**3GPP TSG-RAN WG2 Meeting #113 electronic *R2-21xxxxx***

**Online, Jan 25 – Feb 5, 2021**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
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|  | **36.331** | **CR** | **4589** | **rev** | **1** | **Current version:** | **16.3.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Corrections on NR MDT and SON (Rapporteur CR) |
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| ***Source to WG:*** | Ericsson, Huawei |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_SON\_MDT-Core |  | ***Date:*** | 2021-01-25 |
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| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | During RAN2#113-e meeting, it was agreed to catpure changes from the following CRs:**Change#1: R2-2100089 Miscellaneous corrections to WLAN and BT for MDT in 36.331 CATT**1. In the last meeting, the agreement was made based on R2-2010089 as showed below:

=> RAN2 clarify the following UE behaviors regarding retrieval of WLAN and BT location info for Logged MDT are valid/ allowed in LTE and NR: When compiling a UEInformationResponse message, for each logged MDT entry included in the message, the UE shall include the WLAN and BT location information it has available (stored in VarLogMeasReport). Changes will be discussed in #888.In email discussion #888, the changes on TS 38.331 for the agreement above were agreed, and included mainly two aspects:- Clarification is added that for the entries included in the UEInformationResponse message the UE includes all optional fields stored in VarLogMeasReport. I.e. UE does not omit optional fields like detailed location info in order to report a larger number of entries- Statements regarding the setting of the BT and WLAN information are clarified to reflect that this concerns information within logged measurement entries (rather than separate entries, as the current text erroneously suggests)However, the corresponding changes yellow highlighted above in TS 36.331 are missing.In addition, it is clarified in TS 37.320 for NR and EUTRA as following:- For M8:- Associated to M1 and/or M6 related measurement reporting triggers.- For M9:- Associated to M1 and/or M6 related measurement reporting triggers.Therefore, the corresponding changes on TS 36.331 should be considered to align with TS 38.331 and TS 37.320.1. The field “*bt-LocationInfo*” and “*wlan-LocationInfo*” are not included in TS 36.331, which should be field “*logMeasResultListBT*” and “*logMeasResultListWLAN*”.
2. “*bt-NameListConfig*” and “*wlan-NameListConfig*” should be released as in TS 38.331.
3. It should be “*wlan-NameList*” instead of “*wlan-Namelist*”.

**Change#2: R2-2100189 Correction on RLF Report for Re-connection CATT** 1. Action of setting re-connected cell related information should not be set in the branch of “set the content of RRCConnectionSetupComplete message as follows”, but only the action of “Reception of the RRCConnectionSetup”;
2. The NR related information are included in the LTE RLF Report of UEInformationResponse message, and there is not limitation of only report the rlf-InfoAvailable and the RLF Report content when UE connects to EPC. Therefore at least the rlf-InfoAvailable should be set when UE connects to 5GC, if the UE has the radio link failure or handover failure information available.

**Change#3: R2-2100199 Miscellaneous corrections on inter-RAT MRO Samsung** 1/ According to 38.306, the feature of inter-RAT MRO from NR to E-UTRA is defined as “Radio Link Failure Report for inter-RAT MRO EUTRA”. Same term can be used in 36.331.2/ Accroding to 36.306, two features of inter-RAT MRO are defined i.e. UTRA and NR. 6.10.1    Radio Link Failure Report for inter-RAT MROIt is optional for UE to include *previousUTRA-CellId* and *selectedUTRA-CellId* in *RLF-Report* upon request from the network as specified in TS 36.331 [5].6.10.3    Radio Link Failure Report for inter-RAT MRO NRIt is optional for UE to include *previousNR-PCellId*, *failedNR-PCellId* and *nrReconnectCellId* in *RLF-Report* upon request from the network as specified in TS 36.331 [5].They are independent features and its distinction comes from the existence of the word ‘NR’. Such distinction should be clearly specified in 36.331 to avoid any ambiguity. 3/ For inter-RAT HO from E-UTRA to NR, *MobilityFromEUTRACommand* will not be embedded in *RRCConnectionReconfiguration*. 4/ Both intra-RAT HO failure and inter-RAT HO failure are specified in 5.3.10.6. For each HO failure case, the fields *previousPCellId* and *timeConnFailure* should be included according to the relevant RRC message i.e. *RRCConnectionReconfiguration* message for intra-RAT HO failure or *MobilityFromEUTRACommand* for inter-RAT HO failure.**Change#4: R2-2100859 Corrections on RLF report Apple**In subclause 5.4.3.5 “Mobility from EUTRA failure”, the UE is specified to include this HOF in VarRLF-report according to 5.3.5.6. However, in subclause 5.3.5.6, the part related to failure detected with *MobilityFromEUTRACommand* is partially missing and not mentioned in some places. Also, in 5.3.5.6 & 5.3.11.3, the "UE supports Radio Link Failure Report for Inter-RAT MRO" shall be "UE supports Radio Link Failure Report for Inter-RAT MRO **NR**”, to align with name of the new capability introduced for NR inter-RAT MRO, as per TS 36.306, Sec 6.10.3, as below:6.10.3 Radio Link Failure Report for inter-RAT MRO NRIt is optional for UE to include *previousNR-PCellId*, *failedNR-PCellId* and *nrReconnectCellId* in *RLF-Report* upon request from the network as specified in TS 36.331 [5].**Change#5: R2-2101689 Corrections on NR MDT and SON (Rapporteur CR) Huawei**There is the following issue for MDT and SON:* In TS 37.320, the UE continues T330 independent of state changes, RAT or RPLMN change, however, it has not been captured in stage-3 text

**Change#6: R2-2101714 Correctio to logged Google Inc.**For EUTRA/EPC, the UE does not release a logged measurement configuration in full configuration. The UE behaviour in EUTRA/EPC should apply to EUTRA/5GC to simplify the UE implementation. |
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| ***Summary of change:*** | **Change#1 from R2-2100088:**1. Setting of the BT and WLAN information to reflect that this concerns information within logged measurement entries.
2. Change “*bt-LocationInfo*” and “*wlan-LocationInfo*” to “*logMeasResultListBT*” and “*logMeasResultListWLAN*” in 5.6.5.3.
3. Add the descriptions of releasing “*bt-NameListConfig*” and “*wlan-NameListConfig*” in 5.3.7.2.
4. Change “*wlan-Namelist*” to “*wlan-NameList*” in 5.6.6.3.

**Change#2 from R2-2100189:**1. Move the action of setting re-connected cell related information out of the branch of “set the content of RRCConnectionSetupComplete message as follows”;
2. The rlf-InfoAvailable should be set when UE connects to 5GC or EPC, if the UE has the radio link failure or handover failure information available.

**Change#3 from R2-2100199:**1/ Align the term of feature in 38.331 to in 36.331.2/ Distinguish two independent features of RLF report for inter-RAT MRO in 36.331 as defined in 36.306.3/ Delete “last *RRCConnectionReconfiguration* message including” in 5.4.3.5 for failed inter-RAT HO from E-UTRA to NR. 4/ Add *MobilityFromEUTRACommand* to the procedural text in 5.3.5.6 so that the fields *previousPCellId* and *timeConnFailure* are determined according to the *MobilityFromEUTRACommand* for failed inter-RAT HO from E-UTRA to NR.**Change#4 from R2-2100859:**It is optional for UE to include previousNR-PCellId, failedNR-PCellId and nrReconnectCellId in RLF-Report upon request from the network as specified in TS 36.331 [5].**Change#5 from R2-2101689:**The following change is made for MDT and SON:* the UE does not stop T330 upon the inter-RAT mobility

**Change#6 from R2-2101714:**The UE does not release a logged measurement configuration in full configuration for EUTRA/5GC.**Impact analysis****Change#1:**Architecture optionsSA, (NG)EN-DCImpacted functionalityBT/WLAN measurement and reportingInter-operabilityIf only the network is implemented according to the CR and the UE is not, UE will include the BT/WLAN available indicator even if no logged measurement results available. The network receives the BT/WLAN available indicator and request the measurement results but no measurement results are received as the BT/WLAN measurement results reporting need to be included in logged measurement reporting. If only the UE is implemented according to the CR and the network is not, no interoperability problems are foreseen.**Change#2:**Impacted 5G architecture options: StandaloneImpacted functionality: RLF ReportInter-operability:If the network is implemented according to the CR and the UE is not, UE can not set the *rlf-InfoAvailable* or send the RLF Report to the network if UE connects to 5GC.If the UE is implemented according to the CR and the network is not, no impact is forseen.**Change#3:**Architecture optionsLTE SA, (NG)EN-DCImpacted functionalityStorage of handover failure information upon mobility from E-UTRA failure Inter-operability1/ If the UE is implemented according to the CR while the network is not, there is no inter-operability issue2/ If the network is implemented according to the CR while the UE is not, the network may detect wrong inter-RAT HO failure problems so inter-RAT MRO may not work properly.**Change#4:**Impacted architecture options: SON/MDTImpacted functionality: RLF ReportInter-operability: If a UE implements the CR and the NW does not, then there is no interoperability issue.**Change#5:**Impacted 5G architecutre options:(NG)EN-DCImpacted functionality:NR MDT and SONInter-operability:There are no inter-operability problem as the changes only impact UE.**Change#6:**Impacted functionality: MDT for EUTRA/5GCInter-operability:The change is only on the UE. Therefore, no inter-operability issue between the UE and network is foreseen. |
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| ***Consequences if not approved:*** | **Change#1:**It is not clear for reporting WLAN and BT information.It is not clear if the “*bt-NameListConfig*” and “*wlan-NameListConfig*” will be released while initialize re-establishment.**Change#2:**The procedure of setting *rlf-InfoAvailable* and setting re-connected cell related information is not clear when UE receiving the *RRCConnectionSetup*.**Change#3:**Wrong source PCell Id and time elapsed since the last inter-RAT HO initialization until handover failure may be included in VarRLF-Report.**Change#4:**Wrong UE procedure for RLF report handling will remain in the specification.**Change#5:**The UE will stop T330 upon the inter-RAT mobility, so the UE may discard the logged measurements.**Change#6:**UE behaviors in EUTRA/5GC differs from EUTRA/EPC, which complicates the UE implementation. |
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| ***Clauses affected:*** | **Change#1:**5.3.3.4, 5.3.3.4a, 5.3.5.4, 5.3.7.2, 5.3.7.3, 5.3.7.5, 5.4.2.3, 5.6.5.3, 5.6.6.3**Change#2:**5.3.3.4 **Change#3:**5.3.3.4, 5.3.11.3, 5.4.3.5, 5.3.5.6**Change#4:**5.3.5.6, 5.3.11.3**Change#5:**5.4.3.4**Change#6:**5.3.5.8 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  |  |
| ***affected:*** |  | **X** |  Test specifications |  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications |  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** | Rev 0 - R2-2101689.Rev 1 – Changes related to R2-2100089 R2-2100189, R2-2100199, R2-2100859, R2-2101689, R2-2101714 are included during RAN2#113 meeting based on [AT113-e][802][NR/R17 SON/MDT] Merged 36.331 CR (Huawei, Ericsson) email discussion. |

*Start of Change*

#### 5.3.3.4 Reception of the *RRCConnectionSetup* by the UE

NOTE 1: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6];

