**3GPP TSG-RAN Meeting #118-e**

**Electronic Meeting, 9 - 20 May 2022**

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| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
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|  | **38.306** | **CR** |  | **rev** | **1** | **Current version:** | **15.16.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:***  | Clarification on simultaneous Rx/Tx capability per band pair |
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| ***Source to WG:*** | NTT DOCOMO, INC. |
| ***Source to TSG:*** | R2 |
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| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2022-05-13 |
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| ***Category:*** | **F** |  | ***Release:*** | Rel-15 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
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| ***Reason for change:*** | (1) In RAN2 #116-e, the simultaneous Rx/Tx capability signalling with per-band-pair granularity was introduced. In response to it, RAN4 discussed when the capability should be mandatory and the following agreements were made, which were captured in Rel-15 TS 38.101 series (R4-2206610, R4-2206616)*Agreement:** *Proposal 1: For inter-band EN-DC, NE-DC, NR CA, NR DC and SUL configurations,*
	+ *If mandatory simultaneous RxTx capability apply for a band configuration, mandatory simultaneous RxTx capability also apply for the band pair of the configuration when the applicable configuration is a subset of a higher order band configuration.*
* *Proposal 2: Clarification in Proposal 1 should apply from Rel-15 TS 38.101 series.*

This CR is to clarify the condition also in 38.306.(2) Simultaneous Rx/Tx capability is not applicable to certain band combinations or band pairs. Per-BC capability signalling should be used if the UE supports the capability for all applicable band pairs (but does not support for, e.g. intra-band band pairs). |
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| ***Summary of change:*** | (1) The following description is added to *simultaneousRxTxInterBandCAPerBandPair*, *simultaneousRxTxSULPerBandPair*, and *simultaneousRxTxInterBandENDCPerBandPair*. Clarify that the fields are conditionally mandatory.*It is mandatory for certain band pairs as specified in <reference to 38.101-x series>.*(2) The use of per-BC capability is clarified to consider the applicability of the capability for the band pairs.**Impact Analysis**Impacted 5G architecture options:NR SA, NR-DC, (NG)EN-DC, NE-DCImpacted functionality:UE radio capabilityInter-operability:1. If the UE is implemented according to the CR and the NW is not, there is no inter-operability issue. If the UE does not support simultaneous Rx/Tx for a band pair where the capability is mandated, the UE indicates that using the per-BC/per-band-pair capability signalling and the network can avoid simultaneous Rx/Tx.
2. If the network is implemented according to the CR and the UE is not, the network can use the per-BC/per-band-pair capability to avoid simultaneous Rx/Tx for the band pair for which the UE does not support it.
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| ***Consequences if not approved:*** | TS 38.306 remains unclear on the applicability of mandatory simultaneous Rx/Tx capability. |
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| ***Clauses affected:*** | 4.2.7.4, 4.2.7.9 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

