**3GPP TSG-RAN WG2 Meeting #114e R2-210xxxx**

**19 May - 27 May, 2021 Online**

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| *CR-Form-v11.2.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **2579** | **rev** | **2** | **Current version:** | **1** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | UL Config Grant capability differentiation for FR1(TDD/FDD) / FR2 |
|  |  |
| ***Source to WG:*** |  Qualcomm Incorporated |
| ***Source to TSG:*** |  R2 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core, TEI16 |  | ***Date:*** | 2021-05-21 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | For following UE capabilities, there is no way to indicate if the UE supports the capability in FR1 FDD, FR1 TDD or in FR2 only. * ***configuredUL-GrantType1***
* ***configuredUL-GrantType2***
* ***type1-PUSCH-RepetitionMultiSlots***
* ***type2-PUSCH-RepetitionMultiSlots***
* ***pusch-RepetitionMultiSlots***

The seprate capability for FR1 FDD, FR1 TDD and FR2 is necessary as each configuration requires a separate interoperability testing. UE Capability is motivated to ensure that the network is aware of which feature(s) the UE has been tested as part of the interoperability tests.  |
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| ***Summary of change:*** | The proposal extends following UE capabilities to indicate if a feature is supported in each FR1 FDD, FR1 TDD or FR2 band* ***configuredUL-GrantType1***
* ***configuredUL-GrantType2***
* ***type1-PUSCH-RepetitionMultiSlots***
* ***type2-PUSCH-RepetitionMultiSlots***
* ***pusch-RepetitionMultiSlots***

**Impact Analysis:**Impacted 5G architecture options: (NG)EN-DC, NR SA, NE-DC, NR-DCImpacted functionality:Duplex mode and frequency range of operation.Interoperability issue:* if the network is implemented according to the CR and the UE is not,

UE will not be including these capabilities under BandNR, therefore no interoperability issue is expected.* if the UE is implemented according to the CR and the network is not,

The network will ignore the capabilities reported under “*BandNR*” IEs, and assumes that UE doesn’t support these capabilities, unless UE includes these capabilities under “*Phy-ParametersCommon*” IE. Therefore no interperobility issue is expected.  |
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| ***Consequences if not approved:*** | UE may be deployed with a capability that has not been interoperability tested, resulting in undefined performance.  |
|  |  |
| ***Clauses affected:*** | 6.3.3  |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS/TR 38.306 CR 0571 |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |

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| Start of changes |

6.3.3 UE capability information elements

<<skipped>>

#### – *RF-Parameters*

The IE *RF-Parameters* is used to convey RF-related capabilities for NR operation.

***RF-Parameters* information element**

-- ASN1START

-- TAG-RF-PARAMETERS-START

RF-Parameters ::= SEQUENCE {

 supportedBandListNR SEQUENCE (SIZE (1..maxBands)) OF BandNR,

 supportedBandCombinationList BandCombinationList OPTIONAL,

 appliedFreqBandListFilter FreqBandList OPTIONAL,

 ...,

 [[

 supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL,

 srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1610 BandCombinationList-v1610 OPTIONAL,

 supportedBandCombinationListSidelinkEUTRA-NR-r16 BandCombinationListSidelinkEUTRA-NR-r16 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-r16 BandCombinationList-UplinkTxSwitch-r16 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1630 BandCombinationList-v1630 OPTIONAL,

 supportedBandCombinationListSidelinkEUTRA-NR-v1630 BandCombinationListSidelinkEUTRA-NR-v1630 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-v1630 BandCombinationList-UplinkTxSwitch-v1630 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1640 BandCombinationList-v1640 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-v1640 BandCombinationList-UplinkTxSwitch-v1640 OPTIONAL

 ]]