The UE shall:

1> except when the UE connected to 5GC is a BL UE or UE in CE, if the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest* from a suspended RRC connection:

2> if the UE is resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18:

3> discard any current AS security context including the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

2> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity for all established or suspended RBs, except for SRB0;

2> discard the stored UE AS context and *resumeIdentity*;

2> if stored, discard the stored *nextHopChainingCount*;

2> if stored, discard the stored *drb-ContinueROHC*;

2> indicate to upper layers fallback of the RRC connection;

1> if the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest* from RRC\_INACTIVE:

2> stop T380 if running;

2> discard the stored UE Inactive AS context;

2> release *rrc-InactiveConfig*, if configured;

1> if the UE connected to 5GC is a BL UE or UE in CE, and the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest* from a suspended RRC connection:

2> discard the stored UE AS context and *resumeIdentity*;

2> if stored, discard the stored *nextHopChainingCount*;

2> if stored, discard the stored *drb-ContinueROHC*;

1> if the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest* from RRC\_INACTIVE; or

1> if the UE connected to 5GC is a BL UE or UE in CE, and the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest* from a suspended RRC connection:

2> discard any current AS security context including the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

2> release radio resources for all established RBs except SRB0, including release of the RLC entities, of the associated PDCP entities and of SDAP entities;

2> release the RRC configuration except for the default L1 parameter values, default MAC main configuration and CCCH;

2> apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1.1 for SRB1;

2> use NR PDCP for all subsequent messages received and sent by the UE via SRB1;

2> indicate to upper layers fallback of the RRC connection;

1> if the *RRCConnectionSetup* is received in response to an *RRCEarlyDataRequest* or *RRCConnectionResumeRequest* for transmission using PUR:

2> instruct the associated MAC entity to start *timeAlignmentTimer*;

1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;

1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;

1> if stored, discard the *altFreqPriorities* provided by the *RRCConnectionRelease*;

1> if stored, discard the dedicated offset provided by the *redirectedCarrierOffsetDedicated*;

1> stop timer T300;

1> if T302 is running:

2> stop timer T302;

2> if the UE is connected to 5GC:

3> perform the actions as specified in 5.3.16.4;

1> stop timer T303, if running;

1> stop timer T305, if running;

1> stop timer T306, if running;

1> stop timer T308, if running;

1> perform the actions as specified in 5.3.3.7;

1> stop timer T320, if running;

1> stop timer T350, if running;

1> perform the actions as specified in 5.6.12.4;

1> release *rclwi-Configuration*, if configured, as specified in 5.6.16.2;

1> stop timer T360, if running;

1> stop timer T322, if running;

1> if timer T331 is running:

2> stop timer T331;

2> perform the actions as specified in 5.6.20.3;

1> stop timer T323, if running;

1> forward the *dedicatedInfoNAS,* if received, to the upper layers;

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> enter RRC\_CONNECTED;

1> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> except for NB-IoT:

2> if the UE supports RLF report for inter-RAT MRO EUTRA as defined in TS 38.306 [87], and if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 38.331 [82] and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 38.331 [82]:

3> if *reconnectCellId* in *VarRLF-Report* of TS 38.331 [82] is not set:

4> set *timeUntilReconnection* in *VarRLF-Report* of TS 38.331 [82] to the time that elapsed since the last radio link failure or handover failure;

4> set *eutraReconnectCellId* in *reconnectCellId* in *VarRLF-Report* of TS 38.331 [82] to the global cell identity and the tracking area code of the PCell;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

3> if *reconnectCellId* in *VarRLF-Report* is not set:

4> set *timeUntilReconnection* in *VarRLF-Report* to the time that elapsed since the last radio link failure or handover failure;

4> set *eutraReconnectCellId* in *reconnectCellId* in *VarRLF-Report* to the global cell identity and the tracking area code of the PCell;

1> set the content of *RRCConnectionSetup**Complete* message as follows:

2> if the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest*:

3> if upper layers provide an S-TMSI:

4> set the *s-TMSI* to the value received from upper layers;

3> else if upper layers provide a 5G-S-TMSI:

4> if the UE is a NB-IoT UE:

5> set the *ng-5G-S-TMSI* to the value received from upper layers;

4> else:

5> set the *ng-5G-S-TMSI-Bits* to *ng-5G-S-TMSI* with the value received from upper layers;

2> else if upper layers provide a 5G-S-TMSI:

3> except for NB-IoT, set the *ng-5G-S-TMSI-Bits* to *ng-5G-S-TMSI-Part2* to the leftmost 8 bits of 5G-S-TMSI received from upper layers;

2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1* (or *SystemInformationBlockType1-NB* in NB-IoT);

2> if upper layers provide the 'Registered MME', include and set the *registeredMME* as follows:

3> if the PLMN identity of the 'Registered MME' is different from the PLMN selected by the upper layers:

4> include the *plmnIdentity* in the *registeredMME* and set it to the value of the PLMN identity in the 'Registered MME' received from upper layers;

3> set the *mmegi* andthe *mmec* to the value received from upper layers;

2> if upper layers provided the 'Registered MME':

3> include and set the *gummei-Type* to the value provided by the upper layers;

2> if upper layers provide the 'Registered AMF', include and set the *registeredAMF* as follows:

3> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:

4> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;

3> set the *amf-Identifier* to AMF Identifier of the 'Registered AMF' received from upper layers;

2> if upper layers provided the 'Registered AMF':

3> include and set the *guami-Type* to the value provided by the upper layers;

2> if upper layers provide one or more S-NSSAI (see TS 23.003 [27]):

3> include the *s-NSSAI-list* and set the content to the values provided by the upper layers;

2> if the UE supports CIoT EPS optimisation(s):

3> include a*ttachWithoutPDN-Connectivity* if received from upper layers;

3> include *up-CIoT-EPS-Optimisation* if received from upper layers;

3> except for NB-IoT, include *cp-CIoT-EPS-Optimisation* if received from upper layers;

2> if the UE supports CIoT 5GS optimisation(s):

3> for NB-IoT, include *ng-U-DataTransfer* if received from upper layers;

3> except for NB-IoT, include *cp-CIoT-5GS-Optimisatoin* if received from upper layers;

2> if connecting as an RN:

3> include the *rn-SubframeConfigReq*;

2> if the *RRCConnectionSetup* is received in response to *RRCEarlyDataRequest*:

3> set the *dedicatedInfoNAS* to a zero-length octet string;

2> else:

3> set the *dedicatedInfoNAS* to include the information received from upper layers;

2> if the *RRCConnectionSetup* is not in response to transmission using PUR and the UE has a stored *pur-Config* including *pur-ConfigID*:

3> include the stored *pur-ConfigID*;

2> if the UE is connected to EPC:

3> except for NB-IoT:

4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailableMBSFN*;

4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailable*;

5> if Bluetooth measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

6> include *logMeasAvailableBT*;

5> if WLAN measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

6> include *logMeasAvailableWLAN*;

4> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

5> include *connEstFailInfoAvailable*;

4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;

4> if the UE has flight path information available:

5> include *flightPathInfoAvailable*;

3> for NB-IoT:

4> if the UE has radio link failure information available in *VarRLF-Report-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report-NB*:

5> include *rlf-InfoAvailable*;

4> if the UE has ANR measurements information available in *VarANR-MeasReport-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarANR-MeasReport-NB*:

5> include *anr-InfoAvailable*;

3> include *dcn-ID* if a DCN-ID value (see TS 23.401 [41]) is received from upper layers;

2> else (i.e. the UE is connected to 5GC):

3> if the UE is a BL UE:

4> include *lte-M*;

2> except for NB-IoT:

3> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

4> include *rlf-InfoAvailable*;

3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

4> include the *mobilityHistoryAvail*;

3> if the SIB2 contains *idleModeMeasurements* and the UE has E-UTRA idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

3> if the SIB2 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information available in *VarMeasIdleReport*:

4> include the *idleMeasAvailable*;

3> if upper layers indicate that access to RLOS is initiated (see TS 23.401 [41] subclause 4.3.8.3):

4> set *rlos-Request* to *true*;

2> if UE needs UL gaps during continuous uplink transmission:

3> include *ue-CE-NeedULGaps*;

2> for NB-IoT:

3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:

4> set the *measResultServCell* to include the measurements of the serving cell;

 NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

2> if connecting as an IAB-node:

3> include *iab-NodeIndication;*

1> submit the *RRCConnectionSetupComplete* message to lower layers for transmission;

1> the procedure ends.

*Next Change*

#### 5.3.3.4a Reception of the *RRCConnectionResume* by the UE

The UE shall:

1> stop timer T300;

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> stop T380 if running;

1> if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for EDT or for transmission using PUR:

2> discard the stored UE AS context and *resumeIdentity*;

2> if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for transmission using PUR:

3> instruct the associated MAC entity to start *timeAlignmentTimer*;

1> else:

2> if resuming an RRC connection from a suspended RRC connection in EPC; or

2> for NB-IoT, if resuming an RRC connection from a suspended RRC connection in 5GC and *fullConfig* is not present in the *RRCConnectionResume* message:

3> restore the PDCP state and re-establish PDCP entities for SRB2, if configured withE-UTRA PDCP, and for all DRBs that are configured with E-UTRA PDCP;

3> if *drb-ContinueROHC* is included:

4> indicate to lower layers that stored UE AS context is used and that *drb-ContinueROHC* is configured;

4> continue the header compression protocol context for the DRBs configured with the header compression protocol;

3> else:

4> indicate to lower layers that stored UE AS context is used;

4> reset the header compression protocol context for the DRBs configured with the header compression protocol;

3> if *restoreMCG-SCells* is included:

4> restore the MCG SCell(s) configuration, if stored;

3> else:

4> release the MCG SCell(s) from the UE AS context, if stored;

3> if *restoreSCG* is included:

4> restore *nr-SecondaryCellGroupConfig*, if stored;

3> else if the UE was configured with EN-DC:

4> perform MR-DC release, as specified in TS 38.331 [82], clause 5.3.5.10;

4> release *tdm-PatternConfig* or *tdm-PatternConfig2*, if configured;

3> discard the stored UE AS context and *resumeIdentity*;

3> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;

2> else if the *RRCConnectionResume* message includes the *fullConfig* (i.e., for resuming an RRC connection from RRC\_INACTIVE or for resuming a suspended RRC connection in 5GC):

3> perform the radio configuration procedure as specified in 5.3.5.8;

2> else if resuming an RRC connection from RRC\_INACTIVE:

3> restore the following from the stored UE Inactive AS context:

- MCG physical layer configuration,

- MCG MAC configuration,

- MCG RLC configuration,

- PDCP configuration;

3> if *restoreMCG-SCells* is included:

4> restore the MCG SCell(s) configuration, if stored;

3> else:

4> release the MCG SCell(s) from the UE Inactive AS context, if stored;

3> if *restoreSCG* is included:

4> restore *nr-SecondaryCellGroupConfig*, if stored;

3> else if the UE was configured with NGEN-DC:

4> perform MR-DC release, as specified in TS 38.331 [82], clause 5.3.5.10;

4> release *tdm-PatternConfig* or *tdm-PatternConfig2*, if configured;

3> discard the stored UE Inactive AS context;

3> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;

3> release the *rrc-InactiveConfig*, except *ran-NotificationAreaInfo*;

2> else (i.e., except for NB-IoT for resuming a suspended RRC connection in 5GC):

3> restore the physical layer configuration, the MAC configuration, the RLC configuration and the PDCP configuration from the stored UE AS context;

3> discard the stored UE AS context and *resumeIdentity*;

1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;

NOTE 1: When performing the radio resource configuration procedure, for the physical layer configuration and the MAC Main configuration, the restored RRC configuration from the stored UE AS context is used as basis for the reconfiguration.

