY ignore TYPE UE-DifferentiationInfo PRESENCE optional},

 ...

}

**NEXT ASN.1 CHANGE**

***//Editor’s note: the blue part will be introduced in CP NGAP CR#0156 with NB-IoT WI code***

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

-- UE Information Transfer

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

UEInformationTransfer ::= SEQUENCE {

 protocolIEs ProtocolIE-Container { { UEInformationTransferIEs} },

 ...

}

UEInformationTransferIEs NGAP-PROTOCOL-IES ::= {

 { ID id-FiveG-S-TMSI CRITICALITY reject TYPE FiveG-S-TMSI PRESENCE mandatory}|

 { ID id-NB-IoT-UEPriority CRITICALITY ignore TYPE NB-IoT-UEPriority PRESENCE optional}|

 { ID id-UERadioCapability CRITICALITY ignore TYPE UERadioCapability PRESENCE optional}|

 { ID id-S-NSSAI CRITICALITY ignore TYPE S-NSSAI PRESENCE optional}|

 { ID id-AllowedNSSAI CRITICALITY ignore TYPE AllowedNSSAI PRESENCE optional}|

 { ID id-PendingDataIndication CRITICALITY ignore TYPE PendingDataIndication PRESENCE optional}|

 { ID id-UE-DifferentiationInfo CRITICALITY ignore TYPE UE-DifferentiationInfo PRESENCE optional},

 ...

}

**NEXT ASN.1 CHANGE**

### 9.4.5 Information Element Definitions

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Information Element Definitions

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

**SKIPPED ASN.1 UNCHANGED**

-- P

PendingDataIndication ::= ENUMERATED {

 true,

 ...

}

-- S

ScheduledCommunicationTime ::= SEQUENCE {

 dayofWeek BIT STRING (SIZE(7)) OPTIONAL,

 timeofDayStart INTEGER (0..86399, ...) OPTIONAL,

 timeofDayEnd INTEGER (0..86399, ...) OPTIONAL,

 iE-Extensions ProtocolExtensionContainer { { ScheduledCommunicationTime-ExtIEs}} OPTIONAL,

 ...

}

ScheduledCommunicationTime-ExtIEs NGAP-PROTOCOL-EXTENSION ::= {

 ...

}

-- U

UE-DifferentiationInfo ::= SEQUENCE {

 periodicCommunicationIndicator ENUMERATED {periodically, ondemand, ... } OPTIONAL,

 periodicTime INTEGER (1..3600, ...) OPTIONAL,

 scheduledCommunicationTime ScheduledCommunicationTime OPTIONAL,

 stationaryIndication ENUMERATED {stationary, mobile, ...} OPTIONAL,

 trafficProfile ENUMERATED {single-packet, dual-packets, multiple-packets, ...} OPTIONAL,

 batteryIndication ENUMERATED {battery-powered, battery-powered-not-rechargeable-or-replaceable, not-battery-powered, ...} OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { UE-DifferentiationInfo-ExtIEs} } OPTIONAL,

 ...

}

UE-DifferentiationInfo-ExtIEs NGAP-PROTOCOL-EXTENSION ::= {

 ...

}

**NEXT ASN.1 CHANGE**

### 9.4.7 Constant Definitions

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Container definitions

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

**SKIPPED ASN.1 UNCHANGED**

 id-LocationReportingAdditionalInfo ProtocolIE-ID ::= 170

 id-SourceToTarget-AMFInformationReroute ProtocolIE-ID ::= 171

 id-AdditionalULForwardingUPTNLInformation ProtocolIE-ID ::= 172

 id-SCTP-TLAs ProtocolIE-ID ::= 173

 id-DataForwardingResponseERABList ProtocolIE-ID ::= 174

 id-RIMInformationTransfer ProtocolIE-ID ::= 175

 id-GUAMIType ProtocolIE-ID ::= 176

 id-SRVCCOperationPossible ProtocolIE-ID ::= 177

 id-TargetRNC-ID ProtocolIE-ID ::= 178

 id-RAT-Information ProtocolIE-ID ::= 179

 id-ExtendedRATRestrictionInformation ProtocolIE-ID ::= 180

 id-QosMonitoringRequest ProtocolIE-ID ::= 181

 id-SgNB-UE-X2AP-ID ProtocolIE-ID ::= 182

 id-Enhanced-CoverageRestriction ProtocolIE-ID ::= xxx

 id-Extended-ConnectedTime ProtocolIE-ID ::= xxx

 id-UE-DifferentiationInfo ProtocolIE-ID ::= yyy

 id-PendingDataIndication ProtocolIE-ID ::= yyy

**END OF ASN.1 CHANGES**

**------------------------------------------**

**End of all changes!**

**------------------------------------------**