**3GPP TSG-RAN WG2 Meeting #117-e**

**Electronic meeting, 21 February – 3 March 2022**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  |  | **CR** | **Draft** | **rev** |  | **Current version:** |  |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Draft 38.306 CR for Rel-17 NR IIoT URLLC UE capabilities | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** |  | | | | | | | | | |
| ***Source to TSG:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_IIOT\_URLLC\_enh-Core | | | | |  | ***Date:*** | | |  |
|  |  | | | |  | |  | | |  |
| ***Category:*** |  |  | | | | | ***Release:*** | | |  |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Introduce UE capabilities for Rel-17 NR IIoT URLLC. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | UE capabilities for Rel-17 NR IIoT URLLC are defined. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | UE capabilities for Rel-17 NR IIoT URLLC are not introduced. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 4.2.6 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.331 CR xxxx. | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*First change*

### 4.2.2 General parameters

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| --- | --- | --- | --- | --- |
| Definitions for parameters | Per | M | FDD-TDD DIFF | **FR1-FR2**  DIFF |
| ***accessStratumRelease***  Indicates the access stratum release the UE supports as specified in TS 38.331 [9]. | UE | Yes | No | No |
| ***delayBudgetReporting***  Indicates whether the UE supports delay budget reporting as specified in TS 38.331 [9]. | UE | No | No | No |
| ***dl-DedicatedMessageSegmentation-r16***  Indicates whether the UE supports reception of segmented DL RRC messages. | UE | No | No | No |
| ***drx-Preference-r16***  Indicates whether the UE supports providing its preference of a cell group on DRX parameters for power saving in RRC\_CONNECTED, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***gNB-SideRTT-BasedPDC-r17***  Indicates whether the UE supports gNB-side RTT-based PDC, as specified in TS 38.300 [28]. A UE supporting this feature shall also support the corresponding RAN1 feature. | UE | No | No | No |
| ***inactiveState***  Indicates whether the UE supports RRC\_INACTIVE as specified in TS 38.331 [9]. | UE | Yes | No | No |
| ***inDeviceCoexInd-r16***  Indicates whether the UE supports IDC (In-Device Coexistence) assistance information as specified in TS 38.331 [9]. | UE | No | No | No |
| ***maxBW-Preference-r16***  Indicates whether the UE supports providing its preference of a cell group on the maximum aggregated bandwidth for power saving in RRC\_CONNECTED, as specified in TS 38.331 [9]. | UE | No | No | Yes |
| ***maxCC-Preference-r16***  Indicates whether the UE supports providing its preference of a cell group on the maximum number of secondary component carriers for power saving in RRC\_CONNECTED, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***maxMIMO-LayerPreference-r16***  Indicates whether the UE supports providing its preference of a cell group on the maximum number of MIMO layers for power saving in RRC\_CONNECTED, as specified in TS 38.331 [9]. | UE | No | No | Yes |
| ***mcgRLF-RecoveryViaSCG-r16***  Indicates whether the UE supports recovery from MCG RLF via split SRB1 (if supported) and via SRB3 (if supported) as specified in TS 38.331[9]. | UE | No | No | No |
| ***minSchedulingOffsetPreference-r16***  Indicates whether the UE supports providing its preference on the minimum scheduling offset for cross-slot scheduling of the cell group for power saving in RRC\_CONNECTED, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***mpsPriorityIndication-r16***  Indicates whether the UE supports *mpsPriorityIndication* on RRC release with redirect as defined in TS 38.331 [9]. | UE | No | No | No |
