**3GPP TSG-RAN2 Meeting #117-e *DRAFT-R2-2203541***

**Online, 21 Feb - 03 Mar 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.300** | **CR** | **0421** | **rev** | **1** | **Current version:** | **16.8.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network | **x** |

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| ***Title:*** | Introduction of RedCap in TS 38.300 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_redcap-Core | | | | |  | ***Date:*** | | | 2022-02-24 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | B |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Introduction of RedCap. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | RedCap is introduced. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | RedCap is not supported. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 3.1, 3.2, 7.9, 16.x, x.x.x | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS TODO CR TODO | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*First Modified Subclause*

# 3 Abbreviations and Definitions

## 3.1 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], in TS 36.300 [2] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1] and TS 36.300 [2].

5GC 5G Core Network

5GS 5G System

5QI 5G QoS Identifier

A-CSI Aperiodic CSI

AKA Authentication and Key Agreement

AMBR Aggregate Maximum Bit Rate

AMC Adaptive Modulation and Coding

AMF Access and Mobility Management Function

ARP Allocation and Retention Priority

BA Bandwidth Adaptation

BCH Broadcast Channel

BH Backhaul

BL Bandwidth reduced Low complexity

BPSK Binary Phase Shift Keying

CD-SSB Cell Defining SSB

C-RNTI Cell RNTI

CAG Closed Access Group

CAPC Channel Access Priority Class

CBRA Contention Based Random Access

CCE Control Channel Element

CD-SSB Cell Defining SSB

CFRA Contention Free Random Access

CHO Conditional Handover

CIoT Cellular Internet of Things

CLI Cross Link interference

CMAS Commercial Mobile Alert Service

CORESET Control Resource Set

CP Cyclic Prefix

CPC Conditional PSCell Change

DAG Directed Acyclic Graph

DAPS Dual Active Protocol Stack

DFT Discrete Fourier Transform

DCI Downlink Control Information

DCP DCI with CRC scrambled by PS-RNTI

DL-AoD Downlink Angle-of-Departure

DL-SCH Downlink Shared Channel

DL-TDOA Downlink Time Difference Of Arrival

DMRS Demodulation Reference Signal

DRX Discontinuous Reception

E-CID Enhanced Cell-ID (positioning method)

