**3GPP TSG-RAN3 Meeting #107-e *R3-201365***

**E-Meeting, 24 February – 6 March, 2020**

**Title:** (TP for NPN BL CR for TS 38.473): Further support of NPN over F1

**Source:** Huawei, China Telecom

**Agenda item:** 16.2.6

**Document for:** For approval

# 1. Introduction

This TP follows conclusions in discussions in R3-201173:

1. To use Choice structure for NPN support information in Served Cell Information IE
2. To add NID in the UE context setup message from CU to DU, with FFS

# Annex – TP for TS 38.473 (on the top of agreed BL [R3-197585](file:///D:\工作文件夹\工作\2020.01.16-2020.02.15\Inbox\R3-197585.zip))

<<<<<<<<<<<<<<<<<<<< Changes Begin >>>>>>>>>>>>>>>>>>>>

## 8.3 UE Context Management procedures

### 8.3.1 UE Context Setup

#### 8.3.1.1 General

The purpose of the UE Context Setup procedure is to establish the UE Context including, among others, SRB, and DRB configuration. The procedure uses UE-associated signalling.

#### 8.3.1.2 Successful Operation



Figure 8.3.1.2-1: UE Context Setup Request procedure: Successful Operation

<Unchanged Text Omitted>

If the Trace Activation IE is included in the UE CONTEXT SETUP REQUEST message the gNB-DU shall, if supported, initiate the requested trace function as described in TS 32.422 [29].

If the *Serving NID* IE is contained in the UE CONTEXT SETUP REQUEST message, the gNB-DU shall combine the *Serving NID* IE with the *Serving PLMN* IEto identify the serving NPN, and take it into account. (FFS)

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## 9.2 Message Functional Definition and Content

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### 9.2.2 UE Context Management messages

#### 9.2.2.1 UE CONTEXT SETUP REQUEST

This message is sent by the gNB-CU to request the setup of a UE context.

Direction: gNB-CU → gNB-DU.

| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| --- | --- | --- | --- | --- | --- | --- |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| gNB-CU UE F1AP ID | M |  | 9.3.1.4 |  | YES | reject |
| gNB-DU UE F1AP ID | O |  | 9.3.1.5 |  | YES | ignore |
| SpCell ID | M |  | NR CGI  9.3.1.12 | Special Cell as defined in TS 38.321 [16]. For handover case, this IE is considered as target cell. | YES | reject |
| ServCellIndex | M |  | INTEGER (0..31,...) |  | YES | reject |
| SpCell UL Configured | O |  | Cell UL Configured  9.3.1.33 |  | YES | ignore |
| CU to DU RRC Information | M |  | 9.3.1.25 |  | YES | reject |
| **Candidate SpCell List** |  | *0..1* |  |  | YES | ignore |
| **>Candidate SpCell Item IEs** |  | *1 .. <maxnoofCandidateSpCells>* |  |  | EACH | ignore |
| >>Candidate SpCell ID | M |  | NR CGI  9.3.1.12 | Special Cell as defined in TS 38.321 [16] | - |  |
| DRX Cycle | O |  | DRX Cycle  9.3.1.24 |  | YES | ignore |
| Resource Coordination Transfer Container | O |  | OCTET STRING | Includes the *MeNB Resource Coordination Information* IE as defined in subclause 9.2.116 of TS 36.423 [9] for EN-DC case or *MR-DC Resource Coordination Information* IE as defined in TS 38.423 [28] for NGEN-DC and NE-DC cases. | YES | ignore |
| **SCell To Be Setup List** |  | *0..1* |  |  | YES | ignore |
| **>SCell to Be Setup Item IEs** |  | *1.. <maxnoofSCells>* |  |  | EACH | ignore |
| >>SCell ID | M |  | NR CGI  9.3.1.12 | SCell Identifier in gNB | - |  |
| >>SCellIndex | M |  | INTEGER (1..31) |  | - |  |
| >>SCell UL Configured | O |  | Cell UL Configured  9.3.1.33 |  | - |  |
| >>servingCellMO | O |  | INTEGER (1..64) |  | YES | ignore |
| **SRB to Be Setup List** |  | *0..1* |  |  | YES | reject |
| **>SRB to Be Setup Item IEs** |  | *1 .. <maxnoofSRBs>* |  |  | EACH | reject |
| >>SRB ID | M |  | 9.3.1.7 |  | - |  |
| >>Duplication Indication | O |  | ENUMERATED (true, ..., false) | If included, it should be set to true. | - |  |
| **DRB to Be Setup List** |  | *0..1* |  |  | YES | reject |
| **>DRB to Be Setup Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | reject |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| >>CHOICE QoS Information | M |  |  |  | - |  |
| >>>E-UTRAN QoS | M |  | 9.3.1.19 | Shall be used for EN-DC case to convey E-RAB Level QoS Parameters | - |  |
| **>>>DRB Information** |  | *1* |  | Shall be used for NG-RAN cases | YES | ignore |
| >>>>DRB QoS | M |  | 9.3.1.45 |  | - |  |
| >>>>S-NSSAI | M |  | 9.3.1.38 |  | - |  |
| >>>>Notification Control | O |  | 9.3.1.56 |  | - |  |
| **>>>>Flows Mapped to DRB Item** |  | *1 .. <maxnoofQoSFlows>* |  |  | - |  |
| >>>>>QoS Flow Identifier | M |  | 9.3.1.63 |  | - |  |
| >>>>>QoS Flow Level QoS Parameters | M |  | 9.3.1.45 |  | - |  |
| >>>>>QoS Flow Mapping Indication | O |  | 9.3.1.72 |  | YES | ignore |
| **>>UL UP TNL Information to be setup List** |  | *1* |  |  | - |  |
| **>>> UL UP TNL Information to Be Setup Item IEs** |  | *1 .. <maxnoofULUPTNLInformation>* |  |  | - |  |
| >>>>UL UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-CU endpoint of the F1 transport bearer. For delivery of UL PDUs. | - |  |
| >> RLC Mode | M |  | 9.3.1.27 |  | - |  |
| >> UL Configuration | O |  | UL Configuraiton  9.3.1.31 | Information about UL usage in gNB-DU. | - |  |
| >>Duplication Activation | O |  | 9.3.1.36 | Information on the initial state of CA based UL PDCP duplication | - |  |
| >> DC Based Duplication Configured | O |  | ENUMERATED (true, ..., false) | Indication on whether DC based PDCP duplication is configured or not. If included, it should be set to true. | YES | reject |
| >>DC Based Duplication Activation | O |  | Duplication Activation  9.3.1.36 | Information on the initial state of DC basedUL PDCP duplication | YES | reject |
| >>DL PDCP SN length | M |  | ENUMERATED (12bits, 18bits, ...) |  | YES | ignore |
| >>UL PDCP SN length | O |  | ENUMERATED (12bits, 18bits, ...) |  | YES | ignore |
| Inactivity Monitoring Request | O |  | ENUMERATED (true, ...) |  | YES | reject |
| RAT-Frequency Priority Information | O |  | 9.3.1.34 |  | YES | reject |
| RRC-Container | O |  | 9.3.1.6 | Includes the *DL-DCCH-Message* IE as defined in subclause 6.2 of TS 38.331 [8], encapsulated in a PDCP PDU. | YES | ignore |
| Masked IMEISV | O |  | 9.3.1.55 |  | YES | ignore |
| Serving PLMN | O |  | PLMN ID  9.3.1.14 | Indicates the PLMN serving the UE. | YES | ignore |
| gNB-DU UE Aggregate Maximum Bit Rate Uplink | C-ifDRBSetup |  | Bit Rate 9.3.1.22 | The gNB-DU UE Aggregate Maximum Bit Rate Uplink is to be enforced by the gNB-DU. | YES | ignore |
| RRC Delivery Status Request | O |  | ENUMERATED (true, …) | Indicates whether RRC DELIVERY REPORT procedure is requested for the RRC message. | YES | ignore |
| Resource Coordination Transfer Information | O |  | 9.3.1.73 |  | YES | ignore |
| servingCellMO | O |  | INTEGER (1..64, ...) |  | YES | ignore |
| New gNB-CU UE F1AP ID | O |  | gNB-CU UE F1AP ID  9.3.1.4 |  | YES | reject |
| RAN UE ID | O |  | OCTET STRING (SIZE (8)) |  | YES | ignore |
| Trace Activation | O |  | 9.3.1.88 |  | YES | ignore |
| Additional RRM Policy Index | O |  | 9.3.1.90 |  | YES | ignore |
| Serving NID (FFS) | O |  | 9.3.1.x1 |  | YES | reject |

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| --- | --- |
| Range bound | Explanation |
| maxnoofSCells | Maximum no. of SCells allowed towards one UE, the maximum value is 32. |
| maxnoofSRBs | Maximum no. of SRB allowed towards one UE, the maximum value is 8. |
| maxnoofDRBs | Maximum no. of DRB allowed towards one UE, the maximum value is 64. |
| maxnoofULUPTNLInformation | Maximum no. of ULUP TNL Information allowed towards one DRB, the maximum value is 2. |
| maxnoofCandidateSpCells | Maximum no. of SpCells allowed towards one UE, the maximum value is 64. |
| maxnoofQoSFlows | Maximum no. of flows allowed to be mapped to one DRB, the maximum value is 64. |

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| Condition | Explanation |
| ifDRBSetup | This IE shall be present only if the *DRB to Be Setup List* IE is present. |

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#### 9.3.1.10 Served Cell Information

This IE contains cell configuration information of a cell in the gNB-DU.

Editor’s Note: The exact location of the introduced IE “NPN Support Information” needs to be further discussed.