| ***onDemandSIB-Connected-r16***  Indicates whether the UE supports the on-demand request procedure of SIB(s) or posSIB(s) while in RRC\_CONNECTED, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***overheatingInd***  Indicates whether the UE supports overheating assistance information. | UE | No | No | No |
| ***partialFR2-FallbackRX-Req***  Indicates whether the UE meets only a partial set of the UE minimum receiver requirements for the eligible FR2 fallback band combinations as defined in Clause 4.2 of TS 38.101-2 [3] and Clause 4.2 of TS 38.101-3 [4]. If not indicated, the UE shall meet all the UE minimum receiver requirements for all the FR2 fallback combinations in TS 38.101-2 [3] and TS 38.101-3 [4]. The UE shall support configuration of any of the FR2 fallback band combinations regardless of the presence or the absence of this field. | UE | No | No | No |
| ***redirectAtResumeByNAS-r16***  Indicates whether the UE supports reception of *redirectedCarrierInfo* in an *RRCRelease* message in response to an *RRCResumeRequest* or *RRCResumeRequest1* which is triggered by the NAS layer, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***reducedCP-Latency***  Indicates whether the UE supports reduced control plane latency as defined in TS 38.331 [9] | UE | No | No | No |
| ***referenceTimeProvision-r16***  Indicates whether the UE supports provision of referenceTimeInfo in *DLInformationTransfer* message and in SIB9 and reference time information preference indication via assistance information, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***releasePreference-r16***  Indicates whether the UE supports providing its preference assistance information to transition out of RRC\_CONNECTED for power saving, as specified in TS 38.331 [9]. | UE | No | No | No |
| ***resumeWithStoredMCG-SCells-r16***  Indicates whether the UE supports not deleting the stored MCG SCell configuration when initiating the resume procedure. | UE | No | No | No |
| ***resumeWithStoredSCG-r16***  Indicates whether the UE supports not deleting the stored SCG configuration when initiating resume. The UE which indicates support for *resumeWithStoredSCG-r16* shall also indicate support for *resumeWithSCG-Config-r16*. | UE | No | No | No |
| ***resumeWithSCG-Config-r16***  Indicates whether the UE supports (re-)configuration of an SCG during the resume procedure. | UE | No | No | No |
| ***splitSRB-WithOneUL-Path***  Indicates whether the UE supports UL transmission via MCG path and DL reception via either MCG path or SCG path, as specified for the split SRB in TS 37.340 [7]. The UE shall not set the FDD/TDD specific fields for this capability (i.e. it shall not include this field in *UE-MRDC-CapabilityAddXDD-Mode*). | UE | No | No | No |
| ***splitDRB-withUL-Both-MCG-SCG***  Indicates whether the UE supports UL transmission via both MCG path and SCG path for the split DRB as specified in TS 37.340 [7]. The UE shall not set the FDD/TDD specific fields for this capability (i.e. it shall not include this field in *UE-MRDC-CapabilityAddXDD-Mode*). | UE | Yes | No | No |
| ***srb3***  Indicates whether the UE supports direct SRB between the SN and the UE as specified in TS 37.340 [7]. The UE shall not set the FDD/TDD specific fields for this capability (i.e. it shall not include this field in *UE-MRDC-CapabilityAddXDD-Mode*). This field is not applied to NE-DC. | UE | Yes | No | No |

