**3GPP TSG-RAN2 Meeting # 117-e *R2-22xxxxx***

**Online, 22 Feb – 3 Mar 2022**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **2922** | **rev** | **1** | **Current version:** | **16.7.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | NR RRC CR for introducing R17 MDT | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_ENDC\_SON\_MDT\_enh-Core | | | | |  | ***Date:*** | | | 2022-03-01 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Intorduction of R17 MDT for NR. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | R17 MDT for NR includes the following features:  **(1) Immediate MDT with IDC**  RAN2#116-e agreements:  4 The same as LTE, reporting of immediate MDT results won’t be impact by IDC.  5 No enhancement is needed in RAN2 signalling to support IDC tagging in immediate MDT results.  [Rapp’s comment] Should be no impacts to TS 38.331.  **(2) Logged MDT with IDC**  RAN2#112-e agreements:  1 NR MDT support IDC mechanism, including:  - upon detection of IDC, the UE suppress logging and tag MDT report with InDeviceCoexDetected flag.  - UE resumes the measurement logging when the IDC problem is resolved  RAN2#111-e agreements:  => The coexistence issue between IDC and MDT feature is identified and the legacy mechanism defined in LTE spec is the baseline. FFS on potential enhancements.  [Rapp’s comment] The agreements above have been implemented in this CR.  **(3) Immediate MDT with M6/D1 measurements**  RAN2#117-e agreements:  2: For excess delay configuration in NR-DC, Node owning the PDCP terminating point configures the UE  • Similar to the solution for D1 configuration, for all the bearers, the CU-CP of the node owning the PDCP terminating point configures the UE with excess delay measurement configuration. To enable this solution UE is allowed to be configured with at most one excess delay measurement per PDCP, which follows the D1 measurement for NR DC.  3: D1 delay ratio measurement results should include DRB id and excessDelay info, and they can be included in the IE MeasResults.  4: For D1 delay threshold values, at least the following values can be included:  250us, 0.5ms, 1ms, 2ms, 4ms, 10ms, 20ms, 50ms, 100ms, 500ms (10 values)  1: For UL PDCP Excess Packet Delay (related to section 4.3.1.e in TS 38.314 CR), network should be able to configure different delay threshold for different DRBs.  RAN2#116b-e agreements:  1 RAN2 agree on the following definition for excess packet delay for NR: It represents the ratio of packets in UL per DRB exceeding the configured delay threshold among the UL PDCP SDUs received. The delay for each packet is calculated from packet arrival at PDCP upper SAP until the UL grant to transmit the packet is available, which has included the delay the UE gets resources granted (from sending SR/RACH to get the first grant).  2 Excess packet delay for NR is measured per DRB.  3 The delayThreshold in TS 38.331 is re-designed with the granularity of 0.25ms, 0.5ms, 1ms. Other larger values FFS.  7 At most one measurement identity for D1 measurements is allowed per the node hosting PDCP entity.  [Rapp’s comment] The agreements above have been implemented in this CR. For D1 delay threshold values, the proposed values in R2-2203331 have been incorporated.  RAN2#116-e agreements:  1 For non-duplication and duplication case, a single D1 is calculated.  2 The following method is used for configuring D1 in case of split bearer: only one node can configures D1 to UE, and UE reports D1 to corresponding node where configuration is received;  RAN2#113b-e agreements:  1 For MN terminated SCG bearer and SN terminated MCG bearer, the terminated node, e.g., MN in case of MN terminated SCG bearer, configures the configuration to UE.  => RAN2 understanding is that for the accuracy of the result, the M6 result can be indicated with data marker (duplication indicator).    => All the immediate MDT configurations and reporting in EN-DC scenario (i.e. section 5.4.1.3 Immediate MDT for MR-DC in TS 37.320) are also applicable for (NG)EN-DC, NE-DC and NR-DC.  [Rapp’s comment]  FFS: whether to change the existing definition (as below)  - to configure at most one measurement identity per CG using a reporting configuration with the *ul-DelayValueConfig;*  **(4) Logged MDT with early measurements**  RAN2#117-e agreements:  => CB on Wednesday FFS: EMR is not supported in R17 log MDT  2: If solution A1 is selected, the qualitythreshold in measIdleConfig should not be applied.  RAN2#116b-e agreements:  6 For setting EMR results in logged MDT results：  − No impact on the ASN.1 but the neighbour cell measurements included in the logged MDT results (measResultNeighCells) contains both EMR frequencies measurements (amongst measIdleCarrierListNR and/or measIdleCarrierListEUTRA) and cell reselection frequencies measurements (included in SIB4 and SIB5). (8/11)  8 RAN2 to confirm below behavior:  − when earlyMeasIndication-r17 is configured in loggedMeasurementConfiguration, UE is allowed to log measurements on early measurement frequencies in logged MDT;  − When earlyMeasIndication-r17 is not configured in loggedMeasurementConfiguration, UE shall not log measurements on early measurement frequencies in logged MDT.  FFS the missing scenario(s) if figured out.  [Rapp’s comment] In previous version of the CR, earlyMeasIndication-r17 was captured, and this feature will be reviewed based on the latest RAN2 progress.  RAN2#116-e agreements:  1 Extended LoggedMeasurementConfiguration with AreaConfig and/or InterFreqTargetInfo, implies the Logged MDT reports are provided according to legacy MDT performance measurements.  2 LoggedMeasurementConfiguration is extended with a flag to indicate if an early measurement/idle mode configuration has relevance for logged measurement purposes.  [Rapp’s comment] 2 is clear and it has been implemented in this CR (it has been captured in the ASN.1, but FFS on the procedural text as there are no concrete RAN2 agreements on it).  For 1, our understanding is that the legacy fields can be re-used to indicate something (without any impacts to TS 38.331).  **(5) Multiple CEF report**  RAN2#117b-e agreements:  1: When the UE occurs a new CEF, if the failed cell id of the CEF is the same as the failed cell id in the last entry in VarConnEstFailReportList, the UE replaces the last CEF report with the new CEF report and the numberOfConnFail is summed. Otherwise (two cell ids are different), the UE appends the new CEF into VarConnEstFailReportList.  RAN2#116b-e agreements:  1 Only one PLMN is allowed in multiple CEF reports and UE clears stored connection establishment/resume failure information upon logging a CEF report in a cell with a different RPLMN identity  3 PerRAInfoList is included in CEF report when multiple CEF is stored.  4 Existing availability bit and request bit is reused for multiple CEF reports.  9 UE logs one CEF report entry in multiple CEF report list, for the failures happening consecutively in the same cell.  10 The maximum number of supported CEF entries: 4.  11 New capability bit is introduced to indicate if UE supports multiple CEF  [Rapp’s comment] The above agreements have been incorporated in the CR.  RAN2#116-e agreements:  3 Multiple CEF reports is introduced to solve the problem about UL/DL coverage imbalance. FFS whether UE capability is applied. FFS how to limit the overhead during running CR.  [Rapp’s comment] Add a list and each entry contains the legacy CEF report.  **(6) Override protection of sig-based logged MDT**  RAN2#116b-e agreements:  1 Signalling based MDT protection is applicable for scenarios below: intra-NR Handover scenarios.  5 Only one explicit indication (e.g., sigLogMeasConfigAvailable) is used for signalling MDT protection:  - the indication is included when UE has sig-based logged MDT config or if UE has sig-based logged MDT results, otherwise it is absence  [Rapp’s comment] The above agreements have been incorporated in the CR.  RAN2#115-e agreements:  3 Signaling based logged MDT override protection is applicable in the following scenarios:  1) Signaling based Logged MDT is configured, but no results are available e.g. so far nothing stored, or all previously stored results retrieved  2) Signaling based Logged MDT configuration is stopped (i.e. the expiry of T330), but UE still has un-retrieved results that would be discarded upon accepting a new configuration  4 Include an indicator to indicate the signaling based logged MDT configuration availability in RRCSetupComplete / RRCConnectionSetupComplete and RRCResumeComplete / RRCConnectionResumeComplete.  FFS: Implicit (flag indicating T330 is running or not) vs explicit indication  5 UE includes an indication regarding whether the T330 timer is running or not in RRCSetupComplete / RRCConnectionSetupComplete and RRCResumeComplete / RRCConnectionResumeComplete.  RAN2#114-e agreements:  1 In order to avoid overwriting of signalling-based logged MDT, UE-assisted and network-based solution, which relying on network implementation through UE providing assistance, is introduced.  RAN2#113b-e agreements:  4 UE provides assistance by which network can avoid overwriting of an MDT configuration.  5 Introduce the logged MDT type (i.e. the management based MDT or the signalling based MDT) in the logged MDT configuration.  RAN2#113-e agreements:  The network can use a flag in logged MDT configuration to indicate if an early measurement/idle mode configuration has relevance for logged measurement purposes. Upon such an indication, UE can log measurements on non-cellReselection (carrier frequencies not part of SIB4 or SIB5). AreaConfig and/or InterFreqTargetInfo can be used for filtering of SIB4 and non-SIB4 frequencies. Whether a flag is needed should be FFS.  [Rapp’s comment] The following changes are made for this feature:  Two new indications are added into UE-MeasurementsAvailable-r16.  Add logged MDT type in the logged measurement configuration.  For discussions on changes, similar changes are expected to be applied in multiple sections, so it seems that changes in 5.3.3.4 can be discussed firstly and if agreed, the changes can be applied to others. For feature (6), the Rapp’s responses (to companies’ comments) are mainly made in section 5.3.3.4.  **(7) logged MDT in EN-DC (expect no impacts to TS 38.331)**  RAN2#113b-e agreements:  3 Option 3 (R2-2104434) is used for logged MDT in EN-DC, i.e., do not introduce SN configuration for logged MDT (neither for camping nor for non-camping/ EMR specific frequencies).  [Rapp’s comment] Should be no impacts to TS 38.331.  **(8) About M5/M7 measurements (expect no impacts to TS 38.331)**  RAN2#116-e agreements:  6 MN and SN can calculate M7 measurement in the DU respectively when split bearer is used.  7 From RAN2’s perspective, indication of duplication status is beneficial to be included for M5/M7 measurement in split bearer  => Enhancement on M5 measurement is not pursued in this release.  => Enhancement on M7 measurement is not pursued in this release.  3 At least for OAM observability, MN and SN can calculate M5 measurement in the DU respectively when split bearer is used.  RAN2#111-e agreements:  => Study the support of logged and Immediate MDT in MR-DC scenario. For M5/M6/M7, it is proposed to apply them for EN-DC/MR-DC cases with different bear types. FFS on details.  [Rapp’s comment] Should be no impacts to TS 38.331.  **(9) Others (expects no impacts to TS 38.331)**  RAN2#117-e agreements:  5: Introduce AreaConfiguration-r17 (including areaConfig-r16 and interFreqTargetList-r16 inside it with both fields being optional) in Rel-17.  3: UE reports that whether the on-demand SI acquiring was successful or not.  [Rapp’s comment] the above agreements have been captured in the CR.  RAN2#116b-e agreements:  12 To include the successful SI request procedure related information in RA report by removing the conditions that preclude logging of successful SI request related information.  RAN2#116-e agreements:  => Frequency-specific and RAT-specific coverage hole indication in logged MDT are not pursued in Rel-17.  [Rapp’s comment] Should be no impacts to TS 38.331.  Note: since RAN2 agreed to extend RA report to support on demand SI reporting information, this feature will be captured in running 38.331 CR for R17 SON. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | R17 MDT for NR is not supported. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | [To be added] | | | | | | | | |
|  | | ss | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.300 CRxxxx  TS 37.320 CRxxxx  TS 38.314 CRxxxx  TS 38.306 CRxxxx | | |
| ***affected:*** | |  | **X** | Test specifications | | | |  | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | |  | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

### 5.3.3 RRC connection establishment

#### 5.3.3.1 General



Figure 5.3.3.1-1: RRC connection establishment, successful



Figure 5.3.3.1-2: RRC connection establishment, network reject

The purpose of this procedure is to establish an RRC connection. RRC connection establishment involves SRB1 establishment. The procedure is also used to transfer the initial NAS dedicated information/ message from the UE to the network.

The network applies the procedure e.g.as follows:

- When establishing an RRC connection;

- When UE is resuming or re-establishing an RRC connection, and the network is not able to retrieve or verify the UE context. In this case, UE receives *RRCSetup* and responds with *RRCSetupComplete*.

#### 5.3.3.1a Conditions for establishing RRC Connection for NR sidelink communication/V2X sidelink communication

For NR sidelink communication, an RRC connection establishment is initiated only in the following cases:

1> if configured by upper layers to transmit NR sidelink communication and related data is available for transmission:

2> if the frequency on which the UE is configured to transmit NR sidelink communication is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-TxPoolSelectedNormal* for the concerned frequency;

For V2X sidelink communication, an RRC connection is initiated only when the conditions specified for V2X sidelink communication in subclause 5.3.3.1a of TS 36.331 [10] are met.

NOTE: Upper layers initiate an RRC connection. The interaction with NAS is left to UE implementation.

#### 5.3.3.2 Initiation

The UE initiates the procedure when upper layers request establishment of an RRC connection while the UE is in RRC\_IDLE and it has acquired essential system information, or for sidelink communication as specified in sub-clause 5.3.3.1a.

The UE shall ensure having valid and up to date essential system information as specified in clause 5.2.2.2 before initiating this procedure.

Upon initiation of the procedure, the UE shall:

1> if the upper layers provide an Access Category and one or more Access Identities upon requesting establishment of an RRC connection:

2> perform the unified access control procedure as specified in 5.3.14 using the Access Category and Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> apply the default L1 parameter values as specified in corresponding physical layer specifications except for the parameters for which values are provided in *SIB1*;

1> apply the default MAC Cell Group configuration as specified in 9.2.2;

1> apply the CCCH configuration as specified in 9.1.1.2;

1> apply the *timeAlignmentTimerCommon* included in *SIB1*;

1> start timer T300;

1> initiate transmission of the *RRCSetupRequest* message in accordance with 5.3.3.3;

#### 5.3.3.3 Actions related to transmission of *RRCSetupRequest* message

The UE shall set the contents of *RRCSetupRequest* message as follows:

1> set the *ue-Identity* as follows:

2> if upper layers provide a 5G-S-TMSI:

3> set the *ue-Identity* to *ng-5G-S-TMSI-Part1*;

2> else:

3> draw a 39-bit random value in the range 0..239-1 and set the *ue-Identity* to this value;

NOTE 1: Upper layers provide the *5G-S-TMSI* if the UE is registered in the TA of the current cell.

1> if the establishment of the RRC connection is the result of release with redirect with *mpsPriorityIndication* (either in NR or E-UTRAN):

2> set the *establishmentCause* to *mps-PriorityAccess*;

1> else:

2> set the *establishmentCause* in accordance with the information received from upper layers;

The UE shall submit the *RRCSetupRequest* message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.3.6.

#### 5.3.3.4 Reception of the *RRCSetup* by the UE

The UE shall perform the following actions upon reception of the *RRCSetup*:

1> if the *RRCSetup* is received in response to an *RRCReestablishmentRequest*; or

1> if the *RRCSetup* is received in response to an *RRCResumeRequest* or *RRCResumeRequest1*:

2> discard any stored UE Inactive AS context and *suspendConfig*;

2> discard any current AS security context including the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

2> release radio resources for all established RBs except SRB0, including release of the RLC entities, of the associated PDCP entities and of SDAP;

2> release the RRC configuration except for the default L1 parameter values, default MAC Cell Group configuration and CCCH configuration;

2> indicate to upper layers fallback of the RRC connection;

2> stop timer T380, if running;

1> perform the cell group configuration procedure in accordance with the received *masterCellGroup* and as specified in 5.3.5.5;

1> perform the radio bearer configuration procedure in accordance with the received *radioBearerConfig* and as specified in 5.3.5.6;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T300, T301 or T319 if running;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> stop timer T320, if running;

1> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

2> if T331 is running:

3> stop timer T331;

3> perform the actions as specified in 5.7.8.3;

2> enter RRC\_CONNECTED;

2> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

2> if *reconnectCellId* in *VarRLF-Report* is not set, and if the received *RRCSetup* is in response to an *RRCSetupRequest*:

3> set *timeUntilReconnection* in *VarRLF-Report* to the time that elapsed since the last radio link failure or handover failure;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* to the global cell identity and the tracking area code of the PCell;

1> if the UE supports RLF report for inter-RAT MRO NR as defined in TS 36.306 [62], and if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

2> if *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] is not set:

3> set *timeUntilReconnection* in *VarRLF-Report* of TS 36.331[10] to the time that elapsed since the last radio link failure or handover failure in LTE;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] to the global cell identity and the tracking area code of the PCell;

1> set the content of *RRCSetupComplete* message as follows:

2> if upper layers provide a 5G-S-TMSI:

3> if the *RRCSetup* is received in response to an *RRCSetupRequest*:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI-Part2*;

3> else:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI*;

2> if upper layers selected an SNPN or a PLMN and in case of PLMN UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

3> set the *selectedPLMN-Identity* from the *npn-IdentityInfoList*;

2> else:

3> set the *selectedPLMN-Identity* to the PLMN selected by upper layers from the *plmn-IdentityInfoList*;

2> if upper layers provide the 'Registered AMF':

3> include and set the *registeredAMF* as follows:

4> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:

5> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;

4> set the *amf-Identifier* to the value received from upper layers;

3> include and set the *guami-Type* to the value provided by the upper layers;

2> if upper layers provide one or more S-NSSAI (see TS 23.003 [21]):

3> include the *s-NSSAI-List* and set the content to the values provided by the upper layers;

2> set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if connecting as an IAB-node:

3> include the *iab-NodeIndication*;

2> if the SIB1 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

2> if the SIB1 contains *idleModeMeasurementsEUTRA* and the UE has E-UTRA idle/inactive measurement information available in *VarMeasIdleReport*:

3> include the *idleMeasAvailable*;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> if the *sigLoggedMeasType* in *VarLogMeasReport* is included:

4> include the *sigLogMeasConfigAvailable* in the *RRCSetupComplete* message;

3> include the *logMeasAvailable* in the *RRCSetupComplete* message;

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCSetupComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCSetupComplete* message;

2> if the UE has signalling based logged measurement configuration:

3> include the *sigLogMeasConfigAvailable* in the *RRCSetupComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* or *VarConnEstFailReportList* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport* or *VarConnEstFailReportList*:

3> include *connEstFailInfoAvailable* in the *RRCSetupComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*, or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10], and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCSetupComplete* message;

2> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

3> include the *mobilityHistoryAvail* in the *RRCSetupComplete* message;

2> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

3> if *speedStateReselectionPars* is configured in the *SIB2*:

4> include the *mobilityState* in the *RRCSetupComplete* message and set it to the mobility state (as specified in TS 38.304 [20]) of the UE just prior to entering RRC\_CONNECTED state;

1> submit the *RRCSetupComplete* message to lower layers for transmission, upon which the procedure ends.

#### 5.3.3.5 Reception of the *RRCReject* by the UE

The UE shall:

1> perform the actions as specified in 5.3.15;

#### 5.3.3.6 Cell re-selection or cell selection while T390, T300 or T302 is running (UE in RRC\_IDLE)

The UE shall:

1> if cell reselection occurs while T300 or T302 is running:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC connection failure';

1> else if cell selection or reselection occurs while T390 is running:

2> stop T390 for all access categories;

2> perform the actions as specified in 5.3.14.4.

#### 5.3.3.7 T300 expiry

The UE shall:

1> if timer T300 expires:

2> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;

2> if the UE supports RRC Connection Establishment failure with temporary offset and the T300 has expired a consecutive *connEstFailCount* times on the same cell for which *connEstFailureControl* is included in *SIB1*:

3> for a period as indicated by *connEstFailOffsetValidity*:

4> use *connEstFailOffset* for the parameter *Qoffsettemp* for the concerned cell when performing cell selection and reselection according to TS 38.304 [20] and TS 36.304 [27];

NOTE 1: When performing cell selection, if no suitable or acceptable cell can be found, it is up to UE implementation whether to stop using *connEstFailOffset* for the parameter *Qoffsettemp* during *connEstFailOffsetValidity* for the concerned cell.

2> if the UE has connection establishment failure informaton or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is not equal to *plmn-identity* stored in *VarConnEstFailReport*; or

2> if the cell identity of current cell is not equal to the cell identity stored in *measResultFailedCell* in *VarConnEstFailReport*:

3> reset the *numberOfConnFail* to 0;

2> the UE has connection establishment failure informaton or connection resume failure information available in *VarConnEstFailReportList* and if the RPLMN is not equal to *plmn-identity* stored in *VarConnEstFailReportList*:

3> clear the content included in *VarConnEstFailReportList*;

2> clear the content included in *VarConnEstFailReport* except for the *numberOfConnFail*, if any;

2> store the following connection establishment failure information in the *VarConnEstFailReport* by setting its fields as follows:

3> set the *plmn-Identity* to the PLMN selected by upper layers (see TS 24.501 [23]) from the PLMN(s) included in the *plmn-IdentityInfoList* in *SIB1*;

3> set the *measResultFailedCell* to include the global cell identity, tracking area code, the cell level and SS/PBCH block level RSRP, and RSRQ, and SS/PBCH block indexes, of the failed cell based on the available SSB measurements collected up to the moment the UE detected connection establishment failure;

3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies per RAT and according to the following:

4> for each neighbour cell included, include the optional fields that are available;

NOTE 2: The UE includes the latest results of the available measurements as used for cell reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 38.133 [14].

3> if available, set the *locationInfo* as follows:

4> if available, set the *commonLocationInfo* to include the detailed location information;

4> if available, set the *bt-LocationInfo* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

4> if available, set the *wlan-LocationInfo* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;

4> if available, set the *sensor-LocationInfo* to include the sensor measurement results as follows;

5> if available, include the *sensor-MeasurementInformation*;

5> if available, include the *sensor-MotionInformation*;

NOTE 3: Which location information related configuration is used by the UE to make the *locationInfo* available for inclusion in the *VarConnEstFailReport* is left to UE implementation.

3> set *perRAInfoList* to indicate the performed random access procedure related information as specified in 5.7.10.5;

3> if the UE does not support multiple CEF reports:

4> if the *numberOfConnFail* is smaller than 8:

5> increment the *numberOfConnFail* by 1;

2> if the UE supports multiple CEF report:

3> if the *measResultFailedCell* in the newly added *VarConnEstFailReport* is the same as the the *measResultFailedCell* in the last entry in the *VarConnEstFailReportList*:

4> for the *numberOfConnFail* for the entry, if it is smaller than 8:

5> increment the *numberOfConnFail* by 1;

4> except for the *numberOfConnFail*, replace all information elements for the enty with the *VarConnEstFailReport*:

3> else:

4> if the maxCEFReport-r17 has not been reached:

5> append the *VarConnEstFailReport* as a new entry in the *VarConnEstFailReportList*;

5> increment the *numberOfConnFail* by 1;

2> inform upper layers about the failure to establish the RRC connection, upon which the procedure ends;

The UE may discard the connection establishment failure or connection resume failure information, i.e. release the UE variable *VarConnEstFailReport or VarConnEstFailReportList*, 48 hours after the last connection establishment failure is detected.

#### 5.3.3.8 Abortion of RRC connection establishment

If upper layers abort the RRC connection establishment procedure, due to a NAS procedure being aborted as specified in TS 24.501 [23], while the UE has not yet entered RRC\_CONNECTED, the UE shall:

1> stop timer T300, if running;

1> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established.

*<Next modification>*

### 5.3.5 RRC reconfiguration

#### 5.3.5.1 General



Figure 5.3.5.1-1: RRC reconfiguration, successful



Figure 5.3.5.1-2: RRC reconfiguration, failure

The purpose of this procedure is to modify an RRC connection, e.g. to establish/modify/release RBs/BH RLC channels, to perform reconfiguration with sync, to setup/modify/release measurements, to add/modify/release SCells and cell groups, to add/modify/release conditional handover configuration, to add/modify/release conditional PSCell change configuration. As part of the procedure, NAS dedicated information may be transferred from the Network to the UE.

RRC reconfiguration to perform reconfiguration with sync includes, but is not limited to, the following cases:

- reconfiguration with sync and security key refresh, involving RA to the PCell/PSCell, MAC reset, refresh of security and re-establishment of RLC and PDCP triggered by explicit L2 indicators;

- reconfiguration with sync but without security key refresh, involving RA to the PCell/PSCell, MAC reset and RLC re-establishment and PDCP data recovery (for AM DRB) triggered by explicit L2 indicators.

- reconfiguration with sync for DAPS and security key refresh, involving RA to the target PCell, establishment of target MAC, and

- for non-DAPS bearer: refresh of security and re-establishment of RLC and PDCP triggered by explicit L2 indicators;

- for DAPS bearer: establishment of RLC for the target PCell, refresh of security and reconfiguration of PDCP to add the ciphering function, the integrity protection function and ROHC function of the target PCell;

- for SRB: refresh of security and establishment of RLC and PDCP for the target PCell;

- reconfiguration with sync for DAPS but without security key refresh, involving RA to the target PCell, establishment of target MAC, and:

- for non-DAPS bearer: RLC re-establishment and PDCP data recovery (for AM DRB) triggered by explicit L2 indicators.

- for DAPS bearer: establishment of RLC for target PCell, reconfiguration of PDCP to add the ciphering function, the integrity protection function and ROHC function of the target PCell;

- for SRB: establishment of RLC and PDCP for the target PCell.

In (NG)EN-DC and NR-DC, SRB3 can be used for measurement configuration and reporting, for UE assistance (re-)configuration and reporting for power savings, for IP address (re-)configuration and reporting for IAB-nodes, to (re-)configure MAC, RLC, BAP, physical layer and RLF timers and constants of the SCG configuration, and to reconfigure PDCP for DRBs associated with the S-KgNB or SRB3, and to reconfigure SDAP for DRBs associated with S-KgNB in NGEN-DC and NR-DC, and to add/modify/release conditional PSCell change configuration, provided that the (re-)configuration does not require any MN involvement, and to transmit RRC messages between the MN and the UE during fast MCG link recovery. In (NG)EN-DC and NR-DC, only *measConfig*, *radioBearerConfig, conditionalReconfiguration, bap-Config*, *iab-IP-AddressConfigurationList, otherConfig* and/or *secondaryCellGroup* are included in *RRCReconfiguration* received via SRB3, except when *RRCReconfiguration* is received within *DLInformationTransferMRDC*.

#### 5.3.5.2 Initiation

The Network may initiate the RRC reconfiguration procedure to a UE in RRC\_CONNECTED. The Network applies the procedure as follows:

- the establishment of RBs (other than SRB1, that is established during RRC connection establishment) is performed only when AS security has been activated;

- the establishment of BH RLC Channels for IAB is performed only when AS security has been activated;

- the addition of Secondary Cell Group and SCells is performed only when AS security has been activated;

- the *reconfigurationWithSync* is included in *secondaryCellGroup* only when at least one RLC bearer or BH RLC channel is setup in SCG;

- the *reconfigurationWithSync* is included in *masterCellGroup* only when AS security has been activated, and SRB2 with at least one DRB or, for IAB, SRB2, are setup and not suspended;

- the *conditionalReconfiguration* for CPC is included only when at least one RLC bearer is setup in SCG;

- the *conditionalReconfiguration* for CHO is included only when AS security has been activated, and SRB2 with at least one DRB or, for IAB, SRB2, are setup and not suspended.

#### 5.3.5.3 Reception of an *RRCReconfiguration* by the UE

The UE shall perform the following actions upon reception of the *RRCReconfiguration,* or upon execution of the conditional reconfiguration (CHO or CPC):

1> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution upon cell selection performed while timer T311 was running, as defined in 5.3.7.3:

2> remove all the entries within *VarConditionalReconfig*, if any;

1> if the *RRCReconfiguration* includes the *daps-SourceRelease*:

2> reset the source MAC and release the source MAC configuration;

2> for each DAPS bearer:

3> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source SpCell;

3> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

2> release the physical channel configuration for the source SpCell;

2> discard the keys used in the source SpCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> if the *RRCReconfiguration* is received via other RAT (i.e., inter-RAT handover to NR):

2> if the *RRCReconfiguration* does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):

3> re-use the source RAT SDAP and PDCP configurations if available (i.e., current SDAP/PDCP configurations for all RBs from source E-UTRA RAT prior to the reception of the inter-RAT HO *RRCReconfiguration* message);

1> else:

2> if the RRCReconfiguration includes the fullConfig:

3> perform the full configuration procedure as specified in 5.3.5.11;

1> if the *RRCReconfiguration* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *masterKeyUpdate*:

2> perform AS security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *secondaryCellGroup*:

2> perform the cell group configuration for the SCG according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *mrdc-SecondaryCellGroupConfig:*

2> if the *mrdc-SecondaryCellGroupConfig* is set to *setup*:

3> if the *mrdc-SecondaryCellGroupConfig* includes *mrdc-ReleaseAndAdd*:

4> perform MR-DC release as specified in clause 5.3.5.10;

3> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

4> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

3> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

4> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

2> else (*mrdc-SecondaryCellGroupConfig* is set to *release*):

3> perform MR-DC release as specified in clause 5.3.5.10;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if the *RRCReconfiguration* message includes the *dedicatedNAS-MessageList*:

2> forward each element of the *dedicatedNAS-MessageList* to upper layers in the same order as listed;

1> if the *RRCReconfiguration* message includes the *dedicatedSIB1-Delivery*:

2> perform the action upon reception of *SIB1* as specified in 5.2.2.4.2;

NOTE 0: If this *RRCReconfiguration* is associated to the MCG and includes *reconfigurationWithSync* in *spCellConfig* and *dedicatedSIB1-Delivery*, the UE initiates (if needed) the request to acquire required SIBs, according to clause 5.2.2.3.5, only after the random access procedure towards the target SpCell is completed.

1> if the *RRCReconfiguration* message includes the *dedicatedSystemInformationDelivery*:

2> perform the action upon reception of System Information as specified in 5.2.2.4;

1> if the *RRCReconfiguration* message includes the *dedicatedPosSysInfoDelivery*:

2> perform the action upon reception of the contained posSIB(s), as specified in sub-clause 5.2.2.4.16;

1> if the *RRCReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.5.9;

1> if the *RRCReconfiguration* message includes the *bap-Config*:

2> perform the BAP configuration procedure as specified in 5.3.5.12;

1> if the *RRCReconfiguration* message includes the *iab-IP-AddressConfigurationList*:

2> if *iab-IP-AddressToReleaseList* is included:

3> perform release of IP address as specified in 5.3.5.12a.1.1;

2> if *iab-IP-AddressToAddModList* is included:

3> perform IAB IP address addition/update as specified in 5.3.5.12a.1.2;

1> if the *RRCReconfiguration* message includes the *conditionalReconfiguration*:

2> perform conditional reconfiguration as specified in 5.3.5.13;

1> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*:

2> if *needForGapsConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap requirement information of NR target bands;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedNR*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.5.14;

NOTE 0a: If the *sl-ConfigDedicatedNR* was received embedded within an E-UTRA *RRCConnectionReconfiguration* message, the UE does not build an NR *RRCReconfigurationComplete* message for the received *sl-ConfigDedicatedNR*.

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedEUTRA-Info*:

2> perform related procedures for V2X sidelink communication in accordance with TS 36.331 [10], clause 5.3.10 and clause 5.5.2;

1> set the content of the *RRCReconfigurationComplete* message as follows:

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each MCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each MCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrentTwoCarrier*:

3> include in the *uplinkTxDirectCurrentTwoCarrierList* the list of uplink Tx DC locations for the configured intra-band uplink carrier aggregation in the MCG;

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each SCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each SCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrentTwoCarrier*:

3> include in the *uplinkTxDirectCurrentTwoCarrierList* the list of uplink Tx DC locations for the configured intra-band uplink carrier aggregation in the SCG;

NOTE 0b: It is expected that the *reportUplinkTxDirectCurrentTwoCarrier* is only received either in *masterCellGroup* or in *secondaryCellGroup* but not both.