<Unchaged sections are omitted>

#### 4.2.7.4 *CA-ParametersNR*

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***csi-RS-IM-ReceptionForFeedbackPerBandComb***Indicates support of CSI-RS and CSI-IM reception for CSI feedback. This capability signalling comprises the following parameters:- *maxNumberSimultaneousNZP-CSI-RS-ActBWP-AllCC* indicates the maximum number of simultaneous CSI-RS resources (irrespective of the associated codebook type) in active BWPs across all CCs, and across MCG and SCG in case of NR-DC. The network applies this limit in addition to the limits signalled in *MIMO-ParametersPerBand-> maxNumberSimultaneousNZP-CSI-RS-PerCC* and in *Phy-ParametersFRX-Diff-> maxNumberSimultaneousNZP-CSI-RS-PerCC*;- *totalNumberPortsSimultaneousNZP-CSI-RS-ActBWP-AllCC* indicates the total number of CSI-RS ports in simultaneous CSI-RS resources (irrespective of the associated codebook type) in active BWPs across all CCs, and across MCG and SCG in case of NR-DC. The network applies this limit in addition to the limits signalled in *MIMO-ParametersPerBand-> totalNumberPortsSimultaneousNZP-CSI-RS-PerCC* and in *Phy-ParametersFRX-Diff-> totalNumberPortsSimultaneousNZP-CSI-RS-PerCC*.The UE is mandated to report *csi-RS-IM-ReceptionForFeedbackPerBandComb*. | BC | Yes | N/A | N/A |
| ***diffNumerologyAcrossPUCCH-Group***Indicates whether different numerology across two NR PUCCH groups for data and control channel at a given time in NR CA and (NG)EN-DC/NE-DC is supported by the UE. | BC | No | N/A | N/A |
| ***diffNumerologyWithinPUCCH-GroupLargerSCS***Indicates whether UE supports different numerology across carriers within a PUCCH group and a same numerology between DL and UL per carrier for data/control channel at a given time in NR CA, (NG)EN-DC/NE-DC and NR-DC.In case of NR CA and (NG)EN-DC/NE-DC with one NR PUCCH group and in case of NR CA with two NR PUCCH groups, it also indicates whether the UE supports different numerologies across NR carriers within the same NR PUCCH group up to two different numerologies within the same NR PUCCH group, wherein NR PUCCH is sent on the carrier with larger SCS for data and control channel at a given time.In case of (NG)EN-DC/NE-DC with two NR PUCCH groups, it indicates whether the UE supports different numerologies across NR carriers up to two different numerologies within an NR PUCCH group in FR1, wherein NR PUCCH is sent on the carrier with larger SCS, and same numerology across NR carriers within another NR PUCCH group in FR2 for data and control channel at a given time.In case of NR-DC, it indicates whether the UE supports different numerologies across NR carriers within the same NR PUCCH group in MCG (in FR1) up to two different numerologies within the same NR PUCCH group wherein NR PUCCH is sent on the carrier with larger SCS for data/control channel at a given time; and same numerology across NR carriers in SCG (in FR2). | BC | No | N/A | N/A |
| ***diffNumerologyWithinPUCCH-GroupSmallerSCS***Indicates whether UE supports different numerology across carriers within a PUCCH group and a same numerology between DL and UL per carrier for data/control channel at a given time in NR CA, (NG)EN-DC/NE-DC and NR-DC.In case of NR CA and (NG)EN-DC/NE-DC with one NR PUCCH group and in case of NR CA with two NR PUCCH groups, it also indicates whether the UE supports different numerologies across NR carriers within the same NR PUCCH group up to two different numerologies within the same NR PUCCH group, wherein NR PUCCH is sent on the carrier with smaller SCS for data and control channel at a given time.In case of (NG)EN-DC/NE-DC with two NR PUCCH groups, it indicates whether the UE supports different numerologies across NR carriers up to two different numerologies within an NR PUCCH group in FR1, wherein NR PUCCH is sent on the carrier with smaller SCS, and same numerology across NR carriers within another NR PUCCH group in FR2 for data and control channel at a given time.In case of NR-DC, it indicates whether the UE supports different numerologies across NR carriers within the same NR PUCCH group in MCG (in FR1) up to two different numerologies within the same NR PUCCH group wherein NR PUCCH is sent on the carrier with smaller SCS for data/control channel at a given time; and same numerology across NR carriers in SCG (in FR2). | BC | No | N/A | N/A |
| ***dualPA-Architecture***For band combinations with single-band with UL CA, this field indicates the support of dual PA. If absent in such band combinations, the UE supports single PA for all the ULs. For other band combinations, this field is not applicable. | BC | No | N/A | N/A |
| ***parallelTxSRS-PUCCH-PUSCH***Indicates whether the UE supports parallel transmission of SRS and PUCCH/ PUSCH across CCs in an inter-band CA band combination. | BC | No | N/A | N/A |
| ***parallelTxPRACH-SRS-PUCCH-PUSCH***Indicates whether the UE supports parallel transmission of PRACH and SRS/PUCCH/PUSCH across CCs in an inter-band CA band combination. | BC | No | N/A | N/A |