}

BandNR ::= SEQUENCE {

 bandNR FreqBandIndicatorNR,

 modifiedMPR-Behaviour BIT STRING (SIZE (8)) OPTIONAL,

 mimo-ParametersPerBand MIMO-ParametersPerBand OPTIONAL,

 extendedCP ENUMERATED {supported} OPTIONAL,

 multipleTCI ENUMERATED {supported} OPTIONAL,

 bwp-WithoutRestriction ENUMERATED {supported} OPTIONAL,

 bwp-SameNumerology ENUMERATED {upto2, upto4} OPTIONAL,

 bwp-DiffNumerology ENUMERATED {upto4} OPTIONAL,

 crossCarrierScheduling-SameSCS ENUMERATED {supported} OPTIONAL,

 pdsch-256QAM-FR2 ENUMERATED {supported} OPTIONAL,

 pusch-256QAM ENUMERATED {supported} OPTIONAL,

 ue-PowerClass ENUMERATED {pc1, pc2, pc3, pc4} OPTIONAL,

 rateMatchingLTE-CRS ENUMERATED {supported} OPTIONAL,

 channelBWs-DL CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 channelBWs-UL CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 ...,

 [[

 maxUplinkDutyCycle-PC2-FR1 ENUMERATED {n60, n70, n80, n90, n100} OPTIONAL

 ]],

 [[

 pucch-SpatialRelInfoMAC-CE ENUMERATED {supported} OPTIONAL,

 powerBoosting-pi2BPSK ENUMERATED {supported} OPTIONAL

 ]],

 [[

 maxUplinkDutyCycle-FR2 ENUMERATED {n15, n20, n25, n30, n40, n50, n60, n70, n80, n90, n100} OPTIONAL

 ]],

 [[

 channelBWs-DL-v1590 CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

 }

 } OPTIONAL,

 channelBWs-UL-v1590 CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

 }

 } OPTIONAL

 ]],

 [[

 asymmetricBandwidthCombinationSet BIT STRING (SIZE (1..32)) OPTIONAL

 ]],

 [[

 -- R1 10: NR-unlicensed

 sharedSpectrumChAccessParamsPerBand-r16 SharedSpectrumChAccessParamsPerBand-r16 OPTIONAL,

 -- R1 11-7b: Independent cancellation of the overlapping PUSCHs in an intra-band UL CA

 cancelOverlappingPUSCH-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-1: Multiple LTE-CRS rate matching patterns

 multipleRateMatchingEUTRA-CRS-r16 SEQUENCE {

 maxNumberPatterns-r16 INTEGER (2..6),

 maxNumberNon-OverlapPatterns-r16 INTEGER (1..3)

 } OPTIONAL,

 -- R1 14-1a: Two LTE-CRS overlapping rate matching patterns within a part of NR carrier using 15 kHz overlapping with a LTE carrier

 overlapRateMatchingEUTRA-CRS-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-2: PDSCH Type B mapping of length 9 and 10 OFDM symbols

 pdsch-MappingTypeB-Alt-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-3: One slot periodic TRS configuration for FR1

 oneSlotPeriodicTRS-r16 ENUMERATED {supported} OPTIONAL,

 olpc-SRS-Pos-r16 OLPC-SRS-Pos-r16 OPTIONAL,

 spatialRelationsSRS-Pos-r16 SpatialRelationsSRS-Pos-r16 OPTIONAL,

 simulSRS-MIMO-TransWithinBand-r16 ENUMERATED {n2} OPTIONAL,

 channelBW-DL-IAB-r16 CHOICE {

 fr1-100mhz SEQUENCE {

 scs-15kHz ENUMERATED {supported} OPTIONAL,

 scs-30kHz ENUMERATED {supported} OPTIONAL,

 scs-60kHz ENUMERATED {supported} OPTIONAL

 },

 fr2-200mhz SEQUENCE {

 scs-60kHz ENUMERATED {supported} OPTIONAL,

 scs-120kHz ENUMERATED {supported} OPTIONAL

 }

 } OPTIONAL,

 channelBW-UL-IAB-r16 CHOICE {

 fr1-100mhz SEQUENCE {

 scs-15kHz ENUMERATED {supported} OPTIONAL,

 scs-30kHz ENUMERATED {supported} OPTIONAL,

 scs-60kHz ENUMERATED {supported} OPTIONAL

 },

 fr2-200mhz SEQUENCE {

 scs-60kHz ENUMERATED {supported} OPTIONAL,

 scs-120kHz ENUMERATED {supported} OPTIONAL

 }

 } OPTIONAL,

 rasterShift7dot5-IAB-r16 ENUMERATED {supported} OPTIONAL,

 ue-PowerClass-v1610 ENUMERATED {pc1dot5} OPTIONAL,

 condHandover-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverFailure-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL,