*Next change*

### 4.2.6 MAC parameters

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| --- | --- | --- | --- | --- |
| Definitions for parameters | Per | M | FDD-TDD DIFF | FR1-FR2 DIFF |
| ***autonomousTransmission-r16***  Indicates whether the UE supports autonomous transmission of the MAC PDU generated for a deprioritized configured uplink grant as specified in TS 38.321 [8]. A UE supporting this feature shall also support *lch-priorityBasedPrioritization-r16*. | UE | No | No | No |
| ***directMCG-SCellActivation-r16***  Indicates whether the UE supports direct NR MCG SCell activation, as specified in TS 38.321 [8], upon SCell addition, upon reconfiguration with sync of the MCG, as specified in TS 38.331 [9]. | UE | No | No | Yes |
| ***directMCG-SCellActivationResume-r16***  Indicates whether the UE supports direct NR MCG SCell activation, as specified in TS 38.321 [8], upon reception of an *RRCResume* message, as specified in TS 38.331 [9]. | UE | No | No | Yes |
| ***directSCG-SCellActivation-r16***  Indicates whether the UE supports direct NR SCG SCell activation, as specified in TS 38.321 [8], upon SCell addition and upon reconfiguration with sync of the SCG, both performed via an *RRCReconfiguration* message received via SRB3 or contained in an *RRC(Connection)Reconfiguration* message received via SRB1, as specified in TS 38.331 [9] and TS 36.331 [17].  A UE indicating support of *directSCG-SCellActivation-r16* shall indicate support of EN-DC or support of NGEN-DC as specified in TS 36.331 [17] or support of NR-DC as specified in TS 38.331 [9]. | UE | No | No | Yes |
| ***directSCG-SCellActivationResume-r16***  Indicates whether the UE supports direct NR SCG SCell activation, as specified in TS 38.321 [8]:  - upon reception of an *RRCReconfiguration* included in an *RRCConnectionResume* message, as specified in TS 38.331 [9] and TS 36.331 [17], if the UE indicates support of EN-DC or NGEN-DC, and support of *resumeWithSCG-Config-r16* as specified in TS 36.331 [17],  - upon reception of an *RRCReconfiguration* included in an *RRCResume* message, as specified in TS 38.331 [9], if the UE indicates support of NR-DC and of *resumeWithSCG-Config-r16* as specified in TS 38.331 [9].  A UE indicating support of *directSCG-SCellActivationResume-r16* shall indicate support of EN-DC or NGEN-DC and support of *resumeWithSCG-Config-r16* as specified in TS 36.331 [17] or indicate support of NR-DC and of *resumeWithSCG-Config-r16* as specified in TS 38.331 [9]. | UE | No | No | Yes |
| ***drx-Adaptation-r16***  Indicates whether the UE supports DRX adaptation comprised of the following functional components:  - Configured *ps-Offset* for the detection of DCI format 2\_6 with CRC scrambling by *ps*-RNTI and reported *MinTimeGap* before the start of *drx-onDurationTimer* of Long DRX  - Indication of UE whether or not to start *drx-onDurationTimer* for the next Long DRX cycle by detection of DCI format 2\_6  - Configured UE wakeup or not when DCI format 2\_6 is not detected at all monitoring occasions outside Active Time  - Configured periodic CSI report apart from L1-RSRP (*ps-TransmitOtherPeriodicCSI*) when impacted by DCI format 2\_6 that *drx-onDurationTimer* does not start for the next Long DRX cycle  - Configured periodic L1-RSRP report (*ps-TransmitPeriodicL1-RSRP*) when impacted by DCI format 2\_6 that *drx-onDurationTimer* does not start for the next Long DRX cycle  The capability signalling includes the minimum time gap between the end of the slot of last DCI format 2\_6 monitoring occasion and the beginning of the slot where the UE would start the *drx-onDurationTimer* of Long DRX for each SCS. The value *sl1* indicates 1 slot. The value *sl2* indicates 2 slots, and so on. Support of this feature is reported for licensed and unlicensed bands, respectively. When this field is reported, either of *sharedSpectrumChAccess-r16* or *non-SharedSpectrumChAccess-r16* shall be reported, at least. | UE | No | No | Yes |
| ***enhancedSkipUplinkTxConfigured-r16***  Indicates whether the UE supports skipping UL transmission for a configured uplink grant only if no data is available for transmission and no UCI is multiplexed on the corresponding PUSCH of the uplink grant as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***enhancedSkipUplinkTxDynamic-r16***  Indicates whether the UE supports skipping UL transmission for an uplink grant addressed to a C-RNTI only if no data is available for transmission and no UCI is multiplexed on the corresponding PUSCH of the uplink grant as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***intraCG-Prioritization-r17***  Indicates whether the UE supports the HARQ process ID selection based on LCH priority as specified in TS 38.321 [8]. A UE supporting this feature shall also support *jointPrioritizationCG-Retx-Timer-r17*. | UE | No | No | No |
| ***jointPrioritizationCG-Retx-Timer-r17***  Indicates whether the UE supports simultaneous configuration of LCH based prioritization and *cg-RetransmissionTimer-r16* as specified in TS 38.321 [8]. A UE supporting this feature shall also support *lch-priorityBasedPrioritization-r16* and *configuredGrantWithReTx-r16*. | UE | No | No | No |
