**3GPP TSG RAN WG1 Meeting #100bis-E R1-200xxxx**

**e-Meeting, April 20 – 30, 2020**

**Source: Moderator (Intel Corporation)**

**Title: Summary of email discussions for NR Mobility Enhancements**

**Agenda item: 7.2.9**

**Document for: Discussion**

# Introduction

In this contribution, we summarize the email discussion approved for discussion during RAN1 #100bis-E. Chairman has approved three email discussion threads for RAN1 #100bis-E. The following are the approved email discussions:

* [100b-e-NR-Mob-Enh-01] Email discussion/approval on UL cancellation in UL DAPS-HO by 4/24; if necessary, followed by endorsing the corresponding TP by 4/30 – Daewon (Intel)
* [100b-e-NR-Mob-Enh-02] Email discussion/approval on power sharing mode for UL DAPS-HO by 4/23; if necessary, followed by endorsing the corresponding TP by 4/29 – Daewon (Intel)
* [100b-e-NR-Mob-Enh-03] Email discussion/approval on PDCCH/PDSCH restrictions for DL DAPS-HO by 4/22; if necessary, followed by endorsing the corresponding TP by 4/28 – Daewon (Intel)

# Email Discussion [100b-e-NR-Mob-Enh-01]

This discussion is regarding the uplink cancellation in UL DAPS-HO (Issue #2 from [11]).

**Issue and Proposal Summary:**

The DAPS-HO allows for UEs that support dynamic power sharing to drop (or cancel) transmissions of less-prioritized transmission, namely transmission from the source cell. However, UE may not be completely aware of the transmission collision of source and target until a specific time before the transmission is about to occur. This issue has been identified during RAN1 #99 and was not completely resolved. A note was captured as part of an agreement to allow investigation further into this issue.

Several companies have provided input on this issue. The following is a summary of proposals from contributions.

* Proposal by Huawei [1]: For UL cancellation to source cell, the time interval between the end of scheduling DCI from target cell and the start of UL transmission to source cell should be at least Toffset symbols.
	+ Toffset = Tproc,2, where Tproc,2 is determined according to Section 6.4 of TS 38.214, assuming d2,1 = 1, d2,2 = 0, µ corresponds to the smallest SCS between the SCS of the PDCCH in target cell and the SCS of the UL transmission to the target cell, and N2 corresponds to a PUSCH preparation time for UE processing capability 1 in Section 6.4 of TS 38.214.
	+ The following is the proposed TP:

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| **15 Dual active protocol stack based handover**< Unchanged parts are omitted >If - the UE does not provide *UplinkPowerSharingDAPS-HO*, and - UE transmissions on the target cell and the source cell overlap the UE transmits only on the target cell If a UE indicates support of cancelling uplink transmission to the source MCG, the UE shall cancel an uplink transmission to source cell started from *Toffset* symbols after the end of PDCCH which schedules/triggers an uplink transmission to target cell that collides with the uplink transmission to source cell, where *Toffset* = *Tproc,2*, where *Tproc,2* is determined according to [6, TS 38.214] assuming *d2,1 = 1*, *d2,2**= 0*, *µ* corresponds to the smallest SCS between the SCS of the PDCCH and the SCS of the uplink transmission on the target cell, and *N2* corresponds to a PUSCH preparation time for UE processing capability 1 [6, TS 38.214]. |

* Proposal by ZTE [2]: For collision between an ongoing UL transmission to one cell and an upcoming UL transmission to the other cell, no cancellation timeline is needed and when to stop source cell transmission in case of resource collision should be up to implementation.
* Proposal by Intel [3]: A UE does not expect to receive scheduling grant for transmission that requires cancelling a transmission for scenarios which UE cannot fully know overlapping of source and target cell transmission would happen in advance.
	+ The following is proposed TP:

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| 15 Dual active protocol stack based handover*< Unchanged parts are omitted >*UE transmissions on the target cell and the source cell overlap if they are in- overlapping time resources if the carrier frequencies for the target MCG and the source MCG are intra-frequency and intra-band- overlapping time resources and overlapping frequency resources if the carrier frequencies for the target MCG and the source MCG are not intra-frequency and intra-bandA UE does not expect to receive scheduling of a transmission that requires canceling the transmission on the source cell in symbols from the set of symbols that occur, relative to a last symbol of a CORESET where the UE detects a DCI format scheduling a transmission on the target cell, after a number of symbols that is smaller than the PUSCH preparation time  for the corresponding PUSCH processing capability [6, TS 38.214] assuming  and  corresponds to the smallest SCS configuration between the SCS configuration of the PDCCH carrying the DCI format and the SCS configuration of the UE transmission on the source cell. If the UE transmits PRACH using 1.25 kHz or 5 kHz SCS on the source cell, the UE determines  assuming SCS configuration $μ=0$.A UE does not expect receive scheduling of a transmission that requires canceling the transmission on the source cell in symbols from the set of symbols that occur, relative to a last symbol of a PDSCH reception conveying a RAR message with a RAR UL grant on the target cell, after a number of symbols that is smaller than msec, where  is a time duration of  symbols corresponding to a PDSCH processing time for UE processing capability 1 when additional PDSCH DM-RS is configured,  is a time duration of  symbols corresponding to a PUSCH preparation time for UE processing capability 1 [6, TS 38.214] and the UE considers that  and  correspond to the smaller of the SCS configurations for the PDSCH on the target cell and the transmission on the source cell. For , the UE assumes  [6, TS 38.214]. |