| ***simultaneousCSI-ReportsAllCC***Indicates whether the UE supports CSI report framework and the number of CSI report(s) which the UE can simultaneously process across all CCs, and across MCG and SCG in case of NR-DC. The CSI report comprises periodic, semi-persistent and aperiodic CSI and any latency classes and codebook types. The CSI report in *simultaneousCSI-ReportsAllCC* includes the beam report and CSI report. This parameter may further limit *simultaneousCSI-ReportsPerCC* in *MIMO-ParametersPerBand* and *Phy-ParametersFRX-Diff* for each band in a given band combination. | BC | Yes | N/A | N/A |
| ***simultaneousRxTxInterBandCA***Indicates whether the UE supports simultaneous transmission and reception in TDD-TDD and TDD-FDD inter-band NR CA. If this field is included in *ca-ParametersNR-ForDC*, it indicates the UE supports simultaneous transmission and reception between any UL/DL band pair within a cell group and across MCG and SCG in TDD-TDD and TDD-FDD inter-band NR-DC. It is mandatory for certain TDD-FDD and TDD-TDD band combinations defined in TS 38.101-1 [2], TS 38.101-2 [3] and TS 38.101-3 [4]. | BC | CY | N/A | N/A |
| ***simultaneousRxTxInterBandCAPerBandPair***Indicates whether the UE supports simultaneous transmission and reception in TDD-TDD and TDD-FDD inter-band NR CA for each band pair in the band combination.Encoded as a bitmap with size L \* (L – 1) / 2, and bit N (leftmost bit is indexed as bit 0) is set to "1" if the UE supports simultaneous transmission and reception for band pair (x, y), where L is the number of band entries in the band combination, x and y are the indices of the band entry in the band combination (the first band entry is indexed as 0), x < y, and N = x\*(2\*L – x – 1)/2 + y – x – 1.If this field is included in *ca-ParametersNR-ForDC*, each bit of this field indicates whether the UE supports simultaneous transmission and reception between each band pair, within a cell group and across MCG and SCG in TDD-TDD and TDD-FDD inter-band NR-DC.The UE does not include this field if the UE supports simultaneous transmission and reception for all applicable band pairs in the band combination (in which case *simultaneousRxTxInterBandCA* is included) or does not support for any band pair in the band combination. It is mandatory for certain band pairs as specified in 38.101-1 [2], 38.101-2 [3] and 38.101-3 [4]. The UE shall consistently set the bits which correspond to the same band pair. | BC | CY | N/A | N/A |
| ***simultaneousRxTxSUL***Indicates whether the UE supports simultaneous reception and transmission for a NR band combination including SUL. Mandatory/Optional support depends on band combination and captured in TS 38.101-1 [2]. | BC | CY | N/A | N/A |
| ***simultaneousRxTxSULPerBandPair***Indicates whether the UE supports simultaneous reception and transmission for a NR band combination including SUL for each band pair in the band combination.Encoded in the same manner as *simultaneousRxTxInterBandCAPerBandPair*.The UE does not include this field if the UE supports simultaneous transmission and reception for all applicable band pairs in the band combination (in which case *simultaneousRxTxSUL* is included) or does not support for any band pair in the band combination. It is mandatory for certain band pairs as specified in 38.101-1 [2]. The UE shall consistently set the bits which correspond to the same band pair. | BC | CY | N/A | N/A |
| ***simultaneousSRS-AssocCSI-RS-AllCC***Indicates support of CSI-RS processing framework for SRS and the number of SRS resources that the UE can process simultaneously across all CCs, and across MCG and SCG in case of NR-DC, including periodic, aperiodic and semi-persistent SRS. This parameter may further limit *simultaneousSRS-AssocCSI-RS-PerCC* in *MIMO-ParametersPerBand* and *Phy-ParametersFRX-Diff* for each band in a given band combination. | BC | No | N/A | N/A |
| ***supportedNumberTAG***Defines the number of timing advance groups supported by the UE. It is applied to NR CA, NR-DC and (NG)EN-DC/NE-DC. For (NG)EN-DC/NE-DC, it indicates number of TAGs only for NR CG. The number of TAGs for the LTE MCG is signalled by existing LTE TAG capability signalling. For NR CA/NR-DC band combination, if the band combination comprised of more than one band entry (i.e., inter-band or intra-band non-contiguous band combination), it indicates that different timing advances on different band entries are supported. If absent, the UE supports only one TAG for the NR part. It is mandatory for the UE to support more than one TAG for NR-DC. For the mixed inter-band and intra-band NR CA/NR-DC band combination, if the network configures more non-contiguous UL serving cells than the number of supported TAG, the UE only supports the configuration where all UL CCs of the same frequency band are configured with the same Timing Advance Group ID. | BC | CY | N/A | N/A |

