**3GPP TSG-RAN WG3 Meeting #107bis-eR3-201983**

**E-meeting, 20th – 30th April, 2020**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
|  |
|  | **38.413** | **CR** | **0379** | **rev** | **1** | **Current version:** | 16.1.0 |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network | **X** |

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| ***Title:***  | Correction on AS rekeying handling |
|  |  |
| ***Source to WG:*** | CATT, China Telecom |
| ***Source to TSG:*** | R3 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2020-04-02 |
|  |  |  |  |  |
| ***Category:*** | **A** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | Following text in 8.3.4.2 (handling UE CONTEXT MODIFICATION REQUEST message) is not accurate.*Upon receipt of the UE CONTEXT MODIFICATION REQUEST message the NG-RAN node shall**- store the received Security Key IE and, if the NG-RAN node is required to activate security for the UE, take this security key into use.**- store the UE Security Capabilities IE and take them into use together with the received keys according to TS 33.501 [13].* *- store the Index to RAT/Frequency Selection Priority IE and use it as defined in TS 23.501 [9].*Firstly ,the *Security Key* IE is not mandatory included within the UE CONTEXT MODIFICATION REQUEST message, and according to TS 33.501, the only case when it is included is to trigger AS key re-keying, but the current description mistakenly copies the corresponding description for receiving INITIAL CONTEXT SETUP REQUEST and improper for this case, e.g. AS security must have been activated when receiving any UE CONTEXT MODIFICATION REQUEST message (thus there is no such case that “the NG-RAN node is required to activate security for the UE”).Secondly,all the three IEs are optional,the current desciption seems regarding the three IEs as madantory. |
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| ***Summary of change:*** | Reorganise the text quoted above, clarifying that the *Security Key* IE is used to trigger AS rekeying.Update the description on the above three optional IEs Impact assessment towards the previous version of the specification (same release):This CR is a clarification and has an isolated impact towards the previous version of the specification (same release).This CR only has an impact on the AS security.This CR is backward compatible. |
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| ***Consequences if not approved:*** | The semantic description is incorrect. |
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| ***Clauses affected:*** | 8.3.4.2 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

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#### 8.3.4.2 Successful Operation



Figure 8.3.4.2-1: UE context modification: successful operation

Upon receipt of the UE CONTEXT MODIFICATION REQUEST message the NG-RAN node shall

If the *Security Key* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall store it and perform AS key re-keying according to TS 33.501.

If the *UE Security Capabilities* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall store them and take them into use together with the received keys according to TS 33.501.

If the *Index to RAT/Frequency Selection Priority* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall, if supported, use it as defined in TS 23.501 [9].

If the *RAN Paging Priority* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node may use it to determine a priority for paging the UE in RRC\_INACTIVE state.

If the *UE Aggregate Maximum Bit Rate* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall

- replace the previously provided UE Aggregate Maximum Bit Rate by the received UE Aggregate Maximum Bit Rate in the UE context;

- use the received UE Aggregate Maximum Bit Rate for all Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [9].

If the *Core Network Assistance Information for RRC INACTIVE* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context and use it for e.g. the RRC\_INACTIVE state decision and RNA configuration for the UE and RAN paging if any for a UE in RRC\_INACTIVE state, as specified in TS 38.300 [8].

If the *CN Assisted RAN Parameters Tuning* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node may use it as described in TS 23.501 [9].

If the *RRC Inactive Transition Report Request* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall, if supported, store this information in the UE context and report to the AMF the *User Location Information* IE and the *RRC State* IE in the UE CONTEXT MODIFICATION RESPONSE message.

If the *RRC Inactive Transition Report Request* IE is included in the UE CONTEXT MODIFICATION REQUEST message and set to "cancel report", the NG-RAN node shall, if supported, stop reporting to the AMF the RRC state of the UE.

The NG-RAN node shall report, in the UE CONTEXT MODIFICATION RESPONSE message to the AMF, the successful update of the UE context.

If the *Emergency Fallback Indicator* IE is included in the UE CONTEXT MODIFICATION REQUEST message, it indicates that the concerned UE context is subject to emergency service fallback as described in TS 23.501 [9] and the NG-RAN node may, if supported, take the appropriate mobility actions taking into account the *Emergency Service Target CN* IE if provided.

If the *New AMF UE NGAP ID* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall use the received value for future signalling with the AMF.

If the *New GUAMI* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the NG-RAN node shall replace the previously stored GUAMI as specified in TS 23.501 [9].

**Interactions with RRC Inactive Transition Report procedure:**

If the *RRC Inactive Transition Report Request* IE is included in the UE CONTEXT MODIFICATION REQUEST message and set to "single RRC connected state report", the NG-RAN node shall, if supported and if the UE is in RRC\_INACTIVE state, send one subsequent RRC INACTIVE TRANSITION REPORT message to the AMF when the RRC state transitions to RRC\_CONNECTED state.

If the *RRC Inactive Transition Report Request* IE is included in the UE CONTEXT MODIFICATION REQUEST message and set to "subsequent state transition report", the NG-RAN node shall, if supported, send the RRC INACTIVE TRANSITION REPORT message to the AMF to report the RRC state of the UE when the UE enters or leaves RRC\_INACTIVE state.

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