* Proposal by Samsung [4] : The timeline based on Rel-15 uplink cancellation due to SFI (clause 11.1.1 of TS38.213) is more adequate for DAPS-HO. For the cancellation due to target cell msg3, we follows similar logic with the gap between msg2 and msg3 (clause 8.3 of TS38.213) to ensure enough processing time.
	+ Uplink cancellation rule is applied to all UEs supporting DAPS-HO, including UEs provide no or semi-static power sharing capability. Asking a UE cannot do dynamic power sharing to follow a timeline based on other dynamic power sharing option feature does not make sense. This implies UplinkPowerSharingDAPS-HO=dynamic is mandated capability in DAPS-HO.
	+ Depending on UE implementation, cancellation and power control may require different mechanism in the uplink transmission process. Also, T\_offset is still under discussion in NR/DC WI and it involves the time dealing with overlapping transmission among two cell groups. Since RAN2 already agreed DAPS HO involves only PCells in source and target cell, we are looking at two very different procedures here.
	+ The following is the proposed TP:

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| 15 Dual active protocol stack based handover----omitted----UE transmissions on the target cell and the source cell overlap if they are in- overlapping time resources if the carrier frequencies for the target MCG and the source MCG are intra-frequency and intra-band- overlapping time resources and overlapping frequency resources if the carrier frequencies for the target MCG and the source MCG are not intra-frequency and intra-bandA UE does not expect to cancel a transmission on the source cell in symbols from the set of symbols that occur, relative to a last symbol of a CORESET where the UE detects a DCI format scheduling a transmission on the target cell, after a number of symbols that is smaller than the PUSCH preparation time  for the corresponding PUSCH processing capability [6, TS 38.214] assuming  and  corresponds to the smallest SCS configuration between the SCS configuration of the PDCCH carrying the DCI format and the SCS configuration of the UE transmission on the source cell. If the UE transmits PRACH using 1.25 kHz or 5 kHz SCS on the source cell, the UE determines  assuming SCS configuration $μ=0$.A UE does not expect to cancel a transmission on the source cell in symbols from the set of symbols that occur, relative to a last symbol of a PDSCH reception conveying a RAR message with a RAR UL grant on the target cell, after a number of symbols that is smaller than msec, where  is a time duration of  symbols corresponding to a PDSCH processing time for UE processing capability 1 when additional PDSCH DM-RS is configured,  is a time duration of  symbols corresponding to a PUSCH preparation time for UE processing capability 1 [6, TS 38.214] and the UE considers that  and  correspond to the smaller of the SCS configurations for the PDSCH on the target cell and the transmission on the source cell. For , the UE assumes  [6, TS 38.214].----omitted---- |

* Proposal by Apple [6]: After the UL cancellation schemes are completed, then to determine which scheme is adopted by DAPS HO.
	+ For NR-DC based scheme, it is applied to UE with dynamic power sharing capability. To compute the transmission power for SCG UL transmission starting at time T0, the UE would check the PDCH received before the T0-T\_offset that trigger an overlapping MCG UL transmission. How to determine the T\_offset is still open. If the scheme is re-used, before the source cell transmit the UL, i.e., configured grant PUSCH or dynamic grant PUSCH, it would check the target cell PDCCH scheduled PUSCH whether it is colliding with source cell transmission.
	+ For eURLLC based scheme, UL transmission cancellation timeline is defined, UE will cancel the low priority UL transmission starting from Tproc, 2+d1 after end of the last symbol of the PDCCH scheduling the high priority transmission. The minimum processing time of the high priority channel is Tproc,2 +d2. Current agreements only focus on the case that high priority dynamic grant PUSCH transmission is colliding with low priority PUSCH/PUCCH. If the scheme is re-used, for DAPS HO, the open issue is the timeline of PUCCH transmission to target cell colliding with the PUSCH/PUCCH transmission to the source cell.

**Discussion Summary:**

Companies are encouraged to provide comments on the proposal above. Comments should include views on whether proposal by Huawei [1], ZTE [2], Intel [3], Samsung [4], and/or Apple [6] is/are acceptable or not. Also, if companies have a merged proposal based on proposal from above companies, please do provide them below as well.