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| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| NR CGI | M |  | 9.3.1.12 |  | - |  |
| NR PCI | M |  | INTEGER (0..1007) | Physical Cell ID | - |  |
| 5GS TAC | O |  | 9.3.1.29 | 5GS Tracking Area Code | - |  |
| Configured EPS TAC | O |  | 9.3.1.29a |  | - |  |
| **Served PLMNs** |  | *1..<maxnoofBPLMNs>* |  | Broadcast PLMNs | - |  |
| >PLMN Identity | M |  | 9.3.1.14 |  | - |  |
| >NPN Support Information | O |  | 9.3.1.x2 | Supported NPNs per PLMN. | - |  |
| >TAI Slice Support List | O |  | Slice Support List  9.3.1.37 | Supported S-NSSAIs per TA. | YES | ignore |
| CHOICE *NR-Mode-Info* | M |  |  |  | - |  |
| *>FDD* |  |  |  |  | - |  |
| **>>FDD Info** |  | *1* |  |  | - |  |
| >>>UL FreqInfo | M |  | NR Frequency Info  9.3.1.17 |  | - |  |
| >>>DL FreqInfo | M |  | NR Frequency Info  9.3.1.17 |  | - |  |
| >>>UL Transmission Bandwidth | M |  | Transmission Bandwidth  9.3.1.15 |  | - |  |
| >>>DL Transmission Bandwidth | M |  | Transmission Bandwidth  9.3.1.15 |  | - |  |
| *>TDD* |  |  |  |  | - |  |
| **>>TDD Info** |  | *1* |  |  | - |  |
| >>> NR FreqInfo | M |  | NR Frequency Info  9.3.1.17 |  | - |  |
| >>> Transmission Bandwidth | M |  | Transmission Bandwidth  9.3.1.15 |  | - |  |
| >>>Intended TDD DL-UL Configuration | O |  | 9.3.1.89 |  | - |  |
| Measurement Timing Configuration | M |  | OCTET STRING | Contains the *MeasurementTimingConfiguration* inter-node message defined in TS 38.331 [8]. | - |  |
| RANAC | O |  | RAN Area Code  9.3.1.57 |  | YES | ignore |
| **Extended Served PLMNs List** |  | *0..1* |  | This is included if more than 6 Served PLMNs is to be signalled. | YES | ignore |
| **>Extended Served PLMNs Item** |  | *1 ..<maxnoofExtendedBPLMNs>* |  |  | - |  |
| >>PLMN Identity | M |  | 9.3.1.14 |  | - |  |
| >>NPN Support Information | O |  | 9.3.1.x2 | Supported NPNs per PLMN. | - |  |
| >>TAI Slice Support List | O |  | Slice Support List  9.3.1.37 | Supported S-NSSAIs per TA. | - |  |
| Cell Direction | O |  | 9.3.1.78 |  | YES | ignore |
| Cell Type | O |  | 9.3.1.87 |  | YES | ignore |
| **Broadcast PLMN Identity Info List** |  | *0..<maxnoofBPLMNsNR-1>* |  | This IE corresponds to the *PLMN-IdentityInfoList* IE in *SIB1* as specified in TS 38.331 [8]. The PLMN Identities and associated information contained in this IE is provided in the same order as broadcast in SIB1. | YES | ignore |
| >PLMN Identity List | M |  | Available PLMN List  9.3.1.65 |  | - |  |
| >Extended PLMN Identity List | O |  | Extended Available PLMN List  9.3.1.76 |  | - |  |
| >5GS-TAC | O |  | OCTET STRING (3) |  | - |  |
| >NR Cell Identity | M |  | BIT STRING (36) |  | - |  |
| >RANAC | O |  | RAN Area Code  9.3.1.57 |  | - |  |
| Aggressor gNB Set ID | O |  | 9.3.1.93 | This IE indicates the associated aggressor gNB Set ID of the cell | YES | ignore |
| Victim gNB Set ID | O |  | 9.3.1.93 | This IE indicates the associated Victim gNB Set ID of the cell | YES | ignore |

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| **Range bound** | **Explanation** |
| maxnoofBPLMNs | Maximum no. of Broadcast PLMN Ids. Value is 6. |
| maxnoofExtendedBPLMNs | Maximum no. of Extended Broadcast PLMN Ids. Value is 6. |
| maxnoofBPLMNsNR-1 | Maximum no. of PLMN Ids.broadcast in an NR cell minus 1. Value is 11. |

<Unchanged Text Omitted>

#### 9.3.1.x1 NID

This IE is used to identify (together with a PLMN identifier) a Stand-alone Non-Public Network. The NID is specified in TS 23.003 [22].

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| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| NID | M |  | OCTET STRING (SIZE(7)) | Editor’s Note: Coding and semantics are FFS. |

#### 9.3.1.x2 NPN Support Information

This IE contains NPN related broadcast information.

Editor’s Note: PNI-NPN aspects need to be further discussed.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| CHOICE *NPN Support Information* | M |  |  |  |
| *>SNPN* |  |  |  |  |
| >>NID Support List |  | *1..<maxnoofNIDsupported>* |  |  |
| >>>NID | M |  | 9.3.1.x1 |  |

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| --- | --- |
| Range bound | Explanation |
| *maxnoofNIDsupported* | Maximum no. of NIDs broadcast in a cell. Value is 12. |

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