3GPP TSG-RAN WG3 Meeting #107-e R3-201133

E-meeting, 24 February – 6 March, 2020

**Agenda item: 10.2.3.2**

**Source: Nokia, Nokia Shanghai Bell**

**Title: Summary of offline discussion for CB: # 30\_Email030-Config\_conflicts\_RACHopt**

**Document for: Discussion**

# 1 Introduction

This document lists proposals relative to configuration conflict detection and resolution submitted to RAN3#107-e. The proposals are submitted mainly under AI 10.2.3.2 and some under AI 10.2.3.1. This document captures outcome of associated discussions.

**CB: # 30\_Email030-Config\_conflicts\_RACHopt**

**- should focus on a) UE RACH report between two neighbor nodes and b) related/assistant information transfer between CU and DU; may consider proposals from papers in 10.2.3.1**

**- note LS (0080); take into account RAN1 agreements**

**- RACH failure rate is calculated at gNB-DU, based on UE RACH Reports sent from the gNB-CU to the gNB-DU? (Nok)**

**- Procedure to use to exchange PRACH parameters over Xn? Xn setup req/resp. / NG-RAN node config update? Further details? (HW, CMCC)**

**- Procedure to use to exchange PRACH parameters over F1? F1 setup resp. / gNB-CU config update? (CU->DU)? Further details? (HW, CMCC)**

**- perform RACH configuration conflict resolution at gNB-DU, by providing a limited and filtered set of assistance information from gNB-CU, if required, and allowing the gNB-DU to request for further assistance information, if needed? (E///)**

**- common subset of proposals**

**- split work; revise/merge if needed; check details**

(Nok)

Hopefully the outcome would be to find an agreeable working solution. TPs (stage 2, stage 3) to be determined during the discussion.

For reference agreements from RAN3#106:

1. **RACH configuration conflict detection and resolution function is located at the gNB-DU; details on assistance info exchanged between CU and DU are FFS**
2. **gNB-DU needs to know the PRACH configuration of some or all cells neighbors to a cell subject to RACH configuration conflict, in order to effectively chose a new PRACH configuration for the cell in conflict**
3. **Signaling of UE RACH Reports to the gNB-DU is needed**

# 2 Transfer of UE RACH report between two neighbour nodes

**- Procedure to use to exchange PRACH parameters over Xn? Xn setup req/resp. / NG-RAN node config update? Further details? (HW, CMCC)**

**Comments / preferences:**

Company 1

Company 2

**- Procedure to use to exchange PRACH parameters over F1? F1 setup resp. / gNB-CU config update? (CU->DU)? Further details? (HW, CMCC)**

**Comments / preferences:**

# 3 Assistance information for conflict detection

Proposal to handle assistance information discussion here, copying from #29 and #30:

**- how to detect the conflict; may consider proposals from papers in 10.2.3.2**

**- RACH failure rate is calculated at gNB-DU, based on UE RACH Reports sent from the gNB-CU to the gNB-DU? (Nok)**

**- perform RACH configuration conflict resolution at gNB-DU, by providing a limited and filtered set of assistance information from gNB-CU, if required, and allowing the gNB-DU to request for further assistance information, if needed? (E///)**

***Proposals/observations copied from tdocs:***

**0492 (HW):** Proposal 6: It is proposed RAN3 to discuss whether a RACH event notification from DU to CU is needed to trigger the UE RACH report acquisition procedure over Uu interface.