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* includes the *reconfigurationWithSync* in *spCellConfig* of an MCG:

3> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

4> if UE configured signalling based logged measurement is stopped due to the expiry of T330

5> include the sigLogMeasConfigAvailable in the *RRCReconfigurationComplete* message;

4> include the *logMeasAvailable* in the *RRCReconfigurationComplete* message;

4> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

5> include the *logMeasAvailableBT* in the *RRCReconfigurationComplete* message;

4> if WLAN measurement results are included in the logged measurements the UE has available for NR:

5> include the *logMeasAvailableWLAN* in the *RRCReconfigurationComplete* message;

4> if T330 is running:

5> include the *t330Available* in the *RRCReconfigurationComplete* message;

3> if the UE has signalling based logged measurement configuration and no results are available (e.g. so far nothing stored or all previously stored results retrieved):

4> include the *sigLogMeasConfigAvailable* in the *RRCReconfigurationComplete* message;

3> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* or *VarConnEstFailReportList* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport* or *VarConnEstFailReportList*:

4> include *connEstFailInfoAvailable* in the *RRCReconfigurationComplete* message;

3> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*; or

3> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

4> include *rlf-InfoAvailable* in the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message was received via SRB1, but not within *mrdc-SecondaryCellGroup* or E-UTRA *RRCConnectionReconfiguration* or E-UTRA *RRCConnectionResume*:

3> if the UE is configured to provide the measurement gap requirement information of NR target bands:

4> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*; or

4> if the *NeedForGapsInfoNR* information is changed compared to last time the UE reported this information:

5> include the *NeedForGapsInfoNR* and set the contents as follows:

6> include *intraFreq-needForGap* and set the gap requirement information of intra-frequency measurement for each NR serving cell;

6> if *requestedTargetBandFilterNR* is configured, for each supported NR band that is also included in *requestedTargetBandFilterNR*, include an entry in *interFreq-needForGap* and set the gap requirement information for that band; otherwise, include an entry in *interFreq-needForGap* and set the corresponding gap requirement information for each supported NR band;

1> if the UE is configured with E-UTRA *nr-SecondaryCellGroupConfig* (UE in (NG)EN-DC):

2> if the *RRCReconfiguration* message was received via E-UTRA SRB1 as specified in TS 36.331 [10]; or

2> if the *RRCReconfiguration* message was received via E-UTRA RRC message *RRCConnectionReconfiguration* within *MobilityFromNRCommand* (handover from NR standalone to (NG)EN-DC);

3> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution for CPC:

4> submit the *RRCReconfigurationComplete* message via the E-UTRA MCG embedded in E-UTRA RRC message *ULInformationTransferMRDC* as specified in TS 36.331 [10], clause 5.6.2a.

3> else if the *RRCReconfiguration* message was included in E-UTRA *RRCConnectionResume* message:

4> submit the *RRCReconfigurationComplete* message via E-UTRA embedded in E-UTRA RRC message *RRCConnectionResumeComplete* as specified in TS 36.331 [10], clause 5.3.3.4a;

3> else:

4> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4/5.4.2.3;

3> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

4> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

3> else:

4> the procedure ends;

2> if the *RRCReconfiguration* message was received within *nr-SecondaryCellGroupConfig* in *RRCConnectionReconfiguration* message received via SRB3 within *DLInformationTransferMRDC*:

3> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4;

3> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

4> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

3> else:

4> the procedure ends;

NOTE 1: The order the UE sends the *RRCConnectionReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

2> else (*RRCReconfiguration* was received via SRB3) but not within *DLInformationTransferMRDC*:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

NOTE 2: In (NG)EN-DC and NR-DC, in the case *RRCReconfiguration* is received via SRB1 or within *DLInformationTransferMRDC* via SRB3, the random access is triggered by RRC layer itself as there is not necessarily other UL transmission. In the case *RRCReconfiguration* is received via SRB3 but not within *DLInformationTransferMRDC*, the random access is triggered by the MAC layer due to arrival of *RRCReconfigurationComplete*.

1> else if the *RRCReconfiguration* message was received via SRB1 within the *nr-SCG* within *mrdc-SecondaryCellGroup* (UE in NR-DC, *mrdc-SecondaryCellGroup* was received in *RRCReconfiguration* or *RRCResume* via SRB1):

2> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution for CPC:

3> submit the *RRCReconfigurationComplete* message via the NR MCG embedded in NR RRC message *ULInformationTransferMRDC* as specified in clause 5.7.2a.3.

2> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

3> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

2> else

3> the procedure ends;

NOTE 2a: The order in which the UE sends the *RRCReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

1> else if the *RRCReconfiguration* message was received via SRB3 (UE in NR-DC):

2> if the *RRCReconfiguration* message was received within *DLInformationTransferMRDC*:

3> if the *RRCReconfiguration* message was received within the *nr-SCG* within *mrdc-SecondaryCellGroup* (NR SCG RRC Reconfiguration):

4> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

5> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

4> else:

5> the procedure ends;

3> else:

4> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> else:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

1> else(*RRCReconfiguration* was received via SRB1):

2> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> if this is the first *RRCReconfiguration* message after successful completion of the RRC re-establishment procedure:

3> resume SRB2 and DRBs that are suspended;

1> if *reconfigurationWithSync* was included in *spCellConfig* of an MCG or SCG, and when MAC of an NR cell group successfully completes a Random Access procedure triggered above:

2> stop timer T304 for that cell group;

2> stop timer T310 for source SpCell if running;

2> apply the parts of the CSI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the respective target SpCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the respective target SpCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of that target SpCell;

2> for each DRB configured as DAPS bearer, request uplink data switching to the PDCP entity, as specified in TS 38.323 [5];

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG:

3> if T390 is running:

4> stop timer T390 for all access categories;

4> perform the actions as specified in 5.3.14.4.

3> if T350 is running:

4> stop timer T350;

3> if *RRCReconfiguration* does not include *dedicatedSIB1-Delivery* and

3> if the active downlink BWP, which is indicated by the *firstActiveDownlinkBWP-Id* for the target SpCell of the MCG, has a common search space configured by *searchSpaceSIB1*:

4> acquire the *SIB1*, which is scheduled as specified in TS 38.213 [13], of the target SpCell of the MCG;

4> upon acquiring *SIB1*, perform the actions specified in clause 5.2.2.4.2;

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG; or:

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an SCG and the CPC was configured

3> remove all the entries within *VarConditionalReconfig*, if any;

3> for each *measId* of the source SpCell configuration, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

4> for the associated *reportConfigId*:

5> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

4> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *condTriggerConfig*:

5> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

4> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> if *reconfigurationWithSync* was included in *masterCellGroup* or *secondaryCellGroup*:

3> if the UE initiated transmission of a *UEAssistanceInformation* message for the corresponding cell group during the last 1 second, and the UE is still configured to provide the concerned UE assistance information for the corresponding cell group; or

3> if the *RRCReconfiguration* message is applied due to a conditional reconfiguration execution, and the UE is configured to provide UE assistance information for the corresponding cell group, and the UE has initiated transmission of a *UEAssistanceInformation* message for the corresponding cell group since it was configured to do so in accordance with 5.7.4.2:

4> initiate transmission of a *UEAssistanceInformation* message for the corresponding cell group in accordance with clause 5.7.4.3 to provide the concerned UE assistance information;

4> start or restart the prohibit timer (if exists) associated with the concerned UE assistance information with the timer value set to the value in corresponding configuration;

3> if *SIB12* is provided by the target PCell; and the UE initiated transmission of a *SidelinkUEInformationNR* message indicating a change of NR sidelink communication related parameters relevant in target PCell (i.e. change of *sl-RxInterestedFreqList* or *sl-TxResourceReqList*) during the last 1 second preceding reception of the *RRCReconfiguration* message including *reconfigurationWithSync* in *spCellConfig* of an MCG; or

3> if the *RRCReconfiguration* message is applied due to a conditional reconfiguration execution and the UE is capable of NR sidelink communication and *SIB12* is provided by the target PCell, and the UE has initiated transmission of a *SidelinkUEInformationNR* message since it was configured to do so in accordance with 5.8.3.2:

4> initiate transmission of the *SidelinkUEInformationNR* message in accordance with 5.8.3.3;

2> the procedure ends.

NOTE 3: The UE is only required to acquire broadcasted *SIB1* if the UE can acquire it without disrupting unicast data reception, i.e. the broadcast and unicast beams are quasi co-located.

NOTE 4: The UE sets the content of *UEAssistanceInformation* according to latest configuration (i.e. the configuration after applying the *RRCReconfiguration* message) and latest UE preference. The UE may include more than the concerned UE assistance information within the *UEAssistanceInformation* according to 5.7.4.2. Therefore, the content of *UEAssistanceInformation* message might not be the same as the content of the previous *UEAssistanceInformation* message.

*<Next modification>*

### 5.3.7 RRC connection re-establishment

#### 5.3.7.1 General



Figure 5.3.7.1-1: RRC connection re-establishment, successful



Figure 5.3.7.1-2: RRC re-establishment, fallback to RRC establishment, successful

The purpose of this procedure is to re-establish the RRC connection. A UE in RRC\_CONNECTED, for which AS security has been activated with SRB2 and at least one DRB setup or, for IAB, SRB2, may initiate the procedure in order to continue the RRC connection. The connection re-establishment succeeds if the network is able to find and verify a valid UE context or, if the UE context cannot be retrieved, and the network responds with an *RRCSetup* according to clause 5.3.3.4.

The network applies the procedure e.g as follows:

- When AS security has been activated and the network retrieves or verifies the UE context:

- to re-activate AS security without changing algorithms;

- to re-establish and resume the SRB1;

- When UE is re-establishing an RRC connection, and the network is not able to retrieve or verify the UE context:

- to discard the stored AS Context and release all RBs and BH RLC channels;

- to fallback to establish a new RRC connection.

If AS security has not been activated, the UE shall not initiate the procedure but instead moves to RRC\_IDLE directly, with release cause 'other'. If AS security has been activated, but SRB2 and at least one DRB or, for IAB, SRB2, are not setup, the UE does not initiate the procedure but instead moves to RRC\_IDLE directly, with release cause 'RRC connection failure'.

#### 5.3.7.2 Initiation

The UE initiates the procedure when one of the following conditions is met:

1> upon detecting radio link failure of the MCG and *t316* is not configured, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while SCG transmission is suspended, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while PSCell change or PSCell addition is ongoing, in accordance with 5.3.10; or

1> upon re-configuration with sync failure of the MCG, in accordance with sub-clause 5.3.5.8.3; or

1> upon mobility from NR failure, in accordance with sub-clause 5.4.3.5; or

1> upon integrity check failure indication from lower layers concerning SRB1 or SRB2, except if the integrity check failure is detected on the *RRCReestablishment* message; or

1> upon an RRC connection reconfiguration failure, in accordance with sub-clause 5.3.5.8.2; or

1> upon detecting radio link failure for the SCG while MCG transmission is suspended, in accordance with subclause 5.3.10.3 in NR-DC or in accordance with TS 36.331 [10] subclause 5.3.11.3 in NE-DC; or

1> upon reconfiguration with sync failure of the SCG while MCG transmission is suspended in accordance with subclause 5.3.5.8.3; or

1> upon SCG change failure while MCG transmission is suspended in accordance with TS 36.331 [10] subclause 5.3.5.7a; or

1> upon SCG configuration failure while MCG transmission is suspended in accordance with subclause 5.3.5.8.2 in NR-DC or in accordance with TS 36.331 [10] subclause 5.3.5.5 in NE-DC; or

1> upon integrity check failure indication from SCG lower layers concerning SRB3 while MCG is suspended; or

1> upon T316 expiry, in accordance with sub-clause 5.7.3b.5.

Upon initiation of the procedure, the UE shall:

1> stop timer T310, if running;

1> stop timer T312, if running;

1> stop timer T304, if running;

1> start timer T311;

1> stop timer T316, if running;

1> if UE is not configured with *conditionalReconfiguration*:

2> reset MAC;

2> release *spCellConfig*, if configured;

2> suspend all RBs, and BH RLC channels for IAB-MT, except SRB0;

2> release the MCG SCell(s), if configured;

2> if MR-DC is configured:

3> perform MR-DC release, as specified in clause 5.3.5.10;

2> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

2> release *overheatingAssistanceConfig*, if configured and stop timer T345, if running;

2> release *idc-AssistanceConfig*, if configured;

2> release *btNameList*, if configured;

2> release *wlanNameList*, if configured;

2> release *sensorNameList*, if configured;

2> release *drx-PreferenceConfig* for the MCG, if configured and stop timer T346a associated with the MCG, if running;

2> release *maxBW-PreferenceConfig* for the MCG, if configured and stop timer T346b associated with the MCG, if running;

2> release *maxCC-PreferenceConfig* for the MCG, if configured and stop timer T346c associated with the MCG, if running;

2> release *maxMIMO-LayerPreferenceConfig* for the MCG, if configured and stop timer T346d associated with the MCG, if running;

2> release *minSchedulingOffsetPreferenceConfig* for the MCG, if configured stop timer T346e associated with the MCG, if running;

2> release *releasePreferenceConfig*, if configured stop timer T346f, if running;

2> release *onDemandSIB-Request* if configured, and stop timer T350, if running;

2> release *referenceTimePreferenceReporting*, if configured;

2> release *sl-AssistanceConfigNR*, if configured;

2> release *obtainCommonLocation*, if configured;

1> if any DAPS bearer is configured:

2> reset the source MAC and release the source MAC configuration;

2> for each DAPS bearer:

3> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source SpCell;

3> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

2> release the physical channel configuration for the source SpCell;

2> discard the keys used in the source SpCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> perform cell selection in accordance with the cell selection process as specified in TS 38.304 [20].

#### 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable NR cell, the UE shall:

1> ensure having valid and up to date essential system information as specified in clause 5.2.2.2;

1> stop timer T311;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if the cell selection is triggered by detecting radio link failure of the MCG or re-configuration with sync failure of the MCG or mobility from NR failure, and

1> if *attemptCondReconfig* is configured; and

1> if the selected cell is one of the candidate cells for which the *reconfigurationWithSync* is included in the *masterCellGroup* in *VarConditionalReconfig*:

2> apply the stored *condRRCReconfig* associated to the selected cell and perform actions as specified in 5.3.5.3;

NOTE 1: It is left to network implementation to how to avoid keystream reuse in case of CHO based recovery after a failed handover without key change.

1> else:

2> if UE is configured with *conditionalReconfiguration*:

3> reset MAC;

3> release *spCellConfig*, if configured;

3> release the MCG SCell(s), if configured;

3> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

3> release *overheatingAssistanceConfig* , if configured and stop timer T345, if running;

3> if MR-DC is configured:

4> perform MR-DC release, as specified in clause 5.3.5.10;

3> release *idc-AssistanceConfig*, if configured;

3> release *btNameList*, if configured;

3> release *wlanNameList*, if configured;

3> release *sensorNameList*, if configured;

3> release *drx-PreferenceConfig* for the MCG, if configured and stop timer T346a associated with the MCG, if running;

3> release *maxBW-PreferenceConfig* for the MCG, if configured and stop timer T346b associated with the MCG, if running;

3> release *maxCC-PreferenceConfig* for the MCG, if configured and stop timer T346c associated with the MCG, if running;

3> release *maxMIMO-LayerPreferenceConfig* for the MCG, if configured and stop timer T346d associated with the MCG, if running;

3> release *minSchedulingOffsetPreferenceConfig* for the MCG, if configured and stop timer T346e associated with the MCG, if running;

3> release *releasePreferenceConfig*, if configured and stop timer T346f, if running;

3> release *onDemandSIB-Request* if configured, and stop timer T350, if running;

3> release referenceTimePreferenceReporting, if configured;

3> release *sl-AssistanceConfigNR*, if configured;

3> release *obtainCommonLocation*, if configured;

3> suspend all RBs, except SRB0;

2> remove all the entries within *VarConditionalReconfig*, if any;

2> for each *measId*, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

3> for the associated *reportConfigId*:

4> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

3> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *condTriggerConfig*:

4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> start timer T301;

2> apply the default L1 parameter values as specified in corresponding physical layer specifications except for the parameters for which values are provided in *SIB1*;

2> apply the default MAC Cell Group configuration as specified in 9.2.2;

2> apply the CCCH configuration as specified in 9.1.1.2;

2> apply the *timeAlignmentTimerCommon* included in *SIB1*;

2> initiate transmission of the *RRCReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE 2: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure'.

#### 5.3.7.4 Actions related to transmission of *RRCReestablishmentRequest* message

The UE shall set the contents of *RRCReestablishmentRequest* message as follows:

1> if the procedure was initiated due to radio link failure as specified in 5.3.10.3 or reconfiguration with sync failure as specified in 5.3.5.8.3:

2> set the *reestablishmentCellId* in the *VarRLF-Report* to the global cell identity of the selected cell;

1> set the *ue-Identity* as follows:

2> set the *c-RNTI* to the C-RNTI used in the source PCell (reconfiguration with sync or mobility from NR failure) or used in the PCell in which the trigger for the re-establishment occurred (other cases);

2> set the *physCellId* to the physical cell identity of the source PCell (reconfiguration with sync or mobility from NR failure) or of the PCell in which the trigger for the re-establishment occurred (other cases);

2> set the *shortMAC-I* to the 16 least significant bits of the MAC-I calculated:

3> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortMAC-Input*;

3> with the KRRCint key and integrity protection algorithm that was used in the source PCell (reconfiguration with sync or mobility from NR failure) or of the PCell in which the trigger for the re-establishment occurred (other cases); and

3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

1> set the *reestablishmentCause* as follows:

2> if the re-establishment procedure was initiated due to reconfiguration failure as specified in 5.3.5.8.2:

3> set the *reestablishmentCause* to the value *reconfigurationFailure*;

2> else if the re-establishment procedure was initiated due to reconfiguration with sync failure as specified in 5.3.5.8.3 (intra-NR handover failure) or 5.4.3.5 (inter-RAT mobility from NR failure):

3> set the *reestablishmentCause* to the value *handoverFailure*;

2> else:

3> set the *reestablishmentCause* to the value *otherFailure*;

1> re-establish PDCP for SRB1;

1> re-establish RLC for SRB1;

1> apply the default configuration defined in 9.2.1 for SRB1;

1> configure lower layers to suspend integrity protection and ciphering for SRB1;

NOTE: Ciphering is not applied for the subsequent *RRCReestablishment* message used to resume the connection. An integrity check is performed by lower layers, but merely upon request from RRC.

1> resume SRB1;

1> submit the *RRCReestablishmentRequest* message to lower layers for transmission.

#### 5.3.7.5 Reception of the *RRCReestablishment* by the UE

The UE shall:

1> stop timer T301;

1> consider the current cell to be the PCell;

1> store the *nextHopChainingCount* value indicated in the *RRCReestablishment* message;

1> update the KgNB key based on the current KgNB key or the NH*,* using the stored *nextHopChainingCount* value, as specified in TS 33.501 [11];

1> derive the KRRCenc and KUPenc keys associated with the previously configured *cipheringAlgorithm,* as specified in TS 33.501 [11];

1> derive the KRRCint and KUPint keys associated with the previously configured *integrityProtAlgorithm,* as specified in TS 33.501 [11].

1> request lower layers to verify the integrity protection of the *RRCReestablishment* message, using the previously configured algorithm and the KRRCint key;

1> if the integrity protection check of the *RRCReestablishment* message fails:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure', upon which the procedure ends;

1> configure lower layers to resume integrity protection for SRB1 using the previously configured algorithm and the KRRCint key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

1> configure lower layers to resume ciphering for SRB1 using the previously configured algorithm and, the KRRCenc key immediately, i.e., ciphering shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

1> release the measurement gap configuration indicated by the *measGapConfig*, if configured;

1> set the content of *RRCReestablishmentComplete* message as follows:

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> if UE configured signalling based logged measurement is stopped due to the expiry of T330

4> include the sigLogMeasConfigAvailable in the *RRCReestablishmentComplete* message;

3> include the *logMeasAvailable* in the *RRCReestablishmentComplete* message;

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCReestablishmentComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCReestablishmentComplete* message;

3> if T330 is running:

4> include the *t330Available* in the *RRCReestablishmentComplete* message;

2> if the UE has signalling based logged measurement configuration and no results are available (e.g. so far nothing stored or all previously stored results retrieved):

3> include the *sigLogMeasConfigAvailable* in the *RRCReestablishmentComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* or *VarConnEstFailReportList* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport* or *VarConnEstFailReportList*:

3> include *connEstFailInfoAvailable* in the *RRCReestablishmentComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*; or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCReestablishmentComplete* message;

1> submit the *RRCReestablishmentComplete* message to lower layers for transmission;

1> the procedure ends.

#### 5.3.7.6 T311 expiry

Upon T311 expiry, the UE shall:

1> if the procedure was initiated due to radio link failure or handover failure:

2> set the *noSuitableCellFound* in the *VarRLF-Report* to *true*;

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure'.

#### 5.3.7.7 T301 expiry or selected cell no longer suitable

The UE shall:

1> if timer T301 expires; or

1> if the selected cell becomes no longer suitable according to the cell selection criteria as specified in TS 38.304 [20]:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure'.

#### 5.3.7.8 Reception of the *RRCSetup* by the UE

The UE shall:

1> perform the RRC connection establishment procedure as specified in 5.3.3.4.

*<Next modification>*

### 5.3.13 RRC connection resume

#### 5.3.13.1 General



Figure 5.3.13.1-1: RRC connection resume, successful



Figure 5.3.13.1-2: RRC connection resume fallback to RRC connection establishment, successful



Figure 5.3.13.1-3: RRC connection resume followed by network release, successful



Figure 5.3.13.1-4: RRC connection resume followed by network suspend, successful



Figure 5.3.13.1-5: RRC connection resume, network reject

The purpose of this procedure is to resume a suspended RRC connection, including resuming SRB(s) and DRB(s) or perform an RNA update.

#### 5.3.13.1a Conditions for resuming RRC Connection for NR sidelink communication/V2X sidelink communication

For NR sidelink communication an RRC connection is resumed only in the following cases:

1> if configured by upper layers to transmit NR sidelink communication and related data is available for transmission:

2> if the frequency on which the UE is configured to transmit NR sidelink communication is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-TxPoolSelectedNormal* for the concerned frequency;

For V2X sidelink communication an RRC connection resume is initiated only when the conditions specified for V2X sidelink communication in subclause 5.3.3.1a of TS 36.331 [10] are met.

NOTE: Upper layers initiate an RRC connection resume. The interaction with NAS is left to UE implementation.

#### 5.3.13.2 Initiation

The UE initiates the procedure when upper layers or AS (when responding to RAN paging, upon triggering RNA updates while the UE is in RRC\_INACTIVE, or for NR sidelink communication/V2X sidelink communication as specified in sub-clause 5.3.13.1a) requests the resume of a suspended RRC connection.

The UE shall ensure having valid and up to date essential system information as specified in clause 5.2.2.2 before initiating this procedure.

Upon initiation of the procedure, the UE shall:

1> if the resumption of the RRC connection is triggered by response to NG-RAN paging:

2> select '0' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.14 using the selected Access Category and one or more Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> else if the resumption of the RRC connection is triggered by upper layers:

2> if the upper layers provide an Access Category and one or more Access Identities:

3> perform the unified access control procedure as specified in 5.3.14 using the Access Category and Access Identities provided by upper layers;

4> if the access attempt is barred, the procedure ends;

2> if the resumption occurs after release with redirect with *mpsPriorityIndication*:

3> set the resumeCause to mps-PriorityAccess;

2> else:

3> set the *resumeCause* in accordance with the information received from upper layers;

1> else if the resumption of the RRC connection is triggered due to an RNA update as specified in 5.3.13.8:

2> if an emergency service is ongoing:

NOTE: How the RRC layer in the UE is aware of an ongoing emergency service is up to UE implementation.

3> select '2' as the Access Category;

3> set the *resumeCause* to *emergency*;

2> else:

3> select '8' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.14 using the selected Access Category and one or more Access Identities to be applied as specified in TS 24.501 [23];

3> if the access attempt is barred:

4> set the variable *pendingRNA-Update* to *true*;

4> the procedure ends;

1> if the UE is in NE-DC or NR-DC:

2> if the UE does not support maintaining SCG configuration upon connection resumption:

3> release the MR-DC related configurations (i.e., as specified in 5.3.5.10) from the UE Inactive AS context, if stored;

1> if the UE does not support maintaining the MCG SCell configurations upon connection resumption:

2> release the MCG SCell(s) from the UE Inactive AS context, if stored;

1> apply the default L1 parameter values as specified in corresponding physical layer specifications, except for the parameters for which values are provided in *SIB1*;

1> apply the default SRB1 configuration as specified in 9.2.1;

1> apply the default MAC Cell Group configuration as specified in 9.2.2;

1> release *delayBudgetReportingConfig* from the UE Inactive AS context, if stored;

1> stop timer T342, if running;

1> release *overheatingAssistanceConfig* from the UE Inactive AS context, if stored;

1> stop timer T345, if running;

1> release *idc-AssistanceConfig* from the UE Inactive AS context, if stored;

1> release *drx-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346a, if running;

1> release *maxBW-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346b, if running;

1> release *maxCC-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346c, if running;

1> release *maxMIMO-LayerPreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346d, if running;

1> release *minSchedulingOffsetPreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346e, if running;

1> release *releasePreferenceConfig* from the UE Inactive AS context, if stored;

1> release *wlanNameList* from the UE Inactive AS context, if stored;

1> release *btNameList* from the UE Inactive AS context, if stored;

1> release *sensorNameList* from the UE Inactive AS context, if stored;

1> release *obtainCommonLocation* from the UE Inactive AS context, if stored;

1> stop timer T346f, if running;

1> release *referenceTimePreferenceReporting* from the UE Inactive AS context, if stored;

1> release *sl-AssistanceConfigNR* from the UE Inactive AS context, if stored;

1> apply the CCCH configuration as specified in 9.1.1.2;

1> apply the *timeAlignmentTimerCommon* included in *SIB1*;

1> start timer T319;

1> set the variable *pendingRNA-Update* to *false*;

1> initiate transmission of the *RRCResumeRequest* message or *RRCResumeRequest1* in accordance with 5.3.13.3.

#### 5.3.13.3 Actions related to transmission of *RRCResumeRequest* or *RRCResumeRequest1* message

The UE shall set the contents of *RRCResumeRequest* or *RRCResumeRequest1* message as follows:

1> if field *useFullResumeID* is signalled in *SIB1*:

2> select *RRCResumeRequest1* as the message to use;

2> set the *resumeIdentity* to the stored *fullI-RNTI* value;

1> else:

2> select *RRCResumeRequest* as the message to use;

2> set the *resumeIdentity* to the stored *shortI-RNTI* value;

1> restore the RRC configuration, RoHC state, the stored QoS flow to DRB mapping rules and the KgNB and KRRCint keys from the stored UE Inactive AS context except for the following:

- masterCellGroup;

- mrdc-SecondaryCellGroup, if stored; and

- pdcp-Config;

1> set the *resumeMAC-I* to the 16 least significant bits of the MAC-I calculated:

2> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarResumeMAC-Input*;

2> with the KRRCint key in the UE Inactive AS Context and the previously configured integrity protection algorithm; and

2> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

1> derive the KgNB key based on the current KgNB key or the NH, using the stored *nextHopChainingCount* value, as specified in TS 33.501 [11];

1> derive the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

1> configure lower layers to apply integrity protection for all radio bearers except SRB0 using the configured algorithm and the KRRCint key and KUPint key derived in this subclause immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;

NOTE 1: Only DRBs with previously configured UP integrity protection shall resume integrity protection.

1> configure lower layers to apply ciphering for all radio bearers except SRB0 and to apply the configured ciphering algorithm, the KRRCenc key and the KUPenc key derived in this subclause, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

1> re-establish PDCP entities for SRB1;

1> resume SRB1;

1> submit the selected message *RRCResumeRequest* or *RRCResumeRequest1* for transmission to lower layers.

NOTE 2: Only DRBs with previously configured UP ciphering shall resume ciphering.

If lower layers indicate an integrity check failure while T319 is running, perform actions specified in 5.3.13.5.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.13.6.

#### 5.3.13.4 Reception of the *RRCResume* by the UE

The UE shall:

1> stop timer T319;

1> stop timer T380, if running;

1> if T331 is running:

2> stop timer T331;

2> perform the actions as specified in 5.7.8.3;

1> if the *RRCResume* includes the *fullConfig*:

2> perform the full configuration procedure as specified in 5.3.5.11;

1> else:

2> if the *RRCResume* does not include the *restoreMCG-SCells*:

3> release the MCG SCell(s) from the UE Inactive AS context, if stored;

2> if the *RRCResume* does not include the *restoreSCG*:

3> release the MR-DC related configurations (i.e., as specified in 5.3.5.10) from the UE Inactive AS context, if stored;

2> restore the *masterCellGroup, mrdc-SecondaryCellGroup*, if stored, and *pdcp-Config* from the UE Inactive AS context;

2> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;

1> discard the UE Inactive AS context;

1> release the *suspendConfig* except the *ran-NotificationAreaInfo*;

1> if the *RRCResume* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCResume* includes the *mrdc-SecondaryCellGroup:*

2> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

3> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

2> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

3> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

1> if the *RRCResume* includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCResume* message includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCResume* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCResume* message includes the *needForGapsConfigNR*:

2> if *needForGapsConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap requirement information of NR target bands;

1> resume SRB2, SRB3 (if configured), and all DRBs;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T320, if running;

1> if the *RRCResume* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> resume measurements if suspended;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> enter RRC\_CONNECTED;

1> indicate to upper layers that the suspended RRC connection has been resumed;

1> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> set the content of the of *RRCResumeComplete* message as follows:

2> if the upper layer provides NAS PDU, set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if upper layers provides a PLMN and UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

3> set the *selectedPLMN-Identity* from the *npn-IdentityInfoList*;

2> else:

3> set the *selectedPLMN-Identity* to the PLMN selected by upper layers from the *plmn-IdentityInfoList*;

2> if the *masterCellGroup* contains the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each MCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each MCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *masterCellGroup* contains the *reportUplinkTxDirectCurrentTwoCarrier*:

3> include in the *uplinkTxDirectCurrentTwoCarrierList* the list of uplink Tx DC locations for the configured uplink carrier aggregation in the MCG;

2> if the UE has idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*:

3> if the *idleModeMeasurementReq* is included in the *RRCResume* message:

4> set the *measResultIdleEUTRA* in the *RRCResumeComplete* message to the value of *measReportIdleEUTRA* in the *VarMeasIdleReport,* if available;

4> set the *measResultIdleNR* in the *RRCResumeComplete* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;

4> discard the *VarMeasIdleReport* upon successful delivery of the *RRCResumeComplete* message is confirmed by lower layers;

3> else:

4> if the SIB1 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

4> if the SIB1 contains *idleModeMeasurementsEUTRA* and the UE has E-UTRA idle/inactive measurement information available in *VarMeasIdleReport*:

5> include the *idleMeasAvailable*;

2> if the *RRCResume* message includes *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCResume* message includes *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the SCG *RRCReconfigurationComplete* message;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> if UE configured signalling based logged measurement is stopped due to the expiry of T330

4> include the sigLogMeasConfigAvailable in the *RRCResumeComplete* message;

3> include the *logMeasAvailable* in the *RRCResumeComplete* message*;*

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCResumeComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCResumeComplete* message;

3> if T330 is running:

4> include the *t330Available* in the *RRCResumeComplete* message;

2> if the UE has signalling based logged measurement and no results are available (e.g. so far nothing stored or all previously stored results retrieved), or signalling based logged measurement is stopped due to the expiry of T330 and the UE still has un-retrived results:

3> include the *sigLogMeasConfigAvailable* in the *RRCResumeComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* or *VarConnEstFailReportList* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport* or *VarConnEstFailReportList*:

3> include *connEstFailInfoAvailable* in the *RRCResumeComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*; or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCResumeComplete* message;

2> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

3> include the *mobilityHistoryAvail* in the *RRCResumeComplete* message;

2> if *speedStateReselectionPars* is configured in the *SIB2*:

3> include the *mobilityState* in the *RRCResumeComplete* message and set it to the mobility state (as specified in TS 38.304 [20]) of the UE just prior to entering RRC\_CONNECTED state;

2> if the UE is configured to provide the measurement gap requirement information of NR target bands:

3> include the *NeedForGapsInfoNR* and set the contents as follows:

4> include *intraFreq-needForGap* and set the gap requirement information of intra-frequency measurement for each NR serving cell;

4> if *requestedTargetBandFilterNR* is configured, for each supported NR band that is also included in *requestedTargetBandFilterNR*, include an entry in *interFreq-needForGap* and set the gap requirement information for that band; otherwise, include an entry in *interFreq-needForGap* and set the corresponding gap requirement information for each supported NR band;

1> submit the *RRCResumeComplete* message to lower layers for transmission;

1> the procedure ends.