1> if the received *RRCConnectionResume* includes the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.10.3a;

1> if the received *RRCConnectionResume* includes the *sCellToAddModList*:

2> perform SCell addition or modification as specified in 5.3.10.3b;

1> if the received *RRCConnectionResume* includes the *sCellGroupToReleaseList*:

2> perform SCell group release as specified in 5.3.10.3d;

1> if the received *RRCConnectionResume* includes the *sCellGroupToAddModList*:

2> perform SCell group addition or modification as specified in 5.3.10.3e;

1> if the received *RRCConnectionResume* message includes the *nr-SecondaryCellGroupConfig*:

2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;

1> if the received *RRCConnectionResume* message includes the *sk-Counter*:

2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.8;

1> if the received *RRCConnectionResume* message includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionResume* message includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> except if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for EDT or for transmission using PUR:

2> resume SRB2, SRB3 (if configured), and all DRBs, if any, including RBs configured with NR PDCP;

1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;

1> if stored, discard the *altFreqPriorities* provided by the *RRCConnectionRelease*;

1> if stored, discard the dedicated offset provided by the *redirectedCarrierOffsetDedicated*;

1> if the *RRCConnectionResume* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if T302 is running:

2> stop timer T302;

2> if the UE is connected to 5GC:

3> perform the actions as specified in 5.3.16.4;

1> stop timer T303, if running;

1> stop timer T305, if running;

1> stop timer T306, if running;

1> stop timer T308, if running;

1> perform the actions as specified in 5.3.3.7;

1> stop timer T320, if running;

1> stop timer T350, if running;

1> perform the actions as specified in 5.6.12.4;

1> stop timer T360, if running;

1> stop timer T322, if running;

1> stop timer T323, if running;

1> if timer T331 is running:

2> stop timer T331;

2> perform the actions as specified in 5.6.20.3;

1> if the UE is resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18 or *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* from RRC\_INACTIVE:

2> ignore the *nextHopChainingCount* value indicated in the *RRCConnectionResume* message;

1> else:

2> if resuming an RRC connection from a suspended RRC connection in EPC:

3> update the KeNB key based on the KASME key to which the current KeNB is associated, using the *nextHopChainingCount* value indicated in the *RRCConnectionResume* message, as specified in TS 33.401 [32];

3> store the *nextHopChainingCount* value;

3> derive the KRRCint key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];

3> request lower layers to verify the integrity protection of the *RRCConnectionResume* message, using the previously configured algorithm and the KRRCint key;

3> if the integrity protection check of the *RRCConnectionResume* message fails:

4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;

3> derive the KRRCenc key and the KUPenc key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];

3> configure lower layers to resume integrity protection using the previously configured algorithm and the KRRCint key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;

3> configure lower layers to resume ciphering and to apply the ciphering algorithm, the KRRCenc key and the KUPenc key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

1> enter RRC\_CONNECTED;

1> indicate to upper layers that the suspended RRC connection has been resumed;

1> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> set the content of *RRCConnectionResumeComplete* message as follows:

2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;

2> set the *dedicatedInfoNAS* to include the information received from upper layers;

2> except for NB-IoT:

3> if resuming an RRC connection from a suspended RRC connection:

4> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

5> include *rlf-InfoAvailable*;

4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailableMBSFN*;

4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailable*;

5> if Bluetooth measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

6> include *logMeasAvailableBT*;

5> if WLAN measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

6> include *logMeasAvailableWLAN*;

4> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

5> include *connEstFailInfoAvailable*;

4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;

4> if the UE has flight path information available:

5> include *flightPathInfoAvailable*;

3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

4> include *mobilityHistoryAvail*;

3> if the *idleModeMeasurementReq* is included in the *RRCConnectionResume* message:

4> if the UE has idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*:

5> set the *measResultListIdle-r15* in the *RRCConnectionResumeComplete* message to the value of *measReportIdle-r15* in the *VarMeasIdleReport*;

5> set the *measResultListExtIdle* in the *RRCConnectionResumeComplete* message to the value of *measReportIdle-r16* in the *VarMeasIdleReport*, if available;

5> set the *measResultListIdleNR* in the *RRCConnectionResumeComplete* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;

5> discard the *VarMeasIdleReport* upon successful delivery of the *RRCConnectionResumeComplete* message is confirmed by lower layers;

3> else:

4> if the SIB2 contains *idleModeMeasurements* and the UE has E-UTRA idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

4> if the SIB2 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information available in *VarMeasIdleReport*:

5> include the *idleMeasAvailable*;

3> if the *RRCConnectionResume* message includes *nr-SecondaryCellGroupConfig*:

4> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;

2> for NB-IoT:

3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:

4> set the *measResultServCell* to include the measurements of the serving cell;

 NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

3> if the UE is connected to EPC:

4> if the UE has radio link failure information available in *VarRLF-Report-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report-NB*:

5> include *rlf-InfoAvailable*;

4> if the UE has ANR measurements information available in *VarANR-MeasReport-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarANR-MeasReport-NB*:

5> include *anr-InfoAvailable*;

1> if the UE is configured to operate in EN-DC as result of this procedure, forward *upperLayerIndication* to upper layers as if the UE has received this field from SIB2, otherwise indicate to upper layers the absence of this field;

1> submit the *RRCConnectionResumeComplete* message to lower layers for transmission;

1> the procedure ends.

*Next Change*

#### 5.3.5.4 Reception of an *RRCConnectionReconfiguration* including the *mobilityControlInfo* by the UE (handover)

If the *RRCConnectionReconfiguration* message includes the *mobilityControlInfo* and theUE is able to comply with the configuration included in this message, the UE shall:

1> if *daps-HO* is not configured for any DRB:

2> stop timer T310, if running;

2> stop timer T312, if running;

2> if timer T316 is running:

3> stop timer T316;

3> clear the information included in *VarRLF-Report*, if any;

2> resume MCG transmission, if suspended;

1> start timer T304 with the timer value set to *t304,* as included in the *mobilityControlInfo*;

1> stop timer T370, if running;

1> if the *carrierFreq* is included:

2> consider the target PCell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;

1> else:

2> consider the target PCell to be one on the frequency of the source PCell with a physical cell identity indicated by the *targetPhysCellId*;

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> start synchronising to the DL of the target PCell;

NOTE 1: The UE should perform the handover as soon as possible following the reception of the RRC message triggering the handover, which could be before confirming successful reception (HARQ and ARQ) of this message.

1> if BL UE or UE in CE:

2> if *sameSFN-Indication* is not present in *mobilityControlInfo*:

3> acquire the *MasterInformationBlock* in the target PCell;

1> if *makeBeforeBreak* is configured:

2> perform the remainder of this procedure including and following resetting MAC after the UE has stopped the uplink transmission/downlink reception with the source PCell;

NOTE 1a: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source PCell to initiate re-tuning for connection to the target cell, as specified in TS 36.133 [16], if *makeBeforeBreak* is configured.

NOTE 1b: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source SCell(s) after receiving *RRCConnectionReconfiguration* message.

1> if *daps-HO* is configured for any DRB:

2> establish a MAC entity for the target PCell, with the same configuration as the MAC entity for the source PCell;

2> for each DRB configured with *daps-HO*:

3> establish the RLC entity or entities and the associated DTCH logical channel for the target PCell, with the same configurations as for the source PCell;

3> reconfigure the PDCP entity to configure DAPS as specified in TS36.323 [8].

2> for each DRB not configured with *daps-HO*:

3> re-establish PDCP;

3> re-establish the RLC entity and associate it, and the associated DTCH logical channel, to the target PCell;

2> for each SRB:

3> establish a PDCP entity for the target PCell, with the same configuration as the PDCP entity for the source PCell;

3> establish an RLC entity and an associated DCCH logical channel for the target PCell, with the same configuration as for the source PCell;

2> suspend the SRBs for the source PCell;

NOTE 1c: In order to understand if a *daps-HO* is configured, the UE needs to check the presence of the field *daps-HO* within the received *RadioResourceConfigDedicated* IE.

1> else (if *daps-HO* is not configured):

2> reset MCG MAC and SCG MAC, if configured;

2> release *uplinkDataCompression*, if configured;

2> re-establish PDCP for all RBs configured with *pdcp-config* that are established;

NOTE 2: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

NOTE 2a: At handover the *reestablishPDCP* flag will be set for all RBs configured with NR PDCP in *nr-RadioBearerConfig1* or *nr-RadioBearerConfig2* TS 38.331 [82] which will cause the PDCP entity to be re-established also for these RBs.

2> re-establish MCG RLC and SCG RLC, if configured, for all RBs that are established;

1> for each SCell configured for the UE other than the PSCell:

2> if the received *RRCConnectionReconfiguration* message includes *sCellState* for the SCell and indicates *activated*:

3> configure lower layers to consider the SCell to be in activated state;

2> else if the received *RRCConnectionReconfiguration* message includes *sCellState* for the SCell and indicates *dormant*:

3> configure lower layers to consider the SCell to be in dormant state;

2> else:

3> configure lower layers to consider the SCell to be in deactivated state;

1> apply the value of the *newUE-Identity* as the C-RNTI in the target MCG;

1> if the *RRCConnectionReconfiguration* message includes the *fullConfig*:

2> perform the radio configuration procedure as specified in 5.3.5.8;

1> configure lower layers in accordance with the received *radioResourceConfigCommon*;

1> if the received *RRCConnectionReconfiguration* message includes the *rach-Skip*:

2> configure lower layers to apply the *rach-Skip* for the target MCG, as specified in TS 36.213 [23] and 36.321 [6];

1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received mobilityControlInfo;

1> if the received *RRCConnectionReconfiguration* includes the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.10.3a;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToReleaseList*:

2> perform SCell group release as specified in 5.3.10.3d;

1> if the received *RRCConnectionReconfiguration* includes the *scg-Configuration*; or

1> if the current UE configuration includes one or more split DRBs and the received *RRCConnectionReconfiguration* includes *radioResourceConfigDedicated* including *drb-ToAddModList*:

2> perform SCG reconfiguration as specified in 5.3.10.10;

1> if the *RRCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:

2> perform the radio resource configuration procedure as specified in 5.3.10;

1> if the *securityConfigHO* (without suffix) is included in the *RRCConnectionReconfiguration*:

2> if the *keyChangeIndicator* received in the *securityConfigHO* is set to *TRUE*:

3> update the KeNB key based on the KASME key taken into use with the latest successful NAS SMC procedure, as specified in TS 33.401 [32];

2> else:

3> update the KeNB key based on the current KeNB or the NH, using the *nextHopChainingCount* value indicated in the *securityConfigHO*, as specified in TS 33.401 [32];

NOTE 2b: If the UE needs to update the S-KeNB key as specified in 5.3.10.10, the UE updates the S-KeNB after updating the KeNB key.