<Next Change>

#### 4.2.7.9 *MRDC-Parameters*

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
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| ***asyncIntraBandENDC***Indicates whether the UE supports asynchronous FDD-FDD intra-band (NG)EN-DC with MRTD and MTTD as specified in clause 7.5 and 7.6 of TS 38.133 [5]. If asynchronous FDD-FDD intra-band (NG)EN-DC is not supported, the UE supports only synchronous FDD-FDD intra-band (NG)EN-DC.This capability applies to:- Intra-band (NG)EN-DC combination without additional inter-band NR and LTE CA component;- Intra-band (NG)EN-DC combination supporting both UL and DL intra-band (NG)EN-DC parts with additional inter-band NR/LTE CA component;- Intra-band (NG)EN-DC combination without supporting UL in both the bands of the intra-band (NG)EN-DC UL part;- Inter-band (NG)EN-DC combination, where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]).If this capability is included in an "Intra-band (NG)EN-DC combination supporting both UL and DL intra-band (NG)EN-DC parts with additional inter-band NR/LTE CA component" or in an "Intra-band (NG)EN-DC combination without supporting UL in both the bands of the intra-band (NG)EN-DC UL part", this capability applies to the intra-band (NG)EN-DC BC part. | BC | No | FDD only | FR1 only |
| ***dualPA-Architecture***For an intra-band band combination, this field indicates the support of dual PAs. If absent in an intra-band band combination, the UE supports single PA for all the ULs in the intra-band band combination. For other band combinations, this field is not applicable.This capability applies to:- Intra-band (NG)EN-DC/NE-DC combination without additional inter-band NR and LTE CA component;- Intra-band (NG)EN-DC/NE-DC combination supporting both UL and DL intra-band (NG)EN-DC/NE-DC parts with additional inter-band NR/LTE CA component;- Inter-band (NG)EN-DC/NE-DC combination, where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]).If this capability is included in an "Intra-band (NG)EN-DC/NE-DC combination supporting both UL and DL intra-band (NG)EN-DC/NE-DC parts with additional inter-band NR/LTE CA component", this capability applies to the intra-band (NG)EN-DC/NE-DC BC part. | BC | No | N/A | N/A |
| ***dynamicPowerSharingENDC***Indicates whether the UE supports dynamic (NG)EN-DC power sharing between NR FR1 carriers and the LTE carriers. If the UE supports this capability the UE supports the dynamic power sharing behaviour as specified in clause 7 of TS 38.213 [11]. | BC | Yes | N/A | FR1 only |
| ***dynamicPowerSharingNEDC***Indicates whether the UE supports dynamic NE-DC power sharing between NR FR1 carriers and the LTE carriers. If the UE supports this capability, the UE supports the dynamic power sharing behavior as specified in clause 7 of TS 38.213 [11]. | BC | Yes | N/A | FR1 only |
| ***intraBandENDC-Support***Indicates whether the UE supports intra-band (NG)EN-DC with only non-contiguous spectrum, or with both contiguous and non-contiguous spectrum for the (NG)EN-DC combination as specified in TS 38.101-3 [4].If the UE does not include this field for an intra-band (NG)EN-DC combination the UE only supports the contiguous spectrum for the intra-band (NG)EN-DC combination. | BC | No | N/A | N/A |
| ***interBandContiguousMRDC***Indicates for an inter-band (NG)EN-DC/NE-DC combination, where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]), that the UE supports intra-band contiguous (NG)EN-DC/NE-DC requirements (see TS 38.101-3 [4]). If the field is absent for such an inter-band (NG)EN-DC/NE-DC combination, the UE supports intra-band non-contiguous (NG)EN-DC/NE-DC requirements. | BC | CY | N/A | N/A |
| ***simultaneousRxTxInterBandENDC***Indicates whether the UE supports simultaneous transmission and reception in TDD-TDD and TDD-FDD inter-band (NG)EN-DC/NE-DC. It is mandatory for certain TDD-FDD and TDD-TDD band combinations defined in TS 38.101-3 [4].This capability applies to:- TDD-TDD and TDD-FDD Intra-band (NG)EN-DC/NE-DC combination supporting both UL and DL intra-band (NG)EN-DC/NE-DC parts with additional inter-band NR/LTE CA component;- TDD-TDD and TDD-FDD Intra-band (NG)EN-DC/NE-DC combination without supporting UL in both the bands of the intra-band (NG)EN-DC/NE-DC UL part;- TDD-TDD and TDD-FDD Inter-band (NG)EN-DC/NE-DC combination without Intra-band component.This capability is not applicable to the inter-band (NG)EN-DC/NE-DC combination, where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]). | BC | CY | N/A | N/A |