#### 5.3.13.5 T319 expiry or Integrity check failure from lower layers while T319 is running

The UE shall:

1> if timer T319 expires:

2> if the UE has connection establishment failure information or connection resume failure informaton available in *VarConnEstFailReport* and if the RPLMN is not equal to plmn-identity stored in *VarConnEstFailReport*; or

2> if the cell identity of current cell is not equal to the cell identity stored in *measResultFailedCell* in *VarConnEstFailReport*:

3> reset the *numberOfConnFail* to 0;

2> clear the content included in *VarConnEstFailReport* except for the *numberOfConnFail*, if any;

2> store the following connection resume failure information in the *VarConnEstFailReport* by setting its fields as follows:

3> set the *plmn-Identity* to the PLMN selected by upper layers (see TS 24.501 [23]) from the PLMN(s) included in the *plmn-IdentityInfoList* in *SIB1*;

3> set the *measResultFailedCell* to include the global cell identity, tracking area code, the cell level and SS/PBCH block level RSRP, and RSRQ, and SS/PBCH block indexes, of the failed cell based on the available SSB measurements collected up to the moment the UE detected connection resume failure;

3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies per RAT and according to the following:

4> for each neighbour cell included, include the optional fields that are available;

NOTE: The UE includes the latest results of the available measurements as used for cell reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 38.133 [14].

3> if available, set the *locationInfo* as in 5.3.3.7;

3> set *perRAInfoList* to indicate the performed random access procedure related information as specified in 5.7.10.5;

3> if *numberOfConnFail* is smaller than 8:

4> increment the *numberOfConnFail* by 1;

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure'.

1> else if upon receiving Integrity check failure indication from lower layers while T319 is running:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure'.

The UE may discard the connection resume failure or connection establishment failure information, i.e. release the UE variable *VarConnEstFailReport*, 48 hours after the last connection resume failure is detected.

#### 5.3.13.6 Cell re-selection or cell selection while T390, T319 or T302 is running (UE in RRC\_INACTIVE)

The UE shall:

1> if cell reselection occurs while T319 or T302 is running:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure';

1> else if cell selection or reselection occurs while T390 is running:

2> stop T390 for all access categories;

2> perform the actions as specified in 5.3.14.4.

#### 5.3.13.7 Reception of the *RRCSetup* by the UE

The UE shall:

1> perform the RRC connection setup procedure as specified in 5.3.3.4.

#### 5.3.13.8 RNA update

In RRC\_INACTIVE state, the UE shall:

1> if T380 expires; or

1> if RNA Update is triggered at reception of SIB1, as specified in 5.2.2.4.2:

2> initiate RRC connection resume procedure in 5.3.13.2 with *resumeCause* set to *rna-Update*;

1> if barring is alleviated for Access Category '8' or Access Category '2', as specified in 5.3.14.4:

2> if upper layers do not request RRC the resumption of an RRC connection, and

2> if the variable *pendingRNA-Update* is set to *true*:

3> initiate RRC connection resume procedure in 5.3.13.2 with *resumeCause* value set to *rna-Update*.

If the UE in RRC\_INACTIVE state fails to find a suitable cell and camps on the acceptable cell to obtain limited service as defined in TS 38.304 [20], the UE shall:

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'other'.

NOTE: It is left to UE implementation how to behave when T380 expires while the UE is camped neither on a suitable nor on an acceptable cell.

#### 5.3.13.9 Reception of the *RRCRelease* by the UE

The UE shall:

1> perform the actions as specified in 5.3.8.

#### 5.3.13.10 Reception of the *RRCReject* by the UE

The UE shall:

1> perform the actions as specified in 5.3.15.

#### 5.3.13.11 Inability to comply with *RRCResume*

The UE shall:

1> if the UE is unable to comply with (part of) the configuration included in the *RRCResume* message;

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause ′RRC Resume failure′.

NOTE 1: The UE may apply above failure handling also in case the *RRCResume* message causes a protocol error for which the generic error handling as defined in 10 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/failure.

#### 5.3.13.12 Inter RAT cell reselection

Upon reselecting to an inter-RAT cell, the UE shall:

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'other'.

*<Next modification>*

### 5.5.2 Measurement configuration

#### 5.5.2.1 General

The network applies the procedure as follows:

- to ensure that, whenever the UE has a *measConfig* associated with a CG, it includes a *measObject* for the SpCell and for each NR SCell of the CG to be measured;

- to configure at most one measurement identity across all CGs using a reporting configuration with the *reportType* set to *reportCGI;*

- to configure at most one measurement identity per the node hosting PDCP entity using a reporting configuration with the *ul-DelayValueConfig;*

- to configure at most one measurement identity per the node hosting PDCP entity using a reporting configuration with the *ul-ExcessDelayConfig;*

-to ensure that, in the *measConfig* associated with a CG:

- for all SSB based measurements there is at most one measurement object with the same *ssbFrequency*;

*-* an *smtc1* included in any measurement object with the same *ssbFrequency* has the same value and that an *smtc2* included in any measurement object with the same *ssbFrequency* has the same value and that an *smtc3list* included in any measurement object with the same *ssbFrequency* has the same value;

- to ensure that all measurement objects configured in this specification and in TS 36.331 [10] with the same *ssbFrequency* have the same *ssbSubcarrierSpacing*;

- to ensure that, if a measurement object associated with the MCG has the same *ssbFrequency* as a measurement object associated with the SCG:

- for that *ssbFrequency*, the measurement window according to the *smtc1* configured by the MCG includes the measurement window according to the *smtc1* configured by the SCG, or vice-versa, with an accuracy of the maximum receive timing difference specified in TS 38.133 [14].

- if both measurement objects are used for RSSI measurements, bits in *measurementSlots* in both objects corresponding to the same slot are set to the same value. Also, the *endSymbol* is the same in both objects.

- to ensure that, if a measurement object has the same *ssbFrequency* as a measurement object configured in TS 36.331 [10]:

- for that *ssbFrequency*, the measurement window according to the *smtc* configured in TS 36.331 [10] includes the measurement window according to the *smtc1* configured in TS 38.331, or vice-versa, with an accuracy of the maximum receive timing difference specified in TS 38.133 [14].

- if both measurement objects are used for RSSI measurements, bits in *measurementSlots* in both objects corresponding to the same slot are set to the same value. Also, the *endSymbol* is the same in both objects.

- when the UE is in NE-DC, NR-DC, or NR standalone, to configure at most one measurement identity across all CGs using a reporting configuration with the *reportType* set to *reportSFTD*;

For CSI-RS resources, the network applies the procedure as follows:

- to ensure that all CSI-RS resources configured in each measurement object have the same center frequency, (*startPRB*+floor(*nrofPRBs*/2))

- to ensure that the total number of CSI-RS resources configured in each measurement object does not exceed the maximum number specified in TS 38.214 [19].

The UE shall:

1> if the received *measConfig* includes the *measObjectToRemoveList*:

2> perform the measurement object removal procedure as specified in 5.5.2.4;

1> if the received *measConfig* includes the *measObjectToAddModList*:

2> perform the measurement object addition/modification procedure as specified in 5.5.2.5;

1> if the received *measConfig* includes the *reportConfigToRemoveList*:

2> perform the reporting configuration removal procedure as specified in 5.5.2.6;

1> if the received *measConfig* includes the *reportConfigToAddModList*:

2> perform the reporting configuration addition/modification procedure as specified in 5.5.2.7;

1> if the received *measConfig* includes the *quantityConfig*:

2> perform the quantity configuration procedure as specified in 5.5.2.8;

1> if the received *measConfig* includes the *measIdToRemoveList*:

2> perform the measurement identity removal procedure as specified in 5.5.2.2;

1> if the received *measConfig* includes the *measIdToAddModList*:

2> perform the measurement identity addition/modification procedure as specified in 5.5.2.3;

1> if the received *measConfig* includes the *measGapConfig*:

2> perform the measurement gap configuration procedure as specified in 5.5.2.9;

1> if the received *measConfig* includes the *measGapSharingConfig*:

2> perform the measurement gap sharing configuration procedure as specified in 5.5.2.11;

1> if the received *measConfig* includes the *s-MeasureConfig*:

2> if *s-MeasureConfig* is set to *ssb-RSRP*, set parameter *ssb-RSRP* of *s-MeasureConfig* within *VarMeasConfig* to the lowest value of the RSRP ranges indicated by the received value of *s-MeasureConfig;*

2> else, set parameter *csi-RSRP* of *s-MeasureConfig* within *VarMeasConfig* to the lowest value of the RSRP ranges indicated by the received value of *s-MeasureConfig*.

*<Next modification>*

### 5.5.3 Performing measurements

#### 5.5.3.1 General

An RRC\_CONNECTED UE shall derive cell measurement results by measuring one or multiple beams associated per cell as configured by the network, as described in 5.5.3.3. For all cell measurement results, except for RSSI, and CLI measurement results in RRC\_CONNECTED, the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria, measurement reporting or the criteria to trigger conditional reconfiguration execution. For cell measurements, the network can configure RSRP, RSRQ, SINR, RSCP or EcN0 as trigger quantity. For CLI measurements, the network can configure SRS-RSRP or CLI-RSSI as trigger quantity. For cell and beam measurements, reporting quantities can be any combination of quantities (i.e. only RSRP; only RSRQ; only SINR; RSRP and RSRQ; RSRP and SINR; RSRQ and SINR; RSRP, RSRQ and SINR; only RSCP; only EcN0; RSCP and EcN0), irrespective of the trigger quantity, and for CLI measurements, reporting quantities can be either SRS-RSRP or CLI-RSSI. For conditional reconfiguration execution, the network can configure up to 2 quantities, both using same RS type. The UE does not apply the layer 3 filtering as specified in 5.5.3.2 to derive the CBR measurements.

The network may also configure the UE to report measurement information per beam (which can either be measurement results per beam with respective beam identifier(s) or only beam identifier(s)), derived as described in 5.5.3.3a. If beam measurement information is configured to be included in measurement reports, the UE applies the layer 3 beam filtering as specified in 5.5.3.2. On the other hand, the exact L1 filtering of beam measurements used to derive cell measurement results is implementation dependent.

The UE shall:

1> whenever the UE has a *measConfig*, perform RSRP and RSRQ measurements for each serving cell for which *servingCellMO* is configured as follows:

2> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains an *rsType* set to *ssb* and *ssb-ConfigMobility* is configured in the *measObject* indicated by the *servingCellMO*:

3> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* and contains an *rsType* set to *ssb*:

4> derive layer 3 filtered RSRP and RSRQ per beam for the serving cell based on SS/PBCH block, as described in 5.5.3.3a;

3> derive serving cell measurement results based on SS/PBCH block, as described in 5.5.3.3;

2> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains an *rsType* set to *csi-rs* and *CSI-RS-ResourceConfigMobility* is configured in the *measObject* indicated by the *servingCellMO*:

3> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* and contains an *rsType* set to *csi-rs*:

4> derive layer 3 filtered RSRP and RSRQ per beam for the serving cell based on CSI-RS, as described in 5.5.3.3a;

3> derive serving cell measurement results based on CSI-RS, as described in 5.5.3.3;

1> for each serving cell for which *servingCellMO* is configured, if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains SINR as trigger quantity and/or reporting quantity:

2> if the *reportConfig* contains *rsType* set to *ssb* and *ssb-ConfigMobility* is configured in the *servingCellMO*:

3> if the *reportConfig*contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

4> derive layer 3 filtered SINR per beam for the serving cell based on SS/PBCH block, as described in 5.5.3.3a;

3> derive serving cell SINR based on SS/PBCH block, as described in 5.5.3.3;

2> if the *reportConfig* contains *rsType* set to *csi-rs* and *CSI-RS-ResourceConfigMobility* is configured in the *servingCellMO*:

3> if the *reportConfig*contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

4> derive layer 3 filtered SINR per beam for the serving cell based on CSI-RS, as described in 5.5.3.3a;

3> derive serving cell SINR based on CSI-RS, as described in 5.5.3.3;

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if the *reportType* for the associated *reportConfig* is set to *reportCGI* and timer T321 is running:

3> if *useAutonomousGaps* is configured for the associated *reportConfig*:

4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using autonomous gaps as necessary;

3> else:

4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods;

3> if the cell indicated by *reportCGI* field for the associated *measObject* is an NR cell and that indicated cell is broadcasting *SIB1* (see TS 38.213 [13], clause 13):

4> try to acquire *SIB1* in the concerned cell;

3> if the cell indicated by *reportCGI* field is an E-UTRA cell:

4> try to acquire *SystemInformationBlockType1* in the concerned cell;

2> if the *ul-DelayValueConfig* is configured for the associated *reportConfig*:

3> ignore the *measObject;*

3> for each of the configured DRBs*,* configure the PDCP layer to perform corresponding average UL PDCP packet delay measurement per DRB;

2> if the *ul-ExcessDelayConfig* is configured for the associated *reportConfig*:

3> ignore the *measObject;*

3> for each of the configured DRBs*,* configure the PDCP layer to perform corresponding UL PDCP Excess Packet Delay delay measurement per DRB;

2> if the *reportType* for the associated *reportConfig* is *periodical*, *eventTriggered* or *condTriggerConfig*:

3> if a measurement gap configuration is setup, or

3> if the UE does not require measurement gaps to perform the concerned measurements:

4> if *s-MeasureConfig* is not configured, or

4> if *s-MeasureConfig* is set to *ssb-RSRP* and the NR SpCell RSRP based on SS/PBCH block, after layer 3 filtering, is lower than *ssb-RSRP,* or

4> if *s-MeasureConfig* is set to *csi-RSRP* and the NR SpCell RSRP based on CSI-RS, after layer 3 filtering, is lower than *csi-RSRP*:

5> if the *measObject* is associated to NR and the *rsType* is set to *csi-rs*:

6> if reportQuantityRS-Indexes and maxNrofRS-IndexesToReport for the associated reportConfig are configured:

7> derive layer 3 filtered beam measurements only based on CSI-RS for each measurement quantity indicated in *reportQuantityRS-Indexes*, as described in 5.5.3.3a;

6> derive cell measurement results based on CSI-RS for the trigger quantity and each measurement quantity indicated in *reportQuantityCell* using parameters from the associated *measObject*, as described in 5.5.3.3;

5> if the *measObject* is associated to NR and the *rsType* is set to *ssb*:

6> if reportQuantityRS-Indexes and maxNrofRS-IndexesToReport for the associated reportConfig are configured:

7> derive layer 3 beam measurements only based on SS/PBCH block for each measurement quantity indicated in *reportQuantityRS-Indexes*, as described in 5.5.3.3a;

6> derive cell measurement results based on SS/PBCH block for the trigger quantity and each measurement quantity indicated in *reportQuantityCell* using parameters from the associated *measObject*, as described in 5.5.3.3;

5> if the *measObject* is associated to E-UTRA:

6> perform the corresponding measurements associated to neighbouring cells on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.2;

5> if the measObject is associated to UTRA-FDD:

6> perform the corresponding measurements associated to neighbouring cells on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.2;

4> if the *measRSSI-ReportConfig* is configured in the associated *reportConfig*:

5> perform the RSSI and channel occupancy measurements on the frequency indicated in the associated *measObject*;

2> if the *reportType* for the associated *reportConfig* is set to *reportSFTD* and the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* is less than one:

3> if the *reportSFTD-Meas* is set to *true:*

4> if the *measObject* is associated to E-UTRA:

5> perform SFTD measurements between the PCell and the E-UTRA PSCell;

5> if the *reportRSRP* is set to *true*;

6> perform RSRP measurements for the E-UTRA PSCell;

4> else if the *measObject* is associated to NR:

5> perform SFTD measurements between the PCell and the NR PSCell;

5> if the *reportRSRP* is set to *true*;

6> perform RSRP measurements for the NR PSCell based on SSB;

3> else if the *reportSFTD-NeighMeas* is included*:*

4> if the *measObject* is associated to NR:

5> if the *drx-SFTD-NeighMeas* is included:

6> perform SFTD measurements between the PCell and the NR neighbouring cell(s) detected based on parameters in the associated *measObject* using available idle periods;

5> else:

6> perform SFTD measurements between the PCell and the NR neighbouring cell(s) detected based on parameters in the associated *measObject*;

5> if the *reportRSRP* is set to *true*:

6> perform RSRP measurements based on SSB for the NR neighbouring cell(s) detected based on parameters in the associated *measObject*;

2> if the *reportType* for the associated *reportConfig* is *cli-Periodical* or *cli-EventTriggered*:

3> perform the corresponding measurements associated to CLI measurement resources indicated in the concerned *measObjectCLI*;

2> perform the evaluation of reporting criteria as specified in 5.5.4, except if *reportConfig* is *condTriggerConfig*.

NOTE 1: The evaluation of conditional reconfiguration execution criteria is specified in 5.3.5.13.

The UE capable of CBR measurement when configured to transmit NR sidelink communication shall:

1> If the frequency used for NR sidelink communication is included in *sl-FreqInfoToAddModList* in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message or includedin *sl-ConfigCommonNR* within *SIB12*:

2> if the UE is in RRC\_IDLE or in RRC\_INACTIVE:

3> if the cell chosen for NR sidelink communication provides *SIB12* which includes *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* forthe concerned frequency:

4> perform CBR measurement on pools in *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* for the concerned frequency in *SIB12*;

2> if the UE is in RRC\_CONNECTED:

3> if *tx-PoolMeasToAddModList* is included in *VarMeasConfig*:

4> perform CBR measurements on each transmission resource pool indicated in the *tx-PoolMeasToAddModList*;

3> if *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* is included in *sl-ConfigDedicatedNR* for the concerned frequency within *RRCReconfiguration*:

4> perform CBR measurement on pool(s) in *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* if included in *sl-ConfigDedicatedNR* for the concerned frequency within *RRCReconfiguration*;

3> else if the cell chosen for NR sidelink communication provides *SIB12* which includes *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* forthe concerned frequency:

4> perform CBR measurement on pool(s) in *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* for the concerned frequency in *SIB12*;

1> else:

2> perform CBR measurement on pool(s) in *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* in *SidelinkPreconfigNR* for the concerned frequency.

NOTE 2: In case the configurations for NR sidelink communication and CBR measurement are acquired via the E-UTRA, configurations for NR sidelink communication in *SIB12*, *sl-ConfigDedicatedNR* within *RRCReconfiguration* used in this subclause are provided by the configurations in *SystemInformationBlockType28*, *sl-ConfigDedicatedForNR* within *RRCConnectionReconfiguration* as specified in TS 36.331[10], respectively.

NOTE 3: If a UE that is configured by upper layers to transmit V2X sidelink communication is configured by NR with transmission resource pool(s) and the measurement objects concerning V2X sidelink communication (i.e. by *sl-ConfigDedicatedEUTRA-Info*), it shall perform CBR measurement as specified in subclause 5.5.3 of TS 36.331 [10], based on the transmission resource pool(s) and the measurement object(s) concerning V2X sidelink communication configured by NR.

NOTE 4: For V2X sidelink communication, each of the CBR measurement results is associated with a resource pool, as indicated by the *poolReportId* (see TS 36.331 [10]), that refers to a pool as included in *sl-ConfigDedicatedEUTRA-Info* or *SIB13*.

#### 5.5.3.2 Layer 3 filtering

*<Next modification>*

### 5.5.4 Measurement report triggering

#### 5.5.4.1 General

If AS security has been activated successfully, the UE shall:

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if the corresponding *reportConfig* includes a *reportType* set to *eventTriggered* or *periodical*:

3> if the corresponding *measObject* concerns NR:

4> if the corresponding *reportConfig* includes *measRSSI-ReportConfig*:

5> consider the resource indicated by the *rmtc-Config* on the associated frequency to be applicable;

4> if the *eventA1* or *eventA2* is configured in the corresponding *reportConfig*:

5> consider only the serving cell to be applicable;

4> if the *eventA3* or *eventA5* is configured in the corresponding *reportConfig*:

5> if a serving cell is associated with a *measObjectNR* and neighbours are associated with another *measObjectNR*, consider any serving cell associated with the other *measObjectNR* to be a neighbouring cell as well;

4> if corresponding *reportConfig* includes *reportType* set to *periodical*; or

4> for measurement events other than *eventA1* or *eventA2*:

5> if *useWhiteCellList* is set to *true*:

6> consider any neighbouring cell detected based on parameters in the associated *measObjectNR* to be applicable when the concerned cell is included in the *whiteCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;

5> else:

6> consider any neighbouring cell detected based on parameters in the associated *measObjectNR* to be applicable when the concerned cell is not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;

3> else if the corresponding *measObject* concerns E-UTRA:

4> if *eventB1* or *eventB2* is configured in the corresponding *reportConfig*:

5> consider a serving cell, if any, on the associated E-UTRA frequency as neighbour cell;

4> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is not included in the *blackCellsToAddModListEUTRAN* defined within the *VarMeasConfig* for this *measId*;

3> else if the corresponding *measObject* concerns UTRA-FDD:

4> if *eventB1-UTRA-FDD* or *eventB2-UTRA-FDD* is configured in the corresponding *reportConfig*; or

4> if corresponding *reportConfig* includes *reportType* set to *periodical*:

5> consider a neighbouring cell on the associated frequency to be applicable when the concerned cell is included in the *cellsToAddModList* defined within the *VarMeasConfig* for this *measId*;

2> else if the corresponding *reportConfig* includes a *reportType* set to *reportCGI*:

3> consider the cell detected on the associated *measObject* which has a physical cell identity matching the value of the *cellForWhichToReportCGI* included in the corresponding *reportConfig* within the *VarMeasConfig* to be applicable;

2> else if the corresponding *reportConfig* includes a *reportType* set to *reportSFTD*:

3> if the corresponding *measObject* concerns NR:

4> if the *reportSFTD-Meas* is set to *true*:

5> consider the NR PSCell to be applicable;

4> else if the *reportSFTD-NeighMeas* is included:

5> if *cellsForWhichToReportSFTD* is configured in the corresponding *reportConfig*:

6> consider any NR neighbouring cell detected on the associated *measObjectNR* which has a physical cell identity that is included in the *cellsForWhichToReportSFTD* to be applicable;

5> else:

6> consider up to 3 strongest NR neighbouring cells detected based on parameters in the associated *measObjectNR* to be applicable when the concerned cells are not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;

3> else if the corresponding *measObject* concerns E-UTRA:

4> if the *reportSFTD-Meas* is set to *true*:

5> consider the E-UTRA PSCell to be applicable;

2> else if the corresponding *reportConfig* includes a *reportType* set to *cli-Periodical or cli-EventTriggered*:

3> consider all CLI measurement resources included in the corresponding *measObject* to be applicable;

2> if the corresponding *reportConfig* concerns the reporting for NR sidelink communication (i.e. *reportConfigNR-SL*):

3> consider the transmission resource pools indicated by the *tx-PoolMeasToAddModList* defined within the *VarMeasConfig* for this *measId* to be applicable;

2> if the *reportType* is set to *eventTriggered* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include a measurement reporting entry for this *measId* (a first cell triggers the event):

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if *useT312* is set to *true* in *reportConfig* for this event:

4> if T310 for the corresponding SpCell is running; and

4> if T312 is not running for corresponding SpCell:

5> start timer T312 for the corresponding SpCell with the value of T312 configured in the corresponding *measObjectNR*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> else if the *reportType* is set to *eventTriggered* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells not included in the *cellsTriggeredList* for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent cell triggers the event):

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if *useT312* is set to *true* in *reportConfig* for this event:

4> if T310 for the corresponding SpCell is running; and

4> if T312 is not running for corresponding SpCell:

5> start timer T312 for the corresponding SpCell with the value of T312 configured in the corresponding *measObjectNR*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> else if the *reportType* is set to *eventTriggered* and if the leaving condition applicable for this event is fulfilled for one or more of the cells included in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements after layer 3 filtering taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:

3> remove the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if *reportOnLeave* is set to *true* for the corresponding reporting configuration:

4> initiate the measurement reporting procedure, as specified in 5.5.5;

3> if the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:

4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;

4> stop the periodical reporting timer for this *measId*, if running;

2> else if the *reportType* is set to *eventTriggered* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable transmission resource pools for all measurements taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include an measurement reporting entry for this *measId* (a first transmission resource pool triggers the event):

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> else if the *reportType* is set to *eventTriggered* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable transmission resource pools not included in the *poolsTriggeredList* for all measurements taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent transmission resource pool triggers the event):

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> else if the *reportType* is set to *eventTriggered* and if the leaving condition applicable for this event is fulfilled for one or more applicable transmission resource pools included in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:

3> remove the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:

4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;

4> stop the periodical reporting timer for this *measId*, if running

NOTE 1: Void.

2> if *reportType* is set to *periodical* and if a (first) measurement result is available:

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> if the corresponding *reportConfig* includes *measRSSI-ReportConfig*:

4> initiate the measurement reporting procedure as specified in 5.5.5 immediately when RSSI sample values are reported by the physical layer after the first L1 measurement duration;

3> else if the corresponding *reportConfig* includes the *ul-DelayValueConfig*:

4> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after a first measurement result is provided from lower layers of the associated DRB identity;

3> else if the corresponding *reportConfig* includes the *ul-ExcessDelayConfig*:

4> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after a first measurement result is provided from lower layers of the associated DRB identity(ies);

3> else if the *reportAmount* exceeds 1:

4> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the NR SpCell;

3> else (i.e. the *reportAmount* is equal to 1):

4> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the NR SpCell and for the strongest cell among the applicable cells;

2> if, in case the corresponding *reportConfig* concerns the reporting for NR sidelink communication, *reportType* is set to *periodical* and if a (first) measurement result is available:

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the NR SpCell and CBR measurement results become available;

*<Next modification>*

### 5.5.5 Measurement reporting

#### 5.5.5.1 General



Figure 5.5.5.1-1: Measurement reporting

The purpose of this procedure is to transfer measurement results from the UE to the network. The UE shall initiate this procedure only after successful AS security activation.