2> store the *nextHopChainingCount* value;

2> if the *securityAlgorithmConfig* is included in the *securityConfigHO*:

3> derive the KRRCint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

3> if connected as an RN:

4> derive the KUPint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];

2> else:

3> derive the KRRCint key associated with the current integrity algorithm, as specified in TS 33.401 [32];

3> if connected as an RN:

4> derive the KUPint key associated with the current integrity algorithm, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the current ciphering algorithm, as specified in TS 33.401 [32];

2> configure lower layers to apply the integrity protection algorithm and the KRRCint key, i.e. the integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

2> configure lower layers to apply the ciphering algorithm, the KRRCenc key and the KUPenc key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

NOTE 2c: For a DRB configured for DAPS HO, the new ciphering algorithm and the KUPenc key is applied for traffic exchange between the UE and the target MCG while the old ciphering algorithm and KUPenc key is applied for traffic exchange between the UE and the source MCG.

1> else if the *securityConfigHO-v1530* is included in the *RRCConnectionReconfiguration*:

2> if the *nas-Container* is received:

3> forward the *nas-Container* to upper layers;

2> if the *keyChangeIndicator-r15* is received and is set to *TRUE*:

3> update the KeNB key based on the KAMF key, as specified in TS 33.501 [86];

2> else:

3> update the KeNB key based on the current KeNB or the NH, using the received *nextHopChainingCount-r15*, as specified in TS 33.501 [86];

2> store the *nextHopChainingCount-r15* value;

2> if the security*AlgorithmConfig-r15* is received:

3> derive the KRRCint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];

2> else:

3> derive the KRRCint key associated with the current integrity algorithm, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the current ciphering algorithm, as specified in TS 33.401 [32];

1> if the received *RRCConnectionReconfiguration* includes the *nr-Config* and it is set to *release*; or

1> if the received *RRCConnectionReconfiguration* includes *endc-ReleaseAndAdd* and it is set to *TRUE*:

2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;

1> if the received *RRCConnectionReconfiguration* includes the *sk-Counter*:

2> perform key update procedure as specified in in TS 38.331 [82], clause 5.3.5.7;

1> if the received *RRCConnectionReconfiguration* includes the *nr-SecondaryCellGroupConfig*:

2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3.

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6.

1> if connected as an RN:

2> configure lower layers to apply the integrity protection algorithm and the KUPint key, for current or subsequently established DRBs that are configured to apply integrity protection, if any;

1> if the received *RRCConnectionReconfiguration* includes the *sCellToAddModList*:

2> perform SCell addition or modification as specified in 5.3.10.3b;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToAddModList*:

2> perform SCell group addition or modification as specified in 5.3.10.3e;

1> if the received *RRCConnectionReconfiguration* includes the *systemInformationBlockType1Dedicated*:

2> perfom the actions upon reception of the *SystemInformationBlockType1* message as specified in 5.2.2.7;

1> perform the measurement related actions as specified in 5.5.6.1;

1> if the *RRCConnectionReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;

1> release *reportProximityConfig* and clear any associated proximity status reporting timer;

1> if the *RRCConnectionReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.10.9;

1> if the *RRCConnectionReconfiguration* message includes the *sl-DiscConfig* or *sl-CommConfig*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;

1> if the *RRCConnectionReconfiguration* message includes *wlan-OffloadInfo*:

2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;

1> if *handoverWithoutWT-Change* is not configured:

2> release the LWA configuration, if configured, as described in 5.6.14.3;

1> release the LWIP configuration, if configured, as described in 5.6.17.3;

1> if the *RRCConnectionReconfiguration* message includes *rclwi-Configuration*:

2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;

1> if the *RRCConnectionReconfiguration* message includes *lwa-Configuration*:

2> perform the LWA configuration procedure as specified in 5.6.14.2;

1> if the *RRCConnectionReconfiguration* message includes *lwip-Configuration*:

2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;

1> if the *RRCConnectionReconfiguration* message includes the *sl-V2X-ConfigDedicated* or *mobilityControlInfoV2X*:

2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;

NOTE 2d: In case of conditional reconfiguration the text "if the received *RRCConnectionReconfiguration. . .*" corresponds to applying the stored *RRCConnectionReconfiguration* message (according to 5.3.5.9.5).

1> if the UE is configured to operate in EN-DC as result of this procedure, forward *upperLayerIndication*, as if the UE receives this field from SIB2, to upper layers, otherwise indicate upper layers absence of this field;

1> set the content of *RRCConnectionReconfigurationComplete* message as follows:

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

3> include *rlf-InfoAvailable*;

2> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:

3> include *logMeasAvailableMBSFN*;

2> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable*;

3> if Bluetooth measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

4> include *logMeasAvailableBT*;

3> if WLAN measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

4> include *logMeasAvailableWLAN*;

2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable*;

2> if the *RRCConnectionReconfiguration* message includes *perCC-GapIndicationRequest*:

3> include *perCC-GapIndicationList* and *numFreqEffective*;

2> if the frequencies are configured for reduced measurement performance:

3> include *numFreqEffectiveReduced*;

2> if the UE has flight path information available:

3> include *flightPathInfoAvailable*;

2> if the received *RRCConnectionReconfiguration* message included *nr-SecondaryCellGroupConfig*:

3> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;

1> submit the *RRCConnectionReconfigurationComplete* message to lower layers for transmission;

1> if MAC successfully completes the random access procedure; or

1> if MAC indicates the successful reception of a PDCCH transmission addressed to C-RNTI and if *rach-Skip* is configured:

2> stop timer T304;

2> if *daps-HO* is configured for any DRB:

3> stop timer T310, if running;

3> stop timer T312, if running;

3> for each DAPS bearer trigger UL data switching, as specified in TS 36.323 [8];

2> release *rach-Skip*;

2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;

NOTE 3: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

2> if the UE is configured to provide IDC indications:

3> if the UE has initiated the transmission of an *InDeviceCoexIndication* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:

4> initiate transmission of the *InDeviceCoexIndication* message in accordance with 5.6.9.3;

2> if the UE is configured to provide power preference indications, overheating assistance information, SPS assistance information, delay budget report or maximum bandwidth preference indications:

3> if the UE has initiated the transmission of a *UEAssistanceInformation* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:

4> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

2> if *SystemInformationBlockType15* is broadcast by the PCell:

3> if the UE has initiated the transmission of a *MBMSInterestIndication* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:

4> ensure having a valid version of *SystemInformationBlockType15* for the PCell;

4> determine the set of MBMS frequencies of interest in accordance with 5.8.5.3;

4> determine the set of MBMS services of interest in accordance with 5.8.5.3a;

4> initiate transmission of the *MBMSInterestIndication* message in accordance with 5.8.5.4;

2> if *SystemInformationBlockType18* is broadcast by the target PCell; and the UE initiated the transmission of a *SidelinkUEInformation* message indicating a change of sidelink communication related parameters relevant in target PCell (i.e. change of *commRxInterestedFreq* or *commTxResourceReq*, *commTxResourceReqUC* if *SystemInformationBlockType18* includes *commTxResourceUC-ReqAllowed* or *commTxResourceInfoReqRelay* if PCell broadcasts *SystemInformationBlockType19* including *discConfigRelay*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

2> if *SystemInformationBlockType19* is broadcast by the target PCell; and the UE initiated the transmission of a *SidelinkUEInformation* message indicating a change of sidelink discovery related parameters relevant in target PCell (i.e. change of *discRxInterest* or *discTxResourceReq*, *discTxResourceReqPS* if *SystemInformationBlockType19* includes *discConfigPS* or *discRxGapReq* or *discTxGapReq* if the UE is configured with *gapRequestsAllowedDedicated* set to *true* or if the UE is not configured with *gapRequestsAllowedDedicated* and *SystemInformationBlockType19* includes *gapRequestsAllowedCommon*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

2> if *SystemInformationBlockType21* is broadcast by the target PCell; and the UE initiated the transmission of a *SidelinkUEInformation* message indicating a change of V2X sidelink communication related parameters relevant in target PCell (i.e. change of *v2x-CommRxInterestedFreqList* or *v2x-CommTxResourceReq*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:

3> initiate transmission of the *SidelinkUEInformation* message in accordance with 5.10.2.3;

2> remove all the entries within *VarConditionalReconfiguration*, if any;

2> for each *measId* of the source SpCell configuration, if the associated *reportConfig* is *condReconfigurationTriggerEUTRA*:

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

3> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

3> if the *measObjectId* is only included in a *MeasIdToAddMod*:

4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

2> the procedure ends;

NOTE 4: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell, except for BL UEs or UEs in CE when *sameSFN-Indication* is not present in *mobilityControlInfo*.

NEXT CHANGE

#### 5.3.5.6 T304 expiry (handover failure)

If T304 expires (handover failure), the UE shall:

NOTE 1: Following T304 expiry any dedicated preamble, if provided within the *rach-ConfigDedicated*, is not available for use by the UE anymore.

1> if no DAPS bearer is configured; or

1> if any DAPS beareris configured and radio link failure has been detected for the source MCG in accordance with 5.3.11.3:

2> if *attemptCondReconf* is not configured:

3> revert back to the configuration used in the source PCell, excluding the configuration configured by the *physicalConfigDedicated*,the *mac-MainConfig* and the *sps-Config*;

2> else:

3> revert back to the configuration used in the source PCell;

NOTE 1a: In the context above, "the configuration" includes state variables and parameters of each radio bearer. PDCP entities associtated with RLC UM and SRB bearers are reset after the successful RRC connection re-establishment procedure according to clause 5.2 in TS 36.323 [8]. In the above, "the configuration" includes the RB configuration using NR PDCP, if configured (i.e. by *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2*).

2> store the following handover failure information in *VarRLF-Report* by setting its fields as follows:

3> clear the information included in *VarRLF-Report*, if any;

3> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

3> set the *measResultLastServCell* to include the RSRP and RSRQ, if available, of the source PCell based on measurements collected up to the moment the UE detected handover failure and in accordance with the following;

4> if the UE includes *rsrqResult*, include the *lastServCellRSRQ-Type*;

3> set the *measResultNeighCells* to include the best measured cells, other than the source PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected handover failure, and set its fields as follows;

4> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;

4> if the UE includes *rsrqResult*, include the *rsrq-Type*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;

4> if the UE was configured to perform measurement reporting, not related to NR sidelink communication, for one or more neighbouring NR frequencies, include the *measResultListNR*;

4> for each neighbour cell included, include the optional fields that are available;

NOTE 2: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

3> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;

3> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

3> if detailed location information is available, set the content of the *locationInfo* as follows:

4> include the *locationCoordinates*;

4> include the *horizontalVelocity*, if available;

3> if last *RRCConnectionReconfiguration* message including *mobilityControlInfo* concerned a failed intra-RAT handover (E-UTRA to E-UTRA):

4> set the *failedPCellId* to the global cell identity, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;

4> include *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;

4> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo;*

3> else if last *MobilityFromEUTRACommand* concerned a failed inter-RAT handover from E-UTRA to NR and if the UE supports Radio Link Failure Report for Inter-RAT MRO NR:

4> set the *failedNR-PCellId* to the global cell identity and tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;

4> include *previousPCellId* and set it to the global cell identity of the PCell where the last *MobilityFromEUTRACommand* message was received;

4> set the *timeConnFailure* to the elapsed time since reception of *the last MobilityFromEUTRACommand message*;3> set the *connectionFailureType* to '*hof*';

3> set the *c-RNTI* to the C-RNTI used in the source PCell;

2> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the RRC connection reconfiguration procedure ends;

1> else (any DAPS bearer is configured and radio link failure has not been detected for the source MCG):

2> release the MAC entity for the target PCell;

2> for each DAPS bearer:

3> re-establish the RLC entity for the target PCell;

3> release the RLC entity or entities and the associated DTCH logical channel for the target PCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 36.323 [8];

2> for each non-DAPS bearer:

3> revert back to the configuration used for the DRB in the source PCell, including PDCP and RLC states and the security configuration;

2> for each SRB:

3> discard any PDCP SDUs along with the PDCP data PDUs for the source PCell;

3> re-establish the RLC entity for the source PCell;

3> release the PDCP entity for the target PCell;

3> release the RLC entity and the associated DCCH logical channel for the target PCell;

2> release the physical channel configuration for the target PCell;

2> resume the SRBs for the source PCell;

2> initiate the failure information procedure as specified in 5.6.21 to report a DAPS HO failure.