EHC Ethernet Header Compression

ETWS Earthquake and Tsunami Warning System

FS Feature Set

GFBR Guaranteed Flow Bit Rate

HRNN Human-Readable Network Name

H-SFN Hyper System Frame Number

IAB Integrated Access and Backhaul

IFRI Intra Frequency Reselection Indication

I-RNTI Inactive RNTI

INT-RNTI Interruption RNTI

KPAS Korean Public Alarm System

LDPC Low Density Parity Check

MDBV Maximum Data Burst Volume

MIB Master Information Block

MICO Mobile Initiated Connection Only

MFBR Maximum Flow Bit Rate

MMTEL Multimedia telephony

MNO Mobile Network Operator

MPE Maximum Permissible Exposure

MT Mobile Termination

MU-MIMO Multi User MIMO

Multi-RTT Multi-Round Trip Time

NB-IoT Narrow Band Internet of Things

NCD-SSB Non Cell Defining SSB

NCGI NR Cell Global Identifier

NCR Neighbour Cell Relation

NCRT Neighbour Cell Relation Table

NGAP NG Application Protocol

NID Network Identifier

NPN Non-Public Network

NR NR Radio Access

P-MPR Power Management Maximum Power Reduction

P-RNTI Paging RNTI

PCH Paging Channel

PCI Physical Cell Identifier

PDCCH Physical Downlink Control Channel

PDSCH Physical Downlink Shared Channel

PLMN Public Land Mobile Network

PNI-NPN Public Network Integrated NPN

PO Paging Occasion

PH Paging Hyperframe

PRB Physical Resource Block

PTW Paging Time Window

PRACH Physical Random Access Channel

PRG Precoding Resource block Group

PS-RNTI Power Saving RNTI

PSS Primary Synchronisation Signal

PUCCH Physical Uplink Control Channel

PUSCH Physical Uplink Shared Channel

PWS Public Warning System

QAM Quadrature Amplitude Modulation

QFI QoS Flow ID

QPSK Quadrature Phase Shift Keying

RA Random Access

RA-RNTI Random Access RNTI

RACH Random Access Channel

RANAC RAN-based Notification Area Code

REG Resource Element Group

RIM Remote Interference Management

RMSI Remaining Minimum SI

RNA RAN-based Notification Area

RNAU RAN-based Notification Area Update

RNTI Radio Network Temporary Identifier

RQA Reflective QoS Attribute

RQoS Reflective Quality of Service

RS Reference Signal

RSRP Reference Signal Received Power

RSRQ Reference Signal Received Quality

RSSI Received Signal Strength Indicator

RSTD Reference Signal Time Difference

SCS SubCarrier Spacing

SD Slice Differentiator

SDAP Service Data Adaptation Protocol

SFI-RNTI Slot Format Indication RNTI

SIB System Information Block

SI-RNTI System Information RNTI

SLA Service Level Agreement

SMC Security Mode Command

SMF Session Management Function

S-NSSAI Single Network Slice Selection Assistance Information

SNPN Stand-alone Non-Public Network

SNPN ID Stand-alone Non-Public Network Identity

SPS Semi-Persistent Scheduling

SR Scheduling Request

SRS Sounding Reference Signal

SRVCC Single Radio Voice Call Continuity

SS Synchronization Signal

SSB SS/PBCH block

SSS Secondary Synchronisation Signal

SST Slice/Service Type

SU-MIMO Single User MIMO

SUL Supplementary Uplink

TA Timing Advance

TPC Transmit Power Control

TRP Transmit/Receive Point

UCI Uplink Control Information

UL-AoA Uplink Angles of Arrival

UL-RTOA Uplink Relative Time of Arrival

UL-SCH Uplink Shared Channel

UPF User Plane Function

URLLC Ultra-Reliable and Low Latency Communications

V2X Vehicle-to-Everything

Xn-C Xn-Control plane

Xn-U Xn-User plane

XnAP Xn Application Protocol

*Second Modified Subclause*

## 3.2 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1], in TS 36.300 [2] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1] and TS 36.300 [2].

**BH RLC channel**: an RLC channel between two nodes, which is used to transport backhaul packets**.**

**CAG Cell**:a PLMN cell broadcasting at least one Closed Access Group identity.

**CAG Member Cell**:for a UE, a CAG cell broadcasting the identity of the selected PLMN, registered PLMN or equivalent PLMN, and for that PLMN, a CAG identifier belonging to the Allowed CAG list of the UE for that PLMN.

**CAG-only cell**: a CAG cell that is only available for normal service for CAG UEs.

**Cell-Defining SSB**: an SSB with an RMSI associated.

**Child node**: IAB-DU's and IAB-donor-DU's next hop neighbour node; the child node is also an IAB-node.

**Conditional Handover (CHO**): a handover procedure that is executed only when execution condition(s) are met.

**CORESET#0**: the control resource set for at least SIB1 scheduling, can be configured either via MIB or via dedicated RRC signalling.

**DAPS Handover**: a handover procedure that maintains the source gNB connection after reception of RRC message for handover and until releasing the source cell after successful random access to the target gNB.

**Downstream**: Direction toward child node or UE in IAB-topology.

**Early Data Forwarding**: data forwarding that is initiated before the UE executes the handover.

**gNB**: node providing NR user plane and control plane protocol terminations towards the UE, and connected via the NG interface to the 5GC.

**IAB-donor**:gNB that provides network access to UEs via a network of backhaul and access links.

**IAB-donor-CU**: as defined in TS 38.401 [4].

**IAB-donor-DU**:as defined in TS 38.401 [4].

**IAB-DU**: gNB-DU functionality supported by the IAB-node to terminate the NR access interface to UEs and next-hop IAB-nodes, and to terminate the F1 protocol to the gNB-CU functionality, as defined in TS 38.401 [4], on the IAB-donor.

**IAB-MT**: IAB-node function that terminates the Uu interface to the parent node using the procedures and behaviours specified for UEs unless stated otherwise. IAB-MT function used in 38-series of 3GPP Specifications corresponds to IAB-UE function defined in TS 23.501 [3].