For the *measId* for which the measurement reporting procedure was triggered, the UE shall set the *measResults* within the *MeasurementReport* message as follows:

1> set the *measId* to the measurement identity that triggered the measurement reporting;

1> for each serving cell configured with *servingCellMO*:

2> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *rsType*:

3> if the serving cell measurements based on the *rsType* included in the *reportConfig* that triggered the measurement report are available:

4> set the *measResultServingCell* within *measResultServingMOList* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on the *rsType* included in the *reportConfig* that triggered the measurement report;

2> else:

3> if SSB based serving cell measurements are available:

4> set the *measResultServingCell* within *measResultServingMOList* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on SSB;

3> else if CSI-RS based serving cell measurements are available:

4> set the *measResultServingCell* within *measResultServingMOList* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on CSI-RS;

1> set the *servCellId* within *measResultServingMOList* to include each NR serving cell that is configured with *servingCellMO*, if any;

1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

2> for each serving cell configured with *servingCellMO*, include beam measurement information according to the associated *reportConfig* as described in 5.5.5.2;

1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:

2> for each *measObjectId* referenced in the *measIdList* which is also referenced with *servingCellMO*, other than the *measObjectId* corresponding with the *measId* that triggered the measurement reporting:

3> if the *measObjectNR* indicated by the *servingCellMO* includes the RS resource configuration corresponding to the *rsType* indicated in the *reportConfig*:

4> set the *measResultBestNeighCell* within *measResultServingMOList* to include the *physCellId* and the available measurement quantities based on the *reportQuantityCell* and *rsType* indicated in *reportConfig* of the non-serving cell corresponding to the concerned *measObjectNR* with the highest measured RSRP if RSRP measurement results are available for cells corresponding to this *measObjectNR*, otherwise with the highest measured RSRQ if RSRQ measurement results are available for cells corresponding to this *measObjectNR*, otherwise with the highest measured SINR;

4> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport:*

5> for each best non-serving cell included in the measurement report:

6> include beam measurement information according to the associated *reportConfig* as described in 5.5.5.2;

1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *eventTriggered* and *eventID* is set to *eventA3*, or *eventA4*, or *eventA5*, or *eventB1*, or *eventB2*:

2> if the UE is in NE-DC and the measurement configuration that triggered this measurement report is associated with the MCG:

3> set the *measResultServFreqListEUTRA-SCG* to include an entry for each E-UTRA SCG serving frequency with the following:

4> include *carrierFreq* of the E-UTRA serving frequency;

4> set the *measResultServingCell* to include the available measurement quantities that the UE is configured to measure by the measurement configuration associated with the SCG;

4> if *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:

5> set the *measResultServFreqListEUTRA-SCG* to include within *measResultBestNeighCell* the quantities of the best non-serving cell, based on RSRP, on the concerned serving frequency;

1> if *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *eventTriggered* and *eventID* is set to *eventA3*, or *eventA4*, or *eventA5*:

2> if the UE is in NR-DC and the measurement configuration that triggered this measurement report is associated with the MCG:

3> set the *measResultServFreqListNR-SCG* to include for each NR SCG serving cell that is configured with *servingCellMO*, if any, the following:

4> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *rsType*:

5> if the serving cell measurements based on the *rsType* included in the *reportConfig* that triggered the measurement report are available according to the measurement configuration associated with the SCG:

6> set the *measResultServingCell* within *measResultServFreqListNR-SCG* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on the *rsType* included in the *reportConfig* that triggered the measurement report;

4> else:

5> if SSB based serving cell measurements are available according to the measurement configuration associated with the SCG:

6> set the *measResultServingCell* within *measResultServFreqListNR-SCG* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on SSB;

5> else if CSI-RS based serving cell measurements are available according to the measurement configuration associated with the SCG:

6> set the *measResultServingCell* within *measResultServFreqListNR-SCG* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on CSI-RS;

4> if results for the serving cell derived based on SSB are included:

5> include the *ssbFrequency* to the value indicated by ssbFrequency as included in the *MeasObjectNR* of the serving cell;

4> if results for the serving cell derived based on CSI-RS are included:

5> include the *refFreqCSI-RS* to the value indicated by *refFreqCSI-RS* as included in the *MeasObjectNR* of the serving cell;

4> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

5> for each serving cell configured with *servingCellMO*, include beam measurement information according to the associated *reportConfig* as described in 5.5.5.2, where availability is considered according to the measurement configuration associated with the SCG;

4> if *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:

5> if the *measObjectNR* indicated by the *servingCellMO* includes the RS resource configuration corresponding to the *rsType* indicated in the *reportConfig*:

6> set the *measResultBestNeighCellListNR* within *measResultServFreqListNR-SCG* to include one entry with the *physCellId* and the available measurement quantities based on the *reportQuantityCell* and *rsType* indicated in *reportConfig* of the non-serving cell corresponding to the concerned *measObjectNR* with the highest measured RSRP if RSRP measurement results are available for cells corresponding to this *measObjectNR*, otherwise with the highest measured RSRQ if RSRQ measurement results are available for cells corresponding to this *measObjectNR*, otherwise with the highest measured SINR, where availability is considered according to the measurement configuration associated with the SCG;

7> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport:*

8> for each best non-serving cell included in the measurement report:

9> include beam measurement information according to the associated *reportConfig* as described in 5.5.5.2, where availability is considered according to the measurement configuration associated with the SCG;

1> if the *measRSSI-ReportConfig* is configured within the corresponding *reportConfig* for this *measId*:

2> set the *rssi-Result* to the linear average of sample value(s) provided by lower layers in the *reportInterval;*

2> set the *channelOccupancy* to the rounded percentage of sample values which are beyond the *channelOccupancyThreshold* within all the sample values in the *reportInterval;*

1> if there is at least one applicable neighbouring cell to report:

2> if the *reportType* is set to *eventTriggered* or *periodical*:

3> set the *measResultNeighCells* to include the best neighbouring cells up to *maxReportCells* in accordance with the following:

4> if the *reportType* is set to *eventTriggered*:

5> include the cells included in the *cellsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;

4> else:

5> include the applicable cells for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;

4> for each cell that is included in the *measResultNeighCells*, include the *physCellId*;

4> if the *reportType* is set to *eventTriggered* or *periodical*:

5> for each included cell, include the layer 3 filtered measured results in accordance with the *reportConfig* for this *measId*, ordered as follows:

6> if the *measObject* associated with this *measId* concerns NR:

7> if *rsType* in the associated *reportConfig* is set to *ssb*:

8> set *resultsSSB-Cell* within the *measResult* to include the SS/PBCH block based quantity(ies) indicated in the *reportQuantityCell* within the concerned *reportConfig*, in decreasing order of the sorting quantity, determined as specified in 5.5.5.3, i.e. the best cell is included first;

8> if *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* are configured, include beam measurement information as described in 5.5.5.2;

7> else if *rsType* in the associated *reportConfig* is set to *csi-rs*:

8> set *resultsCSI-RS-Cell* within the *measResult* to include the CSI-RS based quantity(ies) indicated in the *reportQuantityCell* within the concerned *reportConfig*, in decreasing order of the sorting quantity, determined as specified in 5.5.5.3, i.e. the best cell is included first;

8> if *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* are configured, include beam measurement information as described in 5.5.5.2;

6> if the *measObject* associated with this *measId* concerns E-UTRA:

7> set the *measResult* to include the quantity(ies) indicated in the *reportQuantity* within the concerned *reportConfigInterRAT* in decreasing order of the sorting quantity, determined as specified in 5.5.5.3, i.e. the best cell is included first;

6> if the *measObject* associated with this *measId* concerns UTRA-FDD and if *ReportConfigInterRAT* includes the *reportQuantityUTRA-FDD*:

7> set the *measResult* to include the quantity(ies) indicated in the *reportQuantityUTRA-FDD* within the concerned *reportConfigInterRAT* in decreasing order of the sorting quantity, determined as specified in 5.5.5.3, i.e. the best cell is included first;

2> else:

3> if the cell indicated by *cellForWhichToReportCGI* is an NR cell:

4> if *plmn-IdentityInfoList* of the *cgi-Info* for the concerned cell has been obtained:

5> include the *plmn-IdentityInfoList* including *plmn-IdentityList*, *trackingAreaCode* (if available), *ranac* (if available), *cellIdentity* and *cellReservedForOperatorUse* for each entry of the *plmn-IdentityInfoList*;

5> include *frequencyBandList* if available;

4> if *nr-CGI-Reporting-NPN* is supported by the UE and *npn-IdentityInfoList* of the *cgi-Info* for the concerned cell has been obtained:

5> include the *npn-IdentityInfoList* including *npn-IdentityList*, *trackingAreaCode*, *ranac* (if available), *cellIdentity* and *cellReservedForOperatorUse* for each entry of the *npn-IdentityInfoList*;

5> include *cellReservedForOtherUse* if available;

4> else if *MIB* indicates the *SIB1* is not broadcast:

5> include the *noSIB1* including the *ssb-SubcarrierOffset* and *pdcch-ConfigSIB1* obtained from *MIB* of the concerned cell;

3> if the cell indicated by *cellForWhichToReportCGI* is an E-UTRA cell:

4> if all mandatory fields of the *cgi-Info-EPC* for the concerned cell have been obtained:

5> include in the *cgi-Info-EPC* the fields broadcasted in E-UTRA *SystemInformationBlockType1* associated to EPC;

4> if the UE is E-UTRA/5GC capable and all mandatory fields of the *cgi-Info-5GC* for the concerned cell have been obtained:

5> include in the *cgi-Info-5GC* the fields broadcasted in E-UTRA *SystemInformationBlockType1* associated to 5GC;

4> if the mandatory present fields of the *cgi-Info* for the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* have been obtained:

5> include the *freqBandIndicator*;

5> if the cell broadcasts the *multiBandInfoList*, include the *multiBandInfoList*;

5> if the cell broadcasts the *freqBandIndicatorPriority*, include the *freqBandIndicatorPriority*;

1> if the corresponding *measObject* concerns NR:

2> if the *reportSFTD-Meas* is set to *true* within the corresponding *reportConfigNR* for this *measId*:

3> set the *measResultSFTD-NR* in accordance with the following:

4> set *sfn-OffsetResult* and *frameBoundaryOffsetResult* to the measurement results provided by lower layers;

4> if the *reportRSRP* is set to *true*;

5> set *rsrp-Result* to the RSRP of the NR PSCell derived based on SSB;

2> else if the *reportSFTD-NeighMeas* is included within the corresponding *reportConfigNR* for this *measId*:

3> for each applicable cell which measurement results are available, include an entry in the *measResultCellListSFTD-NR* and set the contents as follows:

4> set *physCellId* to the physical cell identity of the concerned NR neighbour cell.

4> set *sfn-OffsetResult* and *frameBoundaryOffsetResult* to the measurement results provided by lower layers;

4> if the *reportRSRP* is set to *true*:

5> set *rsrp-Result* to the RSRP of the concerned cell derived based on SSB;

1> else if the corresponding *measObject* concerns E-UTRA:

2> if the *reportSFTD-Meas* is set to *true* within the corresponding *reportConfigInterRAT* for this *measId*:

3> set the *measResultSFTD-EUTRA* in accordance with the following:

4> set *sfn-OffsetResult* and *frameBoundaryOffsetResult* to the measurement results provided by lower layers;

4> if the *reportRSRP* is set to *true*;

5> set *rsrpResult-EUTRA* to the RSRP of the EUTRA PSCell;

1> if average uplink PDCP delay values are available:

2> set the *ul-PDCP-DelayValueResultList* to include the corresponding average uplink PDCP delay values;

1> if PDCP excess delay measurements are available:

2> set the *ul-PDCP-ExcessDelayResultList* to include the corresponding PDCP excess delay measurements;

1> if the *includeCommonLocationInfo* is configured in the corresponding *reportConfig* for this *measId* and detailed location information that has not been reported is available, set the content of *commonLocationInfo* of the *locationInfo* as follows:

2> include the *locationTimestamp*;

2> include the *locationCoordinate*, if available;

2> include the *velocityEstimate*, if available;

2> include the *locationError*, if available;

2> include the *locationSource*, if available;

2> if available, include the *gnss-TOD-msec*,

1> if the *includeWLAN-Meas* is configured in the corresponding *reportConfig* for this *measId*, set the *wlan-LocationInfo* of the *locationInfo* in the *measResults* as follows:

2> if available, include the *LogMeasResultWLAN*, in order of decreasing RSSI for WLAN APs;

1> if the *includeBT-Meas* is configured in the corresponding *reportConfig* for this *measId*, set the *BT-LocationInfo* of the *locationInfo* in the *measResults* as follows:

2> if available, include the *LogMeasResultBT*, in order of decreasing RSSI for Bluetooth beacons;

1> if the *includeSensor-Meas* is configured in the corresponding *reportConfig* for this *measId*, set the *sensor-LocationInfo* of the *locationInfo* in the *measResults* as follows:

2> if available, include the *sensor-MeasurementInformation*;

2> if available, include the *sensor-MotionInformation*;

1> if there is at least one applicable transmission resource pool for NR sidelink communication (for *measResultsSL*):

2> set the *measResultsListSL* to include the CBR measurement results in accordance with the following:

3> if the *reportType* is set to *eventTriggered*:

4> include the transmission resource pools included in the *poolsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;

3> else:

4> include the applicable transmission resource pools for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;

3> if the corresponding *measObject* concerns NR sidelink communication, then for each transmission resource pool to be reported:

4> set the *sl-poolReportIdentity* to the identity of this transmission resource pool;

4> set the *sl-CBR-ResultsNR* to the CBR measurement results on PSSCH and PSCCH of this transmission resource pool provided by lower layers, if available;

NOTE 1: Void.

1> if there is at least one applicable CLI measurement resource to report:

2> if the *reportType* is set to *cli-EventTriggered* or *cli-Periodical*:

3> set the *measResultCLI* to include the most interfering SRS resources or most interfering CLI-RSSI resources up to *maxReportCLI* in accordance with the following:

4> if the *reportType* is set to *cli-EventTriggered*:

5> if trigger quantity is set to *srs-RSRP* i.e. *i1-Threshold* is set to *srs-RSRP*:

6> include the SRS resource included in the *cli-TriggeredList* as defined within the *VarMeasReportList* for this *measId*;

5> if trigger quantity is set to *cli-RSSI* i.e. *i1-Threshold* is set to *cli-RSSI*:

6> include the CLI-RSSI resource included in the *cli-TriggeredList* as defined within the *VarMeasReportList* for this *measId*;

4> else:

5> if *reportQuantityCLI* is set to *srs-rsrp*:

6> include the applicable SRS resources for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;

5> else:

6> include the applicable CLI-RSSI resources for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;

4> for each SRS resource that is included in the *measResultCLI*:

5> include the *srs-ResourceId*;

5> set *srs-RSRP-Result* to include the layer 3 filtered measured results in decreasing order, i.e. the most interfering SRS resource is included first;

4> for each CLI-RSSI resource that is included in the *measResultCLI*:

5> include the *rssi-ResourceId*;

5> set *cli-RSSI-Result* to include the layer 3 filtered measured results in decreasing order, i.e. the most interfering CLI-RSSI resource is included first;

1> increment the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* by 1;

1> stop the periodical reporting timer, if running;

1> if the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* is less than the *reportAmount* as defined within the corresponding *reportConfig* for this *measId*:

2> start the periodical reporting timer with the value of *reportInterval* as defined within the corresponding *reportConfig* for this *measId*;

1> else:

2> if the *reportType* is set to *periodical* or *cli-Periodical*:

3> remove the entry within the *VarMeasReportList* for this *measId*;

3> remove this *measId* from the *measIdList* within *VarMeasConfig*;

1> if the measurement reporting was configured by a *sl-ConfigDedicatedNR* received within the *RRCConnectionReconfiguration*:

2> submit the *MeasurementReport* message to lower layers for transmission via SRB1, embedded in E-UTRA RRC message *ULInformationTransferIRAT* as specified TS 36.331 [10], clause 5.6.28;

1> else if the UE is in (NG)EN-DC:

2> if SRB3 is configured:

3> submit the *MeasurementReport* message via SRB3 to lower layers for transmission, upon which the procedure ends;

2> else:

3> submit the *MeasurementReport* message via E-UTRA embedded in E-UTRA RRC message *ULInformationTransferMRDC* as specified in TS 36.331 [10].

1> else if the UE is in NR-DC:

2> if the measurement configuration that triggered this measurement report is associated with the SCG:

3> if SRB3 is configured:

4> submit the *MeasurementReport* message via SRB3 to lower layers for transmission, upon which the procedure ends;

3> else:

4> submit the *MeasurementReport* message via SRB1 embedded in NR RRC message *ULInformationTransferMRDC* as specified in5.7.2a.3;

2> else:

3> submit the *MeasurementReport* message via SRB1 to lower layers for transmission, upon which the procedure ends;

1> else:

2> submit the *MeasurementReport* message to lower layers for transmission, upon which the procedure ends.

*<Next modification>*

## 5.5a Logged Measurements

### 5.5a.1 Logged Measurement Configuration

#### 5.5a.1.1 General



Figure 5.5a.1.1-1: Logged measurement configuration

The purpose of this procedure is to configure the UE to perform logging of measurement results while in RRC\_IDLE and RRC\_INACTIVE. The procedure applies to logged measurements capable UEs that are in RRC\_CONNECTED.

NOTE: NG-RAN may retrieve stored logged measurement information by means of the UE information procedure.

#### 5.5a.1.2 Initiation

NG-RAN initiates the logged measurement configuration procedure to UE in RRC\_CONNECTED by sending the *LoggedMeasurementConfiguration* message.

#### 5.5a.1.3 Reception of the *LoggedMeasurementConfiguration* by the UE

Upon receiving the *LoggedMeasurementConfiguration* message the UE shall:

1> discard the logged measurement configuration as well as the logged measurement information as specified in 5.5a.2;

1> store the received *loggingDuration*, *reportType* and *areaConfiguration*, if included, in *VarLogMeasConfig*;

1> if the *LoggedMeasurementConfiguration* message includes *plmn-IdentityList*:

2> set *plmn-IdentityList* in *VarLogMeasReport* to include the RPLMN as well as the PLMNs included in *plmn-IdentityList*;

1> else:

2> set *plmn-IdentityList* in *VarLogMeasReport* to include the RPLMN;

1> store the received *absoluteTimeInfo*, *traceReference,* *traceRecordingSessionRef*, and *tce-Id* in *VarLogMeasReport*;

1> store the received *bt-NameList*, if included, in *VarLogMeasConfig*;

1> store the received *wlan-NameList*, if included, in *VarLogMeasConfig*;

1> store the received *sensor-NameList*, if included, in *VarLogMeasConfig*;

1> start timer T330 with the timer value set to the *loggingDuration*;

#### 5.5a.1.4 T330 expiry

Upon expiry of T330 the UE shall:

1> release *VarLogMeasConfig*;

The UE is allowed to discard stored logged measurements, i.e. to release *VarLogMeasReport*, 48 hours after T330 expiry.

### 5.5a.2 Release of Logged Measurement Configuration

#### 5.5a.2.1 General

The purpose of this procedure is to release the logged measurement configuration as well as the logged measurement information.

#### 5.5a.2.2 Initiation

The UE shall initiate the procedure upon receiving a logged measurement configuration in another RAT. The UE shall also initiate the procedure upon power off or upon deregistration.

The UE shall:

1> stop timer T330, if running;

1> if stored, discard the logged measurement configuration as well as the logged measurement information, i.e. release the UE variables *VarLogMeasConfig* and *VarLogMeasReport*.

### 5.5a.3 Measurements logging

#### 5.5a.3.1 General

This procedure specifies the logging of available measurements by a UE in RRC\_IDLE and RRC\_INACTIVE that has a logged measurement configuration. The actual process of logging within the UE, takes place in RRC IDLE state could continue in RRC INACTIVE state or vice versa.

#### 5.5a.3.2 Initiation

While T330 is running, the UE shall:

1> perform the logging in accordance with the following:

2> if the *reportType* is set to *periodical* in the *VarLogMeasConfig*:

3> if the UE is in any cell selection state (as specified in TS 38.304 [20]):

4> perform the logging at regular time intervals, as defined by the *loggingInterval* in the *VarLogMeasConfig*;

3> if the UE is in camped normally state on an NR cell and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

4> if areaConfiguration is not included in *VarLogMeasConfig*; or

4> if the serving cell is part of the area indicated by *areaConfig* in *areaConfiguration* in *VarLogMeasConfig*:

5> perform the logging at regular time intervals, as defined by the *loggingInterval* in the *VarLogMeasConfig*;

2> else if the *reportType* is set to *eventTriggered*, and *eventType* is set to *outOfCoverage*:

3> perform the logging at regular time intervals as defined by the *loggingInterval* in *VarLogMeasConfig* only when the UE is in any cell selection state;

3> upon transition from any cell selection state to camped normally state in NR:

4> if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*; and

4> if *areaConfiguration* is not included in *VarLogMeasConfig* or if the current camping cell is part of the area indicated by *areaConfig* of *areaConfiguration* in *VarLogMeasConfig*:

5> perform the logging;

2> else if the *reportType* is set to *eventTriggered* and *eventType* is set to *eventL1*:

3> if the UE is in camped normally state on an NR cell and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

4> if *areaConfiguration* is not included in *VarLogMeasConfig*; or

4> if the serving cell is part of the area indicated by *areaConfig* in *areaConfiguration* in *VarLogMeasConfig*;

5> perform the logging at regular time intervals as defined by the *loggingInterval* in *VarLogMeasConfig* only when the conditions indicated by the *eventL1* are met;

2> when performing the logging:

3> if the UE detected IDC problems during the last logging interval:

4> if *measResultServCell* in *VarLogMeasReport* is not empty:

5> include *inDeviceCoexDetected*;

5> suspend measurement logging from the next logging interval;

4> else:

5> suspend measurement logging;

NOTE 1A: The UE may detect the start of IDC problems before the UE initiating the transmission of the IDC indication to the gNB.

[FFS: how the UE performs logging behaviours regarding the indication *earlyMeasIndication-r17* from the *loggedMeasurementConfiguration*]

3> set the *relativeTimeStamp* to indicate the elapsed time since the moment at which the logged measurement configuration was received;

3> if location information became available during the last logging interval, set the content of the *locationInfo* as in 5.3.3.7:

3> if the UE is in any cell selection state (as specified in TS 38.304 [20]):

4> set *anyCellSelectionDetected* to indicate the detection of no suitable or no acceptable cell found;

4> if the *reportType* is set to *eventTriggered* in the *VarLogMeasConfig*; and

4> if the RPLMN at the time of entering the any cell selection state is included in *plmn-IdentityList* stored in *VarLogMeasReport*; and

4> if *areaConfiguration* is not included in *VarLogMeasConfig* or if the last suitable cell that the UE was camping on is part of the area indicated by *areaConfig* of *areaConfiguration* in *VarLogMeasConfig*:

5> set the *servCellIdentity* to indicate global cell identity of the last suitable cell that the UE was camping on;

5> set the *measResultServingCell* to include the quantities of the last suitable cell the UE was camping on;

4> else if the *reportType* is set to *periodical* in the *VarLogMeasConfig*:

5> set the *servCellIdentity* to indicate global cell identity of the last logged cell that the UE was camping on;

5> set the *measResultServingCell* to include the quantities of the last logged cell the UE was camping on;

3> else:

4> set the *servCellIdentity* to indicate global cell identity of the cell the UE is camping on;

4> set the *measResultServingCell* to include the quantities of the cell the UE is camping on;

3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include measurements of neighbouring cell that became available during the last logging interval and according to the following:

4> include measurement results for at most 6 neighbouring cells on the NR serving frequency and for at most 3 cells per NR neighbouring frequency and for the NR neighbouring frequencies in accordance with the following:

5> if *interFreqTargetInfo* is included in *VarLogMeasConfig*:

6> include measurement results for NR neighbouring frequencies that are included in both *interFreqTargetInfo* and *SIB4*;

5> else:

6> include measurement results for NR neighbouring frequencies that are included in *SIB4*;

4> include measurement results for at most 3 neighbours per inter-RAT frequency that is included in *SIB5*;

4> for each neighbour cell included, include the optional fields that are available;

NOTE: The UE includes the latest results of the available measurements as used for cell reselection evaluation in RRC\_IDLE or RRC\_INACTIVE, which are performed in accordance with the performance requirements as specified in TS 38.133 [14].

2> when the memory reserved for the logged measurement information becomes full, stop timer T330 and perform the same actions as performed upon expiry of T330, as specified in 5.5a.1.4.

*<Next modification>*

### 5.7.10 UE Information

#### 5.7.10.1 General



Figure 5.7.10.1-1: UE information procedure

The UE information procedure is used by the network to request the UE to report information.

#### 5.7.10.2 Initiation

The network initiates the procedure by sending the *UEInformationRequest* message. The network should initiate this procedure only after successful security activation.

#### 5.7.10.3 Reception of the *UEInformationRequest* message

Upon receiving the *UEInformationRequest* message, the UE shall, only after successful security activation:

1> if the *idleModeMeasurementReq* is included in the *UEInformationRequest* and the UE has stored *VarMeasIdleReport* that contains measurement information concerning cells other than the PCell:

2> set the *measResultIdleEUTRA* in the *UEInformationResponse* message to the value of *measReportIdleEUTRA* in the *VarMeasIdleReport, if available*;

2> set the *measResultIdleNR* in the *UEInformationResponse* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;

2> discard the *VarMeasIdleReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> if the *logMeasReportReq* is present and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

2> if *VarLogMeasReport* includes one or more logged measurement entries, set the contents of the *logMeasReport* in the *UEInformationResponse* message as follows:

3> include the *absoluteTimeStamp* and set it to the value of *absoluteTimeInfo* in the *VarLogMeasReport*;

3> include the *traceReference* and set it to the value of *traceReference* in the *VarLogMeasReport*;

3> include the *traceRecordingSessionRef* and set it to the value of *traceRecordingSessionRef* in the *VarLogMeasReport;*

3> include the *tce-Id* and set it to the value of *tce-Id* in the *VarLogMeasReport*;

3> include the *logMeasInfoList* and set it to include one or more entries from the *VarLogMeasReport* starting from the entries logged first, and for each entry of the *logMeasInfoList* that is included, include all information stored in the corresponding *logMeasInfoList* entry in *VarLogMeasReport*;

3> if the *VarLogMeasReport* includes one or more additional logged measurement entries that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

4> include the *logMeasAvailable*;

4> if *bt-LocationInfo* is included in *locationInfo* of one or more of the additional logged measurement entries in *VarLogMeasReport* that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

5> include the *logMeasAvailableBT*;

4> if *wlan-LocationInfo* is included in *locationInfo* of one or more of the additional logged measurement entries in *VarLogMeasReport* that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

5> include the *logMeasAvailableWLAN*;

1> if *ra-ReportReq* is set to *true* and the UE has random access related information available in *VarRA-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRA-Report*:

2> set the *ra-ReportList* in the *UEInformationResponse* message to the value of *ra-ReportList* in *VarRA-Report*;

2> discard the *ra-ReportList* from *VarRA-Report* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> if *rlf-ReportReq* is set to *true*:

2> if the UE has radio link failure information or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

3> set *timeSinceFailure* in *VarRLF-Report* to the time that elapsed since the last radio link failure or handover failure in NR;

3> set the *rlf-Report* in the *UEInformationResponse* message to the value of *rlf-Report* in *VarRLF-Report*;

3> discard the *rlf-Report* from *VarRLF-Report* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

2> else if the UE is capable of cross-RAT RLF reporting as defined in TS 38.306 [26] and has radio link failure information or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> set *timeSinceFailure* in *VarRLF-Report* of TS 36.331 [10] to the time that elapsed since the last radio link failure or handover failure in EUTRA;

3> set failedPCellId-EUTRA in the *rlf-Report* in the *UEInformationResponse* message to indicate the PCell in which RLF was detected or the source PCell of the failed handover in the *VarRLF-Report* of TS 36.331 [10];

3> set the *measResult-RLF-Report-EUTRA* in the *rlf-Report* in the *UEInformationResponse* message to the value of *rlf-Report* in *VarRLF-Report* of TS 36.331 [10];

3> discard the *rlf-Report* from *VarRLF-Report* of TS 36.331 [10] upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> if *connEstFailReportReq* is set to *true* and the UE has connection establishment failure or connection resume failure information in *VarConnEstFailReport* or *VarConnEstFailReportList* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

2> set *timeSinceFailure* in *VarConnEstFailReport* to the time that elapsed since the last connection establishment failure or connection resume failure in NR;

2> set the *connEstFailReport* in the *UEInformationResponse* message to the value of *connEstFailReport* in *VarConnEstFailReport*;

2> for each *connEstFailReport* in the *connEstFailReportList* in the *UEInformationResponse* message, set the value to the value of *connEstFailReport* in *VarConnEstFailReport* in *VarConnEstFailReportList*;

2> discard the *connEstFailReport* from *VarConnEstFailReport* and *VarConnEstFailReportList* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> if the *mobilityHistoryReportReq* is set to *true*:

2> include the *mobilityHistoryReport* and set it to include entries from *VarMobilityHistoryReport*;

2> include in the *mobilityHistoryReport* an entry for the current cell, possibly after removing the oldest entry if required, and set its fields as follows:

3> set *visitedCellId* to the global cell identity or the physical cell identity and carrier frequency of the current cell:

3> set field *timeSpent* to the time spent in the current cell;

1> if the *logMeasReport* is included in the *UEInformationResponse*:

2> submit the *UEInformationResponse* message to lower layers for transmission via SRB2;

2> discard the logged measurement entries included in the *logMeasInfoList* from *VarLogMeasReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> else:

2> submit the *UEInformationResponse* message to lower layers for transmission via SRB1.

#### 5.7.10.4 Actions upon successful completion of random-access procedure

Upon successfully performing random-access procedure initialized with 4-step RA type, the UE shall:

1> if the RPLMN or the PLMN selected by upper layers (see TS24.501 [23]) from the PLMN(s) included in the *plmn-IdentityList* in *SIB1* is not included in *plmn-IdentityList* stored in a non-empty *VarRA-Report*:

2> clear the information included in *VarRA-Report*;

1> if the number of *RA-Report* entries stored in the *ra-ReportList* in *VarRA-Report* is less than *maxRAReport*:

2> if the number of PLMN entries in *plmn-IdentityList* stored in *VarRA-Report* is less than *maxPLMN*; or

2> if the number of PLMN entries in *plmn-IdentityList* stored in *VarRA-Report* is equal to *maxPLMN* and the list of EPLMNs is subset of or equal to the *plmn-IdentityList* stored in *VarRA-Report*:

3> append the following contents associated to the successfully completed random-access procedure as a new entry in the *VarRA-Report*:

4> if the list of EPLMNs has been stored by the UE:

5> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN) without exceeding the limit of *maxPLMN*;

4> else:

5> set the *plmn-Identity*, in *plmn-IdentityList*, to the PLMN selected by upper layers (see TS 24.501 [23]) from the PLMN(s) included in the *plmn-IdentityInfoList* in SIB1;

4> set the *cellId* to the global cell identity and the tracking area code, if available, otherwise to the physical cell identity and carrier frequency of the cell in which the corresponding random-access preamble was transmitted;

4> set the *raPurpose* to include the purpose of triggering the random-access procedure;

4> set the *ra-InformationCommon* as specified in subclause 5.7.10.5.

The UE may discard the random access report information, i.e. release the UE variable *VarRA-Report*, 48 hours after the last successful random access procedure related information is added to the *VarRA-Report*.

NOTE 1: The UE does not log the RA information in the RA report if the triggering event of the random access is consistent UL LBT on SpCell as specified in TS 38.321 [6].

#### 5.7.10.5 RA information determination for RA report and RLF report

The UE shall set the content in *ra-InformationCommon* as follows:

1> set the *absoluteFrequencyPointA* to indicate the absolute frequency of the reference resource block associated to the random-access resources used in the random-access procedure;

1> set the *locationAndBandwidth* and *subcarrierSpacing* associated to the UL BWP of the random-access resources used in the random-access procedure;

1> if contention based random-access resources are used in the random-access procedure:

2> set the *msg1-FrequencyStart* and *msg1-FDM* associated to the random-access resources used in the random-access procedure;

2> if *msg1-SubcarrierSpacing* associated to the random-access resources used in the random-access procedure is available:

3> set the *msg1-SubcarrierSpacing* associated to the random-access resources used in the random-access procedure;

2> else:

3> set the *msg1-SCS-From-prach-ConfigurationIndex* to the subcarrier spacing as derived from the *prach-ConfigurationIndex* used in the random-access procedure;

1> if contention free random-access resources are used in the random-access procedure:

2> set the *msg1-FrequencyStartCFRA* and *msg1-FDMCFRA* associated to the random-access resources used in the random-access procedure;

2> if *msg1-SubcarrierSpacing* associated to the random-access resources used in the random-access procedure is available:

3> set the *msg1-SubcarrierSpacingCFRA* associated to the random-access resources used in the random-access procedure;

2> else:

3> set the *msg1-SCS-From-prach-ConfigurationIndex* to the subcarrier spacing as derived from the *prach-ConfigurationIndex* used in the random-access procedure;

1> set the parameters associated to individual random-access attempt in the chronological order of attempts in the *perRAInfoList* as follows:

2> if the random-access resource used is associated to a SS/PBCH block, set the associated random-access parameters for the successive random-access attempts associated to the same SS/PBCH block for one or more random-access attempts as follows:

3> set the *ssb-Index* to include the SS/PBCH block index associated to the used random-access resource;

3> set the *numberOfPreamblesSentOnSSB* to indicate the number of successive random-access attempts associated to the SS/PBCH block;

3> for each random-access attempt performed on the random-access resource, include the following parameters in the chronological order of the random-access attempt:

4> if the random-access attempt is performed on the contention based random-access resource and if *raPurpose* is not equal to '*requestForOtherSI*', include *contentionDetected* as follows:

5> if contention resolution was not successful as specified in TS 38.321 [6] for the transmitted preamble:

6> set the *contentionDetected* to *true*;

5> else:

6> set the *contentionDetected* to *false*;

4> if the random-access attempt is performed on the contention based random-access resource; or

4> if the random-access attempt is performed on the contention free random-access resource and if the random-access procedure was initiated due to the PDCCH ordering:

5> if the SS/PBCH block RSRP of the SS/PBCH block corresponding to the random-access resource used in the random-access attempt is above *rsrp-ThresholdSSB*:

6> set the *dlRSRPAboveThreshold* to *true*;

5> else:

6> set the *dlRSRPAboveThreshold* to *false*;

2> else if the random-access resource used is associated to a CSI-RS, set the associated random-access parameters for the successive random-access attempts associated to the same CSI-RS for one or more random-access attempts as follows:

3> set the *csi-RS-Index* to include the CSI-RS index associated to the used random-access resource;

3> set the *numberOfPreamblesSentOnCSI-RS* to indicate the number of successive random-access attempts associated to the CSI-RS.

NOTE 1: Void.

*<Next modification>*

# 6 Protocol data units, formats and parameters (ASN.1)

*<Partially omitted>*

### 6.2.2 Message definitions

*<Partially omitted>*

#### – *LoggedMeasurementConfiguration*

The *LoggedMeasurementConfiguration* message is used to perform logging of measurement results while in RRC\_IDLE or RRC\_INACTIVE. It is used to transfer the logged measurement configuration for network performance optimisation.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

*LoggedMeasurementConfiguration message*

-- ASN1START

-- TAG-LOGGEDMEASUREMENTCONFIGURATION-START

LoggedMeasurementConfiguration-r16 ::= SEQUENCE {

criticalExtensions CHOICE {

loggedMeasurementConfiguration-r16 LoggedMeasurementConfiguration-r16-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

LoggedMeasurementConfiguration-r16-IEs ::= SEQUENCE {

traceReference-r16 TraceReference-r16,

traceRecordingSessionRef-r16 OCTET STRING (SIZE (2)),

tce-Id-r16 OCTET STRING (SIZE (1)),

absoluteTimeInfo-r16 AbsoluteTimeInfo-r16,

areaConfiguration-r16 AreaConfiguration-r16 OPTIONAL, --Need R

plmn-IdentityList-r16 PLMN-IdentityList2-r16 OPTIONAL, --Need R

bt-NameList-r16 SetupRelease {BT-NameList-r16} OPTIONAL, --Need M

wlan-NameList-r16 SetupRelease {WLAN-NameList-r16} OPTIONAL, --Need M

sensor-NameList-r16 SetupRelease {Sensor-NameList-r16} OPTIONAL, --Need M

loggingDuration-r16 LoggingDuration-r16,

reportType CHOICE {

periodical LoggedPeriodicalReportConfig-r16,

eventTriggered LoggedEventTriggerConfig-r16,

...