**Comments:**

**ZTE, RACH event notification is needed**

**As we propose in our contribution [R3-200625]**

**Proposal 1: RACH OPTIMIZATION mechanism in split architecture include:**

**When a new DU setup , during F1 setup procedure, gNB-DU provides RO configuration to its gNB-CU. gNB-CU may provide neighbour RACH configuration per cell to gNB-DU.**

**When a cell in one DU set to active, gNB-DU sends *GNB-DU CONFIGURATION UPDATE* message to gNB-CU, includes RACH configuration of the cell in *Served Cells To Add List* IE. gNB-CU may provide neighbour RACH configuration of the cell to gNB-DU in *GNB-DU CONFIGURATION UPDATE* *ACKNOWLEDGE* message.**

**When RACH conflict happen or resource utilization degraded is identified, the gNB-CU sends *GNB-DU CONFIGURATION UPDATE* message, includes RACH configuration as an indication in *Served Cells To Modify List* IE. The gNB-CU shall, if available, provides RO assistant information including UE REPORT and RACH configuration of neighbor.**

**0385 (Nokia):** Observation 1: Even though RACH report information at the gNB-DU is useful, mere forwarding of RACH reports from gNB-CU to gNB-DU may not always contain useful information unless they involve cells hosted by the receiving gNB-DU. Observation 2: RACH failure rate information involving neighboring gNB-DUs, sent from gNB-CU to gNB-DU provides statistical information on the outcome of RACH accesses on cells not managed by the receiving gNB-DU. + proposals 1-6 + F1AP TP in 0386 + XnAP TP in 0387.

**Comments:**

Huawei: we are not sure how RACH failure rate is calculated by the DU? Considering that some RACH failure may not be detected by the DU. And the failure RACH report from the UE is reported later when UE is in RRC connected which seems far from the time when the failure should be counted at the DU.

And how would RACH failure rate used in RACH optimisation?

ZTE: share the same concern with Huawei. RACH failure rate in one gNB may not directly relate to only one neighbor. Provide the information may need further clarification.

**0960 (E///):** Observation 1: RACH Configuration conflict detection at gNB-CU by comparing cell’s RACH configuration and by determining cell’s neighbourhood, is subject to errors due to UL/DL coverage imbalance and lack of beams overlap from a time/space point of view. This may result in unnecessary conflict detections. Observation 2: If the gNB-CU has to decide independently about the PRACH configurations of neighbour cells to send to a gNB-DU, it is very likely that a high number of such configurations would be signalled to the gNB-DU. Observation 3: Assistance information from gNB-CU including PRACH configuration information needs to be filtered and limited due to the need to reduce amount of data signaled towards a gNB-DU. Proposal: It is proposed to perform RACH configuration conflict resolution at gNB-DU, by providing a limited and filtered set of assistance information from gNB-CU, if required, and allowing the gNB-DU to request for further assistance information, if needed. + TP in 0961.

**Comments:**

Huawei: firstly, we agree that the PRACH configuration conflict resolution should be at DU.

We are so sure how the CU will filter the neighbour cells’ pRACH configs? This method have two drawbacks :

1. Downgrade the RACH algorithm performance in the DU, because the DU has to wait at least a RTT F1 delay to get the neighbour cell’s PRACH cofing.
2. Deadlock on retrying to require more PRACH configurations from the CU. How many times that the DU will retry if the PRACH configurations received in previous request cannot solve the PRACH conflict in the DU?

Therefore, a safe way is to send all the neighbour cell’s PRACH config to the DU in one time.

ZTE: In general ,we support the mechanism as Ericsson propose. Considering CU may server more than 10000 cells , the RO assistant solution in split architecture reduce the performance burden introduced by RO function.

**0591 (CMCC, Huawei):** TP to TS 38.300 on RACH optimization.

**Comments:**

**0590 (CMCC, Huawei):** TP to TS 38.401 on RACH optimization for split gNB: “In case of split gNB architecture, RACH configuration conflict detection and resolution function is located at the gNB-DU. To perform RACH optimisation at gNB-DU, gNB-CU sends the RACH report reported by the UE to gNB-DU via F1AP signalling. The gNB-DU signals the PRACH configuration per-cell to gNB-CU. The gNB-CU may forward the neighbour cell’s PRACH configurations receiving from neighbour gNB-CU to the gNB-DU to resolve the configuration conflict.”

**Comments:**

# 4 Conclusion

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