| ***simultaneousRxTxInterBandENDCPerBandPair***Indicates whether the UE supports simultaneous transmission and reception in TDD-TDD and TDD-FDD inter-band (NG)EN-DC/NE-DC for each band pair in the band combination.Encoded in the same manner as *simultaneousRxTxInterBandCAPerBandPair*.The UE does not include this field if the UE supports simultaneous transmission and reception for all applicable band pairs in the band combination (in which case *simultaneousRxTxInterBandENDC* is included) or does not support for any band pair in the band combination. It is mandatory for certain band pairs as specified in 38.101-3 [2]. The UE shall consistently set the bits which correspond to the same band pair.Each bit of the capability only applies to TDD-TDD and TDD-FDD Inter-band (NG)EN-DC/NE-DC band pairs, except for the band pairs where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]). | BC | CY | N/A | N/A |
| ***singleUL-Transmission***Indicates that the UE does not support simultaneous UL transmissions as defined in TS 38.101-3 [4]. The UE may only include this field for certain band combinations defined in TS 38.101-3 [4]. If included for a particular band combination, the field applies to all fallback band combinations of this band combination that are defined in TS 38.101-3 [4] as being allowed to include this field and does not apply to any other fallback band combinations defined in TS 38.101-3 [4].The UE shall include this field for band combinations containing a band pair for which single UL transmission is the only specified operation mode in TS 38.101-3 [4] and if the UE supports UL on both bands. Otherwise, this feature is optional. | BC | FD | N/A | N/A |
| ***spCellPlacement***Indicates whether the UE supports a SpCell on FR1-FDD, FR1-TDD and/or FR2-TDD depending on which additional SCells of other frequency range(s) / duplex mode(s) are configured. It is applicable to SCG of (NG)EN-DC and MCG of NE-DC, where UL is configured on more than one of FR1-FDD, FR1-TDD and FR2-TDD in a cell group. If not included, the UE supports SpCell on any serving cell with UL in supported band combinations. | UE | No | N/A | N/A |
| ***tdm-Pattern***Indicates whether the UE supports the *tdm-PatternConfig* for *single UL-transmission* associated functionality, as specified in TS 36.331 [17]. Support is conditionally mandatory in (NG)EN-DC for UEs that do not support dynamicPowerSharingENDC and for UEs that indicate single UL transmission for any (NG)EN-DC BC. Support is conditionally mandatory in NE-DC for UEs that do not support dynamicPowerSharingNEDC and for UEs that indicate single UL transmission for any NE-DC BC. The feature is optional otherwise. | BC | CY | N/A | FR1 only |
| ***ul-SharingEUTRA-NR***Indicates whether the UE supports (NG)EN-DC/NE-DC with EUTRA-NR coexistence in UL sharing via TDM only, FDM only, or both TDM and FDM from UE perspective as specified in TS 38.101-3 [4]. | BC | No | N/A | FR1 only |
| ***ul-SwitchingTimeEUTRA-NR***Indicates support of switching type between LTE UL and NR UL for (NG)EN-DC/NE-DC with LTE-NR coexistence in UL sharing from UE perspective as defined in clause 6.3B of TS 38.101-3 [4]. It is mandatory to report switching time type 1 or type 2 if UE reports *ul-SharingEUTRA-NR* is *tdm* or *both*. | BC | CY | N/A | FR1 only |
| ***ul-TimingAlignmentEUTRA-NR***Indicates whether to apply the same UL timing between NR and LTE for dynamic power sharing capable UE operating in a synchronous intra-band contiguous (NG)EN-DC. If this field is absent, UE shall be capable of handling a timing difference up to applicable MTTD requirements when operating in a synchronous intra-band contiguous (NG)EN-DC network, as specified in TS 38.133 [5].This capability applies to:- Intra-band contiguous (NG)EN-DC combination without additional inter-band NR and LTE CA component;- Intra-band contiguous (NG)EN-DC combination supporting both UL and DL intra-band (NG)EN-DC parts with additional inter-band NR/LTE CA component;- Inter-band (NG)EN-DC combination, where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]).If this capability is included in an "Intra-band contiguous (NG)EN-DC combination supporting both UL and DL intra-band (NG)EN-DC parts with additional inter-band NR/LTE CA component", this capability applies to the intra-band (NG)EN-DC BC part. | BC | No | N/A | N/A |

<Unchaged sections are omitted>