},

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension LoggedMeasurementConfiguration-v17xy-IEs OPTIONAL

}

LoggedMeasurementConfiguration-r17xy-IEs ::= SEQUENCE {

earlyMeasIndication-r17 ENUMERATED {true} OPTIONAL, -- Need R

sigLoggedMeasType-r17 ENUMERATED {true} OPTIONAL, -- Need R

earlyMeasIndication-r17 ENUMERATED {true} OPTIONAL, -- Need R

areaConfiguration-v17xy AreaConfiguration-v17xy OPTIONAL, --Need R

nonCriticalExtension SEQUENCE {} OPTIONAL

}

LoggedPeriodicalReportConfig-r16 ::= SEQUENCE {

loggingInterval-r16 LoggingInterval-r16,

...

}

LoggedEventTriggerConfig-r16 ::= SEQUENCE {

eventType-r16 EventType-r16,

loggingInterval-r16 LoggingInterval-r16,

...

}

EventType-r16 ::= CHOICE {

outOfCoverage NULL,

eventL1 SEQUENCE {

l1-Threshold MeasTriggerQuantity,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger

},

...

}

-- TAG-LOGGEDMEASUREMENTCONFIGURATION-STOP

-- ASN1STOP

| *LoggedMeasurementConfiguration* field descriptions |
| --- |
| ***absoluteTimeInfo***  Indicates the absolute time in the current cell. |
| ***areaConfiguration***  Used to restrict the area in which the UE performs measurement logging to cells broadcasting either one of the included cell identities or one of the included tracking area codes/ frequencies. |
| ***earlyMeasIndication***  If included, the field indicates the UE is allowed to log measurements on early measurement frequencies in logged measurements. |
| ***eventType***  The value outOfCoverage indicates the UE to perform logging of measurements when the UE enters any cell selection state, and the value eventL1 indicates the UE to perform logging of measurements when the triggering condition (similar as event A2 as specified in 5.5.4.3) as configured in the event is met for the camping cell in camped normally state. |
| ***sigLoggedMeasType***  If included, the field indicates an signalling based logged measurements (See TS 37.320 [61]). |
| ***plmn-IdentityList***  Indicates a set of PLMNs defining when the UE performs measurement logging as well as the associated status indication and information retrieval i.e. the UE performs these actions when the RPLMN is part of this set of PLMNs. |
| ***tce-Id***  Parameter Trace Collection Entity Id: See TS 32.422 [52]. |
| ***traceRecordingSessionRef***  Parameter Trace Recording Session Reference: See TS 32.422 [52]. |
| ***reportType***  Parameter configures the type of MDT configuration, specifically Periodic MDT configuration or Event Triggerd MDT configuration. |

*<Next modification>*

#### – *UEInformationResponse*

The *UEInformationResponse* message is used by the UE to transfer information requested by the network.

Signalling radio bearer: SRB1 or SRB2 (when logged measurement information is included)

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to network

*UEInformationResponse message*

-- ASN1START

-- TAG-UEINFORMATIONRESPONSE-START

UEInformationResponse-r16 ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

ueInformationResponse-r16 UEInformationResponse-r16-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

UEInformationResponse-r16-IEs ::= SEQUENCE {

measResultIdleEUTRA-r16 MeasResultIdleEUTRA-r16 OPTIONAL,

measResultIdleNR-r16 MeasResultIdleNR-r16 OPTIONAL,

logMeasReport-r16 LogMeasReport-r16 OPTIONAL,

connEstFailReport-r16 ConnEstFailReport-r16 OPTIONAL,

ra-ReportList-r16 RA-ReportList-r16 OPTIONAL,

rlf-Report-r16 RLF-Report-r16 OPTIONAL,

mobilityHistoryReport-r16 MobilityHistoryReport-r16 OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension UEInformationResponse-v17xy-IEs OPTIONAL

}

UEInformationResponse-v17xy-IEs ::= SEQUENCE {

connEstFailReportList-r17 ConnEstFailReportList-r17 OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

LogMeasReport-r16 ::= SEQUENCE {

absoluteTimeStamp-r16 AbsoluteTimeInfo-r16,

traceReference-r16 TraceReference-r16,

traceRecordingSessionRef-r16 OCTET STRING (SIZE (2)),

tce-Id-r16 OCTET STRING (SIZE (1)),

logMeasInfoList-r16 LogMeasInfoList-r16,

logMeasAvailable-r16 ENUMERATED {true} OPTIONAL,

logMeasAvailableBT-r16 ENUMERATED {true} OPTIONAL,

logMeasAvailableWLAN-r16 ENUMERATED {true} OPTIONAL,

...

}

LogMeasInfoList-r16 ::= SEQUENCE (SIZE (1..maxLogMeasReport-r16)) OF LogMeasInfo-r16

LogMeasInfo-r16 ::= SEQUENCE {

locationInfo-r16 LocationInfo-r16 OPTIONAL,

relativeTimeStamp-r16 INTEGER (0..7200),

servCellIdentity-r16 CGI-Info-Logging-r16 OPTIONAL,

measResultServingCell-r16 MeasResultServingCell-r16 OPTIONAL,

measResultNeighCells-r16 SEQUENCE {

measResultNeighCellListNR MeasResultListLogging2NR-r16 OPTIONAL,

measResultNeighCellListEUTRA MeasResultList2EUTRA-r16 OPTIONAL

},

anyCellSelectionDetected-r16 ENUMERATED {true} OPTIONAL,

...,

[[

inDeviceCoexDetected-r17 ENUMERATED {true} OPTIONAL

]]

}

ConnEstFailReport-r16 ::= SEQUENCE {

measResultFailedCell-r16 MeasResultFailedCell-r16,

locationInfo-r16 LocationInfo-r16 OPTIONAL,

measResultNeighCells-r16 SEQUENCE {

measResultNeighCellListNR MeasResultList2NR-r16 OPTIONAL,

measResultNeighCellListEUTRA MeasResultList2EUTRA-r16 OPTIONAL

},

numberOfConnFail-r16 INTEGER (1..8),

perRAInfoList-r16 PerRAInfoList-r16,

timeSinceFailure-r16 TimeSinceFailure-r16,

...

}

ConnEstFailReportList-r17 ::= SEQUENCE (SIZE (1..maxCEFReport-r17)) OF ConnEstFailReport-r16

MeasResultServingCell-r16 ::= SEQUENCE {

resultsSSB-Cell MeasQuantityResults,

resultsSSB SEQUENCE{

best-ssb-Index SSB-Index,

best-ssb-Results MeasQuantityResults,

numberOfGoodSSB INTEGER (1..maxNrofSSBs-r16)

} OPTIONAL

}

MeasResultFailedCell-r16 ::= SEQUENCE {

cgi-Info CGI-Info-Logging-r16,

measResult-r16 SEQUENCE {

cellResults-r16 SEQUENCE{

resultsSSB-Cell-r16 MeasQuantityResults

},

rsIndexResults-r16 SEQUENCE{

resultsSSB-Indexes-r16 ResultsPerSSB-IndexList

}

}

}

RA-ReportList-r16 ::= SEQUENCE (SIZE (1..maxRAReport-r16)) OF RA-Report-r16

RA-Report-r16 ::= SEQUENCE {

cellId-r16 CHOICE {

cellGlobalId-r16 CGI-Info-Logging-r16,

pci-arfcn-r16 SEQUENCE {

physCellId-r16 PhysCellId,

carrierFreq-r16 ARFCN-ValueNR

}

},

ra-InformationCommon-r16 RA-InformationCommon-r16 OPTIONAL,

raPurpose-r16 ENUMERATED {accessRelated, beamFailureRecovery, reconfigurationWithSync, ulUnSynchronized,

schedulingRequestFailure, noPUCCHResourceAvailable, requestForOtherSI,

spare9, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1},

...

}

RA-InformationCommon-r16 ::= SEQUENCE {

absoluteFrequencyPointA-r16 ARFCN-ValueNR,

locationAndBandwidth-r16 INTEGER (0..37949),

subcarrierSpacing-r16 SubcarrierSpacing,

msg1-FrequencyStart-r16 INTEGER (0..maxNrofPhysicalResourceBlocks-1) OPTIONAL,

msg1-FrequencyStartCFRA-r16 INTEGER (0..maxNrofPhysicalResourceBlocks-1) OPTIONAL,

msg1-SubcarrierSpacing-r16 SubcarrierSpacing OPTIONAL,

msg1-SubcarrierSpacingCFRA-r16 SubcarrierSpacing OPTIONAL,

msg1-FDM-r16 ENUMERATED {one, two, four, eight} OPTIONAL,

msg1-FDMCFRA-r16 ENUMERATED {one, two, four, eight} OPTIONAL,

perRAInfoList-r16 PerRAInfoList-r16,

...,

[[

perRAInfoListExt-v1660 PerRAInfoListExt-v1660 OPTIONAL

]],

[[

indForSI-Acquisition-r17 BOOLEAN OPTIONAL

]]

}

PerRAInfoList-r16 ::= SEQUENCE (SIZE (1..200)) OF PerRAInfo-r16

PerRAInfoListExt-v1660 ::= SEQUENCE (SIZE (1..200)) OF PerRACSI-RSInfoExt-v1660

PerRAInfo-r16 ::= CHOICE {

perRASSBInfoList-r16 PerRASSBInfo-r16,

perRACSI-RSInfoList-r16 PerRACSI-RSInfo-r16

}

PerRASSBInfo-r16 ::= SEQUENCE {

ssb-Index-r16 SSB-Index,

numberOfPreamblesSentOnSSB-r16 INTEGER (1..200),

perRAAttemptInfoList-r16 PerRAAttemptInfoList-r16

}

PerRACSI-RSInfo-r16 ::= SEQUENCE {

csi-RS-Index-r16 CSI-RS-Index,

numberOfPreamblesSentOnCSI-RS-r16 INTEGER (1..200)

}

PerRACSI-RSInfoExt-v1660 ::= SEQUENCE {

csi-RS-Index-v1660 INTEGER (1..96) OPTIONAL

}

PerRAAttemptInfoList-r16 ::= SEQUENCE (SIZE (1..200)) OF PerRAAttemptInfo-r16

PerRAAttemptInfo-r16 ::= SEQUENCE {

contentionDetected-r16 BOOLEAN OPTIONAL,

dlRSRPAboveThreshold-r16 BOOLEAN OPTIONAL,

...

}

RLF-Report-r16 ::= CHOICE {

nr-RLF-Report-r16 SEQUENCE {

measResultLastServCell-r16 MeasResultRLFNR-r16,

measResultNeighCells-r16 SEQUENCE {

measResultListNR-r16 MeasResultList2NR-r16 OPTIONAL,

measResultListEUTRA-r16 MeasResultList2EUTRA-r16 OPTIONAL

} OPTIONAL,

c-RNTI-r16 RNTI-Value,

previousPCellId-r16 CHOICE {

nrPreviousCell-r16 CGI-Info-Logging-r16,

eutraPreviousCell-r16 CGI-InfoEUTRALogging

} OPTIONAL,

failedPCellId-r16 CHOICE {

nrFailedPCellId-r16 CHOICE {

cellGlobalId-r16 CGI-Info-Logging-r16,

pci-arfcn-r16 SEQUENCE {

physCellId-r16 PhysCellId,

carrierFreq-r16 ARFCN-ValueNR

}

},

eutraFailedPCellId-r16 CHOICE {

cellGlobalId-r16 CGI-InfoEUTRALogging,

pci-arfcn-r16 SEQUENCE {

physCellId-r16 EUTRA-PhysCellId,

carrierFreq-r16 ARFCN-ValueEUTRA

}

}

},

reconnectCellId-r16 CHOICE {

nrReconnectCellId-r16 CGI-Info-Logging-r16,

eutraReconnectCellId-r16 CGI-InfoEUTRALogging

} OPTIONAL,

timeUntilReconnection-r16 TimeUntilReconnection-r16 OPTIONAL,

reestablishmentCellId-r16 CGI-Info-Logging-r16 OPTIONAL,

timeConnFailure-r16 INTEGER (0..1023) OPTIONAL,

timeSinceFailure-r16 TimeSinceFailure-r16,

connectionFailureType-r16 ENUMERATED {rlf, hof},

rlf-Cause-r16 ENUMERATED {t310-Expiry, randomAccessProblem, rlc-MaxNumRetx,

beamFailureRecoveryFailure, lbtFailure-r16,

bh-rlfRecoveryFailure, spare2, spare1},

locationInfo-r16 LocationInfo-r16 OPTIONAL,

noSuitableCellFound-r16 ENUMERATED {true} OPTIONAL,

ra-InformationCommon-r16 RA-InformationCommon-r16 OPTIONAL,

...,

[[

csi-rsRLMConfigBitmap-v1650 BIT STRING (SIZE (96)) OPTIONAL

]]

},

eutra-RLF-Report-r16 SEQUENCE {

failedPCellId-EUTRA CGI-InfoEUTRALogging,

measResult-RLF-Report-EUTRA-r16 OCTET STRING,

...

}

}

MeasResultList2NR-r16 ::= SEQUENCE(SIZE (1..maxFreq)) OF MeasResult2NR-r16

MeasResultList2EUTRA-r16 ::= SEQUENCE(SIZE (1..maxFreq)) OF MeasResult2EUTRA-r16

MeasResult2NR-r16 ::= SEQUENCE {

ssbFrequency-r16 ARFCN-ValueNR OPTIONAL,

refFreqCSI-RS-r16 ARFCN-ValueNR OPTIONAL,

measResultList-r16 MeasResultListNR

}

MeasResultListLogging2NR-r16 ::= SEQUENCE(SIZE (1..maxFreq)) OF MeasResultLogging2NR-r16

MeasResultLogging2NR-r16 ::= SEQUENCE {

carrierFreq-r16 ARFCN-ValueNR,

measResultListLoggingNR-r16 MeasResultListLoggingNR-r16

}

MeasResultListLoggingNR-r16 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultLoggingNR-r16

MeasResultLoggingNR-r16 ::= SEQUENCE {

physCellId-r16 PhysCellId,

resultsSSB-Cell-r16 MeasQuantityResults,

numberOfGoodSSB-r16 INTEGER (1..maxNrofSSBs-r16) OPTIONAL

}

MeasResult2EUTRA-r16 ::= SEQUENCE {

carrierFreq-r16 ARFCN-ValueEUTRA,

measResultList-r16 MeasResultListEUTRA

}

MeasResultRLFNR-r16 ::= SEQUENCE {

measResult-r16 SEQUENCE {

cellResults-r16 SEQUENCE{

resultsSSB-Cell-r16 MeasQuantityResults OPTIONAL,

resultsCSI-RS-Cell-r16 MeasQuantityResults OPTIONAL

},

rsIndexResults-r16 SEQUENCE{

resultsSSB-Indexes-r16 ResultsPerSSB-IndexList OPTIONAL,

ssbRLMConfigBitmap-r16 BIT STRING (SIZE (64)) OPTIONAL,

resultsCSI-RS-Indexes-r16 ResultsPerCSI-RS-IndexList OPTIONAL,

csi-rsRLMConfigBitmap-r16 BIT STRING (SIZE (96)) OPTIONAL

} OPTIONAL

}

}

TimeSinceFailure-r16 ::= INTEGER (0..172800)

MobilityHistoryReport-r16 ::= VisitedCellInfoList-r16

TimeUntilReconnection-r16 ::= INTEGER (0..172800)

-- TAG-UEINFORMATIONRESPONSE-STOP

-- ASN1STOP

|  |
| --- |
| *UEInformationResponse-IEs* field descriptions |
| ***logMeasReport***  This field is used to provide the measurement results stored by the UE associated to logged MDT. |
| ***measResultIdleEUTRA***  EUTRA measurement results performed during RRC\_INACTIVE or RRC\_IDLE. |
| ***measResultIdleNR***  NR measurement results performed during RRC\_INACTIVE or RRC\_IDLE. |
| ***ra-ReportList***  This field is used to provide the list of RA reports that is stored by the UE for the past upto *maxRAReport-r16* number of successful random access procedures. |
| ***rlf-Report***  This field is used to indicate the RLF report related contents. |

|  |
| --- |
| *LogMeasReport* field descriptions |
| ***absoluteTimeStamp***  Indicates the absolute time when the logged measurement configuration logging is provided, as indicated by NR within *absoluteTimeInfo*. |
| ***anyCellSelectionDetected***  This field is used to indicate the detection of *any cell selection* state, as defined in TS 38.304 [20]. The UE sets this field when performing the logging of measurement results in RRC\_IDLE or RRC\_INACTIVE and there is no suitable cell or no acceptable cell. |
| ***inDeviceCoexDetected***  Indicates that measurement logging is suspended due to IDC problem detection. |
| ***measResultServingCell***  This field refers to the log measurement results taken in the Serving cell. |
| ***numberOfGoodSSB***  Indicates the number of good beams (beams that are above *absThreshSS-BlocksConsolidation,* if configured by the network) associated to the cells within the R value range (which is configured by network for cell reselection) of the highest ranked cell as part of the beam level measurements. If the UE has no SSB of a neighbour cell whose measurement quantity is above the *absThreshSS-BlocksConsolidation* or if the network has not configured the *absThreshSS-BlocksConsolidation*, then the UE does not include *numberOfGoodSSB* for the corresponding neighbour cell. If the UE has no SSB of the serving cell whose measurement quantity is above the *absThreshSS-BlocksConsolidation* or if the network has not configured the *absThreshSS-BlocksConsolidation*, then the UE shall set the *numberOfGoodSSB* for the serving cell to one. |
| ***relativeTimeStamp***  Indicates the time of logging measurement results, measured relative to the *absoluteTimeStamp*. Value in seconds. |
| ***tce-Id***  Parameter Trace Collection Entity Id: See TS 32.422 [52]. |
| ***traceRecordingSessionRef***  Parameter Trace Recording Session Reference: See TS 32.422 [52]. |

|  |
| --- |
| *ConnEstFailReport* field descriptions |
| ***measResultFailedCell***  This field refers to the last measurement results taken in the cell, where connection establishment failure or connection resume failure happened. |
| ***measResultNeighCells***  This field refers to the neighbour cell measurements when connection establishment failure or connection resume failure happened. |
| ***numberOfConnFail***  This field is used to indicate the latest number of consecutive failed RRCSetup or RRCResume procedures in the same cell independent of RRC state transition. |
| ***timeSinceFailure***  This field is used to indicate the time that elapsed since the connection (establishment or resume) failure. Value in seconds. The maximum value 172800 means 172800s or longer. |

|  |
| --- |
| *RA-Report* field descriptions |
| ***absoluteFrequencyPointA***  This field indicates the absolute frequency position of the reference resource block (Common RB 0). |
| ***cellID***  This field indicates the CGI of the cell in which the associated random access procedure was performed. |
| ***contentionDetected***  This field is used to indicate that contention was detected for the transmitted preamble in the given random access attempt or not. This field is not included when the UE performs random access attempt is using contention free random-access resources or when the *raPurpose* is set to *requestForOtherSI*. |
| ***csi-RS-Index, csi-RS-Index-v1660***  This field is used to indicate the CSI-RS index corresponding to the random access attempt.  If the random access procedure is for beam failure recovery, the field indicates the NZP-CSI-RS-ResourceId. For CSI-RS index larger than maxNrofCSI-RS-ResourcesRRM-1, the index value is the sum of csi-RS-Index (without suffix) and csi-RS-Index-v1660. |
| ***dlRSRPAboveThreshold***  This field is used to indicate whether the DL beam (SSB) quality associated to the random access attempt was above or below the threshold *rsrp-ThresholdSSB* in *beamFailureRecoveryConfig* in UL BWP configuration of UL BWP selected for random access procedure initiated for beam failure recovery; Otherwise, *rsrp-ThresholdSSB* in *rach-ConfigCommon* in UL BWP configuration of UL BWP selected for random access procedure. |
| ***indForSI-Acquisition***  This field is used to indicate whether the on-demand SI acquisition is successful or not. The field is included only when raPurpose indicates a on-demand SI acquisition type. Value true indicates that the rach report corresponds to a successful on-demand SI acquisition. Value false indicates that the rach report corresponds to a failed on-demand SI acquisition. |
| ***locationAndBandwidth***  Frequency domain location and bandwidth of the bandwidth part associated to the random-access resources used by the UE. |
| ***numberOfPreamblesSentOnCSI-RS***  This field is used to indicate the total number of successive RA preambles that were transmitted on the corresponding CSI-RS. |
| ***numberOfPreamblesSentOnSSB***  This field is used to indicate the total number of successive RA preambles that were transmitted on the corresponding SS/PBCH block. |
| ***perRAAttemptInfoList***  This field provides detailed information about a random access attempt. |
| ***perRAInfoList, perRAInfoListExt-v1660***  This field provides detailed information about each of the random access attempts in the chronological order of the random access attempts. If perRAInfoListExt-v1660 is present, it shall contain the same number of entries, listed in the same order as in perRAInfoList (without suffix). |
| ***perRACSI-RSInfoList***  This field provides detailed information about the successive random access attempts associated to the same CSI-RS. |
| ***perRASSBInfoList***  This field provides detailed information about the successive random access attempts associated to the same SS/PBCH block. |
| ***raPurpose***  This field is used to indicate the RA scenario for which the RA report entry is triggered. The RA accesses associated to Initial access from RRC\_IDLE, RRC re-establishment procedure, transition from RRC-INACTIVE and the MSG3 based SI request are indicated using the indicator 'accessRelated'. The indicator *beamFailureRecovery* is used in case of successful beam failure recovery related RA procedure in the SpCell [3]. The indicator *reconfigurationWithSync* is used if the UE executes a reconfiguration with sync. The indicator *ulUnSynchronized* is used if the random access procedure is initiated in a SpCell by DL or UL data arrival during RRC\_CONNECTED when the timeAlignmentTimer is not running in the PTAG or if the RA procedure is initiated in a serving cell by a PDCCH order [3]. The indicator *schedulingRequestFailure* is used in case of SR failures [3]. The indicator *noPUCCHResourceAvailable* is used when the UE has no valid SR PUCCH resources configured [3]. The indicator *requestForOtherSI* is used for MSG1 based on demand SI request. |
| ***ra-InformationCommon***  This field is used to indicate the common random-access related information between *RA-report* and *RLF-report*. For RA report, this field is mandatory presented. For *RLF-report*, this field is optionally included when c*onnectionFailureType* is set to 'hof' or when *connectionFailureType* is set to 'rlf' and the *rlf-Cause* equals to 'randomAccessProblem' or 'beamRecoveryFailure'; otherwise this field is absent. |
| ***ssb-Index***  This field is used to indicate the SS/PBCH index of the SS/PBCH block corresponding to the random access attempt. |
| ***subcarrierSpacing***  Subcarrier spacing used in the BWP associated to the random-access resources used by the UE. |

|  |
| --- |
| *RLF-Report* field descriptions |
| ***connectionFailureType***  This field is used to indicate whether the connection failure is due to radio link failure or handover failure. |
| ***csi-rsRLMConfigBitmap,csi-rsRLMConfigBitmap-v1650***  These fields are used to indicate the CSI-RS indexes configured in the RLM configurations for the active BWP when the UE declares RLF or HOF. The UE first fills in the *csi-rsRLMConfigBitmap-r16* to indicate the first 96 CSI-RS indexes and then *csi-rsRLMConfigBitmap-v1650* to indicate the latter 96 CSI-RS indexes. The first/leftmost bit in *csi-rsRLMConfigBitmap-r16* corresponds to CSI-RS index 0, the second bit corresponds to CSI-RS index 1. The first/leftmost bit in *csi-rsRLMConfigBitmap-v1650* corresponds to CSI-RS index 96, the second bit corresponds to CSI-RS index 97. These fields are included only if the *RadioLinkMonitoringConfig* for the respective BWP is configured. |
| ***c-RNTI***  This field indicates the C-RNTI used in the PCell upon detecting radio link failure or the C-RNTI used in the source PCell upon handover failure. |
| ***failedPCellId***  This field is used to indicate the PCell in which RLF is detected or the target PCell of the failed handover. For intra-NR handover *nrFailedPCellId* is included and for the handover from NR to EUTRA *eutraFailedPCellId* is included. The UE sets the ARFCN according to the frequency band used for transmission/ reception when the failure occurred. |
| ***failedPCellId-EUTRA***  This field is used to indicate the PCell in which RLF is detected or the source PCell of the failed handover in an E-UTRA RLF report. |
| ***measResultListEUTRA***  This field refers to the last measurement results taken in the neighboring EUTRA Cells, when the radio link failure or handover failure happened. |
| ***measResultListNR***  This field refers to the last measurement results taken in the neighboring NR Cells, when the radio link failure or handover failure happened. |
| ***measResultLastServCell***  This field refers to the log measurement results taken in the PCell upon detecting radio link failure or the source PCell upon handover failure. |
| ***measResult-RLF-Report-EUTRA***  Includes the E-UTRA *RLF-Report-r9* IE as specified in TS 36.331 [10]. |
| ***noSuitableCellFound***  This field is set by the UE when the T311 expires. |
| ***previousPCellId***  This field is used to indicate the source PCell of the last handover (source PCell when the last *RRCReconfiguration* message including *reconfigurationWithSync* was received). For intra-NR handover *nrPreviousCell* is included and for the handover from EUTRA to NR *eutraPreviousCell* is included. |
| ***reconnectCellId***  This field is used to indicate the cell in which the UE comes back to connected after connection failure and after failing to perform reestablishment. If the UE comes back to RRC CONNECTED in an NR cell then *nrReconnectCellID* is included and if the UE comes back to RRC CONNECTED in an LTE cell then *eutraReconnectCellID* is included |
| ***reestablishmentCellId***  This field is used to indicate the cell in which the re-establishment attempt was made after connection failure. |
| ***rlf-Cause***  This field is used to indicate the cause of the last radio link failure that was detected. In case of handover failure information reporting (i.e., the *connectionFailureType* is set to '*hof*'), the UE is allowed to set this field to any value. |
| ***ssbRLMConfigBitmap***  This field is used to indicate the SS/PBCH block indexes configured in the RLM configurations for the active BWP when the UE declares RLF or HOF.The first/leftmost bit corresponds to SSB index 0, the second bit corresponds to SSB index 1. This field is included only if the *RadioLinkMonitoringConfig* for the respective BWP is configured. |
| ***timeConnFailure***  This field is used to indicate the time elapsed since the last HO initialization until connection failure. Actual value = field value \* 100ms. The maximum value 1023 means 102.3s or longer. |
| ***timeSinceFailure***  This field is used to indicate the time that elapsed since the connection (radio link or handover) failure. Value in seconds. The maximum value 172800 means 172800s or longer. |
| ***timeUntilReconnection***  This field is used to indicate the time that elapsed between the connection (radio link or handover) failure and the next time the UE comes to RRC CONNECTED in an NR or EUTRA cell, after failing to perform reestablishment. Value in seconds. The maximum value 172800 means 172800s or longer. |

*<Next modification>*

### 6.3.2 Radio resource control information elements

#### – *AdditionalSpectrumEmission*

The IE *AdditionalSpectrumEmission* is used to indicate emission requirements to be fulfilled by the UE (see TS 38.101-1 [15], clause 6.2.3, and TS 38.101-2 [39], clause 6.2.3).

*<Partially omitted>*

#### – *MeasResults*

The IE *MeasResults* covers measured results for intra-frequency, inter-frequency, inter-RAT mobility and measured results for NR sidelink communication.

*MeasResults* information element

-- ASN1START

-- TAG-MEASRESULTS-START

MeasResults ::= SEQUENCE {

measId MeasId,

measResultServingMOList MeasResultServMOList,

measResultNeighCells CHOICE {

measResultListNR MeasResultListNR,

...,

measResultListEUTRA MeasResultListEUTRA,

measResultListUTRA-FDD-r16 MeasResultListUTRA-FDD-r16

} OPTIONAL,

...,

[[

measResultServFreqListEUTRA-SCG MeasResultServFreqListEUTRA-SCG OPTIONAL,

measResultServFreqListNR-SCG MeasResultServFreqListNR-SCG OPTIONAL,

measResultSFTD-EUTRA MeasResultSFTD-EUTRA OPTIONAL,

measResultSFTD-NR MeasResultCellSFTD-NR OPTIONAL

]],

[[

measResultCellListSFTD-NR MeasResultCellListSFTD-NR OPTIONAL

]],

[[

measResultForRSSI-r16 MeasResultForRSSI-r16 OPTIONAL,

locationInfo-r16 LocationInfo-r16 OPTIONAL,

ul-PDCP-DelayValueResultList-r16 UL-PDCP-DelayValueResultList-r16 OPTIONAL,

measResultsSL-r16 MeasResultsSL-r16 OPTIONAL,

measResultCLI-r16 MeasResultCLI-r16 OPTIONAL

]],

[[

ul-PDCP-ExcessDelayResultList-r17 UL-PDCP-ExcessDelayResultList-r17 OPTIONAL,

]]

}

MeasResultServMOList ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF MeasResultServMO

MeasResultServMO ::= SEQUENCE {

servCellId ServCellIndex,

measResultServingCell MeasResultNR,

measResultBestNeighCell MeasResultNR OPTIONAL,

...

}

MeasResultListNR ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultNR

MeasResultNR ::= SEQUENCE {

physCellId PhysCellId OPTIONAL,

measResult SEQUENCE {

cellResults SEQUENCE{

resultsSSB-Cell MeasQuantityResults OPTIONAL,

resultsCSI-RS-Cell MeasQuantityResults OPTIONAL

},

rsIndexResults SEQUENCE{

resultsSSB-Indexes ResultsPerSSB-IndexList OPTIONAL,

resultsCSI-RS-Indexes ResultsPerCSI-RS-IndexList OPTIONAL

} OPTIONAL

},

...,

[[

cgi-Info CGI-InfoNR OPTIONAL

]]

}

MeasResultListEUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultEUTRA

MeasResultEUTRA ::= SEQUENCE {

eutra-PhysCellId PhysCellId,

measResult MeasQuantityResultsEUTRA,

cgi-Info CGI-InfoEUTRA OPTIONAL,

...