The UE may discard the handover failure information, i.e. release the UE variable *VarRLF-Report,* 48 hours after the failure is detected, upon power off or upon detach.

NOTE 3: E-UTRAN may retrieve the handover failure information using the UE information procedure with *rlf-ReportReq* set to *true*, as specified in 5.6.5.3.

*Next Change*

#### 5.3.5.8 Radio Configuration involving full configuration option

The UE shall:

1> if the UE is connected to EPC:

2> release/ clear all current dedicated radio configurations except for the following:

- the MCG C-RNTI,

- the MCG security configuration,

- the PDCP, RLC, logical channel configurations for the RBs,

- the logged measurement configuration;

1> else if the UE is connected to 5GC:

2> release/ clear all current dedicated radio configurations except for the following:

- the MCG C-RNTI,

- the MCG security configuration,

- the configurations (SDAP if configured, PDCP, RLC and logical channel) for the RBs;

- the logged measurement configuration;

NOTE 1: Radio configuration is not just the resource configuration but includes other configurations like *MeasConfig* and *OtherConfig*. In case (NG)EN-DC is configured, this also includes the entire NR SCG configuration. Such NR SCG configuration does not include the DRB configuration as configured by *nr-RadioBearerConfig1* and nr-*RadioBearerConfig2*).

1> if the *RRCConnectionReconfiguration* message includes the *mobilityControlInfo*:

2> release/ clear all current common radio configurations;

2> use the default values specified in 9.2.5 for timer T310, T311 and constant N310, N311;

1> else:

2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2* (or *SystemInformationBlockType2-NB* in NB-IoT);

1> apply the default physical channel configuration as specified in 9.2.4;

1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;

1> apply the default MAC main configuration as specified in 9.2.2;

1> if the UE is a NB-IoT UE; or

1> for each *srb-Identity* value included in the *srb-ToAddModList* (SRB reconfiguration):

2> apply the specified configuration defined in 9.1.2 for the corresponding SRB;

2> apply the corresponding default RLC configuration for the SRB specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;

2> apply the corresponding default logical channel configuration for the SRB as specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;

2> if the corresponding SRB was configured with NR PDCP and the UE is connected to EPC:

3> release the NR PDCP entity and establish it with an E-UTRA PDCP entity and with the current (MCG) security configuration;

NOTE 1a: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.

3> associate the RLC bearer of this SRB with the established PDCP entity;

NOTE 2: This is to get the SRBs (SRB1 and SRB2 for handover and SRB2 for reconfiguration after reestablishment) to a known state from which the reconfiguration message can do further configuration.

2> else if the UE is connected to 5GC:

3> apply the corresponding default PDCP configuration for the SRB as specified in TS 38.331 [82], clause 9.2.1;

1> for each *srb-Identity* value which was configured in the *srb-ToAddModListExt* but is not added in the RRC message configuring the full configuration:

2> release the RLC entity or entities;

2> release the DCCH logical channel;

2> release the PDCP entity;

1> if the UE is connected to EPC:

2> for each *eps-BearerIdentity* value included in the *drb-ToAddModList* or *nr-RadioBearerConfig1 or nr-RadioBearerConfig2* that is part of the current E-UTRA and NR UE configuration:

3> release the E-UTRA or NR PDCP entity;

3> release the RLC entity or entities;

3> release the DTCH logical channel;

3> release the *drb-identity*;

NOTE 3: This will retain the *eps-bearerIdentity* but remove the DRBs including *drb-identity* of these bearers from the current UE configuration and trigger the setup of the DRBs within the AS in clause 5.3.10.3 using the new configuration. The *eps-bearerIdentity* acts as the anchor for associating the released and re-setup DRB. In the AS the DRB re-setup is equivalent with a new DRB setup (including new PDCP and logical channel configurations).

2> for each *eps-BearerIdentity* value that is part of the current E-UTRA and NR UE configuration but not added with same *eps-BearerIdentity* in *drb-ToAddModList* nor in *nr-RadioBearerConfig1* nor in *nr-RadioBearerConfig2*:

3> perform DRB release as specified in 5.3.10.2;

1> if the UE is connected to 5GC:

2> except for NB-IoT:

3> for each *pdu-Session* that is part of the current NR UE configuration:

4> release the SDAP entity (clause 5.1.2 in TS 37.324 [97]);

4> release the NR PDCP entity for each DRB associated to the *pdu-Session*;

4> release the RLC entity or entities for each DRB associated to the *pdu-Session*;

4> release the DTCH logical channel for each DRB associated to the *pdu-Session*;

4> release the *drb-identity* for each DRB associated to the *pdu-Session*;

NOTE 4: This will retain the *pdu-Session* but remove the DRBs including *drb-identity* of these bearers from the current NR UE configuration and trigger the setup of the DRBs within the AS in clause 5.3.10.3 using the new configuration. The *pdu-Session* acts as the anchor for associating the released and re-setup DRB. In the AS the DRB re-setup is equivalent with a new DRB setup (including new PDCP and logical channel configurations).

3> for each *pdu-Session* that is part of the current NR UE configuration but not added with same *pdu-Session* in *nr-RadioBearerConfig1* nor in *nr-RadioBearerConfig2*:

4> if the procedure was triggered due to handover:

5> indicate the release of the user plane resources for the *pdu-Session* to upper layers after successful handover;

4> else:

5> indicate the release of the user plane resources for the *pdu-Session* to upper layers immediately;

2> for NB-IoT UE:

3> for each *pdu-Session* that is part of the current UE configuration:

4> release the PDCP entity for the DRB associated to the *pdu-Session*;

4> release the RLC entity for the DRB associated to the *pdu-Session*;

4> release the DTCH logical channel for the DRB associated to the *pdu-Session*;

4> release the *drb-identity* for the DRB associated to the *pdu-Session*;

3> for each *pdu-Session* that is part of the current UE configuration but not added with same *pdu-Session in drb-ToAddModList*:

4> indicate the release of the user plane resources for the *pdu-Session* to upper layers;

*Next Change*

#### 5.3.7.2 Initiation

The UE shall only initiate the procedure either when AS security has been activated or for a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS/5GS optimisation. The UE initiates the procedure when one of the following conditions is met:

1> upon detecting radio link failure and T316 is not configured, in accordance with 5.3.11; or

1> upon detecting radio link failure of the MCG while SCG transmission is suspended, in accordance with 5.3.11; or

1> upon detecting radio link failure of the MCG while NR PSCell change is ongoing, in accordance with 5.3.11; or

1> upon handover failure, in accordance with 5.3.5.6; or

1> upon mobility from E-UTRA failure, in accordance with 5.4.3.5; or

1> except when resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18, upon integrity check failure indication from lower layers concerning SRB1 or SRB2; or

1> upon an RRC connection reconfiguration failure, in accordance with 5.3.5.5; or

1> upon an RRC connection reconfiguration failure, in accordance with TS38.331 [82], clause 5.3.5.8; or

1> upon detecting radio link failure for the SCG while MCG transmission is suspended, in accordance with TS 38.331 [82] subclause 5.3.10.3 in (NG)EN-DC; or

1> upon SCG change failure while MCG transmission is suspended, in accordance with TS 38.331 [82] subclause 5.3.5.8.3 in (NG)EN-DC; or

1> upon SCG configuration failure while MCG transmission is suspended in accordance with subclause TS 38.331 [82] subclause 5.3.5.8.2 in (NG)EN-DC; or

1> upon integrity check failure indication from SCG lower layers concerning SRB3 while MCG transmission is suspended; or

1> upon T316 expiry, in accordance with subclause 5.6.26.5.

NOTE: When resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18, integrity check failure indication from lower layers is handled in accordance with clause 5.3.3.16.

Upon initiation of the procedure, the UE shall:

1> stop timer T310, if running;

1> stop timer T312, if running;

1> stop timer T313, if running;

1> stop timer T316, if running;

1> stop timer T307, if running;

1> start timer T311;

1> stop timer T370, if running;

1> if the UE is not configured with *conditionalReconfiguration*:

2> release *uplinkDataCompression*, if configured;

2> suspend all RBs, including RBs configured with NR PDCP, except SRB0;

2> reset MAC;

2> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;

2> release the SCell group(s), if configured, in accordance with 5.3.10.3d;

2> apply the default physical channel configuration as specified in 9.2.4;

2> except for NB-IoT, for the MCG, apply the default semi-persistent scheduling configuration as specified in 9.2.3;

2> for NB-IoT, release *schedulingRequestConfig*, if configured;

2> for the MCG, apply the default MAC main configuration as specified in 9.2.2;

2> release *powerPrefIndicationConfig*, if configured and stop timer T340, if running;

2> release *reportProximityConfig*, if configured and clear any associated proximity status reporting timer;

2> release *obtainLocationConfig*, if configured;

2> release *idc-Config*, if configured;

2> release *bt-NameListConfig*, if configured;

2> release *wlan-NameListConfig*, if configured;2> release *sps-AssistanceInfoReport*, if configured;

2> release *measSubframePatternPCell*, if configured;

2> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);

2> if (NG)EN-DC is configured:

3> perform MR-DC release, as specified in TS 38.331[82], clause 5.3.5.10;

3> release *p-MaxEUTRA*, if configured;

3> release *p-MaxUE-FR1*, if configured;

3> release *tdm-PatternConfig* or *tdm-PatternConfig2*, if configured;

2> release *naics-Info* for the PCell, if configured;

2> if connected as an RN and configured with an RN subframe configuration:

3> release the RN subframe configuration;

2> release the LWA configuration, if configured, as described in 5.6.14.3;

2> release the LWIP configuration, if configured, as described in 5.6.17.3;

2> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

2> release *bw-PreferenceIndicationTimer*, if configured and stop timer T341, if running;

2> release *overheatingAssistanceConfig* and *overheatingAssistanceConfigForSCG*, if configured and stop timer T345, if running;

2> release *ailc-BitConfig*, if configured;

2> if the UE has a stored *pur-Config* and the cell is different from the cell where *pur-Config* was provided:

3> if *pur-TimeAlignmentTimer* is configured, indicate to lower layers that *pur-TimeAlignmentTimer* is released;

3> release *pur-Config*;

3> discard previously stored *pur-Config*.