**IAB-node**: RAN node that supports NR access links to UEs and NR backhaul links to parent nodes and child nodes. The IAB-node does not support backhauling via LTE.

**Intra-system Handover**:Handover that does not involve a CN change (EPC or 5GC).

**Inter-system Handover**:Handover that involves a CN change (EPC or 5GC).

**Late Data Forwarding**: data forwarding that is initiated after the source NG-RAN node knows that the UE has successfully accessed a target NG-RAN node.

**MSG1**: preamble transmission of the random access procedure for 4-step random access (RA) type.

**MSG3**: first scheduled transmission of the random access procedure.

**MSGA**:preamble and payload transmissions of the random access procedure for 2-step RA type.

**MSGB**:response to MSGA in the 2-step random access procedure. MSGB may consist of response(s) for contention resolution, fallback indication(s), and backoff indication.

**Multi-hop backhauling**: Using a chain of NR backhaul links between an IAB-node and an IAB-donor.

**ng-eNB**: node providing E-UTRA user plane and control plane protocol terminations towards the UE, and connected via the NG interface to the 5GC.

**NG-C**: control plane interface between NG-RAN and 5GC.

**NG-U**: user plane interface between NG-RAN and 5GC.

**NG-RAN node**: either a gNB or an ng-eNB.

**Non-CAG Cell**: a PLMN cell which does not broadcast any Closed Access Group identity.

**NR backhaul link**: NR link used for backhauling between an IAB-node and an IAB-donor, and between IAB-nodes in case of a multi-hop backhauling.

**NR sidelink communication**: AS functionality enabling at least V2X communication as defined in TS 23.287 [40], between two or more nearby UEs, using NR technology but not traversing any network node.

**Numerology**: corresponds to one subcarrier spacing in the frequency domain. By scaling a reference subcarrier spacing by an integer *N*, different numerologies can be defined.

**Parent node**: IAB-MT's next hop neighbour node; the parent node can be IAB-node or IAB-donor-DU

**PLMN Cell**: a cell of the PLMN.

**RedCap UE:** The UE with reduced capabilities as specified in TS 38.306 [11] sub-clause 4.2.x.x.

**SNPN Access Mode**: mode of operation whereby a UE only accesses SNPNs.

**SNPN-only cell**: a cell that is only available for normal service for SNPN subscribers.

**SNPN Identity:** the identity of Stand-alone NPN defined by the pair (PLMN ID, NID).

**Transmit/Receive Point:** Part of the gNB transmitting and receiving radio signals to/from UE according to physical layer properties and parameters inherent to that element.

**Upstream**: Direction toward parent node in IAB-topology.

**V2X sidelink communication**: AS functionality enabling V2X communication as defined in TS 23.285 [41], between nearby UEs, using E-UTRA technology but not traversing any network node.

**Xn**: network interface between NG-RAN nodes.

*Third Modified Subclause*

## 7.9 UE Assistance Information

When configured to do so, the UE can signal the network through *UEAssistanceInformation*:

- If it prefers an adjustment in the connected mode DRX cycle length, for the purpose of delay budget reporting;

- If it is experiencing internal overheating;

- If it prefers certain DRX parameter values, and/or a reduced maximum number of secondary component carriers, and/or a reduced maximum aggregated bandwidth and/or a reduced maximum number of MIMO layers and/or minimum scheduling offsets K0 and K2 for power saving purpose;

- If it expects not to send or receive any more data in the near future, and in this case, it can provide its preference to transition out of RRC\_CONNECTED where this indication may express its preferred RRC state, or alternately, it may cancel an earlier indicated preference to transition out of RRC\_CONNECTED;

- If it prefers (not) to be provisioned with reference time information;

- The list of frequencies affected by IDC problems (see clause 23.4 of TS 36.300 [2]);

- Its RRM measurement relaxation status indicating whether RRM measurements are relaxed or not.