}

MultiBandInfoListEUTRA ::= SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicatorEUTRA

MeasQuantityResults ::= SEQUENCE {

rsrp RSRP-Range OPTIONAL,

rsrq RSRQ-Range OPTIONAL,

sinr SINR-Range OPTIONAL

}

MeasQuantityResultsEUTRA ::= SEQUENCE {

rsrp RSRP-RangeEUTRA OPTIONAL,

rsrq RSRQ-RangeEUTRA OPTIONAL,

sinr SINR-RangeEUTRA OPTIONAL

}

ResultsPerSSB-IndexList::= SEQUENCE (SIZE (1..maxNrofIndexesToReport2)) OF ResultsPerSSB-Index

ResultsPerSSB-Index ::= SEQUENCE {

ssb-Index SSB-Index,

ssb-Results MeasQuantityResults OPTIONAL

}

ResultsPerCSI-RS-IndexList::= SEQUENCE (SIZE (1..maxNrofIndexesToReport2)) OF ResultsPerCSI-RS-Index

ResultsPerCSI-RS-Index ::= SEQUENCE {

csi-RS-Index CSI-RS-Index,

csi-RS-Results MeasQuantityResults OPTIONAL

}

MeasResultServFreqListEUTRA-SCG ::= SEQUENCE (SIZE (1..maxNrofServingCellsEUTRA)) OF MeasResult2EUTRA

MeasResultServFreqListNR-SCG ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF MeasResult2NR

MeasResultListUTRA-FDD-r16 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultUTRA-FDD-r16

MeasResultUTRA-FDD-r16 ::= SEQUENCE {

physCellId-r16 PhysCellIdUTRA-FDD-r16,

measResult-r16 SEQUENCE {

utra-FDD-RSCP-r16 INTEGER (-5..91) OPTIONAL,

utra-FDD-EcN0-r16 INTEGER (0..49) OPTIONAL

}

}

MeasResultForRSSI-r16 ::= SEQUENCE {

rssi-Result-r16 RSSI-Range-r16,

channelOccupancy-r16 INTEGER (0..100)

}

MeasResultCLI-r16 ::= SEQUENCE {

measResultListSRS-RSRP-r16 MeasResultListSRS-RSRP-r16 OPTIONAL,

measResultListCLI-RSSI-r16 MeasResultListCLI-RSSI-r16 OPTIONAL

}

MeasResultListSRS-RSRP-r16 ::= SEQUENCE (SIZE (1.. maxCLI-Report-r16)) OF MeasResultSRS-RSRP-r16

MeasResultSRS-RSRP-r16 ::= SEQUENCE {

srs-ResourceId-r16 SRS-ResourceId,

srs-RSRP-Result-r16 SRS-RSRP-Range-r16

}

MeasResultListCLI-RSSI-r16 ::= SEQUENCE (SIZE (1.. maxCLI-Report-r16)) OF MeasResultCLI-RSSI-r16

MeasResultCLI-RSSI-r16 ::= SEQUENCE {

rssi-ResourceId-r16 RSSI-ResourceId-r16,

cli-RSSI-Result-r16 CLI-RSSI-Range-r16

}

UL-PDCP-DelayValueResultList-r16 ::= SEQUENCE (SIZE (1..maxDRB)) OF UL-PDCP-DelayValueResult-r16

UL-PDCP-DelayValueResult-r16 ::= SEQUENCE {

drb-Id-r16 DRB-Identity,

averageDelay-r16 INTEGER (0..10000),

...

}

UL-PDCP-ExcessDelayResultList-r17 ::= SEQUENCE (SIZE (1..maxDRB)) OF UL-PDCP-ExcessDelayResult-r17

UL-PDCP-ExcessDelayResult-r17 ::= SEQUENCE {

drb-Id-r17 DRB-Identity,

excessDelay-r17 INTEGER (0..31),

...

}

-- TAG-MEASRESULTS-STOP

-- ASN1STOP

|  |
| --- |
| *MeasResultEUTRA* field descriptions |
| ***eutra-PhysCellId***  Identifies the physical cell identity of the E-UTRA cell for which the reporting is being performed. The UE reports a value in the range 0..503, other values are reserved. |

|  |
| --- |
| *MeasResultNR* field descriptions |
| ***averageDelay***  Indicates average delay for the packets during the reporting period, as specified in TS 38.314 [53]. Value 0 corresponds to 0 millisecond, value 1 corresponds to 0.1 millisecond, value 2 corresponds to 0.2 millisecond, and so on. |
| ***cellResults***  Cell level measurement results. |
| ***drb-Id***  Indicates DRB value for which uplink PDCP delay ratio or value is provided, according to TS 38.314 [53]. |
| ***locationInfo***  Positioning related information and measurements. |
| ***physCellId***  The physical cell identity of the NR cell for which the reporting is being performed. |
| ***resultsSSB-Cell***  Cell level measurement results based on SS/PBCH related measurements. |
| ***resultsSSB-Indexes***  Beam level measurement results based on SS/PBCH related measurements. |
| ***resultsCSI-RS-Cell***  Cell level measurement results based on CSI-RS related measurements. |
| ***resultsCSI-RS-Indexes***  Beam level measurement results based on CSI-RS related measurements. |
| ***rsIndexResults***  Beam level measurement results. |

|  |
| --- |
| *MeasResultUTRA-FDD* field descriptions |
| ***physCellId***  The physical cell identity of the UTRA-FDD cell for which the reporting is being performed. |
| ***utra-FDD-EcN0***  According to CPICH\_Ec/No in TS 25.133 [46] for FDD. |
| ***utra-FDD-RSCP***  According to CPICH\_RSCP in TS 25.133 [46] for FDD. |

| *MeasResults* field descriptions |
| --- |
| ***excessDelay***  Indicates the ratio of packets in UL per DRB exceeding the configured delay threshold among the UL PDCP SDUs, according to the UL PDCP Excess Packet Delay per DRB mapping table, as defined in TS 38.314 [53], Table 4.3.1.e-1. |
| ***measId***  Identifies the measurement identity for which the reporting is being performed. |
| ***measQuantityResults***  The value sinr is not included when it is used for *LogMeasReport-r16*. |
| ***measResultCellListSFTD-NR***  SFTD measurement results between the PCell and the NR neighbour cell(s) in NR standalone. |
| ***measResultCLI***  CLI measurement results. |
| ***measResultEUTRA***  Measured results of an E-UTRA cell. |
| ***measResultForRSSI***  Includes measured RSSI result in dBm (see TS 38.215 [9]) and *channelOccupancy* which is the percentage of samples when the RSSI was above the configured *channelOccupancyThreshold* for the associated *reportConfig*. |
| ***measResultListEUTRA***  List of measured results for the maximum number of reported best cells for an E-UTRA measurement identity. |
| ***measResultListNR***  List of measured results for the maximum number of reported best cells for an NR measurement identity. |
| ***measResultListUTRA-FDD***  List of measured results for the maximum number of reported best cells for a UTRA-FDD measurement identity. |
| ***measResultNR***  Measured results of an NR cell. |
| ***measResultServFreqListEUTRA-SCG***  Measured results of the E-UTRA SCG serving frequencies: the measurement result of PSCell and each SCell, if any, and of the best neighbouring cell on each E-UTRA SCG serving frequency. |
| ***measResultServFreqListNR-SCG***  Measured results of the NR SCG serving frequencies: the measurement result of PSCell and each SCell, if any, and of the best neighbouring cell on each NR SCG serving frequency. |
| ***measResultServingMOList***  Measured results of measured cells with reference signals indicated in the serving cell measurement objects including measurement results of SpCell, configured SCell(s) and best neighbouring cell within measured cells with reference signals indicated in on each serving cell measurement object. If the sending of the *MeasurementReport* message is triggered by a measurement configured by the field *sl-ConfigDedicatedForNR* received within an E-UTRA *RRCConnectionReconfiguration* message (i.e. CBR measurements), this field is not applicable and its contents is ignored by the network. |
| ***measResultSFTD-EUTRA***  SFTD measurement results between the PCell and the E-UTRA PScell in NE-DC. |
| ***measResultSFTD-NR***  SFTD measurement results between the PCell and the NR PScell in NR-DC. |
| ***measResultsSL***  CBR measurements results for NR sidelink communication. |
| ***measResultUTRA-FDD***  Measured result of a UTRA-FDD cell. |

*<Next modificaiton>*

#### – *ReportConfigNR*

The IE *ReportConfigNR* specifies criteria for triggering of an NR measurement reporting event or of a CHO or CPC event. For events labelled AN with N equal to 1, 2 and so on, measurement reporting events and CHO or CPC events are based on cell measurement results, which can either be derived based on SS/PBCH block or CSI-RS.

Event A1: Serving becomes better than absolute threshold;

Event A2: Serving becomes worse than absolute threshold;

Event A3: Neighbour becomes amount of offset better than PCell/PSCell;

Event A4: Neighbour becomes better than absolute threshold;

Event A5: PCell/PSCell becomes worse than absolute threshold1 AND Neighbour/SCell becomes better than another absolute threshold2;

Event A6: Neighbour becomes amount of offset better than SCell;

CondEvent A3: Conditional reconfiguration candidate becomes amount of offset better than PCell/PSCell;

CondEvent A5: PCell/PSCell becomes worse than absolute threshold1 AND Conditional reconfiguration candidate becomes better than another absolute threshold2;

For event I1, measurement reporting event is based on CLI measurement results, which can either be derived based on SRS-RSRP or CLI-RSSI.

Event I1: Interference becomes higher than absolute threshold.

*ReportConfigNR* information element

-- ASN1START

-- TAG-REPORTCONFIGNR-START

ReportConfigNR ::= SEQUENCE {

reportType CHOICE {

periodical PeriodicalReportConfig,

eventTriggered EventTriggerConfig,

...,

reportCGI ReportCGI,

reportSFTD ReportSFTD-NR,

condTriggerConfig-r16 CondTriggerConfig-r16,

cli-Periodical-r16 CLI-PeriodicalReportConfig-r16,

cli-EventTriggered-r16 CLI-EventTriggerConfig-r16

}

}

ReportCGI ::= SEQUENCE {

cellForWhichToReportCGI PhysCellId,

...,

[[

useAutonomousGaps-r16 ENUMERATED {setup} OPTIONAL -- Need R

]]

}

ReportSFTD-NR ::= SEQUENCE {

reportSFTD-Meas BOOLEAN,

reportRSRP BOOLEAN,

...,

[[

reportSFTD-NeighMeas ENUMERATED {true} OPTIONAL, -- Need R

drx-SFTD-NeighMeas ENUMERATED {true} OPTIONAL, -- Need R

cellsForWhichToReportSFTD SEQUENCE (SIZE (1..maxCellSFTD)) OF PhysCellId OPTIONAL -- Need R

]]

}

CondTriggerConfig-r16 ::= SEQUENCE {

condEventId CHOICE {

condEventA3 SEQUENCE {

a3-Offset MeasTriggerQuantityOffset,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger

},

condEventA5 SEQUENCE {

a5-Threshold1 MeasTriggerQuantity,

a5-Threshold2 MeasTriggerQuantity,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger

},

...

},

rsType-r16 NR-RS-Type,

...

}

EventTriggerConfig::= SEQUENCE {

eventId CHOICE {

eventA1 SEQUENCE {

a1-Threshold MeasTriggerQuantity,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger

},

eventA2 SEQUENCE {

a2-Threshold MeasTriggerQuantity,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger

},

eventA3 SEQUENCE {

a3-Offset MeasTriggerQuantityOffset,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger,

useWhiteCellList BOOLEAN

},

eventA4 SEQUENCE {

a4-Threshold MeasTriggerQuantity,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger,

useWhiteCellList BOOLEAN

},

eventA5 SEQUENCE {

a5-Threshold1 MeasTriggerQuantity,

a5-Threshold2 MeasTriggerQuantity,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger,

useWhiteCellList BOOLEAN

},

eventA6 SEQUENCE {

a6-Offset MeasTriggerQuantityOffset,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger,

useWhiteCellList BOOLEAN

},

...

},

rsType NR-RS-Type,

reportInterval ReportInterval,

reportAmount ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

reportQuantityCell MeasReportQuantity,

maxReportCells INTEGER (1..maxCellReport),

reportQuantityRS-Indexes MeasReportQuantity OPTIONAL, -- Need R

maxNrofRS-IndexesToReport INTEGER (1..maxNrofIndexesToReport) OPTIONAL, -- Need R

includeBeamMeasurements BOOLEAN,

reportAddNeighMeas ENUMERATED {setup} OPTIONAL, -- Need R

...,

[[

measRSSI-ReportConfig-r16 MeasRSSI-ReportConfig-r16 OPTIONAL, -- Need R

useT312-r16 BOOLEAN OPTIONAL, -- Need M

includeCommonLocationInfo-r16 ENUMERATED {true} OPTIONAL, -- Need R

includeBT-Meas-r16 SetupRelease {BT-NameList-r16} OPTIONAL, -- Need M

includeWLAN-Meas-r16 SetupRelease {WLAN-NameList-r16} OPTIONAL, -- Need M

includeSensor-Meas-r16 SetupRelease {Sensor-NameList-r16} OPTIONAL -- Need M

]]

}

PeriodicalReportConfig ::= SEQUENCE {

rsType NR-RS-Type,

reportInterval ReportInterval,

reportAmount ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

reportQuantityCell MeasReportQuantity,

maxReportCells INTEGER (1..maxCellReport),

reportQuantityRS-Indexes MeasReportQuantity OPTIONAL, -- Need R

maxNrofRS-IndexesToReport INTEGER (1..maxNrofIndexesToReport) OPTIONAL, -- Need R

includeBeamMeasurements BOOLEAN,

useWhiteCellList BOOLEAN,

...,

[[

measRSSI-ReportConfig-r16 MeasRSSI-ReportConfig-r16 OPTIONAL, -- Need R

includeCommonLocationInfo-r16 ENUMERATED {true} OPTIONAL, -- Need R

includeBT-Meas-r16 SetupRelease {BT-NameList-r16} OPTIONAL, -- Need M

includeWLAN-Meas-r16 SetupRelease {WLAN-NameList-r16} OPTIONAL, -- Need M

includeSensor-Meas-r16 SetupRelease {Sensor-NameList-r16} OPTIONAL, -- Need M

ul-DelayValueConfig-r16 SetupRelease { UL-DelayValueConfig-r16 } OPTIONAL, -- Need M

reportAddNeighMeas-r16 ENUMERATED {setup} OPTIONAL -- Need R

]],

[[

ul-ExcessDelayConfig-r17 SetupRelease { UL-ExcessDelayConfig-r17 } OPTIONAL, -- Need M

]]

}

NR-RS-Type ::= ENUMERATED {ssb, csi-rs}

MeasTriggerQuantity ::= CHOICE {

rsrp RSRP-Range,

rsrq RSRQ-Range,

sinr SINR-Range

}

MeasTriggerQuantityOffset ::= CHOICE {

rsrp INTEGER (-30..30),

rsrq INTEGER (-30..30),

sinr INTEGER (-30..30)

}

MeasReportQuantity ::= SEQUENCE {

rsrp BOOLEAN,

rsrq BOOLEAN,

sinr BOOLEAN

}

MeasRSSI-ReportConfig-r16 ::= SEQUENCE {

channelOccupancyThreshold-r16 RSSI-Range-r16 OPTIONAL -- Need R

}

CLI-EventTriggerConfig-r16 ::= SEQUENCE {

eventId-r16 CHOICE {

eventI1-r16 SEQUENCE {

i1-Threshold-r16 MeasTriggerQuantityCLI-r16,

reportOnLeave-r16 BOOLEAN,

hysteresis-r16 Hysteresis,

timeToTrigger-r16 TimeToTrigger

},

...

},

reportInterval-r16 ReportInterval,

reportAmount-r16 ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

maxReportCLI-r16 INTEGER (1..maxCLI-Report-r16),

...

}

CLI-PeriodicalReportConfig-r16 ::= SEQUENCE {

reportInterval-r16 ReportInterval,

reportAmount-r16 ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

reportQuantityCLI-r16 MeasReportQuantityCLI-r16,

maxReportCLI-r16 INTEGER (1..maxCLI-Report-r16),

...

}

MeasTriggerQuantityCLI-r16 ::= CHOICE {

srs-RSRP-r16 SRS-RSRP-Range-r16,

cli-RSSI-r16 CLI-RSSI-Range-r16

}

MeasReportQuantityCLI-r16 ::= ENUMERATED {srs-rsrp, cli-rssi}

-- TAG-REPORTCONFIGNR-STOP

-- ASN1STOP

|  |
| --- |
| *CondTriggerConfig* field descriptions |
| ***a3-Offset***  Offset value(s) to be used in NR conditional reconfiguration triggering condition for cond event a3. The actual value is field value \* 0.5 dB. |
| ***a5-Threshold1/ a5-Threshold2***  Threshold value associated to the selected trigger quantity (e.g. RSRP, RSRQ, SINR) per RS Type (e.g. SS/PBCH block, CSI-RS) to be used in NR conditional reconfiguration triggering condition for cond event a5. In the same *condeventA5*, the network configures the same quantity for the *MeasTriggerQuantity* of the *a5-Threshold1* and for the *MeasTriggerQuantity* of the *a5-Threshold2*. |
| ***condEventId***  Choice of NR conditional reconfiguration event triggered criteria. |
| ***timeToTrigger***  Time during which specific criteria for the event needs to be met in order to execute the conditional reconfiguration evaluation. |

|  |
| --- |
| *ReportConfigNR* field descriptions |
| ***reportType***  Type of the configured measurement report. In MR-DC, network does not configure report of type *reportCGI* using SRB3. The *condTriggerConfig is* used for CHO or CPC configuration. |

|  |
| --- |
| *ReportCGI* field descriptions |
| ***useAutonomousGaps***  Indicates whether or not the UE is allowed to use autonomous gaps in acquiring system information from the NR neighbour cell. When the field is included, the UE applies the corresponding value for T321. |

|  |
| --- |
| *EventTriggerConfig* field descriptions |
| ***a3-Offset/a6-Offset***  Offset value(s) to be used in NR measurement report triggering condition for event a3/a6. The actual value is field value \* 0.5 dB. |
| ***aN-ThresholdM***  Threshold value associated to the selected trigger quantity (e.g. RSRP, RSRQ, SINR) per RS Type (e.g. SS/PBCH block, CSI-RS) to be used in NR measurement report triggering condition for event number aN. If multiple thresholds are defined for event number aN, the thresholds are differentiated by M. The network configures aN-Threshold1 only for events A1, A2, A4, A5 and a5-Threshold2 only for event A5. In the same *eventA5*, the network configures the same quantity for the *MeasTriggerQuantity* of the *a5-Threshold1* and for the *MeasTriggerQuantity* of the *a5-Threshold2*. |
| ***channelOccupancyThreshold***  RSSI threshold which is used for channel occupancy evaluation. |
| ***eventId***  Choice of NR event triggered reporting criteria. |
| ***maxNrofRS-IndexesToReport***  Max number of RS indexes to include in the measurement report for A1-A6 events. |
| ***maxReportCells***  Max number of non-serving cells to include in the measurement report. |
| ***reportAddNeighMeas***  Indicates that the UE shall include the best neighbour cells per serving frequency. |
| ***reportAmount***  *Number* of measurement reports applicable for *eventTriggered* as well as for *periodical* report types. |
| ***reportOnLeave***  Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in *cellsTriggeredList*, as specified in 5.5.4.1. |
| ***reportQuantityCell***  The cell measurement quantities to be included in the measurement report. |
| ***reportQuantityRS-Indexes***  Indicates which measurement information per RS index the UE shall include in the measurement report. |
| ***timeToTrigger***  Time during which specific criteria for the event needs to be met in order to trigger a measurement report. |
| ***useT312***  If value *TRUE* is configured, the UE shall use the timer T312 with the value *t312* as specified in the corresponding *measObjectNR*. If value FALSE is configured, the timer T312 is considered as disabled. Network configures value *TRUE* only if *reportType* is set to *eventTriggered*. |
| ***useWhiteCellList***  Indicates whether only the cells included in the white-list of the associated measObject are applicable as specified in 5.5.4.1. |

|  |
| --- |
| *CLI-EventTriggerConfig* field descriptions |
| ***i1-Threshold***  Threshold value associated to the selected trigger quantity (e.g. SRS-RSRP, CLI-RSSI) to be used in CLI measurement report triggering condition for event i1. |
| ***eventId***  Choice of CLI event triggered reporting criteria. |
| ***maxReportCLI***  Max number of CLI measurement resource to include in the measurement report. |
| ***reportAmount***  *Number* of measurement reports. |
| ***reportOnLeave***  Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a CLI measurement resource in *srsTriggeredList* or *rssiTriggeredList*, as specified in 5.5.4.1. |
| ***timeToTrigger***  Time during which specific criteria for the event needs to be met in order to trigger a measurement report. |

|  |
| --- |
| *CLI-PeriodicalReportConfig* field descriptions |
| ***maxReportCLI***  Max number of CLI measurement resource to include in the measurement report. |
| ***reportAmount***  *Number* of measurement reports. |
| ***reportQuantityCLI***  The CLI measurement quantities to be included in the measurement report. |

|  |
| --- |
| *PeriodicalReportConfig* field descriptions |
| ***maxNrofRS-IndexesToReport***  Max number of RS indexes to include in the measurement report. |
| ***maxReportCells***  Max number of non-serving cells to include in the measurement report. |
| ***reportAddNeighMeas***  Indicates that the UE shall include the best neighbour cells per serving frequency. |
| ***reportAmount***  *Number* of measurement reports applicable for *eventTriggered* as well as for *periodical* report types |
| ***reportQuantityCell***  The cell measurement quantities to be included in the measurement report. |
| ***reportQuantityRS-Indexes***  Indicates which measurement information per RS index the UE shall include in the measurement report. |
| ***ul-DelayValueConfig***  If the field is present, the UE shall perform the actual UL PDCP Packet Average Delay measurement per DRB as specified in TS 38.314 [53] and the UE shall ignore the fields *reportQuantityCell* and *maxReportCells*. The applicable values for the corresponding *reportInterval* are (one of the) {ms120, ms240, ms480, ms640, ms1024, ms2048, ms5120, ms10240, ms20480, ms40960, min1,min6, min12, min30}. The *reportInterval* indicates the periodicity for performing and reporting of UL PDCP Packet Average Delay per DRB measurement as specified in TS 38.314 [53]. |
| ***ul-ExcessDelayConfig***  If the field is present, the UE shall perform the actual UL PDCP Excess Packet Delay per DRB measurement as specified in TS 38.314 [53] and the UE shall ignore the fields *reportQuantityCell* and *maxReportCells*. The applicable values for the corresponding *reportInterval* are (one of the) {ms120, ms240, ms480, ms640, ms1024, ms2048, ms5120, ms10240, ms20480, ms40960, min1,min6, min12, min30}. The *reportInterval* indicates the periodicity for performing and reporting of UL PDCP Excess Packet Delay per DRB measurement as specified in TS 38.314 [53]. |
| ***useWhiteCellList***  Indicates whether only the cells included in the white-list of the associated measObject are applicable as specified in 5.5.4.1. |

|  |
| --- |
| *ReportSFTD-NR* field descriptions |
| ***cellForWhichToReportSFTD***  Indicates the target NR neighbour cells for SFTD measurement between PCell and NR neighbour cells. |
| ***drx-SFTD-NeighMeas***  Indicates that the UE shall use available idle periods (i.e. DRX off periods) for the SFTD measurement in NR standalone. The network only includes *drx-SFTD-NeighMeas* field when *reprtSFTD-NeighMeas* is set to true. |
| ***reportSFTD-Meas***  Indicates whether UE is required to perform SFTD measurement between PCell and NR PSCell in NR-DC. |
| ***reportSFTD-NeighMeas***  Indicates whether UE is required to perform SFTD measurement between PCell and NR neighbour cells in NR standalone. The network does not include this field if *reportSFTD-Meas* is set to *true*. |
| ***reportRSRP***  Indicates whether UE is required to include RSRP result of NR PSCell or NR neighbour cells in SFTD measurement result, derived based on SSB. If it is set to true, the network should ensure that *ssb-ConfigMobility* is included in the measurement object for NR PSCell or NR neighbour cells. |

|  |
| --- |
| otherfield descriptions |
| ***MeasTriggerQuantity***  SINR is applicable only for CONNECTED mode events. |

*<Next modification>*

#### – *UL-DelayValueConfig*

The IE *UL-DelayValueConfig* IE specifies the configuration of the UL PDCP Packet Delay value per DRB measurement specified in TS 38.314 [53].

*UL-DelayValueConfig* information element

-- ASN1START

-- TAG-ULDELAYVALUECONFIG-START

UL-DelayValueConfig-r16 ::= SEQUENCE {

delay-DRBlist SEQUENCE (SIZE(1..maxDRB)) OF DRB-Identity

}

-- TAG-ULDELAYVALUECONFIG-STOP

-- ASN1STOP

| *UL-DelayValueConfig* field descriptions |
| --- |
| ***Delay-DRBlist***  Indicates the DRB IDs used by UE to provide results of UL PDCP Packet Delay value per DRB measurement as specified in TS 38.314 [53]. |

#### – *UL-ExcessDelayConfig*

The IE *UL-ExcessDelayConfig* IE specifies the configuration of the UL PDCP Excess Packet Delay per DRB measurement specified in TS 38.314 [53].

*UL-ExcessDelayConfig* information element

-- ASN1START

-- TAG-ULEXCESSDELAYCONFIG-START

UL-ExcessDelayConfig-r17 ::= SEQUENCE {

excessDelay-DRBlist-r17 SEQUENCE (SIZE(1..maxDRB)) OF excessDelay-DRB-IdentityInfo-r17

}

UL-ExcessDelayConfig-r17 ::= SEQUENCE {

drb-IdentityList SEQUENCE (SIZE (1..maxDRB)) OF DRB-Identitiy,

delayThreshold ENUMERATED {ms0dot25, ms0dot5, ms1, ms2, ms4, ms5, ms10, ms20, ms30, ms40, ms50, ms60, ms70, ms80, ms90, ms100, ms150, ms300, ms500, ms750}

}

-- TAG-ULEXCESSDELAYCONFIG-STOP

-- ASN1STOP

| *UL-ExcessDelayConfig* field descriptions |
| --- |
| ***drb-IdentityList***  Indicates the DRB IDs used by UE to provide results of UL PDCP Excess Packet Delay per DRB measurement as specified in TS 38.314 [53]. |
| ***delayThreshold***  Indicates the delay threshold for the drb ids indicated in drb-IdentityList. Value ms0dot25 corresponds to 0.25ms, ms0dot5 corresponds to 0.5ms, ms1 corresponds to 1ms and so on. |

*<Next modification>*

### 6.3.4 Other information elements

*<Partially omitted >*

#### – *AreaConfiguration*

The *AreaConfiguration* indicates area for which UE is requested to perform measurement logging. If not configured, measurement logging is not restricted to specific cells or tracking areas but applies as long as the RPLMN is contained in *plmn-IdentityList* stored in *VarLogMeasReport*.

*AreaConfiguration* information element

-- ASN1START

-- TAG-AREACONFIGURATION-START

AreaConfiguration-r16 ::= SEQUENCE {

areaConfig-r16 AreaConfig-r16,

interFreqTargetList-r16 SEQUENCE(SIZE (1..maxFreq)) OF InterFreqTargetInfo-r16 OPTIONAL -- Need R

}

AreaConfiguration-v17xy ::= SEQUENCE {

areaConfig-r16 AreaConfig-r16 OPTIONAL, -- Need R

interFreqTargetList-r16 SEQUENCE(SIZE (1..maxFreq)) OF InterFreqTargetInfo-r16 OPTIONAL -- Need R

}

AreaConfig-r16 ::= CHOICE {

cellGlobalIdList-r16 CellGlobalIdList-r16,

trackingAreaCodeList-r16 TrackingAreaCodeList-r16,

trackingAreaIdentityList-r16 TrackingAreaIdentityList-r16

}

InterFreqTargetInfo-r16 ::= SEQUENCE {

dl-CarrierFreq-r16 ARFCN-ValueNR,

cellList-r16 SEQUENCE (SIZE (1..32)) OF PhysCellId OPTIONAL -- Need R

}

CellGlobalIdList-r16 ::= SEQUENCE (SIZE (1..32)) OF CGI-Info-Logging-r16

TrackingAreaCodeList-r16 ::= SEQUENCE (SIZE (1..8)) OF TrackingAreaCode

TrackingAreaIdentityList-r16 ::= SEQUENCE (SIZE (1..8)) OF TrackingAreaIdentity-r16

TrackingAreaIdentity-r16 ::= SEQUENCE {

plmn-Identity-r16 PLMN-Identity,

trackingAreaCode-r16 TrackingAreaCode

}

-- TAG-AREACONFIGURATION-STOP

-- ASN1STOP

| *AreaConfiguration* field descriptions |
| --- |
| ***InterFreqTargetInfo***  If configured, it indicates the neighbouring frequency and cells for which UE is requested to perform measurement logging. [FFS: on the description based on RAN2 agreements.] |

*<Next modification>*

#### – *UE-MeasurementsAvailable*

The IE *UE-MeasurementsAvailable* is used to indicate all relevant available indicators for UE measurements.

*UE-MeasurementsAvailable* information element

-- ASN1START

-- TAG-UE-MeasurementsAvailable-START

UE-MeasurementsAvailable-r16 ::= SEQUENCE {

logMeasAvailable-r16 ENUMERATED {true} OPTIONAL,

logMeasAvailableBT-r16 ENUMERATED {true} OPTIONAL,

logMeasAvailableWLAN-r16 ENUMERATED {true} OPTIONAL,

connEstFailInfoAvailable-r16 ENUMERATED {true} OPTIONAL,

rlf-InfoAvailable-r16 ENUMERATED {true} OPTIONAL,

...,

[[

sigLogMeasConfigAvailable-r17 ENUMERATED {true} OPTIONAL

]]

}

-- TAG-UE-MeasurementsAvailable-STOP

-- ASN1STOP

#### – *UTRA-FDD-Q-OffsetRange*

The IE *UTRA-FDD-Q-OffsetRange* is used to indicate a frequency specific offset to be applied when evaluating triggering conditions for measurement reporting. The value is in dB. Value *dB-24* corresponds to -24 dB, value *dB-22* corresponds to -22 dB and so on.

*UTRA-FDD-Q-OffsetRange* information element

-- ASN1START

-- TAG-UTRA-FDD-Q-OFFSETRANGE-START

UTRA-FDD-Q-OffsetRange-r16 ::= ENUMERATED {

dB-24, dB-22, dB-20, dB-18, dB-16, dB-14,

dB-12, dB-10, dB-8, dB-6, dB-5, dB-4, dB-3,

dB-2, dB-1, dB0, dB1, dB2, dB3, dB4, dB5,

dB6, dB8, dB10, dB12, dB14, dB16, dB18,

dB20, dB22, dB24}

-- TAG-UTRA-FDD-Q-OFFSETRANGE-STOP

-- ASN1STOP

#### – *VisitedCellInfoList*

The IE *VisitedCellInfoList* includes the mobility history information of maximum of 16 most recently visited cells or time spent in any cell selection state and/or camped on any cell state in NR or E-UTRA. The most recently visited cell is stored first in the list. The list includes cells visited in RRC\_IDLE, RRC\_INACTIVE and RRC\_CONNECTED states for NR and RRC\_IDLE and RRC\_CONNECTED for E-UTRA.

*VisitedCellInfoList* information element

-- ASN1START

-- TAG-VISITEDCELLINFOLIST-START

VisitedCellInfoList-r16 ::= SEQUENCE (SIZE (1..maxCellHistory-r16)) OF VisitedCellInfo-r16

VisitedCellInfo-r16 ::= SEQUENCE {

visitedCellId-r16 CHOICE {

nr-CellId-r16 CHOICE {

cgi-Info CGI-Info-Logging-r16,

pci-arfcn-r16 SEQUENCE {

physCellId-r16 PhysCellId,

carrierFreq-r16 ARFCN-ValueNR

}

},

eutra-CellId-r16 CHOICE {

cellGlobalId-r16 CGI-InfoEUTRA,

pci-arfcn-r16 SEQUENCE {

physCellId-r16 EUTRA-PhysCellId,

carrierFreq-r16 ARFCN-ValueEUTRA

}

}

} OPTIONAL,

timeSpent-r16 INTEGER (0..4095),

...

}

-- TAG-VISITEDCELLINFOLIST-STOP

-- ASN1STOP

| *VisitedCellInfoList* field descriptions |
| --- |
| ***timeSpent***  This field indicates the duration of stay in the cell or in any cell selection state and/or camped on any cell state in NR or E-UTRA approximated to the closest second. If the duration of stay exceeds 4095s, the UE shall set it to 4095s. |
| ***visitedCellId***  This field indicates the visited cell id including NR and E-UTRA cells. |

#### – *WLAN-NameList*

The IE *WLAN-NameList* is used to indicate the names of the WLAN AP for which the UE is configured to measure.