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| Company Name | Comments/Views |
| Huawei/HiSilicon | We think Tproc,2 with N2 corresponds to a PUSCH preparation time for UE processing capability 1 would be efficient to cancel the uplink to source cell. No need to define additional timeline to address the MSG3 transmission to target cell.  |
| Ericsson | We cannot leave this up to UE implementation – that could lead to that the UE never cancels the transmission to target.We are not comfortable saying that the UE does not expect to receive a certain scheduling grant: the NW will try its best to avoid a collision and the rule is to give OK behavior also in case a collision does occur.We believe we should have special behavior for Msg3.The difference between the proposals in [1] and [4] is if the SCS of the source or target is used to calculate the offset. But in order to reuse something that is already in R15 of the spec, we slightly prefer the solution in [4]. We could still improve on the TP – we think the statement “last symbol of a CORESET” is imprecise, since the CORESET does not have a starting position, only a length.  |
| Qualcomm | We think proposals in [3] and [4] could be starting point.We agree some timeline should be specified for UL cancellation. However, whether UE should follow the timeline or not should be UE capability as discussed in FG 21-2a. Again, we would like to quote RAN2#107bis agreement that it is up to UE to select transmission to either source or target:

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| **Agreements for NR**1. We do not support TDM pattern.
2. We leave it up to network implementation how to coordinate UL scheduling.
3. For single UL transmission, we will not specify rules how UE handles which link to transmit if UL should be sent to both source and target.
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Hence, we would like to add “For a UE indicating support of cancelling transmission to the source cell, the ~~A~~ UE does not expect to …” in the beginning of both paragraphs in proposals [3] and [4].Furthermore, “DCI format scheduling a transmission” is mentioned in [3] and [4] – we prefer to clearly specify concrete format here e.g., either format 0\_0 or 0\_1 or both. |
| Samsung | We want to share our understanding for the differences of available TPs associated with timeline. Let’s focus on the cancellation due to dynamic transmissions other than msg3 first. The described UE behavior from Huawei’s TP is very similar to our TP, which can deal with cancelation of part of transmission to source cell which satisfying the timeline. The differences between two TPs are mostly wording. For reference, our TP is sourced from the timeline based on Rel-15 uplink cancellation due to SFI (clause 11.1.1 of TS38.213), which has very similar uplink cancellation behavior from our view. The description from Intel looks very similar but the UE behavior can be quite different.Intel’s TP:“A UE does not expect to receive scheduling of a transmission that requires canceling the transmission on the source cell in symbols from the set of symbols that occur, relative to a last symbol of a CORESET where the UE detects a DCI format scheduling a transmission on the target cell, after a number of symbols that is smaller than the PUSCH preparation time  for the corresponding PUSCH processing capability [6, TS 38.214] …”Samsung’s TP:“A UE does not expect to cancel a transmission on the source cell in symbols from the set of symbols that occur, relative to a last symbol of a CORESET where the UE detects a DCI format scheduling a transmission on the target cell, after a number of symbols that is smaller than the PUSCH preparation time  for the corresponding PUSCH processing capability [6, TS 38.214]…..”Intel’s TP sounds like UE is not required to cancel the transmission unless it receives the target cell DCI T2 symbols before the start of the source cell transmission. i.e., this corresponds to full-cancellation scheme. We chose partial cancellation since it could benefit the overall system performance during DAPS HO. Also, since partial cancellation behavior already exists in SFI handling, it does not require more complexity than Rel-15 UE. We are fine with full-cancellation as well, but the wording can be discussed further.For Huawei’s comments regarding msg3, we are open to discuss whether this is needed. In our view, msg3 is an important component during HO so it is hard to be ignored in a WI for mobility. |

# Email Discussion [100b-e-NR-Mob-Enh-02]

[Copy discussion from the document for email thread-02]

# Email Discussion [100b-e-NR-Mob-Enh-03]

[Copy discussion from the document for email thread-03]

# Reference

1. R1-2001530, “Remaining issues on DAPS-HO,” Huawei, HiSilicon
2. R1-2001624, “Remaining issues on NR mobility enhancements in physical layer,” ZTE
3. R1-2002011, “Corrections to Physical layer aspects of NR mobility enhancement,” Intel Corporation
4. R1-2002148, “Remaining issues for NR Mobility Enhancement,” Samsung
5. R1-2002221, “Remaining physical layer aspects of dual active protocol stack based HO,” Nokia, Nokia Shanghai Bell
6. R1-2002344, “On remaining issues on NR mobility enhancements,” Apple
7. R1-2002490, “Correction to UL power sharing for DAPS HO,” Ericsson
8. R1-2002558, “Maintenance for NR mobility enhancements,” Qualcomm Incorporated
9. R1-2001531, “Remaining PHY aspects for CHO,” Huawei, HiSilicon
10. R1-2001625, “Discussion on FR2 mobility interruption enhancements,” ZTE
11. R1-2002010, “Issue Summary for NR Mobility Enhancements,” Moderator (Intel Corporation)