 condPSCellChange-r16 ENUMERATED {supported} OPTIONAL,

 condPSCellChangeTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL,

 mpr-PowerBoost-FR2-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 11-9: Multiple active configured grant configurations for a BWP of a serving cell

 activeConfiguredGrant-r16 SEQUENCE {

 maxNumberConfigsPerBWP-r16 ENUMERATED {n1, n2, n4, n8, n12},

 maxNumberConfigsAllCC-r16 INTEGER (2..32)

 } OPTIONAL,

 -- R1 11-9a: Joint release in a DCI for two or more configured grant Type 2 configurations for a given BWP of a serving cell

 jointReleaseConfiguredGrantType2-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 12-2: Multiple SPS configurations

 sps-r16 SEQUENCE {

 maxNumberConfigsPerBWP-r16 INTEGER (1..8),

 maxNumberConfigsAllCC-r16 INTEGER (2..32)

 } OPTIONAL,

 -- R1 12-2a: Joint release in a DCI for two or more SPS configurations for a given BWP of a serving cell

 jointReleaseSPS-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 13-19: Simultaneous positioning SRS and MIMO SRS transmission within a band across multiple CCs

 simulSRS-TransWithinBand-r16 ENUMERATED {n2} OPTIONAL,

 trs-AdditionalBandwidth-r16 ENUMERATED {trs-AddBW-Set1, trs-AddBW-Set2} OPTIONAL,

 handoverIntraF-IAB-r16 ENUMERATED {supported} OPTIONAL

 ]],

 [[

 -- R1 22-5a: Simultaneous transmission of SRS for antenna switching and SRS for CB/NCB /BM for intra-band UL CA

 -- R1 22-5c: Simultaneous transmission of SRS for antenna switching and SRS for antenna switching for intra-band UL CA

 simulTX-SRS-AntSwitchingIntraBandUL-CA-r16 SimulSRS-ForAntennaSwitching-r16 OPTIONAL,

 -- R1 10: NR-unlicensed

 sharedSpectrumChAccessParamsPerBand-v1630 SharedSpectrumChAccessParamsPerBand-v1630 OPTIONAL

 ]],

 [[

 handoverUTRA-FDD-r16 ENUMERATED {supported} OPTIONAL,

 -- R4 7-4: Report the shorter transient capability supported by the UE: 2, 4 or 7us

 enhancedUL-TransientPeriod-r16 ENUMERATED {us2, us4, us7} OPTIONAL,

 sharedSpectrumChAccessParamsPerBand-v1640 SharedSpectrumChAccessParamsPerBand-v1640 OPTIONAL

 ]],

 [[

 type1-PUSCH-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

 type2-PUSCH-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

 pusch-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

 configuredUL-GrantType1-v1650 ENUMERATED {supported} OPTIONAL,

 configuredUL-GrantType2-v1650 ENUMERATED {supported} OPTIONAL

 ]]

}

-- TAG-RF-PARAMETERS-STOP

-- ASN1STOP

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| ***RF-Parameters* field descriptions** |
| ***appliedFreqBandListFilter***In this field the UE mirrors the *FreqBandList* that the NW provided in the capability enquiry, if any. The UE filtered the band combinations in the *supportedBandCombinationList* in accordance with this *appliedFreqBandListFilter*. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |
| ***supportedBandCombinationList***A list of band combinations that the UE supports for NR (and NR-DC, if requested). The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-NR-Capability* IE. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |
| ***supportedBandCombinationListSidelinkEUTRA-NR***A list of band combinations that the UE supports for NR sidelink communication only, for joint NR sidelink communication and V2X sidelink communication, or for V2X sidelink communication only. The UE does not include this field if the UE capability is requested by E-UTRAN (see TS 36.331[10]) and the network request includes the field *eutra-nr-only*. |
| ***supportedBandCombinationList-UplinkTxSwitch***A list of band combinations that the UE supports dynamic uplink Tx switching for NR UL CA and SUL. The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-NR-Capability* IE. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |

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| End of Change |