*WLAN-NameList* information element

-- ASN1START

-- TAG-WLANNAMELIST-START

WLAN-NameList-r16 ::= SEQUENCE (SIZE (1..maxWLAN-Name-r16)) OF WLAN-Name-r16

WLAN-Name-r16 ::= OCTET STRING (SIZE (1..32))

-- ASN1STOP

-- TAG-WLANNAMELIST-STOP

| *WLAN-NameList* field descriptions |
| --- |
| ***WLAN-Name***  If configured, the UE only performs WLAN measurements according to the names identified. For each name, it refers to Service Set Identifier (SSID) defined in IEEE 802.11-2012 [50]. |

*<Next modification>*

## 6.4 RRC multiplicity and type constraint values

### – Multiplicity and type constraint definitions

-- ASN1START

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-START

maxAI-DCI-PayloadSize-r16 INTEGER ::= 128 --Maximum size of the DCI payload scrambled with ai-RNTI

maxAI-DCI-PayloadSize-1-r16 INTEGER ::= 127 --Maximum size of the DCI payload scrambled with ai-RNTI minus 1

maxBandComb INTEGER ::= 65536 -- Maximum number of DL band combinations

maxBandsUTRA-FDD-r16 INTEGER ::= 64 -- Maximum number of bands listed in UTRA-FDD UE caps

maxBH-RLC-ChannelID-r16 INTEGER ::= 65536 -- Maximum value of BH RLC Channel ID

maxBT-IdReport-r16 INTEGER ::= 32 -- Maximum number of Bluetooth IDs to report

maxBT-Name-r16 INTEGER ::= 4 -- Maximum number of Bluetooth name

maxCAG-Cell-r16 INTEGER ::= 16 -- Maximum number of NR CAG cell ranges in SIB3, SIB4

maxTwoPUCCH-Grp-ConfigList-r16 INTEGER ::= 32 -- Maximum number of supported configuration(s) of {primary PUCCH group

-- config, secondary PUCCH group config}

maxCBR-Config-r16 INTEGER ::= 8 -- Maximum number of CBR range configurations for sidelink communication

-- congestion control

maxCBR-Config-1-r16 INTEGER ::= 7 -- Maximum number of CBR range configurations for sidelink communication

-- congestion control minus 1

maxCBR-Level-r16 INTEGER ::= 16 -- Maximum nuber of CBR levels

maxCBR-Level-1-r16 INTEGER ::= 15 -- Maximum number of CBR levels minus 1

maxCellBlack INTEGER ::= 16 -- Maximum number of NR blacklisted cell ranges in SIB3, SIB4

maxCellGroupings-r16 INTEGER ::= 32 -- Maximum number of cell groupings for NR-DC

maxCellHistory-r16 INTEGER ::= 16 -- Maximum number of visited cells reported

maxCellInter INTEGER ::= 16 -- Maximum number of inter-Freq cells listed in SIB4

maxCellIntra INTEGER ::= 16 -- Maximum number of intra-Freq cells listed in SIB3

maxCellMeasEUTRA INTEGER ::= 32 -- Maximum number of cells in E-UTRAN

maxCellMeasIdle-r16 INTEGER ::= 8 -- Maximum number of cells per carrier for idle/inactive measurements

maxCellMeasUTRA-FDD-r16 INTEGER ::= 32 -- Maximum number of cells in FDD UTRAN

maxCellWhite INTEGER ::= 16 -- Maximum number of NR whitelisted cell ranges in SIB3, SIB4

maxEARFCN INTEGER ::= 262143 -- Maximum value of E-UTRA carrier frequency

maxEUTRA-CellBlack INTEGER ::= 16 -- Maximum number of E-UTRA blacklisted physical cell identity ranges

-- in SIB5

maxEUTRA-NS-Pmax INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxLogMeasReport-r16 INTEGER ::= 520 -- Maximum number of entries for logged measurements

maxMultiBands INTEGER ::= 8 -- Maximum number of additional frequency bands that a cell belongs to

maxNARFCN INTEGER ::= 3279165 -- Maximum value of NR carrier frequency

maxNR-NS-Pmax INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxFreqIdle-r16 INTEGER ::= 8 -- Maximum number of carrier frequencies for idle/inactive measurements

maxNrofServingCells INTEGER ::= 32 -- Max number of serving cells (SpCells + SCells)

maxNrofServingCells-1 INTEGER ::= 31 -- Max number of serving cells (SpCell + SCells) per cell group

maxNrofAggregatedCellsPerCellGroup INTEGER ::= 16

maxNrofAggregatedCellsPerCellGroupMinus4-r16 INTEGER ::= 12

maxNrofDUCells-r16 INTEGER ::= 512 -- Max number of cells configured on the collocated IAB-DU

maxNrofAvailabilityCombinationsPerSet-r16 INTEGER ::= 512 -- Max number of AvailabilityCombinationId used in the DCI format 2\_5

maxNrofAvailabilityCombinationsPerSet-1-r16 INTEGER ::= 511 -- Max number of AvailabilityCombinationId used in the DCI format 2\_5 minus 1

maxNrofSCells INTEGER ::= 31 -- Max number of secondary serving cells per cell group

maxNrofCellMeas INTEGER ::= 32 -- Maximum number of entries in each of the cell lists in a measurement object

maxNrofCG-SL-r16 INTEGER ::= 8 -- Max number of sidelink configured grant

maxNrofCG-SL-1-r16 INTEGER ::= 7 -- Max number of sidelink configured grant minus 1

maxNrofSS-BlocksToAverage INTEGER ::= 16 -- Max number for the (max) number of SS blocks to average to determine cell measurement

maxNrofCondCells-r16 INTEGER ::= 8 -- Max number of conditional candidate SpCells

maxNrofCSI-RS-ResourcesToAverage INTEGER ::= 16 -- Max number for the (max) number of CSI-RS to average to determine cell measurement

maxNrofDL-Allocations INTEGER ::= 16 -- Maximum number of PDSCH time domain resource allocations

maxNrofSR-ConfigPerCellGroup INTEGER ::= 8 -- Maximum number of SR configurations per cell group

maxLCG-ID INTEGER ::= 7 -- Maximum value of LCG ID

maxLC-ID INTEGER ::= 32 -- Maximum value of Logical Channel ID

maxLC-ID-Iab-r16 INTEGER ::= 65855 -- Maximum value of BH Logical Channel ID extension

maxLTE-CRS-Patterns-r16 INTEGER ::= 3 -- Maximum number of additional LTE CRS rate matching patterns

maxNrofTAGs INTEGER ::= 4 -- Maximum number of Timing Advance Groups

maxNrofTAGs-1 INTEGER ::= 3 -- Maximum number of Timing Advance Groups minus 1

maxNrofBWPs INTEGER ::= 4 -- Maximum number of BWPs per serving cell

maxNrofCombIDC INTEGER ::= 128 -- Maximum number of reported MR-DC combinations for IDC

maxNrofSymbols-1 INTEGER ::= 13 -- Maximum index identifying a symbol within a slot (14 symbols, indexed from 0..13)

maxNrofSlots INTEGER ::= 320 -- Maximum number of slots in a 10 ms period

maxNrofSlots-1 INTEGER ::= 319 -- Maximum number of slots in a 10 ms period minus 1

maxNrofPhysicalResourceBlocks INTEGER ::= 275 -- Maximum number of PRBs

maxNrofPhysicalResourceBlocks-1 INTEGER ::= 274 -- Maximum number of PRBs minus 1

maxNrofPhysicalResourceBlocksPlus1 INTEGER ::= 276 -- Maximum number of PRBs plus 1

maxNrofControlResourceSets INTEGER ::= 12 -- Max number of CoReSets configurable on a serving cell

maxNrofControlResourceSets-1 INTEGER ::= 11 -- Max number of CoReSets configurable on a serving cell minus 1

maxNrofControlResourceSets-1-r16 INTEGER ::= 15 -- Max number of CoReSets configurable on a serving cell extended in minus 1

maxNrofCoresetPools-r16 INTEGER ::= 2 -- Maximum number of CORESET pools

maxCoReSetDuration INTEGER ::= 3 -- Max number of OFDM symbols in a control resource set

maxNrofSearchSpaces-1 INTEGER ::= 39 -- Max number of Search Spaces minus 1

maxSFI-DCI-PayloadSize INTEGER ::= 128 -- Max number payload of a DCI scrambled with SFI-RNTI

maxSFI-DCI-PayloadSize-1 INTEGER ::= 127 -- Max number payload of a DCI scrambled with SFI-RNTI minus 1

maxIAB-IP-Address-r16 INTEGER ::= 32 -- Max number of assigned IP addresses

maxINT-DCI-PayloadSize INTEGER ::= 126 -- Max number payload of a DCI scrambled with INT-RNTI

maxINT-DCI-PayloadSize-1 INTEGER ::= 125 -- Max number payload of a DCI scrambled with INT-RNTI minus 1

maxNrofRateMatchPatterns INTEGER ::= 4 -- Max number of rate matching patterns that may be configured

maxNrofRateMatchPatterns-1 INTEGER ::= 3 -- Max number of rate matching patterns that may be configured minus 1

maxNrofRateMatchPatternsPerGroup INTEGER ::= 8 -- Max number of rate matching patterns that may be configured in one group

maxNrofCSI-ReportConfigurations INTEGER ::= 48 -- Maximum number of report configurations

maxNrofCSI-ReportConfigurations-1 INTEGER ::= 47 -- Maximum number of report configurations minus 1

maxNrofCSI-ResourceConfigurations INTEGER ::= 112 -- Maximum number of resource configurations

maxNrofCSI-ResourceConfigurations-1 INTEGER ::= 111 -- Maximum number of resource configurations minus 1

maxNrofAP-CSI-RS-ResourcesPerSet INTEGER ::= 16

maxNrOfCSI-AperiodicTriggers INTEGER ::= 128 -- Maximum number of triggers for aperiodic CSI reporting

maxNrofReportConfigPerAperiodicTrigger INTEGER ::= 16 -- Maximum number of report configurations per trigger state for aperiodic reporting

maxNrofNZP-CSI-RS-Resources INTEGER ::= 192 -- Maximum number of Non-Zero-Power (NZP) CSI-RS resources

maxNrofNZP-CSI-RS-Resources-1 INTEGER ::= 191 -- Maximum number of Non-Zero-Power (NZP) CSI-RS resources minus 1

maxNrofNZP-CSI-RS-ResourcesPerSet INTEGER ::= 64 -- Maximum number of NZP CSI-RS resources per resource set

maxNrofNZP-CSI-RS-ResourceSets INTEGER ::= 64 -- Maximum number of NZP CSI-RS resource sets per cell

maxNrofNZP-CSI-RS-ResourceSets-1 INTEGER ::= 63 -- Maximum number of NZP CSI-RS resource sets per cell minus 1

maxNrofNZP-CSI-RS-ResourceSetsPerConfig INTEGER ::= 16 -- Maximum number of resource sets per resource configuration

maxNrofNZP-CSI-RS-ResourcesPerConfig INTEGER ::= 128 -- Maximum number of resources per resource configuration

maxNrofZP-CSI-RS-Resources INTEGER ::= 32 -- Maximum number of Zero-Power (ZP) CSI-RS resources

maxNrofZP-CSI-RS-Resources-1 INTEGER ::= 31 -- Maximum number of Zero-Power (ZP) CSI-RS resources minus 1

maxNrofZP-CSI-RS-ResourceSets-1 INTEGER ::= 15

maxNrofZP-CSI-RS-ResourcesPerSet INTEGER ::= 16

maxNrofZP-CSI-RS-ResourceSets INTEGER ::= 16

maxNrofCSI-IM-Resources INTEGER ::= 32 -- Maximum number of CSI-IM resources

maxNrofCSI-IM-Resources-1 INTEGER ::= 31 -- Maximum number of CSI-IM resources minus 1

maxNrofCSI-IM-ResourcesPerSet INTEGER ::= 8 -- Maximum number of CSI-IM resources per set

maxNrofCSI-IM-ResourceSets INTEGER ::= 64 -- Maximum number of NZP CSI-IM resource sets per cell

maxNrofCSI-IM-ResourceSets-1 INTEGER ::= 63 -- Maximum number of NZP CSI-IM resource sets per cell minus 1

maxNrofCSI-IM-ResourceSetsPerConfig INTEGER ::= 16 -- Maximum number of CSI IM resource sets per resource configuration

maxNrofCSI-SSB-ResourcePerSet INTEGER ::= 64 -- Maximum number of SSB resources in a resource set

maxNrofCSI-SSB-ResourceSets INTEGER ::= 64 -- Maximum number of CSI SSB resource sets per cell

maxNrofCSI-SSB-ResourceSets-1 INTEGER ::= 63 -- Maximum number of CSI SSB resource sets per cell minus 1

maxNrofCSI-SSB-ResourceSetsPerConfig INTEGER ::= 1 -- Maximum number of CSI SSB resource sets per resource configuration

maxNrofFailureDetectionResources INTEGER ::= 10 -- Maximum number of failure detection resources

maxNrofFailureDetectionResources-1 INTEGER ::= 9 -- Maximum number of failure detection resources minus 1

maxNrofFreqSL-r16 INTEGER ::= 8 -- Maximum number of carrier frequncy for for NR sidelink communication

maxNrofSL-BWPs-r16 INTEGER ::= 4 -- Maximum number of BWP for for NR sidelink communication

maxFreqSL-EUTRA-r16 INTEGER ::= 8 -- Maximum number of EUTRA anchor carrier frequncy for NR sidelink communication

maxNrofSL-MeasId-r16 INTEGER ::= 64 -- Maximum number of sidelink measurement identity (RSRP) per destination

maxNrofSL-ObjectId-r16 INTEGER ::= 64 -- Maximum number of sidelink measurement objects (RSRP) per destination

maxNrofSL-ReportConfigId-r16 INTEGER ::= 64 -- Maximum number of sidelink measurement reporting configuration(RSRP) per destination

maxNrofSL-PoolToMeasureNR-r16 INTEGER ::= 8 -- Maximum number of resoure pool for NR sidelink measurement to measure for

-- each measurement object (for CBR)

maxFreqSL-NR-r16 INTEGER ::= 8 -- Maximum number of NR anchor carrier frequncy for NR sidelink communication

maxNrofSL-QFIs-r16 INTEGER ::= 2048 -- Maximum number of QoS flow for NR sidelink communication per UE

maxNrofSL-QFIsPerDest-r16 INTEGER ::= 64 -- Maximum number of QoS flow per destination for NR sidelink communication

maxNrofObjectId INTEGER ::= 64 -- Maximum number of measurement objects

maxNrofPageRec INTEGER ::= 32 -- Maximum number of page records

maxNrofPCI-Ranges INTEGER ::= 8 -- Maximum number of PCI ranges

maxPLMN INTEGER ::= 12 -- Maximum number of PLMNs broadcast and reported by UE at establisghment

maxNrofCSI-RS-ResourcesRRM INTEGER ::= 96 -- Maximum number of CSI-RS resources per cell for an RRM measurement object

maxNrofCSI-RS-ResourcesRRM-1 INTEGER ::= 95 -- Maximum number of CSI-RS resources per cell for an RRM measurement object minus 1

maxNrofMeasId INTEGER ::= 64 -- Maximum number of configured measurements

maxNrofQuantityConfig INTEGER ::= 2 -- Maximum number of quantity configurations

maxNrofCSI-RS-CellsRRM INTEGER ::= 96 -- Maximum number of cells with CSI-RS resources for an RRM measurement object

maxNrofSL-Dest-r16 INTEGER ::= 32 -- Maximum number of destination for NR sidelink communication

maxNrofSL-Dest-1-r16 INTEGER ::= 31 -- Highest index of destination for NR sidelink communication

maxNrofSLRB-r16 INTEGER ::= 512 -- Maximum number of radio bearer for NR sidelink communication per UE

maxSL-LCID-r16 INTEGER ::= 512 -- Maximum number of RLC bearer for NR sidelink communication per UE

maxSL-SyncConfig-r16 INTEGER ::= 16 -- Maximum number of sidelink Sync configurations

maxNrofRXPool-r16 INTEGER ::= 16 -- Maximum number of Rx resource poolfor NR sidelink communication

maxNrofTXPool-r16 INTEGER ::= 8 -- Maximum number of Tx resourcepoolfor NR sidelink communication

maxNrofPoolID-r16 INTEGER ::= 16 -- Maximum index of resource pool for NR sidelink communication

maxNrofSRS-PathlossReferenceRS-r16 INTEGER ::= 64 -- Maximum number of RSs used as pathloss reference for SRS power control.

maxNrofSRS-PathlossReferenceRS-1-r16 INTEGER ::= 63 -- Maximum number of RSs used as pathloss reference for SRS power control-1.

maxNrofSRS-ResourceSets INTEGER ::= 16 -- Maximum number of SRS resource sets in a BWP.

maxNrofSRS-ResourceSets-1 INTEGER ::= 15 -- Maximum number of SRS resource sets in a BWP minus 1.

maxNrofSRS-PosResourceSets-r16 INTEGER ::= 16 -- Maximum number of SRS Positioning resource sets in a BWP.

maxNrofSRS-PosResourceSets-1-r16 INTEGER ::= 15 -- Maximum number of SRS Positioning resource sets in a BWP minus 1.

maxNrofSRS-Resources INTEGER ::= 64 -- Maximum number of SRS resources.

maxNrofSRS-Resources-1 INTEGER ::= 63 -- Maximum number of SRS resources minus 1.

maxNrofSRS-PosResources-r16 INTEGER ::= 64 -- Maximum number of SRS Positioning resources.

maxNrofSRS-PosResources-1-r16 INTEGER ::= 63 -- Maximum number of SRS Positioning resources in an SRS Positioning

-- resource set minus 1.

maxNrofSRS-ResourcesPerSet INTEGER ::= 16 -- Maximum number of SRS resources in an SRS resource set

maxNrofSRS-TriggerStates-1 INTEGER ::= 3 -- Maximum number of SRS trigger states minus 1, i.e., the largest code point.

maxNrofSRS-TriggerStates-2 INTEGER ::= 2 -- Maximum number of SRS trigger states minus 2.

maxRAT-CapabilityContainers INTEGER ::= 8 -- Maximum number of interworking RAT containers (incl NR and MRDC)

maxSimultaneousBands INTEGER ::= 32 -- Maximum number of simultaneously aggregated bands

maxULTxSwitchingBandPairs INTEGER ::= 32 -- Maximum number of band pairs supporting dynamic UL Tx switching in a band combination

maxNrofSlotFormatCombinationsPerSet INTEGER ::= 512 -- Maximum number of Slot Format Combinations in a SF-Set.

maxNrofSlotFormatCombinationsPerSet-1 INTEGER ::= 511 -- Maximum number of Slot Format Combinations in a SF-Set minus 1.

maxNrofTrafficPattern-r16 INTEGER ::= 8 -- Maximum number of Traffic Pattern for NR sidelink communication.

maxNrofPUCCH-Resources INTEGER ::= 128

maxNrofPUCCH-Resources-1 INTEGER ::= 127

maxNrofPUCCH-ResourceSets INTEGER ::= 4 -- Maximum number of PUCCH Resource Sets

maxNrofPUCCH-ResourceSets-1 INTEGER ::= 3 -- Maximum number of PUCCH Resource Sets minus 1.

maxNrofPUCCH-ResourcesPerSet INTEGER ::= 32 -- Maximum number of PUCCH Resources per PUCCH-ResourceSet

maxNrofPUCCH-P0-PerSet INTEGER ::= 8 -- Maximum number of P0-pucch present in a p0-pucch set

maxNrofPUCCH-PathlossReferenceRSs INTEGER ::= 4 -- Maximum number of RSs used as pathloss reference for PUCCH power control.

maxNrofPUCCH-PathlossReferenceRSs-1 INTEGER ::= 3 -- Maximum number of RSs used as pathloss reference for PUCCH power control minus 1.

maxNrofPUCCH-PathlossReferenceRSs-r16 INTEGER ::= 64 -- Maximum number of RSs used as pathloss reference for PUCCH power control extended.

maxNrofPUCCH-PathlossReferenceRSs-1-r16 INTEGER ::= 63 -- Maximum number of RSs used as pathloss reference for PUCCH power control

-- minus 1 extended.

maxNrofPUCCH-PathlossReferenceRSsDiff-r16 INTEGER ::= 60 -- Difference between the extended maximum and the non-extended maximum

maxNrofPUCCH-ResourceGroups-r16 INTEGER ::= 4 -- Maximum number of PUCCH resources groups.

maxNrofPUCCH-ResourcesPerGroup-r16 INTEGER ::= 128 -- Maximum number of PUCCH resources in a PUCCH group.

maxNrofMultiplePUSCHs-r16 INTEGER ::= 8 -- Maximum number of multiple PUSCHs in PUSCH TDRA list

maxNrofP0-PUSCH-AlphaSets INTEGER ::= 30 -- Maximum number of P0-pusch-alpha-sets (see 38,213, clause 7.1)

maxNrofP0-PUSCH-AlphaSets-1 INTEGER ::= 29 -- Maximum number of P0-pusch-alpha-sets minus 1 (see 38,213, clause 7.1)

maxNrofPUSCH-PathlossReferenceRSs INTEGER ::= 4 -- Maximum number of RSs used as pathloss reference for PUSCH power control.

maxNrofPUSCH-PathlossReferenceRSs-1 INTEGER ::= 3 -- Maximum number of RSs used as pathloss reference for PUSCH power control minus 1.

maxNrofPUSCH-PathlossReferenceRSs-r16 INTEGER ::= 64 -- Maximum number of RSs used as pathloss reference for PUSCH power control extended

maxNrofPUSCH-PathlossReferenceRSs-1-r16 INTEGER ::= 63 -- Maximum number of RSs used as pathloss reference for PUSCH power control minus 1

maxNrofPUSCH-PathlossReferenceRSsDiff-r16 INTEGER ::= 60 -- Difference between maxNrofPUSCH-PathlossReferenceRSs-r16 and

-- maxNrofPUSCH-PathlossReferenceRSs

maxNrofNAICS-Entries INTEGER ::= 8 -- Maximum number of supported NAICS capability set

maxBands INTEGER ::= 1024 -- Maximum number of supported bands in UE capability.

maxBandsMRDC INTEGER ::= 1280

maxBandsEUTRA INTEGER ::= 256

maxCellReport INTEGER ::= 8

maxDRB INTEGER ::= 29 -- Maximum number of DRBs (that can be added in DRB-ToAddModLIst).

maxFreq INTEGER ::= 8 -- Max number of frequencies.

maxFreqLayers INTEGER ::= 4 -- Max number of frequency layers.

maxFreqIDC-r16 INTEGER ::= 128 -- Max number of frequencies for IDC indication.

maxCombIDC-r16 INTEGER ::= 128 -- Max number of reported UL CA for IDC indication.

maxFreqIDC-MRDC INTEGER ::= 32 -- Maximum number of candidate NR frequencies for MR-DC IDC indication

maxNrofCandidateBeams INTEGER ::= 16 -- Max number of PRACH-ResourceDedicatedBFR that in BFR config.

maxNrofCandidateBeams-r16 INTEGER ::= 64 -- Max number of candidate beam resources in BFR config.

maxNrofCandidateBeamsExt-r16 INTEGER ::= 48 -- Max number of PRACH-ResourceDedicatedBFR in the CandidateBeamRSListExt

maxNrofPCIsPerSMTC INTEGER ::= 64 -- Maximun number of PCIs per SMTC.

maxNrofQFIs INTEGER ::= 64

maxNrofResourceAvailabilityPerCombination-r16 INTEGER ::= 256

maxNrOfSemiPersistentPUSCH-Triggers INTEGER ::= 64 -- Maximum number of triggers for semi persistent reporting on PUSCH

maxNrofSR-Resources INTEGER ::= 8 -- Maximum number of SR resources per BWP in a cell.

maxNrofSlotFormatsPerCombination INTEGER ::= 256

maxNrofSpatialRelationInfos INTEGER ::= 8

maxNrofSpatialRelationInfos-plus-1 INTEGER ::= 9

maxNrofSpatialRelationInfos-r16 INTEGER ::= 64

maxNrofSpatialRelationInfosDiff-r16 INTEGER ::= 56 -- Difference between maxNrofSpatialRelationInfos-r16 and maxNrofSpatialRelationInfos

maxNrofIndexesToReport INTEGER ::= 32

maxNrofIndexesToReport2 INTEGER ::= 64

maxNrofSSBs-r16 INTEGER ::= 64 -- Maximum number of SSB resources in a resource set.

maxNrofSSBs-1 INTEGER ::= 63 -- Maximum number of SSB resources in a resource set minus 1.

maxNrofS-NSSAI INTEGER ::= 8 -- Maximum number of S-NSSAI.

maxNrofTCI-StatesPDCCH INTEGER ::= 64

maxNrofTCI-States INTEGER ::= 128 -- Maximum number of TCI states.

maxNrofTCI-States-1 INTEGER ::= 127 -- Maximum number of TCI states minus 1.

maxNrofUL-Allocations INTEGER ::= 16 -- Maximum number of PUSCH time domain resource allocations.

maxQFI INTEGER ::= 63

maxRA-CSIRS-Resources INTEGER ::= 96

maxRA-OccasionsPerCSIRS INTEGER ::= 64 -- Maximum number of RA occasions for one CSI-RS

maxRA-Occasions-1 INTEGER ::= 511 -- Maximum number of RA occasions in the system

maxRA-SSB-Resources INTEGER ::= 64

maxSCSs INTEGER ::= 5

maxSecondaryCellGroups INTEGER ::= 3

maxNrofServingCellsEUTRA INTEGER ::= 32

maxMBSFN-Allocations INTEGER ::= 8

maxNrofMultiBands INTEGER ::= 8

maxCellSFTD INTEGER ::= 3 -- Maximum number of cells for SFTD reporting

maxReportConfigId INTEGER ::= 64

maxNrofCodebooks INTEGER ::= 16 -- Maximum number of codebooks suppoted by the UE

maxNrofCSI-RS-ResourcesExt-r16 INTEGER ::= 16 -- Maximum number of codebook resources supported by the UE for eType2/Codebook combo

maxNrofCSI-RS-Resources INTEGER ::= 7 -- Maximum number of codebook resources supported by the UE

maxNrofCSI-RS-ResourcesAlt-r16 INTEGER ::= 512 -- Maximum number of alternative codebook resources supported by the UE

maxNrofCSI-RS-ResourcesAlt-1-r16 INTEGER ::= 511 -- Maximum number of alternative codebook resources supported by the UE minus 1

maxNrofSRI-PUSCH-Mappings INTEGER ::= 16

maxNrofSRI-PUSCH-Mappings-1 INTEGER ::= 15

maxSIB INTEGER::= 32 -- Maximum number of SIBs

maxSI-Message INTEGER::= 32 -- Maximum number of SI messages

maxPO-perPF INTEGER ::= 4 -- Maximum number of paging occasion per paging frame

maxAccessCat-1 INTEGER ::= 63 -- Maximum number of Access Categories minus 1

maxBarringInfoSet INTEGER ::= 8 -- Maximum number of access control parameter sets

maxCellEUTRA INTEGER ::= 8 -- Maximum number of E-UTRA cells in SIB list

maxEUTRA-Carrier INTEGER ::= 8 -- Maximum number of E-UTRA carriers in SIB list

maxPLMNIdentities INTEGER ::= 8 -- Maximum number of PLMN identites in RAN area configurations

maxDownlinkFeatureSets INTEGER ::= 1024 -- (for NR DL) Total number of FeatureSets (size of the pool)

maxUplinkFeatureSets INTEGER ::= 1024 -- (for NR UL) Total number of FeatureSets (size of the pool)

maxEUTRA-DL-FeatureSets INTEGER ::= 256 -- (for E-UTRA) Total number of FeatureSets (size of the pool)

maxEUTRA-UL-FeatureSets INTEGER ::= 256 -- (for E-UTRA) Total number of FeatureSets (size of the pool)

maxFeatureSetsPerBand INTEGER ::= 128 -- (for NR) The number of feature sets associated with one band.

maxPerCC-FeatureSets INTEGER ::= 1024 -- (for NR) Total number of CC-specific FeatureSets (size of the pool)

maxFeatureSetCombinations INTEGER ::= 1024 -- (for MR-DC/NR)Total number of Feature set combinations (size of the pool)

maxInterRAT-RSTD-Freq INTEGER ::= 3

maxHRNN-Len-r16 INTEGER ::= 48 -- Maximum length of HRNNs

maxNPN-r16 INTEGER ::= 12 -- Maximum number of NPNs broadcast and reported by UE at establishment

maxNrOfMinSchedulingOffsetValues-r16 INTEGER ::= 2 -- Maximum number of min. scheduling offset (K0/K2) configurations

maxK0-SchedulingOffset-r16 INTEGER ::= 16 -- Maximum number of slots configured as min. scheduling offset (K0)

maxK2-SchedulingOffset-r16 INTEGER ::= 16 -- Maximum number of slots configured as min. scheduling offset (K2)

maxDCI-2-6-Size-r16 INTEGER ::= 140 -- Maximum size of DCI format 2-6

maxDCI-2-6-Size-1-r16 INTEGER ::= 139 -- Maximum DCI format 2-6 size minus 1

maxNrofUL-Allocations-r16 INTEGER ::= 64 -- Maximum number of PUSCH time domain resource allocations

maxNrofP0-PUSCH-Set-r16 INTEGER ::= 2 -- Maximum number of P0 PUSCH set(s)

maxOnDemandSIB-r16 INTEGER ::= 8 -- Maximum number of SIB(s) that can be requested on-demand

maxOnDemandPosSIB-r16 INTEGER ::= 32 -- Maximum number of posSIB(s) that can be requested on-demand

maxCI-DCI-PayloadSize-r16 INTEGER ::= 126 -- Maximum number of the DCI size for CI

maxCI-DCI-PayloadSize-1-r16 INTEGER ::= 125 -- Maximum number of the DCI size for CI minus 1

maxWLAN-Id-Report-r16 INTEGER ::= 32 -- Maximum number of WLAN IDs to report

maxWLAN-Name-r16 INTEGER ::= 4 -- Maximum number of WLAN name

maxRAReport-r16 INTEGER ::= 8 -- Maximum number of RA procedures information to be included in the RA report

maxTxConfig-r16 INTEGER ::= 64 -- Maximum number of sidelink transmission parameters configurations

maxTxConfig-1-r16 INTEGER ::= 63 -- Maximum number of sidelink transmission parameters configurations minus 1

maxPSSCH-TxConfig-r16 INTEGER ::= 16 -- Maximum number of PSSCH TX configurations

maxNrofCLI-RSSI-Resources-r16 INTEGER ::= 64 -- Maximum number of CLI-RSSI resources for UE

maxNrofCLI-RSSI-Resources-1-r16 INTEGER ::= 63 -- Maximum number of CLI-RSSI resources for UE minus 1

maxNrofCLI-SRS-Resources-r16 INTEGER ::= 32 -- Maximum number of SRS resources for CLI measurement for UE

maxCLI-Report-r16 INTEGER ::= 8

maxNrofConfiguredGrantConfig-r16 INTEGER ::= 12 -- Maximum number of configured grant configurations per BWP

maxNrofConfiguredGrantConfig-1-r16 INTEGER ::= 11 -- Maximum number of configured grant configurations per BWP minus 1

maxNrofCG-Type2DeactivationState INTEGER ::= 16 -- Maximum number of deactivation state for type 2 configured grants per BWP

maxNrofConfiguredGrantConfigMAC-1-r16 INTEGER ::= 31 -- Maximum number of configured grant configurations per MAC entity minus 1

maxNrofSPS-Config-r16 INTEGER ::= 8 -- Maximum number of SPS configurations per BWP

maxNrofSPS-Config-1-r16 INTEGER ::= 7 -- Maximum number of SPS configurations per BWP minus 1

maxNrofSPS-DeactivationState INTEGER ::= 16 -- Maximum number of deactivation state for SPS per BWP

maxNrofDormancyGroups INTEGER ::= 5 --

maxNrofPUCCH-ResourceGroups-1-r16 INTEGER ::= 3 --

maxNrofServingCellsTCI-r16 INTEGER ::= 32 -- Maximum number of serving cells in simultaneousTCI-UpdateList

maxNrofTxDC-TwoCarrier-r16 INTEGER ::= 64 -- Maximum number of UL Tx DC locations reported by the UE for 2CC uplink CA

maxCEFReport-r17 INTEGER ::= 4 -- Maximum number of CEF reports by the UE

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-STOP

-- ASN1STOP

### – End of NR-RRC-Definitions

-- ASN1START

END

-- ASN1STOP

*<Next modification>*

## 7.4 UE variables

NOTE: To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

#### – *NR-UE-Variables*

This ASN.1 segment is the start of the NR UE variable definitions.