1> if any DAPS bearer is configured:

2> for each DAPS bearer:

3> re-establish the RLC entity for the source PCell;

3> release the RLC entity and the associated DTCH logical channel for the source PCell;

3> reconfigure the PDCP entity to release DAPS, as specified in TS 36.323 [8];

2> for each SRB:

3> release the PDCP entity for the source PCell;

3> release the RLC entity and the associated DCCH logical channel for the source PCell;

2> release the physical channel configuration for the source PCell;

1> perform cell selection in accordance with the cell selection process as specified in TS 36.304 [4];

*Next Change*

#### 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable E-UTRA cell, the UE shall:

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> if the UE is connected to 5GC and the selected cell is only connected to EPC; or

1> if the UE is connected to EPC and the selected cell is only connected to 5GC:

2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

1> else:

2> stop timer T311;

2> if the cell selection is triggered by detecting radio link failure of the MCG or handover failure; and

2> if *attemptCondReconf* is configured; and

2> if the selected cell is one of the target candidate cells in *VarConditionalReconfiguration*:

3> apply the stored *condReconfigurationToApply* of the selected cell and perform the actions as specified in 5.3.5.4;

2> else:

3> if the UE is configured with *conditionalReconfiguration*:

4> release *uplinkDataCompression*, if configured;

4> suspend all RBs, including RBs configured with NR PDCP, except SRB0;

4> reset MAC;

4> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;

4> release the SCell group(s), if configured, in accordance with 5.3.10.3d;

4> apply the default physical channel configuration as specified in 9.2.4;

4> for the MCG, apply the default semi-persistent scheduling configuration as specified in 9.2.3;

4> for the MCG, apply the default MAC main configuration as specified in 9.2.2;

4> release *powerPrefIndicationConfig*, if configured and stop timer T340, if running;

4> release *reportProximityConfig*, if configured and clear any associated proximity status reporting timer;

4> release *obtainLocationConfig*, if configured;

4> release *idc-Config*, if configured;

4> release *bt-NameListConfig*, if configured;

4> release *wlan-NameListConfig*, if configured;

4> release *sps-AssistanceInfoReport*, if configured;

4> release *measSubframePatternPCell*, if configured;

4> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);

4> if (NG)EN-DC is configured:

5> perform MR-DC release, as specified in TS 38.331[82], clause 5.3.5.10;

5> release *p-MaxEUTRA*, if configured;

5> release *p-MaxUE-FR1*, if configured;

5> release *tdm-PatternConfig* or *tdm-PatternConfig2*, if configured;

4> release *naics-Info* for the PCell, if configured;

4> if connected as an RN and configured with an RN subframe configuration:

5> release the RN subframe configuration;

4> release the LWA configuration, if configured, as described in 5.6.14.3;

4> release the LWIP configuration, if configured, as described in 5.6.17.3;

4> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

4> release *bw-PreferenceIndicationTimer*, if configured and stop timer T341, if running;

4> release *overheatingAssistanceConfig* and *overheatingAssistanceConfigForSCG*, if configured and stop timer T345, if running;

4> release *ailc-BitConfig*, if configured;

3> remove all the entries within *VarConditionalReconfiguration*, if any;

3> for each *measId*, that is part of the current UE configuration in *VarMeasConfig*, if the associated *reportConfig* has *condReconfigurationTriggerEUTRA* configured:

4> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

4> if the associated *measObjectId* is only associated with *condReconfigurationTriggerEUTRA*:

5> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

4> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

3> start timer T301;

3> apply the *timeAlignmentTimerCommon* included in *SystemInformationBlockType2*;

3> if the UE is a NB-IoT UE connected to EPC, the UE supports RRC connection re-establishment for the Control Plane CIoT EPS optimisation and AS security has not been activated; and

3> if *cp-reestablishment* is not included in *SystemInformationBlockType2-NB*:

4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

3> else:

4> initiate transmission of the *RRCConnectionReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

1> if the selected cell is a UTRA cell, and if the UE supports Radio Link Failure Report for Inter-RAT MRO, include *selectedUTRA-CellId* in the *VarRLF-Report* and set it to the physical cell identity and carrier frequency of the selected UTRA cell;

1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

*Next Change*

#### 5.3.7.5 Reception of the *RRCConnectionReestablishment* by the UE

NOTE 1: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6];

The UE shall:

1> stop timer T301;

1> consider the current cell to be the PCell;

1> except for a NB-IoT UE for which AS security has not been activated:

2> if SRB1 was configured with NR PDCP and the UE is connected to EPC:

3> for SRB1, release the NR PDCP entity and establish an E-UTRA PDCP entity with the current (MCG) security configuration;

NOTE 1a: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.

2> else:

3> for SRB1, re-establish the PDCP entity;

2> re-establish RLC for SRB1;

2> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;

2> resume SRB1;

NOTE 2: E-UTRAN should not transmit any message on SRB1 prior to receiving the *RRCConnectionReestablishmentComplete* message.

2> if UE is connected to EPC, update the KeNB key based on the KASME key to which the current KeNB is associated, using the *nextHopChainingCount* value indicated in the *RRCConnectionReestablishment* message, as specified in TS 33.401 [32];

2> else if UE is connected to 5GC, update the KeNB key based on the KAMF key to which the current KeNB is associated, using the *nextHopChainingCount* value indicated in the *RRCConnectionReestablishment* message, as specified in TS 33.501 [86];

2> store the *nextHopChainingCount* value;

2> derive the KRRCint key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];

2> derive the KRRCenc key and the KUPenc key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];

2> if connected as an RN:

3> derive the KUPint key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];

2> configure lower layers to activate integrity protection using the previously configured algorithm and the KRRCint key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

2> if connected as an RN:

3> configure lower layers to apply integrity protection using the previously configured algorithm and the KUPint key, for subsequently resumed or subsequently established DRBs that are configured to apply integrity protection, if any;

2> configure lower layers to apply ciphering using the previously configured algorithm, the KRRCenc key and the KUPenc key immediately, i.e., ciphering shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

2> if the UE is not a NB-IoT UE:

3> if the UE is connected to EPC:

4> set the content of *RRCConnectionReestablishmentComplete* message as follows:

5> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

6> include the *rlf-InfoAvailable*;

5> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:

6> include *logMeasAvailableMBSFN*;

5> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

6> include the *logMeasAvailable*;

6> if Bluetooth measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

7> include the *logMeasAvailableBT*;

6> if WLAN measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

7> include the *logMeasAvailableWLAN*;

5> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

6> include the *connEstFailInfoAvailable*;

5> if the UE has flight path information available:

6> include *flightPathInfoAvailable*;

3> perform the measurement related actions as specified in 5.5.6.1;

3> perform the measurement identity autonomous removal as specified in 5.5.2.2a;

2> else:

3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:

4> set the *measResultServCell* to include the measurements of the serving cell;

 NOTE 2a: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

3> if the UE is connected to EPC:

4> if the UE has radio link failure information available in *VarRLF-Report-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report-NB*:

5> include the *rlf-InfoAvailable*;

4> if the UE has ANR measurements information available in *VarANR-MeasurementReport-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarANR-MeasurementReport-NB*:

5> include the *anr-InfoAvailable*;

2> submit the *RRCConnectionReestablishmentComplete* message to lower layers for transmission;

2> if *SystemInformationBlockType15* is broadcast by the PCell:

3> if the UE has transmitted an *MBMSInterestIndication* message during the last 1 second preceding detection of radio link failure:

4> ensure having a valid version of *SystemInformationBlockType15* for the PCell;

4> determine the set of MBMS frequencies of interest in accordance with 5.8.5.3;

4> determine the set of MBMS services of interest in accordance with 5.8.5.3a;

4> initiate transmission of the *MBMSInterestIndication* message in accordance with 5.8.5.4;

2> if *SystemInformationBlockType18* is broadcast by the PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of sidelink communication related parameters relevant in PCell (i.e. change of *commRxInterestedFreq* or *commTxResourceReq*, *commTxResourceReqUC* if *SystemInformationBlockType18* includes *commTxResourceUC-ReqAllowed* or *commTxResourceInfoReqRelay* if PCell broadcasts *SystemInformationBlockType19* including *discConfigRelay*) during the last 1 second preceding detection of radio link failure; or

2> if *SystemInformationBlockType19* is broadcast by the PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of sidelink discovery related parameters relevant in PCell (i.e. change of *discRxInterest* or *discTxResourceReq*, *discTxResourceReqPS* if *SystemInformationBlockType19* includes *discConfigPS* or *discRxGapReq* or *discTxGapReq* if the UE is configured with *gapRequestsAllowedDedicated* set to *true* or if the UE is not configured with *gapRequestsAllowedDedicated* and *SystemInformationBlockType19* includes *gapRequestsAllowedCommon*) during the last 1 second preceding detection of radio link failure; or

2> if *SystemInformationBlockType21* including *sl-V2X-ConfigCommon* is broadcast by the PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of V2X sidelink communication related parameters relevant in PCell (i.e. change of *v2x-CommRxInterestedFreqList* or *v2x-CommTxResourceReq*) during the last 1 second preceding detection of radio link failure:

3> initiate transmission of the *SidelinkUEInformation* message in accordance with 5.10.2.3;

1> for a NB-IoT UE for which AS security has not been activated:

2> validate *dl-NAS-MAC*, as specified in TS 33.401 [32];

2> if *dl-NAS-MAC* check fails:

3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure', upon which the procedure ends;

2> except for a UE that only supports the Control Plane CIoT EPS/5GS optimisation:

3> re-establish PDCP for SRB1;

3> re-establish RLC for SRB1;

2> re-establish RLC for SRB1bis;

2> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;

2> except for a UE that only supports the Control Plane CIoT EPS/5GS optimisation:

3> resume SRB1;

2> resume SRB1bis;

NOTE 3: E-UTRAN should not transmit any message on SRB1bis prior to receiving the *RRCConnectionReestablishmentComplete* message.

2> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:

3> set the *measResultServCell* to include the measurements of the serving cell;

 NOTE 4: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

2> submit the *RRCConnectionReestablishmentComplete* message to lower layers for transmission;

1> the procedure ends;

*Next Change*

#### 5.3.11.3 Detection of radio link failure

The UE shall:

1> in case any DAPS bearer is configured, only the target PCell is considered in the following;

1> upon T310 expiry; or

1> upon T312 expiry; or

1> upon random access problem indication from MCG MAC while neither T300, T301, T304 nor T311 is running; or

1> upon indication from MCG RLC, which is allowed to be send on PCell, that the maximum number of retransmissions has been reached for an SRB or DRB:

2> consider radio link failure to be detected for the MCG i.e. RLF;

2> discard any segments of segmented RRC messages received;

2> if the UE is configured with (NG)EN-DC; and

2> if T316 is configured; and

2> if SCG transmission is not suspended; and

2> if NR PSCell change is not ongoing (i.e. T304 for the NR PSCell is not running as specified in TS 38.331 [82], clause 5.3.5.5.2, in (NG)EN-DC):

3> initiate the MCG failure information procedure as specified in 5.6.26 to report MCG radio link failure;

2> else:

3> store the following radio link failure information in the *VarRLF-Report* (*VarRLF-Report-NB* in NB-IoT) by setting its fields as follows:

4> clear the information included in *VarRLF-Report* (*VarRLF-Report-NB* in NB-IoT), if any;

4> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

4> set the *measResultLastServCell* to include the RSRP and RSRQ, if available, of the PCell based on measurements collected up to the moment the UE detected radio link failure;

4> except for NB-IoT, set the *measResultNeighCells* to include the best measured cells, other than the PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected radio link failure, and set its fields as follows;

5> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;

5> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;

5> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;

5> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;

5> if the UE was configured to perform measurement reporting, not related to NR sidelink communication, for one or more neighbouring NR frequencies, include the *measResultListNR*;

5> for each neighbour cell included, include the optional fields that are available;

NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

4> except for NB-IoT, if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;

4> except for NB-IoT, if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

4> if detailed location information is available, set the content of the *locationInfo* as follows:

5> include the *locationCoordinates*;

5> include the *horizontalVelocity*, if available;

4> set the *failedPCellId* to the global cell identity, if available, and otherwise , except for NB-IoT, to the physical cell identity and carrier frequency of the PCell where radio link failure is detected;

4> except for NB-IoT, set the *tac-FailedPCell* to the tracking area code, if available, of the PCell where radio link failure is detected;

4> except for NB-IoT, if an *RRCConnectionReconfiguration* message including the *mobilityControlInfo* was received before the connection failure:

5> if the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo* concerned an intra E-UTRA handover:

6> include the *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;

6> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;

5> if the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo* concerned a handover to E-UTRA from UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO NR:

6> include the *previousUTRA-CellId* and set it to the physical cell identity, the carrier frequency and the global cell identity, if available, of the UTRA Cell in which the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;

6> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;

5> if the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo* concerned a handover to E-UTRA from NR and if the UE supports Radio Link Failure Report for Inter-RAT MRO:

6> include the *previousNR-PCellId* and set it to the global cell identity of the PCell where the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received embedded in NR RRC message *MobilityFromNRCommand* message as specified in TS 38.331 [82] clause 5.4.3.3;

6> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo* embedded in NR RRC message *MobilityFromNRCommand* message as specified in TS 38.331 [82] clause 5.4.3.3.

4> except for NB-IoT, if the UE supports QCI1 indication in Radio Link Failure Report and has a DRB for which QCI is 1:

5> include the *drb-EstablishedWithQCI-1*;

4> except for NB-IoT, set the *connectionFailureType* to *rlf*;

4> except for NB-IoT, set the *c-RNTI* to the C-RNTI used in the PCell;

4> except for NB-IoT, set the *rlf-Cause* to the trigger for detecting radio link failure;

3> if AS security has not been activated:

4> if the UE is a NB-IoT UE:

5> if the UE is connected to EPC and the UE supports RRC connection re-establishment for the Control Plane CIoT EPS optimisation; or

5> if the UE is connected to 5GC, the UE supports RRC connection re-establishment for the Control Plane CIoT 5GS optimisation and the UE is configured with a truncated 5G-S-TMSI:

6> initiate the RRC connection re-establishment procedure as specified in 5.3.7;

5> else:

6> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

4> else:

5> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';

3> else:

4> initiate the connection re-establishment procedure as specified in 5.3.7;

In case of DC or NE-DC, the UE shall:

1> upon T313 expiry; or

1> upon random access problem indication from SCG MAC; or

1> upon indication from SCG RLC, which is allowed to be sent on PSCell, that the maximum number of retransmissions has been reached for an SCG, for a split DRB or for a split SRB:

2> consider radio link failure to be detected for the SCG i.e. SCG-RLF;

2> if the UE is configured with DC; or

2> if the UE is configured with NE-DC and MCG transmission is not suspended:

3> initiate the SCG failure information procedure as specified in 5.6.13 to report SCG radio link failure;

2> else:

3> initiate the connection re-establishment procedure as specified in TS 38.331 [82], clause 5.3.7.

In case of CA PDCP duplication, the UE shall:

1> upon indication from an RLC entity, which is restricted to be sent on SCell only, that the maximum number of retransmissions has been reached:

2> initiate the failure information procedure as specified in 5.6.21 to report RLC failure of type duplication;

If any DAPS bearer is configured and T304 is running, the UE shall:

1> upon T310 expiry; or

1> upon T312 expiry; or

1> upon random access problem indication from source MCG MAC; or

1> upon indication from source MCG RLC, which is allowed to be sent on source PCell, that the maximum number of retransmissions has been reached for an DRB:

2> consider radio link failure to be detected for the source MCG;

2> suspend the transmission of all DRBs in the source MCG;

2> reset MAC for the source MCG;

2> release the source connection;

The UE may discard the radio link failure information, i.e. release the UE variable *VarRLF-Report* (*VarRLF-Report-NB* in NB-IoT), 48 hours after the radio link failure is detected, upon power off or upon detach, and for NB-IoT, upon entering another RAT.

NEXT CHANGE

#### 5.4.2.3 Reception of the *RRCConnectionReconfiguration* by the UE

If the UE is able to comply with the configuration included in the *RRCConnectionReconfiguration* message, the UE shall:

1> if the *RRCConnectionReconfiguration* message does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):

2> re-use the source SDAP and PDCP configurations (i.e., current SDAP/PDCP configurations for all RBs from source RAT prior to the reception of the inter-RAT handover *RRCConnectionReconfiguration* message);

1> if the *RRCConnectionReconfiguration* message includes the *fullConfig* and the source RAT was E-UTRA (i.e., intra-RAT inter-system handover):

2> except the MCG C-RNTI, release/ clear all current dedicated radio resources and configurations, including all SDAP (if configured), PDCP, RLC, logical channel configurations for the DRBs and the logged measurement configuration (if configured);

2> release/ clear all current common radio configurations;

2> for each *srb-Identity* value included in the *srb-ToAddModList* (SRB reconfiguration):

3> apply the specified configuration defined in 9.1.2 for the corresponding SRB;

3> apply the corresponding default RLC configuration for the SRB specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;

3> apply the corresponding default logical channel configuration for the SRB as specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;

3> if the *handoverType* in *securityConfigHO* is set to *fivegc-ToEPC* (i.e, the UE is connecting to EPC):

4> release the PDCP entity and establish it with an E-UTRA PDCP entity;

3> else if the *handoverType* in *securityConfigHO* is set to *epc-To5GC* (i.e., the UE is connecting to 5GC):

4> release the PDCP entity and establish it with an NR PDCP and apply the corresponding default PDCP configuration for the SRB as specified in TS 38.331 [82], clause 9.2.1;

3> associate the RLC bearer of this SRB with the established PDCP entity;

1> apply the default physical channel configuration as specified in 9.2.4;

1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;

1> apply the default MAC main configuration as specified in 9.2.2;

1> start timer T304 with the timer value set to *t304,* as included in the *mobilityControlInfo*;

1> consider the target PCell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;

1> start synchronising to the DL of the target PCell;

1> set the C-RNTI to the value of the *newUE-Identity*;

1> for the target PCell, apply the downlink bandwidth indicated by the *dl-Bandwidth;*

1> for the target PCell, apply the uplink bandwidth indicated by (the absence or presence of) the *ul-Bandwidth;*

1> configure lower layers in accordance with the received *radioResourceConfigCommon*;

1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received *mobilityControlInfo*;

1> perform the radio resource configuration procedure as specified in 5.3.10;

1> if the *handoverType* in *securityConfigHO* is set to *fivegc-ToEPC*:

2> indicate to higher layer that the CN has changed from 5GC to EPC;

2> derive the key KeNB based on the mapped KASME key as specified for interworking between EPS and 5GS in TS 33.501 [86];

2> store the *nextHopChainingCount-r15* value;

1> else if the *handoverType* in *securityConfigHO* is set to *intra5GC*:

2> if the *keyChangeIndicator-r15* received in the *securityConfigHO* is set to *TRUE*:

3> forward *nas-Container* to the upper layers, if included;

3> update the KeNB key based on the KAMF key, as specified in TS 33.501 [86];

2> else:

3> update the KeNB key based on the current KgNB or the NH, using the *nextHopChainingCount-r15* value indicated in the *SecurityConfigHO*, as specified in TS 33.501 [86];

2> store the *nextHopChainingCount-r15* value;

1> else if the *handoverType* in *securityConfigHO* is set to *epc-To5GC*:

2> forward the *nas-Container* to the upper layers

2> derive the KeNB key, as specified in TS 33.501 [86];

1> else:

2> forward the *nas-SecurityParamToEUTRA* to the upper layers;

2> derive the KeNB key, as specified in TS 33.401 [32];

1> derive the KRRCint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

1> derive the KRRCenc key and the KUPenc key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];

1> if the received *RRCConnectionReconfiguration* includes the *sk-Counter*:

2> perform key update procedure as specified in in TS 38.331 [82], clause 5.3.5.7;

1> if the received *RRCConnectionReconfiguration* includes the *nr-SecondaryCellGroupConfig*:

2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the *handoverType* in *securityConfigHO* is set to *fivegc-ToEPC* orif the *handoverType-v1530* is not present:

2> configure lower layers to apply the indicated integrity protection algorithm and the KRRCint key immediately, i.e. the indicated integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

2> configure lower layers to apply the indicated ciphering algorithm, the KRRCenc key and the KUPenc key immediately, i.e. the indicated ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

1> if the received *RRCConnectionReconfiguration* includes the s*CellToAddModList*:

2> perform SCell addition as specified in 5.3.10.3b;

1> if the *RRCConnectionReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;

1> if the *RRCConnectionReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.10.9;

1> if the *RRCConnectionReconfiguration* message includes *wlan-OffloadInfo*:

2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;

1> if the *RRCConnectionReconfiguration* message includes *rclwi-Configuration*:

2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;

1> if the *RRCConnectionReconfiguration* message includes *lwa-Configuration*:

2> perform the LWA configuration procedure as specified in 5.6.14.2;

1> if the *RRCConnectionReconfiguration* message includes *lwip-Configuration*:

2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;

1> set the content of *RRCConnectionReconfigurationComplete* message as follows:

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

3> include *rlf-InfoAvailable*;

2> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:

3> include *logMeasAvailableMBSFN*;

2> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable*;

3> if Bluetooth measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

4> include the *logMeasAvailableBT*;

3> if WLAN measurement results are included in the logged measurements the UE has available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

4> include the *logMeasAvailableWLAN*;

2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable*;

2> if the received *RRCConnectionReconfiguration* message included *nr-SecondaryCellGroupConfig*:

3> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;

1> submit the *RRCConnectionReconfigurationComplete* message to lower layers for transmission using the new configuration;

1> if the *RRCConnectionReconfiguration* message does not include *rlf-TimersAndConstants* set to *setup*:

2> use the default values specified in 9.2.5 for timer T310, T311 and constant N310, N311;

1> if MAC successfully completes the random access procedure:

2> stop timer T304;

2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;

NOTE 1: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

2> enter E-UTRA RRC\_CONNECTED, upon which the procedure ends;

NOTE 2: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell.