| ***lch-PriorityBasedPrioritization-r16***  Indicates whether the UE supports prioritization between overlapping grants and between scheduling request and overlapping grants based on LCH priority as specified in TS 38.321 [8]. | UE | No | No | No |
| ***lch-ToConfiguredGrantMapping-r16***  Indicates whether the UE supports restricting data transmission from a given LCH to a configured (sub-) set of configured grant configurations (see *allowedCG-List-r16* in *LogicalChannelConfig* in TS 38.331 [9]) as specified in TS 38.321 [8]. | UE | No | No | No |
| ***lch-ToGrantPriorityRestriction-r16***  Indicates whether the UE supports restricting data transmission from a given LCH to a configured (sub-) set of dynamic grant priority levels (see *allowedPHY-PriorityIndex-r16* in *LogicalChannelConfig* in TS 38.331 [9]) as specified in TS 38.321 [8]. | UE | No | No | No |
| ***lch-ToSCellRestriction***  Indicates whether the UE supports restricting data transmission from a given LCH to a configured (sub-) set of serving cells (see *allowedServingCells* in *LogicalChannelConfig*). A UE supporting *pdcp-DuplicationMCG-OrSCG-DRB* or *pdcp-DuplicationSRB* (see *PDCP-Config*) shall also support *lch-ToSCellRestriction*. | UE | No | No | No |
| ***lcp-Restriction***  Indicates whether UE supports the selection of logical channels for each UL grant based on RRC configured restriction using RRC parameters *allowedSCS-List*, *maxPUSCH-Duration*, and *configuredGrantType1Allowed* as specified in TS 38.321 [8]. | UE | No | No | No |
| ***logicalChannelSR-DelayTimer***  Indicates whether the UE supports the *logicalChannelSR-DelayTimer* as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***longDRX-Cycle***  Indicates whether UE supports long DRX cycle as specified in TS 38.321 [8]. | UE | Yes | Yes | No |
| ***multipleConfiguredGrants***  Indicates whether UE supports more than one configured grant configurations (including both Type 1 and Type 2) in a cell group. For each cell, the UE supports at most one configured grant per BWP and the maximum number of configured grant configurations per cell group is 2. If absent, for each configured cell group, the UE only supports one configured grant configuration on one serving cell. | UE | No | Yes | No |
| ***multipleSR-Configurations***  Indicates whether the UE supports 8 SR configurations per PUCCH cell group as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***recommendedBitRate***  Indicates whether the UE supports the bit rate recommendation message from the gNB to the UE as specified in TS 38.321 [8]. | UE | No | No | No |
| ***recommendedBitRateMultiplier-r16***  Indicates whether the UE supports the bit rate multiplier for recommended bit rate MAC CE as specified in TS 38.321 [8], clause 6.1.3.20. This field is only applicable if the UE supports recommendedBitRate. | UE | No | No | No |
| ***recommendedBitRateQuery***  Indicates whether the UE supports the bit rate recommendation query message from the UE to the gNB as specified in TS 38.321 [8]. This field is only applicable if the UE supports *recommendedBitRate*. | UE | No | No | No |
| ***secondaryDRX-Group-r16***  Indicates whether UE supports secondary DRX group as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***shortDRX-Cycle***  Indicates whether UE supports short DRX cycle as specified in TS 38.321 [8]. | UE | Yes | Yes | No |
| ***singlePHR-P-r16***  Indicates whether UE supports the P bit in single PHR MAC CE as specified in TS 38.321 [8]. | UE | No | No | No |
| ***skipUplinkTxDynamic***  Indicates whether the UE supports skipping of UL transmission for an uplink grant indicated on PDCCH if no data is available for transmission as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***spCell-BFR-CBRA-r16***  Indicates whether the UE supports sending BFR MAC CE for SpCell BFR as specified in TS 38.321 [8]. | UE | No | No | No |
| ***srs-ResourceId-Ext-r16***  Indicates whether the UE supports the extended 6-bit (Positioning) SRS resource ID in SP Positioning SRS Activation/Deactivation MAC CE, as specified in TS 38.321 [8]. | UE | No | No | No |
| ***survivalTime-r17***  Indicates whether the UE supports services with survival time requirement using configured grant resource and PDCP duplication, as specified in TS 38.321 [8]. A UE supporting this feature shall support *pdcp-DuplicationMCG-orSCG-DRB* or *pdcp-DuplicationSplitDRB*. A UE supporting this feature shall also support *configuredUL-GrantType1-v1650* or *configuredUL-GrantType2-v1650*. | UE | No | No | No |
| ***tdd-MPE-P-MPR-Reporting-r16***  Indicates whether the UE supports P-MPR reporting for Maximum Permissible Exposure, as specified in TS38.321 [8]. | UE | No | TDD only | FR2 only |
| *ul-LBT-FailureDetectionRecovery-r16*  Indicates whether the UE supports consistent uplink LBT detection and recovery, as specified in TS 38.321 [8], for cells operating with shared spectrum channel access.  This field applies to all serving cells with which the UE is configured with shared spectrum channel access. | UE | No | No | No |