-- ASN1START

-- NR-UE-VARIABLES-START

NR-UE-Variables DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

ARFCN-ValueNR,

CellIdentity,

EUTRA-PhysCellId,

MeasId,

MeasIdToAddModList,

MeasIdleCarrierEUTRA-r16,

MeasIdleCarrierNR-r16,

MeasResultIdleEUTRA-r16,

MeasResultIdleNR-r16,

MeasObjectToAddModList,

PhysCellId,

RNTI-Value,

ReportConfigToAddModList,

RSRP-Range,

SL-MeasId-r16,

SL-MeasIdList-r16,

SL-MeasObjectList-r16,

SL-ReportConfigList-r16,

SL-QuantityConfig-r16,

Tx-PoolMeasList-r16,

QuantityConfig,

maxNrofCellMeas,

maxNrofMeasId,

maxFreqIdle-r16,

PhysCellIdUTRA-FDD-r16,

ValidityAreaList-r16,

CondReconfigToAddModList-r16,

ConnEstFailReport-r16,

LoggingDuration-r16,

LoggingInterval-r16,

LogMeasInfoList-r16,

LogMeasInfo-r16,

RA-Report-r16,

RLF-Report-r16,

TraceReference-r16,

WLAN-Identifiers-r16,

WLAN-NameList-r16,

BT-NameList-r16,

PLMN-Identity,

maxPLMN,

RA-ReportList-r16,

VisitedCellInfoList-r16,

AbsoluteTimeInfo-r16,

LoggedEventTriggerConfig-r16,

LoggedPeriodicalReportConfig-r16,

Sensor-NameList-r16,

PLMN-IdentityList2-r16,

AreaConfiguration-r16,

maxNrofSL-MeasId-r16,

maxNrofFreqSL-r16,

maxNrofCLI-RSSI-Resources-r16,

maxNrofCLI-SRS-Resources-r16,

RSSI-ResourceId-r16,

SRS-ResourceId

FROM NR-RRC-Definitions;

-- NR-UE-VARIABLES-STOP

-- ASN1STOP

#### – *VarConditionalReconfig*

The UE variable *VarConditionalReconfig* includes the accumulated configuration of the conditional handover or conditional PSCell change configurations including the pointers to conditional handover or conditional PSCell change execution condition (associated *measId*(s)) and the stored target candidate SpCell *RRCReconfiguration*.

*VarConditionalReconfig UE variable*

-- ASN1START

-- TAG-VARCONDITIONALRECONFIG-START

VarConditionalReconfig ::= SEQUENCE {

condReconfigList CondReconfigToAddModList-r16 OPTIONAL

}

-- TAG-VARCONDITIONALRECONFIG-STOP

-- ASN1STOP

#### – *VarConnEstFailReport*

The UE variable *VarConnEstFailReport* includes the connection establishment failure and/or connection resume failure information.

*VarConnEstFailReport* UE variable

-- ASN1START

-- TAG-VARCONNESTFAILREPORT-START

VarConnEstFailReport-r16 ::= SEQUENCE {

connEstFailReport-r16 ConnEstFailReport-r16,

plmn-Identity-r16 PLMN-Identity

}

-- TAG-VARCONNESTFAILREPORT-STOP

-- ASN1STOP

#### – *VarConnEstFailReportList*

The UE variable *VarConnEstFailReportList* includes a list of the connection establishment failure and/or connection resume failure information.

*VarConnEstFailReportList* UE variable

-- ASN1START

-- TAG-VARCONNESTFAILREPORTLIST-START

VarConnEstFailReportLIST-r17 ::= SEQUENCE {

connEstFailReportList-r17 SEQUENCE (SIZE (1..maxCEFReport-r17)) OF VarConnEstFailReport-r16

}

-- TAG-VARCONNESTFAILREPORTLIST-STOP

-- ASN1STOP

#### – *VarLogMeasConfig*

The UE variable *VarLogMeasConfig* includes the configuration of the logging of measurements to be performed by the UE while in RRC\_IDLE, RRC\_INACTIVE, covering intra-frequency, inter-frequency and inter-RAT mobility related measurements. The UE performs logging of measurements only while in RRC\_IDLE and RRC\_INACTIVE.

*VarLogMeasConfig* UE variable

-- ASN1START

-- TAG-VARLOGMEASCONFIG-START

VarLogMeasConfig-r16-IEs ::= SEQUENCE {

areaConfiguration-r16 AreaConfiguration-r16 OPTIONAL,

bt-NameList-r16 BT-NameList-r16 OPTIONAL,

wlan-NameList-r16 WLAN-NameList-r16 OPTIONAL,

sensor-NameList-r16 Sensor-NameList-r16 OPTIONAL,

loggingDuration-r16 LoggingDuration-r16,

reportType CHOICE {

periodical LoggedPeriodicalReportConfig-r16,

eventTriggered LoggedEventTriggerConfig-r16

},

earlyMeasIndication-r17 ENUMERATED {true} OPTIONAL,

sigLoggedMeasType-r17 ENUMERATED {true} OPTIONAL

}

-- TAG-VARLOGMEASCONFIG-STOP

-- ASN1STOP

#### – *VarLogMeasReport*

The UE variable *VarLogMeasReport* includes the logged measurements information.

*VarLogMeasReport* UE variable

-- ASN1START

-- TAG-VARLOGMEASREPORT-START

VarLogMeasReport-r16 ::= SEQUENCE {

absoluteTimeInfo-r16 AbsoluteTimeInfo-r16,

traceReference-r16 TraceReference-r16,

traceRecordingSessionRef-r16 OCTET STRING (SIZE (2)),

tce-Id-r16 OCTET STRING (SIZE (1)),

logMeasInfoList-r16 LogMeasInfoList-r16,

plmn-IdentityList-r16 PLMN-IdentityList2-r16,

sigLoggedMeasType-r17 ENUMERATED {true} OPTIONAL

}

-- TAG-VARLOGMEASREPORT-STOP

-- ASN1STOP

#### – *VarMeasConfig*

The UE variable *VarMeasConfig* includes the accumulated configuration of the measurements to be performed by the UE, covering intra-frequency, inter-frequency and inter-RAT mobility related measurements.

*VarMeasConfig UE variable*

-- ASN1START

-- TAG-VARMEASCONFIG-START

VarMeasConfig ::= SEQUENCE {

-- Measurement identities

measIdList MeasIdToAddModList OPTIONAL,

-- Measurement objects

measObjectList MeasObjectToAddModList OPTIONAL,

-- Reporting configurations

reportConfigList ReportConfigToAddModList OPTIONAL,

-- Other parameters

quantityConfig QuantityConfig OPTIONAL,

s-MeasureConfig CHOICE {

ssb-RSRP RSRP-Range,

csi-RSRP RSRP-Range

} OPTIONAL

}

-- TAG-VARMEASCONFIG-STOP

-- ASN1STOP

#### – *VarMeasConfigSL*

The UE variable *VarMeasConfigSL* includes the accumulated configuration of the NR sidelink measurements to be performed by the UE of unicast destination.

*VarMeasConfigSL UE* variable

-- ASN1START

-- TAG-VARMEASCONFIGSL-START

VarMeasConfigSL-r16 ::= SEQUENCE {

-- NR sidelink measurement identities

sl-MeasIdList-r16 SL-MeasIdList-r16 OPTIONAL,

-- NR sidelink measurement objects

sl-MeasObjectList-r16 SL-MeasObjectList-r16 OPTIONAL,

-- NR sidelink reporting configurations

sl-reportConfigList-r16 SL-ReportConfigList-r16 OPTIONAL,

-- Other parameters

sl-QuantityConfig-r16 SL-QuantityConfig-r16 OPTIONAL

}

-- TAG-VARMEASCONFIGSL-STOP

-- ASN1STOP

#### – *VarMeasIdleConfig*

The UE variable *VarMeasIdleConfig* includes the configuration of the measurements to be performed by the UE while in RRC\_IDLE or RRC\_INACTIVE for NR inter-frequency and inter-RAT (i.e. EUTRA) measurements.

*VarMeasIdleConfig UE* variable

-- ASN1START

-- TAG-VARMEASIDLECONFIG-START

VarMeasIdleConfig-r16 ::= SEQUENCE {

measIdleCarrierListNR-r16 SEQUENCE (SIZE (1..maxFreqIdle-r16)) OF MeasIdleCarrierNR-r16 OPTIONAL,

measIdleCarrierListEUTRA-r16 SEQUENCE (SIZE (1..maxFreqIdle-r16)) OF MeasIdleCarrierEUTRA-r16 OPTIONAL,

measIdleDuration-r16 ENUMERATED {sec10, sec30, sec60, sec120, sec180, sec240, sec300, spare},

validityAreaList-r16 ValidityAreaList-r16 OPTIONAL

}

-- TAG-VARMEASIDLECONFIG-STOP

-- ASN1STOP

#### – *VarMeasIdleReport*

The UE variable *VarMeasIdleReport* includes the logged measurements information.

*VarMeasIdleReport UE* variable

-- ASN1START

-- TAG-VARMEASIDLEREPORT-START

VarMeasIdleReport-r16 ::= SEQUENCE {

measReportIdleNR-r16 MeasResultIdleNR-r16 OPTIONAL,

measReportIdleEUTRA-r16 MeasResultIdleEUTRA-r16 OPTIONAL

}

-- TAG-VARMEASIDLEREPORT-STOP

-- ASN1STOP

#### – *VarMeasReportList*

The UE variable *VarMeasReportList* includes information about the measurements for which the triggering conditions have been met.

*VarMeasReportList UE variable*

-- ASN1START

-- TAG-VARMEASREPORTLIST-START

VarMeasReportList ::= SEQUENCE (SIZE (1..maxNrofMeasId)) OF VarMeasReport

VarMeasReport ::= SEQUENCE {

-- List of measurement that have been triggered

measId MeasId,

cellsTriggeredList CellsTriggeredList OPTIONAL,

numberOfReportsSent INTEGER,

cli-TriggeredList-r16 CLI-TriggeredList-r16 OPTIONAL,

tx-PoolMeasToAddModListNR-r16 Tx-PoolMeasList-r16 OPTIONAL

}

CellsTriggeredList ::= SEQUENCE (SIZE (1..maxNrofCellMeas)) OF CHOICE {

physCellId PhysCellId,

physCellIdEUTRA EUTRA-PhysCellId,

physCellIdUTRA-FDD-r16 PhysCellIdUTRA-FDD-r16

}

CLI-TriggeredList-r16 ::= CHOICE {

srs-RSRP-TriggeredList-r16 SRS-RSRP-TriggeredList-r16,

cli-RSSI-TriggeredList-r16 CLI-RSSI-TriggeredList-r16

}

SRS-RSRP-TriggeredList-r16 ::= SEQUENCE (SIZE (1.. maxNrofCLI-SRS-Resources-r16)) OF SRS-ResourceId

CLI-RSSI-TriggeredList-r16 ::= SEQUENCE (SIZE (1.. maxNrofCLI-RSSI-Resources-r16)) OF RSSI-ResourceId-r16

-- TAG-VARMEASREPORTLIST-STOP

-- ASN1STOP

#### – *VarMeasReportListSL*

The UE variable *VarMeasReportListSL* includes information about the NR sidelink measurements for which the triggering conditions have been met.

*VarMeasReportListSL UE* variable

-- ASN1START

-- TAG-VARMEASREPORTLISTSL-START

VarMeasReportListSL-r16 ::= SEQUENCE (SIZE (1..maxNrofSL-MeasId-r16)) OF VarMeasReportSL-r16

VarMeasReportSL-r16 ::= SEQUENCE {

-- List of NR sidelink measurement that have been triggered

sl-MeasId-r16 SL-MeasId-r16,

sl-FrequencyTriggeredList-r16 SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF ARFCN-ValueNR OPTIONAL,

sl-NumberOfReportsSent-r16 INTEGER

}

-- TAG-VARMEASREPORTLISTSL-STOP

-- ASN1STOP

#### – *VarMobilityHistoryReport*

The UE variable *VarMobilityHistoryReport* includes the mobility history information.

*VarMobilityHistoryReport* UE variable

-- ASN1START

-- TAG-VARMOBILITYHISTORYREPORT-START

VarMobilityHistoryReport-r16 ::= VisitedCellInfoList-r16

-- TAG-VARMOBILITYHISTORYREPORT-STOP

-- ASN1STOP

#### – *VarPendingRNA-Update*

The UE variable *VarPendingRNA-Update* indicates whether there is a pending RNA update procedure or not. The setting of this BOOLEAN variable to *true* means that there is a pending RNA Update procedure.

*VarPendingRNA-Update UE variable*

-- ASN1START

-- TAG-VARPENDINGRNA-UPDATE-START

VarPendingRNA-Update ::= SEQUENCE {

pendingRNA-Update BOOLEAN OPTIONAL

}

-- TAG-VARPENDINGRNA-UPDATE-STOP

-- ASN1STOP

#### – *VarRA-Report*

The UE variable *VarRA-Report* includes the random-access related information.

*VarRA-Report* UE variable

-- ASN1START

-- TAG-VARRA-REPORT-START

VarRA-Report-r16 ::= SEQUENCE {

ra-ReportList-r16 RA-ReportList-r16,

plmn-IdentityList-r16 PLMN-IdentityList-r16

}

PLMN-IdentityList-r16 ::= SEQUENCE (SIZE (1..maxPLMN)) OF PLMN-Identity

-- TAG-VARRA-REPORT-STOP

-- ASN1STOP

#### – *VarResumeMAC-Input*

The UE variable *VarResumeMAC-Input* specifies the input used to generate the *resumeMAC-I* during RRC Connection Resume procedure.

*VarResumeMAC-Input* variable

-- ASN1START

-- TAG-VARRESUMEMAC-INPUT-START

VarResumeMAC-Input ::= SEQUENCE {

sourcePhysCellId PhysCellId,

targetCellIdentity CellIdentity,

source-c-RNTI RNTI-Value

}

-- TAG-VARRESUMEMAC-INPUT-STOP

-- ASN1STOP

| *VarResumeMAC-Input* field descriptions |
| --- |
| ***targetCellIdentity***  An input variable used to calculate the *resumeMAC-I*. Set to the *cellIdentity* of the first *PLMN-Identity* included in the *PLMN-IdentityInfoList* broadcasted in *SIB1* of the target cell i.e. the cell the UE is trying to resume. |
| ***source-c-RNTI***  Set to C-RNTI that the UE had in the PCell it was connected to prior to suspension of the RRC connection. |
| ***sourcePhysCellId***  Set to the physical cell identity of the PCell the UE was connected to prior to suspension of the RRC connection. |

#### – *VarRLF-Report*

The UE variable *VarRLF-Report* includes the radio link failure information or handover failure information.

*VarRLF-Report* UE variable

-- ASN1START

-- TAG-VARRLF-REPORT-START

VarRLF-Report-r16 ::= SEQUENCE {

rlf-Report-r16 RLF-Report-r16,

plmn-IdentityList-r16 PLMN-IdentityList2-r16

}

-- TAG-VARRLF-REPORT-STOP

-- ASN1STOP

#### – *VarShortMAC-Input*

The UE variable *VarShortMAC-Input* specifies the input used to generate the *shortMAC-I* during RRC Connection Reestablishment procedure.

*VarShortMAC-Input* variable

-- ASN1START

-- TAG-VARSHORTMAC-INPUT-START

VarShortMAC-Input ::= SEQUENCE {

sourcePhysCellId PhysCellId,

targetCellIdentity CellIdentity,

source-c-RNTI RNTI-Value

}

-- TAG-VARSHORTMAC-INPUT-STOP

-- ASN1STOP

| *VarShortMAC-Input* field descriptions |
| --- |
| ***targetCellIdentity***  An input variable used to calculate the *shortMAC-I*. Set to the *cellIdentity* of the first *PLMN-Identity* in the *PLMN-IdentityInfoList* broadcasted in *SIB1* of the target cell i.e. the cell the UE is trying to reestablish the connection. |
| ***source-c-RNTI***  Set to C-RNTI that the UE had in the PCell it was connected to prior to the reestablishment. |
| ***sourcePhysCellId***  Set to the physical cell identity of the PCell the UE was connected to prior to the reestablishment. |

#### – End of *NR-UE-Variables*

-- ASN1START

END

-- ASN1STOP

# Annex – RAN2 agreements on R17 MDT (immediate MDT and logged MDT)

For R17 MDT parts, the agreements are from the following minutes:

RAN2#111-e minutes: R2-2102242 (the first RAN2 meeting for R17 WI SON and MDT)

RAN2#112-e minutes: R2-2100001

RAN2#113-e minutes: R2-2102601

RAN2#113b-e minutes: R2-2106641

RAN2#114-e minutes: R2-2106901

RAN2#115-e minutes: R2-2109301

RAN2#116-e minutes: R2-2201970

RAN2#116b-e minutes: Draft\_R2-116bise\_Meeting\_Report\_v2

R2\_117-e\_Session Notes SONMDT(HuNan)\_02-28\_1414docx.docx

## RAN2#117-e agreements

**Immediate MDT & Logged MDT**

Agreements:

**1: When the UE occurs a new CEF, if the failed cell id of the CEF is the same as the failed cell id in the last entry in VarConnEstFailReportList, the UE replaces the last CEF report with the new CEF report and the numberOfConnFail is summed. Otherwise (two cell ids are different), the UE appends the new CEF into VarConnEstFailReportList.**

**2: For excess delay configuration in NR-DC, Node owning the PDCP terminating point configures the UE**

**• Similar to the solution for D1 configuration, for all the bearers, the CU-CP of the node owning the PDCP terminating point configures the UE with excess delay measurement configuration. To enable this solution UE is allowed to be configured with at most one excess delay measurement per PDCP, which follows the D1 measurement for NR DC.**

**3: D1 delay ratio measurement results should include DRB id and excessDelay info, and they can be included in the IE *MeasResults*.**

**4: For D1 delay threshold values, at least the following values can be included:**

**250us, 0.5ms, 1ms, 2ms, 4ms, 10ms, 20ms, 50ms, 100ms, 500ms (10 values)**

**5: Introduce AreaConfiguration-r17 (including areaConfig-r16 and interFreqTargetList-r16 inside it with both fields being optional) in Rel-17.**

**Agreements:**

**1: For UL PDCP Excess Packet Delay (related to section 4.3.1.e in TS 38.314 CR), network should be able to configure different delay threshold for different DRBs.**

**2: If solution A1 is selected, the qualitythreshold in measIdleConfig should not be applied.**

**3: UE reports that whether the on-demand SI acquiring was successful or not.**

**4: RAN2 liaise RAN3 that not introducing SN configuration in DC scenarios is applicable to all the DC scenarios such as EN-DC, NGEN-DC, NE-DC and NR-DC. ([8], Ericsson can handle the LS in #805)**

**=> CB on Wednesday FFS: EMR is not supported in R17 log MDT**

R2-2203900 Report of [AT117e][899][SON/MDT] MDT related Open Issues (Huawei)

According to the above report, some proposals in companies’ contributions are disucssed and can be handled in this CR. Details are:

* In [8] R2-2203329, P3, P4, P5, and P6 can be discussed in the CR
* In [9] R2-2203331, P2, P4, P5, P6, and P7 can be discussed in the CR

## RAN2#116b-e agreements

**Immediate MDT & Logged MDT**

R2-2201658 Summary on MDT aspects ZTE

**Signalling-based logged MDT protection**

Agreements:

1 Signalling based MDT protection is applicable for scenarios below: intra-NR Handover scenarios.

[Rapp] to be captured in stage-2?

R2-2201927 Summary of [Offline 877]MDT aspects (ZTE)

Agreements:

1 Only one PLMN is allowed in multiple CEF reports and UE clears stored connection establishment/resume failure information upon logging a CEF report in a cell with a different RPLMN identity

[Rapp] to be captured in stage-2?

2 Capture in 37320 that M5 ~ M7 configuration triggers can apply to MR-DC.

[Rapp] to be captured in stage-2?

3 PerRAInfoList is included in CEF report when multiple CEF is stored.

[Rapp] captured.

4 Existing availability bit and request bit is reused for multiple CEF reports.

[Rapp] captured.

5 Only one explicit indication (e.g., sigLogMeasConfigAvailable) is used for signalling MDT protection:

- the indication is included when UE has sig-based logged MDT config or if UE has sig-based logged MDT results, otherwise it is absence

[Rapp] to be captured in stage-2?

6 For setting EMR results in logged MDT results：

− No impact on the ASN.1 but the neighbour cell measurements included in the logged MDT results (measResultNeighCells) contains both EMR frequencies measurements (amongst measIdleCarrierListNR and/or measIdleCarrierListEUTRA) and cell reselection frequencies measurements (included in SIB4 and SIB5). (8/11)

[Rapp] no changes to ASN.1 part for logged MDT results

7 At most one measurement identity for D1 measurements is allowed per the node hosting PDCP entity.

[Rapp] captured in section 5.5.2.1

8 RAN2 to confirm below behavior:

− when earlyMeasIndication-r17 is configured in loggedMeasurementConfiguration, UE is allowed to log measurements on early measurement frequencies in logged MDT;

− When earlyMeasIndication-r17 is not configured in loggedMeasurementConfiguration, UE shall not log measurements on early measurement frequencies in logged MDT.

FFS the missing scenario(s) if figured out.

[Rapp] details are still under RAN2 discussions, so this part can be left without any changes for now, and will be updated once more details are to be decided.

9 UE logs one CEF report entry in multiple CEF report list, for the failures happening consecutively in the same cell.

[Rapp] to be captured in stage-2?

10 The maximum number of supported CEF entries: 4.

[Rapp] captured in section 6.4, i.e. for maxCEFReport-r17

11 New capability bit is introduced to indicate if UE supports multiple CEF

[Rapp] It is expected that all UE capabilities for this WI will be discussed in a dedicated session and then maybe separate CRs will be discussed, so this bullet can be left to that discussion. No strong opinion for now, and it is also ok to capture it in the current CR if companies want.

12 To include the successful SI request procedure related information in RA report by removing the conditions that preclude logging of successful SI request related information.

[Rapp] to be captured in stage-2?

## RAN2#116-e agreements

**Immediate MDT**

R2-2110738 Report of [Post115-e][895][SON/MDT] IMM MDT ZTE Corporation, Sanechips report Rel-17

Agreements:

1 For non-duplication and duplication case, a single D1 is calculated.

2 The following method is used for configuring D1 in case of split bearer: only one node can configures D1 to UE, and UE reports D1 to corresponding node where configuration is received;

3 At least for OAM observability, MN and SN can calculate M5 measurement in the DU respectively when split bearer is used.

4 The same as LTE, reporting of immediate MDT results won’t be impact by IDC.

5 No enhancement is needed in RAN2 signalling to support IDC tagging in immediate MDT results**.**

6 MN and SN can calculate M7 measurement in the DU respectively when split bearer is used.

7 From RAN2’s perspective, indication of duplication status is beneficial to be included for M5/M7 measurement in split bearer

R2-2111568 Report of [AT116-e][851][SON/MDT] IMM MDT again (ZTE)

=> Enhancement on M5 measurement is not pursued in this release.

=> Enhancement on M7 measurement is not pursued in this release.

**Logged MDT**

R2-2110714 Report on [Post115-e][896][SON/MDT] Logged MDT (Nokia) Nokia, Nokia Shanghai Bell discussion Rel-17 NR\_ENDC\_SON\_MDT\_enh-Core

=> Frequency-specific and RAT-specific coverage hole indication in logged MDT are not pursued in Rel-17.

Agreements:

1 Extended LoggedMeasurementConfiguration with AreaConfig and/or InterFreqTargetInfo, implies the Logged MDT reports are provided according to legacy MDT performance measurements.

2 LoggedMeasurementConfiguration is extended with a flag to indicate if an early measurement/idle mode configuration has relevance for logged measurement purposes.

3 Multiple CEF reports is introduced to solve the problem about UL/DL coverage imbalance. FFS whether UE capability is applied. FFS how to limit the overhead during running CR.

## RAN2#115-e agreements

**Immediate MDT**

No agreements.

**Logged MDT**

[R2-2108965](http://www.3gpp.org/ftp/tsg_ran/WG2_RL2/TSGR2_115-e/Docs/R2-2108965.zip) Report of [Offline-872][SONMDT] Logged MDT enhancements (Ericsson)

Agreements:

1 The UE includes the beam identifiers used to acquire the SI message(s) in the on-demand SI procedure related report. FFS: How to capture this information

2 Extend RA report for both successful and failure on-demand SI request. FFS: Whether successful one-demand SI request related scenario is included or not is postponed to RAN2#116 meeting.

3 Signaling based logged MDT override protection is applicable in the following scenarios:

1) Signaling based Logged MDT is configured, but no results are available e.g. so far nothing stored, or all previously stored results retrieved

2) Signaling based Logged MDT configuration is stopped (i.e. the expiry of T330), but UE still has un-retrieved results that would be discarded upon accepting a new configuration

4 Include an indicator to indicate the signaling based logged MDT configuration availability in RRCSetupComplete / RRCConnectionSetupComplete and RRCResumeComplete / RRCConnectionResumeComplete.

FFS: Implicit (flag indicating T330 is running or not) vs explicit indication

5 UE includes an indication regarding whether the T330 timer is running or not in RRCSetupComplete / RRCConnectionSetupComplete and RRCResumeComplete / RRCConnectionResumeComplete.

## RAN2#114-e agreements

**Immediate MDT**

This AI will not be treated at this meeting and no input is expected.

**Logged MDT**

[R2-2106482](http://www.3gpp.org/ftp/tsg_ran/WG2_RL2/TSGR2_114-e/Docs/R2-2106482.zip) discussion Summary on agenda item 8.13.3.2 Logged MDT enhancements Huawei

Agreements:

1 For the content for on demand SI:

Include information to differentiate between Msg1-based or Msg3-based on-demand SI request. How to convey the information is FFS.

UE records intended SIBs for failed on-Demand SI request. FFS the successful case.

[Rapp] It is noted that RAN2 has agreed to extend RA report to support on demand SI reporting, so this feature will be considered as part of SON features.

[R2-2106678](http://www.3gpp.org/ftp/tsg_ran/WG2_RL2/TSGR2_114-e/Docs/R2-2106678.zip) Summary of [AT114e][802][SON/MDT] Reporting on demand SI related information (CATT)‎ CATT

=> Noted

Agreements:

1 In order to avoid overwriting of signalling-based logged MDT, UE-assisted and network-based solution, which relying on network implementation through UE providing assistance, is introduced.

## RAN2#113b-e agreements

**Immediate MDT**

[R2-2104441](http://www.3gpp.org/ftp/TSG_RAN/WG2_RL2/TSGR2_113bis-e/Docs/R2-2104441.zip) Report of [AT113b-e][803][NR/R17 SON/MDT] IMM MDT Huawei

Agreements:

1 For MN terminated SCG bearer and SN terminated MCG bearer, the terminated node, e.g., MN in case of MN terminated SCG bearer,configures the configuration to UE.

=> RAN2 understanding is that for the accuracy of the result, the M6 result can be indicated with data marker (duplication indicator).

=> All the immediate MDT configurations and reporting in EN-DC scenario (i.e. section 5.4.1.3 Immediate MDT for MR-DC in TS 37.320) are also applicable for (NG)EN-DC, NE-DC and NR-DC.

**Logged MDT**

[R2-2104434](http://www.3gpp.org/ftp/TSG_RAN/WG2_RL2/TSGR2_113bis-e/Docs/R2-2104434.zip) Report of [AT113b-e][804][NR/R17 SON/MDT] Logged MDT (CMCC) CMCC

Agreements:

1 UE reports the SIBs that UE actually intends to request.

2 Both Msg1-based and Msg3-based SI request related information are supported.

3 Option 3 ([R2-2104434](http://www.3gpp.org/ftp/TSG_RAN/WG2_RL2/TSGR2_113bis-e/Docs/R2-2104434.zip)) is used for logged MDT in EN-DC, i.e., do not introduce SN configuration for logged MDT (neither for camping nor for non-camping/ EMR specific frequencies).

4 UE provides assistance by which network can avoid overwriting of an MDT configuration.

5 Introduce the logged MDT type (i.e. the management based MDT or the signalling based MDT) in the logged MDT configuration.

## RAN2#113-e agreements

**Immediate MDT**

No agreements.

**Logged MDT**

[R2-2102143](http://www.3gpp.org/ftp/tsg_ran/WG2_RL2/TSGR2_113-e/Docs/R2-2102143.zip) Report of [AT113-e][844][NR/R17 SON/MDT]  Logged MDT part I Huawei

Agreement:

The network can use a flag in logged MDT configuration to indicate if an early measurement/idle mode configuration has relevance for logged measurement purposes. Upon such an indication, UE can log measurements on non-cellReselection (carrier frequencies not part of SIB4 or SIB5). AreaConfig and/or InterFreqTargetInfo can be used for filtering of SIB4 and non-SIB4 frequencies. Whether a flag is needed should be FFS.

[R2-2102142](http://www.3gpp.org/ftp/tsg_ran/WG2_RL2/TSGR2_113-e/Docs/R2-2102142.zip) Report of [AT113-e][845] [NR/R17 SON/MDT] Logged MDT part II (CMCC) CMCC

=> UE records the on demand SI related information for following scenarios:

1. Failed on-demand SI request

2. Successful on-demand SI request

Agreements:

1 One specific raPurpose is introduced for MSG3 based on demand SI request.

[Rapp] It is noted that RAN2 has agreed to extend RA report to support on demand SI reporting, so this feature will be considered as part of SON features.

## RAN2#112-e agreements

**Immediate MDT**

R2-2010897 Report of [AT112-e][804][NR/R17 SON/MDT] MDT enhancements (Huawei)

Agreements:

1 NR MDT support IDC mechanism, including:

- upon detection of IDC, the UE suppress logging and tag MDT report with InDeviceCoexDetected flag.

- UE resumes the measurement logging when the IDC problem is resolved

=> RAN2 to investigate logging early measurements.

=> RAN2 to investigate MDT and On-demand SI.

=> Other topics are still open to be pursued.

**Logged MDT**

No agreements.

## RAN2#111-e agreements

**Immediate MDT**

No agreements.

**Logged MDT**

[R2-2007771](http://www.3gpp.org/ftp/tsg_ran/wg2_rl2/tsgr2_111-e/Docs/R2-2007771.zip) Summary on 8.13.3 MDT Huawei, HiSilicon discussion Rel-17 NR\_ENDC\_SON\_MDT\_enh-Core Late

=> The coexistence issue between IDC and MDT feature is identified and the legacy mechanism defined in LTE spec is the baseline. FFS on potential enhancements.

=> Study the support of logged and Immediate MDT in MR-DC scenario. For M5/M6/M7, it is proposed to apply them for EN-DC/MR-DC cases with different bear types. FFS on details.