NOTE 3: If the handover is from NR and target CN is 5GC, the delta configuration on PDCP and SDAP can be used for intra-system inter-RAT handover. For other cases, source RAT configuration is not considered when the UE applies the reconfiguration message of target RAT.

*NEXT CHANGE*

#### 5.4.3.5 Mobility from E-UTRA failure

The UE shall:

1> if T304 configured in the *MobilityFromEUTRACommand* message expires (mobility from E-UTRA failure); or

1> if the UE does not succeed in establishing the connection to the target radio access technology; or

1> if the UE is unable to comply with (part of) the configuration included in the *MobilityFromEUTRACommand* message; or

1> if there is a protocol error in the inter RAT information included in the *MobilityFromEUTRACommand* message, causing the UE to fail the procedure according to the specifications applicable for the target RAT (i.e. according to subclause 5.3.5.6 if the *targetRAT-Type* in the received *MobilityFromEUTRACommand* is set to *eutra*):

2> stop T304, if running;

2> if the *cs-FallbackIndicator* in the *MobilityFromEUTRACommand* message was set to *TRUE* or *e-CSFB* was present:

*3>* indicate to upper layers that the CS fallback procedure has failed;

2> revert back to the configuration used in the source PCell, excluding the configuration configured by the *physicalConfigDedicated*, *mac-MainConfig* and *sps-Config*;

2> if *MobilityFromEUTRACommand* concerned a failed inter-RAT handover from E-UTRA to NR and if the UE supports Radio Link Failure Report for Inter-RAT MRO NR:

3> store handover failure information in *VarRLF-Report* according to 5.3.5.6;

2> initiate the connection re-establishment procedure as specified in 5.3.7;

NOTE: For enhanced CS fallback to CDMA2000 1xRTT, the above UE behavior applies only when the UE is attempting the enhanced 1xRTT CS fallback and connection to the target radio access technology fails or if the UE is attempting enhanced 1xRTT CS fallback along with concurrent mobility to CDMA2000 HRPD and connection to both the target radio access technologies fails.

*Next Change*

#### 5.4.3.4 Successful completion of the mobility from E-UTRA

Upon successfully completing the handover, the cell change order or enhanced 1xRTT CS fallback, the UE shall:

1> if the *targetRAT-Type* in the received *MobilityFromEUTRACommand* is set to *eutra* (intra-E-UTRA inter-system HO):

2> indicate to the upper layers associated to the source system the release of the RRC connection together with the release cause 'other';

2> the procedure ends;

1> else if the UE was connected to 5GC prior to the reception of the *MobilityFromEUTRACommand* and the *targetRAT-Type* in the received *MobilityFromEUTRACommand* is set to *nr*:

2> reset MAC;

2> stop all timers that are running except T330;

2> release *ran-NotificationAreaInfo*, if stored;

2> release the AS security context including the KRRCenc key, the KRRCint, the KUPint key and the KUPenc key, if stored;

2> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity and SDAP entity for all established RBs;

NOTE 1: PDCP and SDAP configured by the source configurations RAT prior to the handover that are reconfigured and re-used by target RAT when delta signalling (i.e., during inter-RAT intra-sytem handover when *fullConfig* is not present) is used, are not released as part of this procedure.

1> else:

2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';

NOTE 2: If the UE performs enhanced 1xRTT CS fallback along with concurrent mobility to CDMA2000 HRPD and the connection to either CDMA2000 1xRTT or CDMA2000 HRPD succeeds, then the mobility from E-UTRA is considered successful.

*Next Change*

#### 5.6.5.3 Reception of the *UEInformationRequest* message

Upon receiving the *UEInformationRequest* message, the UE shall, only after successful security activation:

1> if *rach-ReportReq* is set to *true*, set the contents of the *rach-Report* in the *UEInformationResponse* message as follows:

2> set the *numberOfPreamblesSent* to indicate the number of preambles sent by MAC for the last successfully completed random access procedure;

2> if contention resolution was not successful as specified in TS 36.321 [6] for at least one of the transmitted preambles for the last successfully completed random access procedure:

3> set the *contentionDetected* to *true*;

2> else:

3> set the *contentionDetected* to *false*;

2> if the UE is a BL UE or UE in CE:

3> set the *initialCEL* to indicate the initial CE level used for the last successfully completed random access procedure;

2> if the UE is a NB-IoT UE:

3> set the *initialNRSRP-Level* to indicate the NRSRP level of the NPRACH resource selected for the first preamble transmission for the last successfully completed random access procedure;

2> if the UE is a BL UE, UE in CE or NB-IoT UE:

3> if the last successfully completed random access procedure was initiated with EDT PRACH resource and succeeded after receiving EDT fallback indication from lower layers:

4> set the *edt-Fallback* to *true*;

3> else:

4> set the *edt-Fallback* to *false*;

1> if *rlf-ReportReq* is set to *true* and the UE has radio link failure information or handover failure information available in *VarRLF-Report* (*VarRLF-Report-NB* in NB-IoT) and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

2> for NB-IoT, if the global cell identity of the selected cell is the same as the *reestablishmentCellId* in the *VarRLF-Report-NB*:

3> remove the *reestablishmentCellId* from the *VarRLF-Report-NB*;

2> set *timeSinceFailure* in *VarRLF-Report* (*VarRLF-Report-NB* in NB-IoT) to the time that elapsed since the last radio link or handover failure in E-UTRA;

2> set the *rlf-Report* in the *UEInformationResponse* message to the value of *rlf-Report* in *VarRLF-Report* (*VarRLF-Report-NB* in NB-IoT);

2> discard the *rlf-Report* from *VarRLF-Report* (*VarRLF-Report-NB* in NB-IoT) upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> except for NB-IoT, if *connEstFailReportReq* is set to *true* and the UE has connection establishment failure information in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

2> set *timeSinceFailure* in *VarConnEstFailReport* to the time that elapsed since the last connection establishment failure in E-UTRA;

2> set the *connEstFailReport* in the *UEInformationResponse* message to the value of *connEstFailReport* in *VarConnEstFailReport*;

2> discard the *connEstFailReport* from *VarConnEstFailReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> except for NB-IoT, if the *logMeasReportReq* is present and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

2> if *VarLogMeasReport* includes one or more logged measurement entries, set the contents of the *logMeasReport* in the *UEInformationResponse* message as follows:

3> include the *absoluteTimeStamp* and set it to the value of *absoluteTimeInfo* in the *VarLogMeasReport*;

3> include the *traceReference* and set it to the value of *traceReference* in the *VarLogMeasReport*;

3> include the *traceRecordingSessionRef* and set it to the value of *traceRecordingSessionRef* in the *VarLogMeasReport;*

3> include the *tce-Id* and set it to the value of *tce-Id* in the *VarLogMeasReport*;

3> include the *logMeasInfoList* and set it to include one or more entries from the *VarLogMeasReport* starting from the entries logged first, and for each entry of the *logMeasInfoList* that is included, include all information stored in the corresponding *logMeasInfoList* entry in *VarLogMeasReport*;

3> if the *VarLogMeasReport* includes one or more additional logged measurement entries that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

4> include the *logMeasAvailable*;

4> if *logMeasResultListBT* is included in one or more of the additional logged measurement entries in *VarLogMeasReport* that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

5> include the *logMeasAvailableBT*;

4> if *logMeasResultListWLAN* is included in one or more of the additional logged measurement entries in *VarLogMeasReport* that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

5> include the *logMeasAvailableWLAN*;

1> except for NB-IoT, if *mobilityHistoryReportReq* is set to *true*:

2> include the *mobilityHistoryReport* and set it to include entries from *VarMobilityHistoryReport*;

2> include in the *mobilityHistoryReport* an entry for the current cell, possibly after removing the oldest entry if required, and set its fields as follows:

3> set *visitedCellId* to the global cell identity of the current cell:

3> set field *timeSpent* to the time spent in the current cell;

1> except for NB-IoT, if the *idleModeMeasurementReq* is included in the *UEInformationRequest* and the UE has stored *VarMeasIdleReport* that contains measurement information concerning cells other than the PCell:

2> set the *measResultListIdle-r15* in the *UEInformationResponse* message to the value of *measReportIdle-r15* in the *VarMeasIdleReport*;

2> set the *measResultListExtIdle* in the *UEInformationResponse* message to the value of *measReportIdle-r16* in the *VarMeasIdleReport*, if available;

2> set the *measResultListIdleNR* in the *UEInformationResponse* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;

2> discard the *VarMeasIdleReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> except for NB-IoT, if *flightPathInfoReq* field is present and the UE has flight path information available:

2> include the *flightPathInfoReport* and set it to include the list of waypoints along the flight path;

2> if the *includeTimeStamp* is set to TRUE:

3> set the field *timeStamp* to the time when UE intends to arrive to each waypoint if this information is available at the UE;

1> for NB-IoT, if *anr-ReportReq* is set to *true* and the UE has *measResultList* available in *VarANR-MeasReport-NB*:

2> set the *anr-MeasReport* in the *UEInformationResponse* message as follows:

3> if the global cell identity of the PCell is different from *servCellIdentity* in the *VarANR-MeasReport-NB*;

4> include the *servCellIdentity* and set it to the value of *servCellIdentity* in the *VarANR-MeasReport-NB*;

3> set *measResultServCell* to the value of *measResultServCell* in the *VarANR-MeasReport-NB*;

3> set *relativeTimeStamp* to the value of *relativeTimeStamp* in the *VarANR-MeasReport-NB*;

3> set *measResultList* to the value of *measResultList* in the *VarANR-MeasReport-NB*;

2> discard the *VarANR-MeasReport-NB* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> if the *logMeasReport* is included in the *UEInformationResponse*:

2> submit the *UEInformationResponse* message to lower layers for transmission via SRB2;

2> discard the logged measurement entries included in the *logMeasInfoList* from *VarLogMeasReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> else:

2> submit the *UEInformationResponse* message to lower layers for transmission via SRB1;

*Next Change*

#### 5.6.6.3 Reception of the *LoggedMeasurementConfiguration* by the UE

Upon receiving the *LoggedMeasurementConfiguration* message the UE shall:

1> discard the logged measurement configuration as well as the logged measurement information as specified in 5.6.7;

1> store the received *loggingDuration*, *loggingInterval* and *areaConfiguration*, if included, in *VarLogMeasConfig*;

1> if the *LoggedMeasurementConfiguration* message includes *plmn-IdentityList*:

2> set *plmn-IdentityList* in *VarLogMeasReport* to include the RPLMN as well as the PLMNs included in *plmn-IdentityList*;

1> else:

2> set *plmn-IdentityList* in *VarLogMeasReport* to include the RPLMN;

1> store the received *absoluteTimeInfo*, *traceReference,* *traceRecordingSessionRef* and *tce-Id* in *VarLogMeasReport*;

1> store the received *targetMBSFN-AreaList*, if included, in *VarLogMeasConfig*;

1> store the received *bt-NameList*, if included, in *VarLogMeasConfig*;

1> store the received *wlan-NameList*, if included, in *VarLogMeasConfig*;

1> start timer T330 with the timer value set to the *loggingDuration*;

*End of Change*