End of Change

# Annex: RAN2 UE capability feature list

According to the following agreements made in RAN2#116-e, RAN2 determined UE capabilities in the feature list format for TR 38.822 is included.

* Include an annex containing the RAN2 determined UE capabilities in the feature list format in the running UE capability CRs (similar to annex containing RAN2 agreements) for easy compilation into the TR38.822 in the later stage.
* For capabilities developed in R2, WIs will provide input to the mega CR.

### 5.2.x NR\_IIOT\_URLLC\_enh-Core

Table 5.2.x-1: Layer-2 and Layer-3 feature list for NR\_IIOT\_URLLC\_enh-Core

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Features | Index | Feature group | Components | Prerequisite feature groups | Field name in TS 38.331 [2] | Parent IE in TS 38.331 [2] | Need of FDD/TDD differentiation | Need of FR1/FR2 differentiation | Note | Mandatory/Optional |
| X. NR\_IIOT\_URLLC\_enh-Core | x-1 | Enhancements in unlicensed controlled environments | 1) Indicates whether the UE supports simultaneous configuration of LCH based prioritization and *cg-RetransmissionTimer-r16* as specified in TS 38.321 [10].  2) Indicate whether the UE supports the HARQ process ID selection based on LCH priority as specified in TS 38.321 [10]. | 1) *lch-priorityBasedPrioritization-r16* and *configuredGrantWithReTx-r16*  2) *jointPrioritizationCG-Retx-Timer-r17* | 1) *jointPrioritizationCG-Retx-Timer-r17*  2) *intraCG-Prioritization-r17* | *MAC-ParametersCommon* | No | No |  | Optional with capability signalling |
| x-2 | Survival time | Indicates whether the UE supports services with survival time requirement using configured grant resource and PDCP duplication, as specified in TS 38.321 [10]. | *pdcp-DuplicationMCG-orSCG-DRB* or *pdcp-DuplicationSplitDRB;*  *configuredUL-GrantType1-v1650* or *configuredUL-GrantType2-v1650* | *survivalTime-r17* | *MAC-ParametersCommon* | No | No |  | Optional with capability signalling |
| x-3 | gNB-side RTT-based PDC | Indicates whether the UE supports gNB-side RTT-based PDC, as specified in TS 38.300 [16]. |  | *gNB-SideRTT-BasedPDC-r17* | *UE-NR-Capability* | No | No |  | Optional with capability signalling |