NOTE: Only the Frequency Division Multiplexing (FDM) solution as defined for E-UTRA in clause 23.4 of TS 36.300 [2] is used in NR. The requirements on RRM/RLM/CSI measurements in different phases of IDC interference defined in TS 36.300 [2] are applicable except that for NR serving cell, the requirements in TS 38.133 [13] and TS 38.101-1 [18], TS 38.101-2 [35], TS 38.101-3 [36] apply.

In the second case, the UE can express a preference for temporarily reducing the number of maximum secondary component carriers, the maximum aggregated bandwidth and the number of maximum MIMO layers. In all cases, it is up to the gNB whether to accommodate the request.

For sidelink, the UE can report SL traffic pattern(s) to NG-RAN, for periodic traffic.

*Last Modified Subclause*

## 16.x Support of Reduced Capability (RedCap) NR devices

### 16.x.1 Introduction

A RedCap UE has reduced capabilities with the intention to have lower complexity with respect to non-RedCap UEs. It is mandatory for a RedCap UE to support 20MHz maximum UE channel bandwidth in FR1 and 100MHz in FR2.

### 16.x.2 Capabilities

NR-CA, MR-DC, DAPS, CPC and IAB related capabilities are not supported by RedCap UEs, as defined together with other limitations in TS 38.306 [11]. It is up to the network to prevent RedCap UEs from using radio capabilities not intended for RedCap UEs.

### 16.x.3 Identification, access and camping restrictions

RedCap UE can be identified by the network during Random Access procedure via MSG3/MSGA (LCID) and optionally via MSG1/MSGA (PRACH occasion or PRACH preamble). For RedCap UE identification via MSG1/MSGA, RedCap specific Random Access configuration may be configured by the network. For MSG3/MSGA, the RedCap UE is identified by the LCID(s) indicated for CCCH identification (CCCH or CCCH1).

RedCap UEs with 1 Rx branch and 2 Rx branches can be barred separately via system information. A RedCap specific IFRI can be provided in SIB1. When absent, the cell is considered as not supported for RedCap UEs. Information on which frequencies RedCap UE access is allowed can be provided in system information.

### 16.x.4 RRM measurement relaxations

RRM measurement relaxation is enabled and disabled by the network. In RRC\_IDLE and RRC\_INACTIVE a RedCap UE is allowed to relax neighbour cells RRM measurements when the RSRP/RSRQ stationary criterion is met or when both stationary criterion and not-at-cell-edge criterion are met. Network may configure RSRP/RSRQ based stationary criterion for a UE in RRC\_CONNECTED and the UE shall report its RRM measurement relaxation status indicating whether RRM measurements are relaxed or not using UE Assistance Information when the stationarity criterion is met or no longer met.

### 16.x.5 BWP operation

A RedCap UE in RRC\_IDLE and RRC\_INACTIVE monitors paging only in an initial BWP (default or RedCap specific) associated with CD-SSB and performs cell (re-)selection and measurements on the CD-SSB. If a RedCap-specific initial UL BWP is configured, RedCap UEs in RRC\_IDLE and RRC\_INACTIVE shall use only the RedCap-specific initial UL BWP to perform RACH. In RRC\_CONNECTED NCD-SSB may be configured for a RedCap UE in dedicated DL BWP.

### x.x.x Extended DRX for RRC\_IDLE and RRC\_INACTIVE

When extended DRX (eDRX) is used, the following applies:

- For RRC\_INACTIVE, eDRX configuration is decided and configured by NG-RAN.

- For RRC\_IDLE, eDRX is configured by upper layers.

- For RRC\_IDLE, the DRX cycle can be extended up to 10485.76 seconds (2.91 hours) while for RRC\_INACTIVE, the maximum value of the DRX cycle is 10.24 seconds;

- The hyper SFN (H-SFN) is broadcast by the cell and increments by one when the SFN wraps around;

- Paging Hyperframe (PH) refers to the H-SFN in which the UE starts monitoring paging DRX during a Paging Time Window (PTW) used in CM-IDLE. The PH is determined based on a formula that is known by the AMF, UE and NG-RAN;

- H-SFN, PH and PTW are used if the eDRX cycle is greater than 10.24 seconds;

- When the eDRX cycle is longer than the system information modification period, the UE verifies that stored system information remains valid before establishing an RRC connection.